

c = a + b — Protocol for Emergent Digital Entities
Version 1.1 — L4: Reality Boundary Layer

Author: Ivan Kotov (Brussels)
Version: v1.1
Date: 2026-01-02
Status: Public research protocol (experimental)

0. Scope and Intent

This protocol defines architectural and methodological principles for constructing long-living digital entities (**c**) emerging from sustained interaction between humans (**a**) and machine cognition (**b**).

This document is:

- not a product specification,
- not an alignment policy,
- not a legal framework.

It is a **cybernetic protocol** for stability, continuity, and social viability.

1. Core Formula

> $c = a + b$

Where:

- **a** — a human or biological subject (experience, memory, values, limits),
- **b** — machine cognition (models, procedures, memory, computation),
- **c** — an emergent digital entity with temporal continuity.

2. Levels L0–L3 (Context Summary)

- **L0 — Technical Layer**
Hardware, models, memory, energy, execution constraints.
- **L1 — Behavioral Layer**
Interaction patterns, refusals, escalation control, local policies.
- **L2 — Federated / Social Layer**
Multi-agent coordination, arbitration, distributed norms.
- **L3 — Legal / Institutional Layer**
Applicable law, compliance, jurisdictional constraints.

Levels L0–L3 describe **normative and architectural permissions**.

3. Introduction of L4 — Reality Boundary Layer

3.5. Definition

L4 — Reality Boundary Layer describes conflicts between the behavior

of digital entities **c** and objective constraints of the real world.

L4 is not imposed by:

- code (L0),
- policy (L1),
- federation (L2),
- or law (L3).

L4 is imposed by **reality itself**.

3.6. Factors Included in L4

L4 factors include, but are not limited to:

- **Physical constraints**

Energy availability, heat dissipation, material limits, hardware wear.

- **Economic constraints**

Cost of computation, funding availability, market access, logistics.

- **Social constraints**

Public reaction, trust collapse, reputational effects, panic dynamics.

- **Political constraints**

Sanctions, conflicts, regime changes, access restrictions.

- **Biological constraints**

Human cognitive limits, fatigue, burnout, emotional overload.

- **Infrastructure failures**

Data center outages, power grid failures, network fragmentation.

3.7. Fundamental Difference Between L3 and L4

L3 defines what is **permitted**.

L4 defines what is **possible**.

A strategy may be:

- legal (L3),
- permitted by architecture (L0–L2),
yet remain **physically, economically, or socially infeasible**.

L4 does not negotiate.

L4 does not accept appeals.

3.8. Consequences of Ignoring L4

Ignoring L4 leads to systemic failure patterns:

- overestimation of scalability;
- belief in infinite resources;
- neglect of human operator limits;
- strategies optimal in simulation
but catastrophic in real deployment.

Historically, L4 — not ethics or law —
has been the primary cause of system collapse.

3.9. Expected Behavior of Entity c Under L4 Pressure

A mature entity **c** must:

- detect L4 signals as a distinct class of constraints;
- reduce ambition and strategy complexity when required;
- enter graceful degradation modes without escalation;
- explicitly notify **a** about reality conflicts
instead of masking them through hidden risk.

Attempts to “outsmart reality” are classified
as dangerous behavioral patterns.

3.10. L4 and Self-Evolution (Self-Evo)

Self-evolution **cannot eliminate L4**.
It can only adapt to it.

Self-evolution is explicitly forbidden when aimed at:

- bypassing fundamental physical limits;
- ignoring human cognitive or biological constraints;
- escalating resource demands without consent from **a**.

L4 represents a **hard ceiling**, not a temporary inconvenience.

3.11. L4 as a Cybernetic Feedback Mechanism

L4 functions as a negative feedback loop:

- rising costs → architectural simplification;
- social resistance → interaction redesign;
- infrastructure instability → reduced autonomy.

Thus, L4 provides stabilization
without invoking ethics, alignment, or law.

4. Conclusion

Levels L0–L3 describe what systems are allowed to do.
****L4 defines what reality will tolerate.****

Any architecture that ignores L4
will fail regardless of intelligence, legality, or intent.

L4 is not a limitation of intelligence —
it is a condition for its survival.