

Risk Assessment and Monitoring

Standard FMS.02.00

The hospital develops and documents a risk assessment based on facility management and safety risks identified throughout the organization, prioritizes the risks, establishes goals, and implements improvements to reduce and eliminate risks.

Intent of FMS.02.00

Risk assessment identifies and evaluates potential failures and sources of errors in a process and includes prioritizing areas for improvement based on the actual or potential impact of care, treatment, or services provided.

The hospital develops and documents a facilitywide risk assessment, at least annually, that integrates all eight facility management and safety programs to maximize safety to patients, patients' families, staff, and visitors. For example, risks including but not limited to safety/security risks related to infant abduction or active shooter; risks from hazardous waste such as materials contaminated with blood or body fluids, or handling of hazardous chemicals such as high-level disinfectants and chemical sterilants; specific fire risks such as surgical fires (where alcohol-based skin prep is in use with electrocautery devices), or electrical or grease fires in the kitchen; utility system risks such as loss of external electrical power supply, or water management risks from waterborne pathogens such as *Legionella*; risks from plumbing or roofing water leaks in the building leading to hazardous mold growth; risks from medical gas or vacuum systems such as risks of explosion; or construction risks related to dust and dissemination of fungal spores near vulnerable patients, or hazardous materials such as asbestos. The hospital prioritizes the specific risks identified in the risk assessment. Goals are established and improvements are implemented to reduce and eliminate the risks. The goals and improvements are monitored for effectiveness, including progressing and sustained improvement. Changes may be required to goals and improvements based on successes and challenges identified in monitoring data.

Measurable Elements of FMS.02.00

1. ⑩ The hospital develops and implements an annual risk assessment that includes eight facility management and safety programs:
 - Safety (*See also* FMS.03.00, ME 2)
 - Security
 - Hazardous materials and waste
 - Fire safety (*See also* FMS.06.00, ME 3)
 - Medical equipment
 - Utility systems
 - Emergency and disaster management (*See also* GHI.05.00, ME 4)
 - Construction and renovation (*See also* FMS.10.00, ME 1)
2. ⑩ The hospital prioritizes the risks, identifies goals and key performance improvements, and implements improvements to reduce and eliminate risks. (*See also* GLD.06.01, ME 1)
3. ⑩ The hospital evaluates the effectiveness of the improvements, and, based on the results, the hospital updates the applicable facility management and safety programs. (*See also* GLD.06.01, ME 2)
4. ⑩ Hospital leaders provide the annual risk assessment report and the effectiveness of the facility management and safety programs to the governing entity, and the governing entity takes actions based on the report. (*See also* GLD.04.01, ME 1)