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# NLP and Word Embeddings

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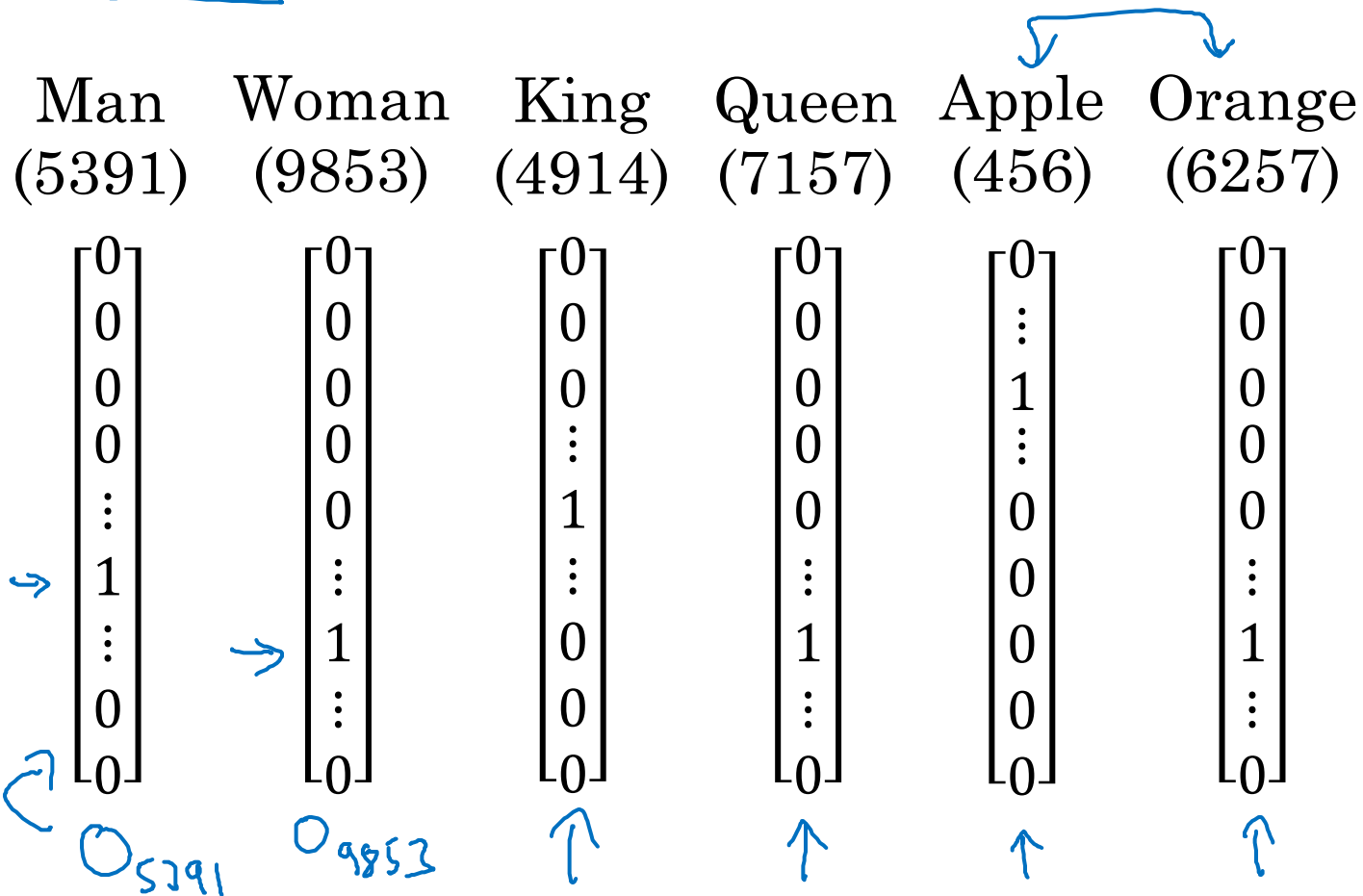
Word representation

# Word representation

$V = [a, aaron, \dots, zulu, <UNK>]$

$|V| = 10,000$

1-hot representation



I want a glass of orange juice.

I want a glass of apple ?.

# Featurized representation: word embedding

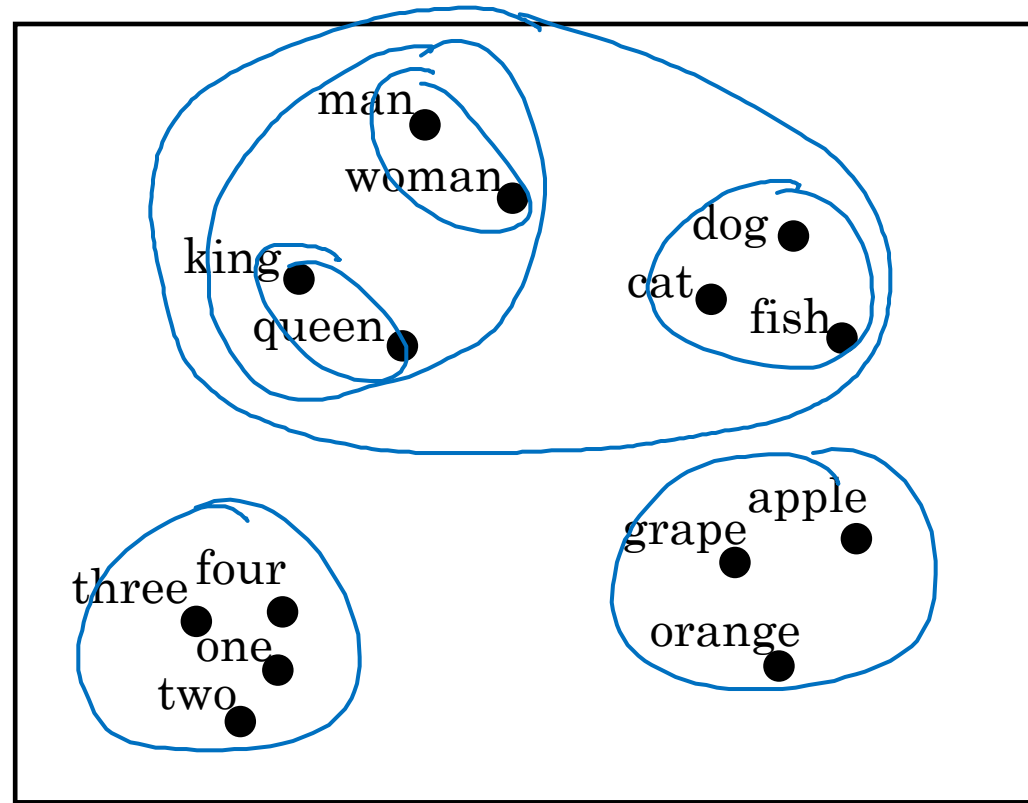
	Man (5391)	Woman (9853)	King (4914)	Queen (7157)	Apple (456)	Orange (6257)
Gender	-1	1	-0.95	0.97	0.00	0.01
Royal	0.01	0.02	<u>0.93</u>	<u>0.95</u>	-0.01	0.00
Age	0.03	0.02	0.7	0.69	0.03	-0.02
Food	0.04	0.01	0.02	0.01	0.95	0.97
...	...	...				
size						
cost						
alive						
verb						

I want a glass of orange juice.

I want a glass of apple juice.

Andrew Ng

# Visualizing word embeddings

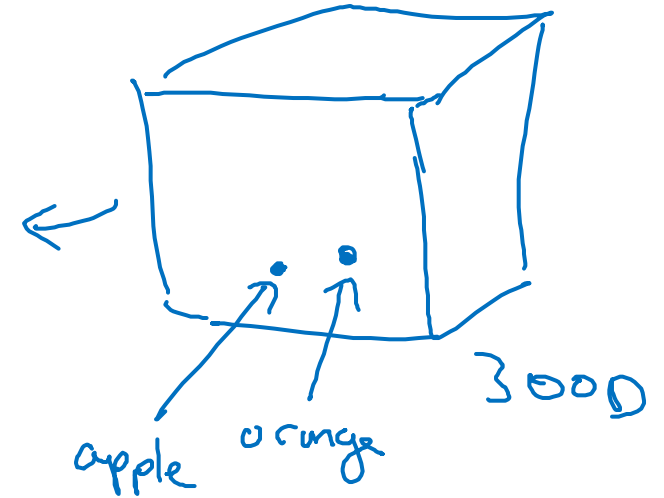


t-SNE

→ 300D



2D





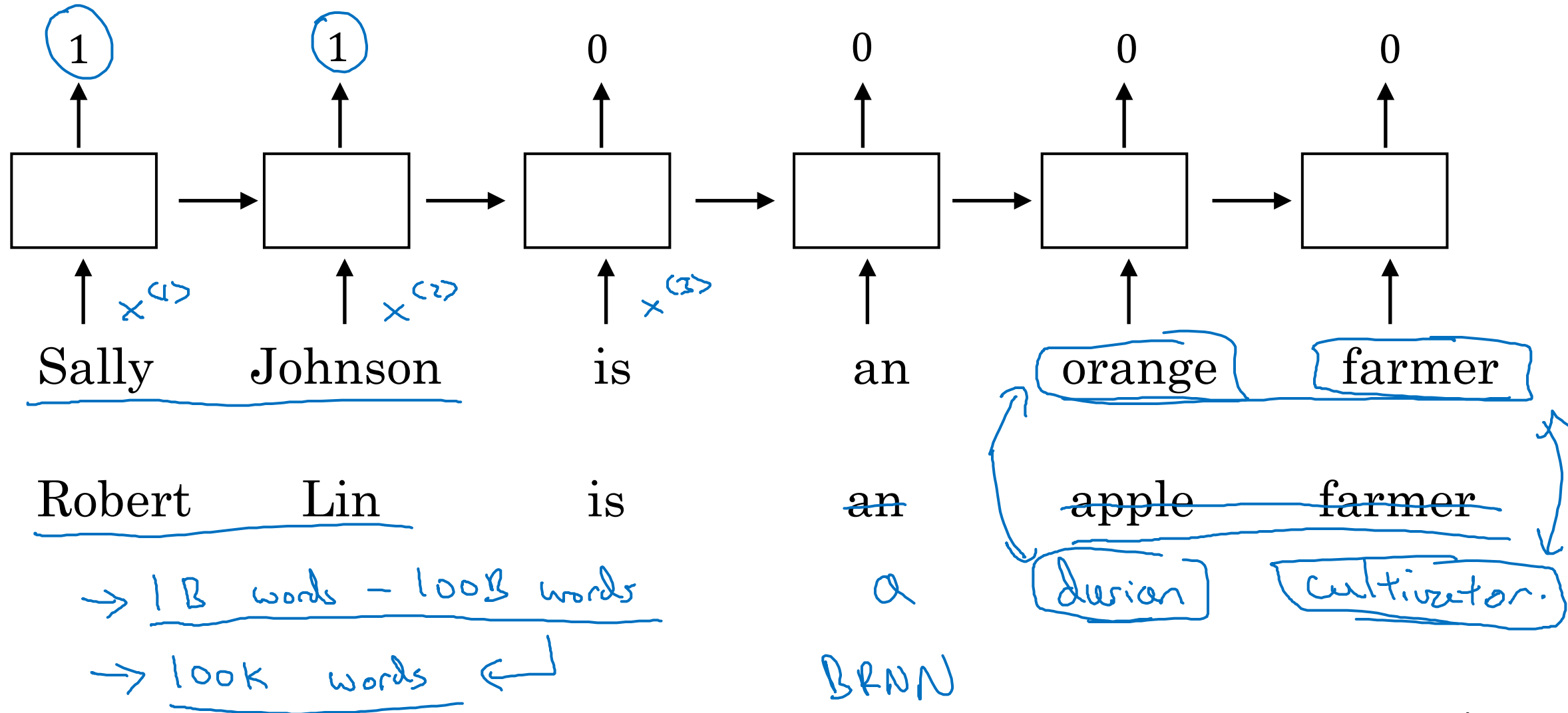
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# NLP and Word Embeddings


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Using **word**  
embeddings

# Named entity recognition example



# Transfer learning and word embeddings

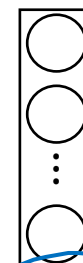
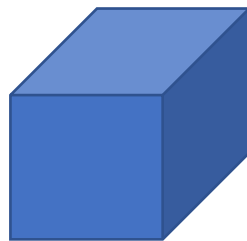
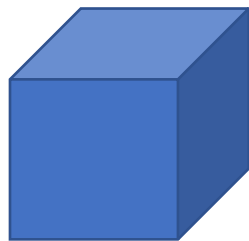
- 
1. Learn word embeddings from large text corpus. (1-100B words)  
(Or download pre-trained embedding online.)
  2. Transfer embedding to new task with smaller training set.  
(say, 100k words) → 10,000 → 300
  3. Optional: Continue to finetune the word embeddings with new data.



# Relation to face encoding (embedding) 128D



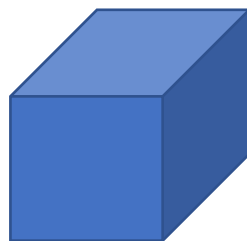
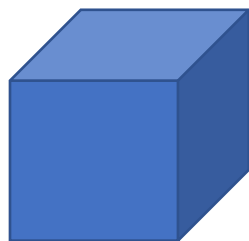
$x^{(i)}$



$f(x^{(i)})$



$x^{(j)}$



$f(x^{(j)})$



$\hat{y}$

$|V| = 10,000$

$e_1, \dots, e_{10,000}$



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# NLP and Word Embeddings

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Properties of **word**  
embeddings

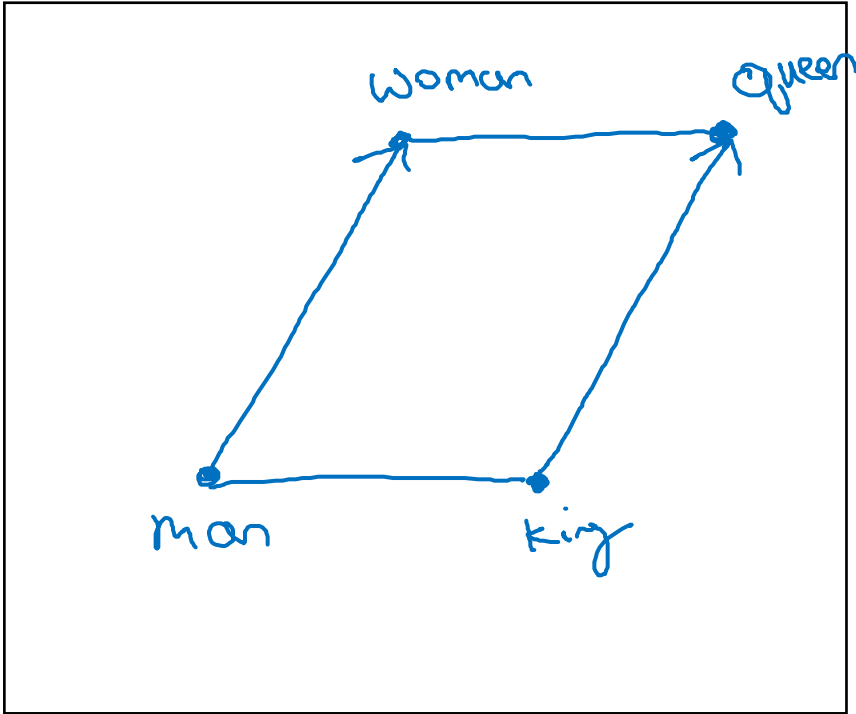
# Analogy

	Man (5391)	Woman (9853)	King (4914)	Queen (7157)	Apple (456)	Orange (6257)
Gender	-1	1	-0.95	0.97	0.00	0.01
Royal	0.01	0.02	0.93	0.95	-0.01	0.00
Age	0.03	0.02	0.70	0.69	0.03	-0.02
Food	0.09	0.01	0.02	0.01	0.95	0.97

$\underbrace{e_{5391}}_{e_{\text{man}}} \rightarrow \underbrace{e_{9853}}_{e_{\text{woman}}} \quad \Leftrightarrow \quad \underbrace{e_{4914}}_{e_{\text{king}}} \rightarrow ? \quad \underbrace{e_{7157}}_{e_{\text{queen}}}$   
 $e_{\text{man}} - e_{\text{woman}} \approx e_{\text{king}} - e_{\text{queen}}$

$\underline{e_{\text{man}}} - \underline{e_{\text{woman}}} \approx \begin{bmatrix} -2 \\ 0 \\ 0 \\ 0 \end{bmatrix}$   
 $\underline{e_{\text{king}}} - \underline{e_{\text{queen}}} \approx \begin{bmatrix} -2 \\ 0 \\ 0 \\ 0 \end{bmatrix}$

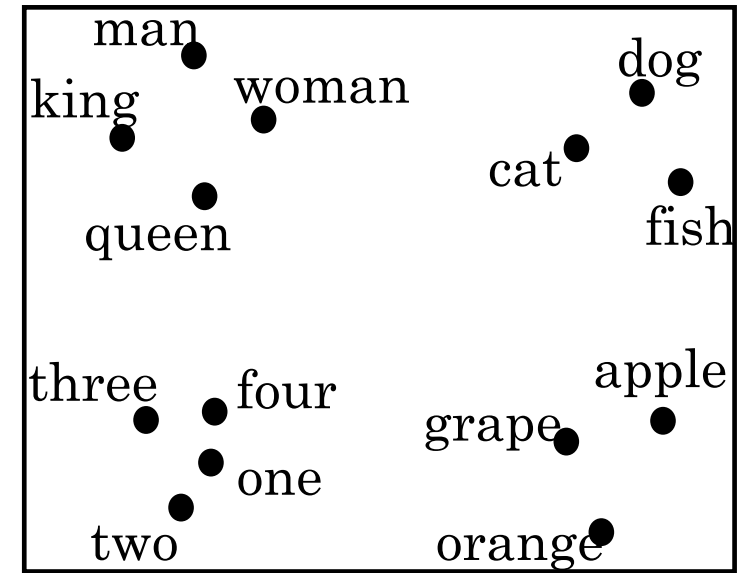
# Analogies using word vectors



300 D

Find word  $w$ :  $\arg \max_w$

3000  $\rightarrow$  20  
↑



t-SNE

$$e_{man} - e_{woman} \approx e_{king} - \cancel{e_w} \quad e_w$$

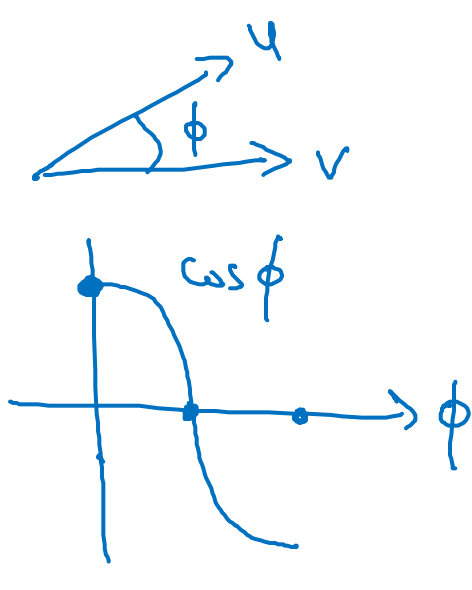
$$\text{Sim}(e_w, e_{king} - e_{man} + e_{woman})$$

30 - 75%

# Cosine similarity

$$\rightarrow \text{sim}(e_w, e_{king} - e_{man} + e_{woman})$$

$$\text{sim}(u, v) = \frac{u^T v}{\|u\|_2 \|v\|_2}$$



$$\|u - v\|^2$$

Man:Woman as Boy:Girl

Ottawa:Canada as Nairobi:Kenya

Big:Bigger as Tall:Taller

Yen:Japan as Ruble:Russia



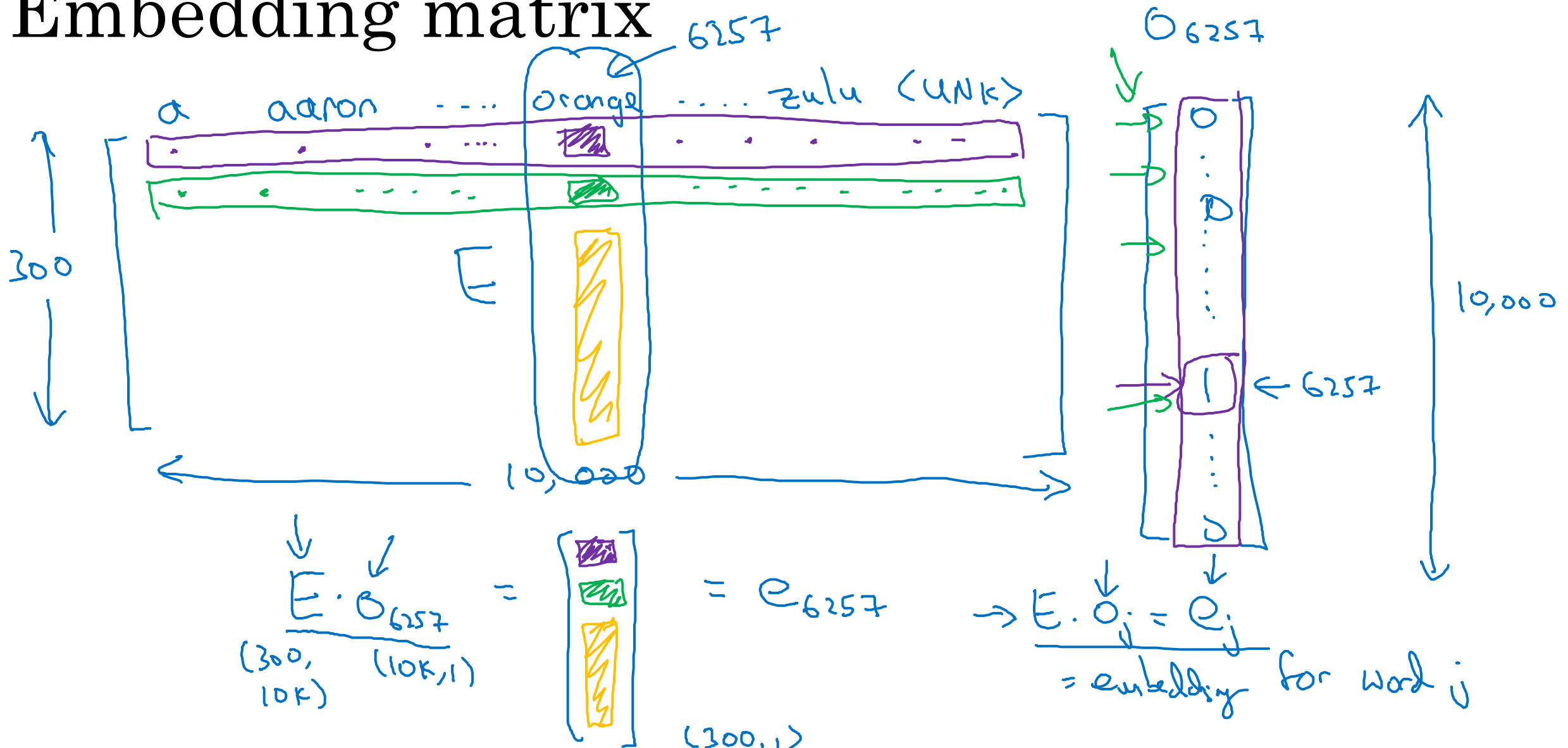
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# NLP and Word Embeddings

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Embedding **matrix**

# Embedding matrix



In practice, use specialized function to look up an embedding.  
 $\rightarrow \text{Embedding}$



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# NLP and Word Embeddings

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Learning **word**  
embeddings





# Other context/target pairs

I want a glass of orange juice to go along with my cereal.

The diagram illustrates the context and target for the word 'juice'. A purple bracket labeled 'context' spans the words 'a glass of orange'. A blue bracket labeled 'target' is positioned under the word 'juice'. A green arrow points from the 'orange' box to the 'juice' target, and another green arrow points from the 'glass' box to the 'juice' target.

Context: Last 4 words.

- 4 words on left & right
- Last 1 word
- Nearby 1 word

a glass of orange ? to go along with

orange ?

glass ?

skip gram



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# NLP and Word Embeddings

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Word2Vec

# Skip-grams

I want a glass of orange juice to go along with my cereal.



Context

orange

orange

orange



Target

juice

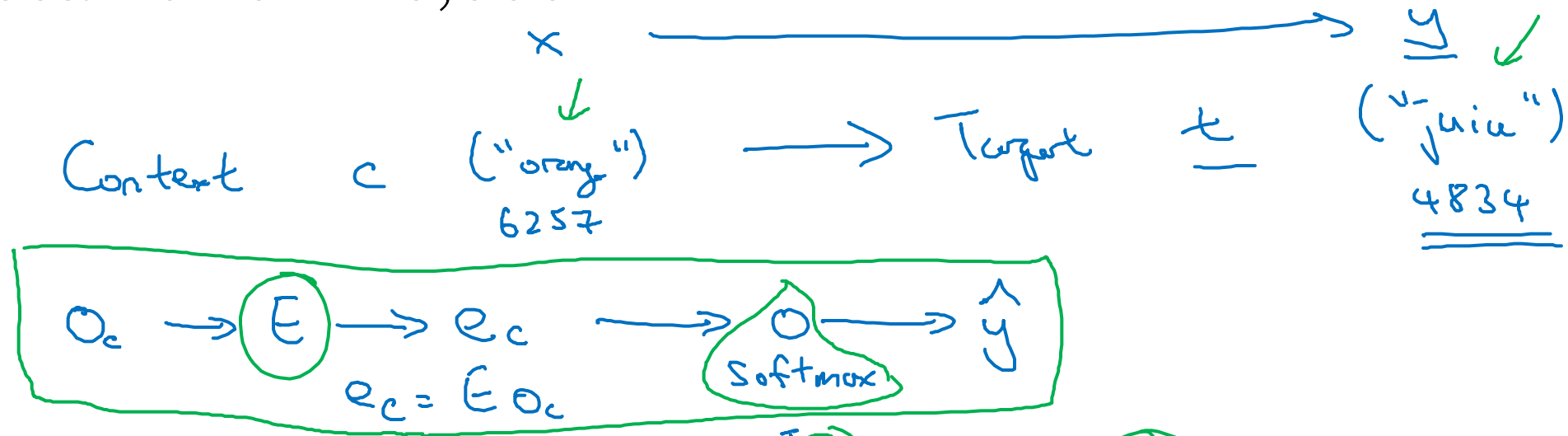
glass

my



# Model

Vocab size = 10,000k



Softmax: 
$$p(t|c) = \frac{e^{\theta_t^T e_c}}{\sum_{j=1}^{10,000} e^{\theta_j^T e_c}}$$

$\theta_t$  = parameter associated with output  $t$

$$\rightarrow \mathcal{L}(\hat{y}, y) = - \sum_{i=1}^{10,000} y_i \log \hat{y}_i$$

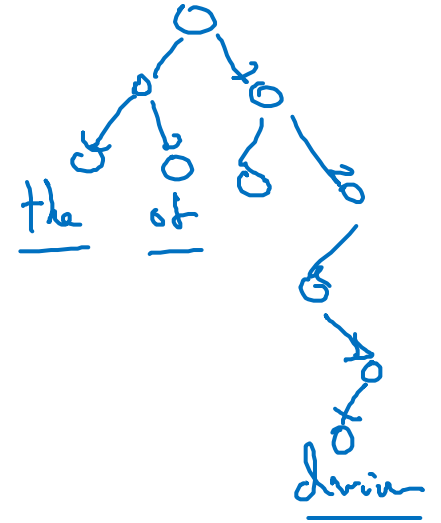
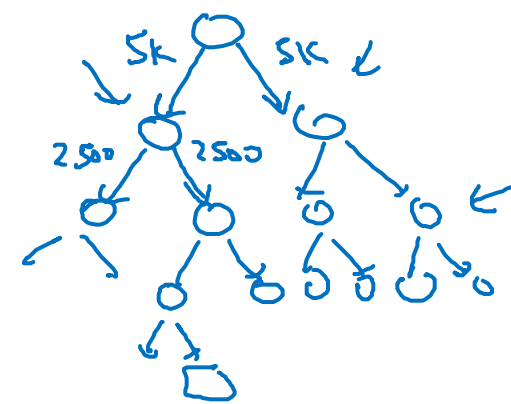
$$y = \begin{bmatrix} 0 \\ \vdots \\ 1 \\ \vdots \\ 0 \end{bmatrix} \leftarrow 4834$$

# Problems with softmax classification

$$\underline{p(t|c)} = \frac{e^{\theta_t^T \underline{e_c}}}{\sum_{j=1}^{10,000} e^{\theta_j^T e_c}}$$

Hierarchical softmax.

$\log |V|$



How to sample the context  $c$ ?

→ the, of, a, and, to, ...

→ orange, apple, durian

$P_{\text{durian}}$

$t$

$c \rightarrow t$

$P(c)$



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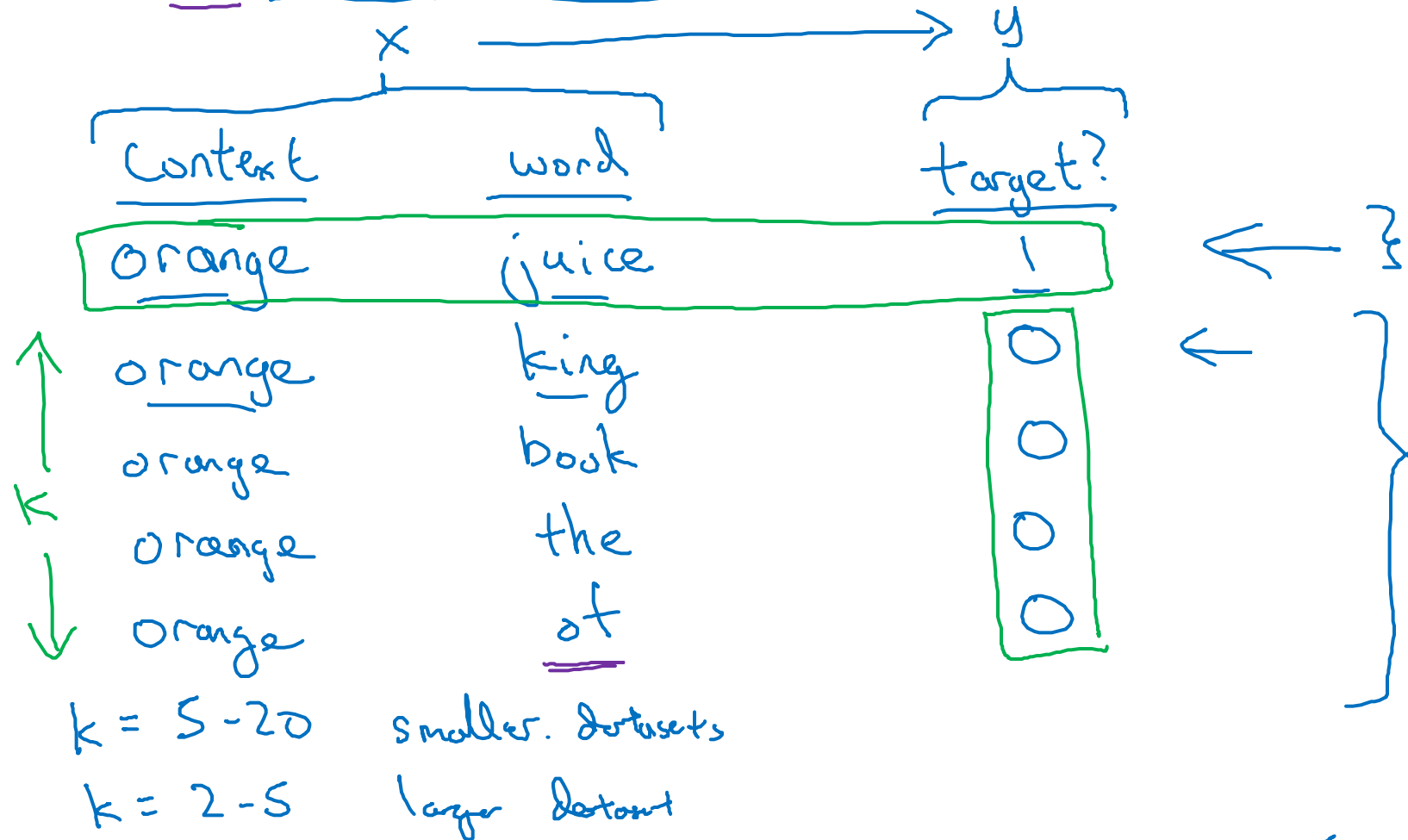
# NLP and Word Embeddings

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Negative sampling

# Defining a new learning problem

I want a glass of orange juice to go along with my cereal.





# Model

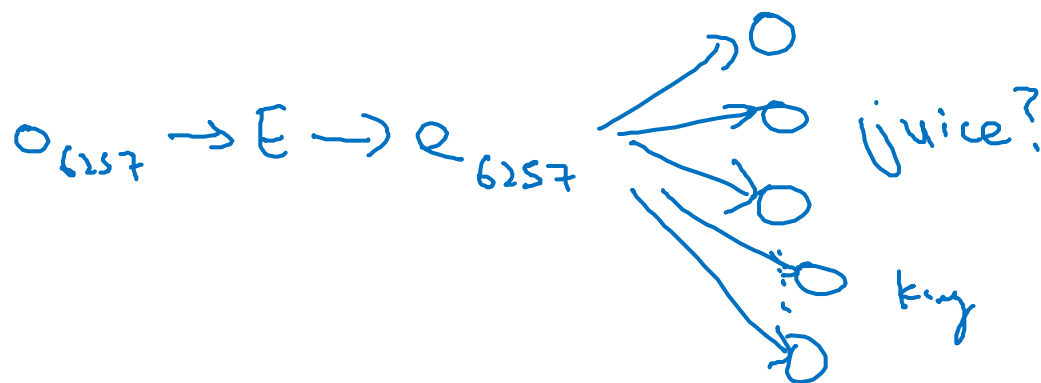
Softmax:

$$p(t|c) = \frac{e^{\theta_t^T e_c}}{\sum_{j=1}^{10,000} e^{\theta_j^T e_c}} \quad \left. \vphantom{\sum_{j=1}^{10,000}} \right\} \begin{array}{l} \text{10,000-way} \\ \text{softmax} \end{array}$$

$$P(y=1 | c, t) = \sigma(\theta_t^T e_c) \leftarrow$$

$x$		$y$
<u>context</u>	<u>word</u>	<u>target?</u>
orange	juice	1
orange	king	0
orange	book	0
orange	the	0
orange	of	0
$\uparrow$ $c$	$\uparrow$ $t$	$\uparrow$ $y$

Orange  
6257



10,000  
10,000 binary  
classification  
problem  
 $k+1$

# Selecting negative examples

<u>context</u>	<u>word</u>	<u>target?</u>
orange	juice	1
orange	king	0
orange	book	0
orange	the	0
orange	of	0

↑  
t

the, of, and, ...

$$P(w_i) = \frac{f(w_i)^{3/4}}{\sum_{j=1}^{10,000} f(w_j)^{3/4}}$$

$$\frac{1}{|V|}$$

↑



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# NLP and Word Embeddings

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GloVe word **vectors**

# GloVe (global vectors for word representation)

I want a glass of orange juice to go along with my cereal.

$c, t$

$X_{ij}$  = # times  $i$  appears in context of  $j$ .

$\begin{matrix} \uparrow & \uparrow \\ c & t \end{matrix}$        $\begin{matrix} \uparrow \\ t \end{matrix}$        $\begin{matrix} \uparrow \\ c \end{matrix}$

$X_{ij} = X_{ji} \leftarrow$

# Model

minimize

$$\sum_{i=1}^{10,000} \sum_{j=1}^{10,000} f(x_{ij}) \left( \underbrace{\Theta_i^T e_j}_{\substack{t \quad c \\ \text{"}\Theta_t^T e_c\text{"}}} + b_i + b_j' - \log x_{ij} \right)^2$$

weighting term

Annotations: Green arrows point to  $\Theta_i^T e_j$ ,  $b_i$ , and  $b_j'$ . A blue arrow points from the weighting term to the log term. A green arrow points to the entire expression.

$$f(x_{ij}) = 0 \text{ if } x_{ij} = 0.$$

$$0 \log 0 = 0$$

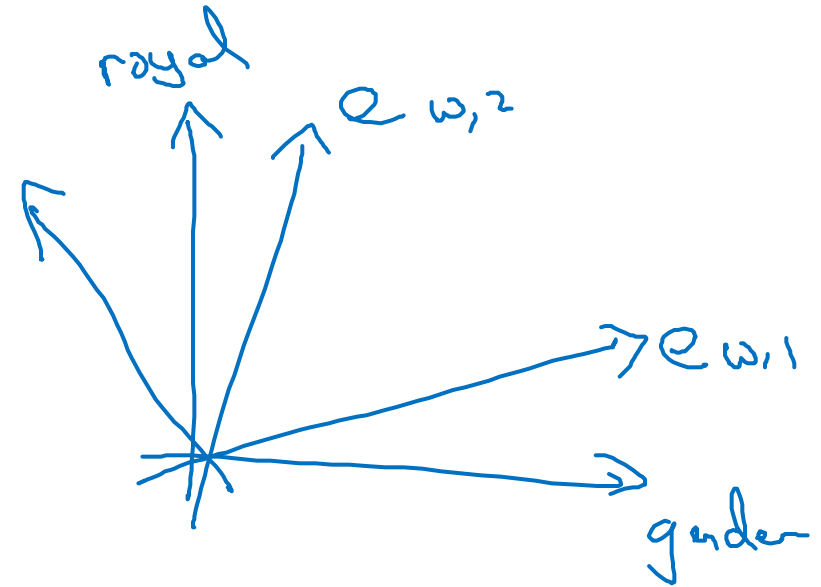
this, is, of, a, ...  
derivation

$\Theta_i, e_j$  are symmetric

$$e_w^{(final)} = \frac{e_w + \Theta_w}{2}$$

# A note on the featurization view of word embeddings

	Man (5391)	Woman (9853)	King (4914)	Queen (7157)	
Gender	-1	1	-0.95	0.97	←
Royal	0.01	0.02	0.93	0.95	←
Age	0.03	0.02	0.70	0.69	←
Food	0.09	0.01	0.02	0.01	←



$$\text{minimize } \sum_{i=1}^{10,000} \sum_{j=1}^{10,000} f(X_{ij}) (\underbrace{\theta_i^T e_j}_{\text{handwritten}} + b_i - b'_j - \log X_{ij})^2$$

$$\leftarrow (A \theta_i)^T (A^{-T} e_j) = \theta_i^T \cancel{A^T A} e_j$$



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# NLP and Word Embeddings

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**Sentiment**  
classification

# Sentiment classification problem



The dessert is excellent.



Service was quite slow.



Good for a quick meal, but nothing special.



Completely lacking in good taste, good service, and good ambience.



10,000  100,000 words

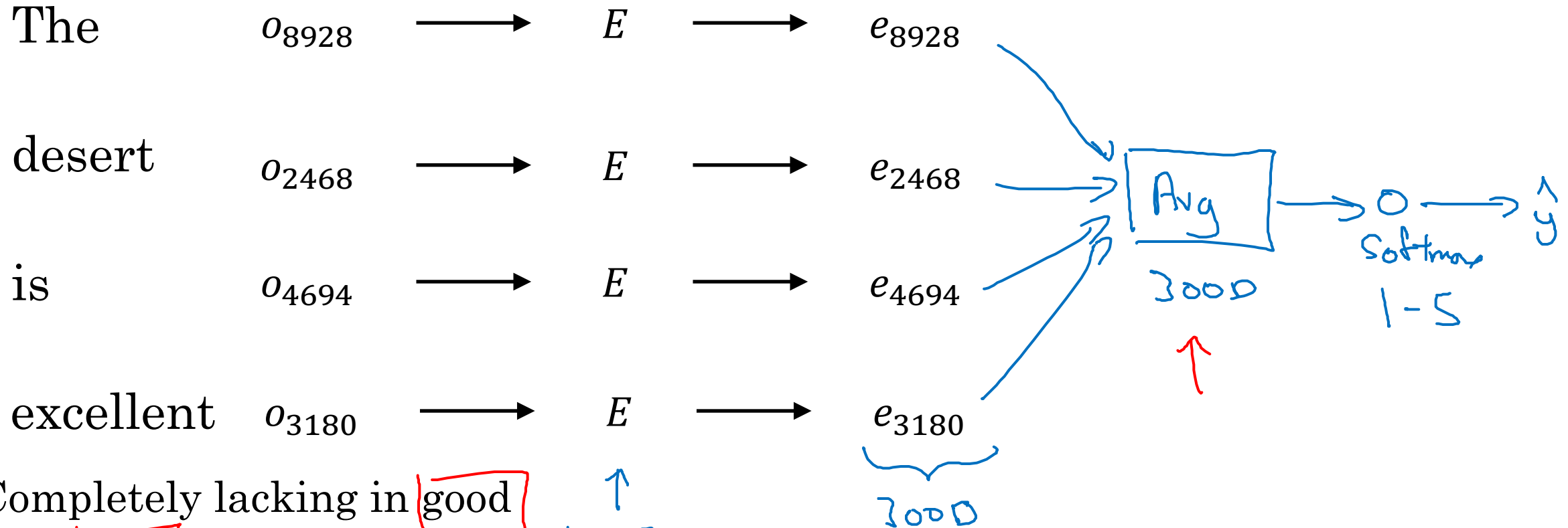


# Simple sentiment classification model

The dessert is excellent



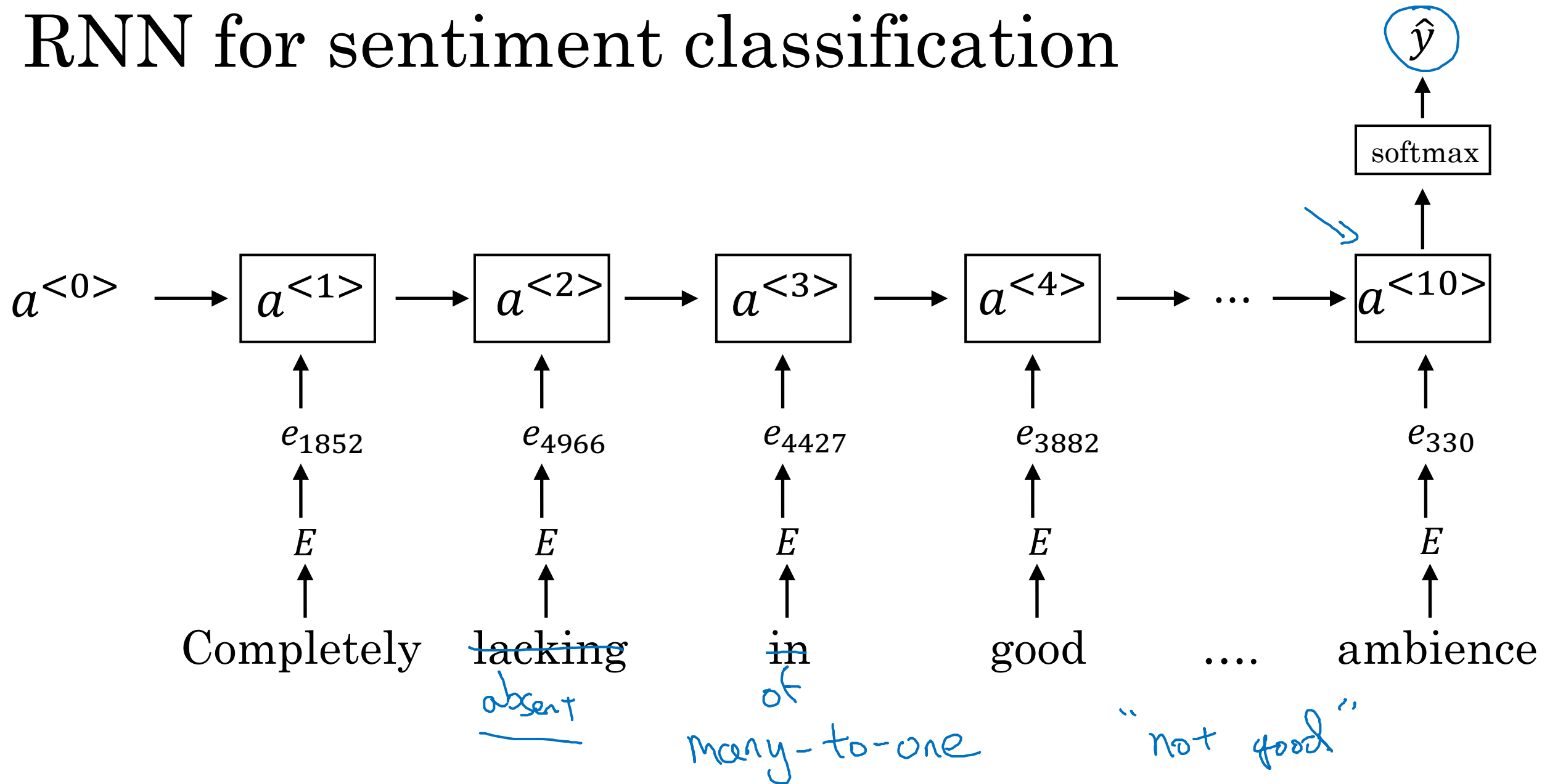
8928 2468 4694 3180



"Completely lacking in good taste, good service, and good ambience."

↑  
1000 words

# RNN for sentiment classification





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# NLP and Word Embeddings

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Debiasing **word**  
embeddings

# The problem of bias in word embeddings

Man:Woman as King:Queen

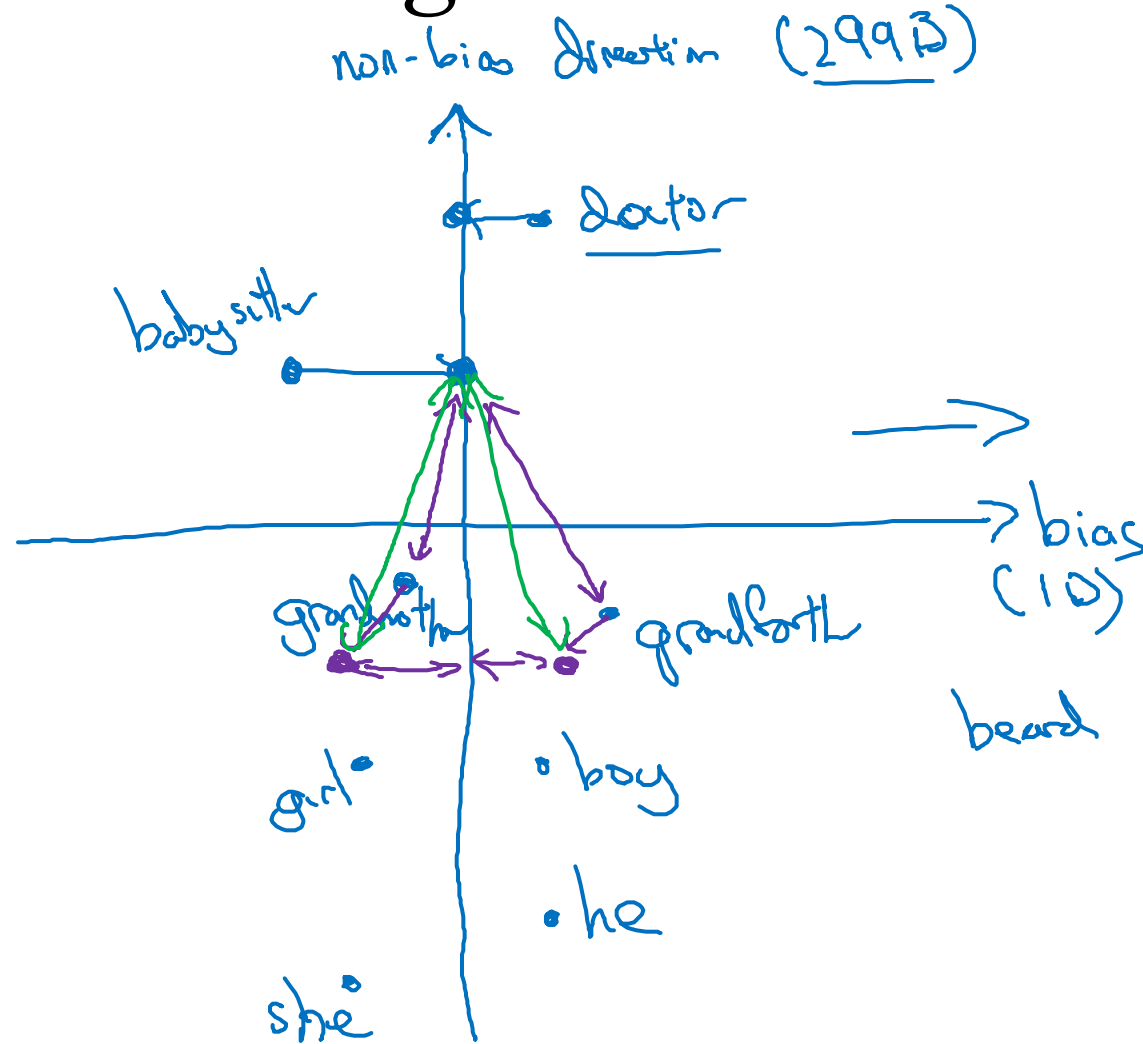
Man:Computer\_Programmer as Woman:Homemaker X

Father:Doctor as Mother:Nurse X

Word embeddings can reflect gender, ethnicity, age, sexual orientation, and other biases of the text used to train the model.



# Addressing bias in word embeddings



1. Identify bias direction.

$$\begin{cases} e_{he} - e_{she} \\ e_{male} - e_{female} \\ \vdots \end{cases} \rightarrow \text{average}$$

2. Neutralize: For every word that is not definitional, project to get rid of bias.

3. Equalize pairs.

$$\rightarrow \left. \begin{matrix} \text{grandmother} & - & \text{grandfather} \\ \text{girl} & & \text{boy} \end{matrix} \right\}$$