

# Determinant line bundle and Quillen metric

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# Introduction

- Suppose for some reason you want to define the determinant of the Cauchy–Riemann operator  $\bar{\partial}$  on a Riemann surface, depending holomorphically on the complex structure.
- Soon you will realize that it makes not much sense.
- First, determinant is defined on linear operators  $T$  from  $V$  to itself.  $\bar{\partial}$  maps  $\Omega^{0,0}(E)$  to  $\Omega^{0,1}(E)$ .
- Determinant of  $T$  is a product of its eigenvalues. If dimension is infinite, it may diverge. In particular, determinant is zero unless it is invertible.  $\bar{\partial}$  is not in most cases.
- In this talk, I will explain Quillen's answer to this questions.

# Cauchy-Riemann operator

- Let  $E \rightarrow M$  complex vector bundle over Riemann surface  $M$ . Let  $\Omega^{p,q}(E)$  be the vector space of  $E$ -valued  $(p, q)$  forms.
- By a *Cauchy-Riemann* or  $\bar{\partial}$ -operator on  $E$ , we mean a differential operator  $D : \Omega^{0,0}(E) \rightarrow \Omega^{0,1}(E)$  of the form  $D = d\bar{z}(\partial_{\bar{z}} + \alpha(z))$  with smooth matrix function  $\alpha(z)$ .
- Such operators are in one-to-one correspondence with holomorphic structures on the vector bundle  $E$ .
- Denote by  $\mathcal{A}$  the space of these operators. It is an affine space relative to the complex vector space  $\mathcal{B} = \Omega^{0,1}(End E)$ .

## Finite dimensional case

- Consider the family of operators  $T : V^0 \rightarrow V^1$ , where  $V^0$  and  $V^1$  are vector spaces of the same finite dimensions.
- Each  $T$  induces a map from  $\lambda(V^0)$  to  $\lambda(V^1)$ , where  $\lambda(V) := \wedge^{\dim V} V$  denotes the highest exterior power of  $V$ .
- Hence  $T$  determines an element  $\sigma_T$  of the line  $\mathcal{L} = \lambda(V^0)^* \otimes \lambda(V^1)$ , where the asterisk denotes the dual vector space.
- Upon choosing a generator for this line,  $\sigma_T$  can be identified with a function  $\det(T)$ , which is holomorphic in  $T$  and nonzero exactly when  $T$  is invertible.

## Infinite dimensional case: an overview

- Now consider  $D : \Omega^{0,0}(E) \rightarrow \Omega^{0,1}(E)$ .
- We cannot take  $\lambda(\Omega^{0,0}(E))^* \otimes \lambda(\Omega^{0,1}(E))$ : they are infinite dimensional.
- Note that in finite dimension, we can decompose as  $V^0 = \text{Ker}T \oplus W, V^1 = \text{Coker}T \oplus TW$  so that

$$\mathcal{L} = \lambda(V^0)^* \otimes \lambda(V^1) \simeq \lambda(\text{Ker}T)^* \otimes \lambda(\text{Coker}T).$$

- In the case of  $D$ , we know that the kernel and cokernel of  $D$  are finite dimensional.
- Thus the line  $\mathcal{L}$  should be replaced by the line  $\mathcal{L}_D = \lambda(\text{Ker}D)^* \otimes \lambda(\text{Coker}D)$ , which now depends on  $D$ .

- The family of  $\mathcal{L}_D$  forms a holomorphic line bundle  $\mathcal{L}$  over  $\mathcal{A}$ , called the *determinant line bundle*.
- The analogue of the assumption that  $V^0$  and  $V^1$  have the same dimension is  $\text{Index}(D) = \dim \text{Ker} D - \dim \text{Coker} D = 0$ , which is a topological condition on  $E, M$ .
- In this case there is a canonical holomorphic section  $\sigma$  of  $\mathcal{L}$  such that  $\sigma_D \neq 0$  iff  $D$  is invertible.
- Then  $\sigma$  can be identified with a holomorphic function  $\det(D)$  on  $\mathcal{A}$ .

# Construction of $\mathcal{L}$

- Let  $\mathcal{F}$  be the space of Fredholm operators  $T$  from Hilbert spaces  $H^0$  to  $H^1$ .
- As an open subset of  $\mathcal{B}(H^0, H^1)$ ,  $\mathcal{F}$  is a complex Banach manifold.
- We will construct holomorphic line bundle  $\mathcal{L}$  over  $\mathcal{F}$  with fiber  $\mathcal{L}_T = \lambda(\text{Ker}T)^* \otimes \lambda(\text{Coker}T)$
- Then taking  $H^0 = L^2(\Omega^{0,0}(E))$ ,  $H^1 = W^{1,2}(\Omega^{0,1}(E))$ , we have a linear map  $\mathcal{A} \rightarrow \mathcal{F}$ ,  $D \mapsto T = D$ .
- We define  $\mathcal{L}$  over  $\mathcal{A}$  by pull-back.

- For any finite-dimensional subspace  $F$  of  $H^1$ , let  $U_F$  be the set of  $T$  which satisfies  $\text{Im } T + F = H^1(\text{Coker } T \subset F)$ .
- Claim) For each  $T \in U_F$ , there is a canonical isomorphism

$$\mathcal{L}_T = \lambda(\text{Ker } T)^* \otimes \lambda(\text{Coker } T) \simeq \lambda(T^{-1}F)^* \otimes \lambda(F).$$

- Proof) For each  $T \in U_F$ , there is an exact sequence

$$0 \rightarrow \text{Ker } T \xrightarrow{\text{id}} T^{-1}F \xrightarrow{T} F \xrightarrow{\text{proj}} \text{Coker } T \rightarrow 0.$$

- The set  $U_F$  is open, and the family of subspaces  $T^{-1}F$  form a holomorphic vector bundle over  $U_F$ .
- This defines  $\mathcal{L}$  over  $\mathcal{F}$  as a holomorphic line bundle.

## Canonical section

- Over the connected component of  $\mathcal{F}$  consisting of operators of index zero, define a section  $\sigma$  by setting  $\sigma_T = 0$  if  $T$  is not invertible,  $\sigma_T = 1$  under the canonical isomorphism  $\mathcal{L}_T = \mathbb{C}$  if  $T$  is invertible.
- It is unintuitive that this is even a smooth section. This is because we define  $\lambda(0) := \mathbb{C}$ , the canonical  $\mathbb{C}$ .
- Unlike the finite dimensional case,  $\mathcal{L}$  is now line *bundle*. So we need to trivialize it.
- After trivialization,  $\sigma$  will be given by holomorphic function on  $\mathcal{A}$ .
- This is done by defining a flat connection on  $\mathcal{L}$  and choosing a trivialization of  $\mathcal{L}$  by everywhere flat section ( $\mathcal{A}$  is contractible).

# Quillen metric

- On holomorphic vector bundle, hermitian metric and holomorphic structure uniquely determine a connection, called Chern connection.
- Goal) define a smooth hermitian metric on  $\mathcal{L}$ .
- Recall  $\mathcal{L}_D = \lambda(\text{Ker}D)^* \otimes \lambda(\text{Coker}D) = \lambda(\text{Ker}D)^* \otimes \lambda(\text{ker}D^*)$
- Suppose we are given hermitian metrics on  $E, M$ . They induce hermitian metric on  $\Omega^{0,\bullet}(E)$  by  $L^2$  inner product.
- This in turn induces inner products on  $\text{Ker}D, \text{Ker}D^*$ .

- Problem: this is not smooth. Here is why.
- When we define a smooth structure on  $\mathcal{L}$ , we implicitly cancel out some vectors with possibly different norm. i.e.  $v^* \otimes Tv \simeq 1$ .
- More concretely, for  $a > 0$ , let  $F_a^0$  (resp.  $F_a^1$ ) be the sum of the eigenspaces of the operator  $D^*D$  (resp.  $DD^*$ ) acting on  $\Omega^{0,\bullet}(E)$  for eigenvalue  $< a$ .
- One has a canonical isomorphism  $\mathcal{L}_D = \lambda(F_a^0)^* \otimes \lambda(F_a^1)$ .
- Under this isomorphism,  $\lambda$ -eigenvector  $s$  with  $0 < \lambda < a$  is canceled out by  $Ds$ , with same eigenvalue.
- For such  $s$ ,  $\lambda|s|^2 = |Ds|^2$ .

- To absorb this discontinuity, define a new metric  $|||$  by multiplying  $L^2$  metric with all of positive eigenvalues of  $D^*D$ :

$$||\cdot||_Q^2 = |\cdot|_{L^2}^2 \prod_{0 < \lambda < \infty} \lambda.$$

- If this makes sense, it will be locally described by  $||\cdot||_Q^2 = |\cdot|_{L^2}^2 \prod_{0 < \lambda < \infty} \lambda$  on  $\lambda(F_a^0)^* \otimes \lambda(F_a^1)$ , which is smooth.
- Of course, this product diverges. But we don't need it to be actually product. We only need it be kind of like product: depends smoothly on  $\lambda$  and

$$\lambda_1 \lambda_2 \lambda_3 \cdots = (\lambda_1 \lambda_2 \cdots \lambda_N) \cdot (\lambda_{N+1} \lambda_{N+2} \cdots).$$

- This can be achieved by zeta function regularization.

- Let  $\Lambda : 0 < \lambda_1 \leq \lambda_2 \leq \dots$ . Define zeta function attached to it to be

$$\zeta_\Lambda(s) := \sum_{n \geq 1} \lambda_n^{-s}.$$

- If it converges for  $\operatorname{Re}(s) \gg 0$ , has a meromorphic continuation to  $\mathbb{C}$  and has no pole at  $s = 0$ , define

$$\prod_{n=1}^{\infty} \lambda_n := e^{-\zeta'_\Lambda(0)}.$$

- Then it satisfies the desired properties (eigenvalues of  $D^*D$  satisfies those conditions).
- $\|\cdot\|_Q^2 := |\cdot|_{L^2}^2 e^{-\zeta'_{\operatorname{Spec}\Delta}(0)}$  is called the *Quillen metric*.

# Quillen curvature formula

- Now we have a smooth metric  $\|\cdot\|_Q$  on  $\mathcal{L}$ . Associated Chern connection is not flat, but we know its curvature exactly (!).
- Recall  $\mathcal{B} = \Omega^{0,1}(EndE)$ . Given  $B \in \mathcal{B}$ , write it as  $B = \alpha(z)d\bar{z}$  wrt a local orthonormal frame of  $E$ . Let  $B^+ = \alpha(z)^*dz \in \Omega^{1,0}(EndE)$ .
- Then  $Tr_E(B^+B) \in \Omega^{1,1}(M)$ . Define inner product on  $\mathcal{B}$  by

$$\frac{i}{2\pi} \int_M tr_E(B^+B).$$

- It determines a Kähler form on the affine space  $\mathcal{A}$ . That is, fix a base point  $D_0$ . Then for  $\|\cdot\|$  denoting the norm on  $\mathcal{B}$  induced by that inner product,  $i\partial\bar{\partial}q, q(D) := \|D - D_0\|$  is a Kähler form on  $\mathcal{A}$ .

- This Kähler form is exactly the curvature of the determinant line bundle.

## Quillen curvature formula [Quillen '85]

The Chern curvature of the Quillen metric  $\|\cdot\|_Q$  is  $\partial\bar{\partial}q$ .

- Define new metric by  $\|\cdot\|_q^2 = \|\cdot\|_Q^2 \times e^q$ . Then the associated Chern connection is flat.
- Thus finally, we obtain our goal:

## Corollary [Quillen '85]

Given a basepoint  $D_0$ , there exists a holomorphic function  $\det(D; D_0)$  on  $\mathcal{A}$ , which is unique up to a scalar of absolute value one, such that

$$\|\sigma_D\|_q^2 = e^{-\zeta'_{D^*D}(0)} e^{\|D - D_0\|} = |\det(D; D_0)|^2.$$

# Proof of the Quillen curvature formula

- Given  $D$ , let  $\nabla$  be a Chern connection on  $E$  w.r.t.  $D$ .
- That is, if  $D = d\bar{z}(\partial_{\bar{z}} + \alpha)$  w.r.t. orthonormal frame of  $E$ ,

$$\nabla = dz(\partial_z - \alpha^*) + d\bar{z}(\partial_{\bar{z}} + \alpha).$$

- Let  $F(z, z') : E'_z \rightarrow E_z$  be the parallel transport w.r.t.  $\nabla$  along the geodesic. Then near diagonal,

$$F(z, z') = e^{(z-z')\alpha^* - (\bar{z}-\bar{z}')\alpha} = 1 + (z - z')\alpha^* - (\bar{z} - \bar{z}')\alpha + \dots.$$

- Let  $r(z, z')$  be the geodesic distance, and write the metric  $ds^2 = \rho(z)|dz|^2$ .

- Suppose  $D$  is invertible. Denote by  $G(z, z') = \langle z | D^{-1} | z' \rangle$  the Schwartz kernel of  $D^{-1} : \Omega^{0,1}(E) \rightarrow \Omega^{0,0}(E)$ .
- We want to compute the finite part of  $G$  along the diagonal.
- Let a parametrix  $G_0(z, z')$  by

$$G_0(z, z') = \frac{i}{2\pi} [dz \partial_z \log r^2(z, z')] F(z, z').$$

- Define the finite part of  $G$  along the diagonal by

$$J(z') = \lim_{z \rightarrow z'} G(z, z') - G_0(z, z') \in \Omega^{1,0}(End E).$$

- Here we used duality  $(\Lambda^{0,1})^* \simeq \Lambda^{1,0}$ ,  $1 \simeq \frac{i}{2} dz \wedge d\bar{z}$ .

- Near diagonal,

$$G(z, z') = \frac{i}{2\pi} \frac{dz'}{z - z'} (1 + (z - z')\beta(z') + (\bar{z} - \bar{z}')\gamma(z') + \dots).$$

- Since  $D_z G(z, z') = (\partial_{\bar{z}} + \alpha) G(z, z') = \delta_{z=z'}$ ,  $\gamma$  must be  $-\alpha$ .
- Also, since  $D \mapsto D^{-1}$  is holomorphic,  $\beta$  depends holomorphically on  $D$ .
- Using  $r^2 \sim \sqrt{\rho(z)\rho(z')}|z - z'|^2$ , we obtain

$$J(z') = \frac{idz'}{2\pi} \left( \beta - \alpha^* - \frac{1}{2} \log \rho \right). \quad (0.1)$$

## Claim

$$\lim_{t \rightarrow 0} \langle z | e^{-t\Delta} G | z \rangle = J(z)$$

uniformly in  $z$ . Consequently, for any  $B \in \mathcal{B}$ ,

$$\lim_{t \rightarrow 0} \text{Tr}(e^{-t\Delta} D^{-1} B) = \int_M \text{tr}(JB).$$

Proof) Use  $G = G - G_0 + G_0$  and

$$\lim_{t \rightarrow 0} \langle z | e^{-t\Delta} G_0 | z \rangle = 0.$$

This follows from asymptotic expansion of the heat kernel

$$e^{-t\Delta}(z, z') \sim \frac{1}{4\pi t} e^{-r(z,z')^2/4t} (F + ta_1 + \dots).$$

# Derivative of the Ray-Singer torsion

- WLOG, assume  $\text{Index}(D) = 0$  by adding  $E$  a vector bundle of the opposite index ( $\because \text{Index}(D_E \oplus D_F) = \text{Index}(D_E) + \text{Index}(D_F)$ ).
- Since invertible operators are dense in  $\text{Fred}_0$  we only need to consider the holomorphic one-parameter family of invertible  $\bar{\partial}$ -operators  $w \mapsto D_w$ .
- The curvature is then computed in terms of canonical section by

$$\bar{\partial} \partial \log \| \sigma \|_Q^2 = dw d\bar{w} \partial_{\bar{w}w}^2 \zeta'(0).$$

- Recall that  $\zeta(s) = \text{Tr}(\Delta^{-s})$ ,  $\Delta = D^* D$ .

- First,

$$-\partial_w \zeta(s) = s \operatorname{Tr}[\Delta^{-s-1} \partial_w \Delta] = s \operatorname{Tr}[\Delta^{-s} D^{-1} \partial_w D]$$

since  $\partial_w D^* = (\partial_{\bar{w}} D)^* = 0$ .

- Thus using Mellin transform,

$$-\partial_w \zeta(s) = \frac{s}{\Gamma(s)} \int_0^\infty t^{s-1} \operatorname{Tr}[e^{-t\Delta} D^{-1} \partial_w D] dt.$$

- Since

$$\operatorname{Tr}[e^{-t\Delta} D^{-1} \partial_w D] = \int_M \operatorname{tr}(J \partial_w D) + O(t^\varepsilon)$$

as  $t \rightarrow 0$  and decay exponentially as  $t \rightarrow \infty$ , we get

- $$-\partial_w \zeta(s) = \frac{s}{\Gamma(s)} \left[ \int_0^1 + \int_1^\infty \right] = s \left[ \int_M \text{tr}(J \partial_w D) + O(s) \right]$$

as  $s \rightarrow 0$  ( $\because \Gamma(s) = s^{-1} - \gamma + O(s)$ ).

- Hence

$$-\partial_w \zeta'(0) = \int_M \text{tr}(J \partial_w D).$$

- Now using (0.1), we can compute

$$\partial_{\bar{w}w}^2 \zeta'(0) = \frac{i}{2\pi} \int_M \text{tr}(\partial_{\bar{w}} \alpha^* \partial_w D) = \frac{i}{2\pi} \int_M \text{tr}(\partial_w D)^+ \partial_w D.$$

- This completes the proof. □

# References

- *Determinants of Cauchy-Riemann operators over a Riemann surface*  
by D. Quillen
- *Lectures on Arakelov geometry* by C. Soulé et al.
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Bismut-Gillet-Soulé.

Thank you!