

Chapter 02 - Lists and Dictionaries

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0.1 Item 11: Know How to Slice Sequences

- be careful of slicing with negative numbers as you can end up with suprising results
- `somelist[-0:]` will result in `somelist[:]` and copy the orginal list
- result of slicing a `list` is a whole new `list`
- in assignments, slices replace the specified range in the orginal `list`
- you can shrink a list if you index a larger range and replace it with a shorter range
- similarly you can grow a list when indexing it
- if you assign to a slice with no start or end indexes, you replace the entire contents of the `list` with a copy of whats refrenced and not allocating a new `list`

0.2 Item 12: Avoid Striding and Slicing in a Single Expression

- in python, there is a special syntax to stride to form a list
- `somelist[start:end:strinde]`
- the syntax above lets you take every `nth` item when slicing a sequence

```
[1]: x = ['red', 'orange', 'yellow', 'green', 'blue', 'purple']
      odds = x[::2]
      evens = x[1::2]

      print(odds)
      print(evens)
```

```
['red', 'yellow', 'blue']
['orange', 'green', 'purple']
```

- problem with stride is that it has unexpected behavior
- for example, if you are trying to reverse using it `x[::-1]`
- the syntax work for strings but as soon as the data is encoded as UTF-8 it breakes
- in general avoid using a `stride` along with start and end indexes
- if you need to use it, perer making it a postive value and omit start and end indexes
- if you need to use stride, perfer making it a postive value anbd omit start and end indexes
- striding and then slicing creates an extra shallow copy of the data
- if your program cant afford the `time` or `memory` consider using `itertools` which is clearer to read and does not permit negative values for start, end, or stride

```
[2]: y = x[::2] # ['a', 'c', 'e', 'g']
      z = y[1:-1] # ['c', 'e']
```

0.3 Item 13: Prefer Catch-All Unpacking Over Slicing

- one limitation of unpacking is that you need to know the length of the sequence you are unpacking in advance
- the solution to the unpacking problem is below but it is noisy and error prone

```
[5]: car_ages = [0, 9, 4, 8, 7, 20, 19, 1, 6, 15]
car_ages_descending = sorted(car_ages, reverse=True)

oldest = car_ages_descending[0]
second_oldest = car_ages_descending[1]
others = car_ages_descending[2:]

print(oldest, second_oldest, others)
```

```
20 19 [15, 9, 8, 7, 6, 4, 1, 0]
```

- to correct this, python has introduced catch-all unpacking through a **starred expression**
- a starred expression may appear in any position
- the catch is that you need to have at least one required part or you get an error
- you also can't use multiple catch-all expressions in a single-level unpacking pattern

```
[8]: oldest, second_oldest, *others = car_ages_descending
print(oldest, second_oldest, others)

*others, second_youngest, youngest = car_ages_descending
print(youngest, second_youngest, others)
```

```
20 19 [15, 9, 8, 7, 6, 4, 1, 0]
0 1 [20, 19, 15, 9, 8, 7, 6, 4]
```

- you can use multiple starred expressions in an unpacking assignment statement, as long as they're catch-alls for different parts of the multilevel structure being packed
- it is not recommended

```
[9]: car_inventory = {
    'Downtown': ('Silver Shadow', 'Pinto', 'DMC'),
    'Airport': ('Skyline', 'Viper', 'Gremlin', 'Nova'),
}
((loc1, (best1, *rest1)),
 (loc2, (best2, *rest2))) = car_inventory.items()
print(f'Best at {loc1} is {best1}, {len(rest1)} others')
print(f'Best at {loc2} is {best2}, {len(rest2)} others')
```

```
Best at Downtown is Silver Shadow, 2 others
```

```
Best at Airport is Skyline, 3 others
```

- starred expressions become list instances in all cases
- if there are no leftovers, then the leftover becomes an empty list

```
[10]: short_list = [1, 2]
      first, second, *rest = short_list
      print(first, second, rest)
```

1 2 []

- you can also unpack arbitrary iterators with the unpacking syntax
- below is an example a generator that yields the rows of a CSV file containing all car orders from the dealership this week

```
[11]: def generate_csv():
      yield ('Date', 'Make', 'Model', 'Year', 'Price')
```

- processing the results of the generator using indexes and slices is ok but requires multiple lines and its visually noisy

```
[13]: all_csv_rows = list(generate_csv())
      header = all_csv_rows[0]
      rows = all_csv_rows[1:]

      print('CSV Header:', header)
      print('Row count: ', len(rows))
```

CSV Header: ('Date', 'Make', 'Model', 'Year', 'Price')

Row count: 0

- unpacking with a starred expression makes it easy to process the first row- the header separately from the rest of the iterators contents

```
[14]: it = generate_csv()
      header, *rows = it

      print('CSV Header:', header)
      print('Row count: ', len(rows))
```

CSV Header: ('Date', 'Make', 'Model', 'Year', 'Price')

Row count: 0

- the problem with unpacking an iterator risks the potential of using up all of the memory on your computer and causing your program to crash

0.4 Item 14: Sort by Complex Criteria Using the key Parameter

- you cant sort classes because the **sort** method tries to call comparison special methods that are not define dby the class
- but there might still be some attribute on an object that you wold like to use for sorting
- that is where the **key** parameter can be used
- the **key** function should be a comparable value to use in place of an item for sorting purposes
- with the lambda function passed as the **key** parameter you can access attributes of items

```
[17]: class Tool:
        def __init__(self, name, weight):
            self.name = name
            self.weight = weight

        def __repr__(self):
            return f'Tool({self.name!r}, {self.weight})'

tools = [
    Tool('level', 3.5),
    Tool('hammer', 1.25),
    Tool('screwdriver', 0.5),
    Tool('chisel', 0.25),
]

print('Unsorted:', repr(tools))
tools.sort(key=lambda x: x.weight)
print('\nSorted: ', tools)
```

Unsorted: [Tool('level', 3.5), Tool('hammer', 1.25), Tool('screwdriver', 0.5), Tool('chisel', 0.25)]

Sorted: [Tool('chisel', 0.25), Tool('screwdriver', 0.5), Tool('hammer', 1.25), Tool('level', 3.5)]

- for strings you might also want to process them such as using lowercase before sorting

```
[18]: places = ['home', 'work', 'New York', 'Paris']
places.sort()

print('Case sensitive: ', places)
places.sort(key=lambda x: x.lower())
print('Case insensitive:', places)
```

Case sensitive: ['New York', 'Paris', 'home', 'work']

Case insensitive: ['home', 'New York', 'Paris', 'work']

- sometimes you need to sort by multiple criteria
- the simplest solution in Python is to use the **tuple** type
- Tuples are immutable sequences of arbitrary python values
- tuples are comparable by default and have a natural ordering, meaning they implement all of the special methods such a `__lt__` that are required by the `sort` method
- Tuples impement these special method comparators by iterating over each position in the tuple and comparing the corespondig values one index at a time
- in the first position in the tuples being compared are equal, then the tuple comparision will move on to the second position

```
[19]: saw = (5, 'circular saw')
jackhammer = (40, 'jackhammer')
assert not (jackhammer < saw) # Matches expectations
```

```
[20]: drill = (4, 'drill')
sander = (4, 'sander')
assert drill[0] == sander[0] # Same weight
assert drill[1] < sander[1] # Alphabetically less
assert drill < sander # Thus, drill comes first
```

- you can take advantage of this **tuple** comparison in order to sort the list by multiple parameters
- one limitation of having the key function return a **tuple** is that the direction of sorting for all criteria must be the same, either all ascending or descending order
- providing `reversed=True` will effect all the tuple criteria

```
[24]: power_tools = [
    Tool('drill', 4),
    Tool('circular saw', 5),
    Tool('jackhammer', 40),
    Tool('sander', 4),
]

power_tools.sort(key=lambda x: (x.weight, x.name))
print(power_tools)
print("")

power_tools.sort(key=lambda x: (x.weight, x.name),
    reverse=True) # Makes all criteria descending
print(power_tools)
```

```
[Tool('drill', 4), Tool('sander', 4), Tool('circular saw', 5),
Tool('jackhammer', 40)]
```

```
[Tool('jackhammer', 40), Tool('circular saw', 5), Tool('sander', 4),
Tool('drill', 4)]
```

- for numerical values its possible to mix sorting directions by using the **unary** minus operator in the key function
- this negates one of the values in the returned **tuple** effectively reversing its sort order while leaving the other in tact

```
[25]: power_tools.sort(key=lambda x: (-x.weight, x.name))
print(power_tools)
```

```
[Tool('jackhammer', 40), Tool('circular saw', 5), Tool('drill', 4),
Tool('sander', 4)]
```

- if the key lambda is giving you an error, just combine multiple sorting

0.5 Item 15: Be Cautious When Relying on dict Insertion Ordering

- after Python 3.6 dictionaries preserve insertion order
- a consequence of this is that keyword arguments to functions – including the ****kwargs** catch-all parameter previously would come through in a seemingly random order which made it hard to debug function calls
- classes also use the **dict** type for their instance dictionaries
- so you can assume that the order that the values are preserved

```
[26]: class MyClass:
        def __init__(self):
            self.alligator = 'hatchling'
            self.elephant = 'calf'

a = MyClass()

for key, value in a.__dict__.items():
    print(f'{key} = {value}')
```

```
alligator = hatchling
elephant = calf
```

- there is still the **OrderedDict** class that preserves ordering
- if you need to handle a high rate of key insertions and popitem calls, **OrderedDict** may be a better fit than the standard **dict**
- order is not always maintained
- python makes it easy for programmers to define their own custom container types that emulate the standard protocols matching **list**, **dict**, and the other types
- they inherit from **collections.abc**
- python is not statically typed, so most code relies on **duck typing**, where an object's behavior is its de facto type, instead of rigid class hierarchies
- let's say you wanted a program to show the results of a contest for the cutest baby animal

```
[34]: votes = {
    'otter': 1281,
    'polar bear': 587,
    'fox': 863,
}
```

- we can define a function to process this voting and save the rank of each animal name into a provided empty dictionary

```
[28]: def populate_ranks(votes, ranks):
        names = list(votes.keys())
        names.sort(key=votes.get, reverse=True)

        for i, name in enumerate(names, 1):
            ranks[name] = i
```

- we also need a function that will tell me what animal won the contest
- this function works by assuming that `populate_ranks` will assign the contest of the `ranks` dictionary in ascending order, meaning that the first key must be the winner

```
[32]: def get_winner(ranks):
      return next(iter(ranks))
```

- we can check that our program runs correctly

```
[33]: ranks = {}
      populate_ranks(votes, ranks)
      print(ranks)
      winner = get_winner(ranks)
      print(winner)
```

```
{'otter': 1, 'fox': 2, 'polar bear': 3}
otter
```

- lets sat the requirements for the program have changed
- the UI element that shows the results should be in alphabetical order instead of `rank` order
- to accomplish this, I can use the `collections.abc` built-in module to define a new dictionary-like class that `iterates` its contents in alphabetical order

```
[45]: from collections.abc import MutableMapping

class SortedDict(MutableMapping):

    def __init__(self):
        self.data = {}

    def __getitem__(self, key):
        return self.data[key]

    def __setitem__(self, key, value):
        self.data[key] = value

    def __delitem__(self, key):
        del self.data[key]

    def __iter__(self):
        keys = list(self.data.keys())
        keys.sort()
        for key in keys:
            yield key

    def __len__(self):
```

```
return len(self.data)
```

- we can use `SortedDict` instance in place of a standard dict with the functions from before and no errors will be raised since the class conforms to the protocol of a standard dictionary
- however the results are incorrect

```
[46]: sorted_ranks = SortedDict()
      populate_ranks(votes, sorted_ranks)
      print(sorted_ranks.data)
      winner = get_winner(sorted_ranks)
      print(winner)
```

```
{'otter': 1, 'fox': 2, 'polar bear': 3}
fox
```

- the problem is that the implementation of `get_winner` assumes that the dictionary's iteration is in insertion order to match `populate_ranks`
- this code is using the `SortedDict` instead of `dict`, so the assumption is no longer true
- thus the value returned for the winner is `fox`, which is true alphabetically
- one solution is to either reimplement the `get_winner` function to no longer assume that the ranks dictionary has a specific iteration order
- this is the most conservative and robust solution

```
[47]: def get_winner(ranks):
      for name, rank in ranks.items():
          if rank == 1:
              return name

      winner = get_winner(sorted_ranks)
      print(winner)
```

```
otter
```

- another approach is to add an explicit check to the top of the function to ensure that the type of `ranks` matches my expectations and to raise an exception if not
- this solution likely has better runtime performance than the more conservative approach

```
[48]: def get_winner(ranks):
      if not isinstance(ranks, dict):
          raise TypeError('must provide a dict instance')
      return next(iter(ranks))

      try:
          get_winner(sorted_ranks)
      except TypeError:
          print('must provide a dict instance')
```

```
must provide a dict instance
```


- the third alternative is to use type annotations to enforce that the value passed to `get_winner` is a dict instance and not a `MutableMapping` with dictionary-like behavior
- you can run the `mypy` tool in strict mode on an annotated version

[50]: *# would have thrown an error if mypy was implented*

```
from typing import Dict, MutableMapping
def populate_ranks(votes: Dict[str, int],
                  ranks: Dict[str, int]) -> None:

    names = list(votes.keys())
    names.sort(key=votes.get, reverse=True)

    for i, name in enumerate(names, 1):
        ranks[name] = i

def get_winner(ranks: Dict[str, int]) -> str:
    return next(iter(ranks))

votes = {
    'otter': 1281,
    'polar bear': 587,
    'fox': 863,
}

sorted_ranks = SortedDict()
populate_ranks(votes, sorted_ranks)
print(sorted_ranks.data)
winner = get_winner(sorted_ranks)
print(winner)
```

```
{'otter': 1, 'fox': 2, 'polar bear': 3}
fox
```

- there are three ways to be careful about dictionary-like classes: write code that doesn't rely on insertion, ordering, explicitly check for the `dict` type at runtime

0.6 Prefer `get` over `in` and `KeyError` to Handle Missing Dictionary Keys

- the three fundamental operations for interacting with dictionaries are `accessing`, `assigning` and `deleting` keys and their associated values
- the contents of dictionaries are dynamic and thus it's entirely possible, even likely that when you try to access or delete a key it won't be possible
- you could use `try/except` but that is not the best approach

[51]: `counters = {`
 `'pumpnickel': 2,`

```

    'sourdough': 1,
}

key = 'pumpernickel'
count = counters.get(key, 0)
counters[key] = count + 1

```

- you should generally use `Counter` class if you have to count keys
- if dictionaries have a more complex type, such as a `list`, do the following

```

[53]: votes = {
    'baguette': ['Bob', 'Alice'],
    'ciabatta': ['Coco', 'Deb'],
}

key = 'brioche'
who = 'Elmer'

if key in votes:
    names = votes[key]
else:
    votes[key] = names = []

names.append(who)
print(votes)

```

```

{'baguette': ['Bob', 'Alice'], 'ciabatta': ['Coco', 'Deb'], 'brioche':
['Elmer']}

```

```

[54]: try:
    names = votes[key]
except KeyError:
    votes[key] = names = []
    names.append(who)

```

- you could also use the `get` method to fetch a `list` value when the key is present, or do one fetch and one assignment if the key isn't present

```

[56]: names = votes.get(key)
if names is None:
    votes[key] = names = []

names.append(who)

```

- that above can be shortened to one line which improve readability

```

[58]: if (names := votes.get(key)) is None:
    votes[key] = names = []

```

```
names.append(who)
```

- the dict type also provides the `setdefault` method to help shorten this pattern even further
- `setdefault` tries to fetch the value of a key in the dictionary
- if the key is not present, the method assigns that key to the default value provided
- and then the method returned the value for that key: either the originally present value or the newly inserted default value
- `setdefault` is used below to implement the same logic as in the `get` example above

```
[62]: # readability is not obvious, so you might not want to go with approach
```

```
names = votes.setdefault(key, [])  
names.append(who)
```

- another problem with the top approach is that the default value passed to `setdefault` is assigned directly into the dictionary when the key is missing instead of being copied
- in other words, you get an empty array if nothing was provided to the `value` default parameter
- checking for this mistake leads to overhead which leads to worse performance

```
[61]: data = {}  
key = 'foo'  
value = []  
data.setdefault(key, value)  
print('Before', data)  
value.append('hello')  
print('After: ', data)
```

```
Before {'foo': []}
```

```
After:  {'foo': ['hello']}
```

0.7 Item 17: Prefer `defaultdict` over `setdefault` to Handle Missing Items in Internal State

- when you work with a dictionary you did not create, you can handle missing key in multiple ways (i.e. item 16, prefer `get` over `keyerror`)
- there are cases however we need to use `setdefault`
- let's say I want to keep track of the cities I have visited in countries around the world

```
[63]: visits = {  
    'Mexico': {'Tulum', 'Puerto Vallarta'},  
    'Japan': {'Hakone'},  
}
```

- I can use the `setdefault` method to add new cities to the sets, whether the country name is already present in the dictionary or not
- this approach is shorter than using the `get` method

```
[64]: visits.setdefault('France', set()).add('Arles') # Short
```

```

if (japan := visits.get('Japan')) is None: # Long
    visits['Japan'] = japan = set()

japan.add('Kyoto')

print(visits)

```

```

{'Mexico': {'Tulum', 'Puerto Vallarta'}, 'Japan': {'Hakone', 'Kyoto'}, 'France':
{'Arles'}}

```

- what about situations when you do control the creation of the dictionary being accessed
- this is generally the case when you're using a dictionary instance to keep track of the internal state of a class, for example
- below is the example above wrapped in a class with helper methods to access the dynamic inner state stored in a dictionary

```

[66]: class Visits:
        def __init__(self):
            self.data = {}

        def add(self, country, city):
            city_set = self.data.setdefault(country, set())
            city_set.add(city)

```

- the new class hides the complexities of calling `setdefault` correctly and it provides a nicer interface for the programmer

```

[67]: visits = Visits()
visits.add('Russia', 'Yekaterinburg')
visits.add('Tanzania', 'Zanzibar')
print(visits.data)

```

```

{'Russia': {'Yekaterinburg'}, 'Tanzania': {'Zanzibar'}}

```

- the implementation of the `Visits.add` method is not ideal
- the `setdefault` method is still confusingly named, which makes it more difficult for a new reader of the code to immediately understand what's happening
- the implementation is also not efficient because it constructs a new `set` instance on every call, regardless of whether the given country was already present in the data dictionary
- the `defaultdict` class from `collections` simplifies the common use case by automatically storing a default value when a key doesn't exist

```

[68]: from collections import defaultdict

class Visits:
    def __init__(self):
        self.data = defaultdict(set)

    def add(self, country, city):

```

```

        self.data[country].add(city)

visits = Visits()
visits.add('England', 'Bath')
visits.add('England', 'London')
print(visits.data)

```

```
defaultdict(<class 'set'>, {'England': {'London', 'Bath'}})
```

- note we avoid having to call the `setdefault` method which could be costly considering how many times we call it
- If you're creating a dictionary to manage an arbitrary set of potential keys, then you should prefer using a `defaultdict` instance from the `collections` built-in module if it suits your problem.
- If a dictionary of arbitrary keys is passed to you, and you don't control its creation, then you should prefer the `get` method to access its items. However, it's worth considering using the `setdefault` method for the few situations in which it leads to shorter code

0.8 Item 18: Know How To Construct Key-Dependent Default Values with 'missing'

- there are times when neither `setdefault` nor `defaultdict` is the right fit
- imagine I want to write a program to manage social network profile pictures on the filesystem
- I need a dictionary to map the profile picture pathnames to open file handles so I can read and write those images as needed
- below, this is done by using a normal `dict` instance and checking for the presence of keys, using the `get` method and an assignment expression

```

[71]: pictures = {}
path = 'profile_1234.png'
if (handle := pictures.get(path)) is None:
    try:
        handle = open(path, 'a+b')
    except OSError:
        print(f'Failed to open path {path}')
        raise
    else:
        pictures[path] = handle
handle.seek(0)
image_data = handle.read()

```

- when the file exists in the dictionary, this code makes only a single dictionary access
- if the file handle does not exist, the dictionary is accessed once by `get` and then it is assigned in the `else` clause
- the call to the `read` method stands clearly separate from the code that calls `open` and handles exceptions
- we could use `KeyError` but that would require more dictionary accesses and levels of nesting
- we could also use `setdefault` method

```
[72]: try:
        handle = pictures.setdefault(path, open(path, 'a+b'))
    except OSError:
        print(f'Failed to open path {path}')
        raise
    else:
        handle.seek(0)
        image_data = handle.read()
```

- the problems with the code above is that the `open` built-in function to create the file handle is always called, even when the path is already present in the dictionary
- this results in additional file handle that may conflict with the existing open handles in the same programs
- exceptions may be raised by the open call and need to be handled but it may not be possible to differentiate them from exceptions that may be raised by the `setdefault` call on the same line
- if you're trying to manage internal state, another assumption you might make is that `defaultdict` could be used for keeping track of these profile pictures

```
[77]: from collections import defaultdict

def open_picture(profile_path):
    try:
        return open(profile_path, 'a+b')
    except OSError:
        print(f'Failed to open path {profile_path}')
        raise

pictures = defaultdict(open_picture)
try:
    handle = pictures[path]
    handle.seek(0)
    image_data = handle.read()
except TypeError:
    print('open_picture() missing 1 required positional argument')
```

`open_picture() missing 1 required positional argument`

- the problem is that `defaultdict` expects that the function passed to its constructor doesn't require any arguments
- this means that the helper function that `defaultdict` calls does not know which specific key is being accessed, which eliminates my ability to call `open`
- this problem is common enough that there is a built-in solution
- you can subclass the `dict` type and implement the `__missing__` special method to add custom logic for handling missing keys
- there we define a new class that takes advantage of the same `open_picture` helper method defined above

```
[78]: class Pictures(dict):
    def __missing__(self, key):
        value = open_picture(key)
        self[key] = value
        return value

pictures = Pictures()
handle = pictures[path]
handle.seek(0)
image_data = handle.read()
```

- when the `pictures[path]` dictionary access finds that the `path` key is not present in the dictionary, the `__missing__` method is called
- this method must create the new default value for the key, insert it into the dictionary and return it to the caller
- subsequent access of the same `path` will not call `__missing__` since the corresponding items are already present
- The `setdefault` method of `dict` is a bad fit when creating the default value has high computational cost or may raise exceptions.
- the function passed to `defaultdict` must have the default value depend on the key being accessed
- you can define your own `dict` subclass with a `__missing__` method in order to construct default values that must know which key was being accessed