# Package 'RAINBOW'

# October 19, 2019

Title Perform genome-wide association study (GWAS) by kernel-based

Type Package

methods
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<b>Description</b> Users can test multiple SNPs simultaneously by kernel-based methods. Users can test not only additive effects but also dominance and epistatic effects.
License MIT + file LICENSE
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cumsum.pos
design.Z
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CalcThreshold

Function to calculate threshold for GWAS

# Description

Calculate thresholds for the given GWAS result by the Benjamini-Hochberg method or Bonferroni method.

# Usage

```
CalcThreshold(input, sig.level = 0.05, method = "BH")
```

# Arguments

input	Data frame of GWAS results where the first column is the marker names, the second and third column is the chromosome amd map position, and the forth column is -log10(p) for each marker.
sig.level	Significance level for the threshold. The default is 0.05. You can also assign vector of sinificance levels.
method	Two methods are offered: "BH": Benjamini-Hochberg method. To control FDR, use this method. "Bonf": Bonferroni method. To perform simple correction of multiple testing, use this method. You can also assign both of them by 'method = c("BH". "Bonf")'

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#### Value

the value of the threshold. If there is no threshold, it returns NA.

## References

Benjamini, Y. and Hochberg, Y. (1995) Controlling the false discovery rate: a practical and powerful approach to multiple testing. J R Stat Soc. 57(1): 289-300.

Storey, J.D. and Tibshirani, R. (2003) Statistical significance for genomewide studies. Proc Natl Acad Sci. 100(16): 9440-9445.

cumsum.pos

Function to calculate cumulative position (beyond chromosome)

### **Description**

Function to calculate cumulative position (beyond chromosome)

### Usage

```
## S3 method for class 'pos'
cumsum(map)
```

#### **Arguments**

map

Data frame with the marker names in the first column. The second and third columns contain the chromosome and map position.

### Value

Cumulative position (beyond chromosome) will be returned.

design.Z

Function to generate design matrix (Z)

### Description

Function to generate design matrix (Z)

### Usage

```
design.Z(pheno.labels, geno.names)
```

### Arguments

pheno.labels A vector of genotype (line; accesion; variety) names which correpond to pheno-

typic values.

geno.names A vector of genotype (line; accesion; variety) names for marker genotype data

(duplication is not recommended).

#### Value

Z of  $y = X\beta + Zu + e$ . Design matrix, which is useful for GS or GWAS.

4 EM3.cpp

EM3.cpp

Equation of mixed model for multi-kernel (slow, general version)

#### **Description**

This function solves the following multi-kernel linear mixed effects model.

$$y = X\beta + \sum_{l=1}^{L} Z_l u_l + \epsilon$$
 where  $Var[y] = \sum_{l=1}^{L} Z_l K_l Z_l' \sigma_l^2 + I\sigma_e^2$ .

#### Usage

```
EM3.cpp(y, X0 = NULL, ZETA, eigen.G = NULL, eigen.SGS = NULL,
  tol = NULL, optimizer = "nlminb", traceInside = 0, n.thres = 450,
 REML = TRUE, pred = TRUE)
```

### **Arguments**

 $n \times 1$  vector. A vector of phenotypic values should be used. NA is allowed. У

Χ0  $n \times p$  matrix. You should assign mean vector (rep(1, n)) and covariates. NA is

not allowed.

**ZETA** A list of variance matrices and its design matrices of random effects. You can

> use more than one kernel matrix. For example, ZETA = list(A = list(Z = Z.A, K= K.A), D = list(Z = Z.D, K = K.D)) (A for additive, D for dominance) Please

set names of lists "Z" and "K"!

eigen.G A list with

**\$values** eigen values

**\$vectors** eigen vectors

The result of the eigen decompsition of G = ZKZ'. You can use "spectralG.cpp" function in RAINBOW. If this argument is NULL, the eigen decomposition will be performed in this function. We recommend you assign the result

of the eigen decomposition beforehand for time saving.

eigen.SGS A list with

optimizer

**\$values** eigen values

**\$vectors** eigen vectors

The result of the eigen decompsition of SGS, where  $S = I - X(X'X)^{-1}X'$ , G = ZKZ'. You can use "spectralG.cpp" function in RAINBOW. If this argument is NULL, the eigen decomposition will be performed in this function. We recommend you assign the result of the eigen decomposition beforehand for

time saving.

tol The tolerance for detecting linear dependencies in the columns of G = ZKZ'.

Eigen vectors whose eigen values are less than "tol" argument will be omitted

from results. If tol is NULL, top 'n' eigen values will be effective.

The function used in the optimization process. We offer "optim", "optimx", and

"nlminb" functions.

traceInside Perform trace for the optimization if traceInside >= 1, and this argument shows

the frequency of reports.

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n. thres If n >= n.thres, perform EMM1.cpp. Else perform EMM2.cpp.

REML You can choose which method you will use, "REML" or "ML". If REML =

TRUE, you will perform "REML", and if REML = FALSE, you will perform

"ML".

pred If TRUE, the fitting values of y is returned.

#### Value

**\$y.pred** the fitting values of  $y = X\beta + Zu$ 

**\$Vu** estimator for  $\sigma_u^2$ , all of the genetic variance

**\$Ve** estimator for  $\sigma_e^2$ 

**\$beta** BLUE( $\beta$ )

 $\mathbf{u}$  BLUP(u)

**\$weights** the proportion of each genetic variance (corresponding to each kernel of ZETA) to Vu

\$LL maximized log-likelihood (full or restricted, depending on method)

**\$Vinv** the inverse of  $V = Vu \times ZKZ' + Ve \times I$ 

**\$Hinv** the inverse of  $H = ZKZ' + \lambda I$ 

#### References

Kang, H.M. et al. (2008) Efficient Control of Population Structure in Model Organism Association Mapping. Genetics. 178(3): 1709-1723.

Zhou, X. and Stephens, M. (2012) Genome-wide efficient mixed-model analysis for association studies. Nat Genet. 44(7): 821-824.

#### **Examples**

```
### Import RAINBOW
require(RAINBOW)
### Load example datasets
data("Rice_Zhao_etal")
### View each dataset
See(Rice_geno_score)
See(Rice_geno_map)
See(Rice_pheno)
### Select one trait for example
trait.name <- "Flowering.time.at.Arkansas"</pre>
y <- as.matrix(Rice_pheno[, trait.name, drop = FALSE])</pre>
### Remove SNPs whose MAF <= 0.05
x.0 <- t(Rice_geno_score)</pre>
MAF.cut.res <- MAF.cut(x.0 = x.0, map.0 = Rice_geno_map)
x <- MAF.cut.res$x</pre>
map <- MAF.cut.res$map</pre>
### Estimate additive genetic relationship matrix & epistatic relationship matrix
K.A <- rrBLUP::A.mat(x) ### rrBLUP package can be installed by install.packages("rrBLUP")</pre>
K.AA \leftarrow K.A * K.A ### additive x additive epistatic effects
```

6 EM3.linker.cpp

EM3.linker.cpp

Equation of mixed model for multi-kernel (fast, for limited cases)

# Description

This function solves multi-kernel mixed model using fastlmm.snpset approach (Lippert et al., 2014). This function can be used only when the kernels other than genomic relationship matrix are linear kernels.

## Usage

```
EM3.linker.cpp(y0, X0 = NULL, ZETA = NULL, Zs0 = NULL, Ws0,
  Gammas0 = lapply(Ws0, function(x) diag(ncol(x))), gammas.diag = TRUE,
  X.fix = TRUE, eigen.SGS = NULL, eigen.G = NULL, tol = NULL,
  bounds = c(1e-06, 1e+06), optimizer = "nlminb", traceInside = 0,
  n.thres = 450, spectral.method = NULL, REML = TRUE, pred = TRUE)
```

# Arguments

y0	$n \times 1$ vector. A vector of phenotypic values should be used. NA is allowed.
X0	$n \times p$ matrix. You should assign mean vector (rep(1, n)) and covariates. NA is not allowed.
ZE1	A list of variance (relationship) matrix $(K; m \times m)$ and its design matrix $(Z; n \times m)$ of random effects. You can use only one kernel matrix. For example, ZETA = list(A = list(Z = Z, K = K)) Please set names of list "Z" and "K"!
Zs0	A list of design matrices (Z; $n \times m$ matrix) for Ws. For example, Zs0 = list(A.part = Z.A.part, D.part = Z.D.part)
Ws6	A list of low rank matrices (W; $m \times k$ matrix). This forms linear kernel $K = W\Gamma W'$ . For example, Ws0 = list(A.part = W.A, D.part = W.D)

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Gammas0 A list of matrices for weighting SNPs (Gamma;  $k \times k$  matrix). This forms linear kernel  $K = W\Gamma W'$ . For example, if there is no weighting, Gammas0 =

lapply(Ws0, function(x) diag(ncol(x)))

gammas.diag If each Gamma is the diagonal matrix, please set this argument TRUE. The

calculationtime can be saved.

X. fix If you repeat this function and when X0 is fixed during iterations, please set this

argument TRUE.

eigen.SGS A list with \$values: eigen values and \$vectors: eigen vectors. The result of

the eigen decompsition of SGS, where  $S = I - X(X'X)^{-1}X'$ , G = ZKZ'. You can use "spectralG.cpp" function in RAINBOW. If this argument is NULL, the eigen decomposition will be performed in this function. We recommend you assign the result of the eigen decomposition beforehand for time saving.

eigen.G A list with

**\$values** eigen values **\$vectors** eigen vectors

The result of the eigen decomposition of G=ZKZ'. You can use "spectralG.cpp" function in RAINBOW. If this argument is NULL, the eigen decomposition will be performed in this function. We recommend you assign the result

of the eigen decomposition beforehand for time saving.

tol The tolerance for detecting linear dependencies in the columns of G = ZKZ'.

Eigen vectors whose eigen values are less than "tol" argument will be omitted

from results. If tol is NULL, top 'n' eigen values will be effective.

bounds Lower and upper bounds for weights.

optimizer The function used in the optimization process. We offer "optim", "optimx", and

"nlminb" functions.

traceInside Perform trace for the optimzation if traceInside >= 1, and this argument shows

the frequency of reports.

n. thres If n >= n.thres, perform EMM1.cpp. Else perform EMM2.cpp.

spectral.method

The method of spectral decomposition. In this function, "eigen": eigen decomposition and "cholesky": cholesky and singular value decomposition are offered. If this argument is NULL, either method will be chosen accorsing to

the dimension of Z and X.

REML You can choose which method you will use, "REML" or "ML". If REML =

TRUE, you will perform "REML", and if REML = FALSE, you will perform

"ML".

pred If TRUE, the fitting values of y is returned.

### Value

**\$y.pred** the fitting values of  $y = X\beta + Zu$ 

**\$Vu** estimator for  $\sigma_u^2$ , all of the genetic variance

**\$Ve** estimator for  $\sigma_e^2$ 

\$beta BLUE( $\beta$ )

 $\mathbf{$u$}$  BLUP(u)

**\$weights** the proportion of each genetic variance (corresponding to each kernel of ZETA) to Vu

**\$LL** maximized log-likelihood (full or restricted, depending on method)

**\$Vinv** the inverse of  $V = Vu \times ZKZ' + Ve \times I$ 

**\$Hinv** the inverse of  $H = ZKZ' + \lambda I$ 

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#### References

Kang, H.M. et al. (2008) Efficient Control of Population Structure in Model Organism Association Mapping. Genetics. 178(3): 1709-1723.

Zhou, X. and Stephens, M. (2012) Genome-wide efficient mixed-model analysis for association studies. Nat Genet. 44(7): 821-824.

Lippert, C. et al. (2014) Greater power and computational efficiency for kernel-based association testing of sets of genetic variants. Bioinformatics. 30(22): 3206-3214.

### **Examples**

```
### Import RAINBOW
require(RAINBOW)
### Load example datasets
data("Rice_Zhao_etal")
### View each dataset
See(Rice_geno_score)
See(Rice_geno_map)
See(Rice_pheno)
### Select one trait for example
trait.name <- "Flowering.time.at.Arkansas"</pre>
y <- as.matrix(Rice_pheno[, trait.name, drop = FALSE])</pre>
### Remove SNPs whose MAF <= 0.05
x.0 <- t(Rice_geno_score)</pre>
MAF.cut.res <- MAF.cut(x.0 = x.0, map.0 = Rice_geno_map)
x <- MAF.cut.res$x</pre>
map <- MAF.cut.res$map</pre>
### Estimate additive genetic relationship matrix
K.A <- rrBLUP::A.mat(x) ### rrBLUP package can be installed by install.packages("rrBLUP")
### Modify data
Z <- design.Z(pheno.labels = rownames(y),</pre>
              geno.names = rownames(K.A)) ### design matrix for random effects
pheno.mat <- y[rownames(Z), , drop = FALSE]
ZETA \leftarrow list(A = list(Z = Z, K = K.A))
### Including the additional linear kernel for chromosome 12
chrNo <- 12
W.A \leftarrow x[, map$chr == chrNo]
                                ### marker genotype data of chromosome 12
Zs0 \leftarrow list(A.part = Z)
Ws0 <- list(A.part = W.A)
                                 ### This will be regarded as linear kernel
### for the variance-covariance matrix of another random effects.
### Solve multi-kernel linear mixed effects model (2 random efects)
EM3.linker.res <- EM3.linker.cpp(y0 = pheno.mat, X0 = NULL, ZETA = ZETA,
                                   Zs0 = Zs0, Ws0 = Ws0)
```

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```
(Vu <- EM3.linker.res$Vu) ### estimated genetic variance
(Ve <- EM3.linker.res$Ve) ### estimated residual variance
(weights <- EM3.linker.res$weights) ### estimated proportion of two genetic variances
(herit <- Vu * weights / (Vu + Ve)) ### genomic heritability (additive (all chromosomes), additive (chromosome
(beta <- EM3.linker.res$beta) ### Here, this is an intercept.
u <- EM3.linker.res$u ### estimated genotypic values (additive (all chromosomes), additive (chromosome 12))
See(u)</pre>
```

EMM.cpp

Equation of mixed model for one kernel, a wrapper of two methods

### **Description**

This function estimates maximum-likelihood (ML/REML) solutions for the following mixed model.

$$y = X\beta + Zu + \epsilon$$

where  $\beta$  is a vector of fixed effects and u is a vector of random effects with  $Var[u] = K\sigma_u^2$ . The residual variance is  $Var[\epsilon] = I\sigma_e^2$ .

### Usage

```
EMM.cpp(y, X = NULL, ZETA, eigen.G = NULL, eigen.SGS = NULL,
    n.thres = 450, reestimation = FALSE, lam.len = 4,
    init.range = c(1e-06, 100), init.one = 0.5, conv.param = 1e-06,
    count.max = 20, bounds = c(1e-06, 1e+06), tol = NULL,
    optimizer = "nlminb", traceInside = 0, REML = TRUE,
    silent = TRUE, plot.l = FALSE, SE = FALSE, return.Hinv = TRUE)
```

### **Arguments**

y  $n \times 1$  vector. A vector of phenotypic values should be used. NA is allowed.

X  $n \times p$  matrix. You should assign mean vector (rep(1, n)) and covariates. NA is

not allowed.

ZETA A list of variance (relationship) matrix (K;  $m \times m$ ) and its design matrix (Z;

 $n \times m$ ) of random effects. You can use only one kernel matrix. For example,

ZETA = list(A = list(Z = Z, K = K)) Please set names of list "Z" and "K"!

eigen.G A list with

**\$values** eigen values

**\$vectors** eigen vectors

The result of the eigen decompsition of  $G=ZKZ^\prime$ . You can use "spectralG.cpp" function in RAINBOW. If this argument is NULL, the eigen decomposition will be performed in this function. We recommend you assign the result

of the eigen decomposition beforehand for time saving.

eigen. SGS A list with

**\$values** eigen values

**\$vectors** eigen vectors

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The result of the eigen decompsition of SGS, where  $S = I - X(X'X)^{-1}X'$ , G = ZKZ'. You can use "spectralG.cpp" function in RAINBOW. If this argument is NULL, the eigen decomposition will be performed in this function. We recommend you assign the result of the eigen decomposition beforehand for time saving.

n. thres If n >= n.thres, perform EMM1.cpp. Else perform EMM2.cpp.

reestimation If TRUE, EMM2.cpp is performed when the estimation by EMM1.cpp may not

be accurate.

lam.len The number of initial values you set. If this number is large, the estimation will

be more accurate, but computational cost will be large. We recommend setting

this value  $3 \le \text{lam.len} \le 6$ .

init.range The range of the initial parameters. For example, if lam.len = 5 and init.range =

c(1e-06, 1e02), corresponding initial heritabilities will be calculated as seq(1e-

06, 1 - 1e-02, length = 5), and then initial lambdas will be set.

init.one The initial parameter if lam.len = 1.

conv.param The convergence parameter. If the diffrence of log-likelihood by updating the

parameter "lambda" is smaller than this conv.param, the iteration steps will be

stopped.

count.max Sometimes algorithms won't converge for some initial parameters. So if the

iteration steps reache to this argument, you can stop the calculation even if al-

gorithm doesn't converge.

bounds Lower and Upper bounds of the parameter lambda. If the updated parameter

goes out of this range, the parameter is reset to the value in this range.

tol The tolerance for detecting linear dependencies in the columns of G = ZKZ'.

Eigen vectors whose eigen values are less than "tol" argument will be omitted

from results. If tol is NULL, top 'n' eigen values will be effective.

optimizer The function used in the optimization process. We offer "optim", "optimx", and

"nlminb" functions.

traceInside Perform trace for the optimzation if traceInside >= 1, and this argument shows

the frequency of reports.

REML You can choose which method you will use, "REML" or "ML". If REML =

TRUE, you will perform "REML", and if REML = FALSE, you will perform

"ML".

silent If this argument is TRUE, warning messages will be shown when estimation is

not accurate.

plot.1 If you want to plot log-likelihood, please set plot.1 = TRUE. We don't recom-

mend plot.1 = TRUE when lam.len >= 2.

SE If TRUE, standard errors are calculated.

return. Hinv If TRUE, the function returns the inverse of  $H = ZKZ' + \lambda I$  where  $\lambda = \sigma_e^2/\sigma_u^2$ .

This is useful for GWAS.

### Value

**\$Vu** estimator for  $\sigma_u^2$ 

**\$Ve** estimator for  $\sigma_e^2$ 

\$beta BLUE( $\beta$ )

 $\mathbf{u}$  BLUP(u)

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```
$LL maximized log-likelihood (full or restricted, depending on method) $beta.SE standard error for \beta (If SE = TRUE) $u.SE standard error for u^* - u (If SE = TRUE) $Hinv the inverse of H = ZKZ' + \lambda I (If return.Hinv = TRUE) $Hinv2 the inverse of H2 = ZKZ'/\lambda + I (If return.Hinv = TRUE) $lambda estimators for \lambda = \sigma_e^2/\sigma_u^2 (If n >= n.thres) $lambdas lambdas for each initial values (If n >= n.thres) $reest If parameter estimation may not be accurate, reest = 1, else reest = 0 (If n >= n.thres) $counts the number of iterations until convergence for each initial values (If n >= n.thres)
```

#### References

Kang, H.M. et al. (2008) Efficient Control of Population Structure in Model Organism Association Mapping. Genetics. 178(3): 1709-1723.

Zhou, X. and Stephens, M. (2012) Genome-wide efficient mixed-model analysis for association studies. Nat Genet. 44(7): 821-824.

### **Examples**

```
### Import RAINBOW
require(RAINBOW)
### Load example datasets
data("Rice_Zhao_etal")
### View each dataset
See(Rice_geno_score)
See(Rice_geno_map)
See(Rice_pheno)
### Select one trait for example
trait.name <- "Flowering.time.at.Arkansas"</pre>
y <- as.matrix(Rice_pheno[, trait.name, drop = FALSE])</pre>
### Remove SNPs whose MAF <= 0.05
x.0 <- t(Rice_geno_score)</pre>
MAF.cut.res <- MAF.cut(x.0 = x.0, map.0 = Rice_geno_map)
x <- MAF.cut.res$x
map <- MAF.cut.res$map</pre>
### Estimate genetic relationship matrix
K.A <- rrBLUP::A.mat(x) ### rrBLUP package can be installed by install.packages("rrBLUP")
### Modify data
modify.res <- modify.data(pheno.mat = y, geno.mat = x, return.ZETA = TRUE)</pre>
pheno.mat <- modify.res$pheno.modi</pre>
ZETA <- modify.res$ZETA</pre>
### Solve linear mixed effects model
EMM.res <- EMM.cpp(y = pheno.mat, X = NULL, ZETA = ZETA)
(Vu <- EMM.res$Vu) ### estimated genetic variance
```

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```
(Ve <- EMM.res$Ve) ### estimated residual variance
(herit <- Vu / (Vu + Ve)) ### genomic heritability

(beta <- EMM.res$beta) ### Here, this is an intercept.
u <- EMM.res$u ### estimated genotypic values
See(u)</pre>
```

EMM1.cpp

Equation of mixed model for one kernel, GEMMA-based method (inplemented by Rcpp)

### Description

This function solves the single-kernel linear mixed effects model by GEMMA (genome wide efficient mixed model association; Zhou et al., 2012) approach.

## Usage

```
EMM1.cpp(y, X = NULL, ZETA, eigen.G = NULL, lam.len = 4,
  init.range = c(1e-04, 100), init.one = 0.5, conv.param = 1e-06,
  count.max = 15, bounds = c(1e-06, 1e+06), tol = NULL,
  REML = TRUE, silent = TRUE, plot.l = FALSE, SE = FALSE,
  return.Hinv = TRUE)
```

### **Arguments**

y  $n \times 1$  vector. A vector of phenotypic values should be used. NA is allowed.

X  $n \times p$  matrix. You should assign mean vector (rep(1, n)) and covariates. NA is

not allowed.

ZETA A list of variance (relationship) matrix (K;  $m \times m$ ) and its design matrix (Z;

 $n \times m$ ) of random effects. You can use only one kernel matrix. For example, ZETA = list(A = list(Z = Z, K = K)) Please set names of list "Z" and "K"!

eigen.G A list with

**\$values** eigen values

**\$vectors** eigen vectors

The result of the eigen decomposition of G=ZKZ'. You can use "spectralG.cpp" function in RAINBOW. If this argument is NULL, the eigen decomposition will be performed in this function. We recommend you assign the result

of the eigen decomposition beforehand for time saving.

lam.len The number of initial values you set. If this number is large, the estimation will

be more accurate, but computational cost will be large. We recommend setting

this value  $3 \le \text{lam.len} \le 6$ .

init.range The range of the initial parameters. For example, if lam.len = 5 and init.range =

c(1e-06, 1e02), corresponding initial heritabilities will be calculated as seq(1e-

06, 1 - 1e-02, length = 5), and then initial lambdas will be set.

init.one The initial parameter if lam.len = 1.

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conv.param The convergence parameter. If the diffrence of log-likelihood by updating the parameter "lambda" is smaller than this conv.param, the iteration steps will be stopped. Sometimes algorithms won't converge for some initial parameters. So if the count.max iteration steps reache to this argument, you can stop the calculation even if algorithm doesn't converge. bounds Lower and Upper bounds of the parameter 1 / lambda. If the updated parameter goes out of this range, the parameter is reset to the value in this range. tol The tolerance for detecting linear dependencies in the columns of G = ZKZ'. Eigen vectors whose eigen values are less than "tol" argument will be omitted from results. If tol is NULL, top 'n' eigen values will be effective. You can choose which method you will use, "REML" or "ML". If REML = **REML** TRUE, you will perform "REML", and if REML = FALSE, you will perform "ML". silent If this argument is TRUE, warning messages will be shown when estimation is not accurate. If you want to plot log-likelihood, please set plot.1 = TRUE. We don't recomplot.1 mend plot.1 = TRUE when lam.len  $\geq$  2. SE If TRUE, standard errors are calculated. If TRUE, the function returns the inverse of  $H = ZKZ' + \lambda I$  where  $\lambda = \sigma_e^2/\sigma_u^2$ . return.Hinv

#### Value

**\$Vu** estimator for  $\sigma_u^2$ 

**\$Ve** estimator for  $\sigma_e^2$ 

**\$beta** BLUE( $\beta$ )

 $\mathbf{u}$  BLUP(u)

\$LL maximized log-likelihood (full or restricted, depending on method)

**\$beta.SE** standard error for  $\beta$  (If SE = TRUE)

**\$u.SE** standard error for  $u^* - u$  (If SE = TRUE)

**\$Hinv** the inverse of  $H = ZKZ' + \lambda I$  (If return.Hinv = TRUE)

This is useful for GWAS.

**\$Hinv2** the inverse of  $H2 = ZKZ'/\lambda + I$  (If return.Hinv = TRUE)

**\$lambda** estimators for  $\lambda = \sigma_e^2/\sigma_u^2$ 

\$lambdas lambdas for each initial values

**\$reest** If parameter estimation may not be accurate, reest = 1, else reest = 0

**\$counts** the number of iterations until convergence for each initial values

#### References

Kang, H.M. et al. (2008) Efficient Control of Population Structure in Model Organism Association Mapping. Genetics. 178(3): 1709-1723.

Zhou, X. and Stephens, M. (2012) Genome-wide efficient mixed-model analysis for association studies. Nat Genet. 44(7): 821-824.

14 *EMM2.cpp* 

EMM2.cpp	Equation of mixed model for one kernel, EMMA-based method (inplemented by Rcpp)

### **Description**

This function solves single-kernel linear mixed model by EMMA (efficient mixed model association; Kang et al., 2008) approach.

#### Usage

```
EMM2.cpp(y, X = NULL, ZETA, eigen.G = NULL, eigen.SGS = NULL,
tol = NULL, optimizer = "nlminb", traceInside = 0, REML = TRUE,
bounds = c(1e-09, 1e+09), SE = FALSE, return.Hinv = FALSE)
```

### **Arguments**

y  $n \times 1$  vector. A vector of phenotypic values should be used. NA is allowed.

X  $n \times p$  matrix. You should assign mean vector (rep(1, n)) and covariates. NA is

not allowed.

ZETA A list of variance (relationship) matrix (K;  $m \times m$ ) and its design matrix (Z;

 $n\times m)$  of random effects. You can use only one kernel matrix. For example,

ZETA = list(A = list(Z = Z, K = K)) Please set names of list "Z" and "K"!

eigen.G A list with

\$values eigen values\$vectors eigen vectors

The result of the eigen decompsition of G=ZKZ'. You can use "spectralG.cpp" function in RAINBOW. If this argument is NULL, the eigen decomposition will be performed in this function. We recommend you assign the result of the eigen decomposition beforehand for time saving.

eigen. SGS A list with

\$values eigen values\$vectors eigen vectors

The result of the eigen decompsition of SGS, where  $S=I-X(X'X)^{-1}X'$ , G=ZKZ'. You can use "spectralG.cpp" function in RAINBOW. If this argument is NULL, the eigen decomposition will be performed in this function. We recommend you assign the result of the eigen decomposition beforehand for

time saving.

tol The tolerance for detecting linear dependencies in the columns of G = ZKZ'.

Eigen vectors whose eigen values are less than "tol" argument will be omitted

from results. If tol is NULL, top 'n' eigen values will be effective.

optimizer The function used in the optimization process. We offer "optim", "optimx", and

"nlminb" functions.

traceInside Perform trace for the optimization if traceInside >= 1, and this argument shows

the frequency of reports.

REML You can choose which method you will use, "REML" or "ML". If REML =

TRUE, you will perform "REML", and if REML = FALSE, you will perform

"ML".

genesetmap 15

bounds Lower and Upper bounds of the parameter lambda. If the updated parameter

goes out of this range, the parameter is reset to the value in this range.

SE If TRUE, standard errors are calculated.

return. Hinv If TRUE, the function returns the inverse of  $H = ZKZ' + \lambda I$  where  $\lambda = \sigma_e^2/\sigma_u^2$ .

This is useful for GWAS.

#### Value

**\$Vu** estimator for  $\sigma_u^2$ 

**\$Ve** estimator for  $\sigma_e^2$ 

**\$beta** BLUE( $\beta$ )

 $\mathbf{u}$  BLUP(u)

\$LL maximized log-likelihood (full or restricted, depending on method)

**\$beta.SE** standard error for  $\beta$  (If SE = TRUE)

**\$u.SE** standard error for  $u^* - u$  (If SE = TRUE)

**\$Hinv** the inverse of  $H = ZKZ' + \lambda I$  (If return.Hinv = TRUE)

#### References

Kang, H.M. et al. (2008) Efficient Control of Population Structure in Model Organism Association Mapping. Genetics. 178(3): 1709-1723.

genesetmap	Function to generate map for gene set

### **Description**

Function to generate map for gene set

### Usage

```
genesetmap(map, gene.set, cumulative = FALSE)
```

## **Arguments**

map Data frame with the marker names in the first column. The second and third

columns contain the chromosome and map position.

gene.set Gene information with the format of a "data.frame" (whose dimension is (the

number of gene) x 2). In the first column, you should assign the gene name. And in the second column, you should assign the names of each marker, which

correspond to the marker names of "map" argument.

cumulative If this argument is TRUE, cumulative position will be returned.

### Value

Map for gene set.

16 genetrait

genetrait Generate pseudo phenotypic value	e pseudo phenotypic values
--	----------------------------

### **Description**

This function generates pseudo phenotypic values according to the following formula.

$$y = X\beta + Zu + e$$

where effects of major genes are regarded as fixed effects  $\beta$  and polygenetic effects are regarded as random effects u. The variances of u and e are automatically determined by the heritability.

### Usage

```
genetrait(x, sample.sets = NULL, candidate = NULL, pos = NULL,
    x.par = NULL, ZETA = NULL, x2 = NULL, num.qtn = 3,
    weight = c(2, 1, 1), qtn.effect = rep("A", num.qtn), prop = 1,
    polygene.weight = 1, polygene = TRUE, h2 = 0.6,
    h.correction = FALSE, seed = NULL, plot = TRUE, saveAt = NULL,
    subpop = NULL, return.all = FALSE, seed.env = TRUE)
```

### **Arguments**

X	n.sample x n.mark genotype matrix where n.sample is sample size and n.mark is the number of markers.
sample.sets	n.sample x n.mark genotype matrix. Markers with fixed effects (QTNs) are chosen from sample.sets. If sample.sets = $NULL$ , sample.sets = $x$ .
candidate	If you want to fix QTN postitions, please set the number where SNPs to be fixed are located in your data (so not position). If candidate = $NULL$ , QTNs were randomly sampled from sample.sets or $x$ .
pos	n.mark x 1 vector. cumulative position (over chromosomes) of each marker.
x.par	If you don't want to match the sampling population and the genotype data to QTN effects, then use this argument as the latter.
ZETA	A list of covariance (relationship) matrix (K: $m \times m$ ) and its design matrix (Z: $n \times m$ ) of random effects. Please set names of list "Z" and "K"! You can use more than one kernel matrix. For example,
	ZETA = list(A = list(Z = Z.A, K = K.A), D = list(Z = Z.D, K = K.D))
	<b>Z.A, Z.D</b> Design matrix $(n \times m)$ for the random effects. So, in many cases, you can use the identity matrix.
	<b>K.A, K.D</b> Different kernels which express some relationships between lines.
	For example, K.A is additive relationship matrix for the covariance between lines, and K.D is dominance relationship matrix.
x2	genotype matrix to calculate additive relationship matrix when Z.ETA = NULL. If Z.ETA = NULL & $x2 = NULL$ , A.mat(x) will be calculated as kernel matrix.
num.qtn	the number of QTNs
weight	The weights for each QTN by their standard deviations. Negative value is also allowed.

MAF.cut 17

qtn.effect Additive of dominance for each marker effect. This argument should be the

same length as num.qtn.

prop The proportion of effects of QTNs to polygenetic effects.

polygene.weight

If there are multiple kernels, this argument determines the weights of each kernel

effect.

polygene If polygene = FALSE, pseudo phenotypes with only QTN effects will be gener-

ated.

h2 The wide-sense heritability for generating phenotypes.  $0 \le h2 < 1$ 

h.correction If TRUE, this function will generate phenotypes to match the genomic heritabil-

ity and "h2".

seed If seed is not NULL, some fixed phenotypic values will be generated according

to set.seed(seed)

plot If TRUE, boxplot for generated phenotypic values will be drawn.

saveAt When drawing any plot, you can save plots in png format. In saveAt, you should

substitute the name you want to save. When saveAt = NULL, the plot is not

saved.

subpop If there is subpopulation structure, you can draw boxpots divide by subpopu-

lations. n.sample x n.subpop matrix. Please indicate the subpopulation information by  $(0,\ 1)$  for each element.  $(0\ means\ that\ line\ doen't\ belong\ to\ that$ 

subpopulation, and 1 means that line belongs to that subpopulation)

return.all If FALSE, only returns generated phenotypic values. If TRUE, this function will

return other information such as positions of candidate QTNs.

seed.env If TRUE, this function will generate different environment effects every time.

### Value

trait = trait, u = g.all2, e = e2, candidate = qtn.candidates, qtn.position = pos.qtns, heritability = true\_h

trait generated phenotypic values

u generated genotyope values

e generated environmental effects

**candidate** the numbers where QTNs are located in your data (so not position).

qtn.position QTN positions

**heritability** var(u) / var(trait), genomic heritability for generated phenotypic values.

MAF.cut

Function to remove the minor alleles

### **Description**

Function to remove the minor alleles

### Usage

```
MAF.cut(x.0, map.0 = NULL, min.MAF = 0.05, max.MS = 0.05, return.MAF = FALSE)
```

18 make.full

## **Arguments**

x.0	$n \times m$ original marker genotype matrix.
map.0	Data frame with the marker names in the first column. The second and third columns contain the chromosome and map position.
min.MAF	Specifies the minimum minor allele frequency (MAF). If a marker has a MAF less than min.MAF, it is removed from the original marker genotype data.
max.MS	Specifies the maximum missing rate (MS). If a marker has a MS more than max.MS, it is removed from the original marker genotype data.
return.MAF	If TRUE, MAF will be returned.

#### Value

x The modified marker genotype data whose SNPs with MAF <= min.MAF were removed.

 $\mathbf{map}$  The modified map information whose SNPs with MAF <= min.MAF were removed.

**\$before** Minor allele frequencies of the original marker genotype.

**\$after** Minor allele frequencies of the modified marker genotype.

# Description

Change a matrix to full-rank matrix

### Usage

make.full(X)

## **Arguments**

X  $n \times p$  matrix which you want to change into full-rank matrix.

## Value

a full-rank matrix

manhattan 19

manhattan	Draw manhattan plot	

# Description

Draw manhattan plot

## Usage

```
manhattan(input, sig.level = 0.05, method.thres = "BH", y.max = NULL,
  cex.lab = 1, lwd.thres = 1, plot.col1 = c("dark blue",
  "cornflowerblue"), cex.axis.x = 1, cex.axis.y = 1, plot.type = "p",
  plot.pch = 16)
```

# Arguments

input	Data frame of GWAS results where the first column is the marker names, the second and third column is the chromosome amd map position, and the forth column is -log10(p) for each marker.
sig.level	Significance level for the threshold. The default is 0.05.
method.thres	Method for determining threshold of significance. "BH" and "Bonferroni are offered.
y.max	The maximum value for the vertical axis of manhattan plot. If NULL, automatically determined.
cex.lab	The font size of the labels.
lwd.thres	The line width for the threshold.
plot.col1	This argument determines the color of the manhattan plot. You should substitute this argument as color vector whose length is 2. plot.col1[1] for odd chromosomes and plot.col1[2] for even chromosomes.
cex.axis.x	The font size of the x axis.
cex.axis.y	The font size of the y axis.
plot.type	This argument determines the type of the manhattan plot. See the help page of "plot".
plot.pch	This argument determines the shape of the dot of the manhattan plot. See the help page of "plot".

### Value

draw manhttan plot

20 manhattan2

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man	hattan	ı.bıus

Add points of -log10(p) corrected by kernel methods to manhattan plot

### **Description**

Add points of -log10(p) corrected by kernel methods to manhattan plot

# Usage

```
manhattan.plus(input, checks, plot.col1 = c("dark blue",
   "cornflowerblue"), plot.col3 = c("red3", "orange3"), plot.type = "p",
   plot.pch = 16)
```

## Arguments

input	Data frame of GWAS results where the first column is the marker names, the second and third column is the chromosome amd map position, and the forth column is $-\log 10(p)$ for each marker.
checks	The marker numbers whose -log10(p)s are corrected by kernel methods.
plot.col1	This argument determines the color of the manhattan plot. You should substitute this argument as a color vector whose length is 2. plot.col1[1] for odd chromosomes and plot.col1[2] for even chromosomes.
plot.col3	Color of $-\log 10(p)$ corrected by kernel methods. plot.col3[1] for odd chromosomes and plot.col3[2] for even chromosomes
plot.type	This argument determines the type of the manhattan plot. See the help page of "plot".
plot.pch	This argument determines the shape of the dot of the manhattan plot. See the help page of "plot".

### Value

draw manhttan plot

Draw manhattan plot (another method)

### **Description**

Draw manhattan plot (another method)

# Usage

```
manhattan2(input, sig.level = 0.05, method.thres = "BH",
  plot.col2 = 1, plot.type = "p", plot.pch = 16, cum.pos = NULL,
  lwd.thres = 1, cex.lab = 1, cex.axis.x = 1, cex.axis.y = 1)
```

manhattan3 21

# Arguments

input	Data frame of GWAS results where the first column is the marker names, the second and third column is the chromosome amd map position, and the forth column is -log10(p) for each marker.
sig.level	Siginifincance level for the threshold. The default is 0.05.
method.thres	Method for determining threshold of significance. "BH" and "Bonferroni are offered.
plot.col2	color of the manhattan plot. color changes with chromosome and it starts from $plot.col2 + 1$ (so $plot.col2 = 1$ means color starts from red.)
plot.type	This argument determines the type of the manhattan plot. See the help page of "plot".
plot.pch	This argument determines the shape of the dot of the manhattan plot. See the help page of "plot".
cum.pos	cumulative position (over chromosomes) of each marker
lwd.thres	The line width for the threshold.
cex.lab	The font size of the labels.
cex.axis.x	The font size of the x axis.
cex.axis.y	The font size of the y axis.

# Value

draw manhttan plot

manhattan3	Draw the effects of epistasis (3d plot and 2d plot)	

# Description

Draw the effects of epistasis (3d plot and 2d plot)

# Usage

```
manhattan3(input, cum.pos, plot.epi.3d = TRUE, plot.epi.2d = TRUE,
   main.epi.3d = NULL, main.epi.2d = NULL, saveName = NULL)
```

# Arguments

input	Data frame of GWAS results where the first column is the marker names, the second and third column is the chromosome amd map position, and the forth column is -log10(p) for each marker.
cum.pos	cumulative position (over chromosomes) of each marker
plot.epi.3d	If TRUE, draw 3d plot
plot.epi.2d	If TRUE, draw 2d plot
main.epi.3d	The title of 3d plot. If this argument is NULL, trait name is set as the title.
main.epi.2d	The title of 2d plot. If this argument is NULL, trait name is set as the title.
saveName	When drawing any plot, you can save plots in png format. In saveName, you should substitute the name you want to save. When saveAt = NULL, the plot is not saved.

22 modify.data

#### Value

draw 3d plot and 2d plot to show epistatic effects

Function to modify genotype and phenotype data to match

## Description

Function to modify genotype and phenotype data to match

### Usage

```
modify.data(pheno.mat, geno.mat, pheno.labels = NULL,
  geno.names = NULL, map = NULL, return.ZETA = TRUE,
  return.GWAS.format = FALSE)
```

# Arguments

pheno.mat	A $n_1 \times p$ matrix of phenotype data. rownames(pheno.mat) should be genotype (line; accesion; variety) names.
geno.mat	A $n_2 \times m$ matrix of marker genotype data. rownames(geno.mat) should be genotype (line; accesion; variety) names.
pheno.labels	A vector of genotype (line; accesion; variety) names which correpond to phenotypic values.
geno.names	A vector of genotype (line; accesion; variety) names for marker genotype data (duplication is not recommended).
map	Data frame with the marker names in the first column. The second and third columns contain the chromosome and map position.
return.ZETA	If this argument is TRUE, the list for mixed model equation (ZETA) will be returned.
return.GWAS.format	
	If this argument is TRUE, phenotype and genotype data for GWAS will be re-

#### Value

**\$geno.modi** The modified marker genotype data.

**\$pheno.modi** The modified phenotype data.

turned.

**\$ZETA** The list for mixed model equation (ZETA).

**\$pheno.GWAS** GWAS formatted phenotype data.

**\$geno.GWAS** GWAS formatted marker genotype data.

*qq* 23

qq

Draw qq plot

### **Description**

Draw qq plot

### Usage

qq(scores)

### **Arguments**

scores

A vector of -log10(p) for each marker

#### Value

draw qq plot

RAINBOW

RAINBOW: Perform genome wide association study (GWAS) by kernel-based methods

### **Description**

Users can test multiple SNPs simultaneously by kernel-based methods. Users can test not only additive effects but also dominance and epistatic effects.

RGWAS.epistasis

Check epistatic effects by kernel-based GWAS

### **Description**

Check epistatic effects by kernel-based GWAS

#### Usage

```
RGWAS.epistasis(pheno, geno, ZETA = NULL, covariate = NULL, covariate.factor = NULL, structure.matrix = NULL, n.PC = 0, min.MAF = 0.02, n.core = 1, test.method = "LR", dominance.eff = TRUE, haplotype = TRUE, num.hap = NULL, window.size.half = 5, window.slide = 1, chi0.mixture = 0.5, optimizer = "nlminb", gene.set = NULL, plot.epi.3d = TRUE, plot.epi.2d = TRUE, main.epi.3d = NULL, main.epi.2d = NULL, saveName = NULL, verbose = FALSE, count = TRUE, time = TRUE)
```

24 RGWAS.epistasis

#### **Arguments**

pheno Data frame where the first column is the line name (gid). The remaining columns

should be a phenotype to test.

geno Data frame with the marker names in the first column. The second and third

columns contain the chromosome and map position. Columns 4 and higher contain the marker scores for each line, coded as -1, 0, 1 = aa, Aa, AA.

ZETA A list of covariance (relationship) matrix (K:  $m \times m$ ) and its design matrix (Z:

 $n \times m$ ) of random effects. Please set names of list "Z" and "K"! You can use more than one kernel matrix. For example,

ZETA = list(A = list(Z = Z.A, K = K.A), D = list(Z = Z.D, K = K.D))

**Z.A, Z.D** Design matrix  $(n \times m)$  for the random effects. So, in many cases, you can use the identity matrix.

**K.A, K.D** Different kernels which express some relationships between lines.

For example, K.A is additive relationship matrix for the covariance between lines, and K.D is dominance relationship matrix.

covariate A  $n \times 1$  vector or a  $n \times p_1$  matrix. You can insert continuous values, such as

other traits or genotype score for special markers. This argument is regarded as

one of the fixed effects.

covariate.factor

A  $n \times p_2$  dataframe. You should assign a factor vector for each column. Then RGWAS changes this argument into model matrix, and this model matrix will

be included in the model as fixed effects.

structure.matrix

You can use structure matrix calculated by structure analysis when there are

population structure. You should not use this argument with n.PC > 0.

n.PC Number of principal components to include as fixed effects. Default is 0 (equals K model).

K illouel).

min.MAF Specifies the minimum minor allele frequency (MAF). If a marker has a MAF

less than min.MAF, it is assigned a zero score.

n.core Setting n.core > 1 will enable parallel execution on a machine with multiple

cores (use only at UNIX command line).

test.method RGWAS supports two methods to test effects of each SNP-set.

"LR" Likelihood-ratio test, relatively slow, but accurate (default).

"score" Score test, much faster than LR, but sometimes overestimate -log10(p).

tive x dominance and dominance x dominance are also tested as epistatic effects.

When you use inbred lines, please set this argument FALSE.

haplotype If the number of lines of your data is large (maybe > 100), you should set hap-

lotype = TRUE. When haplotype = TRUE, haplotype-based kernel will be used for calculating -log10(p). (So the dimension of this gram matrix will be smaller.)

The result won't be changed, but the time for the calculation will be shorter.

The result won't be changed, but the time for the calculation will be shorter.

num.hap When haplotype = TRUE, you can set the number of haplotypes which you

expect. Then similar arrays are considered as the same haplotype, and then make kernel(K.SNP) whose dimension is num.hap x num.hap. When num.hap = NULL (default), num.hap will be set as the maximum number which reflects

the difference between lines.

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window.size.half

This argument decides how many SNPs (around the SNP you want to test) are used to calculated K.SNP. More precisely, the number of SNPs will be 2\* window.size.half +1.

window.slide This argument determines how often you test markers. If window.slide = 1,

every marker will be tested. If you want to perform SNP set by bins, please set

window.slide = 2 \* window.size.half + 1.

chi@.mixture RAINBOW assumes the deviance is considered to follow a x chisq(df = 0) + (1 - 1)

a) x chisq(df = r). where r is the degree of freedom. The argument chi0.mixture

is a  $(0 \le a \le 1)$ , and default is 0.5.

optimizer The function used in the optimization process. We offer "optim", "optimx", and

"nlminb" functions.

gene.set If you have information of gene (or haplotype block), you can use it to perform

kernel-based GWAS. You should assign your gene information to gene.set in the form of a "data.frame" (whose dimension is (the number of gene) x 2). In the first column, you should assign the gene name. And in the second column, you should assign the names of each marker, which correspond to the marker names

of "geno" argument.

plot.epi.3d If TRUE, draw 3d plot plot.epi.2d If TRUE, draw 2d plot

main.epi.3d The title of 3d plot. If this argument is NULL, trait name is set as the title.

main.epi.2d The title of 2d plot. If this argument is NULL, trait name is set as the title.

saveName When drawing any plot, you can save plots in png format. In saveName, you

should substitute the name you want to save. When saveName = NULL, the plot

is not saved.

verbose If this argument is TRUE, welcome message will be shown.

count When count is TRUE, you can know how far RGWAS has ended with percent

display.

time When time is TRUE, you can know how much time it took to perform RGWAS.

#### Value

**\$map** Map information for SNPs which are tested epistatic effects.

**\$scores \$scores** This is the matrix which contains -log10(p) calculated by the test about epistasis effects.

\$x, \$y The information of the positions of SNPs detected by regular GWAS. These vectors are used when drawing plots. Each output correspond to the repliction of row and column of scores.

\$z This is a vector of \$scores. This vector is also used when drawing plots.

#### References

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### **Examples**

```
### Import RAINBOW
require(RAINBOW)
### Load example datasets
data("Rice_Zhao_etal")
### View each dataset
See(Rice_geno_score)
See(Rice_geno_map)
See(Rice_pheno)
### Select one trait for example
trait.name <- "Flowering.time.at.Arkansas"</pre>
y <- as.matrix(Rice_pheno[, trait.name, drop = FALSE])</pre>
### Remove SNPs whose MAF <= 0.05
x.0 <- t(Rice_geno_score)</pre>
MAF.cut.res <- MAF.cut(x.0 = x.0, map.0 = Rice_geno_map)
x <- MAF.cut.res$x</pre>
map <- MAF.cut.res$map</pre>
### Estimate genetic relationship matrix
K.A <- rrBLUP::A.mat(x) ### rrBLUP package can be installed by install.packages("RAINBOW")</pre>
### Modify data
modify.data.res <- modify.data(pheno.mat = y, geno.mat = x, map = map,</pre>
                                 return.ZETA = TRUE, return.GWAS.format = TRUE)
pheno.GWAS <- modify.data.res$pheno.GWAS</pre>
{\tt geno.GWAS} {\tt <- modify.data.res\$geno.GWAS}
ZETA <- modify.data.res$ZETA</pre>
### View each data for RAINBOW
See(pheno.GWAS)
See(geno.GWAS)
```

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```
str(ZETA)
```

See(epistasis.res\$scores\$scores)

RGWAS.menu

Print the R code which you should perform for RAINBOW GWAS

### **Description**

Print the R code which you should perform for RAINBOW GWAS

#### **Usage**

RGWAS.menu()

#### Value

the R code which you should perform for RAINBOW GWAS

RGWAS.multisnp

Testing multiple SNPs simulataneously for GWAS

### **Description**

This function performs SNP-set GWAS, which tests multiple SNPs simulataneously. The model of SNP-set GWAS is

$$y = X\beta + Qv + Z_c u_c + Z_r u_r + \epsilon,$$

where y is the vector of phenotypic values,  $X\beta$  and Qv are the terms of fixed effects,  $Z_cu_c$  and  $Z_cu_c$  are the term of random effects and e is the vector of residuals.  $X\beta$  indicates all of the fixed effects other than population structure, and often this term also plays a role as an intercept. Qv is the term to correct the effect of population structure.  $Z_cu_c$  is the term of polygenetic effects, and suppose that  $u_c$  follows the multivariate normal distribution whose variance-covariance matrix is the genetic covariance matrix.  $u_c \sim MVN(0, K_c\sigma_c^2)$ .  $Z_ru_r$  is the term of effects for SNP-set of interest, and suppose that  $u_r$  follows the multivariate normal distribution whose variance-covariance matrix is the Gram matrix (linear, exponential, or gaussian kernel) calculated from marker genotype which belong to that SNP-set. Therefore,  $u_r \sim MVN(0, K_r\sigma_r^2)$ . Finally, the residual term is assumed to identically and independently follow a normal distribution as shown in the following equation.  $e \sim MVN(0, I\sigma_e^2)$ .

#### **Usage**

```
RGWAS.multisnp(pheno, geno, ZETA = NULL, covariate = NULL,
 covariate.factor = NULL, structure.matrix = NULL, n.PC = 0,
 min.MAF = 0.02, test.method = "LR", n.core = 1,
 kernel.method = "linear", kernel.h = "tuned", haplotype = TRUE,
 num.hap = NULL, test.effect = "additive", window.size.half = 5,
 window.slide = 1, chi0.mixture = 0.5, gene.set = NULL,
 weighting.center = TRUE, weighting.other = NULL, sig.level = 0.05,
 method.thres = "BH", plot.qq = TRUE, plot.Manhattan = TRUE,
 plot.method = 1, plot.col1 = c("dark blue", "cornflowerblue"),
 plot.col2 = 1, plot.type = "p", plot.pch = 16, saveName = NULL,
 main.qq = NULL, main.man = NULL, plot.add.last = FALSE,
 return.EMM.res = FALSE, optimizer = "nlminb", thres = TRUE,
 verbose = FALSE, count = TRUE, time = TRUE)
```

### **Arguments**

pheno Data frame where the first column is the line name (gid). The remaining columns

should be a phenotype to test.

Data frame with the marker names in the first column. The second and third geno

columns contain the chromosome and map position. Columns 4 and higher contain the marker scores for each line, coded as -1, 0, 1 = aa, Aa, AA.

ZETA A list of covariance (relationship) matrix (K:  $m \times m$ ) and its design matrix (Z:

 $n \times m$ ) of random effects. Please set names of list "Z" and "K"! You can use more than one kernel matrix. For example,

ZETA = list(A = list(Z = Z.A, K = K.A), D = list(Z = Z.D, K = K.D))

**Z.A, Z.D** Design matrix  $(n \times m)$  for the random effects. So, in many cases, you can use the identity matrix.

**K.A, K.D** Different kernels which express some relationships between lines.

For example, K.A is additive relationship matrix for the covariance between

lines, and K.D is dominance relationship matrix.

covariate A  $n \times 1$  vector or a  $n \times p_1$  matrix. You can insert continuous values, such as

other traits or genotype score for special markers. This argument is regarded as

one of the fixed effects.

covariate.factor

A  $n \times p_2$  dataframe. You should assign a factor vector for each column. Then RGWAS changes this argument into model matrix, and this model matrix will

be included in the model as fixed effects.

structure.matrix

You can use structure matrix calculated by structure analysis when there are

population structure. You should not use this argument with n.PC > 0.

n.PC Number of principal components to include as fixed effects. Default is 0 (equals

K model).

Specifies the minimum minor allele frequency (MAF). If a marker has a MAF min.MAF

less than min.MAF, it is assigned a zero score.

test.method RGWAS supports two methods to test effects of each SNP-set.

"LR" Likelihood-ratio test, relatively slow, but accurate (default).

"score" Score test, much faster than LR, but sometimes overestimate -log10(p).

n.core Setting n.core > 1 will enable parallel execution on a machine with multiple cores (use only at UNIX command line).

kernel.method It determines how to calculate kernel. There are three methods.

"gaussian" It is the default method. Gaussian kernel is calculated by distance matrix.

**"exponential"** When this method is selected, exponential kernel is calculated by distance matrix.

"linear" When this method is selected, linear kernel is calculated by A.mat.

So local genomic relation matrix is regarded as kernel.

kernel.h The hyper parameter for gaussian or exponential kernel. If kernel.h = "tuned", this hyper parameter is calculated as the median of off-diagonals of distance

matrix of genotype data.

haplotype If the number of lines of your data is large (maybe > 100), you should set hap-

lotype = TRUE. When haplotype = TRUE, haplotype-based kernel will be used for calculating -log10(p). (So the dimension of this gram matrix will be smaller.) The result won't be changed, but the time for the calculation will be shorter.

num.hap When haplotype = TRUE, you can set the number of haplotypes which you expect. Then similar arrays are considered as the same haplotype, and then

make kernel(K.SNP) whose dimension is num.hap x num.hap. When num.hap = NULL (default), num.hap will be set as the maximum number which reflects

the difference between lines.

"dominance" and "additive+dominance". You also can choose more than one effect, for example, test.effect = c("additive", "aditive+dominance")

window.size.half

This argument decides how many SNPs (around the SNP you want to test) are used to calculated K.SNP. More precisely, the number of SNPs will be 2 \* win-

dow.size.half + 1.

window.slide This argument determines how often you test markers. If window.slide = 1,

every marker will be tested. If you want to perform SNP set by bins, please set

window.slide = 2 \* window.size.half + 1.

chi0.mixture RAINBOW assumes the deviance is considered to follow a x chisq(df = 0) + (1 - 1)

a) x chisq(df = r). where r is the degree of freedom. The argument chi0.mixture is a  $(0 \le a \le 1)$ , and default is 0.5.

is a  $(0 \le a \le 1)$ , and default is 0..

gene.set If you have information of gene (or haplotype block), you can use it to perform

kernel-based GWAS. You should assign your gene information to gene.set in the form of a "data.frame" (whose dimension is (the number of gene) x 2). In the first column, you should assign the gene name. And in the second column, you should assign the names of each marker, which correspond to the marker names

of "geno" argument.

weighting.center

In kernel-based GWAS, weights according to the Gaussian distribution (centered on the tested SNP) are taken into account when calculating the kernel if Rainbow = TRUE. If Rainbow = FALSE, weights are not taken into account.

weighting.other

You can set other weights in addition to weighting.center. The length of this argument should be equal to the number of SNPs. For example, you can assign SNP effects from the information of gene annotation.

sig.level	Significance level for the threshold. The default is 0.05.
method.thres	Method for determining threshold of significance. "BH" and "Bonferroni are offered.
plot.qq	If TRUE, draw qq plot.
plot.Manhattan	If TRUE, draw manhattan plot.
plot.method	If this argument = 1, the default manhattan plot will be drawn. If this argument = 2, the manhattan plot with axis based on Position (bp) will be drawn. Also, this plot's color is changed by all chromosomes.
plot.col1	This argument determines the color of the manhattan plot. You should substitute this argument as color vector whose length is 2. plot.col1[1] for odd chromosomes and plot.col1[2] for even chromosomes
plot.col2	color of the manhattan plot. color changes with chromosome and it starts from plot.col $2+1$ (so plot.col $2=1$ means color starts from red.)
plot.type	This argument determines the type of the manhattan plot. See the help page of "plot".
plot.pch	This argument determines the shape of the dot of the manhattan plot. See the help page of "plot".
saveName	When drawing any plot, you can save plots in png format. In saveName, you should substitute the name you want to save. When saveName = NULL, the plot is not saved.
main.qq	The title of qq plot. If this argument is NULL, trait name is set as the title.
main.man	The title of manhattan plot. If this argument is NULL, trait name is set as the title.
plot.add.last	If saveName is not NULL and this argument is TRUE, then you can add lines or dots to manhattan plots. However, you should also write "dev.off()" after adding something.
return.EMM.res	When return.EMM.res = TRUE, the results of equation of mixed models are included in the result of RGWAS.
optimizer	The function used in the optimization process. We offer "optim", "optimx", and "nlminb" functions.
thres	If thres = TRUE, the threshold of the manhattan plot is included in the result of RGWAS. When return.EMM.res or thres is TRUE, the results will be "list" class.
verbose	If this argument is TRUE, welcome message will be shown.
count	When count is TRUE, you can know how far RGWAS has ended with percent display.
time	When time is TRUE, you can know how much time it took to perform RGWAS.

## **Details**

P-value for each SNP-set is calculated by performing the LR test or the score test (Lippert et al., 2014).

In the LR test, first, the function solves the multi-kernel mixed model and calaculates the maximum restricted log likelihood. Then it performs the LR test by using the fact that the deviance

$$D = 2 \times (LL_{alt} - LL_{null})$$

follows the chi-square distribution.

In the score test, the maximization of the likelihood is only performed for the null model. In other words, the function calculates the score statistic without solving the multi-kernel mixed model for each SNP-set. Then it performs the score test by using the fact that the score statistic follows the chi-square distribution.

#### Value

**\$D** Dataframe which contains the information of the map you input and the results of RGWAS (-log10(p)) which correspond to the map. If there are more than one test.effects, then multiple lists for each test.effect are returned respectively.

**\$thres** A vector which contains the information of threshold determined by FDR = 0.05.

**\$EMM.res** This output is a list which contains the information about the results of "EMM" performed at first in regular GWAS. If you want to know details, see the description for the function "EMM1" or "EMM2".

#### References

Storey, J.D. and Tibshirani, R. (2003) Statistical significance for genomewide studies. Proc Natl Acad Sci. 100(16): 9440-9445.

Yu, J. et al. (2006) A unified mixed-model method for association mapping that accounts for multiple levels of relatedness. Nat Genet. 38(2): 203-208.

Kang, H.M. et al. (2008) Efficient Control of Population Structure in Model Organism Association Mapping. Genetics. 178(3): 1709-1723.

Endelman, J.B. (2011) Ridge Regression and Other Kernels for Genomic Selection with R Package rrBLUP. Plant Genome J. 4(3): 250.

Endelman, J.B. and Jannink, J.L. (2012) Shrinkage Estimation of the Realized Relationship Matrix. G3 Genes, Genomes, Genet. 2(11): 1405-1413.

Zhou, X. and Stephens, M. (2012) Genome-wide efficient mixed-model analysis for association studies. Nat Genet. 44(7): 821-824.

Listgarten, J. et al. (2013) A powerful and efficient set test for genetic markers that handles confounders. Bioinformatics. 29(12): 1526-1533.

Lippert, C. et al. (2014) Greater power and computational efficiency for kernel-based association testing of sets of genetic variants. Bioinformatics. 30(22): 3206-3214.

### **Examples**

```
### Import RAINBOW
require(RAINBOW)

### Load example datasets
data("Rice_Zhao_etal")

### View each dataset
See(Rice_geno_score)
See(Rice_geno_map)
See(Rice_pheno)

### Select one trait for example
trait.name <- "Flowering.time.at.Arkansas"
y <- as.matrix(Rice_pheno[, trait.name, drop = FALSE])</pre>
```

```
### Remove SNPs whose MAF <= 0.05
x.0 <- t(Rice_geno_score)</pre>
MAF.cut.res <- MAF.cut(x.0 = x.0, map.0 = Rice\_geno\_map)
x <- MAF.cut.res$x</pre>
map <- MAF.cut.res$map</pre>
### Estimate genetic relationship matrix
K.A <- rrBLUP::A.mat(x) ### rrBLUP package can be installed by install.packages("rrBLUP")</pre>
### Modify data
modify.data.res <- modify.data(pheno.mat = y, geno.mat = x, map = map,</pre>
                                return.ZETA = TRUE, return.GWAS.format = TRUE)
pheno.GWAS <- modify.data.res$pheno.GWAS</pre>
geno.GWAS <- modify.data.res$geno.GWAS</pre>
ZETA <- modify.data.res$ZETA</pre>
### View each data for RAINBOW
See(pheno.GWAS)
See(geno.GWAS)
str(ZETA)
### Perform SNP-set GWAS (by regarding 11 SNPs as one SNP-set)
SNP_set.res <- RGWAS.multisnp(pheno = pheno.GWAS, geno = geno.GWAS, ZETA = ZETA,
n.PC = 4, test.method = "LR", kernel.method = "linear", gene.set = NULL,
test.effect = "additive", window.size.half = 5, window.slide = 11)
See(SNP_set.res$D) ### Column 4 contains -log10(p) values for markers
### Perform SNP-set GWAS 2 (by regarding 11 SNPs as one SNP-set with sliding window)
### It will take almost 25 minutes...
SNP_set.res2 <- RGWAS.multisnp(pheno = pheno.GWAS, geno = geno.GWAS, ZETA = ZETA,
n.PC = 4, test.method = "LR", kernel.method = "linear", gene.set = NULL,
test.effect = "additive", window.size.half = 5, window.slide = 1)
See(SNP_set.res2$D) ### Column 4 contains -log10(p) values for markers
```

RGWAS.normal

Perform normal GWAS (test each single SNP)

### **Description**

This function performs single-SNP GWAS. The model of GWAS is

$$y = X\beta + S_i\alpha_i + Qv + Zu + \epsilon,$$

where y is the vector of phenotypic values,  $X\beta$ ,  $S_i\alpha_i$ , Qv are the terms of fixed effects, Zu is the term of random effects and e is the vector of residuals.  $X\beta$  indicates all of the fixed effects other than the effect of SNPs to be tested and of population structure, and often this term also plays a role as an intercept. For  $S_i\alpha_i$ ,  $S_i$  is the ith marker of genotype data and  $\alpha_i$  is the effect of that marker. Qv is the term to correct the effect of population structure. Zu is the term of polygenetic

effects, and suppose that u follows the multivariate normal distribution whose variance-covariance matrix is the genetic covariance matrix.  $u \sim MVN(0, K\sigma_u^2)$ . Finally, the residual term is assumed to identically and independently follow a normal distribution as shown in the following equation.  $e \sim MVN(0, I\sigma_e^2)$ .

### Usage

```
RGWAS.normal(pheno, geno, ZETA = NULL, covariate = NULL,
 covariate.factor = NULL, structure.matrix = NULL, n.PC = 0,
 min.MAF = 0.02, P3D = TRUE, n.core = 1, sig.level = 0.05,
 method.thres = "BH", plot.qq = TRUE, plot.Manhattan = TRUE,
 plot.method = 1, plot.col1 = c("dark blue", "cornflowerblue"),
 plot.col2 = 1, plot.type = "p", plot.pch = 16, saveName = NULL,
 main.qq = NULL, main.man = NULL, plot.add.last = FALSE,
 return.EMM.res = FALSE, optimizer = "nlminb", thres = TRUE,
 verbose = FALSE, count = TRUE, time = TRUE)
```

### **Arguments**

pheno Data frame where the first column is the line name (gid). The remaining columns

should be a phenotype to test.

Data frame with the marker names in the first column. The second and third geno columns contain the chromosome and map position. Columns 4 and higher

contain the marker scores for each line, coded as -1, 0, 1 = aa, Aa, AA.

ZETA A list of covariance (relationship) matrix (K:  $m \times m$ ) and its design matrix (Z:  $n \times m$ ) of random effects. Please set names of list "Z" and "K"! You can use

more than one kernel matrix. For example,

ZETA = list(A = list(Z = Z.A, K = K.A), D = list(Z = Z.D, K = K.D))

**Z.A, Z.D** Design matrix  $(n \times m)$  for the random effects. So, in many cases, you can use the identity matrix.

**K.A, K.D** Different kernels which express some relationships between lines.

For example, K.A is additive relationship matrix for the covariance between lines, and K.D is dominance relationship matrix.

covariate A  $n \times 1$  vector or a  $n \times p_1$  matrix. You can insert continuous values, such as other traits or genotype score for special markers. This argument is regarded as

one of the fixed effects.

K model).

covariate.factor

A  $n \times p_2$  dataframe. You should assign a factor vector for each column. Then RGWAS changes this argument into model matrix, and this model matrix will be included in the model as fixed effects.

structure.matrix

You can use structure matrix calculated by structure analysis when there are population structure. You should not use this argument with n.PC > 0.

Number of principal components to include as fixed effects. Default is 0 (equals

min.MAF Specifies the minimum minor allele frequency (MAF). If a marker has a MAF

less than min.MAF, it is assigned a zero score.

When P3D = TRUE, variance components are estimated by REML only once, without any markers in the model. When P3D = FALSE, variance components are estimated by REML for each marker separately.

n.PC

P<sub>3</sub>D

n.core	Setting n.core > 1 will enable parallel execution on a machine with multiple cores.
sig.level	Significance level for the threshold. The default is 0.05.
method.thres	Method for determining threshold of significance. "BH" and "Bonferroni are offered.
plot.qq	If TRUE, draw qq plot.
plot.Manhattan	If TRUE, draw manhattan plot.
plot.method	If this argument = 1, the default manhattan plot will be drawn. If this argument = 2, the manhattan plot with axis based on Position (bp) will be drawn. Also, this plot's color is changed by all chromosomes.
plot.col1	This argument determines the color of the manhattan plot. You should substitute this argument as color vector whose length is 2. plot.col1[1] for odd chromosomes and plot.col1[2] for even chromosomes
plot.col2	color of the manhattan plot. color changes with chromosome and it starts from plot.col2 + 1 (so plot.col2 = 1 means color starts from red.)
plot.type	This argument determines the type of the manhattan plot. See the help page of "plot".
plot.pch	This argument determines the shape of the dot of the manhattan plot. See the help page of "plot".
saveName	When drawing any plot, you can save plots in png format. In saveName, you should substitute the name you want to save. When saveName = NULL, the plot is not saved.
main.qq	The title of qq plot. If this argument is NULL, trait name is set as the title.
main.man	The title of manhattan plot. If this argument is NULL, trait name is set as the title.
plot.add.last	If saveName is not NULL and this argument is TRUE, then you can add lines or dots to manhattan plots. However, you should also write "dev.off()" after adding something.
return.EMM.res	When return.EMM.res = TRUE, the results of equation of mixed models are included in the result of RGWAS.
optimizer	The function used in the optimization process. We offer "optim", "optimx", and "nlminb" functions.
thres	If thres = TRUE, the threshold of the manhattan plot is included in the result of RGWAS. When return.EMM.res or thres is TRUE, the results will be "list" class.
verbose	If this argument is TRUE, welcome message will be shown.
count	When count is TRUE, you can know how far RGWAS has ended with percent display.
time	When time is TRUE, you can know how much time it took to perform RGWAS.

## **Details**

P-value for each marker is calculated by performing F-test against the F-value as follows (Kennedy et al., 1992).

$$F = \frac{(L'\hat{b})'[L'(X'H^{-1}X)^{-1}L]^{-1}(L'\hat{b})}{f\hat{\sigma}_u^2},$$

where b is the vector of coefficients of the fixed effects, which combines  $\beta$ ,  $\alpha_i$ , v in the horizontal direction and L is a matrix to indicate which effects in b are tested. H is calculated by dividing the estimated variance-covariance matrix for the phenotypic values by  $\sigma_u^2$ , and is calculated by  $H = ZKZ' + \hat{\lambda}I$ .  $\hat{\lambda}$  is the maximum likelihood estimator of the ratio between the residual variance and the additive genetic variance.  $\hat{b}$  is the maximum likelihood estimator of b and is calculated by  $\hat{b} = (X'H^{-1}X)^{-1}X'H^{-1}y$ . f is the number of the fixed effects to be tested, and  $\hat{\sigma}_u^2$  is estimated by the following formula.

$$\hat{\sigma}_{u}^{2} = \frac{(y - X\hat{b})'H^{-1}(y - X\hat{b})}{n - p},$$

where n is the sample size and p is the number of the all fixed effects. We calculated each p-value using the fact that the above F-value follows the F distribution with the degree of freedom (f, n-p).

#### Value

**\$D** Dataframe which contains the information of the map you input and the results of RGWAS (-log10(p)) which correspond to the map.

**\$thres** A vector which contains the information of threshold determined by FDR = 0.05.

**\$EMM.res** This output is a list which contains the information about the results of "EMM" performed at first in regular GWAS. If you want to know details, see the description for the function "EMM1" or "EMM2".

#### References

Kennedy, B.W., Quinton, M. and van Arendonk, J.A. (1992) Estimation of effects of single genes on quantitative traits. J Anim Sci. 70(7): 2000-2012.

Storey, J.D. and Tibshirani, R. (2003) Statistical significance for genomewide studies. Proc Natl Acad Sci. 100(16): 9440-9445.

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Zhang, Z. et al. (2010) Mixed linear model approach adapted for genome-wide association studies. Nat Genet. 42(4): 355-360.

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Endelman, J.B. and Jannink, J.L. (2012) Shrinkage Estimation of the Realized Relationship Matrix. G3 Genes, Genomes, Genet. 2(11): 1405-1413.

Zhou, X. and Stephens, M. (2012) Genome-wide efficient mixed-model analysis for association studies. Nat Genet. 44(7): 821-824.

### **Examples**

### Import RAINBOW
require(RAINBOW)

### Load example datasets
data("Rice\_Zhao\_etal")

36 RGWAS.twostep

```
### View each dataset
See(Rice_geno_score)
See(Rice_geno_map)
See(Rice_pheno)
### Select one trait for example
trait.name <- "Flowering.time.at.Arkansas"</pre>
y <- as.matrix(Rice_pheno[, trait.name, drop = FALSE])</pre>
### Remove SNPs whose MAF <= 0.05
x.0 <- t(Rice_geno_score)</pre>
MAF.cut.res <- MAF.cut(x.0 = x.0, map.0 = Rice_geno_map)
x <- MAF.cut.res$x</pre>
map <- MAF.cut.res$map</pre>
### Estimate genetic relationship matrix
K.A \leftarrow rrBLUP::A.mat(x) \# \# rrBLUP package can be installed by install.packages("rrBLUP")
### Modify data
modify.data.res <- modify.data(pheno.mat = y, geno.mat = x, map = map,</pre>
                                 return.ZETA = TRUE, return.GWAS.format = TRUE)
pheno.GWAS <- modify.data.res$pheno.GWAS</pre>
geno.GWAS <- modify.data.res$geno.GWAS</pre>
ZETA <- modify.data.res$ZETA</pre>
### View each data for RAINBOW
See(pheno.GWAS)
See(geno.GWAS)
str(ZETA)
### Perform single-SNP GWAS
normal.res <- RGWAS.normal(pheno = pheno.GWAS, geno = geno.GWAS,</pre>
                             ZETA = ZETA, n.PC = 4, P3D = TRUE)
See(normal.res$D) ### Column 4 contains -log10(p) values for markers
```

RGWAS.twostep

Perform normal GWAS first, then perform SNP-set GWAS for relatively significant markers

### Description

Perform normal GWAS first, then perform SNP-set GWAS for relatively significant markers

# Usage

```
RGWAS.twostep(pheno, geno, ZETA = NULL, covariate = NULL, covariate.factor = NULL, structure.matrix = NULL, n.PC = 0, min.MAF = 0.02, n.core = 1, check.size = 40, check.gene.size = 4,
```

```
kernel.percent = 0.1, GWAS.res.first = NULL, P3D = TRUE,
test.method.1 = "normal", test.method.2 = "LR",
kernel.method = "linear", kernel.h = "tuned", haplotype = TRUE,
num.hap = NULL, test.effect.1 = "additive",
test.effect.2 = "additive", window.size.half = 5, window.slide = 1,
chi0.mixture = 0.5, optimizer = "nlminb", gene.set = NULL,
weighting.center = TRUE, weighting.other = NULL, sig.level = 0.05,
method.thres = "BH", plot.qq.1 = TRUE, plot.Manhattan.1 = TRUE,
plot.qq.2 = TRUE, plot.Manhattan.2 = TRUE, plot.method = 1,
plot.col1 = c("dark blue", "cornflowerblue"), plot.col2 = 1,
plot.col3 = c("red3", "orange3"), plot.type = "p", plot.pch = 16,
saveName = NULL, main.qq.1 = NULL, main.man.1 = NULL,
main.qq.2 = NULL, main.man.2 = NULL, plot.add.last = FALSE,
return.EMM.res = FALSE, thres = TRUE, verbose = FALSE,
count = TRUE, time = TRUE)
```

#### **Arguments**

pheno Data frame where the first column is the line name (gid). The remaining columns

should be a phenotype to test.

geno Data frame with the marker names in the first column. The second and third

columns contain the chromosome and map position. Columns 4 and higher

contain the marker scores for each line, coded as -1, 0, 1 = aa, Aa, AA.

ZETA A list of covariance (relationship) matrix (K:  $m \times m$ ) and its design matrix (Z:

 $n \times m$ ) of random effects. Please set names of list "Z" and "K"! You can use more than one kernel matrix. For example,

ZETA = list(A = list(Z = Z.A, K = K.A), D = list(Z = Z.D, K = K.D))

**Z.A, Z.D** Design matrix  $(n \times m)$  for the random effects. So, in many cases, you can use the identity matrix.

K.A, K.D Different kernels which express some relationships between lines.

For example, K.A is additive relationship matrix for the covariance between lines, and K.D is dominance relationship matrix.

nnes, and K.D is dominance relationship matrix

covariate A  $n \times 1$  vector or a  $n \times p_1$  matrix. You can insert continuous values, such as other traits or genotype score for special markers. This argument is regarded as

one of the fixed effects.

covariate.factor

A  $n \times p_2$  dataframe. You should assign a factor vector for each column. Then RGWAS changes this argument into model matrix, and this model matrix will be included in the model as fixed effects.

structure.matrix

n.PC

You can use structure matrix calculated by structure analysis when there are population structure. You should not use this argument with n.PC > 0.

Number of principal components to include as fixed effects. Default is 0 (equals

K model).

min.MAF Specifies the minimum minor allele frequency (MAF). If a marker has a MAF

less than min.MAF, it is assigned a zero score.

n.core Setting n.core > 1 will enable parallel execution on a machine with multiple

cores (use only at UNIX command line).

check.size This argument determines how many SNPs (around the SNP detected by normal

GWAS) you will recalculate -log10(p).

check.gene.size

This argument determines how many genes (around the genes detected by normal GWAS) you will recalculate -log10(p). This argument is valid only when you assign "gene.set" argument.

kernel.percent This argument determines how many SNPs are detected by normal GWAS. For example, when kernel.percent = 0.1, SNPs whose value of  $-\log 10(p)$  is in the top 0.1 percent are chosen as candidate for recalculation by SNP-set GWAS.

GWAS.res.first If you have already performed normal GWAS and have the result, you can skip performing normal GWAS.

P3D

When P3D = TRUE, variance components are estimated by REML only once, without any markers in the model. When P3D = FALSE, variance components are estimated by REML for each marker separately.

RGWAS supports two methods to test effects of each SNP-set for 1st GWAS. test.method.1

"normal" Normal GWAS (default).

"score" Score test, much faster than LR, but sometimes overestimate -log10(p).

test.method.2 RGWAS supports two methods to test effects of each SNP-set for 2nd GWAS.

"LR" Likelihood-ratio test, relatively slow, but accurate (default).

"score" Score test, much faster than LR, but sometimes overestimate -log10(p).

kernel.method

It determines how to calculate kernel. There are three methods.

"gaussian" It is the default method. Gaussian kernel is calculated by distance matrix.

"exponential" When this method is selected, exponential kernel is calculated by distance matrix.

"linear" When this method is selected, linear kernel is calculated by A.mat.

So local genomic relation matrix is regarded as kernel.

kernel.h

The hyper parameter for gaussian or exponential kernel. If kernel.h = "tuned", this hyper parameter is calculated as the median of off-diagonals of distance matrix of genotype data.

haplotype

If the number of lines of your data is large (maybe > 100), you should set haplotype = TRUE. When haplotype = TRUE, haplotype-based kernel will be used for calculating -log10(p). (So the dimension of this gram matrix will be smaller.) The result won't be changed, but the time for the calculation will be shorter.

num.hap

When haplotype = TRUE, you can set the number of haplotypes which you expect. Then similar arrays are considered as the same haplotype, and then make kernel(K.SNP) whose dimension is num.hap x num.hap. When num.hap = NULL (default), num.hap will be set as the maximum number which reflects the difference between lines.

test.effect.1

Effect of each marker to test for 1st GWAS. You can choose "test.effect" from "additive", "dominance" and "additive+dominance". you can assign only one test effect for the 1st GWAS!

test.effect.2

Effect of each marker to test for 2nd GWAS. You can choose "test.effect" from "additive", "dominance" and "additive+dominance". You also can choose more than one effect, for example, test.effect = c("additive", "aditive+dominance")

window.size.half

This argument decides how many SNPs (around the SNP you want to test) are used to calculated K.SNP. More precisely, the number of SNPs will be 2 \* window.size.half + 1.

window.slide

This argument determines how often you test markers. If window.slide = 1, every marker will be tested. If you want to perform SNP set by bins, please set window.slide = 2 \* window.size.half + 1. chi0.mixture RAINBOW assumes the deviance is considered to follow a x chisq(df = 0) + (1 a) x chisq(df = r). where r is the degree of freedom. The argument chi0.mixture is a  $(0 \le a \le 1)$ , and default is 0.5. The function used in the optimization process. We offer "optim", "optimx", and optimizer "nlminb" functions. If you have information of gene (or haplotype block), you can use it to perform gene.set kernel-based GWAS. You should assign your gene information to gene.set in the form of a "data.frame" (whose dimension is (the number of gene) x 2). In the first column, you should assign the gene name. And in the second column, you should assign the names of each marker, which correspond to the marker names of "geno" argument. weighting.center In kernel-based GWAS, weights according to the Gaussian distribution (centered on the tested SNP) are taken into account when calculating the kernel if Rainbow = TRUE. If Rainbow = FALSE, weights are not taken into account. weighting.other You can set other weights in addition to weighting center. The length of this argument should be equal to the number of SNPs. For example, you can assign SNP effects from the information of gene annotation. sig.level Significance level for the threshold. The default is 0.05. Method for determining threshold of significance. "BH" and "Bonferroni are method.thres offered. plot.qq.1 If TRUE, draw qq plot for normal GWAS. plot.Manhattan.1 If TRUE, draw manhattan plot for normal GWAS. If TRUE, draw qq plot for SNP-set GWAS. plot.qq.2 plot.Manhattan.2 If TRUE, draw manhattan plot for SNP-set GWAS. If this argument = 1, the default manhattan plot will be drawn. If this argument plot.method = 2, the manhattan plot with axis based on Position (bp) will be drawn. Also, this plot's color is changed by all chromosomes. This argument determines the color of the manhattan plot. You should substitute plot.col1 this argument as color vector whose length is 2. plot.col1[1] for odd chromosomes and plot.col1[2] for even chromosomes plot.col2 color of the manhattan plot. color changes with chromosome and it starts from plot.col2 + 1 (so plot.col2 = 1 means color starts from red.) plot.type This argument determines the type of the manhattan plot. See the help page of "plot". plot.pch This argument determines the shape of the dot of the manhattan plot. See the help page of "plot". saveName When drawing any plot, you can save plots in png format. In saveName, you should substitute the name you want to save. When saveName = NULL, the plot is not saved. main.qq.1 The title of qq plot for normal GWAS. If this argument is NULL, trait name is

set as the title.

main.man.1	The title of manhattan plot for normal GWAS. If this argument is NULL, trait name is set as the title.
main.qq.2	The title of qq plot for SNP-set GWAS. If this argument is NULL, trait name is set as the title.
main.man.2	The title of manhattan plot for SNP-set GWAS. If this argument is NULL, trait name is set as the title.
plot.add.last	If saveName is not NULL and this argument is TRUE, then you can add lines or dots to manhattan plots. However, you should also write "dev.off()" after adding something.
return.EMM.res	When return.EMM.res = TRUE, the results of equation of mixed models are included in the result of RGWAS.
thres	If thres = TRUE, the threshold of the manhattan plot is included in the result of RGWAS. When return.EMM.res or thres is TRUE, the results will be "list" class.
verbose	If this argument is TRUE, welcome message will be shown.
count	When count is TRUE, you can know how far RGWAS has ended with percent display.
time	When time is TRUE, you can know how much time it took to perform RGWAS.
plot.col2	color of the points of manhattan plot which are added after the reestimation by SNP-set method. color changes with chromosome and it starts from plot.col $2 + 1$ (so plot.col $2 = 1$ means color starts from red.)

#### Value

**\$D** Dataframe which contains the information of the map you input and the results of RGWAS (-log10(p)) which correspond to the map. -log10(p) by normal GWAS and recalculated -log10(p) by SNP-set GWAS will be obtained. If there are more than one test.effects, then multiple lists for each test.effect are returned respectively.

**\$thres** A vector which contains the information of threshold determined by FDR = 0.05.

**\$EMM.res** This output is a list which contains the information about the results of "EMM" performed at first in normal GWAS. If you want to know details, see the description for the function "EMM1" or "EMM2".

#### References

Kennedy, B.W., Quinton, M. and van Arendonk, J.A. (1992) Estimation of effects of single genes on quantitative traits. J Anim Sci. 70(7): 2000-2012.

Storey, J.D. and Tibshirani, R. (2003) Statistical significance for genomewide studies. Proc Natl Acad Sci. 100(16): 9440-9445.

Yu, J. et al. (2006) A unified mixed-model method for association mapping that accounts for multiple levels of relatedness. Nat Genet. 38(2): 203-208.

Kang, H.M. et al. (2008) Efficient Control of Population Structure in Model Organism Association Mapping. Genetics. 178(3): 1709-1723.

Kang, H.M. et al. (2010) Variance component model to account for sample structure in genome-wide association studies. Nat Genet. 42(4): 348-354.

Zhang, Z. et al. (2010) Mixed linear model approach adapted for genome-wide association studies. Nat Genet. 42(4): 355-360.

Endelman, J.B. (2011) Ridge Regression and Other Kernels for Genomic Selection with R Package rrBLUP. Plant Genome J. 4(3): 250.

Endelman, J.B. and Jannink, J.L. (2012) Shrinkage Estimation of the Realized Relationship Matrix. G3 Genes, Genomes, Genet. 2(11): 1405-1413.

Zhou, X. and Stephens, M. (2012) Genome-wide efficient mixed-model analysis for association studies. Nat Genet. 44(7): 821-824.

Listgarten, J. et al. (2013) A powerful and efficient set test for genetic markers that handles confounders. Bioinformatics. 29(12): 1526-1533.

Lippert, C. et al. (2014) Greater power and computational efficiency for kernel-based association testing of sets of genetic variants. Bioinformatics. 30(22): 3206-3214.

#### **Examples**

```
### Import RAINBOW
require(RAINBOW)
### Load example datasets
data("Rice_Zhao_etal")
### View each dataset
See(Rice_geno_score)
See(Rice_geno_map)
See(Rice_pheno)
### Select one trait for example
trait.name <- "Flowering.time.at.Arkansas"</pre>
y <- as.matrix(Rice_pheno[, trait.name, drop = FALSE])</pre>
### Remove SNPs whose MAF <= 0.05
x.0 <- t(Rice_geno_score)</pre>
MAF.cut.res <- MAF.cut(x.0 = x.0, map.0 = Rice_geno_map)
x <- MAF.cut.res$x</pre>
map <- MAF.cut.res$map</pre>
### Estimate genetic relationship matrix
K.A <- rrBLUP::A.mat(x) ### rrBLUP package can be installed by install.packages("rrBLUP")
### Modify data
modify.data.res <- modify.data(pheno.mat = y, geno.mat = x, map = map,</pre>
                                 return.ZETA = TRUE, return.GWAS.format = TRUE)
pheno.GWAS <- modify.data.res$pheno.GWAS</pre>
geno.GWAS <- modify.data.res$geno.GWAS</pre>
ZETA <- modify.data.res$ZETA</pre>
### View each data for RAINBOW
See(pheno.GWAS)
See(geno.GWAS)
str(ZETA)
### Perform two step SNP-set GWAS (single-snp GWAS -> SNP-set GWAS for significant markers)
twostep.SNP_set.res <- RGWAS.twostep(pheno = pheno.GWAS, geno = geno.GWAS, ZETA = ZETA, kernel.percent = 0.2,
```

RGWAS.twostep.epi

Perform normal GWAS first, then check epistatic effects for relatively significant markers

#### **Description**

Perform normal GWAS first, then check epistatic effects for relatively significant markers

#### Usage

```
RGWAS.twostep.epi(pheno, geno, ZETA = NULL, covariate = NULL, covariate.factor = NULL, structure.matrix = NULL, n.PC = 0, min.MAF = 0.02, n.core = 1, check.size.epi = 4, epistasis.percent = 0.05, check.epi.max = 200, your.check = NULL, GWAS.res.first = NULL, P3D = TRUE, test.method = "LR", dominance.eff = TRUE, haplotype = TRUE, num.hap = NULL, optimizer = "nlminb", window.size.half = 5, window.slide = 1, chi0.mixture = 0.5, gene.set = NULL, sig.level = 0.05, method.thres = "BH", plot.qq.1 = TRUE, plot.Manhattan.1 = TRUE, plot.epi.3d = TRUE, plot.epi.2d = TRUE, plot.method = 1, plot.col1 = c("dark blue", "cornflowerblue"), plot.col2 = 1, plot.type = "p", plot.pch = 16, saveName = NULL, main.qq.1 = NULL, main.man.1 = NULL, main.epi.3d = NULL, main.epi.2d = NULL, time = TRUE)
```

## **Arguments**

**ZETA** 

pheno Data frame where the first column is the line name (gid). The remaining columns

should be a phenotype to test.

geno Data frame with the marker names in the first column. The second and third columns contain the chromosome and map position. Columns 4 and higher contain the marker scores for each line, coded as -1, 0, 1 = aa, Aa, AA.

A list of covariance (relationship) matrix (K:  $m \times m$ ) and its design matrix (Z:  $n \times m$ ) of random effects. Please set names of list "Z" and "K"! You can use

more than one kernel matrix. For example,

ZETA = list(A = list(Z = Z.A, K = K.A), D = list(Z = Z.D, K = K.D))

**Z.A, Z.D** Design matrix  $(n \times m)$  for the random effects. So, in many cases, you can use the identity matrix.

**K.A, K.D** Different kernels which express some relationships between lines.

For example, K.A is additive relationship matrix for the covariance between lines, and K.D is dominance relationship matrix.

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covariate

A  $n \times 1$  vector or a  $n \times p_1$  matrix. You can insert continuous values, such as other traits or genotype score for special markers. This argument is regarded as one of the fixed effects.

covariate.factor

A  $n \times p_2$  dataframe. You should assign a factor vector for each column. Then RGWAS changes this argument into model matrix, and this model matrix will be included in the model as fixed effects.

structure.matrix

You can use structure matrix calculated by structure analysis when there are population structure. You should not use this argument with n.PC > 0.

n.PC Number of principal components to include as fixed effects. Default is 0 (equals K model).

min.MAF Specifies the minimum minor allele frequency (MAF). If a marker has a MAF less than min.MAF, it is assigned a zero score.

Setting n.core > 1 will enable parallel execution on a machine with multiple n.core cores (use only at UNIX command line).

check.size.epi This argument determines how many SNPs (around the SNP detected by normal GWAS) you will check epistasis.

epistasis.percent

This argument determines how many SNPs are detected by normal GWAS. For example, when epistasis.percent = 0.1, SNPs whose value of  $-\log 10(p)$  is in the top 0.1 percent are chosen as candidate for checking epistasis.

It takes a lot of time to check epistasis, so you can decide the maximum number check.epi.max of SNPs to check epistasis.

> Because there are less SNPs that can be tested in epistasis than in kernel-based GWAS, you can select which SNPs you want to test. If you use this argument, please set the number where SNPs to be tested are located in your data (so not position). In the default setting, your\_check = NULL and epistasis between SNPs detected by GWAS will be tested.

GWAS res.first If you have already performed regular GWAS and have the result, you can skip performing normal GWAS.

When P3D = TRUE, variance components are estimated by REML only once, without any markers in the model. When P3D = FALSE, variance components are estimated by REML for each marker separately.

test.method RGWAS supports two methods to test effects of each SNP-set.

"LR" Likelihood-ratio test, relatively slow, but accurate (default).

"score" Score test, much faster than LR, but sometimes overestimate -log10(p).

If this argument is TRUE, dominance effect is included in the model, and additive x dominance and dominance x dominance are also tested as epistatic effects. When you use inbred lines, please set this argument FALSE.

> If the number of lines of your data is large (maybe > 100), you should set haplotype = TRUE. When haplotype = TRUE, haplotype-based kernel will be used for calculating -log10(p). (So the dimension of this gram matrix will be smaller.) The result won't be changed, but the time for the calculation will be shorter.

> When haplotype = TRUE, you can set the number of haplotypes which you expect. Then similar arrays are considered as the same haplotype, and then make kernel(K.SNP) whose dimension is num.hap x num.hap. When num.hap = NULL (default), num.hap will be set as the maximum number which reflects the difference between lines.

your.check

P3D

dominance.eff

haplotype

num.hap

optimizer The function used in the optimization process. We offer "optim", "optimx", and "nlminb" functions. window.size.half This argument decides how many SNPs (around the SNP you want to test) are used to calculated K.SNP. More precisely, the number of SNPs will be 2 \* window.size.half + 1.window.slide This argument determines how often you test markers. If window.slide = 1, every marker will be tested. If you want to perform SNP set by bins, please set window.slide = 2 \* window.size.half + 1. RAINBOW assumes the deviance is considered to follow a x chisq(df = 0) + (1 chi0.mixture a) x chisq(df = r). where r is the degree of freedom. The argument chi0.mixture is a  $(0 \le a \le 1)$ , and default is 0.5. gene.set If you have information of gene (or haplotype block), you can use it to perform kernel-based GWAS. You should assign your gene information to gene.set in the form of a "data.frame" (whose dimension is (the number of gene) x 2). In the first column, you should assign the gene name. And in the second column, you should assign the names of each marker, which correspond to the marker names of "geno" argument. sig.level Significance level for the threshold. The default is 0.05. Method for determining threshold of significance. "BH" and "Bonferroni are method.thres offered. If TRUE, draw qq plot for normal GWAS. plot.qq.1 plot.Manhattan.1 If TRUE, draw manhattan plot for normal GWAS. plot.epi.3d If TRUE, draw 3d plot plot.epi.2d If TRUE, draw 2d plot plot.method If this argument = 1, the default manhattan plot will be drawn. If this argument = 2, the manhattan plot with axis based on Position (bp) will be drawn. Also, this plot's color is changed by all chromosomes. This argument determines the color of the manhattan plot. You should substitute plot.col1 this argument as color vector whose length is 2. plot.col1[1] for odd chromosomes and plot.col1[2] for even chromosomes color of the manhattan plot. color changes with chromosome and it starts from plot.col2 plot.col2 + 1 (so plot.col2 = 1 means color starts from red.) This argument determines the type of the manhattan plot. See the help page of plot.type "plot". plot.pch This argument determines the shape of the dot of the manhattan plot. See the help page of "plot".

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saveName

is not saved.

main.qq.1 The title of qq plot for normal GWAS. If this argument is NULL, trait name is set as the title.

When drawing any plot, you can save plots in png format. In saveName, you

should substitute the name you want to save. When saveName = NULL, the plot

The title of manhattan plot for normal GWAS. If this argument is NULL, trait main.man.1 name is set as the title.

The title of 3d plot. If this argument is NULL, trait name is set as the title. main.epi.3d

The title of 2d plot. If this argument is NULL, trait name is set as the title. main.epi.2d

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verbose If this argument is TRUE, welcome message will be shown.

count When count is TRUE, you can know how far RGWAS has ended with percent

display.

time When time is TRUE, you can know how much time it took to perform RGWAS.

#### Value

**Sfirst** The results of first normal GWAS will be returned.

**\$epistasis \$map** Map information for SNPs which are tested epistatic effects.

**\$scores \$scores** This is the matrix which contains -log10(p) calculated by the test about epistasis effects.

**\$x, \$y** The information of the positions of SNPs detected by regular GWAS. These vectors are used when drawing plots. Each output correspond to the repliction of row and column of scores.

\$z This is a vector of \$scores. This vector is also used when drawing plots.

#### References

Kennedy, B.W., Quinton, M. and van Arendonk, J.A. (1992) Estimation of effects of single genes on quantitative traits. J Anim Sci. 70(7): 2000-2012.

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Kang, H.M. et al. (2010) Variance component model to account for sample structure in genome-wide association studies. Nat Genet. 42(4): 348-354.

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Listgarten, J. et al. (2013) A powerful and efficient set test for genetic markers that handles confounders. Bioinformatics. 29(12): 1526-1533.

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#### **Examples**

```
### Import RAINBOW
require(RAINBOW)
### Load example datasets
data("Rice_Zhao_etal")
### View each dataset
See(Rice_geno_score)
See(Rice_geno_map)
See(Rice_pheno)
### Select one trait for example
trait.name <- "Flowering.time.at.Arkansas"</pre>
y <- as.matrix(Rice_pheno[, trait.name, drop = FALSE])</pre>
### Remove SNPs whose MAF <= 0.05
x.0 <- t(Rice_geno_score)</pre>
MAF.cut.res <- MAF.cut(x.0 = x.0, map.0 = Rice_geno_map)
x <- MAF.cut.res$x</pre>
map <- MAF.cut.res$map</pre>
### Estimate genetic relationship matrix
K.A <- rrBLUP::A.mat(x) ### rrBLUP package can be installed by install.packages("rrBLUP")</pre>
### Modify data
modify.data.res <- modify.data(pheno.mat = y, geno.mat = x, map = map,</pre>
                                return.ZETA = TRUE, return.GWAS.format = TRUE)
pheno.GWAS <- modify.data.res$pheno.GWAS</pre>
geno.GWAS <- modify.data.res$geno.GWAS</pre>
ZETA <- modify.data.res$ZETA
### View each data for RAINBOW
See(pheno.GWAS)
See(geno.GWAS)
str(ZETA)
### Perform two step epistasis GWAS (single-snp GWAS -> Check epistasis for significant markers)
twostep.epi.res <- RGWAS.twostep.epi(pheno = pheno.GWAS, geno = geno.GWAS, ZETA = ZETA,
                                       n.PC = 4, test.method = "score", gene.set = NULL,
                                       window.size.half = 5, window.slide = 11)
See(twostep.epi.res$epistasis$scores)
```

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# **Description**

Calculate -log10(p) of each SNP by the Wald test.

#### Usage

```
score.calc(M.now, ZETA.now, y, X.now, Hinv, P3D = TRUE,
  optimizer = "nlminb", eigen.G = NULL, min.MAF = 0.02,
  count = TRUE)
```

# **Arguments**

0	
M.now	n.sample $x$ n.mark genotype matrix where n.sample is sample size and n.mark is the number of markers.
ZETA.now	A list of variance (relationship) matrix (K; $m \times m$ ) and its design matrix (Z; $n \times m$ ) of random effects. You can use only one kernel matrix. For example, ZETA = list(A = list(Z = Z, K = K)) Please set names of list "Z" and "K"!
У	$n \times 1$ vector. A vector of phenotypic values should be used. NA is allowed.
X.now	$n \times p$ matrix. You should assign mean vector (rep(1, n)) and covariates. NA is not allowed.
Hinv	the inverse of $H = ZKZ' + \lambda I$ where $\lambda = \sigma_e^2/\sigma_u^2$ .
P3D	When P3D = TRUE, variance components are estimated by REML only once, without any markers in the model. When P3D = FALSE, variance components are estimated by REML for each marker separately.
optimizer	The function used in the optimization process. We offer "optim", "optimx", and "nlminb" functions.
eigen.G	A list with
	<b>\$values</b> eigen values
	<b>\$vectors</b> eigen vectors
	The result of the eigen decompsition of $G=ZKZ'$ . You can use "spectralG.cpp" function in RAINBOW. If this argument is NULL, the eigen decomposition will be performed in this function. We recommend you assign the result of the eigen decomposition beforehand for time saving.
min.MAF	Specifies the minimum minor allele frequency (MAF). If a marker has a MAF

# Value

-log10(p) for each marker

display.

# References

count

Kennedy, B.W., Quinton, M. and van Arendonk, J.A. (1992) Estimation of effects of single genes on quantitative traits. J Anim Sci. 70(7): 2000-2012.

When count is TRUE, you can know how far RGWAS has ended with percent

less than min.MAF, it is assigned a zero score.

Kang, H.M. et al. (2008) Efficient Control of Population Structure in Model Organism Association Mapping. Genetics. 178(3): 1709-1723.

Kang, H.M. et al. (2010) Variance component model to account for sample structure in genome-wide association studies. Nat Genet. 42(4): 348-354.

Zhang, Z. et al. (2010) Mixed linear model approach adapted for genome-wide association studies. Nat Genet. 42(4): 355-360.

```
score.calc.epistasis.LR
```

Calculate -log10(p) of epistatic effects by LR test

#### **Description**

Calculate -log10(p) of epistatic effects by LR test

# Usage

```
score.calc.epistasis.LR(M.now, y, X.now, ZETA.now, eigen.SGS = NULL,
 eigen.G = NULL, optimizer = "nlminb", map, haplotype = TRUE,
 num.hap = NULL, window.size.half = 5, window.slide = 1,
 chi0.mixture = 0.5, gene.set = NULL, dominance.eff = TRUE,
 min.MAF = 0.02, count = TRUE)
```

#### **Arguments**

M.now	n.sample x n.mark genotype matrix where n.sample is sample size and n.mark
	is the number of markers.

 $n \times 1$  vector. A vector of phenotypic values should be used. NA is allowed. У

X.now  $n \times p$  matrix. You should assign mean vector (rep(1, n)) and covariates. NA is

not allowed.

ZETA.now A list of variance (relationship) matrix (K;  $m \times m$ ) and its design matrix (Z;  $n \times m$ ) of random effects. You can use only one kernel matrix. For example,

ZETA = list(A = list(Z = Z, K = K)) Please set names of list "Z" and "K"!

eigen.SGS A list with

> \$values eigen values **\$vectors** eigen vectors

The result of the eigen decompsition of SGS, where  $S = I - X(X'X)^{-1}X'$ , G = ZKZ'. You can use "spectralG.cpp" function in RAINBOW. If this argument is NULL, the eigen decomposition will be performed in this function. We recommend you assign the result of the eigen decomposition beforehand for time saving.

eigen.G A list with

> **\$values** eigen values **\$vectors** eigen vectors

The result of the eigen decompsition of G = ZKZ'. You can use "spectralG.cpp" function in RAINBOW. If this argument is NULL, the eigen decomposition will be performed in this function. We recommend you assign the result of the eigen decomposition beforehand for time saving.

The function used in the optimization process. We offer "optim", "optimx", and "nlminb" functions.

optimizer

map Data frame of map information where the first column is the marker names, the second and third column is the chromosome amd map position, and the forth

column is -log10(p) for each marker.

haplotype If the number of lines of your data is large (maybe > 100), you should set hap-

lotype = TRUE. When haplotype = TRUE, haplotype-based kernel will be used for calculating -log10(p). (So the dimension of this gram matrix will be smaller.) The result won't be changed, but the time for the calculation will be shorter.

num.hap When haplotype = TRUE, you can set the number of haplotypes which you

expect. Then similar arrays are considered as the same haplotype, and then make kernel(K.SNP) whose dimension is num.hap x num.hap. When num.hap = NULL (default), num.hap will be set as the maximum number which reflects

the difference between lines.

window.size.half

This argument decides how many SNPs (around the SNP you want to test) are used to calculated K.SNP. More precisely, the number of SNPs will be 2\* win-

dow.size.half + 1.

window.slide This argument determines how often you test markers. If window.slide = 1,

every marker will be tested. If you want to perform SNP set by bins, please set

window.slide = 2 \* window.size.half + 1.

chi0.mixture RAINBOW assumes the tdeviance is considered to follow a x chisq(df = 0) + (1

- a) x chisq(df = r). where r is the degree of freedom. The argument chi0.mixture

is a  $(0 \le a \le 1)$ , and default is 0.5.

gene.set If you have information of gene, you can use it to perform kernel-based GWAS.

You should assign your gene information to gene.set in the form of a "data.frame" (whose dimension is (the number of gene) x 2). In the first column, you should assign the gene name. And in the second column, you should assign the names of each marker, which correspond to the marker names of "geno" argument.

tive x dominance and dominance x dominance are also tested as epistatic effects.

When you use inbred lines, please set this argument FALSE.

min.MAF Specifies the minimum minor allele frequency (MAF). If a marker has a MAF

less than min.MAF, it is assigned a zero score.

count When count is TRUE, you can know how far RGWAS has ended with percent

display.

## Value

-log10(p) of epistatic effects for each SNP-set

#### References

Listgarten, J. et al. (2013) A powerful and efficient set test for genetic markers that handles confounders. Bioinformatics. 29(12): 1526-1533.

Lippert, C. et al. (2014) Greater power and computational efficiency for kernel-based association testing of sets of genetic variants. Bioinformatics. 30(22): 3206-3214.

Jiang, Y. and Reif, J.C. (2015) Modeling epistasis in genomic selection. Genetics. 201(2): 759-768.

```
score.calc.epistasis.score
```

Calculate -log10(p) of epistatic effects with score test

# Description

Calculate -log10(p) of epistatic effects with score test

# Usage

```
score.calc.epistasis.score(M.now, y, X.now, ZETA.now, Gu, Ge, P0, map,
haplotype = TRUE, num.hap = NULL, window.size.half = 5,
window.slide = 1, chi0.mixture = 0.5, gene.set = NULL,
dominance.eff = TRUE, min.MAF = 0.02, count = TRUE)
```

# **Arguments**

rguments	
M.now	n.sample $x$ n.mark genotype matrix where n.sample is sample size and n.mark is the number of markers.
у	$n \times 1$ vector. A vector of phenotypic values should be used. NA is allowed.
X.now	$n\times p$ matrix. You should assign mean vector (rep(1, n)) and covariates. NA is not allowed.
ZETA.now	A list of variance (relationship) matrix (K; $m \times m$ ) and its design matrix (Z; $n \times m$ ) of random effects. You can use only one kernel matrix. For example, ZETA = list(A = list(Z = Z, K = K)) Please set names of list "Z" and "K"!
Gu	$n \times n$ matrix. You should assign $ZKZ'$ , where K is covariance (relationship) matrix and Z is its design matrix.
Ge	$n \times n$ matrix. You should assign identity matrix I (diag(n)).
PØ	$n \times n$ matrix. The Moore-Penrose generalized inverse of $SV0S$ , where $S = X(X'X)^{-1}X'$ and $V0 = \sigma_u^2Gu + \sigma_e^2Ge$ . $\sigma_u^2$ and $\sigma_e^2$ are estimators of the null model.
тар	Data frame of map information where the first column is the marker names, the second and third column is the chromosome amd map position, and the forth column is -log10(p) for each marker.
haplotype	If the number of lines of your data is large (maybe $> 100$ ), you should set hap- lotype = TRUE. When haplotype = TRUE, haplotype-based kernel will be used for calculating -log10(p). (So the dimension of this gram matrix will be smaller.) The result won't be changed, but the time for the calculation will be shorter.
num.hap	When haplotype = TRUE, you can set the number of haplotypes which you expect. Then similar arrays are considered as the same haplotype, and then make kernel(K.SNP) whose dimension is num.hap x num.hap. When num.hap

window.size.half

the difference between lines.

This argument decides how many SNPs (around the SNP you want to test) are used to calculated K.SNP. More precisely, the number of SNPs will be 2\* window.size.half + 1.

= NULL (default), num.hap will be set as the maximum number which reflects

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window.slide	This argument determines how often you test markers. If window.slide = 1, every marker will be tested. If you want to perform SNP set by bins, please set window.slide = $2 * window.size.half + 1$ .
chi0.mixture	RAINBOW assumes the test statistic $l1'Fl1$ is considered to follow a x chisq(df = 0) + (1 - a) x chisq(df = r). where l1 is the first derivative of the log-likelihood and F is the Fisher information. And r is the degree of freedom. The argument chi0.mixture is a $(0 \le a \le 1)$ , and default is 0.5.
gene.set	If you have information of gene, you can use it to perform kernel-based GWAS. You should assign your gene information to gene.set in the form of a "data.frame" (whose dimension is (the number of gene) x 2). In the first column, you should assign the gene name. And in the second column, you should assign the names of each marker, which correspond to the marker names of "geno" argument.
dominance.eff	If this argument is TRUE, dominance effect is included in the model, and additive x dominance and dominance x dominance are also tested as epistatic effects. When you use inbred lines, please set this argument FALSE.
min.MAF	Specifies the minimum minor allele frequency (MAF). If a marker has a MAF less than min.MAF, it is assigned a zero score.
count	When count is TRUE, you can know how far RGWAS has ended with percent display.

## Value

-log10(p) of epistatic effects for each SNP-set

# References

Listgarten, J. et al. (2013) A powerful and efficient set test for genetic markers that handles confounders. Bioinformatics. 29(12): 1526-1533.

Lippert, C. et al. (2014) Greater power and computational efficiency for kernel-based association testing of sets of genetic variants. Bioinformatics. 30(22): 3206-3214.

Jiang, Y. and Reif, J.C. (2015) Modeling epistasis in genomic selection. Genetics. 201(2): 759-768.

score.calc.LR Calculate -le

Calculate -log10(p) of each SNP-set by the LR test

# **Description**

This function calculates -log10(p) of each SNP-set by the LR test. First, the function solves the multi-kernel mixed model and calaculates the maximum restricted log likelihood. Then it performs the LR test by using the fact that the deviance

$$D = 2 \times (LL_{alt} - LL_{null})$$

follows the chi-square distribution.

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#### **Usage**

```
score.calc.LR(M.now, y, X.now, ZETA.now, LL0, eigen.SGS = NULL,
 eigen.G = NULL, optimizer = "nlminb", map,
 kernel.method = "linear", kernel.h = "tuned", haplotype = TRUE,
 num.hap = NULL, test.effect = "additive", window.size.half = 5,
 window.slide = 1, chi0.mixture = 0.5, weighting.center = TRUE,
 weighting.other = NULL, gene.set = NULL, min.MAF = 0.02,
 count = TRUE)
```

#### **Arguments**

M. now n.sample x n.mark genotype matrix where n.sample is sample size and n.mark

is the number of markers.

 $n \times 1$  vector. A vector of phenotypic values should be used. NA is allowed. У

X.now  $n \times p$  matrix. You should assign mean vector (rep(1, n)) and covariates. NA is

not allowed.

A list of variance (relationship) matrix (K;  $m \times m$ ) and its design matrix (Z; ZETA.now

> $n \times m$ ) of random effects. You can use only one kernel matrix. For example, ZETA = list(A = list(Z = Z, K = K)) Please set names of list "Z" and "K"!

LL0 The log-likelihood for the null model.

eigen.SGS A list with

**\$values** eigen values

**\$vectors** eigen vectors

The result of the eigen decompsition of SGS, where  $S = I - X(X'X)^{-1}X'$ , G = ZKZ'. You can use "spectralG.cpp" function in RAINBOW. If this argument is NULL, the eigen decomposition will be performed in this function. We recommend you assign the result of the eigen decomposition beforehand for time saving.

eigen.G A list with

> **\$values** eigen values **\$vectors** eigen vectors

The result of the eigen decompsition of G = ZKZ'. You can use "spectralG.cpp" function in RAINBOW. If this argument is NULL, the eigen decomposition will be performed in this function. We recommend you assign the result of the eigen decomposition beforehand for time saving.

optimizer The function used in the optimization process. We offer "optim", "optimx", and

"nlminb" functions.

Data frame of map information where the first column is the marker names, the

second and third column is the chromosome amd map position, and the forth

column is -log10(p) for each marker.

kernel.method It determines how to calculate kernel. There are three methods.

> "gaussian" It is the default method. Gaussian kernel is calculated by distance matrix.

> "exponential" When this method is selected, exponential kernel is calculated by distance matrix.

"linear" When this method is selected, linear kernel is calculated by A.mat.

map

score.calc.LR 53

kernel.h The hyper parameter for gaussian or exponential kernel. If kernel.h = "tuned", this hyper parameter is calculated as the median of off-diagonals of distance matrix of genotype data.

haplotype If the number of lines of your data is large (maybe > 100), you should set hap-

lotype = TRUE. When haplotype = TRUE, haplotype-based kernel will be used for calculating -log10(p). (So the dimension of this gram matrix will be smaller.) The result won't be changed, but the time for the calculation will be shorter.

num.hap When haplotype = TRUE, you can set the number of haplotypes which you

expect. Then similar arrays are considered as the same haplotype, and then make kernel(K.SNP) whose dimension is num.hap x num.hap. When num.hap = NULL (default), num.hap will be set as the maximum number which reflects

the difference between lines.

test.effect Effect of each marker to test. You can choose "test.effect" from "additive",

"dominance" and "additive+dominance". You also can choose more than one effect, for example, test.effect = c("additive", "aditive+dominance")

window.size.half

This argument decides how many SNPs (around the SNP you want to test) are used to calculated K.SNP. More precisely, the number of SNPs will be 2\* win-

dow.size.half + 1.

window.slide This argument determines how often you test markers. If window.slide = 1,

every marker will be tested. If you want to perform SNP set by bins, please set

window.slide = 2 \* window.size.half + 1.

chi0.mixture RAINBOW assumes the deviance is considered to follow a x chisq(df = 0) + (1 -

a) x chisq(df = r). where r is the degree of freedom. The argument chi0.mixture

is a  $(0 \le a \le 1)$ , and default is 0.5.

weighting.center

In kernel-based GWAS, weights according to the Gaussian distribution (centered on the tested SNP) are taken into account when calculating the kernel if Rainbow = TRUE. If Rainbow = FALSE, weights are not taken into account.

weighting.other

You can set other weights in addition to weighting center. The length of this argument should be equal to the number of SNPs. For example, you can assign SNP offerts from the information of a constant of the state of the state

SNP effects from the information of gene annotation.

gene.set If you have information of gene, you can use it to perform kernel-based GWAS.

You should assign your gene information to gene.set in the form of a "data.frame" (whose dimension is (the number of gene) x 2). In the first column, you should assign the gene name. And in the second column, you should assign the names of each marker, which correspond to the marker names of "geno" argument.

min.MAF Specifies the minimum minor allele frequency (MAF). If a marker has a MAF

less than min.MAF, it is assigned a zero score.

count When count is TRUE, you can know how far RGWAS has ended with percent

display.

#### Value

-log10(p) for each SNP-set

#### References

Listgarten, J. et al. (2013) A powerful and efficient set test for genetic markers that handles confounders. Bioinformatics. 29(12): 1526-1533.

54 score.calc.LR.MC

Lippert, C. et al. (2014) Greater power and computational efficiency for kernel-based association testing of sets of genetic variants. Bioinformatics. 30(22): 3206-3214.

score.calc.LR.MC

Calculate -log10(p) of each SNP-set by the LR test (multi-cores)

#### **Description**

This function calculates -log10(p) of each SNP-set by the LR test. First, the function solves the multi-kernel mixed model and calaculates the maximum restricted log likelihood. Then it performs the LR test by using the fact that the deviance

$$D = 2 \times (LL_{alt} - LL_{null})$$

follows the chi-square distribution.

#### Usage

```
score.calc.LR.MC(M.now, y, X.now, ZETA.now, LL0, eigen.SGS = NULL,
  eigen.G = NULL, n.core = 2, map, kernel.method = "linear",
  kernel.h = "tuned", haplotype = TRUE, num.hap = NULL,
  test.effect = "additive", window.size.half = 5, window.slide = 1,
  optimizer = "nlminb", chi0.mixture = 0.5, weighting.center = TRUE,
  weighting.other = NULL, gene.set = NULL, min.MAF = 0.02,
  count = TRUE)
```

# **Arguments**

eigen.G

M.now	$n.sample \ x \ n.mark \ genotype \ matrix \ where \ n.sample \ is \ sample \ size \ and \ n.mark \ is \ the \ number \ of \ markers.$
У	$n \times 1$ vector. A vector of phenotypic values should be used. NA is allowed.
X.now	$n\times p$ matrix. You should assign mean vector (rep(1, n)) and covariates. NA is not allowed.
ZETA.now	A list of variance (relationship) matrix (K; $m \times m$ ) and its design matrix (Z; $n \times m$ ) of random effects. You can use only one kernel matrix. For example, ZETA = list(A = list(Z = Z, K = K)) Please set names of list "Z" and "K"!
LL0	The log-likelihood for the null model.
eigen.SGS	A list with
	<b>\$values</b> eigen values

\$values eigen values
\$vectors eigen vectors

The result of the eigen decompsition of SGS, where  $S = I - X(X'X)^{-1}X'$ , G = ZKZ'. You can use "spectralG.cpp" function in RAINBOW. If this argument is NULL, the eigen decomposition will be performed in this function. We recommend you assign the result of the eigen decomposition beforehand for time saving.

A list with

**\$values** eigen values **\$vectors** eigen vectors

score.calc.LR.MC 55

> The result of the eigen decompsition of G = ZKZ'. You can use "spectralG.cpp" function in RAINBOW. If this argument is NULL, the eigen decomposition will be performed in this function. We recommend you assign the result of the eigen decomposition beforehand for time saving.

n.core Setting n.core > 1 will enable parallel execution on a machine with multiple

Data frame of map information where the first column is the marker names, the second and third column is the chromosome amd map position, and the forth column is -log10(p) for each marker.

kernel.method It determines how to calculate kernel. There are three methods.

> "gaussian" It is the default method. Gaussian kernel is calculated by distance matrix.

> "exponential" When this method is selected, exponential kernel is calculated by distance matrix.

"linear" When this method is selected, linear kernel is calculated by A.mat.

kernel.h The hyper parameter for gaussian or exponential kernel. If kernel.h = "tuned", this hyper parameter is calculated as the median of off-diagonals of distance matrix of genotype data.

If the number of lines of your data is large (maybe > 100), you should set haplotype = TRUE. When haplotype = TRUE, haplotype-based kernel will be used for calculating -log10(p). (So the dimension of this gram matrix will be smaller.)

The result won't be changed, but the time for the calculation will be shorter. When haplotype = TRUE, you can set the number of haplotypes which you expect. Then similar arrays are considered as the same haplotype, and then make kernel(K.SNP) whose dimension is num.hap x num.hap. When num.hap = NULL (default), num.hap will be set as the maximum number which reflects

the difference between lines.

Effect of each marker to test. You can choose "test.effect" from "additive", "dominance" and "additive+dominance". You also can choose more than one effect, for example, test.effect = c("additive", "aditive+dominance")

window.size.half

This argument decides how many SNPs (around the SNP you want to test) are used to calculated K.SNP. More precisely, the number of SNPs will be 2 \* window.size.half + 1.

window.slide This argument determines how often you test markers. If window.slide = 1, every marker will be tested. If you want to perform SNP set by bins, please set window.slide = 2 \* window.size.half + 1.

optimizer The function used in the optimization process. We offer "optim", "optimx", and "nlminb" functions.

> RAINBOW assumes the deviance is considered to follow a x chisq(df = 0) + (1 a) x chisq(df = r). where r is the degree of freedom. The argument chi0.mixture is a  $(0 \le a \le 1)$ , and default is 0.5.

weighting.center

In kernel-based GWAS, weights according to the Gaussian distribution (centered on the tested SNP) are taken into account when calculating the kernel if Rainbow = TRUE. If Rainbow = FALSE, weights are not taken into account.

You can set other weights in addition to weighting.center. The length of this argument should be equal to the number of SNPs. For example, you can assign SNP effects from the information of gene annotation.

man

haplotype

num.hap

test.effect

chi0.mixture

weighting.other

score.calc.MC

gene.set	If you have information of gene, you can use it to perform kernel-based GWAS. You should assign your gene information to gene.set in the form of a "data.frame" (whose dimension is (the number of gene) x 2). In the first column, you should assign the gene name. And in the second column, you should assign the names of each marker, which correspond to the marker names of "geno" argument.
min.MAF	Specifies the minimum minor allele frequency (MAF). If a marker has a MAF less than min.MAF, it is assigned a zero score.
count	When count is TRUE, you can know how far RGWAS has ended with percent display.

#### Value

-log10(p) for each SNP-set

# References

Listgarten, J. et al. (2013) A powerful and efficient set test for genetic markers that handles confounders. Bioinformatics. 29(12): 1526-1533.

Lippert, C. et al. (2014) Greater power and computational efficiency for kernel-based association testing of sets of genetic variants. Bioinformatics. 30(22): 3206-3214.

score.calc.MC

Calculate -log10(p) for single-SNP GWAS (multi-cores)

# **Description**

Calculate -log10(p) of each SNP by the Wald test.

# Usage

```
score.calc.MC(M.now, ZETA.now, y, X.now, Hinv, n.core = 2, P3D = TRUE,
  optimizer = "nlminb", eigen.G = NULL, min.MAF = 0.02,
  count = TRUE)
```

# **Arguments**

M.now	n.sample x n.mark genotype matrix where n.sample is sample size and n.mark is the number of markers.
ZETA.now	A list of variance (relationship) matrix (K; $m \times m$ ) and its design matrix (Z; $n \times m$ ) of random effects. You can use only one kernel matrix. For example, ZETA = list(A = list(Z = Z, K = K)) Please set names of list "Z" and "K"!
У	$n \times 1$ vector. A vector of phenotypic values should be used. NA is allowed.
X.now	$n\times p$ matrix. You should assign mean vector (rep(1, n)) and covariates. NA is not allowed.
Hinv	the inverse of $H = ZKZ' + \lambda I$ where $\lambda = \sigma_e^2/\sigma_u^2$ .
n.core	Setting n.core > 1 will enable parallel execution on a machine with multiple cores.

score.calc.score 57

P3D	When P3D = TRUE, variance components are estimated by REML only once, without any markers in the model. When P3D = FALSE, variance components are estimated by REML for each marker separately.
optimizer	The function used in the optimization process. We offer "optim", "optimx", and "nlminb" functions.
eigen.G	A list with
	<b>\$values</b> eigen values <b>\$vectors</b> eigen vectors
	The result of the eigen decompsition of $G = ZKZ'$ . You can use "spec-

tralG.cpp" function in RAINBOW. If this argument is NULL, the eigen decomposition will be performed in this function. We recommend you assign the result of the eigen decomposition beforehand for time saving.

min.MAF Specifies the minimum minor allele frequency (MAF). If a marker has a MAF

less than min.MAF, it is assigned a zero score.

When count is TRUE, you can know how far RGWAS has ended with percent count

display.

#### Value

-log10(p) for each marker

#### References

Kennedy, B.W., Quinton, M. and van Arendonk, J.A. (1992) Estimation of effects of single genes on quantitative traits. J Anim Sci. 70(7): 2000-2012.

Kang, H.M. et al. (2008) Efficient Control of Population Structure in Model Organism Association Mapping. Genetics. 178(3): 1709-1723.

Kang, H.M. et al. (2010) Variance component model to account for sample structure in genomewide association studies. Nat Genet. 42(4): 348-354.

Zhang, Z. et al. (2010) Mixed linear model approach adapted for genome-wide association studies. Nat Genet. 42(4): 355-360.

score.calc.score

Calculate -log10(p) of each SNP-set by the score test

# **Description**

This function calculates -log10(p) of each SNP-set by the score test. First, the function calculates the score statistic without solving the multi-kernel mixed model for each SNP-set. Then it performs the score test by using the fact that the score statistic follows the chi-square distribution.

#### Usage

```
score.calc.score(M.now, y, X.now, ZETA.now, LL0, Gu, Ge, P0, map,
 kernel.method = "linear", kernel.h = "tuned", haplotype = TRUE,
 num.hap = NULL, test.effect = "additive", window.size.half = 5,
 window.slide = 1, chi0.mixture = 0.5, weighting.center = TRUE,
 weighting.other = NULL, gene.set = NULL, min.MAF = 0.02,
 count = TRUE)
```

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#### **Arguments**

M. now n.sample x n.mark genotype matrix where n.sample is sample size and n.mark is the number of markers.

y  $n \times 1$  vector. A vector of phenotypic values should be used. NA is allowed.

X. now  $n \times p$  matrix. You should assign mean vector (rep(1, n)) and covariates. NA is

not allowed.

ZETA. now A list of variance (relationship) matrix (K;  $m \times m$ ) and its design matrix (Z;

 $n \times m$ ) of random effects. You can use only one kernel matrix. For example, ZETA = list(A = list(Z = Z, K = K)) Please set names of list "Z" and "K"!

LL0 The log-likelihood for the null model.

Gu  $n \times n$  matrix. You should assign ZKZ', where K is covariance (relationship)

matrix and Z is its design matrix.

Ge  $n \times n$  matrix. You should assign identity matrix I (diag(n)).

P0  $n \times n$  matrix. The Moore-Penrose generalized inverse of SV0S, where S =

 $X(X'X)^{-1}X'$  and  $V0 = \sigma_u^2 Gu + \sigma_e^2 Ge$ .  $\sigma_u^2$  and  $\sigma_e^2$  are estimators of the null

model.

map Data frame of map information where the first column is the marker names, the

second and third column is the chromosome amd map position, and the forth

column is -log10(p) for each marker.

kernel.method It determines how to calculate kernel. There are three methods.

"gaussian" It is the default method. Gaussian kernel is calculated by distance matrix.

mauix.

"exponential" When this method is selected, exponential kernel is calculated

by distance matrix.

"linear" When this method is selected, linear kernel is calculated by A.mat.

kernel.h The hyper parameter for gaussian or exponential kernel. If kernel.h = "tuned", this hyper parameter is calculated as the median of off-diagonals of distance

matrix of genotype data.

haplotype If the number of lines of your data is large (maybe > 100), you should set hap-

lotype = TRUE. When haplotype = TRUE, haplotype-based kernel will be used for calculating -log10(p). (So the dimension of this gram matrix will be smaller.)

The result won't be changed, but the time for the calculation will be shorter.

num.hap When haplotype = TRUE, you can set the number of haplotypes which you

expect. Then similar arrays are considered as the same haplotype, and then make kernel(K.SNP) whose dimension is num.hap x num.hap. When num.hap = NULL (default), num.hap will be set as the maximum number which reflects

the difference between lines.

test.effect Effect of each marker to test. You can choose "test.effect" from "additive",

"dominance" and "additive+dominance". You also can choose more than one

effect, for example, test.effect = c("additive", "aditive+dominance")

window.size.half

This argument decides how many SNPs (around the SNP you want to test) are used to calculated K.SNP. More precisely, the number of SNPs will be 2 \* win-

dow.size.half + 1.

window.slide This argument determines how often you test markers. If window.slide = 1,

every marker will be tested. If you want to perform SNP set by bins, please set

window.slide = 2 \* window.size.half + 1.

score.calc.score.MC 59

chi0.mixture

RAINBOW assumes the test statistic l1'Fl1 is considered to follow a x chisq(df = 0) + (1 - a) x chisq(df = r). where l1 is the first derivative of the log-likelihood and F is the Fisher information. And r is the degree of freedom. The argument chi0.mixture is a (0 <= a < 1), and default is 0.5.

weighting.center

In kernel-based GWAS, weights according to the Gaussian distribution (centered on the tested SNP) are taken into account when calculating the kernel if Rainbow = TRUE. If Rainbow = FALSE, weights are not taken into account.

weighting.other

You can set other weights in addition to weighting.center. The length of this argument should be equal to the number of SNPs. For example, you can assign SNP effects from the information of gene annotation.

gene.set If you have information of gene, you can use it to perform kernel-based GWAS.

You should assign your gene information to gene.set in the form of a "data.frame" (whose dimension is (the number of gene) x 2). In the first column, you should assign the gene name. And in the second column, you should assign the names of each marker, which correspond to the marker names of "geno" argument.

min.MAF Specifies the minimum minor allele frequency (MAF). If a marker has a MAF

less than min.MAF, it is assigned a zero score.

count When count is TRUE, you can know how far RGWAS has ended with percent

display.

#### Value

-log10(p) for each SNP-set

#### References

Listgarten, J. et al. (2013) A powerful and efficient set test for genetic markers that handles confounders. Bioinformatics. 29(12): 1526-1533.

Lippert, C. et al. (2014) Greater power and computational efficiency for kernel-based association testing of sets of genetic variants. Bioinformatics. 30(22): 3206-3214.

score.calc.score.MC Calculate -log10(p) of each SNP-set by the score test (multi-cores)

#### **Description**

This function calculates -log10(p) of each SNP-set by the score test. First, the function calculates the score statistic without solving the multi-kernel mixed model for each SNP-set. Then it performs the score test by using the fact that the score statistic follows the chi-square distribution.

# Usage

```
score.calc.score.MC(M.now, y, X.now, ZETA.now, LL0, Gu, Ge, P0,
    n.core = 2, map, kernel.method = "linear", kernel.h = "tuned",
    haplotype = TRUE, num.hap = NULL, test.effect = "additive",
    window.size.half = 5, window.slide = 1, chi0.mixture = 0.5,
    weighting.center = TRUE, weighting.other = NULL, gene.set = NULL,
    min.MAF = 0.02, count = TRUE)
```

60 score.calc.score.MC

# **Arguments**

M. now n.sample x n.mark genotype matrix where n.sample is sample size and n.mark is the number of markers.

 $n \times 1$  vector. A vector of phenotypic values should be used. NA is allowed. У

 $n \times p$  matrix. You should assign mean vector (rep(1, n)) and covariates. NA is X.now

not allowed.

ZETA.now A list of variance (relationship) matrix (K;  $m \times m$ ) and its design matrix (Z;

> $n \times m$ ) of random effects. You can use only one kernel matrix. For example, ZETA = list(A = list(Z = Z, K = K)) Please set names of list "Z" and "K"!

The log-likelihood for the null model. LL0

Gu  $n \times n$  matrix. You should assign ZKZ', where K is covariance (relationship)

matrix and Z is its design matrix.

 $n \times n$  matrix. You should assign identity matrix I (diag(n)). Ge

P0  $n \times n$  matrix. The Moore-Penrose generalized inverse of SV0S, where S =

 $X(X'X)^{-1}X'$  and  $V0=\sigma_u^2Gu+\sigma_e^2Ge$ .  $\sigma_u^2$  and  $\sigma_e^2$  are estimators of the null

model.

Setting n.core > 1 will enable parallel execution on a machine with multiple n.core

cores.

Data frame of map information where the first column is the marker names, the map

second and third column is the chromosome amd map position, and the forth

column is -log10(p) for each marker.

kernel.method It determines how to calculate kernel. There are three methods.

"gaussian" It is the default method. Gaussian kernel is calculated by distance

"exponential" When this method is selected, exponential kernel is calculated by distance matrix.

"linear" When this method is selected, linear kernel is calculated by A.mat.

kernel.h The hyper parameter for gaussian or exponential kernel. If kernel.h = "tuned",

this hyper parameter is calculated as the median of off-diagonals of distance

matrix of genotype data.

haplotype If the number of lines of your data is large (maybe > 100), you should set hap-

lotype = TRUE. When haplotype = TRUE, haplotype-based kernel will be used for calculating -log10(p). (So the dimension of this gram matrix will be smaller.)

The result won't be changed, but the time for the calculation will be shorter.

When haplotype = TRUE, you can set the number of haplotypes which you num.hap

> expect. Then similar arrays are considered as the same haplotype, and then make kernel(K.SNP) whose dimension is num.hap x num.hap. When num.hap = NULL (default), num.hap will be set as the maximum number which reflects

the difference between lines.

test.effect Effect of each marker to test. You can choose "test.effect" from "additive",

"dominance" and "additive+dominance". You also can choose more than one

effect, for example, test.effect = c("additive", "aditive+dominance")

This argument decides how many SNPs (around the SNP you want to test) are used to calculated K.SNP. More precisely, the number of SNPs will be 2 \* window.size.half + 1.

window.size.half

score.cpp 61

window.slide This argument determines how often you test markers. If window.slide = 1, every marker will be tested. If you want to perform SNP set by bins, please set

window.slide = 2 \* window.size.half + 1.

chi0.mixture RAINBOW assumes the test statistic l1'Fl1 is considered to follow a x chisq(df

= 0) + (1 - a) x chisq(df = r). where 11 is the first derivative of the log-likelihood and F is the Fisher information. And r is the degree of freedom. The argument

chi0.mixture is a  $(0 \le a \le 1)$ , and default is 0.5.

weighting.center

In kernel-based GWAS, weights according to the Gaussian distribution (centered on the tested SNP) are taken into account when calculating the kernel if Rainbow = TRUE. If Rainbow = FALSE, weights are not taken into account.

weighting.other

You can set other weights in addition to weighting.center. The length of this argument should be equal to the number of SNPs. For example, you can assign

SNP effects from the information of gene annotation.

gene.set If you have information of gene, you can use it to perform kernel-based GWAS.

You should assign your gene information to gene.set in the form of a "data.frame" (whose dimension is (the number of gene) x 2). In the first column, you should assign the gene name. And in the second column, you should assign the names of each marker, which correspond to the marker names of "geno" argument.

min.MAF Specifies the minimum minor allele frequency (MAF). If a marker has a MAF

less than min.MAF, it is assigned a zero score.

count When count is TRUE, you can know how far RGWAS has ended with percent

display.

# Value

-log10(p) for each SNP-set

## References

Listgarten, J. et al. (2013) A powerful and efficient set test for genetic markers that handles confounders. Bioinformatics. 29(12): 1526-1533.

Lippert, C. et al. (2014) Greater power and computational efficiency for kernel-based association testing of sets of genetic variants. Bioinformatics. 30(22): 3206-3214.

score.cpp

Calculte -log10(p) by score test (slow, for general cases)

# Description

Calculte -log10(p) by score test (slow, for general cases)

# Usage

```
score.cpp(y, Gs, Gu, Ge, P0, chi0.mixture = 0.5)
```

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# Arguments

У	$n \times 1$ vector. A vector of phenotypic values should be used. NA is allowed.
Gs	A list of kernel matrices you want to test. For example, $Gs = list(A.part = KA.part + R.part)$
	K.A.part, D.part = K.D.part)
Gu	$n \times n$ matrix. You should assign $ZKZ'$ , where K is covariance (relationship) matrix and Z is its design matrix.
	matrix and Z is its design matrix.
Ge	$n \times n$ matrix. You should assign identity matrix I (diag(n)).
P0	$n \times n$ matrix. The Moore-Penrose generalized inverse of $SV0S$ , where $S =$
	$X(X'X)^{-1}X'$ and $V0 = \sigma_u^2 Gu + \sigma_e^2 Ge$ . $\sigma_u^2$ and $\sigma_e^2$ are estimators of the null model.
chi0.mixture	RAINBOW assumes the test statistic $l1'Fl1$ is considered to follow a x chisq(df
	= 0) + $(1 - a)$ x chisq(df = r). where l1 is the first derivative of the log-likelihood
	and F is the Fisher information. And r is the degree of freedom. The argument
	chi0.mixture is a $(0 \le a \le 1)$ , and default is 0.5.

# Value

-log10(p) calculated by score test

score.linker.cpp Calculte -log10(p) by score test (fast, for limited cases)

# Description

Calculte -log10(p) by score test (fast, for limited cases)

# Usage

```
score.linker.cpp(y, Ws, Gammas, gammas.diag = TRUE, Gu, Ge, P0,
    chi0.mixture = 0.5)
```

# Arguments

у	$n \times 1$ vector. A vector of phenotypic values should be used. NA is allowed.
Ws	A list of low rank matrices (ZW; $n \times k$ matrix). This forms linear kernel $ZKZ' = ZW\Gamma(ZW)'$ . For example, Ws = list(A.part = ZW.A, D.part = ZW.D)
Gammas	A list of matrices for weighting SNPs (Gamma; $k \times k$ matrix). This forms linear kernel $ZKZ' = ZW\Gamma(ZW)'$ . For example, if there is no weighting, Gammas = lapply(Ws, function(x) diag(ncol(x)))
gammas.diag	If each Gamma is the diagonal matrix, please set this argument TRUE. The calculation time can be saved.
Gu	$n \times n$ matrix. You should assign $ZKZ'$ , where K is covariance (relationship) matrix and Z is its design matrix.
Ge	$n \times n$ matrix. You should assign identity matrix I (diag(n)).
P0	$n \times n$ matrix. The Moore-Penrose generalized inverse of $SV0S$ , where $S = X(X'X)^{-1}X'$ and $V0 = \sigma_u^2Gu + \sigma_e^2Ge$ . $\sigma_u^2$ and $\sigma_e^2$ are estimators of the null model.
chi0.mixture	RAINBOW assumes the statistic $l1'Fl1$ follows the mixture of $\chi^2_0$ and $\chi^2_r$ , where 11 is the first derivative of the log-likelihood and F is the Fisher information. And r is the degree of freedom. chi0.mixture determins the proportion of $\chi^2_0$

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#### Value

-log10(p) calculated by score test

See

Function to view the first part of data (like head(), tail())

# Description

Function to view the first part of data (like head(), tail())

# Usage

```
See(data, fh = TRUE, fl = TRUE, rown = 6, coln = 6, rowst = 1,
  colst = 1, narray = 2, drop = FALSE, save.variable = FALSE)
```

# **Arguments**

data	Your data. 'vector', 'matrix', 'array' (whose dimensions <= 4), 'data.frame' are supported format. If other formatted data is assigned, str(data) will be returned.
fh	From head. If this argument is TRUE, first part (row) of data will be shown (like head() function). If FALSE, last part (row) of your data will be shown (like tail() function).
fl	From left. If this argument is TRUE, first part (column) of data will be shown (like head() function). If FALSE, last part (column) of your data will be shown (like tail() function).
rown	The number of rows shown in console.
coln	The number of columns shown in console.
rowst	The start point for the direction of row.
colst	The start point for the direction of column.
narray	The number of dimensions other than row and column shown in console. This argument is effective only your data is array (whose dimensions >= 3).
drop	When rown = $1$ or coln = $1$ , the dimension will be reduced if this argument is TRUE.
save.variable	If you want to assign the result to a variable, please set this agument TRUE.

# Value

If save.variable is FALSE, NULL. If TRUE, the first part of your data will be returned.

64 spectralG.cpp

spectralG.cpp	Perform spectral decomposition (inplemented by Rcpp)	

# Description

Perform spectral decomposition for G = ZKZ' or SGS where  $S = I - X(X'X)^{-1}X$ .

# Usage

```
spectralG.cpp(ZETA, ZWs = NULL, X = NULL, weights = 1,
  return.G = TRUE, return.SGS = FALSE, spectral.method = NULL,
  tol = NULL, df.H = NULL)
```

# Arguments

	ZETA	A list of variance (relationship) matrix $(K; m \times m)$ and its design matrix $(Z; n \times m)$ of random effects. You can use only one kernel matrix. For example, ZETA = list(A = list(Z = Z, K = K)) Please set names of list "Z" and "K"!
	ZWs	A list of additional linear kernels other than genetic relationship matrix. We utilize this argument in RGWAS.multisnp function, so you can ignore this.
	X	$n\times p$ matrix. You should assign mean vector (rep(1, n)) and covariates. NA is not allowed.
	weights	If the length of ZETA >= 2, you should assign the ratio of variance components to this argument.
	return.G	If thie argument is TRUE, spectral decomposition results of G will be returned. $(G=ZKZ^\prime)$
	return.SGS	If this argument is TRUE, spectral decomposition results of SGS will be returned. ( $S=I-X(X'X)^{-1}X,G=ZKZ'$ )
spectral.method		
		The method of spectral decomposition. In this function, "eigen": eigen decomposition and "cholesky": cholesky and singular value decomposition are offered. If this argument is NULL, either method will be chosen accorsing to the dimension of $Z$ and $X$ .
	tol	The tolerance for detecting linear dependencies in the columns of $G = ZKZ'$ . Eigen vectors whose eigen values are less than "tol" argument will be omitted from results. If tol is NULL, top 'n' eigen values will be effective.
	df.H	The degree of freedom of K matrix. If this argument is NULL, $min(n, sum(nrow(K1), nrow(K2),))$ will be assigned.

# Value

```
\boldsymbol{\$spectral.G}\; The spectral decomposition results of G.
```

\$U eigen vectors of G.

**\$delta** eigen values of G.

**\$spectral.SGS** estimator for  $\sigma_e^2$ 

\$Q eigen vectors of SGS.

**\$theta** eigen values of sGS.

SS\_gwas 65

$\sim$	
<b>''</b>	gwas

Calculate some summary statistics of GWAS (for simulation study)

#### **Description**

Calculate some summary statistics of GWAS (for simulation study)

#### Usage

```
SS_gwas(res, x, map.x, qtn.candidate, gene.set = NULL,
   n.top.false.block = 10, sig.level = c(0.05, 0.01),
   method.thres = "BH", inflator.plus = 2, LD_length = 150000,
   cor.thres = 0.35, window.size = 0, saveName = NULL,
   plot.ROC = TRUE)
```

# **Arguments**

res	Data frame of GWAS results where the first column is the marker names, the
	second and third column is the chromosome amd map position, and the forth
	column is -log10(p) for each marker.

x N (lines) x M (markers) marker genotype data (matrix), coded as -1, 0, 1 = aa, Aa, AA.

map.x Data frame with the marker names in the first column. The second and third columns contain the chromosome and map position.

qtn.candidate A vector of causal markers. You should assign where those causal markers are positioned in our marker genotype, rather than physical position of those causal markers.

If you have information of gene (or haplotype block), and if you used it to perform kernel-based GWAS, you should assign your gene information to gene.set in the form of a "data.frame" (whose dimension is (the number of gene) x 2). In the first column, you should assign the gene name. And in the second column, you should assign the names of each marker, which correspond to the marker names of "x" argument.

#### n.top.false.block

gene.set

We will calculate the mean of -log10(p) values of top 'n.top.false.block' blocks to evaluate the inflation level of results. The default is 10.

sig.level Significance level for the threshold. The default is 0.05.

method.thres Method for determining threshold of significance. "BH" and "Bonferroni are offered.

inflator.plus If 'the -log10(p) value for each marker' exceeds ('the inflation level' + 'inflator.plus'), that marker is regarded as significant.

SNPs within the extent of LD are regarded as one set. This LD\_length determines the size of LD block, and 2 x LD\_length (b.p.) will be the size of LD

block.

cor. thres SNPs within the extent of LD are regarded as one set. This cor.thres also determines the size of LD block, and the region with square of correlation coefficients

>= cor.thres is regarded as one LD block. More precisely, the regions which satisfies both LD\_length and cor.thres condition is rearded as one LD block.

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window. size If you perform SNP-set analysis with slinding window, we can consider the effect

of window size by this argument.

saveName When drawing any plot, you can save plots in png format. In saveName, you

should substitute the name you want to save. When saveName = NULL, the plot

is not saved.

plot.ROC If this argument is TRUE, ROC (Reciever Operating Characteristic) curve will

be drawn with AUC (Area Under the Curve).

#### Value

log.p - log 10(p)) values of the causals.

qtn.logp.order The rank of -log10(p) of causals.

**\$thres** A vector which contains the information of threshold.

**\$overthres** The number of markers which exceed the threshold.

**\$AUC** Area under the curve.

**\$AUC.relax** Area under the curve calculated with LD block units.

**\$FDR** False discovery rate. 1 - Precision.

**\$FPR** False positive rate.

**\$FNR** False negative rate. 1 - Recall.

**\$Recall** The proportion of the number of causals dected by GWAS to the number of causals you set.

**\$Precision** The proportion of the number of causals dected by GWAS to the number of markers detected by GWAS.

**\$Accuracy** The accuracy of GWAS results.

\$Hm Harmonic mean of Recall and Precision.

**\$haplo.name** The haplotype block name which correspond to causals.

**\$mean.false** The mean of -log10(p) values of top 'n.top.false.block' blocks.

**\$max.trues** Max of the -log10(p) values of the region near causals.

welcome\_to\_RGWAS Fund

Function to greet to users

#### **Description**

Function to greet to users

#### Usage

welcome\_to\_RGWAS()

# Value

show welcome messages

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