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TMA4230 Functional  
Analysis  
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**Exercise set 7: Solutions**

**1** Let  $\mathcal{H}$  be a Hilbert space and  $(x_n)$  a sequence in  $\mathcal{H}$  converging weakly to  $x$ . Show that the following statements are equivalent:

1.  $x_n$  converges strongly to  $x$ .
2.  $\|x_n\|$  converges to  $\|x\|$ .

*Solution.*  $x_n$  converges strongly to  $x$  if and only if  $\|x - x_n\| \rightarrow 0$  as  $n \rightarrow \infty$ . Of course, this is equivalent to  $\|x - x_n\|^2 = \langle x - x_n, x - x_n \rangle \rightarrow 0$  as  $n \rightarrow \infty$ . Let us expand this expression:

$$\langle x - x_n, x - x_n \rangle = \|x\|^2 - \langle x, x_n \rangle - \langle x_n, x \rangle + \|x_n\|^2. \quad (1)$$

Since  $x_n \rightarrow x$  weakly, we have that  $\langle x, x_n \rangle \rightarrow \|x\|^2$  and  $\langle x_n, x \rangle \rightarrow \|x\|^2$ .<sup>1</sup> It should now be clear that the expression in equation (1) converges to 0 if and only if  $\|x_n\|$  converges to  $\|x\|$ . Hence  $x_n \rightarrow x$  if and only if  $\|x_n\| \rightarrow \|x\|$ .

**2** Let  $A$  be a subset of a Banach space  $X$ .

- a) Show that if  $A$  is relatively sequentially compact, then  $A$  is bounded.

*Solution.* Assume that  $A$  is relatively sequentially compact. If  $A$  were unbounded, we could find a sequence  $(x_n)$  in  $A$  with  $\|x_n\| > n$  for every  $n \in \mathbb{N}$ . Since  $A$  is relatively sequentially compact,  $(x_n)$  has a subsequence converging to some  $x \in \overline{A}$ ,<sup>2</sup> and this convergent subsequence must in particular be bounded. But this is clearly impossible, since we constructed  $(x_n)$  such that any subsequence of  $(x_n)$  is unbounded.

**3** Show the following statement about sets  $A$  in a Banach space  $X$ .

- a) A bounded set  $A$  is relatively weakly compact if and only if the weak-\* closure of  $A$  in  $X^{**}$  is in  $X$ .

*Solution.* We will use three facts, all of which may be found in the book by Bowers and Kalton.

<sup>1</sup>Here we use Riesz' representation theorem to identify the dual space of  $\mathcal{H}$  with  $\mathcal{H}$ .

<sup>2</sup> $\overline{A}$  denotes the closure of  $A$  in the norm topology.

1. The Banach-Alaoglu theorem says that the closed unit ball in  $X$  is weak\*-compact. In fact, one can easily show that this implies that any closed ball centered at 0 is weak\* compact in  $X$ .
2. The weak topology of  $A$  agrees with the weak\* topology of  $\iota(A)$ , where  $\iota : X \rightarrow X^{**}$  is the natural embedding of  $X$  into its double dual.
3. The embedding  $\iota : X \rightarrow X^{**}$  is continuous from the weak topology to the weak\* topology.

Assume first that the weak\* closure of  $A$  in  $X^{**}$  is in  $X$ . By Banach-Alaoglu, the weak\*-closure of  $A$  is weak\* compact<sup>3</sup>. However, by fact (2), this is the same as saying that the weak closure is weakly compact.

If  $A$  is weakly relatively compact, let  $\tilde{A}$  denote its weak closure. We wish to show that the weak\*-closure of  $\iota(A)$  is a subset of  $\iota(X)$ , where  $\iota : X \rightarrow X^{**}$  is the natural embedding (This is just a rephrasing of the statement we wish to prove). Since  $\tilde{A}$  is assumed to be weakly compact,  $\iota(\tilde{A})$  must be weak\*-compact by fact (3). But the weak\*-closure of  $\iota(A)$  must then be a subset of  $\iota(\tilde{A})$ , since  $\iota(\tilde{A})$  is a weak\* closed subset containing  $\iota(A)$ . In particular  $\iota(A)$  is a subset of  $\iota(X)$ , which is what we wanted to prove.

- 4** Give an example of a set  $Y$  in a normed space  $X$  that is closed but not sequentially weakly closed.

*Solution.* We will find some inspiration in example 5.28 in the book. This example gives a sequence converging weakly, but not strongly.

Let  $(e_n)$  be the standard basis for  $\ell^2$ , given by  $e_1 = (1, 0, 0, 0, \dots)$ ,  $e_2 = (0, 1, 0, 0, \dots)$  etc. Then  $\{e_n : n \in \mathbb{N}\}$  is closed in the norm topology (Why?). However, as example 5.28 in the book shows,  $e_n \rightarrow 0$  weakly. To see this, let  $x^* = (x_n) \in (\ell^2)^* = \ell^2$ . Then clearly  $x^*(e_n) = x_n \rightarrow 0$ , hence  $e_n \rightarrow 0$  weakly. It follows that  $\{e_n : n \in \mathbb{N}\}$  is not sequentially weakly closed.

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<sup>3</sup>Why? Since  $A$  is bounded, it is contained in a closed ball  $B_r = \{x \in X : \|x\| \leq r\}$ , and  $B_r$  is weak\* compact by Banach-Alaoglu. The weak\* closure of  $A$  is closed subset of  $B_r$ , and therefore itself weak\*-compact.