

UNIVERSITÉ DE LILLE
INRIA

École doctorale École Gradué MADIS-631

Unité de recherche **Centre de Recherche en Informatique, Signal et Automatique de Lille**

Thèse présentée par **Hector KOHLER**

Soutenue le **1^{er} décembre 2025**

En vue de l'obtention du grade de docteur de l'Université de Lille et de l'Inria

Discipline **Informatique**
Spécialité **Informatique et Applications**

Arbres de Décision pour la Prise de Décision Séquentielle

Thèse dirigée par Philippe PREUX directeur
Riad AKROUR co-directeur

Composition du jury

<i>Rapporteurs</i>	René DESCARTES	professeur à l'IHP	
	Denis DIDEROT	directeur de recherche au CNRS	
<i>Examineurs</i>	Victor HUGO	professeur à l'ENS Lyon	président du jury
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	Joseph FOURIER	chargé de recherche à l'INRIA	
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COLOPHON

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UNIVERSITÉ DE LILLE
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Doctoral School École Graduée MADIS-631

University Department **Centre de Recherche en Informatique, Signal et Automatique de
Lille**

Thesis defended by **Hector KOHLER**

Defended on **December 1, 2025**

In order to become Doctor from Université de Lille and from Inria

Academic Field **Computer Science**

Speciality **Computer Science and Applications**

Decision Trees for Sequential Decision Making

Thesis supervised by Philippe PREUX Supervisor
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<i>Supervisors</i>	Philippe PREUX	Professor at Université de Lille	
	Riad AKROUR	Inria	

ARBRES DE DÉCISION POUR LA PRISE DE DÉCISION SÉQUENTIELLE**Résumé**

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Mots clés : apprentissage par renforcement, arbres de décision, interprétabilité, méthodologie

DECISION TREES FOR SEQUENTIAL DECISION MAKING**Abstract**

In this Ph.D. thesis, we study algorithms to learn decision trees for classification and sequential decision making. Decision trees are interpretable because humans can read through the decision tree computations from the root to the leaves. This makes decision trees the go-to model when human verification is required like in medicine applications. However, decision trees are non-differentiable making them hard to optimize unlike neural networks that can be trained efficiently with gradient descent. Existing interpretable reinforcement learning approaches usually learn soft trees (non-interpretable as is) or are ad-hoc (train a neural network then fit a tree to it) potentially missing better solutions.

In the first part of this manuscript, we aim to directly learn decision trees for a Markov decision process with reinforcement learning. In practice we show that this amounts to solving a partially observable Markov decision process. Most existing RL algorithms are not suited for POMDPs. This parallel between decision tree learning with RL and POMDPs solving help us understand why in practice it is often easier to obtain a non-interpretable expert policy—a neural network—and then distillate it into a tree rather than learning the decision tree from scratch.

The second contribution from this work arose from the observation that looking for a decision tree classifier (or regressor) can be seen as sequentially adding nodes to a tree to maximize the accuracy of predictions. We thus formulate decision tree induction as solving a Markov decision problem and propose a new state-of-the-art algorithm that can be trained with supervised example data and generalizes well to unseen data.

Work from the previous parts rely on the hypothesis that decision trees are indeed an interpretable model that humans can use in sensitive applications. But is it really the case? In the last part of this thesis, we attempt to answer some more general questions about interpretability: can we measure interpretability without humans? And are decision trees really more interpretable than neural networks?

Keywords: reinforcement learning, decision trees, interpretability, methodology

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Preliminary Concepts

What is Sequential Decision Making?

In this manuscript we are interested in sequential decision making processes. Sequential decision making processes are found in all aspects of life. In medicine, **doctors** have to decide when **to use chemotherapy** next based on **the patient's current health** in order **to heal** (cite). In agriculture, agronomists have to decide when to fertilize next based on the current soil and wheather conditions in order to maximize plant growth (cite). In automotive, the auto-pilot system has to decide how to steer the wheel next in order to maintain a safe trajectory (cite). In video games, a bot decides what attack to throw next based on the player's and its states in order to provide the best entertainment. Those sequential decision making processes exhibits key similarities : **an agent** takes **actions** based on **some current information** to achieve some **goal**.

Markov decision processes/problems

Exact solutions

Reinforcement learning

What is Interpretable Sequential Decision Making?

Why do we care about interpretability?

What are existing approaches for interpretable sequential decision making?

What are decision tree policies?

Why are decision tree policies harder to learn than decision tree classifiers?

Outline of the Thesis

Première partie

A difficult problem : Learning Decision Trees for MDP

I have not failed. I've just found
10.000 ways that won't work.

Thomas A. Edison

Chapitre 1

A Decision Tree Policy for an MDP is a
Policy for some Partially Observable
MDP

1.1 How to Learn a Decision Tree Policy for an MDP?

1.1.1 Imitation

1.1.2 Soft Trees

1.1.3 Iterative Bounding MDPs

1.2 How to solve Iterative Bounding MDPs?

1.2.1 Asymmetric Reinforcement Learning

1.2.2 Learning a decision tree policy is solving a POMDP

1.3 Is it hard to properly learn a Decision Tree Policy for an MDP?

1.3.1 POMDPs are way harder to solve than MDPs

1.3.2 Memoryless approaches to solve POMDPs seem ineffective

An attempt at Learning Decision Tree Policies with Reinforcement Learning

2.1 Grid Worlds

$$\begin{aligned}
 V(g) &= \zeta \sum_{i=0}^{\infty} \gamma^{2i} + \sum_{i=0}^{\infty} \gamma^{2i+1} \\
 V(0) &= \zeta + \gamma^2 V(g) \\
 V(1) &= \zeta + \gamma^2 V(0) \\
 \frac{1}{4} \gamma \frac{1}{1-\gamma} + \frac{1}{4} \frac{1}{1-\gamma} &\leq \frac{1}{4} V(g) + \frac{2}{4} V(0) + \frac{1}{4} V(1) \\
 \zeta \cdot \sum_{i=0}^{\infty} \gamma^i &\leq \frac{1}{4} V(g) + \frac{2}{4} V(0) + \frac{1}{4} V(1) \\
 \frac{1}{4} V(g) + \frac{1}{4} (\zeta + \gamma V(0)) + \frac{1}{4} (\zeta + \gamma V(1)) + \frac{1}{4} V(0) &\leq \frac{1}{4} V(g) + \frac{2}{4} V(0) + \frac{1}{4} V(1) \\
 \frac{1}{4} V(g) + \frac{1}{4} V(0) + \frac{1}{4} (\zeta + \gamma^2 \zeta \sum_{i=0}^{\infty} \gamma^{2i}) + \frac{1}{4} (\zeta \sum_{i=0}^{\infty} \gamma^{2i}) &\leq \frac{1}{4} V(g) + \frac{2}{4} V(0) + \frac{1}{4} V(1)
 \end{aligned}$$

2.1.1 Step-by-step derivation of the lower bound on ζ

Step 1 : Simplify the left side of the inequality

$$\frac{1}{4} \gamma \frac{1}{1-\gamma} + \frac{1}{4} \frac{1}{1-\gamma} = \frac{1}{4} \frac{1}{1-\gamma} (\gamma + 1) \tag{2.1}$$

$$= \frac{\gamma + 1}{4(1-\gamma)} \tag{2.2}$$

Step 2 : Express $V(g)$, $V(0)$, and $V(1)$ in simplified forms

$$V(g) = \zeta \sum_{i=0}^{\infty} \gamma^{2i} + \sum_{i=0}^{\infty} \gamma^{2i+1} \quad (2.3)$$

$$= \zeta \frac{1}{1-\gamma^2} + \gamma \frac{1}{1-\gamma^2} \quad (2.4)$$

$$= \frac{\zeta + \gamma}{1-\gamma^2} \quad (2.5)$$

$$V(0) = \zeta + \gamma^2 V(g) \quad (2.6)$$

$$= \zeta + \gamma^2 \frac{\zeta + \gamma}{1-\gamma^2} \quad (2.7)$$

$$= \frac{\zeta(1-\gamma^2) + \gamma^2(\zeta + \gamma)}{1-\gamma^2} \quad (2.8)$$

$$= \frac{\zeta + \gamma^3}{1-\gamma^2} \quad (2.9)$$

$$V(1) = \zeta + \gamma^2 V(0) \quad (2.10)$$

$$= \zeta + \gamma^2 \frac{\zeta + \gamma^3}{1-\gamma^2} \quad (2.11)$$

$$= \frac{\zeta(1-\gamma^2) + \gamma^2(\zeta + \gamma^3)}{1-\gamma^2} \quad (2.12)$$

$$= \frac{\zeta + \gamma^5}{1-\gamma^2} \quad (2.13)$$

Step 3 : Substitute into the right side of the inequality

$$\frac{1}{4}V(g) + \frac{2}{4}V(0) + \frac{1}{4}V(1) = \frac{1}{4} \frac{\zeta + \gamma}{1 - \gamma^2} + \frac{1}{2} \frac{\zeta + \gamma^3}{1 - \gamma^2} + \frac{1}{4} \frac{\zeta + \gamma^5}{1 - \gamma^2} \quad (2.14)$$

$$= \frac{1}{4(1 - \gamma^2)} [(\zeta + \gamma) + 2(\zeta + \gamma^3) + (\zeta + \gamma^5)] \quad (2.15)$$

$$= \frac{4\zeta + \gamma + 2\gamma^3 + \gamma^5}{4(1 - \gamma^2)} \quad (2.16)$$

Step 4 : Set up the inequality

$$\frac{\gamma + 1}{4(1 - \gamma)} \leq \frac{4\zeta + \gamma + 2\gamma^3 + \gamma^5}{4(1 - \gamma^2)} \quad (2.17)$$

Step 5 : Use the identity $1 - \gamma^2 = (1 - \gamma)(1 + \gamma)$

$$\frac{\gamma + 1}{4(1 - \gamma)} \leq \frac{4\zeta + \gamma + 2\gamma^3 + \gamma^5}{4(1 - \gamma)(1 + \gamma)} \quad (2.18)$$

Step 6 : Multiply both sides by $4(1 - \gamma)$

$$\gamma + 1 \leq \frac{4\zeta + \gamma + 2\gamma^3 + \gamma^5}{1 + \gamma} \quad (2.19)$$

Step 7 : Multiply both sides by $(1 + \gamma)$

$$(\gamma + 1)(1 + \gamma) \leq 4\zeta + \gamma + 2\gamma^3 + \gamma^5 \quad (2.20)$$

$$(\gamma + 1)^2 \leq 4\zeta + \gamma + 2\gamma^3 + \gamma^5 \quad (2.21)$$

Step 8 : Expand and rearrange

$$\gamma^2 + 2\gamma + 1 \leq 4\zeta + \gamma + 2\gamma^3 + \gamma^5 \quad (2.22)$$

$$4\zeta \geq \gamma^2 + 2\gamma + 1 - \gamma - 2\gamma^3 - \gamma^5 \quad (2.23)$$

$$4\zeta \geq \gamma^2 + \gamma + 1 - 2\gamma^3 - \gamma^5 \quad (2.24)$$

$$\zeta \geq \frac{\gamma^2 + \gamma + 1 - 2\gamma^3 - \gamma^5}{4} \quad (2.25)$$

Therefore, we obtain a **lower bound** on ζ :

$$\zeta \geq \frac{\gamma^2 + \gamma + 1 - 2\gamma^3 - \gamma^5}{4} \quad (2.26)$$

where $0 < \gamma < 1$.

2.1.2 Step-by-step derivation of the upper bound on ζ

Starting from the inequality :

$$\frac{1}{4}V(g) + \frac{1}{4}(\zeta + \gamma V(0)) + \frac{1}{4}(\zeta + \gamma V(1)) + \frac{1}{4}V(0) \leq \frac{1}{4}V(g) + \frac{2}{4}V(0) + \frac{1}{4}V(1)$$

Step 1 : Cancel the $\frac{1}{4}V(g)$ terms from both sides

$$\frac{1}{4}(\zeta + \gamma V(0)) + \frac{1}{4}(\zeta + \gamma V(1)) + \frac{1}{4}V(0) \leq \frac{2}{4}V(0) + \frac{1}{4}V(1) \quad (2.27)$$

Step 2 : Expand the left side

$$\frac{1}{4}\zeta + \frac{1}{4}\gamma V(0) + \frac{1}{4}\zeta + \frac{1}{4}\gamma V(1) + \frac{1}{4}V(0) \leq \frac{2}{4}V(0) + \frac{1}{4}V(1) \quad (2.28)$$

Step 3 : Combine like terms

$$\frac{1}{2}\zeta + \frac{1}{4}\gamma V(0) + \frac{1}{4}\gamma V(1) \leq \frac{2}{4}V(0) - \frac{1}{4}V(0) + \frac{1}{4}V(1) \quad (2.29)$$

$$\frac{1}{2}\zeta + \frac{1}{4}\gamma V(0) + \frac{1}{4}\gamma V(1) \leq \frac{1}{4}V(0) + \frac{1}{4}V(1) \quad (2.30)$$

Step 4 : Factor out common terms

$$\frac{1}{2}\zeta \leq \frac{1}{4}V(0) + \frac{1}{4}V(1) - \frac{1}{4}\gamma V(0) - \frac{1}{4}\gamma V(1) \quad (2.31)$$

$$\frac{1}{2}\zeta \leq \frac{1}{4}V(0)(1 - \gamma) + \frac{1}{4}V(1)(1 - \gamma) \quad (2.32)$$

$$\frac{1}{2}\zeta \leq \frac{1 - \gamma}{4}(V(0) + V(1)) \quad (2.33)$$

$$\zeta \leq \frac{1 - \gamma}{2}(V(0) + V(1)) \quad (2.34)$$

Step 5 : Substitute the expressions for $V(0)$ and $V(1)$

$$V(0) + V(1) = \frac{\zeta + \gamma^3}{1 - \gamma^2} + \frac{\zeta + \gamma^5}{1 - \gamma^2} \quad (2.35)$$

$$= \frac{2\zeta + \gamma^3 + \gamma^5}{1 - \gamma^2} \quad (2.36)$$

Step 6 : Substitute back into the inequality

$$\zeta \leq \frac{1 - \gamma}{2} \cdot \frac{2\zeta + \gamma^3 + \gamma^5}{1 - \gamma^2} \quad (2.37)$$

$$= \frac{(1 - \gamma)(2\zeta + \gamma^3 + \gamma^5)}{2(1 - \gamma^2)} \quad (2.38)$$

Step 7 : Use the identity $1 - \gamma^2 = (1 - \gamma)(1 + \gamma)$

$$\zeta \leq \frac{(1 - \gamma)(2\zeta + \gamma^3 + \gamma^5)}{2(1 - \gamma)(1 + \gamma)} \quad (2.39)$$

$$= \frac{2\zeta + \gamma^3 + \gamma^5}{2(1 + \gamma)} \quad (2.40)$$

Step 8 : Multiply both sides by $2(1 + \gamma)$

$$2(1 + \gamma)\zeta \leq 2\zeta + \gamma^3 + \gamma^5 \quad (2.41)$$

$$2\zeta + 2\gamma\zeta \leq 2\zeta + \gamma^3 + \gamma^5 \quad (2.42)$$

$$2\gamma\zeta \leq \gamma^3 + \gamma^5 \quad (2.43)$$

$$\zeta \leq \frac{\gamma^3 + \gamma^5}{2\gamma} \quad (2.44)$$

$$\zeta \leq \frac{\gamma^2 + \gamma^4}{2} \quad (2.45)$$

Therefore, we obtain an **upper bound** on ζ :

$$\zeta \leq \frac{\gamma^2 + \gamma^4}{2} \quad (2.46)$$

Combined bounds :

$$\frac{\gamma^2 + \gamma + 1 - 2\gamma^3 - \gamma^5}{4} \leq \zeta \leq \frac{\gamma^2 + \gamma^4}{2} \quad (2.47)$$

where $0 < \gamma < 1$.

2.1.3 Step-by-step derivation for the third inequality

Starting from the inequality :

$$\zeta \cdot \sum_{i=0}^{\infty} \gamma^i \leq \frac{1}{4}V(g) + \frac{2}{4}V(0) + \frac{1}{4}V(1)$$

Step 1 : Simplify the left side using the geometric series

$$\zeta \cdot \sum_{i=0}^{\infty} \gamma^i = \zeta \cdot \frac{1}{1-\gamma} \quad (2.48)$$

$$= \frac{\zeta}{1-\gamma} \quad (2.49)$$

Step 2 : Use the previously derived expression for the right side From our earlier calculation :

$$\frac{1}{4}V(g) + \frac{2}{4}V(0) + \frac{1}{4}V(1) = \frac{4\zeta + \gamma + 2\gamma^3 + \gamma^5}{4(1-\gamma^2)} \quad (2.50)$$

Step 3 : Set up the inequality

$$\frac{\zeta}{1-\gamma} \leq \frac{4\zeta + \gamma + 2\gamma^3 + \gamma^5}{4(1-\gamma^2)} \quad (2.51)$$

Step 4 : Use the identity $1 - \gamma^2 = (1 - \gamma)(1 + \gamma)$

$$\frac{\zeta}{1-\gamma} \leq \frac{4\zeta + \gamma + 2\gamma^3 + \gamma^5}{4(1-\gamma)(1+\gamma)} \quad (2.52)$$

Step 5 : Multiply both sides by $(1 - \gamma)$

$$\zeta \leq \frac{4\zeta + \gamma + 2\gamma^3 + \gamma^5}{4(1 + \gamma)} \quad (2.53)$$

Step 6 : Multiply both sides by $4(1 + \gamma)$

$$4(1 + \gamma)\zeta \leq 4\zeta + \gamma + 2\gamma^3 + \gamma^5 \quad (2.54)$$

$$4\zeta + 4\gamma\zeta \leq 4\zeta + \gamma + 2\gamma^3 + \gamma^5 \quad (2.55)$$

Step 7 : Subtract 4ζ from both sides

$$4\gamma\zeta \leq \gamma + 2\gamma^3 + \gamma^5 \quad (2.56)$$

$$\zeta \leq \frac{\gamma + 2\gamma^3 + \gamma^5}{4\gamma} \quad (2.57)$$

$$\zeta \leq \frac{1 + 2\gamma^2 + \gamma^4}{4} \quad (2.58)$$

Therefore, we obtain another **upper bound** on ζ :

$$\zeta \leq \frac{1 + 2\gamma^2 + \gamma^4}{4} \quad (2.59)$$

Final combined bounds from all three inequalities :

$$\frac{\gamma^2 + \gamma + 1 - 2\gamma^3 - \gamma^5}{4} \leq \zeta \leq \min \left\{ \frac{\gamma^2 + \gamma^4}{2}, \frac{1 + 2\gamma^2 + \gamma^4}{4} \right\} \quad (2.60)$$

where $0 < \gamma < 1$.

2.1.4 Step-by-step derivation for the fourth inequality

Starting from the inequality :

$$\frac{1}{4}V(g) + \frac{1}{4}V(0) + \frac{1}{4}(\zeta + \gamma^2\zeta \sum_{i=0}^{\infty} \gamma^{2i}) + \frac{1}{4}(\zeta \sum_{i=0}^{\infty} \gamma^{2i}) \leq \frac{1}{4}V(g) + \frac{2}{4}V(0) + \frac{1}{4}V(1)$$

Step 1 : Cancel the $\frac{1}{4}V(g)$ terms from both sides

$$\frac{1}{4}V(0) + \frac{1}{4}(\zeta + \gamma^2\zeta \sum_{i=0}^{\infty} \gamma^{2i}) + \frac{1}{4}(\zeta \sum_{i=0}^{\infty} \gamma^{2i}) \leq \frac{2}{4}V(0) + \frac{1}{4}V(1) \quad (2.61)$$

Step 2 : Simplify using the geometric series $\sum_{i=0}^{\infty} \gamma^{2i} = \frac{1}{1-\gamma^2}$

$$\frac{1}{4}V(0) + \frac{1}{4}\left(\zeta + \gamma^2\zeta \frac{1}{1-\gamma^2}\right) + \frac{1}{4}\left(\zeta \frac{1}{1-\gamma^2}\right) \leq \frac{2}{4}V(0) + \frac{1}{4}V(1) \quad (2.62)$$

Step 3 : Factor out common terms

$$\frac{1}{4}V(0) + \frac{1}{4}\zeta\left(1 + \frac{\gamma^2}{1-\gamma^2}\right) + \frac{1}{4}\zeta \frac{1}{1-\gamma^2} \leq \frac{2}{4}V(0) + \frac{1}{4}V(1) \quad (2.63)$$

Step 4 : Simplify the coefficient of ζ

$$1 + \frac{\gamma^2}{1-\gamma^2} + \frac{1}{1-\gamma^2} = \frac{1-\gamma^2+\gamma^2+1}{1-\gamma^2} = \frac{2}{1-\gamma^2} \quad (2.64)$$

So the inequality becomes :

$$\frac{1}{4}V(0) + \frac{1}{4}\zeta \frac{2}{1-\gamma^2} \leq \frac{2}{4}V(0) + \frac{1}{4}V(1) \quad (2.65)$$

$$\frac{1}{4}V(0) + \frac{\zeta}{2(1-\gamma^2)} \leq \frac{1}{2}V(0) + \frac{1}{4}V(1) \quad (2.66)$$

Step 5 : Rearrange to isolate the ζ term

$$\frac{\zeta}{2(1-\gamma^2)} \leq \frac{1}{2}V(0) - \frac{1}{4}V(0) + \frac{1}{4}V(1) \quad (2.67)$$

$$\frac{\zeta}{2(1-\gamma^2)} \leq \frac{1}{4}V(0) + \frac{1}{4}V(1) \quad (2.68)$$

$$\zeta \leq \frac{(1-\gamma^2)}{2}(V(0) + V(1)) \quad (2.69)$$

Step 6 : Substitute the expressions for $V(0)$ and $V(1)$

$$V(0) + V(1) = \frac{\zeta + \gamma^3}{1 - \gamma^2} + \frac{\zeta + \gamma^5}{1 - \gamma^2} \quad (2.70)$$

$$= \frac{2\zeta + \gamma^3 + \gamma^5}{1 - \gamma^2} \quad (2.71)$$

Step 7 : Substitute back into the inequality

$$\zeta \leq \frac{(1 - \gamma^2)}{2} \cdot \frac{2\zeta + \gamma^3 + \gamma^5}{1 - \gamma^2} \quad (2.72)$$

$$= \frac{2\zeta + \gamma^3 + \gamma^5}{2} \quad (2.73)$$

Step 8 : Multiply both sides by 2

$$2\zeta \leq 2\zeta + \gamma^3 + \gamma^5 \quad (2.74)$$

$$0 \leq \gamma^3 + \gamma^5 \quad (2.75)$$

$$0 \leq \gamma^3(1 + \gamma^2) \quad (2.76)$$

Since $0 < \gamma < 1$, we have $\gamma^3 > 0$ and $(1 + \gamma^2) > 0$, so this inequality is always satisfied. This means the fourth inequality does not provide an additional constraint on ζ .

Updated final bounds from all four inequalities :

$$\frac{\gamma^2 + \gamma + 1 - 2\gamma^3 - \gamma^5}{4} \leq \zeta \leq \min \left\{ \frac{\gamma^2 + \gamma^4}{2}, \frac{1 + 2\gamma^2 + \gamma^4}{4} \right\} \quad (2.77)$$

where $0 < \gamma < 1$. The fourth inequality is automatically satisfied and does not further constrain the bounds.

2.2 Q-Learning

2.3 Preferences over Decision Tree Policies

2.4 Results

Conclusion

3.1 What happens when the MDP's transitions are independent of the current state?

Deuxième partie

**An easier problem : Learning
Decision Trees for MDPs that are
Classification tasks**

Chapitre 4

DPDT-intro

Chapitre 5

DPDT-paper

Chapitre 6

Conclusion

Troisième partie

**Beyond Decision Trees : what can be
done with other Interpretable
Policies ?**

Conclusion générale

Documents juridiques

Cette partie regroupe les documents juridiques officiels.

A.1 Licence sous laquelle est publié notre travail

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vulputate dui. Praesent iaculis viverra augue. Quisque in libero. Aenean gravida lorem vitae sem ullamcorper cursus. Nunc adipiscing rutrum ante. Nunc ipsum massa, faucibus sit amet, viverra vel, elementum semper, orci. Cras eros sem, vulputate et, tincidunt id, ultrices eget, magna. Nulla varius ornare odio. Donec accumsan mauris sit amet augue. Sed ligula lacus, laoreet non, aliquam sit amet, iaculis tempor, lorem. Suspendisse eros. Nam porta, leo sed congue tempor, felis est ultrices eros, id mattis velit felis non metus. Curabitur vitae elit non mauris varius pretium. Aenean lacus sem, tincidunt ut, consequat quis, porta vitae, turpis. Nullam laoreet fermentum urna. Proin iaculis lectus.

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A.2 Transposition de la licence précédente en droit français

Sed consequat tellus et tortor. Ut tempor laoreet quam. Nullam id wisi a libero tristique semper. Nullam nisl massa, rutrum ut, egestas semper, mollis id, leo. Nulla ac massa eu risus blandit mattis. Mauris ut nunc. In hac habitasse platea dictumst. Aliquam eget tortor. Quisque dapibus pede in erat. Nunc enim. In dui nulla, commodo at, consectetur nec, malesuada nec, elit. Aliquam ornare tellus eu urna. Sed nec metus. Cum sociis natoque penatibus et magnis dis parturient montes, nascetur ridiculus mus. Pellentesque habitant morbi tristique senectus et netus et malesuada fames ac turpis egestas.

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Programmes informatiques

Les listings suivants sont au cœur de notre travail.

Listing B.1 – Il est l’heure

```
1  #include <stdio.h>
2  int heures, minutes, secondes;
3
4  /*****
5   *
6   *          print_heure
7   *
8   *    But:
9   *    Imprime l'heure *****/
10 /* *****/
11 /*...Interface: *****/
12 /*...Utilise les variables globales *****/
13 /*...heures, minutes, secondes *****/
14 /* *****/
15 /*****
16
17 void _print_heure(void)
18 {
19     _printf("Il est %d heure", heures);
20     _if_(heures > 1) _printf("s");
21     _printf(" %d minute", minutes);
22     _if_(minutes > 1) _printf("s");
23     _printf(" %d seconde", secondes);
24     _if_(secondes > 1) _printf("s");
```

```
25 | __printf("\n");  
26 | }
```

Listing B.2 – Factorielle

```
1 | int factorielle(int n)  
2 | {  
3 |     if (n > 2) return n * factorielle(n - 1);  
4 |     return n;  
5 | }
```


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Résumé

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Mots clés : apprentissage par renforcement, arbres de décision, interprétabilité, méthodologie

DECISION TREES FOR SEQUENTIAL DECISION MAKING

Abstract

In this Ph.D. thesis, we study algorithms to learn decision trees for classification and sequential decision making. Decision trees are interpretable because humans can read through the decision tree computations from the root to the leaves. This makes decision trees the go-to model when human verification is required like in medicine applications. However, decision trees are non-differentiable making them hard to optimize unlike neural networks that can be trained efficiently with gradient descent. Existing interpretable reinforcement learning approaches usually learn soft trees (non-interpretable as is) or are ad-hoc (train a neural network then fit a tree to it) potentially missing better solutions.

In the first part of this manuscript, we aim to directly learn decision trees for a Markov decision process with reinforcement learning. In practice we show that this amounts to solving a partially observable Markov decision process. Most existing RL algorithms are not suited for POMDPs. This parallel between decision tree learning with RL and POMDPs solving help us understand why in practice it is often easier to obtain a non-interpretable expert policy—a neural network—and then distillate it into a tree rather than learning the decision tree from scratch.

The second contribution from this work arose from the observation that looking for a decision tree classifier (or regressor) can be seen as sequentially adding nodes to a tree to maximize the accuracy of predictions. We thus formulate decision tree induction as solving a Markov decision problem and propose a new state-of-the-art algorithm that can be trained with supervised example data and generalizes well to unseen data.

Work from the previous parts rely on the hypothesis that decision trees are indeed an interpretable model that humans can use in sensitive applications. But is it really the case? In the last part of this thesis, we attempt to answer some more general questions about interpretability: can we measure interpretability without humans? And are decision trees really more interpretable than neural networks?

Keywords: reinforcement learning, decision trees, interpretability, methodology
