

ساختمان داده و الگوریتم ها

مبحث هفدهم: درهم سازی

سجاد شیرعلی شمرضا

پاییز 1402

دوشنبه، 20 آذر 1402

اطلاع رسانی

- بخش مرتبط کتاب برای این جلسه: 11
- انتقال امتحانک سوم به شنبه 2 دی 1402 (در ساعت کلاس، از ساعت 8:15)

جدول درهم سازی

چه عملگرهایی برای ما مهم هستند؟

THE TASK

Again, we want to keep track of objects that have keys 5 (aka, **nodes** with **keys**)

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Sorted Arrays



$O(n)$ INSERT/DELETE: first, find the relevant element (via SEARCH) and move a bunch of elements in the array

$O(\log n)$ SEARCH: use binary search to see if an element is in A

Linked Lists



$O(1)$ INSERT: just insert the element at the head of the linked list

$O(n)$ SEARCH/DELETE: since the list is not necessarily sorted, you need to scan the list (delete by manipulating pointers)

BINARY SEARCH TREE PERFORMANCE

OPERATION	SORTED ARRAY	UNSORTED LINKED LIST	BALANCED BST
SEARCH	$O(\log(n))$	$O(n)$	$O(\log(n))$
DELETE	$O(n)$	$O(n)$	$O(\log(n))$
INSERT	$O(n)$	$O(1)$	$O(\log(n))$

HASH TABLE MOTIVATION

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INSERT	$O(n)$	$O(1)$	$O(\log(n))$	$O(1)$

What is a *naive* way to achieve these runtimes?

ATTEMPT 1: DIRECT ADDRESSING

Suppose you're storing numbers from 1 - 1000:

2

4

5

998

999

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Reasonable Attempt: *Direct Addressing!*

(each address/bucket stores one type of item)

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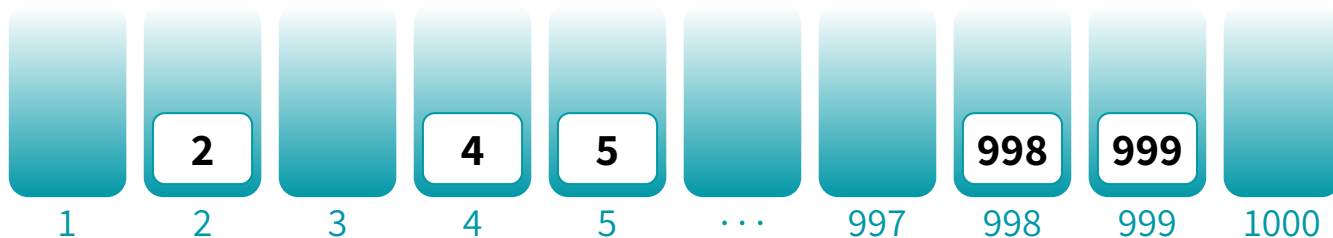
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$O(1)$ INSERT/DELETE/SEARCH: Just index into the bucket!

ATTEMPT 1: DIRECT ADDRESSING

Suppose you're storing numbers from 1 - 1000:

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4

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998

999

Reasonable Attempt: *Direct Addressing!*

Not bad!

But what's the issue with this approach?

1

2

3

4

5

...

997

998

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1000

$O(1)$ INSERT/DELETE/SEARCH: Just index into the bucket!

ATTEMPT 1: DIRECT ADDRESSING

Suppose you're storing numbers from 1 - 10^{10} :

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3

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10^{10}

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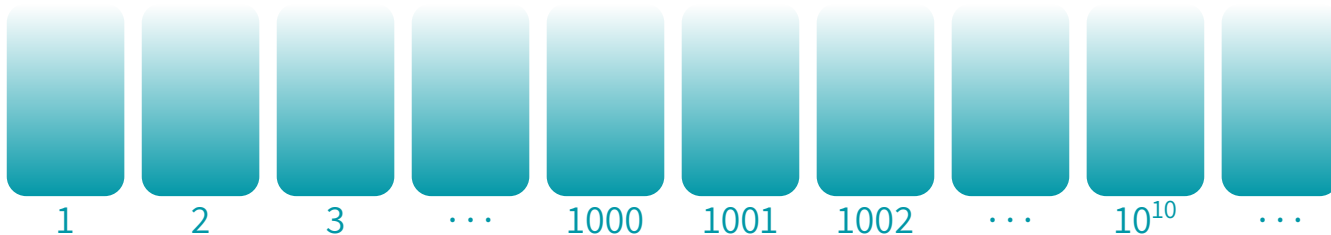
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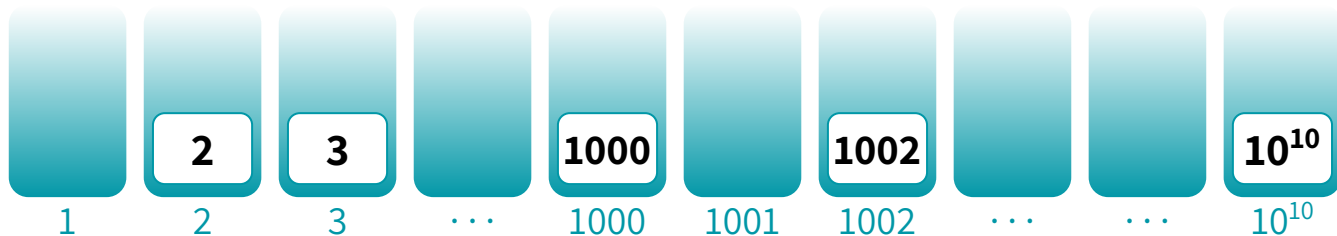
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Reasonable Attempt(??): *Direct Addressing!*

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The space requirement is HUGE...

$O(1)$ INSERT/DELETE/SEARCH: Just index into the bucket!

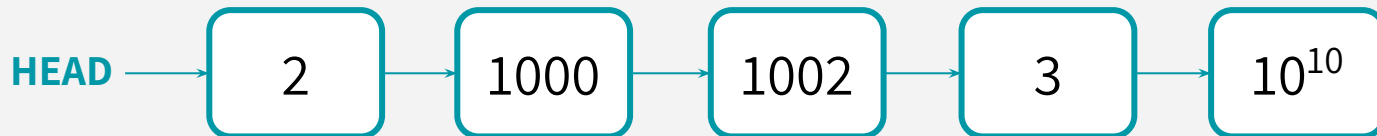


سوال؟

(ATTEMPT 2: BACK TO LINKED LISTS!)

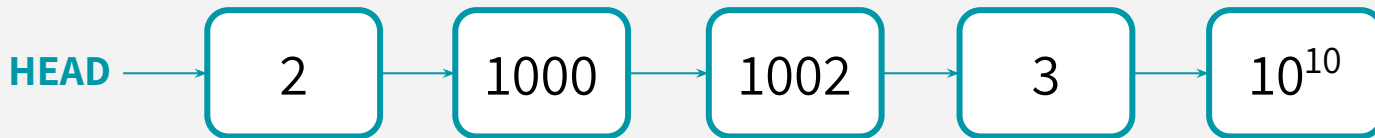
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Good news: Space is now proportional to the number of objects you deal with

Bad news: Searching for an object is now going to scale with the number of inputs you deal with... not close to our desired $O(1)$!

The direct-addressing approach still has merit because of its fast object search/access

HOW DO WE IMPROVE THIS?

We like the functionality of a direct-addressable array for constant time access
(super fast INSERT/DELETE/SEARCH)

But reserving an bucket/array slot for each possible key leads to unreasonable space requirements... (kind of like CountingSort)

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Let's try bucketing **by the least-significant digit...**

BUCKETING ATTEMPT 1:

Suppose you're storing numbers from 1 - 10^{10} :

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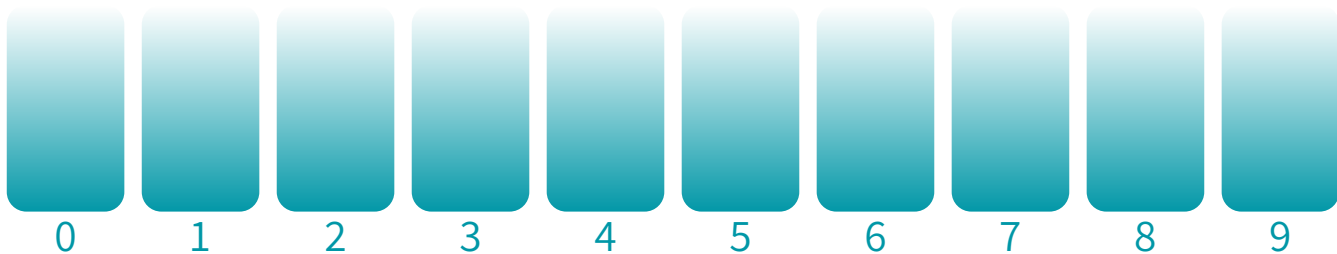
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Bucket by last digit?



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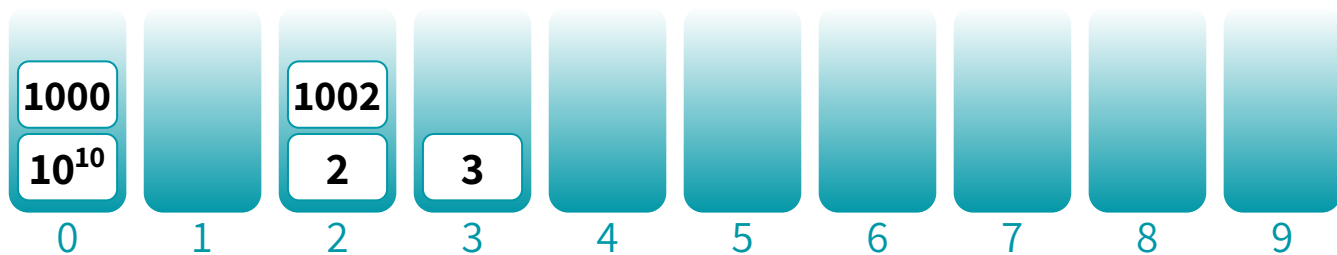
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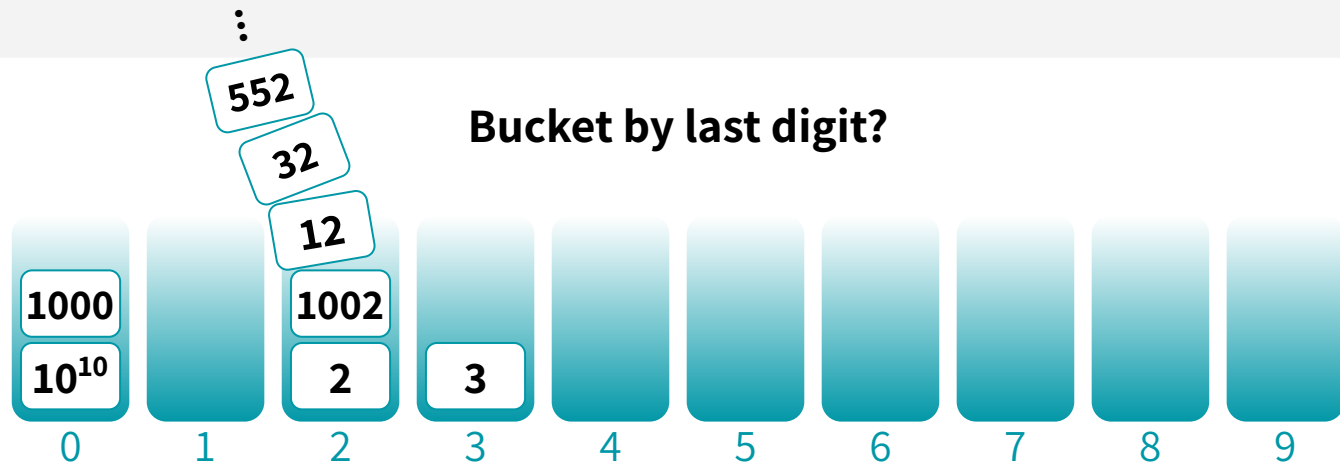
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$O(1)$ INSERT: Just index into the bucket (& insert at front of a linked list)!
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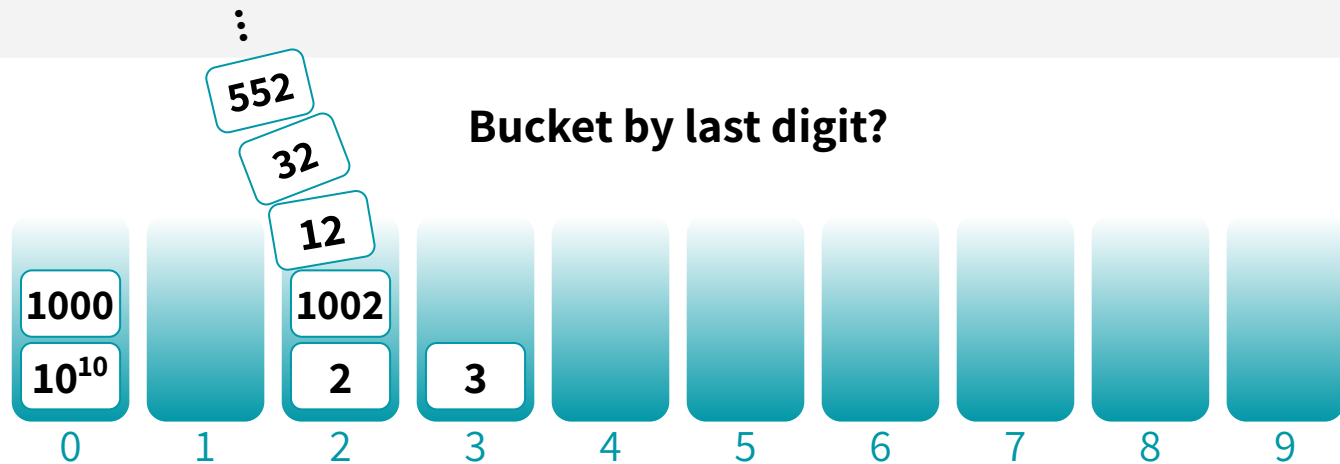
Under this scheme, a bad guy could give us inputs that yields quite ugly worst-case runtimes...



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Maybe another bucketing scheme?

BUCKETING ATTEMPT 2:

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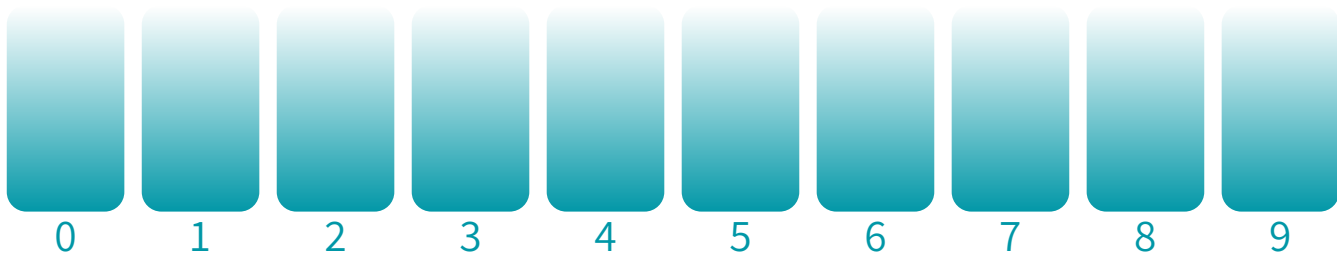
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Bucket by last digit of (number * 7) mod 3



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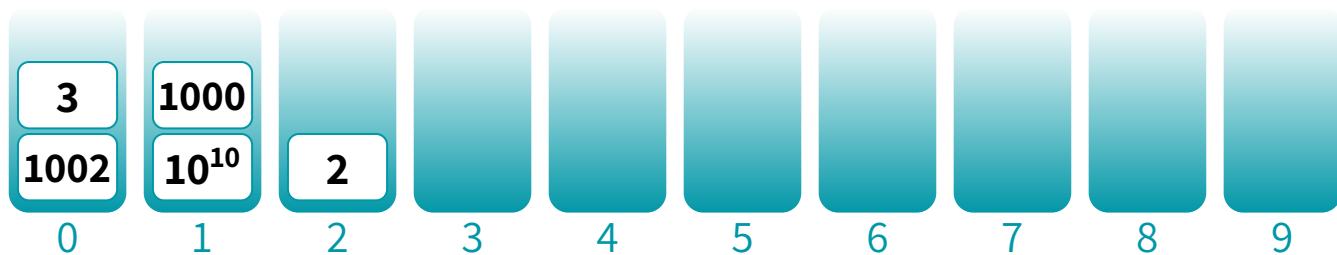
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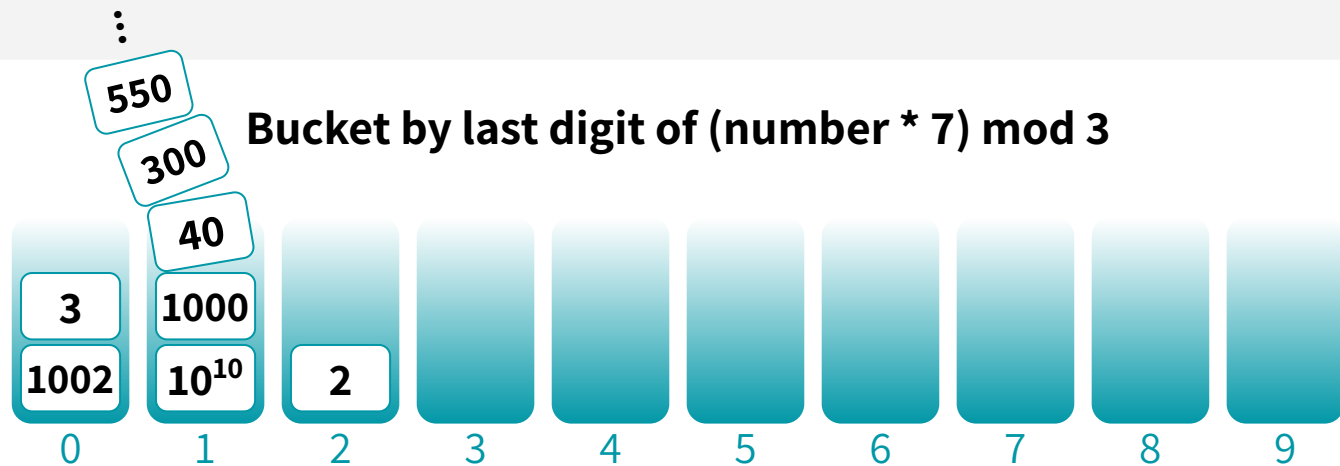
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⋮

550

Bucket by last digit of $(\text{number} * 7) \bmod 3$

1

Seems like a bad guy could still thwart us.
There are other bucketing schemes we could use,
so to reason about them more formally,
let's talk about **HASH FUNCTIONS**.

$O(1)$ INSERT: Just index into the bucket (& insert at front of a linked list)!
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سوال؟

تابع درهم ساز

چه تابع درهم سازی خوب است؟

SOME TERMINOLOGY

There exists a universe **U** of keys, with size M.

Generally, M is *really big*. Examples:

- U = the set of all ASCII strings of length 20. $M = 26^{20}$
- U = the set of all IPv4 addresses. $M = 2^{32}$
- U = the set of all possible YouTube view stats. M = 11.83 billion

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A hash function **$h: U \rightarrow \{1, \dots, n\}$**
maps elements of U to buckets $1, \dots, n$

SOME TERMINOLOGY

There are n buckets, indexed $1, \dots, n$. We use M .

NOTE:

- $U =$
- $U =$
- $U =$

For this lecture, I'm assuming that the # of elements I receive is the same as the # of buckets (both are n). This doesn't have to be the case, but we usually aim for

$\gg n$

Only a few (at most

#buckets = $O(\# \text{ elements that show up})$
(otherwise, we're using "too much" space)

show up in advance.

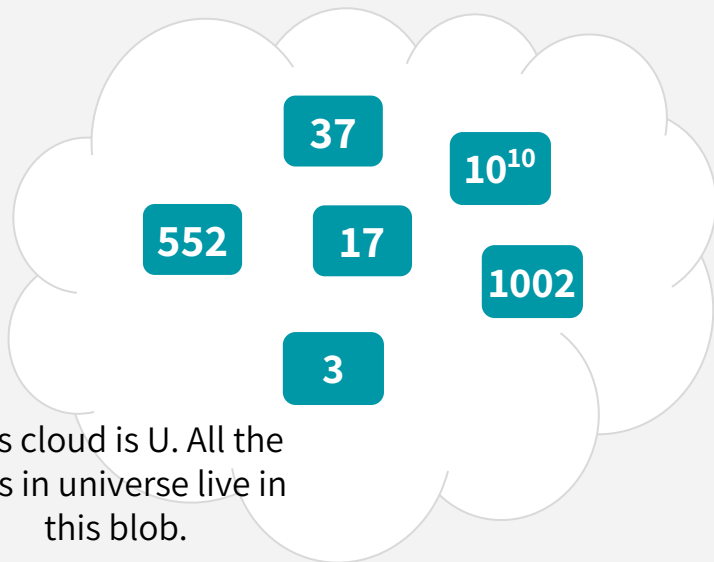
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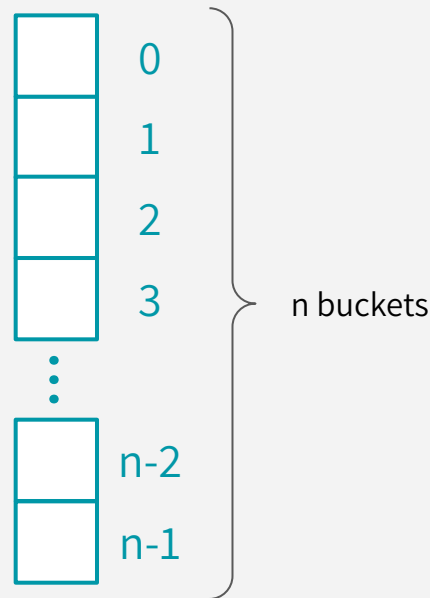
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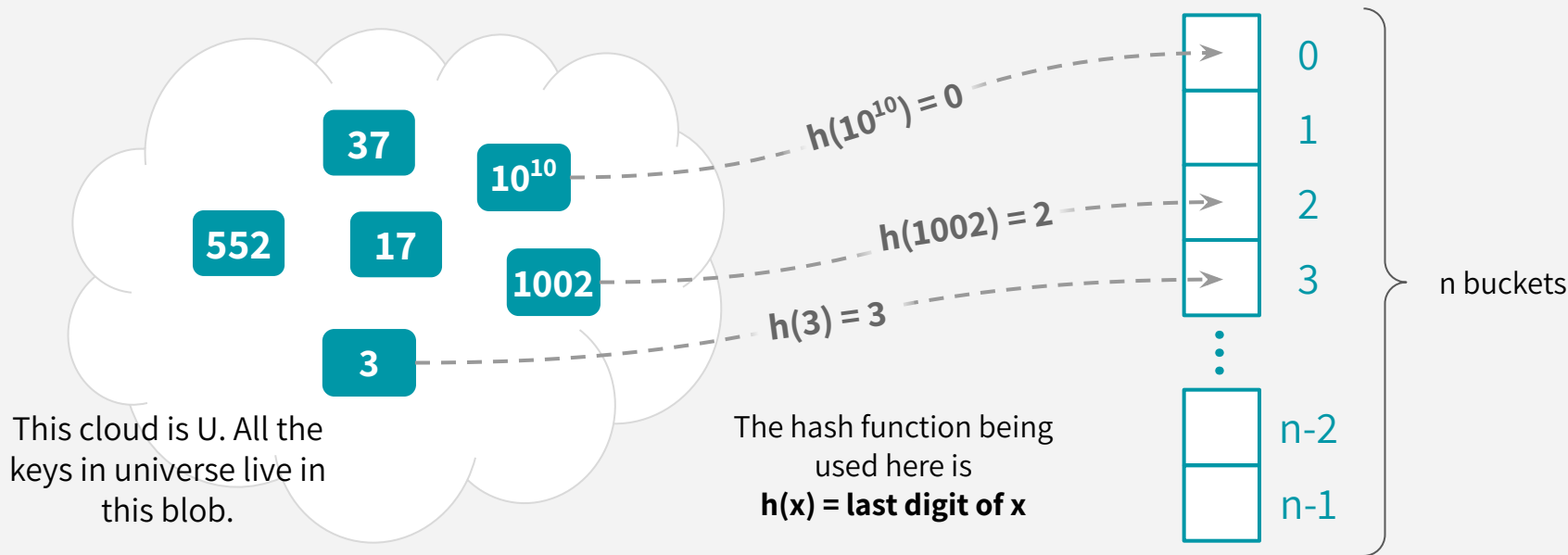


This cloud is U . All the
keys in universe live in
this blob.



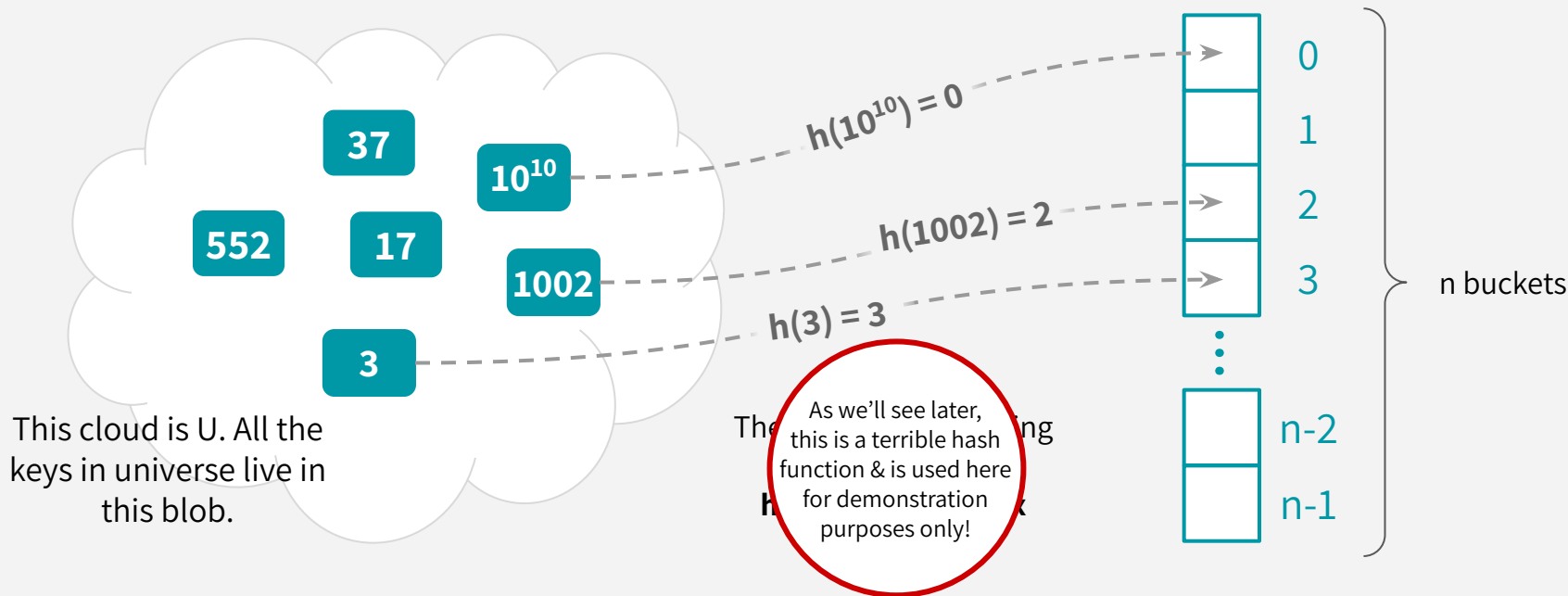
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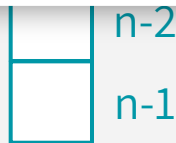
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A hash function tells you where to start looking for an object.
For example, if a particular hash function h has $h(1002) = 2$,
then we say “1002 *hashes to* 2”, and we go to bucket 2 to
search for 1002, or insert 1002, or delete 1002.

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The hash function being
used here is
 $h(x) = \text{last digit of } x$



n buckets



سوال؟

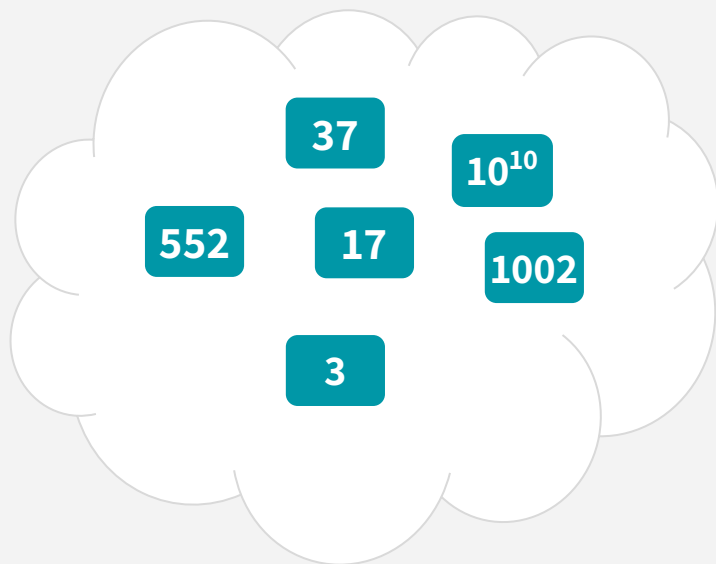
برخورد در درهم ساز!

چه مشکلی ممکن است پیش بیاید؟

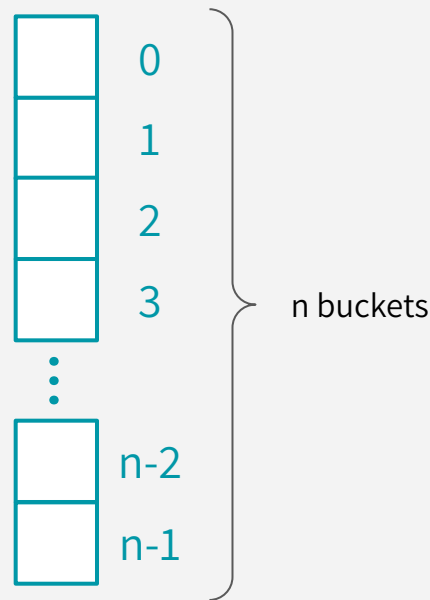
COLLISIONS

Collisions (when a hash function would map 2 different keys to the same bucket) **are inevitable!**

This is because of the *Pigeonhole Principle*. Since the size of universe $U > \#$ of buckets, every hash function (no matter how clever), suffers from at least one collision.



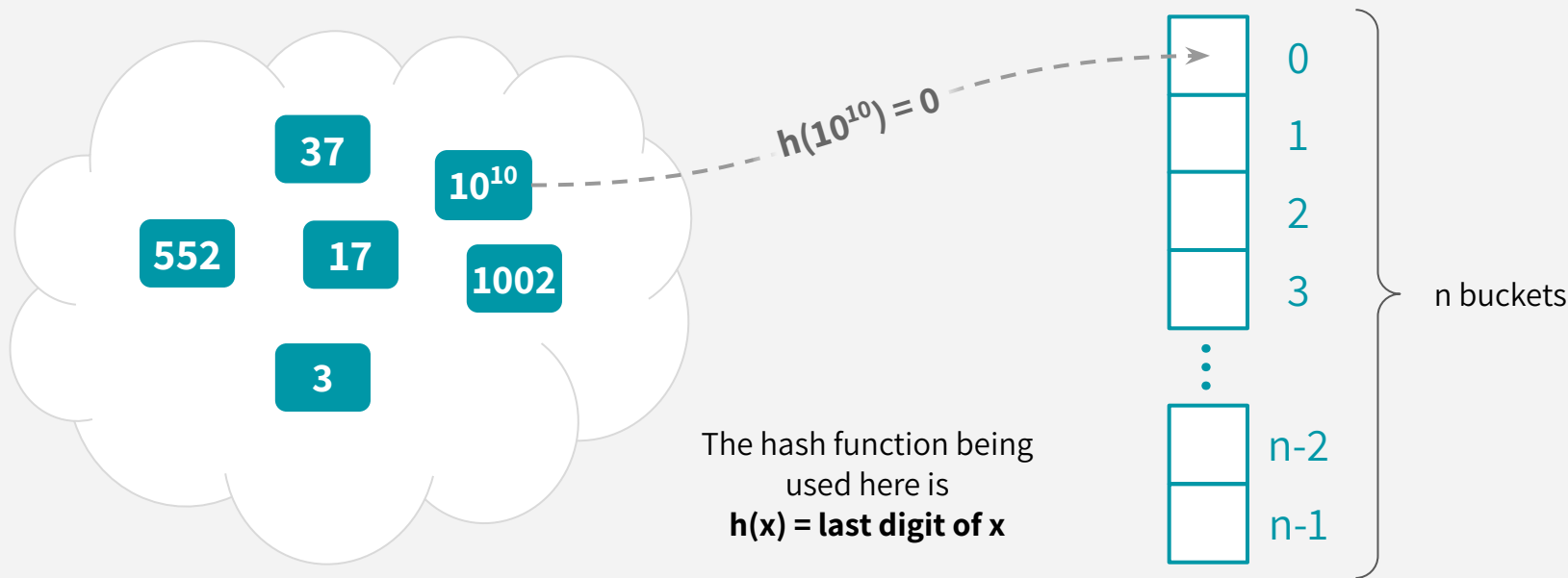
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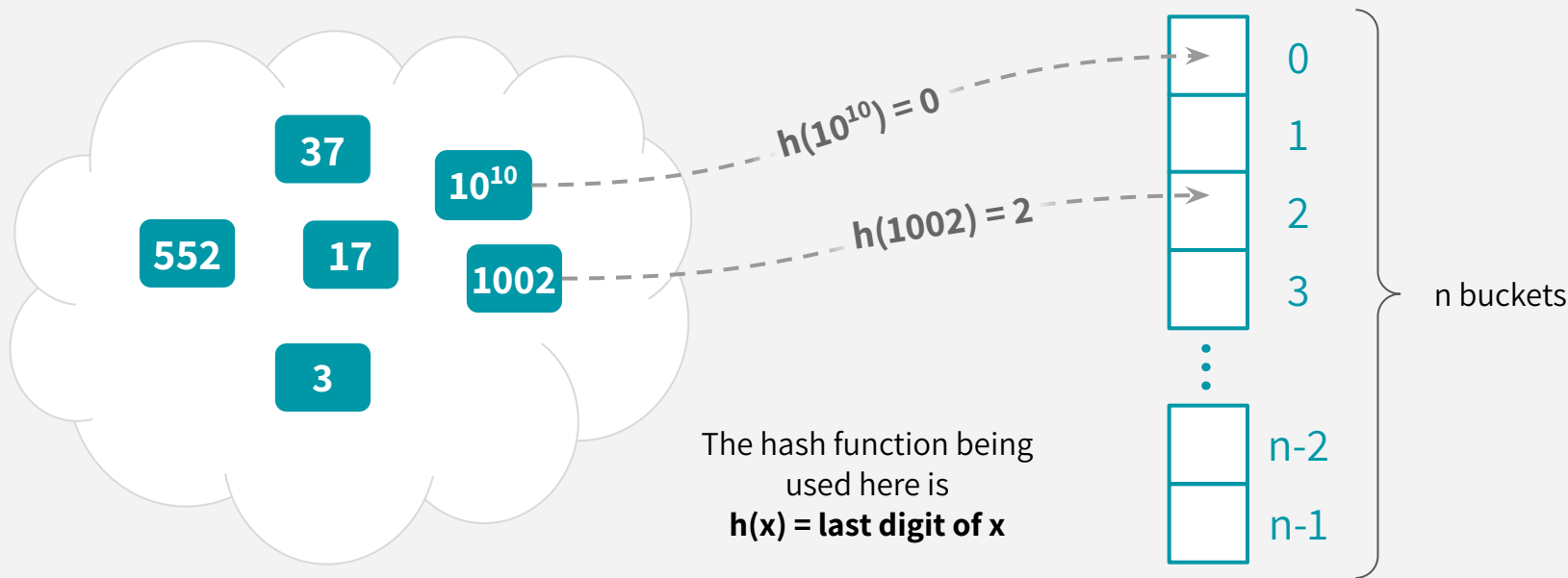
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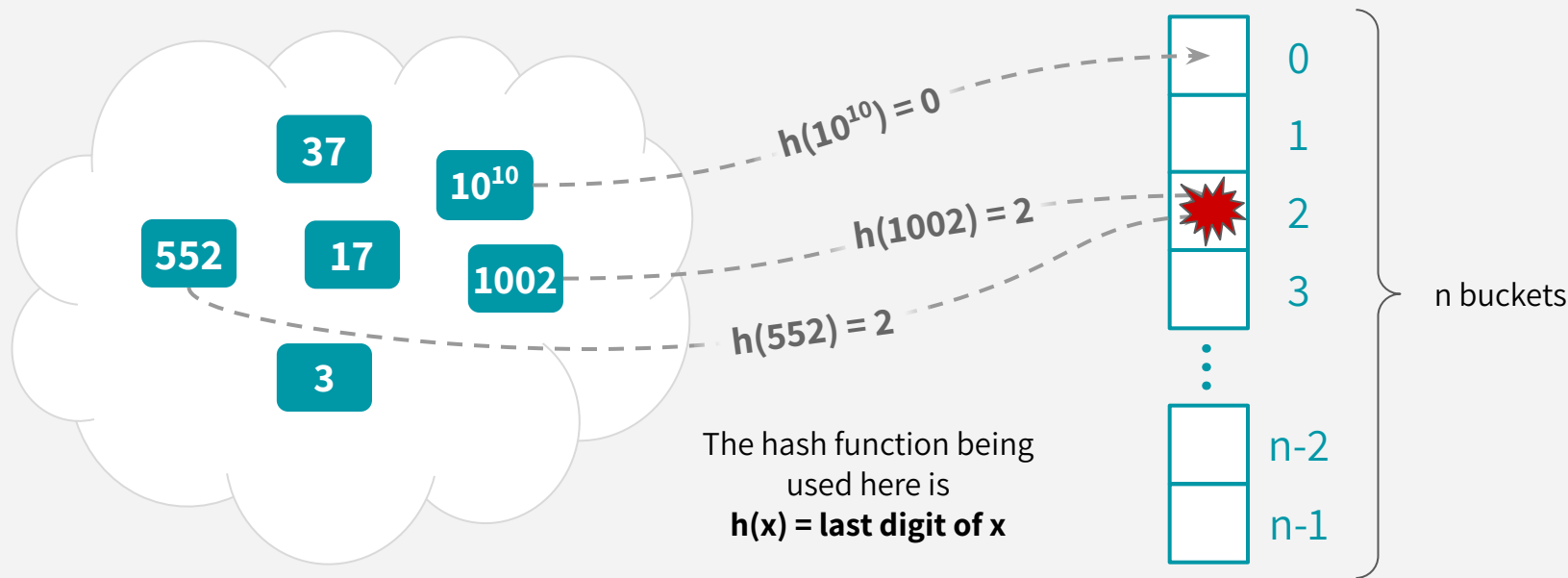
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COLLISION RESOLUTION: CHAINING

To resolve collisions, one common method is to use **chaining**!

We're just giving a formal name to our bucketing example from earlier:
represent each bucket's contents as a *linked list*!

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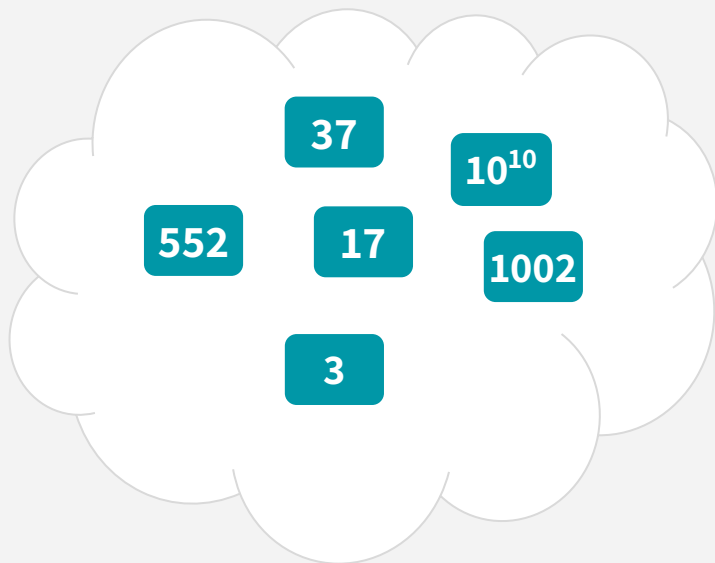
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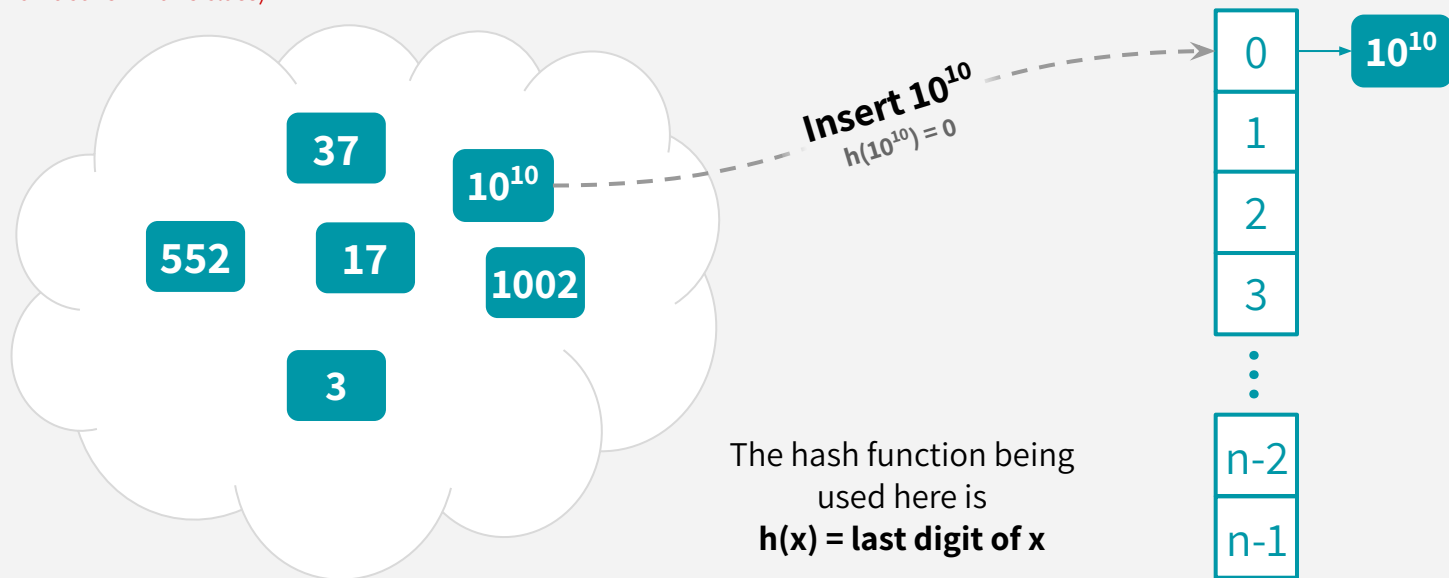


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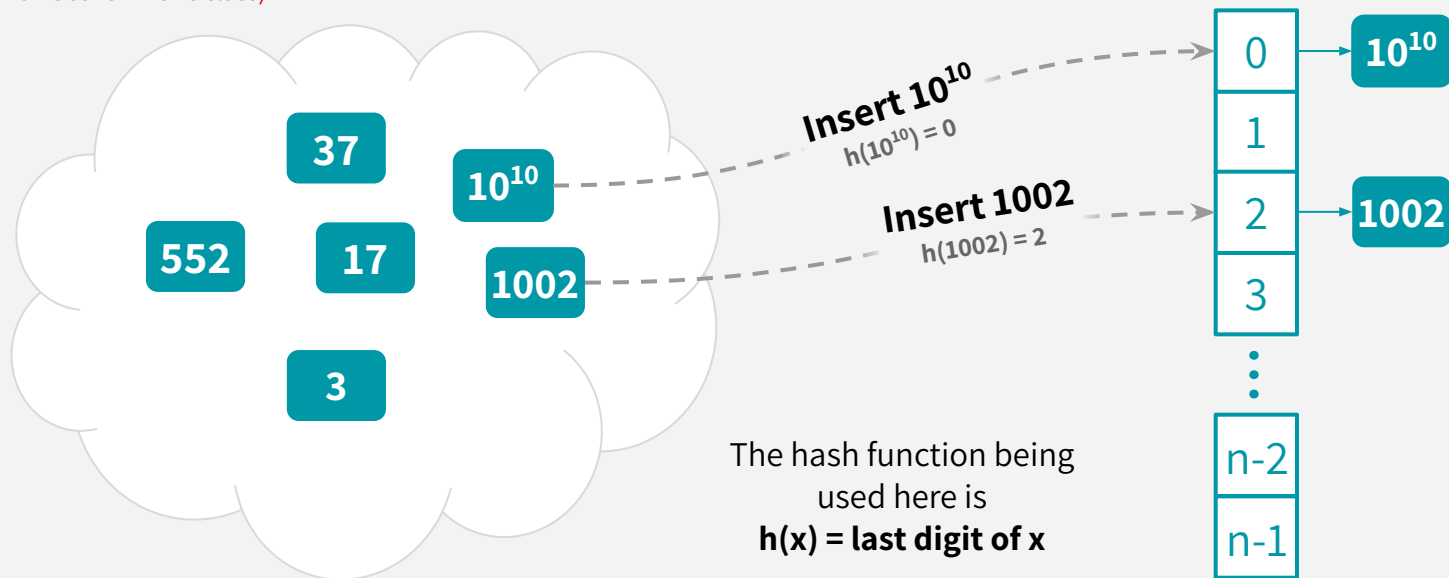


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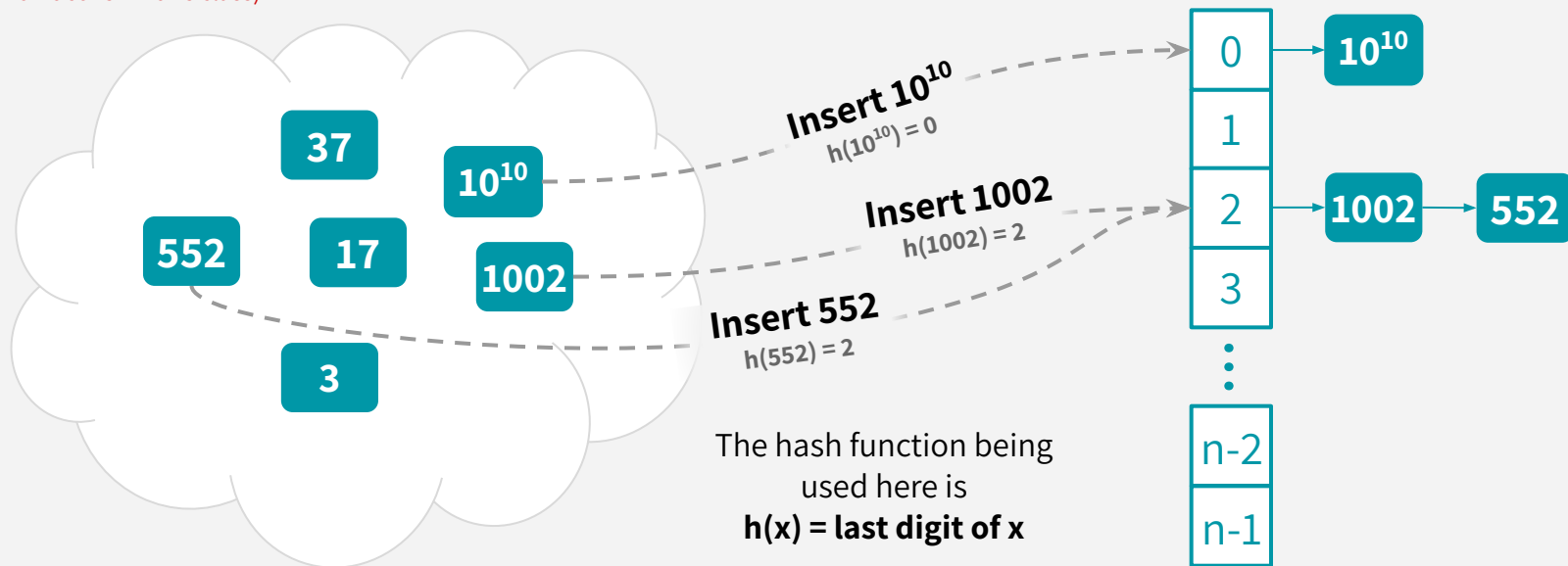


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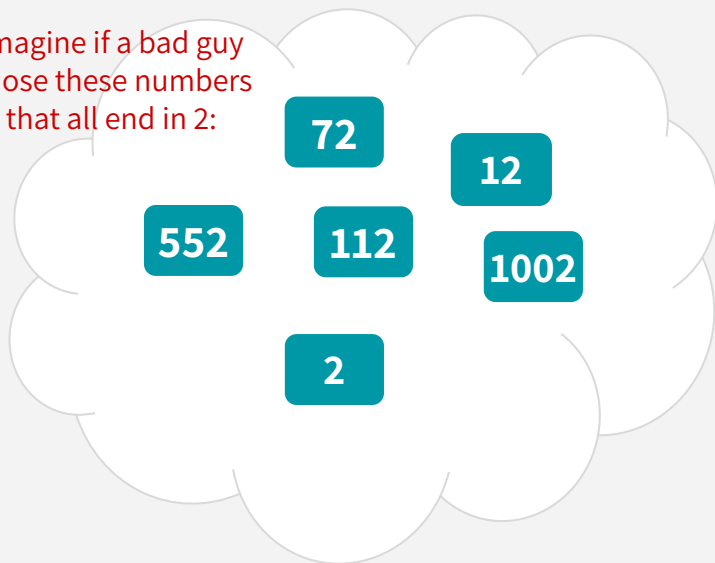
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Imagine if a bad guy
chose these numbers
that all end in 2:



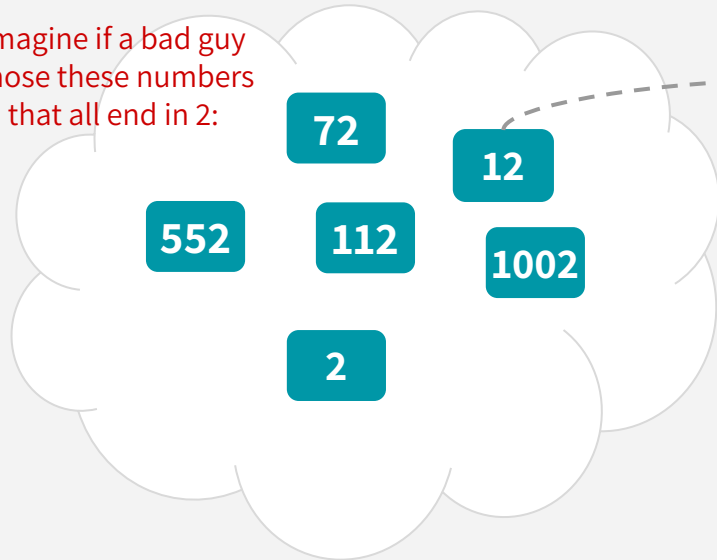
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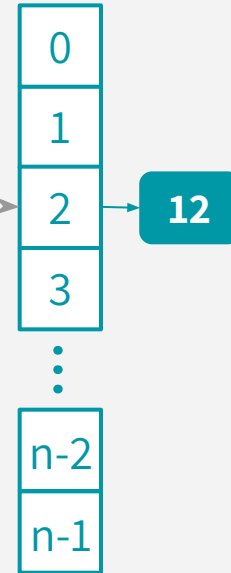
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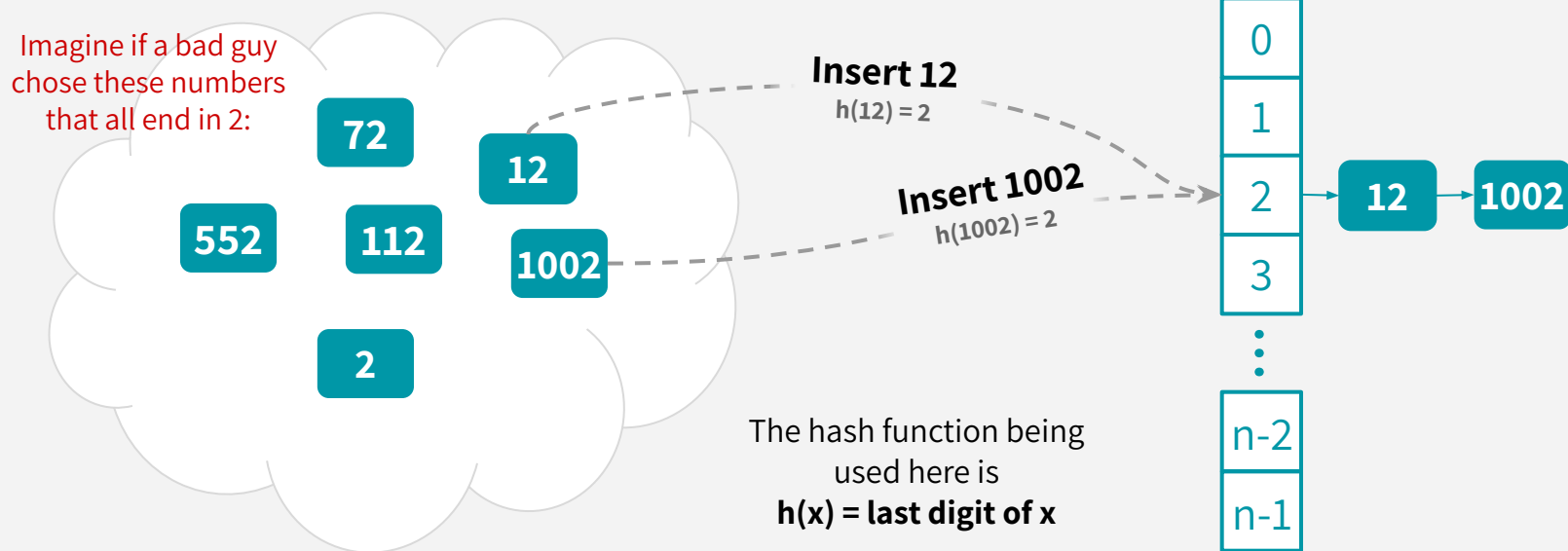
Insert 12
 $h(12) = 2$

The hash function being
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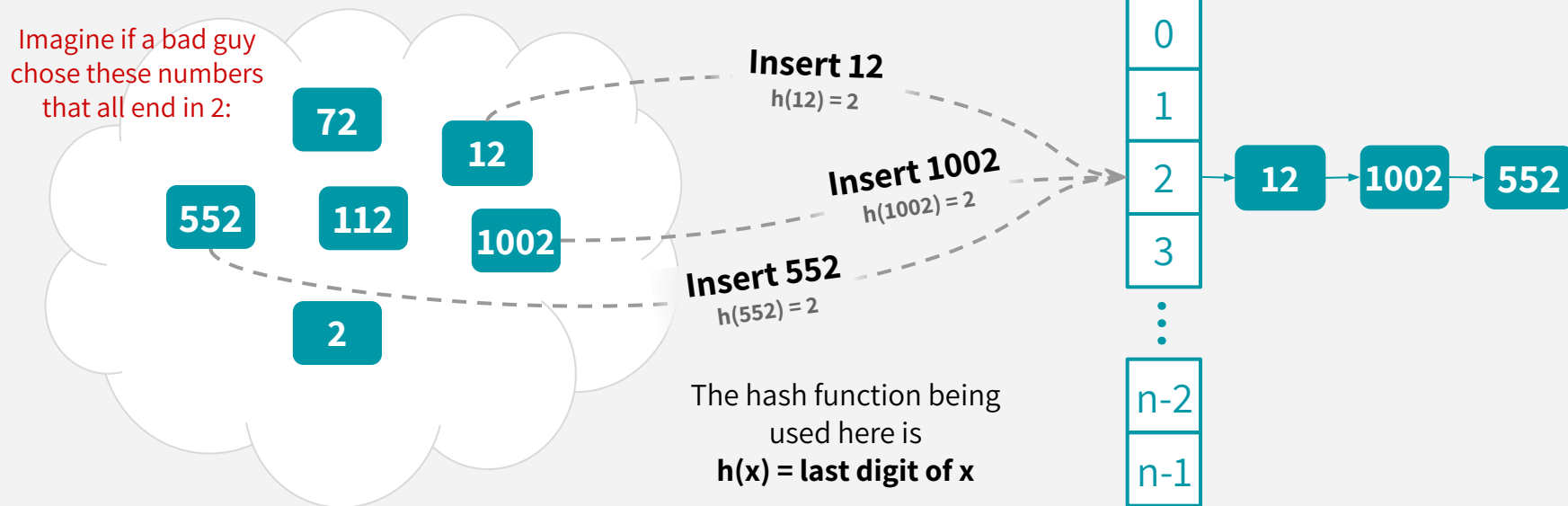
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سوال؟

درهم سازی اعلی!

چه درهم سازی خوب خواهد بود؟

HASH TABLE GOALS

Remember worst-case analysis:

OUR GOAL: Design a function $h: \mathbf{U} \rightarrow \{1, \dots, n\}$ so that no matter what n items of \mathbf{U} a bad guy chooses & the operations they choose to perform, the buckets will be balanced.

(Here, balanced means $O(1)$ entries per bucket)

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Can you come up with such a function?

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OUR GOAL: Design a function $h: U \rightarrow \{1, \dots, n\}$ so that no matter what n items of U a bad guy chooses, the buckets will be balanced (have $O(1)$ size).

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No *deterministic* hash function can defeat worst-case input!

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- The universe U has M items
- They get hashed into n buckets
- At least 1 bucket has at least M/n items hashed to it (Pigeonhole)
- M is wayyyy bigger than n , so M/n is bigger than n

HASH TABLE GOALS

***BAD
NEWS!***

OUR GOAL: Design a function $h: U \rightarrow \{1, \dots, n\}$ so that no matter what n items of U a bad guy chooses, the buckets will be balanced (have $O(1)$ size).

Can you come up with such a function? **No.**

No *deterministic* hash function can defeat worst-case input!

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Any suggestion?

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سوال؟

تصادفی کردن تابع درهم ساز

چگونه میتوان بدخواهان را تضعیف کرد؟

INTUITION

Intuitively, the adversary can't foil a hash function that they don't yet know.

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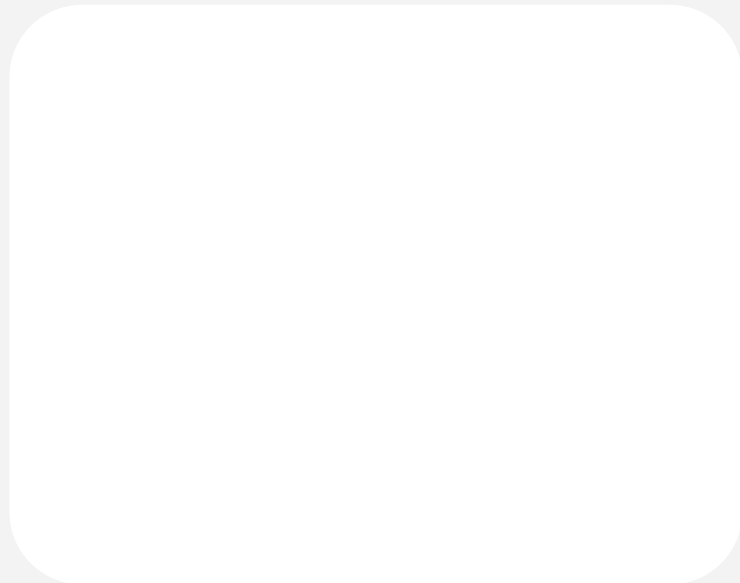
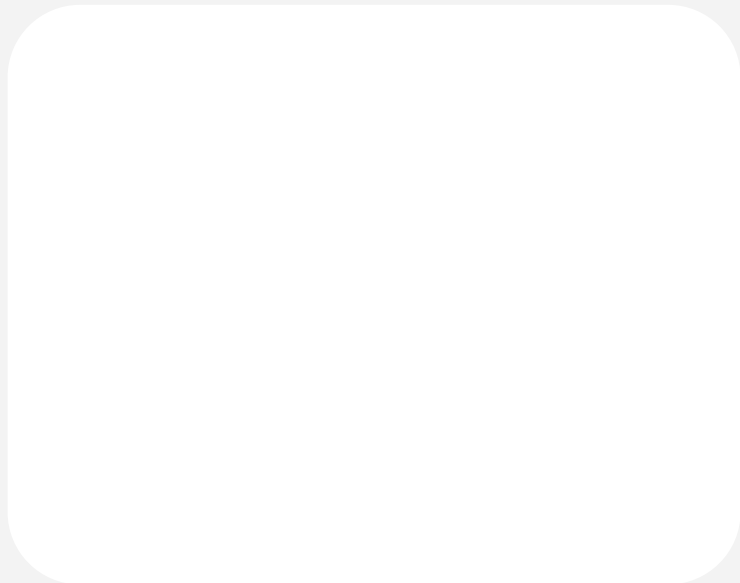
What would make a “good” set of hash functions H ?

تابع درهم ساز خوب

معنی خوب بودن چیست؟

WHAT DOES “GOOD” MEAN?

Consider these two goals:



Which goal better represents what we want?

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Design a set $\mathbf{H} = \{h_1, h_2, h_3, \dots, h_k\}$
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that if we chose a random \mathbf{h} in \mathbf{H}
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WHAT DOES “GOOD” MEAN?

Goals:

Design a set $\mathbf{H} = \{h_1, h_2, h_3, \dots, h_k\}$ where $h_i: U \rightarrow \{1, \dots, M\}$ such that if we chose a random h from \mathbf{H} and after an adversary chooses n items to hash,

for any bucket b ,
its **expected** size is $O(1)$

SUPER IMPORTANT:

The randomness is over the choice of hash function h from a set of hash functions \mathbf{H} .

You should *not* think of it as if you've chosen a fixed hash function and are thinking about randomness over possible items the adversary could choose, or randomness over the n possible buckets in your table, or randomness over the M possible items, or anything like that.

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Then, $\mathbf{E}[\# \text{ items in bucket } i] = 1 = O(1)$ for all $i \dots$

Bucket i has \mathbf{n} elements with prob. $1/n$, and 0 elements with prob. $(n-1)/n$

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We want the one on the right! It tries to control the expected number of collisions (which is what contributes to the linked-list traversal runtime)

WHAT DOES “GOOD” MEAN?

An analogy to explain the difference between the two:

Suppose a university offers 10 classes. 9 classes have only 1 student in them, and 1 class has 491 students.

Using the reasoning on the left, the university might say “Average class size is 50!” but in reality, it should instead report class sizes experienced by the average student (~482).

for any bucket,
its **expected** size is **$O(1)$**

for any item u_i ,
the **expected** # of items in
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WHAT WE WANT

Design a set $\mathbf{H} = \{h_1, h_2, h_3, \dots, h_k\}$ where $h_i : U \rightarrow \{1, \dots, n\}$, such that if we chose a random \mathbf{h} in \mathbf{H} and after an adversary chooses \mathbf{n} items $\{\mathbf{u}_1, \mathbf{u}_2, \dots, \mathbf{u}_n\}$ to hash,

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خانواده درهم سازی سراسری

مجموعه ای خوب از توابع درهم سازی که خیلی هم بزرگ نیست!

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Then if we randomly choose **h** from a universal hash family **H**, we'll be guaranteed that:

$$\mathbf{E}[\# \text{ of items in } u_i\text{'s bucket}] \leq 2 = \mathbf{O}(1)$$

(OVERVIEW OF THE MATH)

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$$\begin{aligned} \mathbb{E}[\# \text{ of items in } u_i \text{'s bucket}] &= \sum_{j=1}^n P[h(u_i) = h(u_j)] \\ &= P[h(u_i) = h(u_i)] + \sum_{j \neq i} P[h(u_i) = h(u_j)] \\ &= 1 + \sum_{j \neq i} P[h(u_i) = h(u_j)] \\ &\leq 1 + \sum_{j \neq i} \frac{1}{n} \\ &= 1 + \frac{n-1}{n} \leq 2 \end{aligned}$$

This inequality is now
what a universal hash
family guarantees!

$O(1)$
This is what we
wanted!

AN EXAMPLE

Here is one of the more well-studied universal hash families:

Pick a prime $p \geq M$

Define $h_{a,b}(x) = ((ax + b) \bmod p) \bmod n$

$$H = \{ h_{a,b} : a \in \{1, \dots, p-1\}, b \in \{0, \dots, p-1\} \}$$

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Example: Suppose $n = 3$, and $p = 5$. Here's $h_{2,4}$:

$$h_{2,4}(1) = ((2 \cdot 1 + 4) \bmod 5) \bmod 3 = (6 \bmod 5) \bmod 3 = 1 \bmod 3 = \mathbf{1}$$

$$h_{2,4}(4) = ((2 \cdot 4 + 4) \bmod 5) \bmod 3 = (12 \bmod 5) \bmod 3 = 2 \bmod 3 = \mathbf{2}$$

$$h_{2,4}(3) = ((2 \cdot 3 + 4) \bmod 5) \bmod 3 = (6 \bmod 5) \bmod 3 = 1 \bmod 3 = \mathbf{1}$$

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To draw a hash function h from H :

Pick a random a
in $\{1, \dots, p-1\}$.

&

Pick a random b
in $\{0, \dots, p-1\}$.

AN EXAMPLE

Here is one of the most well-studied universal hash families:

To store your $h_{a,b}$, you just need to store two numbers: **a** and **b**!

Since **a** and **b** are at most $p-1$, we need $\sim 2 \cdot \log(p)$ bits.

p is a prime that's close-ish to M , so this means the space needed =

$O(\log M)$

Pick a random **a**
in $\{1, \dots, p-1\}$.

&

Pick a random **b**
in $\{0, \dots, p-1\}$.

AN EXAMPLE

Claim: This **H** is a universal hash family!

The proof is a bit complicated, and relies on number theory. See CLRS (Theorem 11.5) for details if you're curious, but **YOU ARE NOT RESPONSIBLE** for the proof in this class.

What you should know:

There exists a small universal hash family! A hash function from this universal hash family is quick to compute, lightweight to store, and relies on number theory to achieve our expected $O(1)$ operation costs!



سوال؟

جدول درهم سازی

جمع بندی مطالب درهم سازی و استفاده عملی از آن!

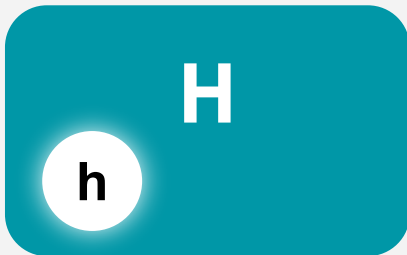
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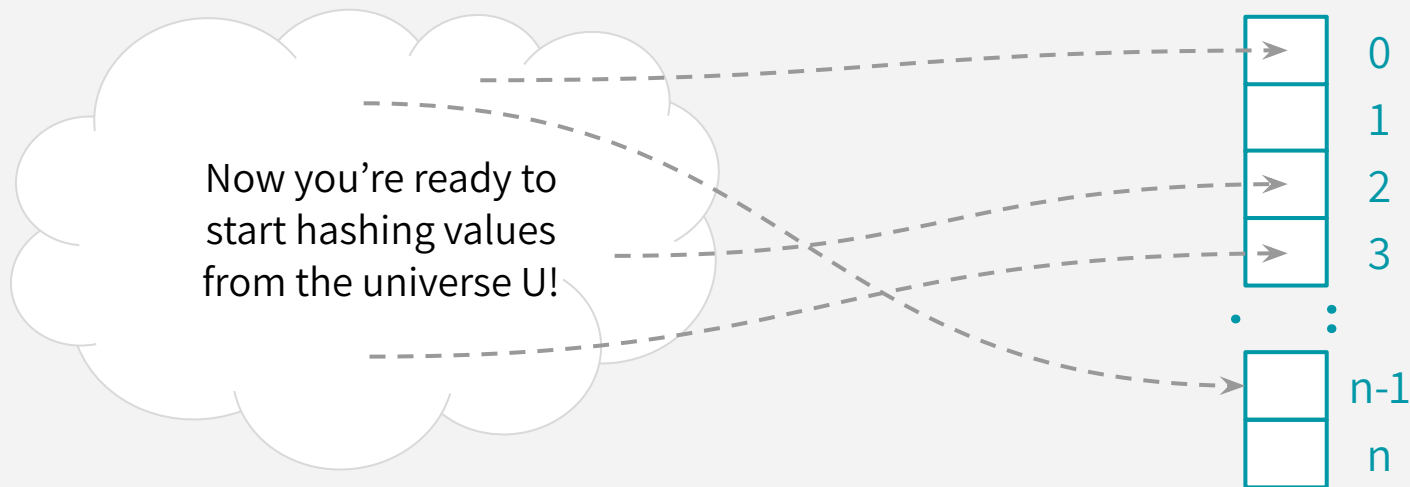
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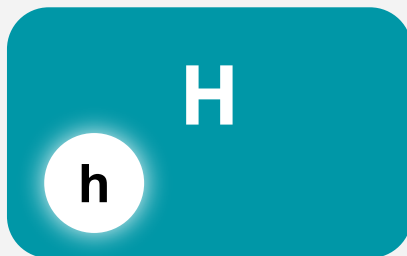


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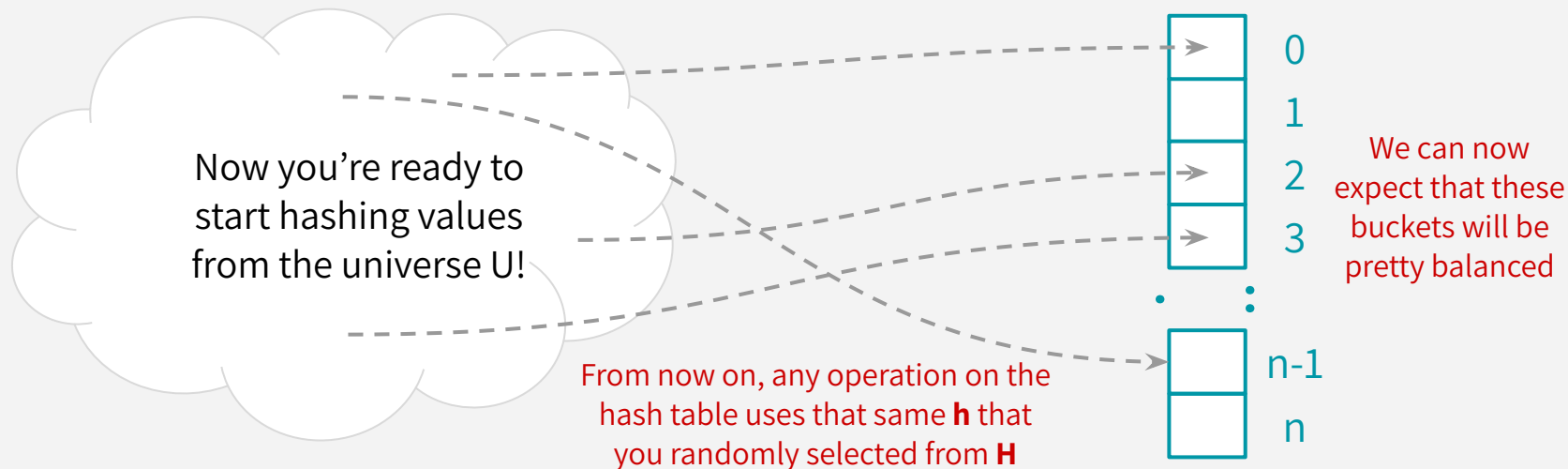


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HASH TABLE MOTIVATION

OPERATION	SORTED ARRAY	UNSORTED LINKED LIST	HASH TABLES (WORST-CASE)	HASH TABLES (EXPECTED)*
SEARCH	$O(\log(n))$	$O(n)$	$O(n)$	$O(1)$
DELETE	$O(n)$	$O(n)$	$O(n)$	$O(1)$
INSERT	$O(n)$	$O(1)$	$O(1)$	$O(1)$

*** Assuming we implement it cleverly with a “good” hash function**



سوال؟