Date: 2023-08-18 8:00-10:00 AM Lecturer: Nithi Rungtanapirom

Transcriber: Kittapat Ratanaphupha

Last session: introduced (pre-)sheaves and stalks (ad hoc def.)

Exercise Arapura, 3.1.15

A formal definition of stalks is based on the injective limit (direct limit/filtered colimit)

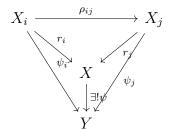
Let  $\mathcal{C}$  be a category and I be a directed set, i.e., a poset  $(I, \leq)$  such that  $\forall i, j \in I \exists k \in I : i \leq k, j \leq k$ . (e.g.  $(\mathbb{N}, \text{divisibility}))$ 

**Def** An injective system (a direct system) over I in  $\mathcal{C}$  is a pair  $((X_i)_{i \in I}, (f_{ij})_{i \leq j})$  consisting a family of objects  $X_i \in \text{Ob}(\mathcal{C})$  and a family of morphisms  $f_{ij}: X_i \to X_j$  for  $i \leq j$  such that

- $\forall i \in I : f_{ii} = \mathrm{id}_X$
- $\forall i \leq j \leq k : f_{ik} = f_{jk} \circ f_{ij}$

An injective limit (a direct limit) of an injective system  $((X_i)_{i\in I}, (f_{ij})_{i\leq j})$  is an object X together with morphisms  $r_i: X_i \to X$  for  $i \in I$  such that  $\forall i \leq j: r_i = r_j \circ f_{ij}$  which satisfies the following universal property:

For all  $Y \in \text{Ob}(\mathcal{C})$  and morphisms  $(\psi_i : X_i \to Y)_{i \in I}$  such that  $\forall i \leq j : \psi_i = \psi_j \circ f_{ij}$ , there exists a unique  $\psi : X \to Y$  such that  $\forall i : \psi \circ r_i = \psi_i$ .



Remark: the injective limit is unique up to unique isomorphism

Notation:  $\lim_{i \in I} X_i$ 

Examples: In (Sets)/(Grps)/(Rings)/(R-Mods)/...

$$\lim_{i \in I} X_i = \left(\bigsqcup_{i \in I} X_i\right) \middle/ \left((x_i \in X_i) \sim (x_j \in X_j) \iff \exists k : i, j \le k \text{ and } f_{ik}(x_i) = f_{jk}(x_j)\right)$$

If  $\mathcal{F}$  is a presheaf on a topological space X and  $a \in X_i$  then

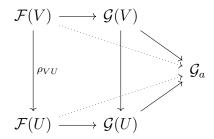
$$\mathcal{F}_a = \varinjlim_{a \in U \subset X} \mathcal{F}(U)$$

 $f_i j - \rho_{VU} : \mathcal{F}(V) \to \mathcal{F}(U) \ (V \supseteq U); \ \mathcal{F}(U) \to \mathcal{F}_a, \ s \mapsto [(U, s)].$ 

**Proposition** Let X be a topological space,  $a \in X$ . Every morphism of presheaves  $\varphi : \mathcal{F} \to \mathcal{G}$  gives rise to a map (morphism)  $\varphi_a : \mathcal{F}_a \to \mathcal{G}_a$  where  $[U, s] \mapsto [(a, \varphi_a(s))]$ .

In particular,  $\mathcal{F} \mapsto \mathcal{F})_a$  is a functor  $PSh(X) \to (Sets)$ , or also  $PAb(X) \to (Ab)$  etc.

*Proof.* Apply the universal property of  $\varinjlim \mathcal{F}(U)$  to  $(\mathcal{F}(U) \xrightarrow{\varphi_U} \mathcal{G}(U) \xrightarrow{\varphi_a} \mathcal{G}_a)$ 



This is possible sunce  $\forall U \stackrel{\circ}{\subseteq} V \stackrel{\circ}{\subseteq} X, a \in U : (r_U^{\mathcal{F}} \circ \varphi_a) \circ \rho_{VU} = r_V^{\mathcal{G}} \circ \varphi_V$ 

**Proposition** Let X be a topological space,  $\mathcal{F}, \mathcal{G}$  are sheaves in  $X, \varphi : \mathcal{F} \to \mathcal{G}$  a morphism

- 1.  $\forall U \subseteq X : \mathcal{F}(U) \to \prod_{a \in U} \mathcal{F}_a$  which  $s \mapsto (s_a)_{a \in U}$  is injective  $s_n := [(U, s)] \in \mathcal{F}_a$ .
- 2.  $(\forall U \subseteq X : \varphi_U : \mathcal{F}(U) \to \mathcal{G}(U))$  injective/bijective  $\iff$   $(\forall a \in X : \varphi_a : \mathcal{F}_a \to \mathcal{G}_a \text{ injective/bijective})$
- 3. if  $\psi: \mathcal{F} \to \mathcal{G}$  is another morphism then  $\varphi = \psi \iff \forall a \in X: \varphi_a = \psi_a$ .

Proof.

- 1. Let  $s, t \in \mathcal{F}(U)$  be such that  $\forall a \in U : s_a = t_a \implies \forall a \in U \exists V_a \subseteq U, a \in V_a : s|_{V_a} = t|_{V_a}$ . since  $\bigcup_{a \in U} V_a = U$ , we get s = t by the sheaf axiom.
- 2. Exercise
- 3. (  $\Longrightarrow$  ) Trivial, (  $\Longleftrightarrow$  ) To show:  $\forall U \subseteq X \forall s \in \mathcal{F}(U) : \varphi_U(s) = \psi_U(s) \iff \forall U \subseteq X \forall s \in \mathcal{F}(U) \forall a \in U : \varphi_U(s)_a = \psi_U(s)_a \text{ but } \varphi_U(s)_a = \varphi_a(s_a) \text{ and } \psi_U(s)_a = \psi_a(s_a)$ . Hence the claim follows.

Question: what about "surjective"?

Example. On  $X = \mathbb{C}$ , consider  $D = \frac{d}{dz} : \mathcal{O}_{\mathbb{C}} = \mathcal{O}_{\mathbb{C}}$ . This is given by  $f \mapsto f'$  for all  $U \stackrel{\circ}{\subseteq} \mathbb{C}$ . Then  $U \stackrel{\circ}{\subseteq} V \stackrel{\circ}{\subseteq} \mathbb{C}$ :

$$\mathcal{O}_{\mathbb{C}}(V) \xrightarrow{D} \mathcal{O}_{\mathbb{C}}(V) 
\downarrow^{\text{res}} \qquad \downarrow^{\text{res}} 
\mathcal{O}_{\mathbb{C}}(U) \xrightarrow{D} \mathcal{O}_{\mathbb{C}}(V)$$

Known:  $\mathcal{O}_{\mathbb{C},a} = \left\{ \sum_{n\geq 0} c_n (z-a)^n, c_n \in \mathbb{C}, \text{ positive radius of convergence} \right\}$   $\left(\frac{d}{dz}|_a : \mathcal{O}_{\mathbb{C},a} \to \mathcal{O}_{\mathbb{C},a}\right)$  is surjective.

But  $\frac{1}{z} \in \mathcal{O}_{\mathbb{C}}(\mathbb{C} \setminus \{0\})$  has no preimage in  $\mathcal{O}_{\mathbb{C}}(\mathbb{C} \setminus \{0\})$ .

Problem: the presheaf defined by  $U \mapsto \operatorname{im}(D_u : \mathcal{O}_{\mathbb{C}}(U) \to \mathcal{O}_{\mathbb{C}}(U))$  is not a sheaf!

**Proposition/Definition** let X be a topological space,  $\mathcal{F}$  be a presheaf on X. There exists a sheaf  $\mathcal{F}^{\dagger}$  and a morphism  $r: \mathcal{F} \to \mathcal{F}^{\dagger}$  with the following universal property:

For every sheaf  $\mathcal{G}$  on X and morphism  $\varphi : \mathcal{F} \to \mathcal{G}$ , there exists a morphism  $\varphi^{\dagger} : \mathcal{F}^{\dagger} \to \mathcal{G}$  such that  $\varphi^{\dagger} \circ r = \varphi$ .

$$\mathcal{F} \xrightarrow{\varphi} \mathcal{G}$$
 $r \qquad \varphi^{\dagger} \qquad \mathcal{G}$ 
 $\mathcal{F}^{\dagger}$ 

 $(F^{\dagger}, r)$  is unique up to unique isomorphism. It is called the sheafification or the associated sheaf of  $\mathcal{F}$ . Furthermore, the following properties hold:

- 1.  $\forall a \in X : r_a : \mathcal{F}_a \to (\mathcal{F}^{\dagger})_a$  is an isomorphism.
- 2. (Functoriality) for every morphism of presheaves  $\mathcal{F} \stackrel{\varphi}{\to} \mathcal{G}$ , there is a unique morphism  $\varphi^{\dagger} : \mathcal{F}^{\dagger} \to \mathcal{G}^{\dagger}$  making the following diagram commutative.

$$\begin{array}{ccc} \mathcal{F} & \stackrel{\varphi}{\longrightarrow} & \mathcal{G} & \stackrel{\psi}{\longrightarrow} & \mathcal{H} \\ \downarrow^{\varphi_{\mathcal{F}}} & & \downarrow^{\varphi_{\mathcal{G}}} & & \downarrow^{\psi_{\mathcal{H}}} \\ \mathcal{F}^{\dagger} & \stackrel{\varphi^{\dagger}}{\longrightarrow} & \mathcal{G}^{\dagger} & \stackrel{\psi^{\dagger}}{\longrightarrow} & \mathcal{H}^{\dagger} \end{array}$$

Remark.

- 1. If  $\mathcal{F}$  is already a sheaf, then  $r: \mathcal{F} \to \mathcal{F}^{\dagger}$  is an isomorphism.
- 2. Sheafification functor  $PSh(X) \to Sh(X)$  (Sh to Ab)

Universal property: for every presheaf  $\mathcal{F}$  and a sheaf  $\mathcal{G}$  on X, there is a national bijection (natural in sense of natural transformation)

$$\operatorname{Hom}_{\operatorname{Sh}(X)}(\mathcal{F}^{\dagger}, \mathcal{G}) \cong \operatorname{Hom}_{\operatorname{PSh}(X)}(\mathcal{F}, \mathcal{G})$$

The sheafification is left-adjoint to the inclusion functor.

Proof.1

 $<sup>^{1}</sup>$ feedback: the difference between sheaves and presheaves in sense of stalks.

 $U \stackrel{\circ}{\subseteq} X, \mathcal{F}^{\dagger}(U) = \{(s_a) \in \prod_{a \in U} \mathcal{F}_a : \forall a \in U \exists V_a \stackrel{\circ}{\subseteq} U, a \in V_a \exists t \in \mathcal{F}(V_a), s_b = t_b \text{ for all } b \in V_a\}$ 

- $\mathcal{F}^{\dagger}$  is a sheaf,  $r: \mathcal{F} \to \mathcal{F}^{\dagger}$  is obvious (!)
- $\forall a \in X : r_a : \mathcal{F}_a \to (\mathcal{F}^{\dagger})_a$  is bijective.

*Proof.* The inverse map  $(\mathcal{F}^{\dagger})_a \to \mathcal{F}_a$  is given by  $\mathcal{F}^{\dagger}(U) \to \mathcal{F}_a$ ,  $(s_b)_{b \in U} = s_a$  for  $U \subseteq X$  such that  $a \in U$  + universal property of  $\varinjlim_{a \in U \subseteq X} \mathcal{F}^{\dagger}(X)$ 

• (ii) is obvious by this construction of  $\mathcal{F}^{\dagger}$ .

Still to show: the universal property.

 $\varphi: \mathcal{F} \to \mathcal{G}$  be a morphism,  $\mathcal{G}$  be a sheaf.

by (ii), there is a unique morphism of sheaves  $\varphi^{\dagger}: \mathcal{F}^{\dagger} \to \mathcal{G}^{\dagger}$  such that  $\varphi^{\dagger} \circ r_{\mathcal{F}} = r_{\mathcal{G}} \circ \varphi$ .

But  $\mathcal{G}$  is a sheaf, it implies that  $\mathcal{G}$  can be identified with  $\mathcal{G}^{\dagger}$  under  $r_{\mathcal{G}}$ , i.e.,  $\varphi^{\dagger} \circ r_{\mathcal{F}} = \varphi.\square$ 

The proof of the proposition. Obvious:  $\operatorname{im}(\varphi)^{\mathcal{F}}$  is a subpresheaf of  $\mathcal{G}$ .

The inclusion morphism:  $\operatorname{im}(\varphi)^{\mathcal{F}} \hookrightarrow \mathcal{G}$ .

Morphism:  $im(\varphi) \to \mathcal{G}$ .

Here,  $\forall U \subseteq X : \operatorname{im}(\varphi)(U) \to \mathcal{G}(U)$  is injective since it is injective on stalks.

 $im(\varphi)$  can be identified with a subsheaf of  $\mathcal{G}$ .

Furthermore,  $im(\varphi) = \mathcal{G}$ 

 $\iff \forall U \subseteq X \forall t \in \mathcal{G}(U) \forall a \in U \exists V_a \subseteq U, a \in V_a \exists \mathcal{F} \in \operatorname{im}(\varphi_{V_a}) : t_b = \mathcal{F}_b \text{ for all } b \in V_a(\operatorname{since} \exists \mathcal{F} \dots, \iff \exists s \in \mathcal{F}(V_a) : \varphi_{V_a}(s) = t|_{V_a}).$   $\iff \forall a \in X, \forall \hat{t} = [(U, t)] \in \mathcal{G}, \exists \hat{s} = [(V, s)] \in \mathcal{F}_a : \varphi_a(\hat{s}) = \hat{t}$ 

*Remark.* It also follows that  $(\forall a \in X : \varphi_a \text{ surjective}) \iff$ 

 $\forall U \subseteq X \forall t \in \mathcal{G}(U) \exists$  open covering  $\{U_i\}_{i \in I}$  of  $U \exists s_i \in \mathcal{F}(U_i) : t|_{U_i} = \varphi_{U_i}(s_i)$  for all  $i \in I$ 

Example. sheafification of a presheaf of functions (see the section 1).

Question: Given a continuous map  $f: X \to Y$ . Can we construct a sheaf on Y from one on X or vice versa?

**Definition** Let  $f: X \to Y$  be a continuous map and  $\mathcal{F}$  be a presheaf on X. The direct image of  $\mathcal{F}$  under f is a presheaf  $f_*\mathcal{F}$  on Y given by

$$(f_*\mathcal{F})(U) := \mathcal{F}(f^{-1}(U))$$

for all  $U \stackrel{\circ}{\subseteq} Y$ . Here the restriction morphism for  $f_* \mathcal{F}$  is obtained directly from  $\mathcal{F}$ .  $U \stackrel{\circ}{\subseteq} V$ :

$$f_*\mathcal{F}(V) \stackrel{=}{\longrightarrow} \mathcal{F}(f^{-1}(V))$$

$$\downarrow \qquad \qquad \downarrow$$

$$f_*\mathcal{F}(U) \stackrel{=}{\longrightarrow} \mathcal{F}(f^{-1}(U))$$

*Exercise*. Show that fi  $\mathcal{F}$  is a sheaf, then so is  $f_*\mathcal{F}$ .