TAX & ACCOUNTING WISP COMPLIANCE QUICK SELF-AUDIT

verito
It just works. Securely.

Assess your compliance with FTC Safeguards Rule and IRS Publication 4557 in just 2 minutes.

10-POINT WISP COMPLIANCE SELF-AUDIT

Check each box that reflects your current setup:

- ☐ **Documented risk assessment** of systems, devices, applications, and vendor access.
- ☐ **Data Encription** (AES-256+)in storage and transmission.
- ☐ **Multi-factor authentication (MFA)** across all systems and applications.
- ☐ **Annual security training** for all staff and contractors.
- ☐ **Incident response plan** for breaches and system compromises.
- ☐ **Secure, offsite backups** with daily snapshots and version control.
- ☐ **Role-based access controls** with least-privilege principles.
- ☐ **Secure remote access** controls using enterprise-grade tools only.
- ☐ **WISP reviewed annually** and after system/staffing changes.
- ☐ **Device policy** covering personal equipment and remote work.

9–10 boxes checked: ✅ You're WISP-ready! Maintain your plan annually.
6–8 boxes checked: ⚠️ Gaps exist—prioritize before peak season.
<6 boxes checked: ❌ Likely non-compliant. Immediate action recommended.

Schedule Your Free WISP Review

Learn more at Verito.com/wisp | Schedule your free WISP review or email compliance@verito.com

WHAT YOU MIGHT BE MISSING

Even tech-savvy firms often miss these WISP-critical items:

- **Backups stored locally but not encrypted or offsite**
  If your backups are on the same network and lack encryption, a ransomware attack could wipe out your data and your recovery options.
- **No centralized policy for contractor or part-time access**
  Without access controls, temp staff and remote workers may have more system access than necessary, creating avoidable risk.
- **No written incident response plan or breach documentation**
  During an audit or breach event, not having a clear plan can lead to regulatory violations and a slower response time.
- **Using personal devices for client work without controls**
  Laptops or phones without remote wipe, antivirus, or VPN access become major liabilities when used for tax work.
- **WISP written once, but never updated or reviewed**
  Outdated WISPs may not reflect your current software, access setup, or staff responsibilities—leaving blind spots.
- **Relying on "IT guys" without clear audit-ready records**
  Having help is great, but you still need documented proof of security policies and safeguards.
- **No proactive monitoring of all devices**
  If issues aren't being logged or flagged in real-time, your firm may not catch vulnerabilities until it's too late.

If any of these sound familiar, Verito can help.

verito®

It just works. Securely.

Your Next Step—Make WISP Compliance Easy

VeritGuard by verito Gives You:

- 24/7 live technical support from specialists
- Daily encrypted backups with offsite storage for business continuity
- Data encryption at rest and in transit to protect sensitive client information
- System patching and maintenance to keep your environment secure
- Proactive security updates aligned with **IRS Publication 4557** and **FTC Safeguards Rule**
- IT infrastructure built for always-on tax season performance

Schedule Your Free WISP Review

Learn more at Verito.com/wisp | Schedule your free WISP review or email compliance@verito.com