Bachelor Thesis

Benchmark of RISC-V in BTOR2

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Abstract

foo bar [1] [2] [3]

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1 Motivation

This is a template for an undergraduate or master's thesis. The first sections are concerned with the template itself. If this is your first thesis, consider reading.

2 RISC-V

As the first foundation for my benchmarks and, consequently, this thesis, I will discuss RISC-V and its operational principles.

2.1 Overview

RISC-V is an open-source instruction set architecture first published in May 2011 by A. Waterman et al. [4]. As indicated by its name, it is based on the RISC design philosophy. (TODO: Explain RISC (compare wiki)) Since 2015, the development of RISC-V has been coordinated by the RISC-V International Association, a non-profit corporation based in Switzerland since 2020 [5]. Its objectives include providing an *open* ISA that is freely available to all, a *real* ISA suitable for native hardware implementation, and an ISA divided into a *small* base integer ISA usable independently, for example in educational contexts, with optional standard extensions to support general-purpose software development [1, Chapter 1].

Currently, RISC-V comprises four base ISAs: RV32I, RV64I, RV32E, and RV64E, which can be extended with one or more of the 47 ratified extension ISAs [1, Preface].

(EXTEND: Additional content may be required here)

For the purposes of this work, I will focus on a subset of the RV64I ISA.

2.2 The RV64I ISA

RV64I is not overly complex, but its structure is essential for understanding the subsequent work presented in this thesis. Therefore, I will explain all elements relevant to my work.

RV64I features 32 64-bit registers, labeled x0-x31, where x0 is hardwired to zero across all bits. Registers x1-x31 are general-purpose and may be interpreted by various instructions as collections of booleans, two's complement signed binary integers, or unsigned integers. Additionally, there is a non-accessible register called pc, which serves as the program counter and holds the address of the current instruction [1, Chapters 4.1, 2.1].

In RV64I, memory addresses are 64 bits in size. As the memory model is defined to be single-byte addressable, the address space of RV64I encompasses 2⁶⁴ bytes [1, Chapter 1.4]. The format of the memory is little endian, so the lower bits of number are placed on lower addresses.

Like nearly all standard ISAs of RISC-V, RV64I employs a standard instruction encoding length of 32 bits, or one *word*. Only the compressed extension named C introduces instructions with a length of 16 bits [1, Chapter 1.5], but we will not encounter this special case. All RV64I instructions are encoded in one of the six formats illustrated in Figure 1. These formats may consist of

• The opcode:

The opcode is used to differ between groups of instructions. It also defines the format type of the instruction.

• rd:

This is the destination register.

31	25 24	20 19		15 14	12 11	7	6		0
funct7	rs2		rs1	fund	t3	rd	L .	opcode	R-Type
31		20 19		15 14	12 11	7	6		0
imm	[11:0]		rs1	fund	t3	rd	L .	opcode	I-Type
31	25 24	20 19		15 14	12 11	7	6		0
imm[11:5]	rs2		rs1	fund	t3 ir	mm[4:0]		opcode	S-Type
31 30	25 24	20 19		15 14	12 11	8 7	6		0
[12] imm[10:5]	rs2		rs1	fund	t3 im	m[4:1] 11	1 .	opcode	B-Type
31					12 11	7	6		0
	imm[3	1:12]				rd		opcode	U-Type
31 30		21 20 19			12 11	7	6		0
[20] imm	[10:1]	11)	imm[19:12]	<u>. .</u>	rd		opcode	J-Type

Figure 1: RV64I encoding formats, used in [1, Chapter 2.3]

• *funct*3:

This is used to differ between instructions with the same opcode.

• rs1 & rs2:

These are the source registers.

• funct7

:This is used for further distinctions between instructions if there are more than 8 instructions in an opcode group and funct3 does not suffice.

• *imm*:

This is an immediate value. In square brackets after *imm* is designated a subfield of the immediate which is represented by these bits. From these subfields, non-defined lower bits are filled with zeros whereas the highest defined bit is sign extended to fill all non-defined higher bits.

The design of these formats results in the following features:

• Due to RISC-V's little-endian nature, the *opcode*, which encodes the general instruction, is always read first. Further specification of the instruction via funct3 and funct7 is consistently located at the same positions.

Instr	opcode	Type	Instr	opcode	Type	Instr	opcode	Type
LUI	lui	U	SB			ADD		
AUIPC	auipc		SH	store	$_{ m S}$	SUB		
JAL	jal	J	SW	51016	b	SLT		
JALR	jalr	I	SD			SLTU		
BEQ			ADDI			XOR	op	R
BNE			SLTI			OR		
BLT	branch	В	SLTIU		I	AND		
BGE	Oranch 	Ь	XORI		1	SLL		
BLTU			ORI	$op ext{-}imm$		SRL		
BGEU			ANDI			SRA		
LB			SLLI			ADDW		
LH			SRLI		I^*	SUBW		
LW			SRAI			SLLW	op-32	R
LD	load	I	ADDIW		I	SRLW		
LBU			SLLIW	op-imm-32		SRAW		
LHU			SRLIW	<i>0p-inini-32</i> 	I**			
LWU			SRAIW					

Table 1: Subset of RV64I instructions (TODO: Maybe rework, not happy yet)

- If utilized by the instruction, rd, rs1 and rs2 are also always found in the same locations, simplifying decoding.
- The highest bit of *imm* is always bit 31, making it straightforward to sign-extend the immediate value.

The instructions relevant to my work are listed in Table 1

I have divided the instructions in Table 1 into nine groups based on their operations.

LUI and AUIPC move a high immediate into rd. In case of AUIPC, the pc is added onto this.

JAL and JALR instructions are unconditional jumps, where on JAL imm is added onto pc and on JALR imm is added onto rs1 and set as pc. Both link to the next instruction (current pc + 4) in rd.

Behavior
rd := rs1 + rs2
rd := rs1 - rs2
rd := 1 if $rs1 < rs2$ else $rd := 0$
$rd := rs1 \oplus rs2$, bitwise
$rd := rs1 \vee rs2$, bitwise
$rd := rs1 \wedge rs2$, bitwise
rd := rs1 shifted left by $rs2$, new bits are zeros
rd := rs1 shifted right by $rs2$, new bits are zeros
rd := rs1 shifted right by $rs2$, sign extend

Table 2: All suffix free operations in RV64I and their behavior. All values are handled either bitwise or as signed twos complement integers

branch instructions are conditional jumps. rs1 is compared to rs2 and if the comparison holds, imm is added onto pc. The comparison are = for BEQ, \neq for BNE, < for BLT and \geq for BGE. In these instructions, the values in rs1 and rs2 are handled as twos complement integers. The suffix *U in an instruction generally designates an unsigned operation. In this case the values in rs1 and rs2 are handled as unsigned integers. Apart from this, they work as their counterpart without the suffix.

load instructions load values from memory at address (rs1 + imm) into rd, either at Byte, Halfword, Word, or Doubleword length. Again the standard is a sign-extended value and the suffix *U designates the loading of a non sign extended value.

Conversely, store instructions write values from rs2 at the address (rs1 + imm) to memory. Here also the distinction between the different lengths is made and the lowest byte, halfword, word or the whole doubleword is stored at the address.

All further instructions can be seen as generic operations, differentiated by their suffixes. To simplify the explanation process, all operations without any suffix and their behavior are listed in Table 2. This is almost exactly the group with opcode op, except the SLTU instruction, which is not suffix free. But as all other instructions with the unsigned suffix it behaves as its signed counterpart except from handling both rs1 and rs2 as unsigned integers.

These operations can be extended by the *I suffix which is designated by the opcode op-imm. This exchanges rs2 with imm in the behavior. Again, SLTI can be extended to an unsigned version SLTIU, which behaves as expected. A SUBI instruction does not exist as it is redundant. Its behavior can be reached by using ADDI with a negative immediate.

Also, the operations ADD, SUB, SLL, SRL and SRA can be extended with the *W suffix. This forms the group with the opcode op-32. In contrast to the base instructions these new ones behave as if the registers are only 32bit. The result is placed in the low 32 bits of rd and sign extended to full 64bit. Overflows are ignored.

The last group left is the combination of both suffixes *IW with the opcode op-imm-32. The behavior differs from the base instructions, as expected, by a replacement of rs2 with imm and only operating on 32bit. Again, a SUBIW instruction is redundant as a negative immediate with ADDIW archives the same.

Compared to the full RV64I ISA, I left out FENCE, ECALL and EBREAK instructions as without I/O interaction or an environment like an OS or a debugger, these are not needed.

2.3 Simulation of RISC-V

In a previous project, I have written a simulation of this subset of RV64I in C [6]. As I will use it to later on test my BTOR2 model, I explain the inner working of the program here.

First I implemented a structure to represent a simple RISC-V processor

Figure 2: State representation of a RISC-V processor in the simulation [6]

2.3.1 Representing the State of a RISC-V Processor

Of course the state needs a representation for all registers. pc is defined as a 64bit integer, the other 32 registers are implemented as an array, as so each register can be referenced by its number. Also, I implemented an array of flags, one for each register, to be able to differ between initialized and non initialized registers. The memory is build from single memory cells holding each an address and its byte of content. These are cumulated in a hash table "memorytable", hashing on the address. If adding a new cell causes a collision, it is appended to the cells already in the bucket forming a linked list. These structs are shown in Figure 2.

2.3.2 Running an instruction

After fetching the current instruction from the hash table, it must be decoded. The easiest way to do this is using a decision tree. First I mask out the opcode and match it over all implemented opcodes. From there, either this is an endpoint and the instruction is identified, or funct3 must be masked and matched. A final differentiation over funct7 might be needed, but after this every Leaf in the tree

coincides with an instruction. So, at every leaf the state can be changed in regard to the corresponding instruction. (TODO: Graph of the decision tree?)

2.3.3 Saving the State of a RISC-V Processor

To preserve the current state of a RISC-V processor, both the registers and memory must be stored. For this purpose, I have devised the format shown in Figure 3. The RISC-V simulation uses this format as input and output. The minimal file consists only of the two designators "REGISTERS:" and "MEMORY:" and one empty line between them. Under "REGISTERS:", all registers can be listed with their corresponding value. Of course, x0 can not be different from 0. I included the option to reference it nonetheless to have the complete state included. Under "MEMORY:", after giving an address, the memory can be filled with 1-, 2-, 4- or 8-byte sized memory content. The given address is the starting address of the content, of course with every byte after the first, the next higher address is filled.

```
\langle 64bitHex \rangle
                                              up to 16 digits of [0-9a-fA-F]
                                   ::=
                                              up to 8 digits of [0-9a-fA-F]
\langle 32bitHex \rangle
                                   ::=
\langle 16bitHex \rangle
                                             up to 4 digits of [0-9a-fA-F]
                                   ::=
\langle 8bitHex \rangle
                                           up to 2 digits of [0-9a-fA-F]
                                  ::=
\langle memContent \rangle
                                              \langle 8bitHex \rangle
                                  ::=
                                              \mid \langle 16bitHex \rangle
                                              \mid \langle 32bitHex \rangle
                                              \mid \langle 64bitHex \rangle
\langle cell \rangle
                                              \langle 64bitHex \rangle : \langle memContent \rangle
                                  ::=
                                              |\langle cell \rangle \langle cell \rangle
\langle regNum \rangle
                                             0 |...| 31
                                  ::=
\langle reg \rangle
                                             \mathtt{PC}: \langle 64bitHex \rangle \setminus \mathtt{n}
                                              \mid \mathbf{x}\langle regNum \rangle : \langle 64bitHex \rangle \setminus \mathbf{n}
                                              |\langle reg \rangle \langle reg \rangle
\langle memory \rangle
                                   ::=
                                             \texttt{MEMORY:} \backslash \texttt{n} \langle cell \rangle
                                             \texttt{REGISTERS:} \backslash \texttt{n} \langle reg \rangle
\langle registers \rangle
                                  ::=
\langle state \rangle
                                              \langle registers \rangle \ (memory) \ n
                                   ::=
```

Figure 3: Construction of .state files

3 BTOR2

The second foundation of my benchmarks is BTOR2, a word-level model checking

format published by A. Niemetz et al. [2].

3.1 Model Checking

(TODO: Write something about model checking...)

3.2 The BTOR2 Language

Generally in BTOR2, every line represents either a sort or a node, where normally the

line number acts as an identifier. A sort behaves similar to a type as with it, either

the length of a bitvector or the size of an array of bitvectors is defined. Nodes on the

other hand represent a value of a defined sort and come as constants, operations or

constraints. These values can later on be referenced by the node identifier, so the

line number. The syntax of BTOR2 can be found at [2, figure 1] and corresponding

operators in [2, table 1]

Key features of BTOR2 include its ability to operate sequentially, which makes

the implementation of a RISC-V structure highly convenient. The main feature is

the state operator, which defines a node that is sequentially updated. With an

init node, this state can be assigned an initial value, and with a next node, the

13

sequentially next state can be defined. Finally, constraints can be used to specify endpoints for a model. These endpoints may indicate that something unintended has occurred or that the intended information has been found. In either case, the resulting model is provided as a witness.

3.3 The BTOR2 Witness

After receiving a witness, it must be interpreted. On the second line of a witness, the constraint that was triggered is specified. Subsequently, for each sequential iteration, the witness first presents—marked with #x, where x is the iteration number—a representation of all states in the current iteration. Second, marked with @x, all inputs for the iteration are listed.

(TODO: Maybe a bit more, it's a bit of bare bones)

4 Transforming RISC-V to BTOR2

(TODO: Explain naming conventions for the model nodes)

This chapter addresses the main problem of the thesis: transforming a RISC-V state into the BTOR2 format for benchmarking purposes. My primary orientation for this endeavor is F. Schrögendorfer's master's thesis, "Bounded Model Checking in Lockless Programs" [3], in which he describes, among other topics, an encoding concept for a minimal machine in a multiprocessor context [3, Chapter 2]. With this, in [3, Chapter 8] he describes a way to encode programs for his machine model into a BTOR2 model. This can not be replicated by me though, as in his model, the full program is known at encoding whilst I want to hold the property of RISC-V programs to self-modify its own code. Therefore, my encoding can not follow his example. (TODO: Einfache benchmarks in seinem btor2 modell schreiben und vergleichen?)

4.1 The Concept

To successfully execute a RISC-V instruction, three fundamental steps must occur in sequence:

- Fetch the current instruction from memory
- Identify the instruction
- Execute the instruction

Due to the fixed instruction length of RISC-V, as mentioned in Section 2.2, fetching the current instruction is straightforward. Ultimately, we want a node that retrieves a word from memory at the location specified by pc.

For basic identification, the opcode must be extracted and checked. Depending on the opcode, further distinctions between instructions require extracting and checking funct3 and, if necessary, funct7. Ultimately, we want a node for each instruction, which holds a boolean value indicating whether this instruction was fetched.

To execute the instruction, we need to extract the values of the immediate imm and, if used, the registers rs1 and rs2. All instructions only modify rd, pc, or memory. Therefore, the next-state logic can be generalized for these three cases.

Memory is only modified when a store instruction is identified. As all store instructions share the same type, computing the memory address is consistent across them. The final step is overwriting the memory at this address.

For the pc, except for jump commands, it always increments to point to the next instruction. The two unconditional jumps, JAL and JALR, must be handled separately. For branch instructions, after determining whether the relevant condition for the instruction holds, we can generalize, as all branch instructions execute the same operation from this point onward.

With rd, generalization across instructions is not feasible. However, we can generalize across all possible registers by adding a check in each register's update function to determine whether the register in question is rd.

4.2 Encoding

For better visualization in the BTOR2 code I will mark all sort-IDs in gray, all node-IDs in red and all non-ID numbers blue. As described in the BTOR2 syntax [2, Figure 1], each line can get an accompanying symbol. Sadly those cant be used as

an alias to the line numbers, but for increased clarity, in the following figures I will use them as such aliases. With this I can also start each new figure with the relative line number n, and it makes it feasible to describe processes with algorithms. It is implied that n is sufficiently incremented after adding to the model so that IDs will not overlap. In the following, I will describe how I construct a BTOR2 model for a RISC-V state file.

4.2.1 Constants

First off, I added the sorts and non-progressive constants needed into the BTOR2 model as seen in Figure 4. This is extended by a set of progressive constants used for comparison e.g. against the register number. Algorithm 1 describes how they are added.

Of note is the Representation of the memory as an array of addressable memory cells of each 1byte. Obviously, the set address space of 16bit is magnitudes away of the expected address space of 64bit, but representing a 64bit addressable memory with its resulting $2^{64}B \approx 18Exabyte$ is not implementable. Therefore, as I needed a feasible amount of memory space, I artificially chose a 16bit address space as a soft minimum. With 65kB and therefore programs with possibly > 10000 instructions I deemed this memory sufficient for most use cases. Despite this, the encoding is implemented in such a way that the address space can be altered with. (TODO:

```
for i from 0 to 31 do

add to model:

n constd W i iConst

end
```

Algorithm 1: progressive constants for encoding RISC-V in BTOR2

Benchmark auswirkungen von memory size)

1	sort	bitvec	1		Bool
2	sort	bitvec	16		AS
3	sort	bitvec	8		В
4	sort	bitvec	16		Н
5	sort	bitvec	32		W
6	sort	bitvec	64		D
7	sort	array	2	3	Mem
8	one	Bool			true
9	zero	Bool			false
10	one	AS			addressInc
11	constd	AS	4		pcInc
12	zero	В			emptyCell
13	one	W			bitPicker
14	zero	D			emptyReg
15	consth	W	01F		5Bitmask
16	consth	W	03F		6Bitmask
17	consth	W	07F		7Bitmask
18	consth	W	OFFF		12Bitmask
19	consth	W	OFFFFF		20Bitmask
20	constd	\mathbb{W}	7		${\it shiftToRd}$
21	constd	W	15		shiftToRs1
22	constd	W	20		shiftToRs2
23	constd	\mathbb{W}	12		${\it shiftToFunct3}$
24	constd	\mathbb{W}	25		${\it shiftToFunct7}$
25	constd	\mathbb{W}	5		shiftBy5
26	constd	\mathbb{W}	11		shiftBy11
27	constd	\mathbb{W}	3		load
28	constd	\mathbb{W}	19		opImm
29	constd	\mathbb{W}	23		auipc
30	constd	\mathbb{W}	27		opImm32
31	constd	\mathbb{W}	35		store
32	constd	\mathbb{W}	51		op
33	constd	\mathbb{W}	55		lui
34	constd	\mathbb{W}	59		op32
35	constd	\mathbb{W}	99		branch
36	constd	\mathbb{W}	103		jalr
37	constd	\mathbb{W}	111		jal
		-			

(TODO: Maybe neusortieren, andere constanten aufnehmen. Explain)

 $\textbf{Figure 4:} \ \, \textbf{Sorts and non-progressive Constants for encoding RISC-V in BTOR2}$

(n + 0)	state	D	x0	(n + 17)	state	D
(n + 1)	state	D	x1	(n + 18)	state	D
(n + 2)	state	D	x2	(n + 19)	state	D
(n + 3)	state	D	x3	(n + 20)	state	D
(n + 4)	state	D	x4	(n + 21)	state	D
(n + 5)	state	D	x5	(n + 22)	state	D
(n + 6)	state	D	x6	(n + 23)	state	D
(n + 7)	state	D	<i>x</i> 7	(n + 24)	state	D
(n + 8)	state	D	x8	(n + 25)	state	D
(n + 9)	state	D	x9	(n + 26)	state	D
(n + 10)	state	D	x10	(n + 27)	state	D
(n + 11)	state	D	x11	(n + 28)	state	D
(n + 12)	state	D	x12	(n + 29)	state	D
(n + 13)	state	D	x13	(n + 30)	state	D
(n + 14)	state	D	x14	(n + 31)	state	D
(n + 15)	state	D	x15	(n + 32)	state	AS
(n + 16)	state	D	x16	(n + 33)	state	Mer

Figure 5: State representation for encoding

4.2.2 State Representation

The next logical step is defining a representation of a RISC-V state. This is straightforward as shown in Figure 5. I also introduced a flag for each register in my code. They track if the register was written to and makes it possible to shorten a state file transformed from a witness to only the relevant registers. As they have no impact on the operation of the BTOR2 model, I will not mention them again.

4.2.3 Initialization

To initialize a state in BTOR2 from a RISC-V state file, the values in the registers must be loaded as constants, and for each memory address mentioned in the state file, the value and address has to be loaded as constants. Due to the inability to represent a full 64bit address space, the shrinking of the address space from state file to BTOR2 model must be handled. I decided to just initialize the addresses up

to the BTOR2 model address space maximum and cut all others in the state file as I deem this the most predictable behavior. Everything not mentioned in the state file will be zero initialized. At last these constants must be used to initialize the state. For the registers this is straight forward, for the memory we must first write all memory addresses into a placeholder array which then we can use to initialize the real memory. Due to constraints in BTOR2, these constants have to be defined **before** the states, but initialization with the values must happen after the states. This means that this initialization process **wraps around** the state representation. The generation of constants is shown in Algorithm 2, whereas the actual initialization is shown in Algorithm 3.

4.2.4 Fetching the current instruction

To fetch the current instruction, I read the 4 bytes of the instruction and concatenate them as seen in Figure 6

4.2.5 Deconstruction of the instruction

Now having the instruction, we can deconstruct it to extract the opcode, rd, rs1, rs2, funct3, funct7 and imm. For everything apart from imm, this can be done by a shift and a masking. This is shown in Figure 7.

The immediate on the other hand must be first constructed from its subfields, which can be referenced in Figure 1. In the BTOR2 model this looks like in Figure 8. (TODO: Reference to same method in riscvsim) There are three things I want to point out:

First, some immediate subfields overlap exactly. I made use of this fact in lines (n + 1) with the overlap of imm[11:5] of I- and S-type, and (n + 21) with J- and B-types imm[10:5] overlap. Second, as described in Section 2.2 the immediate is always sign-extended. To archive this we make arithmetic right shifts, which do sign

```
truePc \leftarrow value of pc in state file
maxPc \leftarrow \text{number of addresses in BTOR2 model}
pcValue \leftarrow truePc \text{ modulo } maxPc
add to model:
                                 pcConst
                     pcValue
     constd
for every register x_i do
   if register is initialised in state file then
       registerValue \leftarrow value of x_i
       if registerValue \neq 0 then
           add to model:
                 constd D registerValue
                                                  x_i Const
       end
   end
end
add to model:
                                                    memPH
 (n + 0)
                      Mem
             state
 (n + 1)
                             memPH (n + 0)
             init
                      Mem
\overline{lastPH \leftarrow memPH}
allInitialCells \leftarrow all initialised memory cells in the state file
cutInitialCells \leftarrow remove all cells with address over maxPc
for every cell c in cutInitialCells do
   address \leftarrow address of c
   value \leftarrow \text{value of } c
   add to model:
                                  \overline{address}
     (n + 0)
                 constd
     (n + 1)
                                  value
                 constd
                           В
     (n + 2)
                                  lastPH (n + 0) (n + 1)
                                                                  PHAfterC
                 write
                           Mem
   \overline{lastPH \leftarrow PHAfterC}
end
keep lastPH for initialisation
```

Algorithm 2: Generating initialisation constants from state file in BTOR2

```
add to model:
               AS
                     pc pcConst
      init
for every register x_i do
    if x_iConst was defined then
         add to model:
                             x_i \quad \overline{x_i Const}
               init
    \quad \text{end} \quad
\quad \mathbf{end} \quad
add to model:
                       memory lastPh
      init
 n
               Mem
```

Algorithm 3: Initialising states in the BTOR2 model

(n + 0)	read	В	memory	pc	instrB1
(n + 1)	add	AS	addressInc	pc	$pc{+}1$
(n + 2)	read	В	memory	$pc{+}1$	instrB2
(n + 3)	add	AS	addressInc	$pc{+}1$	pc+2
(n + 4)	read	В	memory	pc+2	instrB3
(n + 5)	add	AS	addressInc	pc+2	$pc{+}3$
(n + 6)	read	В	memory	pc+3	instrB4
(n + 7)	concat	Н	instrB2	instrB1	instrH1
(n + 8)	concat	Н	instrB4	instrB3	instrH2
(n + 9)	concat	W	instrH2	instrH1	instr

Figure 6: Fetching the current instruction from memory

(n + 0)	and	$\overline{\mathbb{W}}$	instr	7Bitmask	opcode
(n + 1)	srl	\mathbb{W}	instr	shiftToRd	rdPre
(n + 2)	and	\mathbb{W}	rdPre	5Bitmask	rd
(n + 3)	srl	\mathbb{W}	instr	shift ToRs1	rs1Pre
(n + 4)	and	\mathbb{W}	rs1Pre	5Bitmask	rs1
(n + 5)	srl	\mathbb{W}	instr	shift ToRs 2	rs2Pre
(n + 6)	and	\mathbb{W}	rs2Pre	5Bitmask	rs2
(n + 7)	srl	\mathbb{W}	instr	shift To Funct 3	funct 3 Pre
(n + 8)	and	\mathbb{W}	funct 3 Pre	shiftRd	funct3
(n + 9)	srl	W	instr	shift To Funct 7	funct7

Figure 7: Extraction of values from the instruction without imm

extension for us and with this pull our highest immediate bit to its correct place. Third, at line (n + 8), for sign extension we must shift right by 19. As this matches the opcode for arithmetic instructions with immediate, I used this and did not create a new constant.

Now I have *iTypeImm*, *sTypeImm*, *bTypeImm*, *uTypeImm* and *jTypeImm*. But it would be easier to just have one node *imm* where we can reference the immediate value regardless of the instruction. This is done in Figure 9, where first I defined booleans which check all opcodes that are neither R-type nor I-type. Then I chained if-then-else nodes to catch instructions that are of J-type, U-Type, B-Type or S-type. If the instruction is none of them, I can safely default to I-type as R-type does not handle with an immediate value. At the end I extend *imm* to the 64bit RV64I demands.

At this point I can also extract the values of the designated rs1 and rs2 registers. I show this for rs1 in Figure 4, it is the same for rs2 except that the names must be changed to rs2. Also, the comparison constants can be left out as they are already defined for rs1 and can be referenced from there.

(n + 0)	sra	W	instr	shiftToRs2	iTypeImm
(n + 1)	and	W	iTypeImm	-5Bitmask	s[11:5]
(n + 2)	add	W	s[11:5]	rd	sTypeImm
(11 . 2)	aaa	**	5[11.5]	14	втуренин
(n + 3)	and	W	rd	-bitPicker	b[4:0]
(n + 4)	and	\mathbb{W}	funct7	6Bitmask	b[10:5]Pre
(n + 5)	sll	\mathbb{W}	b10.5Pre	shiftBy5	b[10:5]
(n + 6)	and	\mathbb{W}	bitPicker	rd	b[11]Pre
(n + 7)	sll	\mathbb{W}	b[11]Pre	shiftBy11	b[11]
(n + 8)	sra	\mathbb{W}	instr	mathI	b[31:12]Pre
(n + 9)	and	\mathbb{W}	b[31:12]Pre	12Bitmask	b[31:12]
(n + 10)	add	\mathbb{W}	b[10:5]	b[4:0]	b[10:0]
(n + 11)	add	\mathbb{W}	b[11]	b[10:0]	b[11:0]
(n + 12)	add	\mathbb{W}	b[31:12]	b[11:0]	bTypeImm
(n + 13)	and	W	instr	-12Bitmask	uTypeImm
(n + 14)	and	\overline{W}	rs2	-bitPicker	j[4:0]
(n + 15)	and	\mathbb{W}	rs2	bitPicker	j[11]Pre
(n + 16)	sll	\mathbb{W}	j[11]Pre	shiftBy11	j[11]
(n + 17)	sll	\mathbb{W}	funct3	shift To Funct 3	j[14:12]
(n + 18)	sll	\mathbb{W}	rs1	shiftToRs1	j[19:15]
(n + 19)	sra	\mathbb{W}	instr	shiftBy11	j[31:20]Pre
(n + 20)	and	\mathbb{W}	j[31:20]Pre	-20 Bitmask	j[31:20]
(n + 21)	add	\mathbb{W}	b[10:5]	j[4:0]	j[10:0]
(n + 22)	add	\mathbb{W}	j[11]	j[10:0]	j[11:0]
(n + 23)	add	\mathbb{W}	j[14:12]	j[11:0]	j[14:0]
(n + 24)	add	\mathbb{W}	j[19:15]	j[14:0]	j[19:0]
(n + 25)	add	\mathbb{W}	j[31:20]	j[19:0]	jTypeImm

Figure 8: Extraction of all imm types from the instruction

```
(n + 0)
                          opcode
                                                                 isSType
                   Bool
                                      store
            eq
 (n + 1)
                   Bool
                           opcode
                                      branch
                                                                 isBType
            eq
 (n + 2)
                                      auipc
                                                                 uType1
                   Bool
                          opcode
            eq
 (n + 3)
                           opcode
                                      lui
                                                                 uType2
                   Bool
            eq
 (n + 4)
            or
                   Bool
                           uType1
                                      uType2
                                                                 is UType
 (n + 5)
                   Bool
                           opcode
                                     jal
                                                                 isJType
            eq
 (n + 6)
                                                                 checkS
            ite
                   \overline{\mathbb{W}}
                           isSType
                                     sTypeImm
                                                   iTypeImm
 (n + 7)
            ite
                   \mathbb{W}
                           isBType
                                      bTypeImm
                                                   checkS
                                                                 checkB
 (n + 8)
                                      uTypeImm
                                                   checkB
                                                                 checkU
            ite
                   \overline{W}
                           isUType
 (n + 9)
                                     jTypeImm
                                                   checkU
                                                                 imm32
            ite
                           isJType
                                      32
(n + 10)
            sext
                   D
                          imm32
                                                                imm
```

Figure 9: Finding the correct immediate by opcode

```
for i from 1 to 31 do
   add to model:
                                    isRs1Xi
                         iConst
                    rs1
end
add to model:
    ite
          D
              isRs1X1
                             x0
                                  checkX1
for i from 2 to 30 do
   add to model:
                 isRs1Xi
                                checkX(i-1)
                                               checkXi
        ite
                           хi
end
add to model:
              isRs1X31
                          x31
                               check X30
                                           rs1val
    ite
```

Algorithm 4: Extracting the value of the register designated by rs1

(isJALR already exists)						
n	and	Bool	isLoad	is 5 Funct 3	is LHU	
(n + 0)	consth	W	20		SUBWf7	
(n + 1)	eq	Bool	funct7	SUBWf7	fits F7SUBW	
(n + 2)	and	Bool	is 0 Funct 3	fits F7SUBW	fitsF3SUBW	
(n + 3)	and	Bool	isLoad	fitsF3SUBW	is SUBW	

(TODO: Use subfigs)

Figure 10: Instruction detection of JALR, LHU and SUBW as described in Algorithm 5

4.2.6 Instruction Detection

For the next-state logic, the only thing left that we need to know is the actual current command. So I defined a check is Instruction for each instruction. As this is quite repetitive, Algorithm 5 describes a generalized approach to reach these booleans. An example for each instruction subgroup in Algorithm 5 can be found in Figure 10. Of course the funct7 checks from the needsf7 subgroup can be reused if multiple instructions use the same funct7.

4.2.7 Next-State Logic

The next state logic is basically the core of the model. Almost everything else works towards this point. The Goal is to create the changes each instruction would make and then only inserting the changes specific to the instruction in the state. Each state node in the model must have an accompanying next node to work as intended. But first the changed values are needed.

Creating all Values of Instruction execution

It would be too long and unnecessary to go through all instructions, as this is simply following the RV64I ISA, but I want to give an example for each group of instructions as they were divided in Table 1. I show this for AUIPC, JALR, BEQ, LHU, SD, ANDI, SLLIW, SLT and SUBW in Figure 11. In this examples one can see multiple overlaps which can

```
add to model:
  (n + 0)
                          opcode
                                    load
                                                   isLoad
              eq
                  Bool
  (n + 1)
                          opcode
                                    opImm
                                                   isOpImm
              eq
                  Bool
  (n + 2)
                                                   isAUIPC
                  Bool
                          opcode
                                    auipc
              eq
  (n + 3)
                          opcode
                                    opImm32
                                                   isOpImm32
                   Bool
  (n + 4)
                                                   isStore
                  Bool
                          opcode
                                    store
  (n + 5)
                          opcode
                                                   isOp
                  Bool
              eq
                                    op
                                                   isLUI
  (n + 6)
              eq
                  Bool
                          opcode
                                    lui
  (n + 7)
                          opcode
                                    op32
                                                   isOp32
              eq
                  Bool
  (n + 8)
                                                   isBranch
                   Bool
                          opcode
                                    branch
              eq
                                                   is JALR
  (n + 9)
                          opcode
                                    jalr
              eq
                  Bool
 (n + 10)
                                                   isJAL
                          opcode
                  Bool
                                    jal
              eq
for i from 0 to 7 do
   add to model:
                     funct3
                              iConst
                                          isiFunct3
             Bool
        eq
end
onlyOp \leftarrow [LUI, AUIPC, JAL, JALR]
needsf7 \leftarrow [SRL, SRA, SRLI, SRAI, SRLW, SRAW, SRLWI, SRAWI, ADD,
SUB, ADDW, SUBW]
rest \leftarrow [ all other instructions ]
for all instructions I in onlyOp do
|isI| is already defined
end
for all instructions I in rest do
   opname \leftarrow opcode name of I
   f3val \leftarrow \text{expected funct3 of I as digit}
   add to model:
                                   isf3valFunct3
        and
                       <mark>is</mark>opname
                                                      isI
end
for all instructions I in needs f7 do
   opname \leftarrow opcode name of I
   f3val \leftarrow \text{expected funct3 of I as digit}
   f7hex \leftarrow \text{expected funct7 of I as hexadecimal number}
   add to model:
                                  f7hex
                                                              If7
     (n + 0)
                          W
                consth
                                  funct7
                                                  If7
                                                              fitsF7I
     (n + 1)
                          Bool
                eq
     (n + 2)
                          Bool
                                  isf3valFunct3
                                                  fitsF7I
                                                              fitsF3I
                and
     (n + 3)
                                  isopname
                                                  fitsF3I
                and
                          Bool
                                                              isI
end
```

Algorithm 5: Generalised approach to instruction detection

n	add	D	imm	pc	rdAUIPC
				r ·	

11.1: AUIPC

Figure 11: Instruction execution for chosen instructions

(n + 0)	add	AS	pc	pcInc	nextPc
(n + 1)	add	D	imm	rs1val	pcJALR64pre
(n + 2)	and	D	-1Const	pcJALR64pre	pcJALR64
(n + 3)	slice	AS	pcJALR64	15	pcJALR
(n + 4)	uext	D	nextPc	48	rdJALR

(TODO: pc overflow erwähnen)

11.2: JALR

Figure 11: Instruction execution for chosen instructions

be used, e.g. the addresses for load and store instructions or the 32bit versions of the word instructions. Also, I took SD to show that all other store instructions happen as interim results of preparing SD. It is similar with load instructions, but here we only get overlapping pre-results which each have to be sign extended to the expected 64bit on their own.

With this done we can sort each change to its instruction.

The next Memory

Defining the next memory array is simple. I just cascade through all store instructions with if-then-else nodes and by setting the final 'else' as the current memory array, if no 'if' catches, the array is not changed. All this is shown in Figure 12.

(n + 0)	add	AS	pc	pcInc		nextPc
(n + 1)	slice	AS	imm	15	0	ImmAS
(n + 2)	add	AS	pc	ImmAS		pcBranch
(n + 3)	eq	Bool	rs1val	rs2val		is BEQ cond
(n + 4)	ite	AS	is BEQ cond	pcBranch	nextPc	pcBEQ

11.3: BEQ

Figure 11: Instruction execution for chosen instructions

(n + 0)	add	D	rs1val	imm		1stAddrPre
(n + 1)	slice	AS	1stAddrPre	15	0	1stAddr
(n + 2)	add	AS	1stAddr	addressInc		2ndAddr
(n + 3)	read	В	memory	1stAddr		loadB1
(n + 4)	read	В	memory	2ndAddr		loadB2
(n + 5)	concat	Н	loadB2	loadB1		loadB2B1
(n + 6)	uext	D	loadB2B1	48	0	rdLHU

11.4: LHU

Figure 11: Instruction execution for chosen instructions

(n + 0)	add	D	rs1val	imm		1stAddrPre
(n + 1)	slice	AS	1stAddrPre	15	0	1stAddr
(n + 2)	add	AS	1stAddr	addressInc		2ndAddr
(n + 3)	add	AS	2ndAddr	addressInc		3rdAddr
(n + 4)	add	AS	3rdAddr	addressInc		4thAddr
(n + 5)	add	AS	4thAddr	addressInc		5thAddr
(n + 6)	add	AS	5thAddr	addressInc		6thAddr
(n + 7)	add	AS	6thAddr	addressInc		7thAddr
(n + 8)	add	AS	7thAddr	addressInc		8thAddr
(n + 9)	slice	В	rs2val	7	0	storeB1
(n + 10)	slice	В	rs2val	15	8	storeB2
(n + 11)	slice	В	rs2val	23	16	storeB3
(n + 12)	slice	В	rs2val	31	24	store B4
(n + 13)	slice	В	rs2val	39	32	store B5
(n + 14)	slice	В	rs2val	47	40	store B6
(n + 15)	slice	В	rs2val	55	48	storeB7
(n + 16)	slice	В	rs2val	63	56	store B8
(n + 17)	write	Mem	memory	1stAddr	storeB1	memorySB
(n + 18)	write	Mem	memorySB	2ndAddr	storeB2	memorySH
(n + 19)	write	Mem	memorySH	3rdAddr	storeB3	memoryB3
(n + 20)	write	Mem	memoryB3	4thAddr	store B4	memorySW
(n + 21)	write	Mem	memorySW	5thAddr	store B5	memoryB5
(n + 22)	write	Mem	memoryB5	6thAddr	store B6	memoryB6
(n + 23)	write	Mem	memoryB6	7thAddr	storeB7	memoryB7
(n + 24)	write	Mem	memoryB7	8thAddr	storeB8	memorySD

11.5: SD

 ${\bf Figure~11:~Instruction~execution~for~chosen~instructions}$

n	and	D	rs1val	imm	rdANDI
			11.0	ANIDI	

11.6: AND

Figure 11: Instruction execution for chosen instructions

(n + 0)	and	W	imm32	5Bitmask		$\overline{shamtIW}$
(n + 1)	slice	\overline{W}	rs1val	31	0	rs1val32
(n + 2)	sll	$\overline{\mathbb{W}}$	rs1val32	shamtIW		rdSLLIW pre
(n + 3)	sext	D	rs1val32	32		rdSLLIW

11.7: SLLIW

Figure 11: Instruction execution for chosen instructions

(n + 1) uext D $rdSLTpre$ 63 $rdSL$	(n + 0)	slt	Bool	rs1val	rs2val	rdSLTpre
(iii ii, doile ii iidalipie ee	(n + 1)	uext	D	rdSLTpre	63	rdSLT

11.8: SLT

Figure 11: Instruction execution for chosen instructions

(n + 0)	slice	W	rs1val	31	0	rs1val32
(n + 1)	slice	\mathbb{W}	rs2val	31	0	rs2val32
(n + 2)	sub	\mathbb{W}	rs1val32	rs2val32		rdSUBWpre
(n + 3)	sext	D	rdSUBWpre	32		rdSUBW

11.9: SUBW

Figure 11: Instruction execution for chosen instructions

(n + 0)	ite	Mem	isSB	memorySB	memory	newMem3
(n + 1)	ite	Mem	isSH	memorySH	newMem3	newMem2
(n + 2)	ite	Mem	isSW	memorySW	newMem2	newMem1
(n + 3)	ite	Mem	isSD	memorySD	newMem1	newMem
(n + 4)	next	Mem	memory	newMem		

Figure 12: Next-State logic for the memory array

(n + 0)	ite	AS	isBGEU	pcBGEU	nextPc	newPc7
(n + 1)	ite	AS	isBLTU	pcBLTU	newPc7	newPc6
(n + 2)	ite	AS	isBGE	pcBGE	newPc6	newPc5
(n + 3)	ite	AS	isBLT	pcBLT	newPc5	newPc4
(n + 4)	ite	AS	isBNE	pcBNE	newPc4	newPc3
(n + 5)	ite	AS	isBEQ	pcBEQ	newPc3	newPc2
(n + 6)	ite	AS	is JALR	pcJALR	newPc2	newPc1
(n + 7)	ite	AS	is JAL	pcJAL	newPc1	newPc
(n + 8)	next	AS	pc	newPc		

Figure 13: Next-State logic for the pc register

The next pc

For the next pc it looks mostly the same as shown in Figure 13. Only the behavior if no 'if' catches is different as pc must point to the next instruction to execute. This nextPc was already computed for the JAL and JALR instructions, so I reused it. The unconditional jumps also change the value in rd, but this is done in the next subsection.

The next rd

At last the x registers must be updated. The procedure is defined in Figure 6. With exception to x0 this is the same for all these registers. Also, it is similar in its procedure as defining the next memory or pc but instead of a handful of instructions, I have to go over 39 of them as only branch and store instructions do not change rd. Because of this, I took the liberty to not exactly show the cascade for all relevant instructions in Algorithm 6 but only indicate it.

4.2.8 Constraints

The only thing left is to define constraints to end the model checker. First is the intended end of reaching a set number of Iterations. It is shown in Figure 14.

```
add to model:
                    x\overline{0}
    next
                x0
for i from 1 to 31 do
   add to model:
                                                                  newXi-49
                                isLUI
                                           rdLUI
       (n + 0)
                  ite
                         D
                                                       xi
                         D
                  ite
      (n + 47)
                         D
                                isSRAW
                                           rdSRAW
                                                      newXi-2
                                                                 newXi-1
                  ite
      (n + 48)
                 eq
                         Bool
                                rd
                                           iConst
                                                                  isRdXi
      (n + 49)
                                isRdXi
                                           newXi-1
                                                                  newXi
                  ite
                         D
                                                       xi
      (n + 50)
                                           newXi
                         D
                                xi
                 next
end
```

Algorithm 6: Next-state logic for all x registers

(n + 0) (n + 1)	one constd	D D	nIterations		counterInc maxIterations
(n + 2)	state	D			counter
(n + 3)	init	D	counter	emptyReg	
(n + 4)	add	D	counter	counterInc	newCounter
(n + 5)	next	D	counter	newCounter	
(n + 6)	eq	Bool	counter	maxIterations	is Max Iter
(n + 7)	bad		is Max Iter		

Figure 14: Constraining the model by iteration count

After this I defined some extra constraints to check for bad instructions. First is checked if the opcode is valid for my model. The second constraint catches if the instruction can not be detected even whilst the opcode is valid. This is shown in Figure 15. The constraint in Figure 16 handles instruction-address-misaligned exceptions for jump instructions.

Of course other constraints can be defined. Options would be to stop on a specific pc or if a register reaches a specified value.

```
(TODO: Maybe add examples on how to do?)(TODO: Maybe internal references in figures should be numbers...)
```

(n + 0)	or	Bool	isLoad	isOpImm	isOpcodeValid9
(n + 1)	or	Bool	is AUIPC	is Op code Valid 9	is Opcode Valid 8
(n + 2)	or	Bool	isOpImm32	is Opcode Valid 8	is Opcode Valid 7
(n + 3)	or	Bool	isStore	is Opcode Valid 7	is Opcode Valid 6
(n + 4)	or	Bool	isOp	is Opcode Valid 6	is Opcode Valid 5
(n + 5)	or	Bool	is LUI	is Opcode Valid 5	is Opcode Valid 4
(n + 6)	or	Bool	isOp32	is Opcode Valid 4	is Opcode Valid 3
(n + 7)	or	Bool	is Branch	is Opcode Valid 3	isOpcodeValid2
(n + 8)	or	Bool	is JALR	is Opcode Valid 2	is Opcode Valid 1
(n + 9)	or	Bool	is JAL	is Opcode Valid 1	isOpcodeValid
(n + 10)	bad		-isOpcodeValid		
(n + 11)	or	Bool	is LUI	is AUIPC	is Instr Valid 47
(n + 12)	or	Bool	is JAL	is Instr Valid 47	is Instr Valid 46
:	or	Bool	:	:	:
			· CD AIII	· · · · · · · · · · · · · · · · · · ·	• • • • • • • • • • • • • • • • • • • •
(n + 58)	or	Bool	is SRAW	is Instr Valid1	is Instr Valid
(n + 59)	and	Bool	-is Instr Valid	isOpcodeValid	unknownInstr
(n + 60)	bad		unknownInstr		

Figure 15: Constraining the model on unknown instructions

(n + 0)	zero	AS			pcZero
(n + 1)	constd	AS	3		pcBitmask
(n + 2)	and	AS	pcBitmask	pcJAL	lowbits JAL
(n + 3)	and	AS	pcBitmask	pcJALR	low bits JALR
(n + 4)	and	AS	pcBitmask	pcBEQ	lowbitsBEQ
:	and	AS	pcBitmask	:	:
(n + 9)	and	AS	pcBitmask	pcBGEU	low bits BGEU
(n + 10)	neq	Bool	pcZero	low bits JAL	pcMsaJAL
(n + 11)	neq	Bool	pcZero	lowbits JALR	pcMsaJALR
(n + 12)	neq	Bool	pcZero	lowbits BEQ	pcMsaBEQ
:	neq	Bool	pcZero	:	:
(n + 17)	neq	Bool	pcZero	lowbitsBGEU	pcMsaBGEU
	-				
(n + 18)	or	Bool	pcMsaJAL	pcMsaJALR	pcMsa6
(n + 19)	or	Bool	pcMsaBEQ	pcBEQ	pcMsa5
:	or	Bool	:	:	:
(n + 24)	or	Bool	pcMsaBGEU	pcMsa1	pcMsa
(n + 21)	bad	2001	pcMsa	Political	Politica
(11 / 20)			Perme		

 $\textbf{Figure 16:} \ \ \textbf{Constraining the model on misaligned addresses} \\$

4.3 Testing for Correctness

To test my model, I compared its results to my RISC-V simulators (Section 2.3) results.

With a given state, both the simulation and the BTOR2 model are run. For both the iteration maximum is set to 1. The resulting BTOR2 witness can not be directly compared to the resulting state of the simulation. So I also implemented a simple converter from witness to state [7, src/restate_witness.c]. These two states now can be compared. I have written a shell script for this at [7, sh_utils/compare_iterations.sh]

To generate RISC-V states, I implemented a fuzzer [7, src/state_fuzzer.c] to generate randomized states with one valid instruction at the address of pc. The fuzzer first chooses an instruction to test, on this basis it fills all variable parts of the instruction, e.g. rd or imm. Now all registers relevant to the instruction are filled with a random 64bit value. Also, a pc value is generated so that the instruction still fits in the limited address space of the BTOR2 model. At last if a jump instruction was chosen, a possible address misalignment is fixed and an address overflow prevented. The second part is for simplifying later comparison on the resulting states, as now a correct execution of the instruction always results in the exact same resulting state although the differences between simulation and the BTOR2 model.

With this it is possible to start test series. For this I implemented a shell script, too [7, sh_utils/test_btor2_model.sh]. Also, as with big amounts of tests, it becomes harder to keep an overview over failed tests. To counter this I also have written a script to unite all failed tests into one file and also add some not so easy to access information like instruction name or immediate value [7, sh_utils/diff_logger.sh].

I have run around 5,000,000 tests on this model without one failing, so I assume that my implementation is correct.

5 Benchmarks

- 5.1 MultiAdd in Functional and Relational Next-State-Logic
- 5.2 Memory Operations
- 5.3 Results

6 Conclusion

Bibliography

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(TODO: Add repo versions)