

# Combinatorics I

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### **Abstract**

The lecture note of Combinatorics I by Shagnik Das, where the NTU cool site is <https://cool.ntu.edu.tw/courses/55532/>.

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# Chapter 1

## Chatting

### Lecture 1

#### 1.1 Prime Numbers

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**Theorem 1.1.1** (Euclid  $\approx$  300 BCE). There are infinitely many primes.

**proof.** (Saidak, 2006).

- Every natural number has at least one prime factor.
- No prime divides  $n$  and  $n + 1$ , for any  $n \in \mathbb{N}$ .

Consider a sequence of pronic number

$$p_1 = 2, p_{n+1} = p_n(p_n + 1).$$

Then the number of prime factors of  $p_n$  is strictly increasing in  $n$ :  $p_{n+1}$  has all the factors of  $p_n$  together with the (distinct) ones of  $p_n + 1$ .

**Example.**  $p_1 = 2, p_2 = 6, p_3 = 42, p_4 = 1806$ , where the prime factors of them are  $\{2\}, \{2, 3\}, \{2, 3, 7\}, \{2, 3, 7, 43\}$ .

■

##### 1.1.1 How many prime numbers are there?

**Definition 1.1.1.** We define

$$\pi(n) = |\{p : 1 \leq p \leq n : p \text{ is prime}\}|.$$

**Note.** By Saidak's proof, we know  $\pi(p_n) \geq n$ . In fact,  $\pi(p_n) \geq \log_2 n$ .

**Theorem 1.1.2** (Legendre,  $\approx$  1800 LE ).

$$\pi(n) \approx \frac{n}{\ln n} \Leftrightarrow \lim_{n \rightarrow \infty} \frac{\pi(n) \ln n}{n} = 1$$

**Note.** Proven by Hadamard and independently de la Vallée Poussin(1896).

**Theorem 1.1.3** (Better Approximation).

Dirichlet:  $\pi(x) \approx Li(x) = \int_2^x \frac{1}{\ln t} dt$  .

Known:  $\pi(n) = Li(n) + O\left(ne^{-a\sqrt{\ln n}}\right)$

Believed:  $\pi(n) = Li(n) + O(\sqrt{n} \ln n)$

## Chapter 2

# Elementary Counting Principles

Fundamental problem: Given a set  $S$ , and we want to determine  $|S|$ .

### 2.1 Sum Rule

**Theorem 2.1.1 (Sum Rule).** If  $S = \bigcup_{i=1}^k S_i$ , then  $|S| = \sum_{i=1}^k |S_i|$ .

**Note.**  $\bigcup$  means disjoint union.

**Example.** A drawer contains 8 pairs of yellow socks, 5 pairs of blue socks, and 3 pairs of red socks. How many socks are there in total.

**Informal proof.**  $2 \times (8 + 5 + 3) = 32$ . ■

**Proof.** Let  $S$  be the set of socks in the drawer, then  $S = \bigcup_{p \in P} S_p$ , where  $P$  is the set of pairs of socks, and  $S_p$  is the set of two socks in the pair where  $p \in P$ . By the sum rule,

$$|S| = \sum_{p \in P} |S_p| = \sum_{p \in P} 2 = 2|P| = 32.$$

$P = P_{\text{yellow}} \cup P_{\text{blue}} \cup P_{\text{red}}$ . By the sum rule,

$$|P| = |P_{\text{yellow}}| + |P_{\text{blue}}| + |P_{\text{red}}| = 8 + 5 + 3 = 16. \quad \blacksquare$$

**Note.** Sum rule is the basis for case analysis arguments. It needs two requirements:

- Cover each case.
- Cover each case exactly once.

**Example.** Counting subset of a general set.

**Notation.** If  $X$  is a set, and  $k \in \mathbb{N} \cup \{0\}$ , then

$$\binom{X}{k} = \{T : T \subseteq X, |T| = k\}.$$

We define the binomial coefficient as

$$\binom{|X|}{k} = \left| \binom{X}{k} \right|.$$

i.e. Given  $n \geq k \geq 0$ ,  $\binom{n}{k}$  is the number of  $k$ -element subsets of a set of size  $n$ . ■

**Proposition 2.1.1** (Pascal's relation). If  $n \geq k \geq 1$ , then

$$\binom{n}{k} = \binom{n-1}{k} + \binom{n-1}{k-1}.$$

**Proof. Proof.** Let  $X$  be an  $n$ -element set (e.g.  $X = [n] = \{1, 2, \dots, n\}$ ), and let  $S = \binom{X}{k} = \{T \subseteq X : |T| = k\}$ . Then, by definition,  $\binom{n}{k} = |S|$ . For each  $k$ -element subset, we can ask: "Do you contain  $n$ ?" Let

$$S_0 = \{T : T \subseteq X, n \notin T, |T| = k\},$$

and

$$S_1 = \{T : T \subseteq X, n \in T, |T| = k\}.$$

Then,  $S = S_0 \cup S_1$ . By the sum rule,  $|S| = |S_0| + |S_1|$ . Observe that

$$\begin{aligned} S_0 &= \{T \subseteq [n], n \notin T, |T| = k\} \\ &= \{T \subseteq [n-1], |T| = k\}, \end{aligned}$$

so by definition,

$$|S_0| = \left| \binom{[n-1]}{k} \right| = \binom{n-1}{k}.$$

$$S_1 = \{T \subseteq [n], n \in T, |T| = k\}.$$

Let

$$S'_1 = \{T' \subseteq [n-1], |T'| = k-1\},$$

then we know a bijection from  $S_1$  to  $S'_1$ :

$$T \in S_1 \longleftrightarrow T \setminus \{n\} \in S'_1.$$

**Theorem 2.1.2** (bijection rule). Given two sets  $S$  and  $S'$ , if there is a bijection  $f : S \rightarrow S'$ , then  $|S| = |S'|$ .

By this rule, we know

$$|S_1| = |S'_1| = \left| \binom{[n-1]}{k-1} \right| = \binom{n-1}{k-1}.$$

Hence,

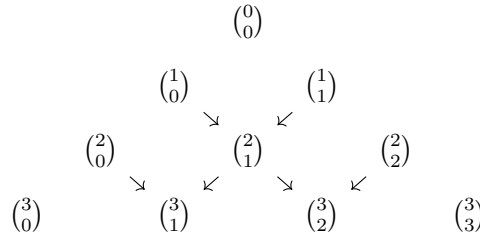
$$\binom{n}{k} = |S| = |S_0| + |S_1| = \binom{n-1}{k} + \binom{n-1}{k-1}.$$

■

### 2.1.1 Pascal's Triangle

We can use Pascal's relation to compute  $\binom{n}{k}$ .

**Note.** Boundary case:  $\binom{n}{0} = 1$ ,  $\binom{n}{n} = 1$ . Also,  $\binom{n}{k} = 0$  for  $k = -1, n+1$ .



## 2.2 Product Rule

**Theorem 2.2.1.** If  $S = S_1 \times S_2 \times \cdots \times S_k = \{(x_1, x_2, \dots, x_k), x_i \in S_i\}$ , then  $|S| = \prod_{i=1}^k |S_i|$ .

**Proof.** Induction on  $k$ :

Base case:  $k = 1$ , trivial.

Induction step: separate into cases based on choice of  $x_{k+1} \in S_{k+1}$ . Let

$$S(x) = \{(x_1, \dots, x_{k+1}) \in S, x_{k+1} = x \in S_{k+1}\},$$

then

$$S = \bigcup_{x \in S_{k+1}} S(x) \rightarrow |S| = \sum_{x \in S_{k+1}} |S(x)|.$$

But  $S(x) = S_1 \times S_2 \times \cdots \times \{x\}$ , which is in bijection with  $S_1 \times S_2 \times \cdots \times S_k$ . By induction rule,

$$|S(x)| = |S_1 \times S_2 \times \cdots \times S_k| \quad \forall x$$

Hence,

$$\begin{aligned} |S| &= \sum_{x \in S_{k+1}} |S(x)| = \sum_{x \in S_{k+1}} |S_1 \times S_2 \times \cdots \times S_k| \\ &= |S_1 \times S_2 \times \cdots \times S_k| \times |S_{k+1}| = |S_1| \times |S_2| \times \cdots \times |S_{k+1}|. \end{aligned}$$

■

**Example.** Consider binary strings of length  $n$ .

**Proof.**

$$S = \{0, 1\}^n \Rightarrow |S| = |\{0, 1\}^n| = |\{0, 1\}|^n = 2^n.$$

■

**Definition 2.2.1 (Power Set).** Given a finite set  $X$ , let  $2^X$  denote the set of all subsets of  $X$  (also denoted  $\mathcal{P}(X)$ ), which is called the power set.

**Corollary 2.2.1.**  $|2^X| = 2^{|X|}$ .

**Proof.** Without loss of generality,  $X = [n]$ . We build a bijection between  $2^{[n]}$  and the set of binary strings of length  $n$ . Suppose for every  $T \in 2^{[n]}$ , we have  $\chi_T = (x_1, x_2, \dots, x_n)$ , where

$$x_i = \begin{cases} 1, & \text{if } i \in T; \\ 0, & \text{if } i \notin T. \end{cases}$$

Then,

$$|2^{[n]}| = |\{0, 1\}^n| = 2^n.$$

■



## 2.3 Double-Counting argument

If we count a set in two different ways, the answer should be equal.

**Example.** Count  $2^{[n]}$ .

**Proof.**

1. Product rule  $\rightarrow 2^n$ .
2. Use the sum rule, split the subsets by size.

$$2^{[n]} = \binom{[n]}{0} \cup \binom{[n]}{1} \cup \dots \cup \binom{[n]}{n}$$

Hence, we have the following proposition:

**Proposition 2.3.1.** For all  $n \geq 0$ ,

$$2^n = \sum_{k=0}^n \binom{n}{k}.$$

⊛

## 2.4 Permutations

### Lecture 2

**As previously seen.** Instead of choosing the subsets all at once, we could pick one element at a time, then we can try to use product rule.

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**Example.** Consider

$$\binom{[10]}{3}.$$

**Proof.** At the choice of the first element, we have 10 choices, the second one has 9 choices, while the third one has 8 choices, but we didn't consider the order of each picked elements. ⊛

**Definition 2.4.1.** Given a set  $X$  and  $k \in \mathbb{N} \cup \{0\}$ , a  $k$ -permutation of  $X$  is

- an ordered choice of  $k$  distinct elements from  $X$ .
- a  $k$ -tuple  $(x_1, x_2, \dots, x_k)$  with  $x_i \in X$  and  $x_i \neq x_j$  for each  $i \neq j$ .
- an injection  $f : [k] \rightarrow X$ .

where these 3 statements are equivalent.

**Notation.**  $X^k = \{k\text{-permutation of } X\} \subseteq X^k$  where  $X^k = X \times X \times \dots \times X$  allows repetition of the elements but  $X^k$  don't allow repetition.

**Note.** If  $|X| = n$ , then

$$n^k = |X^k|.$$

**Definition 2.4.2.**

- a  $n$ -permutation is a  $n$ -permutation of  $[n]$ .
- a  $X$ -permutation is a  $|X|$ -permutation of  $X$ .

**Theorem 2.4.1 (Generalized Product Rule).** Suppose we are enumerating  $S$ , and can uniquely determine an element  $s \in S$  through a series of  $k$  questions, if  $i$ -th problem always has  $n_i$  possible outcomes, independently to the permutation, then

$$|S| = n_1 \times n_2 \times \cdots \times n_k = \prod_{i=1}^k n_i$$

**Proof.** Can make a bijection from  $S$  to

$$[n_1] \times [n_2] \times \cdots \times [n_k].$$

Map each element in  $S$  to the index of its answer in the series of answer.

Our moral is when counting we don't care about what the options are but only how many options. ■

**Proposition 2.4.1.**

$$\begin{aligned} n^{\underline{k}} &= n(n-1) \cdots (n-(k-1)) \\ &= \prod_{i=0}^{k-1} (n-i) = \frac{n!}{(n-k)!}. \end{aligned}$$

**Proof.** Use the generalized product rule.

Question  $i$ : What is the  $i$ -th element in the  $k$ -permutation of  $[n]$ ?

We can choose anything except what we're already chosen, so there are  $i-1$  forbidden choices and thus there are  $n-(i-1)$  possible choices. ■

**Proposition 2.4.2.** For all  $0 \leq k \leq n$ ,

$$\binom{n}{k} = \frac{n^{\underline{k}}}{k^{\underline{k}}} = \frac{\left(\frac{n!}{(n-k)!}\right)}{k!} = \frac{n!}{k!(n-k)!}.$$

**Proof.** Double-count  $[n]^{\underline{k}}$  i.e.  $k$ -permutation of  $[n]$ .

- Direct counting  $|[n]^{\underline{k}}| = n^{\underline{k}}$ .
- First choose the  $k$  elements to appear in the  $k$ -permutation,  $\binom{n}{k}$  options, then choose the order in which they appear,  $k^{\underline{k}}$  options.

Then, by the generalized product rule, the number of  $k$ -permutation of  $[n]$  is  $\binom{n}{k} \cdot k^{\underline{k}}$ .

Hence,

$$n^{\underline{k}} = |[n]^{\underline{k}}| = \binom{n}{k} \cdot k^{\underline{k}}.$$

■

**Corollary 2.4.1.** We can then use this result to reprove Pascal's Property again.

**Proof.** ■

**Exercise.** 6 players at the tennis club want to have three matches involving all the players? How many ways can we arrange the games.

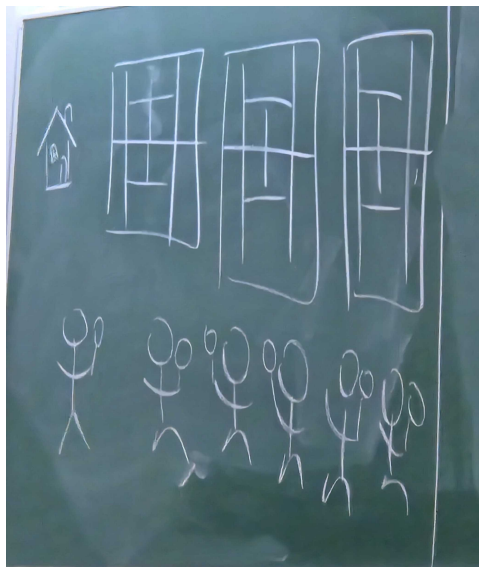


Figure 2.1: Tennis Games

**Proof.** We only care about who plays against whom, not about which court or who versus first, e.t.c.

The arrangement of games is a set of three disjoint pairs of players.

$$\{\{1, 2\}, \{3, 4\}, \{5, 6\}\} \neq \{\{1, 3\}, \{2, 4\}, \{5, 6\}\}.$$

Double-count the arrangements of games where counts do matter.

- Choose a pair of players for Court A:  $\binom{6}{2}$
- Choose a pair of players for Court B:  $\binom{4}{2}$
- Choose a pair of players for Court C:  $\binom{2}{2}$

Generalized product rule tells

$$\text{number of choices} = \binom{6}{2} \binom{4}{2} \binom{2}{2} = 90.$$

Second count: First gets a set of 3 pairs, say there are  $x$  possibilities, and assign the three pairs to 3 courts, so there are  $3!$ , so  $x \cdot 3! = 90$ , and thus  $x = \frac{90}{3!} = 15$ . ■

## Lecture 3

Actually we have an alternative prove:

**proof by direct computation.**

- Q1: Who's the opponent for the 1-st player? There are 5 choices.
- Q2: Who plays the next lowest numbered player? There are 3 choices.

The left 2 players are the opponents to each other. Hence, there are  $3 \times 5 = 15$  possible pairings. ■

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More generally, if we have  $n = 2k$  players to pair up, then the first proof gives there are

$$\frac{\binom{n}{2} \binom{n-2}{2} \cdots \binom{2}{2}}{\left(\frac{n}{2}\right)!}$$

possible pairings, while the second proof gives that there are

$$(n-1) \cdot (n-3) \cdot (n-5) \cdots := (n-1)!! \neq ((n-1)!)!$$

By this, we know these two numbers must be equal, or more rigorously, we can write

$$\frac{\binom{n}{2} \binom{n-2}{2} \cdots \binom{2}{2}}{\left(\frac{n}{2}\right)!} = 2^n \cdot \frac{\frac{n(n-1)}{2} \frac{(n-2)(n-3)}{2} \cdots}{n(n-2)(n-4) \cdots 2} = (n-1) \cdot (n-3) \cdots$$

**Example.** How many shortest routes on the grid are there from  $(0,0)$  to  $(n,m)$ ?

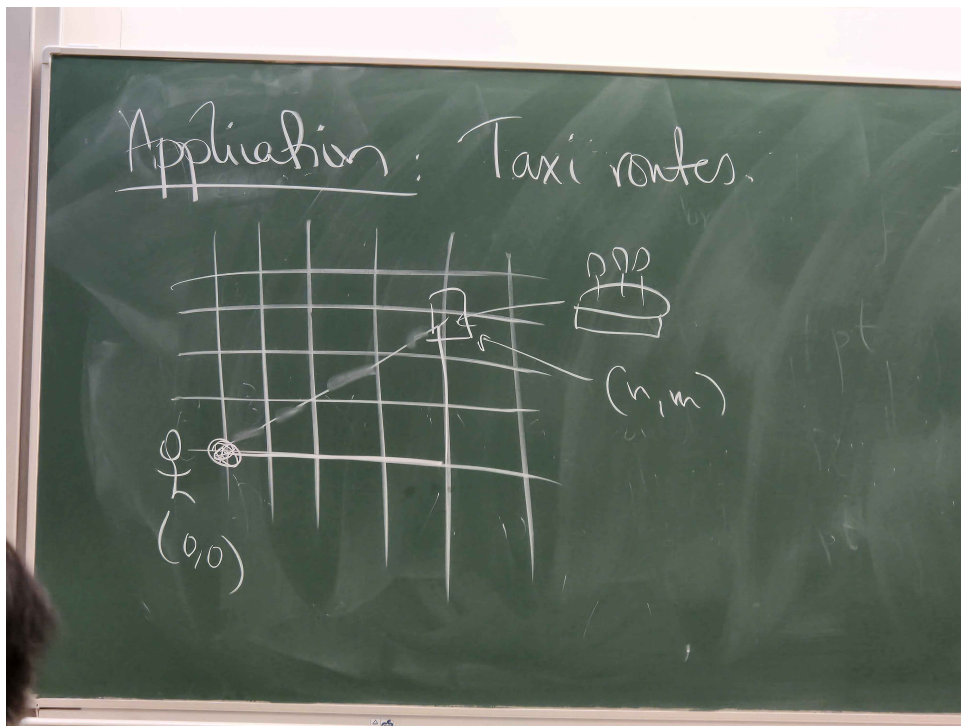


Figure 2.2: Taxi routes

**Proof.** Shortest route is of length  $n+m$ ,  $m$  up-steps and  $n$  right-steps. We can think of a shortest route to be a binary string of length  $n+m$  with  $n$  1s and  $m$  0s, so we want to count how many such binary strings are there. Choose  $n$  of them to be 1s, while the other are 0s. Hence, there are  $\binom{n+m}{n}$  possibilities.  $\circledast$

## 2.5 Binomial Theorem

**Theorem 2.5.1 (Binomial Theorem).** For any  $n \in \mathbb{N} \cup \{0\}$ , and  $x, y \in \mathbb{R}$ , we have

$$(x+y)^n = \sum_{k=0}^n \binom{n}{k} x^k y^{n-k}$$

**Example.**  $(x + y)^0 = 1 = \sum_{k=0}^0 x^k y^{0-k}$ .

**Example.**  $(x + y)^1 = x + y$ , while

$$\sum_{k=0}^1 \binom{1}{k} x^k y^{1-k} = \binom{1}{0} x^0 y^1 + \binom{1}{1} x^1 y^0 = y + x.$$

**proof of binomial theorem.**

$$(x + y)^n = \underbrace{(x + y)(x + y)(x + y) \dots (x + y)}_{n \text{ factors}}$$

From each factor, we pick a term  $x$  or  $y$ , multiply chosen factors together. If we choose  $k$   $x$ 's, then we must choose  $n - k$   $y$ 's, so the monomial is  $x^k y^{n-k}$ , where the coefficient of  $x^k y^{n-k}$  is the number of ways of choosing  $k$   $x$ 's. Also, the possible monomials are  $x^k y^{n-k}$  for  $k = 0, 1, 2, \dots, n$ . Hence, we have

$$(x + y)^n = \sum_{k=0}^n \binom{n}{k} x^k y^{n-k}.$$

■

We can use this formula to derive identities for the binomial coefficients, by plugging in values for  $x$  and  $y$ .

**Example.**  $x = 1, y = 1$ .

**Proof.**

$$2^n = (x + y)^n = \sum_{k=0}^n \binom{n}{k} x^k y^{n-k} = \sum_{k=0}^n \binom{n}{k}.$$

⊛

**Example.**  $y = -1, x = 1$ .

**Proof.**

$$(x + y)^n = (-1 + 1)^n = 0^n = \begin{cases} 1, & \text{if } n = 0; \\ 0, & \text{if } n \geq 1. \end{cases}$$

$$(x + y)^n = \sum_{k=0}^n \binom{n}{k} x^k y^{n-k} = \sum_{k=0}^n \binom{n}{k} (-1)^k = \sum_{2 \nmid k} \binom{n}{k} - \sum_{2 \mid k} \binom{n}{k}$$

⊛

**Corollary 2.5.1.**

$$\sum_{2 \nmid k} \binom{n}{k} = \sum_{2 \mid k} \binom{n}{k}$$

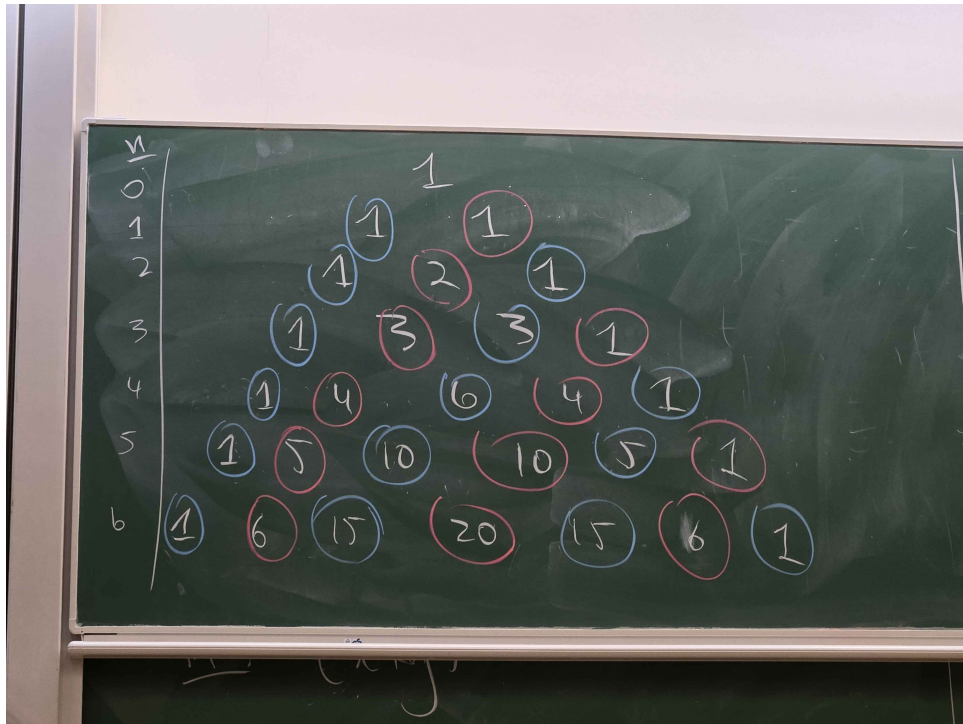


Figure 2.3: The sum of even terms is equal to the sum of odd terms.

**Theorem 2.5.2.**  $\forall n \geq k$ , we have

$$\binom{n}{k} = \binom{n}{n-k}.$$

**Proof.**

$$\binom{n}{k} = \frac{n!}{k!(n-k)!} = \frac{n!}{(n-k)!k!} = \frac{n!}{(n-(n-k))!} = \binom{n}{n-k}.$$

**Remark.** Choosing a subset of  $k$  elements from  $n$  is equivalent to choose  $n - k$  elements to discard, and we can build a bijection between these two methods. ■

For  $n$  even.

Consider the bijection

$$S \mapsto S \triangle \{n\} = \begin{cases} S - \{n\}, & \text{if } n \in S; \\ S \cup \{n\}, & \text{if } n \notin S. \end{cases}$$

Hence,

$$|S \triangle \{n\}| \subseteq \{|S| - 1, |S| + 1\},$$

so if  $|S|$  is odd, then  $S \triangle \{n\}$  is even, and vice versa. We know this is a bijection (self-inverse), so we have odd-sized sets to even-sized set. Hence,  $\sum_{2 \nmid k} \binom{n}{k} = \sum_{2 \mid k} \binom{n}{k}$ .

**Example.**  $x = 2, y = 1$ .

**Proof.**

$$(2+1)^n = 3^n = \sum_{k=0}^n \binom{n}{k} 2^k$$

Counting partitions  $[n] = A \cup B \cup C$ , each element has a choice of 3 sets to go into. Hence, the product rule says there are  $3^n$  partitions, while RHS uses sum rule bases on  $k = |A \cup B|$ .  $\circledast$

## 2.6 Divisor Function

**Definition 2.6.1** (Divisor Functions). Given a natural number  $n \in \mathbb{N}$ , let  $d(n)$  count the number of divisors of  $n$ .

**Example.**

$$\begin{aligned} d(1) &= 1 = |\{1\}| \\ d(2) &= 2 = |\{1, 2\}| \\ d(3) &= 2 = |\{1, 3\}| \\ d(4) &= 3 = |\{1, 2, 4\}| \\ d(5) &= 2 = |\{1, 5\}|. \end{aligned}$$

**Corollary 2.6.1.**  $d(n) = 2$  if and only if  $n$  is a prime.

Now we want to compute the average value of  $d(n)$ .

**Definition 2.6.2.**

$$\bar{d}(n) = \frac{\sum_{i=1}^n d(i)}{n}.$$

We can use double-counting. First, notice that

$$d(i) = \sum_{\substack{j \in [i] \\ j|i}} 1.$$

Hence,

$$\sum_{i=1}^n d(i) = \sum_{i=1}^n \sum_{\substack{j \in [i] \\ j|i}} 1.$$

We can exchange the order of summation:

$$n\bar{d}(n) = \sum_{i=1}^n d(i) = \sum_{i=1}^n \sum_{\substack{j:j|i}} 1 = \sum_{j=1}^n \sum_{\substack{i \in [n] \\ j|i}} 1.$$

For fixed  $j$ , we know

$$\sum_{\substack{i \in [n] \\ j|i}} 1 = \left\lfloor \frac{n}{j} \right\rfloor.$$

Hence, we have

$$n\bar{d}(n) = \sum_{j=1}^n \left\lfloor \frac{n}{j} \right\rfloor,$$

which is equivalent to

$$\bar{d}(n) = \frac{1}{n} \sum_{j=1}^n \left\lfloor \frac{n}{j} \right\rfloor.$$

Observe that

$$\frac{n}{j} - 1 \leq \left\lfloor \frac{n}{j} \right\rfloor \leq \frac{n}{j},$$

so

$$H_n - 1 = \frac{1}{n} \sum_{j=1}^n \left( \frac{n}{j} - 1 \right) \leq \bar{d}(n) \leq \frac{1}{n} \sum_{j=1}^n \frac{n}{j} = \sum_{j=1}^n \frac{1}{j} = H_n \approx \ln n.$$

Hence,

$$H_n - 1 \leq \bar{d}(n) \leq H_n,$$

which gives  $\bar{d}(n) \sim \ln n$ .



# Chapter 3

## Partitions

How many ways can we divide  $n$  items into  $k$  groups? Need to specify details to get well-posed questions.

1. Items distinguishable or not?
2. Groups distinguishable or not?
3. Can we have empty groups? Can we have group with more than one item?

**Example.** Professor has 49 students, to distribute 3000% between the students.

**Proof.** Indistinguishable items: percentage points.

Distinguishable groups: students  $k = 49$ . No restriction on sizes of groups. Formally, we are enumerating

$$S = \left\{ (x_1, x_2, \dots, x_{49}) \mid x_i \geq 0, x_i \in \mathbb{Z}, \sum_{i=1}^{49} x_i = 3000 \right\}$$

⊛

## Lecture 4

### 3.1 Number of nonnegative integer solution to $x_1 + \dots + x_k = n$

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We can represent solutions using a "stars and bar" diagram:

- $n$  stars represent the items
- $k - 1$  bars to divides the groups

**Example.**  $x_1 = 3, x_2 = 1, x_3 = 0, x_4 = 5$ . ( $k = 4, n = 9$ )

**Proof.**

$$\underbrace{***}_{x_1} \mid \underbrace{*}_{x_2} \parallel \underbrace{*****}_{x_3}$$

⊛

Hence, we can use a projection between solution and diagrams with  $k - 1$  bars and  $n$  stars.

Each diagram consists of  $n + k - 1$  symbols. Once we know which are the bars, we know the sull diagram.

$$\text{number of diagrams} < \binom{n + k - 1}{k - 1} = \binom{n + k - 1}{n}$$

**Proposition 3.1.1.** The number of non-negative integer solutions to  $x_1 + \cdots + x_k = n$  is  $\binom{n+k-1}{k-1}$ .

Now we have a new problem. How many solutions are there to  $x_1 + \cdots + x_k = n$  with  $x_i \geq 1$  for all  $i$ ?

We can let  $y_i = x_i - 1$ , then  $y_i \geq 0$  and  $y_1 + \cdots + y_k = n - k$ . Hence, the answer is

$$\binom{(n-k) + (k-1)}{k-1} = \binom{n-1}{k-1}.$$

**Definition 3.1.1 (Multisets).** An unordered collection of elements with repetition allowed.

$$\{\{1, 1, 1, 2, 3\}\} \neq \{\{1, 2, 3\}\}$$

can represent as an ordered tuple in increasing order.

**Example.** How many multisets of size  $n$  are there from a set of size  $k$ ?

**Proof.** Let  $x_i$  be the multiplicities of the  $i$ -th element in the multiset. Then  $x_i \geq 0$  and

$$x_1 + \cdots + x_k = n.$$

Hence, the number of multisets is

$$\binom{n+k-1}{k-1}.$$

⊛

Alternatively, multisets are  $(a_1, \dots, a_n)$  with  $1 \leq a_1 \leq \cdots \leq a_n \leq k$ . Now if we let  $b_i = a_i + i - 1$ , then

$$(b_1, \dots, b_n) = (a_1, a_2 + 1, \dots, a_n + n - 1) \text{ with } 1 \leq b_1 < b_2 < \cdots < b_n \leq n + k - 1.$$

Note that there is a bijection between  $\{(a_1, \dots, a_n)\}$  and  $\{(b_1, \dots, b_n)\}$ . This shows the number of multisets of size  $n$  from  $[k]$  is the number of subsets of  $[n+k-1]$  of size  $n$ , which is

$$\binom{n+k-1}{n} = \binom{n+k-1}{k-1}.$$

Now we add some new setting.

- Distinguishable items
- Indistinguishable groups
- Groups non-empty.

The objects we are counting is

$$\{S_1, S_2, \dots, S_k\}$$

with  $S_1 \cup S_2 \cup \dots \cup S_k = [n]$  and  $S_i \neq \emptyset$  for all  $i$ .

**Definition 3.1.2 (The Stirling Number of the second kind).**  $S(n, k)$  is defined be number of partitions of  $n$  distinct items into  $k$  indistinguishable non-empty groups.

**Example.**  $S(n, 1) = 1$  for all  $n \geq 1$ .  $S(n, n) = 1$  for all  $n$ .  $S(n, n-1) = \binom{n}{2}$  for all  $n \geq 2$ .  $S(n, 2) = 2^{n-1} - 1$ .

**Proof.** We just talk about the  $S(n, 2)$  one. Since we can choose any subset of  $[n]$ , so there are  $2^n$  possibilities, but each partition is counted twice, so we have to divide it by 2, and subtract the partition that includes empty group, so it is  $2^{n-1} - 1$ . ⊛

**Proposition 3.1.2.** For all  $n, k$ ,

$$S(n, k) = S(n-1, k-1) + kS(n-1, k).$$

**Proof.** Case analysis:

- Case 1:  $\{n\}$  is a group.  
This means the remaining  $n-1$  elements are partitioned into  $k-1$  groups, so there are  $S(n-1, k-1)$  possibilities.
- Case 2:  $\{n\}$  is not a group.  
 $n-1$  left elements is first partitioned into  $k$  groups, then we can distribute the  $n$ -th element into each group, so there are  $kS(n-1, k)$  possibilities.

By sum rule, we know

$$S(n, k) = S(n-1, k-1) + kS(n-1, k).$$

■

**Example.** Using induction to prove

$$S(n, n-1) = \binom{n}{2}.$$

**Proof.**

$$\begin{aligned} S(n, n-1) &= S(n-1, n-2) + (n-1)S(n-1, n-1) = S(n-1, n-2) + (n-1) \\ &= \dots = 1 + 2 + \dots + n-1 = \binom{n}{2}. \end{aligned}$$

⊛

Now what if the groups are distinguishable? Also, we have

- items distinguishable
- groups distinguishable
- groups non-empty.

Short answer:  $S(n, k)k!$ .

# Appendix