

Let  $(X, d)$  be a metric <sup>space</sup>. If  $f$  satisfies the condition

$d(f(x), f(y)) \leq \alpha d(x, y) \quad \forall x, y \in X$  then  $f$  is called a Shrinking map. If there is  $\alpha < 1$  s.t.  $d(f(x), f(y)) \leq \alpha d(x, y)$ , then  $f$  is called a contraction. A fixed point is s.t.  $f(x) = x$ .

a) If  $f$  is a contraction and  $X$  is compact, show  $f$  has a unique fixed point.

Let  $f^0 = f$ ,  $f^{n+1} = f \circ f^n$ .  $f$  is clearly continuous thus  $f(X)$  is compact and thus closed. By induction  $f^n(X)$  is compact and closed. Clearly  $f(X) \subset X$  and by induction it follows that  $f^{n+1}(X) \subset f^n(X)$ .

$C = \{f^n(X)\}_{n=0}^{\infty}$  is a collection of closed sets with the finite intersection property thus  $\bigcap_{n=0}^{\infty} f^n(X)$  is nonempty. These are the points

s.t.  $f(x) = x$ . assuming this set has more than one element take 2:  $x, y$  then

$$d(f(x), f(y)) = d(x, y) \leq \alpha d(x, y) \quad \text{?}$$

$$\text{or } D = \text{diam}(X), \quad \text{diam}(f^n(X)) \leq \alpha^n D \rightarrow 0$$

b) Show more generally that if  $f$  is a shrinking map and  $X$  is compact, then  $f$  has a unique fixed point.

Let  $A = \bigcap_{n=0}^{\infty} f^n(X)$ .  $A$  is closed and thus compact. Nonempty as before. Let  $x \in A$ , choose  $x_n$  s.t.  $x = f^{n+1}(x_n)$ . Let  $y_n = f^n(x_n)$ . This has some subsequence converging to  $a \in X$ . Want to show that  $a \in A$ .  $\forall n$ . We know again that  $f^{n+1}(X) \subset f^n(X)$ . Thus  $y_n \in f^n(X)$ ,  $n \geq N$ . As  $f^n(X)$  is closed,  $a$  is in  $A_n$  for all  $n$  so  $a \in A$ . We have that for  $\epsilon > 0$

$$d(f(a), x) < d(a, y_{n_k}) < \epsilon, \quad k \geq N$$

$$f(A) = A, \text{ diam } A = 0 \rightarrow x = a$$

c) Let  $X = [0, 1]$ . Show that  $f(x) = x^2 - \frac{x^2}{2}$  maps  $X$  into  $X$  and is a shrinking map that is not a contraction.

$$d(f(x), f(y)) = x - \frac{x^2}{2} - y + \frac{y^2}{2} = x - y - \underbrace{\left(\frac{x^2}{2} - \frac{y^2}{2}\right)}_{> 0 \text{ for } x > y}$$

$$f(x) = -\frac{1}{2}(x-1)^2 + \frac{1}{2}$$



$$f'(x) = 1 - x$$

for  $b > 0$  by MVT there is  $0 < c < b$  s.t.

$$f(b) - f(0) = f'(c) \text{ i.e. } b - \frac{b^2}{2} = 1 - c$$

If  $f$  was a contraction then for some  $\alpha < 1$

We would have

$$f(b) \leq \alpha b \quad \forall b \in [0,1]$$

so  $1-b \leq \alpha \quad \forall b$  which is clearly not true

d) The result in (a) holds if  $X$  is a complete metric space such as  $\mathbb{R}$ . The result in (b) does not. Show that  $f: \mathbb{R} \rightarrow \mathbb{R}$  given by  $f(x) = [x + (x^2 + 1)^{\frac{1}{2}}] / 2$  is a shrinking map that is not a contraction and has no fixed points.

$$\text{Let } g(x) = (x^2 + 1)^{\frac{1}{2}}, \quad g'(x) = \frac{x}{\sqrt{x^2 + 1}}, \quad |g'(x)| < 1 \quad \leftarrow \begin{matrix} \text{increasing} \\ \text{by mut.} \end{matrix}$$

$$\text{for } x, y \in \mathbb{R}, \quad x > y, \quad x - y > \frac{x}{\sqrt{x^2 + 1}} - \frac{y}{\sqrt{y^2 + 1}} \quad (\text{by mut.})$$

$$\text{So } d(f(x), f(y)) = \left| \frac{x-y}{2} + \frac{(x^2+1)^{\frac{1}{2}} - (y^2+1)^{\frac{1}{2}}}{2} \right|$$

$$\leq \frac{|x-y|}{2} + \frac{|(x^2+1)^{\frac{1}{2}} - (y^2+1)^{\frac{1}{2}}|}{2} < \frac{|x-y| + |x-y| = d(x,y)}{2}$$

to see that it is not a contraction we note that  $g'(x) \xrightarrow{x \rightarrow \pm\infty} \pm 1$ . to see that there is

no fix point note that

$$* f(x) > x \quad \forall x$$

