

# Theory Assignment-1: ADA Winter-2024

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## 1 Assumptions

0-based indexing, array indices start from 0. All arrays have the same number of elements,  $n$ . Also,  $k \in (0, 3n-1)$ .

Operations like arithmetic (addition, division, subtraction, multiplication), comparison between two elements take constant time.

We are assuming that there will be no overflows.

## 2 Algorithm Description

The algorithm aims to find the  $k$ -th smallest element among three sorted arrays  $a$ ,  $b$ , and  $c$ . We do a binary search sort of algorithm to find the  $k$ -th smallest element. Suppose we start with array  $a$ . We run a binary search on it to find an element which has  $k-1$  smaller elements than itself. We can use a custom function to find the number of smaller elements (count) than a given element (key) in all three arrays and compare with  $k$  and update the range accordingly.

count = smaller elements than key in array  $a$  + smaller elements than key in array  $b$  + smaller elements than key in array  $c$

If  $count == k$ : key is the answer.

if  $count > k-1$ : we need to search in the right side of key.

if  $count < k-1$ : we need to search in the left side of key.

Now if we don't find the  $k$ -th smallest element in array  $a$ , we can try to find the element in array  $b$  and  $c$  using the same steps described above.

If the arrays contain duplicate elements we can just take the range of key.

count1 = smaller elements than key in array  $a$  + smaller elements than key in array  $b$  + smaller elements than key in array  $c$

count2 = elements smaller and equal to key in array  $a$  + elements smaller and equal to key in array  $b$  + elements smaller and equal to key in array  $c$

(We don't count the element while counting the equal elements)

if  $count1 < k$  and  $k \leq count2$ : key is the answer.

if  $count1 \geq k$ : search in the left side of key.

else search in the right side of the key.

Now of course, we will find the  $k$ -th element in one of the arrays, as  $0 \leq k < 3n$ .

## 3 Recurrence Relation

Iterative solution, so no recurrence relation

## 4 Time Complexity Analysis

### 4.1 Finding the Specific Element

The process of repeatedly dividing the search space in half efficiently finds an element with  $k-1$  smaller elements. Each iteration runs a logarithmic number of times, halving the search space, leading to  $O(\log n)$  time complexity.

## 4.2 Counting Elements within the Search

Within each iteration of the above process, a method is used to count elements smaller than a given value in each array. It uses lower bound and upper bound function which takes  $O(2 \log n)$  times .

## 4.3 Handling Different Array Orders

In the worst case, the code tries different orders of the arrays to ensure the desired element isn't missed. However, this only adds a constant factor overhead, not affecting the dominant growth rate and takes 3 iterations at max

## 4.4 Overall Time Complexity

The nested logarithmic behavior of the primary search process and the counting method within it leads to  $O(\log n \cdot 6 \log n) = O(6 \log^2 n)$  time complexity.

# 5 Space Complexity Analysis

## 5.1 Auxiliary Space

The code uses a constant amount of auxiliary space, independent of the size of the input arrays. The space required for variables like `int l`, `int r`, `int mid`, and other local variables used in the functions is constant and does not depend on the input size. Therefore, the auxiliary space complexity is  $O(1)$ .

## 5.2 Input Space

The input space refers to the space required to store the input data (arrays  $a$ ,  $b$ , and  $c$ ). The space required for the input arrays is  $O(n)$ , where  $n$  is the size of each array. The input space complexity is determined by the size of the input arrays.

## 5.3 Overall Space Complexity

The overall space complexity is the sum of the auxiliary space and input space complexities:  $O(1)$  (auxiliary space) +  $O(n)$  (input space) =  $O(n)$ . Therefore, the overall space complexity of the provided code is  $O(n)$ .

# 6 Pseudo code

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**Algorithm 3** Function to find  $k$ -th smallest element among three sorted arrays

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1: function COUNTSMALLERANDEQUALNUMBERS( $arr, n, key$ )
2:    $l = \text{lower\_bound}(arr, n, key)$ 
3:    $r = \text{upper\_bound}(arr, n, key)$   $\triangleright$  returns the number of smaller elements and the number of smaller
   elements + number of equal elements
4:   return ( $l, r$ )
5: end function

1: function BINARYSEARCHFORANSWER( $a, b, c, k, n$ )
2:    $i = 0, j = n - 1$ 
3:   while  $i \leq j$  do
4:      $mid = (i + j) / 2$ 
5:      $range\_a = \text{CountSmallerAndEqualNumbers}(a, n, a[mid])$ 
6:      $range\_b = \text{CountSmallerAndEqualNumbers}(b, n, a[mid])$ 
7:      $range\_c = \text{CountSmallerAndEqualNumbers}(c, n, a[mid])$ 
8:      $count1 = range\_a.first + range\_b.first + range\_c.first$ 
9:      $count2 = range\_a.second + range\_b.second + range\_c.second$ 
10:    if  $count1 < k$  and  $k \leq count2$  then
11:      return  $a[mid]$ 
12:    else if  $count1 \geq k$  then
13:       $j = mid - 1$ 
14:    else
15:       $i = mid + 1$ 
16:    end if
17:  end while
  return -1
18: end function

1: function ANSWER( $n, k, a, b, c$ )
2:    $ans = \text{ok}(a, b, c, k, n)$ 
3:   if  $ans \neq -1$  then
4:     return  $ans$ 
5:   else
6:      $ans = \text{ok}(b, a, c, k, n)$ 
7:     if  $ans \neq -1$  then
8:       return  $ans$ 
9:     else
10:       $ans = \text{ok}(c, b, a, k, n)$ 
11:      return  $ans$ 
12:    end if
13:  end if
14: end function

```

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## 7 Proof of Correctness

The  $k$ -th smallest element in an array must have  $k-1$  smaller or same elements to itself . The function CountSmallerAndEqualNumbers tries to find the total number of elements which are smaller or equal in all three arrays for a given element . Thus we can simply compare that number with  $k$  . Now as the arrays are sorted , the number of element smaller than , say  $x$  , is monotonic .

if  $x$  increases the number of elements smaller than or equal to it also increases , So we can use binary search to find the element which have exactly  $k-1$  smaller or equal element to itself .

## 8 Example

### 8.1 Arrays

$$\begin{aligned}a &= [1, 2, 3, 4, 5, 6, 7, 8, 9] \\b &= [2, 4, 6, 8, 10, 12, 14, 16, 18] \\c &= [3, 6, 9, 12, 15, 18, 21, 24, 27]\end{aligned}$$

### 8.2 Target Index (k)

$k = 7$  (finding the 7th smallest element)

### 8.3 Steps

- The algorithm starts with array  $a$  and performs binary search.
- $i = 0$  ,  $j = 8(n-1)$
- It checks the middle element,  $a[4] = 5$ .
- Using CountSmallerAndEqualElements , it finds:
  - 4 elements smaller than 5 in  $a$
  - 2 elements smaller than 5 in  $b$
  - 1 elements smaller than 5 in  $c$Total = 7 elements smaller than 5.
- Since 7 is greater than 6( $k-1$ ), the algorithm searches in the left subarray of  $a$ .
- $i = 0$  ,  $j = 3$
- It checks  $a[1] = 2$ .
- Using CountSmallerAndEqualElements , it finds:
  - 1 elements smaller than 2 in  $a$
  - 1 element equal to 2 in  $b$
  - 0 element smaller than 2 in  $c$Total = 2 elements smaller or equal to 2.
- Since 2 is less than 6, the algorithm searches in the right subarray of  $a$
- $i = 2$  ,  $j = 3$
- It checks  $a[2] = 3$ .
- Using CountSmallAndEqualElements , it finds:
  - 2 elements smaller than 3 in  $a$

- 1 elements smaller than 3 in  $b$
- 1 elements equal to 3 in  $c$

Total = 4 elements smaller than or equal to 3

- Since 4 is smaller than 6 , the algorithm searches in the right subarray of  $a$
- $i = 3$  ,  $j = 3$
- $a[3] = 4$
- Using `CountSmallAndEqualElements` , it finds:
  - 3 elements smaller than 4 in  $a$
  - 2 elements smaller than or equal to 4 in  $b$
  - 1 elements smaller than 4 in  $c$
  - Since 6 is exactly the desired count ( $k-1$ ), the algorithm returns 4 as the 7th smallest element.

Therefore, the algorithm correctly identifies 4 as the 7th smallest element across the three arrays.

Github repo : <https://gitfront.io/r/Kniteenk/PUUZzqiGeP4x/ADA-assignments/>