

1. (a) We concatenate the codewords $\psi(f) = 0010$, $\psi(a) = 11$, $\psi(d) = 10$, $\psi(e) = 0011$, and $\psi(d) = 10$ to get the encoded message 00101110001110.
- (b) We read the encoded message from left to right, inserting a comma every time we have read a complete codeword 0001, 11, 0010, 0011. Since $0001 = \psi(c)$, $11 = \psi(a)$, $0010 = \psi(f)$, and $0011 = \psi(e)$, this decodes to *cafe*.
- (c) There are many sets of frequencies that work. A very simple one is to assign probability 2^{-n} to every letter with codeword length n , but I will use a slightly different set of frequencies which will be more useful in part (d).

Let us set $p_a = p_d = 0.3$, $p_b = 0.2$, $p_c = p_g = 0.04$, and $p_e = p_f = 0.06$.

We start the construction of the Huffman tree with a set of isolated vertices. In each step of the construction of the Huffman tree, we take the two letters q_1 , q_2 with the lowest frequencies, replace them by a new letter r which has frequency $p_r = p_{q_1} + p_{q_2}$, and attach nodes labelled q_1 and q_2 .

The step-by-step construction looks as follows:

	alphabet + frequencies	current forest
replace c and g by x_1	$\begin{array}{cccccc} a & b & d & e & f & x_1 \\ 0.3 & 0.2 & 0.3 & 0.06 & 0.06 & 0.08 \end{array}$	$\begin{array}{cccccc} a & b & d & e & f & x_1 \\ & & & & & / \backslash \\ & & & & & c & g \end{array}$
replace e and f by x_2	$\begin{array}{cccccc} a & b & d & x_2 & x_1 \\ 0.3 & 0.2 & 0.3 & 0.12 & 0.08 \end{array}$	$\begin{array}{cccccc} a & b & d & x_2 & x_1 \\ & & & / \backslash & / \backslash \\ & & & e & f & c & g \end{array}$
replace x_1 and x_2 by x_3	$\begin{array}{cccc} a & b & d & x_3 \\ 0.3 & 0.2 & 0.3 & 0.2 \end{array}$	$\begin{array}{cccc} a & b & d & x_3 \\ & & & / \backslash \\ & & & x_2 & x_1 \\ & & & / \backslash & / \backslash \\ & & & e & f & c & g \end{array}$
replace b and x_3 by x_4	$\begin{array}{ccc} a & d & x_4 \\ 0.3 & 0.3 & 0.4 \end{array}$	$\begin{array}{ccc} a & d & x_4 \\ & & / \backslash \\ & & b & x_3 \\ & & / \backslash \\ & & x_2 & x_1 \\ & & / \backslash & / \backslash \\ & & e & f & c & g \end{array}$
replace a and d by x_5	$\begin{array}{cc} x_5 & x_4 \\ 0.6 & 0.4 \end{array}$	$\begin{array}{cc} x_5 & x_4 \\ / \backslash & / \backslash \\ a & d & b & x_3 \\ & & / \backslash & / \backslash \\ & & x_2 & x_1 \\ & & / \backslash & / \backslash \\ & & e & f & c & g \end{array}$
replace x_4 and x_5 by x_6	$\begin{array}{c} x_6 \\ 1 \end{array}$	$\begin{array}{c} x_6 \\ / \backslash \\ x_5 & x_4 \\ / \backslash & / \backslash \\ a & d & b & x_3 \\ & & / \backslash & / \backslash \\ & & x_2 & x_1 \\ & & / \backslash & / \backslash \\ & & e & f & c & g \end{array}$

This tree corresponds to the given code with the convention that left child means 1, and right child means 0 in the codeword.

- (d) Consider the frequencies $p_a = p_d = 0.3$, $p_b = 0.2$, $p_c = p_e = 0.04$, and $p_f = p_g = 0.06$. Constructing the Huffman code with these frequencies leads to the same codeword lengths as in part (b) because we

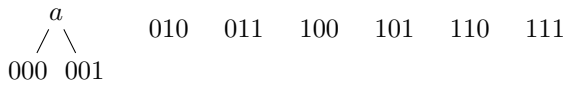
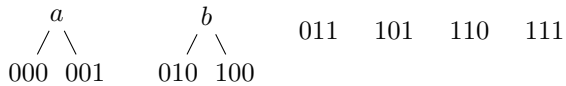
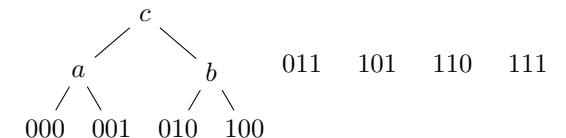
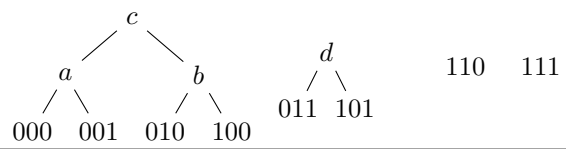
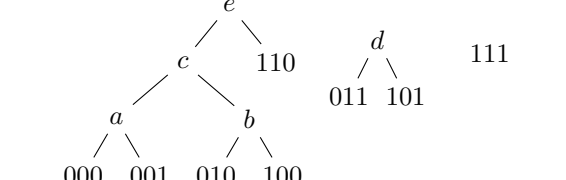
only swapped the frequencies of the letters e and g whose codewords had the same length. Hence the codeword lengths of the given code ψ are the same as in a Huffman code with these letter frequencies, so ψ is optimal.

To see that ψ is not a Huffman code, recall that in a Huffman code the lowest frequency letters are always siblings. The letters with the lowest frequencies are c and e , but they are not siblings in the tree corresponding to the code ψ because their codewords differ before the last bit.

2. (a) Since the individual bits are independent, the probability of a codeword is simply the product of the probabilities of the individual bits. This gives

$$\begin{aligned} p_{000} &= \frac{1}{4} \cdot \frac{1}{4} \cdot \frac{1}{4} = \frac{1}{64} & p_{100} &= \frac{3}{4} \cdot \frac{1}{4} \cdot \frac{1}{4} = \frac{3}{64} \\ p_{001} &= \frac{1}{4} \cdot \frac{1}{4} \cdot \frac{3}{4} = \frac{3}{64} & p_{101} &= \frac{3}{4} \cdot \frac{1}{4} \cdot \frac{3}{4} = \frac{9}{64} \\ p_{010} &= \frac{1}{4} \cdot \frac{3}{4} \cdot \frac{1}{4} = \frac{3}{64} & p_{110} &= \frac{3}{4} \cdot \frac{3}{4} \cdot \frac{1}{4} = \frac{9}{64} \\ p_{011} &= \frac{1}{4} \cdot \frac{3}{4} \cdot \frac{3}{4} = \frac{9}{64} & p_{111} &= \frac{3}{4} \cdot \frac{3}{4} \cdot \frac{3}{4} = \frac{27}{64} \end{aligned}$$

- (b) As in problem 1(c), we inductively construct the Huffman tree:

	letter frequencies	current forest
replace 000 and 001 by a	a 010 011 100 $4/64$ $3/64$ $9/64$ $3/64$ 101 110 111 $9/64$ $9/64$ $27/64$	
replace 010 and 100 by b	a b 011 $4/64$ $6/64$ $9/64$ 101 110 111 $9/64$ $9/64$ $27/64$	
replace a and b by c	c 011 $10/64$ $9/64$ 101 110 111 $9/64$ $9/64$ $27/64$	
replace 011 and 101 by d	c d $10/64$ $18/64$ 110 111 $9/64$ $27/64$	
replace c and 110 by e	e d 111 $19/64$ $18/64$ $27/64$	

replace d and e by f	f 111 37/64 27/64	
replace f and 111 by g	g 1	

With the convention that left child means 1, and right child means 0 in the codeword this gives the following code:

$$\begin{array}{llll}
 \psi(000) = 11111 & \psi(001) = 11110 & \psi(010) = 11101 & \psi(011) = 101 \\
 \psi(100) = 11100 & \psi(101) = 100 & \psi(110) = 110 & \psi(111) = 0
 \end{array}$$

(c) The average codeword length is given by

$$\sum_{x \in \mathbb{Z}_2^3} p_x \cdot \ell(\psi(x)) = \frac{1}{64} \cdot 5 + \frac{3}{64} \cdot 5 + \frac{3}{64} \cdot 5 + \frac{9}{64} \cdot 3 + \frac{3}{64} \cdot 5 + \frac{9}{64} \cdot 3 + \frac{9}{64} \cdot 3 + \frac{27}{64} \cdot 1 = \frac{79}{32} \approx 2.469$$

Compared to the original encoding where every codeword uses 3 bits, on average we save $\frac{17}{32}$ bits per code word (this is $\approx 17.7\%$).

3. (a) A codeword in Fitingof's code consists of the prefix which has fixed length $\lceil \log_2(14) \rceil = 4$ and the suffix, which has length $\lceil \log_2 \binom{14}{w} \rceil$, where w is the Hamming weight of the element of \mathbb{Z}_2^{14} .

The value of $\binom{14}{w}$, and thus also the codeword length is minimised when $w = 0$ or $w = 14$, and $\binom{14}{0} = \binom{14}{14} = 1$. The codeword for an element of Hamming weight 0 or 14 has $4 + \lceil \log_2 1 \rceil = 4$ bits.

The value of $\binom{14}{w}$, and thus also the codeword length is maximised when $w = 7$, and $\binom{14}{7} = 3432$. The codeword for an element of Hamming weight 7 has $4 + \lceil \log_2 3432 \rceil = 4 + 12 = 16$ bits.

- (b) the codeword is shorter than 14 bits if and only if $\lceil \log_2 \binom{14}{w} \rceil < 10$, or equivalently $\binom{14}{w} \leq 2^9 = 512$. We see that $\binom{14}{3} = 364 < 2^9$ and $\binom{14}{4} = 1001 > 2^9$. Using the fact that $\binom{n}{k} = \binom{n}{n-k}$ and monotonicity of the binomial coefficients for $k \leq \frac{n}{2}$, we conclude that the codeword has fewer than 14 bits if and only if the hamming weight is either at most 3, or at least 11.

- (c) We first determine the prefix. As noted in (a), the prefix length is $\lceil \log_2(14) \rceil = 4$. The Hamming weight of the string 0010000001100 is 3. Hence the prefix is 0011 (the binary representation of 3 using 4 bits). Next we determine the suffix. Suffix length is $\lceil \log_2 \binom{14}{3} \rceil = 9$. The 1s in the bitstring are in positions 3, 11, and 12, hence we compute the ordinal number of this string as

$$\binom{14-12}{1} + \binom{14-11}{2} + \binom{14-3}{3} = 2 + 3 + 165 = 170.$$

The binary representation of 170 is 10101010, so the suffix id 010101010 (because suffix length is 9).

Finally, we obtain the codeword by concatenating prefix and suffix as 0011010101010.

- (d) the first 4 bits must be the prefix of the first codeword. Since 0011 is 3 in decimal, this means that the codeword has Hammming weight 3, and therefore the suffix has length $\lceil \log_2 \binom{14}{3} \rceil = 9$.

Thus the suffix is 000001100, which translates to 12 in decimal. We need to solve

$$\binom{14-n_3}{1} + \binom{14-n_2}{2} + \binom{14-n_1}{3} = 12.$$

By Proposition 4.30 in the lecture notes, $14-n_1$ is equal to the largest x for which $\binom{x}{3} \leq 12$. We compute $\binom{5}{3} = 10$ and $\binom{6}{3} = 10$ and conclude that $14-n_1 = 5$, and thus $n_1 = 9$.

Next, $14-n_2$ can be found as the maximal x for which $\binom{x}{2} \leq 12 - \binom{14-n_1}{3} = 2$. Since $\binom{2}{2} = 1$ and $\binom{3}{2} = 3$ we see that $14-n_2 = 2$, and hence $n_2 = 12$.

Finally $14-n_3$ can be found as the maximal x for which $\binom{x}{1} \leq 2 - \binom{14-n_2}{2} = 1$. Since $\binom{1}{1} = 1$ we conclude that $n_3 = 13$.

Hence the decoded string has 1s in positions 9, 12, and 13, and thus the first block decodes to 00000000100110.