

# ساختمان داده و الگوریتم ها

## مبحث ششم: مرتب سازی سریع

**سجاد شیرعلی شهرضا**

**پائیز 1402**

**شنبه، 22 مهر 1402**

# اطلاع رسانی

- بخش مرتبط کتاب برای این جلسه: 5
- امتحانک اول
  - دوشنبه (پس فردا)، 24 مهر 1402
  - به صورت حضوری در کلاس
  - در ساعت کلاس
- ساعت کلاس حل تمرین:
  - پنج شنبه ها، ساعت 10 تا 11:30
  - به صورت مجازی
  - آغاز از هفته گذشته!
- تمرین اول:
  - قرار گرفته در سایت درس
  - مهلت تحویل: ساعت 8 صبح شنبه هفته آینده، 29 مهر 1402

# مرتب سازی سریع

**یک نمونه واقعی و کاربردی از الگوریتم های تصادفی**

# QUICKSORT OVERVIEW

**EXPECTED RUNNING TIME**

$O(n \log n)$

**WORST-CASE RUNNING TIME**

$O(n^2)$

# QUICKSORT OVERVIEW

**EXPECTED RUNNING TIME**

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**WORST-CASE RUNNING TIME**

$O(n^2)$

In practice, it works great! It's competitive with MergeSort (& often better in some contexts!), and it runs *in place* (no need for lots of additional memory)

# QUICKSORT: THE IDEA

**Let's use DIVIDE-and-CONQUER again!**

Select a pivot *at random*

Partition around it

Recursively sort L and R!

# QUICKSORT: THE IDEA

Select a pivot

3	2	7	6	1	5	4	8
---	---	---	---	---	---	---	---

Pick this pivot uniformly at random!



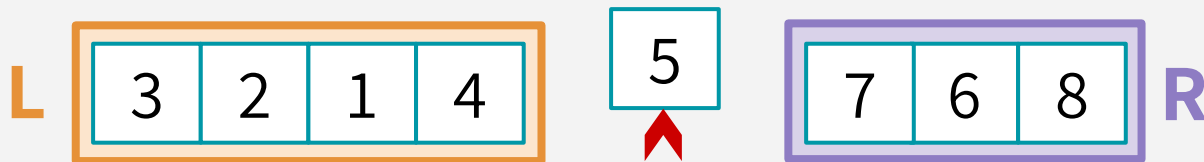
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Partition around pivot: **L** has elements less than pivot, and **R** has elements greater than pivot.



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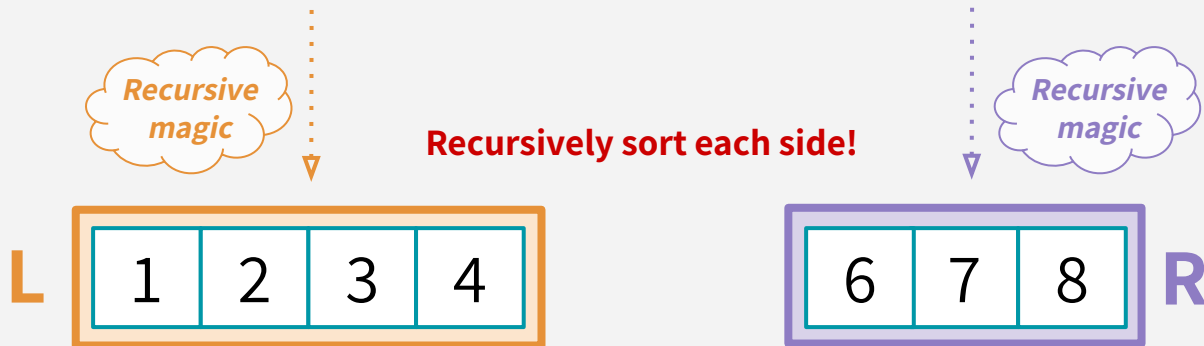
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Recurse!



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# QUICKSORT: PSEUDO-PSEUDOCODE

**QUICKSORT(A):**

**if** len(A) <= 1:

**return**

    pivot = random.choice(A)

**PARTITION** A into:

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    Replace A with [L, pivot, R]

**QUICKSORT**(L)

**QUICKSORT**(R)

# RECURRENCE RELATION

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## Recurrence Relation for **QUICKSORT**

$$T(n) = T(|L|) + T(|R|) + O(n)$$

$$T(0) = T(1) = O(1)$$

# IDEAL RUNTIME?

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**QUICKSORT(L)**

**QUICKSORT(R)**

## Recurrence Relation for QUICKSORT

$$T(n) = T(|L|) + T(|R|) + O(n)$$

$$T(0) = T(1) = O(1)$$

In an ideal world, the pivot would split the array exactly in half, and we'd get:

$$T(n) = T(n/2) + T(n/2) + O(n)$$

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**QUICKSORT**(L)

**QUICKSORT**(R)

## Recurrence Relation for QUICKSORT

**In an ideal world:**

$$T(n) = 2 \cdot T(n/2) + O(n)$$

$$T(n) = O(n \log n)$$

$$T(n) = T(|L|) + T(|R|) + O(n)$$

$$T(1) = O(1)$$

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**QUICKSORT(R)**

## Recurrence Relation for QUICKSORT

$$T(n) = T(|L|) + T(|R|) + O(n)$$

$$T(0) = T(1) = O(1)$$

With the unluckiest randomness, the pivot would be either min(A) or max(A):

$$T(n) = T(0) + T(n-1) + O(n)$$

# WORST-CASE RUNTIME

QUICKSORT(A):

if len(A) ≤ 1:

return

pivot = random element of A

PARTITION(A, pivot)

L (less than pivot)

R (greater than pivot)

Replace A with [L, pivot, R]

QUICKSORT(L)

QUICKSORT(R)

## Recurrence Relation for QUICKSORT

**With the worst “randomness”**

$$T(n) = T(n-1) + O(n)$$

$$T(n) = O(n^2)$$

(recursion tree/table or substitution method!)

$$T(|R|) + O(n)$$

$$= O(1)$$

With randomness, the pivot

is chosen as min(A) or max(A):

$$T(n) = T(0) + T(n-1) + O(n)$$



سوال؟

EXPECTED RUNTIME =  $O(n \log n)$

AN **INCORRECT** PROOF!

Lemma:  $E[|L|] = E[|R|] = (n-1)/2$

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$$E[|L|] = (n - 1)/2$$

(Solving for  $E[|L|]$ )

EXPECTED RUNTIME =  $O(n \log n)$

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EXPECTED RUNTIME =  $O(n \log n)$

**AN INCORRECT PROOF:**

- $E[|L|] = E[|R|] = (n - 1)/2$
- $T(n) = T(|L|) + T(|R|) + O(n)$  could be written as  
 $T(n) = 2T(n/2) + O(n)$ .

# EXPECTED RUNTIME = $O(n \log n)$

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**Why is this wrong?**

# EXPECTED RUNTIME = $O(n \log n)$

## AN **INCORRECT** PROOF:

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- Therefore, the expected running time is  $O(n \log n)$ !

### **Why is this wrong?**

Well, for starters, we can use the exact same argument to prove something false...



# LET'S START WITH QUICKSORT AND ...

**QUICKSORT(A):**

**if** len(A) <= 1:

**return**

    pivot = random.choice(A)

**PARTITION** A into:

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        R (greater than pivot)

    Replace A with [L, pivot, R]

**QUICKSORT**(L)

**QUICKSORT**(R)

# INTRODUCING **SLOW**SORT!

**SLOW**SORT(A):

if len(A) <= 1:

return

randomly choose either!

pivot = either max(A) OR min(A)

**PARTITION** A into:

L (less than pivot) and

R (greater than pivot)

Replace A with [L, pivot, R]

**SLOW**SORT(L)

**SLOW**SORT(R)

# SLOWSORT

**SLOWSORT**(A):

if len(A) <= 1:

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pivot = either max(A) OR min(A)

**PARTITION** A into:

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**SLOWSORT**(L)

**SLOWSORT**(R)

## Recurrence Relation for **SLOWSORT**

$$T(n) = T(|L|) + T(|R|) + O(n)$$

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**SLOWSORT**(A):

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L (less than pivot) and

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Replace A with [L, pivot, R]

**SLOWSORT**(L)

**SLOWSORT**(R)

## Recurrence Relation for **SLOWSORT**

$$T(n) = T(|L|) + T(|R|) + O(n)$$

$$T(0) = T(1) = O(1)$$

One of **|L|** or **|R|** is *always*  $n-1$

$$T(n) = T(0) + T(n-1) + O(n)$$

$$T(n) = O(n^2)$$

# SLOWSORT

**SLOWSORT**(A):

if len(A) <= 1:

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**SLOWSORT**(L)

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## Recurrence Relation for **SLOWSORT**

$$T(n) = T(|L|) + T(|R|) + O(n)$$

$$T(0) = T(1) = O(1)$$

*Same recurrence relation as  
**QUICKSORT**!*

And we also still have:

$$E[|L|] = E[|R|] = (n-1)/2$$

# SLOWSORT

```
SLOWSORT(A):
```

```
    if len(A) < 2:
```

```
        return A
```

```
    pivot = e
```

```
    PARTITION(A, pivot)
```

```
    L (les
```

```
    R (gre
```

```
    Replace A
```

```
    SLOWSORT(L)
```

```
    SLOWSORT(R)
```

## RED FLAG:

We could use the exact same (incorrect) proof to prove that **SLOWSort** has expected runtime  **$O(n \log n)$** , when it actually has expected runtime of  **$\Theta(n^2)$** ...

## Recurrence Relation for SLOWSORT

$T(|R|) + O(n)$

$= O(1)$

*Recurrence relation as*

**SLOWSORT!**

still have:

$|L| + |R| = (n-1)/2$

# EXPECTED RUNTIME = $O(n \log n)$

## AN **INCORRECT** PROOF:

- $E[|L|] = E[|R|] = (n - 1)/2$
- $T(n) = T(|L|) + T(|R|) + O(n)$  could be written as  $T(n) = 2T(n/2) + O(n)$ .
- Therefore, the expected running time is  $O(n \log n)$ !

**Why is this wrong?**

EXPECTED RUNTIME =  $O(n \log n)$

AN

Basically:

**$E[f(x)]$  is *not necessarily* the same as  $f(E[x])$**

e.g.  $E[X^2]$  is not the same as  $(E[X])^2$

We were reasoning about  $T(E[x])$  instead of  $E[T(x)]$

**why is this wrong?**



EXPECTED RUNTIME =  $O(n \log n)$

Instead, to prove that the expected runtime of QuickSort is  $O(n \log n)$ , we're going to count the **number of comparisons** that this algorithm performs, and take the expectation of that!

How many times are any two items compared?

EXPECTED RUNTIME =  $O(n \log n)$

**Will see it in  
Algorithm Design Course!**



سوال؟

# QUICKSORT

```
QUICKSORT(A):  
    if len(A) <= 1:  
        return  
    pivot = random.choice(A)  
    PARTITION A into:  
        L (less than pivot) and  
        R (greater than pivot)  
    Replace A with [L, pivot, R]  
    QUICKSORT(L)  
    QUICKSORT(R)
```

Worst case runtime:  
 **$O(n^2)$**

Expected runtime:  
 **$O(n \log n)$**

# BETTER WORST CASE RUNTIME

- Select a better pivot
  - Ideally, split the array into two equal parts
  - Select the median as pivot

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- How to select the median in  $O(n)$ ?

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- How to select the median in  $O(n)$ ?
  - Will also see it in Algorithm Design course!





سوال؟

# مرتب سازی سریع در عمل

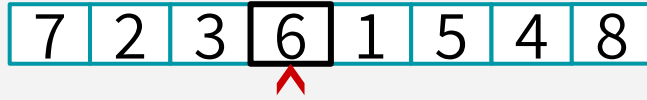
**چگونگی پیاده سازی (و آیا واقعا کسی از آن استفاده می کند؟)**

# IMPLEMENTING QUICKSORT

In practice, a more clever approach (Lomuto) is used to implement PARTITION, so that the entire QuickSort algorithm can be implemented “in-place”  
(i.e. via swaps, rather than constructing separate L or R subarrays)

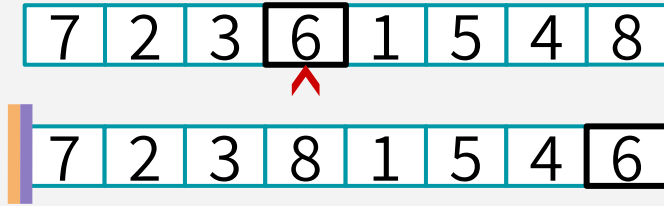
# AN EXAMPLE IN-PLACE PARTITION

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Choose pivot & swap  
with last element so  
pivot is at the end.

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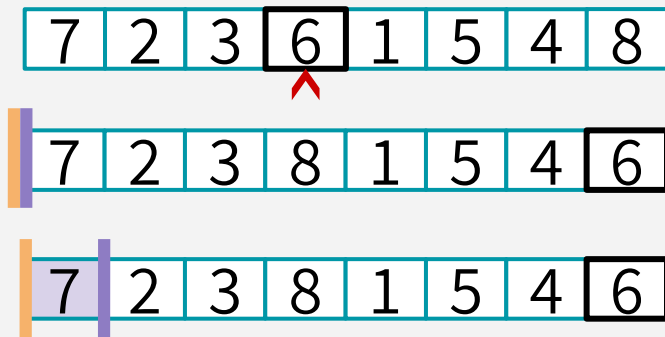


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Initialize  
and

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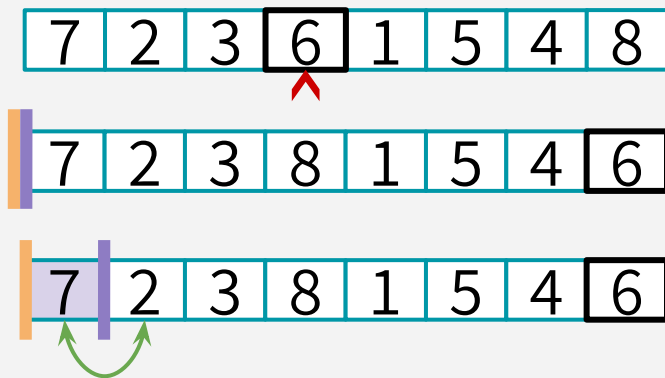


Initialize  
and



Increment until it sees  
something smaller than pivot,  
**swap** the things ahead of the  
bars & increment both bars

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Choose pivot & swap  
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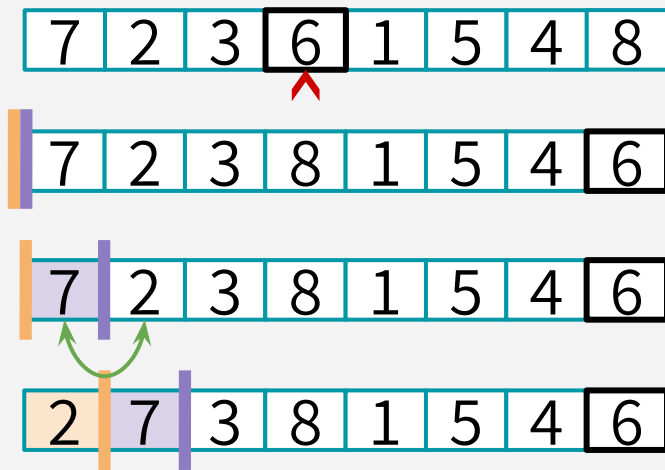
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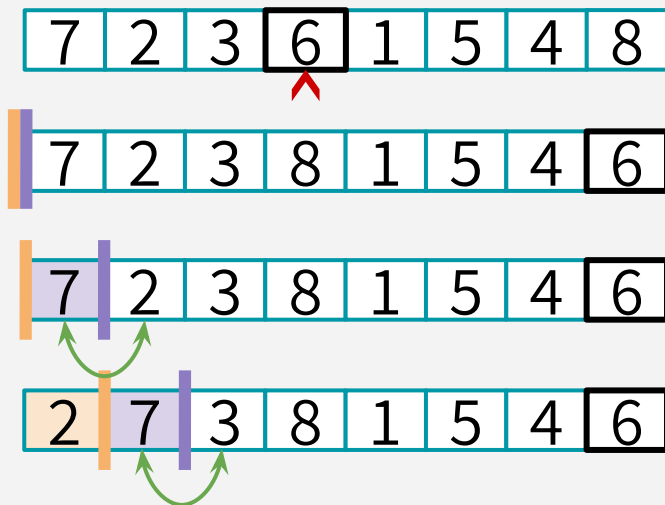


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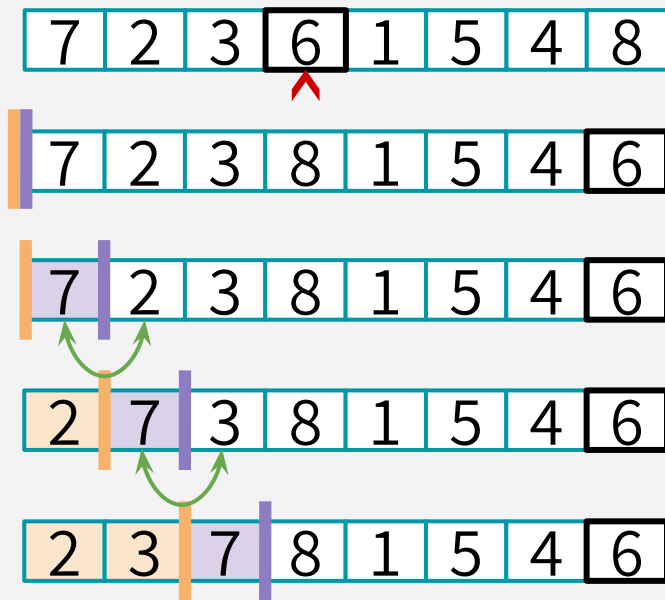


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Repeat until the bar reaches  
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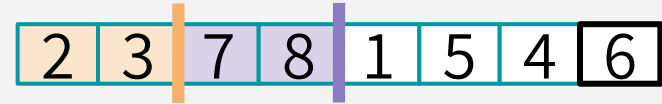
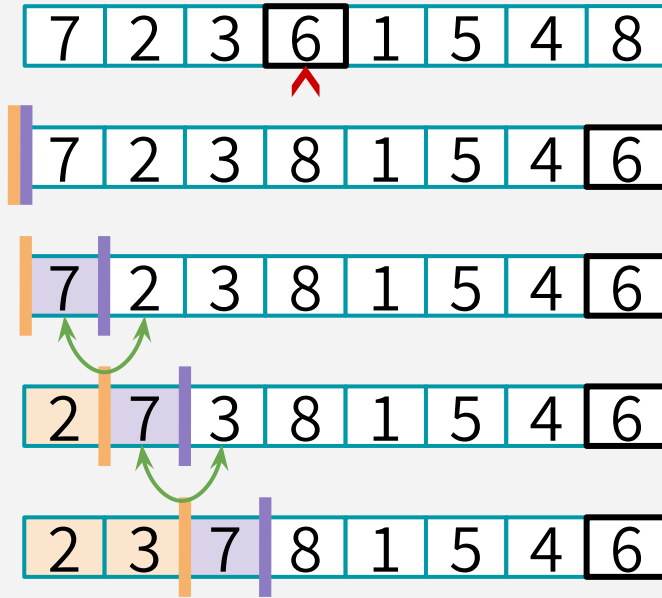


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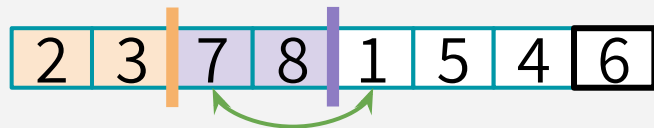
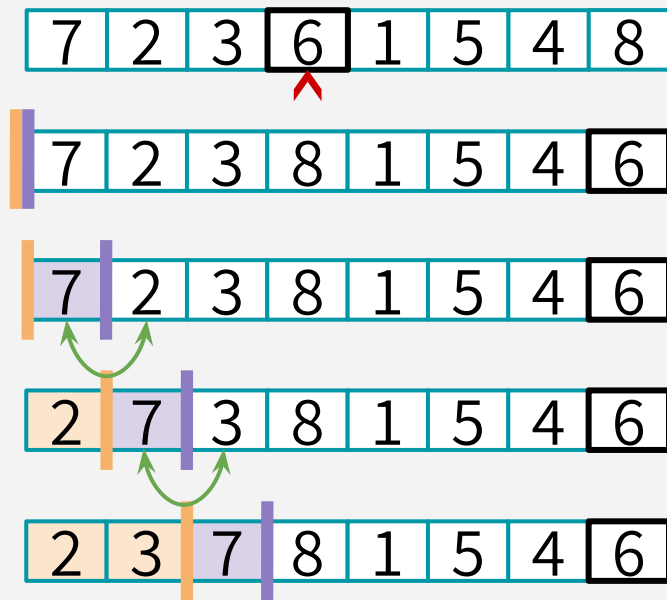


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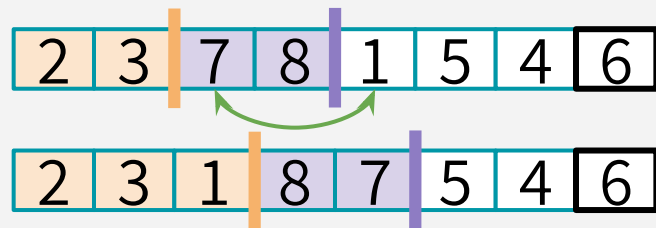
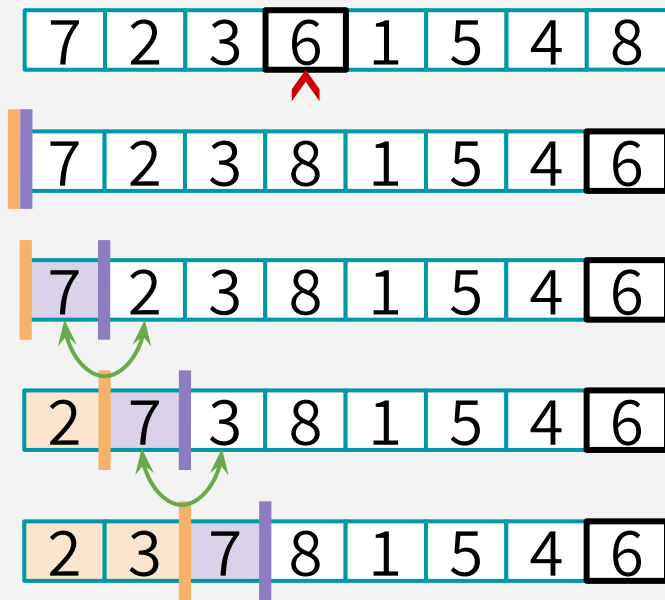


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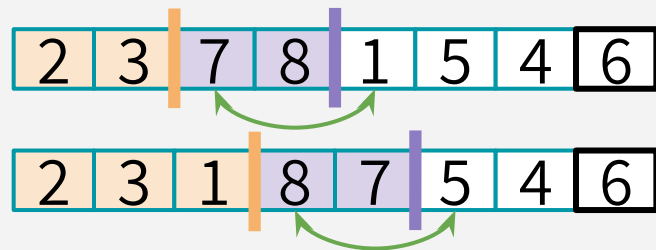
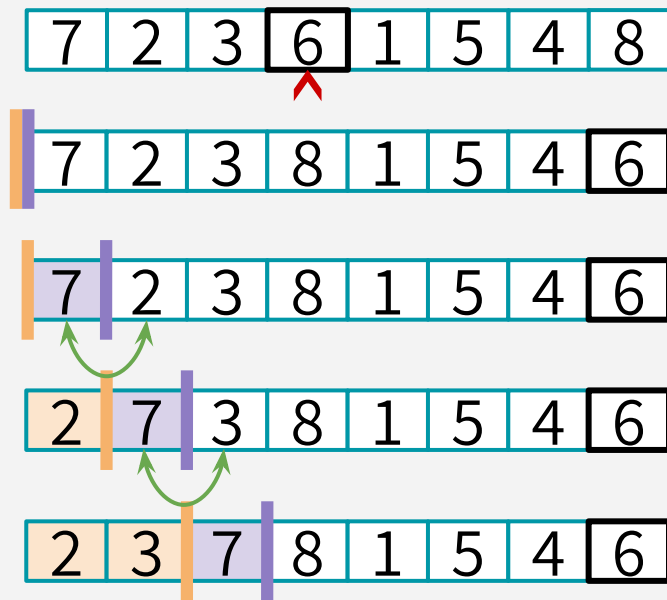


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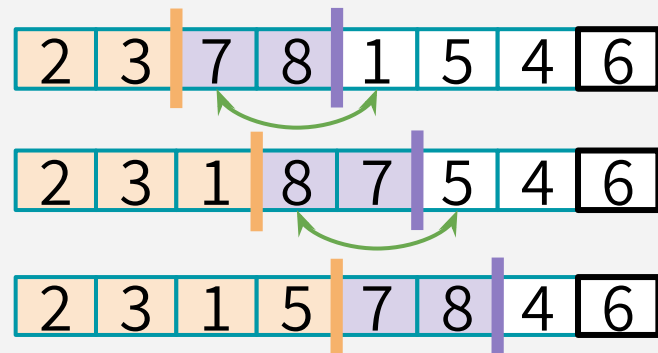
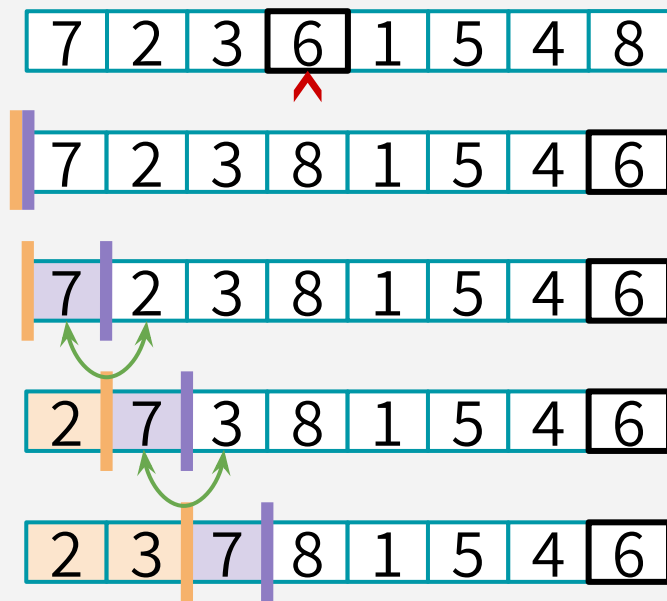


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into the right place.

## AN EXAMPLE IN-PLACE PARTITION



Choose pivot & swap with last element so pivot is at the end.



Initialize and



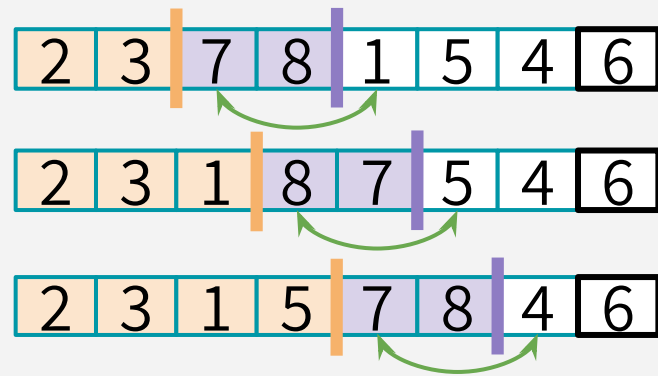
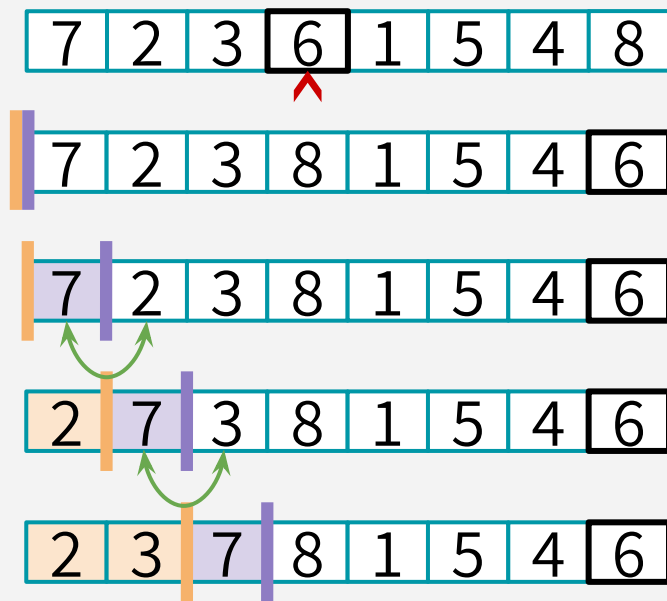
Increment **i** until it sees something smaller than pivot, **swap** the things ahead of the bars & increment both bars



Repeat until the bar reaches the end, then swap the pivot into the right place.



# AN EXAMPLE IN-PLACE PARTITION



Choose pivot & swap  
with last element so  
pivot is at the end.



Initialize  
and

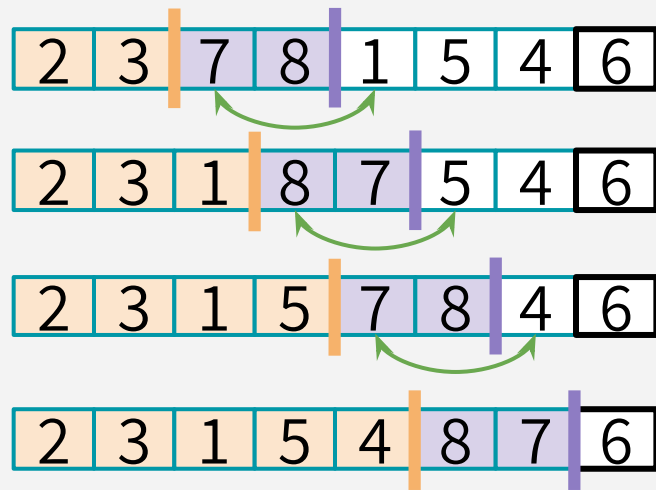
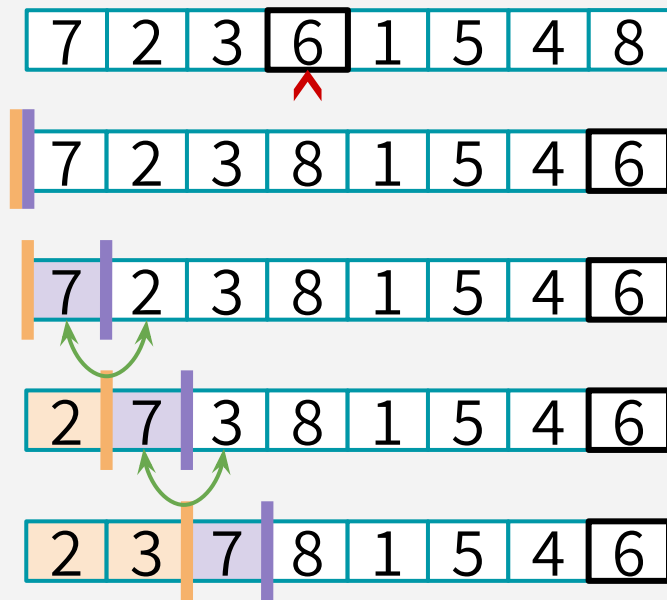


Increment until it sees  
something smaller than pivot,  
**swap** the things ahead of the  
bars & increment both bars



Repeat until the bar reaches  
the end, then swap the pivot  
into the right place.

# AN EXAMPLE IN-PLACE PARTITION



Choose pivot & swap  
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Initialize  
and

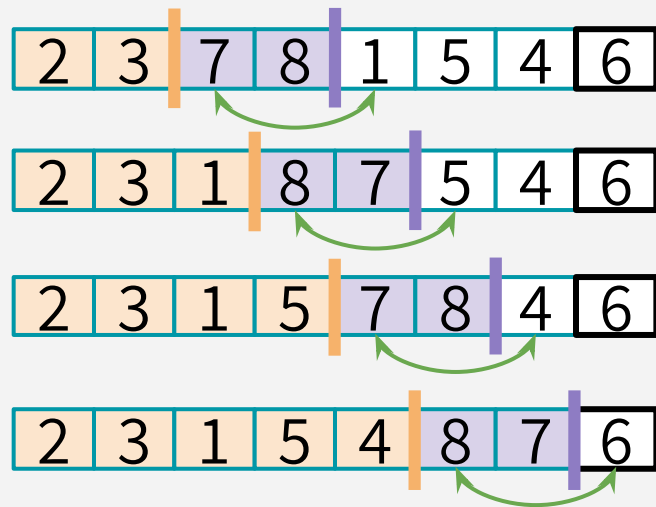
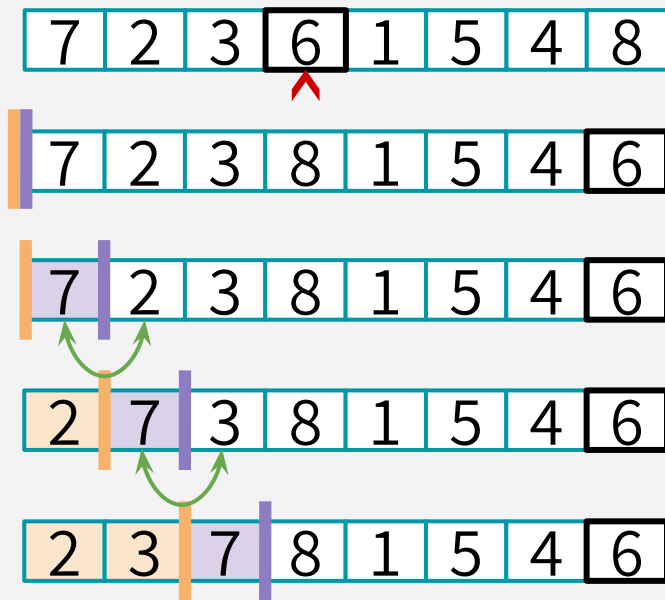


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# AN EXAMPLE IN-PLACE PARTITION



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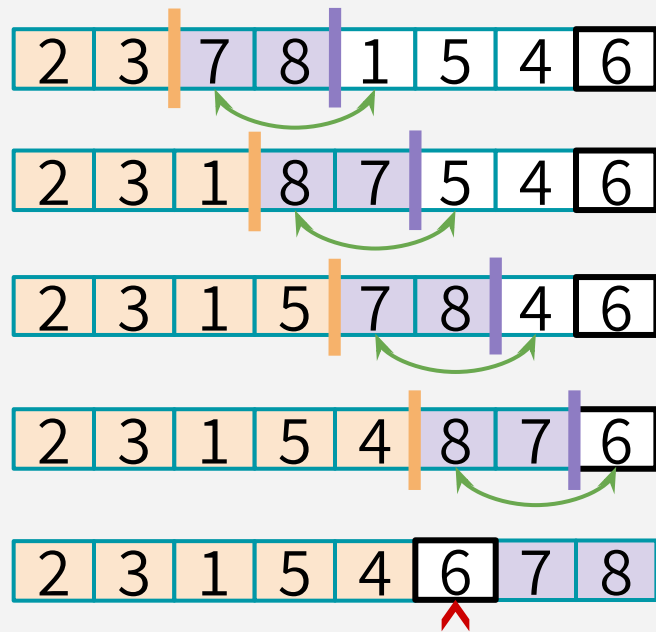
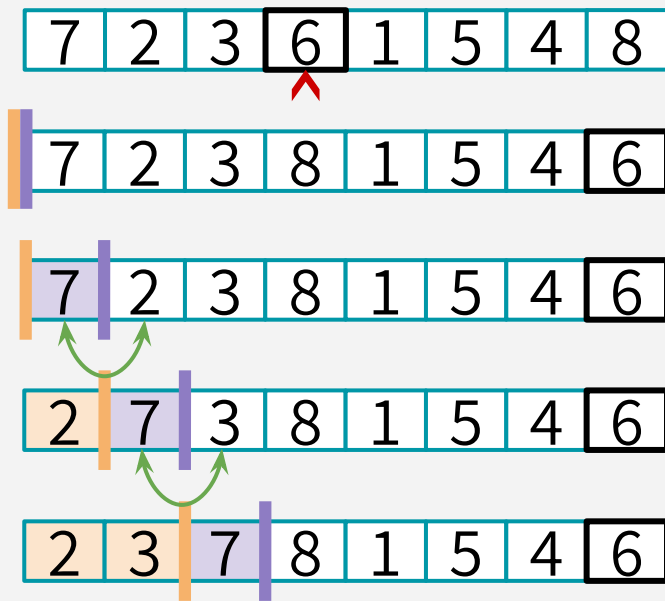


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# AN EXAMPLE IN-PLACE PARTITION



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Increment until it sees  
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Repeat until the bar reaches  
the end, then swap the pivot  
into the right place.

# IMPLEMENTING QUICKSORT

There's another in-place partition algorithm called Hoare Partition that's even more efficient as it performs less swaps.

What we just saw is known as Lomuto method.  
*(you're not responsible for knowing it in this class)*

# QUICKSORT vs. MERGESORT

	QuickSort (random pivot)	MergeSort (deterministic)
Runtime	<b>Worst-case: <math>O(n^2)</math></b> <b>Expected: <math>O(n \log n)</math></b>	<b>Worst-case: <math>O(n \log n)</math></b>
Used by	Java (primitive types), C (qsort), Unix, gcc...	Java for objects, perl
In-place? (i.e. with $O(\log n)$ extra memory)	Yes, pretty easily!	Easy if you sacrifice runtime ( $O(n \log n)$ MERGE runtime). <u>Not so easy</u> if you want to keep runtime & stability.
Stable?	No	Yes
Other Pros	Good cache locality if implemented for arrays	Merge step is really efficient with linked lists



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