

# A Battery-Powered Activity-Dependent Intracortical Microstimulation IC for Brain-Machine-Brain Interface

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**Abstract**—This paper describes an activity-dependent intracortical microstimulation (ICMS) system-on-chip (SoC) that converts extracellular neural spikes recorded from one brain region to electrical stimuli delivered to another brain region in real time *in vivo*. The 10.9-mm<sup>2</sup> SoC incorporates two identical 4-channel modules, each comprising an analog recording front-end with total input noise voltage of 3.12  $\mu\text{V}_{\text{rms}}$  and noise efficiency factor (NEF) of 2.68, 5.9- $\mu\text{W}$  10-bit successive approximation register analog-to-digital converters (SAR ADCs), 12.4- $\mu\text{W}$  digital spike discrimination processor, and a programmable constant-current microstimulating back-end that delivers up to 94.5  $\mu\text{A}$  with 6-bit resolution to stimulate the cortical tissue when triggered by neural activity. For autonomous operation, the SoC also integrates biasing and clock generation circuitry, frequency-shift-keyed (FSK) transmitter at 433 MHz, and dc-dc converter that generates a power supply of 5.05 V for the microstimulating back-end from a single 1.5-V battery. Measured results from electrical performance characterization and biological experiments with anesthetized rats are presented from a prototype chip fabricated in AMS 0.35  $\mu\text{m}$  two-poly four-metal (2P/4M) CMOS. A noise analysis for the selected low-noise amplifier (LNA) topology is presented that obtains a minimum NEF of 2.33 for a practical design given the technology parameters and power supply voltage. Future considerations in the SoC design with respect to silicon area and power consumption when increasing the number of channels are also discussed.

**Index Terms**—Activity-dependent microstimulation, brain-machine-brain interface, intracortical microstimulation, neural recording, neurostimulation, spike discrimination, system-on-chip.

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## I. INTRODUCTION

IN THE PAST decade, significant advancements have occurred in developing engineered devices that bidirectionally interact with the central and peripheral nervous system. Specifically, brain-machine interfaces (BMIs) have been developed for human application that use intrinsic neuronal signals from the brain as input commands to control external devices. Cortical control of the movement of a prosthetic arm or mouse cursor on a computer screen using brain electrical signals have indeed been successfully demonstrated in non-human primates and even in case studies in humans suffering from high-level spinal cord injury [1]–[3]. Other types of BMIs use device-generated electrical signals for stimulation of the nervous system to modulate a particular neural pathway that might be dysfunctional, or to transmit a specific type of sensory information. Deep brain stimulation (DBS), a clinically effective neuromodulation therapy for Parkinson's disease (PD), and neuroprostheses to restore lost sensory functions in blind and hearing-impaired patients fall into this category [4], [5]. Neuroprostheses to restore a lost cognitive function such as memory are also on the horizon [6].

The emergence of BMI technology has been in part enabled by technological innovations in integrated sensor and circuit design over the past few years. Advancements in micro-electro-mechanical-system (MEMS) technology have produced millimeter-sized arrays of microelectrodes containing as many as 100 recording sites that allow long-term, reliable, and stable recording of neural signals [7], [8]. Similarly, novel design methods in application-specific integrated circuit (ASIC) development have recently led to numerous examples of low-power, low-noise, high-performance ICs for recording brain electrical activity [9]–[14], on-chip processing of the recorded neural data [15], [16], and stimulating the nervous system [17]–[21].

While existing BMIs continue to show great promise to improve the quality of life in people suffering from neuropathologies, a new generation of neuroprostheses is now emerging that aims to combine neural recording, signal processing, and microstimulation functionalities in a single device for closed-loop operation. This new category of neuroprostheses can use information extracted from brain neural activity to trigger microstimulation or modulate stimulus parameters in real time, potentially enhancing the clinical efficacy of neuromodulation in alleviating pathologic symptoms or restoring lost sensory and motor functions in the disabled. For example, it has been suggested

that the efficacy of DBS for PD can be increased by optimizing stimulation waveform parameters using a closed-loop global optimization algorithm [22], or that stimulation of the spinal cord directed by cortical neural activity can evoke or facilitate intended movement in the upper limb of paralyzed patients with spinal cord injury [23].

To that end, two discrete board-level devices were recently developed using commercially available hardware to provide refined sensory inputs in closed-loop neuroprosthetic systems [24] and induce neuronal plasticity for functional reorganization in an intact nervous system [25]. Both devices were proven functional in biological tests with awake rodents and primates, respectively. Further, Denison and colleagues have developed an implantable closed-loop microstimulator targeted at titration therapy in DBS [26]. The system incorporates a custom IC for multichannel sensing and analog spectral analysis of local field potentials (LFPs), an auxiliary microprocessor for key biomarker extraction and titration control, and a neurostimulation unit from an existing approved device. Liu and colleagues have proposed another closed-loop microstimulator, incorporating a biomedical multiprocessor system-on-chip (SoC) for real-time spike sorting with principal component analysis and a digitally controlled current stimulating back-end [27]. However, the sensing and digitization front-end is not co-integrated with the rest of the system. Further, neither of these works has yet been tested *in vivo*.

Finally, Flynn and colleagues have reported a 64-channel closed-loop DBS IC, incorporating eight channels of amplification for neural recording and an 8-bit logarithmic analog-to-digital converter (ADC) for digitization [28]. Similarly, Genov and colleagues have recently reported a single-chip 128-channel system that can be configured for fully differential recording and digitization of neural data as well as voltage-mode monophasic or biphasic stimulation on some or all electrodes [29]. However, in the absence of any on-chip neural signal processing unit, the stimulator operation in these works is not dependent on the recorded neural activity, and therefore system operation is not truly closed-loop.

We have previously reported an activity-dependent intracortical microstimulation (ICMS) chip that was limited to single-channel operation [30]. In this paper, we describe a single-chip battery-powered IC with autonomous operation for multichannel brain-machine-brain interface (BMBI) that converts extracellular neural signals recorded on one micro-electrode to electrical stimuli delivered via another electrode in real time *in vivo*. The paper is organized as follows. Section II presents the proposed system architecture, whereas Section III discusses the design of major circuitry within the system. Section IV presents detailed benchtop measurement results characterizing electrical performance as well as *in vivo* results from biological experiments. Section V provides a noise analysis for the recording front-end and discusses future considerations in system design. Finally, Section VI draws some conclusions from this work.

## II. SYSTEM ARCHITECTURE

Fig. 1 shows the proposed architecture for a proof-of-concept system along with its timing operation. There are two identical 4-channel modules per chip, each comprising a recording front-

end, monolithic digital signal processing (DSP) unit, and stimulating back-end. The recording front-end provides ac amplification, dc input stabilization, bandpass filtering, and 10-bit digitization to the recorded neural signals with fully programmable gain and bandwidth. The DSP unit provides additional high-pass filtering with an infinite impulse response (IIR) digital filter to remove any residual dc offsets or low-frequency artifacts, and performs real-time spike discrimination based on threshold crossing and two user-adjustable time-amplitude windows for all four channels in a fraction of one ADC conversion cycle. The accepted action potentials trigger the programmable stimulating back-end with a user-adjustable time delay ( $<29$  ms) to deliver a charge-balanced asymmetric biphasic stimulus [19] or monophasic stimulus with passive discharge [18] to the neural tissue. Anodic and cathodic current pulse amplitudes can be programmed from 0 to  $94.5 \mu\text{A}$  and  $31.5 \mu\text{A}$ , respectively, with 6-bit resolution.

A decision-making circuitry implemented as a programmable gate array provides any logic combination of the four spike discriminator outputs (SDO 1–4) as a trigger signal for stimulation activation using a 16-bit combination code for each stimulation channel. Multiple recording and stimulation channels in conjunction with the adjustable time delay between spike discrimination and stimulus onset allows various input-output combinations and temporal relationships to be tested to enable *patient-specific* system operation for optimized performance.

The system can operate autonomously from a single 1.5-V battery. An on-chip dc-dc converter generates 5 V from the battery for increased voltage compliance in the stimulating back-end. The dc-dc converter has similar architecture to those in [31], [32] and can provide an output voltage up to approximately  $4 \times V_{\text{DD}}$  to a  $1\text{-}\mu\text{F}$  external capacitor for storage. A 735-bit latched shift register provides all programming and control bits for system operation, and a supply-independent relaxation oscillator with similar architecture to that in [33] generates the system clock with 5-bit programmable frequency for timing management.

A radio-frequency frequency-shift-keyed (RF-FSK) transmitter at 433 MHz is shared between the modules and can operate in two modes. In mode I, it transmits the full voltage record (raw or high-pass filtered) on one channel together with the corresponding spike discrimination event. A 3-bit preamble is used in each data stream for synchronization between the on-chip transmitter and an external receiver. Information bits are scrambled within the data stream to reduce the probability of preamble misdetection. In mode II, it transmits the spike discrimination events on all eight channels along with a 6-bit preamble in each data stream.

The system is designed to operate with a nominal clock frequency of 1 MHz. As illustrated in Fig. 1, each ADC conversion cycle takes 28 clock cycles in which 16 clock cycles are dedicated to sampling, 1 cycle to hold, 10 cycles to approximation and 1 cycle to reset, thus providing a sampling frequency of 35.7 kS/s/ch that is adequate for recording extracellular neural spikes with frequency components up to 10 kHz. The extended sampling period helps relax current drive requirement of the preceding ADC driver for low-power operation. The processing of

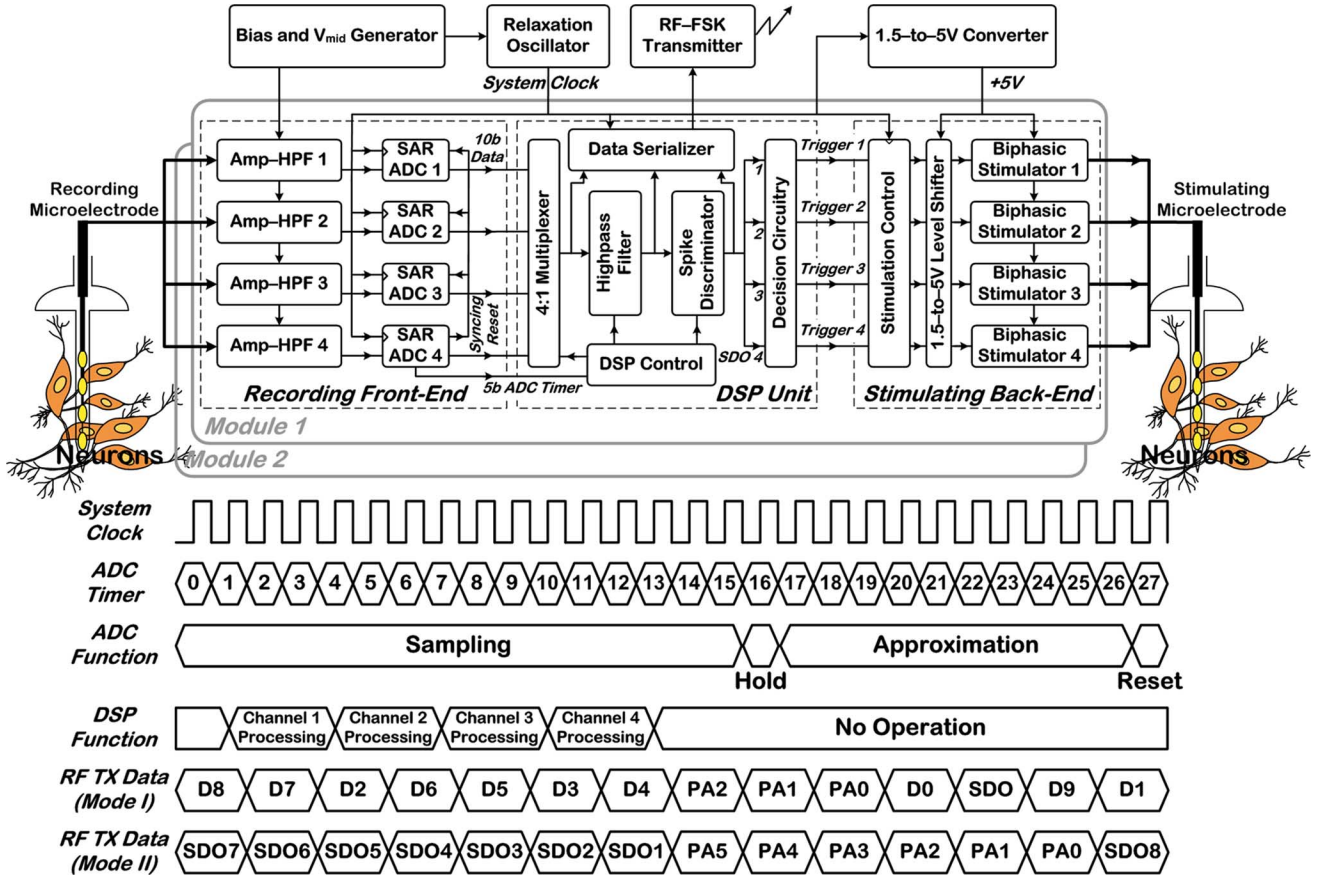


Fig. 1. Proposed architecture and timing operation of a proof-of-concept system for activity-dependent ICMS. There are two identical 4-channel modules per chip powered by a single 1.5-V battery.

each data channel in the DSP unit takes only three clock cycles, enabling the same DSP architecture to handle up to nine neural recording channels per module in any future expansion of system functionality.

### III. INTEGRATED CIRCUIT ARCHITECTURE

#### A. Recording Front-End

Fig. 2 shows the circuit schematic of the analog recording front-end per channel, operating from 1.5 V and comprising a low-noise amplifier (LNA) with programmable bandwidth,  $G_m$ -C high-pass filter (HPF) with adjustable low cutoff frequency, and secondary amplifier with programmable gain and offset. The LNA provides a gain of 32 dB using capacitive feedback in conjunction with a pMOS pseudo-resistor to stabilize the dc input and provide a dc feedback path [14]. Two additional pMOS transistors have been used to short the LNA inputs and output during stimulation and prevent its saturation by large stimulus artifacts, if present. The LNA bandwidth can be programmed from 5.1 to 12 kHz by varying the tail current of the differential input stage. An ultralow-transconductance operational transconductance amplifier (OTA) and a 16.7-pF capacitor form a first-order HPF with an adjustable low cutoff frequency from 0 to 525 Hz by varying the OTA tail current. The analog HPF is intended to attenuate 60 Hz and remove low-frequency LFPs that might be present in the recorded neural data. The secondary amplifier with a resistive feedback provides additional gain of 19.9, 25.4, 27.9 and 33.6 dB, and has a 3-bit offset

adjustment mechanism by injecting a small dc current into the feedback path. The secondary amplifier provides a rail-to-rail output voltage swing and drives the  $\sim 12$ -pF capacitor network of the subsequent ADC that converts the amplified neural data to a 10-bit digital code for further processing by the DSP unit.

For analog-to-digital conversion in the recording front-end, a successive approximation register (SAR) ADC is used due to its low power consumption with similar architecture to that in [34]. The ADC design is modified as compared to that previously reported in [35] in order to operate with 1.5 V for lower power consumption.

#### B. DSP Unit

Fig. 3 shows the architecture of the DSP unit operating from 1.5 V, which is shared among all four channels in each module. Prior to spike discrimination, a first-order IIR filter provides additional high-pass filtering to the multiplexed digitized neural data with a programmable cutoff frequency of 366 or 756 Hz from 1-MHz system clock. Filter coefficients are selected to perform the filtering using arithmetic shifts, subtraction and addition only, with no need for digital multipliers or dividers. The operation of the time-amplitude window discriminator is also shown in Fig. 3 using neural spikes recorded wirelessly by our system from the cerebral cortex of a rat's brain. Once a filtered digitized sample crosses a user-positioned threshold level ( $L_0$ ), the spike discriminator will check whether the signal waveform

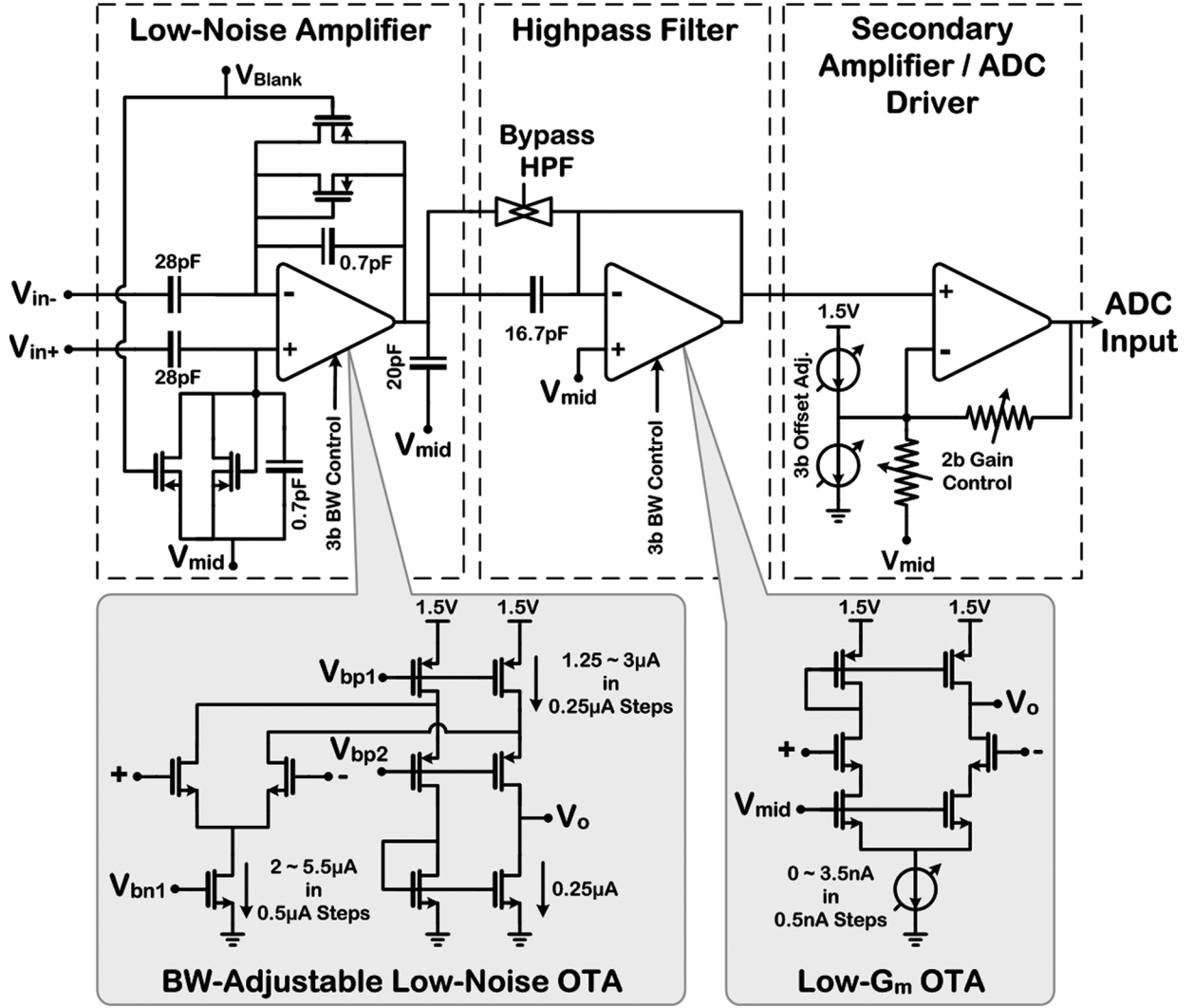


Fig. 2. Circuit schematic of the analog recording front-end per channel.

subsequently passes through two user-adjustable time-amplitude windows (solid red boxes). If a spike event is accepted on any channel, the corresponding SDO is activated after a programmable delay of 0 to  $\sim 28.6$  ms for the decision circuitry to generate the stimulus trigger signal. The DSP operation is also blanked to disregard any incoming stimulus artifacts. Spike waveforms crossing the positive threshold level ( $L_0$ ) are time-aligned and superimposed in Fig. 3. The accepted spikes (dark grey) also pass through the two time-amplitude windows. Waveforms in light grey are rejected by the system (i.e., not used for stimulus triggering). The spike discrimination parameters are determined empirically by the user prior to the experiment and programmed into the chip. As stated previously, the flexibility in adjusting the spike-stimulus time delay is a critical system feature, because the relative timing of spikes and stimulus is known to influence conditioning effects in activity-dependent microstimulation in an intact nervous system [36].

### C. Microstimulating Back-End

Fig. 4 shows the circuit schematic of the microstimulating back-end in each module, incorporating four identical stimulation channels with pMOS and nMOS current sources to generate

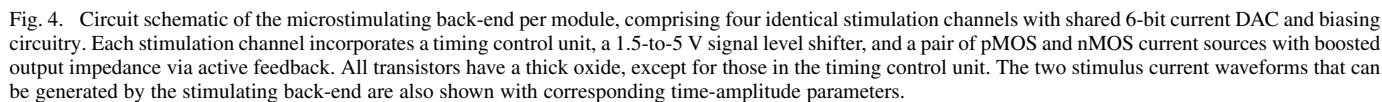
the anodic and cathodic current pulses, respectively, in biphasic stimulation. The stimulation channels also include a dedicated digital control unit for stimulus timing management as well as a 1.5-to-5 V signal level shifter, and share a 6-bit current-based digital-to-analog converter (DAC) and biasing circuitry available in each module.

To maintain a constant stimulus current regardless of the site and tissue impedances, current sources with high output impedance are required [37]. In this work, two feedback amplifiers,  $A_{1,2}$ , are used to boost the output impedance of the two current sources by maintaining a fixed voltage across drain-source of  $M_1$  and  $M_4$  [38]. Two compensation capacitors at the gates of  $M_2$  (350 fF) and  $M_5$  (150 fF) limit the amplifiers' bandwidth for stability [39].

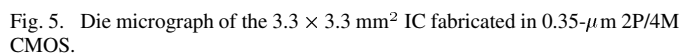
As stated in Section II, an on-chip dc-dc converter generates a 5-V supply from 1.5 V for the microstimulating back-end. Since the output voltage of the converter is not fully regulated, it is important that the stimulator output current be relatively insensitive to supply voltage variation. Cascode current source architecture with active feedback circuitry as employed herein inherently has low sensitivity to supply voltage variation due to its high output impedance. However, supply voltage variation can also induce output current change through the biasing







Passive discharge is also performed after each stimulation cycle to drain any residual charge left from charge mismatch between the anodic and cathodic phases. Further, in this proof-of-concept system with limited number of stimulation channels, external dc-blocking capacitors can also be placed in series with the electrode-tissue interface to prevent any net dc current flow into the tissue arising from charge imbalance or semiconductor failure. An alternative approach to ensure charge-balanced operation would be to employ *active* charge balancing based on monitoring the electrode voltage after each stimulation cycle and maintaining it within a safe predefined voltage range [42]. This technique would be more effective than passive discharge for high-frequency stimulation where there might not be adequate time for the discharge period to achieve sufficient charge



balancing. However, this is not expected in *activity-dependent* stimulation given cortical neuronal firing rates of  $<150$  spikes per second [43].

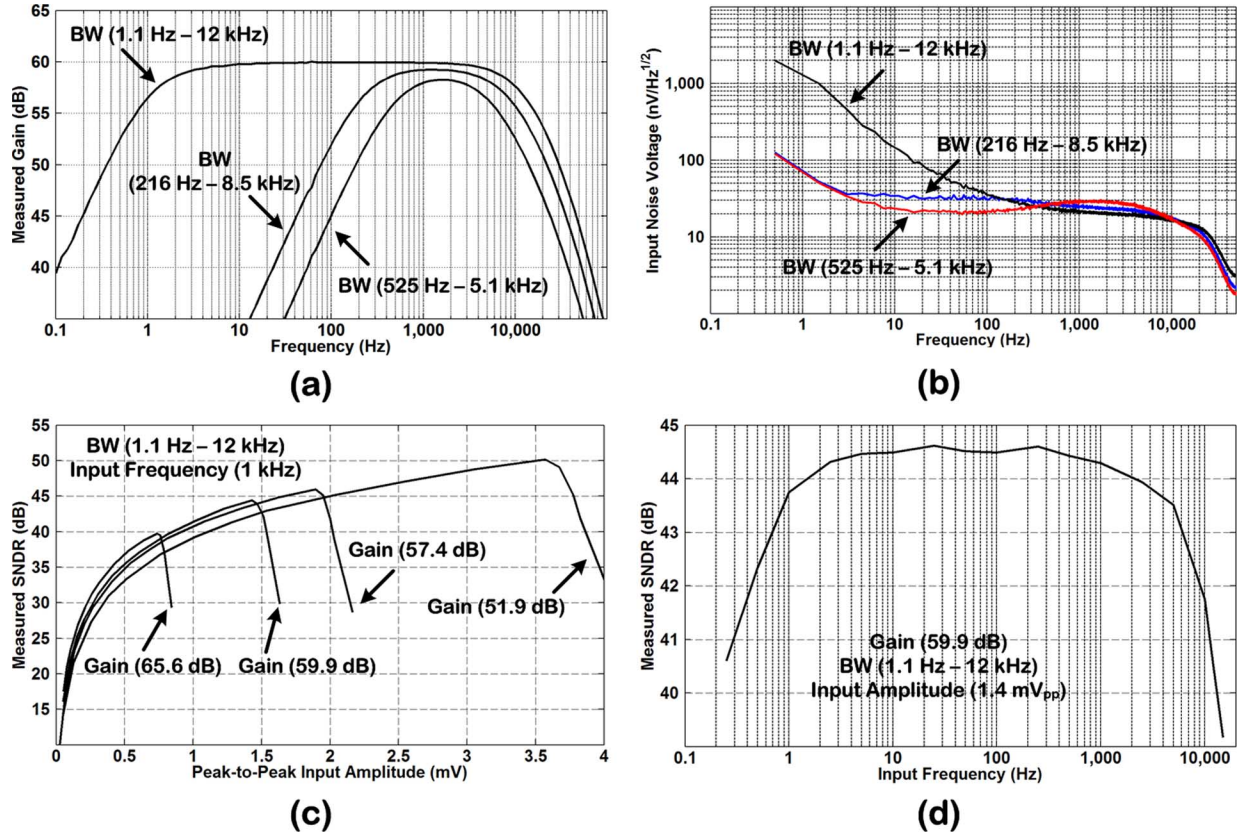


Fig. 6. Measured (a) frequency response and (b) input noise voltage of the analog recording front-end with different bandwidth settings. Measured SNDR of the entire recording front-end at the SAR ADC output versus (c) amplitude and (d) frequency of the input signal.

In the asymmetric biphasic mode, the node  $V_{body}$  and animal body are tied to 1.5 V, allowing output voltage headrooms of 3.5 V and 1.5 V for the anodic and cathodic phases, respectively. Therefore, the microstimulator has higher stimulus current drive capability in the monophasic mode due to higher output voltage headroom, but provides higher safety level in the biphasic mode by using a charge-balanced current waveform.

#### IV. MEASUREMENT RESULTS

A prototype chip was fabricated in 0.35- $\mu\text{m}$  two-poly four-metal (2P/4M) CMOS as shown in Fig. 5, measuring  $3.3 \times 3.3 \text{ mm}^2$  including the bonding pads. This section discusses the measurement results from benchtop characterization and acute biological experiments with rats.

##### A. Benchtop Characterization

The top plots in Fig. 6 depict the measured frequency response and input-referred noise voltage spectrum of the analog recording front-end for three different bandwidth settings and with the midband ac gain nominally set to 60 dB. The low cutoff frequency could be programmed from 1.1 to 525 Hz, whereas the high cutoff frequency could be adjusted in the range of 5.1 to 12 kHz. The midband ac gain was measured to be 51.9, 57.4, 59.9 and 65.6 dB when the  $G_m$ -C HPF was bypassed. The gain dropped by  $\sim 0.3$  dB when the HPF was included in the signal path. With the bandwidth set to 1.1 Hz to 12 kHz, the thermal noise level was measured to be 21 nV/r $\sqrt{\text{Hz}}$  at 1 kHz. This level increased as the bandwidth decreased, because the LNA input transistors carried less current, increasing their thermal noise

contribution. With the same bandwidth setting, the total input noise voltage was measured to be  $3.12 \mu\text{V}_{\text{rms}}$  by integrating the noise spectrum from 0.5 Hz to 50 kHz, indicating an increase of only 8.2% due to flicker noise. This led to a noise efficiency factor (NEF) of 2.68 for the LNA. The flicker noise corner frequency was measured to be  $< 90$  Hz for all bandwidth settings, indicating that the  $G_m$ -C HPF could largely reduce the flicker noise contribution.

A sinusoidal signal with varying amplitude and frequency was then applied to the LNA input and the SNDR was measured at the ADC output. Fig. 6(c) shows the measured SNDR at 1 kHz versus input amplitude for the four available gain settings. Lower gain values provided higher input dynamic range, whereas higher gain values provided better signal resolution. Fig. 6(d) depicts the measured SNDR versus input frequency. In the frequency range of 100 Hz to 10 kHz for extracellular neural spikes, the recording front-end provided  $> 41.8$  dB of accuracy.

The top plots in Fig. 7 depict the measured microstimulator output current versus its output voltage in the anodic and cathodic phases for four different DAC input codes. The stimulator output voltage could reach at least 4.68 V (going toward 5 V) and 150 mV (going toward 0 V) with a 5-V supply. To further examine the microstimulator functionality, it was interfaced with a silicon-substrate micromachined electrode with stimulus sites of iridium oxide (IrO). Fig. 7(c) and (d) depict the measured monophasic and asymmetric biphasic stimulus current waveforms delivered by the chip to saline via the micro-electrode. The power consumption per channel was measured to be  $3.5 \mu\text{W}$  from 5 V, when the microstimulator generated

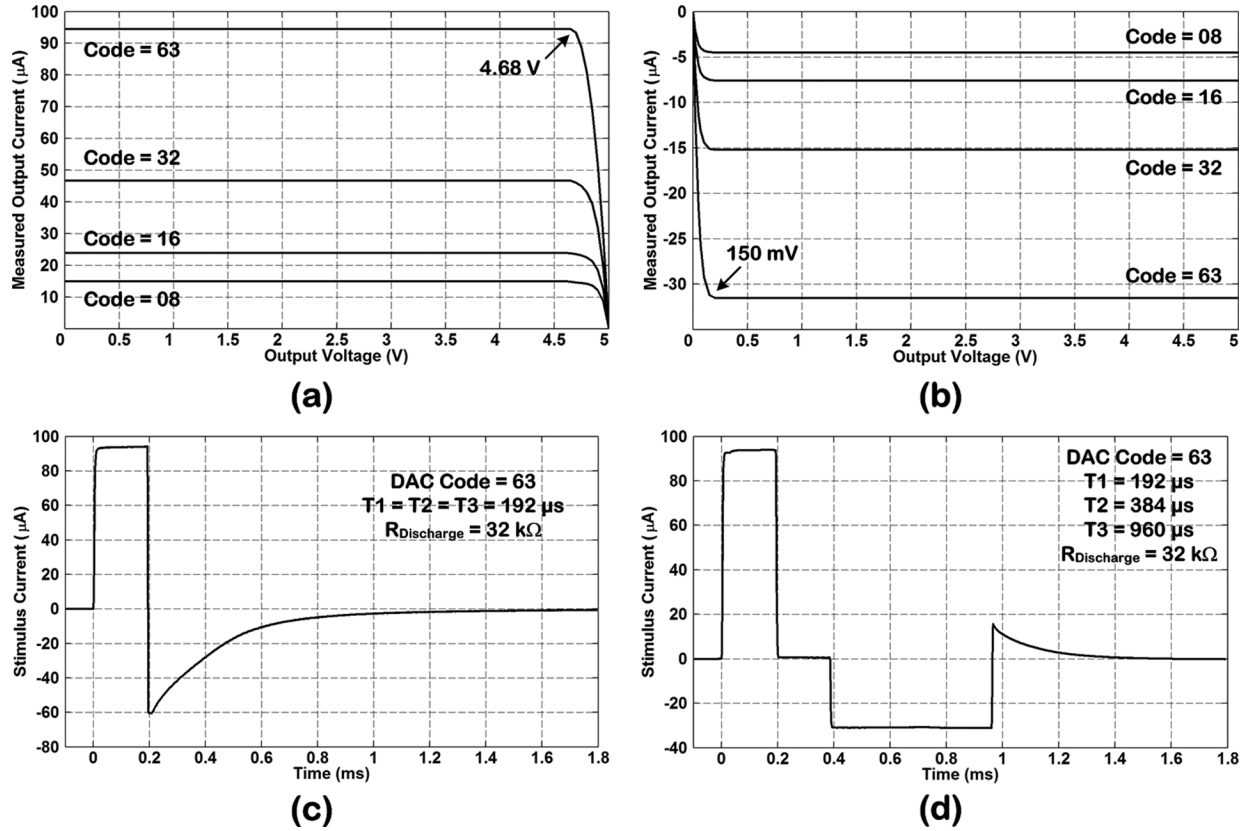


Fig. 7. Top: Measured microstimulator output current versus output voltage for four different DAC input codes in (a) anodic and (b) cathodic phases. Bottom: Measured (c) monophasic and (d) asymmetric biphasic stimulus current waveforms delivered by the chip to saline via a microelectrode. Passive discharge is also performed in each case using an on-chip 32-k $\Omega$  resistor.

the biphasic waveform in Fig. 7(d) at a rate of 33 Hz. Table I summarizes the measured performance of major circuitry, and Table II compares the system functionality and its measured performance with that in recent published work.

### B. In Vivo Characterization

In the first biological experiment, a silicon microelectrode with recording sites of iridium was implanted in the somatosensory cortex of a rat's brain and externally interfaced with a single channel of the recording front-end on the chip. The left plot in Fig. 8 shows a 4-s snapshot of the extracellular neural spikes recorded wirelessly at the output of the ADC. In another test, a tungsten electrode with impedance value in the range of 50 to 100 k $\Omega$  was placed in the cortical motor area and externally interfaced with a single channel of the microstimulating back-end on the chip to stimulate the brain with a train of 13 monophasic current pulses (90  $\mu\text{A}$ , 192  $\mu\text{s}$ , 300 Hz). The right plot in Fig. 8 depicts the evoked electromyogram (EMG) signal recorded from the rat's neck muscle. The largest EMG spike appeared in <50 ms from the stimulation onset. The chip was then interfaced with the two implanted electrodes to demonstrate *activity-dependent* ICMS and programmed with the time-amplitude window discriminator parameters determined empirically prior to the experiment. In the first test, the recording and stimulation electrodes were each connected to a single channel of the front- and back-end on the chip, respectively. As the

chip operated fully autonomously from a 1.5-V battery, it successfully delivered electrical stimuli to the cortical motor area triggered by neural spikes discriminated on the adjacent electrode in the somatosensory cortex, producing an artificial connection between the two brain regions. Fig. 9 shows the superimposed spike waveforms and corresponding stimulus artifacts from single-pulse stimulation (90  $\mu\text{A}$ , 192  $\mu\text{s}$ ) with spike-stimulus delays of 5 and 20 ms. The stimulus artifact rejection mechanism in the recording front-end was de-activated in this test. Hence, artifacts of <4 ms in duration were observed on the recording electrode.

Finally, while the stimulation electrode remained connected to a single channel of the back-end, the *multisite* recording electrode was connected to all four channels of the front-end in one module. The decision-making circuitry was programmed to trigger ICMS whenever neural activity would be present on any two or more data channels. Fig. 10 shows a 300-ms snapshot of the recorded data of each channel at the output of the digital HPF along with the corresponding SDO and the resulting stimulus trigger signal. Two large stimulus artifacts were distinguishable on each channel. The first stimulation was triggered by neural activity on channels 2 and 3, whereas the second was due to neural activity on channels 2 and 4. Some recorded spikes (marked by black arrows) were not discriminated by the DSP unit due to blanking of its operation after discriminating the spikes that immediately preceded them. This can be alleviated by reducing the time duration of DSP blanking.



TABLE I  
SUMMARY OF MEASURED PERFORMANCE

Recording Front-End		Microstimulating Back-End		
AC Gain @ 1 kHz	51.9, 57.4, 59.9, 65.6 dB	Stimulus Waveform	Anodic	Cathodic
Low Cutoff Freq.	1.1 ~ 525 Hz		Asymmetric Biphasic & Monophasic w/ Passive Discharge	
High Cutoff Freq.	5.1 ~ 12 kHz		Output Current	0 ~ 94.5 $\mu$ A
Total RMS Input Noise Voltage	3.12 $\mu$ V (BW of 12 kHz) 3.42 $\mu$ V (BW of 5.1 kHz)	Current Pulsewidth		
NEF	2.68 (BW of 12 kHz)	Monophasic	0 ~ 1.008 ms	N/A
	2.9 (BW of 5.1 kHz)	Biphasic	0 ~ 240 $\mu$ s	0 ~ 720 $\mu$ s
CMRR @ 1 kHz	>56 dB	Output Impedance	~400 M $\Omega$	~440 M $\Omega$
PSRR @ 1 kHz	>65 dB	DAC Resolution	6b	
Crosstalk	< -72 dB	DAC Linearity	< $\pm$ 1.6 LSB	
Max. Sampling Freq.	63 kS/s	Supply Sensitivity	-70.4 nA/V	-10.4 nA/V
INL/DNL	< $\pm$ 0.8 LSB	Voltage Compliance		
ENOB	9.2b ( $f_{in}$ = 1 kHz, $f_s$ = 35.7 kS/s)	Monophasic	4.68 (of 5 V)	N/A
	9.1b ( $f_{in}$ = 1 kHz, $f_s$ = 63 kS/s)	Biphasic**	3.18 (of 3.5 V)	1.35 (of 1.5 V)
Power Consumption*		Current Efficiency	95.6% ( $I_{out}$ = 94.5 $\mu$ A)	N/A
Amp-HPF	26.9 $\mu$ W (BW of 12 kHz)	1.5-to-5 V Converter		
SAR ADC	19.9 $\mu$ W (BW of 5.1 kHz) 5.9 $\mu$ W ( $f_{CLK}$ = 1 MHz)			
		DC Output Voltage	4.86 ~ 5.35 V	
Digital Signal Processing Unit		Output Ripple	40 mV <sub>pp</sub>	
HPF Cutoff Freq.	366 / 756 Hz ( $f_{CLK}$ = 1 MHz)	Max. Load Current	88 $\mu$ A ( $V_{out}$ = 5.05 V)	
Power Consumption	12.4 $\mu$ W ( $f_{CLK}$ = 1 MHz)	Power Efficiency	31% ( $V_{out}$ = 5.05 V)	
Relaxation Oscillator		RF Transmitter		
		Comm. Scheme	FSK @ 433 MHz	
Output Frequency	420 kHz ~ 2.5 MHz	Received Power @ 1 m	-55 dBm (18-cm monopole TX and RX Antennae)	
Supply Sensitivity	-60 kHz/V			
Power Consumption	20.8 $\mu$ W ( $f_{CLK}$ = 1 MHz)	Power Consumption	200 $\mu$ W	
Total Power for Two Modules w/o RF TX (Stimulation Rate = 33 Hz, Recording BW = 525 Hz ~ 5.1 kHz) = 375 $\mu$ W				

\* Per channel    \*\* See Section III-C.

TABLE II  
COMPARISON OF SYSTEM FUNCTIONALITY AND MEASURED PERFORMANCE

	This Work	[13]	[14]	[17]	[19]
System Functionality	Neural Spike Recording; Neural Signal Processing; Neurostimulation; Power Conditioning	Neural Recording (Spikes & LFP)	Neural Recording (Spikes & EEG)	Neural Stimulation	Neural Stimulation
Supply Voltage	1.5 V (Battery Powered)	2.8 V	$\pm 2.5$ V	5 V (Wirelessly Powered)	3.3 V (Logic) 10 V (Stimulation)
RMS Input Noise Voltage	3.12 $\mu$ V (0.5 Hz – 50 kHz)	3.06 $\mu$ V (10 Hz – 98 kHz)	2.2 $\mu$ V (0.5 Hz – 50 kHz)	—	—
Power Consumption*	26.9 $\mu$ W (BW of 12 kHz)	7.56 $\mu$ W (BW of 5.32 kHz)	80 $\mu$ W (BW of 7.2 kHz)	—	—
NEF	2.68	2.67	4	—	—
Stimulus Output Range/Resolution	0 – 94.5 $\mu$ A/6b	—	—	1 – 255 $\mu$ A/8b	0 – 735 $\mu$ A
Stimulus Waveform	Asymmetric Biphasic & Monophasic w/ Passive Discharge	—	—	Symmetric Biphasic	Asymmetric Biphasic
Charge Balancing	Passive Discharge & 220-nF Blocking Capacitor	—	—	Charge Recovery w/ Active Circuit	100-nF Blocking Capacitor
Technology	0.35 $\mu$ m CMOS	0.5 $\mu$ m CMOS	1.5 $\mu$ m CMOS	0.6 $\mu$ m BiCMOS	0.35 $\mu$ m CMOS
Experimental Paradigm	<i>In Vivo</i>	<i>In Vivo</i>	<i>In Vivo</i>	<i>In Vivo</i>	Benchtop
Publication Year	2011, JSSC	2007, TBCAS	2003, JSSC	2009, TBCAS	2008, TBCAS

\* Per recording channel

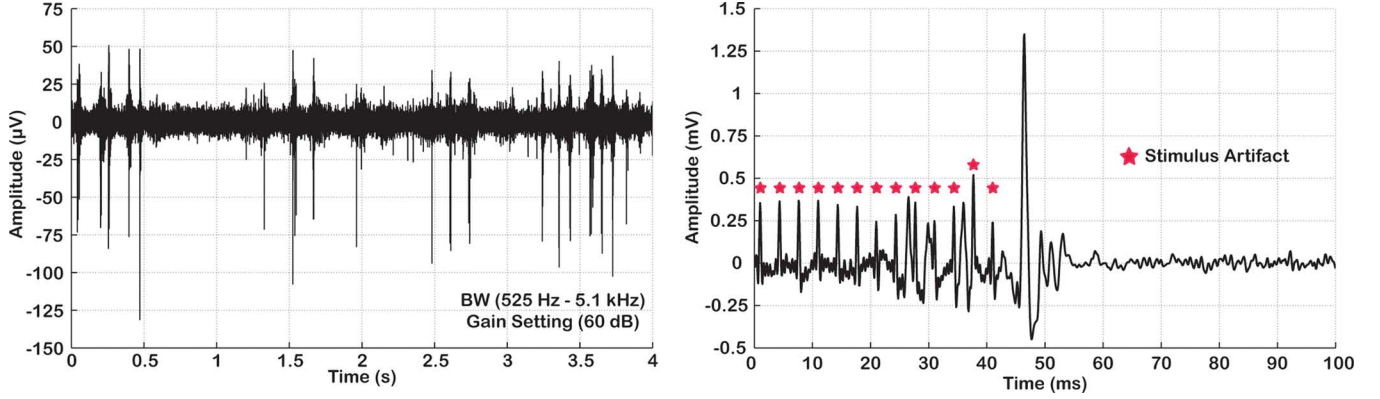


Fig. 8. *In vivo* demonstration of recording and stimulation functionalities of the chip in an anesthetized rat. Left – Extracellular neural spikes recorded wirelessly from the somatosensory cortex of the brain. The data are shown after linear-phase offline filtering (500 Hz to 4 kHz) with spike amplitudes referred to the input. Right – Measured EMG signal from the rat's neck muscle evoked by chip microstimulation of the cortical motor area with a train of 13 monophasic current pulses. The EMG signal is recorded by commercial electrophysiology equipment.

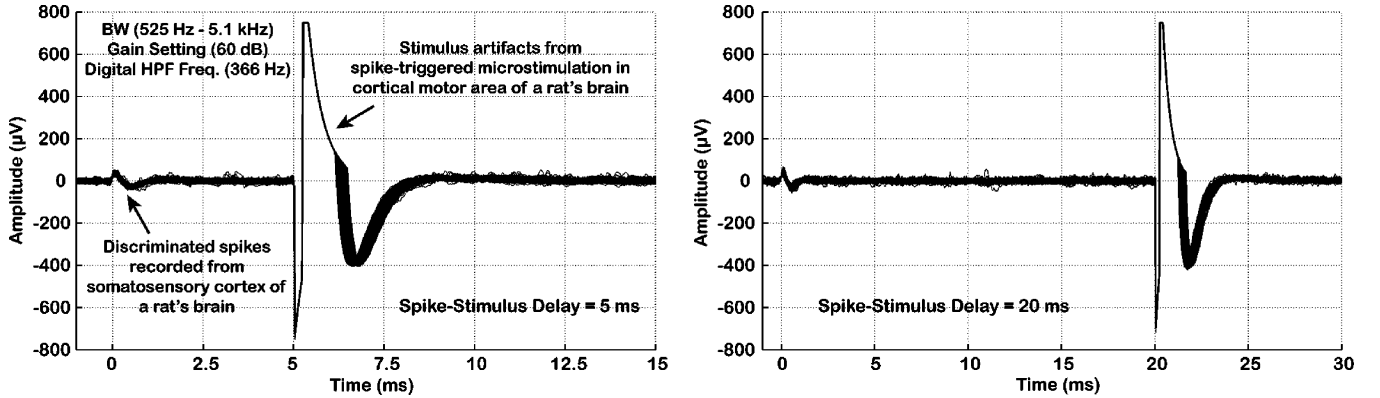


Fig. 9. Stimulation on one microelectrode in the cortical motor area of an anesthetized rat triggered by neural spikes discriminated on an adjacent microelectrode in the somatosensory cortex with spike-stimulus delays of 5 and 20 ms. Stimulus artifacts of < 4 ms in duration are observed on the recording electrode. The data are recorded wirelessly with the amplitude levels referred to the input.

As seen in Figs. 9 and 10, stimulus artifacts are used in this work to show that stimulation is occurring *in vivo*. However, to address the concern of artifacts false-triggering the microstimulator, a time-amplitude window discriminator is used (as opposed to simple spike thresholding) to discriminate between neural spikes and stimulus artifacts by proper adjustment of time-amplitude window parameters. Blanking the DSP operation after spike discrimination also prevents false-triggering the stimulator by the incoming stimulus artifact. Another concern related to stimulus artifacts is that any spike activity that occurs within the duration of the artifact cannot be detected by the system for triggering purposes. This is less of a concern for activity-dependent microstimulation, since we do not foresee a need to use *every* single spike in the system to entrain a neuronal population to another group of neurons. Nonetheless, we are developing an integrated signal-processing solution for real-time stimulus artifact rejection, which affords to retain signal information during each stimulation cycle [44], [45]. Such capability could be added to the DSP unit in the future.

## V. DISCUSSION

### A. LNA Noise Analysis

This section presents a noise analysis for the selected LNA topology and obtains a minimum NEF for a practical design

given our technology parameters and supply voltage. A similar discussion was presented in [13] for a slightly different LNA architecture. Fig. 11 shows a simplified schematic of the LNA and its core OTA for this analysis. We also model the transistor thermal noise current as

$$\overline{i_n^2} = 4KT \times \gamma \times g_m \quad (1)$$

where  $K$  is the Boltzmann's constant,  $T$  is the absolute temperature,  $g_m$  is the transistor transconductance, and  $\gamma$  is  $2/3$  for a transistor operating in strong inversion (above-threshold) and  $1/(2\kappa)$  for a transistor operating in weak inversion (subthreshold) in which  $\kappa$  is the subthreshold gate coupling coefficient with a typical value of 0.7 [40]. The transistor transconductance can be estimated as

$$\begin{aligned} g_m &\approx \frac{\kappa \times I_D}{V_{th}} && \text{Weak inversion (subthreshold)} \\ g_m &\approx \frac{2 \times I_D}{V_{OD}} && \text{Strong inversion (above-threshold)} \end{aligned} \quad (2)$$

where  $V_{th}$  is the thermal voltage,  $I_D$  is the transistor drain current, and  $V_{OD}$  is the transistor overdrive voltage. Assuming that the overall transconductance of the OTA,  $G_m$ , is nearly equal

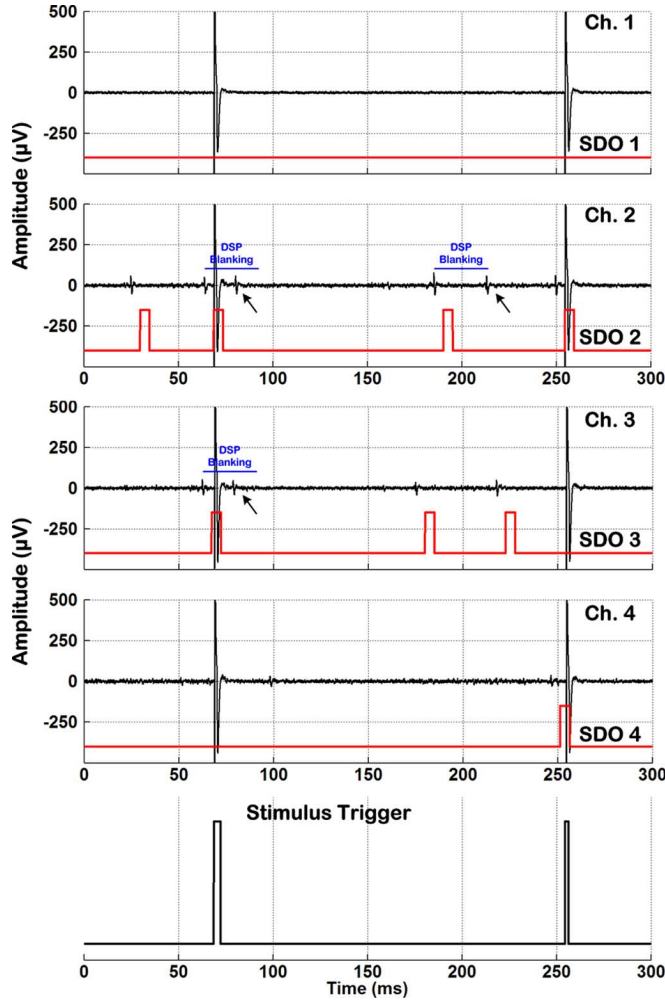


Fig. 10. Stimulation on one microelectrode in the cortical motor area of an anesthetized rat triggered by neural spikes discriminated on multiple recording sites of an adjacent microelectrode. The chip is programmed to trigger ICMS when neural activity is discriminated on any two or more channels of the recording front-end. The timing parameters  $T_5$ ,  $T_6$  and  $T_7$  in the DSP unit are set to 5, 10 and 28 ms, respectively. Arrows point to neural spikes on channels 2 and 3 that are not discriminated by the system due to blanking of the DSP operation.

to that of transistor  $M_1$ , the input-referred noise voltage of the OTA can be estimated as

$$\overline{V_{n,OTA}^2} = \frac{1}{g_{m1}^2} \left( \frac{4KT}{\kappa} \times g_{m1} + \frac{16KT}{3} \times g_{m3} + \frac{16KT}{3} \times g_{m7} \right). \quad (3)$$

To minimize the OTA input noise voltage,  $M_{1,2}$  operate in subthreshold to maximize  $g_{m1}$  for a given current level, whereas  $M_{3,4,7,8}$  operate in strong inversion to reduce their transconductances. Moreover, the drain current of  $M_{1,2}$  is selected to be much larger than that of  $M_{7,8}$  in the folded branch. This current scaling scheme helps improve the OTA noise performance by further increasing  $g_{m1}$  and reducing  $g_{m7,8}$ . Therefore, neglecting the noise contribution by  $M_{7,8}$ , (3) can be simplified as

$$\overline{V_{n,OTA}^2} = \frac{1}{g_{m1}^2} \times \frac{4KT}{\kappa} \left( 1 + \frac{8}{3} \times \frac{V_{th}}{V_{OD3}} \times (\beta + 1) \right) \quad (4)$$

where  $\beta = I_7/I_1$  is the current scaling factor between the input differential pair and the folded branch. Equation (4) suggests that  $V_{OD3}$  should be increased to reduce the OTA input noise. However, increasing  $V_{OD3}$  means the drain-source voltage of  $M_{3,4}$  should be increased too, limiting the OTA output voltage swing. For a peak-to-peak voltage swing ( $V_{Swing}$ ) of 0.5 V at the OTA output, an upper limit of 0.5 V can be found for  $V_{OD3}$  given a supply voltage of 1.5 V. It should be noted that  $V_{Swing}$  of 0.5 V allows the LNA to handle input signals as large as  $\sim \pm 6$  mV, in case LFPs or other low-frequency artifacts would also be present at the input. In this work,  $M_{3,4}$  are sized for  $V_{OD3}$  of 0.35 V instead to ensure that they do not enter the triode region in the presence of process parameter variation.

According to (4), the OTA input noise voltage also depends on  $\beta$ , indicating that the current scaling factor should be selected judiciously. To that end, we next investigate the effect of  $\beta$  on the overall OTA transconductance,  $G_m$ . The  $G_m$  of a folded-cascode OTA with a similar current scaling scheme is thoroughly analyzed in [13]. In a similar way, the analysis of the proposed circuit reveals the  $G_m$  to be

$$G_m \approx \frac{g_{m5}}{g_{ds1} + g_{ds3} + g_{m5}} \times g_{m1} \quad (5)$$

where  $g_{ds}$  is the transistor drain-source conductance. Since the channel length of  $M_{3,4}$  is selected to be much longer than that of  $M_{1,2}$ ,  $g_{ds3}$  is much smaller than  $g_{ds1}$ , which yields

$$\frac{G_m}{g_{m1}} \approx \frac{1}{1 + \frac{V_{th}}{\kappa \times V_{A1} \times \beta}} \quad (6)$$

where  $V_{A1}$  is the early voltage for  $M_1$  (i.e.,  $1/\lambda_1$ ) and  $M_5$  is sized to operate in subthreshold. This equation is also plotted in Fig. 11 with a value of 20 V for  $V_{A1}$ . According to (4), reducing  $\beta$  helps reduce the OTA input noise voltage, but  $\beta$  cannot be reduced arbitrarily to ensure that  $G_m$  is not degraded considerably (i.e.,  $\beta \geq 0.05$  from Fig. 11).

Another important consideration in selecting  $\beta$  is the sensitivity of bias currents to transistor mismatches in the OTA and associated biasing circuitry. Assume that  $\Delta I_1$ ,  $\Delta I_3$  and  $\Delta I_7$  are bias current deviations from their corresponding nominal values due to transistor mismatches. If  $\Delta I_1$  and  $\Delta I_3$  are assumed to be uncorrelated, it can be shown that

$$\begin{aligned} \frac{\Delta I_7}{I_7} &= \sqrt{\frac{\Delta I_1^2 + \Delta I_3^2}{I_7^2}} \\ &= \frac{1}{\beta} \times \sqrt{\left( \frac{\Delta I_1}{I_1} \right)^2 + (\beta + 1)^2 \times \left( \frac{\Delta I_3}{I_3} \right)^2}. \end{aligned} \quad (7)$$

For example, for a  $\beta$  value of 0.05, a 2% variation in  $I_1$  and  $I_3$  causes a 58% variation in  $I_7$ , which might adversely affect the OTA operation and degrade its transconductance. In this work, the minimum value of  $\beta$  (when LNA bandwidth is maximum) is selected to be 0.091 for which  $G_m$  is 98% of  $g_{m1}$ , and 2% variation in  $I_1$  and  $I_3$  causes only 32.5% variation in  $I_7$ . Table III tabulates the dimension, current level, and operating condition of each transistor pair in the OTA for optimum noise performance with the maximum bandwidth setting.

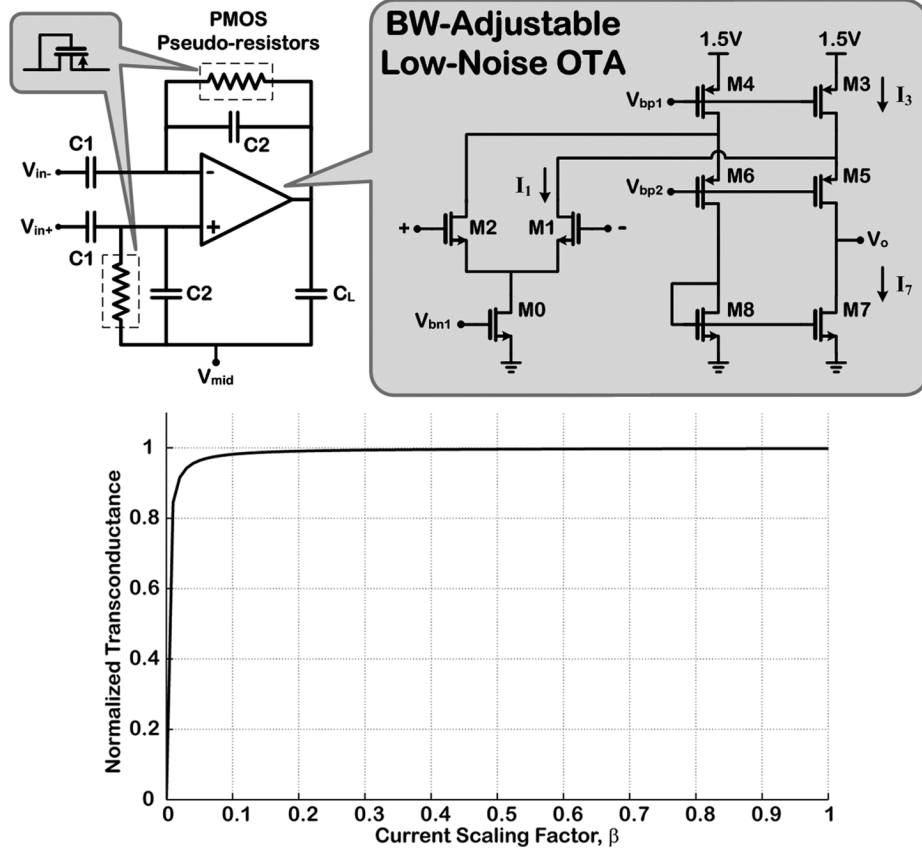


Fig. 11. Simplified schematic of the LNA and its core OTA for noise analysis (top) and a plot of the normalized OTA transconductance  $G_m/g_{m1}$  versus the current scaling factor  $\beta$  (bottom).

TABLE III  
DIMENSION, CURRENT LEVEL, AND OPERATING CONDITION OF OTA TRANSISTORS

Transistor	Dimension ( $\mu\text{m}$ )	Current Level ( $\mu\text{A}$ )	Simulated $g_m$ ( $\mu\text{S}$ )	Inversion Coefficient*	Operating Condition
$M_{1,2}$	800/2	2.75	76	0.021	subthreshold
$M_{3,4}$	20/20	3.00	16	26.8	above-threshold
$M_{5,6}$	80/1.3	0.25	6.9	0.036	subthreshold
$M_{7,8}$	4/40	0.25	2.5	7.6	above-threshold

\*  $\mu_n C_{OX} = 170 \mu\text{A}/\text{V}^2$ ,  $\mu_p C_{OX} = 58 \mu\text{A}/\text{V}^2$

To compute the NEF, we should note that the input-referred noise voltage of the LNA is almost equal to that of the OTA given that the parasitic gate capacitance at the input terminals of the OTA is typically much smaller than  $C_{1,2}$ . The NEF can be calculated according to [46]

$$\text{NEF} = V_{ni,\text{rms}} \times \sqrt{\frac{2 \times I_{\text{total}}}{\pi \times V_{th} \times 4KT \times BW}} \quad (8)$$

where  $V_{ni,\text{rms}}$  is the rms input noise voltage of the LNA,  $I_{\text{total}}$  is the total supply current, and BW is the 3-dB bandwidth of the amplifier. Finally, assuming that the LNA has a single dominant pole in its frequency response and noting that  $I_{\text{total}} = 2 \times I_3$ , combining (4) and (8) yields

$$\text{NEF} = \sqrt{\frac{2 \times (\beta + 1)}{\kappa^2}} \times \left(1 + \frac{8}{3} \times \frac{V_{th}}{V_{OD3}} \times (\beta + 1)\right) \quad (9)$$

which results in an NEF of 2.33 for  $\beta$  of 0.091 and  $V_{OD3}$  of 0.35 V. Hence, the NEF of 2.68 derived from measured performance of the LNA (when set for maximum bandwidth) is in

good agreement with this analysis. This argument also shows that reducing the supply voltage can adversely impact the OTA noise performance for the same output voltage swing, making it challenging to reduce  $V_{DD}$  below 1.5 V in this architecture.

### B. Future Considerations in System Design

Future applications of the proposed activity-dependent ICMS system might require 16, 32 or even higher number of recording and stimulating channels, resulting in higher power consumption and larger silicon area. Fig. 12 shows a breakdown of the area and power consumption for the entire SoC, including both modules. The core area of the SoC excluding I/O pads is  $2.6 \times 2.6 \text{ mm}^2$ , of which 34% is occupied by the 1.5-to-5-V converter. In this work, the converter can provide a maximum dc load current of  $\sim 88 \mu\text{A}$  for an output voltage of 5.05 V, which allows an average stimulus rate of  $>500 \text{ Hz}$  for simultaneous stimulation on all eight channels with maximum stimulus current, given that the microstimulator dissipates  $3.5 \mu\text{W}$  per channel for such stimulation at a rate of 33 Hz.



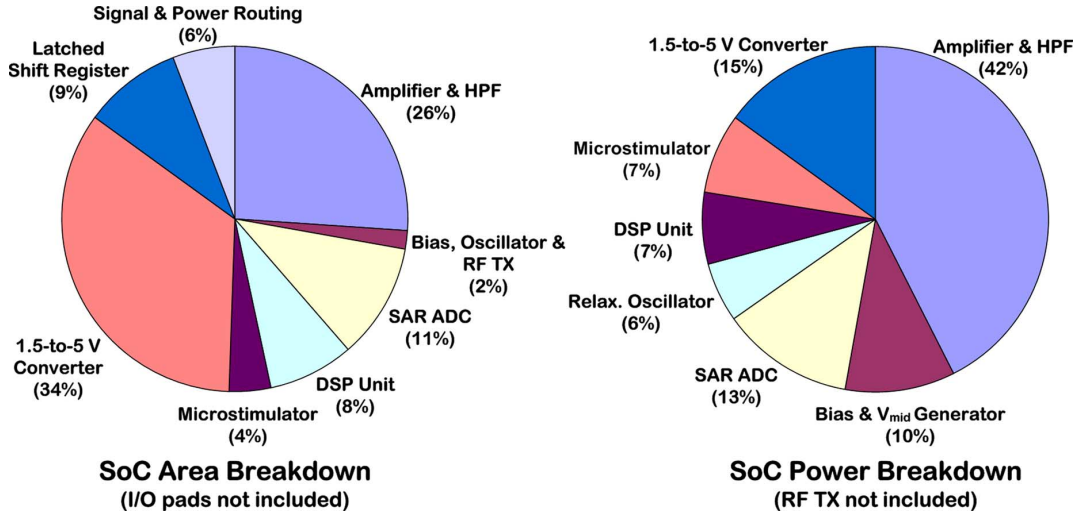


Fig. 12. SoC area and power breakdown.

However, with cortical neuronal firing rates of  $<150$  spikes per second [43], the silicon area of the proposed converter can be reduced by  $\sim 63\%$ .

The power pie-chart is generated assuming biphasic stimulation at a rate of 33 Hz (anodic:  $94.5 \mu\text{A}$ ,  $192 \mu\text{s}$ ; cathodic:  $31.5 \mu\text{A}$ ,  $576 \mu\text{s}$ ) and recording bandwidth of 525 Hz to 5.1 kHz. Excluding the FSK transmitter, the total power consumption is measured to be  $375 \mu\text{W}$  for two modules with the analog recording front-end being the most power-hungry circuit block. Based on simulation results, if we reduce the LNA bias currents to half their current values (all transistors and capacitors should also be sized down accordingly to maintain the same bandwidth), we can save up to 29% and 20% in silicon area and power consumption of the analog recording front-end, respectively. Although this would increase the LNA total input noise by  $\sim 41\%$  to  $4.8 \mu\text{V}_{\text{rms}}$ , it is still less than the background noise of the recording site (5 to  $10 \mu\text{V}$ ) [47]. Another effective approach to reduce the front-end power consumption and silicon area is to reduce the SAR ADC resolution to 9 bits. In the proposed design, the input-referred quantization noise of the SAR ADC ( $V_{\text{DD}}/(\sqrt{12} \times \text{Gain} \times 2^{\text{ENOB}})$ ) is  $\sim 0.8 \mu\text{V}_{\text{rms}}$  for a nominal gain of 60 dB, which is much less than that of the LNA ( $\sim 3 \mu\text{V}_{\text{rms}}$ ). Therefore, reducing the SAR ADC resolution by one bit would not degrade the performance considerably. Further, the power consumption of the secondary amplifier ( $\sim 17\%$  of the total system power) driving the capacitive network of the ADC can be significantly reduced as well by decreasing the ADC resolution.

## VI. CONCLUSION

Brain-machine-brain interfaces (BMBIs) are a new generation of neuroprostheses that use information extracted from brain neural activity for titration control of neuromodulation in real time, potentially enhancing their clinical efficacy in restoring a lost motor or sensory function in the disabled. In this paper, we reported on the design, implementation, performance characterization, and *in vivo* testing of a battery-powered IC for activity-dependent ICMS. The single-chip

system integrated recording and digitization front-end, neural signal processing unit, 1.5-to-5 V converter, and microstimulating back-end to convert extracellular neural spikes recorded from the somatosensory cortex of a rat's brain to electrical stimuli delivered to the cortical motor area in real time with user-adjustable spike-stimulus time delay, when operating autonomously from a single battery. This system is envisioned to offer a novel *device-based* approach to repairing damaged neural pathways directly within the brain with future rehabilitative applications in behavioral recovery from traumatic brain injury (TBI) [48].

## ACKNOWLEDGMENT

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integrated circuits for advanced biomedical microsystems, low-power wireless sensors and high-performance data converters.

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