L4 Relational Algebra

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Supplemental Materials

Helpful References

https://en.wikipedia.org/wiki/Relational_algebra

Overview

Last time, learned about pre-relational models an informal introduction to relational model an introduction to the SQL query language.

Learn about formal relational query languages
Relational Algebra (algebra: perform operations)
Relational Calculus (logic: are statements true?)

Keys to understanding SQL and query processing

Relational algebra is the basis for the most popular **query language** in the universe*

What's a Query Language?

Allows manipulation and retrieval of data from a database.

```
Traditionally: QL != programming language

Doesn't need to be turing complete

Not designed for computation

Supports easy, efficient access to (very) large databases
```

```
Recent years

Scaling to large datasets is a reality
Powerful way to think about...

data algorithms that scale
asynchronous/parallel programming
```

What's a Query Language?

Re-use permitted when acknowledging the original © Daniel Abadi, Shivnath Babu, Fatma Ozcan, and Ippokratis Pandis (2015)

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MapReduce is **not** the answer

- MapReduce is a powerful primitive to do many kinds of parallel data processing
- BUT
 - Little control of data flow
 - Fault tolerance guarantees not always necessary
 - Simplicity leads to inefficiencies
 - Does not interface with existing analysis software
 - Industry has existing training in SQL



SQL interface for Hadoop critical for mass adoption

SQL-on-Hadoop Tutorial

9.00 2015

Tutorial at VLDB 2015 Abadi et al. http://www.slideshare.net/abadid/sqlonhadoop-tutorial

Formal Relational Query Languages

Relational Algebra

Operational, used to represent execution plans

```
\pi_{\text{name}}(\sigma_{\text{age}<30}(\text{Sailors})) sailor names younger than 30
```

Relational Calculus

```
Logical, describes what data users want (declarative) \{ s: name \mid s \in Sailors \land s.age < 30 \} (this is shorthand)
```

Journey of a Query

SQL

SELECT ... FROM ...

Relational Algebra

 $\pi_b(P\bowtie Q\bowtie...)$

Query Rewriting

 $\pi_b(P\bowtie S)\bowtie Q...)$

Query execution

Prelims

Query is a function over relation instances

$$Q(R_1,...,R_n) = R_{result}$$

Schemas of input and output relations are *fixed* and well defined by the query Q.

Positional vs Named field notation

Position easier for formal defs

one-indexed (not 0-indexed!!!)

Named is more readable

Both used in SQL

Prelims

Relation (for this lecture)

Instance: **set** of tuples (important!)

Schema: list of field names and types (domains)

Students(sid int, name text, major text, gpa int)

How are relations different than generic sets (\mathbb{R}) ?

Can assume item structure due to schema

Some algebra operations (x) need to be modified

Will use this later

Relational Algebra Overview

Core 5 operations

PROJECT (π)

SELECT (σ)

UNION (U)

SET DIFFERENCE (-)

CROSSPRODUCT (x)

Additional operations

RENAME (p)

INTERSECT (∩)

JOIN (⋈)

DIVIDE (/)

Instances Used Today: Library

Students, Reservations

RI

sid	rid	day
I	101	10/10
2	102	11/11

Use positional or named field notation

SI

sid	name	gpa	age
1	eugene	4	20
2	barb	3	21
3	tanya	2	88

Fields in query results are inherited from input relations (unless specified)

S2

sid	name	gpa	age
4	aziz	3.2	21
2	barb	3	21
3	tanya	2	88
5	rusty	3.5	21

$$\pi_{\langle attr1,...\rangle}(A) = R_{result}$$

Pick out desired attributes (subset of columns)
Schema is subset of input schema in the projection list

 $\pi_{\langle a,b,c\rangle}(A)$ has output schema (a,b,c) w/ types carried over

S2

sid	name	gpa	age
4	aziz	3.2	21
2	barb	3	21
3	tanya	2	88
5	rusty	3.5	21

$$\pi_{\text{name,age}}(S2) =$$

name	age
aziz	21
barb	21
tanya	88
rusty	21

S2

sid	name	gpa	age
4	aziz	3.2	21
2	barb	3	21
3	tanya	2	88
5	rusty	3.5	21

$$\pi_{\text{name,name,age}}(S2) =$$

name	name	age
aziz	aziz	21
barb	barb	21
tanya	tanya	88
rusty	rusty	21

S2

sid	name	gpa	age
4	aziz	3.2	21
2	barb	3	21
3	tanya	2	88
5	rusty	3.5	21

$$\pi_{age}(S2) = \frac{21}{88}$$

Where did all the rows go? Real systems typically don't remove duplicates. Why?

Select

$$\sigma_{}(A) = R_{result}$$

Select subset of rows that satisfy condition p
Won't have duplicates in result. Why?
Result schema same as input

Select

SI sid name gpa age 20 4 eugene 2 21 barb 3 3 2 88 tanya

$$\sigma_{age < 30}$$
 (S1) =

sid	name	gpa	age
1	eugene	4	20
2	barb	3	21

$$\pi_{\text{name}}(\sigma_{\text{age} < 30} (S1)) = \begin{bmatrix}
\text{name} \\
\text{eugene} \\
\text{barb}
\end{bmatrix}$$

Commutative Operations

$$A + B = B + A$$

$$A * B = B * A$$

$$A + (B * C) = (B * C) + A$$

Associative Operations

$$A + (B + C) = (A + B) + C$$

 $A + (B * C) = (A + B) * C$

Commutative Operations

$$A + B = B + A$$

$$A * B = B * A$$

$$A + (B * C) = (B * C) + A$$

Associative Operations

$$A + (B + C) = (A + B) + C$$

 $A + (B * C) = (A + B) * C$

$$\pi_{age}(\sigma_{age < 30} (SI))$$

	sid	name	gpa	age	
$\sigma_{age < 30}$	I	eugene	4	20	
	2	barb	3	21	
	3	tanya	2	88	

sid	name	gpa	age
1	eugene	4	20
2	barb	3	21

$$\pi_{age}(\sigma_{age < 30} (SI))$$

	sid	name	gpa	age	
Паде	I	eugene	4	20	
age	2	barb	3	21	

age
20
21

$$\sigma_{\text{age} < 30}(\mathbf{\pi}_{\text{age}}(SI))$$

age	
20	
21	
88	

$$\sigma_{\text{age} < 30}(\pi_{\text{age}}(\text{SI}))$$

age	
20	
21	

Does Project and Select always commute?

$$\mathbf{\pi}_{age}(\sigma_{age < 30} (SI)) = \sigma_{age < 30}(\mathbf{\pi}_{age}(SI))$$

$$\pi_{\text{name}}(\sigma_{\text{age}<30} (SI))$$
?

Does Project and Select commute?

$$\mathbf{\pi}_{\text{age}}(\sigma_{\text{age} < 30} (SI)) = \sigma_{\text{age} < 30}(\mathbf{\pi}_{\text{age}}(SI))$$

$$\mathbf{\pi}_{\text{name}}(\sigma_{\text{age} < 30} (SI)) != \sigma_{\text{age} < 30}(\mathbf{\pi}_{\text{name}}(SI))$$

Does Project and Select commute?

$$\mathbf{\pi}_{age}(\sigma_{age < 30} (SI)) = \sigma_{age < 30}(\mathbf{\pi}_{age}(SI))$$

$$\pi_{\text{name}}(\sigma_{\text{age} < 30} (SI)) := \sigma_{\text{age} < 30}(\pi_{\text{name, age}}(SI))$$

Does Project and Select commute?

$$\mathbf{\pi}_{\text{age}}(\sigma_{\text{age} < 30} (SI)) = \sigma_{\text{age} < 30}(\mathbf{\pi}_{\text{age}}(SI))$$

$$\mathbf{\pi}_{\text{name}}(\sigma_{\text{age} < 30} (SI)) = \mathbf{\pi}_{\text{name}}(\sigma_{\text{age} < 30}(\mathbf{\pi}_{\text{name}, \text{age}}(SI)))$$



Union, Set-Difference

A op
$$B = R_{result}$$

A, B must be union-compatible

Same number of fields

Field i in each schema have same type

Result Schema borrowed from first arg (A)

A(id int, imgid int) U B(blah int, gloop int) = ?

Union, Set-Difference

A op
$$B = R_{result}$$

A, B must be union-compatible

Same number of fields

Field i in each schema have same type

Result Schema borrowed from first arg (A)

A(id int, imgid int) U B(blah int, gloop int) = R_{result}(id int, imgid int)

Union, Intersect, Set-Difference

SI

sid	name	gpa	age
1	eugene	4	20
2	barb	3	21
3	tanya	2	88

S2

sid	name	gpa	age
4	aziz	3.2	21
2	barb	3	21
3	tanya	2	88
5	rusty	3.5	21

SIUS2 =

sid	name	gpa	age
I	eugene	4	20
4	aziz	3.2	21
5	rusty	3.5	21
3	tanya	2	88
2	barb	3	21

Union, Intersect, Set-Difference

SI

sid	name	gpa	age
1	eugene	4	20
2	barb	3	21
3	tanya	2	88

S2

sid	name	gpa	age
4	aziz	3.2	21
2	barb	3	21
3	tanya	2	88
5	rusty	3.5	21

$$SI-S2 =$$

sid	name	gpa	age
1	eugene	4	20

Note on Set Difference & Performance

Notice that most operators are monotonic increasing size of inputs \rightarrow outputs grow if $A \supseteq B \rightarrow Q(A,T) \supseteq Q(B,T)$ can compute incrementally

Set Difference is not monotonic

if
$$A \supseteq B$$
 \rightarrow $T-A \subseteq T-B$
e.g., $5 > I$ \rightarrow $9-5 < 9-I$

Set difference is blocking:

For T – S, must wait for all S tuples before any results

Cross-Product

$$A(a_1,...,a_n) \times B(a_{n+1},...,a_m) = R_{result}(a_1,...,a_m)$$

Each row of A paired with each row of B

Result schema concats A and B's fields, inherit if possible

Conflict: students and reservations have sid field

Different than mathematical "X" by flattening results: math A \times B = { (a, b) | a \in A ^ b \in B } e.g., {1, 2} \times {3, 4} = { (1, 3), (1, 4), (2, 3), (2, 4) } what is {1, 2} \times {3, 4} \times {5, 6}?

Cross-Product

SI

sid	name	gpa	age
I	eugene	4	20
2	barb	3	21
3	tanya	2	88

RI

sid	rid	day
1	101	10/10
2	102	11/11

SI	X	R	1	_
----	---	---	---	---

(sid)	name	gpa	age	(sid)	rid	day
I	eugene	4	20	1	101	10/10
2	barb	3	21	1	101	10/10
3	tanya	2	88	I	101	10/10
I	eugene	4	20	2	102	11/11
2	barb	3	21	2	102	11/11
3	tanya	2	88	2	102	11/11

Rename

p(<newRelationName>(<mappings>), Q)

Explicitly defines/changes field names of schema

$$p(C(1 \rightarrow sid1, 5 \rightarrow sid2), S1 \times R1)$$

	Sidi	Haine	gpa	age	Siuz	na	uay
C =	1	eugene	4	20	I	101	10/10
	2	barb	3	21	I	101	10/10
	3	tanya	2	88	I	101	10/10
	1	eugene	4	20	2	102	11/11
	2	barb	3	21	2	102	11/11
	3	tanya	2	88	2	102	11/11

Project
$$\pi($$
 $) =$ $Select$ $\sigma($ $) =$ $Cross product$ $X =$ $Difference$ $=$ $Union$ $U =$ $Intersect$ \cap $=$ $=$ $Intersect$

Compound/Convenience Operators

INTERSECT (∩)

JOIN (⋈)

DIVIDE (/)

$$A \cap B = R_{result}$$

A, B must be union-compatible

SI

sid	name	gpa	age
I	eugene	4	20
2	barb	3	21
3	tanya	2	88

S2

sid	name	gpa	age
4	aziz	3.2	21
2	barb	3	21
3	tanya	2	88
5	rusty	3.5	21

SI∩S2 =

sid	name	gpa	age
2	barb	3	21
3	tanya	2	88

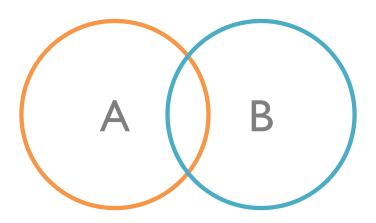
$$A \cap B = R_{result}$$

A, B must be union-compatible

Can we express using core operators?

$$A \cap B = ?$$

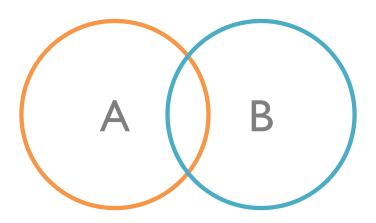
$$A \cap B = R_{result}$$



Can we express using core operators?

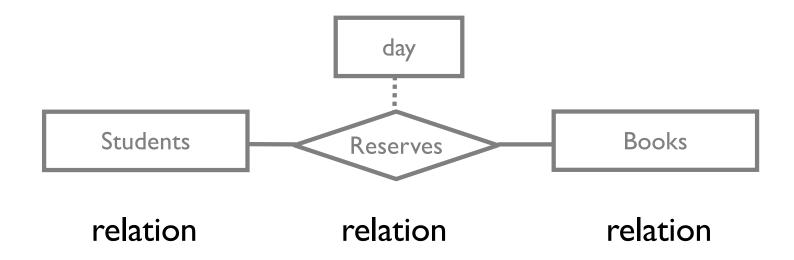
 $A \cap B = A - ?$ (think venn diagram)

$$A \cap B = R_{result}$$



Can we express using core operators?

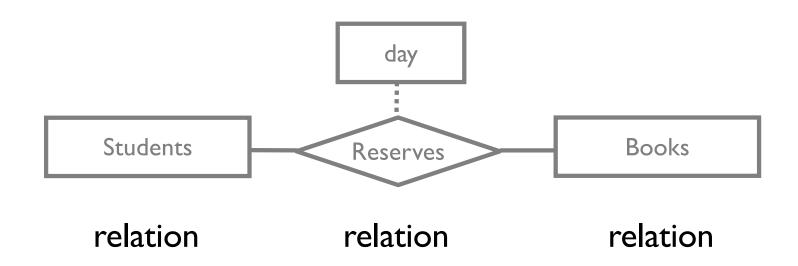
$$A \cap B = A - (A - B)$$



What if you want to query across all three tables? e.g., all names of students that reserved "The Purple Crayon"

Need to combine these tables

Cross product? But that ignores foreign key references



SI

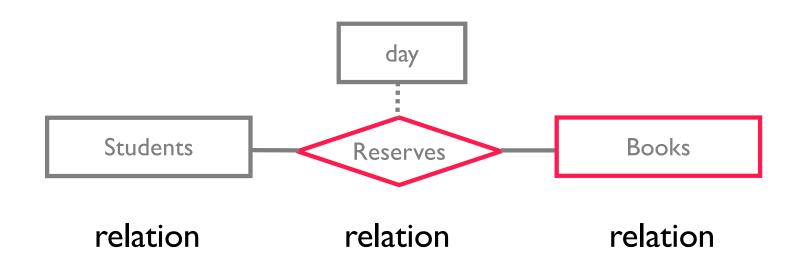
sid	name	gpa	age
I	eugene	4	20
2	barb	3	21
3	tanya	2	88

RI

sid	rid	day
I	101	10/10
2	102	11/11

BI

rid	name
101	The Purple Crayon
102	1984



SI

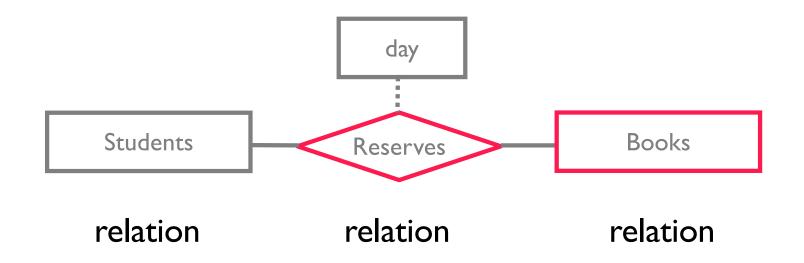
sid	name	gpa	age
1	eugene	4	20
2	barb	3	21
3	tanya	2	88

RI

sid	rid	day
I	101	10/10
2	102	11/11

BI

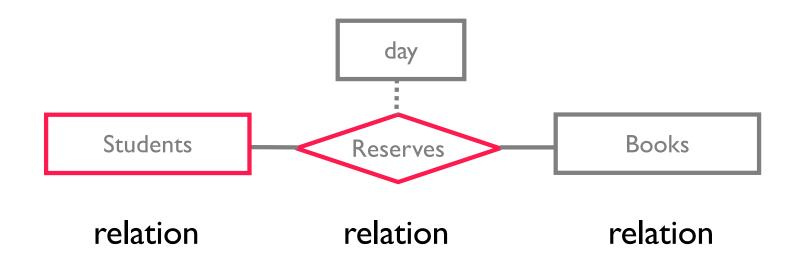
rid	name
101	The Purple Crayon
102	1984



SI RBI

sid	name	gpa	age
I	eugene	4	20
2	barb	3	21
3	tanya	2	88

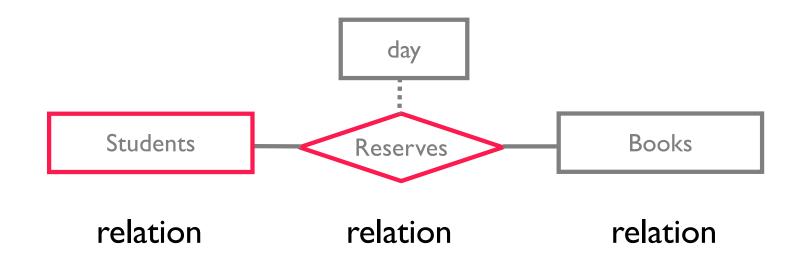
sid	(rid)	day	(rid)	name
I	101	10/10	101	The Purple Crayon
2	102	11/11	102	1984



SI RBI

sid	name	gpa	age
1	eugene	4	20
2	barb	3	21
3	tanya	2	88

sid	(rid)	day	(rid)	name
1	101	10/10	101	The Purple Crayon
2	102	11/11	102	1984



SRBI

(sid)	(name)	gpa	age	(sid)	(rid)	day	(rid)	(name)
L	eugene	4	20	1	101	10/10	101	The Purple Crayon
2	barb	3	21	2	102	11/11	102	1984

$$A \bowtie_{c} B = \sigma_{c}(A \times B)$$

Most general form

Result schema same as cross product

Often far more efficient to compute than cross product

Commutative

$$(A\bowtie_c B)\bowtie_c C = A\bowtie_c (B\bowtie_c C)$$

SI

sid	name	gpa	age
I	eugene	4	20
2	barb	3	21
3	tanya	2	88

RI

sid	rid	day
1	101	10/10
2	102	11/11

 $SI \bowtie_{SI.sid} \leq RI.sid RI =$ $\sigma_{SI.sid} \leq RI.sid (SIx R1)$

(sid)	name	gpa	age	(sid)	rid	day
1	eugene	4	20	I	101	10/10
) 2_	barb	3	21	I	101	10/10
3	tanya	2	88	I	101	10/10
ı	eugene	4	20	2	102	11/11
2	barb	3	21	2	102	11/11
3	tanya	2	88	2	102	11/11

SI

sid	name	gpa	age
I	eugene	4	20
2	barb	3	21
3	tanya	2	88

RI

sid	rid	day
1	101	10/10
2	102	11/11

 $SI \bowtie_{SI.sid} \leq RI.sid RI =$ $\sigma_{SI.sid} \leq RI.sid (SIx R1)$

(sid)	name	gpa	age	(sid)	rid	day
I	eugene	4	20	I	101	10/10
2_	barb	3	21	I	101	10/10
3	tanya	2	88	I	101	10/10
I	eugene	4	20	2	102	11/11
2	barb	3	21	2	102	11/11
3	tanya	2	88	2	102	11/11

SI

sid	name	gpa	age
I	eugene	4	20
2	barb	3	21
3	tanya	2	88

RI

sid	rid	day
1	101	10/10
2	102	11/11

$$SI\bowtie_{SI.sid} \leq RI.sid$$
 $RI = \sigma_{SI.sid} \leq RI.sid$ $(SIXR1) = \sigma_{SI.sid} \leq RI.sid$

(sid)	name	gpa	age	(sid)	rid	day
I	eugene	4	20		101	10/10
ı	eugene	4	20	2	102	11/11
2	barb	3	21	2	102	11/11

Equi-Join

$$A\bowtie_{attr} B = \pi_{all\ attrs\ except\ B.attr}(A\bowtie_{A.attr\ =\ B.attr} B)$$

Special case where the condition is attribute equality Result schema only keeps *one copy* of equality fields Natural Join (AMB):

Equijoin on all shared fields (fields w/ same name)

Equi-Join

SI

sid	name	gpa	age
I	eugene	4	20
2	barb	3	21
3	tanya	2	88

RI

sid	rid	day
1	101	10/10
2	102	11/11



sid	name	gpa	age	rid	day
1	eugene	4	20	101	10/10
2	barb	3	21	102	11/11

Equi-Join

SI

sid	name	day	gpa	age
I	eugene	10/10	4	20
2	barb	12/12	3	21
3	tanya	3/3	2	88

RI

sid	rid	day
I	101	10/10
2	102	11/11

$$SI \bowtie_{sid,name} RI = INVALID!$$
 name not in RI
 $SI \bowtie_{sid,day} RI = SI \bowtie_{sl.sid=RI.sid} ^sl.day = RI.day} RI$

sid	name	day	gpa	age	rid
1	eugene	10/10	4	20	101

$$SI \bowtie RI = SI \bowtie_{sid,day} RI$$

Division (skipped during lecture)

Let us have relations A(x, y), B(y)

$$A/B = \{ | \forall y \in B < x,y > \in A \}$$

Find all students that have reserved all books

A/B = all x (students) s.t. for every y (reservation), $\langle x,y \rangle \in A$

Good to ponder, not supported in most systems (why?)

Generalization

y can be a list of fields in B

x U y is fields in A

	A		RI	R2	R3
sid	rid		rid	rid	rid
1	I	2		2	
1	2			4	2
1	3				4
1	4				
2	I				
2	2				
3	2				
4	2				
4	4		A/RI	A/R2	A/R3

A	A	RI	R2	R3
sid	rid	rid	rid	rid
I	I	2	2	I
I	2		4	2
I	3		ı	4
I	4	sid		
2	I		-	
2	2	2	-	
3	2	3	-	
4	2	4		
4	4	A/RI	A/R2	A/R3

A	A	RI	R2	R3
sid	rid	rid	rid	rid
I	1	2	2	1
I	2		4	2
I	3			4
I	4	sid		
2	I			
2	2	2	sid	
3	2	3	<u> </u>	
4	2	4	4	
4	4	A/RI	A/R2	A/R3

	A	F	RI	R2	R3
sid	rid	1	rid	rid	rid
1	1	2		2	1
I	2			4	2
I	3				4
I	4	\$	sid		
2	I	<u> </u>			
2	2	2		sid	
3	2	3		l	sid
4	2	4		4	I
4	4	A	/RI	A/R2	A/R3

Is A/B a Fundamental Operation?

No. Shorthand like Joins

joins natively supported: ubiquitous, can be optimized

Hint: Find all xs not 'disqualified' by some y in B.

- x value is disqualified if
- I. by attaching y value from B (e.g., create $\langle x, y \rangle$)
- 2. we obtain an $\langle x,y \rangle$ that is not in A.

sid	rid
1	
I	2
I	3
I	4
2	I
2	2
3	2
4	2
4	4

B

	rid	
2		
4		

Disqualified = A/B =

sid	rid
1	1
I	2
I	3
1	4
2	I
2	2
3	2
4	2
4	4

В

	rid	
2		
4		

$$\pi_{sid}(A)$$

	sid
I	
2	
3	
4	

sid	rid
	I
I	2
I	3
I	4
2	I
2	2
3	2
4	2
4	4

B

rid
2
4
$\pi_{sid}(A)$
sid
1
2

3

4

$$\pi_{sid}(A) \times B$$

sid	rid
I	2
I	4
2	2
2	4
3	2
3	4
4	2
4	4

Disqualified =
$$(\mathbf{\pi}_{sid}(A) \times B)$$

A/R =

sid	rid
I	
I	2
I	3
I	4
2	
2	2
3	2
4	2
4	4

B

	rid
2	
4	
	/ A \

 $\mathbf{\pi}_{\text{sid}}(A) \times B$

sid	rid
1	2
I	4
2	2
2	4
3	2
3	4
4	2
4	4

 $(\mathbf{\pi}_{\text{sid}}(A) \times B) - A$

sid	rid
2	4
3	4

Disqualified =
$$((\mathbf{\pi}_{sid}(A) \times B) - A)$$

A/B =

Α

sid	rid
1	I
	2
I	3
I	4
2	I
2	2
3	2
4	2
4	4

B

rid
2
4
$\pi_{sid}(A)$

$\pi_{sid}(A)$
sid
1
2
3
4

 $\mathbf{\pi}_{\text{sid}}(A) \times B$

sid	rid
1	2
I	4
2	2
2	4
3	2
3	4
4	2
4	4

 $(\mathbf{\pi}_{sid}(A) \times B) - A$

sid	rid
2	4
3	4

sid I 4

A/B

Disqualified =
$$\pi_{sid}((\pi_{sid}(A) \times B) - A)$$

A/B = $\pi_{sid}(A)$ - Disqualified

Different Plans, Same Results

Semantic equivalence: results are *always* the same

Note that it is independent of the database instance!

$$\pi_{\text{name}}(\sigma_{\text{rid}=2} (R1) \bowtie SI)$$

Equivalent Queries

p(tmp1,
$$\sigma_{rid=2}$$
 (R1))
p(tmp2, tmp1 \bowtie SI)
 π_{name} (tmp2)

$$\pi_{\text{name}}(\sigma_{\text{rid}=2}(\text{R1}\bowtie\text{SI}))$$

Book(rid, type) Reserve(sid, rid) Student(sid, name)

Need to join DB books with reserve and students $\sigma_{type='db'}$ (Book)

Book(rid, type) Reserve(sid, rid) Student(sid, name)

Need to join DB books with reserve and students

 $\sigma_{type='db'}$ (Book) \bowtie Reserve

Book(rid, type) Reserve(sid, rid) Student(sid, name)

Need to join DB books with reserve and students

 $\sigma_{type='db'}$ (Book) \bowtie Reserve \bowtie Student

Book(rid, type) Reserve(sid, rid) Student(sid, name)

Need to join DB books with reserve and students

 $\pi_{\text{name}}(\sigma_{\text{type='db'}}(\text{Book}) \bowtie \text{Reserve} \bowtie \text{Student})$

Book(rid, type) Reserve(sid, rid) Student(sid, name)

Need to join DB books with reserve and students

 $\pi_{\text{name}}(\sigma_{\text{type='db'}} \text{ (Book)} \bowtie \text{Reserve} \bowtie \text{Student})$

More efficient query

 $\pi_{\text{name}}(\pi_{\text{sid}}((\pi_{\text{rid}} \sigma_{\text{type='db'}} (\text{Book})) \bowtie \text{Reserve}) \bowtie \text{Student})$

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Students that reserved DB or HCI book

- Find all DB or HCl books
- 2. Find students that reserved one of those books

p(tmp,
$$(\sigma_{type='DB' \ v \ type='HCl'})$$
 (Book))
$$\mathbf{\pi}_{name}(tmp \bowtie Reserve \bowtie Student)$$

"v" means logical OR

Alternatives define tmp using UNION (how?)

Using UNION

p(tmp1,
$$(\sigma_{type='DB'}, (Book))$$

p(dbnames, π_{name} (tmp1 \bowtie Reserve \bowtie Student))

p(tmp2,
$$(\sigma_{type='HCl'}, (Book))$$
)
p(hcinames, π_{name} (tmp2 \bowtie Reserve \bowtie Student))

dbnames UNION hcinames