

A Group-Type Distributed Coded Computation Scheme Based on a Gabidulin Code

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Abstract—We focus on a distributed coded computation scheme for matrix multiplication. In this system, the product matrix is encoded and decoded through the overall system to correct errors in computation. We propose a group-type distributed coded computation scheme, for one example, a scheme based on a Gabidulin code, and evaluate the computation time complexity and the error-correcting capability of the overall system.

The full version of this paper is in [1].

I. INTRODUCTION

We focus on a distributed computation scheme in which errors are corrected in computing multiplication of two matrices A and B on a finite field \mathbb{F}_q . In the system of a distributed computation scheme, the main computer (master) partitions the matrix and distributes them to multiple computers (workers), and (2) workers perform parallel computing. This system has the advantage of decreasing the computation time complexity, while this has the disadvantage of increasing the possibility of occurring errors in computation. To eliminate the disadvantage, a distributed coded computation scheme (DCC) [2], [3], [4], [5] uses an error-correcting code (ECC) to correct errors in distributed computation. On performance evaluation of DCCs, (a) computation time complexity (CTC) and (b) error-correcting capability of the overall system are important criteria while they are a tradeoff. Considering the reason to construct DCCs, we would like to propose the DCC which can correct some errors and compute AB more efficiently than the stand-alone scheme (SA), of which the system computes AB solely. Here all errors form a matrix E and we call E an error matrix. We focus on systems that correct E whose all entries are nonzero. The DCCG (DCCG) corrects E if it satisfies some conditions of column-dependency, while the other previous schemes cannot correct them.

In this paper, we propose a new distributed coded computation scheme called *Group-Type Distributed Coded Computation scheme* (GDCC) and, as one example, a *GDCC based on a Gabidulin code* (GDCCG). A Gabidulin code encodes a matrix over \mathbb{F}_q and correct an error matrix E if $\text{rank}(E) \leq t$, where t is a constant defined later. The key idea is that computing an inner product of two vectors over an extension field \mathbb{F}_{q^m} can be decomposed to computations over \mathbb{F}_q , which can be parallelly performed. Using these facts, this distributed

computation system performs a process over \mathbb{F}_q , which is equivalent to encoding a vector over \mathbb{F}_{q^m} corresponding AB to a codeword over \mathbb{F}_{q^m} . Thus the encoder in the GDCCG system encodes all columns of AB together while the encoders in previous DCC systems encode each column of AB . This enables to correct error matrices whose columns depend on each other and enables to decrease the overall CTC of the system simultaneously. The GDCCG is a little different from the DCCG because more workers are used and they are equally partitioned into multiple groups and the parallel computation of matrix products is performed within each group. Thus the computation time complexity of the GDCCG is less than that of the DCCG, while the error-correcting capability of the GDCCG is the same as that of the DCCG.

Moreover, we evaluate (a) the CTC and (b) the error-correcting capability of the GDCC in detail and show the advantages as follows. In the evaluation of (a), we evaluate the CTC of the GDCCG and the SA and we show the condition of parameters (the number of workers and groups) in which the GDCCG is superior to the SA. We also explain that the GDCCG is also superior to the DCCG just a little. We cannot generally evaluate (a) of the GDCC because the CTC of the decoding algorithm is depending on the code. Thus we evaluate (a) the CTC only of the GDCCG. We define the CTC of a DCC system as the number of four arithmetic operations (an addition, a subtraction, a multiplication, and an inversion¹) over \mathbb{F}_q in parallel computing of each worker and decoding of the master. To evaluate the number of operations of the encoder and the decoder using a Gabidulin code over \mathbb{F}_{q^m} in the GDCCG system, we first show how many times it is necessary when the operation of \mathbb{F}_{q^m} is decomposed into the operation of \mathbb{F}_q , and then we enumerate them. In the evaluation of (b), we show what error matrices the GDCC system and the GDCCG system can correct, respectively. Specifically, we show that the GDCCG system can correct an error matrix if $\text{rank}(E) \leq t$, where t is a certain constant.

II. THE PURPOSE OF CONSTRUCTING A DISTRIBUTED CODED COMPUTATION SCHEME

As a preliminary, we define notations and explain the purpose of constructing a distributed coded computation scheme.

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¹An inversion is an operation of computing a^{-1} from a . This indicates that an operation of computing ab^{-1} is a combination of an inversion b^{-1} and a multiplication ab^{-1} .

For two integers m and n which satisfies $m \leq n$, $[m, n]$ denotes $[m, n] := \{m, m+1, \dots, n\}$. $[n]$ denotes $[1, n]$. All vectors are column vectors except specifically noted. \mathbf{E}^\top is the transpotent of a matrix \mathbf{A} . \mathbb{F}_q is a finite field with q elements, where q is a power of 2. $\mathbb{F}_q^{n \times b}$ denotes the set of all $n \times b$ matrices over \mathbb{F}_q , and $\mathbb{F}_q^n := \mathbb{F}_q^{n \times 1}$. $\mathbf{e}_{\cdot j} \in \mathbb{F}_q^n$ denotes j -th column of a matrix $\mathbf{E} \in \mathbb{F}_q^{n \times b}$. $\mathbf{e}_i \in \mathbb{F}_q^b$ denotes the transpotent of i -th row vector. Thus the matrix \mathbf{E} is $(\mathbf{e}_{\cdot 1}, \dots, \mathbf{e}_{\cdot b}) = (\mathbf{e}_{1\cdot}, \dots, \mathbf{e}_{n\cdot})^\top$. For any set $A \subset [n]$, we define a matrix $\mathbf{G}_A^\top \in \mathbb{F}_q^{|A| \times k_A}$ as a matrix constructed from all $i \in A$ -th row $\mathbf{g}_i^\top \in \mathbb{F}_q^{1 \times k_A}$ of the matrix \mathbf{G} . $?$ denotes the symbol of an erasure or decoding failure. We formally define the sum and difference of any $a \in \mathbb{F}_q$ and $?$ as $?$.

Definition 2.1 (\mathbf{v} , \mathbf{f}^s): Let $v_1, \dots, v_m \in \mathbb{F}_{q^m}$ be linearly independent over \mathbb{F}_q and $v_i = v_1^{q^{i-1}}$ for any $i \in [m]$. $\{v_1, \dots, v_m\}$ is a normal basis [6] of a linear space \mathbb{F}_{q^m} over \mathbb{F}_q . Let \mathbf{v} denote a vector (v_1, \dots, v_m) . For any $s \in \mathbb{N}$, let a linear homomorphism $\mathbf{f}^s: \mathbb{F}_{q^m}^s \rightarrow \mathbb{F}_q^{s \times m}$ over \mathbb{F}_q output a matrix $\mathbf{X} \in \mathbb{F}_q^{s \times m}$ which satisfies $\mathbf{x} = \mathbf{X}\mathbf{v}$ from the input $\mathbf{x} \in \mathbb{F}_{q^m}^s$. $\mathbf{f}^1 := \mathbf{f}^1$.

We explain the definition of computation time complexity. In this paper, q is a power of 2. Positive integers n, k_A, k_B, l, m satisfy $2 \leq k_A < n, 2 \leq k_B, m = \max\{k_B, n\}$, and $2 \leq l$.

The schemes in this paper compute $\mathbf{AB} \in \mathbb{F}_q^{k_A \times k_B}$. In this paper, we consider schemes for computing a multiplication of two matrices, $\mathbf{A} \in \mathbb{F}_q^{k_A \times l}$ and $\mathbf{B} \in \mathbb{F}_q^{l \times k_B}$. One value of \mathbf{A} is input to the master only once, and the master store this value. On the other hand, many values of \mathbf{B} are input to the master many times, and each time the master attempts to compute the value of the matrix \mathbf{AB} .

The most simple scheme is as follows.

Definition 2.2: We define a *stand-alone scheme* (SA) as a scheme in which the master computes \mathbf{AB} solely from the input \mathbf{A}, \mathbf{B} .

We would like to compute \mathbf{AB} more efficiently than the SA system, i.e. to construct a computing system whose CTC is less than that of the SA system. This is the purpose of constructing a distributed coded computation scheme.

Definition 2.3: We define *computation time complexity* (CTC) of a process as the number of four arithmetic operations over \mathbb{F}_q which the system performs in the process from input to output. A subtraction, an addition, a multiplication, and an inversion are equally treated as one operation in the evaluation of the CTC. *CTC of the system* is the overall CTC from input \mathbf{B} to output \mathbf{AB} .²

Proposition 2.1: The CTC of the SA system is $k_A k_B (2l-1)$.

III. REDEFINITIONS OF PREVIOUS SCHEMES

Based on [5], we redefine distributed coded computation schemes (DCCs) for computing \mathbf{AB} from \mathbf{A} and \mathbf{B} . As examples, we redefine the previous ones [5] and [2].

Let q, k_A, k_B, l, n be given. Let $\tilde{\mathcal{C}} \subset \mathbb{F}_{q^m}^n$ be an (n, k_A) linear code over \mathbb{F}_{q^m} . This code has a generator matrix $\mathbf{G} \in \mathbb{F}_{q^m}^{n \times k_A}$ of a canonical systematic encoder. $\mathcal{C} := \mathbf{f}^n(\tilde{\mathcal{C}}) \subset$

$\mathbb{F}_q^{n \times m}$ is a linear code over \mathbb{F}_q . Let $\psi: \mathbb{F}_q^{n \times m} \rightarrow \mathcal{C} \cup \{?\}$ be the decoder of \mathcal{C} . Let a set $\mathcal{E} (\subset \mathbb{F}_q^{n \times m})$ be the set of all error matrices which can be corrected rightly, i.e. for any $\mathbf{E} \in \mathcal{E}$ and $\mathbf{C} \in \mathcal{C}$, $\psi(\mathbf{C} + \mathbf{E}) = \mathbf{C}$.

Lemma 3.1: Any codeword of a linear code $\mathcal{C} = \mathbf{f}^n(\tilde{\mathcal{C}})$ over \mathbb{F}_q is $\mathbf{f}^n(\mathbf{GM}\mathbf{v})$ for some matrix $\mathbf{M} \in \mathbb{F}_q^{k_A \times m}$.

Proof: A codeword an (n, k_A) linear code $\tilde{\mathcal{C}} \subset \mathbb{F}_{q^m}^n$ over \mathbb{F}_{q^m} is $\mathbf{GM}\mathbf{v}$ for some matrix $\mathbf{M} \in \mathbb{F}_q^{k_A \times m}$. \square

Definition 3.1 (Gabidulin code [7]): Let $m, k (\in \mathbb{N})$ satisfy $m \geq n \geq k$. Let $h_1, \dots, h_n \in \mathbb{F}_{q^m}$ be $h_i = v_i$ for any $i \in [n]$, where $\{v_1, \dots, v_m\}$ is the optimal normal basis. Let $\mathbf{H} \in \mathbb{F}_{q^m}^{n \times (n-k)}$ is a matrix whose (i, j) -th entry is $h_i^{q^{j-1}}$ for any $(i, j) \in [n] \times [k]$. An (n, k) linear code $\tilde{\mathcal{C}}_G \subset \mathbb{F}_{q^m}^n$ over \mathbb{F}_{q^m} which has a parity check matrix \mathbf{H} is called an (n, k) *Gabidulin code* over \mathbb{F}_{q^m} .

A DCC (π, \mathbf{G}, ψ) is defined as follows. In the system of a DCC, the master and workers $1, \dots, n$ are used. The master has a full rank matrix $\mathbf{G} \in \mathbb{F}_{q^m}^{n \times k_A}$ and a function $\psi: \mathbb{F}_q^{n \times m} \rightarrow \mathcal{C} \cup \{?\}$. All workers have a function $\pi: \mathbb{F}_{q^m}^l \times \mathbb{F}_q^{l \times k_B} \rightarrow \mathbb{F}_{q^m}^n$. The flow is as follows.

(Preprocess) When the matrix \mathbf{A} is input to the master, the master encodes \mathbf{A} to the matrix $\mathbf{GA} \in \mathbb{F}_{q^m}^{n \times l}$. $\mathbf{g}_i^\top \mathbf{A} \in \mathbb{F}_{q^m}^{1 \times l}$, where \mathbf{g}_i^\top is the i -th row of \mathbf{G} , is stored in the worker i for each $i \in [n]$.

(Computing Process) When the matrix \mathbf{B} is input to the master, the master sends \mathbf{B} to all workers. We define $\mathbf{B}' = \mathbf{B}$ if $n \leq k_B$, and $\mathbf{B}' = (\mathbf{B}, \mathbf{0})$ if $n > k_B$, where $\mathbf{0}$ is an $l \times (m - k_B)$ zero matrix over \mathbb{F}_q . Each worker i computes $\pi(\mathbf{g}_i^\top \mathbf{A}, \mathbf{B}) := \mathbf{f}^1(\mathbf{g}_i^\top \mathbf{AB}'\mathbf{v}) \in \mathbb{F}_q^{1 \times m}$ from $\mathbf{g}_i^\top \mathbf{A}$ and \mathbf{B} . In the computation of each worker i , the error $\mathbf{e}_i \in \mathbb{F}_q^m$ occurs and the result is $\mathbf{y}_i := \pi(\mathbf{g}_i^\top \mathbf{A}, \mathbf{B}) + \mathbf{e}_i$.

(Decoding Process) The master receives the results $\mathbf{y}_1, \dots, \mathbf{y}_n$ of all workers and computes $\psi(\mathbf{Y})$ from the matrix $\mathbf{Y} := (\mathbf{y}_1, \dots, \mathbf{y}_n)^\top \in \mathbb{F}_q^{n \times m}$ by a function ψ . A bijection exists between two sets $\mathcal{C} (\subset \mathbb{F}_q^{n \times m})$ and $\mathbb{F}_q^{k_A \times l}$. If $\psi(\mathbf{Y}) \in \mathcal{C}$, then the master $\hat{\mathbf{AB}}$ from the matrix $\psi(\mathbf{Y})$ by this bijection.

Definition 3.2: Let $\Pi: \mathbb{F}_q^{k_A \times k_B} \rightarrow \mathcal{C}$ be a function such that $\Pi(\mathbf{AB}) := \mathbf{f}^n(\mathbf{GAB}'\mathbf{v})$. Let $\mathbf{E} := (\mathbf{e}_1, \dots, \mathbf{e}_n)^\top$. $\Pi(\mathbf{AB})$, $\mathbf{E}, \mathbf{Y}, \mathbf{G}, \Pi, \psi$ and \mathcal{C} are called a *codeword (matrix)*, an *error (matrix)*, a *received matrix*, a *generator matrix*, an *encoder*, a *decoder* and a *code*. Clearly $\mathbf{Y} = \mathbf{f}^n(\mathbf{GAB}'\mathbf{v}) + \mathbf{E}$.

If $\mathbf{G} \in \mathbb{F}_q^{n \times k_A}$ is a generator matrix of a Reed Solomon code over \mathbb{F}_q , this DCC is the same as the scheme of [2] since $\mathbf{f}^n(\mathbf{GAB}'\mathbf{v}) = \mathbf{GAB}'$ and $\mathbf{f}^1(\mathbf{g}_i^\top \mathbf{AB}'\mathbf{v}) = \mathbf{g}_i^\top \mathbf{AB}'$. If $\mathbf{G} \in \mathbb{F}_{q^m}^{n \times k_A}$ is a generator matrix of a Gabidulin code over \mathbb{F}_{q^m} , this DCC is the same as the DCCG.

The system of the DCCG can correct an error matrix \mathbf{E} with $\text{rank}(\mathbf{E}) \leq t$. However, this system needs at least $O(n^2)$ operations over \mathbb{F}_{q^m} . We propose a scheme to compute \mathbf{AB} more efficiently than the SA scheme and this scheme.

IV. THE PROPOSED SCHEME

In this section, we propose a new scheme called a *group-type distributed coded computation scheme* (GDCCG). More-

² $\hat{\mathbf{AB}}$ may not be \mathbf{AB} by errors.

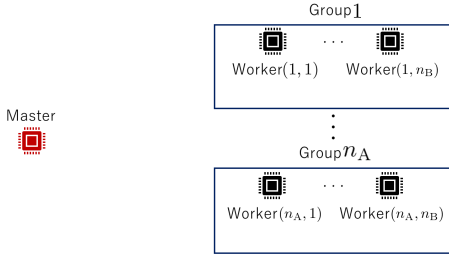


Fig. 1. the master and the workers

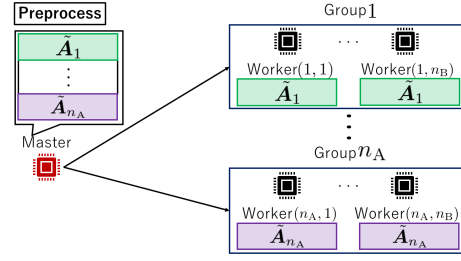


Fig. 3. Preprocess

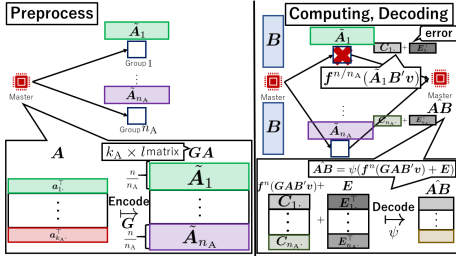


Fig. 2. the flow of the DCC

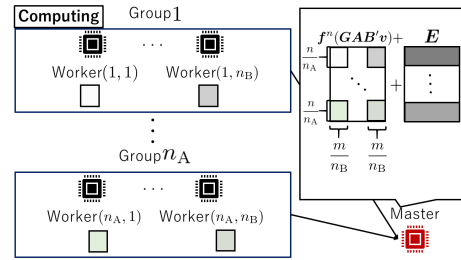


Fig. 4. Computing Process

over, we propose a scheme called *GDCC with a Gabidulin code* (GDCCG) as an example of a GDCC.

This scheme is based on an idea of decomposing operations over an extension field \mathbb{F}_{q^m} to operations over \mathbb{F}_q . The system can compute AB efficiently and correct errors by this idea. (The decomposition is used for computing a matrix $\Pi(AB)$ from A and B by operations over \mathbb{F}_q instead of computing a vector $GAB'v$ over an extension field \mathbb{F}_{q^m} . This computation can be performed by distributed computing.

A. GDCC

We propose a GDCC $((\pi_{ij} | i \in [n_A], j \in [n_B]), G, \psi)$ when q, k_A, k_B, l, n, n_A and n_B are given. In this scheme, we use the master and $n_A n_B$ workers which are partitioned into multiple groups, where $n_A \in \mathbb{N}$ divides n and $n_B \in \mathbb{N}$ divides m . All workers are equally partitioned into n groups. The j -th worker of the i -th group is called a *worker* $(i, j) \in [n_A] \times [n_B]$ (Figure 1). We assume that errors occur only when workers compute something. The master has the matrix $G \in \mathbb{F}_{q^m}^{n \times k_A}$ mentioned above and a function ψ . A function π_{ij} are stored in each worker $(i, j) \in [n_A] \times [n_B]$.

In GDCC, when the matrix A is input to the master, then the master and all workers perform *preprocess*. For any time when the matrix B is input to the master, all workers perform *Computing Process*, and then the master performs *Decoding Process*. The result of Decoding Process of the master is $\hat{AB} \in \mathbb{F}_q^{k_A \times k_B}$, which is an estimated results of AB . See the details in below (Figure 2).

(Preprocess) (Figure 3) The master encodes A to

$$GA = \begin{pmatrix} G_{[1, n/n_A]}^\top \cdot A \\ \vdots \\ G_{[(n_A-1)(n/n_A)+1, n]}^\top \cdot A \end{pmatrix} \in \mathbb{F}_{q^m}^{n \times l}. \quad (1)$$

The master store $G_{[(i-1)(n/n_A)+1, i(n/n_A)]}^\top \cdot A \in \mathbb{F}_{q^m}^{(n/n_A) \times l}$ in all workers of each group i . Here $\tilde{a}_{i'l'm'j'} \in \mathbb{F}_q$ denotes the j' -th symbol of a matrix $f^1(g_{i'}^\top, a_{\cdot l'} v_{m'}) \in \mathbb{F}_q^{1 \times m}$ for all $l' \in [l]$, $m' \in [m]$, $i' \in [(i-1)(n/n_A)+1, i(n/n_A)]$ and $j' \in [(j-1)(m/n_B)+1, j(m/n_B)]$. Then, each worker (i, j) stores the set $\{(i', l', m', j', \tilde{a}_{i'l'm'j'}) \mid l' \in [l], m' \in [m], i' \in [(i-1)(n/n_A)+1, i(n/n_A)], j' \in [(j-1)(m/n_B)+1, j(m/n_B)]\}$. It is clear that $\tilde{a}_{i'l'm'j'}$ can be computed from $g_{i'}^\top \cdot A = (g_{i'}^\top \cdot a_{\cdot 1}, \dots, g_{i'}^\top \cdot a_{\cdot l})$, where $a_{\cdot l'} \in \mathbb{F}_{q^m}^{k_A}$ is the l' -th column of the matrix A .

(Computing Process) (Figure 4) The master sends the matrix B to all workers. we define the j -th block of a $(n/n_A) \times m$ matrix as the $(j-1)(m/n_B)+1, j(m/n_B)$ -th columns. Each worker (i, j) computes the j -th block of an $(n/n_A) \times m$ matrix $C_i := f^{n/n_A}(G_{[(i-1)(n/n_A)+1, i(n/n_A)]}^\top \cdot AB'v)$. This computation can be done by computing $\sum_{l' \in [l]} \sum_{m' \in [m]} \tilde{a}_{i'l'm'j'} b_{l'm'}$ from B for any $(i', j') \in [(i-1)(n/n_A)+1, i(n/n_A)] \times [(j-1)(m/n_B)+1, j(m/n_B)]$. See proposition 4.1.

For any $(i, j) \in [n_A] \times [n_B]$, we define the correct computing result of any worker (i, j) as $\pi_{ij}(g_{i'}^\top \cdot A, B)$. we define *product function* as the function $\pi_{ij}: \mathbb{F}_{q^m}^{(n/n_A) \times l} \times \mathbb{F}_q^{l \times k_B} \rightarrow \mathbb{F}_q$ which computes the j -th block of the matrix C_i from $G_{[(i-1)(n/n_A)+1, i(n/n_A)]}^\top \cdot A \in \mathbb{F}_{q^m}^{(n/n_A) \times l}$ and B . We assume that the error $e_{i'j'} \in \mathbb{F}_q$ occurs in computing the j' -th symbol of $f^1(g_{i'}^\top, AB'v) \in \mathbb{F}_q^{1 \times m}$. The master receives a matrix $Y := f^n(GAB'v) + E$, where E is a matrix whose (i', j') -th entry is $e_{i'j'}$ for any $(i', j') \in [n] \times [m]$. This matrix is constructed from all output results of all workers.

(Decoding Process) The master gets $\psi(Y)$ from the matrix Y with a function $\psi: \mathbb{F}_q^{n \times m} \rightarrow \mathcal{C} \cup \{?\}$, where a symbol $? \notin \mathcal{C}$ represents a fact that the master cannot get an estimated matrix AB . Since the generator matrix is a generator matrix

of a canonical systematic encoder, if $\psi(\mathbf{Y}) \in \mathcal{C}$, the master gets $\hat{\mathbf{A}}\mathbf{B}$ from $\psi(\mathbf{Y})$.

Proposition 4.1: For any $(i, j) \in [n_A] \times [n_B]$ and for any $(i', j') \in [(i-1)(n/n_A) + 1, i(n/n_A)] \times [(j-1)(m/n_B) + 1, j(m/n_B)]$, the (i', j') -th entry of a matrix $\mathbf{f}^n(\mathbf{GABv})$ is $\sum_{l' \in [l]} \sum_{m' \in [k_B]} \tilde{a}_{i'l'm'j'} b_{l'm'}$. See Appendix A in [1].

Corollary 4.1: Any worker $(i, j) \in [n_A] \times [n_B]$ performs $(lk_B - 1)(mn/n_A n_B)$ additions over \mathbb{F}_q and $lk_B(mn/n_A n_B)$ multiplications over \mathbb{F}_q in Computing Process.

See Appendix B in [1].

If $n_A = n$ and $n_B = 1$, then the GDCC is the DCC in Section III.

B. Example: GDCC Based on a Gabidulin Code

We propose a GDCC based on a Gabidulin code as an example of GDCCs. Before the definition, we assume some assumptions on q and m to construct the proposed scheme.

Definition 4.1 (Multiplication Table [8]): For any $i \in [m]$, we define $T_{i1}, \dots, T_{im} \in \mathbb{F}_q$ as the elements uniquely determined by $v_1 v_i = \sum_{j \in [m]} T_{ij} v_j$. $\mathbf{T} := (T_{ij})_{(i,j) \in [m]^2} \in \mathbb{F}_q^{m \times m}$ is called *multiplication table*. We define $C(\mathbf{T}) \in \mathbb{Z}$ as the number of nonzero entries of \mathbf{T} . $C(\mathbf{T})$ is called the *complexity of the normal basis* $\{v_1, \dots, v_m\}$.

Lemma 4.1 ([9]): An addition over \mathbb{F}_{q^m} costs m additions over \mathbb{F}_q . Moreover, an multiplication over \mathbb{F}_{q^m} costs $m(C(\mathbf{T}) + 1) - m^2 - 1$ additions over \mathbb{F}_q and $m(C(\mathbf{T}) + m)$ multiplications over \mathbb{F}_q .

Definition 4.2 (Optimal Normal Basis [10]): For any normal basis $\{v_1, \dots, v_m\}$ and multiplicative table \mathbf{T} , $C(\mathbf{T}) \geq 2m - 1$. The normal basis $\{v_1, \dots, v_m\}$ is called an *optimal normal basis* if it achieves this lower bound.

We set a normal basis $\{v_1, \dots, v_m\} \in \mathbb{F}_{q^m}$ over \mathbb{F}_q an *optimal normal basis*.

Lemma 4.2 ([10]): An optimal normal basis of \mathbb{F}_{q^m} over \mathbb{F}_q exists if and only if q and m satisfies the following. $\log_2 q$ and m are prime with each other. $2m + 1$ is a prime number. A multiplicative group $(\mathbb{Z}/(2m+1)\mathbb{Z})^* = (\mathbb{Z}/(2m+1)\mathbb{Z}) \setminus \{0\}$ is generated from 2 and -1 .

Assumption 4.1 (Assumption for the parameters): We assume the condition of Lemma 4.2.

Definition 4.3 (GDCCG): Let $\mathbf{G} \in \mathbb{F}_{q^m}^{n \times k_A}$ is a canonical systematic generator matrix of a (n, k_A) Gabidulin code over \mathbb{F}_{q^m} . ψ is a bounded rank distance decoder of this code. This GDCC is called a *GDCCG*.

V. PERFORMANCE EVALUATIONS ON THE PROPOSED SCHEMES

We evaluate (a) the CTC of the GDCCG system and (b) the error-correcting capability of the GDCC system and the GDCCG system. We evaluate (b) of the GDCC and GDCCG and show that GDCCG corrects an error matrix that previous schemes cannot correct. Moreover, we compare (a) the CTC of the stand-alone scheme (SA) and that of GDCCG and give the parameter condition when GDCCG is superior to the SA.

A. Evaluations of the Computation Time Complexities

The CTC of the GDCCG system is the sum of the number of the four arithmetic operations in the Computing Process of each worker and the Decoding Process of the master over \mathbb{F}_q . To include operations over \mathbb{F}_q^m in the evaluation of the CTC, we decompose operations over \mathbb{F}_q^m to operations over \mathbb{F}_q and enumerate them.

1) Assumptions on Computation Time Complexities: We assume some assumptions in this paper to evaluate the CTC of the GDCCG.

Assumption 5.1: We do not include CTC of the preprocess in the evaluation of (a) since \mathbf{A} is input only once. Moreover, we do not include any communication time between workers and the master.

We use corresponding between $\mathbf{a} \in \mathbb{F}_{q^m}^n$ and $\mathbf{f}^n(\mathbf{a})$ in the proposed scheme. We assume Assumption 5.2, also assumed in [8] [11] [12].

Assumption 5.2: We do not include the CTC of computing $\mathbf{f}^n(\mathbf{a})$ from $\mathbf{a} \in \mathbb{F}_{q^m}^n$ or that of computing $(\mathbf{f}^n)^{-1}(\mathbf{A})$ from $\mathbf{A} \in \mathbb{F}_q^{n \times m}$ in the evaluation of (a).

Proposition 5.1: For any q, m and $\mathbf{a} \in \mathbb{F}_{q^m}^n$, if $\mathbf{f}^1(\mathbf{a}) = (a_1, \dots, a_m) \in \mathbb{F}_q^{1 \times m}$, then $\mathbf{f}^1(\mathbf{a}^{q^t}) = (a_{m-i+1}, \dots, a_m, a_1, a_2, \dots, a_{m-i})$.

Definition 5.1 (cyclic shift [8]): For any q, m and \mathbf{a} , we define *i-th cyclic shift up* as $\mathbf{a}^{\uparrow i} := \mathbf{f}(\mathbf{a}^{q^i})$ and *cyclic shift down* $\mathbf{a}^{\downarrow i} := \mathbf{f}(\mathbf{a}^{q^i})$.

We assume Assumption 5.3, also assumed in [8] [11] [12].

Assumption 5.3: We do not include computation time complexities of cyclic shifts up or down in the evaluation.

From these assumptions, the below facts hold.

Proposition 5.2: q and m satisfies Assumption 4.1. An addition, which is also a subtraction, over \mathbb{F}_{q^m} costs m additions over \mathbb{F}_q . A multiplication over \mathbb{F}_{q^m} costs $m^2 - 1$ additions and m^2 multiplication over \mathbb{F}_q . An inversion over \mathbb{F}_{q^m} costs $(m^2 - 1)(2 \lfloor \log_2(m \log_2 q - 1) \rfloor + 2)$ additions and $m^2(2 \lfloor \log_2(m \log_2 q - 1) \rfloor + 2)$ multiplications over \mathbb{F}_q .

Form Proposition 5.2, Corollary 5.1 holds. The value D is a little modified from the upper bound of the number of operations, derived in [11]. See Appendix C in [1].

Corollary 5.1: q and m satisfies the condition of Lemma 4.2. We define $t = \lfloor (n - k_A)/2 \rfloor$ and $d = n - k_A + 1$. Then D is an upper bound of the CTC of the decoding algorithm [11] of an (n, k_A) Gabidulin code over \mathbb{F}_{q^m} . D is

$$\begin{aligned} & 2mnt + m^2 + m - 1 + \frac{2}{3}(m(m-1)(2m-1) - t(t-1)(2t-1)) \\ & - (t-2)(m-t) - (t-1)(m^2 - m - t^2 + t) \\ & + (dn + d^2 - t^2 + 2dt + mt - n - 4d - t - 1)m \\ & + (dn + 3d^2 + 3t^2 - 4dt + mt - n - 9d + 9t + 5)(2m^2 - 1) \\ & + 2t(2m^2 - 1)(2 \lfloor \log_2(m \log_2 q - 1) \rfloor). \end{aligned} \quad (2)$$

2) Evaluations and A Comparison to the Stand-Alone System: We evaluate (a) the CTC of the GDCCG system in case $\text{rank} \mathbf{E} \leq t$. The CTC of the GDCC system is the sum of the number of four arithmetic operations over \mathbb{F}_q of each worker and that of Decoding Process of the master.

Theorem 5.1 (evaluation of (a) of GDCCG): D is defined in Eq. (2). Under Assumption 4.1, the sum of CTC of Computation Process of each worker and that of Decoding Process of the master in the system of the GDCCG is at most

$$(2k_B l - 1)(mn/n_A n_B) + D. \quad (3)$$

Thus Eq.(3) is an upper bound of the CTC of this system.

Proof : We showed from Corollary 4.1 that CTC of Computation Process of each worker $(i, j) \in [n_A] \times [n_B]$ is at most $(2k_B l - 1)(mn/n_A n_B)$. The master computes $f^n(\mathbf{GAB}'\mathbf{v})$ from $f^n(\mathbf{GAB}'\mathbf{v}) + \mathbf{E}$ with the bounded rank-distance decoder in Decoding Process. We do not include time to compute \mathbf{AB} from $f^n(\mathbf{GAB}'\mathbf{v})$ since \mathbf{G} is a generator matrix of a canonical systematic encoder. Thus the CTC is at most D . \square

We compare the CTC of the stand-alone scheme.

Corollary 5.2 (Comparison (a) of the GDCCG with that of the SA system): Under Assumption 4.1, if n, n_A, n_B satisfies the below, the value of Eq.(3) is less than the CTC of the SA system.

$$l > \frac{1}{2k_B(k_A - (mn/n_A n_B))}(k_A k_B - (mn/n_A n_B) + D).$$

Example 5.1: Set $n = n_A, m = n_B, q = 2, k_A = 100, k_B = 293$ and $l = 100000$. The value of Eq.(3) is less than the CTC of the SA system when $n(> k_A = 100)$ satisfies $101 \leq n \leq 172$. Table 4.1 of [10] shows that $(q, m) = (2, 293)$ satisfies Assumption 4.1.

B. Evaluations of the Error-Correcting Capabilities

Theorem 5.2 (Evaluation of (b) of GDCC): The GDCC system computes correctly if the error matrix \mathbf{E} is in \mathcal{E} .

Proof : GDCC outputs $f^n(\mathbf{GAB}'\mathbf{v}) \in \mathbb{F}_q^{n \times m}$ from \mathbf{A} and \mathbf{B} when no error occurs in the computation. If $\mathbf{E} \in \mathcal{E}$, then $\psi(f^n(\mathbf{GAB}'\mathbf{v}) + \mathbf{E}) = f^n(\mathbf{GAB}'\mathbf{v})$ since $f^n(\mathbf{GAB}'\mathbf{v}) \in \mathcal{C}$ from Lemma 3.1. Thus this scheme corrects all error matrices in \mathcal{E} . \square

Theorem 5.3 (Evaluation of (b) of the GDCCG): The GDCCG system computes correctly if the error matrix \mathbf{E} satisfies $\text{rank}(\mathbf{E}) \leq t$.

Proof : An (n, k_A) Gabidulin code over \mathbb{F}_{q^m} can correct an error matrix \mathbf{E} if $\text{rank}(\mathbf{E}) \leq t$. \square

This error-correcting capability is the same as that of [5].

Remark 5.1: Theorem 5.3 showed that (b) the error-correcting capability of the GDCCG is the same as that of [5]. However, (a) the CTC of the GDCCG is approximately $n/n_A n_B$ times the CTC of the DCCG. This is because, each worker $(i, j) \in [n_A] \times [n_B]$ computes the j -th block of $f^{n/n_A}(\mathbf{G}_{[(i-1)(n/n_A)+1, i(n/n_A)]} \mathbf{AB}'\mathbf{v}) \in \mathbb{F}_q^{(n/n_A) \times (m/n_B)}$ in the GDCCG, while the worker $i \in [n]$ computes all entries of $f^1(\mathbf{g}_i \mathbf{AB}'\mathbf{v}) \in \mathbb{F}_q^m$ in the DCCG. Since the purpose of this paper is to compare the GDCCG with the SA, we do not compare the GDCCG with the DCCG in detail.

VI. CONCLUSION AND FUTURE WORKS

In this paper, we proposed and evaluated a new distributed coded computation scheme called GDCC and, as one example, GDCCG. First, we evaluated the GDCCG with (a) the computation time complexity and showed the parameter condition in which the GDCCG system is superior to the SA system. Next, we evaluated the GDCC and the GDCCG with (b) the error-correcting capability of the overall system and showed that the GDCCG system can correct \mathbf{E} which satisfies $\text{rank}(\mathbf{E}) \leq t$.

In future works, we would like to improve the proposed schemes. For example, if we use more efficient decoding algorithms such as [13], the GDCCG may be better concerning the CTC. For another example, the GDCCG uses more workers than the DCCG. Thus we would like to propose new DCCs considering not only (a) and (b) but also communication load and the number of workers.

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APPENDIX

A. The Proof of Proposition 4.1

Proof : It is the j' -th entry of

$$f^1(\mathbf{g}_{i'}^\top \mathbf{AB}'\mathbf{v})$$

$$\begin{aligned}
&= f^1 \left(\begin{pmatrix} \mathbf{g}_{i'}^\top \mathbf{a}_{.1} & \dots & \mathbf{g}_{i'}^\top \mathbf{a}_{.l} \end{pmatrix} \begin{pmatrix} \sum_{m' \in [m]} b'_{1m'} v_{m'} \\ \vdots \\ \sum_{m' \in [m]} b'_{lm'} v_{m'} \end{pmatrix} \right) \\
&= f^1 \left(\begin{pmatrix} \mathbf{g}_{i'}^\top \mathbf{a}_{.1} & \dots & \mathbf{g}_{i'}^\top \mathbf{a}_{.l} \end{pmatrix} \begin{pmatrix} \sum_{m' \in [k_B]} b_{1m'} v_{m'} \\ \vdots \\ \sum_{m' \in [k_B]} b_{lm'} v_{m'} \end{pmatrix} \right) \\
&= f^1 \left(\sum_{l' \in [l]} \mathbf{g}_{i'}^\top \mathbf{a}_{.l'} \left(\sum_{m' \in [k_B]} b_{l'm'} v_{m'} \right) \right) \\
&= f^1 \left(\sum_{l' \in [l]} \sum_{m' \in [k_B]} b_{l'm'} (\mathbf{g}_{i'}^\top \mathbf{a}_{.l'}) v_{m'} \right) \\
&= f^1 \left(\sum_{j \in [m]} \left(\sum_{l' \in [l]} \sum_{m' \in [k_B]} \tilde{a}_{i'l'm'j} b_{l'm'} \right) v_j \right) \\
&= \left(\sum_{l' \in [l]} \sum_{m' \in [k_B]} \tilde{a}_{i'l'm'1} b_{l'm'}, \dots, \sum_{l' \in [l]} \sum_{m' \in [k_B]} \tilde{a}_{i'l'm'm} b_{l'm'} \right) \cdot \square
\end{aligned}$$

B. The Proof of Corollary 4.1

Proof : Proposition 4.1 shows that any worker (i, j) computes the j' -th symbol $\sum_{l' \in [l]} \sum_{m' \in [k_B]} \tilde{a}_{i'l'm'j'} b_{l'm'}$ of $f^1(\mathbf{g}_{i'}^\top \mathbf{A} \mathbf{B}' \mathbf{v})$ for any $(i', j') \in [(i-1)(n/n_A) + 1 : i(n/n_A)] \times [(j-1)(m/n_B) + 1, j(m/n_B)]$ in Computing Process. Moreover, each $\tilde{a}_{i'l'm'j'}$ is already computed in Preprocess. Thus the worker (i, j) performs $lk_B - 1$ additions over \mathbb{F}_q and lk_B multiplications over \mathbb{F}_q to compute $\sum_{l' \in [l]} \sum_{m' \in [k_B]} \tilde{a}_{i'l'm'j'} b_{l'm'}$ from the input \mathbf{B} . The worker (i, j) performs this computation for all $(i', j') \in [(i-1)(n/n_A) + 1, i(n/n_A)] \times [(j-1)(m/n_B) + 1, j(m/n_B)]$. \square

C. An Upper Bound of Four Arithmetic Operations of a Decoding Algorithm of a Gabidulin Code

We explain a decoding algorithm of a Gabidulin code [7]. Moreover, we derive an upper bound of four arithmetic operations over \mathbb{F}_q . This value is based on [11], though these two are a little different.

First, we explain linearized polynomials.

Definition A.1 (linearized polynomial [6]): A polynomial $F(x) = \sum_{i \in [0, u]} f_i x^{q^i}$ over \mathbb{F}_{q^m} is called a *linearized polynomial*. If $f_u \neq 0$, then we define $\deg_q F(x) := u$ is called the *q-degree* of this linearized polynomial.

The set of all linearized polynomials forms a non-commutative ring by the addition $+$ and the multiplication $*$ [6]. Specifically, the multiplication $*$ is different from that of polynomial rings.

Definition A.2 ($+$, $*$ [6]): Let $F(x) = \sum_{i \in [0, u]} f_i x^{q^i}$ and $G(x) = \sum_{i \in [0, v]} g_i x^{q^i}$ be linearized polynomials which satisfy $f_u g_v \neq 0$. The addition $F(x) + G(x)$ of two linearized polynomials $F(x)$ and $G(x)$ is defined as the ordinary addition of two polynomials. The multiplication $F(x) * G(x)$ is defined as $F(G(x))$.

For any $i \in [0, u + v]$, the coefficient h_i of $F(x) * G(x) = \sum_{i \in [0, u+v]} h_i x^{q^i}$ is $\sum_{j \in [0, i]} f_j g_{i-j}^{q^j}$. For any linearized polynomial $F(x)$, all roots of $F(x)$ form a linear space over \mathbb{F}_q [6].

In the decoding algorithm, the input is a received word $\mathbf{y} \in \mathbb{F}_{q^m}^n$ and the output is $\hat{\mathbf{c}} \in \mathbb{F}_{q^m}^n$. The algorithm is constructed from Step 1 to Step 7. There is a big difference between our analysis and the analysis in [11], especially in Step 3. Here we define t as the bounded rank-distance $\lfloor (n - k_A)/2 \rfloor$ of the Gabidulin code, and d as the minimum rank-distance $n - k_A + 1$ (≥ 2).

1) Compute the syndrome $(s_1, \dots, s_{d-1})^\top := \mathbf{H}^\top \mathbf{y} \in \mathbb{F}_{q^m}^{d-1}$.

It costs $(n-1)(d-1)$ additions over \mathbb{F}_{q^m} and $n(d-1)$ multiplications over \mathbb{F}_{q^m} .

2) Compute linearized polynomials $F(x)$ and $\Lambda(x)$ which satisfies $\deg_q F(x) < t$ and $F(x) = \Lambda(x) * S(x) \bmod z^{q^{d-1}}$ from the syndrome linearized polynomial $S(x) := \sum_{i \in [n-k]} s_i x^{q^{i-1}}$ by extended Euclidian algorithm.

We derive upper bounds here. It costs at most $(d-1)(d-2) - \frac{1}{2}t(t-1) + 2t(d-t-2)$ additions over \mathbb{F}_{q^m} , at most $(d-1)(d-2) - \frac{1}{2}t(t-5) + 2(d-t-1)(d-t-2)$ multiplications over \mathbb{F}_{q^m} , and at most t inversions over \mathbb{F}_{q^m} .

We explain more details later.

3) Compute t roots $E_1, \dots, E_t \in \mathbb{F}_{q^m}$ of a linearized polynomial $\Lambda(x)$.³

The number of four arithmetic operations (additions, subtractions, multiplications, and inversions) over \mathbb{F}_q is $\frac{2}{3}(m(m-1)(2m-1) - t(t-1)(2t-1)) - (t-2)(m-t) - (t-1)(m(m-1) - t(t-1)) + m^2 + m - 1$. The number of additions over \mathbb{F}_{q^m} is tm . The number of multiplications over \mathbb{F}_{q^m} is $(t+1)m$. We explain more details later.

4) For any $w \in [r]$, compute $E_1 x_1^w + \dots + E_t x_t^w = s_w$, where $x_1, \dots, x_t \in \mathbb{F}_{q^m}$.

It costs $\frac{3}{2}t(t-1)$ additions over \mathbb{F}_{q^m} , $(t+1)(\frac{3}{2}t-1)$ multiplications over \mathbb{F}_{q^m} , and t inversions over \mathbb{F}_{q^m} . The derivation is omitted since it is similar to that of [11].

5) For all $w \in [0, t-1]$, compute $\mathbf{Y} \in \mathbb{F}_q^{t \times n}$ which satisfies $x_w = Y_{w1} h_1 + \dots + Y_{wn} h_n$.

No arithmetic operations are needed since $\{h_1, \dots, h_n\}$ is $\{v_1, \dots, v_n\}$.

6) Compute $\mathbf{e} = \mathbf{Y}(E_1, \dots, E_t)^\top \in \mathbb{F}_{q^m}^n$.

The number of four arithmetic operations over \mathbb{F}_q is $mn(2t-1)$ since \mathbf{Y} is an $n \times t$ matrix over \mathbb{F}_q and $(E_1, \dots, E_t)^\top \in \mathbb{F}_{q^m}^t$ corresponds a $t \times m$ matrix over \mathbb{F}_q .

7) Compute $\mathbf{x} = \mathbf{y} - \mathbf{e} \in \mathbb{F}_{q^m}^n$.

It costs mn subtractions over \mathbb{F}_q .

There is a difference between this paper and [11] as follows. In Step 3, we consider a non-probabilistic algorithm, while they consider a probabilistic algorithm in [11]. Moreover, our

³There may be at most $t-1$ roots. For simplicity, we assume there are t roots.

evaluation of the number of arithmetic operations in Step 2 is different from that of [11], mainly because our evaluation of the number of arithmetic operations to compute each coefficient of the multiplication of two linearized polynomials is different from that of [11]. Moreover, in Step 6, we show that it costs mnr multiplications over \mathbb{F}_q and “ $mn(t-1)$ ” additions over \mathbb{F}_q , while they showed that it costs mnr multiplications over \mathbb{F}_q and “ mnt ” additions over \mathbb{F}_q in [11]. At last, Step 7 is not written in [11].

1) *Step 2:* The computation in Step 2 is Step 2-1 and 2-2 as follows. The input is the syndrome linearized polynomial $S(x) \in \mathbb{F}_{q^m}[x]$. The outputs are $F(x), \Lambda(x) \in \mathbb{F}_{q^m}[x]$.

Step 2-1 $F_0(x) := x^{q^{d-1}}, F_1(x) := S(x)$. Linearized polynomials $G_1(x), G_2(x), \dots$ and $F_0(x), F_1(x), \dots$ over \mathbb{F}_{q^m} are recursively defined as follows. For all $i \in \{0, 1, \dots\}$,

$$\begin{cases} F_i(x) = G_{i+1}(x) * F_{i+1}(x) + F_{i+2}(x) \\ \deg_q F_{i+2}(x) < \deg_q F_{i+1}(x) < \deg_q F_i(x). \end{cases} \quad (4)$$

We define r as the unique positive integer which satisfies $\deg_q F_{i+1}(x) < \frac{d-1}{2} \leq \deg_q F_i(x)$.

Step 2-2 $A_{-1}(x) := 0$ and $F_0(x) := x$. Linearized polynomials $A_1(x), A_2(x), \dots$ over \mathbb{F}_{q^m} are recursively defined as follows. For all $i \in \{1, 2, \dots, r\}$,

$$A_i(x) := G_i(x) * A_{i-1}(x) + A_{i-2}(x). \quad (5)$$

Moreover, we define $\Lambda(x)$ as the monic linearized polynomial dividing $A_r(x)$ by its highest order coefficient.

We derive the computation time complexities in Step 2-1 and 2-2.

Proposition A.1: It costs uv additions over \mathbb{F}_{q^m} and $(u+1)(v+1)$ multiplications over \mathbb{F}_{q^m} to compute a multiplication of two linearized polynomials $F(x) = \sum_{i \in [0, u]} f_i x^{q^i}, G(x) = \sum_{i \in [0, v]} g_i x^{q^i}$ ($f_u g_v \neq 0$) over \mathbb{F}_{q^m} .

Our calculation of this number of times is different from that of [11]. The statement in [11] is that $uv - u - v - 1$ additions and uv multiplications are needed.

Proof: We enumerate the number of multiplications. We do not include the number operations to compute $g_k^{q^k}$ from the given value g_k in the evaluation from Assumption 5.3. Then it costs $(u+1)(v+1)$ multiplications to compute $f_j g_k^{q^k}$ for all $i \in [0, u], k \in [0, v]$. The number of additions are derived if the q -degree of the multiplications of linearized polynomials is subtracted from the number of the multiplications over \mathbb{F}_q . \square

Proposition A.2: Let u and v ($u \leq v$) are q -degrees of linearized polynomials $F(x)$ and $G(x)$, respectively. Let $Q(x)$ and $R(x)$ be linearized polynomials which satisfy $F(x) = Q(x) * G(x) + R(x)$ and $\deg_q R(x) < \deg_q G(x)$. It costs at most $v(u-v+1)$ additions over \mathbb{F}_{q^m} , at most $(v+1)(u-v+1)$ multiplications over \mathbb{F}_{q^m} and 1 inversion over \mathbb{F}_{q^m} to compute $Q(x)$ and $R(x)$.

The proof is omitted since the result is similar to that of [11].

Proposition A.3: In the above algorithm, $r \leq t$ and $\deg_q \Lambda(x) \leq t$ hold.

Proof: For simplicity, d_i denotes $\deg_q F_i(x)$. For any $i \in [0, r]$, $d_{i+1} < d_i < d-1-i$ holds. Thus,

$$(d_{r+1} <) \frac{d-1}{2} \leq d_r \leq d-1-r. \quad (6)$$

This results $r \leq t$.

$\deg_q G_i(x) = d_{i-1} - d_i$ holds for any $i \in [r]$ from Eq.(4). On the other hand, from Eq.(5), $\deg_q A_i(x) = d_{i-1} - d_i + \deg_q A_{i-1}(x)$ holds for any $i \in [r]$. Thus $\deg_q A_i(x) = d_0 - d_i = d-1-d_i$ holds. This indicates that

$$\deg_q \Lambda(x) = \deg_q A_r(x) = d-1-d_r \leq d-1-\frac{d-1}{2} = \frac{d-1}{2}. \quad (7)$$

Therefore $\deg_q \Lambda(x) \leq t$ holds. \square

The computation in Step 2-1 costs t inversions, at most $2t(d-1) - \frac{1}{2}t(t-1)$ multiplications, and at most $t(d-1)$ additions over \mathbb{F}_{q^m} for the following reason. From Proposition A.2, for any $i \in [0, r-1]$, it costs 1 inversion, $(d_{i+1}+1)(d_i - d_{i+1} + 1)$ multiplications and $d_{i+1}(d_i - d_{i+1} + 1)$ additions over \mathbb{F}_{q^m} to compute $G_{i+1}(x), F_{i+2}(x)$ from $F_i(x), F_{i+1}(x)$. By doing this from $i = 0$ to $r-1$, it costs $r(\leq t)$ inversion over \mathbb{F}_{q^m} , $(d-2)(d-1) - \frac{1}{2}t(t-5)$ multiplications over \mathbb{F}_{q^m} , and $(d-2)(d-1) - \frac{1}{2}t(t-1)$ additions over \mathbb{F}_{q^m} to compute $F_0(x), \dots, F_{r+1}(x)$ and $G_1(x), \dots, G_r(x)$. We derive an upper bound of the number of multiplications as follows.

$$\sum_{i=0}^{r-1} (d_{i+1} + 1)(d_i - d_{i+1} + 1) \quad (8)$$

$$= r + \sum_{i=0}^{r-1} d_{i+1}(d_i - d_{i+1}) + \sum_{i=0}^{r-1} d_i \quad (9)$$

$$\leq r + d_1 \sum_{i=0}^{r-1} (d_i - d_{i+1}) + \sum_{i=0}^{r-1} (d-1-i) \quad (10)$$

$$\leq r + (d-2)(d-1-d_r) + rd - \frac{1}{2}r(r+1) \quad (11)$$

$$= (d-2)(d-1) - \frac{1}{2}r(r-5) + (d-2)(r-d_r) \quad (12)$$

$$\leq (d-2)(d-1) - \frac{1}{2}r(r-5) + (d-2)(r - \frac{d-1}{2}) \quad (13)$$

$$\leq (d-2)(d-1) - \frac{1}{2}t(t-5) + (d-2)(t - \frac{d-1}{2}) \quad (14)$$

$$\leq (d-2)(d-1) - \frac{1}{2}t(t-5). \quad (15)$$

Similary, we derive that of additions as follows.

$$\sum_{i=0}^{r-1} d_{i+1}(d_i - d_{i+1} + 1) \quad (16)$$

$$= -(d_0 - d_r + r) + \sum_{i=0}^{r-1} (d_{i+1} + 1)(d_i - d_{i+1} + 1) \quad (17)$$

$$\leq -2r + (d-2)(d-1) - \frac{1}{2}t(t-5). \quad (18)$$

The computation in Step 2-2 costs at most $\frac{(d-1)(d+2t-5)}{4}$ multiplications, at most $\frac{(d-3)(d+2t-5)}{4}$ additions over F_{q^m} for the following reason. From Proposition A.1, for any $i \in [r]$, it costs

$$(1 + \deg_q G_i(x))(1 + \deg_q A_{i-1}(x)) \quad (19)$$

$$= (d_{i-1} - d_i + 1)(d - d_{i-1}) \quad (20)$$

multiplications and

$$\deg_q G_i(x) \deg_q A_{i-1}(x) + (1 + \deg_q A_{i-2}(x)) \quad (21)$$

$$= (d_{i-1} - d_i)(d - 1 - d_{i-1}) + (d - d_{i-2}) \quad (22)$$

additions over F_{q^m} to compute $A_i(x)$ from $A_{i-2}(x), A_{i-1}(x), G_i(x)$. When $i = 1$, no computation are needed since $A_i(x) = G_1(x)$. Thus some computations are needed only when $i = 2, \dots, r$. It costs at most $2(d - t - 1)(d - t - 2)$ multiplications over F_{q^m} and at most $2t(d - t - 2)$ additions over F_{q^m} to compute $A_2(x), \dots, A_r(x)$. We derive an upper bound of the number of multiplications when $(t \geq)r \geq 2$ as follows.

$$\sum_{i=2}^r (d_{i-1} - d_i + 1)(d - d_{i-1}) \quad (23)$$

$$\leq \sum_{i=2}^r (d_{i-1} - d_i + 1)(d - d_{r-1}) \quad (24)$$

$$= (d_1 - d_r + r - 1)(d - d_{r-1}) \quad (25)$$

$$\leq (d - 2 - \frac{d-1}{2} + r - 1)(d - d_r - 1) \quad (26)$$

$$\leq \frac{d+2t-5}{2} \frac{d-1}{2} \quad (27)$$

$$\leq (2d - 2t - 4) \frac{d-1}{2} \quad (28)$$

$$\leq 2(d - t - 2)(d - t - 1). \quad (29)$$

The value of Eq.(29) is also an upper bound of the number of operations ($=0$) when $r = 1$. Similarly, we derive that of additions when $(t \geq)r \geq 2$ as follows. Since $d \geq 5$,

$$\sum_{i=2}^r (d_{i-1} - d_i)(d - 1 - d_{i-1}) + (d - d_{i-2}) \quad (30)$$

$$\leq \sum_{i=2}^r (d_{i-1} - d_i)(d - 1 - d_{i-1}) + (d - 1 - d_{i-1}) \quad (31)$$

$$\leq (d - 1 - d_{r-1}) \sum_{i=2}^r (d_{i-1} - d_i + 1) \quad (32)$$

$$\leq (d - 2 - d_r)(d_1 - d_r + r - 1) \quad (33)$$

$$\leq (d - 2 - \frac{d-1}{2})(d - 2 - \frac{d-1}{2} + t - 1) \quad (34)$$

$$\leq \frac{d-3}{2} \frac{d+2t-5}{2} \quad (35)$$

$$\leq \frac{d-3}{2} (2d - 2t - 4) \quad (36)$$

$$\leq 2t(d - t - 2) \quad (37)$$

The value of Eq.(37) is also an upper bound of the number of operations ($=0$) when $r = 1$. Thus, the upper bound of the number of operations in Step 2 is derived.

2) *Step 3*: The computation in Step 3 is constructed from the following Steps 3-1 and 3-2. The input is a linearized polynomial $\Lambda(x)$. The output is r ($\in [t]$) and r roots $E_1, \dots, E_r \in \mathbb{F}_{q^m}$ of $\Lambda(x)$ which are linear independent over \mathbb{F}_q . We define r as the dimension of the linear space, which is the set of all roots of $\Lambda(x)$.

3-1 Compute $\Lambda(v_1), \dots, \Lambda(v_m)$. It costs at most $(t+1)m$ multiplications over \mathbb{F}_{q^m} and at most tm additions over \mathbb{F}_{q^m} .

3-2 We define $\Lambda \in \mathbb{F}_q^{m \times m}$ as

$$\mathbf{f}^m ((\Lambda(v_1), \dots, \Lambda(v_m))^T) \in \mathbb{F}_{q^m}^m. \quad (38)$$

It holds that $\mathbf{U}\Lambda = \mathbf{0}$. Compute the full-rank matrix $\mathbf{U} \in \mathbb{F}_q^{r \times m}$ by Gaussian elimination over \mathbb{F}_q . For any $i \in [r]$, $E_i := u_{i1}v_1 + \dots + u_{im}v_m$.

An upper bound of the number of four arithmetic operations over \mathbb{F}_q is $m^2 + m - 1 + \frac{2}{3}(m(m-1)(2m-1) - t(t-1)(2t-1)) - (t-2)(m-t) - (t-1)(m^2 - m - t^2 + t)$.

Then $r = m - \text{rank} \Lambda$ holds.

We derive an upper bound of the number of four arithmetic operations over \mathbb{F}_q as follows. Step 3 is an algorithm to output r ($\in [t]$) roots $E_1, \dots, E_r \in \mathbb{F}_{q^m}$ linearly independent on \mathbb{F}_q from the input (a linearized polynomial $\Lambda(x) \in \mathbb{F}_{q^m}[x]$).

Proposition A.4: Let $r \in [t]$ be given. For any $i \in [r]$, for some $u_{i1}, \dots, u_{im} \in \mathbb{F}_q$, it holds that $E_i = u_{i1}v_1 + \dots + u_{im}v_m$. $E_1, \dots, E_r \in \mathbb{F}_{q^m}$ are roots of a linearized polynomial $\Lambda(x) \in \mathbb{F}_{q^m}[x]$ and linearly independent on \mathbb{F}_q if and only if $\mathbf{U}\Lambda = \mathbf{0}$ and $\text{rank}(\mathbf{U}) = r$.

Proof: $E_1, \dots, E_r \in \mathbb{F}_{q^m}$ are linearly independent on \mathbb{F}_q if and only if the rank of $r \times m$ matrix \mathbf{U} , whose (i, j) entry is u_{ij} , over \mathbb{F}_q is $\min\{m, r\} = r$. This is because

$$(\forall \mathbf{a} = (a_1, \dots, a_r) \in \mathbb{F}_q^r, \sum_{i \in [t]} a_i E_i = 0 \Rightarrow \mathbf{a} = \mathbf{0}) \quad (39)$$

$$\Leftrightarrow (\forall \mathbf{a} \in \mathbb{F}_q^r, \sum_{i \in [t]} a_i \sum_{j \in [m]} u_{ij} v_j = 0 \Rightarrow \mathbf{a} = \mathbf{0}) \quad (40)$$

$$\Leftrightarrow (\forall \mathbf{a} \in \mathbb{F}_q^r, \sum_{j \in [m]} \left(\sum_{i \in [t]} a_i u_{ij} \right) v_j = 0 \Rightarrow \mathbf{a} = \mathbf{0}) \quad (41)$$

$$\Leftrightarrow (\forall \mathbf{a} \in \mathbb{F}_q^r, \forall j \in [m], \sum_{i \in [t]} a_i u_{ij} = 0 \Rightarrow \mathbf{a} = \mathbf{0}) \quad (42)$$

$$\Leftrightarrow (\forall \mathbf{a} \in \mathbb{F}_q^r, \forall j \in [m], \sum_{i \in [t]} a_i u_{ij} = 0 \Rightarrow \mathbf{a} = \mathbf{0}) \quad (43)$$

$$\Leftrightarrow (\forall \mathbf{a} \in \mathbb{F}_q^r, \mathbf{U}\mathbf{a} = \mathbf{0} \Rightarrow \mathbf{a} = \mathbf{0}) \quad (44)$$

$$\Leftrightarrow \text{rank}(\mathbf{U}) = \min\{m, r\} = r. \quad (45)$$

E_1, \dots, E_r are roots of a linearized polynomial $\Lambda(x)$ if and only if $\mathbf{U}\Lambda = \mathbf{0}$.

$$\forall i \in [r], \Lambda(E_i) = 0 \quad (46)$$

$$\Leftrightarrow \forall i \in [r], \Lambda(u_{i1}v_1 + \dots + u_{im}v_m) = 0 \quad (47)$$

$$\Leftrightarrow \forall i \in [r], u_{i1}\Lambda(v_1) + \dots + u_{im}\Lambda(v_m) = 0 \quad (48)$$

$$\Leftrightarrow \begin{pmatrix} u_{11} & \dots & u_{1m} \\ \vdots & \ddots & \vdots \\ u_{r1} & \dots & u_{rm} \end{pmatrix} \begin{pmatrix} \Lambda(v_1) \\ \vdots \\ \Lambda(v_m) \end{pmatrix} = \mathbf{0} (\in \mathbb{F}_{q^m}^r) \quad (49)$$

$$\Leftrightarrow \mathbf{U}\Lambda\mathbf{v} = \mathbf{0} (\in \mathbb{F}_{q^m}^r) \quad (50)$$

$$\Leftrightarrow \mathbf{U}\Lambda = \mathbf{0} (\in \mathbb{F}_q^{r \times m}). \quad (51)$$

Thus E_1, \dots, E_r are roots of the linearized polynomial $\Lambda(x)$ and are linearly independent over \mathbb{F}_q if and only if $\mathbf{U}\Lambda = \mathbf{0}$ and $\text{rank}(\mathbf{U}) = r$. \square

Thus if $\Lambda(x)$ is input, then compute $(\Lambda(v_1), \dots, \Lambda(v_m))^T \in \mathbb{F}_{q^m}^m$, compute $\mathbf{U} \in \mathbb{F}_q^{r \times m}$ which satisfies the above conditions by Gaussian elimination over \mathbb{F}_q , and compute $E_i = u_{i1}v_1 + \dots + u_{im}v_m$ for all $i \in [r]$.

The matrix \mathbf{U} can be computed by *Algorithm 1* under the following assumption : λ_{ij} denotes (i, j) -th entry of Λ . For simplicity, the reduced column echelon form of Λ by *Algorithm 1* is assumed to be as follows⁴.

$$\Lambda' = \begin{pmatrix} \mathbf{I}_{(m-r) \times (m-r)} & \mathbf{0}_{(m-r) \times r} \\ \Lambda'_{[m-r+1, m], [m-r]} & \mathbf{0}_{r \times r} \end{pmatrix}, \quad (52)$$

where $\mathbf{I}_{(m-r) \times (m-r)}$ is the $(m-r) \times (m-r)$ identity matrix. $\Lambda'_{[m-r+1, m], [m-r]} \in \mathbb{F}_q^{r \times (m-r)}$ is some matrix. Then we define \mathbf{U} as $(\Lambda'_{[m-r+1, m], [m-r]}, \mathbf{I}_{r \times r})$.

Algorithm 1 Step 3

Require: Λ

Ensure: \mathbf{U}

```

1: for  $k \in [m-r]$  do
2:   Compute  $\lambda_{kk}^{-1}$ .
3:   for  $j \in [k+1, m]$  do
4:     Compute  $\lambda_{kj}\lambda_{kk}^{-1}$ .
5:     for  $i \in [k+1, m]$  do
6:       Compute  $\lambda_{ij} - (\lambda_{kj}\lambda_{kk}^{-1})\lambda_{ik}$ .
7:     end for
8:   end for
9: end for
10:  $\mathbf{U} := (\Lambda_{[m-r+1, m], [m-r]}, \mathbf{I}_{r \times r})$ 
```

First, we enumerate the number of operations in Step 3-1. For $i' \in [m]$, it costs $t+1$ multiplications and t additions over \mathbb{F}_{q^m} to compute $\Lambda(v_{i'})$ from the input $\Lambda(x) = \sum_{j \in [0, t]} \lambda_j x^{q^j} \in \mathbb{F}_{q^m}[x]$.

$$\Lambda(v_{i'}) = \sum_{j \in [0, t]} \lambda_j v_{i'}^{q^j} = \sum_{j \in [0, t]} \lambda_j v_1^{q^{i'+j-1}} = \sum_{j \in [0, t]} \lambda_j v_{i'+j}. \quad (53)$$

⁴If you need it, you can change \mathbf{U} to the matrix in Eq.(52) by the permutation of the indices of row numbers, and perform the subsequent operations to compute the matrix corresponding to \mathbf{U} . Then you can define \mathbf{U} as this matrix.

Since the value of the normal basis $v_{i'}, \dots, v_{i'+t}$ are already computed, it costs $t+1$ multiplications and t additions over \mathbb{F}_{q^m} to compute $\sum_{j \in [0, t]} \lambda_j v_{i'+j}$.

Next, we enumerate the number of operations in Step 3-2. The number of four arithmetic operations over \mathbb{F}_q from the 1-th row to 9-th row in *Algorithm 3* is as follows.

$$\begin{aligned} & \sum_{k=1}^{m-r} \left(1 + \sum_{j=k+1}^m \left(1 + \sum_{i=k+1}^m 2 \right) \right) \quad (54) \\ &= \frac{1}{3}(m(m-1)(2m-1) - r(r-1)(2r-1)) \\ & \quad + (m-r) + \frac{1}{2}(m(m-1) - r(r-1)). \quad (55) \end{aligned}$$

Therefore we derive an upper bound of the number of all four arithmetic operations over \mathbb{F}_q as follows.

$$\begin{aligned} & \frac{1}{3}(m(m-1)(2m-1) - r(r-1)(2r-1)) \\ & \quad + (m-r) + \frac{1}{2}(m(m-1) - r(r-1)) \quad (56) \\ & \leq \frac{2}{3}(m(m-1)(2m-1) - r(r-1)(2r-1)) \\ & \quad + (2-r)(m-r) + (1-r)(m(m-1) - r(r-1)) \quad (57) \\ & \leq \frac{2}{3}(m(m-1)(2m-1) - t(t-1)(2t-1)) + (2-t)(m-t) \\ & \quad + (1-t)(m(m-1) - t(t-1)). \quad (58) \end{aligned}$$