Budapest University of Technology and Economics
Department of Artificial Intelligence and Systems Engineering

Artificial intelligence – VIMIAC16-EN, VIMIAC10

2024 Fall Semester

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Slides Adapted from Berkeley CS188, from Dan Klein, Pieter Abbeel and Sergey Levine
http://ai.berkeley.edu

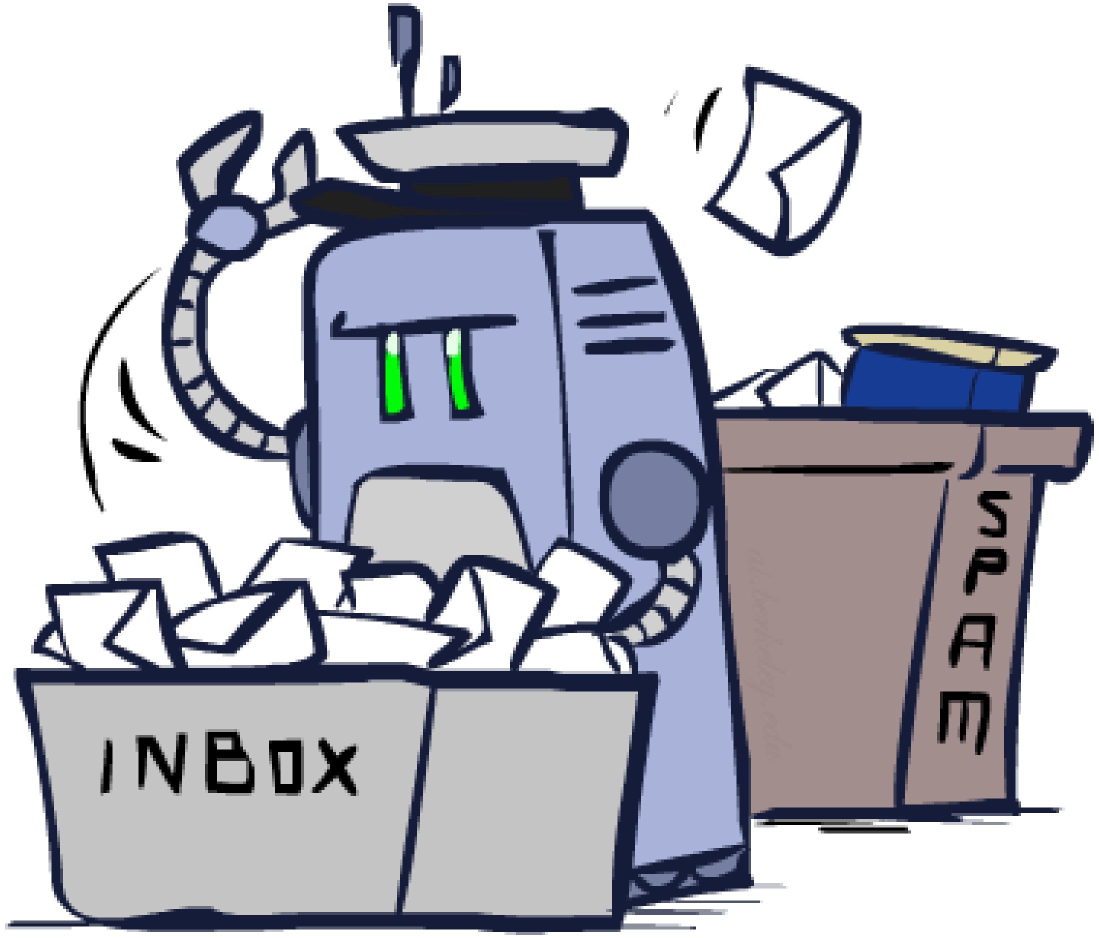
MŰEGYETEM 1782

Artificial intelligence lectures

Az előadás diái az AIMA könyvre épülve (http://aima.cs.berkeley.edu) készültek a University of California, Berkeley mesterséges intelligencia kurzusának anyagainak felhasználásával (http://ai.berkeley.edu).

These slides are based on the AIMA book (http://aima.cs.berkeley.edu) and were adapted from the AI course material of University of California, Berkeley (http://ai.berkeley.edu).

Classification



Example: Spam Filter

- Input: an email
- Output: spam/ham

- Setup:
  - Get a large collection of example emails, each labeled “spam” or “ham”
  - Note: someone has to hand label all this data!
  - Want to learn to predict labels of new, future emails

- Features: The attributes used to make the ham / spam decision
  - Words: FREE!
  - Text Patterns: $dd, CAPS
  - Non-text: SenderInContacts
  - …

✗

Dear Sir.

First, I must solicit your confidence in this transaction, this is by virture of its nature as being utterly confidencial and top secret. …

✗

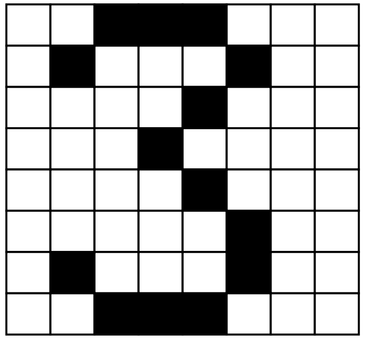
TO BE REMOVED FROM FUTURE MAILINGS, SIMPLY REPLY TO THIS MESSAGE AND PUT "REMOVE" IN THE SUBJECT.

99 MILLION EMAIL ADDRESSES
 FOR ONLY $99

✓

Ok, Iknow this is blatantly OT but I'm beginning to go insane. Had an old Dell Dimension XPS sitting in the corner and decided to put it to use, I know it was working pre being stuck in the corner, but when I plugged it in, hit the power nothing happened.

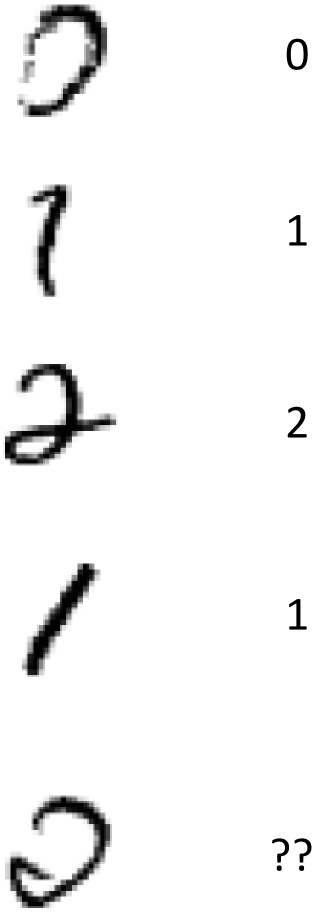
Example: Digit Recognition



- Input: images / pixel grids
- Output: a digit 0-9

- Setup:
  - Get a large collection of example images, each labeled with a digit
  - Note: someone has to hand label all this data!
  - Want to learn to predict labels of new, future digit images

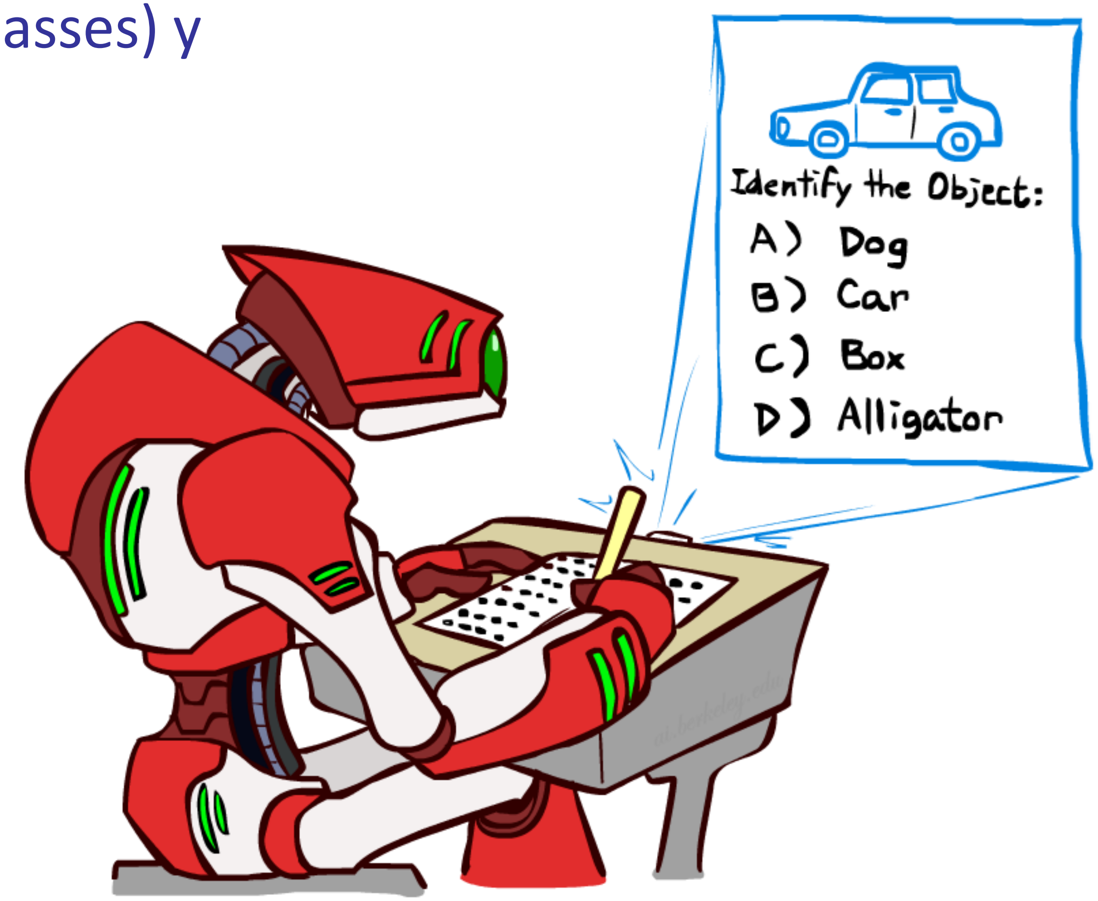
- Features: The attributes used to make the digit decision
  - Pixels: (6,8)=ON
  - Shape Patterns: NumComponents, AspectRatio, NumLoops
  - …



Other Classification Tasks

- Classification: given inputs x, predict labels (classes) y

- Examples:
  - Spam detection (input: document, classes: spam / ham)
  - OCR (input: images, classes: characters)
  - Medical diagnosis (input: symptoms, classes: diseases)
  - Automatic essay grading (input: document, classes: grades)
  - Fraud detection (input: account activity, classes: fraud / no fraud)
  - Customer service email routing
  - … many more

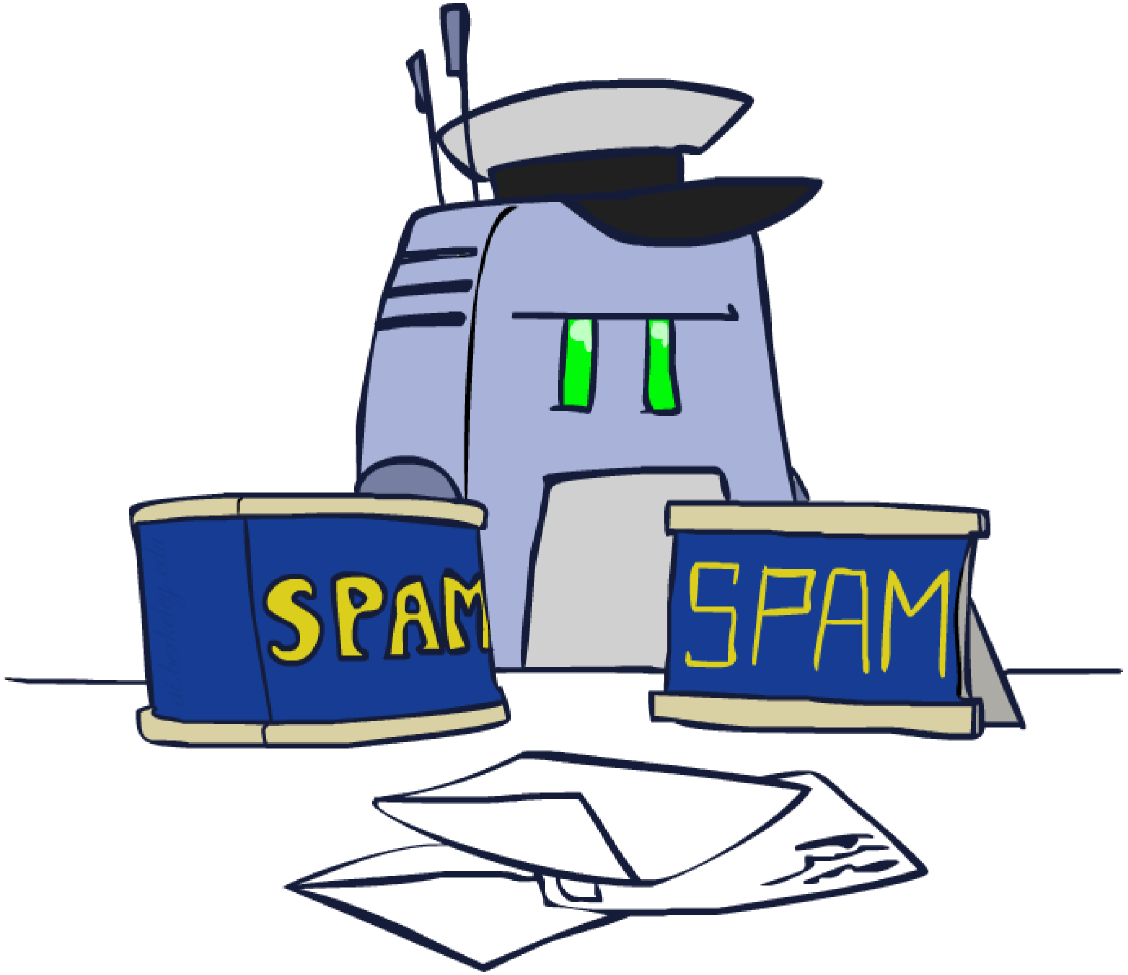


- Classification is an important commercial technology!

Model-Based Classification

Model-Based Classification

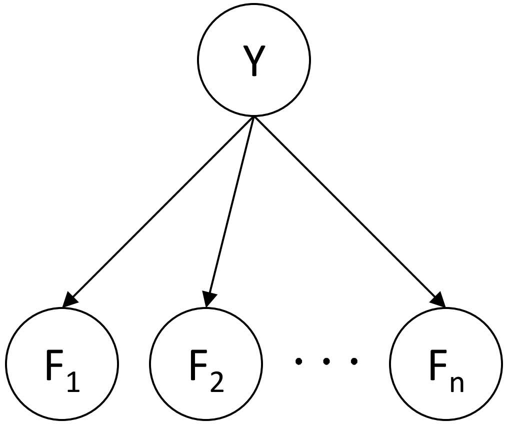
- Model-based approach
  - Build a model (e.g. Bayes' net) where both the label and features are random variables
  - Instantiate any observed features
  - Query for the distribution of the label conditioned on the features
- Challenges
  - What structure should the BN have?
  - How should we learn its parameters?



Naïve Bayes for Digits

- Naïve Bayes: Assume all features are independent effects of the label

- Simple digit recognition version:
  - One feature (variable) F_{ij} for each grid position <i,j>
  - Feature values are on / off, based on whether intensity is more or less than 0.5 in underlying image
  - Each input maps to a feature vector, e.g.



$$\rightarrow \langle F_{0,0} = 0 \;\; F_{0,1} = 0 \;\; F_{0,2} = 1 \;\; F_{0,3} = 1 \;\; F_{0,4} = 0 \;\; \ldots F_{15,15} = 0 \rangle$$

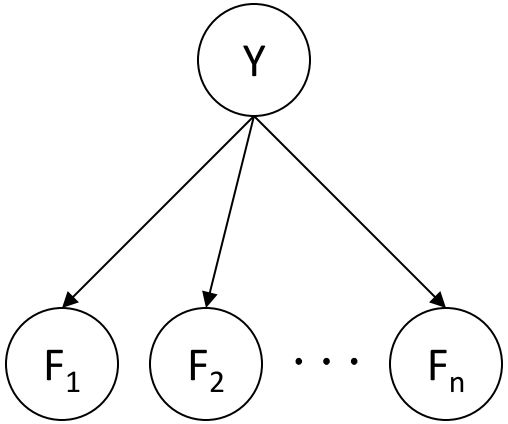
  - Here: lots of features, each is binary valued

- Naïve Bayes model: $P(Y|F_{0,0} \ldots F_{15,15}) \propto P(Y) \prod_{i,j} P(F_{i,j}|Y)$

- What do we need to learn?

General Naïve Bayes

- A general Naive Bayes model:



|Y| parameters

$$P(\mathsf{Y}, \mathsf{F}_1 \ldots \mathsf{F}_n) = \quad P(\mathsf{Y}) \prod_i P(\mathsf{F}_i|\mathsf{Y})$$

|Y| x $|F|^n$ values

n x |F| x |Y| parameters

- We only have to specify how each feature depends on the class
- Total number of parameters is *linear* in n
- Model is very simplistic, but often works anyway

Inference for Naïve Bayes

- Goal: compute posterior distribution over label variable Y
  - Step 1: get joint probability of label and evidence for each label

$$P(Y, f_1 \dots f_n) = \begin{bmatrix} P(y_1, f_1 \dots f_n) \\ P(y_2, f_1 \dots f_n) \\ \vdots \\ P(y_k, f_1 \dots f_n) \end{bmatrix} \Rightarrow \frac{\begin{bmatrix} P(y_1) \prod_i P(f_i|y_1) \\ P(y_2) \prod_i P(f_i|y_2) \\ \vdots \\ P(y_k) \prod_i P(f_i|y_k) \end{bmatrix}}{P(f_1 \dots f_n)} \; +$$

  - Step 2: sum to get probability of evidence

$$\Downarrow$$

  - Step 3: normalize by dividing Step 1 by Step 2

$$P(Y|f_1 \dots f_n)$$

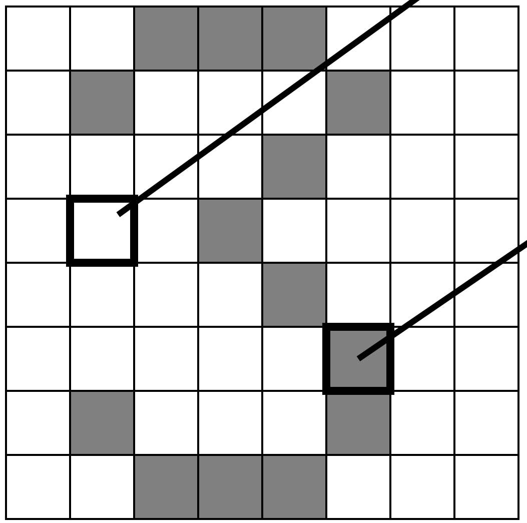
General Naïve Bayes

- What do we need in order to use Naïve Bayes?
  - Inference method (we just saw this part)
    - Start with a bunch of probabilities: P(Y) and the $P(F_i|Y)$ tables
    - Use standard inference to compute $P(Y|F_1 \ldots F_n)$
    - Nothing new here
  - Estimates of local conditional probability tables
    - P(Y), the prior over labels
    - $P(F_i|Y)$ for each feature (evidence variable)
    - These probabilities are collectively called the *parameters* of the model and denoted by θ
    - Up until now, we assumed these appeared by magic, but…
    - …they typically come from training data counts: we'll look at this soon

Example: Conditional Probabilities

$P(Y)$

1	0.1
2	0.1
3	0.1
4	0.1
5	0.1
6	0.1
7	0.1
8	0.1
9	0.1
0	0.1



$P(F_{3,1} = on|Y)$

1	0.01
2	0.05
3	0.05
4	0.30
5	0.80
6	0.90
7	0.05
8	0.60
9	0.50
0	0.80

$P(F_{5,5} = on|Y)$

1	0.05
2	0.01
3	0.90
4	0.80
5	0.90
6	0.90
7	0.25
8	0.85
9	0.60
0	0.80

A Spam Filter

- Naïve Bayes spam filter

- Data:
  - Collection of emails, labeled spam or ham
  - Note: someone has to hand label all this data!
  - Split into training, held-out, test sets

- Classifiers
  - Learn on the training set
  - (Tune it on a held-out set)
  - Test it on new emails

✗

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Naïve Bayes for Text

- Bag-of-words Naïve Bayes:
  - Features: W_i is the word at positon i
  - As before: predict label conditioned on feature variables (spam vs. ham)
  - As before: assume features are conditionally independent given label
  - New: each W_i is identically distributed

how many variables are there?
how many values?

- Generative model: $P(Y, W_1 \ldots W_n) = P(Y) \prod_i P(W_i|Y)$

Word at position i, not i^{th} word in the dictionary!

- "Tied" distributions and bag-of-words
  - Usually, each variable gets its own conditional probability distribution P(F|Y)
  - In a bag-of-words model
    - Each position is identically distribute
    - All positions share the same conditi
    - Why make this assumption?
  - Called "bag-of-words" because model is insensitive to word order or reordering

in is lecture lecture next over person remember room sitting the the the to to up wake when you

Example: Spam Filtering

- Model: $P(Y, W_1 \dots W_n) = P(Y) \prod_i P(W_i|Y)$

- What are the parameters?

$P(Y)$

ham :	0.66
spam:	0.33

$P(W|\text{spam})$

the :	0.0156
to :	0.0153
and :	0.0115
of :	0.0095
you :	0.0093
a :	0.0086
with:	0.0080
from:	0.0075
...	

$P(W|\text{ham})$

the :	0.0210
to :	0.0133
of :	0.0119
2002:	0.0110
with:	0.0108
from:	0.0107
and :	0.0105
a :	0.0100
...	

- Where do these tables come from?

Spam Example

$P(Y)$

$P(W_1|Y)$

$P(W_2|Y)$

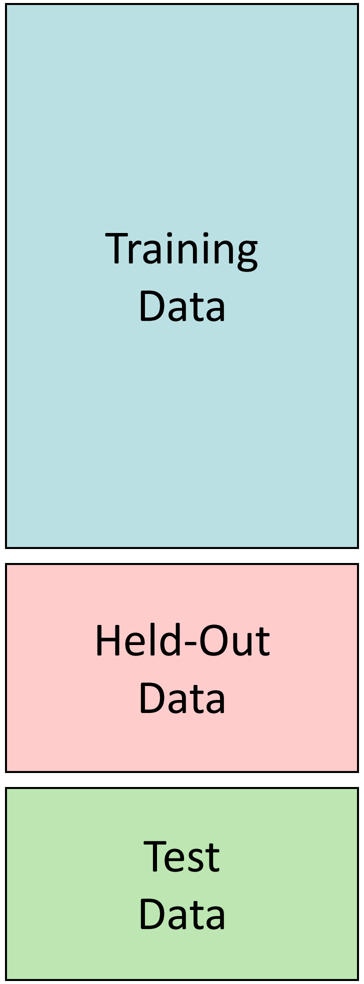
Word	P(w|spam)	P(w|ham)	Tot Spam	Tot Ham
(prior)	0.33333	0.66666	-1.1	-0.4

Training and Testing

= Apple
= Car
Practice Exam
Final Exam!

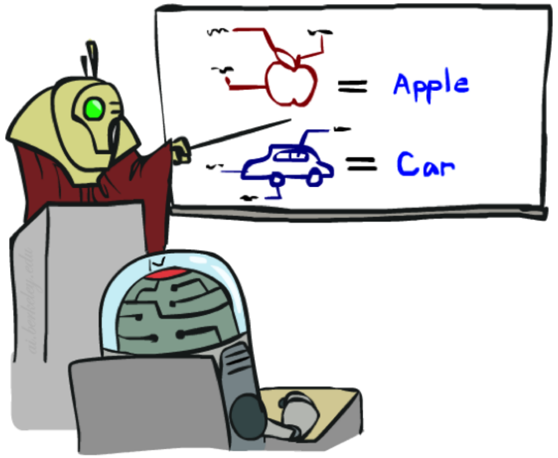
Important Concepts

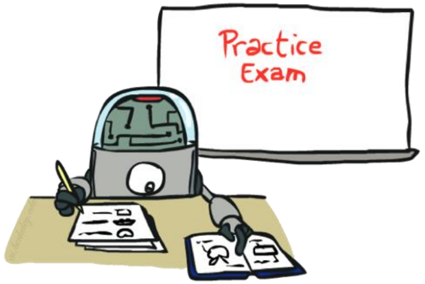
- Data: labeled instances, e.g. emails marked spam/ham
  - Training set
  - Held out set
  - Test set
- Features: attribute-value pairs which characterize each x
- Experimentation cycle
  - Learn parameters (e.g. model probabilities) on training set
  - (Tune hyperparameters on held-out set)
  - Compute accuracy of test set
  - Very important: never “peek” at the test set!
- Evaluation
  - Accuracy: fraction of instances predicted correctly
- Overfitting and generalization
  - Want a classifier which does well on *test* data
  - Overfitting: fitting the training data very closely, but not generalizing well
  - Underfitting: fits the training set poorly


Training Data

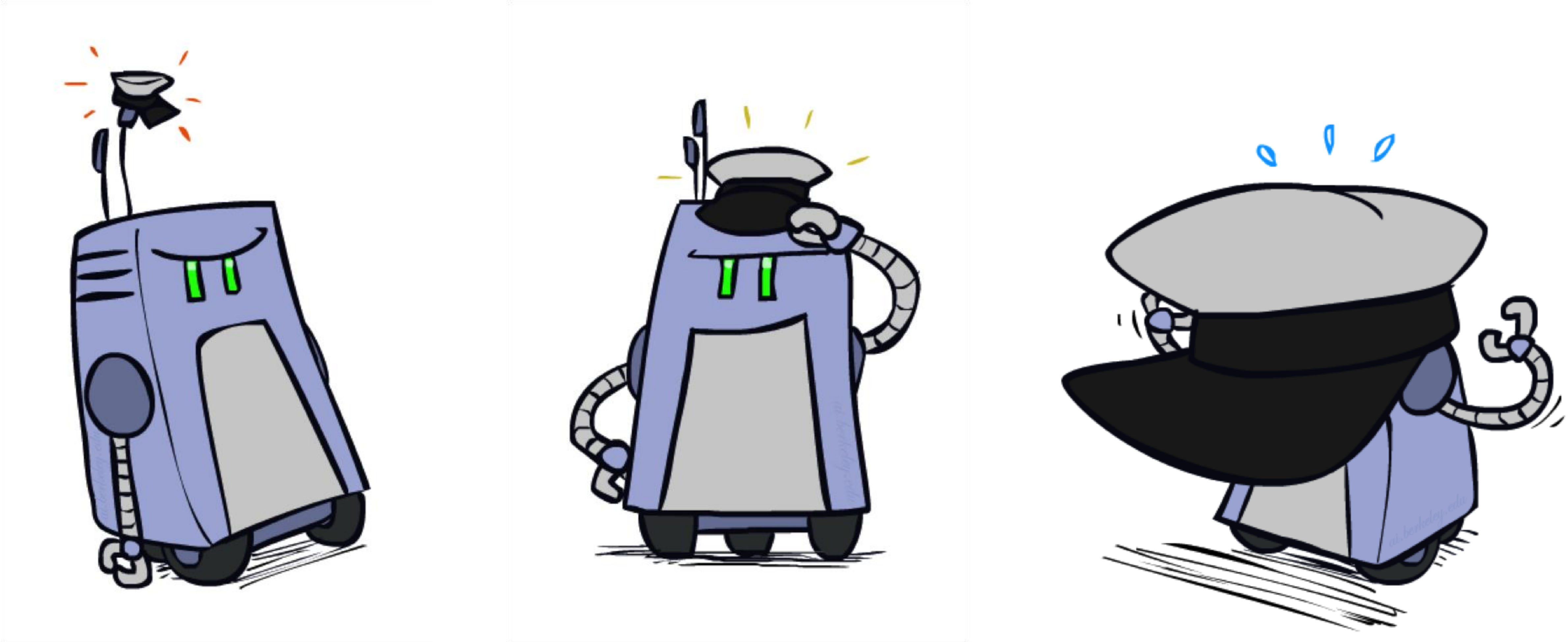
Held-Out Data

Test Data

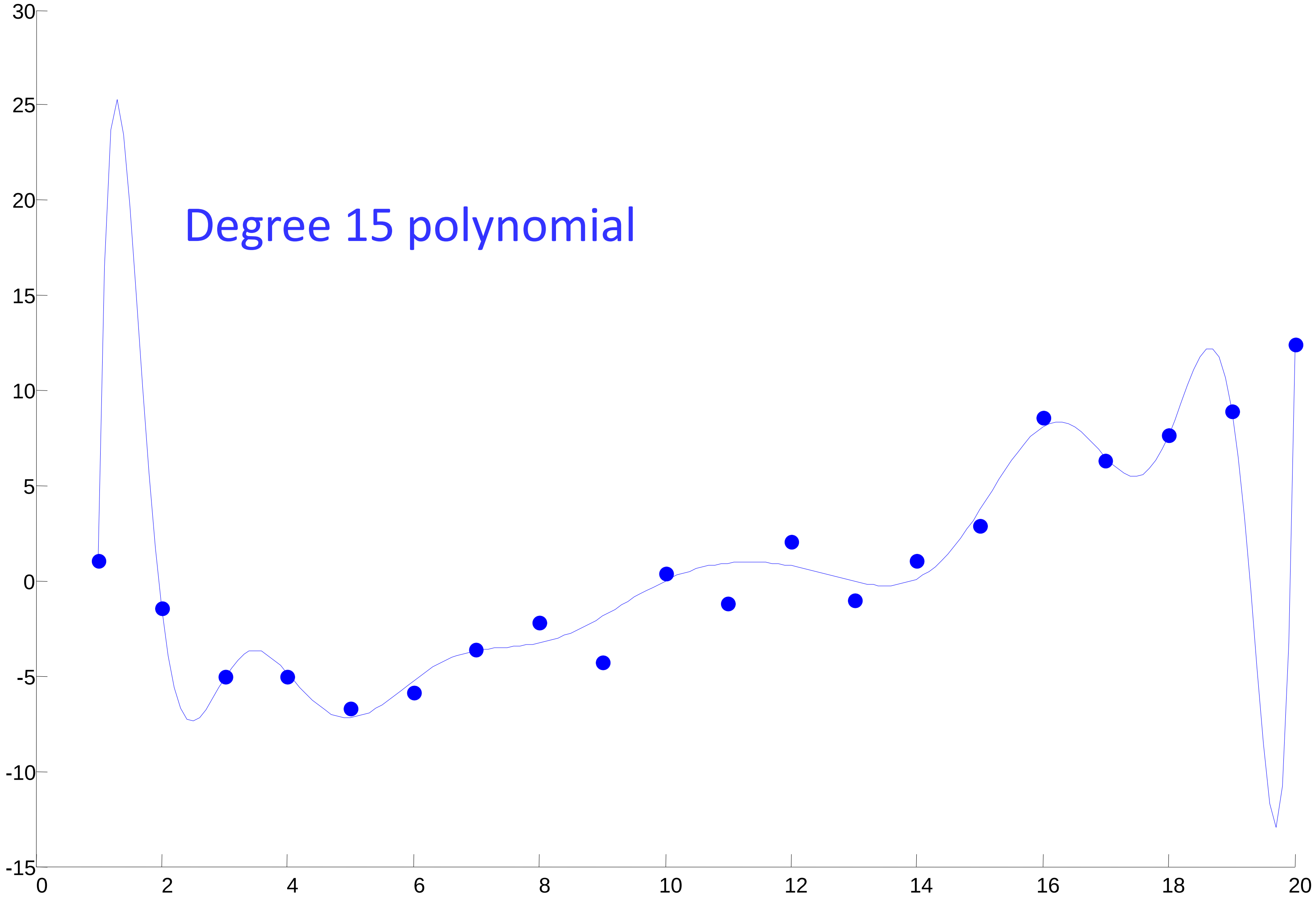


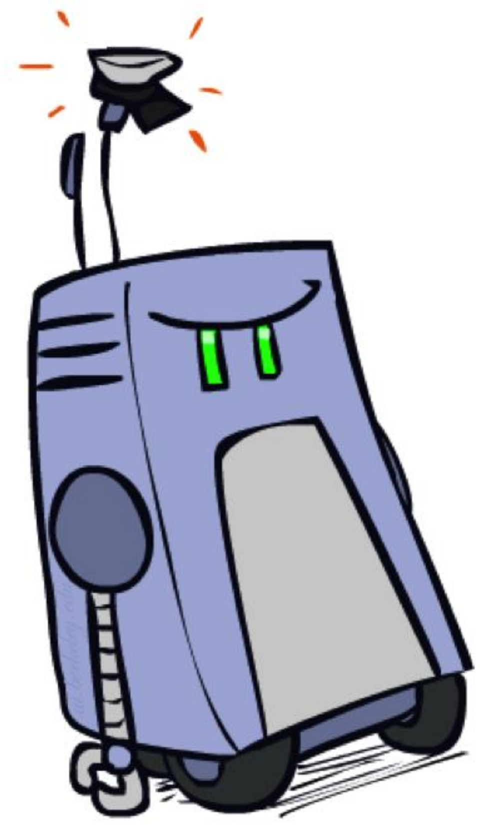


Underfitting and Overfitting



Overfitting





Example: Overfitting

$P(\text{features}, C = 2)$

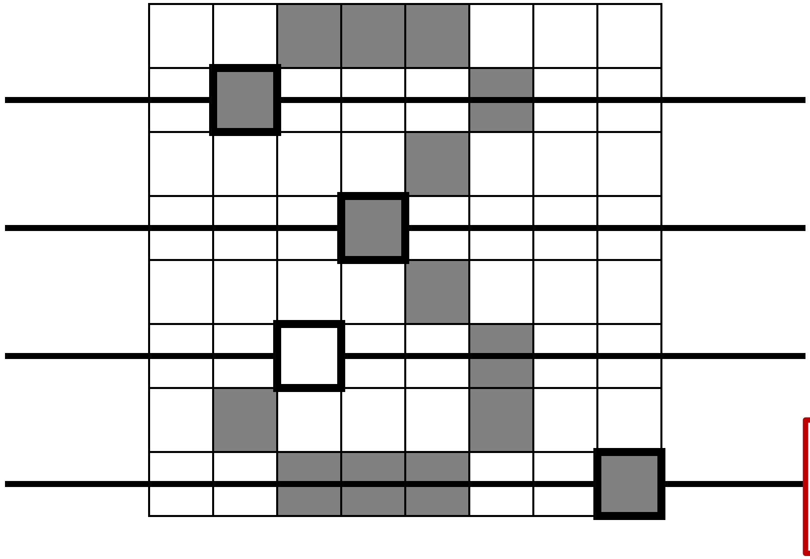
$P(C = 2) = 0.1$

$P(\text{on}|C = 2) = 0.8$

$P(\text{on}|C = 2) = 0.1$

$P(\text{off}|C = 2) = 0.1$

$P(\text{on}|C = 2) = 0.01$



$P(\text{features}, C = 3)$

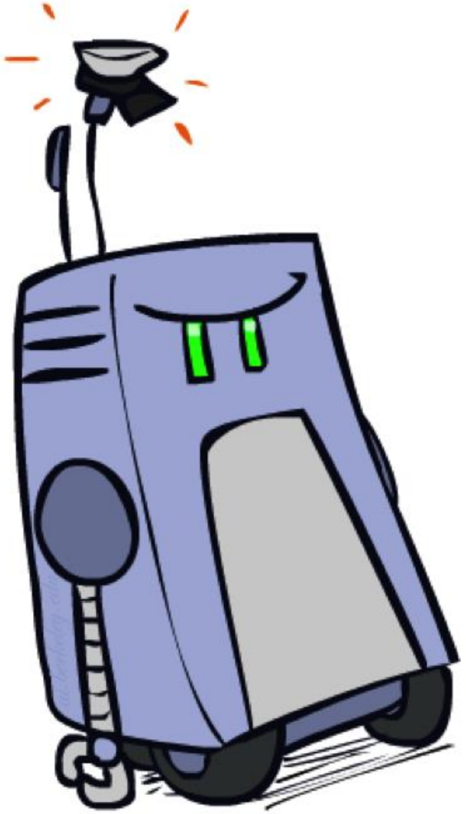
$P(C = 3) = 0.1$

$P(\text{on}|C = 3) = 0.8$

$P(\text{on}|C = 3) = 0.9$

$P(\text{off}|C = 3) = 0.7$

$\boxed{P(\text{on}|C = 3) = 0.0}$



2 wins!!

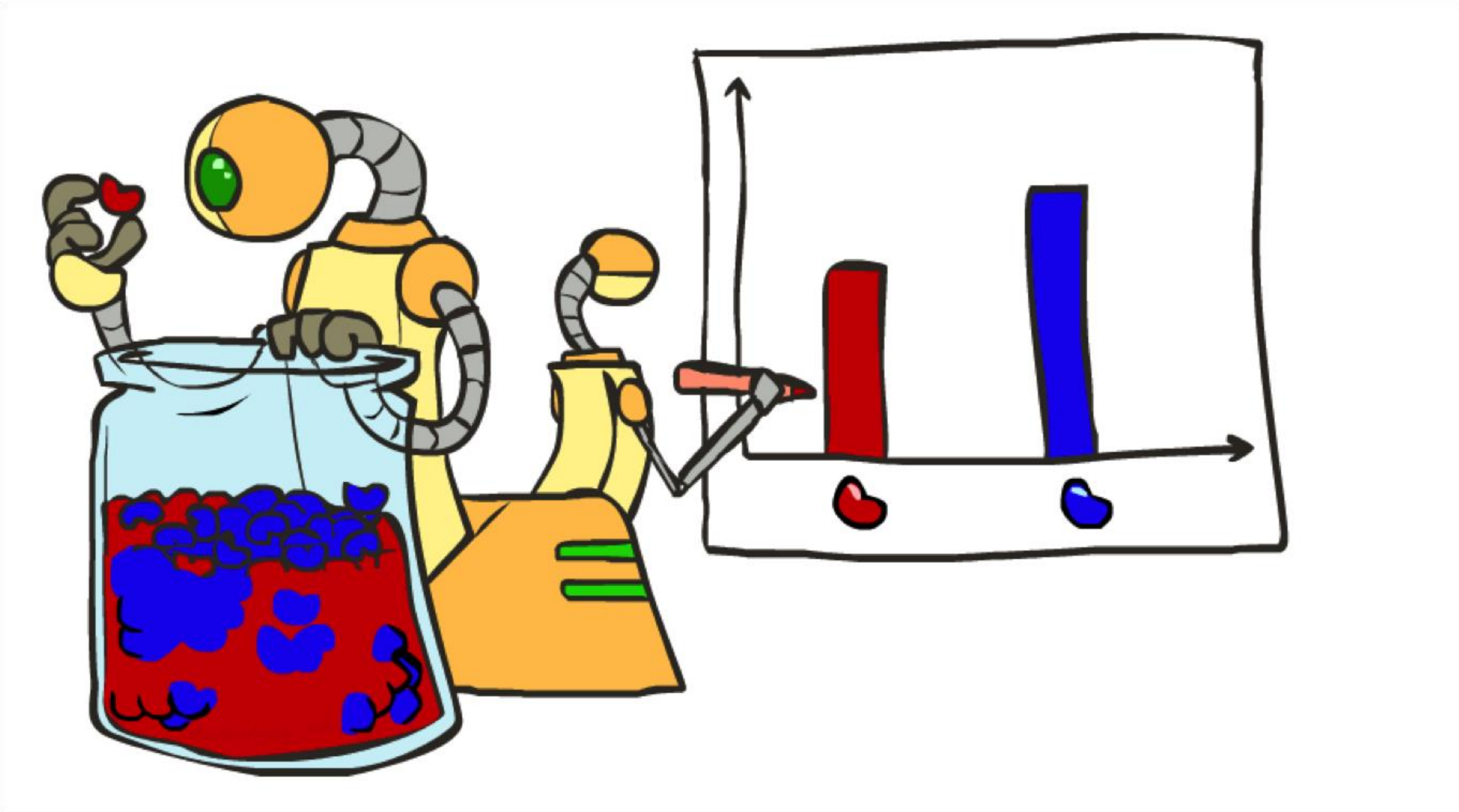
Generalization and Overfitting

- Relative frequency parameters will overfit the training data!
  - Just because we never saw a 3 with pixel (15,15) on during training doesn't mean we won't see it at test time
  - Unlikely that every occurrence of "minute" is 100% spam
  - Unlikely that every occurrence of "seriously" is 100% ham
  - What about all the words that don't occur in the training set at all?
  - In general, we can't go around giving unseen events zero probability

- As an extreme case, imagine using the entire email as the only feature
  - Would get the training data perfect (if deterministic labeling)
  - Wouldn't *generalize* at all
  - Just making the bag-of-words assumption gives us some generalization, but isn't enough

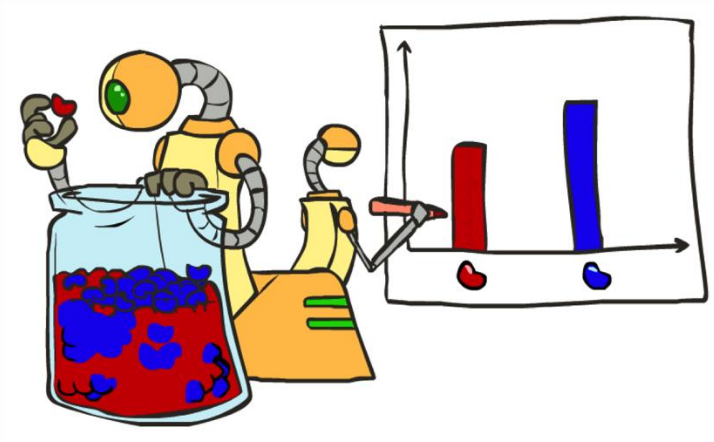
- To generalize better: we need to smooth or regularize the estimates

Parameter Estimation



Parameter Estimation

- Estimating the distribution of a random variable
- *Elicitation:* ask a human (why is this hard?)
- *Empirically:* use training data (learning!)
  - E.g.: for each outcome x, look at the *empirical rate* of that value:



$$P_{\text{ML}}(x) = \frac{\text{count}(x)}{\text{total samples}}$$

r r b

$$P_{\text{ML}}(\text{r}) = 2/3$$

  - This is the estimate that maximizes the *likelihood of the data*

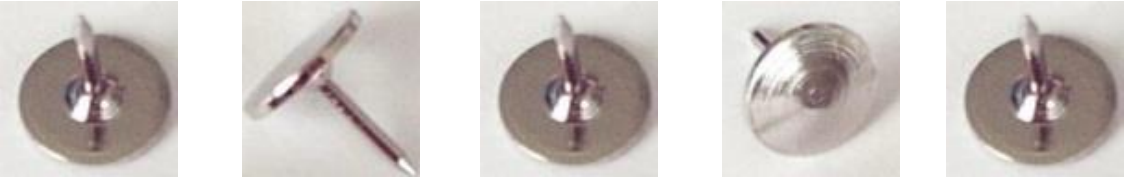
$$L(x,\theta) = \prod_i P_\theta(x_i) = \theta \cdot \theta \cdot (1-\theta)$$

$$P_\theta(x = \text{red}) = \theta$$

$$P_\theta(x = \text{blue}) = 1 - \theta$$

Your First Consulting Job

- A billionaire tech entrepreneur asks you a question:
  - He says: I have thumbtack, if I flip it, what’s the probability it will fall with the nail up?
  - You say: Please flip it a few times:



  - You say: The probability is:
    - P(H) = 3/5
  - **He says: Why???**
  - You say: Because…

Your First Consulting Job

- P(Heads) = θ, P(Tails) = 1-θ

- Flips are *i.i.d.*: $D=\{x_i \mid i=1\ldots n\},\ P(D \mid \theta) = \Pi_i P(x_i \mid \theta)$
  - Independent events
  - Identically distributed according to unknown distribution
- Sequence *D* of α_H Heads and α_T Tails

$$P(\mathcal{D} \mid \theta) = \theta^{\alpha_H}(1-\theta)^{\alpha_T}$$

Maximum Likelihood Estimation

- **Data:** Observed set D of α_H Heads and α_T Tails
- **Hypothesis space:** Binomial distributions
- **Learning:** finding θ is an optimization problem
  - What's the objective function?

$$P(\mathcal{D} \mid \theta) = \theta^{\alpha_H}(1-\theta)^{\alpha_T}$$

- **MLE:** Choose θ to maximize probability of *D*

$$\begin{aligned}\widehat{\theta} &= \arg\max_{\theta} \quad P(\mathcal{D} \mid \theta) \\ &= \arg\max_{\theta} \quad \ln P(\mathcal{D} \mid \theta)\end{aligned}$$

Maximum Likelihood Estimation

$$\begin{aligned}\widehat{\theta} &= \arg\max_{\theta} \ \ln P(\mathcal{D} \mid \theta) \\ &= \arg\max_{\theta} \ \ln \theta^{\alpha_H}(1-\theta)^{\alpha_T}\end{aligned}$$

- Set derivative to zero, and solve!

$$\frac{d}{d\theta} \ln P(\mathcal{D} \mid \theta) = \frac{d}{d\theta} [\ln \theta^{\alpha_H}(1-\theta)^{\alpha_T}]$$

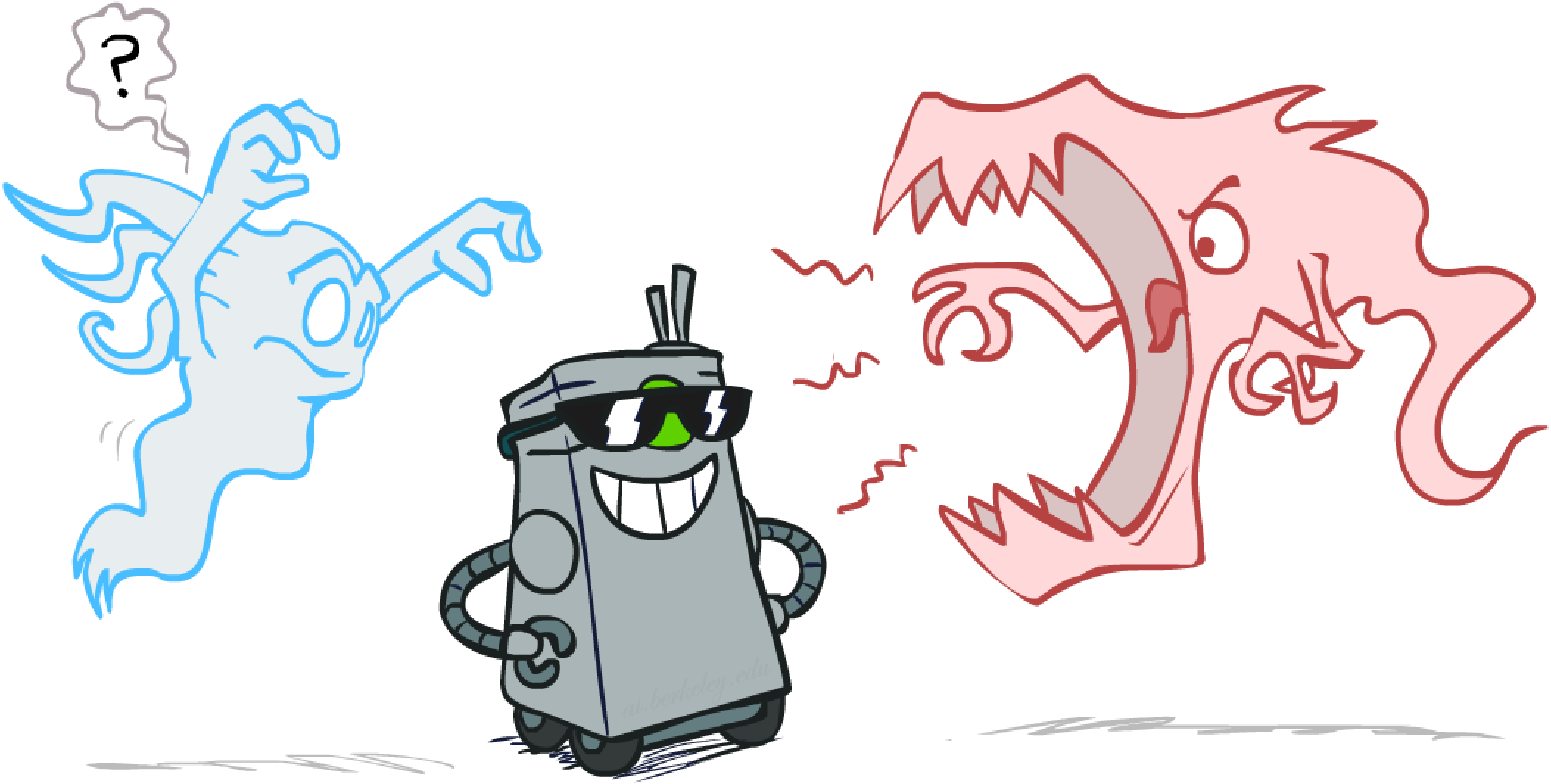
$$= \frac{d}{d\theta} [\alpha_H \ln \theta + \alpha_T \ln(1-\theta)]$$

$$= \alpha_H \frac{d}{d\theta} \ln \theta + \alpha_T \frac{d}{d\theta} \ln(1-\theta)$$

$$= \frac{\alpha_H}{\theta} - \frac{\alpha_T}{1-\theta} \quad = 0$$

$$\boxed{\widehat{\theta}_{MLE} = \frac{\alpha_H}{\alpha_H + \alpha_T}}$$

Smoothing



Maximum Likelihood?

- Relative frequencies are the maximum likelihood estimates

$$\begin{aligned}\theta_{ML} &= \arg\max_{\theta} P(\mathbf{X}|\theta)\\ &= \arg\max_{\theta} \prod_i P_\theta(X_i)\end{aligned}$$

⇒

$$P_{\mathsf{ML}}(x) = \frac{\mathsf{count}(x)}{\mathsf{total\ samples}}$$

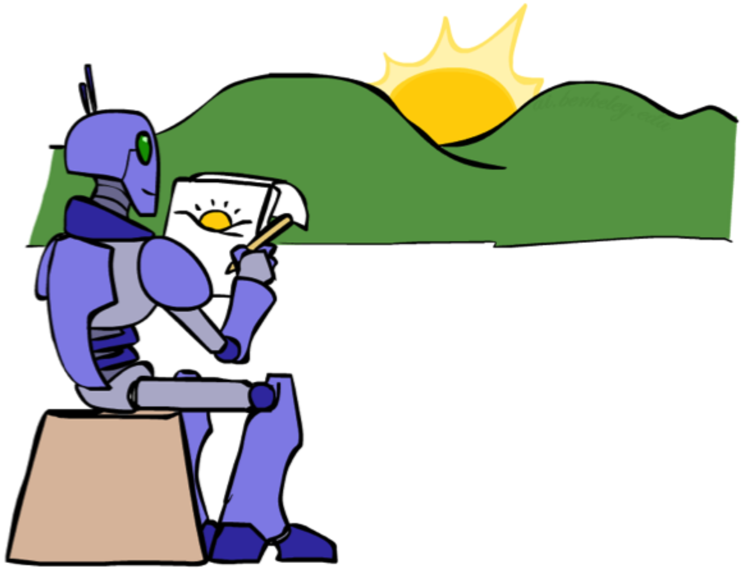
- Another option is to consider the most likely parameter value given the data

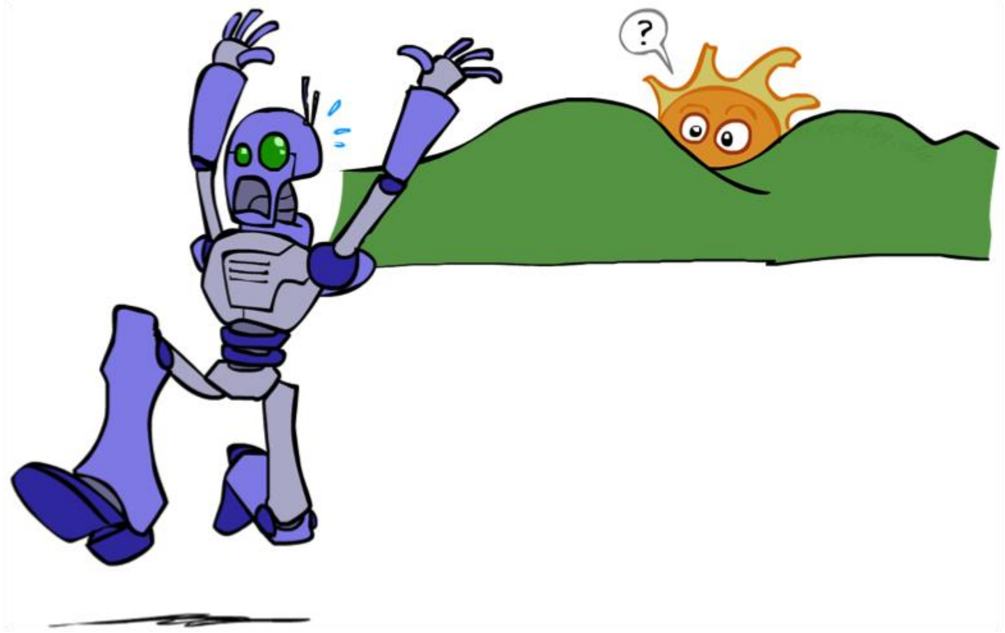
$$\begin{aligned}\theta_{MAP} &= \arg\max_{\theta} P(\theta|\mathbf{X})\\ &= \arg\max_{\theta} P(\mathbf{X}|\theta)P(\theta)/P(\mathbf{X})\\ &= \arg\max_{\theta} P(\mathbf{X}|\theta)P(\theta)\end{aligned}$$

⇒

????

Unseen Events





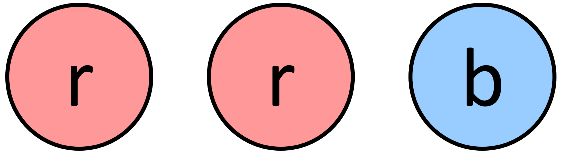
Laplace Smoothing

- Laplace's estimate:
  - Pretend you saw every outcome once more than you actually did

$$P_{LAP}(x) = \frac{c(x)+1}{\sum_x[c(x)+1]}$$

$$= \frac{c(x)+1}{N+|X|}$$

  - Can derive this estimate with *Dirichlet priors*



$$P_{ML}(X) =$$

$$P_{LAP}(X) =$$

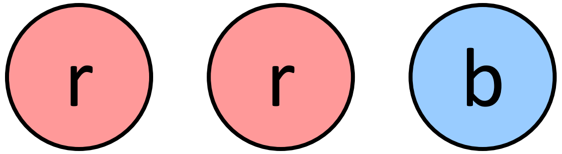
Laplace Smoothing

- Laplace's estimate (extended):
  - Pretend you saw every outcome k extra times

$$P_{LAP,k}(x) = \frac{c(x) + k}{N + k|X|}$$

  - What's Laplace with k = 0?
  - k is the strength of the prior
- Laplace for conditionals:
  - Smooth each condition independently:

$$P_{LAP,k}(x|y) = \frac{c(x,y) + k}{c(y) + k|X|}$$

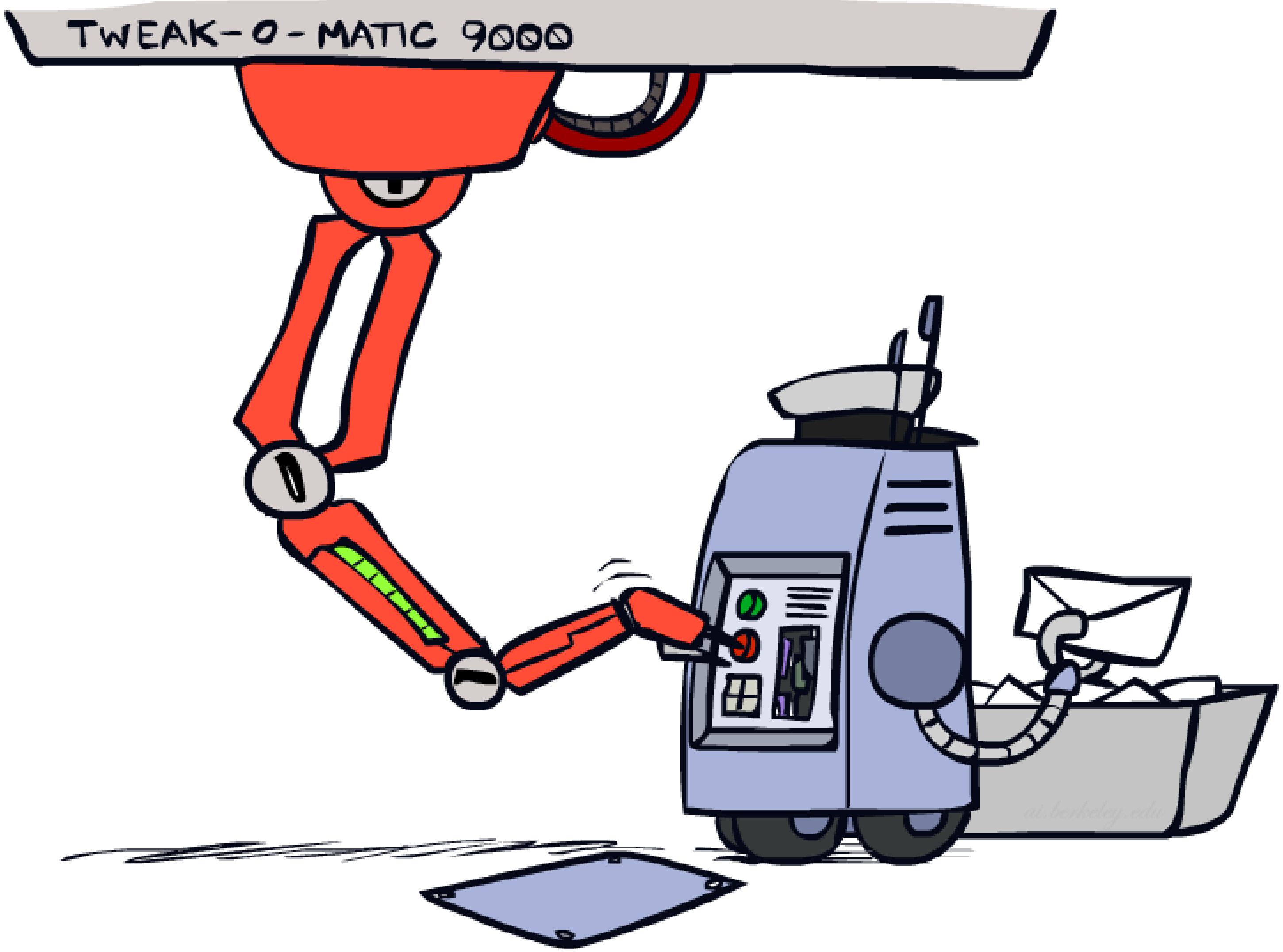


$$P_{LAP,0}(X) =$$

$$P_{LAP,1}(X) =$$

$$P_{LAP,100}(X) =$$

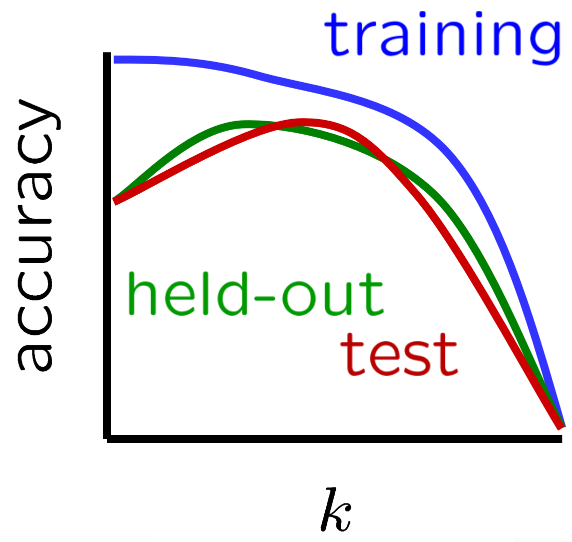
Tuning

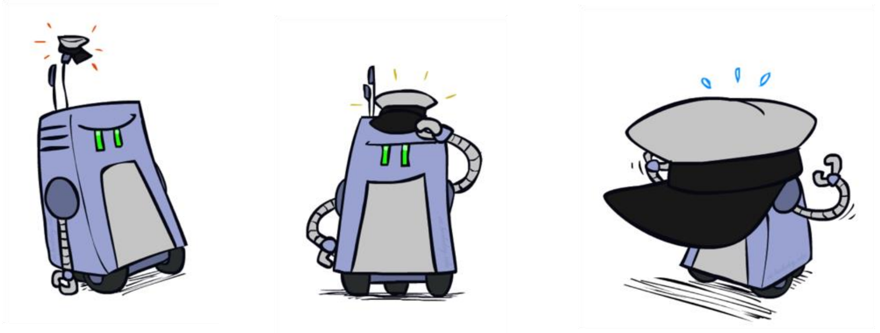


Tuning on Held-Out Data

- Now we've got two kinds of unknowns
  - Parameters: the probabilities P(X|Y), P(Y)
  - Hyperparameters: e.g. the amount / type of smoothing to do, k, α

- What should we learn where?
  - Learn parameters from training data
  - Tune hyperparameters on different data
    - Why?
  - For each value of the hyperparameters, train and test on the held-out data
  - Choose the best value and do a final test on the test data





What to Do About Errors?

- Need more features– words aren't enough!
  - Have you emailed the sender before?
  - Have 1K other people just gotten the same email?
  - Is the sending information consistent?
  - Is the email in ALL CAPS?
  - Do inline URLs point where they say they point?
  - Does the email address you by (your) name?

- Can add these information sources as new variables in the NB model

- Next class we'll talk about classifiers which let you easily add arbitrary features more easily

Baselines

- First step: get a baseline
  - Baselines are very simple “straw man” procedures
  - Help determine how hard the task is
  - Help know what a “good” accuracy is

- Weak baseline: most frequent label classifier
  - Gives all test instances whatever label was most common in the training set
  - E.g. for spam filtering, might label everything as ham
  - Accuracy might be very high if the problem is skewed
  - E.g. calling everything “ham” gets 66%, so a classifier that gets 70% isn’t very good…

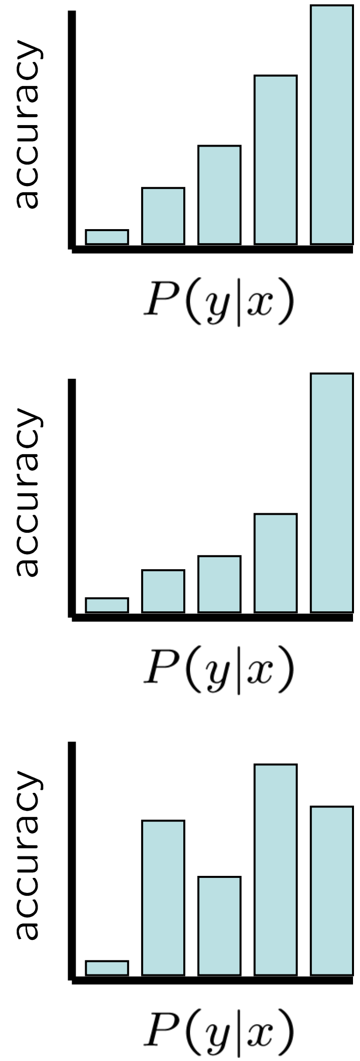
- For real research, usually use previous work as a (strong) baseline

Confidences from a Classifier

- The confidence of a probabilistic classifier:
  - Posterior over the top label

$$\text{confidence}(x) = \max_y P(y|x)$$

  - Represents how sure the classifier is of the classification
  - Any probabilistic model will have confidences
  - No guarantee confidence is correct


accuracy
$P(y|x)$
accuracy
$P(y|x)$
accuracy
$P(y|x)$