

# $\mathbb{R}^{\omega_1}$ is a Non-Metrisable Product Space

**Robert Christie**

MATHS 350

August 19, 2024

# Basic Definitions

By  $\mathbb{R}^{\omega_1}$ , we mean the product space  $(X, \mathcal{T})$  where  $X = \prod_{\alpha \in \omega_1} \mathbb{R}$  and:

$$\mathcal{T} \text{ is generated by basis } \mathcal{B} = \left\{ \prod_{\alpha \in \omega_1} U_\alpha : \begin{array}{l} \text{Each } U_\alpha \text{ open in } \mathbb{R} \\ \text{Only finitely many } U_\alpha \neq \mathbb{R} \end{array} \right\}$$

Where  $\omega_1$  is the first uncountable ordinal and  $\mathbb{R}$  has the usual topology.

## Definition (2.1)

Suppose  $(X, \mathcal{T})$  is a topological space. If there exists a metric  $d$  on  $X$  such that the metric topology on  $X$  induced by  $d$  is  $\mathcal{T}$ , then  $X$  is said to be metrisable.

# First Countable

Any metrisable space  $(X, \mathcal{T})$  is **first countable**: For any  $x \in X$ , there is a countable neighbourhood basis at  $x$ .

## Example (4.2)

- (1) Any metrisable space is first countable.
- (2)  $\mathbb{R}$  is separable, first countable and second countable.  $\mathbb{Q}$  is a countable dense subset.

# Metric Spaces are First Countable

//TODO: Should we prove metric spaces are first countable?

# Proof Outline

- 1 If we can show that  $\mathbb{R}^{\omega_1}$  is not first countable, then  $\mathbb{R}^{\omega_1}$  cannot be metrisable.

# Proof Outline

- 1 If we can show that  $\mathbb{R}^{\omega_1}$  is not first countable, then  $\mathbb{R}^{\omega_1}$  cannot be metrisable.
- 2 To show the space is not first countable, we want to show any countable family of neighbourhoods is not a neighbourhood basis for some point of the space.

# Proof Outline

- 1 If we can show that  $\mathbb{R}^{\omega_1}$  is not first countable, then  $\mathbb{R}^{\omega_1}$  cannot be metrisable.
- 2 To show the space is not first countable, we want to show any countable family of neighbourhoods is not a neighbourhood basis for some point of the space.
- 3 We will show that for any countable family of open sets, there is a projection  $\pi_\beta$  where every member's projection is  $\mathbb{R}$ .

# Proof Outline

- 1 If we can show that  $\mathbb{R}^{\omega_1}$  is not first countable, then  $\mathbb{R}^{\omega_1}$  cannot be metrisable.
- 2 To show the space is not first countable, we want to show any countable family of neighbourhoods is not a neighbourhood basis for some point of the space.
- 3 We will show that for any countable family of open sets, there is a projection  $\pi_\beta$  where every member's projection is  $\mathbb{R}$ .
- 4 We then show how this prevents a countable family from been a neighbourhood basis.



# Countable Families: Setup

- Let  $(X, \mathcal{T})$  be  $\mathbb{R}^{\omega_1}$  with the product topology. Let  $I$  be a countable indexing set, consider any countable family  $\{U_\alpha\}_{\alpha \in I} \subset \mathcal{T}$  of **open non-empty** sets.

# Countable Families: Setup

- Let  $(X, \mathcal{T})$  be  $\mathbb{R}^{\omega_1}$  with the product topology. Let  $I$  be a countable indexing set, consider any countable family  $\{U_\alpha\}_{\alpha \in I} \subset \mathcal{T}$  of **open non-empty** sets.
- As each  $U_\alpha$  is open and non-empty,  $U_\alpha = \cup_{b \in B} b$  where  $\emptyset \neq B \subset \mathcal{B}$ .

# Countable Families: Setup

- Let  $(X, \mathcal{T})$  be  $\mathbb{R}^{\omega_1}$  with the product topology. Let  $I$  be a countable indexing set, consider any countable family  $\{U_\alpha\}_{\alpha \in I} \subset \mathcal{T}$  of **open non-empty** sets.
- As each  $U_\alpha$  is open and non-empty,  $U_\alpha = \cup_{b \in B} b$  where  $\emptyset \neq B \subset \mathcal{B}$ .
- Take some  $b' \in B \neq \emptyset$ . Consider any arbitrary  $\beta \in \omega_1$ , then  $b' \subseteq U_\alpha$  so:

$$\pi_\beta(b') \subseteq \pi_\beta(U_\alpha) \subseteq \mathbb{R}$$

# Countable Families: Setup

- Let  $(X, \mathcal{T})$  be  $\mathbb{R}^{\omega_1}$  with the product topology. Let  $I$  be a countable indexing set, consider any countable family  $\{U_\alpha\}_{\alpha \in I} \subset \mathcal{T}$  of **open non-empty** sets.
- As each  $U_\alpha$  is open and non-empty,  $U_\alpha = \cup_{b \in B} b$  where  $\emptyset \neq B \subset \mathcal{B}$ .
- Take some  $b' \in B \neq \emptyset$ . Consider any arbitrary  $\beta \in \omega_1$ , then  $b' \subseteq U_\alpha$  so:

$$\pi_\beta(b') \subseteq \pi_\beta(U_\alpha) \subseteq \mathbb{R}$$

- Since  $\pi_\beta(b') \neq \mathbb{R}$  for only finitely many  $\beta$ , the remaining  $\beta$  have  $\pi_\beta(b') = \mathbb{R}$  so  $\mathbb{R} \subseteq \pi_\beta(U_\alpha) \subseteq \mathbb{R}$  hence  $\pi_\beta(U_\alpha) = \mathbb{R}$ . So  $\pi_\beta(U_\alpha) \neq \mathbb{R}$  for at most finitely many  $\beta$ .

# Countable Families: Contradiction

**Assume** for any  $\beta \in \omega_1$ , there is some  $\alpha \in I$  where  $\pi_\beta(U_\alpha) \neq \mathbb{R}$ , also note that  $\pi_\beta(U_\alpha)$  is the  $i$ -th projection where  $\pi_\gamma(U_\alpha) \neq \mathbb{R}$  for some finite  $i \in \mathbb{N}$ . Define a function:

$$\begin{aligned} f : \omega_1 &\rightarrow I \times \mathbb{N} \\ \beta &\mapsto (\alpha, i) \end{aligned}$$

# Countable Families: Contradiction

**Assume** for any  $\beta \in \omega_1$ , there is some  $\alpha \in I$  where  $\pi_\beta(U_\alpha) \neq \mathbb{R}$ , also note that  $\pi_\beta(U_\alpha)$  is the  $i$ -th projection where  $\pi_\gamma(U_\alpha) \neq \mathbb{R}$  for some finite  $i \in \mathbb{N}$ . Define a function:

$$\begin{aligned} f : \omega_1 &\rightarrow I \times \mathbb{N} \\ \beta &\mapsto (\alpha, i) \end{aligned}$$

Take any  $\beta, \beta' \in \omega_1$  where  $f(\beta) = f(\beta')$ . Then,  $(\alpha, i) = f(\beta) = f(\beta')$  corresponds to some  $\alpha \in I$  where  $\pi_\beta(U_\alpha)$  and  $\pi_{\beta'}(U_\alpha)$  are both the  $i$ -th component of  $U_\alpha$  where  $\pi_\gamma(U_\alpha) \neq \mathbb{R}$ . Thus,  $\beta = \beta'$  and so  $f$  is injective.

# Countable Families: Contradiction

**Assume** for any  $\beta \in \omega_1$ , there is some  $\alpha \in I$  where  $\pi_\beta(U_\alpha) \neq \mathbb{R}$ , also note that  $\pi_\beta(U_\alpha)$  is the  $i$ -th projection where  $\pi_\gamma(U_\alpha) \neq \mathbb{R}$  for some finite  $i \in \mathbb{N}$ . Define a function:

$$\begin{aligned} f : \omega_1 &\rightarrow I \times \mathbb{N} \\ \beta &\mapsto (\alpha, i) \end{aligned}$$

Take any  $\beta, \beta' \in \omega_1$  where  $f(\beta) = f(\beta')$ . Then,  $(\alpha, i) = f(\beta) = f(\beta')$  corresponds to some  $\alpha \in I$  where  $\pi_\beta(U_\alpha)$  and  $\pi_{\beta'}(U_\alpha)$  are both the  $i$ -th component of  $U_\alpha$  where  $\pi_\gamma(U_\alpha) \neq \mathbb{R}$ . Thus,  $\beta = \beta'$  and so  $f$  is injective.

Since  $f$  is injective, we have that  $|\omega_1| \leq |I \times \mathbb{N}|$ . However, **the Cartesian product of countable sets is countable**, so we have a contraction.

# Countable Families: Contradiction

**Assume** for any  $\beta \in \omega_1$ , there is some  $\alpha \in I$  where  $\pi_\beta(U_\alpha) \neq \mathbb{R}$ , also note that  $\pi_\beta(U_\alpha)$  is the  $i$ -th projection where  $\pi_\gamma(U_\alpha) \neq \mathbb{R}$  for some finite  $i \in \mathbb{N}$ . Define a function:

$$\begin{aligned} f : \omega_1 &\rightarrow I \times \mathbb{N} \\ \beta &\mapsto (\alpha, i) \end{aligned}$$

Take any  $\beta, \beta' \in \omega_1$  where  $f(\beta) = f(\beta')$ . Then,  $(\alpha, i) = f(\beta) = f(\beta')$  corresponds to some  $\alpha \in I$  where  $\pi_\beta(U_\alpha)$  and  $\pi_{\beta'}(U_\alpha)$  are both the  $i$ -th component of  $U_\alpha$  where  $\pi_\gamma(U_\alpha) \neq \mathbb{R}$ . Thus,  $\beta = \beta'$  and so  $f$  is injective.

Since  $f$  is injective, we have that  $|\omega_1| \leq |I \times \mathbb{N}|$ . However, **the Cartesian product of countable sets is countable**, so we have a contraction.

Therefore, there is a  $\beta \in \omega_1$  where all  $\alpha \in I$  have  $\pi_\beta(U_\alpha) = \mathbb{R}$ .



# Consequences

**Now assume** that  $\mathbb{R}^{\omega_1}$  is first countable, so for  $x = 0 \in \mathbb{R}^{\omega_1}$  we have a countable neighbourhood basis  $\mathcal{M} = \{M_\alpha\}_{\alpha \in I}$  where  $I$  is a countable indexing set. Now define a family of open sets  $\{U_\alpha\}_{\alpha \in I}$  where:

$$U_\alpha := \bigcup_{\substack{U \in \mathcal{T} \\ U \subseteq M_\alpha}} U$$

Note each  $U_\alpha \neq \emptyset$  as  $M_\alpha$  is a neighbourhood and  $U_\alpha \subseteq M_\alpha$ .

# Consequences

**Now assume** that  $\mathbb{R}^{\omega_1}$  is first countable, so for  $x = 0 \in \mathbb{R}^{\omega_1}$  we have a countable neighbourhood basis  $\mathcal{M} = \{M_\alpha\}_{\alpha \in I}$  where  $I$  is a countable indexing set. Now define a family of open sets  $\{U_\alpha\}_{\alpha \in I}$  where:

$$U_\alpha := \bigcup_{\substack{U \in \mathcal{T} \\ U \subseteq M_\alpha}} U$$

Note each  $U_\alpha \neq \emptyset$  as  $M_\alpha$  is a neighbourhood and  $U_\alpha \subseteq M_\alpha$ . From the previous result, there must be a  $\beta \in \omega_1$  where  $\pi_\beta(U_\alpha) = \mathbb{R}$  for any  $\alpha \in I$ . Consider the open neighbourhood of 0 given by:

$$N := \prod_{\gamma \in \omega_1} N_\gamma \quad N_\gamma := \begin{cases} (-1, 1) & \gamma = \beta \\ \mathbb{R} & \gamma \neq \beta \end{cases}$$

## Consequences: Continued

$$N := \prod_{\gamma \in \omega_1} N_\gamma \quad N_\gamma := \begin{cases} (-1, 1) & \gamma = \beta \\ \mathbb{R} & \gamma \neq \beta \end{cases}$$

If  $\mathcal{M}$  were a neighbourhood basis then, there would be a  $M_\alpha \subseteq N$ , since images preserve inclusion, we expect  $\pi_\beta(U_\alpha) \subseteq \pi_\beta(M_\alpha) \subseteq \pi_\beta(N)$ . But for any  $\alpha \in I$  we have  $\pi_\beta(U_\alpha) = \mathbb{R}$ , while  $\pi_\beta(N) = (-1, 1)$  so  $U_\alpha \not\subseteq N$ . This is a contradiction and so  $\mathbb{R}^{\omega_1}$  is not first countable.

# Conclusion

Since  $\mathbb{R}^{\omega_1}$  is not first countable, it cannot be metrisable.

- Since  $|\omega_1| \leq |\mathbb{R}|$  depending on the **continuum hypothesis**, this means the space  $\mathbb{R}^{\mathbb{R}}$  is not metrisable.
- This is equivalent to the space of functions  $\mathbb{R} \rightarrow \mathbb{R}$  not being metrisable.
- This is why we must restrict functions in the **Lebesgue space** to only those with finite integrals.