

Space Complexity: Input: n

Space complexity : $O(n)$

Huffman Coding: (An application of optimal merge pattern)

↳ { Data encoding + data compression technique }

representing
an element

using some code

e.g. 100 characters

→ 7 bits/character

e.g.: 4 characters/elements → $(\log_2 4)$ bits [$\log_2 N$] bits required.

Straight forward

normal encoding

{
00 - a
01 - b
10 - c
11 - d

* Data compression is never possible with uniform encoding.

* Compression is possible with non-uniform encoding.

* Codes are assigned based on frequency! [non-uniform encoding]

Huffman Coding

$$L = \sum (a, b, c, d, e) \rightarrow \text{Uniform encoding} : 3 \text{ bits/character}$$

→ non-uniform.

* we are given frequency

$$= \langle 0.23, 0.05, 0.48, 0.15, 0.09 \rangle$$

→ we need to apply optimal

merge pattern algorithm

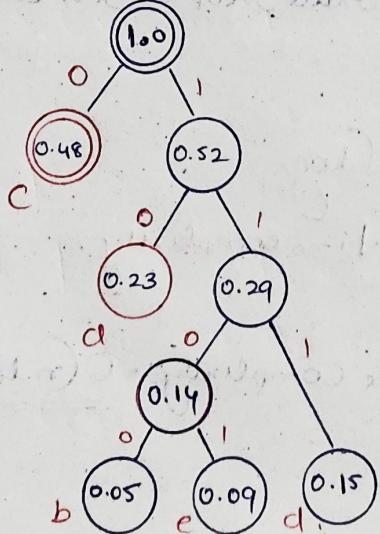
a → 10 (2 bits)

b → 1100 (4 bits)

c → 0 (1 bit)

d → 111 (3 bits)

e → 1101 (4 bits)



eg: Text: <acacccabde>

(i) uniform encoding: $10 \times 3 = 30$ bits

(ii) huffman coding: <00100001011001110> ≈ 17 bits

Given binary string: 1001000101000111...
get text format: acaccaaccd...

Average no. of bits $\frac{1}{n} \sum_{i=1}^n d_i + q_i$:
(Huffman Coding)

$$\begin{aligned} \text{and } q_i &= 2 \times 0.23 + 4 \times 0.05 + 1 \times 0.48 + 3 \times 0.15 \\ &\quad + 4 \times 0.09 \\ &= \underline{\underline{1.95 \text{ bits/characters}}} \end{aligned}$$

Drawback of Huffman Coding: It is very weak with regard to error, one bit error will corrupt the whole text/data.

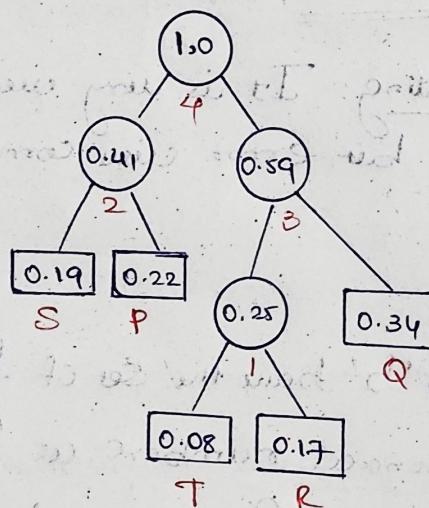
Q1). The characters 'a' to 'h' have the set of frequencies based on the first 8 fibonacci numbers as follows:
 $\{a:1, b:1, c:2, d:3, e:5, f:8, g:13, h:21\}$

a) huffman Coding is used to represent the characters. What is the sequence of characters corresponding to the following code? 11011100111010 = ?

Q2) A message is made up entirely of characters from the set $X = \{P, Q, R, S, T\}$. The table of probabilities of each of the characters is shown below.

| Character | Probability |
|-----------|-------------|
| P | 0.22 |
| Q | 0.34 |
| R | 0.17 |
| S | 0.19 |
| T | 0.08 |
| Total | 1.00 |

If a message of 100 characters over X is encoded using Huffman Coding, then the expected length of the encoded message in bits is 22.5



$P \rightarrow 01(2)$
 $Q \rightarrow 11(2)$
 $R \rightarrow 101(3)$
 $S \rightarrow 00(2)$
 $T \rightarrow 100(3)$

$$\sum_{i=1}^n d_i \cdot q_i = (2 \times 0.22 + 2 \times 0.34 + 3 \times 0.17 + 2 \times 0.19 + 3 \times 0.08) \\ \Rightarrow \underline{\underline{2.25}}$$

Q3) Consider the string `abbccddeee`. Each letter in the string must be assigned a binary code satisfying the following properties:

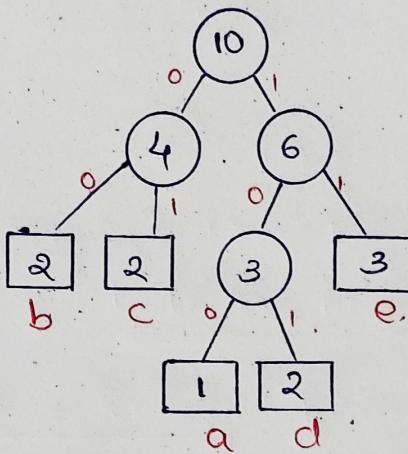
- (1) For any two letters, the code assigned to one letter must not be a prefix of the code assigned to other letter.

(2) For any two letters of same frequency, the letter which occurs earlier in the dictionary order is assigned a code whose length is atmost the length of the code assigned to the other letter.

Among the set of binary code assignments which satisfy the above two properties, what is the min length of the Encoded String?

→ Frequencies:

$$\begin{aligned}a &= 1 \\b &= 2 \\c &= 2 \\d &= 2 \\e &= 3.\end{aligned}$$



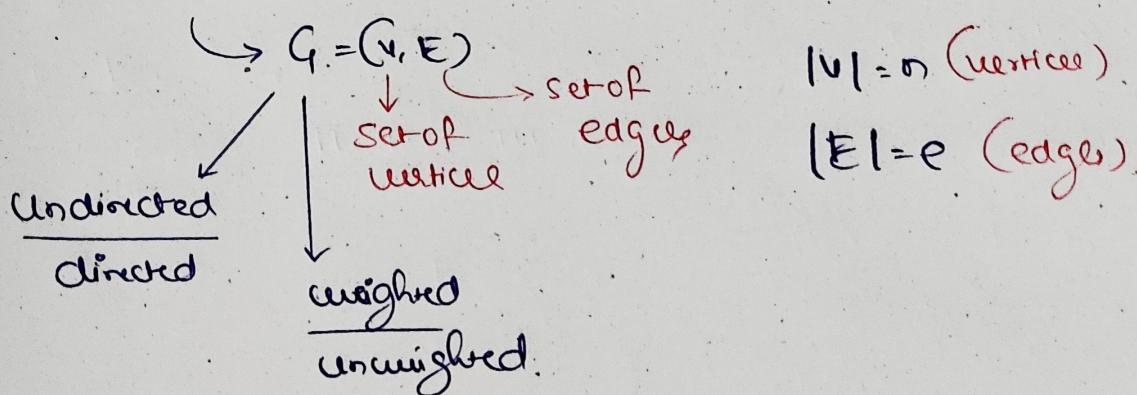
$$\begin{aligned}a &\rightarrow 100 \text{ (3)} \\b &\rightarrow 00 \text{ (2)} \\c &\rightarrow 01 \text{ (2)} \\d &\rightarrow 101 \text{ (3)} \\e &\rightarrow 11 \text{ (2)}\end{aligned}$$

$$\begin{aligned}\text{Total: } &(3 + 2 \times 2 + 2 \times 2 + 3 \times 2 + 2 \times 3) \\&\Rightarrow \underline{\underline{23}}\end{aligned}$$

Problem ④ : Minimum Cost Spanning Tree

(Graph Based Problem)

Graph (non-linear D.S)

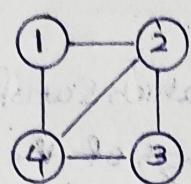


Representation of Graphs

- 1) Adjacency Matrix (Array-sequential)
- 2) Adjacency List (list-based).

$G = (V, E)$ where, $|V| = n$, $|E| = e$.

Representations of Graphs



(i) Matrix [מטריצה]

复杂度
 $\Theta(n^2)$

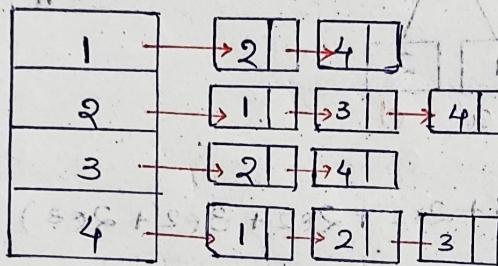
| A | 1 | 2 | 3 | 4 |
|---|---|---|---|---|
| 1 | 0 | 1 | 0 | 1 |
| 2 | 1 | 0 | 1 | 1 |
| 3 | 0 | 1 | 0 | 1 |
| 4 | 1 | 1 | 1 | 0 |

$$A[i,j] = 1, \text{ if } \langle i,j \rangle \in E$$

$$A[i,j] = 0, \text{ if } \langle i,j \rangle \notin E$$

(ii) Adjacency List

Array of
linked
list.



(a) Undirected graph

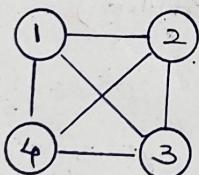
$(n+e)$ — Size of
adjacency list.

(b) directed graph

$(n+e)$

Time Complexity = $\Theta(n+e)$

" $e \propto n$ " (in worst case)



Complete Graph.

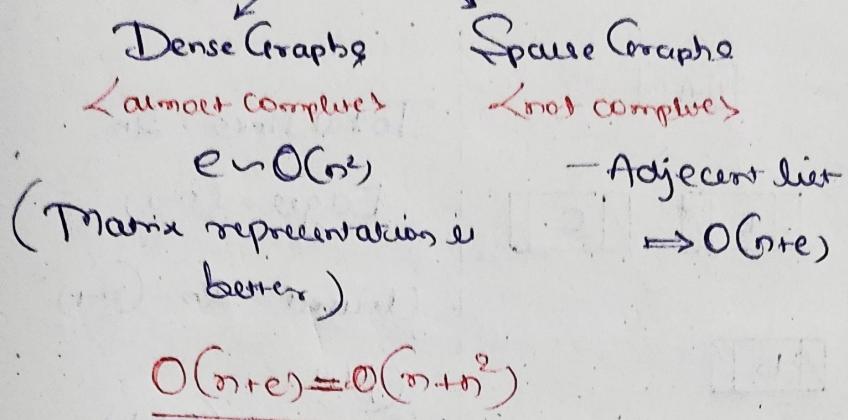
Undirected: $e = \frac{(n(n-1)/2)}{2} \rightarrow O(n^2)$

Directed: $e = n(n-1)$

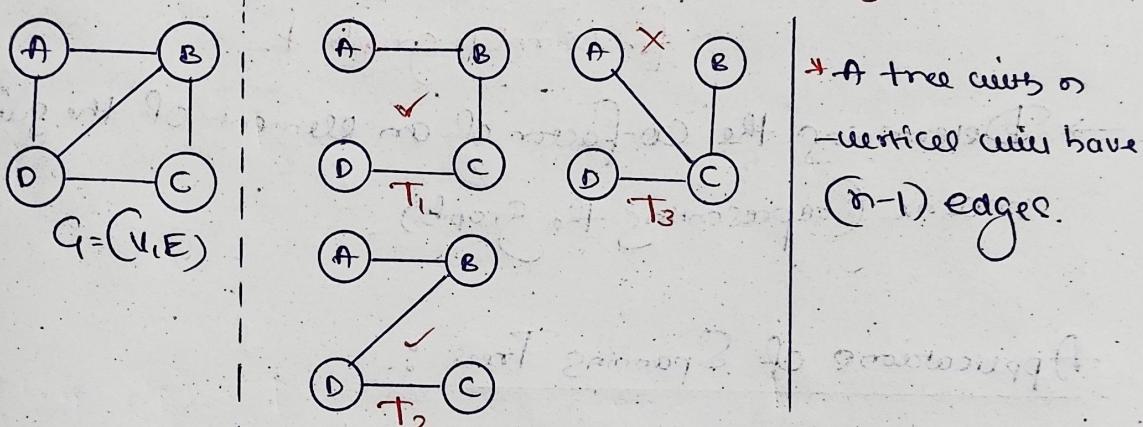
$e = O(n^2)$

Loge ~ O(Logn)

Graphs



Definition of Spanning Tree: A subgraph $T(V, E)$ of the given graph $G(V, E)$, where E' is a subset of E ($E' \subset E$) is a Spanning tree, if T is a Tree (acyclic).



Q1) Given a graph having n -vertices and e -edges, then the no. of edges that must be removed from the complete graph to get a Spanning tree is _____.

→ Total edges in Graph: e

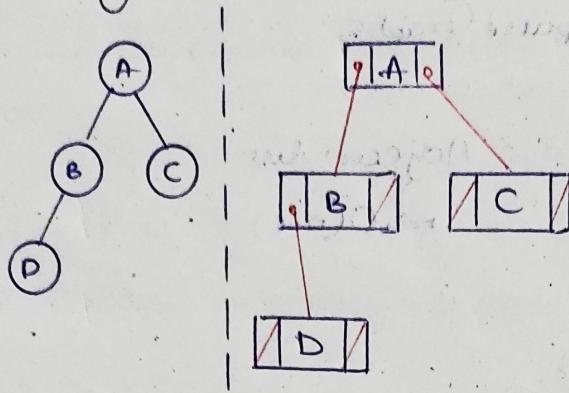
→ Spanning tree covers ' n ' vertices

we have: $(n-1)$ edges used

Removed edges: $e - (n-1)$

$$: \underline{e-n+1}$$

→ A tree with n -vertices will have $(n+1)$ non fields.
 (Binary).



$$\text{Total links} = 2n$$

$$\text{Edge} = (n-1)$$

$$\text{Unused} = 2n - (n-1)$$

$$(\text{Nil}) \quad \text{field} : (n+1)$$

→ For a given graph with n -vertices and e edges the maximum number of Spanning tree (solution space) will be $\frac{(n-2)}{n}$

for complete graph k_n

→ Determining the Co-factor of an element of the given matrix (representing the graph).

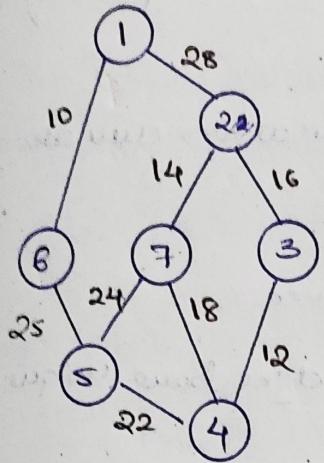
Applications of Spanning Tree :

- (i) Multicasting and broadcasting in both wired and wireless.
- (SF) Connections.
- (MCST) (ii) Construct/ implement circuits (electronic/electrical) in communication network.

Algorithms for Constructing Min Cost Spanning Tree

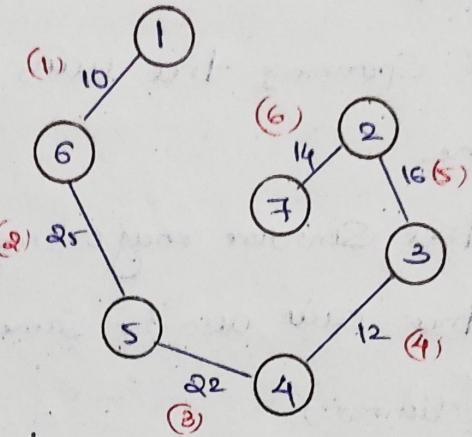
1. Prims - Jarnik algorithm.
2. Kruskal's algorithm.
3. Dijkstras algorithm.

$$G = (V, E)$$



Prims Algorithm

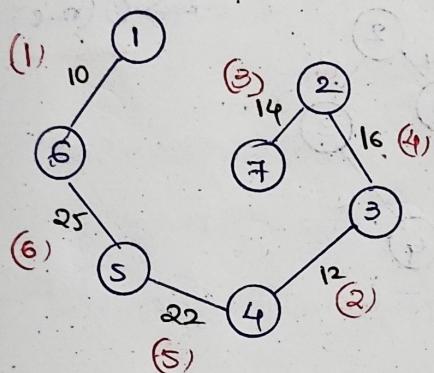
$$T.C = O(n^2)$$



Min-Heap

Kruskal's Algorithm

$\langle 10, 12, 14, 16, 18, 22, 24, 25, 28 \rangle$



$$T.C = (e \cdot \log e)$$

Prim's Algorithm

+ Time Complexity is $O(n^2)$

* Prim's method always maintains tree structure property at each step.

* Prim's algorithm gives connected component as well as it works only on connected graph.

Kruskals Algorithm

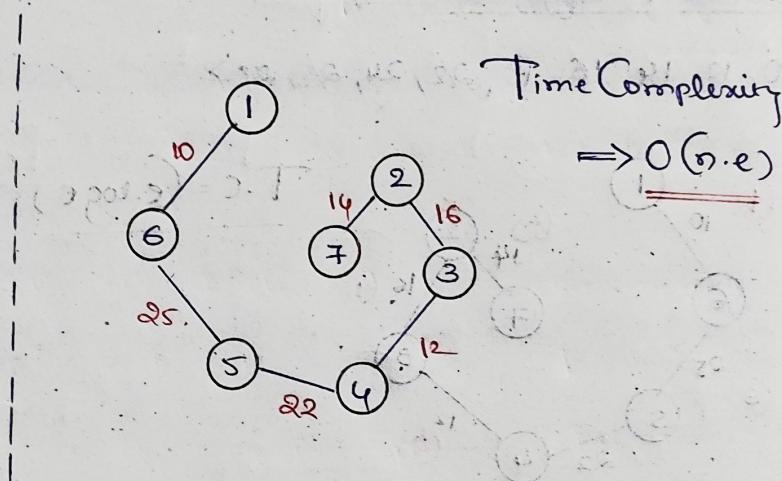
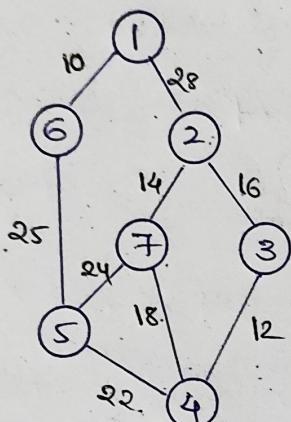
Time Complexity is $O(e \cdot \log e)$ where e is no of edges.

Kruskals method does not always maintain tree structure property at each step.

Kruskals algorithm can generate forest (disconnected components) at any instance as well as it can work on disconnected components.

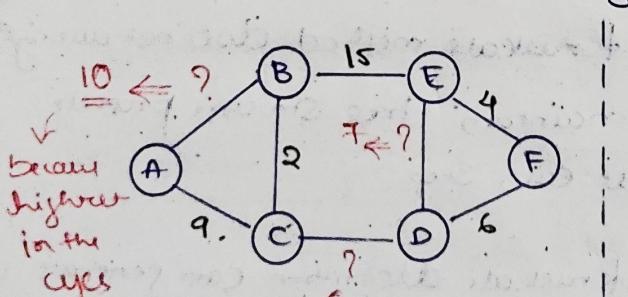
- * Prime method always maintains tree structure property at each step.
- * Cost of Spanning tree with both applications will always be same.
- * The tree structure may or may not be same.
- * The tree will also be same if all edges have unique cost (distinct).

Dijkstra's Algorithm:



Q17. Consider the following graph whose minimum Cost Spanning tree marked with top edge value has a weight of 36.

* Minimum possible sum of all edges of the graph G is
 — (Assume that all edges have distinct cost.)



During construction of Spanning tree, the ego edge with max value will be discarded.

$(9, 2, ?)$ \Rightarrow max value among the cycle that is minimum

$= 10$
 $(4, 6, ?) \Rightarrow 7$ { add edge having
discarding cost
 $(2, 15, ?) \Rightarrow 16$

$$\therefore 36 + 7 + 16 + 10 = \underline{\underline{69}}$$

* If all edges have distinct cost = 68

Algorithm Prim (E, cost, n, t)

(Tree Structure, minimum cost)

{ Let (k, l) be an edge of min. cost in E .

$$\min \text{cost} = \text{cost}[k, l]; \quad \{ e. \}$$

$$t[1, 1] = k, \quad t[1, 2] = l - 1$$

for $(i=1 \text{ to } n)$ do

{ if $(\text{cost}[i, l] < \text{cost}[i, k])$ then $\text{near}[i] = l;$

$$\text{else } \text{near}[i] = k; \quad \}^n$$

$$\text{near}[k] = \text{near}[l] = 0;$$

$(n-2)$ edges.

for $(i=2 \text{ to } n-1)$: do // adding remaining $(n-2)$ edges

{ // find $(n-2)$ additional edges

// let j be an index such that $\text{near}[j] \neq 0$ and $\text{cost}[j, \text{near}[j]]$ is minimum

$$t[i, 1] = j; \quad t[i, 2] = \text{near}[j];$$

$$\min \text{cost} = \min \text{cost} + \text{cost}[j, \text{near}[j]];$$

$$\text{near}[j] = 0;$$

for $(k=1 \text{ to } n)$ do // update $\text{near}[k]$

{ if $((\text{near}[k] \neq 0) \text{ and } (\text{cost}[k, \text{near}[k]] \geq \text{cost}[k, j]))$

{ then mark
 $\text{near}[k] = j;$ }

}

return $\min \text{cost}$;

}

Time Complexity

$$T(n) = e + c + n + n^2 = \underline{\underline{O(n^2)}}$$

High Level Implementation of Kruskal's Algorithm

$t = 0$

while ((t has less than $n-1$ edges) and ($E \neq \emptyset$)) do

{

 Choose an edge (v, w) from E of lowest cost;

 Delete (v, w) from E .

 if (v, w) does not create a cycle then add (v, w) to T ;

 else discard (v, w) ;

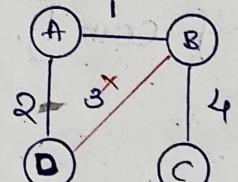
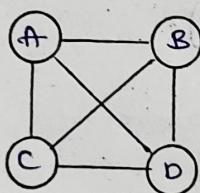
}

Time Complexity: $O(E \log E)$

* Real algorithm will be discussed

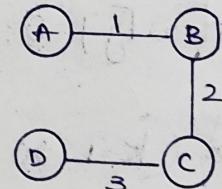
after 'SET' data structure introduction.

Q2) Let G be a complete undirected graph with 4 vertices and edge weights are $\{1, 2, 3, 4, 5, 6\}$. The maximum possible weight that a minimum spanning tree can have?



$$1+2+4=6$$

Normal Situation

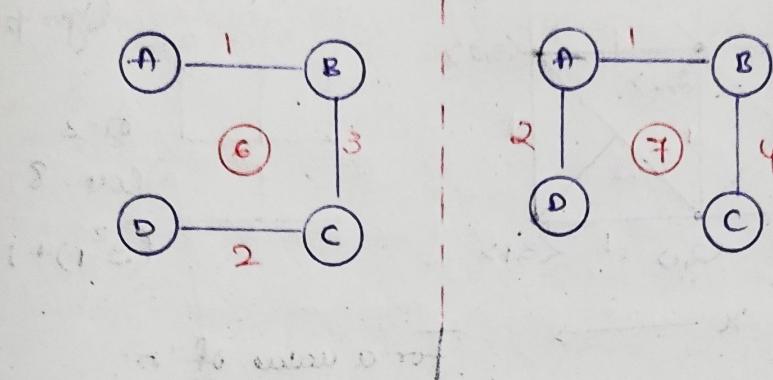


$$1+2+3=6$$

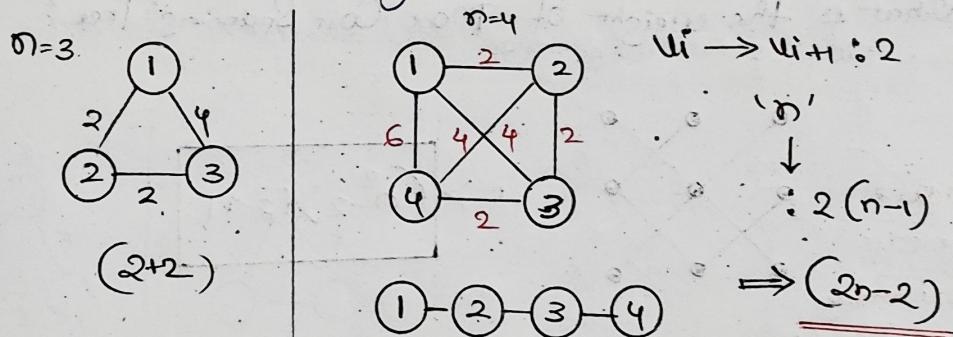
The max possible weight that a

minimum spanning tree can have is 6

Q1 Let G be a complete undirected graph with 4 vertices and edge weights are $\{1, 2, 3, 4, 5, 6\}$. The maximum possible weight that a minimum weight Spanning tree can have is 7



Q2 Consider a complete weighted graph with n vertices numbered v_1 to v_n . Two vertices v_i and v_j having edge between them has a cost value of $2|v_i - v_j|$. The weight of minimum cost Spanning tree of such a graph is _____.

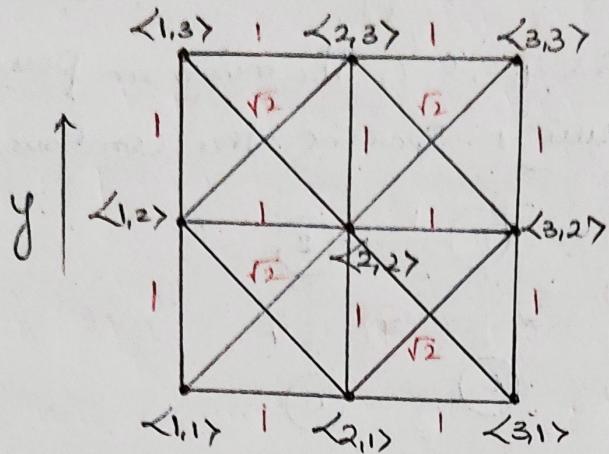


Q3 Let G be a connected undirected graph of 100 vertices and 300 edges. The weight of minimum Spanning tree of G is 500. When the weight of each edge of G is increased by five, the weight of a minimum Spanning tree becomes _____.

$$\Rightarrow 500 + 995 \Rightarrow \underline{\underline{995}}$$

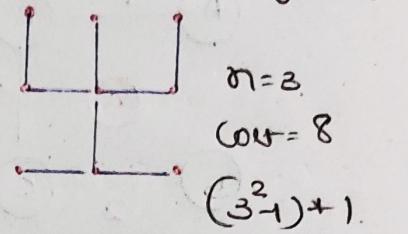
Q4 Consider a graph whose vertices are points in a plane with integer co-ordinates (x, y) where $1 \leq x \leq n$, $1 \leq y \leq n$, $n \geq 2$ is an integer. 2 vertices (x_1, y_1) and (x_2, y_2) are adjacent if $|x_1 - x_2| \leq 1$ and $|y_1 - y_2| \leq 1$. The cost of such an edge is given by the distance between them. Compute the weight of min cost Spanning tree of such graph for given value of n .

→ Q3:



distance

$$\text{formula } a = \sqrt{(x_1 - x_2)^2 + (y_1 - y_2)^2}$$



x →

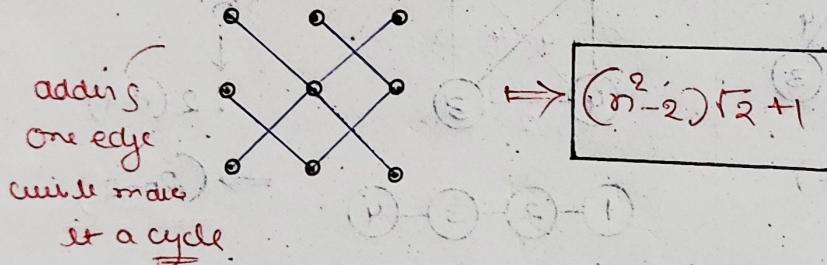
For a value of 'n'

No. of vertices of 'G' = (n^2)

No. of edges of this $= (n^2 - 1)$

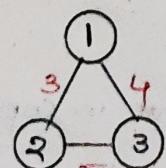
* Addition question to same problem

→ What is the weight of Max Cost Spanning Tree?



Q5 Consider a graph with 'n' vertices. The vertices are numbered u_1 to u_n . Two vertices u_i and u_j are adjacent if $|i - j| \leq 2$. The weight of such an edge is $i + j$. The weight of minimum cost Spanning tree for such a graph for value 'n' is _____

n=3.

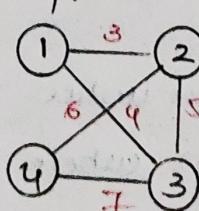


$$:(3+4)$$

↓

$$(3+2+2)$$

n=4:



$$:(3+4+6)$$

↓

$$(3+2+2+2+3)$$

Generalized formula :

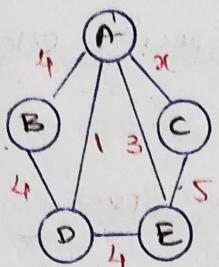
$$: 3 + 2(2+3+4+\dots+n-1)$$

$$: 3 + 2 \left[\frac{n(n-1)}{2} \right]$$

$$: 3 + [n^2 - n - 2]$$

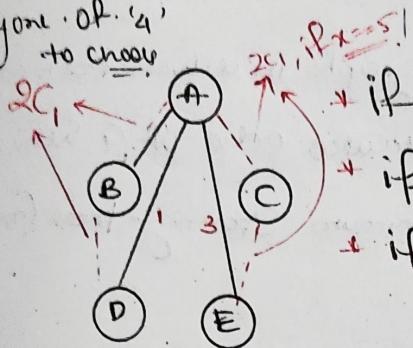
$$\Rightarrow n^2 - n + 1$$

Q6) Consider the following undirected Graph G.



Choose a value for x that will maximize the number of minimum weight Spanning Tree (mWSTs) of G. The number of mWSTs of G for the value of x is _____.

any one of '4' to choose



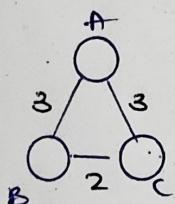
Total no. of mCST

$$\Rightarrow 2C_1 + 2C_1$$

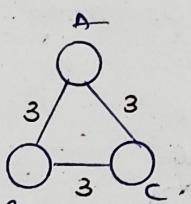
$$\Rightarrow 4$$

No of Minimum Cost Spanning Tree Possible

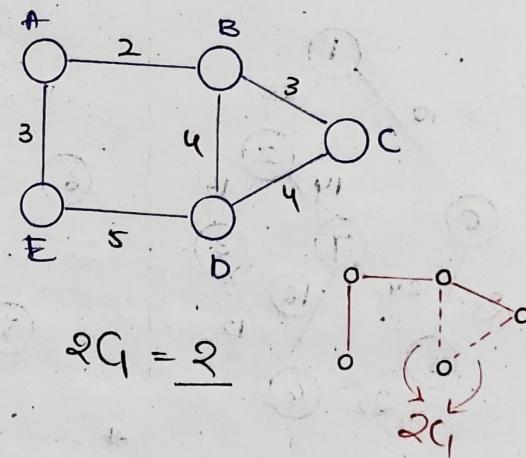
$$(nC_r)$$



$$\text{No. of mCST} = 2C_1$$

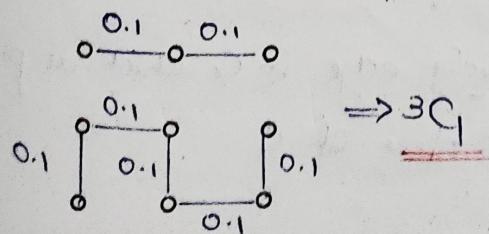
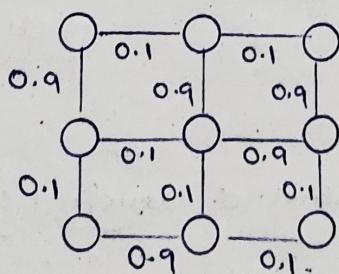


$$3C_2 = 3$$



Q7) Consider the following undirected graph with edge weights as shown.

Shown.



$$\Rightarrow 3C_1$$

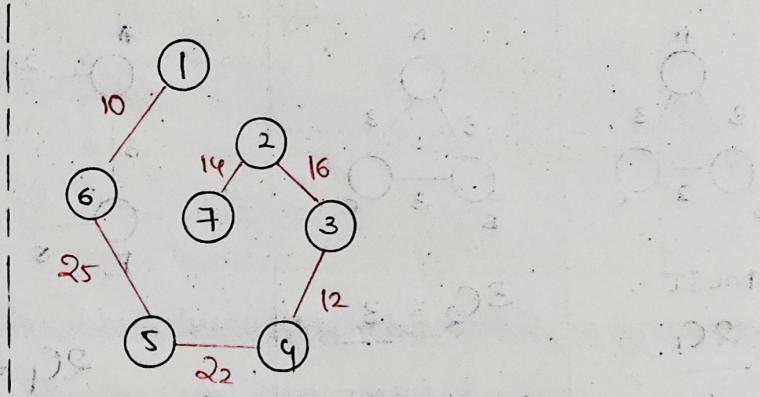
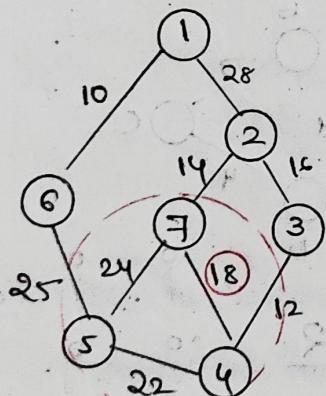
The minimum number of minimum weight Spanning Tree of the graph is $3C_1$.

Q8) Let w be the minimum weight among all edge weights in an undirected connected graph. Let 'e' be a specific edge of weight 'w'. Which of the following is false?

→ 'e' is present in every minimum Spanning Tree.

Q9) $G = (V, E)$ is an undirected simple graph in which each edge has a distinct weight, and e is a particular edge of G . Which of the following statements about minimum Spanning Tree (MST) of G is/are true?

- (i) If e is the lightest edge of some cycle in G , then every MST of G includes e .
- (ii) If e is the heaviest edge of some cycle in G , then every MST of G excludes e .



* Statement (i) is False and Statement (ii) is Always True.

↳ Dijkstra's algorithm
Property →

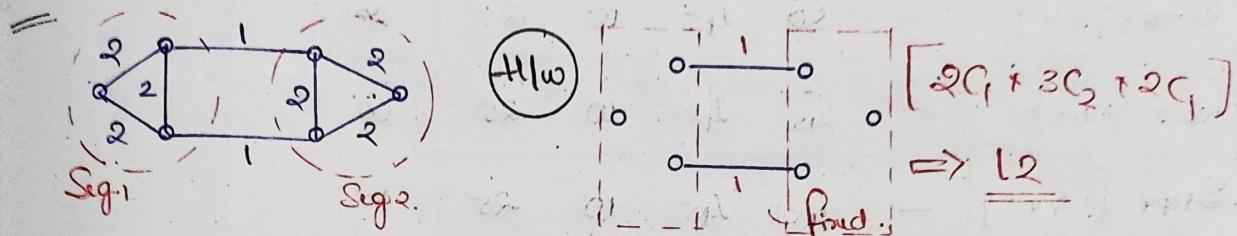
Q10) Let G be a connected undirected weighted graph. Consider the following two statements

S1: There exists a minimum weight edge in G which is present in every minimum Spanning Tree of G .

S₂: If every edge in G has a distinct weight, then G has a unique minimum Spanning tree.

→ S₁ is false and S₂ is true.

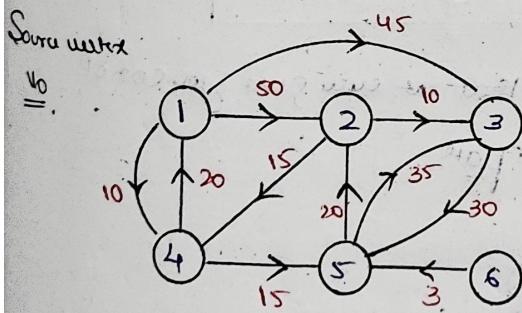
Q1) Compute number of minimum Spanning tree:



Problem (5): Single Source Shortest Paths (SSCP)

- ① Single Pair Shortest Path
 - Trivial $s \rightarrow d$
- ② Single Source Shortest Paths
 - $s \rightarrow \{v\}$ (n-1) dist.
 - Dijkstra's Algorithm (Greedy)
 - may not work with -ve edges
 - Bellman Ford (D.P.)
 - works with -ve edges
- ③ All-pairs Shortest Path
 - Floyd-Warshall's Algorithm (D.P.)

Dijkstra's Greedy Algorithm



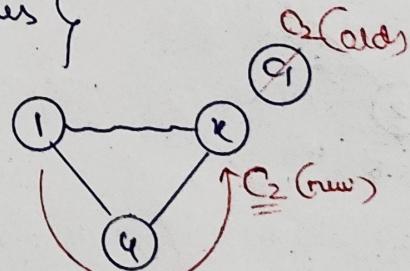
| C | 1 2 3 ... 6 |
|---|--------------------------|
| 1 | 0 50 45 ... |
| 2 | ... |
| 3 | $c_{ij,j}$ cost of edge. |
| 6 | |

$d(x)$ = distance from source (v_0) to vertex 'x' known so far.

$$d(v_0) \rightarrow d(x)$$

{ Relaxation

Process }



$$\leftarrow iP(C_2 < C_1)$$

update (d -value of x).

a) Matrix Method

$v_0 = 1$

d-values.

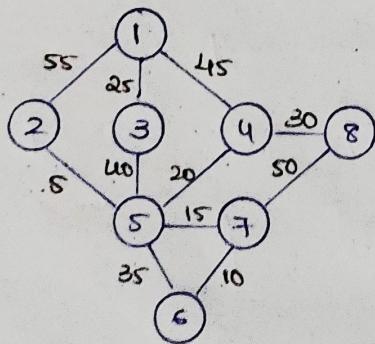
| Vertex Selected | 1. | 2. | 3. | 4. | 5. | 8 |
|------------------------|----|----|----|----|----|---|
| Step 1. | — | 50 | 45 | 10 | ∞ | ∞ |
| Step 2 {1, 4} | — | 50 | 45 | 10 | 25 | ∞ |
| Step 3 {1, 4, 5} | — | 45 | 45 | 10 | 25 | ∞ |
| Step 4 {1, 2, 4, 5} | — | 45 | 45 | 10 | 25 | ∞ |
| Step 5 {1, 2, 3, 4, 5} | — | 45 | 45 | 10 | 25 | ∞ |

$v_0 = 6$

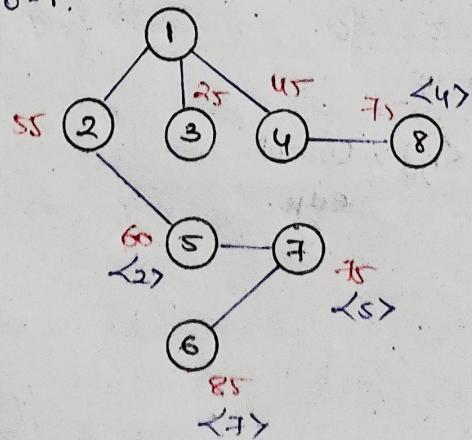
| Vertex Selected. | 1 | 2 | 3 | 4 | 5 | 6 |
|-------------------|----|----|----|----|---|---|
| ① {6} | ∞ | ∞ | ∞ | ∞ | 3 | — |
| ② {6, 5} | ∞ | 23 | 38 | ∞ | 3 | — |
| ③ {6, 2, 5} | ∞ | 23 | 38 | 38 | 3 | — |
| ④ {6, 2, 3, 5} | ∞ | 23 | 33 | 38 | 3 | — |
| ⑤ {6, 2, 3, 5, 1} | 58 | 23 | 33 | 38 | 3 | — |

- * The drawback of matrix method is that it will give you any path. But we give you the exact path.

Dijkstra's Algorithm (Spanning Tree)



$v_0 = 1$



Algorithm Shortest Path (v, cost, dist, n)

11/8/2022

{ // $\text{dist}[j]$, $1 \leq j \leq n$ is set to lengths of the shortest paths from vertex v
 // to vertex j in a digraph G with n vertices, $\text{dist}[v] = 0$
 // - G is represented by its adjacency matrix $\text{cost}[[1:n], [1:n]]$

for ($i = 1$ to n) do $\rightarrow O(n)$

{ $S[i] = \text{false}$, $\text{dist}[i] = \text{cost}[v, i]$ } // initialize S.

$S[u] = \text{true}$; $\text{dist}[u] = 0, 0$. // pw, u in S.

for ($i = 2$ to $n-1$) do $\rightarrow O(n \log n)$

{ // determine all paths from v

// choose u from among those vertices not in S such that $\text{dist}[u]$ is minimum;

$S[u] = \text{true}$; // pw u in S

for (each w adjacent to u with $S[w] = \text{false}$) do $\rightarrow O(n)$

{ // update distance

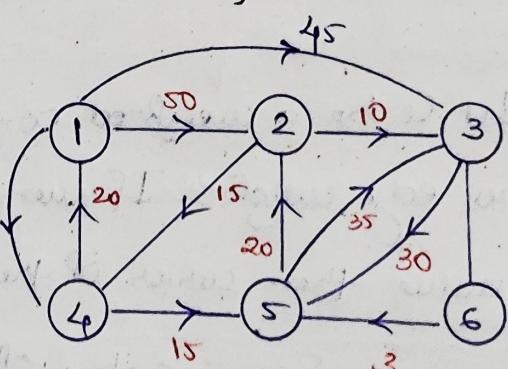
if ($\text{dist}[w] > \text{dist}[u] + \text{cost}[u, w]$) then

$\text{dist}[w] = \text{dist}[u] + \text{cost}[u, w]$;

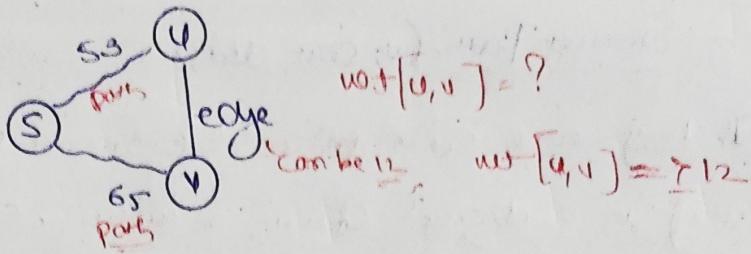
}

Time Complexity = $O(n^2 \log n + n \log n)$

$(n^2 \log n)$

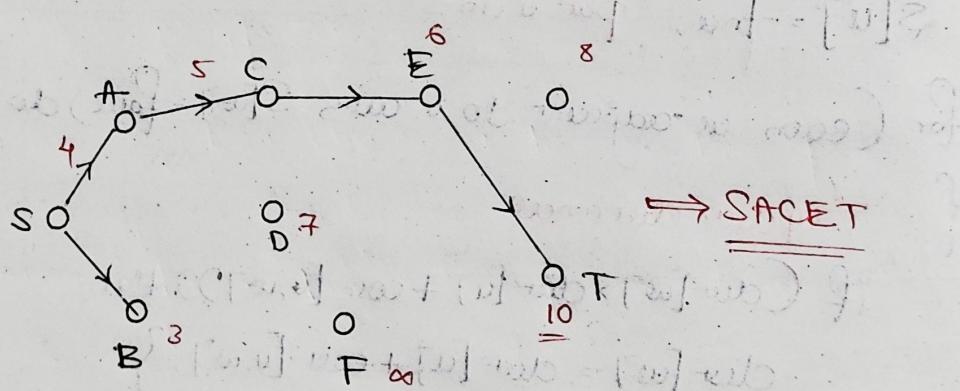
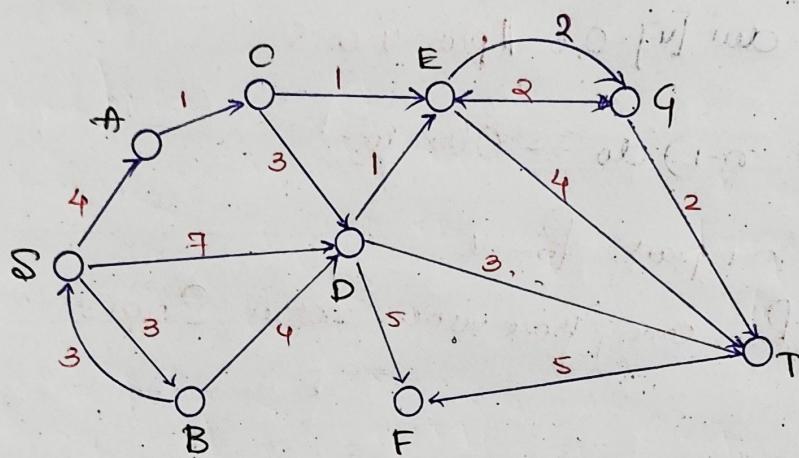


Q1) Consider a weighted directed graph with positive edge weights and let uv be an edge in the graph. It is known that the shortest path from the source vertex s to v has weight 53, and the shortest path from s to v has weight 65, which of following st. is true?



$\rightarrow \underline{\text{weight } (u,v) = 712}$

Q27. Apply Dijkstral Algorithm over the given Graph, which path is reported from 'S' to 'T'?



$\Rightarrow \underline{\text{SACET}}$

Q37. Let G be a weighted, connected undirected graph with distinct positive edge weights. If every edge weight is increased by the same value, then which of the statements is/are true?

1. Minimum Spanning tree of the graph does not change. — True
2. Shortest path between any pair of vertices do not change. — False

Q4) Let $G = (V, E)$ be any connected undirected edge-weighted graph. The weights of the edges in E are positive and distinct. Consider the following statements:

(i) Minimum Spanning tree of G is always unique. \rightarrow True

(ii) Shortest path between any two vertices of G is always unique. \rightarrow False

Which of the statements is true?

\rightarrow (i) is true and (ii) is False.

Q5) Consider the following.

Algorithm.

Design Paradigms

(P) Kruskal \rightarrow (i) Divide and Conquer

(Q) Quicksort $\cancel{\rightarrow}$ (ii) Greedy

(R) Floyd-Warshall \rightarrow (iii) Dynamic Programming

Dynamic Programming.

→ Design strategy proposed by Richard Bellman.

Dynamic Programming (Dp): is an algorithm design strategy (method) paradigm, used for solving problem, where Solutions are viewed as a result of making a sequence of decisions.

Terminology

- * Problem definition
- * Constraints
 - Implicit (local)
 - Explicit (boundary)
- * Solution Space ($\infty, \mathbb{Q}^n, \mathbb{N}_0^{k+1}$)
- * Objective function
 - Min / Algorithm
 - Max / Criteria
- * Optimal Solution: Unique Solution.

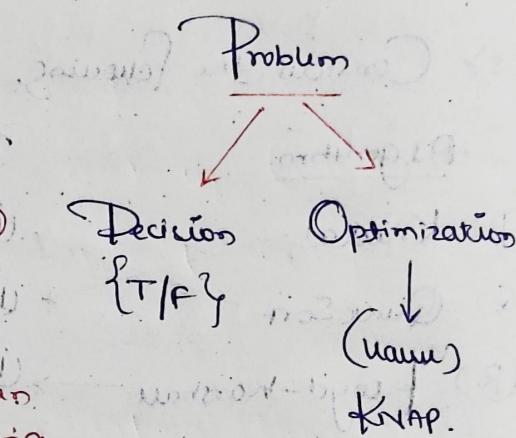
* One way of making these decisions is to make them one at a time in a Step-wise (sequential) Step-by-step manner and never make an erroneous decision. This is true of all problems solvable by Greedy method.

* For many other problems it is not possible to make step-wise decisions based on local information available at every step, in such a manner that the sequence of decisions made is optimal.

Problem I : Coin Change.

Given a set of coin values, construct a sum of money using as fewer as possible. We can use each coin value any number of times
 $\text{Coins} = \langle C_1, C_2, C_3, \dots \rangle$

Target Money = N.



eg: 1) $N=12$, coins = {1, 2, 5}

Greedy method: $3+5+2 \rightarrow 3$ coins \rightarrow Optimal Solution

eg: 2 $N=6$, coins = {1, 3, 4}

(Greedy method) $4+1+1 = 6 \rightarrow$ not Optimal (failed)

$3+3 = 6$ { 2 coins \rightarrow Optimal }

eg: 3

Consider the weights and values of items listed below. Note that there is only one unit of each item.

| Number | Weight (Kg) | Value (Rupee) |
|--------|-------------|---------------|
| 1 | 10 | 60 |
| 2 | 7 | 28 |
| 3 | 4 | 20 |
| 4 | 2 | 40 |

0/1 Knapsack

using Greedy

$$\times \frac{P_1}{w_1} = \frac{60}{10} = 6$$

$$\times \frac{P_2}{w_2} = \frac{28}{7} = 4$$

$$\checkmark \frac{P_3}{w_3} = \frac{20}{4} = 5$$

$$\checkmark \frac{P_4}{w_4} = \frac{40}{2} = 20$$

{ 2+u }

$x_4=1$

$x_2=1$

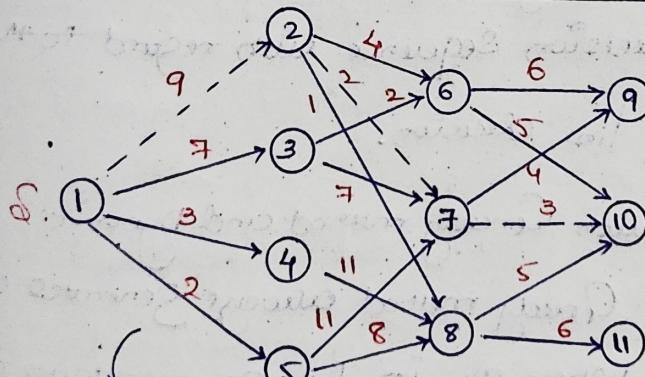
$\frac{44}{44}$

not

Optimal

Multi Stage Graph

$u_1 \rightarrow u_2 \rightarrow u_3 \rightarrow u_4 \rightarrow u_5$ based on



Finding Shortest path

from 'S' to 't'

Greedy method = $1 \rightarrow 5 \rightarrow 8 \rightarrow 10 \rightarrow 12$

Optimal: $1 \rightarrow 2 \rightarrow 7 \rightarrow 10 \rightarrow 12$

$\Rightarrow 16$

using Dijkstra's algorithm: (SSSP)

$S \rightarrow t: \langle 1-2-7-10-12 \rangle : 16$

$= 17$ non
Optimal

- * One way of solving problems in which it is not possible to make sequence of decisions in step-wise manner leading to optimal solution, is to enumerate all decision sequences (Brute Force) and then pick up the best solution (Optimal).

But the drawback with Brute Force / Enumeration is excessive time / Space requirements.

- * Dynamic Programming (DP) based on Enumeration offers tries to reduce the amount of enumerations by eliminating (cut down) those decision sequences from where there is no possibility of getting Optimal Solution.

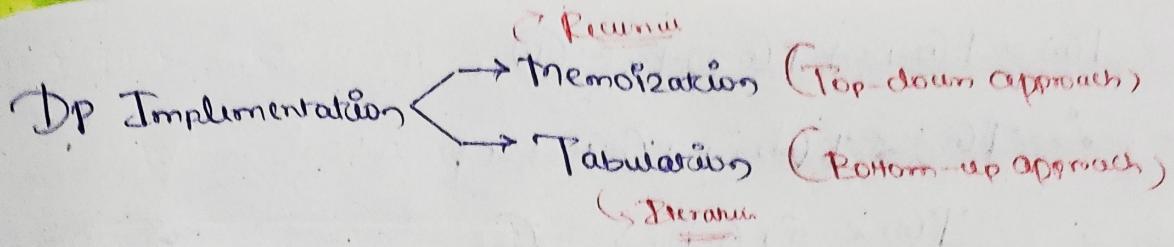
In Dynamic Programming these set of optimal decisions are made by applying Principle of Optimality.

global Optimality / Optimal Substructure Property.

Principle of Optimality: State that whatever the initial state and decision are the remaining sequence of decisions must constitute an optimal decision sequence with regard to the state resulting from the first decision.

- * Essential difference between Greedy method and Dynamic Programming (DP) is, that Greedy method always generates only one decision sequence. Whereas in DP enumerations many decision sequences can be generated.

- * Another important feature of D.P is that optimal solutions of the subproblems are retained (cached / stored in a table) to avoid recomputing their value. Privately this leads to saving of time.



Elements of DP :

(i) Splitting of Original problem into Subproblem: Be able to Split original problem into subproblems in a recursive manner (so that the subproblem can be further divided into sub-problem). This process of splitting should continue till the subproblems become small.

(ii) Subproblems Optimality (Optimal Substructure): An optimal solution to the problem must result from optimal solutions to the subproblem with combine operation.

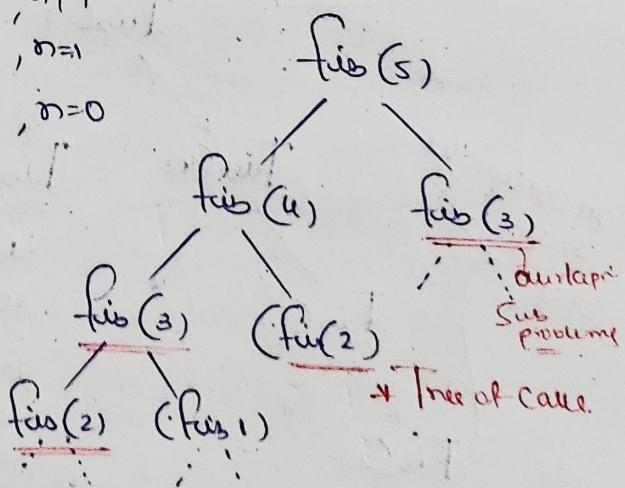
(iii) Overlapping Subproblem: Many subproblems themselves contain common sub-problems. Therefore, it is desirable to solve the small sub-problem and store/cache their results, so that they can be used in other sub-problems.

e.g.: Fibonacci Numbers : 0, 1, 1, 2, 3, 5, ...

$$\text{Fib}(n) = \text{Fib}(n-1) + \text{Fib}(n-2), \quad n > 1$$

$$\text{Fib}(1) = 1$$

$$\text{Fib}(0) = 0$$



Normal Recursion Algorithm

```

Argo Fib (n)
{
    if (n ≤ 1) return n;
    else return (Fib(n-1) + Fib(n-2));
}
    
```

$$T(n) = C, \quad n \leq 1$$

$$= T(n-1) + T(n-2), \quad n \geq 2$$

$$T(n) = T(n-1) + T(n-2) + a \quad (1)$$

$$\therefore T(n) < T(n-1) + T(n-1) + a$$

$$T(n) < 2T(n-1) + a \quad (2)$$

$$\Rightarrow T(n) < 2^n$$

$$\therefore T(n) = O(2^n)$$

Top-down - Memoization Implementation

memo [0.....n] - Global array
to store value.

algo memfib(n)

{ if (mem[n] is undefined)

{ if ($n \leq 1$) result = n;

else

result = memfib(n-1) + memfib(n-2);

memo[n] = result;

return memo[n];

}

finally return result

from memo array

| | | | | | |
|---|---|---|---|---|---|
| 0 | 1 | 2 | 3 | 4 | 5 |
| 0 | 1 | 1 | 2 | 3 | 5 |

memo[n] \rightarrow memfib(5) = 5

\rightarrow memfib(4) = 3

\rightarrow memfib(3) = 2 + 1 = 3

\rightarrow memfib(2) = 1

\rightarrow memfib(1) = 1

first time calculation

\rightarrow memfib(0) = 0

Fib(5)

Fib(4)

Fib(3)

Fib(2)

Fib(1)

all calculated
only once

→ Time Complexity = $O(n)$

→ Space Complexity = $O(n)$

(Recursion Stack)

value required
from previous
computation

Bottom-up Approach

Alg: memFib(n)

| | | | | | |
|---|---|---|---|---|---|
| 0 | 1 | 2 | 3 | 4 | 5 |
| 0 | 1 | 1 | 2 | 3 | 5 |

```

{ M[0]=0; }           Initializing Time Complexity = O(n)
{ M[1]=1; }           the first 2 values? Space Complexity = O(n)
for (i=2 to n) do    (Space of array)
{ M[i] = M[i-1] + M[i-2]; }
return (M[n]);
  
```

When to use Tabulation and when Memoization:

- If the original problem requires all subproblems to be solved, tabulation usually outperforms memoization.
- Tabulation has no overhead of recursion and can use pre-allocated array.
- If only some of the subproblems need to be solved in the original problem, then memoization technique is preferable because the subproblems are solved directly.

Dynamic Programming vs Greedy Method vs Divide and Conquer

Greedy Method: Building up the solution to the problem is done in a stepwise manner (incrementally) by applying local optima only.

Divide and Conquer: Breaking up a problem into separate problems (independent), then solve each subproblem to get the solution of original problem.

Dynamic Programming: Breaking up of a problem into series of overlapping subproblems and building up solutions of larger and larger subproblems.

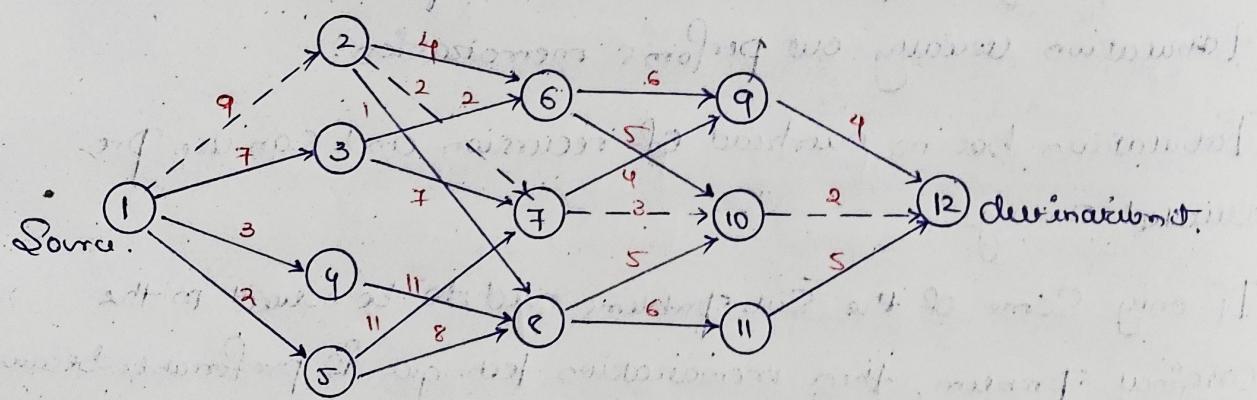
- * Unlike Divide and Conquer, D.P. typically involves solving all subproblems, rather than a small portion of subproblems.
- * D.P. tends to solve each subproblem only once since the results of the subproblems are stored, which are used later again when required. This is going to reduce the computation drastically.

e.g.: Fibonacci numbers

$$\text{Brute Force} = O(2^n)$$

$$\text{Dynamic Programming: } \underline{O(n)}$$

Multi-Stage Graph



| C | 1 | 2 | 3 | ... | 12 |
|----|---|---|---|-----|----|
| 1 | | | | | |
| 2 | | | | | |
| 3 | | | | | |
| 4 | | | | | |
| 5 | | | | | |
| 6 | | | | | |
| 7 | | | | | |
| 8 | | | | | |
| 9 | | | | | |
| 10 | | | | | |
| 11 | | | | | |
| 12 | | | | | |

$C(i,j) = \text{edge cost}$

Let $\text{Cost}(i,j)$ represents cost of the path from vertex 'j' present in Stage 'i' to vertex 't'.

$$\text{Cost}(i,1) = \min \{ C(i,1,k) + \text{Cost}(2,k) \}$$

$\text{Cost}(i,j)$
Stage #.

$$\text{Cost}(i,1) = \text{Cost}(1,k) + \text{Cost}(2,k)$$

Homework ↗

General formula

$$\text{Cost}(i,j)$$

$$\text{Cost}(i,j) = \min_{k \in V_2} \{ \text{Cost}(1,k) + \text{Cost}(2,k) \}$$

$\left\langle \begin{matrix} 1-k \\ k \in V_2 \\ \left\langle 1, k \right\rangle \in E \end{matrix} \right\rangle$

$$\text{Cost}(2,k)$$

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$$\text{Cost}(i,j) = \min_{k \in U_{i+1}} \{ c(j,k) + \text{cost}(i+1, k) \} + 1.$$

$\left\langle j, k \right\rangle \in E$.

$$\text{Cost}(d-1, j) = c(j, d) - 2.$$

$D(i,j) = k$ that minimizes the eqn 1.

$$\begin{aligned} \text{Cost}(1,1) &= \min \{ c(1,2) + \text{cost}(2,2), c(1,3) + \text{cost}(2,3), \\ &\quad c(1,4) + \text{cost}(2,4), c(1,5) + \text{cost}(2,5) \} = 9. \end{aligned}$$

$$\text{Cost}(4,9) = c(9,5) = 4$$

$$\text{Cost}(4,10) = c(10,5) = 2$$

$$\text{Cost}(4,11) = c(11,5) = 5$$

2nd Stage
value of
cost

$$\text{Cost}(3,6) = \min \{ c(6,9) + \text{cost}(4,9), \text{cost}(6,10) + \text{cost}(4,10) \} = 7$$

$$D(3,6) = 5$$

$$\text{Cost}(3,7) = 5, D(3,7) = 10$$

$$\text{Cost}(3,8) = 7, D(3,8) = 10$$

$$\text{Cost}(2,2) = \min \{ 4+7, 2+5, 1+7 \} = 7 \quad | \quad D(2,2) = 7$$

$$\text{Cost}(2,3) = \min \{ 2+7, 7+5 \} = 9 \quad | \quad D(2,3) = 6$$

$$\text{Cost}(2,4) = 18$$

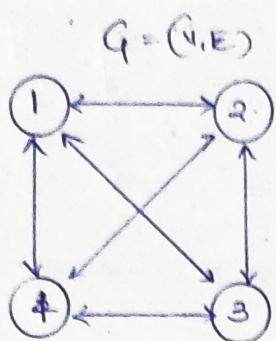
$$\text{Cost}(2,5) = 15 \quad | \quad D(2,4) = 8$$

$$D(2,5) = 8.$$

Final cost \Rightarrow 16.

Path Construction = $\langle 1 \rightarrow 2 \rightarrow 7 \rightarrow 10 \rightarrow 12 \rangle$

2. Travelling Salesperson Problem.



v_0 = home city.

The tour of TSP should start from home city v_0 and visit remaining $(n-1)$ cities exactly once and come back to home city (v_0) . Start that the cost of tour is minimum.

| C | 1 | 2 | 3 | 4 |
|---|---|----|----|----|
| 1 | 0 | 10 | 15 | 20 |
| 2 | 5 | 0 | 9 | 10 |
| 3 | 6 | 13 | 0 | 12 |
| 4 | 8 | 8 | 9 | 0 |

→ Greedy Method: $(1-2-3-4-1) : \underline{39}$.
 $(v_0=1)$

Optimal Solution,

$$(1-2-4-3-1) : \underline{35}$$

* Solution Space for brute force approach = $\underline{(n-1)!}$.

→ Let $g(i, s)$ represent cost of the tour of travelling Salesperson from vertex 'i' and visiting all vertices in the set 's' exactly once and terminating the tour at v_0 .

$v_0 = 1$

$$g(1, \{2, 3, 4\}) = \min_{k \in S} \{ c(1, k) + g(k, S - \{k\}) \}$$

$$\begin{aligned} & c(1, k) \\ & \langle 1 - (k, \dots, v_0) \rangle \\ & k \in S \\ & \langle 1, k \rangle \in E \end{aligned}$$

$$g(i, s) = \min_{k \in S} \{ c(i, k) + g(k, S - \{k\}) \} \quad (1)$$

$$g(i, \emptyset) = c(i, v_0)$$

$$J(i, s) = \text{value of } k \text{ that minimizes the eqn } (1)$$

1510

$$g(2,4) = c(2,1) = 5$$

$$g(3, \phi) = c(3, 1) = 6$$

$$g(4, \phi) = c(4, 1) = 8.$$

$$|S| = 1$$

$$g(2, \{3\}) = c(2, a) + g(3, \phi) = 9 + 6 = 15.$$

$$g(2, \{4\}) = 10 + 8 = 18$$

$$g(3, \{2\}) = 13 + 5 = 18$$

$$g(3, \{4\}) = 12 + 8 = 20$$

$$g(4, \{2\}) = 8 + 5 = 13$$

$$g(4, \{3\}) = 9+6=15.$$

TSP is the one of the
problem in literature
where there is no
Polynomial time
algorithm in literature

$$|S|=2$$

$$g(2 \{3,4\}) = 25$$

$$J(2 \{3,4\}) = 4.$$

$$g(3, \{2, 4\}) = 25$$

$$J(3, \{24\}) = 4$$

$$g(4, \{2, 3\}) = 23$$

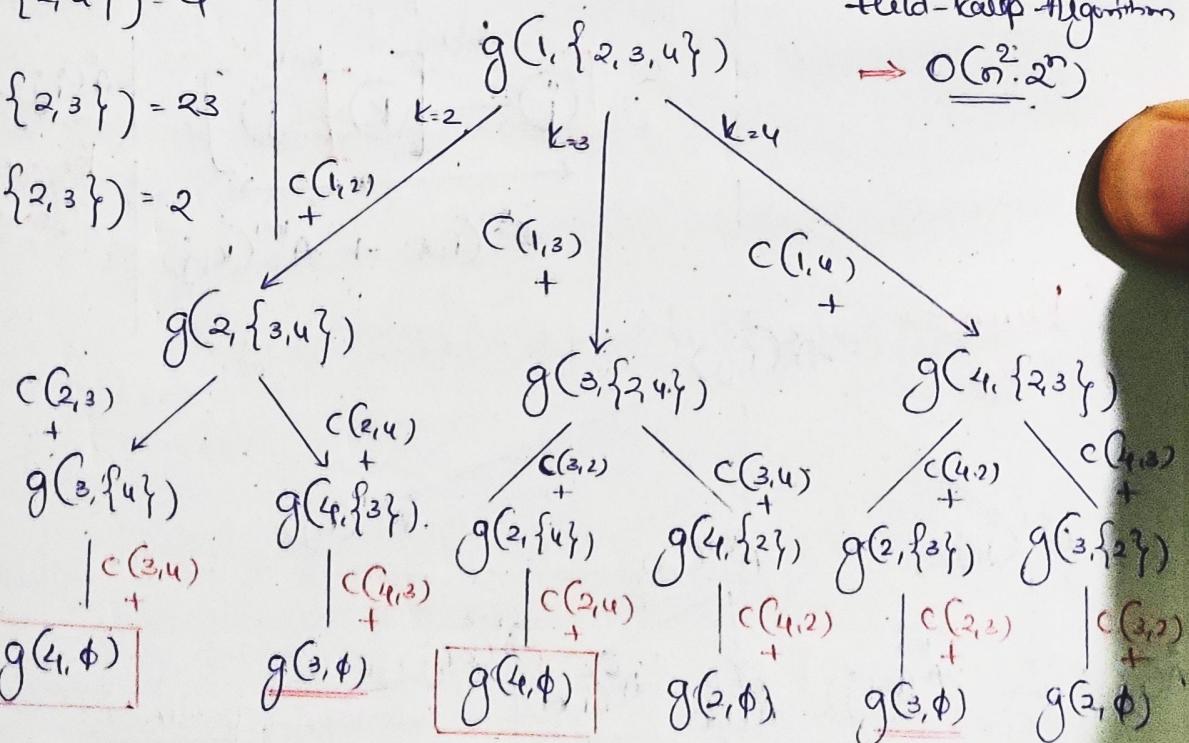
$$J(4, \{2, 3\}) = 2$$

Tour Construction

100

$$\langle 1 - 2 - 4 - 3 - 1 \rangle = 35$$

~~feld-kaempf-algorithm~~



3. All-pairs Shortest Paths

→ This problem is solvable by greedy method also.

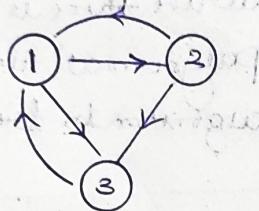
→ Single source shortest paths

↓
Apply it n times, changing
source vertex each time!

→ Solvable by dynamic programming

"Floyd-Warshall's algorithm"

Conceptual Model:

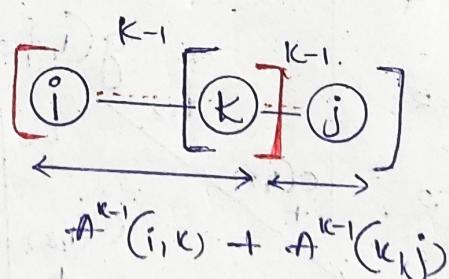


Let $A^k(i, j)$ represents cost of the path from vertex 'i' (src) to vertex 'j' (dest), with 'k' being the highest intermediate vertex along the path.

$$A^k(i, j) : i \dots k \dots j$$

$$A^k(i, j) = \min \left\{ A^{k-1}(i, k) + A^{k-1}(k, j), A^{k-1}(i, j) \right\}$$

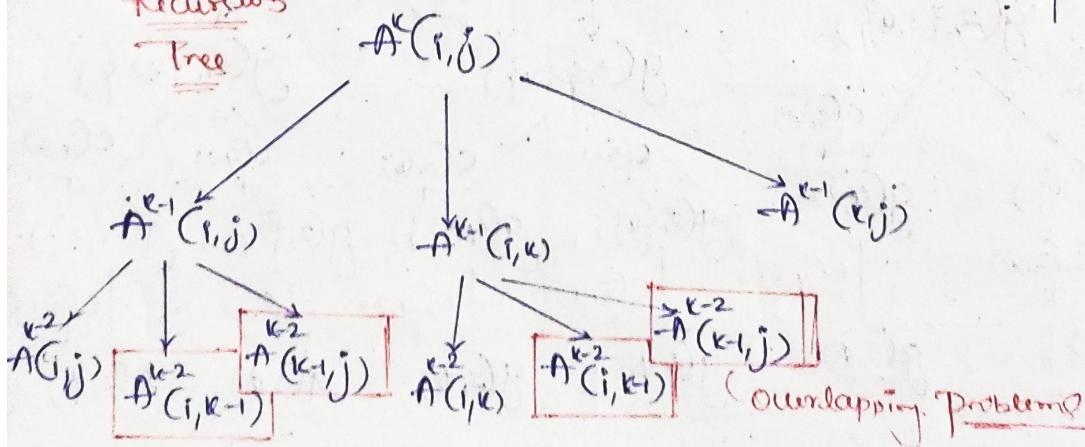
$k = n, 1$

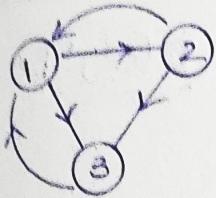


$$A^0(i, j) = C(i, j)$$

Boundary Condition

Recursion Tree





$$A^k(i,j) = \min\{A^{k-1}(i,j), A^{k-1}(i,k) + A^{k-1}(k,j)\}$$

$$A^3 \rightarrow A^2 \rightarrow A^1 \rightarrow A^0$$

| | 1 | 2 | 3 |
|---|---|---|----|
| 1 | 0 | 4 | 11 |
| 2 | 6 | 0 | 2 |
| 3 | 3 | ∞ | 0 |

| | 1 | 2 | 3 |
|---|---|---|----|
| 1 | 0 | 4 | 11 |
| 2 | 6 | 0 | 2 |
| 3 | 3 | 7 | 0 |

| | 1 | 2 | 3 |
|---|---|---|---|
| 1 | 0 | 4 | 6 |
| 2 | 6 | 0 | 2 |
| 3 | 3 | 7 | 0 |

| | 1 | 2 | 3 |
|---|---|---|---|
| 1 | 0 | 4 | 6 |
| 2 | 5 | 0 | 2 |
| 3 | 3 | 7 | 0 |

Algorithm Floyd-Warshall (G, n, e, c)

{ Integer $c[1..n, 1..n]$;

Integer $A[1..n, 1..n]$;

1. for $i \leftarrow 1$ to n

 for $j \leftarrow 1$ to n

$A[i,j] = c[i,j];$

2. for $k \leftarrow 1$ to n — intermediate vertex

 for $i \leftarrow 1$ to n — Src

 for $j \leftarrow 1$ to n — dest.

$A[i,j] = \min\{A[i,j], A[i,k] + A[k,j]\}$

}

Time = $O(n^3)$

Space = $O(n^2)$

* Transitive closure of a matrix (representing a Graph)

→ $\begin{cases} i \rightarrow j \\ j \rightarrow k \end{cases} \Rightarrow i \rightarrow k$.
path

Formula / eqn

$A^1(i,j) = \min\{A^0(i,j)$

$A^0(i,j) + A^0(k,j)\}$

$\rightarrow 4$

Shortest path
from a vertex to
another vertex

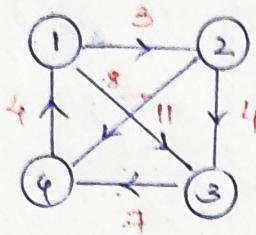
Final Answer

→ Floyd-Warshall Algorithm can be used to obtain transitive closure of a matrix (representing a graph) in $O(n^3)$ T.C

HW

Value using Floyd Warshall

Algorithm.



④ 0/1 Knapsack (Binary Knapsack)

→ Knap Cap: m

→ no. of objects: n .

(O_i)
 $w_i \ p_i \ x_i$

→ Max $\sum_{i=1}^n p_i x_i$

→ Subject to constraint: $\sum_{i=1}^n w_i x_i \leq m$.
 $x_i = 0/1$.

Solutions
Space
 $= O(2^n)$

$\langle x_1, x_2, x_3, \dots, x_n \rangle$

Lt-01-KNAP(n, m) represents profit
using n objects and Knap of
Capacity ' m '.

0/1 KNAP(n, m) = 01KNAP($n-1, m$),
if $w_n > m$.

\Rightarrow Max $\left\{ \begin{array}{l} 01\text{KNAP}(n-1, m), \\ 01\text{KNAP}(n-1, m-w_n) + p_n \end{array} \right\}$

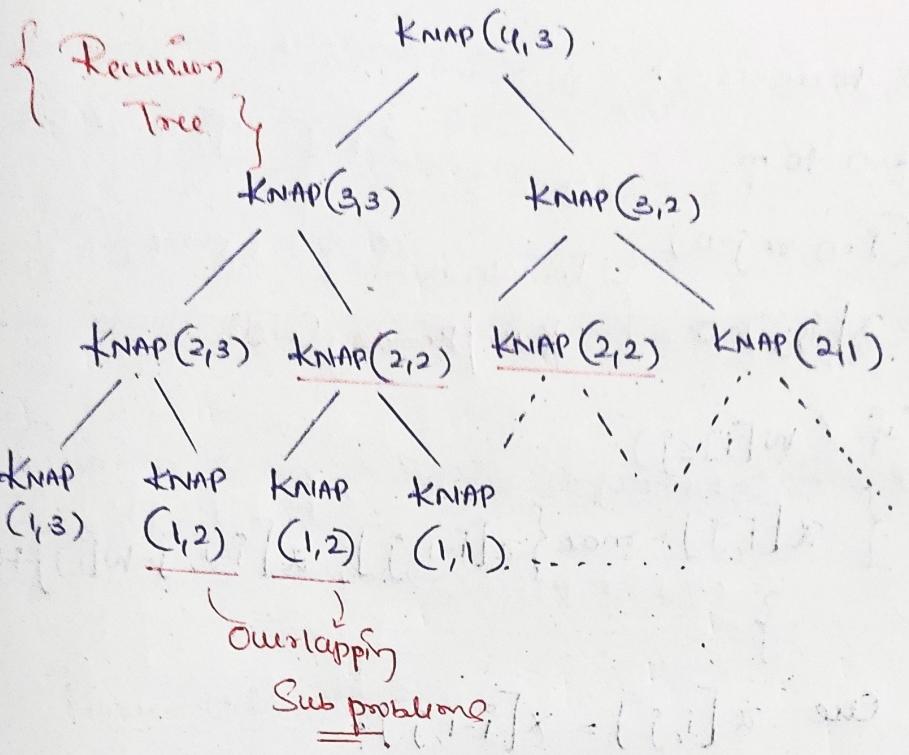
Boundary
Condition

$01\text{KNAP}(n, m) = 0$, $n=0$, or $m=0$

Profit
zero
 $w_n=0$

no object

zero order
Capacity in knapsack



Data: $n=4$, $m=8$, $w[2,3,4,5]$, Profits $[1,2,5,6]$

Let $x[0 \dots n, 0 \dots m]$ be an array of entries $x[n, m] = \underline{\text{profit}}$.
 J - (bottom-up-tabulation).

| x | 0 | 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | |
|-------|---|---|---|---|---|---|---|---|---|-----|
| P_i | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | |
| 1 | 2 | 1 | 0 | 0 | 1 | 1 | 1 | 1 | 1 | |
| 2 | 3 | 2 | 0 | 0 | 1 | 2 | 2 | 3 | 3 | |
| 3 | 4 | 3 | 0 | 0 | 1 | 2 | 5 | 5 | 6 | |
| 4 | 5 | 4 | 0 | 0 | 1 | 2 | 5 | 6 | 7 | (8) |

Max profit

$$\text{Max profit} = x[n][m] = 8$$

Objects Included = $\langle x_1, x_2, x_3, x_4 \rangle$

0 1 0 1

$$x[1,2] = \max \{ x[0,2], \\ x[0,1] + p_1 \}$$

→ Algorithm DKNAP (m, n, w, p)

{ $P[1 \dots n]$, $w[1 \dots n]$ // Global variable.

integer $x[0 \dots n, 0 \dots m]$.

for $i \leftarrow 0$ to n

for $j \leftarrow 0$ to m .

if ($i = 0$ or $j = 0$). } Initialization

$\alpha[i, j] = 0$ } - Base / Boundary Condition

else {

if ($w[i] \leq j$)

{ $\alpha[i, j] = \max\{\alpha[i-1, j], \alpha[i-1, j-w[i]] + p_i\}$

}

else $\alpha[i, j] = \alpha[i-1, j]$.

}

}

Time = $O(n+m)$

Space = $O(n*m)$

⑤ Longer Common Subsequence - (String Manipulation).

String: a group of one/more characters.



Substring

- A group of one or more characters taken in contiguous order from given string.

String

Subsequence

A group of one or more characters

taken from the given string that may not be contiguous, but however their relative order is maintained.

Q1). Given a string of length n -characters, then no. of substrings possible are $\underline{n(n+1)/2} \Rightarrow O(n^2)$

Subsequence of $\langle A, B, C \rangle$

$\{A, B, C, AB, AC, BC, ABC\}$ {various subsequences}

CA } no
CB } var

- Every Substring is also a Subsequence.
- Every Subsequence may or may not be substring.

Q2) Given a String of length 'n' characters, the no. of Subsequences possible are $\underline{\underline{O(2^n)}}$.

Problem Definition

19/8/2023

Given two strings x and y of lengths 'm' and 'n' characters, a subsequence that is common to both x and y is known as Common Subsequence.

e.g.: $x = ABCD, y = BDG$ LCS = BC, max length = 2.

→ The problem of LCS is to determine a subsequence of longest length that is common to both x and y .

Applications of LCS

1. Genomics: Genetic engineering for DNA Strange Sampling.
2. Version Change: in Software engineering. (Determining Similarity).
3. Data Gathering Systems (e.g. Google).
4. Plagiarism Checking System.

DP based Solution:

Let i and j be the indices into the strings ' x ' and ' y ' as shown.

$$x = \langle x_1, x_2, \dots, x_n \rangle, y = \langle y_1, y_2, \dots, y_m \rangle.$$

→ Let $L(i, j)$ denote the length of common subsequence of the strings x and y as defined above.

Case I. $x = \langle \text{GTTCTAAATA} \rangle$

$y = \langle \text{CGATTAARTGAGA} \rangle$

$L(9,11)$

$x[i] = y[i]$

$$L(i,j) = 1 + L(i-1, j-1)$$

if char. match

Case II.

$x = \langle \text{GTTCTAAATA} \rangle$

$L(9,10)$

$y = \langle \text{CGATTAARTGAG} \rangle$

$x[i] \neq y[i]$

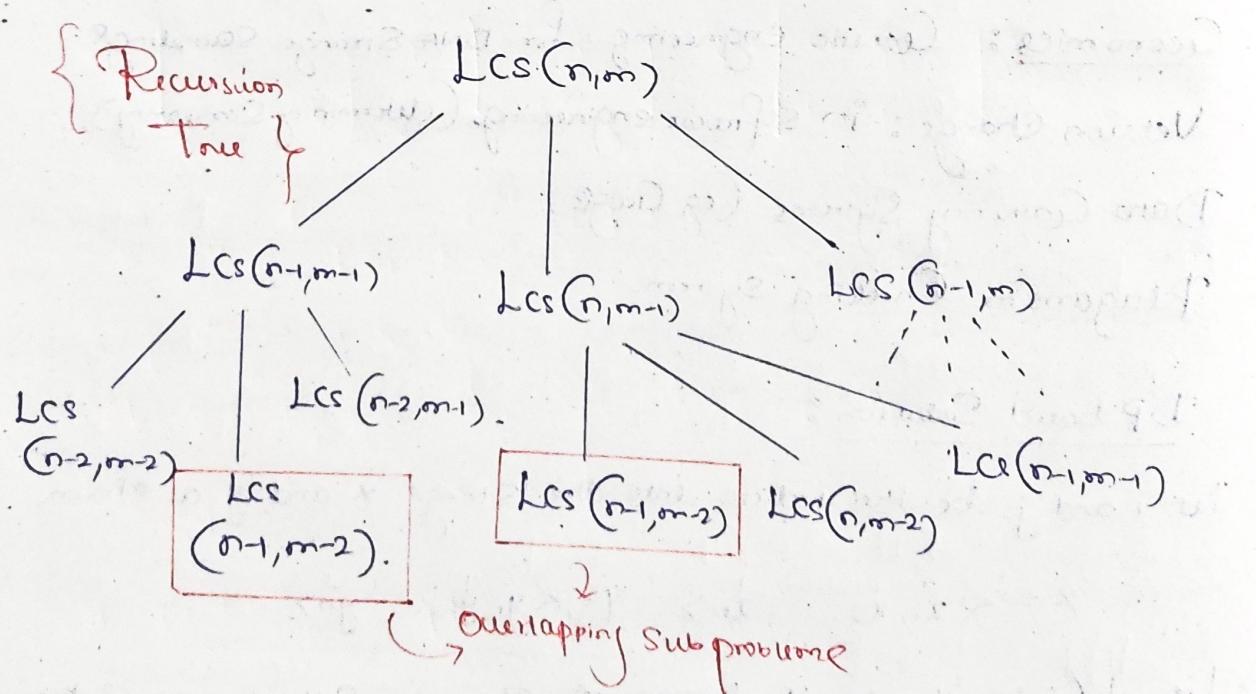
(if last char. down
match)

$$L(i,j) = \max \{ L(i-1, j), L(i, j-1) \}$$

→ Recursion Formula

$$\begin{cases} L(i,j) = 1 + L(i-1, j-1), & \text{if } x[i] = y[j] \\ L(i,j) = \max \{ L(i-1, j), L(i, j-1) \}, & \text{if } x[i] \neq y[j] \end{cases}$$

$$\begin{cases} L(1,j) = 0, & \text{if } i=1 \text{ and } j=\text{any no.} \\ L(i,-1) = 0, & \text{if } j=-1 \text{ and } i=\text{any no.} \end{cases}$$



Recursion
Tree

$\text{LCS}(\text{"ABBA"}, \text{"ACBABA"}) \rightarrow \text{④} \rightarrow \text{Final Answer}$

"ABAB"
the LCS

$\text{LCS}(\text{"ABBA"}, \text{"ACBABA"}) = 3$

$\text{LCS}(\text{"ABBA"}, \text{"ACBABA"}) = 2$

$\text{LCS}(\text{"AB"}, \text{"AC"}) = 1$

$1 = \text{LCS}(\text{"AB"}, \text{"A"})$

$\text{LCS}(\text{"A"}, \text{"AC"}) = 1$

$\text{LCS}(\text{"A"}, \text{"A"}) = 0$

$\text{LCS}(\text{"A"}, \text{"A"}) = 1$

$\text{LCS}(\text{"A"}, \text{"A"}) = 1$

$\text{LCS}(\text{"A"}, \text{"AC"}) = 0$

$\text{LCS}(\text{""}, \text{""}) = 0$

$\text{LCS}(\text{""}, \text{""}) = 0$

Algorithm LCS Based on Bottom-up Approach Tabular Method

Algorithm $\text{LCS}(x, y)$

integer, $x[0 \dots n]$, $y[0 \dots m]$;

{ integer $L[0 \dots n, 0 \dots m]$;

1) for $i \leftarrow 0$ to $n-1$

$L[i, i] = 0$

2) for $j \leftarrow 0$ to $m-1$

$L[-1, j] = 0$

3) for $i \leftarrow 0$ to $n-1$

for $j \leftarrow 0$ to $m-1$

if ($x[i] == y[j]$) $L[i, j] = 1 + L[i-1, j-1]$;

else $L[i, j] = \max\{L[i-1, j], L[i, j-1]\}$;

Time = $O(n \times m)$

Space = $O(n \times m)$

Boundary
Conditions

$$x = \langle ABCBDA \rangle, y = \langle BDCA \rangle$$

| | B | D | C | A | B | D | |
|---|---|---|---|---|---|---|---|
| A | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| B | 0 | 0 | 0 | 0 | 1 | 1 | 1 |
| C | 0 | 1 | 1 | 1 | 1 | 2 | 2 |
| D | 0 | | | | | | |
| A | 0 | | | | | | |
| B | 0 | | | | | | |

⑥ Matrix Chain Product

Given 2 square matrices A and B of order $n \times n$:

The no. of scalar multiplications needed to multiply them

$$A \times B = \underline{n^3}$$

$$A = \begin{bmatrix} a_{11} & a_{12} \\ a_{21} & a_{22} \end{bmatrix}_{n \times n} \quad B = \begin{bmatrix} b_{11} & b_{12} \\ b_{21} & b_{22} \end{bmatrix}_{n \times n} \quad C = \begin{bmatrix} c_{11} & c_{12} \\ c_{21} & c_{22} \end{bmatrix}_{n \times n}$$

$$C_{11} = a_{11} \times b_{11} + a_{12} \times b_{21}$$

$$C_{12} = a_{11} \times b_{12} + a_{12} \times b_{22}$$

$$C_{21} = a_{21} \times b_{11} + a_{22} \times b_{21}$$

$$C_{22} = a_{21} \times b_{12} + a_{22} \times b_{22}$$

$$2 \times 2 \times 2 = 8$$

(II). Non-Square Matrix multiplication.

$$A_{2 \times 3} \times B_{3 \times 4} = C_{2 \times 4} \quad \text{Total Multiplications} = 2 \times 4 \times 3 = 24$$

Should be Same

Algorithm \rightarrow Bottom \rightarrow Cyclic.

Total Scalar Multiplications = $O(n^3 \cdot k)$.

Problem Definition

Given a chain of compatible non-square matrices, it is required to multiply them together to get one fixed final matrix;

e.g. $\langle B_{2 \times 10}, C_{10 \times 50}, D_{50 \times 20} \rangle \Rightarrow BCD$.

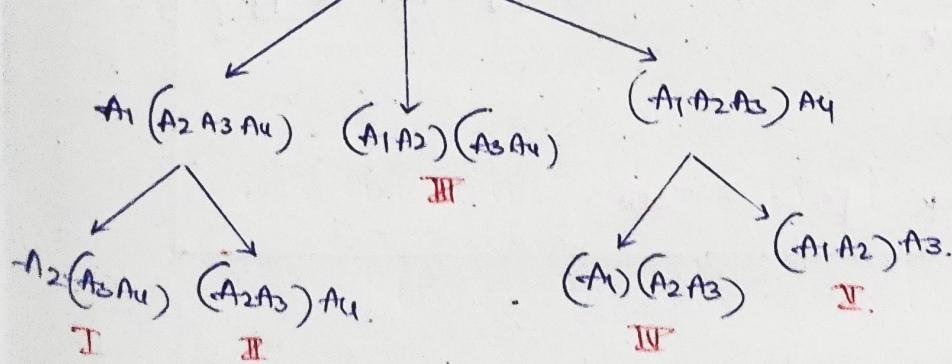
\Rightarrow No. of
matrices
+
Total multiplications

$$\begin{array}{c|c} \begin{matrix} [(B \cdot C) \cdot D] & [B \cdot (C \cdot D)] \\ \text{Approach 1.} & \text{Approach 2.} \end{matrix} & \\ \hline (2 \times 10 \times 50) + (2 \cdot 50 \times 20) & 2 \times 10 \times 20 + (10 \times 50 \times 20) \\ 1000 + 2000 = \underline{\underline{3000}} & 400 + 10,000 \\ & = \underline{\underline{10,400}} \end{array}$$

Difference
(just by changing the parenthesis
or multiplying approach)

Given a chain of matrices $\langle A_1, A_2, \dots, A_n \rangle$ where matrix A_i is of dimension $P_{i-1} \times P_i$. The problem of a MCP is to fully parenthesize the chain, such that the total no. of scalar multiplications is minimum.

e.g. $\langle A_1, A_2, A_3, A_4 \rangle$



Derivation of D.P. based recurrence of m.c.p

20/8/2022

→ Let the resultant Matrix $A_{i,j}$ be the product $\langle A_i \dots A_j \rangle$

* Any optimal parenthesization, must split the chain above the matrix $A_{i,j}$ into $A_{i,k}$ and $A_{k+1,j}$ such that total no. of matrix multiplications is minimum.

$$\langle A_i, A_{i+1} \dots A_k \rangle \langle A_{k+1} \dots A_j \rangle \quad [i \leq k \leq j-1] \\ \text{--- splitting point}$$

→ let $m[i,j]$ denote the number denote the number of scalar multiplications to get the resultant matrix $A_i \dots A_j$.

$$m[i,j] = \min_{i \leq k \leq j} \{ m[i,k] + m[k,j] + p_{i-1} * p_k * p_j \}$$

$$\text{eg: } A_{1 \dots 6} = \langle \underset{2 \times 6}{\underset{\text{I}}{(A_1 A_2 A_3)} \underset{\text{II}}{(A_4 A_5 A_6)}} \rangle$$

$$(A_{1 \dots 3}) \leftarrow (A_{2 \dots 6})$$

$$\frac{2 \times 8}{P_0 \times P_3} \quad \frac{8 \times 6}{P_3 \times P_6}$$

$$A_1 \rightarrow P_0 \times P_1 = 2 \times 3$$

$$A_2 \rightarrow P_1 \times P_2 = 3 \times 5$$

$$A_3 \rightarrow P_2 \times P_3 = 5 \times 8$$

$$A_4 \rightarrow P_3 \times P_4 = 8 \times 4$$

$$A_5 \rightarrow P_4 \times P_5 = 4 \times 7$$

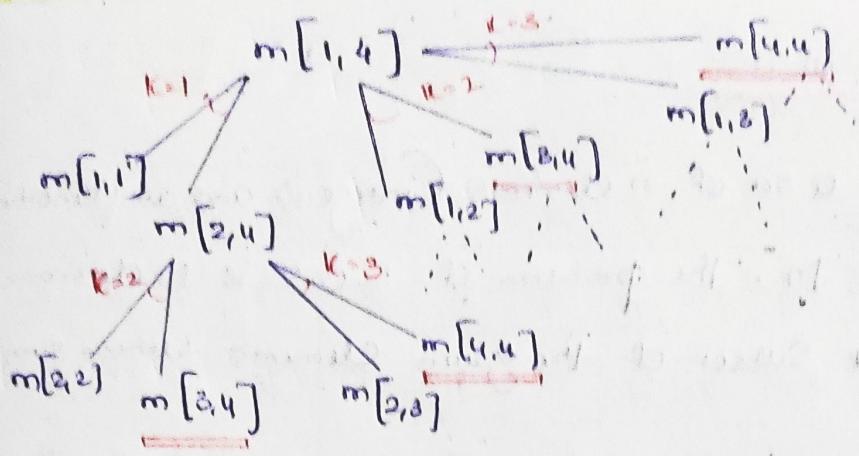
$$A_6 \rightarrow P_5 \times P_6 = 7 \times 6$$

$$\Rightarrow m[1,3] + m[4,6] + P_0 P_3 P_6$$

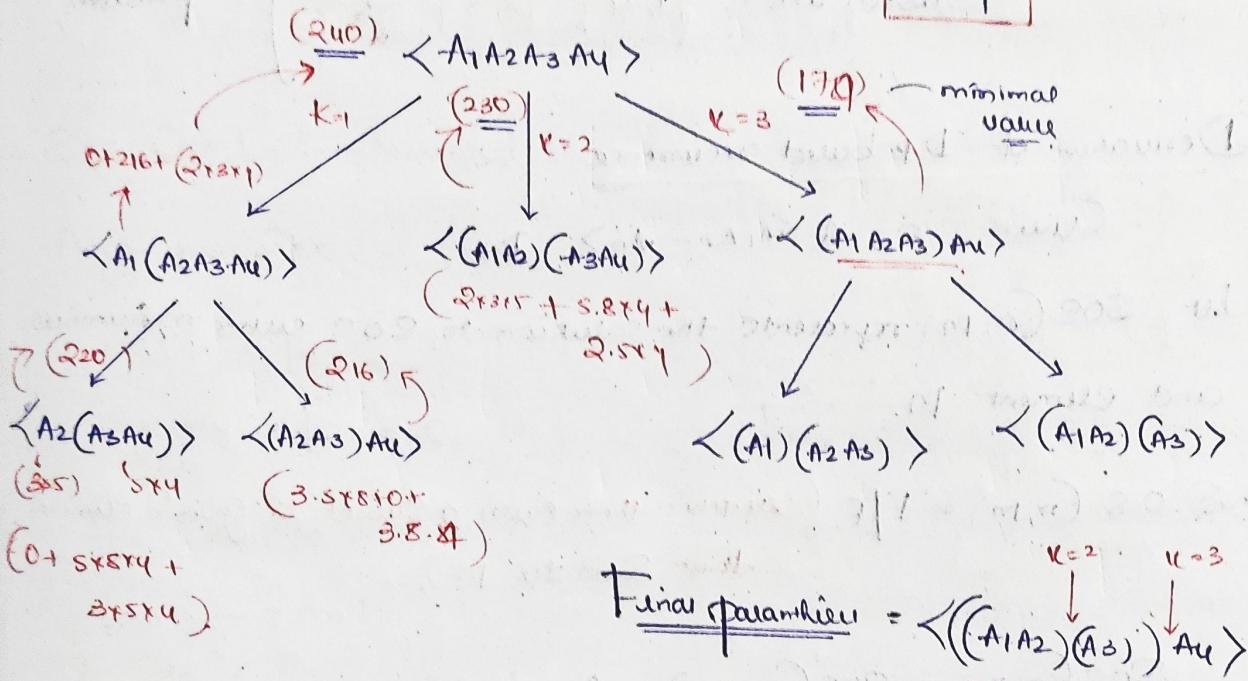
$$m[i,j] = \min_{i \leq k \leq j} \{ m[i,k] + m[k,j] + p_{i-1} * p_k * p_j \}$$

$$m[i,j] = 0$$

$$S[i,j] = k \quad (\text{point of split})$$



$$\text{eg: } \langle A_1 A_2 A_3 A_4 \rangle = \langle 2 \times 3, 3 \times 5, 5 \times 6, 8 \times 4 \rangle \Rightarrow 174$$



Algorithm Matrix-Chain-Product (P)

$n \leftarrow \text{length}[P]-1$ // n - no of matrices in chain.

for $i \leftarrow 1$ to n

do $m[i, i] \leftarrow 0$

for $l \leftarrow 2$ to n // l is the length of chain.

for $i \leftarrow 1$ to $n-l+1$

$j \leftarrow i+l-1$

$m[i, j] = \infty$

for $k \leftarrow i$ to $j-1$

$q \leftarrow m[i, k] + m[k+1, j] + p_{i-1} p_k p_j$

if ($q < m[i, j]$) then $m[i, j] \leftarrow q$

$s[i, j] \leftarrow k$

return m and s

Time Complexity: $O(n^3)$

Space Complexity: $O(n^2)$

7 Sum of Subsets

Definition: Given a set of n elements (integers) and another element (number) ' m '. The problem of S.O.S is to determine if there exists a subset of the given elements whose sum equals to ' m '.

eg: $\langle 10, 20, 30, 40, 50 \rangle$, $M=50$

Subsets are = $\{1, 4\}, \{2, 3\}, \{5\}$

True a division
Problem.

Derivation of D.p based recurrence.

Given: n , $A \langle A_1, A_2, \dots, A_n \rangle$, M .

→ Let $SOS(n, m)$ represents the solution to S.O.S using n , number and element M .

→ $S.O.S(n, m) = T/F$ (whether there exist a subset of given n elements that sum to M).

$$SOS(n, m) = SOS(n-1, m), A_n > M.$$

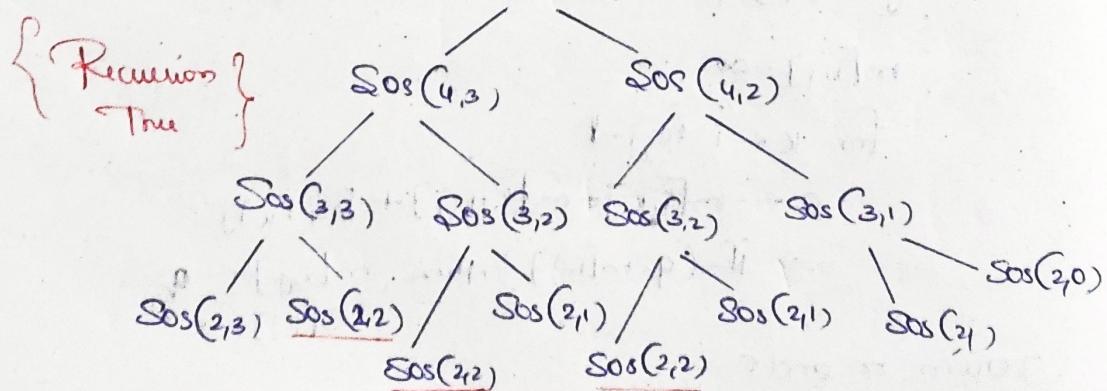
$$= [SOS(n-1, m) \text{ or } SOS(n-1, m-A_n)], A_n \leq M.$$

$$= \text{False}, n=0, M \neq 0$$

$$= \text{True}, n \geq 0, M=0$$

Base conditions

eg: $n=5$, $A \langle 1, 1, 1, 1, 1 \rangle$, $M=3$. $SOS(5, 3)$



$n=5, M=6, A \{ 2, 8, 4, 11, 9 \}$

$$x[i, j] = T \text{ or } F$$

| i | j | 0 | 1 | 2 | 3 | 4 | 5 | 6 |
|---|---|---|---|---|---|---|---|---|
| 0 | 0 | T | F | F | F | F | F | F |
| 1 | 1 | T | F | T | F | F | F | F |
| 2 | 2 | T | F | T | F | F | F | F |
| 3 | 3 | T | F | T | F | T | F | T |
| 4 | 4 | T | F | T | | T | | |
| 5 | 5 | T | F | T | | T | | |

Whether there exists
a subset from the given
'i' elements whose
sum is 'j'.

→ SOS Can be implemented using bottom-up approach.

Algorithm SOS (n, m, A)

{ $A[1..n]$
 integer $x[0..n, 0..m]$

 for $i \leftarrow 0$ to n

 for $j \leftarrow 0$ to M

 if ($i \geq 0$ and $j = 0$)

$x[i, j] = T$

 else

 if ($i = 0$ and $j \neq 0$)

$x[i, j] = F$

 else if ($A[i] > j$) $x[i, j] = x[i-1, j]$

 else $x[i, j] = x[i-1, j] \vee x[i-1, j - A[i]]$

}

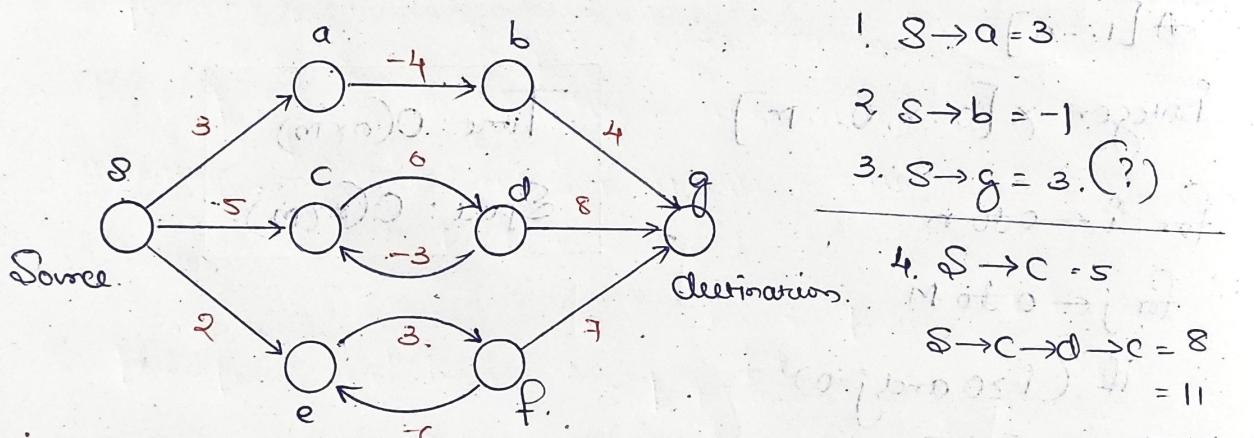
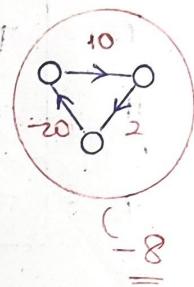
Time: $O(n \times m)$

Space: $O(n \times m)$.

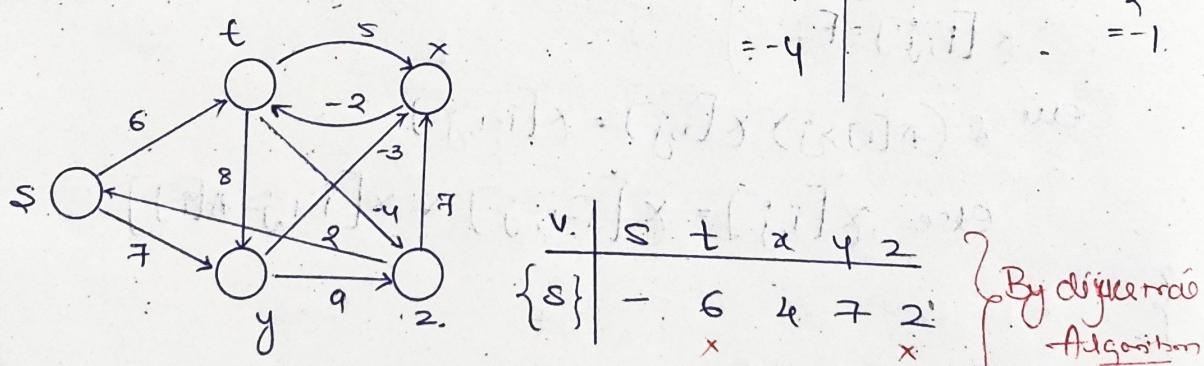
Base Case.

(8) Bellman Ford Algorithm (Single Source Shortest Path)

- (i) Dijkstra's Algorithm (greedy method) always works, provided the graph has no weight edges.
- (ii) If the graph has -ve weight edges but no -ve weight cycle then Dijkstra's Algorithm may/may not work.
- (iii) If the graph has -ve weight edges and no -ve weight cycle then Bellman Ford Algorithm always works correctly.
- (iv) If the graph has -ve weight cycle reachable from some other node then Dijkstra's algorithm won't work.



Homework:



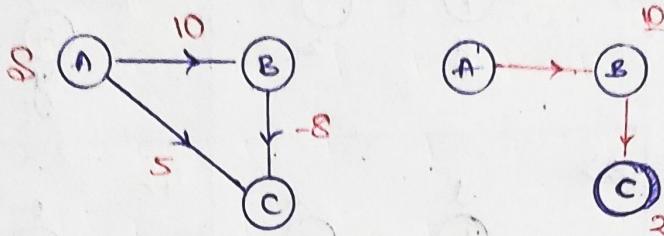
$s \rightarrow t$

$s \rightarrow y \rightarrow x \rightarrow t$ {Optimal Cost.
 $7 - 3 - 2 = 2$ }

Dijkstra's Algorithm fails to

find shortest path for few vertices from source.

- In dijkstra's algorithm (greedy), the relaxation process is carried out w.r.t vertices. The d-value once selected cannot be relaxed further.
- In Bellman Ford's algorithm, the relaxation is carried out w.r.t edges for multiple iterations.



* In a graph having n -vertices and any unrestricted path between any two pair of vertices cannot have more than $n-1$ edges without any cycle.

Algorithm Bellman-Ford (G, w, s)

{ Initialise - Single-Source (G, s)

for $i \leftarrow 1$ to $(|V[G]| - 1)$ do

do for each edge $(u, v) \in E[G]$

do Relax(u, v, w)

for each edge $(u, v) \in E[G]$

do if $d[u] > d[v] + w(u, v)$ then

then return false; detect negative cycle.

return True;

Time: $O(n \cdot e)$
 $\Rightarrow O(n \cdot e)$

Initialise - Single Source (G, s)

{ for each vertex $v \in V[G]$

do $d[v] \leftarrow \infty$

$\pi[v] \leftarrow \text{NIL}$

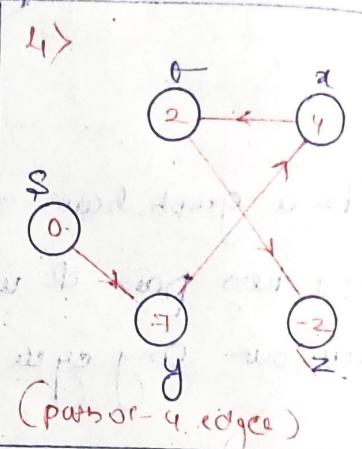
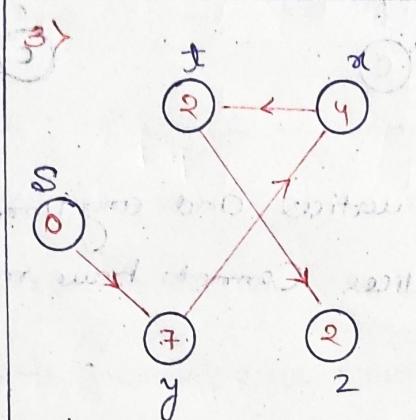
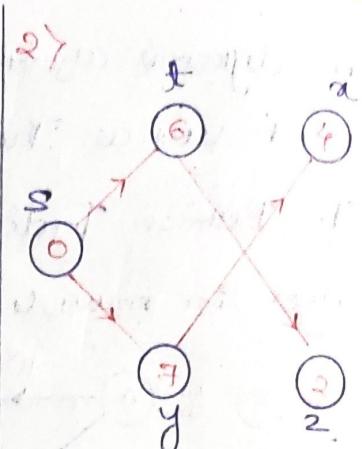
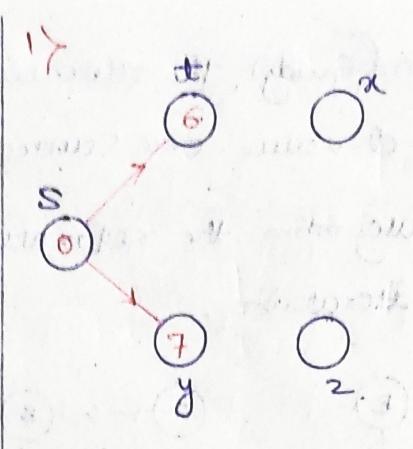
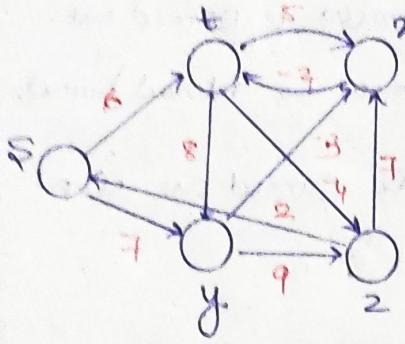
$d[s] \leftarrow 0$

Relax (u, v)

{ if $d[v] > d[u] + w(u, v)$

then $d[v] \leftarrow d[u] + w(u, v)$

$\pi[v] \leftarrow u$.



→ If graph has no negative weight cycle, reachable from source, then shortest paths are always determinable after completing (n-1) iterations of relaxation. And additional relaxations will not change the d-values.

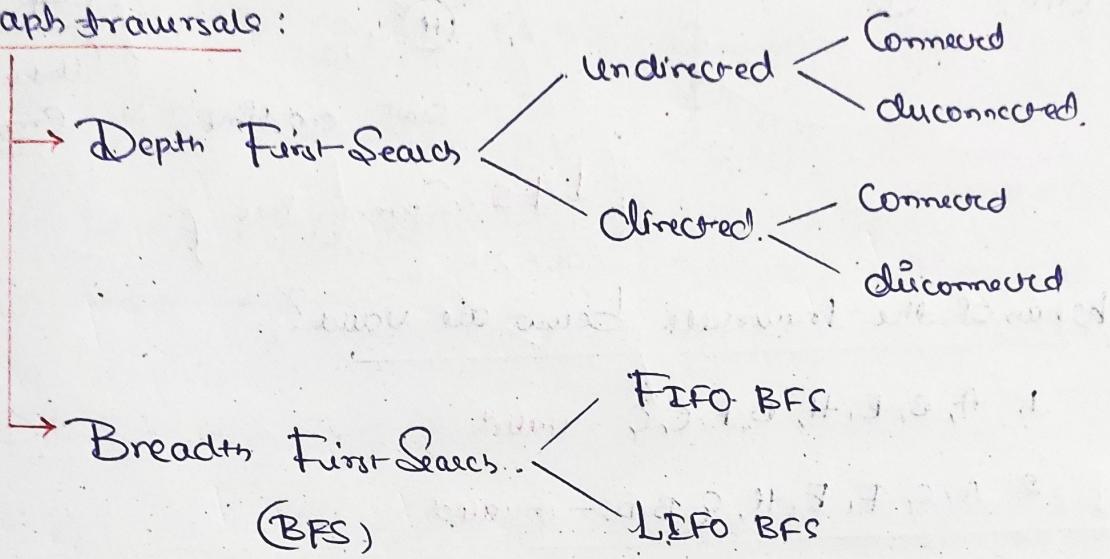
→ The time-complexity of B.F algorithm for a complete Graph having 'n' vertices is $O(n^3)$. {Complete Graph $e = O(n^2)$ }

Graph Techniques

21/8/2023

Traversal: visiting all the nodes of the tree/graph in a specified order, and processing the info only once.

Graph traversal:



I. DFS in undirected Graphs

(a) Connected Graphs:

Terminologies:

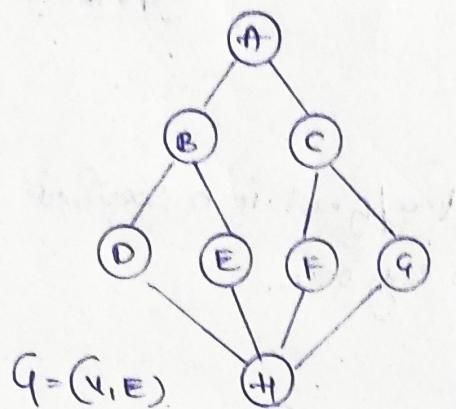
(i) Status of a Node:

- E-node: Exploring node (node which is currently being explored).
- Live-node: Node which is not fully explored (live nodes are stored in same Q.S.).
- Dead node: Node which is fully been explored.

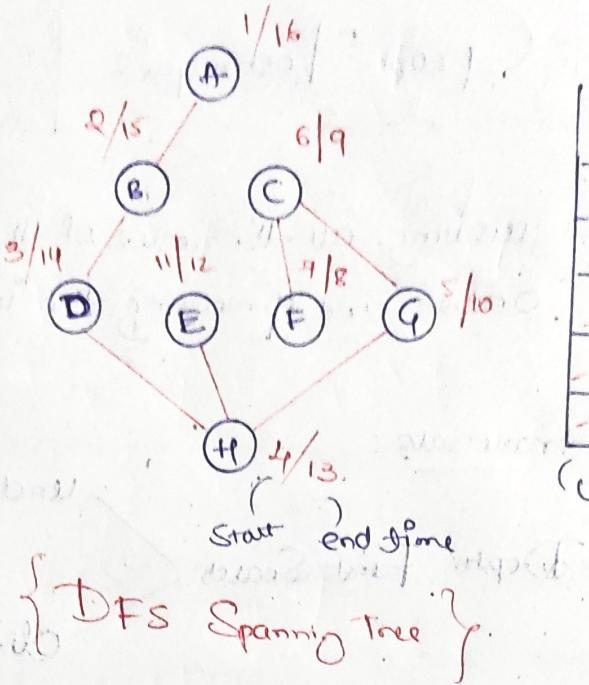
(ii) Timing-values associated with nodes during traversal:

Discovery-time: $d(x)$: The time at which the node is visited for the first time.

Finishing-time: $f(x)$: The time at which the node becomes dead node



$$G = (V, E)$$



| |
|---|
| E |
| G |
| H |
| D |
| B |
| A |

(Untw Stack
Empty)

DFS Spanning Tree

Which of the traversals below are valid?

1. A, B, E, H, D, F, C, G - valid

2. A, C, F, E, H, G, B, D - invalid

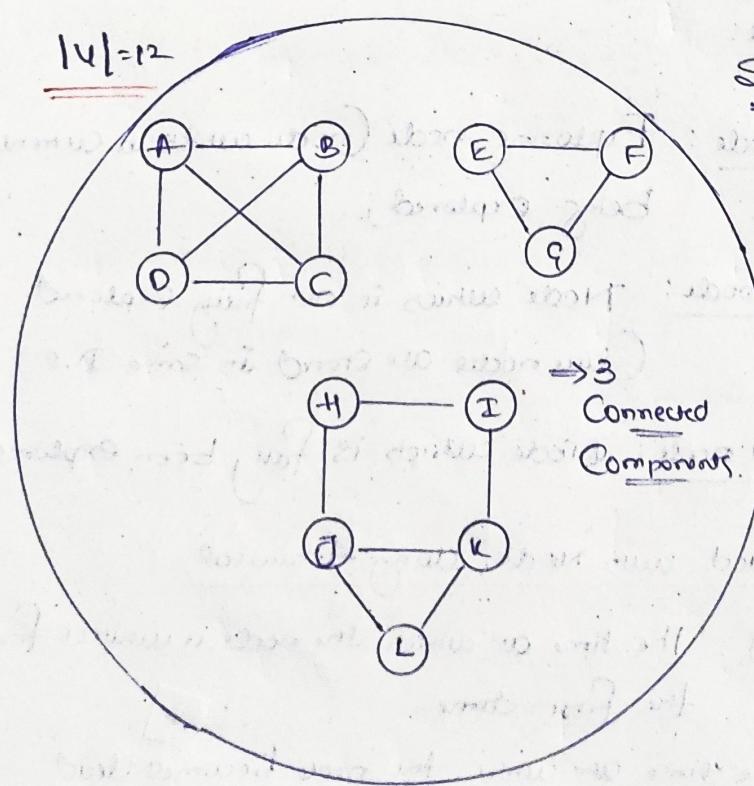
3. H, D, B, E, A, C, F, G - valid

4. H, F, C, B, A, D, E, G - invalid

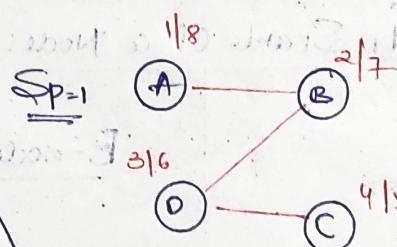
5. G, C, F, H, E, B, D, A - valid

(b) Disconnected / Disjoint Graphs (DFT)

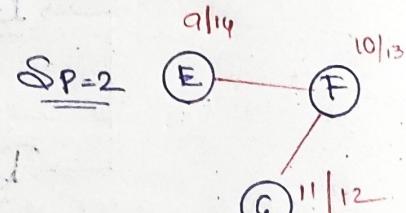
DFS Spanning Tree
↓ (forest)



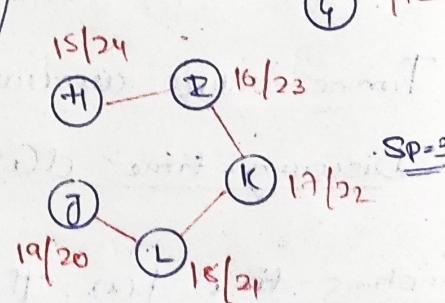
Connected Components



SP=1



SP=2



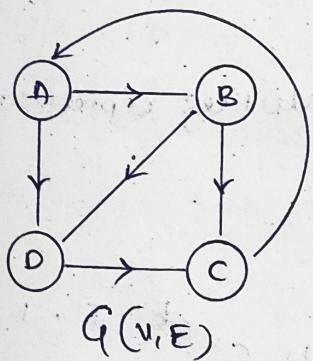
SP=3

Q1: Consider an undirected Graph with 4 vertices $\langle P, Q, R, S \rangle$

DFS is carried out generating the following d/f times.

| | P | Q | R | S |
|----|--------------------------|--------------------------|-------------------------|---|
| 1. | $\langle 3, 25 \rangle$ | $\langle 5, 18 \rangle$ | $\langle 8, 15 \rangle$ | $\langle 10, 12 \rangle$ - Connected |
| 2. | $\langle 12, 25 \rangle$ | $\langle 5, 10 \rangle$ | $\langle 6, 8 \rangle$ | $\langle 15, 20 \rangle$ - Disconnected |
| 3. | $\langle 8, 10 \rangle$ | $\langle 18, 22 \rangle$ | $\langle 3, 15 \rangle$ | $\langle 6, 12 \rangle$ - Disconnected $\langle RSP \rangle \langle Q \rangle$ |
| 4. | $\langle 18, 22 \rangle$ | $\langle 12, 15 \rangle$ | $\langle 8, 10 \rangle$ | $\langle 25, 30 \rangle$ - Disconnected 4 components |

II DFS in directed Graphs



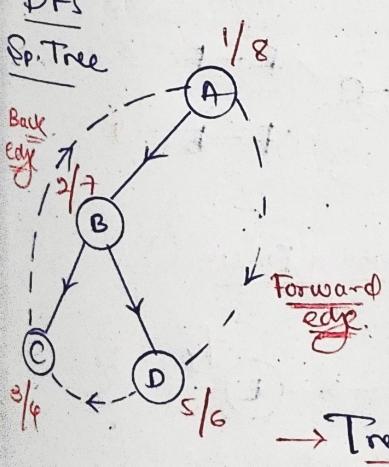
DFS when carried out on a directed graph leads to following type of edges:

1) Tree Edge: are part of DFS Spanning tree or forest.

2) Forward edge: leads from a node to its non child descender in the Spanning-tree.

3) Back edge: leads from a node to its ancestor

4) Cross edge: leads to an edge node which is neither ascending nor descending

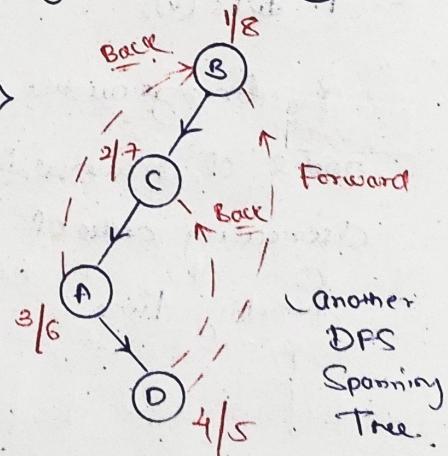


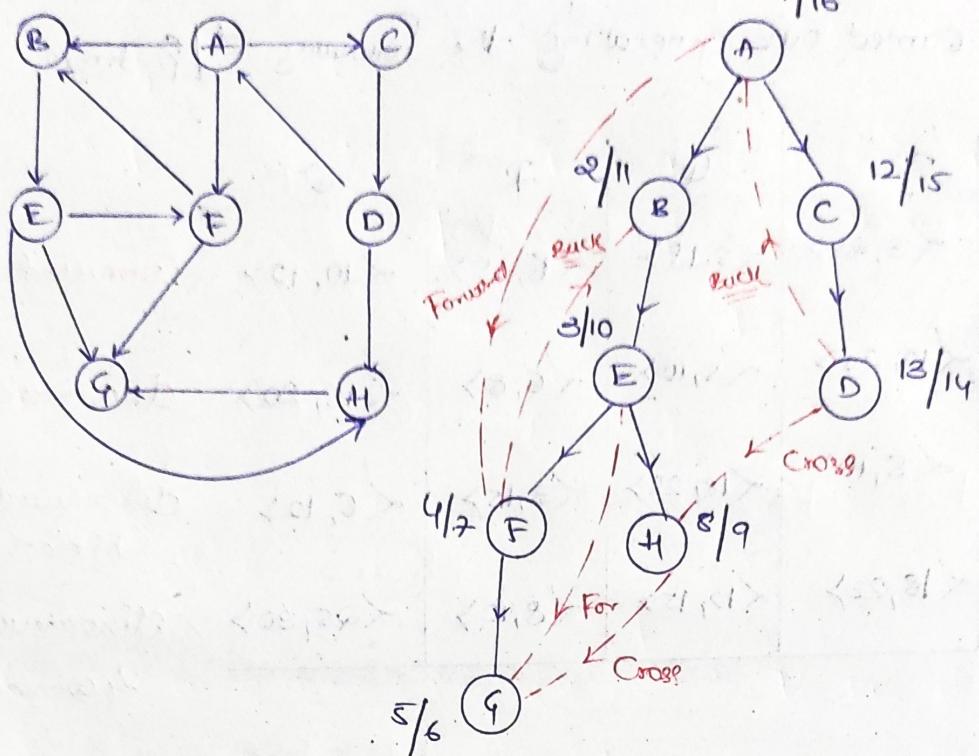
→ Tree edge: $\langle AB \rangle \langle BC \rangle \langle BD \rangle$

→ Forward edge: $\langle AD \rangle$

→ Back edge: $\langle CA \rangle$

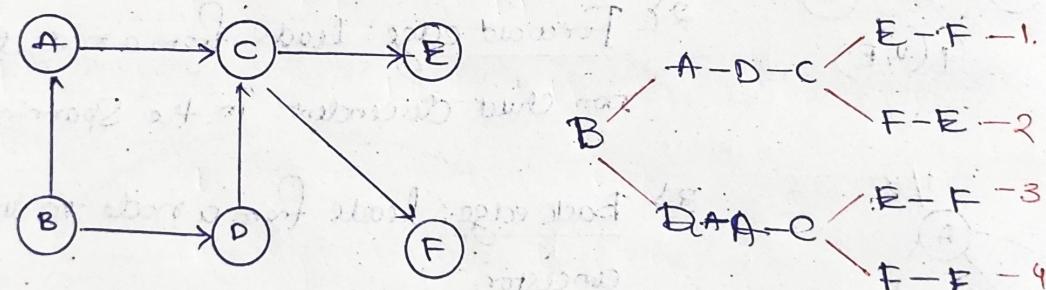
→ Cross edge: $\langle DC \rangle$





DFS in Directed Acyclic Graph

Topologically Sort: Linear order of the vertices representing the activities maintaining precedence.

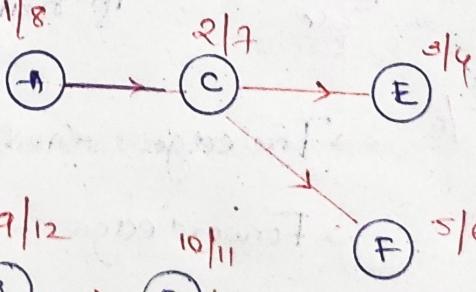


Algorithm Topo(G)

{
1. DFS(v);

2. Arrange all the

nodes of traversal in
decreasing order of
finishing time.

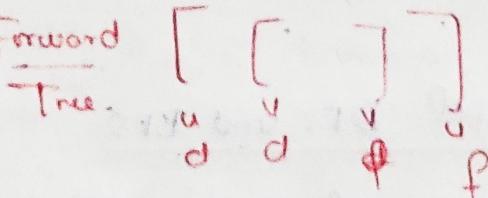


\Rightarrow B-D-A-C-F-E.

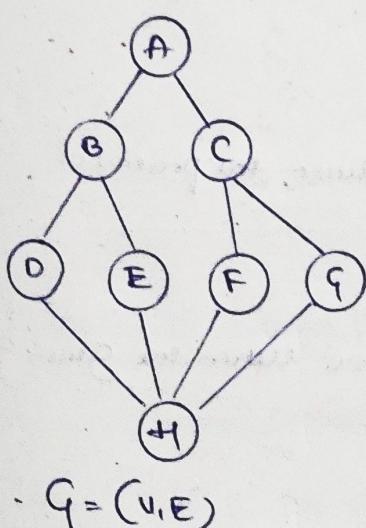
Parenthesization Theorem.

In any Depth-First Search of a (directed or undirected) graph $G = (V, E)$, for any two vertices u and v having an edge between them exactly one of the following three conditions holds:

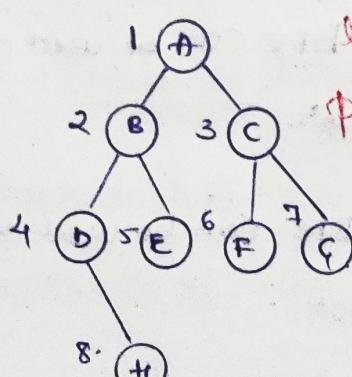
- I. The intervals $[d[u], f[u]]$ and $[d[v], f[v]]$ are entirely disjoint, and either u or v is a descendent of the other in the depth first forest. → Cross edge
- II. The interval $[d[u], f[u]]$ is contained entirely within the interval $[d[v], f[v]]$ and u is a descendent of v in a depth-first tree, or → Back edge
- III. The interval $[d[v], f[v]]$ is contained entirely within the interval $[d[u], f[u]]$ and v is descendent of u in a depth-first tree. → Forward



Breadth First Search (BFS)



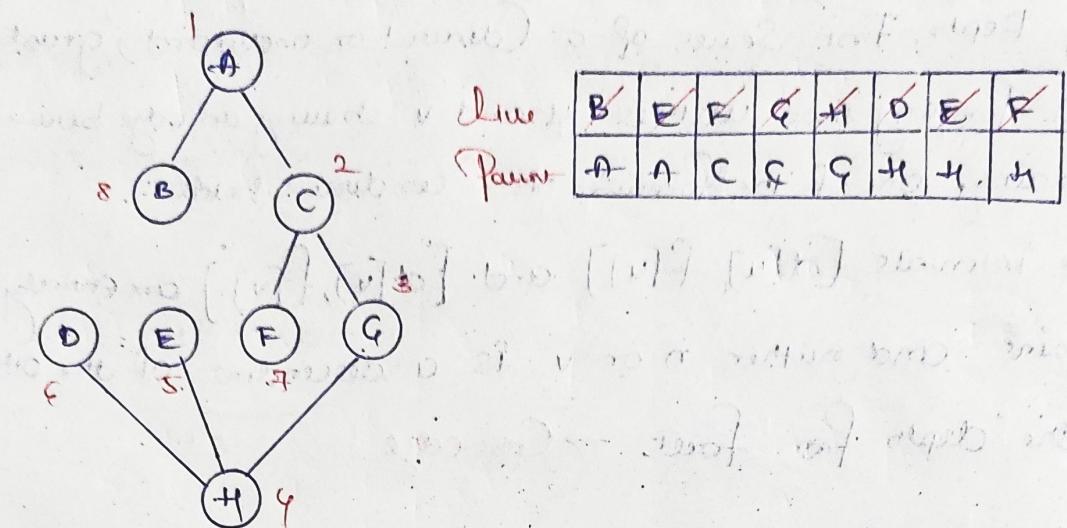
FIFO BFS : ABCDEFHG



Single Source Shortest Path.

{ BFS-Spanning Tree }

LIFO BFS : ACGHEDFB



Q1. Consider an undirected graph (unweighted). If BFS of G is done from a node ' x ' let $d(x,u)$ and $d(x,v)$ be the lengths of the shortest paths from x to u . If ' u ' is visited before ' v ', during the traversal then which is true?

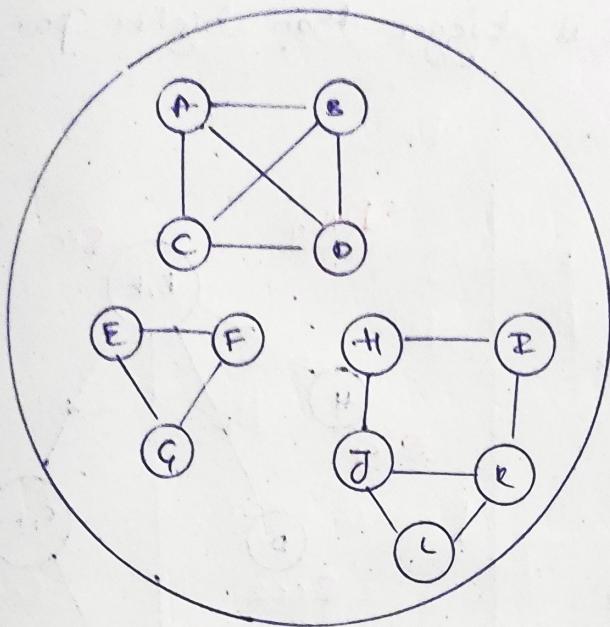
$$\rightarrow d(x,u) \leq d(x,v)$$

Applications of DFS and BFS

- ① Time complexity of DFS and BFS depends upon representation of Graph:
 - (i) Adjacency matrix: $O(n^2)$
 - (ii) Adjacency list: $O(|n|e)$
- ② Both DFS and BFS can be used to detect the presence of cycle in the Graph.
- ③ Both DFS and BFS can be used to know whether the given graph is connected or not.
- ④ Both DFS and BFS can be used to know whether the two vertices u and v are connected or not.
- ⑤ DFS is used to determine Connected, Strongly connected, biconnected components and articulation points.

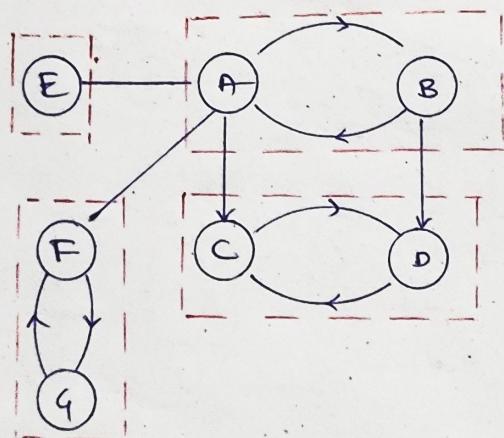
Connected Components : {Undirected Graphs}

→ Maximal Subgraph that is connected



Strongly Connected Components { Directed Graph }

Two nodes 'u' and 'v' of a directed graph are connected, if there is a path from 'u' to 'v' and a path from 'v' to 'u'. This relation partitions the vertex of 'X' into disjoint sets known as Strongly Connected Components. (Maximal)



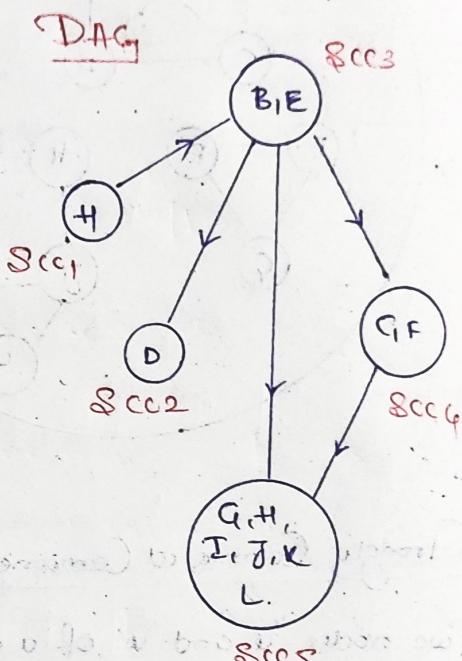
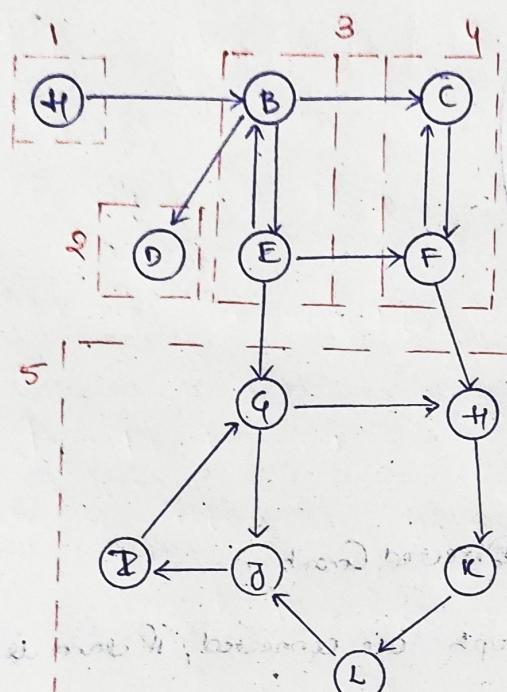
⇒ 4 Strongly Connected Components

$$\{A, B\} \{C, D\} \{F, G\} \{E\}$$

Properties Of Strongly Connected Components

- 1.) Every directed graph is a D.A.G of strongly connected components
- 2.) Let C and C' be distinct strongly connected components in directed graph $G = (V, E)$, let $u, v \in C$ and $u', v' \in C'$. Suppose that there is a path uvu' in G , then there cannot be a path $v'v$ in G .

3. If 'C' and 'C'' are strongly connected components of, and there is an edge from a node in C to a node in C', then the highest post number in C is bigger than highest post number in C'.



Q2) DFS is performed on a directed acyclic graph. $D(u)$ is discovery time and $f(u)$ is finishing time. Which is true for all edges (u,v) in the graph?

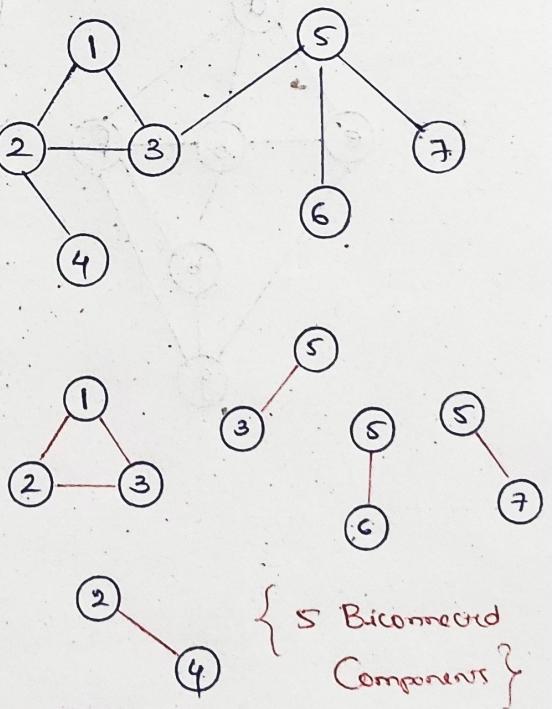
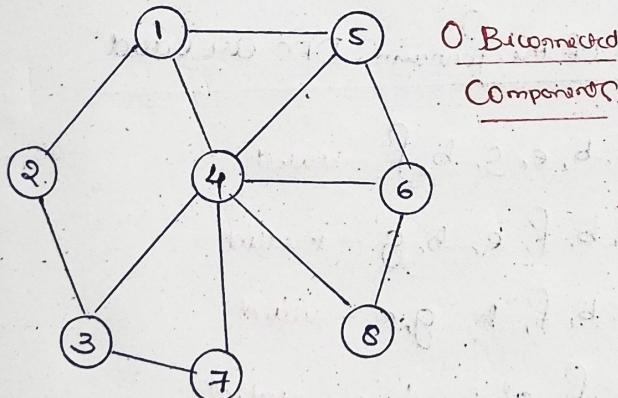
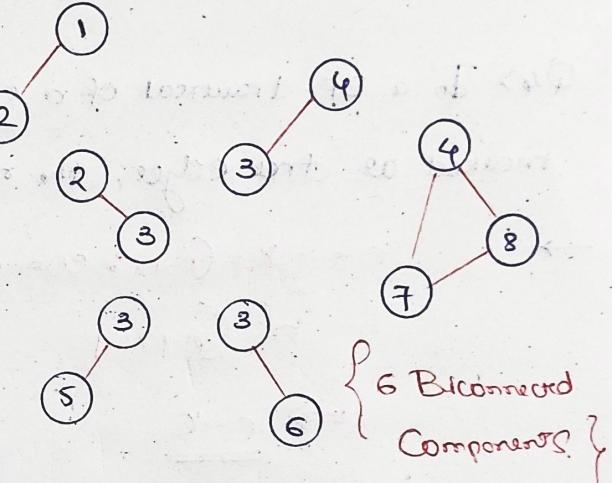
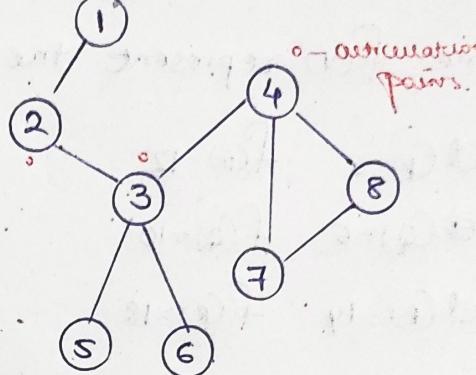
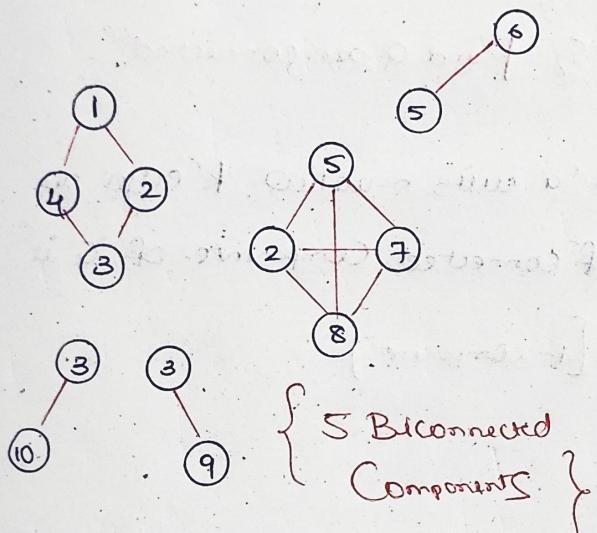
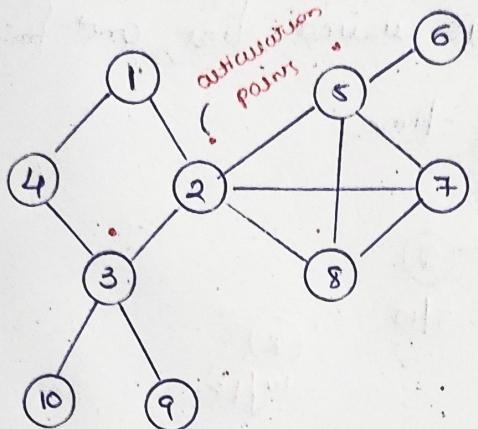
$$\rightarrow f[u] > f[v]$$

Articulation Points (cut vertex) and Biconnected Components

* Articulation Point: It is that vertex in the graph, the removal of which along with all its edges, partitions the graph into 2 or more non-empty components.

* A graph is said to be "bi-connected" if it does not contain any articulation point.

If the graph is not bi-connected than its maximal subgraph which is bi-connected is / bi-connected component.

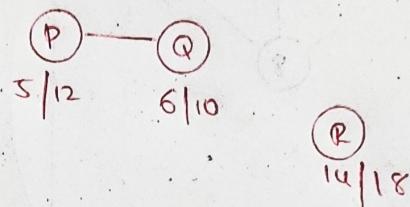


Q3) Consider the depth first search of an undirected graph with 3 vertices, P, Q and R. If discovery time $d(v)$ represent the time instant when the vertex 'v' is visited first, and finish time $f(v)$ represent the finishing time.

$$d(P)=5 \quad f(P)=12$$

$$d(Q)=6 \quad f(Q)=10$$

$$d(R)=14 \quad f(R)=18$$



→ There are 2-connected components, P and Q are connected.

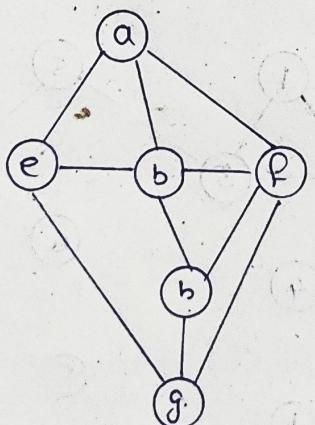
Q4) In a DF-traversal of a graph 'G' with n vertices; k edges are marked as free edges, the no. of connected components of 'G' is

$$\rightarrow n - k = (n-1) : \text{connected [1-component]}$$

$$n - (n-1) = 1$$

$$\Rightarrow \underline{\underline{n-k}}$$

Q5)



Which of the following DFS are valid

1. a, b, e, s, b, f - valid
2. a, b, f, e, b, g - invalid
3. a, b, f, b, g, e - valid
4. a, f, g, b, e - invalid

Sorting Techniques

22/2/2022

Classification of Sorting Techniques.

(i) Internal and External Sort

(ii) Comparison and non-Comparison based

(iii) Recursive and Iterative.

(iv) Inplace and not-in-place

(Space required is Merge sort $O(n)$)

generally $O(\log n)$ or

$O(\log n)$ atmost for recursion

Stack

Stack / space
required

(v) Stable, u/s Unstable.

Quick sort { quick sort }
Heap sort

↳ relative order of same-elements

are maintained.

Let $A[1..n]$ be an array of n -elements

Let i, j be two indices ($i \neq j$)

if ($A[i] == A[j]$) and if $A[i]$ precede $A[j]$ in the pre-sorted array

then the sorting method is stable if $A[i]$ precedes $A[j]$

in sorted list also.

| | | | | | | | | | | | | | |
|-----|--|---|---|---|---|---|---|----|---|---|---|---|---|
| For | <table border="1" style="border-collapse: collapse; width: 100%;"> <tr> <td style="width: 10px; text-align: center;">1</td><td style="width: 10px; text-align: center;">2</td><td style="width: 10px; text-align: center;">3</td><td style="width: 10px; text-align: center;">4</td><td style="width: 10px; text-align: center;">5</td><td style="width: 10px; text-align: center;">6</td></tr> <tr> <td style="text-align: center;">10</td><td style="text-align: center;">8</td><td style="text-align: center;">6</td><td style="text-align: center;">8</td><td style="text-align: center;">2</td><td style="text-align: center;">3</td></tr> </table> | 1 | 2 | 3 | 4 | 5 | 6 | 10 | 8 | 6 | 8 | 2 | 3 |
| 1 | 2 | 3 | 4 | 5 | 6 | | | | | | | | |
| 10 | 8 | 6 | 8 | 2 | 3 | | | | | | | | |

| | | | | | | | |
|---------------|---|---|---|---|----|---|----|
| Sorted Array. | <table border="1" style="border-collapse: collapse; width: 100%;"> <tr> <td style="width: 10px; text-align: center;">2</td><td style="width: 10px; text-align: center;">3</td><td style="width: 10px; text-align: center;">6</td><td style="width: 10px; text-align: center;">8</td><td style="width: 10px; text-align: center;">8</td><td style="width: 10px; text-align: center;">10</td></tr> </table> | 2 | 3 | 6 | 8 | 8 | 10 |
| 2 | 3 | 6 | 8 | 8 | 10 | | |

↳ stable

Parameters that influence the time complexity of comparison-based sort

Time-Complexity (comparison

based sort) = f (no. of comparisons,

Merge Sort

$\Theta(\log n)$

Swaps

Comparisons

(Inversion of
an array.)

no of swaps)

as a function of input (n)

$f(n^2, n \log n)$ Time = $O(n^2)$

Inversion of an Array

→ Let $A[1 \dots n]$ be an array, 'i' and 'j' be indices ($i \neq j$) if
 $(i < j \text{ and } A[i] > A[j])$ then the pair $\langle i, j \rangle$ is known as

Inversion of the array.

| | | | | | | |
|------|---|---|---|---|---|---|
| Arr: | 1 | 2 | 3 | 4 | 5 | 6 |
| | 8 | 9 | 4 | 5 | 1 | 2 |

$$\left. \begin{array}{l} \text{Swapping} \\ \{ \end{array} \right\} \quad \begin{aligned} &\langle 1,3 \rangle \langle 1,4 \rangle \langle 1,5 \rangle \langle 1,6 \rangle = 4 \\ &\langle 2,3 \rangle \langle 2,4 \rangle \langle 2,5 \rangle \langle 2,6 \rangle = 4 \\ &\langle 3,5 \rangle \langle 3,6 \rangle = 2 \\ &\langle 4,5 \rangle \langle 4,6 \rangle = 2 \\ &\hline &= 12 \end{aligned}$$

Q1) Given an array of size 'n' elements.

(a) What will be lower bound (minimum) no. of inversions

→ 0

(b) What is the upper bound (maximum) no. of inversions.

→ for n elements: $\frac{n(n-1)}{2} = O(n^2)$

Algorithm BUBBLE-SORT (A, n)

```

    {
        for Pass=n do 1. for "optimization"
            {
                int flag;
                for i<1 to (Pass-1)
                    flag=0;
                    {
                        if (A[i] > A[i+1]) inversion
                            {
                                Swap(A[i], A[i+1]);
                                flag=1;
                            }
                    }
                    if (flag==0) break;
                    (in first loop)
    }
  
```

Time Complexity

| | Comparisons | Swaps |
|------------|-------------|--------------------|
| Increasey | $n(n-1)/2$ | 0 |
| Decreasey. | $n(n-1)/2$ | $\frac{n(n-1)}{2}$ |

Time Complexity = $O(n^2)$

Optimized Bubble Sort (Punj)

- (i) Best Case: $O(n)$ - elements are in decreasing order.
(ii) Worst Case: $O(n^2)$ - decreasing order.

Let $T(n)$ represents the time complexity of (worst case)

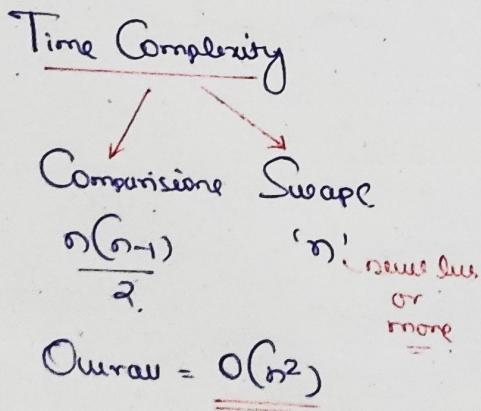
Bubble Sort:
$$T(n) = n + T(n-1) \Rightarrow O(n^2)$$

Best Case:
$$T(n) = n-1 + C \Rightarrow O(n)$$

Space Complexity = $O(1)$. } Inplace ✓
Stable ✓

Selection Sort

- Find the Smallest element in the list.
- Swap it with the value in the current its position.
- Repeat this process for all elements until the array is sorted.



Algorithm SELECTONSORT (A, n)

```

{ for int i <= 1 to n-1 do
  { min <= i;
    for j < i+1 to n
    { if (A[j] < A[min]) then
      { min <= j }
    }
    Swap (A[min], A[i]);
  }
}

```

Space Complexity: $O(1)$ } Inplace ✓
Stable ✓

* Selection Sort is the only sorting technique in Comparison based sorting that requires least no. of swaps ($O(n)$) in the worst case..

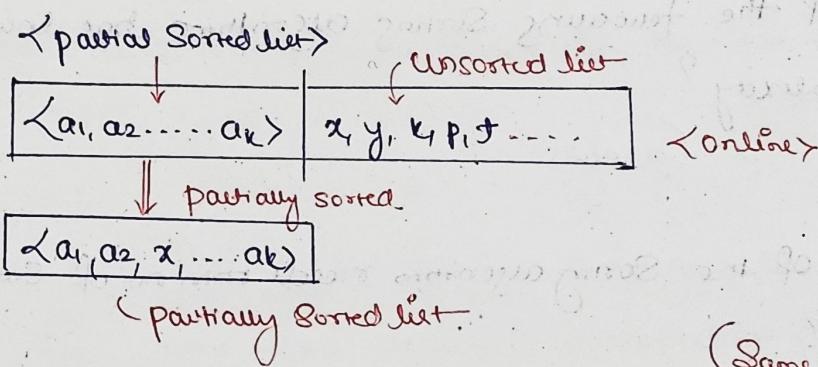
Sorting Techniques

23/8/2023

{Continued}

Insertion Sort

- * Effective for small data sets.
- * If the input list is pre-sorted, then it takes time of $O(d)$ $(O \leftrightarrow \Omega)$
 $d = \text{no. of inversions}$
- * It is on-line.
- * Each pass of insertion sort removes an element from the input data set, inserts it into the correct position for the already sorted list.
- * It does not require all the elements to be sorted to be available at the time of sorting, unlike other sorting techniques.



Algorithm INSERTION-SORT (A, n)

```

    { for j ← 2 to n
      { key ← A[j];
        i ← j - 1;
        while (i ≥ 0 and A[i] > key)
          { A[i + 1] ← A[i];
            i ← i - 1;
          }
        A[i + 1] ← key;
      }
  }
```

Time Complexity

- ① Best Case: $O(n)$ - Increasing order
(Same as Optimized Bubble Sort, therefore no need of flag)
 $O\text{-swaps, } O\text{-Comparisons}$
- ② Worst Case: $n^2 + n^2 = O(n^2)$ - Decreasing order

Radix Sort (non-comparison based sorting).

Base : $\{r=10\}$, each number is stored in buckets of numbers 0-9
 → for base 10

| | 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 | 10 |
|---------|-----|----|-----|-----|-----|-----|-----|-----|-----|-----|
| Arr. | 728 | 64 | 99 | 83 | 124 | 4 | 7 | 65 | 333 | 545 |
| Pass 1: | 723 | 83 | 323 | 64 | 124 | 4 | 65 | 545 | 7 | 99 |
| Pass 2: | 4 | 7 | 723 | 124 | 333 | 545 | 64 | 65 | 83 | 99 |
| Pass 3: | 4 | 7 | 64 | 65 | 83 | 99 | 124 | 333 | 545 | 723 |

Time Complexity : $O(d \cdot (n+b)) \sim O(d \cdot n)$

base

no of bins/digit

of layer-number

Q1) Which of the following sorting algorithms has lowest worst case complexity?

→ Merge sort (ologn)

Q2) Which of the sorting algorithms needs min no. of swaps and is in-place?

→ Selection sort.

Q3) What would be the worst case complexity of insertion sort if the inputs are restricted to permutations of 1 to n with at most 'n' inversions?

→ Time : $O(n+d)$, $d = \text{no of inversions}$
 $d \leq n$
 $\underline{O(nm)} = \underline{O(n)}$

Q4) Let 'S' be the sorted array of 'n' integers and T(n) denote the time taken for most efficient algorithm to determine if there are 2 elements in the array with sum ≤ 1000 .

Elements in the array with sum ≤ 1000 . → Since it's sorted array, we can just compare

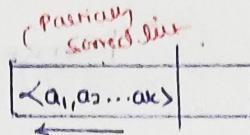
Fig 2 or last 2 elements whose sum is less than $O(1)$

Q5) The traditional insertion sort to sort an array can be of n elements. Uses linear search to identify the position where an element is to be inserted into already sorted part of the array, if instead binary search is used to identify the position of newly inserted element then worst case complexity will be order of $O(\log n)$.

$$W.C = n \times n^2 = O(n^3)$$

$$W.C = n \log n \cdot n^2$$

$$W.C = n^2 \log n = O(n^2 \log n)$$



If worst case no. of comparisons are n , then the answer will be $O(n \log n)$

Q6) The worst case running time of Insertion Sort, merge sort, and Quicksort respectively are:

$$\rightarrow O(n^2), O(n \log n), \text{ and } O(n^2)$$

Q7) You have m lists, each consisting of integers sorted in ascending order merging these lists into a single sorted list will take time

\rightarrow

Q8) If we use Radix Sort to sort n integers in the range $(n^{k/2}, n^k)$ for some $k > 0$ which is independent of n , the time taken would be $O(n \log n)$. $O(d \log n)$ $\log n^k$

$$d = k \log n \\ \therefore O(n \log n)$$

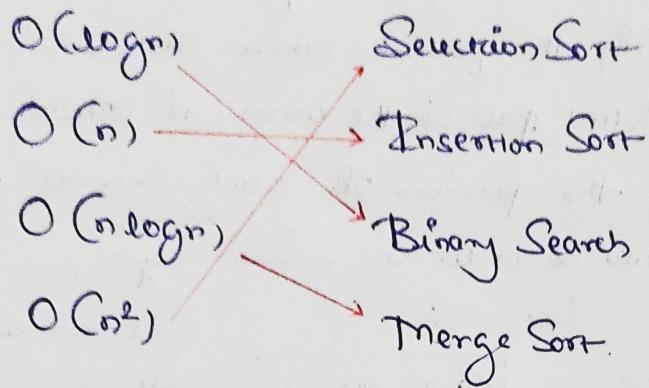
Q9) Following algorithm (a) can be used to sort n integers in the range $[1 \dots n^3]$ in $O(n)$

\rightarrow Radix Sort

Q10) For merging two sorted lists of size m and n into a sorted list of size $m+n$, we require comparisons of

$$\rightarrow O(m+n)$$

Q11) Match the following



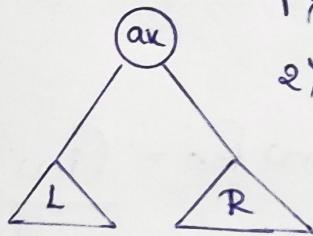
Q12) Assume that the algorithms considered here sort the input sequence in ascending order. If the input is already in ascending order, which of the following is True?

- I. Quicksort runs in $\Theta(n^2)$ time.
 - II. Bubble sort runs in $\Theta(n^2)$ time.
 - III. Merge sort runs in $\Theta(n)$ time.
 - IV. Insertion sort runs in $\Theta(n)$ time.
- $\{$ II and IV are true $\}$

Heap Algorithms

24/8/2023

Definition: A "heap" is a complete binary tree with the property that the value at each node is at least as large as the values at its children (if they exist).



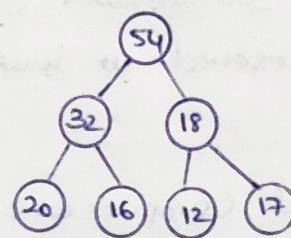
1) $ak \geq |L, R|$ — Max-heap

2) $ak \leq |L, R|$ — Min-heap.

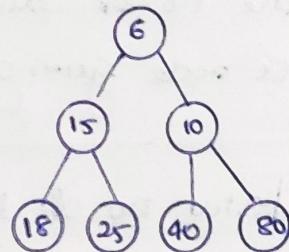
3) $|L| < ak |R|$

Binary Search Tree.

(need not be complete)



Max Heap

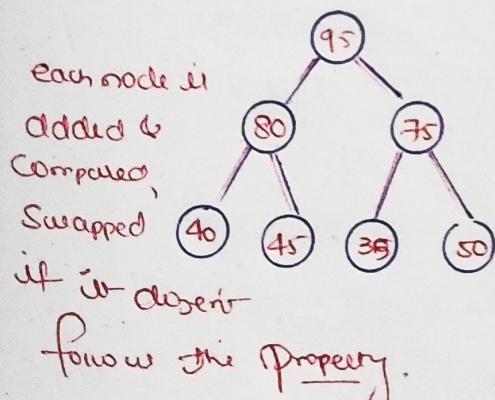


Min Heap

Heap Construction:

I. Insertion Method: — Insert one element at a time starting from an empty tree.

eg: $\langle 40, 80, 35, 95, 45, 50, 75 \rangle$



Best Case: decreasing order: $O(n)$

Worst Case: increasing order: $O(n \log n)$

Procedure INSERT (A, n)

integer $i, j, n;$

$j \leftarrow n; i \leftarrow \lfloor n/2 \rfloor$ item $\leftarrow A(0)$

while ($i > 0$ and $A(i) < item$) do

$A(j) \leftarrow A(i)$ // move parent down.

$j \leftarrow i; i \leftarrow \lfloor i/2 \rfloor$

repeat

$A(j) \leftarrow item;$ // a place for $A(n)$ is found

end INSERT

for $i \leftarrow 2$ to n do

Call INSERT(A, i)

repeat;

Time Complexity:

- Max no of nodes at level ' i ' = 2^{i-1} of a binary tree.
- The no. of level comparisons (movements) for = $(i-1)$ a node getting inserted at level ' i '.
- Total no. of level Comparisons for (max) = $(i-1). 2^{i-1}$ nodes at level ' i '.
- Time = $T(n) = \text{No of Comparisons movements} = \sum_{i=1}^k (i-1). 2^{i-1}$ for all nodes at all levels ($1 \dots k$)

$$\sum_{i=1}^k (i-1) 2^{i-1} = \frac{1}{2} \left[\sum_{i=1}^k i \cdot 2^i - \sum_{i=1}^k 2^i \right]$$

$$\Rightarrow \frac{1}{2} \left[(k-1)2^{k+1} + 2 - (2^{k+1} - 2) \right]$$

$$\Rightarrow \frac{1}{2} \left[k \cdot 2^{k+1} - 2^{k+1} + 2 - 2^{k+1} + 2 \right]$$

$$\Rightarrow k \cdot 2^k - 2 \cdot 2^k + 2$$

$$\Rightarrow n \log n - 2n + 2$$

Let $n = 2^k$

$k = \log n$.

$$T(n) \Rightarrow \boxed{O(n \log n)}$$

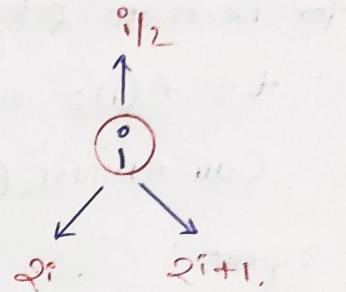
II Insert Operation

Gives a heap with n -elements, the time complexity to insert an element into it is $O(\log n)$

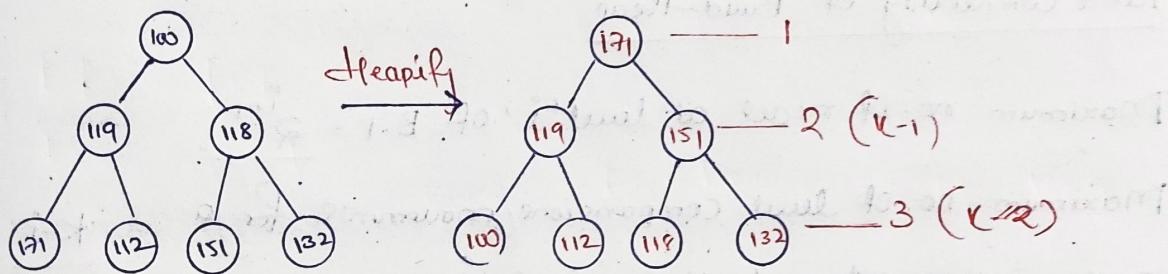
II Build heap / Heapify

→ Tree already exists

→ Know by law we can adjust the nodes.



| A: | 1 | 2 | 3 | 4 | 5 | 6 | 7 |
|----|-----|-----|-----|-----|-----|-----|-----|
| | 100 | 119 | 118 | 121 | 112 | 151 | 132 |



Procedure Adjust(A, i, n)

integer i, j, n;

= $j \leftarrow 2^*i$, item $\leftarrow A(i)$

while ($j \leq n$) do

if ($j \leq n$ and $A(j) < A(j+1)$) then

$j \leftarrow j+1$ // j points to larger child

end if

if ($item \geq A(j)$) then

exit // a position for item is found

else

$A(Lj/2) \leftarrow A(j)$

$j \leftarrow 2^*j$

endif

repeat

$A(Lj/2) \leftarrow item$

end Adjust

// move the larger child up a level.

Procedure HEAPSORT (A, n)

1. A[1:n] contains elements to be sorted.

Call HEAPIFY (A, n)

for $i \leftarrow n$ do 2 by -1 do

$t \leftarrow A(i); A(i) \leftarrow A(1); A(1) \leftarrow t$

Call ADJUST (A, 1, i-1)

repeat

end HEAPSORT

Time Complexity of Build-heap

→ Maximum no. of nodes at level ' i ' of B.T = 2^{i-1}

→ Maximum no. of level comparisons/movements for a $= k-i$ node getting adjusted at any level ' i '

→ For all nodes (max) no. of comparisons = $(k-i).2^{i-1}$

→ Total time = Sum of all level comparisons for all nodes

$$\text{at all leaves nodes or all levels } (1 \dots k) = T(n) = \sum_{i=1}^k (k-i).2^{i-1}$$

$$T(n) = \sum_{i=1}^k (k-i) 2^{i-1}$$

$$= \frac{1}{2} \left[\sum_{i=1}^k k \cdot 2^i - \sum_{i=1}^k i \cdot 2^i \right] = \frac{1}{2} \left[K(2^{k+1} - 2) - ((k+1)2^{k+1} + 2) \right]$$

$$= \frac{1}{2} \left[k \cdot 2^{k+1} - 2k - k \cdot 2^{k+1} + 2^{k+1} - 2 \right]$$

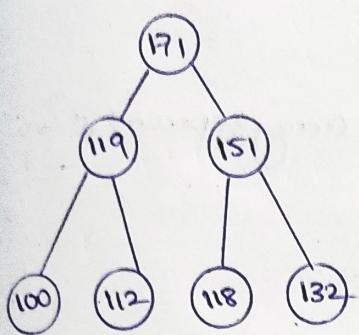
$$= 2^k - k - 1$$

$$\Theta = 2^k$$

$$\Rightarrow T(n) = \boxed{n \cdot \log n - 1} = \underline{\Theta(n)}$$

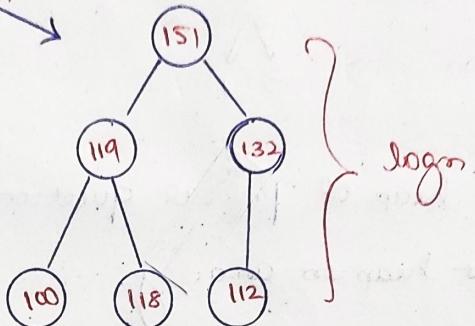
Delete-operations in a heap: deleting the root.

→ Swap Root ($A[1], A[n]$) }
 → adjust ($A[1]$) }
 logn



Time = $O(\log n)$

check



logn

Heap Sort

Procedure HEAPSORT (A, n)

// $A[1..n]$ contains elements to be sorted:

Call HEAPIFY (A, n)

for $i \leftarrow n$ to 2 by -1 do

$t \leftarrow A[i]; A[i] \leftarrow A[1]; A[1] \leftarrow t$

Call Adjust ($A, 1, i-1$);

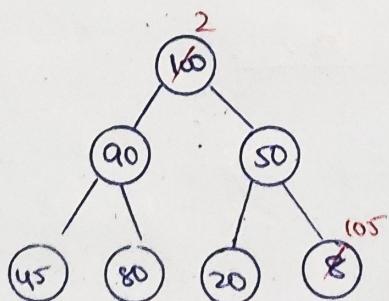
repeat

End HEAPSORT

Time Complexity

= $O(n \log n)$

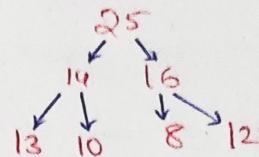
→ Given a heap with n -elements, the time complexity to perform the operation of inc/dec key is $O(\log n)$



logn

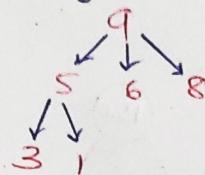
Q1) Which array representation is valid binary max-heap?

→ $\langle 25, 14, 16, 13, 10, 8, 12 \rangle$



Q2) Which one is valid 3-ary Maximum-heap array representation?

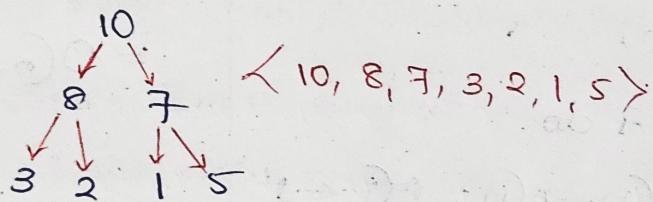
→ $\langle 9, 5, 6, 8, 3, 17 \rangle$



Q3) To the valid heap of previous question insert elements $\langle 7, 2, 10, 14 \rangle$. Indicate the result heap in an array.

Q4) Level order traversal of a binary max-heap generated $\langle 10, 8, 5, 3, 2 \rangle$. To this heap Insert $\langle 1, 7 \rangle$. What is the resultant level order traversal?

→



Q5) In a Binary Max-heap with n elements, Smaller element can be found in the time of $O(n)$.

→ Convert Max-heap to min-heap \Rightarrow $O(n)$

Q6) Given binary heap with ' n ' elements and it is required to insert n more elements not necessarily one after another into this heap. Total time for this operation is n .

$$\frac{'n'}{2} + 'n' \\ (2n) = \text{Heapify}$$

Q7) Given Binary Heap in array with the smaller at the root, the i^{th} Smaller element can be found in the time complexity of.

\rightarrow "Min-Heap"

Traditional Approach,

= T dive operations.

$O(\log n)$

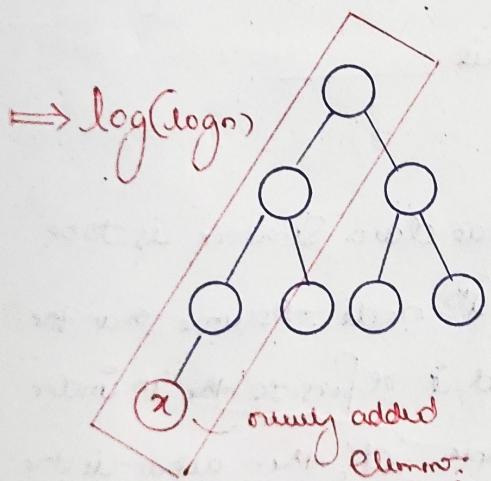
Non traditional approach,

$(2^{\lceil \log n \rceil})$ - because i^{th} Smallest
cannot lie beyond
 $2^{\lceil \log n \rceil}$ level.

= $O(6)$

\therefore our search will be
limited (constant)

Q8) Consider a binary heap in an array with n elements. It is desired to insert an element into the heap. If a binary search is performed along the path from the newly inserted element to the root then no. of Comparisons made is order of $\log \log n$



Q9) The approximate no. of elements that can be sorted in $O(\log n)$ time using heap sort is $O\left(\frac{\log n}{\log \log n}\right)$

* Should be less than $\log n$.

{ with $n/\log n$ elements

$$\text{Time} = [\log n \times \log \log n]$$

Proof \rightarrow P.T.O

No. of elements
will be \leq

No. of elements = 1
Time = O(1)

elements $\rightarrow \Theta \rightarrow n^2 \log n$

$$\leftarrow \frac{\log}{\log \log n} \rightarrow \left[\frac{\log n}{\log \log n} * \log \left(\frac{\log n}{\log \log n} \right) \right]$$

$$\frac{\log}{\log \log n} (\log \log n - \log \log \log n)$$

$$\log - \left(\frac{\log}{\log \log n} * \log \log \log n \right)$$

$$= O(\log n) \therefore \underline{\text{Hence proved!}}$$

H.W

Q10) Given $\lceil \log n \rceil$ sorted lists each having $\lfloor n/\log n \rfloor$ elements.

The time complexity to merge the given lists into single sorted list, using heap data structure is _____.

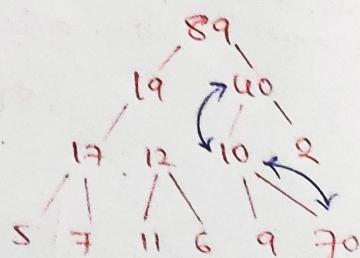
Q11) An operator $\text{delete}(i)$ for a binary heap data structure is to be designed to delete the item in the i^{th} -node. Assume that the heap is implemented in an array and i refers to the i^{th} index of the array. If the heap tree has depth ' d ', then what is the time complexity to re-fit the heap efficiently after the removal of the element?

$\rightarrow O(d)$ but not $O(1)$

Q12) The minimum number of inter changes needed to convert the array into max-heap is

$\langle 89, 19, 40, 17, 12, 10, 2, 5, 7, 11, 6, 9, 70 \rangle$

$\rightarrow \underline{2}$



Q13) An array of integers of size n can be converted into heap by adjusting the heaps rooted at each internal node of the complete binary tree starting at the node $\lfloor (n-1)/2 \rfloor$ and doing this adjustment upto the root node (root node is at index 0) in the order $\lfloor (n-1)/2 \rfloor, \lfloor (n-3)/2 \rfloor, \dots, 0$. The time required to construct a heap in this manner is $O(n)$ \rightarrow Build Heap (heapsity = $O(n)$).

Q14) An array X of n distinct integers is interpreted as a complete binary tree. The index of the first element of the array is 0. If only the root node does not satisfy the heap property, the algorithm to convert the complete binary tree into a heap has the best asymptotic time complexity of. $O(\log n)$

Q15) Consider a complete binary tree where the left and right subtrees of the root are max-heaps. The lower bound for the no. of operations to convert the heap tree to a heap is. $\Omega(\log n)$

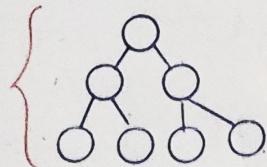
Q16) Consider a max-heap represented by the array:

$$\begin{array}{c} \langle 40, 30, 20, 10, 15, 16, 17, 8, 4 \rangle \\ \hline 1 & 2 & 3 & 4 & 5 & 6 & 7 & 8 & 9 \end{array}$$

Assume that a value is inserted into heap, after the new heap is:

$$\rightarrow \langle 40, 35, 20, 10, 30, 16, 17, 8, 4, 15 \rangle$$

Q17) The minimum possible min-heaps containing each value from $\{1, 2, 3, 4, 5, 6, 7\}$ exactly once is 80



$$[6C_3 \times 2! \times 2!] = 80$$

$$\left| \begin{array}{l} T(n) = (n-1)C_K * T(K) * T(n-K-1) \\ K = \text{no. of nodes in left subtree} \\ T(7) = 6C_3 * T(3) * T(3) \end{array} \right.$$

Q18) Consider the following statements:

- I. The smallest element in a max-heap is always at least node.
- II. The second largest element in a max-heap will always be a child of root node.
- III. A max-heap can be constructed from binary search tree in $O(n)$ time.
- IV. A binary search tree can be constructed from a max-heap in $O(n)$ time.

Which of the above statements are true?

- I, II and III are true.
- Q19) Let H be a binary min-heap consisting of n -elements implemented as an array. What is the worst case time complexity of an optimal algorithm to find the maximum element in H ?

→ $\Theta(n)$

Recursion tree method for solving D&C recurrence

$$(i) T(n) = a \cdot T(n/b) + f(n)$$

Back Substitution
Master method
Recursion tree

$$(ii) T(n) = T(\alpha n) + T((1-\alpha)n) + f(n)$$

Recursion tree

$$(iii) T(n) = T(\alpha n) + T(\beta n) + T(\gamma n) + f(n)$$

Recursion tree

Note:

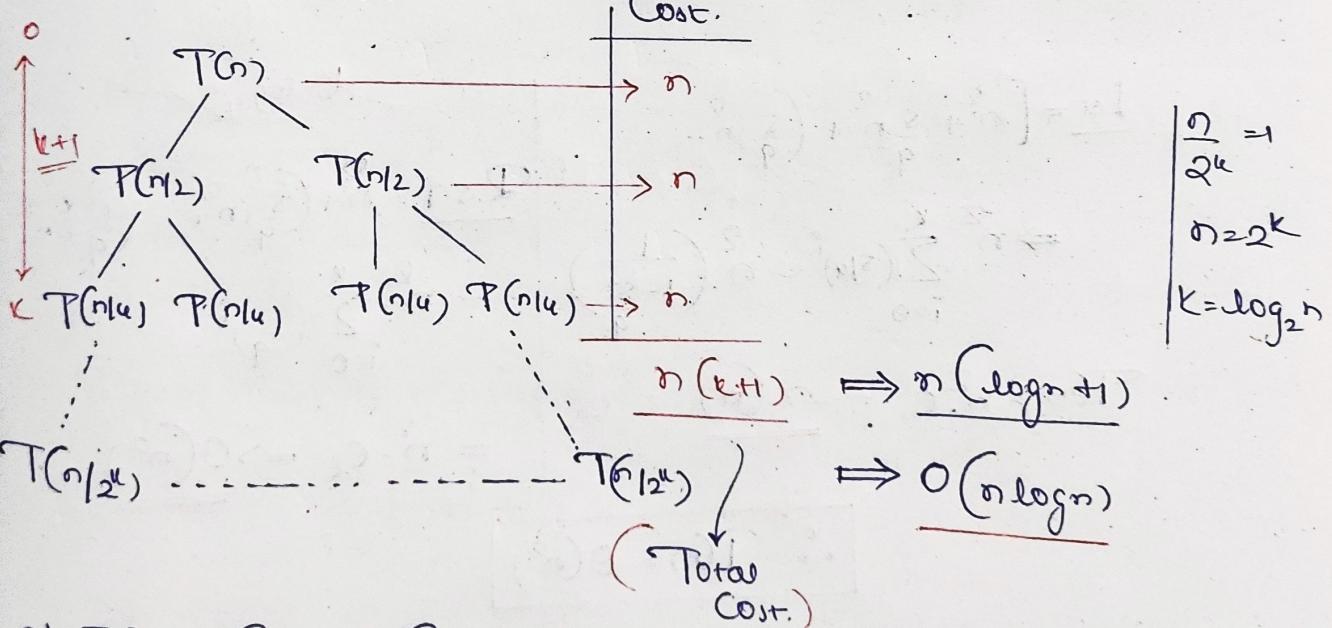
Back Substitution — Order

Master method — Order

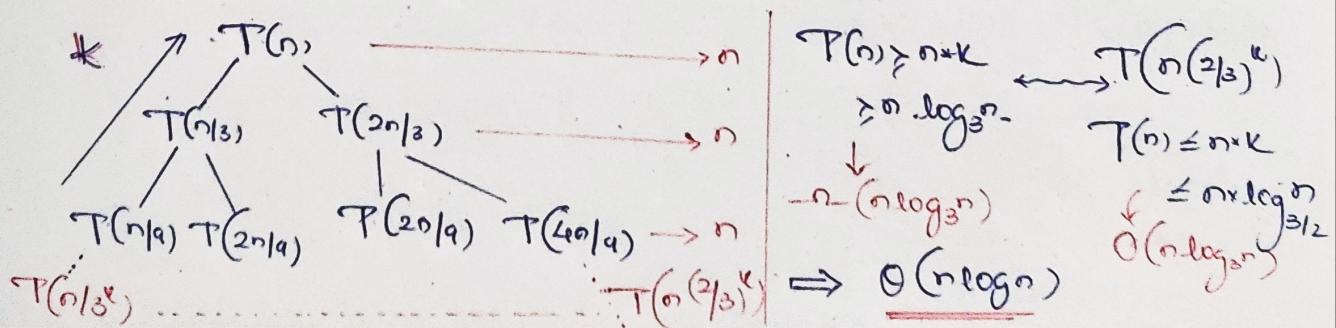
Recursion tree — Order.

Value
order. } What
we get
using each method of
Solving recurrence.

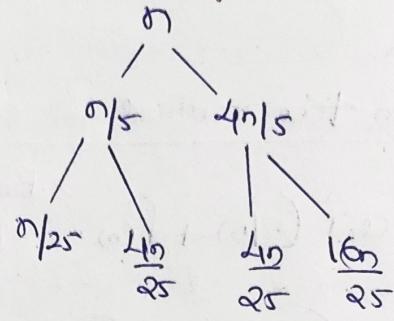
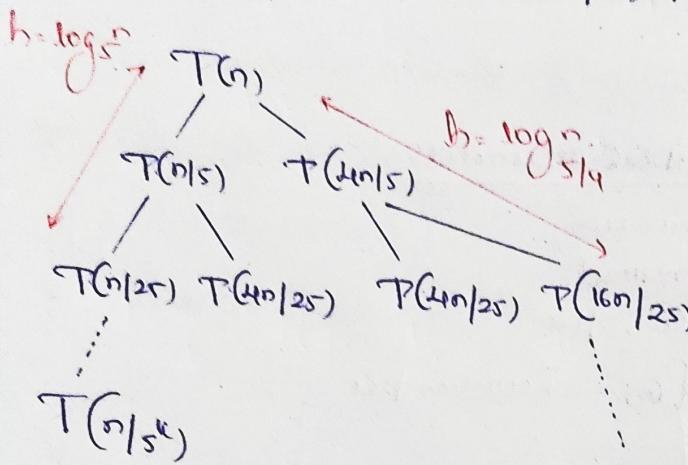
$$1) T(n) = 2 \cdot T(n/2) + n.$$



$$2) T(n) = T(n/3) + T(2n/3) + n.$$



$$3) T(n) = T(n/5) + T(4n/5) + n$$



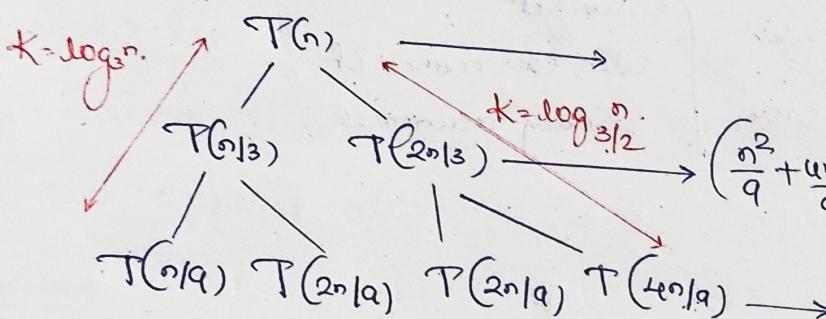
$$T(n) \geq n \log_5 n - \alpha(n \log_5 n)$$

$$T(n) \leq n \log_{5/4} n$$

$$\Theta(n \log n)$$

$$\Rightarrow \Theta(n \log n)$$

$$4) T(n) = T(n/3) + T(2n/3) + n^2$$



$$\begin{aligned} & \left(\frac{5}{9}\right)^0 n^2 \\ & \sum_{i=0}^{\infty} \left(\frac{5}{9}\right)^i n^2 = \frac{n^2}{1 - \frac{5}{9}} = \frac{n^2}{\frac{4}{9}} = \frac{9}{4} n^2 \end{aligned}$$

$$\text{L.R.T} = \left[n^2 + \frac{5}{9} n^2 + \left(\frac{5}{9}\right)^2 n^2 \dots \right]$$

$$\Rightarrow n^2 \sum_{i=0}^k \left(\frac{5}{9}\right)^i - n^2 \left(\frac{1}{1 - \frac{5}{9}}\right)$$

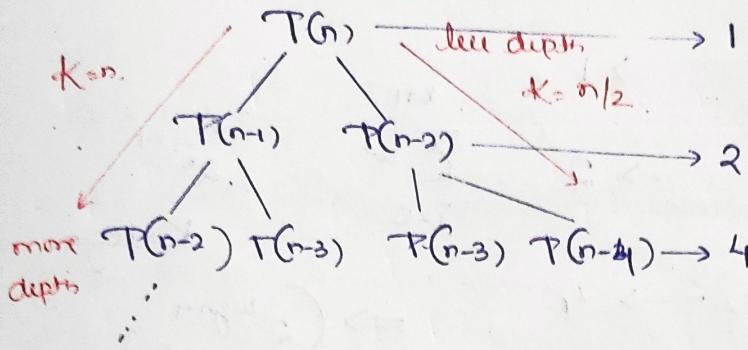
$$T(n) \geq n^2 \cdot C = \underline{\Omega}(n^2)$$

$$k = \log_{3/2} n$$

$$\begin{aligned} \text{R.S.T} &= n + \left(\frac{5}{9}\right) n^2 + \dots + \left(\frac{5}{9}\right)^k n^2 \\ &= n \cdot \sum_{i=0}^k \left(\frac{5}{9}\right)^i \\ &= n^2 \cdot C_2 \Rightarrow \underline{\Omega}(n^2) \end{aligned}$$

$$\therefore T(n) \leq \Theta(n^2)$$

$$57. T(n) = T(n-1) + T(n-2) + 1$$



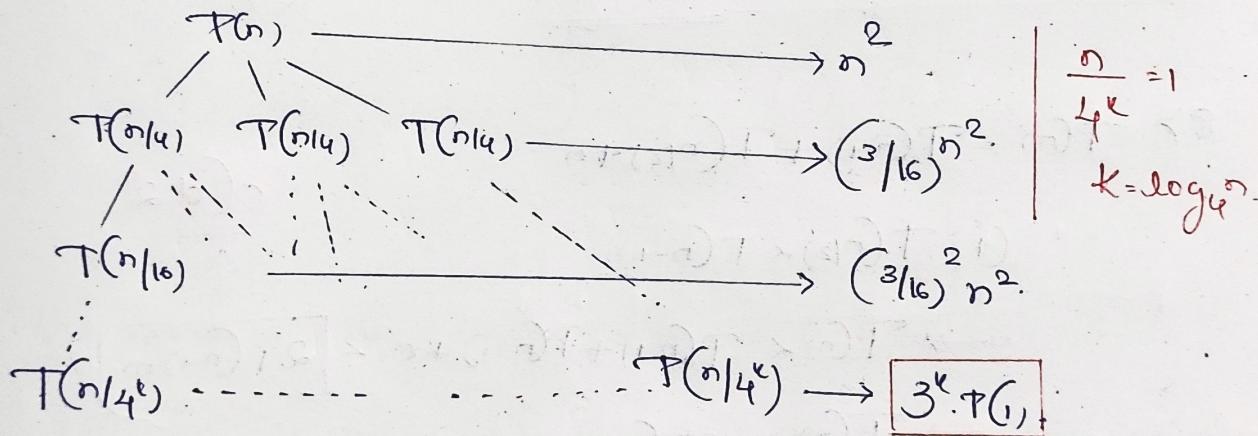
$$\begin{aligned} S_n &= \frac{\alpha(2^n - 1)}{2-1} \\ &= \frac{1(2^{n+1} - 1)}{2-1} \\ &= 2^{n+1} \end{aligned}$$

$$\text{L.S.T} = \sum_{i=0}^n q_i = (2^{n+1} - 1)$$

$$\begin{aligned} T(n) &\leq 2^{n+1} - 1 \\ &= O(2^n) \end{aligned}$$

$$\begin{aligned} \text{R.S.T} &\Rightarrow P(n) \geq \sum_{i=0}^{n/2} q_i = \frac{1}{2}(2^{n+1} - 1) \\ &= 2^{n/2+1} \\ T(n) &= \underline{\underline{O(2^{n/2})}} \end{aligned}$$

$$67. T(n) = 3T(n/4) + n^2.$$



$$\begin{aligned} P(n) &= [n^2 + (3/16)n^2 + (3/16)^2 n^2 + \dots + (3/16)^{k-1} n^2] + 3^k \cdot T(1) \\ &= n^2 \sum_{i=0}^{k-1} (3/16)^i + 3^k \log_4 n. \end{aligned}$$

$$T(n) = C \cdot n^2 + n^{\log_4 3}.$$

$$= O(n^2), \underline{\underline{O(n^2)}}$$

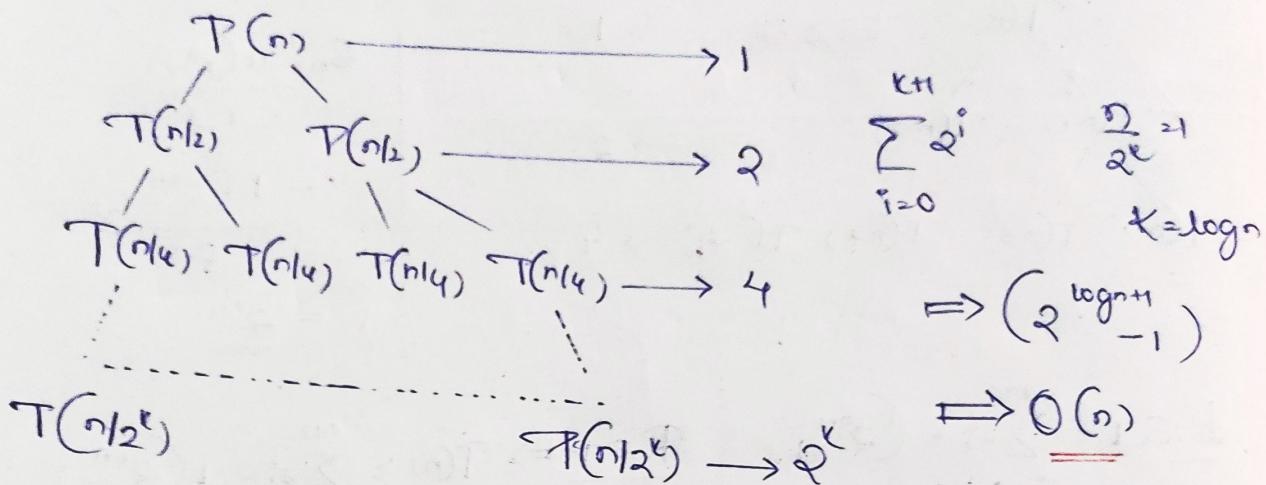
$$\therefore T(n) = \underline{\underline{O(n^2)}}$$

Homework

$$17. T(n) = T(n/2) + T(n/3) + T(n/4) + n.$$

$$27. T(n) = \begin{cases} T(n/2) + T(n/3) + T(n/4) + 7n & \text{if } n > 0 \\ 1 & \text{if } n = 0 \end{cases}$$

$$T(n) = 2T(n/2) + 1$$



7) $T(n) = 2T(n/2 + 1) + n$ (Induction)

$$= T(n) = 2T(n/2) + n$$

$\hookrightarrow \underline{\underline{O(n \log n)}}$

8) $T(n) = T(n-1) + T(n/2) + n$

(i) $T(n/2) < T(n-1)$

$$\rightarrow T(n) < T(n-1) + T(n-1) + n < \boxed{2.T(n-1) + n}$$

$$\rightarrow T(n) > 2T(n/2) + n$$

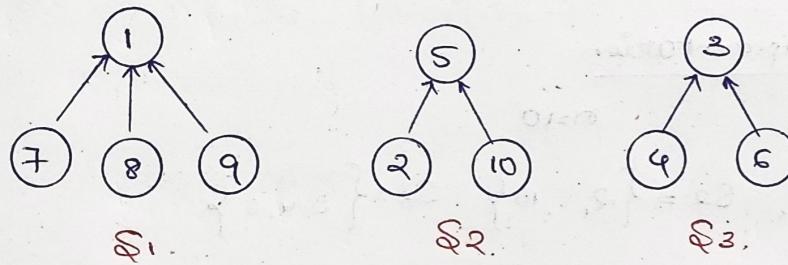
$\Rightarrow \underline{\underline{n \log n}}$

$O(d \cdot 2^d)$

Sets

It is assumed that the elements of the sets are the numbers 1, 2, 3, ..., n, these numbers might in place, be indexed into a symbol table in which the names of the elements are stored. We assume that the sets being represented are pairwise disjoint (that is if S_i , and S_j , $i \neq j$, are two sets, then there is no element that is both S_i and S_j).

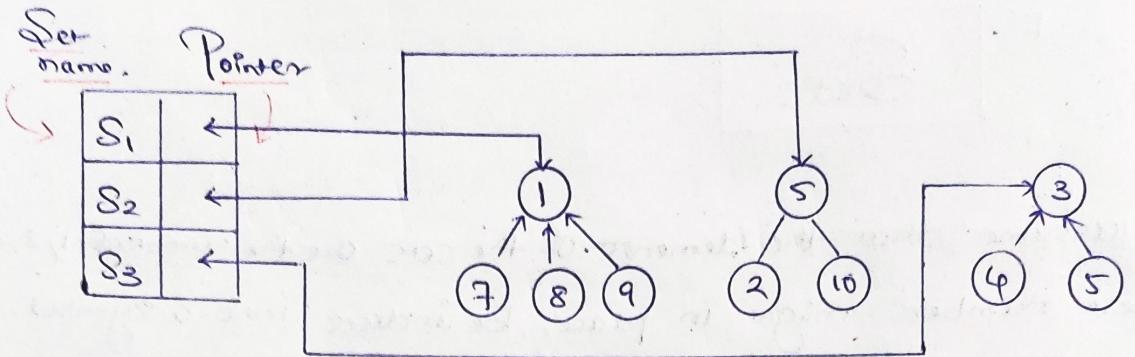
For example, when $n=10$, the elements can be partitioned into three disjoint sets, $S_1 = \{1, 7, 8, 9\}$, $S_2 = \{2, 5, 10\}$, $S_3 = \{3, 4, 6\}$. Figure out one possible representation for these:



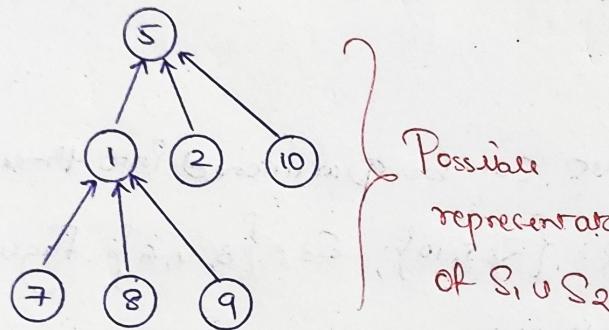
The operations we wish to perform on these sets are:

- ① Disjoint Set Union: If S_i and S_j are two disjoint sets, then their union $S_i \cup S_j = \{x \mid x \text{ is in } S_i \text{ or } S_j\}$. Thus, $S_1 \cup S_2 = \{1, 7, 8, 9, 2, 5, 10\}$. Since we have assumed that all the sets are disjoint, we can assume that the following the union of S_i and S_j , the sets S_i and S_j do not exist independently, that is why they are replaced by $S_i \cup S_j$ in the collection of sets.

- ② Find(i): Given the element i , find the set containing i . Thus 4 is in set S_3 , and 9 is in set S_1 .



Data Representation for S_1 , S_2 and S_3 .



Possible representation
of $S_1 \cup S_2$

Array Based Representation

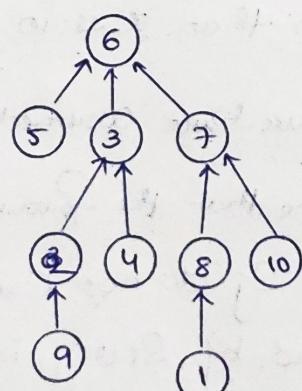
$n=10$

$$S_1 = \{1, 7, 8, 9\}, \quad S_2 = \{2, 5, 10\}, \quad S_3 = \{3, 4, 6\}$$

Parent:

| i | 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 | 10 |
|---|----|---|----|---|---|---|---|---|---|----|
| | -1 | 5 | -1 | 3 | 1 | 3 | 1 | 1 | 1 | 5 |

$$S_6 = \{1, \dots, 10\}$$



P.

| i | 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 | 10 |
|---|---|---|---|---|---|----|---|---|---|----|
| | 8 | 3 | 6 | 3 | 6 | -1 | 6 | 7 | 2 | 7 |

Algorithm Union(i, j)

{ $p[i] = j;$ }

Algorithm Find(i)

{ while($p[i] \geq 0$) do
 $i = p[i];$
 return $i;$
}

Algorithm KRUSKAL($E, cost, n, t$)

{ // Construct a heap out of the edge costs using heapify.

for $i=1$ to n : do $parent[i] = -1;$

$i=0; minCost = 0.0;$

while ($(i < n-1)$ and (heap not empty)) do

{ // delete a minimum cost edge (u, v) from the heap and reheapify,
using Adjust.

$j = \text{Find}(u), k = \text{Find}(v);$

if ($j \neq k$) then

{ $i = i+1;$
 $t[i, 1] = u; t[i, 2] = v;$
 $minCost = minCost + cost[u, v];$

$(\text{Union } j, k);$

}

if ($i \neq n-1$) then Print("no spanning tree");

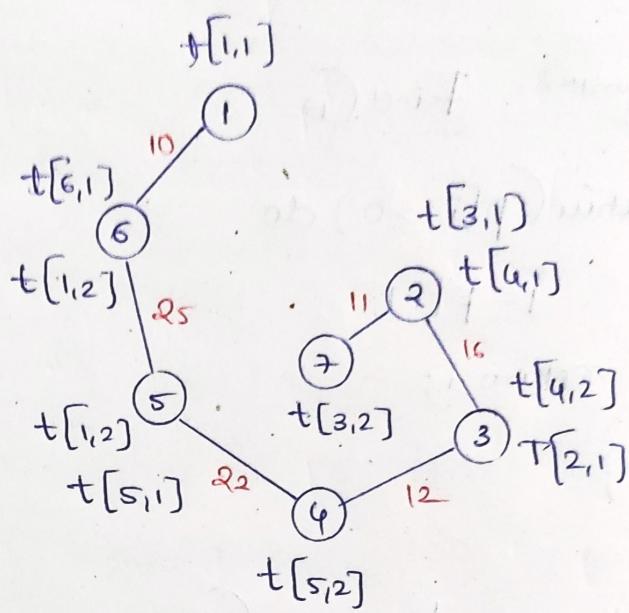
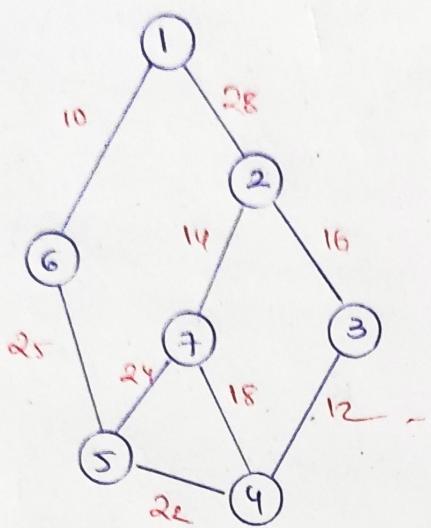
else return $minCost;$

}

Time Complexity:

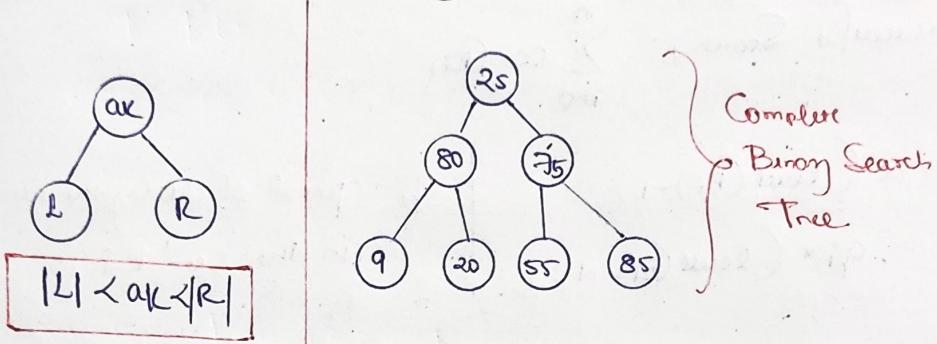
= $C + e + n + e \log e$
 $\Rightarrow O(e \log e)$

Kruskal Algorithm Implementation



Optimal Cost binary Search tree (OBST)/D.p.

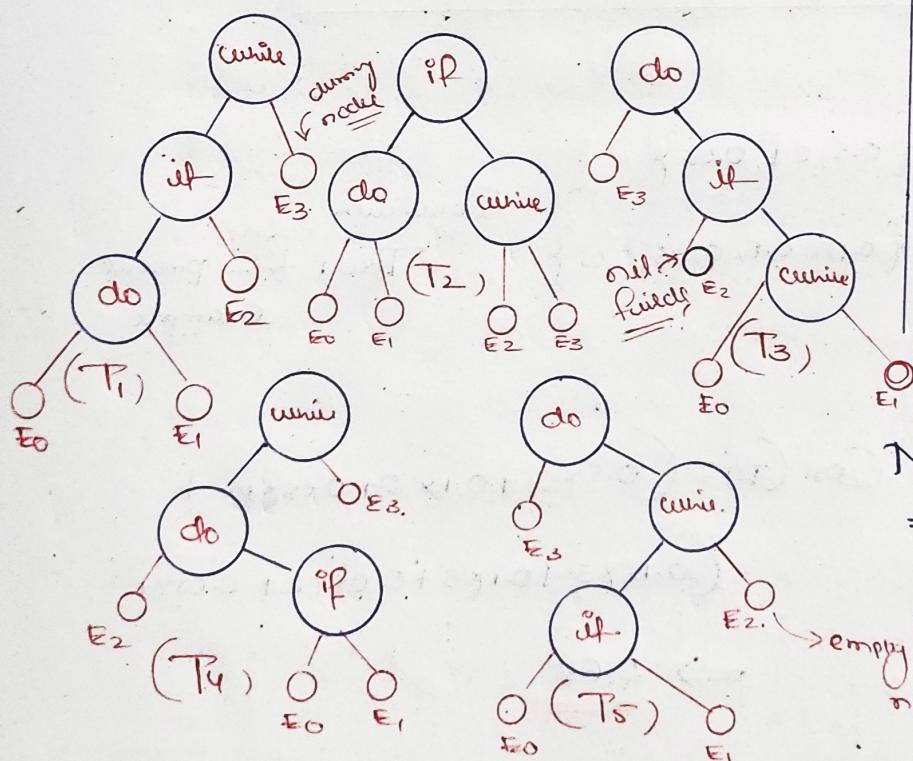
Definition B.S.T: It is a binary tree with the property that the value at each node is greater than value of left children and less than the value of right children.



→ Keyword Table

$\langle a_1, a_2, a_3 \rangle = \langle \text{do, if, while} \rangle$

$\langle p_1, p_2, p_3 \rangle, \langle q_1, q_2, q_3 \rangle$



n-identifiers
No. of binary tree

(Catalan no)

$$\frac{1}{(n+1)} \cdot 2^n C_n$$

$$n=3 \\ \Rightarrow 5$$

No. of unsuccessful Searches
= no of Nil fields = $n+1$

empty/dummy node

Procedure to determine cost of B.S.T

$$1. > \text{Cost}(T) = \text{Cost}(\text{Successful Search}) + \text{Cost}(\text{Unsuccessful search})$$

$$2. > \text{Cost}(\text{Successful Search}) = \sum_{i=1}^n \text{Cost}(a_i)$$

3) $\text{Cost}(ai) \propto \text{level}(ai)$

$$\text{Cost}(ai) = p_i * \text{level}(ai)$$

(probability of using ai in program)

$$\Rightarrow \boxed{\text{Cost}(\text{Successful Search}) = \sum_{i=1}^n p_i \text{level}(ai)}$$

4) $\text{Cost}(\text{Unsuccessful Search}) = \sum_{i=0}^n \text{Cost}(Ei)$

5) $\text{Cost}(Ei) \propto (\text{level}(Ei)-1)$
 $= q_i * (\text{level}(Ei)-1)$

q_i = probability of using the identifiers
in the set ' Ei '

6) Total cost of (unsuccessful searches) = $\sum_{i=0}^n q_i * (\text{level}(Ei)-1)$

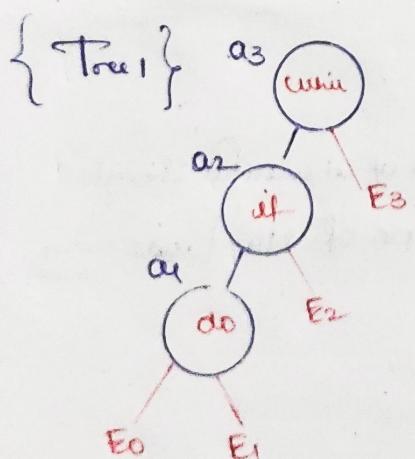
$$\boxed{\text{Cost}(T) = \sum_{i=1}^n p_i * \text{level}(ai) + \sum_{i=0}^n q_i * (\text{level}(Ei)-1)}$$

eg: $\langle p_1 \dots p_3 \rangle = \{0.5, 0.1, 0.05\}$

$$\langle q_0 \dots q_3 \rangle = \{0.15, 0.1, 0.05, 0.05\}$$

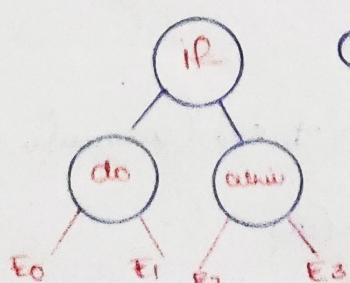
Solution

Tree 1 from previous example.



$$\begin{aligned} \text{Cost}(T) &= (0.5 \times 3 + 0.1 \times 2 + 0.05 \times 1) + \\ &\quad (0.15 \times 3 + 0.1 \times 3 + 0.05 \times 2 + 0.05 \times 1) \\ &\Rightarrow \underline{\underline{2.65}} \end{aligned}$$

{Tree 2}



$$\text{Cost}(T) =$$

Cost Comparison:

Tree 1 = 2.65

Tree 2 = 1.9

Tree 3 = 1.5 → Tree with minimum cost.

Tree 4 = 2.15

Tree 5 = 1.6

Note: The cost of the B.S.T. is dependent on both height (level) and also probabilities.

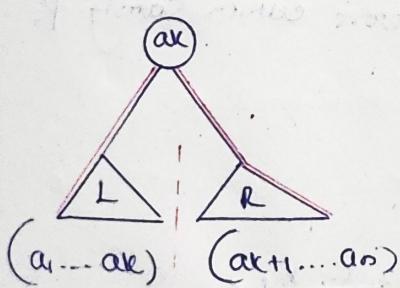
Construction of Optimal Binary Search Tree.

$$P(p) \left\{ \begin{array}{l} L_1, L_2, \dots, L_n \\ P_1, P_2, \dots, P_n \\ Q_1, Q_2, \dots, Q_n \end{array} \right.$$

$$\text{Cost}(T) = P_k + \text{Cost}(L) + \text{Cost}(R)$$

Let $C(0, n)$ be the cost of the tree $T_{0, n}$.

$$C(0, n) = \min \left\{ P_k + C(0, k-1) + C(k, n) \right\} + w(0, n) \quad 1 \leq k \leq n.$$



$w(0, n)$ = weight that is added to

LST and RST to balance
it with respect to root.

$$C(i, j) = \min \left\{ P_k + C(i, k-1) + C(k, j) \right\} + w(i, j) \quad i < k \leq j$$

$$C(i, i) = 0$$

$R(i, j) = k$ than minimize the eqn ①

Time Complexity: $O(n^3)$

Space Complexity: $O(n^2)$

Backtracking.

27/8/2022

It represents one of the most general techniques. Many problems which deal with searching for a set of Combinations/Solutions or which ask for an Optimal Solution, Satisfying the Constraints can be solved using backtracking formulations.

The name Backtrack was first coined by D.H. Lehmer in the 1950s. Early workers who studied the process were R.J. Walker who gave an algorithmic account of it in 1960 and Golomb and Baumert who presented a very general description of the backtracking coupled with a variety of applications.

In order to apply the backtrack method, the desired solution must be expressed as an n -tuple (x_1, x_2) where x_i are chosen from one finite sets S_i . Often the problem to be solved calls for finding one vector which maximise (or satisfies) a criterion function $P(x_1, \dots, x_n)$. Sometime it seeks all sub-vectors which satisfy P .

17. n Queens : $\{q_1, \dots, q_n\}$ - $n \times n$ square board

| | 1 | 2 | 3 | 4 |
|-------|---|---|---|---|
| q_1 | | ● | | |
| q_2 | | | | ● |
| q_3 | ● | | | |
| q_4 | | | ● | |

$$x[1 \dots n] = \langle x_1, x_2, x_3, x_4 \rangle$$

$$1 \leq x_i \leq n.$$

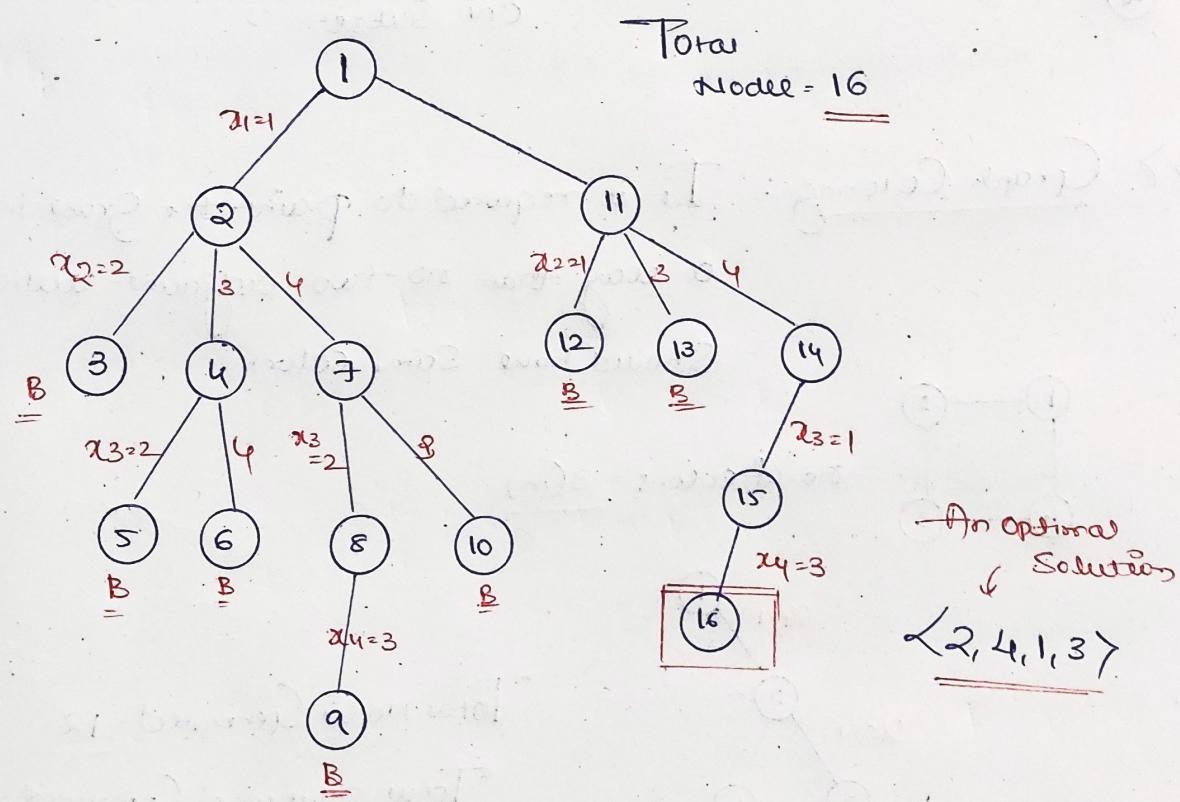
x_i^i = position of i^{th} Queen
i.e. placed in row i

→ Backtracking uses D.F.S to search for feasible solutions in the solution space and it also applies bounding functions to kill or bound those nodes that are not feasible;

$$\text{Backtracking} = \text{D.F.S} + \text{Bounding functions}$$

Portion of State-Space Tree Generated due to Backtracking

Process for 4 Queens



2) Variable tuple Size formations of State Space tree.

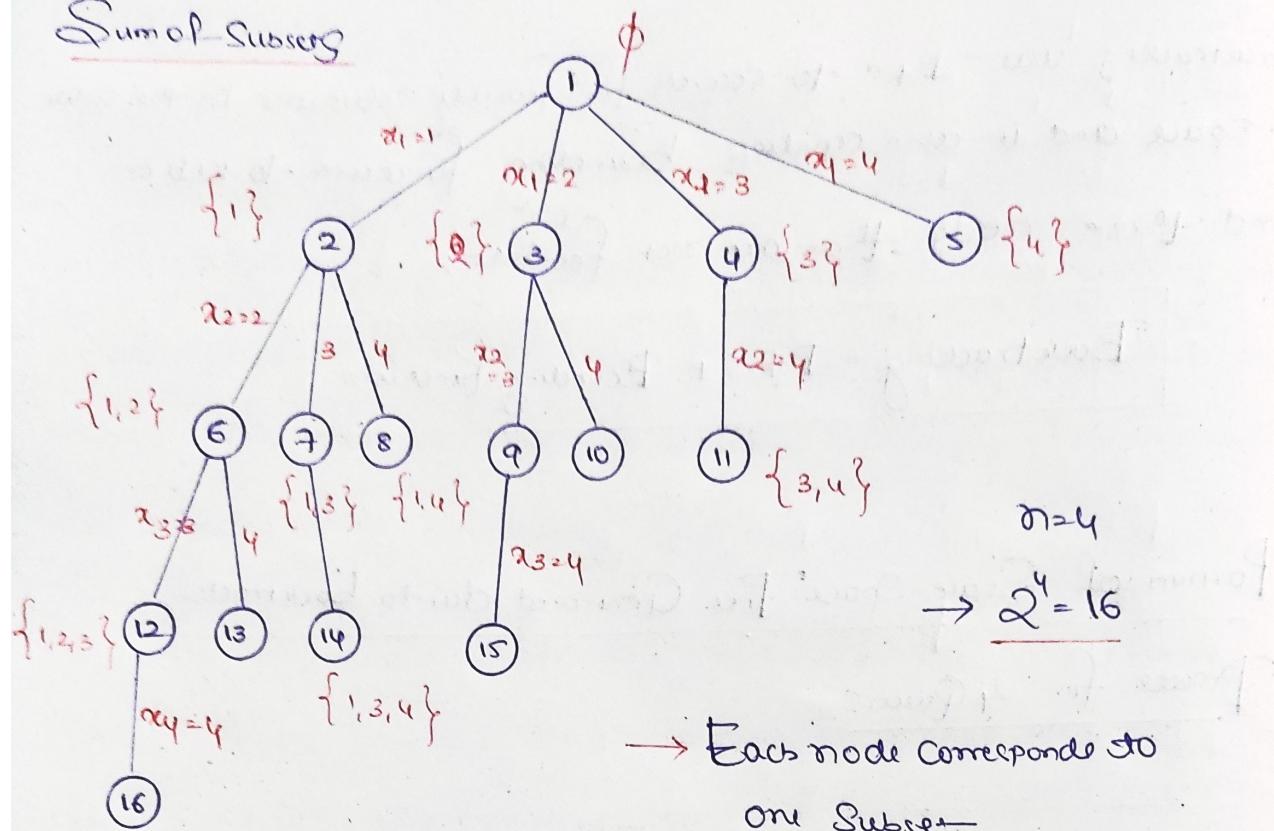
Sum of Subsets : Given n elements : M.

$A: \langle 1 \dots n \rangle$ \hookrightarrow target.

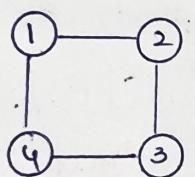
$$n = 5, M = 50$$

$A: \langle 10, 20, 30, 40, 50 \rangle \longrightarrow \{2^5\}$ - all possible subsets
 $\{20, 30\}, \{10, 40\}, \{50\}$

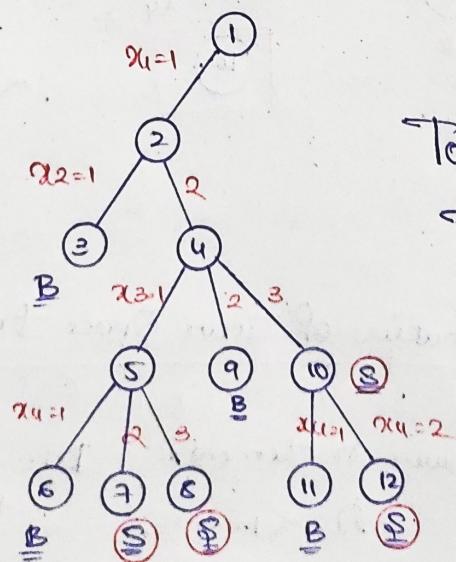
Sum of Subsets



37. Graph Coloring: It is required to paint the graph in such a way that no two adjacent vertices should have same color.



No. of colors = 3(m)



Total Node Generated = 12

Total Solutions Generated = 4

Popular problems Solved by Backtracking

1. N-Queens
2. Sum of Subsets
3. Graph coloring
4. Hamiltonian Cycle
5. 0/1 Knapsack.

Branch and Bound

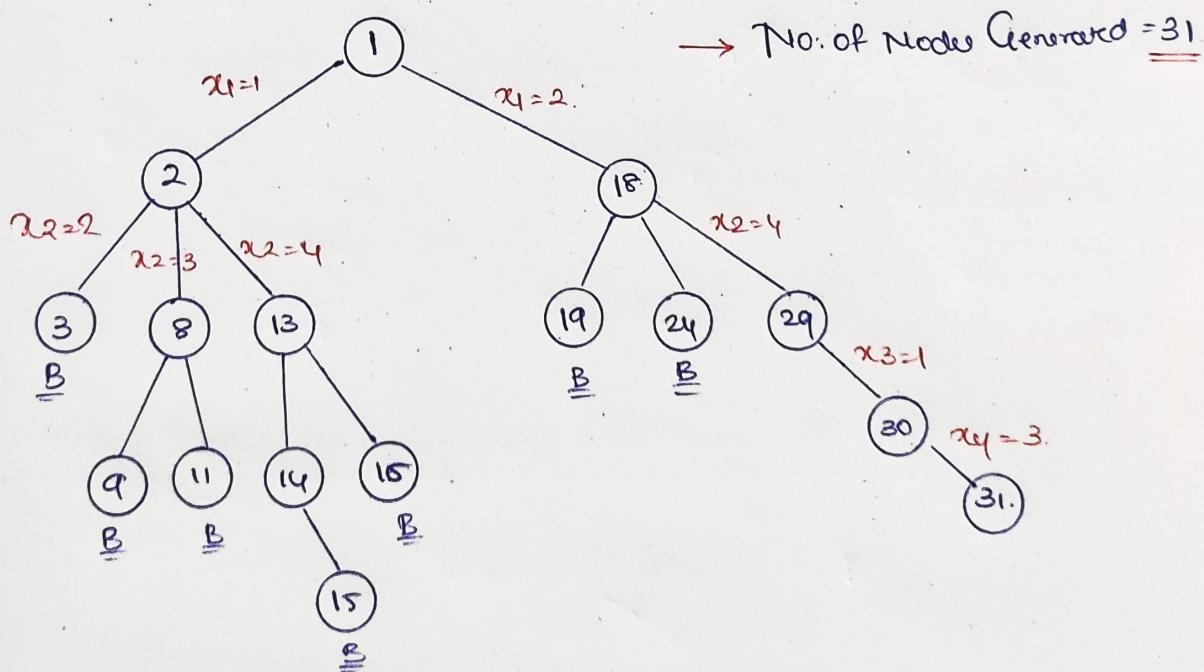
uses Space

Backtracking = R.F.S + Bounding functions

Branch and Bound = B.F.s + Boundary functions

↳ Queue: $\xrightarrow{\text{LIFO}} \underline{\text{BB}}$
 $\xrightarrow{\text{FIFO}} \underline{\text{BB}}$

4 Queen's Problem using FIFO BB



Popular Branch & Bound Problems :

1. n-queens
2. 15 puzzle Problem.

3. J.S.D
4. T.S.P
5. 0/1 Knapsack.