

## **Processes**

## **Processes - Linux**

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#### **Processes**

Several processes are started at bootstrap

> Automatic

Started at bootstrap, terminated at shut-down. Execute support activities.

- Daemon Processes eg admin
- E-mail applications
- Various control activities, virus scan and others
- · ...

#### On user request

- Line printer management
- WEB server
- · ...

#### **Processes**

- Process identity and process control
  - ID & system calls: pid, getpid, getppid, etc.
- Process creation
  - The creating process is called the parent process, the process created is called the child process
  - It is possible to create a process tree.
  - System calls: fork, exec, system, etc.
- Process synchronization and termination
  - System calls: wait, waitpid, exit.

### **Process identifier**

- Every process has a unique identifier
  - > PID o Process Identifier
- The PID is a non negative integer
  - Although a PID is unique, UNIX reuses the numbers of terminated processes.
  - ➤ PID can be used by concurrent processes for creating unique objects, or temporary filenames
    - For example :
       sprintf(filename, "file-%d", getpid());
      creates a different process-dependent filename

### **Process identification**

- ❖ The first process, PID=0, is a system process
  - The **swapper**, which is responsible for memory management and process scheduling
- The second process, PID=1, is init a daemon executed at user level, but with super-user privileges
  - Becomes the parent of each orphan process, i.e., of a child of a parent process already terminated

Operating Systems

### **Process identification**

```
#include <unistd.h>
pid_t getpid(); // Process ID
pid_t getppid(); // Parent Process ID
```

Other identifiers related to a process

getppid returns the identifier of the parent process

```
pid_t getppid(); // Parent Process ID
```

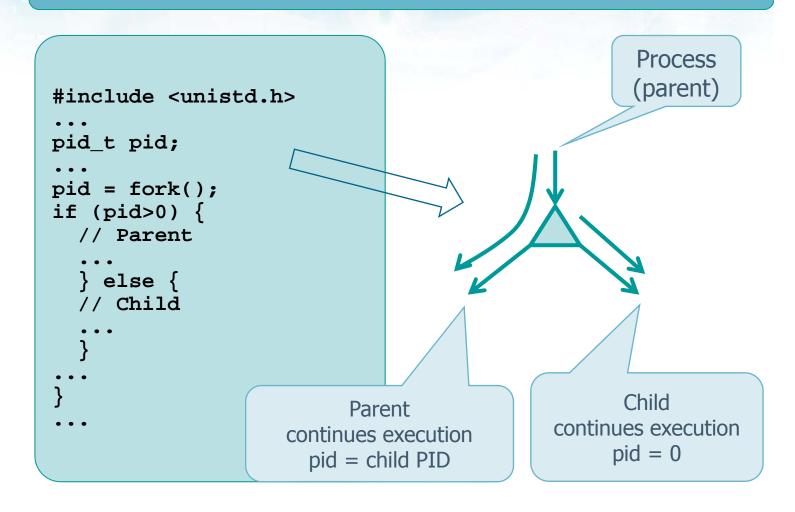
- System call fork() creates a new child process
  - > The child is a copy of the parent excluding the Process ID (PID) returned by fork
    - The parent process receives the child PID
      - A process may have more than one child that can identify on the basis of its PID
    - The child process receives the value 0
      - It can identify its parent by means of the system call getppid
  - Fork is issued once in the parent process, but returns in two different processes, and returns different values to the parent, and to the child.

```
#include <unistd.h>
pid_t fork (void);
```

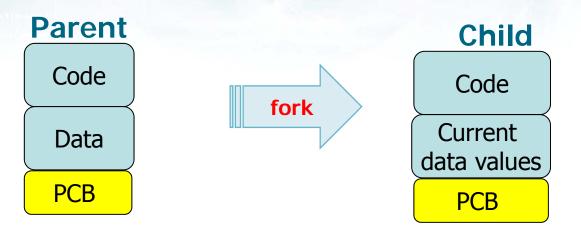
#### Returned values

- > If fork returns without error
  - Child PID in the parent process
  - Zero in the child process
- > Fork returns -1 in case of error
  - Normally because a limit on the number of allowed process has been reached

```
Process
#include <unistd.h>
                                                    (parent)
pid_t pid;
pid = fork();
switch (pid) {
  case -1:
    // Fork failure
    exit (1);
  case 0:
    // Child
  default:
    // Parent
                                                   Child
                          Parent
                                             continues execution
                     continues execution
                                                  pid = 0
                       pid = child PID
```



## Address space



- Parent and child share their code, but they have a different PCB
  cp the stack pointer
- > They also share the value of the data at the time of fork.
- Parent and child may then change the data values independently

- Write a concurrent C program that allows
  - > Creating a child process
  - ➤ Terminating the parent process before the child process, or viceversa
- Output in both cases the Process Identifier of the terminating process and the Process Identifier of its parent.
  - Who is the parent's parent? bash
  - Who is the child's parent if the parent terminates before the child?

pid 1

```
tC = atoi (argv[1]);
                                   tF = atoi (argv[2]);
#include <unistd.h>
printf ("Main :
                                   ");
printf ("PID=%d; My parent PID=%d\n",
  getpid(), getppid());
pid = fork();
                                                  Child
if (pid == 0){
  sleep (tC);
  printf ("Child : PIDreturned=%d ", pid);
  printf ("PID=%d; My parent PID=%d\n",
    getpid(), getppid());
                                                  Parent
} else {
  sleep (tF);
  printf ("Parent: PIDreturned=%d ", pid);
  printf ("PID=%d; My parent PID=%d\n",
    getpid(), getppid());
```

> ps

PID TTY TIME CMD

2088 pts/10 00:00:00 bash

2760 pts/10 00:00:00 ps

Shell status (ps: prints process status)

Child waits 2 secs Parent waits 5 secs

> ./u04s01e03-fork 2 5

Main : PID=2813; My parent PID=2088

Child: PIDreturned=0 PID=2814; My parent PID=2813

Parent: PIDreturned=2814 PID=2813; My parent PID=2088

zombie

Notice increasing PID values

Child waits 5 secs parent waits 2 secs

> ./u04s01e03-fork 5 2

Main : PID=2815; My parent PID=2088

Parent: PIDreturned=2816 PID=2815; My parent PID=2088

> Child: PIDreturned=0 PID=2816; My parent PID=1

opha

init process PID

### **Exercise**

- Given the following program, draw its
  - Control Flow Graph, CFG
  - Process generation graph

```
int main () {
  /* fork a child process */
  fork();

  /* fork another child process */
  fork();

  /* fork a last one */
  fork();
}
```

```
Control Flow Graph
int main () {
                                                                         (CFG)
  fork (); // 1
  fork (); // 2
  fork (); // 3
                                                                             \mathbf{F}_{11}
                                                                    F<sub>12</sub> F<sub>11</sub>
                                                      \mathbf{F}_{21} \mathbf{F}_{1}
```

```
Process generation tree
int main () {
 fork (); // 1
 fork (); // 2
 fork (); // 3
                                          F2
```

## **Exercise**

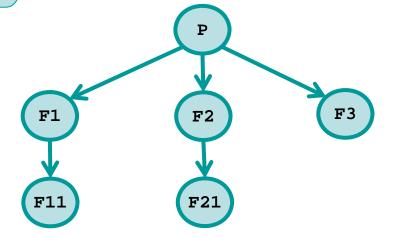
- Given the following program, draw its
  - Control Flow Graph, CFG
  - > Process generation graph

```
pid = fork (); /* call #1 */
if (pid != 0)
  fork (); /* call #2 */
fork (); /* call #3 */
```

Process generation tree

Control Flow Graph (CFG)

• • •



## **Exercise**

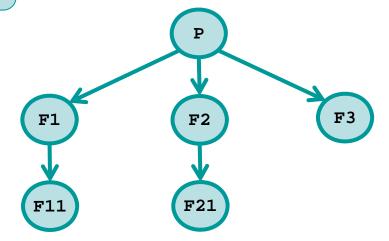
- Given the following program, draw its
  - Control Flow Graph, CFG
  - > Process generation graph

```
pid = fork() /* call #1 */
fork();    /* call #2 */
if (pid != 0)
    fork();    /* call #3 */
```

Process generation tree

Control Flow Graph (CFG)

. . .



## **Exercise**

- Given the following program, draw its
  - Control Flow Graph, CFG
  - > Process generation graph

```
#include <stdio.h>
int main () {
  int i:
  for (i=0; i<2; i++) {
    printf("i: %d \n", i);
    if (fork()) /* call #1 */
    fork(); /* call #2 */
  }
}</pre>
```

```
for (i=0; i<2; i++) {
  printf("i: %d \n", i);
  if (fork()) // 1
  fork(); // 2
}

Possible output: 0 1 1 1

Control Flow Graph
  (CFG)</pre>
F1
F2
F3
F4
```

## **Exercise**

- Write a concurrent program that, given n as its argument, generates n children processes
- Each child process outputs its PID and terminates

```
int i, n;

scanf ("%d", &n);
for (i=0; i<n; i++) {
  fork();
  printf ("Proc %d (PID=%d)\n",
      i, getpid());
}

exit (0);</pre>
```

#### **Erroneous solution 1**

```
int i, n;

scanf ("%d", &n);
for (i=0; i<n; i++) {
  fork();
  printf ("Proc %d (PID=%d)\n",
      i, getpid());
}

exit (0);</pre>
```

Possible output with n=3

```
Proc 0 (PI D=3188)
Proc 1 (PI D=3188)
Proc 2 (PI D=3188)
Proc 2 (PI D=3191)
Proc 1 (PI D=3190)
Proc 2 (PI D=3190)
Proc 0 (PI D=3189)
Proc 1 (PI D=3189)
Proc 2 (PI D=3189)
Proc 2 (PI D=3192)
Proc 2 (PI D=3192)
Proc 2 (PI D=3193)
Proc 2 (PI D=3193)
Proc 2 (PI D=3193)
Proc 2 (PI D=3195)
```

## **Erroneous solution 2**

```
int i, n;
                                                  Process tree with
                                                       n=3
    scanf ("%d", &n);
    for (i=0; i<n; i++) {
      if (fork() > 0);
        printf ("Proc %d (PID=%d)\n",
                  i, getpid());
    exit (0);
                                                     F2
                                         F1
        Generates 7 children processes
子进程进入下一个for
                                   F11
                                             F12
                                                     F21
                                   F111
```

```
int i, n;
scanf ("%d", &n);
printf ("Start PID=%d\n",
  getpid());
for(i=0; i<n; i++) {
  if (fork() == 0) {
    printf ("Proc %d (PID=%d)\n",
      i, getpid());
    break;
printf ("End PID=%d (PPID=%d)\n",
   getpid(), getppid());
exit(0);
```

```
> ps

PID TTY TIME CMD

088 pts/10 00:00:00 bash

> ./u04s01e06-fork

Star PID=3225

End PID=3225 (PPID=2088)

Proc 2 (PID=3228)

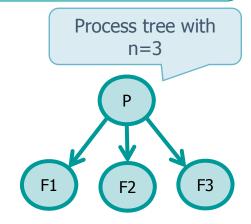
End PID=3228 (PPID=1314)

Proc 1 (PID=3227)

End PID=3227 (PPID=1314)

Proc 0 (PID=3226)

End PID=3226 (PPID=1314)
```



#### 把子进程break掉

公用一个父进程

#### Resources

- The child process is a new entry in the Process Table
- The process resources can be
  - Completely shared among parent and children processes
    - Same address space
  - Partially shared
    - Address spaces partially overlapped
  - > Non shared
    - Separate address spaces

#### Resources

- In UNIX/Linux parent and child share
  - > The source code
  - > UID, GID, etc.
  - > The open file descriptors (File Description Table)
    - In particular, stdin, stdout, and stderr
      - Concurrent I/O operation implies producing interlaced I/O
  - > The root and the working directory
  - > System resources and their utilization limits
  - > Signal Table
  - > Etc.



- In UNIX/Linux parent and child have different
  - > return fork value
  - > PID
    - The parent keeps its PID
    - The child gets a new PID
  - Data, heap and stack space
    - The initial value of the variables is inherited, but the spaces are completely separated
    - copy-on-write technique is used by the OS
      - New memory is allocated only when one of the processes changes the content of a variable

```
char c, str[10];

c = 'X';
if (fork()) {
    // parent (!=0)
    c = 'F';
    strcpy (str, "parent");
    sleep (5);
} else {
    // child (==0)
    strcpy (str, "child");
}

fprintf(stdout, "PID=%d; PPID=%d; c=%c; str=%s\n",
    getpid(), getppid(), c, str);
```

PID=2777; PPID=2776; c=X; str=child PID=2776; PPID=2446; c=F; str=parent Output

char str 都被分离

### **Process termination**

- Five standard methods for process termination
  - > return from main main return 0; because bash 0 means true
  - > exit system call for termination in function
  - > \_exit Or \_Exit
    - Synonyms defined in ISO C or POSIX
    - Similar effects of exit, but different management of stdio flushing etc.
  - > return from main of the last process thread
  - pthread\_exit from the last process thread

## **Process termination**

- Three not-normal method for process termination
  - ➤ If a termination signal, or a signal not caught is received
  - > abort
    - Generates the signal sigabort
  - > If the last thread of a process is cancelled

# System call wait () and waitpid ()

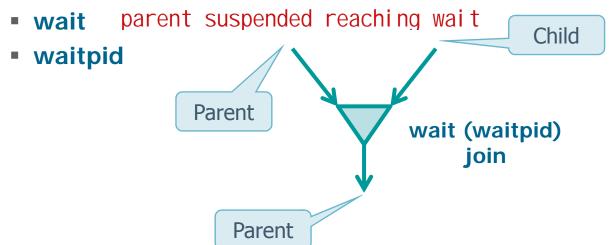
When a process terminates (normally or not)

one of its child is terminated

- > The kernel sends a signal (SIGCHLD) to its parent
- > For the parent this is an asynchronous event
- > The parent process may
  - Manage the child termination (and/or the signal)
  - Ignore the event (default)

# System call wait () and waitpid ()

- A parent process can manage child termination
  - > By means of system calls



- Using a signal handler for signal SIGCHLD
  - This approach will be introduced in the section devoted to signals

## System call wait ()

```
#include <sys/wait.h>
pid_t wait (int *statLoc); int *status
```

- Blocks the calling process if all its children are running (none is already terminated)
  - wait will return as soon as one of its children terminates
- Returns an error if the calling process has not children.

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## System call wait ()

wait(0)

```
#include <sys/wait.h>
pid_t wait (int *statLoc);
wait 返回的另一个参数,子进程的状态信息
```

- Returns the exit status of a child
  - ➤ If a child process terminates, and the parent process does not call wait, the child exit status remains pending in the kernel Process Control Block

# System call wait ()

```
#include <sys/wait.h>
pid_t wait (int *statLoc);
```

The statLoc parameter

Exit status of the child process

- > Is an integer pointer
  - If not NULL collects the exit value of the child
- > The status information are
  - Implementation dependent
  - Recovered using macros in <sys/wait.h> (WIFEXITED, WIFSIGNALED, etc.)

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# System call wait ()

```
#include <sys/wait.h>
pid_t wait (int *statLoc);
```

- Returns
  - > The PID of a terminated child

# Example

```
pid_t pid, childPid;
int statVal;
pid = fork();
switch (pid) {
  case -1:
    printf ("Fork failed\n"); exit(1);
  case 0:
    // Child
    sleep (10);
  default:
    // Parent
    sleep (05);
```

## **Example**

```
if (pid != 0) {
 // Padre
 childPid = wait (&statVal);
blocking for 5 sec
 printf("Child terminated: PID = %d\n", childPid);
 if (WIFEXITED(statVal))
   // WIFEXITED: True if correctly terminated
   // WEXITSTATUS: Takes the 8 returned LSBs (exit)
   printf ("Exit value: %d\n",
      WEXITSTATUS (statVal));
   else
     printf ("Abnormal termination\n");
 exit(code);
```

### **Zombie processes**

- A child process terminated, whose parent is running, but has not et executed wait is in the zombie state
  - ➤ The data segment of the process remains in the process table because the parent could need the child exit status
  - ➤ The child entry is removed only when the parent executes wait
    - Many zombie processes may remain in the system if one or more parents do not execute their wait system call.

### **Zombie processes**

❖ It the parent process terminates (without executing wait, and the child is still running, the latter is inherited by init the process (PID=1). The child does not become zombie because the system knows that no one is waiting for its exit status.

## System call waitpid ()

- If a parent needs to wait a specific child it is better to use waitpid, which
  - > suspends execution of the calling process until a child, specified by *pid* argument, has changed state. By default, **waitpid()** waits only for terminated children.

## System call waitpid ()

```
#include <sys/wait.h>
pid_t waitpid (
  pid_t pid,
  int *statLoc,
  int options);
```

- The parameter pid allows waiting for
  - $\rightarrow$  Any child (waitpid==wait) (pid = -1)
  - $\rightarrow$  The child whose PID=pid if >0 (pid >0)

typically

- Any child whose GID is equal to that of the calling process (pid = 0)
- ➤ Any child whose GID=abs(pid) (pid < -1)

## System call waitpid ()

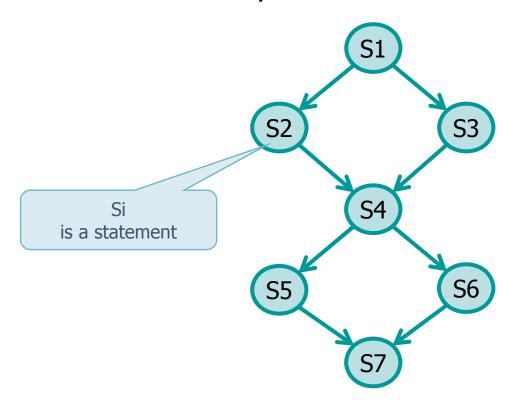
```
#include <sys/wait.h>

pid_t waitpid (
  pid_t pid,
  int *statLoc,
  int options);
```

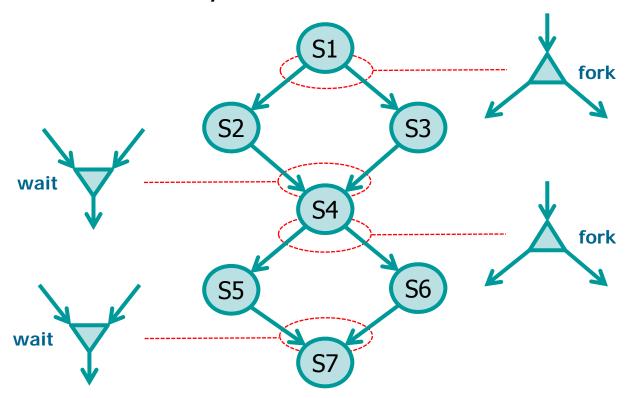
- The options parameter allow additional controls
  - ➤ Default is 0, or is a bitwise OR of constants
    - wnohang, if the child specified by PID is running, the caller does not bock (not blocking version of wait)
    - wcontinued, etc.

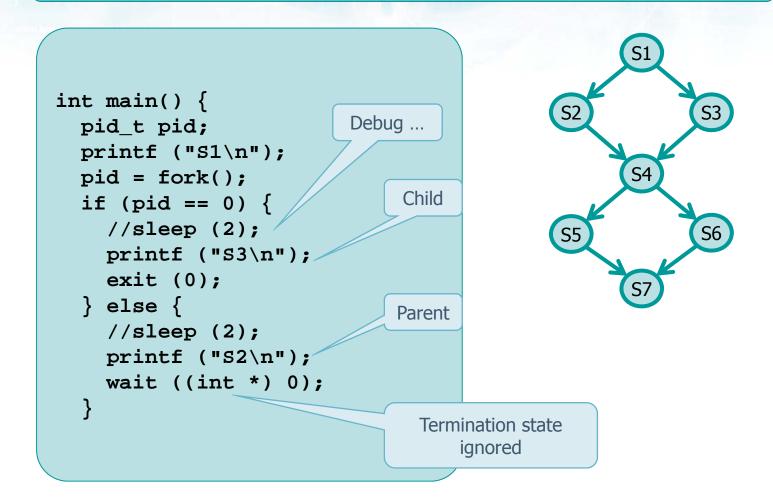
procedence graph

Implement this Control Flow Graph (CFG) by means of the system calls fork and wait

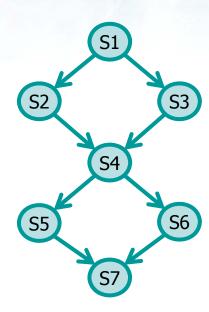


Implement this Control Flow Graph (CFG) by means of the system calls fork and wait

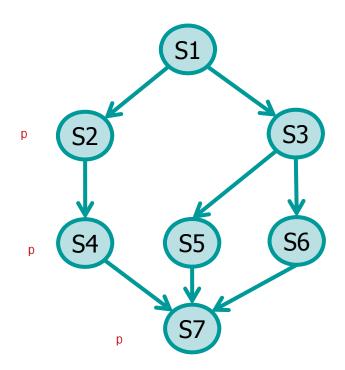




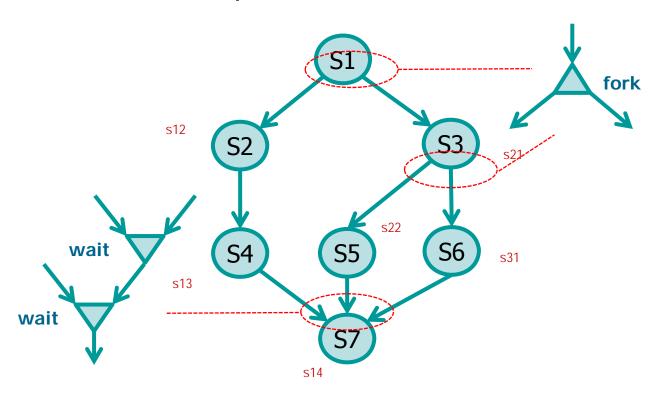
```
printf ("S4\n");
pid = fork();
if (pid == 0) {
  //sleep (2);
 printf ("S6\n");
  exit (0);
} else {
  //sleep (2);
 printf ("S5\n");
  wait ((int *) 0);
printf ("S7\n");
return (0);
```



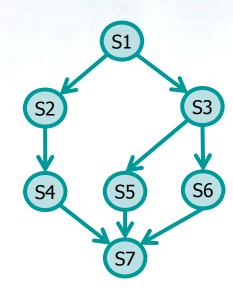
Implement this Control Flow Graph (CFG) by means of the system calls fork and wait



Implement this Control Flow Graph (CFG) by means of the system calls fork and wait

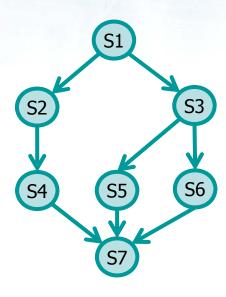


```
int main () {
 pid_t pid;
 printf ("S1\n");
 if ( (pid = fork())==-1 )
   err_sys( "can't fork" );
 if ( pid == 0 ){
   P356();
  } else {
   printf ("S2\n");
   printf ("S4\n");
   while (wait((int *)0)!= pid);
   printf ("S7\n");
   exit (0);
 return (1);
```



wait until recieved

```
P356() {
 pid_t pid;
 printf ("S3\n");
  if ( ( pid = fork() ) == -1 )
    err_sys( "can't fork" );
  if (pid > 0 ){
   printf ("S5\n");
   while (wait((int *)0)!=pid );
  } else {
   printf ("S6\n");
    exit (0);
  exit (0);
```



#### Write a program that

- > Takes as argument an integer value n
- Allocates dynamically an integer vector of dimension n
- > Fills the vector with values reads from the terminal
- Displays the vector content, from the last to the first element, using n-1 processes, each displaying a single element of the vector

#### > Hint

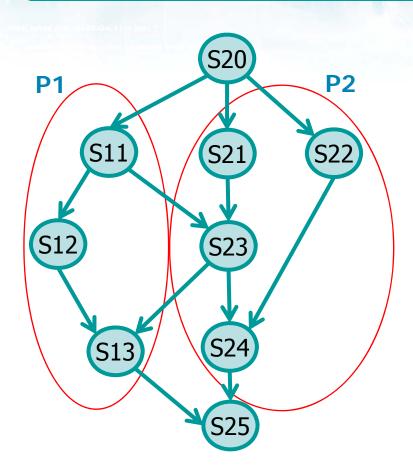
 Synchronize the processes by means of wait system calls

```
int main(int argc, char *argv[]) {
 int i, n, *vet;
 int retValue;
 pid t pid;
 n = atoi (argv[1]);
 vet = (int *) malloc (n * sizeof (int));
 if (vet==NULL) {
   fprintf (stderr, "Allocation Error.\n");
   exit (1);
 fprintf (stdout, "Input:\n");
 for (i=0; i<n; i++) {
   fprintf (stdout, "vet[%d]:", i);
   scanf ("%d", &vet[i]);
```

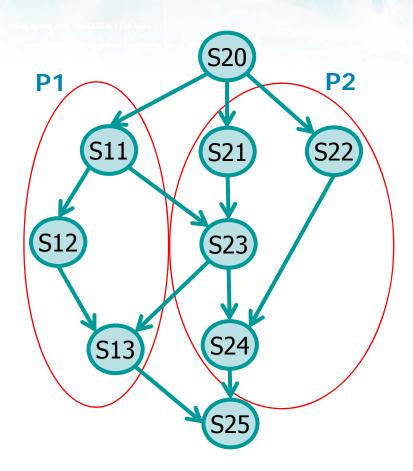
```
fprintf (stdout, "Output:\n");
for (i=0; i<n-1; i++) {
 pid = fork();
  if (pid>0) {
   pid = wait (&retValue);
   break;
  fprintf (stdout, "Run PID=%d\n", getpid());
fprintf (stdout, "vet[%d]:%d - ", i, vet[i]);
fprintf (stdout, "End PID=%d\n", getpid());
exit (0);
```

Implement this Control Flow Graph (CFG) by means of the system calls fork and wait

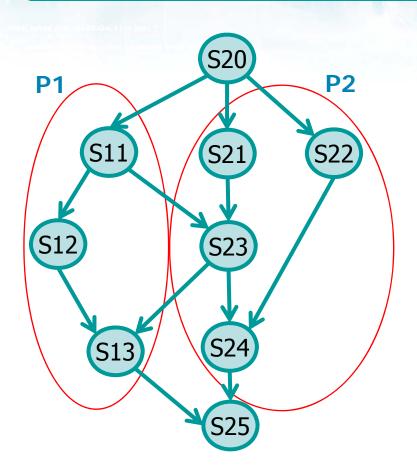
S20 S11 wait then p terminated S23 S12 S13



```
main () {
  S20 ();
  pid = fork ();
  if (pid>0) {
    P1 ();
    wait ((int *)0);
  } else {
    P2 ();
  S25 ();
  return;
```



```
P1() {
  S11 ();
 pid = fork ();
  if (pid>0) {
    S12 ();
    wait((int *)0);
  } else {
    ??? To P2 ???;
    exit(0);
  S13 ();
```



```
P2() {
  pid = fork ();
  if (pid>0) {
    S21 ();
    ??? From S1 ???;
    S23 ();
    wait((int *)0);
  } else {
    S22 ();
    exit(0);
  S24 ();
  exit (0);
```

#### **Unfeasible**

```
graph
P1() {
                                P2() {
  S11 ();
                                  pid = fork ();
  pid = fork ();
                                   if (pid>0) {
  if (pid>0) {
                                     S21 ();
    S12 ();
                                     ??? From S1 ???;
    wait((int *)0);
                                     s23 ();
  } else {
                                     wait((int *)0);
    ??? To P2 ??//; (S11)
                                     else {
    exit(0);
                                     S22 ();
                                     exit(0);
  s13 ();
                                   S24 ();
                                   exit (0);
```