

**UNIVERSITÉ DE LILLE**  
**INRIA**

École doctorale École Gradué MADIS-631

Unité de recherche **Centre de Recherche en Informatique, Signal et Automatique de Lille**

Thèse présentée par **Hector KOHLER**

Soutenue le **1<sup>er</sup> décembre 2025**

En vue de l'obtention du grade de docteur de l'Université de Lille et de l'Inria

Discipline **Informatique**  
Spécialité **Informatique et Applications**

# Arbres de Décision pour la Prise de Décision Séquentielle

**Thèse dirigée par** Philippe PREUX directeur  
Riad AKROUR co-directeur

## Composition du jury

|                            |                |                                    |                   |
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|                            | Denis DIDEROT  | directeur de recherche au CNRS     |                   |
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## COLOPHON

Mémoire de thèse intitulé « Arbres de Décision pour la Prise de Décision Séquentielle », écrit par Hector KOHLER, achevé le 2 juin 2025, composé au moyen du système de préparation de document  $\text{\LaTeX}$  et de la classe yathesis dédiée aux thèses préparées en France.

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UNIVERSITÉ DE LILLE  
INRIA

Doctoral School École Graduée MADIS-631

University Department **Centre de Recherche en Informatique, Signal et Automatique de  
Lille**

Thesis defended by **Hector KOHLER**

Defended on **December 1, 2025**

In order to become Doctor from Université de Lille and from Inria

Academic Field **Computer Science**

Speciality **Computer Science and Applications**

# Decision Trees for Sequential Decision Making

**Thesis supervised by** Philippe PREUX Supervisor  
Riad AKROUR Co-Supervisor

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**ARBRES DE DÉCISION POUR LA PRISE DE DÉCISION SÉQUENTIELLE****Résumé**

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**Mots clés :** apprentissage par renforcement, arbres de décision, interprétabilité, méthodologie

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**DECISION TREES FOR SEQUENTIAL DECISION MAKING****Abstract**

In this Ph.D. thesis, we study algorithms to learn decision trees for classification and sequential decision making. Decision trees are interpretable because humans can read through the decision tree computations from the root to the leaves. This makes decision trees the go-to model when human verification is required like in medicine applications. However, decision trees are non-differentiable making them hard to optimize unlike neural networks that can be trained efficiently with gradient descent. Existing interpretable reinforcement learning approaches usually learn soft trees (non-interpretable as is) or are ad-hoc (train a neural network then fit a tree to it) potentially missing better solutions.

In the first part of this manuscript, we aim to directly learn decision trees for a Markov decision process with reinforcement learning. In practice we show that this amounts to solving a partially observable Markov decision process. Most existing RL algorithms are not suited for POMDPs. This parallel between decision tree learning with RL and POMDPs solving help us understand why in practice it is often easier to obtain a non-interpretable expert policy—a neural network—and then distillate it into a tree rather than learning the decision tree from scratch.

The second contribution from this work arose from the observation that looking for a decision tree classifier (or regressor) can be seen as sequentially adding nodes to a tree to maximize the accuracy of predictions. We thus formulate decision tree induction as solving a Markov decision problem and propose a new state-of-the-art algorithm that can be trained with supervised example data and generalizes well to unseen data.

Work from the previous parts rely on the hypothesis that decision trees are indeed an interpretable model that humans can use in sensitive applications. But is it really the case? In the last part of this thesis, we attempt to answer some more general questions about interpretability: can we measure interpretability without humans? And are decision trees really more interpretable than neural networks?

**Keywords:** reinforcement learning, decision trees, interpretability, methodology

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# Preliminary Concepts

## What is Sequential Decision Making?

In this manuscript we are interested in sequential decision making processes. Sequential decision making processes are found in all aspects of life. In medicine, doctors have to decide when to use chemotherapy next based on the patient's current health in order to heal (cite). In agriculture, agronomists have to decide when to fertilize next based on the current soil and weather conditions in order to maximize plant growth (cite). In automotive, the auto-pilot system has to decide how to steer the wheel next based on lidar sensors in order to maintain a safe trajectory (cite). In video games, a bot decides what attack to throw next based on the player's and its own state in order to provide the best entertainment (cite). Those sequential decision making processes exhibit key similarities : an agent takes actions based on some current information to achieve some goal. Algorithms presented in this thesis use the formalism of Markov decision processes that we present next to model sequential decision making.

## Markov decision processes/problems

Markov decision processes (MDPs) were first introduced in the 1950s by Richard Bellman (cite). The original idea behind MDPs was to formalize some dynamical systems which states obey the Markov property—the future only depends on the present and is independent of the past—and hence can be solved efficiently with dynamic programming (cite). Following Martin L. Puterman's book on MDPS (cite), we formally define an MDP as

**Exact solutions**

**Reinforcement learning**

**What is Interpretable Sequential Decision Making?**

**Why do we care about interpretability?**

**What are existing approaches for interpretable sequential decision making?**

**What are decision tree policies?**

**Why are decision tree policies harder to learn than decision tree classifiers?**

**Outline of the Thesis**

## **Première partie**

**A difficult problem : Learning  
Decision Trees for MDP**



I have not failed. I've just found  
10.000 ways that won't work.

---

Thomas A. Edison

# Chapitre 1

A Decision Tree Policy for an MDP is a  
Policy for some Partially Observable  
MDP

## **1.1 How to Learn a Decision Tree Policy for an MDP?**

### **1.1.1 Imitation**

### **1.1.2 Soft Trees**

### **1.1.3 Iterative Bounding MDPs**

## **1.2 How to solve Iterative Bounding MDPs?**

### **1.2.1 Asymmetric Reinforcement Learning**

### **1.2.2 Learning a decision tree policy is solving a POMDP**

## **1.3 Is it hard to properly learn a Decision Tree Policy for an MDP?**

### **1.3.1 POMDPs are way harder to solve than MDPs**

### **1.3.2 Memoryless approaches to solve POMDPs seem ineffective**



# An attempt at Learning Decision Tree Policies with Reinforcement Learning

## 2.1 Grid Worlds

$$\begin{aligned}
 V(g) &= \zeta \sum_{i=0}^{\infty} \gamma^{2i} + \sum_{i=0}^{\infty} \gamma^{2i+1} \\
 V(0) &= \zeta + \gamma^2 V(g) \\
 V(1) &= \zeta + \gamma^2 V(0) \\
 \frac{1}{4} \gamma \frac{1}{1-\gamma} + \frac{1}{4} \frac{1}{1-\gamma} &\leq \frac{1}{4} V(g) + \frac{2}{4} V(0) + \frac{1}{4} V(1) \\
 \zeta \cdot \sum_{i=0}^{\infty} \gamma^i &\leq \frac{1}{4} V(g) + \frac{2}{4} V(0) + \frac{1}{4} V(1) \\
 \frac{1}{4} V(g) + \frac{1}{4} (\zeta + \gamma V(0)) + \frac{1}{4} (\zeta + \gamma V(1)) + \frac{1}{4} V(0) &\leq \frac{1}{4} V(g) + \frac{2}{4} V(0) + \frac{1}{4} V(1) \\
 \frac{1}{4} V(g) + \frac{1}{4} V(0) + \frac{1}{4} (\zeta + \gamma^2 \zeta \sum_{i=0}^{\infty} \gamma^{2i}) + \frac{1}{4} (\zeta \sum_{i=0}^{\infty} \gamma^{2i}) &\leq \frac{1}{4} V(g) + \frac{2}{4} V(0) + \frac{1}{4} V(1)
 \end{aligned}$$

### 2.1.1 Step-by-step derivation of the lower bound on $\zeta$

**Step 1 : Simplify the left side of the inequality**

$$\frac{1}{4} \gamma \frac{1}{1-\gamma} + \frac{1}{4} \frac{1}{1-\gamma} = \frac{1}{4} \frac{1}{1-\gamma} (\gamma + 1) \tag{2.1}$$

$$= \frac{\gamma + 1}{4(1-\gamma)} \tag{2.2}$$

**Step 2 : Express  $V(g)$ ,  $V(0)$ , and  $V(1)$  in simplified forms**

$$V(g) = \zeta \sum_{i=0}^{\infty} \gamma^{2i} + \sum_{i=0}^{\infty} \gamma^{2i+1} \quad (2.3)$$

$$= \zeta \frac{1}{1-\gamma^2} + \gamma \frac{1}{1-\gamma^2} \quad (2.4)$$

$$= \frac{\zeta + \gamma}{1-\gamma^2} \quad (2.5)$$

$$V(0) = \zeta + \gamma^2 V(g) \quad (2.6)$$

$$= \zeta + \gamma^2 \frac{\zeta + \gamma}{1-\gamma^2} \quad (2.7)$$

$$= \frac{\zeta(1-\gamma^2) + \gamma^2(\zeta + \gamma)}{1-\gamma^2} \quad (2.8)$$

$$= \frac{\zeta + \gamma^3}{1-\gamma^2} \quad (2.9)$$

$$V(1) = \zeta + \gamma^2 V(0) \quad (2.10)$$

$$= \zeta + \gamma^2 \frac{\zeta + \gamma^3}{1-\gamma^2} \quad (2.11)$$

$$= \frac{\zeta(1-\gamma^2) + \gamma^2(\zeta + \gamma^3)}{1-\gamma^2} \quad (2.12)$$

$$= \frac{\zeta + \gamma^5}{1-\gamma^2} \quad (2.13)$$

**Step 3 : Substitute into the right side of the inequality**

$$\frac{1}{4}V(g) + \frac{2}{4}V(0) + \frac{1}{4}V(1) = \frac{1}{4} \frac{\zeta + \gamma}{1 - \gamma^2} + \frac{1}{2} \frac{\zeta + \gamma^3}{1 - \gamma^2} + \frac{1}{4} \frac{\zeta + \gamma^5}{1 - \gamma^2} \quad (2.14)$$

$$= \frac{1}{4(1 - \gamma^2)} [(\zeta + \gamma) + 2(\zeta + \gamma^3) + (\zeta + \gamma^5)] \quad (2.15)$$

$$= \frac{4\zeta + \gamma + 2\gamma^3 + \gamma^5}{4(1 - \gamma^2)} \quad (2.16)$$

**Step 4 : Set up the inequality**

$$\frac{\gamma + 1}{4(1 - \gamma)} \leq \frac{4\zeta + \gamma + 2\gamma^3 + \gamma^5}{4(1 - \gamma^2)} \quad (2.17)$$

**Step 5 : Use the identity  $1 - \gamma^2 = (1 - \gamma)(1 + \gamma)$** 

$$\frac{\gamma + 1}{4(1 - \gamma)} \leq \frac{4\zeta + \gamma + 2\gamma^3 + \gamma^5}{4(1 - \gamma)(1 + \gamma)} \quad (2.18)$$

**Step 6 : Multiply both sides by  $4(1 - \gamma)$** 

$$\gamma + 1 \leq \frac{4\zeta + \gamma + 2\gamma^3 + \gamma^5}{1 + \gamma} \quad (2.19)$$

**Step 7 : Multiply both sides by  $(1 + \gamma)$** 

$$(\gamma + 1)(1 + \gamma) \leq 4\zeta + \gamma + 2\gamma^3 + \gamma^5 \quad (2.20)$$

$$(\gamma + 1)^2 \leq 4\zeta + \gamma + 2\gamma^3 + \gamma^5 \quad (2.21)$$

**Step 8 : Expand and rearrange**

$$\gamma^2 + 2\gamma + 1 \leq 4\zeta + \gamma + 2\gamma^3 + \gamma^5 \quad (2.22)$$

$$4\zeta \geq \gamma^2 + 2\gamma + 1 - \gamma - 2\gamma^3 - \gamma^5 \quad (2.23)$$

$$4\zeta \geq \gamma^2 + \gamma + 1 - 2\gamma^3 - \gamma^5 \quad (2.24)$$

$$\zeta \geq \frac{\gamma^2 + \gamma + 1 - 2\gamma^3 - \gamma^5}{4} \quad (2.25)$$

Therefore, we obtain a **lower bound** on  $\zeta$  :

$$\zeta \geq \frac{\gamma^2 + \gamma + 1 - 2\gamma^3 - \gamma^5}{4} \quad (2.26)$$

where  $0 < \gamma < 1$ .

### 2.1.2 Step-by-step derivation of the upper bound on $\zeta$

Starting from the inequality :

$$\frac{1}{4}V(g) + \frac{1}{4}(\zeta + \gamma V(0)) + \frac{1}{4}(\zeta + \gamma V(1)) + \frac{1}{4}V(0) \leq \frac{1}{4}V(g) + \frac{2}{4}V(0) + \frac{1}{4}V(1)$$

**Step 1 : Cancel the  $\frac{1}{4}V(g)$  terms from both sides**

$$\frac{1}{4}(\zeta + \gamma V(0)) + \frac{1}{4}(\zeta + \gamma V(1)) + \frac{1}{4}V(0) \leq \frac{2}{4}V(0) + \frac{1}{4}V(1) \quad (2.27)$$

**Step 2 : Expand the left side**

$$\frac{1}{4}\zeta + \frac{1}{4}\gamma V(0) + \frac{1}{4}\zeta + \frac{1}{4}\gamma V(1) + \frac{1}{4}V(0) \leq \frac{2}{4}V(0) + \frac{1}{4}V(1) \quad (2.28)$$

**Step 3 : Combine like terms**

$$\frac{1}{2}\zeta + \frac{1}{4}\gamma V(0) + \frac{1}{4}\gamma V(1) \leq \frac{2}{4}V(0) - \frac{1}{4}V(0) + \frac{1}{4}V(1) \quad (2.29)$$

$$\frac{1}{2}\zeta + \frac{1}{4}\gamma V(0) + \frac{1}{4}\gamma V(1) \leq \frac{1}{4}V(0) + \frac{1}{4}V(1) \quad (2.30)$$

**Step 4 : Factor out common terms**

$$\frac{1}{2}\zeta \leq \frac{1}{4}V(0) + \frac{1}{4}V(1) - \frac{1}{4}\gamma V(0) - \frac{1}{4}\gamma V(1) \quad (2.31)$$

$$\frac{1}{2}\zeta \leq \frac{1}{4}V(0)(1 - \gamma) + \frac{1}{4}V(1)(1 - \gamma) \quad (2.32)$$

$$\frac{1}{2}\zeta \leq \frac{1 - \gamma}{4}(V(0) + V(1)) \quad (2.33)$$

$$\zeta \leq \frac{1 - \gamma}{2}(V(0) + V(1)) \quad (2.34)$$

**Step 5 : Substitute the expressions for  $V(0)$  and  $V(1)$**

$$V(0) + V(1) = \frac{\zeta + \gamma^3}{1 - \gamma^2} + \frac{\zeta + \gamma^5}{1 - \gamma^2} \quad (2.35)$$

$$= \frac{2\zeta + \gamma^3 + \gamma^5}{1 - \gamma^2} \quad (2.36)$$

**Step 6 : Substitute back into the inequality**

$$\zeta \leq \frac{1 - \gamma}{2} \cdot \frac{2\zeta + \gamma^3 + \gamma^5}{1 - \gamma^2} \quad (2.37)$$

$$= \frac{(1 - \gamma)(2\zeta + \gamma^3 + \gamma^5)}{2(1 - \gamma^2)} \quad (2.38)$$

**Step 7 : Use the identity  $1 - \gamma^2 = (1 - \gamma)(1 + \gamma)$**

$$\zeta \leq \frac{(1 - \gamma)(2\zeta + \gamma^3 + \gamma^5)}{2(1 - \gamma)(1 + \gamma)} \quad (2.39)$$

$$= \frac{2\zeta + \gamma^3 + \gamma^5}{2(1 + \gamma)} \quad (2.40)$$

**Step 8 : Multiply both sides by  $2(1 + \gamma)$**

$$2(1 + \gamma)\zeta \leq 2\zeta + \gamma^3 + \gamma^5 \quad (2.41)$$

$$2\zeta + 2\gamma\zeta \leq 2\zeta + \gamma^3 + \gamma^5 \quad (2.42)$$

$$2\gamma\zeta \leq \gamma^3 + \gamma^5 \quad (2.43)$$

$$\zeta \leq \frac{\gamma^3 + \gamma^5}{2\gamma} \quad (2.44)$$

$$\zeta \leq \frac{\gamma^2 + \gamma^4}{2} \quad (2.45)$$

Therefore, we obtain an **upper bound** on  $\zeta$  :

$$\zeta \leq \frac{\gamma^2 + \gamma^4}{2} \quad (2.46)$$

**Combined bounds :**

$$\frac{\gamma^2 + \gamma + 1 - 2\gamma^3 - \gamma^5}{4} \leq \zeta \leq \frac{\gamma^2 + \gamma^4}{2} \quad (2.47)$$

where  $0 < \gamma < 1$ .

### 2.1.3 Step-by-step derivation for the third inequality

Starting from the inequality :

$$\zeta \cdot \sum_{i=0}^{\infty} \gamma^i \leq \frac{1}{4}V(g) + \frac{2}{4}V(0) + \frac{1}{4}V(1)$$

**Step 1 : Simplify the left side using the geometric series**

$$\zeta \cdot \sum_{i=0}^{\infty} \gamma^i = \zeta \cdot \frac{1}{1-\gamma} \quad (2.48)$$

$$= \frac{\zeta}{1-\gamma} \quad (2.49)$$

**Step 2 : Use the previously derived expression for the right side** From our earlier calculation :

$$\frac{1}{4}V(g) + \frac{2}{4}V(0) + \frac{1}{4}V(1) = \frac{4\zeta + \gamma + 2\gamma^3 + \gamma^5}{4(1-\gamma^2)} \quad (2.50)$$

**Step 3 : Set up the inequality**

$$\frac{\zeta}{1-\gamma} \leq \frac{4\zeta + \gamma + 2\gamma^3 + \gamma^5}{4(1-\gamma^2)} \quad (2.51)$$

**Step 4 : Use the identity  $1 - \gamma^2 = (1 - \gamma)(1 + \gamma)$**

$$\frac{\zeta}{1-\gamma} \leq \frac{4\zeta + \gamma + 2\gamma^3 + \gamma^5}{4(1-\gamma)(1+\gamma)} \quad (2.52)$$

**Step 5 : Multiply both sides by  $(1 - \gamma)$**

$$\zeta \leq \frac{4\zeta + \gamma + 2\gamma^3 + \gamma^5}{4(1 + \gamma)} \quad (2.53)$$

**Step 6 : Multiply both sides by  $4(1 + \gamma)$**

$$4(1 + \gamma)\zeta \leq 4\zeta + \gamma + 2\gamma^3 + \gamma^5 \quad (2.54)$$

$$4\zeta + 4\gamma\zeta \leq 4\zeta + \gamma + 2\gamma^3 + \gamma^5 \quad (2.55)$$

**Step 7 : Subtract  $4\zeta$  from both sides**

$$4\gamma\zeta \leq \gamma + 2\gamma^3 + \gamma^5 \quad (2.56)$$

$$\zeta \leq \frac{\gamma + 2\gamma^3 + \gamma^5}{4\gamma} \quad (2.57)$$

$$\zeta \leq \frac{1 + 2\gamma^2 + \gamma^4}{4} \quad (2.58)$$

Therefore, we obtain another **upper bound** on  $\zeta$  :

$$\zeta \leq \frac{1 + 2\gamma^2 + \gamma^4}{4} \quad (2.59)$$

**Final combined bounds from all three inequalities :**

$$\frac{\gamma^2 + \gamma + 1 - 2\gamma^3 - \gamma^5}{4} \leq \zeta \leq \min \left\{ \frac{\gamma^2 + \gamma^4}{2}, \frac{1 + 2\gamma^2 + \gamma^4}{4} \right\} \quad (2.60)$$

where  $0 < \gamma < 1$ .

#### 2.1.4 Step-by-step derivation for the fourth inequality

Starting from the inequality :

$$\frac{1}{4}V(g) + \frac{1}{4}V(0) + \frac{1}{4}(\zeta + \gamma^2\zeta \sum_{i=0}^{\infty} \gamma^{2i}) + \frac{1}{4}(\zeta \sum_{i=0}^{\infty} \gamma^{2i}) \leq \frac{1}{4}V(g) + \frac{2}{4}V(0) + \frac{1}{4}V(1)$$

**Step 1 : Cancel the  $\frac{1}{4}V(g)$  terms from both sides**

$$\frac{1}{4}V(0) + \frac{1}{4}(\zeta + \gamma^2\zeta \sum_{i=0}^{\infty} \gamma^{2i}) + \frac{1}{4}(\zeta \sum_{i=0}^{\infty} \gamma^{2i}) \leq \frac{2}{4}V(0) + \frac{1}{4}V(1) \quad (2.61)$$

**Step 2 : Simplify using the geometric series  $\sum_{i=0}^{\infty} \gamma^{2i} = \frac{1}{1-\gamma^2}$**

$$\frac{1}{4}V(0) + \frac{1}{4}\left(\zeta + \gamma^2\zeta \frac{1}{1-\gamma^2}\right) + \frac{1}{4}\left(\zeta \frac{1}{1-\gamma^2}\right) \leq \frac{2}{4}V(0) + \frac{1}{4}V(1) \quad (2.62)$$

**Step 3 : Factor out common terms**

$$\frac{1}{4}V(0) + \frac{1}{4}\zeta\left(1 + \frac{\gamma^2}{1-\gamma^2}\right) + \frac{1}{4}\zeta \frac{1}{1-\gamma^2} \leq \frac{2}{4}V(0) + \frac{1}{4}V(1) \quad (2.63)$$

**Step 4 : Simplify the coefficient of  $\zeta$**

$$1 + \frac{\gamma^2}{1-\gamma^2} + \frac{1}{1-\gamma^2} = \frac{1-\gamma^2+\gamma^2+1}{1-\gamma^2} = \frac{2}{1-\gamma^2} \quad (2.64)$$

So the inequality becomes :

$$\frac{1}{4}V(0) + \frac{1}{4}\zeta \frac{2}{1-\gamma^2} \leq \frac{2}{4}V(0) + \frac{1}{4}V(1) \quad (2.65)$$

$$\frac{1}{4}V(0) + \frac{\zeta}{2(1-\gamma^2)} \leq \frac{1}{2}V(0) + \frac{1}{4}V(1) \quad (2.66)$$

**Step 5 : Rearrange to isolate the  $\zeta$  term**

$$\frac{\zeta}{2(1-\gamma^2)} \leq \frac{1}{2}V(0) - \frac{1}{4}V(0) + \frac{1}{4}V(1) \quad (2.67)$$

$$\frac{\zeta}{2(1-\gamma^2)} \leq \frac{1}{4}V(0) + \frac{1}{4}V(1) \quad (2.68)$$

$$\zeta \leq \frac{(1-\gamma^2)}{2}(V(0) + V(1)) \quad (2.69)$$



**Step 6 : Substitute the expressions for  $V(0)$  and  $V(1)$**

$$V(0) + V(1) = \frac{\zeta + \gamma^3}{1 - \gamma^2} + \frac{\zeta + \gamma^5}{1 - \gamma^2} \quad (2.70)$$

$$= \frac{2\zeta + \gamma^3 + \gamma^5}{1 - \gamma^2} \quad (2.71)$$

**Step 7 : Substitute back into the inequality**

$$\zeta \leq \frac{(1 - \gamma^2)}{2} \cdot \frac{2\zeta + \gamma^3 + \gamma^5}{1 - \gamma^2} \quad (2.72)$$

$$= \frac{2\zeta + \gamma^3 + \gamma^5}{2} \quad (2.73)$$

**Step 8 : Multiply both sides by 2**

$$2\zeta \leq 2\zeta + \gamma^3 + \gamma^5 \quad (2.74)$$

$$0 \leq \gamma^3 + \gamma^5 \quad (2.75)$$

$$0 \leq \gamma^3(1 + \gamma^2) \quad (2.76)$$

Since  $0 < \gamma < 1$ , we have  $\gamma^3 > 0$  and  $(1 + \gamma^2) > 0$ , so this inequality is always satisfied. This means the fourth inequality does not provide an additional constraint on  $\zeta$ .

**Updated final bounds from all four inequalities :**

$$\frac{\gamma^2 + \gamma + 1 - 2\gamma^3 - \gamma^5}{4} \leq \zeta \leq \min \left\{ \frac{\gamma^2 + \gamma^4}{2}, \frac{1 + 2\gamma^2 + \gamma^4}{4} \right\} \quad (2.77)$$

where  $0 < \gamma < 1$ . The fourth inequality is automatically satisfied and does not further constrain the bounds.

## **2.2 Q-Learning**

## **2.3 Preferences over Decision Tree Policies**

## **2.4 Results**

## Conclusion

### **3.1 What happens when the MDP's transitions are independent of the current state?**



## **Deuxième partie**

**An easier problem : Learning  
Decision Trees for MDPs that are  
Classification tasks**



# Chapitre 4

DPDT-intro





# Chapitre 5

DPDT-paper



# Chapitre 6

## Conclusion



## **Troisième partie**

**Beyond Decision Trees : what can be  
done with other Interpretable  
Policies ?**



## Conclusion générale





## Documents juridiques

Cette partie regroupe les documents juridiques officiels.

### A.1 Licence sous laquelle est publié notre travail

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## Programmes informatiques

Les listings suivants sont au cœur de notre travail.

Listing B.1 – Il est l’heure

```
1  #include <stdio.h>
2  int heures, minutes, secondes;
3
4  /*****
5  /*
6  /*          print_heure
7  /*
8  /*    But:
9  /*    Imprime l'heure.....*/
10 /*.....*/
11 /*---Interface:.....*/
12 /*-----Utilise les variables globales.....*/
13 /*-----heures, minutes, secondes.....*/
14 /*-----*/
15 /*****/
16
17 void _print_heure(void)
18 {
19     _printf("Il est %d heure", heures);
20     _if_(heures > 1) _printf("s");
21     _printf(" %d minute", minutes);
22     _if_(minutes > 1) _printf("s");
23     _printf(" %d seconde", secondes);
24     _if_(secondes > 1) _printf("s");
```

```
25 | __printf("\n");  
26 | }
```

## Listing B.2 – Factorielle

```
1 | int factorielle(int n)  
2 | {  
3 |     if (n > 2) return n * factorielle(n - 1);  
4 |     return n;  
5 | }
```





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### Résumé

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**Mots clés :** apprentissage par renforcement, arbres de décision, interprétabilité, méthodologie

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## DECISION TREES FOR SEQUENTIAL DECISION MAKING

### Abstract

In this Ph.D. thesis, we study algorithms to learn decision trees for classification and sequential decision making. Decision trees are interpretable because humans can read through the decision tree computations from the root to the leaves. This makes decision trees the go-to model when human verification is required like in medicine applications. However, decision trees are non-differentiable making them hard to optimize unlike neural networks that can be trained efficiently with gradient descent. Existing interpretable reinforcement learning approaches usually learn soft trees (non-interpretable as is) or are ad-hoc (train a neural network then fit a tree to it) potentially missing better solutions.

In the first part of this manuscript, we aim to directly learn decision trees for a Markov decision process with reinforcement learning. In practice we show that this amounts to solving a partially observable Markov decision process. Most existing RL algorithms are not suited for POMDPs. This parallel between decision tree learning with RL and POMDPs solving help us understand why in practice it is often easier to obtain a non-interpretable expert policy—a neural network—and then distillate it into a tree rather than learning the decision tree from scratch.

The second contribution from this work arose from the observation that looking for a decision tree classifier (or regressor) can be seen as sequentially adding nodes to a tree to maximize the accuracy of predictions. We thus formulate decision tree induction as solving a Markov decision problem and propose a new state-of-the-art algorithm that can be trained with supervised example data and generalizes well to unseen data.

Work from the previous parts rely on the hypothesis that decision trees are indeed an interpretable model that humans can use in sensitive applications. But is it really the case? In the last part of this thesis, we attempt to answer some more general questions about interpretability: can we measure interpretability without humans? And are decision trees really more interpretable than neural networks?

**Keywords:** reinforcement learning, decision trees, interpretability, methodology

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