

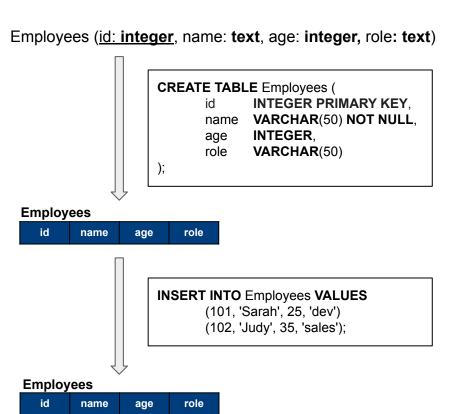
CS2102: Database Systems

Lecture 3 — Entity Relationship Model (ER Model)

Quick Recap: SQL for Creating Databases

- Data Definition Language (DDL)
 - Create, modify and drop tables to implement a given DB schema
 - Specify integrity constraints (e.g., NOT NULL, PRIMARY KEY, FOREIGN KEY, CHECK)

- Data Manipulation Language (DML)
 - Insert, update and delete data from tables



dev

sales

101

102

Sarah

Judy

35

We Sneakily Skipped a Step

Open questions:

- Where does the database schema come from?
- What tables with which attributes do we need?
- What data integrity constraints are required?
- Table names, attribute names, data types, ...?

→ Database Design Process

Quick Quiz: Which table is "better"?

```
create table Employees (
id INTEGER PRIMARY KEY,
name Age VARCHAR(50) NOT NULL,
INTEGER,
varchar(50)
);
```

or

```
CREATE TABLE Employees (

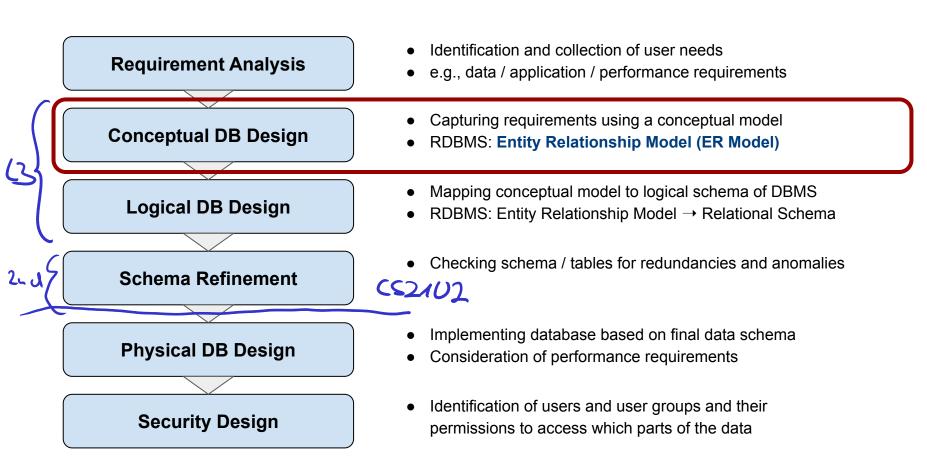
id INTEGER PRIMARY KEY,

name VARCHAR(50) NOT NULL,

dob DATE,

role VARCHAR(100)
);
```

Database Design Process — 6 Common Steps



Overview

- Entity Relationship Model
 - Overview + ER diagrams
 - Entity sets and attributes
 - Relationship sets
 - Cardinality & participation constraints
 - Dependency constraints: weak entity sets
 - Aggregation
- Relational Mapping
 - From ER diagram to database tables
- Summary

Requirement Analysis: Online Airline Reservation System (OARS)

Users need to be able to make bookings from an origin to a destination airport which may comprise multiple connecting flights. Each flight has a flight number, the origin and destination airport, the distance in kilometers, the departure and arrival time, and the days of the week the flight is in operation.

A flight instance is the actual scheduled flight on a given day together with the assigned aircraft type. For example, flight SQ231 flies daily from Singapore to Sydney, typically with a Boeing 777-300ER (code: B77W).

For a valid booking, we need the user's name, sex, address, phone number(s), and the passport number. Users are only able to pay via credit card. When making a booking, the user can select the class, the seat number, as well as meal preferences (if available).

Entity Relationship Model

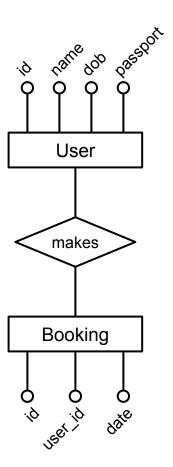
ER Model

- Most common model for conceptual database design
- Developed by Peter Chen (1976)
- Visualized using ER diagrams

(Important: many revised version – no one single set of notations!)

Core concepts

- All data is described in terms of entities and their relationships
- Information about entities & relationships are described using attributes
- Certain data constraints can be described using additional annotations



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Entities and Entity Sets

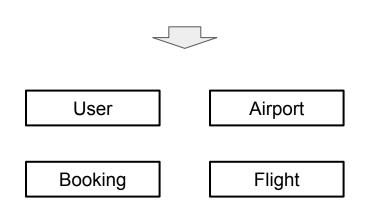
Entity

■ Real-world things or objects that are distinguishable from other objects (e.g., an individual user, airport, flight, or booking)

Entity Set

- Collection of entities of the same type
- Represented by rectangles in ER diagrams
- Names are typically nouns

Users need to be able to make bookings from an origin to a destination airport which may comprise multiple connecting flights. Each flight has a flight number, [...]



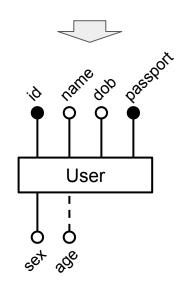
Attributes



- Attribute:
 - specific information describing an entity
 - represented by a small circle in ER diagrams
- 2 main subtypes of attributes
 - Key attribute(s): uniquely identifies each entity
 - Indicated by a filled circle in ER diagram
 - Different attributes may uniquely identify an entity
 - Multiple attributes may form a composite key
 - **Derived attribute**: derived from other attributes
 - Indicated by a dashed line in ER diagram
 - Example: derive "age" from "dob"

For a valid booking, we need the user's name, sex, address, phone number(s), and the passport number.

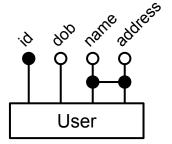
Users are only able to pay via credit card. [...]

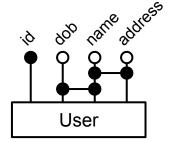


What about address and phone numbers?

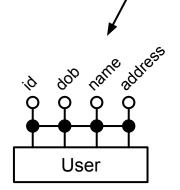
Key Attributes

- Composite key attributes:
 - 2 or more attributes together uniquely identify each entity
 - An entity may have multiple composite key attributes
 - Representation in ER diagram: additional connecting line
- Examples (for illustration purposes; not necessarily realistic!)



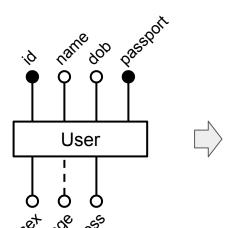


- At least all attributes uniquely identify an entity
- We typical prefer a minimum set of attributes



"Composite" Attributes

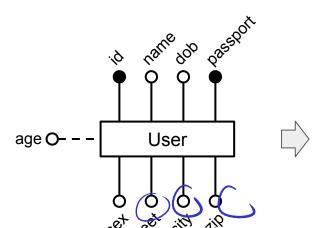
- Common: requirement analysis often vague / ambiguous / unclear
 - Not always obvious how certain attributes should be modeled
 - Example "address": <u>single string attribute</u> vs. multiple attributes



id	name	dob	age	sex	passport	address
101	Alice	15-02-2000	26	f	KEJR4A90	15 Computing Drive, Singapore 117418

"Composite" Attributes

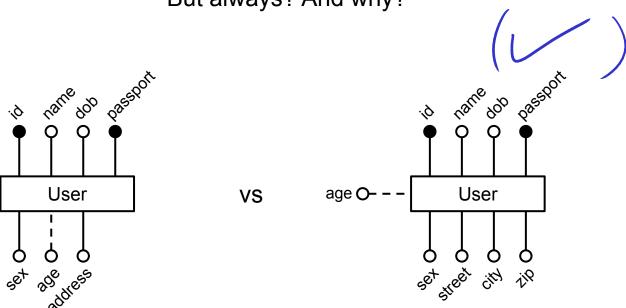
- Common: requirement analysis often vague / ambiguous / unclear
 - Not always obvious how certain attributes should be modeled
 - Example "address": single string attribute vs. <u>multiple attributes</u>



id	name	dob	age	sex	passport	street	city	zip
101	Alice	15-02-2000	26	f	KEJR4A90	15 Computing Drive	Singapore	117418

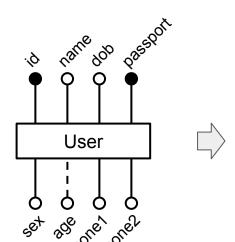
Quick Quiz

Which solution is typically the **preferred** one? But always? And why?



Multivalued Attributes

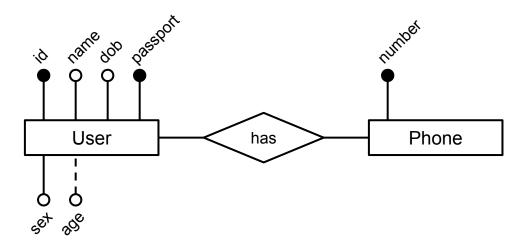
- Common: an attribute may refer to a set/list of values
 - Examples: phone numbers, hobbies, tags/keywords
 - However: all attributes must be single-valued
 - Example "phone numbers": <u>fixed number of single-valued attributes</u> vs. dedicated entity set



id	name	dob	age	sex	passport	phone1	phone2
101	Alice	15-02-2000	26	f	KEJR4A90	+65-1234-5678	+65-8765-4321

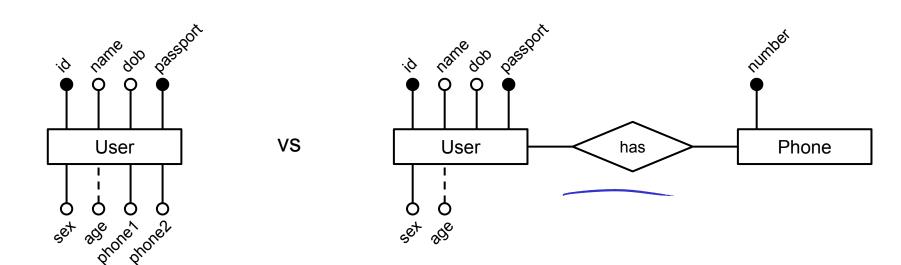
Multivalued Attributes

- Common: an attribute may refer to a set/list of values
 - Examples: phone numbers, hobbies, tags/keywords
 - However: all attributes must be single-valued
 - Example "phone numbers": fixed number of single-valued attributes vs. <u>dedicated entity set</u>



Quick Quiz

Which solution is typically the **preferred** one? But always? And why?



Side Note

- PostgreSQL (and most modern RDBMS)
 - Not limited to basic single-valued data types
 - Support for complex / composite data types
 - Support for user-defined composite types

Quick Quiz: What are potential downsides of this more complex data types?

8.13. XML Type

- 8.13.1. Creating XML Values
- 8.13.2. Encoding Handling
- 8.13.3. Accessing XML Values

8.14. JSON Types

- 8.14.1. JSON Input and Output Syntax
- 8.14.2. Designing JSON Documents
- 8.14.3. j sonb Containment and Existence
- 8.14.4. j sonb Indexing
- 8.14.5. jsonb Subscripting
- 8.14.6. Transforms
- 8.14.7. jsonpath Type

8.15. Arrays

- 8.15.1. Declaration of Array Types
- 8.15.2. Array Value Input
- 8.15.3. Accessing Arrays
- 8.15.4. Modifying Arrays
- 8.15.5. Searching in Arrays
- 8.15.6. Array Input and Output Syntax

8.16. Composite Types

- 8.16.1. Declaration of Composite Types
- 8.16.2. Constructing Composite Values
- 8.16.3. Accessing Composite Types
- 8.16.4. Modifying Composite Types
- 8.16.5. Using Composite Types in Queries
- 8.16.6. Composite Type Input and Output Syntax

Source: PostgreSQL docs

Overview

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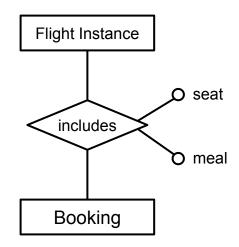
Relationships and Relationship Sets

Relationship

Association among two or more entities

Relationship Set

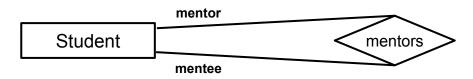
- Collection of relationships of the same type
- Represented by diamonds in ER diagrams
- Can have their own attributes that further describe the relationship
- Names are typically verbs



- Additional annotations to further specify relationships
 - Roles, degree, cardinalities, participation, dependencies

Relationship Roles

- Role
 - Descriptor of an entity set's participation in a relationship
 - Most of the time implicitly given by the name of the entity sets
 - Explicit role labels only common in case of ambiguities
 (typically in case the same entity sets participate in the same relationship more than once)
- Example: Students can mentor other students

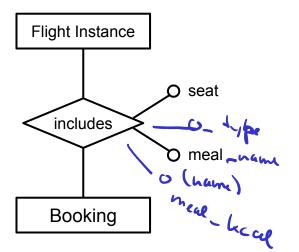


Degree of Relationship Sets

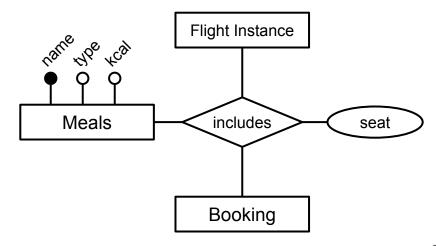
Degree

- In principle, no limitation on how many entity roles participate in a relationship
- An n-ary relationship set involves n entity roles $\rightarrow n$ = degree of relationship set

 $n = 2 \rightarrow binary relationship set$

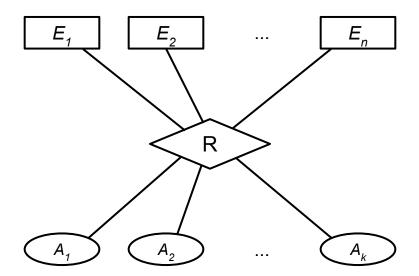


n = 3 → ternary relationship set



Degree of Relationship Sets

- General n-ary relationship set R
 - *n* participating entity sets $E_1, E_2, ..., E_n$
 - k relationship attributes $A_1, A_2, ..., A_k$



"In typical modeling, binary relationships are the most common and relationships with n>3 are very rare" - Peter Chen (2009)

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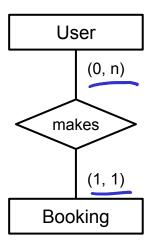
- Cardinalities of Relationship Sets
 - Describe how often an entity can participate in a relationship <u>at most</u>

upper bound

- 3 basic cardinality constraints
 - Many-to-many (e.g., a flight can be performed by different aircrafts; an aircraft can perform different flights)
 - Many-to-one (e.g., a user can make many bookings, but each booking is done by one user)
 - One-to-one (e.g., a user is associated with one set of credit card details, and vice versa)
- Participation constraints
 - Describe how often an entity has to participate in a relationship <u>at least</u>
 - Is the participation of an entity in a relationship even mandatory?

lower bound

- Representation in ER diagram
 - (min,max) label at connections between entity and relationship sets

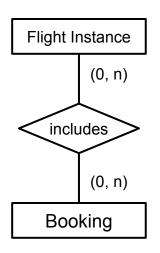


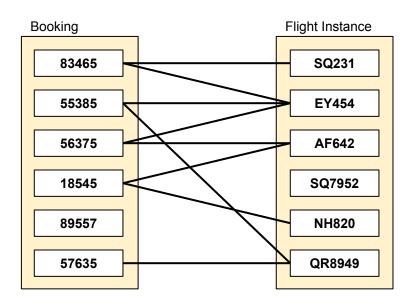
Interpretation

- Each user can make multiple bookings (but not every user must have made a booking)
- Each booking was done by exactly one user (implies that each booking is associated with a user)

Cardinality: Many-to-Many (no mandatory participation)

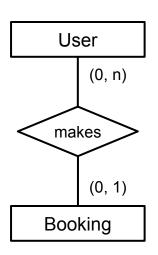
- Many-to-many relationship between bookings and flight instances
 - Each booking can include 0 or more flight instances (note that a booking with 0 flights might not meaningful; we will improve on that)
 - Each flight instance can be part of 0 or more bookings

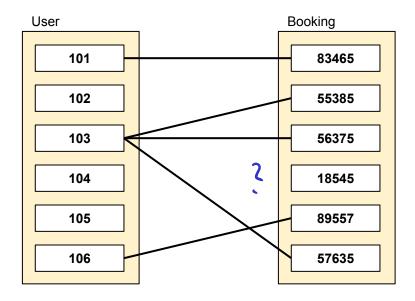




Cardinality: Many-to-One (no mandatory participation)

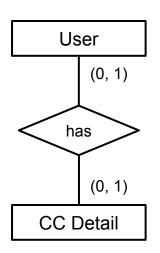
- Many-to-one relationship between users and bookings
 - Each user can make 0 or more bookings
 - Each booking is done by one 1 user <u>at most</u> (again, not perfect yet, and we will improve on that)

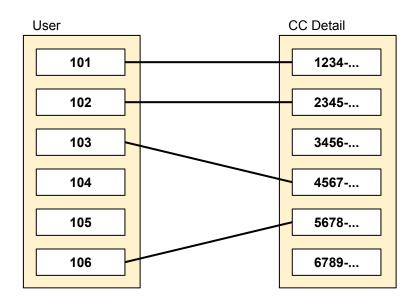




Cardinality: One-to-One (no mandatory participation)

- One-to-one relationship between users and credit card details
 - Each user can provide only 1 set of credit card details at most
 - Each set of credit card details is associated with 1 user at most





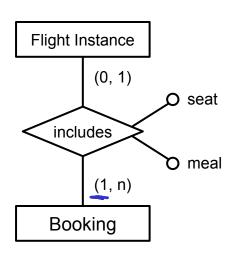
Participation Constraints

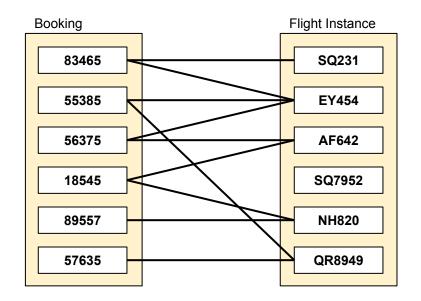
- Limitation of (basic) cardinality constraints from previous examples
 - A booking can include 0 flights
 - A booking can be done by 0 users
 - A set of credit card details does not need to be associated with a user

an entity does not have to participate in a relation

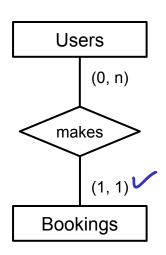
→ Let's include participation constraints

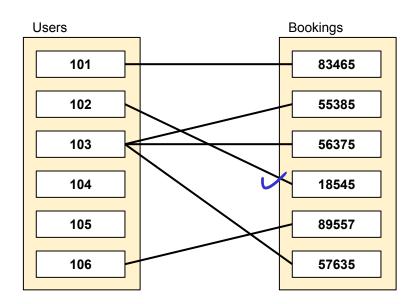
- Many-to-many relationship between bookings and flight instances
 - Each booking includes <u>1 or more</u> flight instances
 - Each flight instance can be part of 0 or more bookings



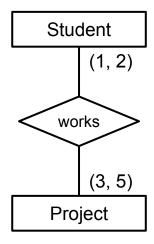


- Many-to-one relationship between users and bookings
 - Each user can make 0 or more bookings
 - Each booking is done by <u>exactly 1</u> user





- Flexibility of (min,max) notation
 - Minimum not limited to 0 or 1; maximum no limited to n
 - Arbitrary specific values to capture real-world constraint



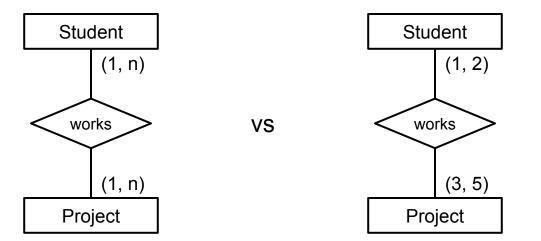
Interpretation

- Each student must work on at least 1 project
- Each student may not work on more than 2 projects
- Each project consists of at least 3 students
- Each project may not consist of more than 5 students

Quick Quiz

Why do values other than 0/1/n add significant complexity?

(just think about it for a minute here; we will cover it later)



drisgers

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Dependency Constraints

Weak entity sets

- Entity set that does not have its own key
- A weak entity can only be uniquely identified by considering the primary key of the owner entity
- A weak entity's existence depends on the existence of its owner entity
- Weak entity set and identifying relation set are represented via double-lined rectangles / diamonds

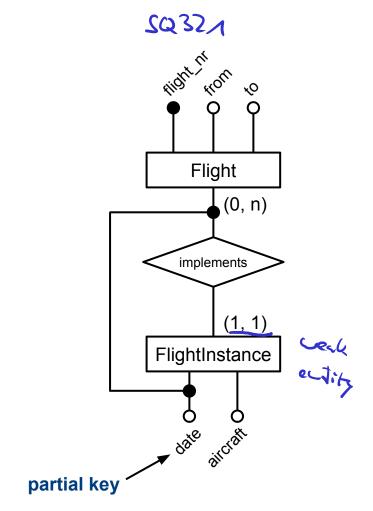
Requirements

- Many-to-one relationship (identifying relationship) from weak entity set to owner entity set (one-to-one possible but less common)
- Weak entity set must have (1, 1) attached to identifying relationship

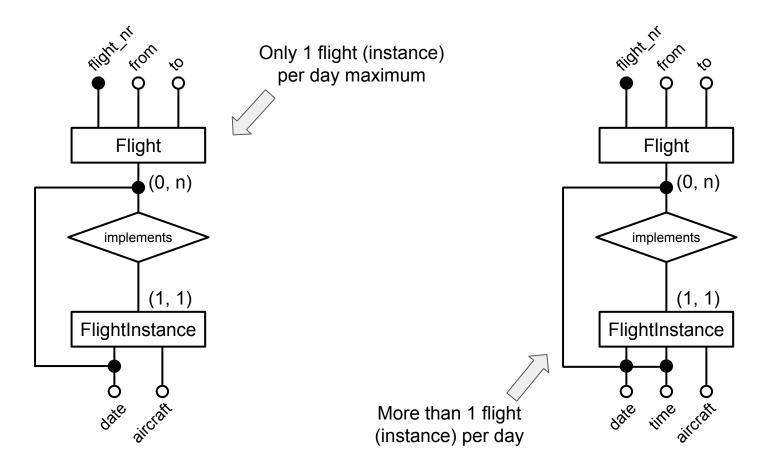
Dependency Constraints

Example

- A flight instance is the actual scheduled flight (with a unique flight number) on a given day
 - Each flights instance is identified by the "flight nr and the "date"
 - "date" is a partial key
- A flight instance cannot "exist" without the flight



Dependency Constraints



Overview

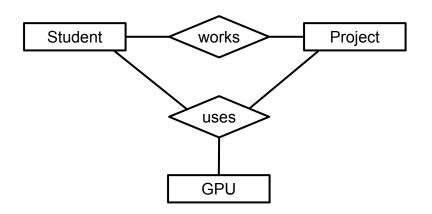
Entity Relationship Model

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Extended Concepts — Aggregation

- Concepts of ER diagrams so far
 - Only relationships between entity sets
 - No relationships between entity sets and relationship sets

Motivating example



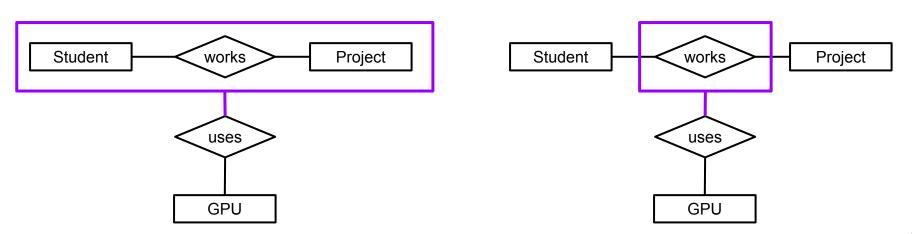
Limitations:

- Relationship between "works" and "uses" not explicitly captured
- "works" and "uses" are kind of redundant relationships

→ Aggregation

Extended Concepts — Aggregation

- Aggregation basic idea
 - Abstraction that treats relationships as higher-level entities
 - Example: treat Students-works-Projects as an entity set
- Notation in ER diagram (2 equivalent alternatives)



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Database Design Process — 6 Common Steps

Requirement Analysis

Identification and collection of user needs

• e.g., data /application / performance requirements

Conceptual DB Design

- Capturing requirements using a conceptual model
- RDBMS: Entity Relationship Model (ER Model)

Logical DB Design

- Mapping conceptual model to logical schema of DBMS
- RDBMS: Entity Relationship Model → Relational Schema

Schema Refinement

Checking schema / tables for redundancies and anomalies

Physical DB Design

Implementing database based on final data schema

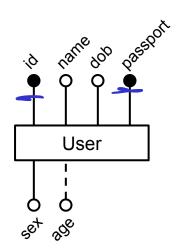
• Consideration of performance requirements

Security Design

 Identification users and user groups and their permissions to access which parts of the data

Entity Sets

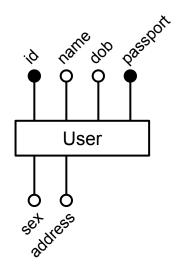
- Straightforward mapping from entity sets to tables (except for composite & multivalued attributes)
 - Name of entity set → name of table
 - Attributes of entity set → attributes of table
 - Key attributes of entity set → primary key of table

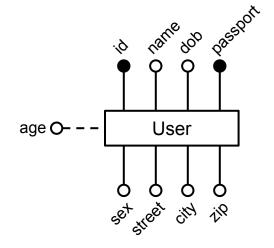




```
CREATE TABLE Users (
id INTEGER,
name VARCHAR(100),
dob DATE,
sex CHAR(1)
age INTEGER,
passport VARCHAR(20),
PRIMARY KEY (id),
UNIQUE (passport)
);
```

Note: PostgreSQL supports <u>Generated Column</u> but there are come caveats when used in practice that are beyond our scope.





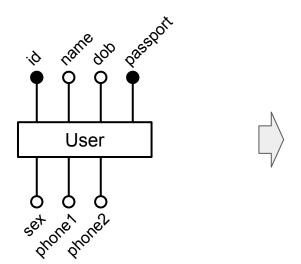
```
CREATE TABLE Users (
      id
                    INTEGER.
                    VARCHAR(100),
      name
      dob
                    DATE.
                    CHAR(1)
      sex
                    VARCHAR(20),
      passport
      address
                    VARCHAR(200),
      PRIMARY KEY (id),
      UNIQUE (passport)
);
```

```
CREATE TABLE Users (
      id
                    INTEGER,
                    VARCHAR(100),
      name
                    DATE.
      dob
                    CHAR(1)
      sex
      passport
                    VARCHAR(20),
      street
                    VARCHAR(100),
      city
                    VARCHAR(100),
                    VARCHAR(10),
      zip
      PRIMARY KEY (id),
      UNIQUE (passport)
```

VS

Multivalued Attributes

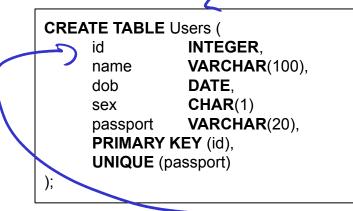
Fixed number of single-valued attributes

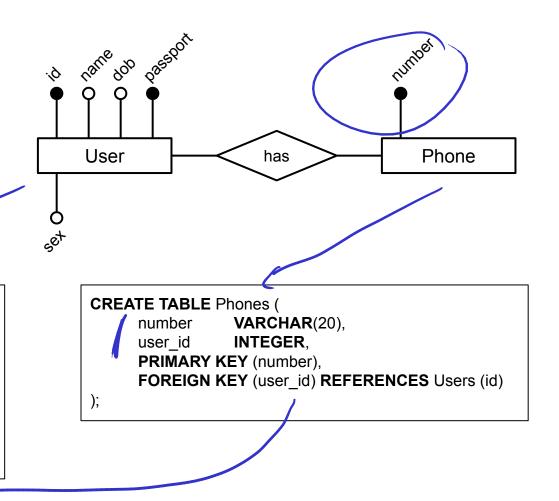


```
CREATE TABLE Users (
     id
                INTEGER,
                VARCHAR(100),
     name
                DATE,
     dob
                CHAR(1)
     sex
                VARCHAR(20),
     passport
     phone1
                VARCHAR(20),
                VARCHAR(200),
     phone2
     PRIMARY KEY (id),
     UNIQUE (passport)
);
```

Multivalued Attributes

 Separate entity set for phone numbers

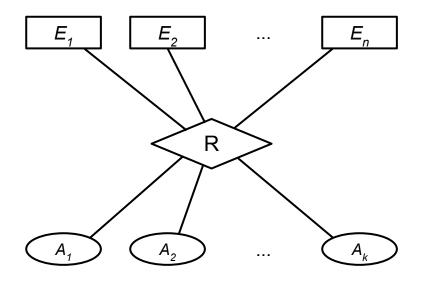




Relationship Sets

General n-ary relationship set R

- *n* participating entity sets $E_1, E_2, ..., E_n$
- k relationship attributes $A_1, A_2, ..., A_k$
- Let $Key(E_i)$ be the attributes of the selected key of entity set E_i

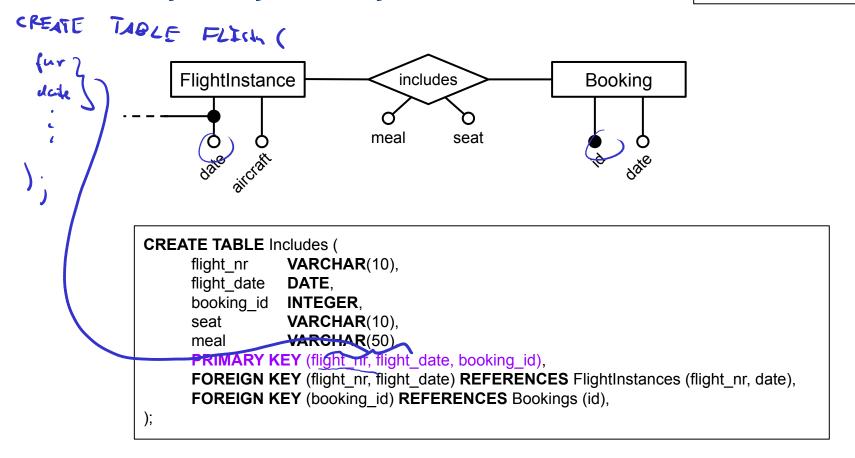


→ Attributes of relationship set R

- $Key(E_1)$, $Key(E_2)$, ..., $Key(E_n)$ key attributes of all participating entity sets E_i
- $A_1, A_2, ..., A_k$ all relationship attributes of R

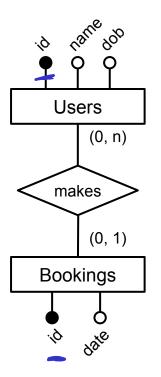
Quick Quiz: Where does "flight_nr" come from?

Cardinality: Many-to-Many (no mandatory participation)



Cardinality: Many-to-One (no mandatory participation)

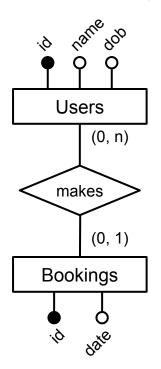
- Approach 1: Represent "makes" with a separate table
 - Similar to Many-to-Many but with different primary key!



```
CREATE TABLE Makes (
    user_id INTEGER,
    booking_id INTEGER,
    PRIMARY KEY (booking_id),
    FOREIGN KEY (user_id) REFERENCES Users (id),
    FOREIGN KEY (booking_id) REFERENCES Bookings (id)
);
```

Quick Quiz: What is typically the preferred approach? 1 or 2?

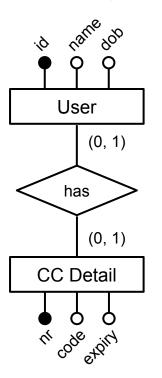
- Approach 2: Combine "makes" and "Bookings" into one table
 - Possible because given a booking, we can uniquely identify the user who made it



```
CREATE TABLE Bookings (
    id INTEGER,
    date DATE,
    (user_id INTEGER,
        PRIMARY KEY (id),
    FOREIGN KEY (user_id) REFERENCES Users (id)
);
```

Cardinality: One-to-One (no mandatory participation)

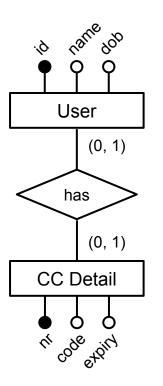
- Approach 1: Represent "has" with a separate table
 - Similar to Many-to-One but primary key can be chosen



```
CREATE TABLE Has (
    user_id INTEGER,
    cc_nr CHAR(16) UNIQUE,
    PRIMARY KEY (user_id),
    FOREIGN KEY (user_id) REFERENCES Users (id),
    FOREIGN KEY (cc_nr) REFERENCES CCDetails (id)
);
```

Cardinality: One-to-One (no mandatory participation)

Approach 2: Combine "has" and "Users" or "has" and "CC Details"

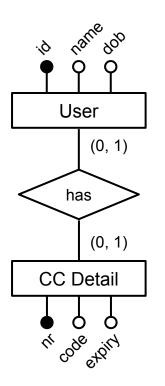


```
create table Users (
    id INTEGER,
    name VARCHAR(100),
    dob DATE,
    cc_nr CHAR(16) UNIQUE,
    PRIMARY KEY (id),
    FOREIGN KEY (cc_nr) REFERENCES CCDetails (nr)
);
```

Cardinality Constraints: One-to-One

Quick Quiz: What could be a downside of this approach?
(Hint: security)

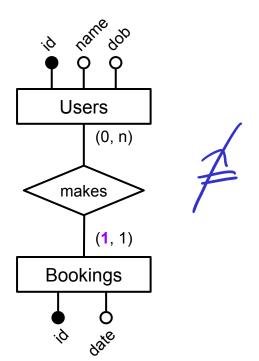
Approach 3: Combine "has", "Users", and "CC Details"



```
create table Users (
    id INTEGER,
    name VARCHAR(100),
    dob DATE,
    cc_nr CHAR(16) UNIQUE,
    cc_code CHAR(3),
    cc_expiry DATE,
    PRIMARY KEY (id)
);
```

Cardinality & Participation Constraints

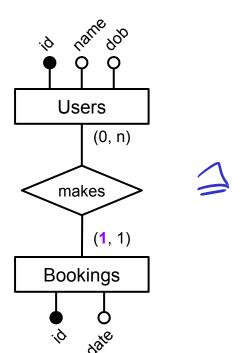
Approach 1 (separate table): fails to capture mandatory participation!



- Schema does <u>not</u> enforce mandatory participation of "Bookings" w.r.t. "Makes"
- e.g.: "Makes" can be empty while both "Users" and "Bookings" are non-empty

Cardinality & Participation Constraints

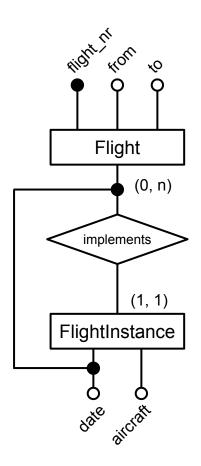
- Approach 2: Combine "makes" and "Bookings" into one table
 - Enforces total participation via NOT NULL constraint



```
CREATE TABLE Bookings (

id INTEGER,
date DATE,
user_id INTEGER NOT NULL,
PRIMARY KEY (id),
FOREIGN KEY (user_id) REFERENCES Users (id)
);
```

Weak Entity Sets



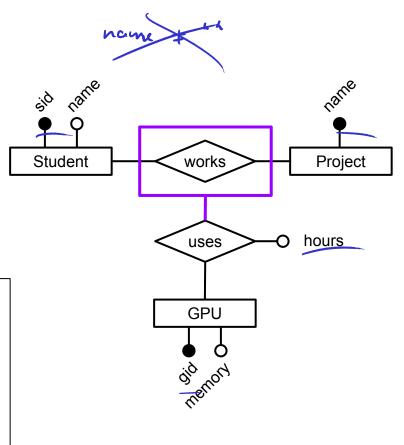
Aggregation — Relational Mapping

Schema definition of "uses"

- Primary key of aggregation relationship → (sid, pname)
- Primary key of associated entity set "GPUs" → gid
- Descriptive attributes of "uses" → hours

```
GREATE TABLE Uses (

gid INTEGER,
sid CHAR(20),
pname VARCHAR(50),
hours NUMERIC,
PRIMARY KEY (gid, sid, pname),
FOREIGN KEY (gid) REFERENCES GPUs (gid),
FOREIGN KEY (sid, pname) REFERENCES works (sid, pname)
);
```



ER Design & Relational Mapping — Basic Guidelines

- Guidelines for ER design
 - An ER diagram should capture as many of the constraints as possible
 - An ER diagram must not impose any constraints that are not required
- Guidelines for relational mapping

(i.e., from ER diagram to relational database schema)

- The relational schema should enforce as many if the constraints as possible using column and/or table constraints
- The relational schema should not impose and constraints that are not required

Overview

- Entity Relationship Model
 - Overview + ER diagrams
 - Entity sets and attributes
 - Relationship sets
 - Cardinality & participation constraints
 - Dependency constraints: weak entity sets
 - Aggregation
- Relational Mapping
 - From ER diagram to database tables
- Summary

Summary

- Entity-Relationship (ER) model
 - Basic concepts: entity sets, relationship sets, attributes
 - Cardinality constraints and participation constraints
 - Extended concepts: (ISA hierarchies) aggregation

Visualized using **ER diagrams**

- Relational Mapping
 - Mapping ER diagram to database schema
 - Not all constraints of ER diagram may be captured
- Outlook for next lecture
 - SQL for querying a database (recommendation: striny RA)

Quick Quiz Solutions

Quick Quiz (Slide 3)

- Storing the "dob" instead of "age" is arguably the preferred approach
- The value of "age" changes each year (not really a big deal)
- "dob" provides more detailed information compared the "age"

Quick Quiz (Slide 14)

- Modeling "address" and "phone" as a single-values string might be OK-ish
 if we never use these attributes to select rows
- If we only need to get the address or all phone numbers for a given user then this solution might be good enough
- However, queries using "address" or "phone" to filter rows will become unnecessarily complicated or even impossible
- A query such as "Return all users with addresses with the ZIP code 123456" is possible since SQL supports string pattern matching and even regular expression. The performance would degrade, though.
- More intricate queries might still be formulated but the complexity of the SQL query would quickly blow up

Quick Quiz (Slide 17)

- Using a fixed number of single-valued attributes avoids "splitting" the data across multiple tables (and avoids joining them as part of queries costly operation)
- Two disadvantages when a fixed number of single-valued attributes
 - Unable to store then 2 phone numbers
 - Requires storing NULL values if user does not have exactly 2 phone numbers
- A separate table only stores the information needed and is more flexible, but will relies on join operations to bring the information together

Quick Quiz (Slide 18)

- Complex data types are generally more difficult to query
- For example: How to check the value for an optional field in a JSON document? Maybe be possible but often requires more complex and non-standard syntax

Quick Quiz (Slide 34)

- 0/1/n constraints can typically captures using basic integrity constraints (as shown later)
- Any more specific upper and lower bounds require more integrity checks, particularly since these constraints involve more than 1 table
- General solution: **triggers**
- Also not uncommon: don't use DBMS to enforce constraints

Quick Quiz (Slide 49)

- "Flight Instances" is weak entity set with "Flights" being the owner entity set
- Thus, "Flight Instances" is identified by the key of "Flights" (i.e., "fnr") and its own partial key "date"

Quick Quiz (Slide 51)

- Approach 2 is generally the preferred approach as it leads to a smaller number of table
- Less tables also means that queries might need less join operations (which are typically the more expensive operations)
- Good rule of thumb but not a "law"

Quick Quiz (Slide 54)

- Access privileges can (mostly) only be set on the table level
- Separating the basic user data and the credit card details allows assign different access privilege to different users
- Also, separate table avoid NULL values if some users do not have a credit card