

and no. of blocks needed to store DB file =  $\frac{256}{8} = \underline{\underline{32}}$

Now assume those 256 records belong to students

with R.NO as a col.  $\leftarrow$  table.

and we are running the following query:-

Select \* from Students

where R.NO. > 5 and R.NO. < 50

→ So as of now :- the query processor don't know exactly that which record is present on which block?  $\rightarrow$  So it will go through all the blocks. and have to spend a lot of time.

So here the file should be stored in an organised way so that time for searching should be min<sup>n</sup> and the performance should be improved.

↳ The storage of the DB file should follow some pattern, and it should be accessible directly otherwise we have to search for a single record linearly :- linear search.

\* We can have records of :- fixed length or variable length.

These are v. easy to  $\leftarrow$   
maintain

not easy to  $\leftarrow$   
maintain

little difficult  $\leftarrow$   
technique.

saves a lot of  $\leftarrow$   
space.

28/09/2022 # lesson 09 #

→ deletion in fixed length records :-

→ Consider we have a students records stored in sorted order of M.No. In a table.

Now if one record has to be deleted then :-  
so we have following two options :-

1. Keep remaining records in sorted order :-

↳ Keep shift remaining records up

↳ this is costly!

(time consuming)

↳ another thing is :- Just keep those empty places as it is !

↳ but deletion happens very frequently = then it will be problematic.

2. Unordered Roll no.

↳ now just keep place those empty

↳ now the table will be converted into the linked list form.

↳ one column will be added at the end which will give us the next

free ~~row~~ of the table]

last column :- will be "Pointer to next free address"

# Now Why Indexing :- here the records of a DB table entered in disk blocks don't have their block numbering = that in which block they are stored. So it is very difficult to search for few particular records :- based on some criteria. the problem is that you have to search linearly and you'll have to go through all the blocks of the where that DB table will be stored.

So that's why we need Indexing!

# Index file :- In Secondary memory (disk) database file is stored and to maintain the indexes :- another index file is created :- stored in single / multiple blocks.



index file

→ where index of a table stored.

So Index file will have :- the specific records of the table is stored in which blocks.

→ their addresses

# Techniques :- 01. Clustered Indexing :-

data Order and Index order are same to same.

means -

Index on  
RNO.

1  
2  
3  
4

records  
RNO.

RNO.
1
2
3
4
1

indexing of roll no. B/B records stored on basis of roll no. are in same Order exactly.

## Q2. Non clustered indexing :-

Here data order and Index order are not same :-

Indexing on R-No.

RNo.	disphno.
3	
4	
2	
5	
1	

RNo.
1
2
3
4
5

Orders of both are very different

both sequences don't match here

→ So these both ~~seq~~ indexing techniques show the relation b/w record sequence and index sequence.

## # Dense V/S sparse Index :-

→ Dense :- Indexing is done for each and every database record (table row).  
advantage = we can reach to a specific record directly less time. → for each row of a table :- there is Indexing done.

Ex :- we have 2000 records in Student table then in indexing also we'll have 2000 records

↳ for each roll no. = one index we'll have

→ Sparse :- Index record is for a few DB records only.  
↳ for few records only indexing is done

Ex:- for 2000 records in student's table we have  
only  $\frac{2000}{5} = \boxed{400 \text{ indices}}$

↳ means for every 5<sup>th</sup> record  
starting from 1 we have a index.

1, 6, 11, 16, ---

Advantage :- here we will save a lot of space.

But sparse indexing = cannot be used in every case.  
whereas dense indexing can be used.

## # Indexing techniques (types)

01. Primary Indexing :- says that :- indexing  
done on the primary key or any superkey.

↳ data must be ordered on Index.

↳ it is always sparse Index.

means if indexes are sorted on the  
basis of primary key then data must  
also be sorted in that primary key only

↳ kind of clustered Indexing

\* anchor record :- The starting record of a disk block

Now consider the following table stored in 3 blocks:

RNo. S\_Name

1	
2	
3	
4	

B<sub>1</sub>

its index block  
table

1	B <sub>1</sub>
5	B <sub>2</sub>
9	B <sub>3</sub>

B<sub>2</sub>

5	
6	
7	
8	

anchor record

9	
10	
11	
12	

B<sub>3</sub>

Now if we run the  
following query:-

Select \* from student  
where RNo. = 1

then no. of blocks accessed :- 2

Now if there were  
more than 1 index  
blocks :- then  
we will do the  
binary search  $\Rightarrow$  which will take  $(\log_2 n)$  time  
to search our record

so total time =  $\lceil \frac{\log n}{2} + 1 \rceil$  = or total no. of  
blocks accessed

so if index file is stored over n. no. of blocks  
then its search in index file  $\Rightarrow$

$\lceil \frac{\log n}{2} \rceil$  block accesses needed

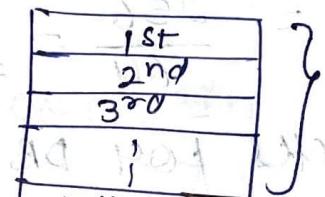
## # Spanned V/S Unspanned file Organisation.

\* Spanned :- When one particular record of a DB table/file is split into two separate blocks because in 1<sup>st</sup> block there was not much enough space to accomodate that record fully.

Ex :- consider one disk block of size = 64B and one DB table = with 100 records and each record size = 10B

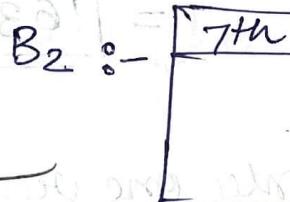
then in B<sub>1</sub>:

Spanned file organisation



} 6 records completely come

7<sup>th</sup> | 4 Bytes remaining



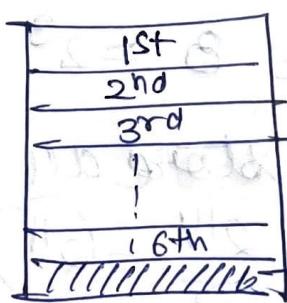
6 Bytes of B<sub>2</sub> taken for 7<sup>th</sup> record

One pointer should be kept here to locate the other half of this record.

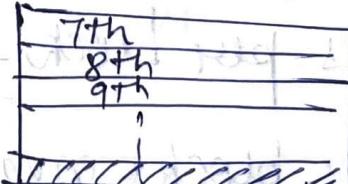
\* Unspanned :-

:- there is no splitting of any record: either storing a record completely or storing in another block completely only.

B<sub>1</sub>



B<sub>2</sub>



4 Bytes wastage

Ques. Consider a DB file of 65536 records, each of size 64B. Key field is 10B & block pointer is 22B. Assume that block size is 256B

1. the no. of blocks req'd to store file?

2. — " — store the index file for primary indexing?

Soln. In one block of disk, no. of records

$$1. \text{ of DB file} = \frac{256}{64} = 4$$

$$\text{then no. of blocks for DB file} = \frac{65536}{4} = \frac{2^{16}}{2^2}$$

2. here sparse indexing is used.

↳ per block = only one record.

⇒ no. of blocks = no. of Index records.

$$\text{no. of index records} = \underline{16384} = 2^{14}$$

$$\text{size of each index record} = 10 + 22 = 32B = 2^5B$$

$$\text{no. of indexes per block} = \frac{256}{32} = 8 = 2^3$$

then no. of blocks needed to store all

$$\text{index records} = \frac{2^{14}}{2^3} = \underline{\underline{2^{11}}} = 2^{11}$$

Ques Consider a DB file with  $2^{20}$  records each of size 128B. The indexing is done on primary key of the table and to store p.k. of each record 12B storage needed. The disk block size = 1KB. the disk has total space =  $2^{34}$ B. The D.B file is primary indexed on primary key.

(1) no. of blocks needed to stores D.B. file.

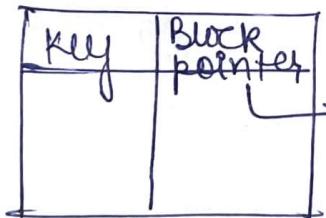
(2) \_\_\_\_\_ index file

Soln: no. of records in one block :-  $\frac{2^{10} \text{ B}}{128}$

(1)

$2^3 = 8$  records  
and no. of blocks needed  $= \frac{2^{20}}{2^3} = 2^{17}$  blocks

(2) Size of one index record = 8 B + ~~3B~~ = 11B



pointer which contains the address of that particular block.

Block = 3  
pointer Bits

$2^{24}$   
total disks

$$\frac{2^{34}}{2^{10}}$$

Address bits of disk blocks

$\hookrightarrow$  24 bits reqd ~~for~~ for disk

or  
(3B) = for Block pointer

now each index record size =  $8 + 8 = \underline{\underline{11B}}$ .

Now two different values Based on two types of index:

### Spanned

total index file size

$$= \frac{2^{17}}{1024} * 11B = \underline{\underline{1344B}}$$

no. of indexes = total no.

of blocks

now blocks :-

$$\frac{2^{17} * 11}{1024B} = \underline{\underline{1408 \text{ blocks}}}$$

### unspanned

$$= \text{no. of indexes in each block} = \left\lfloor \frac{1024}{11} \right\rfloor = 93$$

$$\text{no. of blocks to store indexes} = \left\lceil \frac{2^{17}}{93} \right\rceil = \underline{\underline{1410 \text{ blocks}}}$$

29/09/2022

## # Lesson 10#

### 02. Clustering Indexing :-

- Indexing done on Non key field.
  - Data must be ordered on index field.
  - in non key field = we can have the repeated values.  
and in index file :- only distinct values will be kept.
- So we are not keeping index for each record

↳ hence it is Sparse Indexing.

Ex :-

Now the index storing blocks :-

S Name	Block pointer
A	B <sub>1</sub>
B	B <sub>2</sub>
B	B <sub>3</sub>
E	B <sub>4</sub>

↓  
So we will have

the indexing of only 7 ~~distinct~~ values  
Such that :-

1	A
2	A
3	A
4	B

5	B
6	B
7	B
8	B

9	B
10	B
11	C
12	D

13	E
14	F
15	F
16	G

→ here we have

B<sub>1</sub>      7 distinct values :-

A, B, C, D, E, F, G

Now if we search for B in index

block :- then

it shows

B<sub>1</sub>. ~~either B<sub>2</sub> or B<sub>3</sub>~~

either B<sub>2</sub> or B<sub>3</sub>

A	B <sub>1</sub>
B	B <sub>1</sub>
C	B <sub>3</sub>
D	B <sub>3</sub>
E	B <sub>4</sub>
F	B <sub>4</sub>
G	B <sub>4</sub>

→ Starting block of each value of non key field

Ques. DB file size 1G records =  $2^{30}$  records

record size = 64 B

Block size = 4096 B

Index field = 10 B

Block pointer size = 22 B

No. of distinct values in index file = 16384

Indexing is done on nonkey, data is ordered on nonkey and indexing is done on each distinct non key value.

→ now this is the clustering indexing only

find

So no. of blocks used to store the DB file and no. of blocks used to store the index file??

$$\text{Soln} \text{ no. of records per block} = \frac{2^{12}}{2^6} = \underline{\underline{2^6}}$$

① no. of blocks needed =  $\frac{2^{30}}{2^6} = \underline{\underline{2^{24}}}$

② no. of distinct values in index =  $2^{14} = 16384$

one index size =  $10 + 22 = 32 B = 25$

in one block no. of index records per block =  $2^7 = \underline{\underline{2^{12}}} = \underline{\underline{2^5}}$

no. of blocks to store  $2^{14}$  indexes =  $\frac{2^{14}}{2^7} = \underline{\underline{2^7}}$

## # Secondary Key Indexing :-

- Indexing done on ~~primary~~ candidate key or any super key.
- Data must not be ordered on index field.
- It is dense index.  $\Rightarrow$  keeping a block pointer for each record of DB file.
- \* So this indexing becomes space consuming.

Ex :- the index blocks :-

R.No.	Block pointer
1	B <sub>1</sub>
2	B <sub>2</sub>
3	B <sub>3</sub>
4	B <sub>1</sub>
5	B <sub>4</sub>
6	B <sub>4</sub>
7	B <sub>3</sub>
8	B <sub>2</sub>
9	B <sub>1</sub>
10	B <sub>4</sub>
11	B <sub>1</sub>
12	B <sub>2</sub>
13	B <sub>3</sub>
14	B <sub>3</sub>
15	B <sub>4</sub>
16	B <sub>2</sub>

R.No.	Name
1	
4	
9	
11	

B<sub>1</sub>

16
2
12
8

B<sub>2</sub>

7
3
13

B<sub>3</sub>

15
10
5
6

B<sub>4</sub>

data and the index field are not ordered

which is acc. its defn

Ques.: DB file size =  $2^{40}$  B, record size = 128 B, Block size = 4096 B, Index key field = 10 B, Record pointer size = 22 B, using unpanned file organization

Indexing is done on any key. data is unordered on key and indexing is done for each key value. then find :- ↳ Secondary key indexing

1. No. of blocks required to store database file
2. No. of blocks required to store index file

Soln no. of records in dB fil =  $\frac{2^{40}B}{2^7B} = 2^{33}$

no. of records per block =  $\frac{4096B}{128B} = 2^5 = 32$

① no. of blocks =  $\frac{2^{33}}{2^5} = 2^{28}$

② no. of records = no. of index records =  $2^{33}$   
each index record size =  $10+22 = 2^5B$

no. of index records per block =  $\frac{4096B}{2^5B} = 2^7$

no. of blocks need to store index =  $\frac{2^{33}}{2^7} = 2^6$

## # Secondary Non key Indexing :-

↳ Indexing done on non-key field.

↳ data must not be ordered on index field

↳ it is sparse index.

Ex:-

Name	
A	BP
B	B5
C	B6
D	B7
	B8

Index for each distinct value

	B1	B3
B2	B4	
B2	B4	
B3		
B1		

RNo. Name

1	D
2	A
3	B
4	C
5	C
6	A
7	B
8	C

↳ Second pointer

## Recap.

Ordering	Key / Non Key	Type
1. Ordered	Key	Primary + Sparse
2. Ordered	Nonkey	Mustering + sparse
3. Unordered	<del>Non</del> key	sec. key + Dense
4. Unordered	Non key	sec. key + sparse.

Ques.: DB file size =  $10^8$  records

Record size = 400 B

Block size = 4096 B

Index key field = 16 B

Index pointer size = 4 B

Unspanned file organisation

Secondary key

Indexing is done on key; data is unordered on

key and indexing is done for each key value.

1. No. of blocks req'd to store DB file.

2. No. of blocks req'd to store index file

Soln.: No. of records per block =  $\left\lfloor \frac{4096B}{400B} \right\rfloor = 10$ .

① No. of blocks for d.B file =  $\frac{10^8}{10} = 10^7$  blocks

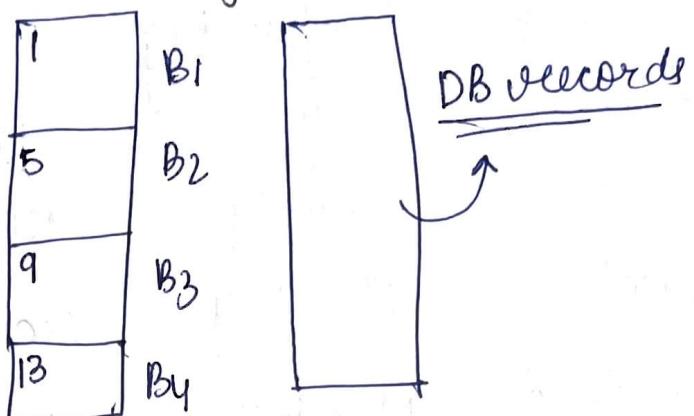
② Index record size = ~~16+4~~ = 20 B

No. of indexes per block =  $\left\lfloor \frac{4096B}{20B} \right\rfloor = 204$

No. of blocks needed for index file =  $\left\lceil \frac{10^8}{204} \right\rceil = 490197$

## # multi level Indexing #

1	B1
5	B2
9	B3
13	B4



Primary Indexing

↳ indexing of 4 blocks of secondary indexing  
secondary index file

now if secondary indexing is very large then its  
primary indexing will also be large  
then one more level of indexing or more  
than one level also needed.

↳ 3 or 4 or 5 - level indexing  
it would be !

\* In multilevel indexing of n-levels :-

no. of blocks accessed to get record =  $n+1$   
for index blocks      for DB records

\* In general it is =  $\log_2 \left( \frac{\text{no. of blocks to store}}{\text{Index}} \right) + 1$

29/09/2022. #Lesson 11#

# B-tree :- used to ~~use~~ the No. of accesses of index blocks drastically.

↳ tree Based indexing

↳ Dynamic Indexing technique.

↳ Based on insertion and deletion, the tree automatically adjusted

↳ Self Balancing  
Search tree.

↳ means every node will have its height of right sub tree = height of left sub tree.

→ Binary search tree :- max<sup>m</sup> 2 children of each node and each node can contain only one key.

→ if indexes are stored using BST :- and we have  $2^{30}$  nodes in that BST :-  
then searching will require :-  $\log_2(2^{30})$

So disadvantage of BST :-  $\log_2 n$  = 30 blocks.

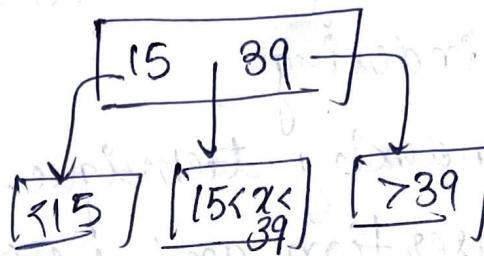
Tree grows only vertically hence  
 $\log_2 n$  = larger value for block accesses.

So the soln :- tree should grow horizontally & vertically.

So n-ary search tree :- max<sup>m</sup> n children

now if we have 3 ary search tree :-

max<sup>m</sup>, 3 children per node and  $3-1=2$  keys per Node



now B-Tree :-

$p$ -ary Search Tree = max<sup>m</sup> Children per node

An order- $P$  B-tree :-

1. Every node other than root should have atleast  $\lceil \frac{P}{2} \rceil - 1$  Keys

2. In every node there are atmost  $(p-1)$  keys and  $p$  tree pointers (children)

Ex:  $n=3$   $\rightarrow$  max<sup>m</sup> children  $= 3$  | min<sup>m</sup>  $= 2$

max<sup>m</sup> Keys  $= 2$  | min<sup>m</sup>  $= \lceil \frac{3}{2} \rceil - 1 = 1$

3. root can have min<sup>m</sup> 1 Key.

4. all leaves should appear on same level.

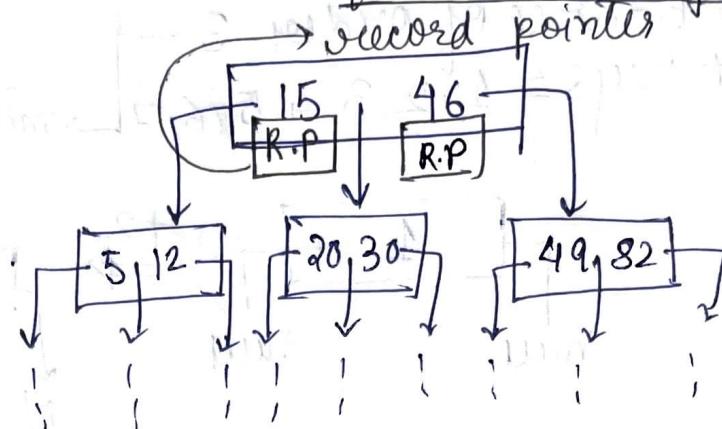
Ex:  $n=5$

	Children	Keys
max	5	4
min	3	2
(not on root)		

Consider the student's DB :-

R.No.	Name
1	A
2	B
3	C
4	B,C
5	D
:	

now using order 3 B-tree  
for indexing :-



→ So here along with Key :- we will also keep one record pointer = which will denote that where in our DB or disk → this particular key value is located.

→ So on a particular Node a B-tree :-

we are keeping following three things :-

1. Key = field value on which indexing is done
2. Record Pointer = pointer to record associated with Key.
3. Tree pointer :- pointer to next node in B-tree.

→ So in a B-tree of order p :-

max<sup>m</sup> on a node :-

↳ Key : p-1

↳ Record Pointer : p-1

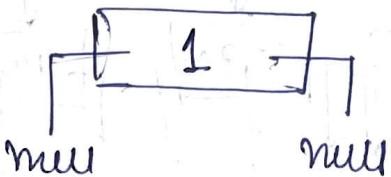
↳ Tree pointer : b

## # Insertion in B-trees :-

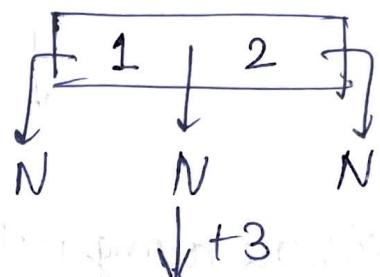
always on leaf node

→ So B-tree of order 3  
and insert keys = 1, 2, 3, 4, 5, 6, 7

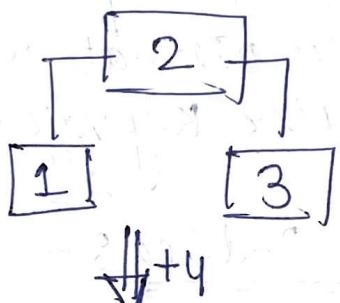
max<sup>m</sup> → key = 2  
child = 3  
min<sup>m</sup> → key = 1  
children = 2



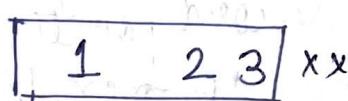
+2



+3



↔

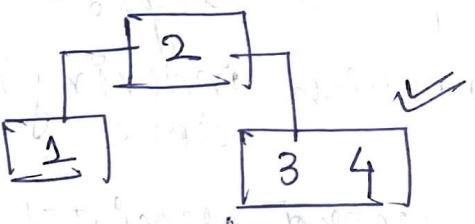


not allowed here  
so node split

from center ↵

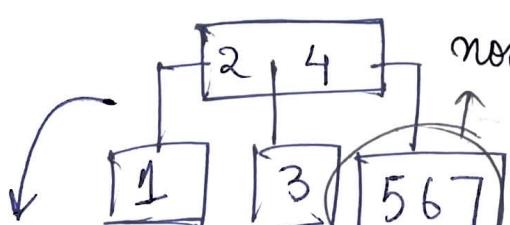
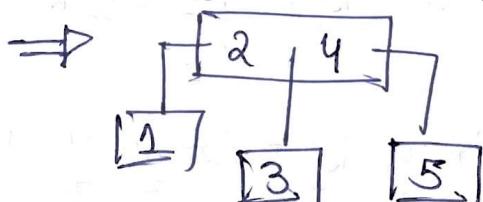
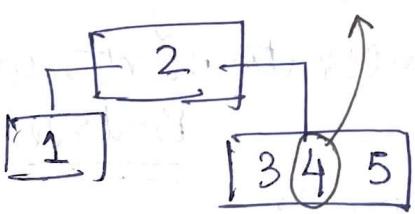
② will come up

left side = 1 right = 3



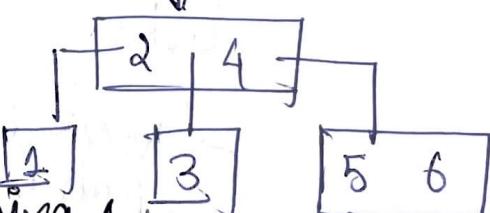
+4

Now this will go one level ↑ node  
up and will join ② split.

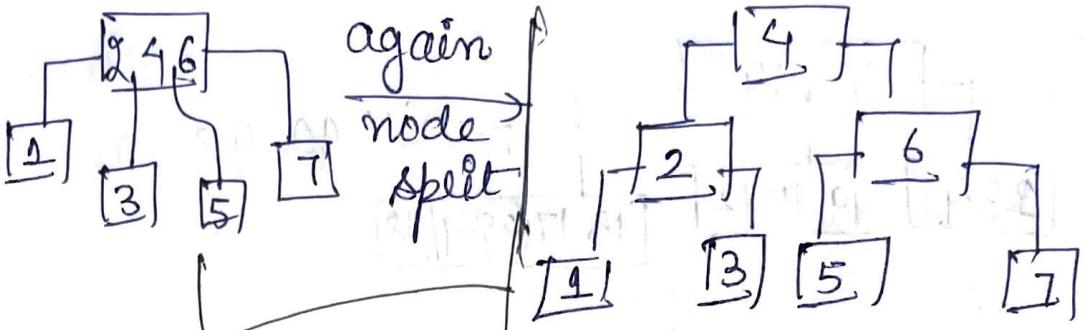


node split here ↓ +6

↔ +7



now 6 going 1 need up  
its left will be left child and its  
(5) right will be right child  
(1)



- When you go up :- turn its left-key (2) will become its left child and turn its right key (6) will be its right child.
- Our final B-Tree.

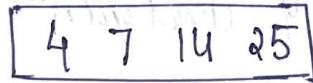
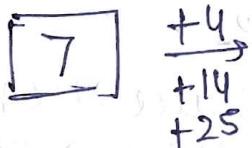
⇒ Insert the following keys :-  
in B-tree of order = 5

Keys = 7, 4, 14, 25, 3, 10, 12, 15, 17, 9, 29, 1, 38, 6, 11.

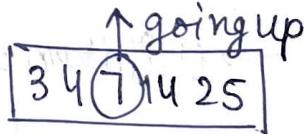
Soln.

Order = 5

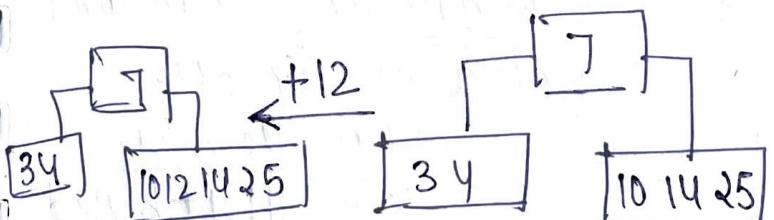
- Children  $\left\{ \begin{array}{l} \text{max} = 5 \\ \text{min} = 3 = \lceil \frac{P}{2} \rceil \end{array} \right.$
- Keys  $\left\{ \begin{array}{l} \text{max} = 4 \\ \text{min} = 2 \end{array} \right.$



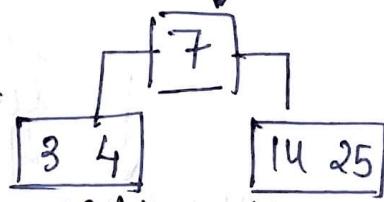
$\xrightarrow{+3}$



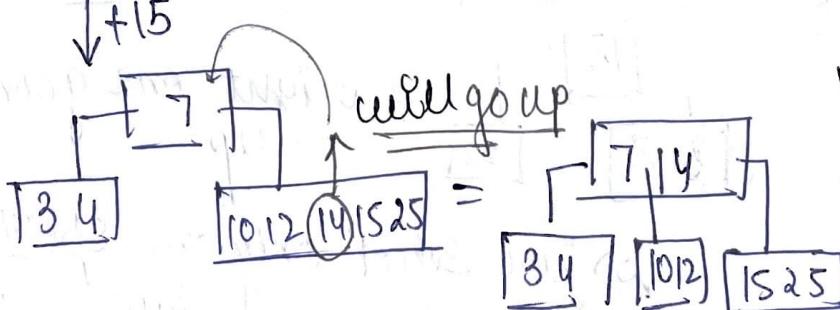
↑ going up



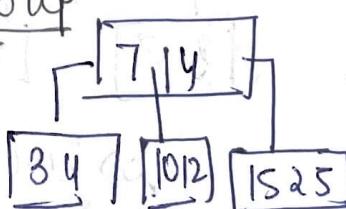
$\xleftarrow{10}$



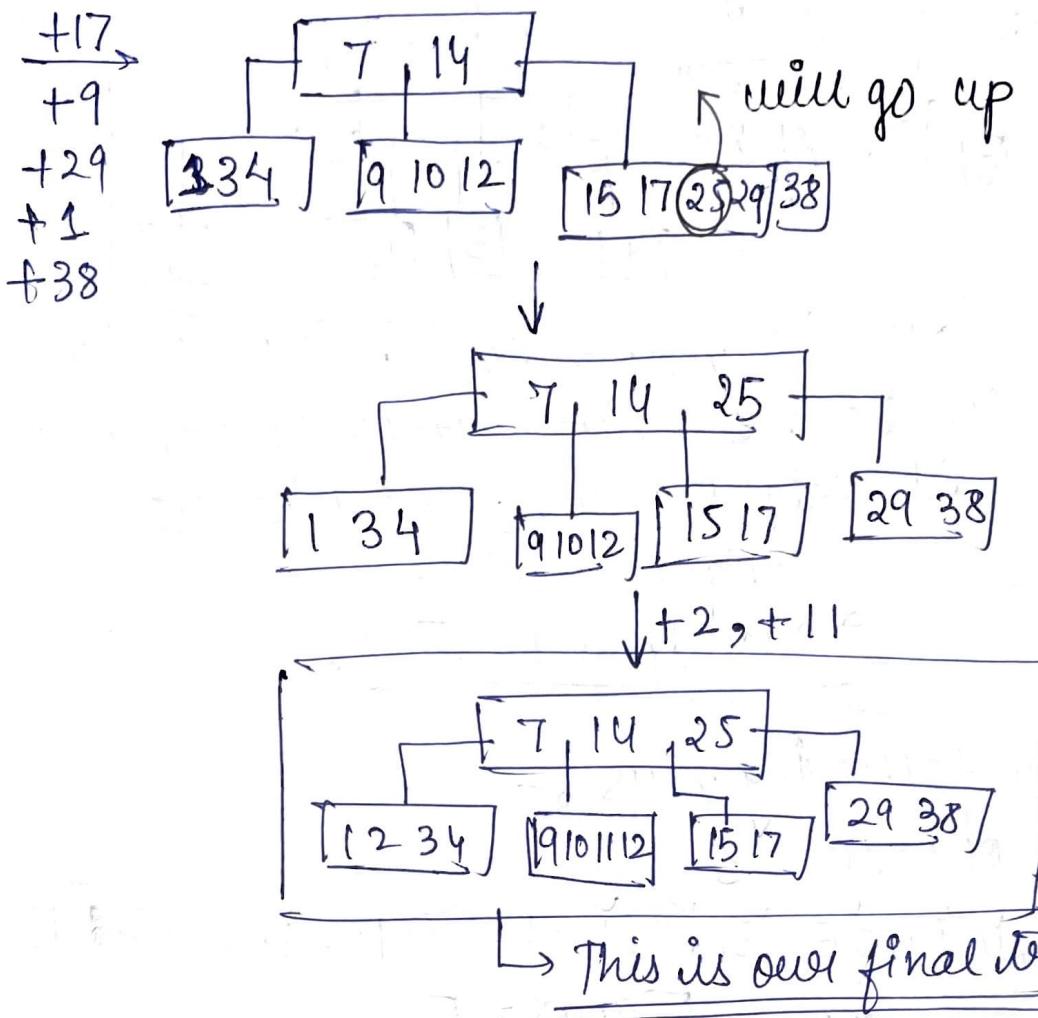
\* Other than root rest all nodes should have min<sup>m</sup> 2 keys



will go up



→ Root can have min<sup>m</sup> 1 key



Ex:- B-tree of order  $= 4 \rightarrow \max^m$  keys  $= 3$  and  $\min^m = 1$   
 Insert keys  $= 15, 5, 8, 22, 10, 1$

$\rightarrow$  Children  $= \max^m = 4$  and  $\min^m = 2$

$[5 8 15 22] \Rightarrow$  now we have even order here  $\rightarrow$  means

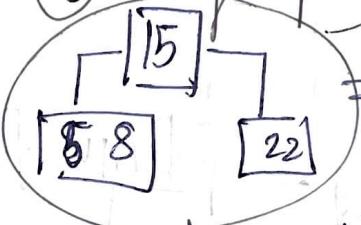
not a center key

left side more keys  $\Rightarrow$  Left biased

either (8) will go up or (15) will go up.



left one going up



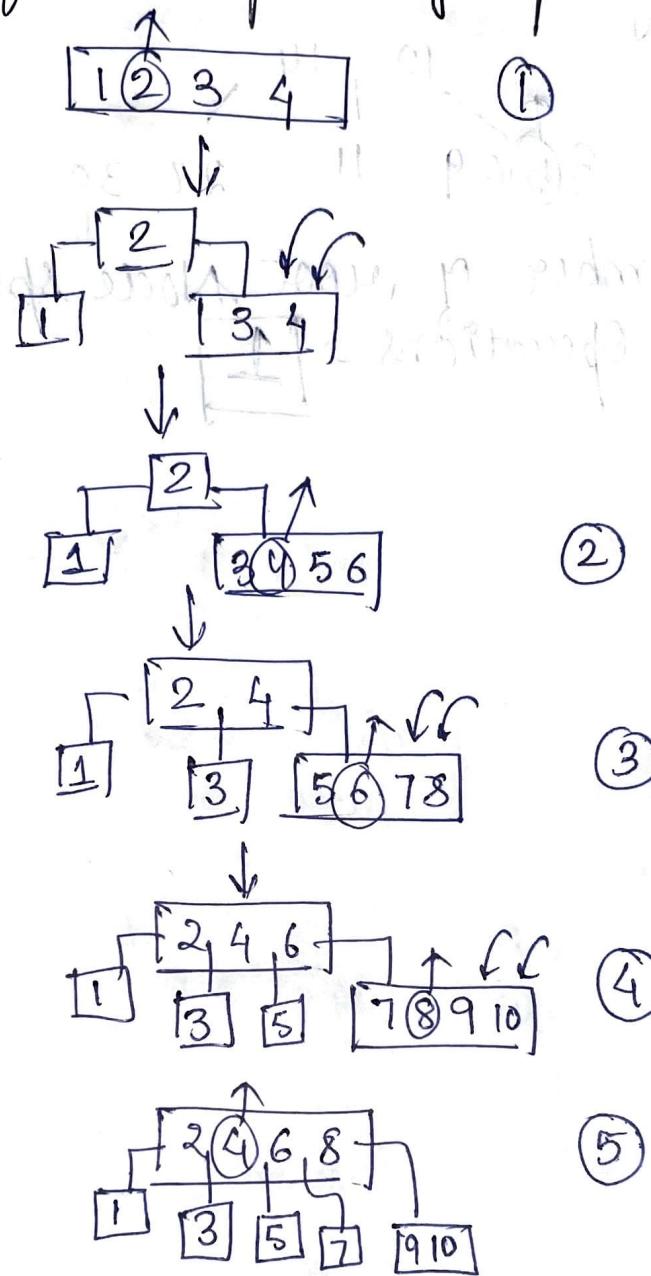
right one going up

so here select only one splitting either left or right and throughout the question follow that splitting only.

right side more keys  
 $\downarrow$   
right biased

Ques. A B-tree of order 4 is built from scratch by 10 successive insertions. What is the maximum number of node splitting operations that may take place

Soln.

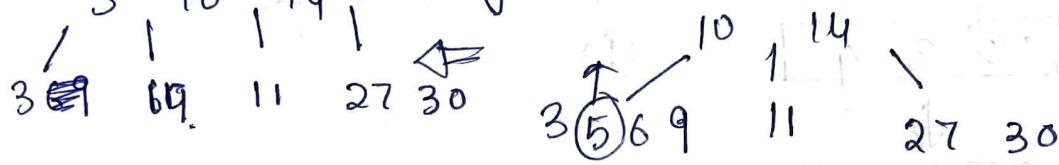
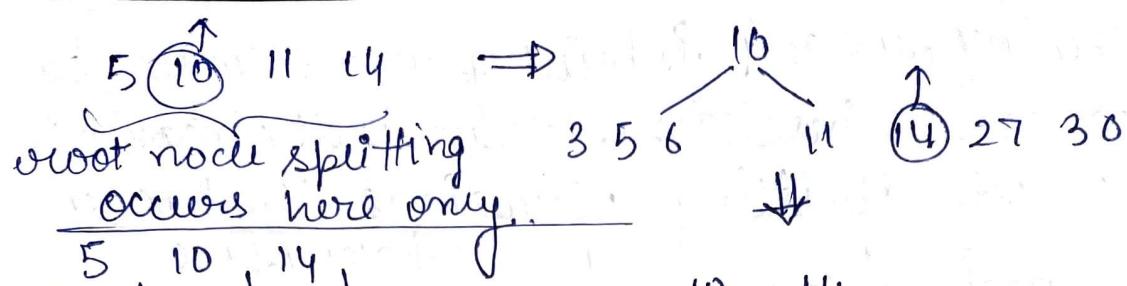


11 so total of  
5 times  
node splitting

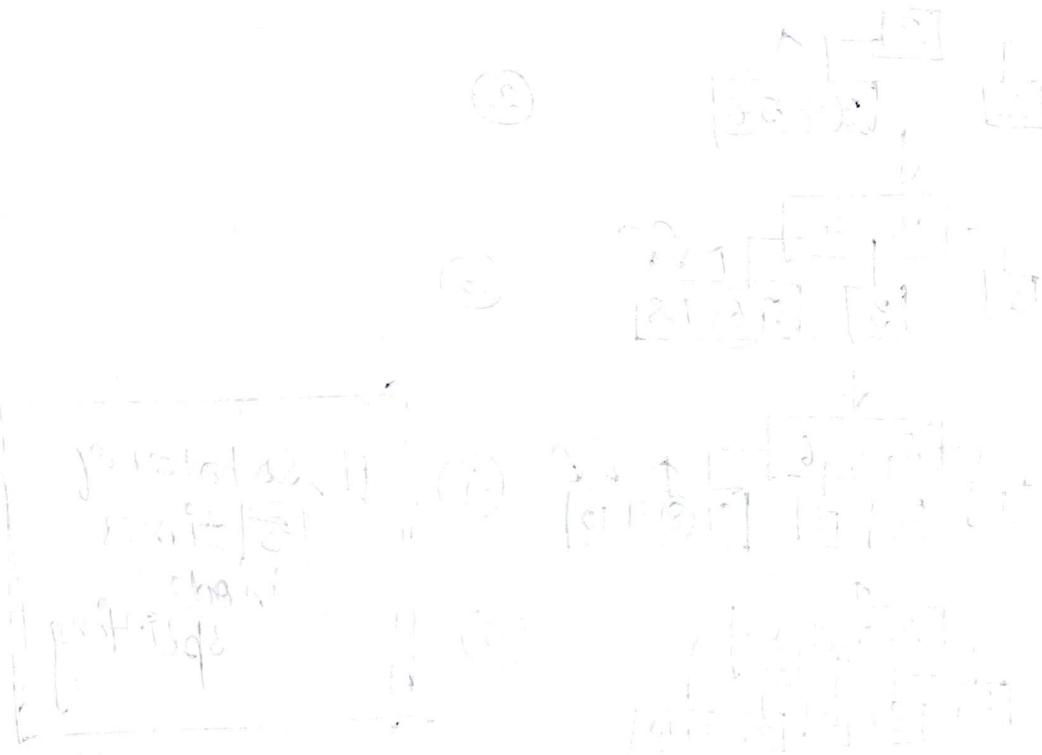
Ques. A B-tree of order 4 is built from scratch by successive insertions of following keys in the given order:-

10, 5, 14, 11, 3, 6, 30, 27, 9

What is the no. of root node splitting operations that may take place with eight bridging.



$\Rightarrow$  so the number of root Node splitting operations = 1



so the number of root Node splitting operations = 1

so the number of root Node splitting operations = 1

so the number of root Node splitting operations = 1

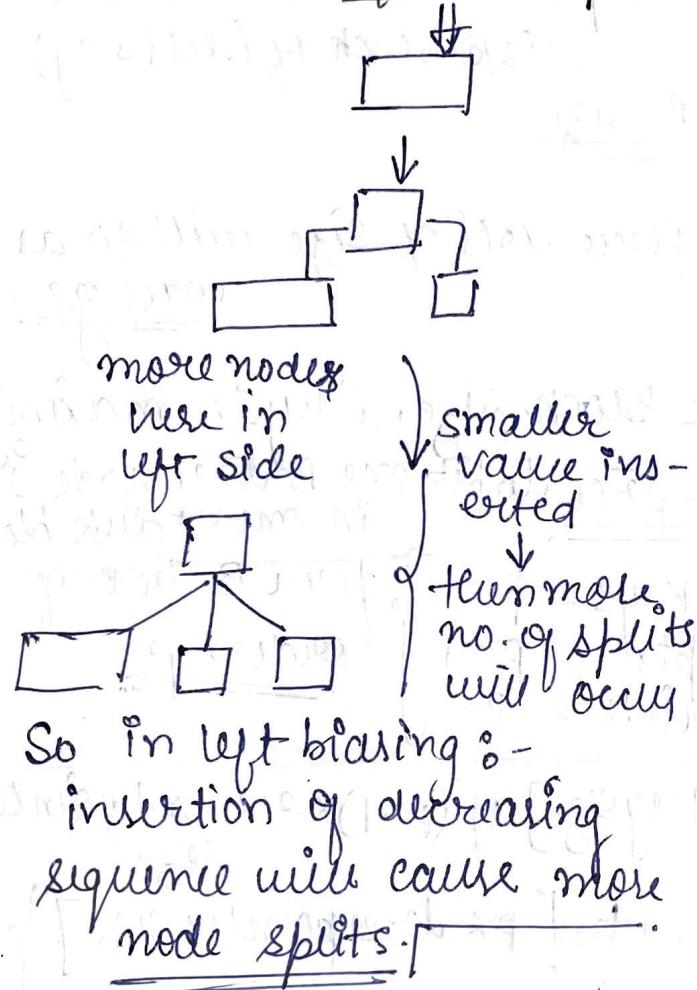
so the number of root Node splitting operations = 1

so the number of root Node splitting operations = 1

so the number of root Node splitting operations = 1

30/09/2022 • # Lesson 12 #

→ now more splitting happens in which :-  
left biasing or right biasing?



→ In left-biasing if we insert elements in  $\downarrow$ ing order then from LHS only more splitting will be there.

# practical Implementation

of Node on Blocks :-

→ The B-tree is also stored in disk blocks such that one Node of the B-tree is stored in one disk block.

Similarly here in right biasing :-

max<sup>m</sup> Split if insertion done in increasing order

when you insert the elements which are larger and more larger and more larger then elements will be entered at right most leaf child :- then splitting will also be max<sup>m</sup> = ~~max<sup>m</sup>~~ in case of right Biasing.

now consider if B-Tree is of order = 3  
can't utilize block size upto fullest.

then max<sup>m</sup> key = 2  
max<sup>m</sup> record pointer = 2  
and max<sup>m</sup> tree pointer = 3. } in one disk block of (lets say) 1024B size

so so --- what to do?

→ free lots of size will go as wastage.

→ try to maximize the order of B-Tree to fit one B-tree node in one disk block

we know that max<sup>m</sup> keys = p-1 for a B-tree of order p.  
max<sup>m</sup> Rec. ptr = p-1  
max<sup>m</sup> tree pointer = p

now total size stored in one node =  $[(p-1) \times \text{key size}] + [(p-1) \times \text{record pointer size}] + [p \times \text{tree pointer size}]$

\* Tree pointer = next block pointer

Ques: Key size = 16B

Block pointer size = 32B

record pointer size = 48B

Block size = 8192B

if a B-tree of order p is implemented then what is the max<sup>m</sup> p value?

$$\text{Soln} \quad (p-1) \times 16 + (p-1) \times 48 + p \times 32 \leq 8192$$

$$\text{Ans} \Rightarrow P_{\text{max}} = 86$$

$$P \leq \left( \frac{8192 + 64}{96} \right) \Rightarrow P \leq 86$$

$\Rightarrow$  Height of the B-tree :-

P order    B-tree :-

and total Nodes =  $n$

then  $H_{\min} = \lceil \log_p (n+1) - 1 \rceil$

$H_{\max} = \lceil \log_{[P/2]} \frac{n+1}{2} \rceil$

# deletion in B-tree :- two Cases

case ① deletion in leaf node :- here also we will have ~~if~~ 3 cases :-

1. if after deletion :- the node have more than or equal to the min<sup>m</sup> no. of allowable keys = then no problem at all.  
will not do any adjustments!

Ex :-  $p=5$  then min<sup>m</sup> no. of keys = 2  
and we have following node :-

[ 15 19 20 25 ]

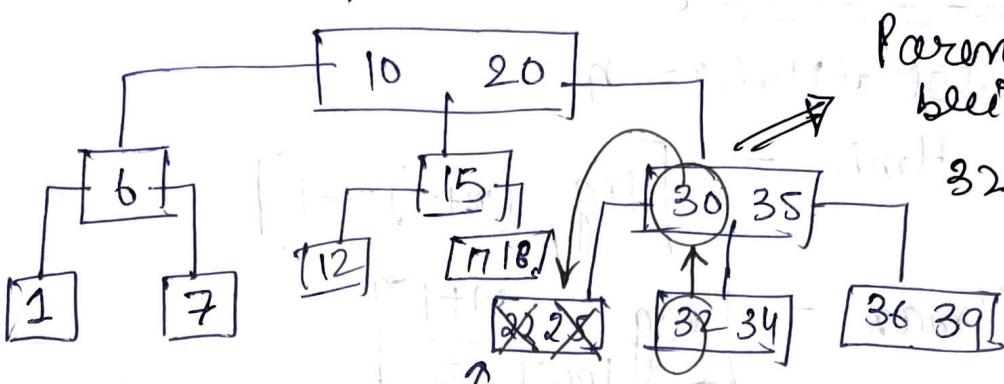
→ after deleting this  
we have 3 keys in the Node :-  
which is not a problem !

2. Now if after deletion :- there is a violation of min<sup>m</sup> no. of keys :- then borrow a key from

sibling node

& do the rotation with parent

now consider the following 3 order B-tree  
 $\underline{\text{max}}^m \text{ Keys} = 2$  and  $\underline{\text{min}}^m \text{ Keys} = 1$ .



(30)  
 Parent we will bring down & 32 will bring up.

now delete 22 and 25 :- then in that node

no. of keys = 0 = violation

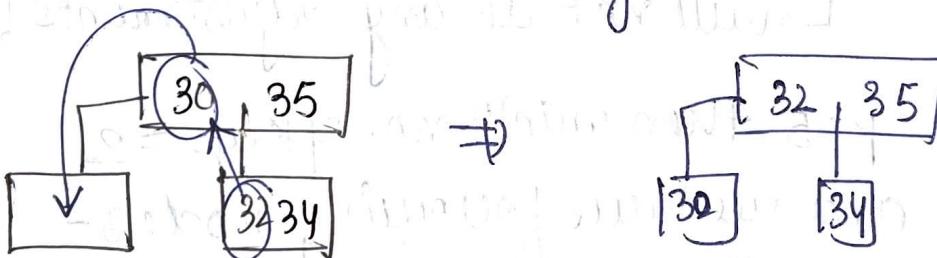
of min<sup>m</sup> no. of keys

then Borrow a Key from Sibling Node

(which is closest) = 32 we will borrow from right sibling

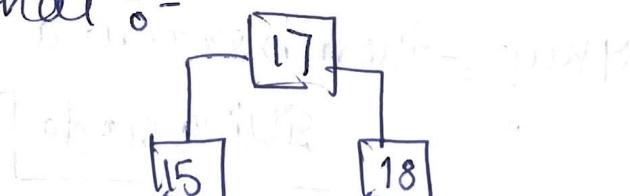
since it has 2 keys

so 1 key can be taken.



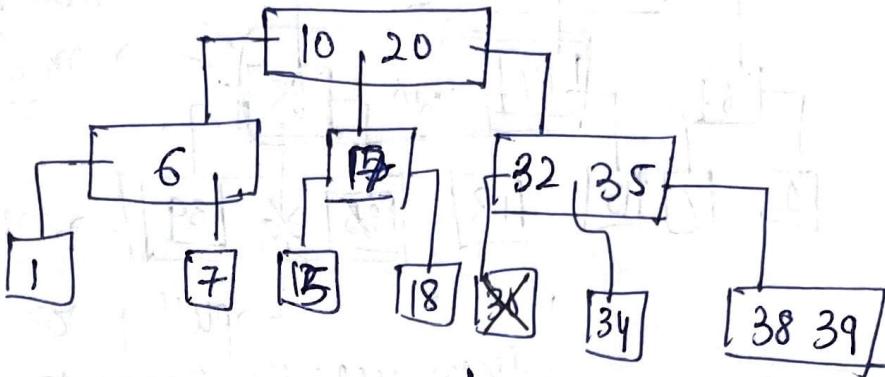
↳ blank after deletion.

\* now we will delete [12] :- then from its right sibling we will borrow such that (17) will go up and 15 will come down.  
 such that :-



now our tree :-

3.

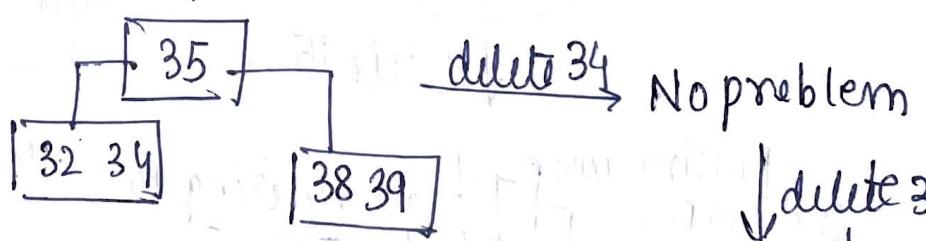
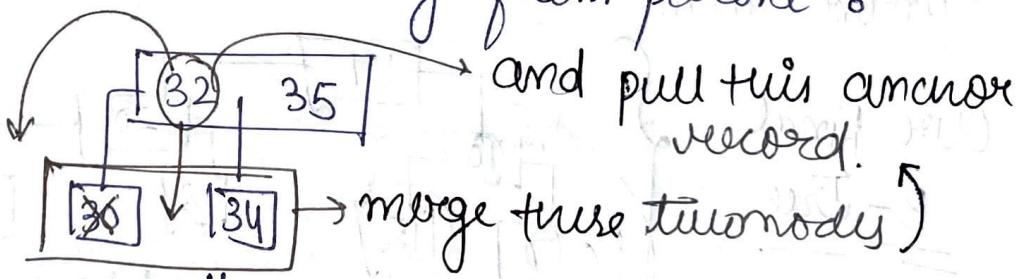


↓ now deleting 30

here from Sibling the borrow will not be possible because :- [34] this node

also have min<sup>m</sup> no. of key = 1 key only.

then :- Merge the Node with sibling and pull down the anchor key from parent :-



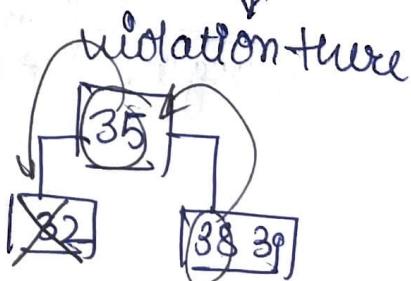
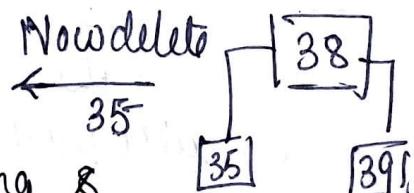
delete 34 No problem

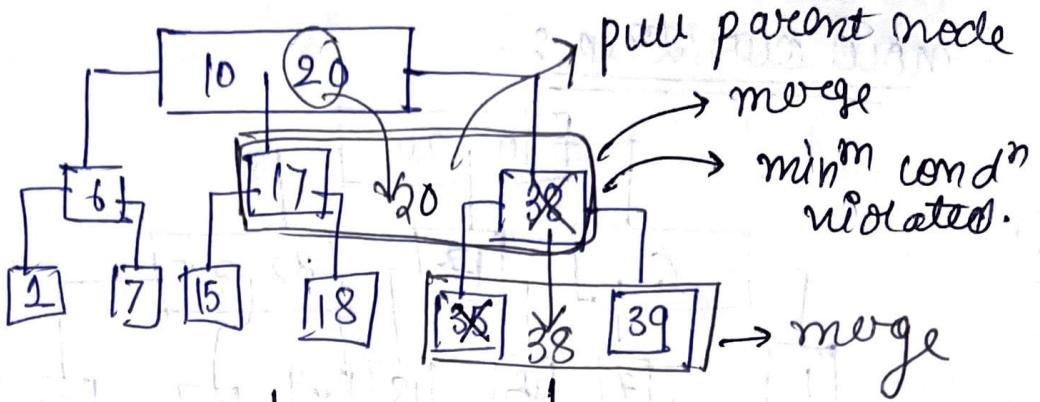
↓ delete 32

we cannot take from sibling :-

then merging & pulling down the anchor key from parent.

Now delete 35





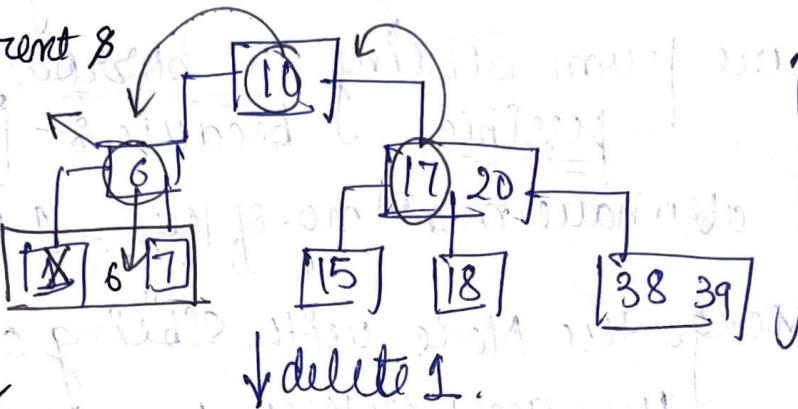
then for this :-

root with parent 8

right

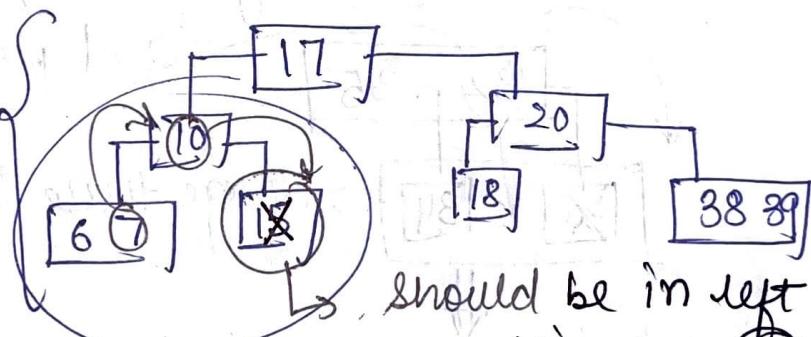
siblings!

merging two nodes  
and bringing parent down



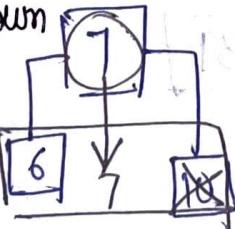
our final B-tree  
final node

our final  
B-tree



should be in left of 17 and  
right of 10.

Pulling down  
the parent key  
merging

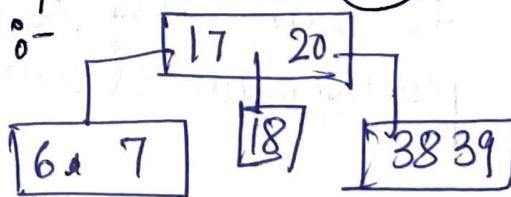


deleting 10

then 7 node will  
again become  
empty so

20 ke sath merge  
ho Jayega :- and  
we will pull down 17

so resultant B-tree :-



## # Case II :- Deletion in B-tree :- deletion in Internal Node :-

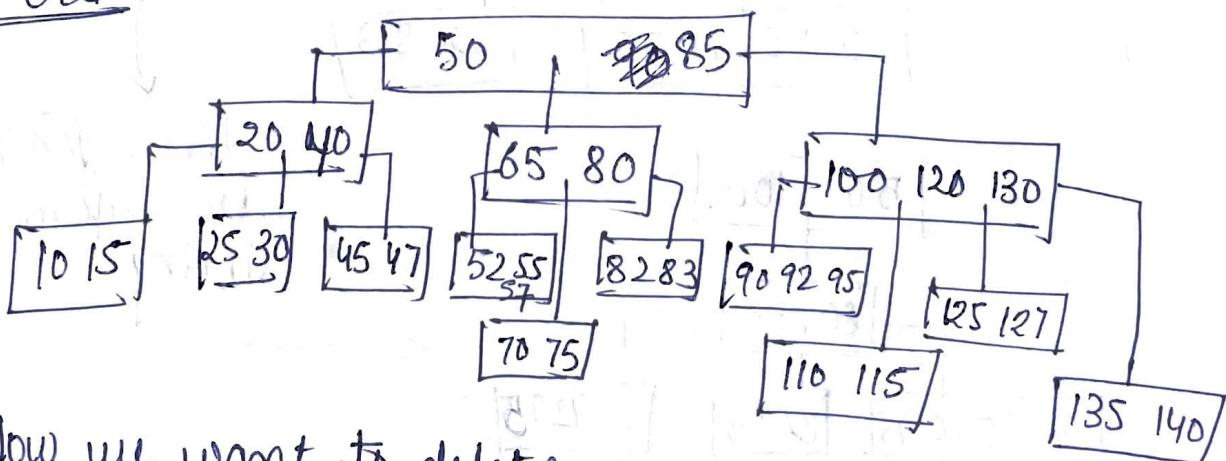
Here also we have two cases :-

1. When after deletion :- No any problem is there then well and good!
2. If after deletion :- There is violation of min<sup>m</sup> no. of keys in the Node :-
  - (i) Replace the deleted value with Inorder Successor (just ~~larger~~ key) or Inorder Predecessor (just ~~smaller~~ key).  
→ These would be from Leaf Node only.
  - (ii) Then follow the rules of deletion of key from Leaf Node.

Ex. B-tree of Order 5 :-

max<sup>m</sup> keys = 4 and  
min<sup>m</sup> keys = 2.

Now tree :-

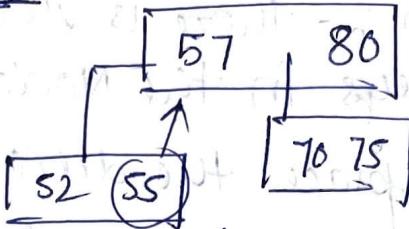


Now we want to delete 65 → Then either the Inorder predecessor = 57 or Inorder successor = 70 will go up in place of 65

so here replacing 65 with 57  
now same rule will be applied for 57  
deletion

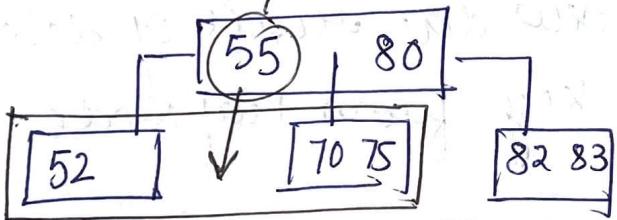
when 57 up :- no any violation in leaf node

so subtree :-



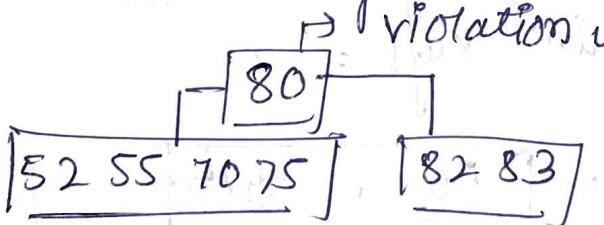
now delete 57

replace it by 55 = predecessor  
pull down the anchor 55

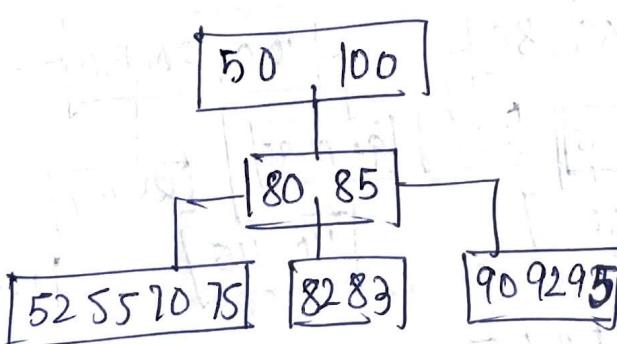


merge

↳ violation here! and the sibling  
cannot give = so merge two nodes  
↳ violation of min<sup>m</sup> key here.



then 100 = will  
be taken from  
sibling

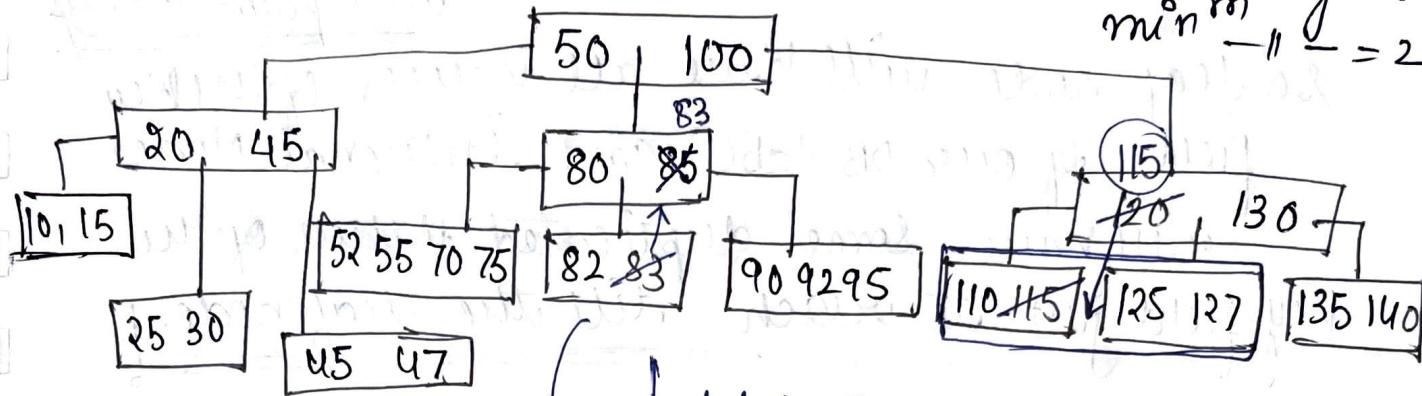


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## # Lesson 13 #

Ques. delete the internal nodes one by one from the following tree :-

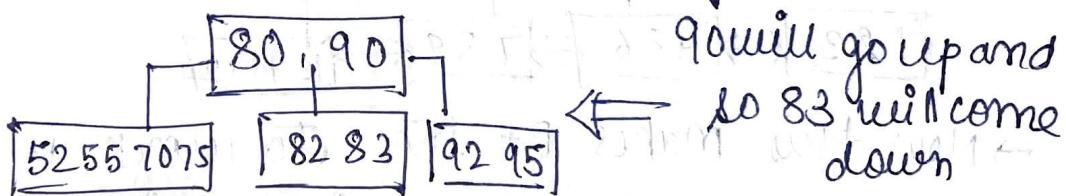
$$\text{order} = 5 \quad \text{max}^m \text{ keys} = 5 \\ \text{min}^m - 1 = 2$$



↓ delete 85 = replace it with

now here violation of min<sup>m</sup> keys

Two Options  
↓  
borrow 75      or      borrow 90 ✓



90 will go up and  
so 83 will come down

→ Now deleting 120 :- replace by 15

then for leaf node :- merge with sibling node.

and pull down the anchor

then 130 will become

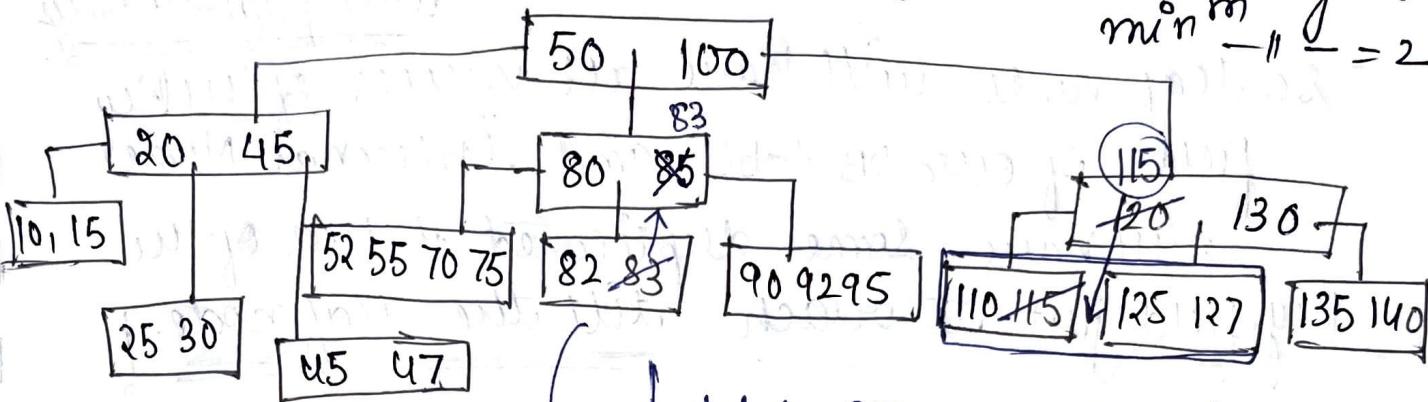
alone :- then merge him with its sibling and pull down the anchor key from parent node

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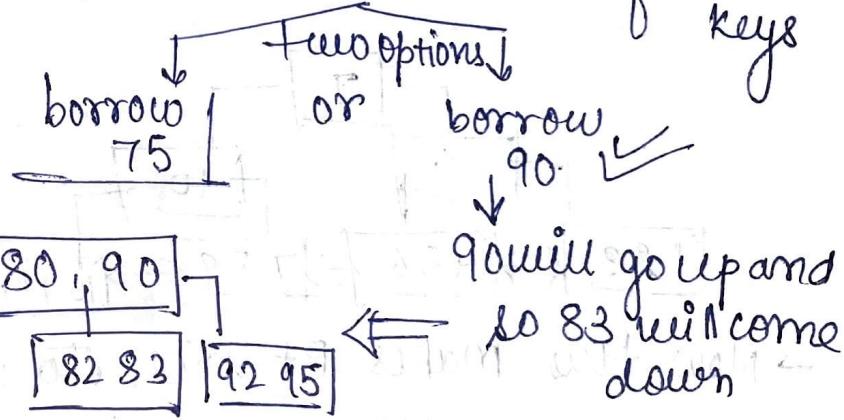
## # Lesson 13 #

Ques. delete the internal nodes one by one from the following tree :-

$$\text{order} = 5 \quad \max^m \text{Keys} = 5 \\ \min^m - 1 = 2$$



delete 85 = replace it with  
now here violation of  $\min^m$  keys



→ Now deleting 120 :- replace by 15

then for leaf node :- merge with sibling node.

and pull down the anchor

then 130 will become

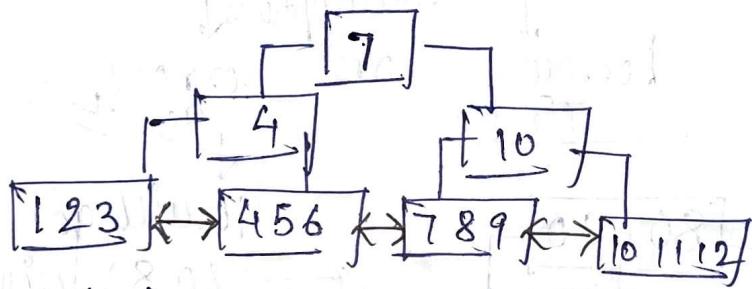
alone :- then merge him with its

sibling and pull down the anchor key from parent node

# B+ tree :- Here we will store the record pointer only on the leaf nodes  
In internal nodes :- we will store keys and tree pointer only.

So leaf node will have all values of the key field of our DB table and internal Node will have some duplicated values of the key field just to reach till the leaf node!

\* now here in B+ tree :- All the leaf nodes are connected through the doubly linked list form :-



→ Now this makes B+ tree to work well with range queries ⇒ in which some values within a range has been asked

and so the no. of node access will be less here as compared to B-tree!

→ B+ tree will be larger (with little extra height) as compared to B-tree for same keys just because on internal nodes of Bt have the duplicate values of the leaf nodes.

→ B Tree :- beneficial when a specific value to be accessed!

↳ Because we don't have to search till leaf node everytime.

\* Now Consider :-

Internal Node Order =  $p$

Leaf Node Order =  $q$  and then :-

1. Every Internal Node other than root should have atleast  $(\lceil \frac{p}{2} \rceil - 1)$  keys or  $\lceil \frac{p}{2} \rceil$  pointers.

2. Every Internal Node can have max<sup>m</sup>  $(p-1)$  keys or 'p' pointers.

3. Every Leaf Node should have atleast  $\lceil \frac{q}{2} \rceil$  keys and max  $q$  keys

4. All leaves are on same level

5. The leaves are connected using linked list

(Singly or doubly)

Now, what if order = 4 Bt tree given in question?

then internal nodes = order =  $p = 4$

Leaf — 11 — = 11 =  $q = 4$

then max<sup>m</sup> keys —  $\begin{cases} \text{internal} = 4 \\ \text{leaf} = 3 \end{cases}$

—  $\begin{cases} \text{internal} = 1 \\ \text{leaf} = 2 \end{cases}$

min<sup>m</sup> keys —  $\begin{cases} \text{internal} = 1 \\ \text{leaf} = 2 \end{cases}$

## # Insertion in B+ tree :-

Given :- Internal nodes order = 3      min<sup>m</sup>      max<sup>m</sup>  
 Leaf nodes order = 2      1      2

Insert = 1, 2, 3, 4, 5 using Node splitting.

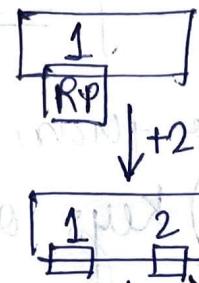
→ Here also we will insert the values on leaf node only = as we did in B tree.

Insert 1 :

here we have to go with either left biasing or right biasing

duplicated (2)

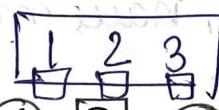
strictly smaller value in left side.



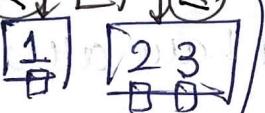
→ root as well as leaf.

consider

these empty boxes as RP



now split it since violation of max<sup>m</sup> no. of keys happened!

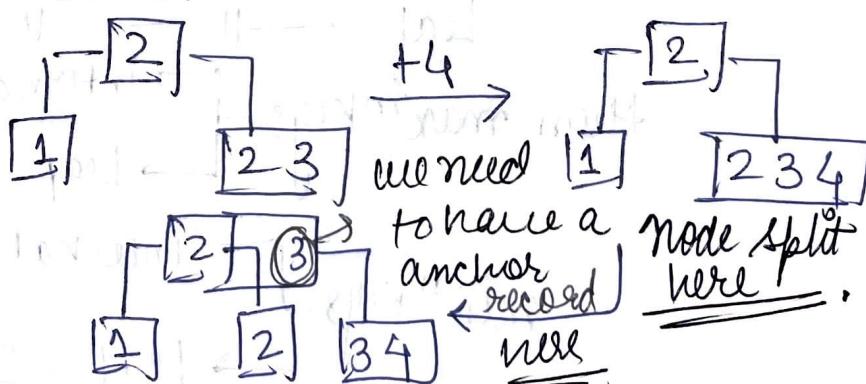


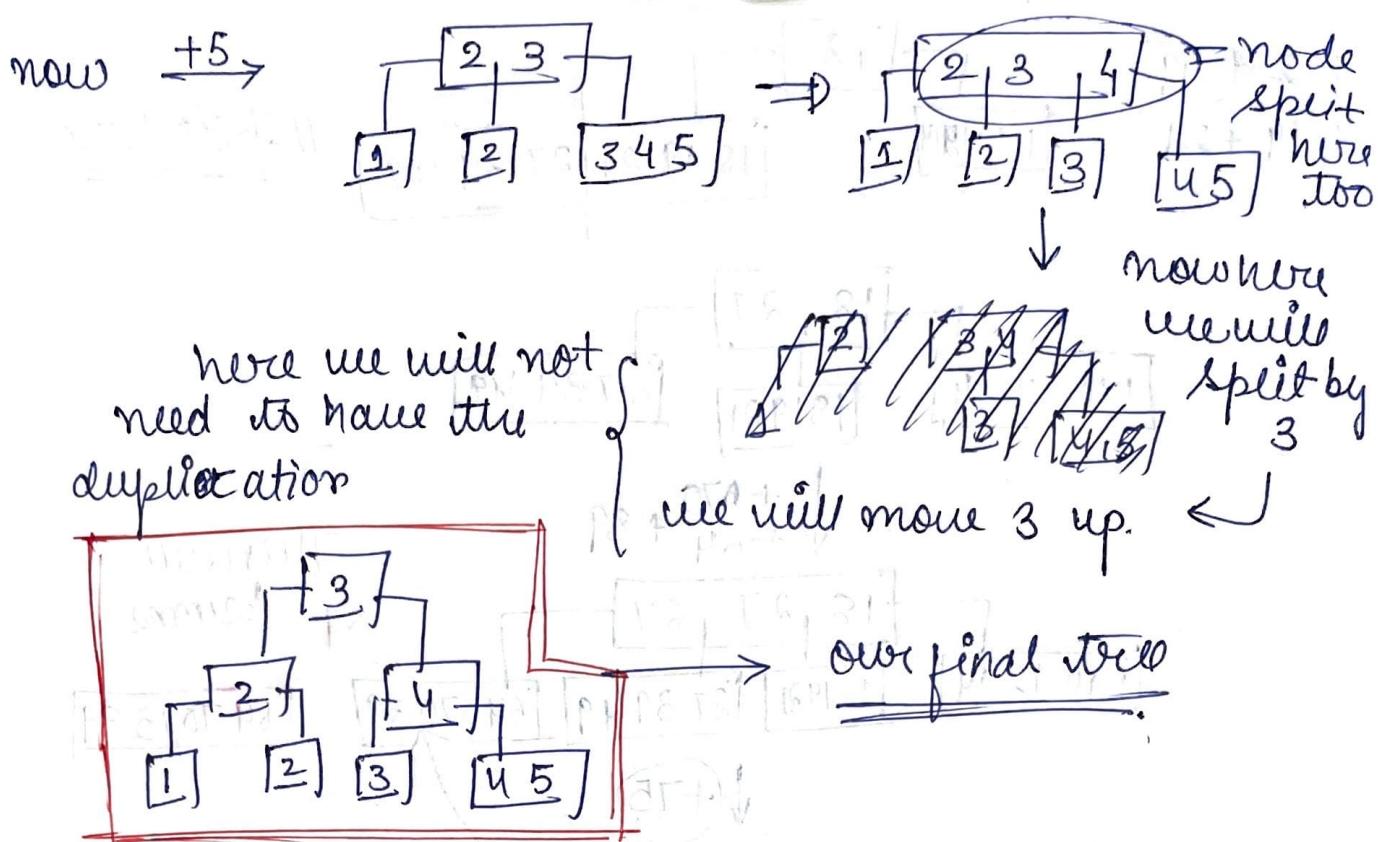
→ here we will not have to delete any key from leaf node. and we also have its duplicate one of the key as a internal node

Now here equal value we've kept in RHS and LHS

also we can keep = that depends on how it is mentioned in the question = both are correct.

Now our tree =





So if leaf node split happens  $\Rightarrow$  copy key to internal node also  
 $\Rightarrow$  duplication will be there.  
 and in internal node split = then only Split and no any duplication.

Now do the following insertion in B+Trees of order = 5

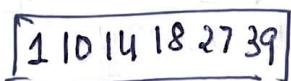
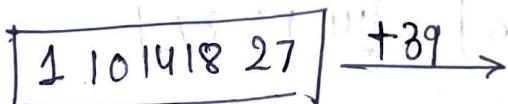
Keys : 10, 14, 1, 18, 27, 39, 49, 12, 19, 21, 70, 64, 89, 75.

Soln. Now we have order = 5; then max<sup>m</sup> keys = 5 in

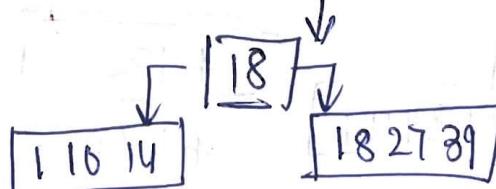
and min<sup>m</sup> keys in leaf = 3

and in internal node :- max<sup>m</sup> = 4

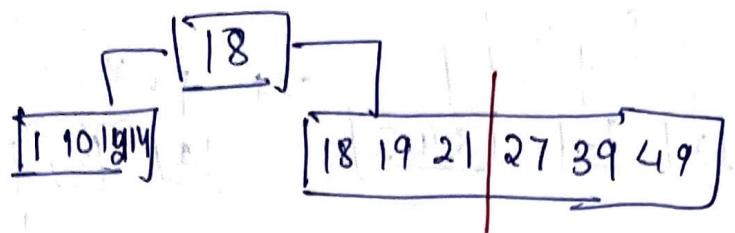
and min<sup>m</sup> = 2



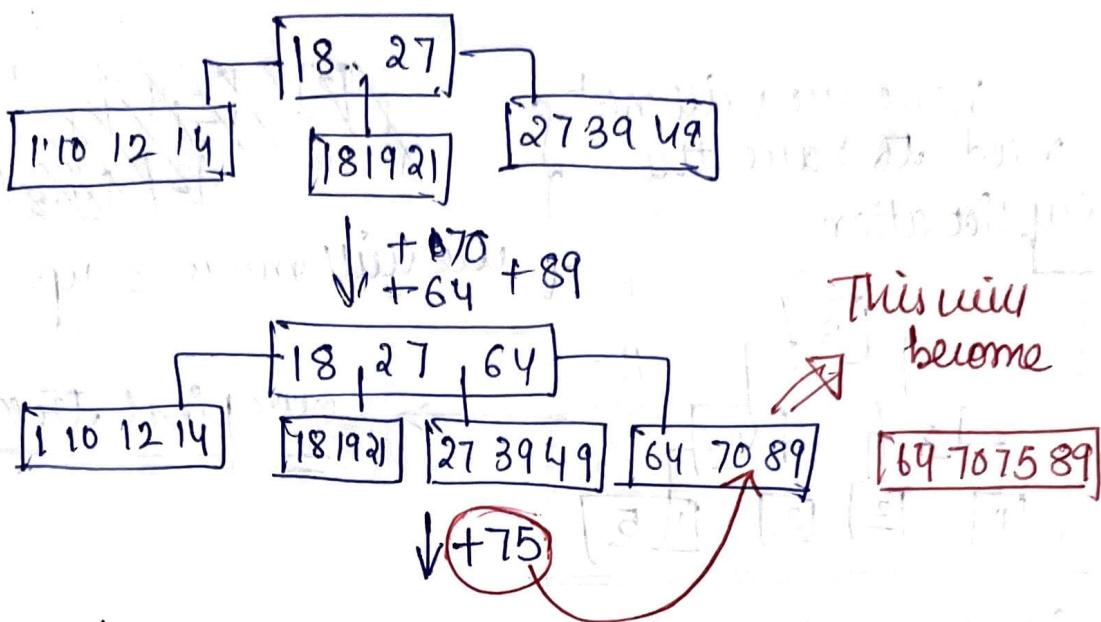
= now node { equal splitting division }



$$+12 +49 \\ \hline +19 +21$$

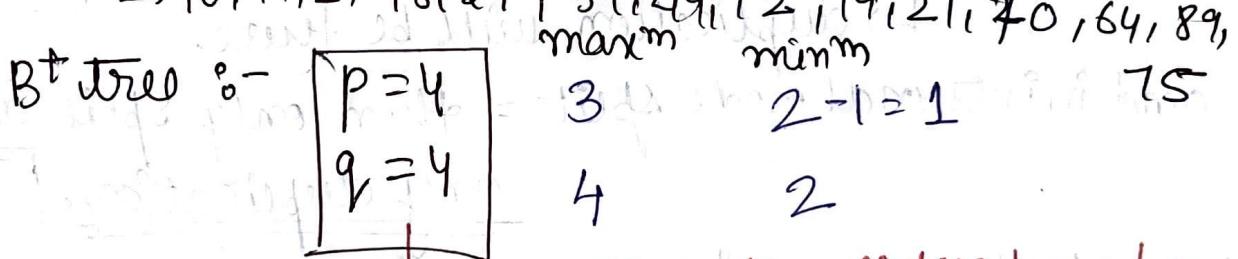


split here



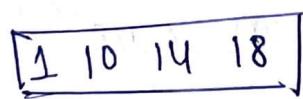
This will become

Now insert

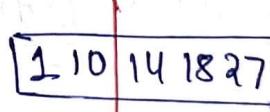


here Just the orders have been changed & the sequence is still same as it is

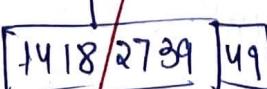
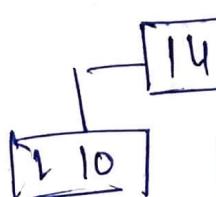
$$+10 +14 +1 \\ \hline +18$$



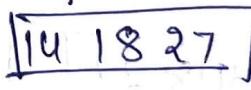
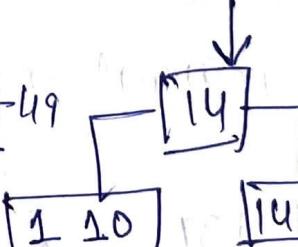
$$+27$$



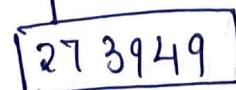
node splitting going for right biasing



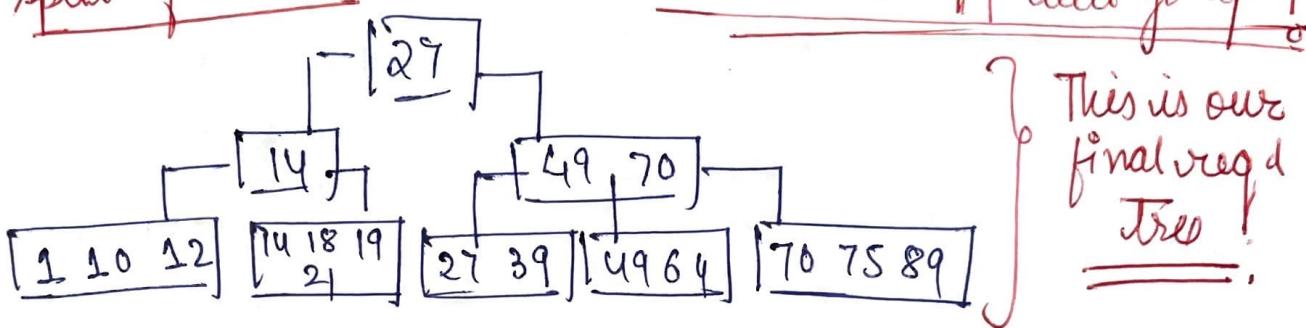
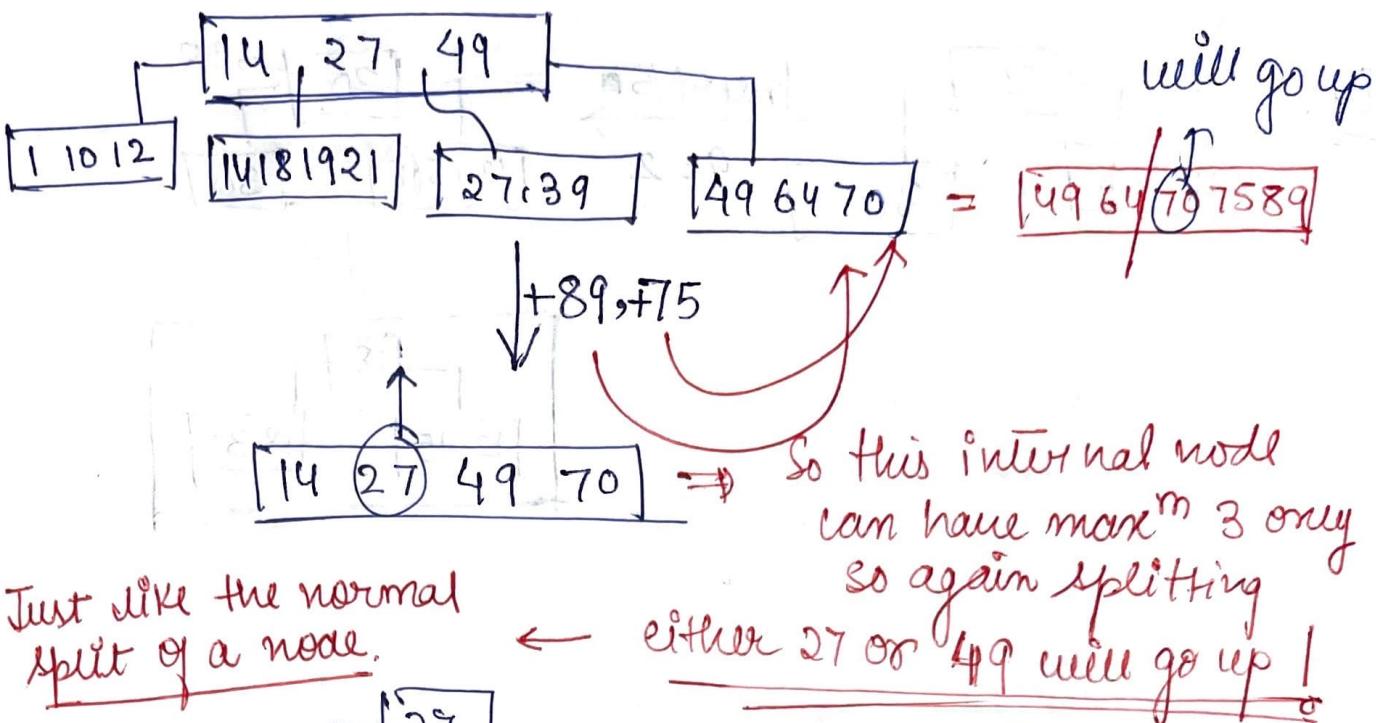
$$+39, +49$$



will always follow right biasing

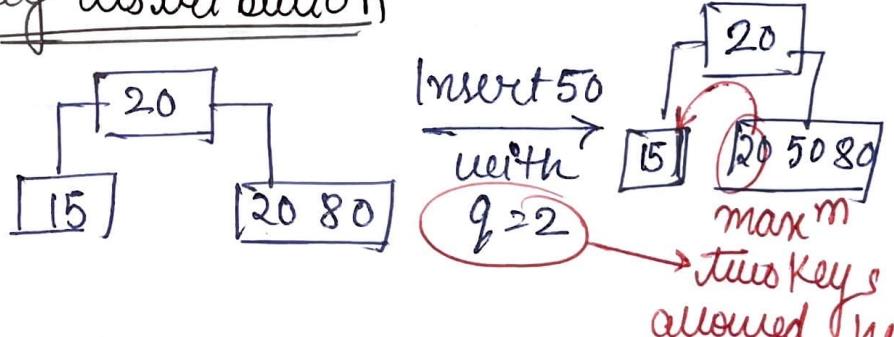


$$+12, +19, +21, \\ \hline +70, +64$$



→ Problem with Node split method is :-  
when insertion is done =  $\max^m$  no. of node split = height of tree.

So using :- key distribution



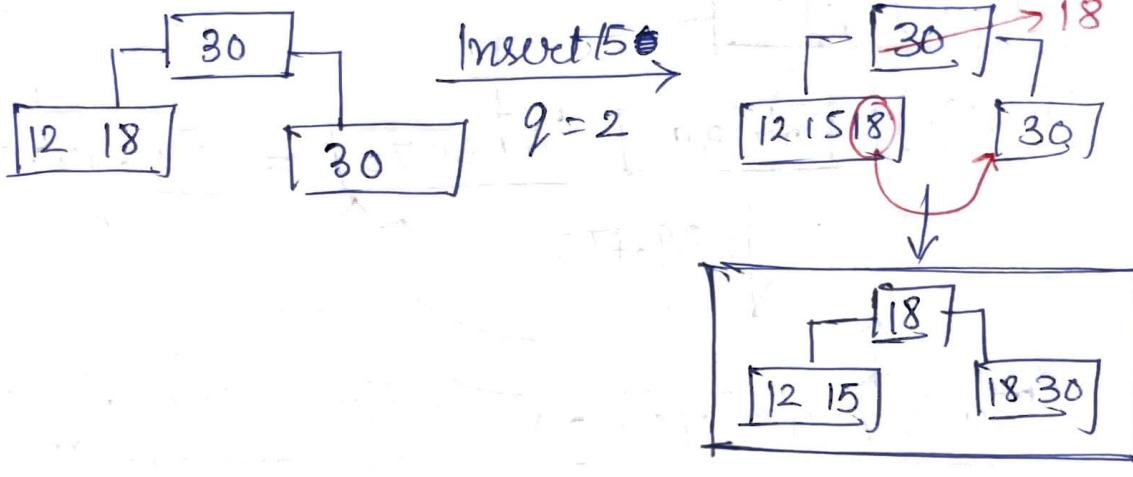
Yes we have :- So we will distribute 20 to left sibling.

{ So here look for the sibling who have keys lesser than  $\max^m$  no. allowed

↳ distribute one key. = 20 is copied to left-sibling.



Ex.



03/10/2022. # Lesson 15. #

→ cascadless schedule.

\* You cannot have a dirty read.

T <sub>1</sub>	T <sub>2</sub>
R(A)	
W(A)	W(A)
Commit	R(A)

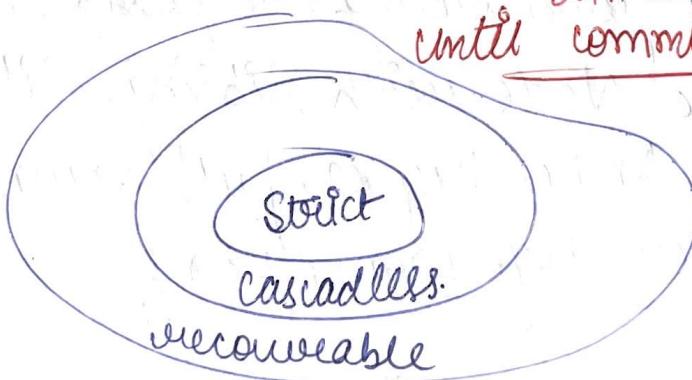
Here we can allow the blind write but not the dirty read.

strict schedule

\* No read or write allowed until the 1st transaction has done commit

T <sub>1</sub>	T <sub>2</sub>
R(A)	
W(A)	
Commit	W(A) ✓ R(A)

Here both read & write both are not allowed until commit happens.



Now coming back to B+ trees :-

Two different scientist gave two separate definitions of B+ trees :-

B+ trees of  
order 5

then internal node

→ 2 and 4

and leaf node

→ 3 8 5

B+ tree of  
order = 5

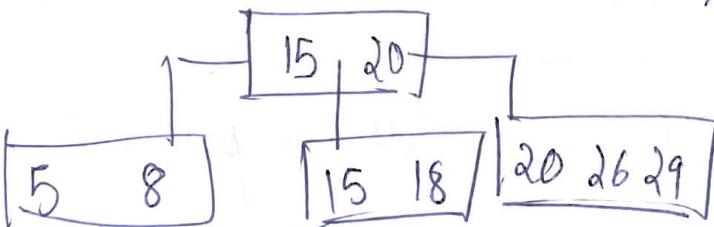
here Internal &

leaf node will  
have same min<sup>m</sup>  
& max<sup>m</sup> no. of keys  
= 2 and 4

# deletion in Bt tree :- almost same as that of  
B tree deletion.

1. after deletion if no violation of min<sup>m</sup> keys,  
then no changes in tree.
2. if violation of min<sup>m</sup> keys, then borrow  
key from sibling.
3. if borrowing from sibling not possible, then  
merge the Node with sibling. Either  
update the Anchor key or pull down the  
anchor key from parent.

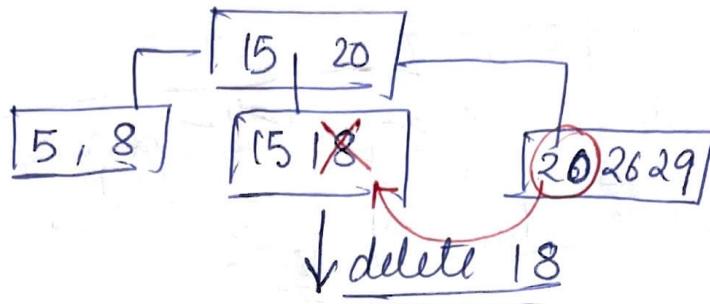
Ex ① order = 5 and min<sup>m</sup> keys = 2 } for all non-  
max<sup>m</sup> keys = 4 } root  
nodes



delete 26 → [20 29]

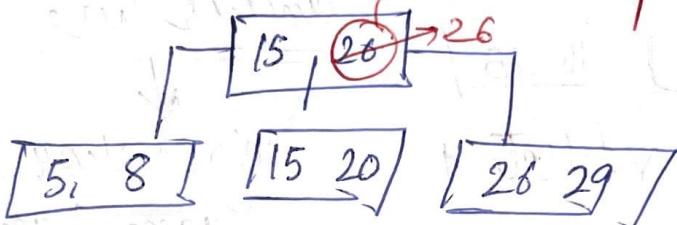
Yes we have  
min<sup>m</sup> no. of  
keys

Ex(2)

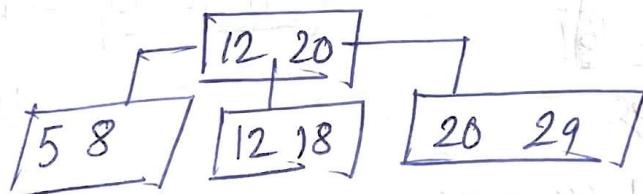
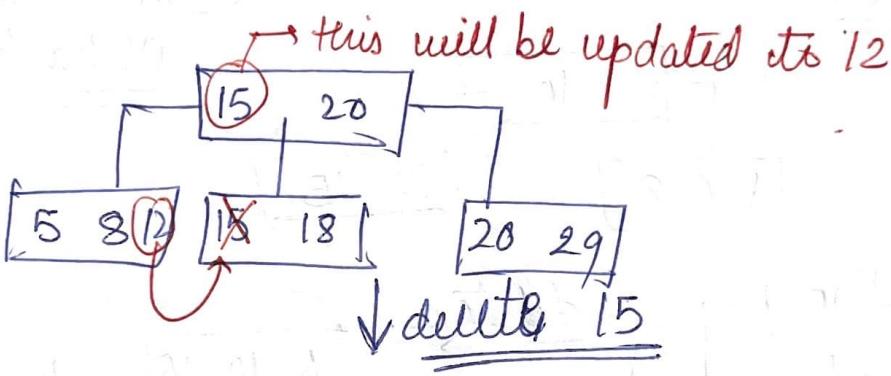


$\boxed{15}$  = min<sup>m</sup> no. of keys is violated

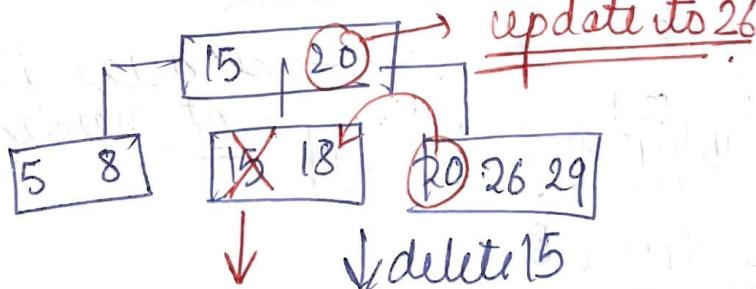
→ so from right-sibling we can take.  
now update this to 26.



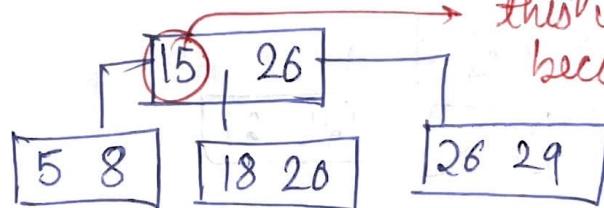
Ex(3)



Ex(4)

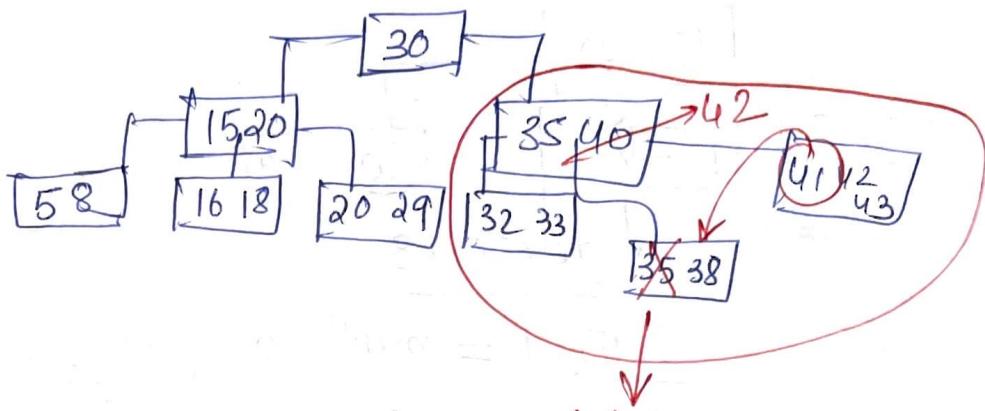


So here we can shift 20

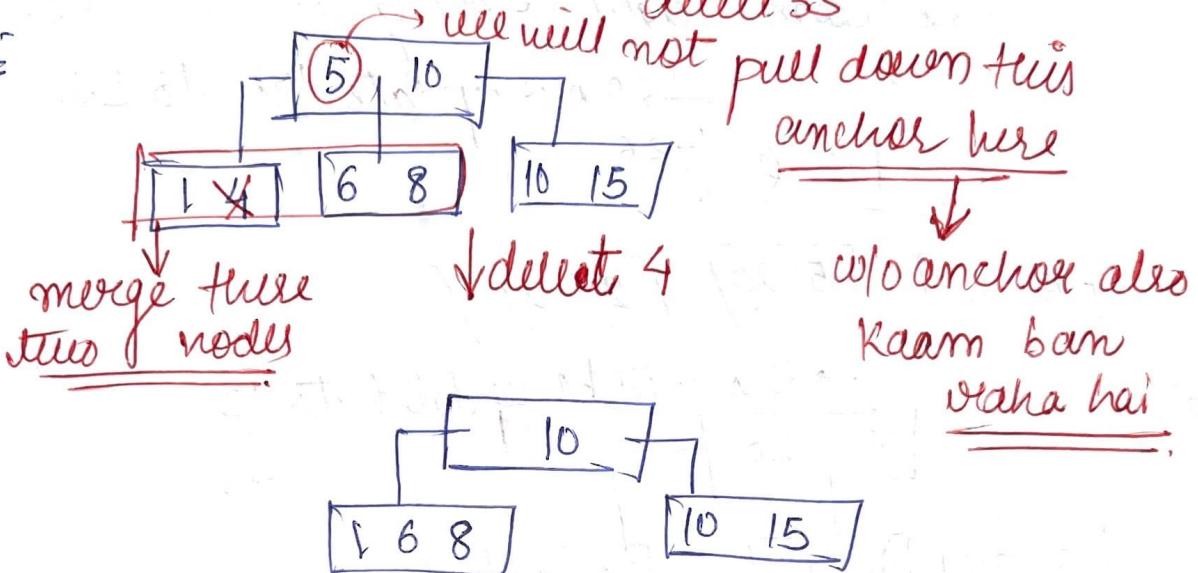


this will not be changed  
because anchor key  
is used only for  
deletion  
purpose

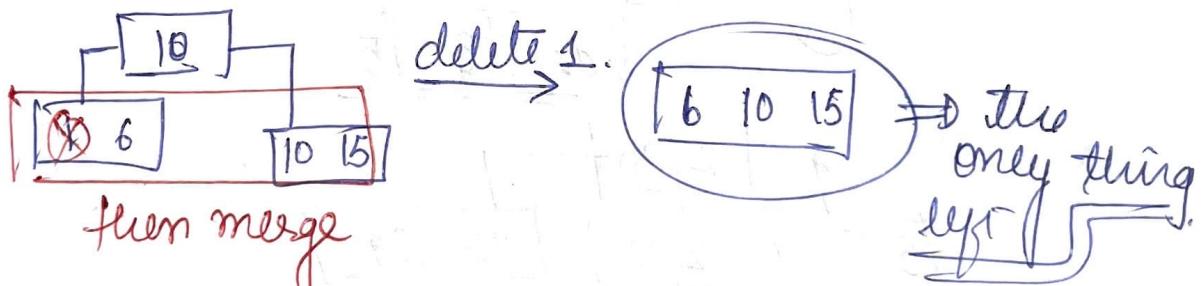
Ex(5)



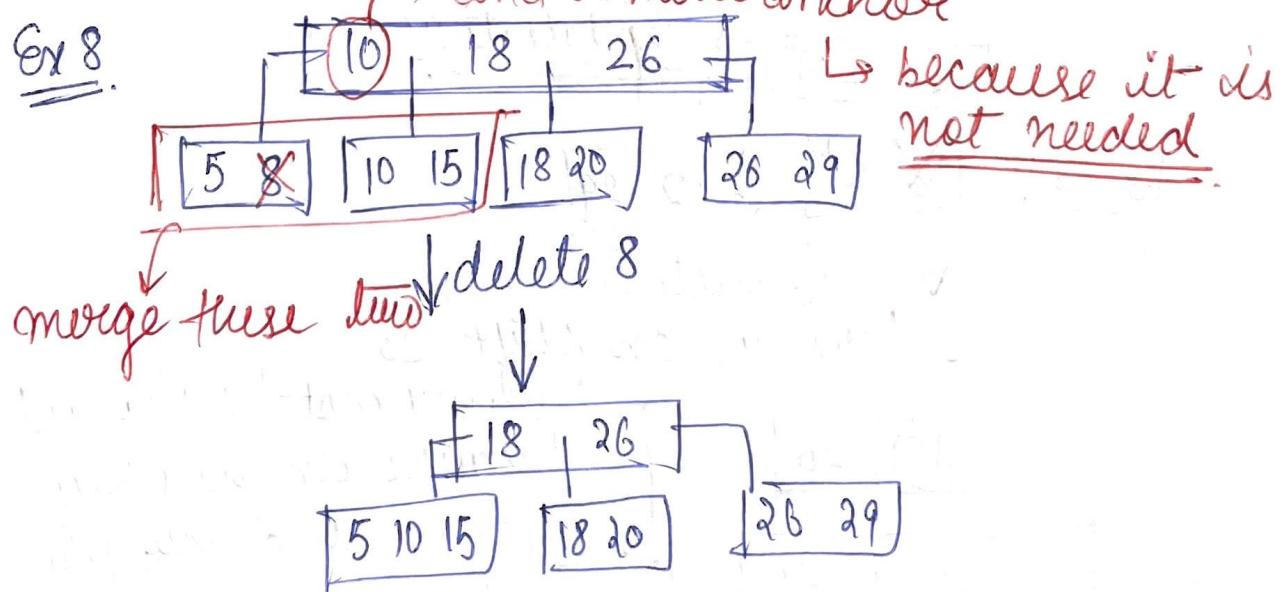
Ex 6-



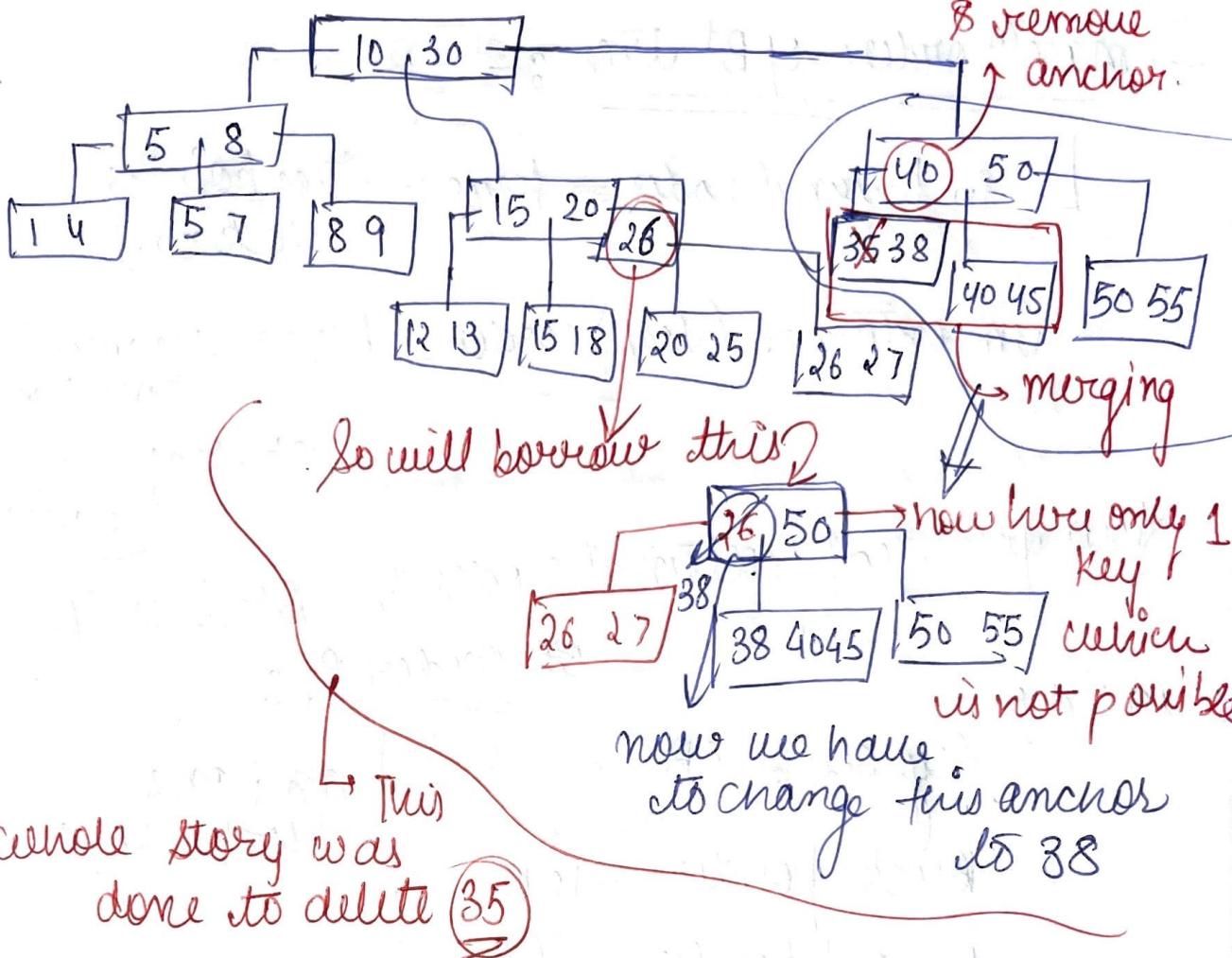
Ex(7)



Ex 8.

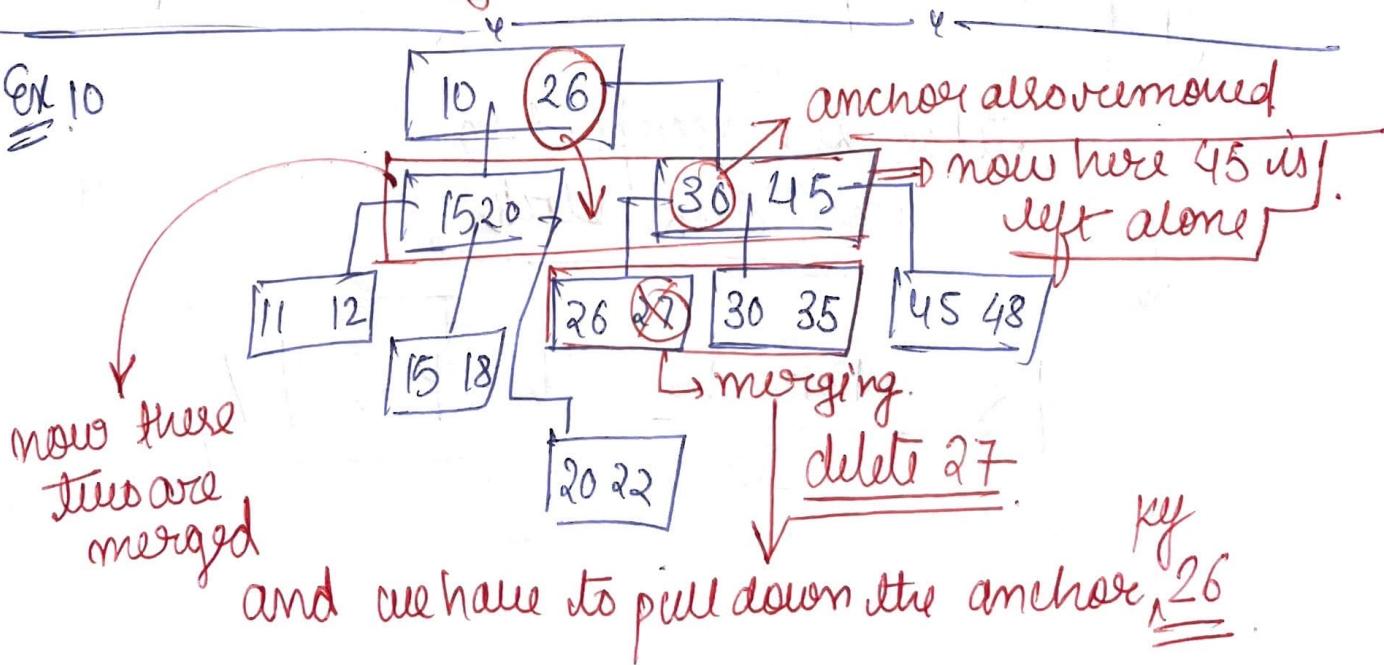


Ex 9.



→ now one good approach will be :- shifting 26 with a restoration with parent 30 just like we did in B tree.

Ex 10.



→ max<sup>m</sup> order of B<sup>+</sup> tree :-

↳ in Internal nodes = keys + tree pointers  
(block no.)

In external (leaf) nodes = keys + record pointers +  
next leaf node pointer

Now for leaf/external nodes in B+ tree of  
order P :-

Consider

$$\text{Key size} = 6B$$

$$\text{Block pointer} = 12B$$

$$\text{Record pointer} = 16B$$

} and one  
block size = 1024 B

max<sup>m</sup> keys =  $(P-1)$  at each leaf node.

$$(P-1) \times 6 + (P-1) \times 16 + 12 \leq 1024$$

total size occupied in  
one disk block

$$P \leq \frac{1012 + 16 + 6}{22}$$

$$P \leq 47$$

04/10/2022

## # lesson 16#

### # Relational Calculus

↳ also the mathematical interpretation  
of the query language.

two types we have

Tuple  
relational  
calculus (TRC)

domain relational  
calculus (DRC)

# tuple relational Calculas :- A Non procedural  
query language

↳ TRC and DRC are more powerful than  
relational algebra & SQL

$$= \{ t \mid P(t) \}$$

mention ↓  
now/tuple/record  
that you want.  
↳ predicate/condn

↳ tuple variable

→ This is the very basic way to write a TRC

Now Consider the student table = {RNO., Name, DOB,

now if you want only the RNO. → marks p  
attribute, then you have to  
mention :-  $\exists [RNO.]$  or  $t.RNO.$

$\exists [attribute]$  or  $t.attribute$ .

$$\{ t \mid P(t) \}$$

Select of  
SQL

↳ from, where clause

of SQL

↳ after the straight line.

Ex now consider the table :-

Shopkeeper { F-name, L-name, rating }

Now ① = { t | t ∈ Shopkeeper } = This is actually:  
only distinct.      ↳ Select \* from shopkeeper.

② { t | t ∈ Shopkeeper AND t.rating > 8 }

Symbols of predicate      ↑  
logic will be used.      ↓  
or  
t[rating] > 8

\* TRC eliminates the duplicates.

↳ means will give only the distinct values

Now consider the following:-

→ { t.FName, t.LName | t ∈ Shopkeeper ∧ t.rating > }

↓

{ t[FName], t[LName] | t ∈ Shopkeeper ∧ t[rating] > }

These both queries are same

Now Select FName, LName from Students where  
gender = 'Male' and marks < 20

from Students ( RNo., FName, LName, gender,  
marks )

→ So the reqd query will be :-

$\{ t.FName, t.LName \mid t \in \text{Students} \wedge t.gender = 'Male' \wedge t.marks < 20 \}$

Now find all such students whose marks are greater than 40.

Soln.  $\{ t \mid t \in \text{Students} \wedge t.marks > 40 \}$

\* if you access any specific attribute or any tuple which is not present in the table :- then that query will give you ~~NULL~~ NULL!

# now using the Quantifiers :-

→ Existential :-  $\exists t \in \mathcal{R} (P(t))$  condition

↳ if there is atleast one tuple which belongs or satisfies the given/mentioned cond<sup>n</sup> → then that tuple will be in result set.

→ Universal :-  $\forall t \in \mathcal{R} (P(t))$

↳ here all the tuples should satisfy the mentioned condition.

# tuple Variables :- 1. Free :- if you are writing w/o using quantifiers, then those are free variables.

2. Bound :- tuples bounded by quantifiers.

Ex :- Students (FName, LName, DOB, Marks, Gender, DNo.)

Dept (DNo., DName, HOD)

Now find all such female students who  
Soln studies in CSE dept.

Then our query :- how we have to join the table.

↳ by using the existential quantifier.

$\exists t \exists s [t \in \text{Students} \wedge t.\text{gender} = 'F' \wedge$   
 $s \in \text{Dept} \wedge t.\text{dNo.} = s.\text{dNo.}$   
 $\wedge s.\text{dname} = 'CSE'$

↳ this will be our reqd query

Now find all such department names where  
there is no any male student?

Soln So how :- do the negation of :-

find all such dName where Male Student  
is true

↳ Negation of this will give us the  
reqd thing  $\neg$

$\{ d. \mid \text{de} \in \text{dept} \wedge \text{d} \in S \text{ of SE Students} \wedge \text{S.gender} = \text{m} \}$   
 Dname  
 $\wedge d. \text{DNO.} = S. \text{dNO.} \}$

Ex consider :- Drivers (did, dName, rating)  
 Cars (cid, cmodel, colour)  $\Leftarrow t$   
 drives (Did, cid, date)  $\Leftarrow d$

Now find all Models of cars driven by 'Michael'

Sol<sup>n</sup>.  $\{ c. \text{model} \mid c \in \text{Cars} \wedge (\exists t)(\exists d) \{ t \in \text{drivers} \wedge$   
 $d \in \text{drives} \wedge t. \text{did} = d. \text{did} \wedge$   
 $d. \text{cid} = c. \text{cid} \wedge$   
 $t. \text{dName} = 'Michael' \} \}$

\* Here you can  
write there exist - in Nested manner also.

$\hookrightarrow \{ c. \text{cmodel} \mid c \in \text{Cars} \wedge (\exists d) \{ \text{drives} \wedge c. \text{cid} =$   
 $d. \text{cid} \wedge$   
 $(\exists t) \{ t \in \text{drives} \wedge t. \text{did} = d. \text{did} \wedge$   
 $t. \text{dName} = 'Michael' \} \}$

# domain relational calculus :-

$\hookrightarrow$  Here Rather than writing a table Variable,  
 now we will mention the column Names.

$\{ \langle c_1, c_2, c_3, \dots, c_n \rangle \mid P(c_1, c_2, c_3, \dots, c_n) \}$

Where  $c_1, c_2, c_3, \dots, c_n$  = are domain  
Variables  $\hookrightarrow$  Predicates  
or conds

Ex Student ( vNO, FName, marks )

then the query :-  $\{ \langle vNO, FName, marks \rangle |$

$\langle vNO, FName, marks \rangle$

male

$\in \text{Students} \}$

Ex select names of all those students who have scored less than 50 marks.

Sol<sup>n</sup>  $\{ \langle N \rangle | \langle v, n, m, g \rangle \in \text{Students} \wedge \langle m \rangle < 50 \}$

domain variables:

v = for rollNo.

n = for name g = gender

m = marks

Ex select name & marks of student with rollNo. = 5.

Sol<sup>n</sup>  $\{ \langle n, m \rangle | \langle v, n, m, g \rangle \in \text{Students} \wedge \langle v \rangle = 5 \}$

we can write above query as :-

$\{ \langle n, m \rangle | \langle 5, n, m, g \rangle \in \text{Students} \}$

$\downarrow \quad \downarrow \quad \downarrow$  sequence matters here

Here writing directly the value of v  
this will work only for equal to