

Combinatorics I

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Abstract

The lecture note of Combinatorics I by Shagnik Das, where the NTU cool site is <https://cool.ntu.edu.tw/courses/55532/>.

Contents

1	Chatting	2
1.1	Prime Numbers	2
2	Elementary Counting Principles	4
2.1	Sum Rule	4
2.2	Product Rule	6
2.3	Double-Counting argument	7
2.4	Permutations	7
2.5	Binomial Theorem	10
2.6	Divisor Function	13
3	Partitions	15

Chapter 1

Chatting

Lecture 1

1.1 Prime Numbers

2 Sep. 15:30

Theorem 1.1.1 (Euclid \approx 300 BCE). There are infinitely many primes.

proof. (Saidak, 2006).

- Every natural number has at least one prime factor.
- No prime divides n and $n + 1$, for any $n \in \mathbb{N}$.

Consider a sequence of pronic number

$$p_1 = 2, p_{n+1} = p_n(p_n + 1).$$

Then the number of prime factors of p_n is strictly increasing in n : p_{n+1} has all the factors of p_n together with the (distinct) ones of $p_n + 1$.

Example. $p_1 = 2, p_2 = 6, p_3 = 42, p_4 = 1806$, where the prime factors of them are $\{2\}, \{2, 3\}, \{2, 3, 7\}, \{2, 3, 7, 43\}$.

■

1.1.1 How many prime numbers are there?

Definition 1.1.1. We define

$$\pi(n) = |\{p : 1 \leq p \leq n : p \text{ is prime}\}|.$$

Note. By Saidak's proof, we know $\pi(p_n) \geq n$. In fact, $\pi(p_n) \geq \log_2 n$.

Theorem 1.1.2 (Legendre, \approx 1800 LE).

$$\pi(n) \approx \frac{n}{\ln n} \Leftrightarrow \lim_{n \rightarrow \infty} \frac{\pi(n) \ln n}{n} = 1$$

Note. Proven by Hadamard and independently de la Vallée Poussin(1896).

Theorem 1.1.3 (Better Approximation).

Dirichlet: $\pi(x) \approx Li(x) = \int_2^x \frac{1}{\ln t} dt$.

Known: $\pi(n) = Li(n) + O\left(ne^{-a\sqrt{\ln n}}\right)$

Believed: $\pi(n) = Li(n) + O(\sqrt{n} \ln n)$

Chapter 2

Elementary Counting Principles

Fundamental problem: Given a set S , and we want to determine $|S|$.

2.1 Sum Rule

Theorem 2.1.1 (Sum Rule). If $S = \cup_{i=1}^k S_i$, then $|S| = \sum_{i=1}^k |S_i|$.

Note. \cup means disjoint union.

Example. A drawer contains 8 pairs of yellow socks, 5 pairs of blue socks, and 3 pairs of red socks. How many socks are there in total.

Informal proof. $2 \times (8 + 5 + 3) = 32$. ■

Proof. Let S be the set of socks in the drawer, then $S = \cup_{p \in P} S_p$, where P is the set of pairs of socks, and S_p is the set of two socks in the pair where $p \in P$. By the sum rule,

$$|S| = \sum_{p \in P} |S_p| = \sum_{p \in P} 2 = 2|P| = 32.$$

$P = P_{\text{yellow}} \cup P_{\text{blue}} \cup P_{\text{red}}$. By the sum rule,

$$|P| = |P_{\text{yellow}}| + |P_{\text{blue}}| + |P_{\text{red}}| = 8 + 5 + 3 = 16.$$
 ■

Note. Sum rule is the basis for case analysis arguments. It needs two requirements:

- Cover each case.
- Cover each case exactly once.

Example. Counting subset of a general set.

Notation. If X is a set, and $k \in \mathbb{N} \cup \{0\}$, then

$$\binom{X}{k} = \{T : T \subseteq X, |T| = k\}.$$

We define the binomial coefficient as

$$\binom{|X|}{k} = \left| \binom{X}{k} \right|.$$

i.e. Given $n \geq k \geq 0$, $\binom{n}{k}$ is the number of k -element subsets of a set of size n . ■

Proposition 2.1.1 (Pascal's relation). If $n \geq k \geq 1$, then

$$\binom{n}{k} = \binom{n-1}{k} + \binom{n-1}{k-1}.$$

Proof. Proof. Let X be an n -element set (e.g. $X = [n] = \{1, 2, \dots, n\}$), and let $S = \binom{X}{k} = \{T \subseteq X : |T| = k\}$. Then, by definition, $\binom{n}{k} = |S|$. For each k -element subset, we can ask: "Do you contain n ?" Let

$$S_0 = \{T : T \subseteq X, n \notin T, |T| = k\},$$

and

$$S_1 = \{T : T \subseteq X, n \in T, |T| = k\}.$$

Then, $S = S_0 \cup S_1$. By the sum rule, $|S| = |S_0| + |S_1|$. Observe that

$$\begin{aligned} S_0 &= \{T \subseteq [n], n \notin T, |T| = k\} \\ &= \{T \subseteq [n-1], |T| = k\}, \end{aligned}$$

so by definition,

$$|S_0| = \left| \binom{[n-1]}{k} \right| = \binom{n-1}{k}.$$

$$S_1 = \{T \subseteq [n], n \in T, |T| = k\}.$$

Let

$$S'_1 = \{T' \subseteq [n-1], |T'| = k-1\},$$

then we know a bijection from S_1 to S'_1 :

$$T \in S_1 \longleftrightarrow T \setminus \{n\} \in S'_1.$$

Theorem 2.1.2 (bijection rule). Given two sets S and S' , if there is a bijection $f : S \rightarrow S'$, then $|S| = |S'|$.

By this rule, we know

$$|S_1| = |S'_1| = \left| \binom{[n-1]}{k-1} \right| = \binom{n-1}{k-1}.$$

Hence,

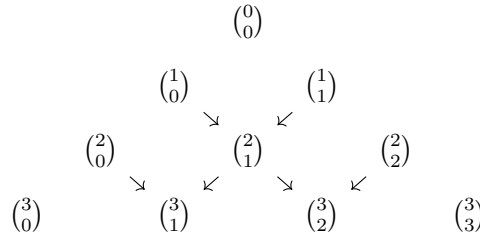
$$\binom{n}{k} = |S| = |S_0| + |S_1| = \binom{n-1}{k} + \binom{n-1}{k-1}.$$

■

2.1.1 Pascal's Triangle

We can use Pascal's relation to compute $\binom{n}{k}$.

Note. Boundary case: $\binom{n}{0} = 1$, $\binom{n}{n} = 1$. Also, $\binom{n}{k} = 0$ for $k = -1, n+1$.



2.2 Product Rule

Theorem 2.2.1. If $S = S_1 \times S_2 \times \cdots \times S_k = \{(x_1, x_2, \dots, x_k), x_i \in S_i\}$, then $|S| = \prod_{i=1}^k |S_i|$.

Proof. Induction on k :

Base case: $k = 1$, trivial.

Induction step: separate into cases based on choice of $x_{k+1} \in S_{k+1}$. Let

$$S(x) = \{(x_1, \dots, x_{k+1}) \in S, x_{k+1} = x \in S_{k+1}\},$$

then

$$S = \bigcup_{x \in S_{k+1}} S(x) \rightarrow |S| = \sum_{x \in S_{k+1}} |S(x)|.$$

But $S(x) = S_1 \times S_2 \times \cdots \times \{x\}$, which is in bijection with $S_1 \times S_2 \times \cdots \times S_k$. By induction rule,

$$|S(x)| = |S_1 \times S_2 \times \cdots \times S_k| \quad \forall x$$

Hence,

$$\begin{aligned} |S| &= \sum_{x \in S_{k+1}} |S(x)| = \sum_{x \in S_{k+1}} |S_1 \times S_2 \times \cdots \times S_k| \\ &= |S_1 \times S_2 \times \cdots \times S_k| \times |S_{k+1}| = |S_1| \times |S_2| \times \cdots \times |S_{k+1}|. \end{aligned}$$

■

Example. Consider binary strings of length n .

Proof.

$$S = \{0, 1\}^n \Rightarrow |S| = |\{0, 1\}^n| = |\{0, 1\}|^n = 2^n.$$

■

Definition 2.2.1 (Power Set). Given a finite set X , let 2^X denote the set of all subsets of X (also denoted $\mathcal{P}(X)$), which is called the power set.

Corollary 2.2.1. $|2^X| = 2^{|X|}$.

Proof. Without loss of generality, $X = [n]$. We build a bijection between $2^{[n]}$ and the set of binary strings of length n . Suppose for every $T \in 2^{[n]}$, we have $\chi_T = (x_1, x_2, \dots, x_n)$, where

$$x_i = \begin{cases} 1, & \text{if } i \in T; \\ 0, & \text{if } i \notin T. \end{cases}$$

Then,

$$|2^{[n]}| = |\{0, 1\}^n| = 2^n.$$

■

2.3 Double-Counting argument

If we count a set in two different ways, the answer should be equal.

Example. Count $2^{[n]}$.

Proof.

1. Product rule $\rightarrow 2^n$.
2. Use the sum rule, split the subsets by size.

$$2^{[n]} = \binom{[n]}{0} \cup \binom{[n]}{1} \cup \dots \cup \binom{[n]}{n}$$

Hence, we have the following proposition:

Proposition 2.3.1. For all $n \geq 0$,

$$2^n = \sum_{k=0}^n \binom{n}{k}.$$

⊛

2.4 Permutations

Lecture 2

As previously seen. Instead of choosing the subsets all at once, we could pick one element at a time, then we can try to use product rule.

5 Sep. 13:10

Example. Consider

$$\binom{[10]}{3}.$$

Proof. At the choice of the first element, we have 10 choices, the second one has 9 choices, while the third one has 8 choices, but we didn't consider the order of each picked elements. ⊛

Definition 2.4.1. Given a set X and $k \in \mathbb{N} \cup \{0\}$, a k -permutation of X is

- an ordered choice of k distinct elements from X .
- a k -tuple (x_1, x_2, \dots, x_k) with $x_i \in X$ and $x_i \neq x_j$ for each $i \neq j$.
- an injection $f : [k] \rightarrow X$.

where these 3 statements are equivalent.

Notation. $X^k = \{k\text{-permutation of } X\} \subseteq X^k$ where $X^k = X \times X \times \dots \times X$ allows repetition of the elements but X^k don't allow repetition.

Note. If $|X| = n$, then

$$n^k = |X^k|.$$

Definition 2.4.2.

- a n -permutation is a n -permutation of $[n]$.
- a X -permutation is a $|X|$ -permutation of X .

Theorem 2.4.1 (Generalized Product Rule). Suppose we are enumerating S , and can uniquely determine an element $s \in S$ through a series of k questions, if i -th problem always has n_i possible outcomes, independently to the permutation, then

$$|S| = n_1 \times n_2 \times \cdots \times n_k = \prod_{i=1}^k n_i$$

Proof. Can make a bijection from S to

$$[n_1] \times [n_2] \times \cdots \times [n_k].$$

Map each element in S to the index of its answer in the series of answer.

Our moral is when counting we don't care about what the options are but only how many options. ■

Proposition 2.4.1.

$$\begin{aligned} n^{\underline{k}} &= n(n-1) \cdots (n-(k-1)) \\ &= \prod_{i=0}^{k-1} (n-i) = \frac{n!}{(n-k)!}. \end{aligned}$$

Proof. Use the generalized product rule.

Question i : What is the i -th element in the k -permutation of $[n]$?

We can choose anything except what we're already chosen, so there are $i-1$ forbidden choices and thus there are $n-(i-1)$ possible choices. ■

Proposition 2.4.2. For all $0 \leq k \leq n$,

$$\binom{n}{k} = \frac{n^{\underline{k}}}{k^{\underline{k}}} = \frac{\left(\frac{n!}{(n-k)!}\right)}{k!} = \frac{n!}{k!(n-k)!}.$$

Proof. Double-count $[n]^{\underline{k}}$ i.e. k -permutation of $[n]$.

- Direct counting $|[n]^{\underline{k}}| = n^{\underline{k}}$.
- First choose the k elements to appear in the k -permutation, $\binom{n}{k}$ options, then choose the order in which they appear, $k^{\underline{k}}$ options.

Then, by the generalized product rule, the number of k -permutation of $[n]$ is $\binom{n}{k} \cdot k^{\underline{k}}$.

Hence,

$$n^{\underline{k}} = |[n]^{\underline{k}}| = \binom{n}{k} \cdot k^{\underline{k}}.$$

■

Corollary 2.4.1. We can then use this result to reprove Pascal's Property again.

Proof. ■

Exercise. 6 players at the tennis club want to have three matches involving all the players? How many ways can we arrange the games.

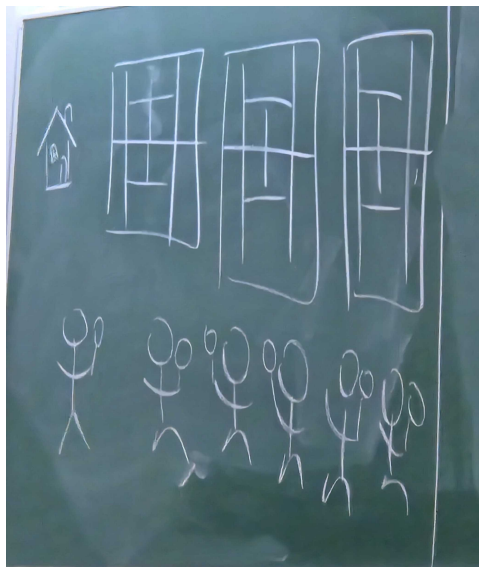


Figure 2.1: Tennis Games

Proof. We only care about who plays against whom, not about which court or who versus first, e.t.c.

The arrangement of games is a set of three disjoint pairs of players.

$$\{\{1, 2\}, \{3, 4\}, \{5, 6\}\} \neq \{\{1, 3\}, \{2, 4\}, \{5, 6\}\}.$$

Double-count the arrangements of games where counts do matter.

- Choose a pair of players for Court A: $\binom{6}{2}$
- Choose a pair of players for Court B: $\binom{4}{2}$
- Choose a pair of players for Court C: $\binom{2}{2}$

Generalized product rule tells

$$\text{number of choices} = \binom{6}{2} \binom{4}{2} \binom{2}{2} = 90.$$

Second count: First gets a set of 3 pairs, say there are x possibilities, and assign the three pairs to 3 courts, so there are $3!$, so $x \cdot 3! = 90$, and thus $x = \frac{90}{3!} = 15$. ■

Lecture 3

Actually we have an alternative prove:

proof by direct computation.

- Q1: Who's the opponent for the 1-st player? There are 5 choices.
- Q2: Who plays the next lowest numbered player? There are 3 choices.

The left 2 players are the opponents to each other. Hence, there are $3 \times 5 = 15$ possible pairings. ■

9 Sep. 15:30

More generally, if we have $n = 2k$ players to pair up, then the first proof gives there are

$$\frac{\binom{n}{2} \binom{n-2}{2} \cdots \binom{2}{2}}{\left(\frac{n}{2}\right)!}$$

possible pairings, while the second proof gives that there are

$$(n-1) \cdot (n-3) \cdot (n-5) \cdots := (n-1)!! \neq ((n-1)!)!$$

By this, we know these two numbers must be equal, or more rigorously, we can write

$$\frac{\binom{n}{2} \binom{n-2}{2} \cdots \binom{2}{2}}{\left(\frac{n}{2}\right)!} = 2^n \cdot \frac{\frac{n(n-1)}{2} \frac{(n-2)(n-3)}{2} \cdots}{n(n-2)(n-4) \cdots 2} = (n-1) \cdot (n-3) \cdots$$

Example. How many shortest routes on the grid are there from $(0,0)$ to (n,m) ?

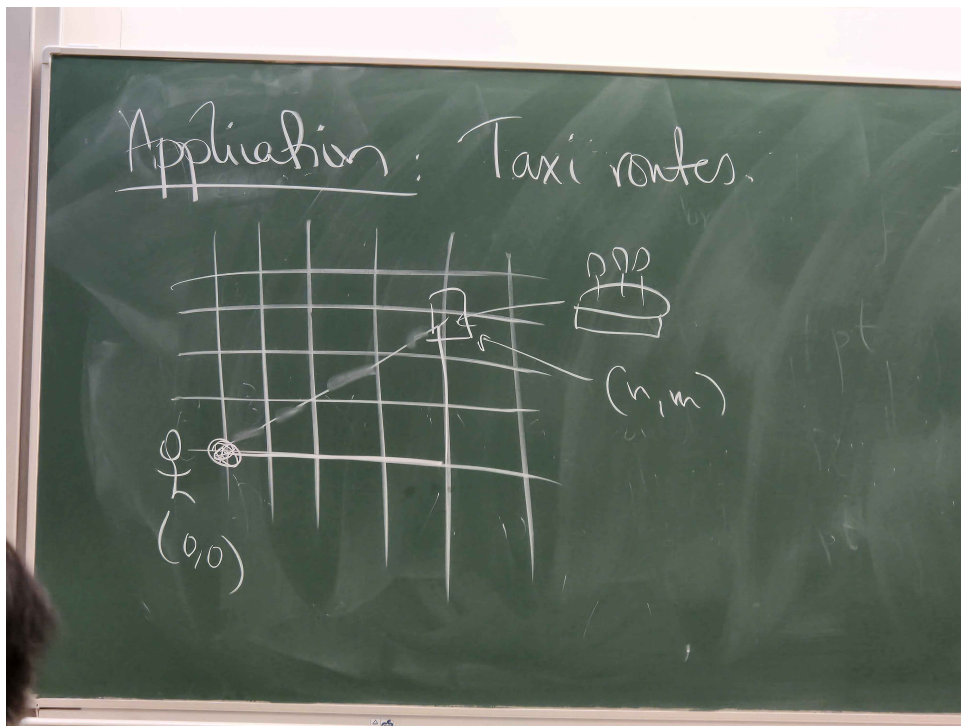


Figure 2.2: Taxi routes

Proof. Shortest route is of length $n+m$, m up-steps and n right-steps. We can think of a shortest route to be a binary string of length $n+m$ with n 1s and m 0s, so we want to count how many such binary strings are there. Choose n of them to be 1s, while the other are 0s. Hence, there are $\binom{n+m}{n}$ possibilities. \circledast

2.5 Binomial Theorem

Theorem 2.5.1 (Binomial Theorem). For any $n \in \mathbb{N} \cup \{0\}$, and $x, y \in \mathbb{R}$, we have

$$(x+y)^n = \sum_{k=0}^n \binom{n}{k} x^k y^{n-k}$$

Example. $(x + y)^0 = 1 = \sum_{k=0}^0 x^k y^{0-k}$.

Example. $(x + y)^1 = x + y$, while

$$\sum_{k=0}^1 \binom{1}{k} x^k y^{1-k} = \binom{1}{0} x^0 y^1 + \binom{1}{1} x^1 y^0 = y + x.$$

proof of binomial theorem.

$$(x + y)^n = \underbrace{(x + y)(x + y)(x + y) \dots (x + y)}_{n \text{ factors}}$$

From each factor, we pick a term x or y , multiply chosen factors together. If we choose k x 's, then we must choose $n - k$ y 's, so the monomial is $x^k y^{n-k}$, where the coefficient of $x^k y^{n-k}$ is the number of ways of choosing k x 's. Also, the possible monomials are $x^k y^{n-k}$ for $k = 0, 1, 2, \dots, n$. Hence, we have

$$(x + y)^n = \sum_{k=0}^n \binom{n}{k} x^k y^{n-k}.$$

■

We can use this formula to derive identities for the binomial coefficients, by plugging in values for x and y .

Example. $x = 1, y = 1$.

Proof.

$$2^n = (x + y)^n = \sum_{k=0}^n \binom{n}{k} x^k y^{n-k} = \sum_{k=0}^n \binom{n}{k}.$$

⊛

Example. $y = -1, x = 1$.

Proof.

$$(x + y)^n = (-1 + 1)^n = 0^n = \begin{cases} 1, & \text{if } n = 0; \\ 0, & \text{if } n \geq 1. \end{cases}$$

$$(x + y)^n = \sum_{k=0}^n \binom{n}{k} x^k y^{n-k} = \sum_{k=0}^n \binom{n}{k} (-1)^k = \sum_{2 \nmid k} \binom{n}{k} - \sum_{2 \mid k} \binom{n}{k}$$

⊛

Corollary 2.5.1.

$$\sum_{2 \nmid k} \binom{n}{k} = \sum_{2 \mid k} \binom{n}{k}$$

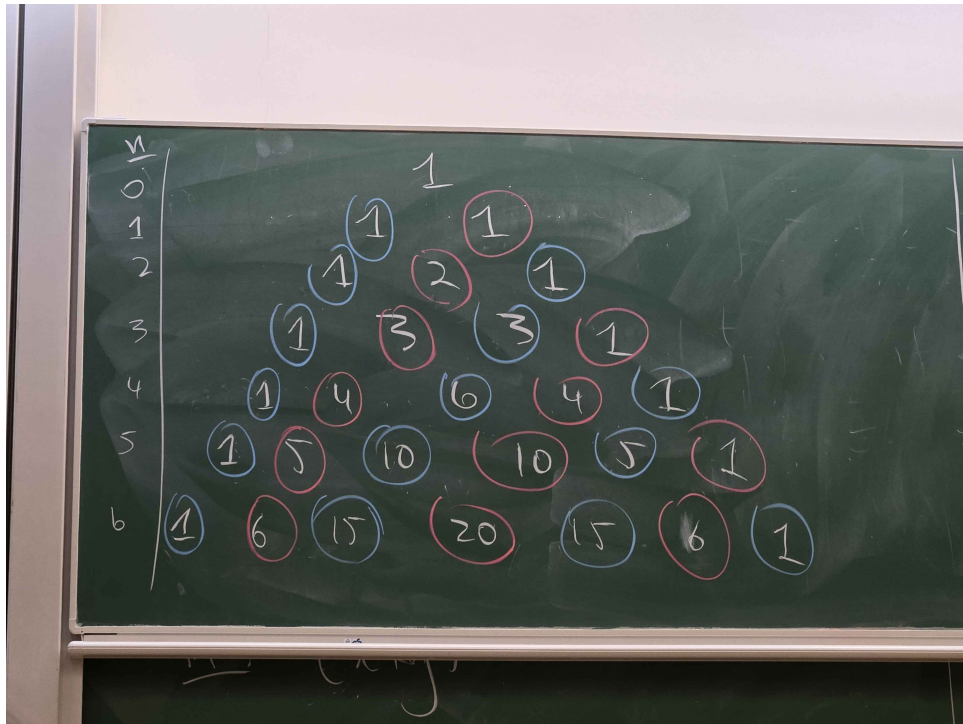


Figure 2.3: The sum of even terms is equal to the sum of odd terms.

Theorem 2.5.2. $\forall n \geq k$, we have

$$\binom{n}{k} = \binom{n}{n-k}.$$

Proof.

$$\binom{n}{k} = \frac{n!}{k!(n-k)!} = \frac{n!}{(n-k)!k!} = \frac{n!}{(n-(n-k))!} = \binom{n}{n-k}.$$

Remark. Choosing a subset of k elements from n is equivalent to choose $n - k$ elements to discard, and we can build a bijection between these two methods.

For n even.

Consider the bijection

$$S \mapsto S \triangle \{n\} = \begin{cases} S - \{n\}, & \text{if } n \in S; \\ S \cup \{n\}, & \text{if } n \notin S. \end{cases}$$

Hence,

$$|S \triangle \{n\}| \subseteq \{|S| - 1, |S| + 1\},$$

so if $|S|$ is odd, then $S \triangle \{n\}$ is even, and vice versa. We know this is a bijection (self-inverse), so we have odd-sized sets to even-sized set. Hence, $\sum_{2 \nmid k} \binom{n}{k} = \sum_{2 \mid k} \binom{n}{k}$.

Example. $x = 2, y = 1$.

Proof.

$$(2+1)^n = 3^n = \sum_{k=0}^n \binom{n}{k} 2^k$$

Counting partitions $[n] = A \cup B \cup C$, each element has a choice of 3 sets to go into. Hence, the product rule says there are 3^n partitions, while RHS uses sum rule bases on $k = |A \cup B|$. \circledast

2.6 Divisor Function

Definition 2.6.1 (Divisor Functions). Given a natural number $n \in \mathbb{N}$, let $d(n)$ count the number of divisors of n .

Example.

$$\begin{aligned} d(1) &= 1 = |\{1\}| \\ d(2) &= 2 = |\{1, 2\}| \\ d(3) &= 2 = |\{1, 3\}| \\ d(4) &= 3 = |\{1, 2, 4\}| \\ d(5) &= 2 = |\{1, 5\}|. \end{aligned}$$

Corollary 2.6.1. $d(n) = 2$ if and only if n is a prime.

Now we want to compute the average value of $d(n)$.

Definition 2.6.2.

$$\bar{d}(n) = \frac{\sum_{i=1}^n d(i)}{n}.$$

We can use double-counting. First, notice that

$$d(i) = \sum_{\substack{j \in [i] \\ j|i}} 1.$$

Hence,

$$\sum_{i=1}^n d(i) = \sum_{i=1}^n \sum_{\substack{j \in [i] \\ j|i}} 1.$$

We can exchange the order of summation:

$$n\bar{d}(n) = \sum_{i=1}^n d(i) = \sum_{i=1}^n \sum_{j:j|i} 1 = \sum_{j=1}^n \sum_{\substack{i \in [n] \\ j|i}} 1.$$

For fixed j , we know

$$\sum_{\substack{i \in [n] \\ j|i}} 1 = \left\lfloor \frac{n}{j} \right\rfloor.$$

Hence, we have

$$n\bar{d}(n) = \sum_{j=1}^n \left\lfloor \frac{n}{j} \right\rfloor,$$

which is equivalent to

$$\bar{d}(n) = \frac{1}{n} \sum_{j=1}^n \left\lfloor \frac{n}{j} \right\rfloor.$$

Observe that

$$\frac{n}{j} - 1 \leq \left\lfloor \frac{n}{j} \right\rfloor \leq \frac{n}{j},$$

so

$$H_n - 1 = \frac{1}{n} \sum_{j=1}^n \left(\frac{n}{j} - 1 \right) \leq \bar{d}(n) \leq \frac{1}{n} \sum_{j=1}^n \frac{n}{j} = \sum_{j=1}^n \frac{1}{j} = H_n \approx \ln n.$$

Hence,

$$H_n - 1 \leq \bar{d}(n) \leq H_n,$$

which gives $\bar{d}(n) \sim \ln n$.

Chapter 3

Partitions

How many ways can we divide n items into k groups? Need to specify details to get well-posed questions.

1. Items distinguishable or not?
2. Groups distinguishable or not?
3. Can we have empty groups? Can we have group with more than one item?

Example. Professors has 49 students, to distribute 3000% between the students.

Proof. Indistinguishable items: percentage points.

Distinguishable groups: students $k = 49$. No restriction on sizes of groups. Formally, we are enumerating

$$S = \left\{ (x_1, x_2, \dots, x_{49}) \mid x_i \geq 0, x_i \in \mathbb{Z}, \sum_{i=1}^{49} x_i = 3000 \right\}$$

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Appendix