

# Data Structures

Efficient storage or organization of data in such a way that it is easy to access, retrieve, operate on it efficiently.

Classified in two types.

## 1) Linear Data Structure

An element can have "at most" two neighbours.

i) Arrays → Address related Ques.

ii) Linked Lists → code should be understood

iii) Stacks

iv) Queues

## 2) Non-linear data structure.

An element can have more than two neighbours or no neighbours at all.  
(Any. no. of neighbours possible)

i) Tree - trees

binary tree

binary search tree

Heap

AVL tree

ii) Graph

## 3) Topic - Hashing

Sometimes a Non-linear data structure might BEHAVE like a Linear data structure. e.g. A tree where each node has only right child & does not have left child, then it behaves like a linked list.

Arrays :→ Collection of similar type of elements.

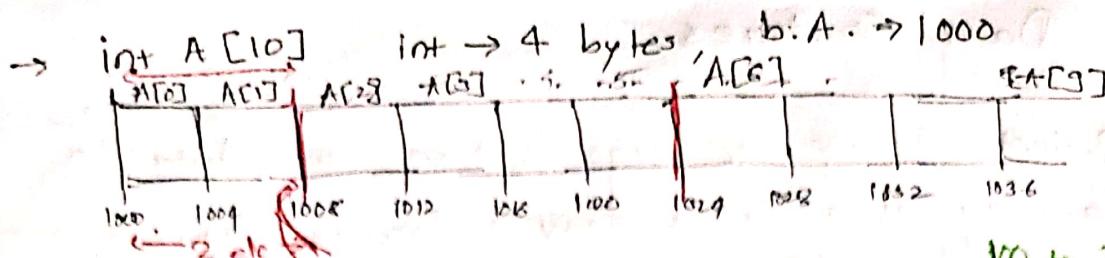
→ Index starts from zero. (precisely).

→ Elements are stored one after another.

→ Relative Addressing is the major purpose.

→ Random Access (Advantage of storage) (using Index)  
Access the element in constant time.

→ Cache Friendliness.



Address of A[0] = 1000 (If the b.A. is 1000)

$$\rightarrow A[2] = ? \therefore 1000 + 8 = 1008$$

$$100 \text{ to } 200 \text{ (including b.A.)} \\ \Rightarrow 200 - 100 + 1 = 101 \\ \text{Last - First} + 1$$

Q. How many elements are already filled before A[2] = 2

② size of each element = 4 bytes

③ memory filled already before A[2] =  $2 \times 4$  = 8 bytes

$$\begin{aligned} \text{Address}[6] &= \text{Before } A[6] \\ &= \text{index } 0 \text{ to } 5 \\ &= 5 - 0 + 1 = 6 \\ &= \text{last - first} + 1 \end{aligned}$$

$$\begin{aligned} \text{Address}[6] &= \text{Before } A[6] \\ &= 6 \times 4 = 24 \text{ bytes} \end{aligned}$$

$$\begin{aligned} \text{Addr}(A[6]) &= 1000 + 24 = 1024 \\ \text{b.A.} + \text{mem. filled} \end{aligned}$$

(n) Theory  $\Rightarrow$  Index can start from any no.

$$\begin{aligned} \text{e.g. } A[-5 \dots 5] &\quad \# \text{ of elements} = \text{last - first} + 1 \\ \downarrow & \quad \downarrow \\ \text{lowest index} & \quad \text{largest index} \\ & = 5 - (-5) + 1 \\ & = 11 \text{ elements} \end{aligned}$$

Q. A[-5 ... 5]

w = 4 bytes (size of each ele)

Base Address = 1000

$$\text{Add.}(A[1]) = ?$$

Ans: How many ele filled by A[1]

$$= \text{index } -5 \text{ to } 0$$

$$= -5 \text{ to } 0$$

$$= \text{last - first} + 1$$

$$= 0 - (-5) + 1 = 6 \text{ elements.}$$

Mem. filled before A[1] =  $4 \times 6$

$$= 24 \text{ bytes}$$

Address of A[1] = b.A. + mem. filled before A[1]

$$= 1000 + 24$$

$$= 1024 \text{ bytes} //$$

Q. A[-20 .... 10], w = 2 byte, B.A. = 1000, add. (A[-5]) = ?

Elements already filled before  $\rightarrow$  ~~Not reckoned~~

$A[-5] = -20 \text{ to } -5$  of 200

$= -20 - (-20) + 1$

$= 15 \equiv$

size of each element = 2 bytes

Add. (A[-5]) = b.A. + m. filled =  $1000 + 30 = 1030$

Elements already filled before A [-5]

$$= -20 \text{ to } -6$$

$$= -6 - (-20) + 1$$

= 15 elements

\* Negative Indexes are possible in Data structures (theoretically)

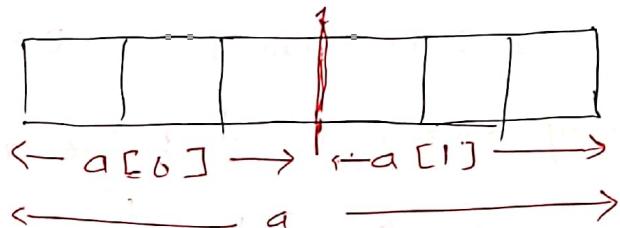
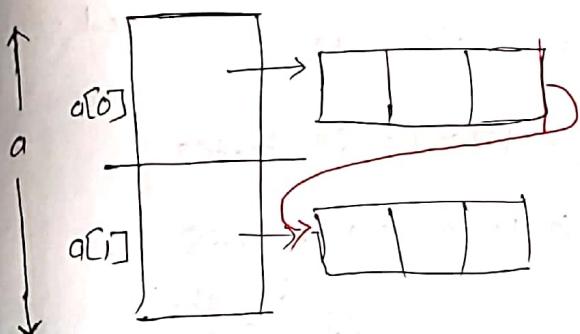
$p^{1024}$  → pointer.

$p-1^{1023}$  = Address back warding

$\&(p-1) = \text{Value decrement}$

$$p[-1] = \&(p-1)$$

2D-Array:  $\begin{bmatrix} & 0 \\ 0 & 1 \\ 1 & 2 \end{bmatrix}$  - Each index/no. in this dim represent 3 elements  
int  $a[2][3]$ ;  $a[0] = 3, a[1] = 3$



$$\begin{array}{ccc} 0 & 1 & 3 \\ 0 & a_{00} & a_{01} & a_{02} \\ 1 & a_{10} & a_{11} & a_{12} \end{array}$$

Row  $a[0] \Rightarrow 3$  elements

— $\rightarrow$   $-11-$   $\rightarrow$   $-11-$

2D-Array

Row-wise store

Column-wise store

column-major order.

Row-major order (RMO)

int  $a[3][4]$   $\begin{bmatrix} 0 & 1 & 2 & 3 \\ 0 & 1 & 2 & 3 \\ 0 & 1 & 2 & 3 \\ 0 & 1 & 2 & 3 \end{bmatrix}$

Each index = 4 elements

RMO

$$\begin{array}{cccc} 0 & 1 & 2 & 3 \\ 0 & a_{00} & a_{01} & a_{02} & a_{03} \\ 1 & a_{10} & a_{11} & a_{12} & a_{13} \\ 2 & a_{20} & a_{21} & a_{22} & a_{23} \end{array}$$

→ row with index = 0 → row with index = 1 → row with index = 2 →

$a_{00}$	$a_{01}$	$a_{02}$	$a_{03}$	$a_{10}$	$a_{11}$	$a_{12}$	$a_{13}$	$a_{20}$	$a_{21}$	$a_{22}$	$a_{23}$	Add ( $a_{23}$ ) = ?
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① How many rows already filled before row with index 2 = 2

② No. of elements already filled before  $a_{23}$  in row with index 2 = 3 elements

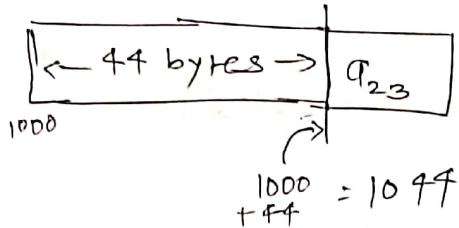
2 rows  $\Rightarrow 2 \times 4$  elements.

3 elements  $\frac{3}{3}$

already b4  $a_{23}$   $\frac{11}{11}$  elements

$w = 4$  bytes, Elements before  $a_{23} = 11$  elements.

Memory already filled before  $a_{23} = \$1 \times 4 = 44$  bytes



$$\therefore \text{Addr.}(a[2][3]) = 1044 - 11 - (a_{23})$$

Q. int a[4][5], w=4 bytes, B.A = 1000

add(a<sub>32</sub>)  
row index column

→ How many rows filled b4 row with index 3 = 0 to 2

$$= 2 - 0 + 1 = 3 \text{ rows}$$

Within row whose index is 3, the no.

of elem. already filled before a<sub>32</sub>

= col with index 0 to 1

$$= 1 - 0 + 1 = 2 \text{ elements}$$

Before a<sub>32</sub> = 3 rows

2 ele already filled

$$= 3 \times 5 + 2 = 17 \text{ elements already filled.}$$

∴ Memory already filled before a<sub>32</sub> =  $17 \times 4 = 68$  bytes.

$$\therefore \text{Addr} \Rightarrow \boxed{\begin{array}{c} \leftarrow 68 \rightarrow \text{bytes} \\ 1000 \quad | \quad a_{32} \end{array}} \Rightarrow 1000 + 68 = 1068$$

$\underbrace{11 \text{ indexes } (5 - (-5) + 1)}_{\text{rows}} \quad \underbrace{7 \text{ indexes } (3 - (-3) + 1)}_{\text{cols}}$

is the addr. (a<sub>32</sub>)

Q. a[-5...5] [-3...3]

w=2 bytes, B.A = 1000, add(a[i][1])

→ How many rows filled

b4 row index 1 = -5 to 0

$$= 0 - (-5) + 1$$

$$= 6 \text{ rows.}$$

Within row with index 1,

# of elements filled before a<sub>11</sub>

= col with index -3 to 0

$$= 0 - (-3) + 1 = 4 \text{ elements.}$$

Before a<sub>11</sub> = 6 rows & 4 elements already filled.

$$= 6 \times \overbrace{7}^{\text{# of elements/col. in each row}} + 4 = 42 + 4 = 46 \text{ elements}$$

# of elements/  
col. in each row

size

Mem. already filled before a<sub>11</sub> =  $46 \times 2 = 92$  bytes.

$$\therefore \text{add}(a[1][1]) = \text{B.A.} + \text{mem. filled before a}_{11}$$

$$= 1000 + 92 = 1092 //$$

$a[M][N] = 0 \rightarrow N-1$  add  $(a_{ij})$  (Valid for index from 0 only)

① rows already filled before row with index  
 $i = 0 \rightarrow i-1$   
 $= i-1 - 0 + 1$   
 $= i$  Rows

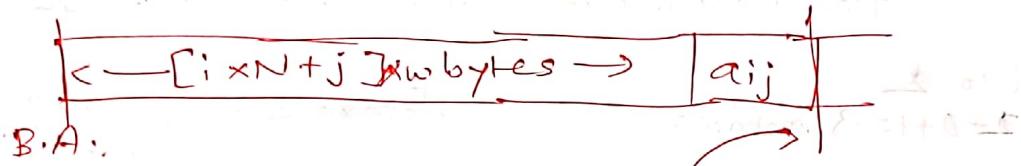
② within  $i$  th row, elements already filled before  $a_{ij}$   
= col with index  $0 \rightarrow j-1$   
 $= j-1 - 0 + 1 = j$  elements

$\downarrow$   
 $i \times N$  elements

Total elements already filled =  $[i \times N + j]$

size of each clk =  $w$  bytes

mem. already filled =  $[i \times N + j] \times w$  bytes.



$$\text{add}(a_{ij}) = \text{BA} + (i \times N + j) \times w$$

for index up to  $i$  ; becomes  $i-1$

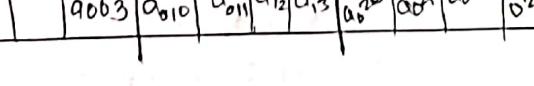
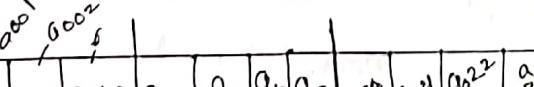
— 1 — 2  $i-1$   $i-2$

similarly for  $j$

### 3-D Array

int a[2] [3] [4] 2D Array

Row-Major Order.  
Dimensions priority is left to Right



int a[3][4][5]

Every index in  
this dimension  
represent  
 $= 4 \times 5$   
elements

Every index in  
this dimension  
represent  
 $= 5$  elements

This dimension  
represent one element

$\therefore$  size of this

dimension is  $4 \times 5 \times 5$  Q. RMO,  $w=4$  bytes, B.A. = 1000  
the product of  
it's next dimension  
(i.e.  $4 \times 5$ )

a[3][3][5]

Add (a<sub>223</sub>)

Sol<sup>n</sup>:  $\rightarrow$  How many matrices filled before index 2 in 1<sup>st</sup> dim.

= 0 to 1  
 $= 1 - 0 + 1 = 2$  matrices

Size of each matrix =  $3 \times 5 \Rightarrow 2 \times 3 \times 5$  elements  
total filled

How many elements filled in 2<sup>nd</sup> Dimension

= 0 to 1  
 $= 1 - 0 + 1 = 2 \times 5$  elements

1 in 3-D  
 $= 0 \text{ to } 2 = 2 - 0 + 1$   
 $= 3$  elements

Total ele =  $(2 \times 3 \times 5) + \frac{(2 \times 5)}{2D} + 3 = 43$  elements.

Total mem. filled =  $43 \times 4 = 172$  bytes.

addr (a<sub>223</sub>) = B.A. + Total memory filled before a<sub>223</sub>  
 $= 1000 + 172 = 1172$

Q. A [-5...5] [-3...3] [-5...5],  $w=2$  byte, B.A. = 1000

addr (A<sub>0,0,0</sub>)  $\stackrel{3-(-3)+1=7}{+} \stackrel{5-(-5)+1}{=} 11$

$\therefore$  Every index  
represent =  $7 \times 11$

index filled  
 $= -5 \text{ to } -1$

$= 1 - (-5) + 1$

$= 5 \Rightarrow 5 \times 7 \times 11$ .  
elements

index filled  
 $= -3 \text{ to } -1$

$= 1 - (-3) + 1$

$= 3 \Rightarrow 3 \times 11$   
elements

$\therefore$  Total ele  
 $= (5 \times 7 \times 11) + (3 \times 11) + 5$   
 $= 423$  ele.

Mem. filled

$= 423 \times 2$  (size)

$= 846$  bytes.

$\therefore \text{addr}(A_{0,0,0}) = \text{B.A.} + 846 = 1000 + 846$

$= 1846$

$$A[-5 \dots 5] [-10 \dots 10] [-3 \dots 3] [-5 \dots 5], w=2 \text{ by res}$$

Each row has 21 columns

21 columns

7 rows

11 columns

$13A = 1000$

$\text{add}(0213)$

- Each index represent 21 3D matrices.
- $\rightarrow$  21 3D matrices, each represent 7 2D matrices.
- $\rightarrow$  Fn 7 2D, " , each row represent 11 ele.

$\text{add}(A_{0213})$

$-5 + -1$	$-10 + 1$	$-3 + 0$	$-5 + 2$
$= -1 - (-5) + 1$	$= 1 - (-10) + 1$	$= 0 + (-3) + 1$	$= 2 - (-5) + 1$
$= 5$	$= 12$	$= 4$	$= 8$

Mem. filled =  $9061 \times 2$   
 Total =  $18122$  bytes.

Total ele =  
 $5 \times 21 \times 7 \times 11$   
 $+ 12 \times 7 \times 11$   
 $+ 4 \times 11$   
 $+ 8$   
 $\underline{\underline{9061 \text{ ele}}}$

$\text{add}(A_{0213}) = 1000 + 18122$   
 $= 19122$

RMO  
 $\downarrow$   
 2-D

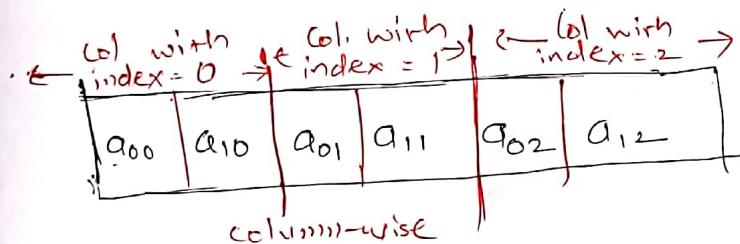
### 2-D Array - CMO

int  $a[2][3]$

0	1	0	1	2
$\swarrow$	$\searrow$	$\swarrow$	$\searrow$	

0	1	2	
0	$a_{00}$	$a_{01}$	$a_{02}$
1	$a_{10}$	$a_{11}$	$a_{12}$

Within column,  
 elements are  
 filled row-wise.



Q. int  $a[3][4]$ ,  $\text{add}(a_{23})$ ,  $w=4$  bytes.

How many columns  
 already filled before  
 Col. with index 3.

$$= 3 \quad (0 \text{ to } 2) \\ (2-0+1)$$

After 3 columns  $\Rightarrow$   
 2 elements,  $a_{23}$   
 is stored.

Every column  $\Rightarrow$  3 elements/  
 rows

Within col. index 3,

How many elements (rows)  
 already filled before  $a_{23}$

$$= 0 \text{ to } 1 = 1-0+1 = 2$$

$$\text{Total ele} = (3 \times 3) + 2$$

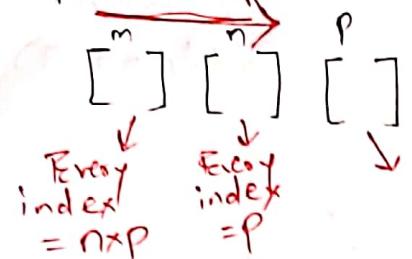
$$= 9 + 2$$

$$= 11 \text{ elements}$$

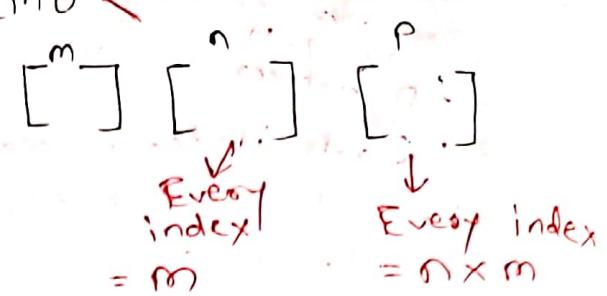
Mem. Already filled  
 $= 11 \times 4$   
 $= 44$  bytes.

$\text{add}(a_{23})$   
 $= \text{B.A.} + \text{M.F.}$   
 $= 1000 + 44$   
 $= 1044$

RMO



CMD



## Sparse Matrix

Matrix with more no. of zero's.

↳ Lower Triangular matrix      ↳ Identity matrix

ii) Upper — 1, —  $\Rightarrow$  scalar matrix,

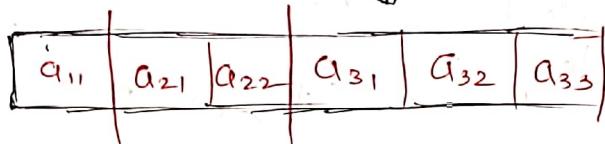
iii) tri-diagonal matrix

i) Lower Triangular matrix - L<sub>M</sub> is a square matrix ( $i=j$ ) where the entries above the main diagonal are zero.

$$A_{ij} = 0, \quad i < j$$

If RMO

	1	2	3
1	$a_{11}$	0	0
2	$a_{21}$	$a_{22}$	0
3	$a_{31}$	$a_{32}$	$a_{33}$



Q.  $a[5][5]$ , add ( $a_{43}$ ) , w = 4 bytes .

How many rows  
already filled before  
row with index 4

= 1 5 3

= 3 rows

= 1, 2, 3. index

$$\#1 \downarrow \quad \#2 \quad \#3 = 6 \text{ elements.}$$

Within row with index 4, columns / mem. Filled  
elements filled before =  $8 \times 4$   
= 32 bytes.

$$\alpha_{43} = 1 \text{ to } 2$$

$$= 2 - \cancel{0} + 1 = \underline{3} \text{ elements}$$

Total = 6+2=8 elements  
already filled.

$$\therefore = 32$$

$$= B \cdot A - \cancel{B} \cdot F$$

$$= 1000 \pm 32$$

$$= 1032$$

$$1 \text{ TM} \Rightarrow \mathbb{N} \times \mathbb{N}$$

add ( $A_{ij}^*$ )  
Rows already filled  
index  $j$  in  $A$

$$\text{index } 1, 2, 3, \dots, i-1$$

$\downarrow$

$$1 + 2 + 3 + \dots + (i-1) = S_2$$

$$S = \frac{(i-1)i}{2} \text{ elements}$$

$$S_N = \frac{N(N+1)}{2}$$

add ( $A_{ij}$ )

→ within  $i$ th row, elements already filled before  $A_{ij}$   
 = col. with index 1 to  $j-1$   
 =  $j-1-1+1 = (j-1)$  elements.

Total ele. already filled =  $\frac{i(i-1)}{2} + (j-1)$ . size =  $w$  bytes.

Mem. already filled before  $A_{ij}$  =  $\left[ \frac{i(i-1)}{2} + (j-1) \right] w$  bytes.

addr. ( $A_{ij}$ ) = B.A. + Memory filled before  $A_{ij}$

3. LTM,  $w=2$  bytes, BA = 1000

RMO

$A[-5 \dots 5] [-7 \dots 3]$

add ( $A_{1,-2}$ )

Rows filled

= -5 to 0

= 6 rows

1+2...6

$= \frac{6 \times 7}{2} = 21$  ele      size = 2 bytes

within row index 1,

col. filled ele:

= -7 to -3

= 5 ele

Total ele =  $-7 + 5 = 26$  ele.

Mem. filled =  $26 \times 2$  bytes  
 $= 52$  bytes.

add ( $A_{1,-2}$ ) = B.A. + M.F

$= 1000 + 52$

$= 1052$

LTM in CMO.			
1 <sup>st</sup> col	2 <sup>nd</sup> col	3 <sup>rd</sup> col	4 <sup>th</sup> col
$a_{11} a_{21} a_{31} a_{41}$	$a_{12} a_{22} a_{32} a_{42}$	$a_{13} a_{23} a_{33} a_{43}$	$a_{14} a_{24} a_{34} a_{44}$

1	2	3	4
$a_{11}$	0	0	0
$a_{21}$	$a_{22}$	0	0
$a_{31}$	$a_{32}$	$a_{33}$	0
$a_{41}$	$a_{42}$	$a_{43}$	$a_{44}$

add ( $a_{43}$ )

col. already filled  
 = index 1 to 3  
 $\downarrow$   
 $4 + 3$   
 = 7 elements

Within 3<sup>rd</sup> col,  
 or intended col,  
 ele. already filled  
 before  $a_{43}$   
 $\downarrow$   
 $4 - 3 = 1$  elements

Total ele =  $7 + 1 = 8$   
 size = 2,  
 M.F. =  $8 \times 2 = 16$  bytes  
 add ( $a_{43}$ ) = B.A. + M.F  
 $= 1000 + 16$   
 $\approx 1016$

$a_{i,i}$   
 $a_{i+1,i}$   
 $a_{i+2,i}$   
 $a_{i+3,i}$

In CMO,  
 row-col gives the no. of  
 elements filled before intended  
 element in intended col  
 $\downarrow$   
 $\text{row-col} = i + 3 - i = 3$

$N \times N$

$\text{add}(a;j)$

col. already  
filled

index  $1, 2, 3, \dots, j-1$

index  $1 \rightarrow N = N-(1-1)$

$-1 \rightarrow 2 \rightarrow N-1 = N-(2-1)$

$3 \rightarrow N-2 = N-(3-1)$

$4 \rightarrow N-3 = N-(4-1)$

$\vdots$  Only when index starts from 1.  
 $n \rightarrow (j-1) \rightarrow N-(j-2) = N-j+2$

$S_n$

$$[N + (N-1) + (N-2) + \dots + \underbrace{(N-j+2)}_{\text{last term}}]$$

first term

$$\begin{matrix} & 1 & 2 & 3 & \dots & j-1 & j & \dots & N \\ \begin{matrix} 1 \\ 2 \\ 3 \\ \vdots \\ i-1 \\ \vdots \\ N \end{matrix} & \left[ \begin{matrix} a_{11} & 0 & 0 & \dots & 0 & \dots & 0 \\ a_{21} & a_{22} & 0 & \dots & \dots & \dots & 0 \\ \vdots & \vdots & \vdots & \ddots & \ddots & \ddots & \vdots \\ a_{(i-1)1} & a_{(i-1)2} & a_{(i-1)3} & \dots & \dots & \dots & a_{(i-1)i} \\ \vdots & \vdots & \vdots & \ddots & \ddots & \ddots & \vdots \\ a_{(N-1)1} & a_{(N-1)2} & a_{(N-1)3} & \dots & \dots & \dots & a_{(N-1)i} \end{matrix} \right] & \begin{matrix} a_{ij} \\ \vdots \\ a_{N,N} \end{matrix} \end{matrix}$$

Sum of  $n$  terms of A.P.

$$= \frac{N}{2} [ \text{first term} + \text{last term} ]$$

Here  $N = j-1$

$$\therefore = \frac{j-1}{2} [ N + (N-j+2) ]$$

→ Within col. index  $j$ , elements already filled before  $a_{ij}$   
 $= \text{row-col}^m = (i-j)$  (Valid only when  $i \neq j$  starts from same # index)

$$\begin{aligned} \rightarrow \text{Total elements already filled} &= \frac{j-1}{2} [ N + (N-(j-2)) ] + (i-j) \\ &= \frac{j-1}{2} [ 2N - (j-2) ] + (i-j) \\ &= N(j-1) - \frac{(j-1)(j-2)}{2} + (i-j) \end{aligned}$$

$$\text{Mem. filled} = \left[ N(j-1) - \frac{(j-1)(j-2)}{2} + (i-j) \right] \sim$$

$$\text{add}(a;j) = \text{B.A.} + \text{MF}$$

main diagonal ↗, cross diagonal ↘

Q.  $A[-3 \dots 3][-5 \dots 1]$ , CMO, LTM,  $w=1$  byte,  $B.A = 1000$

add ( $A[3][-1]$ )

Within  $\text{Col}^{mn}$  with index -1, how many rows already filled  
 $= i-j = 7 - (-1)$   
 $= 4$   
 But row  $\Rightarrow$   $\text{Col}^{mn}$  indexes do not start from same number.

Row starts from -3. whereas  $\text{Col}^{mn}$  starts from -5  
 Diff. bet.  $\text{row}$  is 2.  $\therefore$  this, we get 4-2=2 elements filled.  
 Subtract 2 from 4. we get 2 elements filled.

$\downarrow$   
 $(\text{Col}^{mn})$  already filled  
 $= -5 \text{ to } -2$   
 $= -2 - (-5) + 1$   
 $= 4$  col<sup>mn</sup>s



$N$  rows filled i.e.  $7+6+5+4$  elements

$$\frac{N}{2}[7+4] = \frac{4}{2}[11] \\ = 22 \text{ elements}$$

(As -2<sup>nd</sup> indexed  $\text{col}^{mn}$  has 4 elements, -1<sup>st</sup> has 3)

-5	-4	-3	-2	-1	0	1
x	0	0	0	0	0	0
x	x	0	0	0	0	0
x	x	x	0	0	0	0
x	x	x	x	x	0	0
x	x	x	x	x	x	0
x	x	x	x	x	x	x

Addr. to be found

Total ele filled before  $A_{3,-1} = 22 + 2 = 24$  ele.

Total Memory filled before  $A[3][-1] = 24$  bytes

Addr ( $A[3][-1]$ )

$$= B.A + MF \\ = 1000 + 24 = 1024$$

(Here row index starts from -3,  $\text{col}^{mn}$  index from -5.  
 i.e. row starting index  $>$   $\text{col}^{mn}$  starting index by 2 units  
 $\therefore$  we subtract 2. If row starting index  $<$   $\text{col}^{mn}$  starting index, we add the difference.) In LTM, CMO.



add  $(A_{0,3})$

Rows filled

$$= -12 \text{ to } -1$$

$$= -1 - (-12) + 1 = 12$$

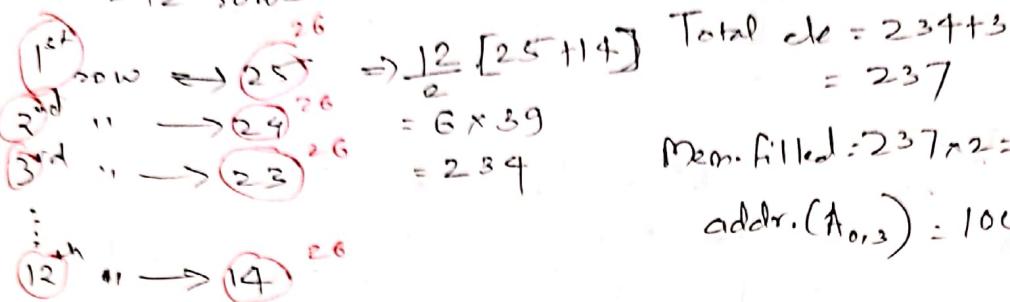
$$= 12 \text{ rows}$$

In row 0, elements

$$\text{before } A_{0,3} = 3 - 0 = 3$$

$$\text{Total ele} = 234 + 3$$

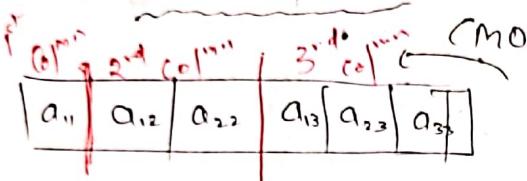
$$= 237$$



$$\text{Mem. filled} = 237 \times 2 = 474 \text{ bytes}$$

$$\text{addr.}(A_{0,3}) = 1000 + 474 = 1474$$

UTM in CMO



Q. 5x5, add  $(A_{4,5})$

Within 5x5,  
ele before  $A_{4,5}$

$$\begin{aligned} &= \text{row index} \\ &= 1 \text{ to } 3 \\ &= 3 - 1 + 1 \\ &= 3 \text{ elements} \end{aligned}$$

Col <sup>ws</sup> already filled  
index  
 $= 1, 2, 3, 4$   
 $= 1 + 2 + 3 + 4 = 10 \text{ elements}$

$$\begin{bmatrix} 1 & 2 & 3 \\ 0 & 0 & 0 \\ 0 & 0 & 0 \end{bmatrix}$$

$$\begin{bmatrix} 1 & 2 & 3 & 4 & 5 \\ 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 \end{bmatrix}$$

Tri-diagonal matrix

A square matrix

→ Main diagonal

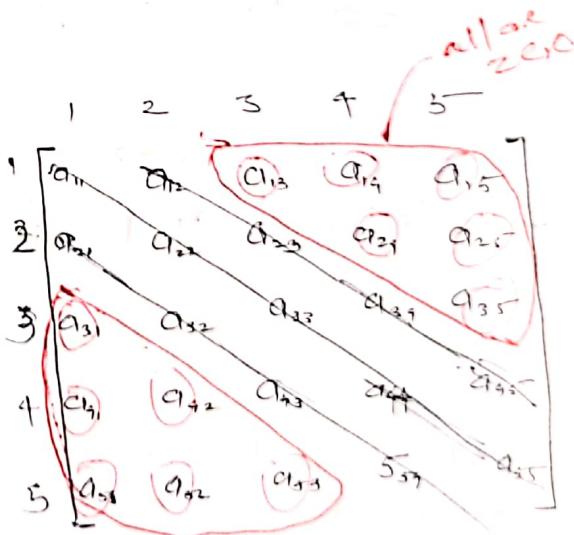
⇒ diagonal just

above main diagonal

⇒ D just below  
main diagonal

except them,  
all entries

D



# of elements in  $n \times n$  tri-diagonal matrix

# in first row  $\Rightarrow 2$

# in last "  $\Rightarrow 2$

# in remo. "  $\Rightarrow 3$

remaining rows  $= (n-2)$

$$\text{Total elem} = 2 + 2 + (n-2) \cdot 3$$

1<sup>st</sup> row  $\neq$  last

remaining elements  
in each remaining row

$$= 2 + 2 + 3n - 6$$

$$= 3n - 2$$

$\therefore$  10  $5 \times 5$  matrix,  $3n-2 = (3 \times 5) - 2 = 13$  elements

$a_{11}$	$a_{12}$	$a_{13}$	$a_{14}$	$a_{15}$	$a_{21}$	$a_{22}$	$a_{23}$	$a_{24}$	$a_{25}$	$a_{31}$	$a_{32}$	$a_{33}$	$a_{34}$	$a_{35}$	$a_{41}$	$a_{42}$	$a_{43}$	$a_{44}$	$a_{45}$
----------	----------	----------	----------	----------	----------	----------	----------	----------	----------	----------	----------	----------	----------	----------	----------	----------	----------	----------	----------

a. add( $a_{45}$ )

rows already filled = 3

Within 4<sup>th</sup> row,  
ele/cols before

1<sup>st</sup> = 2

$$a_{45} = (5-4)+1$$

2<sup>nd</sup> = 3

= 2 elements

3<sup>rd</sup> = 3

$$\text{Total ele} = 2+2=10$$

8 ele.

Generalize

$N \times N$  - m-diagonal, RMO, add( $a_{ij}$ )

Rows filled = 1 to  $i-1$   $\rightarrow$  Within  $i^{\text{th}}$  row, elements filled before  $a_{ij}$  =  $j-i+1$

$= (i-1)$  rows

Our of these  $(i-1)$  rows

1 <sup>st</sup> row = 2 el	Total = $2 + 3(i-2)$	$\Rightarrow 2 + 3i - 6$	Total ele before $a_{ij}$ = $3i - 4 + j - i + 1$
rem. $(i-2)$ rows = 3 el.	$\Rightarrow 3i - 4$	$\Rightarrow 2i + j - 3$	

Disadvantages of array  $\rightarrow$  Pre allocate size

No space allocation/deletion in array is expensive.

$n=10$   $\rightarrow$ 

10	20	30	40	50					
0	1	2	3	4	5	...	9		

 size = 10      Q. Insert 100 at  $A[1]$

Ans.  $A[5] = A[4]$

$A[4] = A[3]$

$A[3] = A[2]$

$A[2] = A[1]$

$A[1] = 100$

For( $i=n-1$ ;  $i \geq \text{index}$ ;  $i--$ )

$A[i+1] = A[i]$

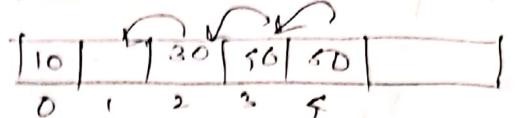
$A[\text{index}] = \text{element}$

Time complexity = no. of operation = n worst case  
 $\therefore O(n)$

$n=5$	10   20   30   40   50	G1   G2   G3   G4
	0 1 2 3 4	5 6 7 8 9

$O(n)$

Delete last element  
 ↳ No problem  
 $n = n - 1;$

Delete ele at index  $\geq 1$   


~~Delete~~  
 Reverse an Array

10   20   30   40   50   60	0 1 2 3 4 5
-----------------------------	-------------

step 1

swap(A[0], A[5])

60   20   30   40   50   10	0 1 2 3 4 5
-----------------------------	-------------

2 ele

$n/2$   
 swaps

swap(A[1], A[4])

60   50   30   40   20   10	0 1 2 3 4 5
-----------------------------	-------------

2 ele

swap(A[2], A[3])

60   50   40   30   20   10	0 1 2 3 4 5
-----------------------------	-------------

2 el

In every swap, 2 elements take position, i.e. Here 6 elements were present, so we needed 3 swaps.

∴ For  $n$  elements, in  $n/2$  swaps, the array will be reversed

$\leftarrow \text{swap}(A[i], A[j])$      $i + j = n - 1 \Rightarrow j = n - i - 1$

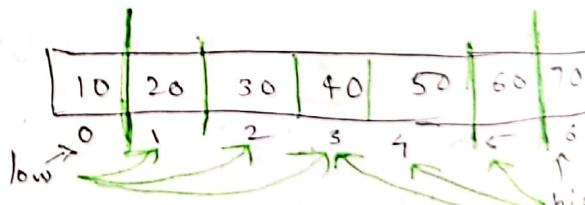
i	j
0	5
1	4
2	3

Code: for ( $i = 0; i < \frac{n}{2}; i++$ )

    swap(A[i], A[n-i-1]);

Void reverse (int arr[], int low, int high)  
{

    while (low < high)



        swap(A[low], A[high])

        low++;  
         high--;

}

```
void main()
```

```
{ int a[] = {10, 20, 30, 40, 50, 60, 70};  
reverse(a, 2, 6); } 
```

10	20	30	40	50	60	70
0	1	2	3	4	5	6

10	20	30	40	50	60
0	1	2	3	4	5

reverse(a, 0, 1); $\Rightarrow$	20   10   30   40   50   60
" (a, 2, 5); $\Rightarrow$	50   10   60   50   40   30
" (a, 0, 5); $\Rightarrow$	30   40   50   60   10   20

Array is rotated 2 times  
 $d = 2$

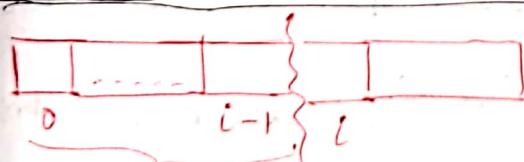
reverse(a, 0, d-1); (First reverse "d" elements)  
reverse(a, d, n-1); (reverse remaining "")  
reverse(a, 0, n-1); (reverse entire array)

Rotate D(d) times

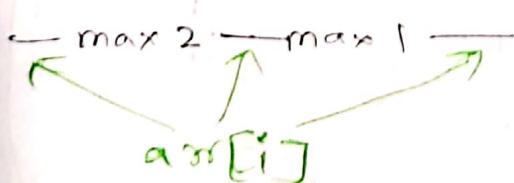
Approach → Largest, Second Largest ele. in array.  
→ Reverse - can we do it in 1 traversal

①  $\max = -\infty;$   
for ( $i = 0; i < n; i++$ )  
{ if ( $\text{arr}[i] > \max$ )  
     $\max = \text{arr}[i];$   
}

② for ( $i = 0; i < n; i++$ )  
{ if ( $\text{arr}[i] > \max_2$  &  
     $\text{arr}[i] \neq \max_1$ )  
     $\max_2 = \text{arr}[i];$   
}



we know  
 $\max_1 \neq \max_2$



If ( $\text{arr}[i] > \max_1$ )  
     $\max_2 = \max_1;$   
     $\max_1 = \text{arr}[i];$   
2) else if ( $\text{arr}[i] > \max_2$ )  
    then      $\max_2 = \text{arr}[i];$

## Linked Lists

```
struct Student {
    int a;
    struct student *ptr;
};
```

```
void main()
```

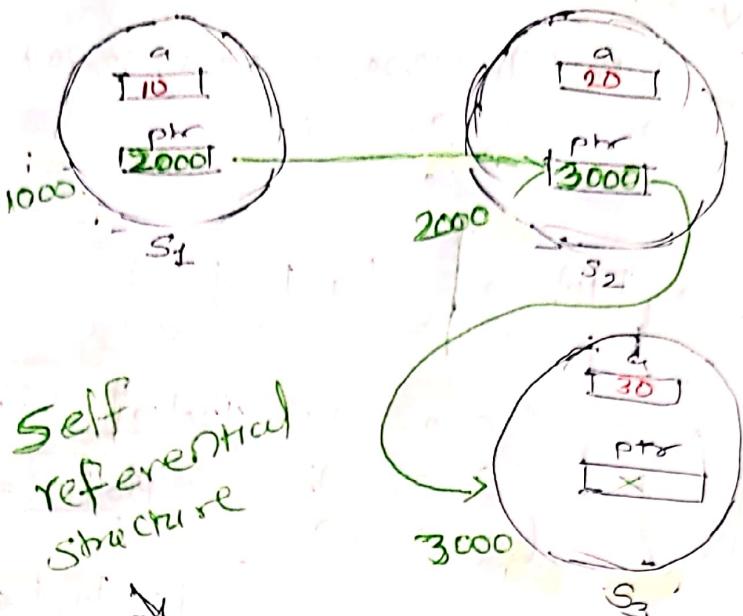
```
{ struct student s1, s2, s3;
s1.a = 10;
s2.a = 20;
s3.a = 30; }
```

$s1 \cdot \text{ptr} = \text{Address of}$   
 $\text{struct student}$   
 $\text{type variable}$ "

$s1 \cdot \text{ptr} = \&s2;$

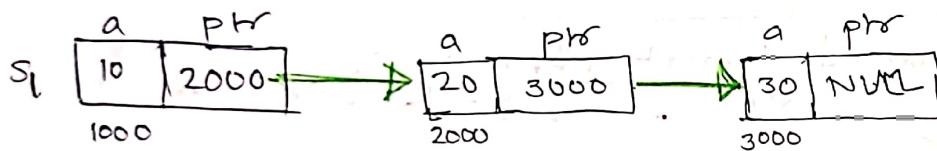
$s2 \cdot \text{ptr} = \&s3;$

$s3 \cdot \text{ptr} = \text{NULL};$



A structure where a member is a pointer to same type of structure variable

Advantage: Increase/decrease size at Runtime.



## Linked List

It is a linear data structure which is a collection of elements called nodes, in which every node contains:  
 i) data ii) address of next node.

∴ Node, itself is a collection of diff. types of elements.

```
struct Node {
```

```
    int data;
```

```
    struct Node *next;
```

```
;}
```

```
Void main() {
```

```
    struct Node s;
```

```
    Insert(100);
```

```
}
```

static local  
variable (comp time)

dynamic  
alloc

```
Void Insert(int key)
```

```
{ struct Node(s1);
```

```
s1.data = 10;
```

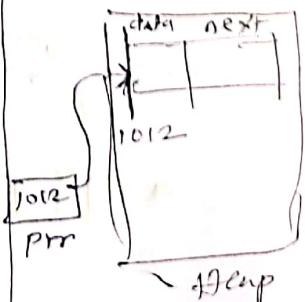
```
=
```

→ After this  
 $s1$  is vanished.  
 problem

∴ Node → malloc help  
 ↳ throughout program

```
struct Node *ptr;
```

```
ptr = malloc(sizeof(struct Node));
```



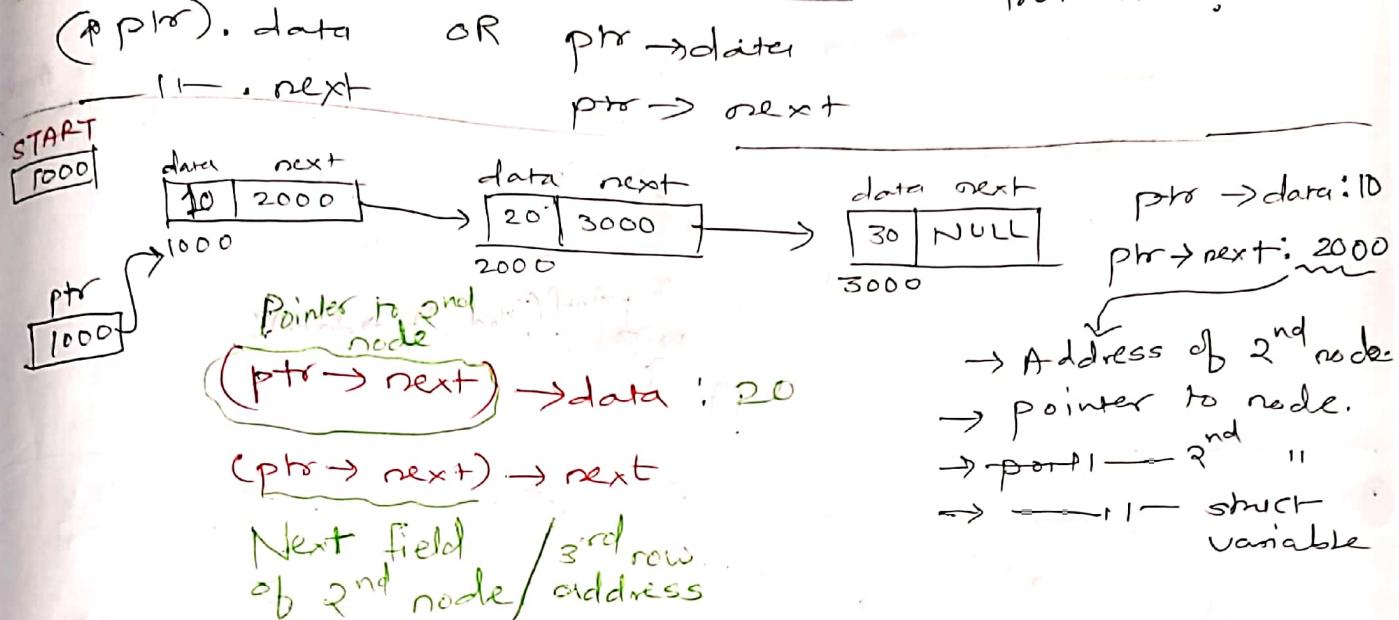
```

struct Node {
    int data;
    struct Node *next;
};

struct Node *ptr;
ptr = malloc(sizeof(struct Node));

```

Pointers to structure variable.  
Access members from it? How



How to access next node?

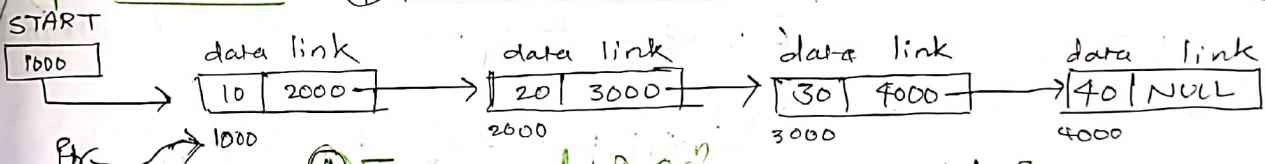
$$\&$$
ptr =  $\&$ ptr->next

struct Node START Add. of 1st Node → Global var.

Empty L.L. → No first Node  $\Rightarrow$  START cannot have any valid address,  
i.e., START = NULL

## Operations

### ① Traversal



### ① Traversal Oper

```

struct Node {
    int data;
    struct Node *link;
} *START = NULL;

```

```

Void main() {
    Traversal();
}

```

```

Void Traversal() {
    struct Node *ptr;
    ptr = START;
    while (ptr != NULL)
    {
        printf("%d", ptr->data);
        ptr = ptr->link;
    }
}

```

That is why,  
START is passed  
as an argument  
to Traversal

```

struct Node {
    int data;
    struct Node *link;
}

```

```

Void main() {
    struct Node *START = NULL;
    {
        Traversal(START);
    }
}

```

```

Void Traversal(struct Node *ptr) {
    While (ptr != NULL)
    {
        pf ("%d", ptr->data);
        ptr = ptr->link;
    }
}

```

While ( $\&$ ptr) = While ( $\&$ ptr) = NULL

② Count the # of nodes in LL.

```
int count_node() {  
    int count = 0;  
    struct Node *ptr;  
    ptr = START;  
    while (ptr != NULL)  
    {  
        count++;  
        ptr = ptr->link;  
    }  
    return count;  
}
```

③ Given a LL, print the data of last node.

```
void print_last()  
{  
    struct Node *ptr;  
    ptr = START;  
    if (START == NULL) // No Node  
        return; // No last Node  
    while (ptr->link != NULL)  
    {  
        ptr = ptr->link;  
    }  
    printf("%d", ptr->data);  
}
```

To ensure list is not empty

④ Print 2nd last node data in LL.

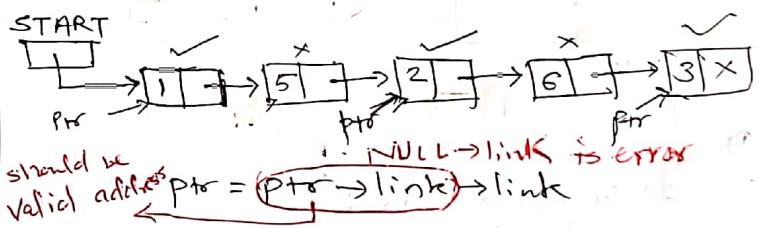
*o Node ~ Node*

```
if (START == NULL || START->link == NULL) } Ensure that at least 2 nodes exist  
{  
    return;  
}  
ptr = START;  
while (ptr->link->link != NULL)  
{  
    ptr = ptr->link;  
}  
printf("%d", ptr->data);
```

⑤ Given a LL & a key, find whether the key is present in LL or not.

```
Void Search (int key)  
{  
    struct Node *ptr = START;  
  
    while (ptr != NULL) -  
    {  
        if (ptr->data == key)  
        {  
            printf("Yes");  
            return;  
        }  
        ptr = ptr->link;  
    }  
    printf("No")  
}
```

⑥ Write a code to print alternate node data in LL.



```
struct Node *ptr = START;  
while (ptr != NULL && ptr->link != NULL)  
{  
    printf("%d", ptr->data);  
    ptr = ptr->link->link;  
}  
if (ptr == NULL)  
    return;  
if (ptr->link == NULL)  
    printf("%d", ptr->data);
```

Add'l code works for 1 node.  
For odd nodes to work

## Insertion

① memory allocate

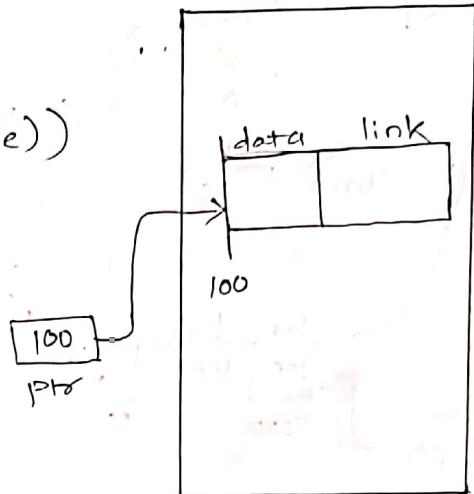
```
struct Node *ptr
```

```
ptr = malloc(sizeof(struct Node))
```

② Insert data/key in this new node.

```
ptr->data = 100;
```

```
ptr->link = key;
```



③ Where to place/insert this node.

START

1000

data link

10 2000

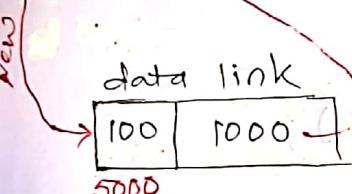
data link

20 3000

data link

30 NULL

Insert 100  
at beginning



Code :

```
Void main()
```

```
{  
    =  
    Insert_at_beg(key);  
    =  
}
```

```
Struct Node *ptr;  
ptr = malloc(sizeof  
(struct Node));  
ptr->data = key;  
ptr->link = START;  
START = ptr;
```

Void Insert\_at\_beg(int key)

{

```
Struct Node *ptr;
```

```
ptr = malloc(sizeof(struct Node));
```

```
if (ptr != NULL)
```

// Memory is available

```
{  
    ptr->data = key;
```

```
    ptr->link = START;
```

```
    START = ptr;
```

When  
START  
is  
Global

Another way :-

```
Void main()
```

```
{  
    =  
}
```

```
START = Insert();
```

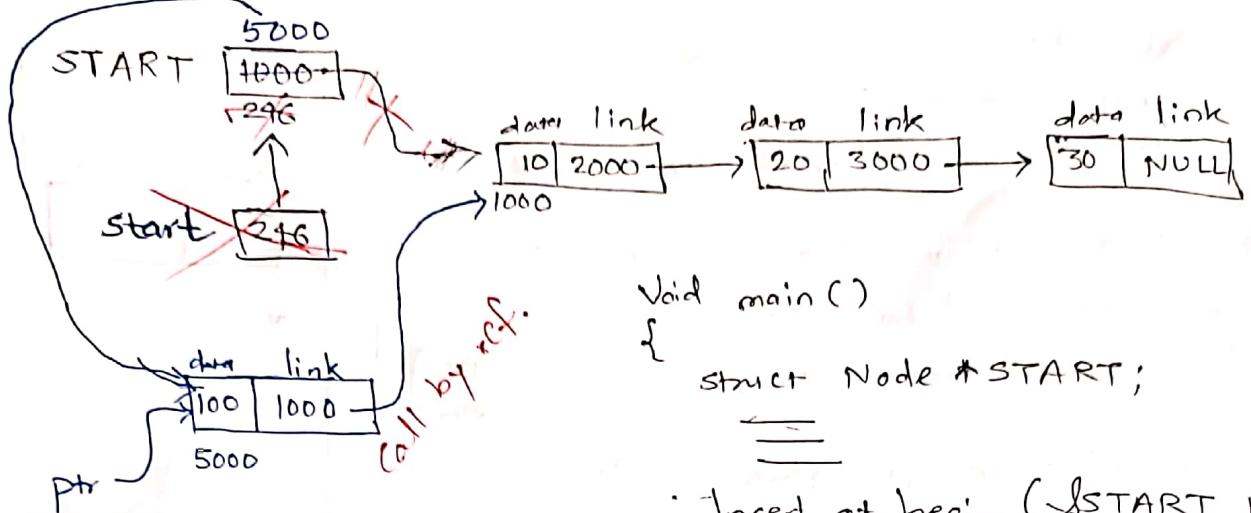
struct Node \*Insert\_at\_begin(struct Node \*start, int key)

```
{  
    Struct Node *ptr;
```

```
ptr = malloc(—);
```

```
=  
return (ptr);
```

Now, Assume START is a local variable of main.



Void main()

{  
    struct Node \*START;

    =

    · Insert\_at\_begin (&START, 100);

}

Addr. of a Pointer var. START

Void Insert\_at\_begin (struct Node \*\*&start, int key)

{

    struct Node \*ptr;

    ptr = malloc (sizeof(struct Node));

    if (ptr)

    {

        ptr->data = key;

        ptr->link = \*start; (\*246 = 1000)

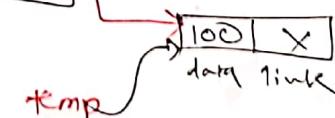
    \*start = ptr; (Value at start "1000" = 500.)

    }

    } . (Red crosses vanishes after this code)

b) Insert 100 at last

reverse till this node



code:

Struct Node \*temp, \*ptr;

\*temp = malloc(sizeof(struct Node));

if (temp)

{ temp->data = key;

temp->link = NULL;

if (START == NULL)

{ START = temp;

} return

ptr = START

while (ptr->link != NULL)

{

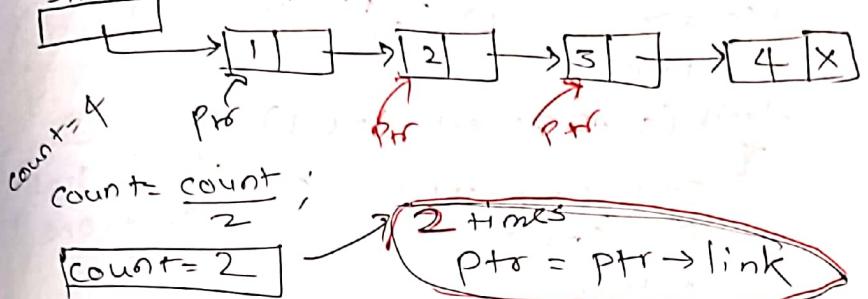
    ptr = ptr->link;

    ptr->link = temp;

At least  
1 node  
present

Finding middle element in a L.L. (If there are two middle nodes, in case, when N is even, point the second middle element.) Write a function getMiddle() which takes a head reference as the only argument and should return the data at the middle node of the linked list.

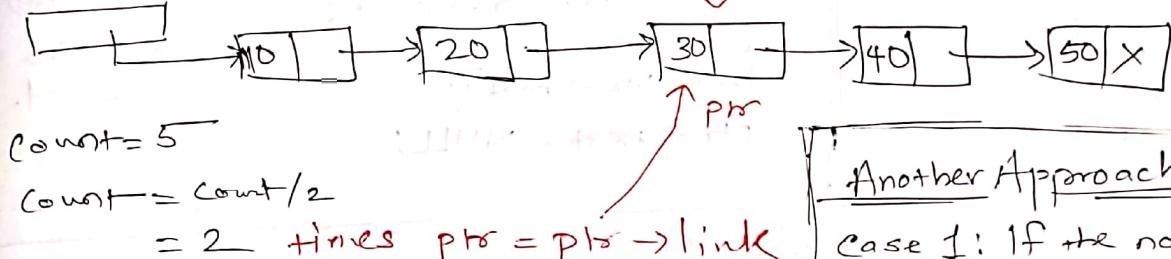
START (head).



works for Even no. of nodes

if *count* = 0  
No middle node.

START

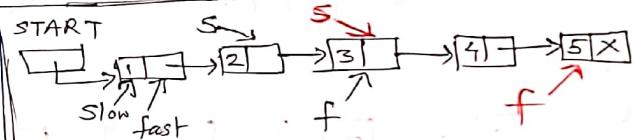


int getMiddle(Node \*START)

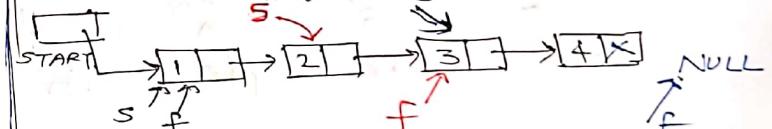
```
{
    int count = 0;
    struct Node* ptr;
    ptr = START;
    while (ptr != NULL)
    {
        count++;
        ptr = ptr->link;
    }
    count = count / 2
    int i;
    for (i = 0, i < count, i++)
    {
        ptr = ptr->link;
    }
    return ptr->data;
}
```

Another Approach

case 1: If the nodes are odd



case 2: Even nodes



In both cases, slow ptr points middle node.

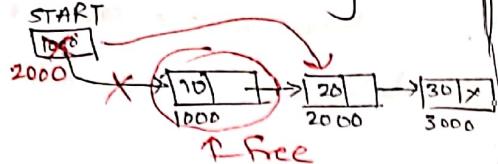
int getMiddle (Node \*head)

```
{
    struct Node* slow, *fast;
    slow = head;
    fast = head;
    if (head == NULL) } if empty LL, returns -1.
    return -1;
    while (fast != NULL && fast->next != NULL)
    {
        slow = slow->next;
        fast = fast->next->next;
    }
    return slow->data;
}
```

(Here link = next, START = head)

## Deletion

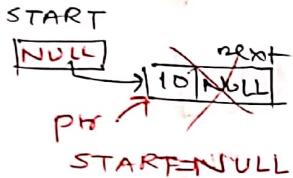
a) Deletion at beginning



After deletion of 1<sup>st</sup> node, START  
⇒ must point 2<sup>nd</sup> ".

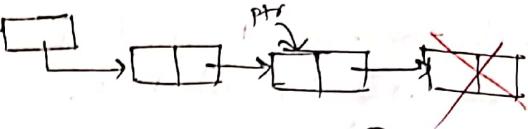
```
if(START == NULL) { if LL
    return; } is empty
PTR = START;
START = START->next;
free(ptr)
```

case 1: If LL has one node.



b) Deletion from End

Traverse till 2<sup>nd</sup> last node & Insert NULL to its  
next field.



• PTR = START;

if (START == NULL) { case ①  
 return; } if L.L is empty

elseif (START->next == NULL) { case ②

START = NULL;

free(PTR);

return;

} If L.L has  
 Only One  
 Node

While (PTR->next->next != NULL) {

PTR = PTR->next;

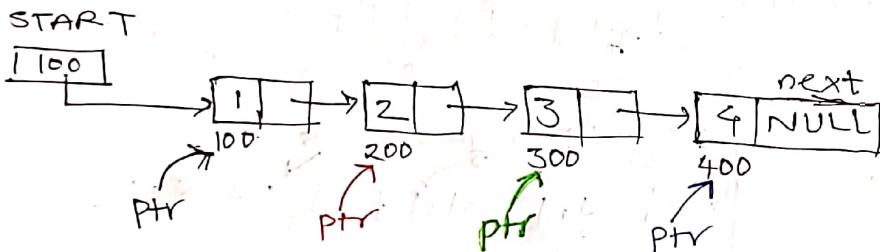
free(PTR->next);

PTR->next = NULL;

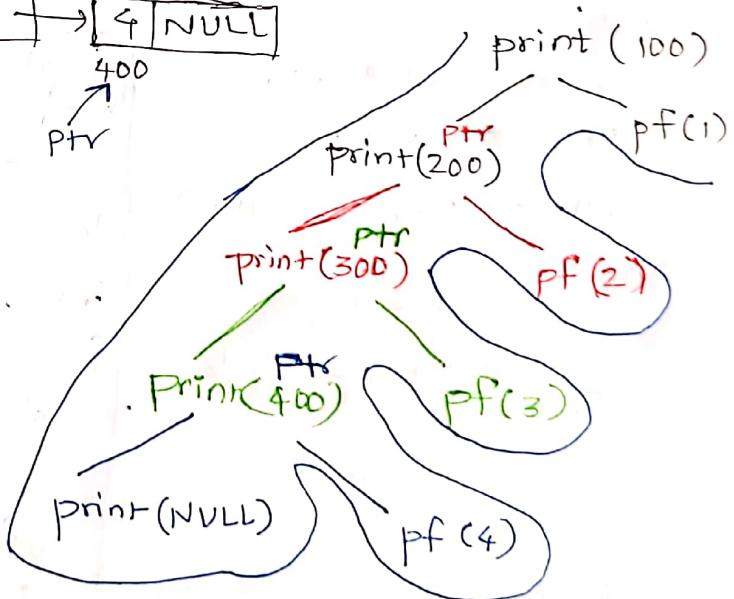
case ① & ②  
fails, Atleast  
2 nodes are  
ensured.

## Reversal

a) print values/data of L.L. in Reverse Order.



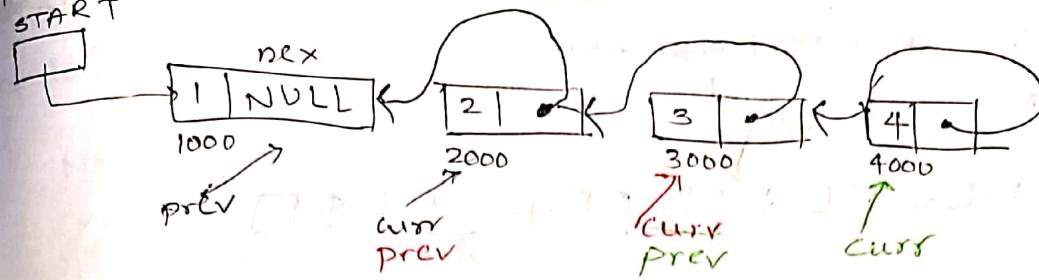
```
Void Print(struct Node** ptr)
{
    if (*ptr)
    {
        print(*ptr->next);
        pf("%d", *ptr->data);
    }
}
```



Output 4 3 2 1

b) Complete fun "reverseList()" with head ref. as the only argument  
should return new head after reversing the list.

## Actual Reversal of a L.L

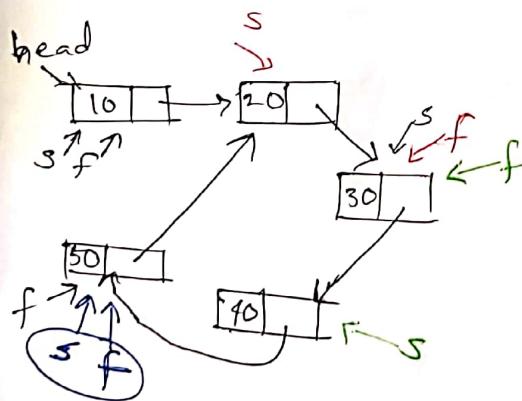


`Struct Node* reverseList ( struct Node *head )`

```

{
    Struct Node *curr, *prev, *nex;
    if (head == NULL || head->next == NULL)
        return head; // 0 node, or 1 node → do nothing
    prev = NULL;
    curr = head;
    while (curr != NULL)
    {
        nex = curr->next; // save next node
        curr->next = prev; // reverse concept
        prev = curr;
        curr = nex;
    }
    return prev;
}
  
```

a. Find whether there is a loop in the L.L. or not.

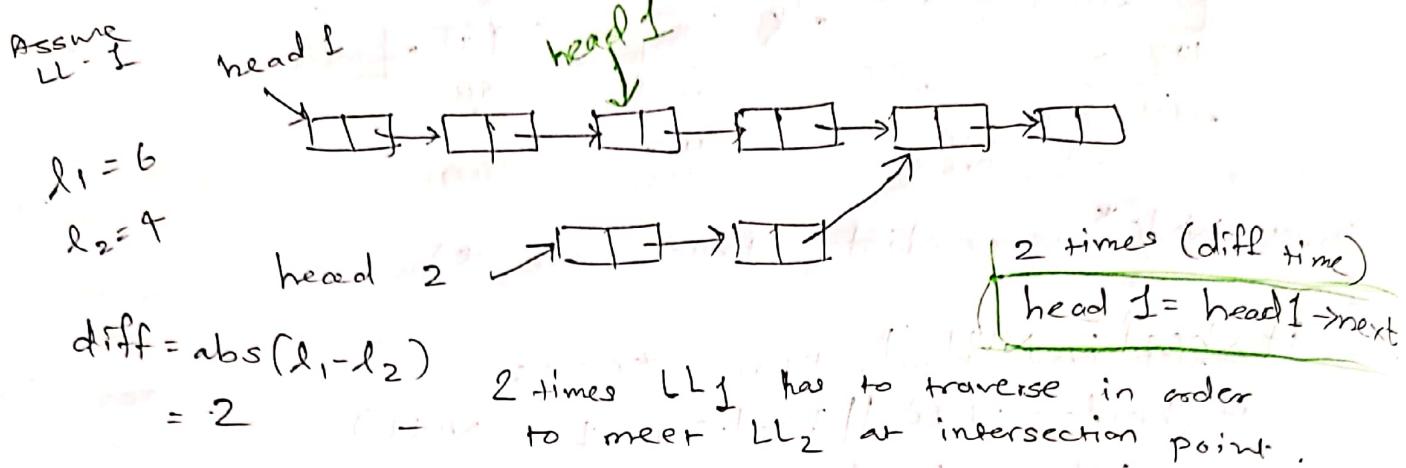


`bool detectLoop (Node* head)`

```

{
    Struct Node *slow, *fast;
    slow = fast = head;
    if (head == NULL)
        return false;
    while (fast != NULL && fast->next != NULL)
    {
        slow = slow->next;
        fast = fast->next->next;
        if (slow == fast)
            return true;
    }
    return false;
}
  
```

Q. Find the intersection point (if exists) b/w 2 Linked lists  
return the value at intersection pt.



```
int intersectPoint (Node* head1, Node* head2)
{
    int diff; c1=0, c2=0, i;
    Node *ptr1=head1, *ptr2=head2;
    while (ptr1)
    {
        c1++;
        ptr1=ptr1->next; } Find length of 1st LL
    }
    while (ptr2)
    {
        c2++; // find length of 2nd LL
        ptr2=ptr2->next;
    }
    diff=abs(c1-c2);
    ptr1=head1;
    ptr2=head2;
    if (c1>c2) // 1st LL is bigger
    {
        for(i=1; i<=diff; i++)
            ptr1=ptr1->next;
    }
    elseif (c2>c1)
        for(i=1; i<=diff; i++)
            ptr2=ptr2->next;
    while (ptr1!=ptr2)
    {
        ptr1=ptr1->next;
        ptr2=ptr2->next
    }
    if (ptr1!=NULL)
        return ptr1->data;
    return -1;
}
```

Q. Given two sorted Linked Lists, merge them & return its head.

Node\* sortedMerge (Node\* a, Node\* b)

{ Node\* head = NULL;

Node\* last = NULL;

if (a == NULL)

    return b; // If 1<sup>st</sup> LL empty.

if (b == NULL)

    return a; // If 2<sup>nd</sup> LL empty

if (a->data <= b->data)

{ head = last = a;

    a = a->next;

else

{ head = last = b;

    b = b->next;

While (a != NULL && b != NULL)

{

    if (a->data <= b->data)

{

        last->next = a;

        last = a;

        a = a->next;

}

else

{

        last->next = b;

        last = b;

        b = b->next;

}

}

if (a == NULL)

    last->next = b;

else

    last->next = a;

return head;

}

► The following C function takes a S.L.L. P as an argument.

```
int f(struct item *P)
{
    if ((P == NULL) || (P->next == NULL))
        return 0;
    if ((P->data <= P->next->data) && f(P->next))
        return 1;
}
```

The func.

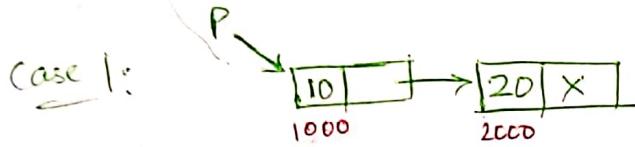
returns 1 if & only if

A] The list is empty or exactly 1 ele.

✓ B] The ele. in list are sorted in non-decreasing order.

C] The " " " " " " " " " " -increasing " " .

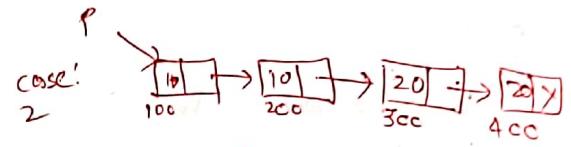
D] Not all the elements in the list have same data.



return 0 || 0 || 10 <= 10

① ↗ f(200)

0 || 0 || 1 & 1 = returns 1



10, 10, 10, 10

Non-decreasing order  
Not Ascending X

2) In worst case, the no. of comparisons needed to search a S.L.L. of length n for a given element is! -

A]  $\log n$

B]  $n/2$

C]  $\log n - 1$

✓ D] n

In worst case, ele. will be in the last node where we have to traverse a L.L. n times.

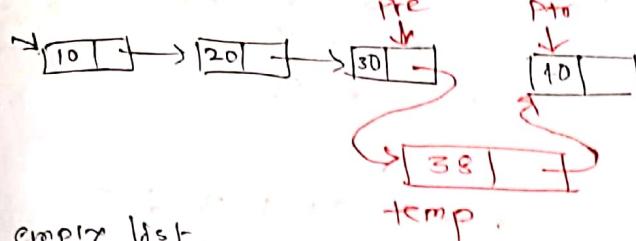
3) What is the worst case time complexity to reverse a S.L.L. in  $O(1)$  space?

Constant memory  $\frac{1}{2}$  Eliminated no. of variables  $\frac{1}{3}$

No. of comparisons is equal dependent on no. of nodes.

► What is the worst case T.C. of inserting n elements into an empty L.L. if the LL needs to be maintained in sorted order?

Let's assume we have a sorted L.L. & needs to be inserted.



In empty list,



If it's 1<sup>st</sup> ele  $\Rightarrow$  0 comparisons needed.

$$2^{\text{nd}} \Rightarrow 1 - 1 -$$

$$\therefore O(n^2)$$

$$3^{\text{rd}} \Rightarrow 2 - 1 -$$

$$n^{\text{th}} \Rightarrow (n-1) - 1 -$$

$$\therefore 1 + 2 + 3 + \dots + (n-1) = \frac{(n-1)n}{2} = \frac{n^2}{2} - \frac{n}{2}$$

We need to traverse the list for each ele we want to insert  
to find correct position in sorted list.

→ void join(node \*m, node \*n)

{

    node \*p = n;

    while(p->next != NULL)

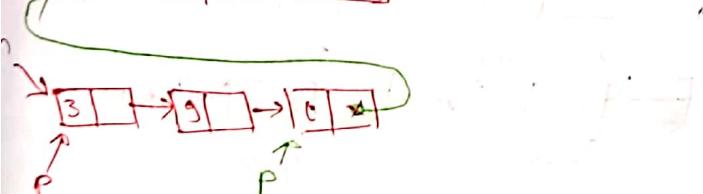
    {

        p = p->next;

    }

    p->next = m;

}



Assuming that m & n

points to valid NULL terminated L.L. Invocation of join will-

A] Append list m to the end of list n for all i/p's.

B] Either cause a null pointer dereference or append list m to the end of list n.

C] Cause a null pointer dereference.

D] Append list m to the end of list n.

5) The following C function takes a S.L.L. of integer as a parameter & rearrange the elements of list. The function is called with the list containing the integer. What will be the contents of the list after the function completes its execution?

```
Void rearrange (struct node *list)
```

{

```
    struct node *P, *q;
```

```
    int temp;
```

```
    If (!list || !list->next)
        return;
```

```
    P = list; q = list->next;
```

```
    While (q)
```

{

```
        temp = p->value;
```

```
        p->value = q->value;
```

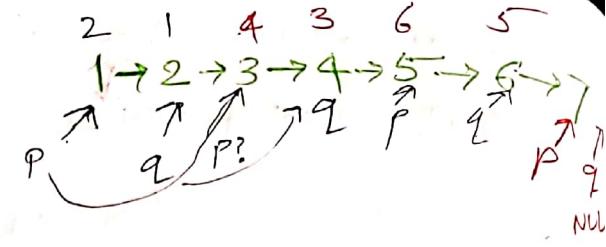
```
        q->value = temp;
```

```
        P = q->next;
```

```
        q = (P ? P->next : 0);
```

}

True  
when non-zero



Output 2 1 4 3 6 5

NULL

### Types of Linked List.

1) Singly Linked List ✓

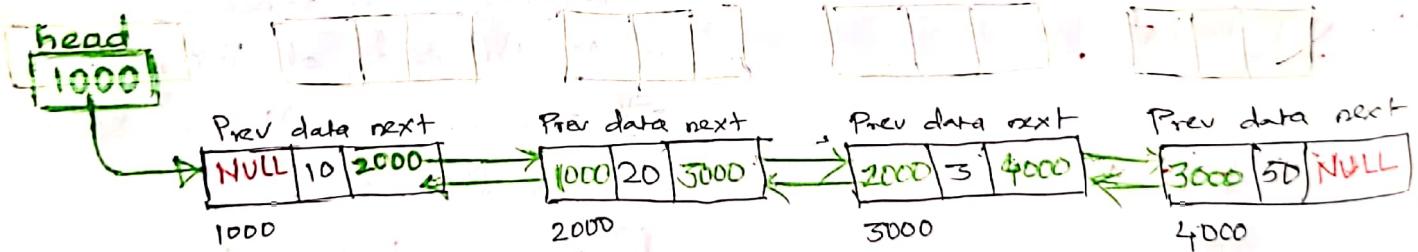
2) Doubly " "

3) Circular " "

4) Header " "

2) Doubly Linked List :

In each node, we have two pointers, pointers to previous as well next node.



Struct Node

{

```
    struct Node *Prev;
```

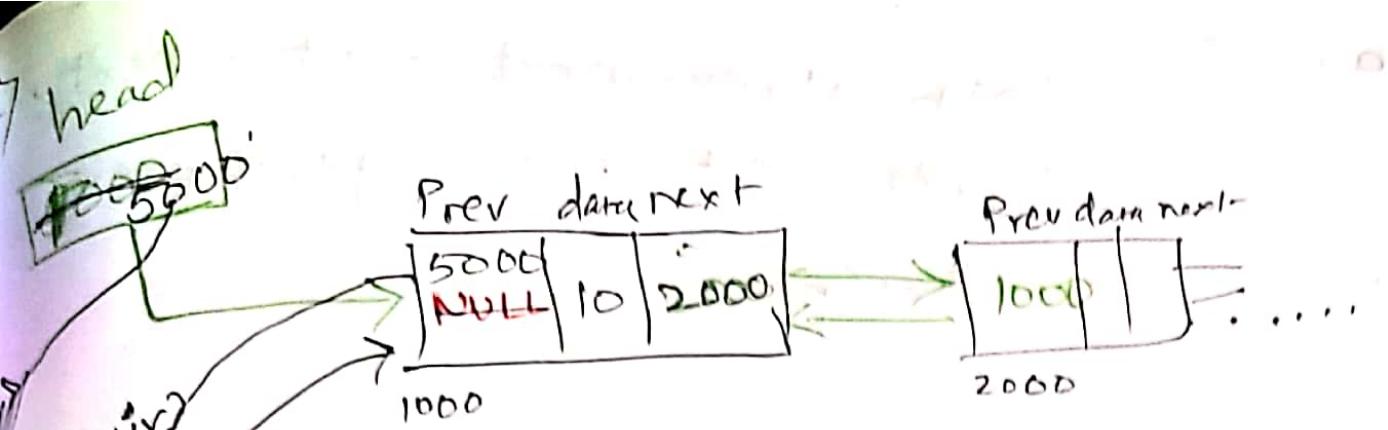
```
    int data;
```

```
    struct Node *next;
```

}

Traversal → same as SLL

or Insertion at beginning



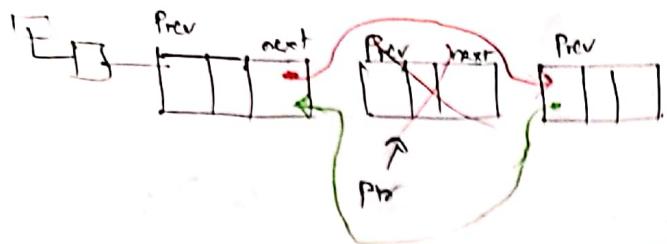
```

struct Node *temp = malloc (sizeof ( struct Node ));

i) temp->data = key;
ii) temp->next = head;
iii) temp->prev = NULL;
iv) head->prev = temp;
v) head = temp
  
```

if (head == NULL)  
 {  
 temp->next = NULL;  
 temp->prev = NULL;  
 head = temp;  
 }  
 else  
 { From point ii)  
 }

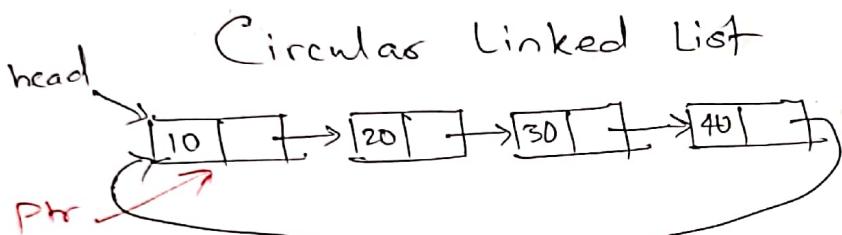
Q. Given a pointer to a node, delete that node.



```
i) >ptr->prev->next = ptr->next  
ii) >ptr->next->prev = ptr->prev  
free(ptr);
```

Q. Given a DLL, search for an element, return 1 if present.

```
int searchNode(struct node *head, int key)  
{  
    struct node *temp = head;  
    while(temp != NULL)  
    {  
        if (temp->data == key)  
            return 1;  
        temp = temp->next;  
    }  
    return -1;  
}
```



Traversal code:

```
if (head == NULL)  
    return;  
do {  
    printf("%d", ptr->data);  
    ptr = ptr->next;  
} while (ptr != head)
```

works for single node too.

We need do while as it runs for at least one time.

If we had used while ( $\text{ptr} \neq \text{head}$ ), it wouldn't have run as  $\text{ptr}$  is  $\text{head}$ .

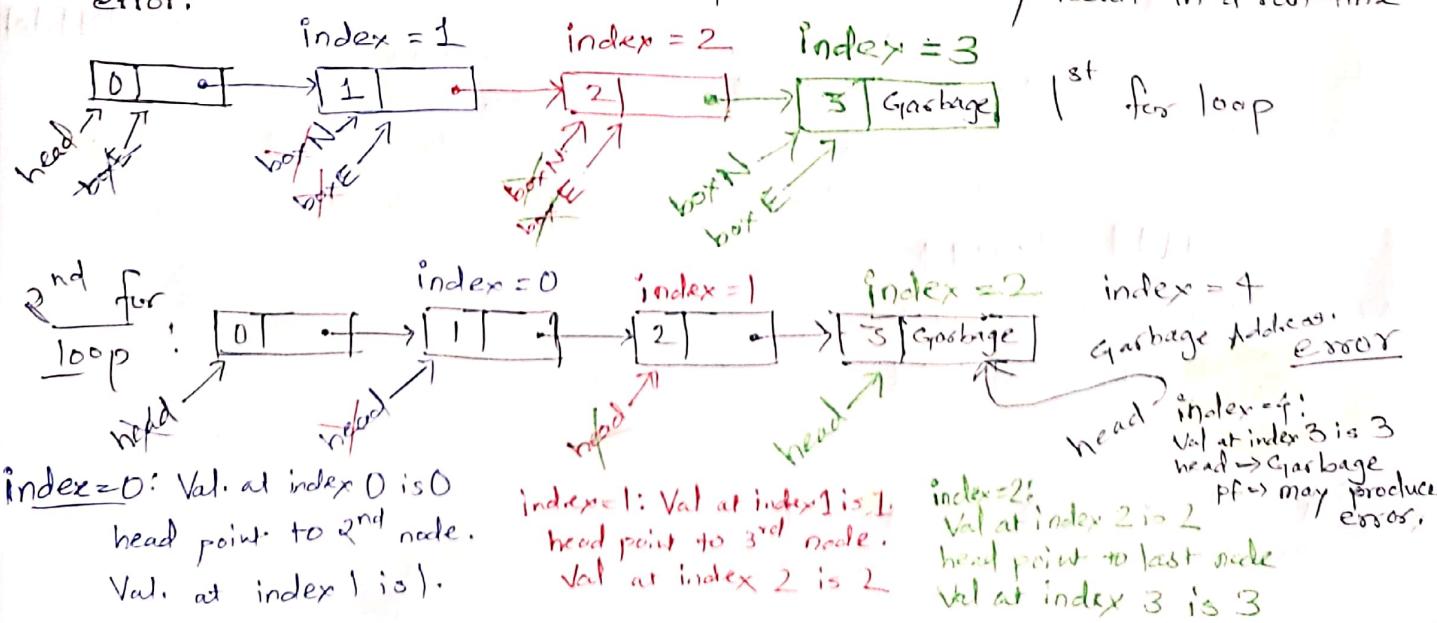
Q. Consider the following ANSI C program :

GATE 2021 2 Marks

```
#include <stdio.h>
#include <stdlib.h>
struct Node {
    int value;
    struct Node *next;
}
int main() {
    struct Node *boxE, *head, *boxN; int index = 0;
    boxE = head = (struct Node *) malloc(sizeof(struct Node));
    head->value = index;
    for(index = 1; index <= 3; index++) {
        boxN = (struct Node *) malloc(sizeof(struct Node));
        boxE->next = boxN;
        boxN->value = index;
        boxE = boxN;
    }
    for(index = 0; index <= 3; index++) {
        printf("Value at index %d is %d\n", index, head->value);
        head = head->next;
        printf("Value at index %d is %d\n", index + 1, head->value);
    }
}
```

Which one of the statements below is correct about the program?

- A) Upon execution, the program creates a linked-list of five nodes.
- B) Upon execution, the program goes into an infinite loop.
- C) It has a missing return which will be reported as an error by the compiler.
- D) It dereferences an uninitialized pointer that may result in a run-time error.



Q. The foll. C func. takes a SLL as i/p argument. It modified the list by moving the last ele. to the front of the list & returns the modified list. Some part of the code is left blank.

GATE-2010 2 Marks

```
typedef struct node {
    int value;
    struct node *next;
} Node;
```

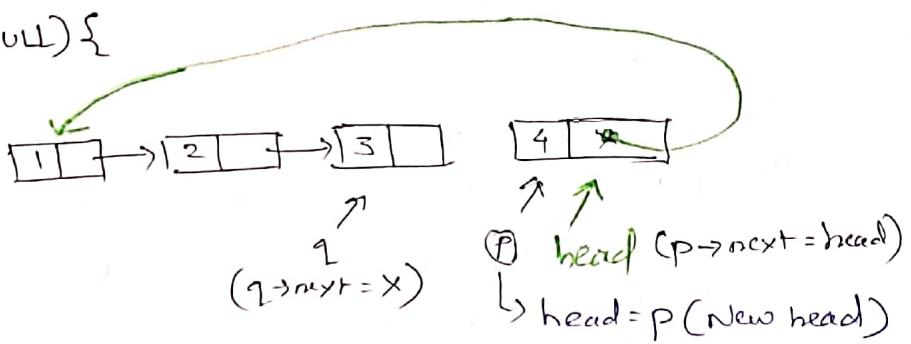
```
Node *move_to_front(Node *head) {
    Node *p, *q;
    if ((head == NULL) || (head->next == NULL))
        return head;
    q = NULL;
    p = head;
```

```
    while (p->next != NULL) {
```

```
        q = p;
```

```
        p = p->next;
    }
```

```
} // return head;
```



Choose the correct alternative to replace the blank line.

- A)  $q = \text{NULL}$ ;  $p \rightarrow \text{next} = \text{head}$ ;  $\text{head} = p$ ; ~~X~~ It becomes a circular L.L.
- B)  $q \rightarrow \text{next} = \text{NULL}$ ;  $\text{head} = p$ ;  $p \rightarrow \text{next} = \text{head}$ ; ~~X~~ 
- C)  $\text{head} = p$ ;  $p \rightarrow \text{next} = q$ ;  $q \rightarrow \text{next} = \text{NULL}$  ~~(No way, we can access head if head = p)~~
- ~~D)  $q \rightarrow \text{next} = \text{NULL}$~~ ;  $p \rightarrow \text{next} = \text{head}$ ;  $\text{head} = p$ ;

Q. Let SLLdel be a function that deletes a node in a SLL given a pointer to the node & a pointer to the head of the list. Similarly let DLLdel be another function that deletes a node in a DLL given a pointer to the node & a pointer to the head of the list.

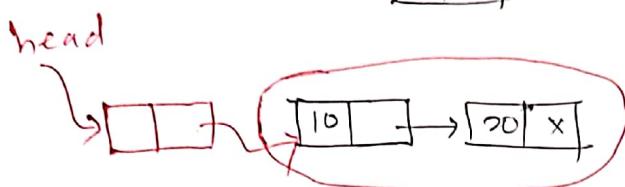
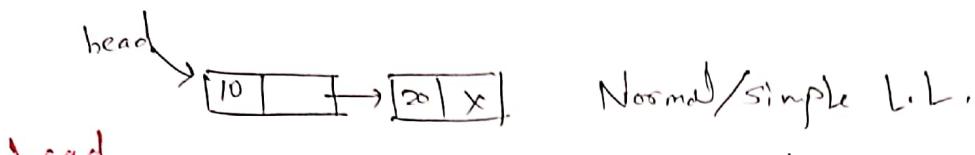
Let  $n$  denote the number of nodes in each of the linked lists. Which one of the following choices is TRUE about the worst-case T. C. of SLLdel and DLLdel?

- A)  $SLLdel$  is  $O(1)$  &  $DLLdel$  is  $O(n)$
- B) Both  $SLLdel$  &  $DLLdel$  are  $O(\log n)$
- C)  $SLLdel$  is  $O(1)$  &  $DLLdel$  is  $O(1)$

~~D)  $SLLdel$  is  $O(n)$  &  $DLLdel$  is  $O(1)$~~

## Header Linked list

A header node is a special node that is found at the beginning of the list. A list that contains this type of node, is called the header-linked list.



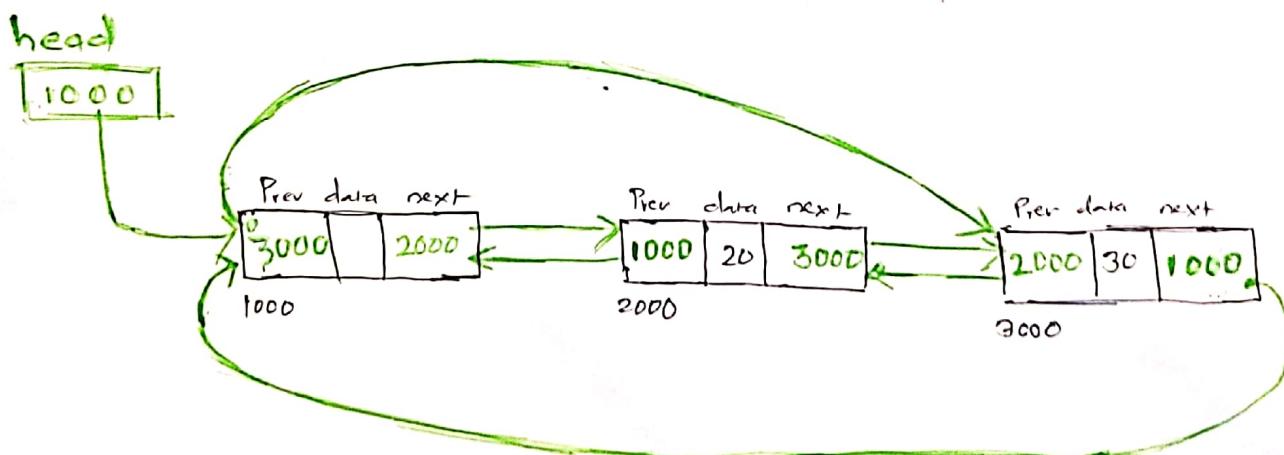
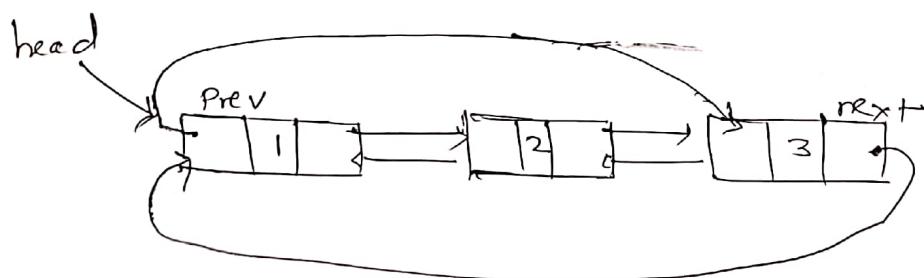
head points to the header node instead of the 1<sup>st</sup> node of the list.  
Header node does not represent an item in the LL. Actual LL starts from 2<sup>nd</sup> node.

This header node can be used to store information other than that found in every other node like no. of nodes in a list.

## Doubly Circular Linked List

Doubly Circular Linked List is a circular linked list (i.e. node of the list contains the address of the first node of the list) in which a node contains pointers to its previous node as well as the next node.

Unlike simple Doubly Linked List, DCLL does not contain NULL in any of the node.



## Stacks

\* Linear D.S.

\* Order of deletion: reverse order of insertion

\* LIFO / FILO

\* Both insertion and deletion are performed only at one end called as TOP of stack.

\* Restricted D.S.

TOP: element added most recently.

### Stack as ADT

Insert → Push

Delete → Pop

IsEmpty() → True if empty  
false if not

Sole purpose of stack is to wait or to delay to postpone decisions.

### Applications:

→ Recursion/Function

→ TOT

→ Infix to prefix/postfix

→ Pre/Post fix Evaluation

5) Balanced

parenthesis check.

### Implementation using Array

#### Inserting an element:

Global:- STACK[SIZE];  
with  
Array  
stack  
declaration - TOP = -1;

$O(1)$  constant time

```
Void Push(int x)
{
    if (TOP == SIZE-1)
        return; // If stack is full.
    TOP++;
    STACK[TOP] = x;
}
```

#### Deletion:

```
int pop()
{
    int temp;
    if (TOP == -1)
        return INT-MIN; // If stack is empty
    temp = STACK[TOP] // Element to be deleted
    TOP--;
    return temp; // we return the element to be deleted.
}
```

~~Constant time~~  
 $O(1)$

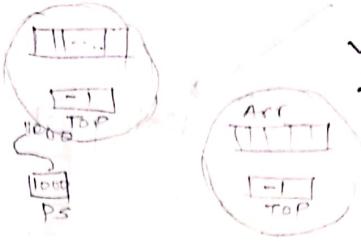
'Stack': Collection of two things: An array & a variable TOP

Collection of diff./ Heterogenous Datatypes.

```
struct STACK  
{  
    int Arr[SIZE];  
    int TOP;  
}
```

```
Void main()
```

```
{  
    struct STACK S1, S2;  
    S1.TOP = S2.TOP = -1;  
    PUSH(&S1, 10)
```



```
void Push(struct STACK* PS, int x)  
{  
    if (PS->TOP == SIZE-1)  
        return;  
    PS->TOP = PS->TOP + 1;  
    PS->Arr[PS->TOP] = x;  
}
```

### Stack Permutation

Order of insertion of given elements is fixed. What could be possible order of pop? (stack permutation).

Let  $n = 3 \quad 1, 2, 3$ .

Order of insertion be  $\overrightarrow{1, 2, 3}$

Possible permutation  $= 3! \quad$  Pop can be performed any time.

1, 3, 2

a) push(1)

b) pop() 1

c) push(2)

d) " (3)

e) pop() 3

f) pop() 2

2, 3, 1

Push(1)

→ 1 → (2)

pop() 2

push(3)

pop() 3

pop() 1

Push(1)

→ 1 → (2)

→ 1 → (3)

pop() 3

can we pop

1 before 2?

No.

Valid stack permutation.

Valid

Not Valid

Here, out of  $3!$  or  $6$  permutations,  $5$  are valid.

$$\text{Permutation} \Rightarrow \frac{2^n C_n}{n+1} \text{ stack permutations}$$

{ Priority }  
Associativity

Infix to Postfix

Without using  
stack

Ex. 1:

$$\text{infix: } 2 + 3 \times 5$$

$$\begin{array}{c} \times \\ + \\ 2 + [35 \times] \\ \downarrow \quad \downarrow \\ \text{op}_1 \quad \text{op}_2 \end{array}$$

$$\text{Postfix: } 235 \times +$$

$$\text{Ex. 2: } 2 + 3 \times 4 / 6 \uparrow 2$$

$$\begin{array}{c} \text{Associativity} \\ (\times /) \\ \text{from L-R} \end{array} \quad 2 + 3 \times 4 / [62 \uparrow]$$

$$2 + [34 \times] / [62 \uparrow]$$

$$\begin{array}{c} 2 + [34 \times 62 \uparrow /] \\ \text{op}_1 \quad \text{op}_2 \end{array}$$

$$\text{Postfix: } 234 \times 62 \uparrow / +$$

Ex. 3:

$$\text{infix: } (a+b) \times c/d - e \uparrow f \uparrow g/h$$

$$[ab+] \times c/d - e \uparrow f \uparrow g/h \quad ①$$

Paenthesis  
can be solved  
at any time.

$$[ab+] \times c/d - e \uparrow [fg \uparrow] / h$$

$$[ab+] \times c/d - [efg \uparrow \uparrow] / h$$

$$[ab+c \times] / d - [efg \uparrow \uparrow] / h$$

$$[ab+c \times d/] - [efg \uparrow \uparrow] / h$$

$$[ab+c \times d/] - [efg \uparrow \uparrow h/]$$

$$\text{Postfix: } ab+c \times d / efg \uparrow \uparrow h / -$$

Using stack

$$\xrightarrow{\text{infix: } 2 + 3 \times 5}$$

$$\begin{array}{c} \text{Prefix: } 2 + [ \times 3 5 ] \\ \text{op}_1 \quad \text{op}_1 \end{array}$$

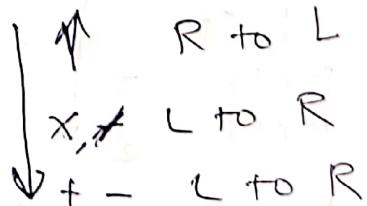
$$\xrightarrow{\text{Prefix: } + 2 \times 3 5}$$

$$\xrightarrow{\text{Postfix: } 2 3 5 \times +}$$

(Here, order of operands does not change)

$$2^3 \swarrow \Rightarrow 2^8$$

$$\Downarrow 4^3$$

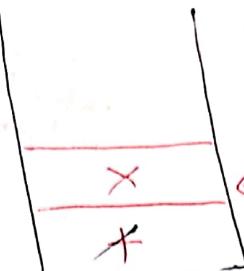


## Infix to Postfix using stack.

infix:  $2 + 3 \times 4$  → End

Postfix:  $2 3 4 \times +$

Scan infix from L to R,  
All operands to postfix.



+ is encountered

⇒ stack is empty

Push it onto stack  
TOP.

② If operator is encountered, push it onto stack if no higher priority operator is waiting.

③ If higher priority operator is waiting, evaluate it & push current operator onto stack.

⑤ If string ends, pop all operators one by one.

TOP operator scanned

+ < X

X is encountered,

push it onto stack,

'+' won't be evaluated before X as it has lower priority

④ If Equal Priority operator is waiting, evaluate it & push current operator onto stack.

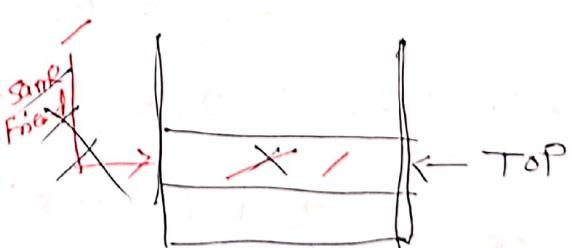
Ex. Infix:  $a + b - c$  → pop '+' first  
string ends



Postfix: ab + c -

Ex. Infix:  $a + b \times c / d$

Postfix: abc × d / +



Verification:  $a + b \times c / d$

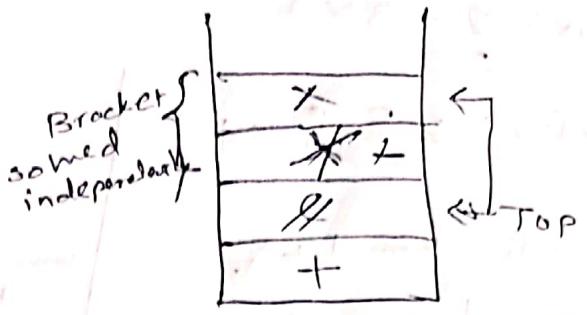
$a + [bc \times] / d$

$a + [bc \times d /]$

Postfix: abc × d / +

Ex: Infix:  $2 + (3 \times 4 - 6 / 2)$  End  $\Rightarrow$  Push

Postfix:  $2 3 4 \times 6 2 / - +$



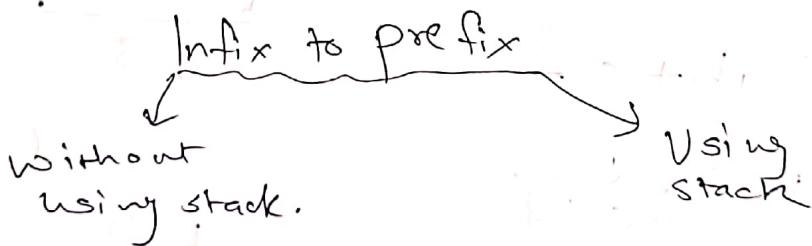
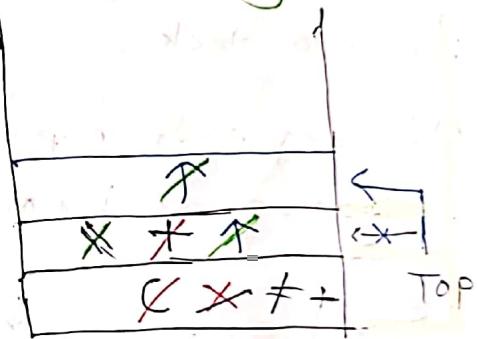
When "(" is encountered; push onto stack. Push other operators as per rules until ")" is encountered.

When ")" is encountered, pop all operators till "(" & discard "(".

"(": start of an expression.  
")": end of an expression.

Ex. Infix:  $(a+b) \times c/d - e/f + g/h$  Higher priority than left is. its R to L associative  
Postfix:  $a b + c d \times e f / g h / +$  End of string

$\therefore ab+cd/efg\uparrow\uparrow h/-$



Ex 1. Infix:  $2 + 3 \times 5$

OP1  $2 + [ \times 3 5 ]$  OP2

$+ 2 \times 3 5$

Ex. 2 infix:  $(a+b) \times c/d - e^f g/h$

$[+ab] \times c/d - e^f g/h$

$[+ab] \times c/d - e^f [g/h]$

$[+ab] \times c/d - [e^f g/h]$

$[x+abc]/d - [e^f g/h]$

$[/x+abcd] - [e^f g/h]$

$[/x+abcd] - [/e^f g/h]$

$- /x+abcd / e^f g/h$

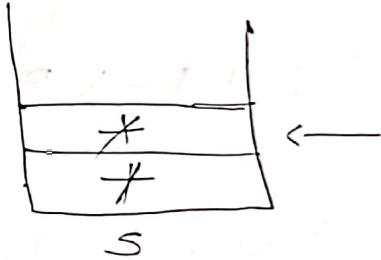
Using stack

Infix:  $a + b - c$

reverse infix:  $c - b + a$

O/p:  $c b a + -$

reverse o/p:  $- + a b c$



Verification:  $a + b - c$

$[+ab] - c$

$- + ab c$

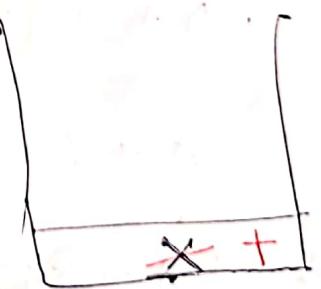
Though '+' comes after '-' in reverse infix.  
originally, it comes before '-'.  
So, '+' has higher priority.

Infix:  $2 + 3 \times 4$

reverse infix:  $2 2 2 \times 3 + 2 \leftarrow \text{End}$

O/p:  $4 3 \times 2 +$

reverse o/p:  $+ 2 \times 3 4$

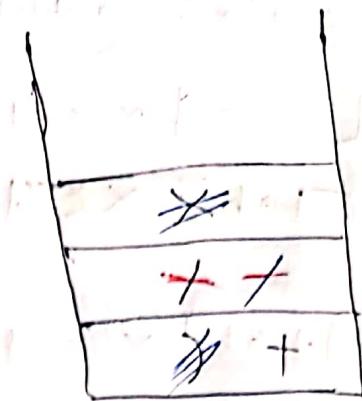


Ex-4

Infix:  $a + (b \times c) - d/e$

reverse

infix : ) e/d - c × b C + a ← ends.



o/p: ed/cb × - a +

reverse o/p: + a - × b c / d e

Ex-5

Arrows indicate priority.  
CTDPE, ETPTC  
When reversed

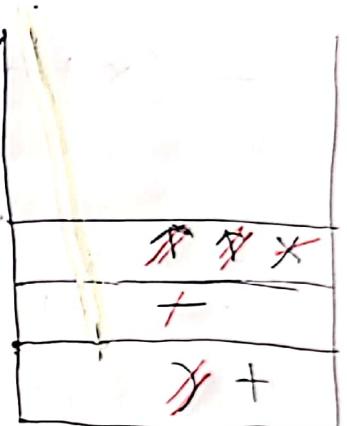
Infix: A+(B × C ↑ D ↑ E - F)

Reverse

Infix : )F-E ↑ D ↑ C × B (+ A ← ends  
↑ Higher ↑ Lower priority

o/p: FED ↑ C ↑ BX-A +

reverse o/p : +A-XB ↑ C ↑ DEF



Verification

Infix: A+(B × C ↑ D ↑ E - F)

$$A + (B \times C \overset{\text{↑}}{\underset{\text{op1}}{\underset{\text{op2}}{[ \uparrow D E ]}}} - F)$$

$$A + (B \times \overset{\text{op1}}{\underset{\text{op2}}{[\uparrow C T D E ]}} - F)$$

$$A + (\overset{\text{op1}}{\underset{\text{op2}}{[ X B \uparrow C \uparrow D E ]}} - F)$$

$$A + (- X B \uparrow C \uparrow D E F)$$

$$\therefore +A-XB \uparrow C \uparrow D E F$$

## Post-fix Evaluation

infix:  $2 + 3 \times 5$   
 postfix:  $2 3 5 5 \times +$

Using stack

→ operators are already arranged. Push operands onto stack.

→ When operator is encountered (operator  $\Rightarrow$   $\oplus$ )

a) Pop 1<sup>st</sup> ele  $\Rightarrow$  A

b) " 2<sup>nd</sup> "  $\Rightarrow$  B

$$B \oplus A$$

→ Push result onto stack

→ Repeat this process until all operations are evaluated.

Final answer is the last ele on stack.

Ex. 2 infix:  $2 + 3 \times 4 - 6 / 2$

postfix:  $2 + [3 4 \times] - [6 2 /]$

$2 + [3 4 \times] - [6 2 /]$

$[2 3 4 \times +] - [6 2 /]$

postfix:  $- 2 3 4 \times + 6 2 / -$

i)  $x$   
 pop order  
 $4, 3$

top order  
 $3 \times 4$

PUSH 12

ii)  $+$   
 $\frac{12, 2}{2+12=14}$

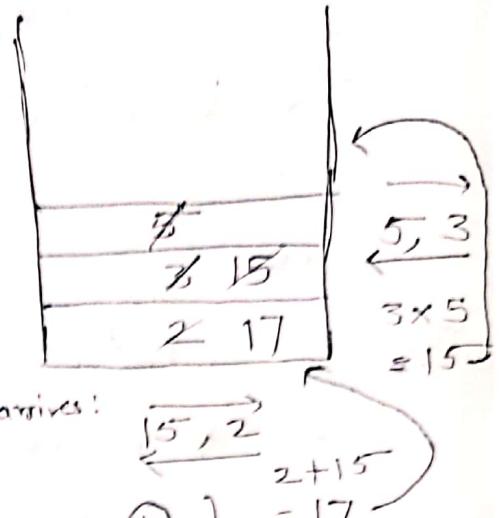
PUSH 14

iii)  $/$   
 $\frac{2, 6}{6/2=3}$

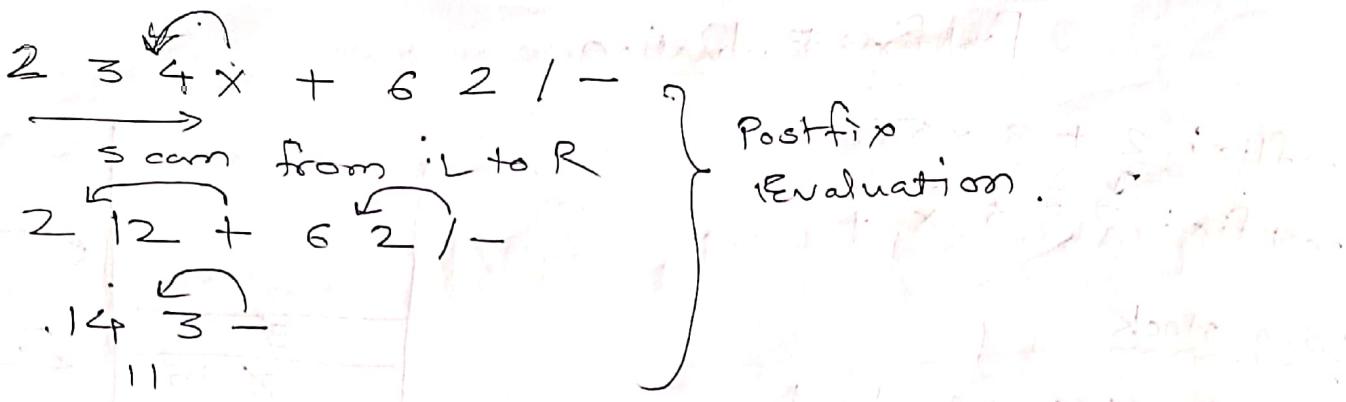
PUSH 3

iv)  $-$   
 $\frac{3, 14}{14-3=11}$

PUSH 11



*	2
3	3
12	6
11	14



Q. The result of evaluating the postfix expression  
 $10 \ 5 + 60 \ 6 / * 8 -$

direct stack X  
using stack X

$15 \ 60 \ 6) \times 8 -$

$15 \ 10 \times 8 -$

$150 \ 8 - = 142$

GATE 2015

Q. Which of the foll. is essential to convert an infix expr. to post-fix exp. efficiently?  
 → Operator stack

Q. Postfix expression of infix:  $a+b*c-d^{e-f}$

$$a+b*c-d^{[ef]}$$

$$a+b*c-[def^{^n}]$$

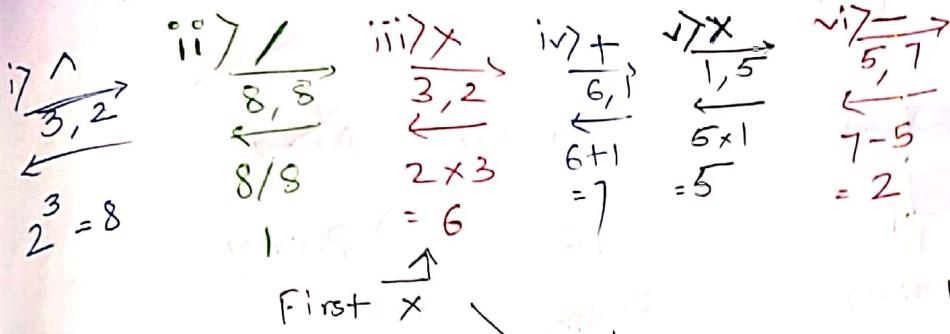
$$a+[bc*]-[def^{^n}]$$

$$[abc*+]-[def^{^n}] = abc*+def^{^n}-$$

Q. The foll. postfix exp. with single digit operand is evaluated using a stack:  $823^{\wedge}/23*+51*-$

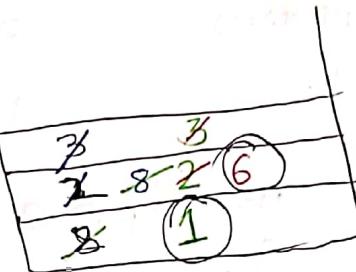
Note that  $^{\wedge}$  is the exponentiation operator. The top two elements of the stack after the first  $*$  is evaluated are:

$8 \ 2 \ 3 \ ^1 / 2 \ 3 \times + 5 \ 1 \times -$



<del>3</del>	<del>3</del>	X
<del>2</del>	<del>8</del>	<del>2</del>
<del>5</del>	<del>1</del>	<del>5</del>

After evaluating first  $x$ , top two elements of the stack are 6, 1



} After evaluating first  $x$ ,

Q. Consider the foll. C program:

```
#include <stdio.h>
```

```
#define EOF -1
```

void push(int); /\* push the argument on the stack \*/

int pop(void); /\* pop the top of the stack \*/

void flagError();

int main()

```
{ int c, m, n, r;
    while ((c = getchar()) != EOF)
```

```
    { if (isDigit(c)) push(c);
        if ((c == '+') || (c == '*'))
```

```
        { m = pop();
```

```
        n = pop();
```

```
        r = (c == '+') ? n + m : n * m;
```

```
        push(r);
```

```
    } else if (c == ')')
```

```
        flagError();
```

```
        printf("%c", pop());
```

```
}
```

What is the o/p of the program for the foll.?

5 2 X 3 3 2 + X +

(5 2 X) 3 3 2 + X +

10 3 (3 2 + X +

10 (3 5 X) + (5 0)

10 15 +

25 //

is digit() is a function that returns true if the argument is a digit

Q. The attributes of three arithmetic operators in some programming language are given below.

Operator	Precedence	Associativity	Arity
+	High	Left	Binary
-	Medium	Right	"
*	Low	Left	"

The value of the exp.  $2 - 5 + 1 - 7 * 3$  in this language is 9.

→ (Priority tells where to use parentheses first & not who to evaluate first)

$$\left[ 2 - \left( \begin{array}{c} \xrightarrow{\text{R to L}} \\ (5 + 1) - 7 \end{array} \right) \right] \times 3 = (2 - (6 - 7)) \times 3 = [2 - (-1)] \times 3 = 3 \times 3$$

Q. A function  $f$  defined on stacks of integers satisfies the foll. properties.

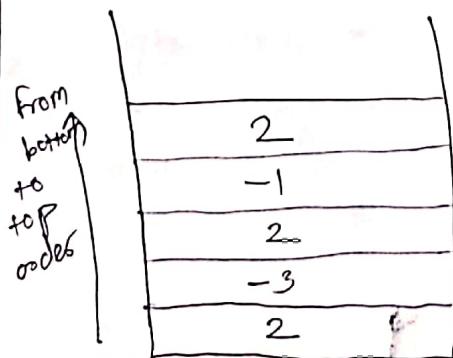
$f[\emptyset] = 0$  and  $f[\text{push}(S, i)] = \max(f[S], 0) + i$  for all stacks  $S$  and integer  $i$ .

If a stack  $S$  contains the integers  $2, -3, 2, -1, 2$  in order from bottom to top, what is  $f[S]$ ? (3)

→  $f(\text{stack is empty}) = 0$ .

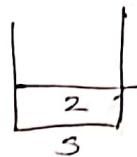
$$f(\text{push}(S, i)) = \max(f[S], 0) + i$$

$$f(\dots) = \max(f[\text{old}], 0) + i \quad \begin{matrix} \text{old} \\ \text{to be pushed} \end{matrix}$$

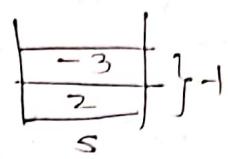


In this stack, 2 is inserted first, then next element inserted is -3 and so on

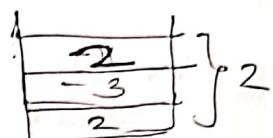
$$\therefore f(\text{push}(s, 2)) = \max(f(s), \overset{\leftarrow \text{old}}{0}) + 2 \\ = \max(0, 0) + 2 = 2$$



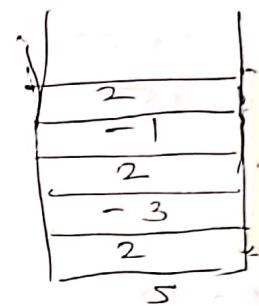
$$f(\text{Push}(s, -3)) = \max(f(s), \overset{\leftarrow \text{old value}}{0}) + (-3) \\ = \max(2, 0) + (-3) = -1$$



$$f(\text{push}(s, 2)) = \max(f(s), 0) + 2 \\ = \max(-1, 0) + 2 = 2$$



$$f(\text{push}(s, -1)) = \max(f(s), 0) + (-1) \\ = \max(2, 0) + (-1) = 1$$



$$f(\text{push}(s, 2)) = \max(f(s), 0) + 2 \\ = \max(1, 0) + 2 = 3$$

Q. The best D.S. to check whether an arithmetic exp. has balanced parenthesis is

- A) queue      C) tree
- B) stack      D) list

for every Right/left parentheses, there must be a left/Right parentheses

( )) most recently occurred parenthesis

TOP in stack keeps the mostly recently added element.

The string eventually ends, and what we get is an empty stack which assures we had balanced parenthesis

i/p: ) ( .



invalid

## Prefix Evaluation

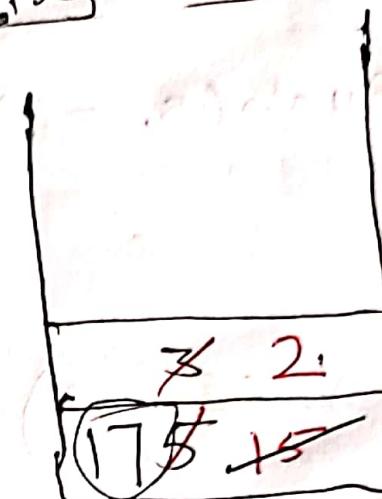
infix :  $2 + 3 \times 5$

Using stack

prefix :  $+ 2 \times 3 5$

Reverse

prefix :  $5 3 \times 2 +$



i)  $\times$   
 $\overrightarrow{3, 5}$

$3 \times 5$   
 $\overline{15}$

ii)  $\overrightarrow{+}$   
 $\overrightarrow{2, 15}$

$2 + 15$   
 $= 17$

When  $\oplus$  operator is encountered,  
pop  $1^{st}$  (ele = A), pop  $2^{nd}$  (ele = B)

A  $\oplus$  B

## Applications of Stack:



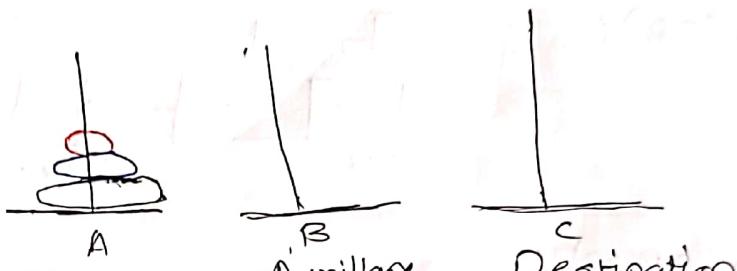
Tower of Hanoi Problem: Given 3 pegs/pillars & n discs each of distinct size.

Move discs from first pillar to third, using second.

E.g.  $n=3$

i) we can not put a larger sized disc above smaller sized disc.

ii) move only one disc at a time



Target: Move all discs from source to dest<sup>n</sup> pillar

(For the largest disc to be at the bottom of dest<sup>n</sup> pillar, then all the discs above the largest should be moved to auxiliary in order to move the largest disc of the source to empty pillar of destination)

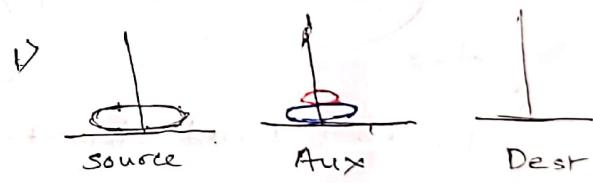
∴ The Function will look something like this:

TOH (n, Source, Dest, Aux)

Algorithm:

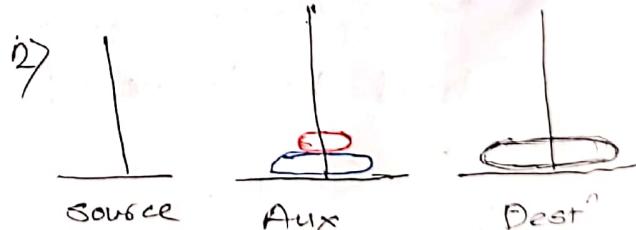
Let us consider  $n=3$

1) TOH (n-1, Source, Aux, Dest)  
to using

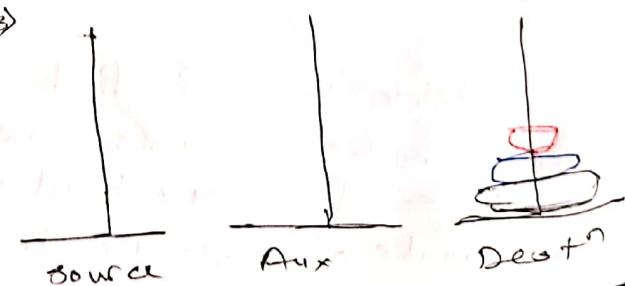


2) Move Source → Dest<sup>n</sup>

(Simply move largest disc to empty pillar of dest<sup>n</sup>)



3) TOH (n-1, Aux, Dest<sup>n</sup>, Source)  
using



Program:

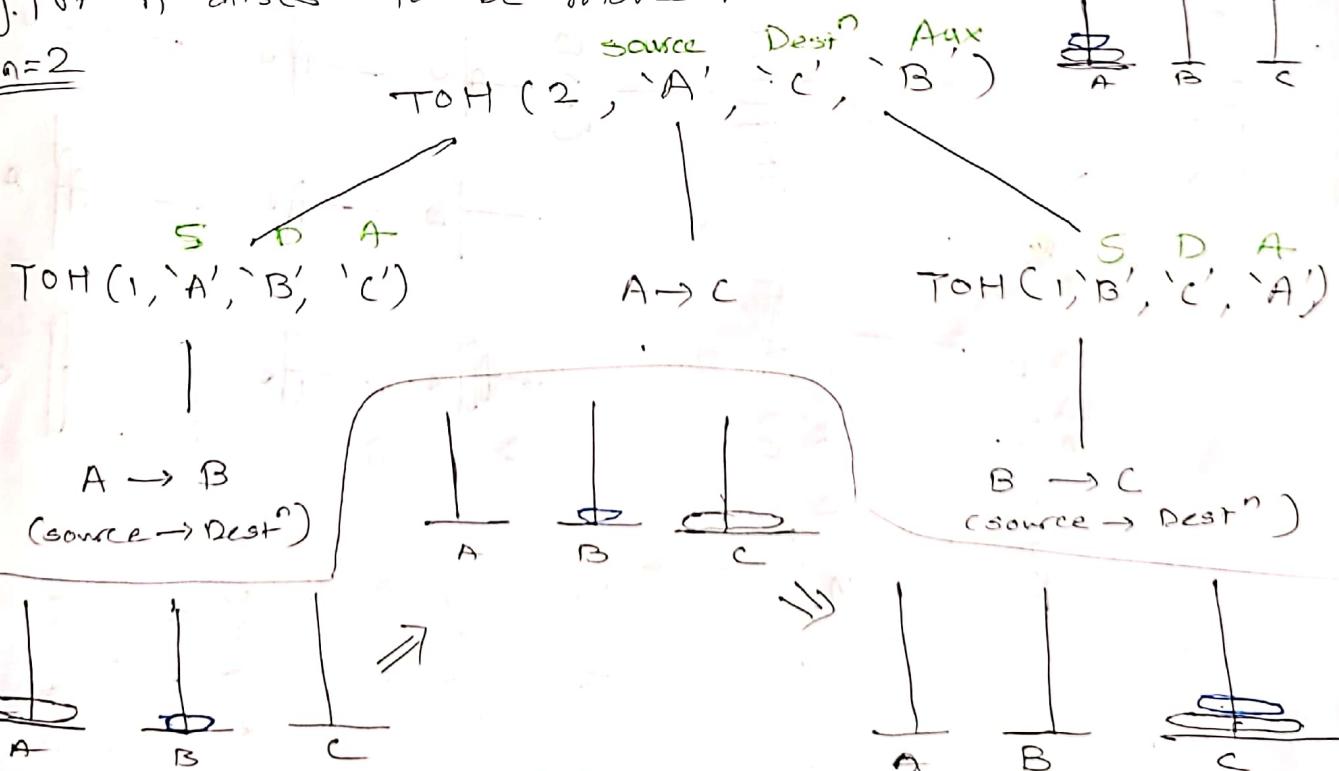
```
void main()
{
    int n;
    printf("Enter the value of n");
    scanf("%d", &n);
    TOH(n, 'A', 'C', 'B');
}
```

```
void TOH(int n, char source, char Dest, char Aux)
{
    if (n == 1)
    {
        printf("%c → %c", source, Dest);
        return;
    }
    TOH(n-1, Source, Aux, Dest);
    printf("%c → %c", source, Dest);
    TOH(n-1, Aux, Dest, source);
}
```

Source      Dest      Aux

e.g. For 'n' discs to be moved.

n=2



For  $n=3$ :

$$TOH(3, 'A', 'C', 'B')$$

$$TOH(2, 'A', 'B', 'C')$$

$A \rightarrow C$

$$TOH(1, 'A', 'C', 'B') \quad A \rightarrow B \quad TOH(1, 'C', 'B', 'A')$$

$A \rightarrow C$

1)  $A \rightarrow C$

2)  $A \rightarrow B$

3)  $C \rightarrow B$

4)  $A \rightarrow C$

5)  $B \rightarrow A$

A

B

C

$$TOH(2, 'B', 'C', 'A')$$

$$TOH(1, 'B', 'A', 'C')$$

$B \rightarrow C$

$B \rightarrow A$

$C \rightarrow B$

1)  $B \rightarrow C$

2)  $B \rightarrow A$

3)  $C \rightarrow B$

4)  $A \rightarrow C$

A

B

C

$$TOH(1, 'A', 'C', 'B')$$

$$TOH(1, 'A', 'C', 'B')$$

$A \rightarrow C$

$A \rightarrow C$

1)  $A \rightarrow C$

2)  $A \rightarrow C$

3)  $A \rightarrow C$

A

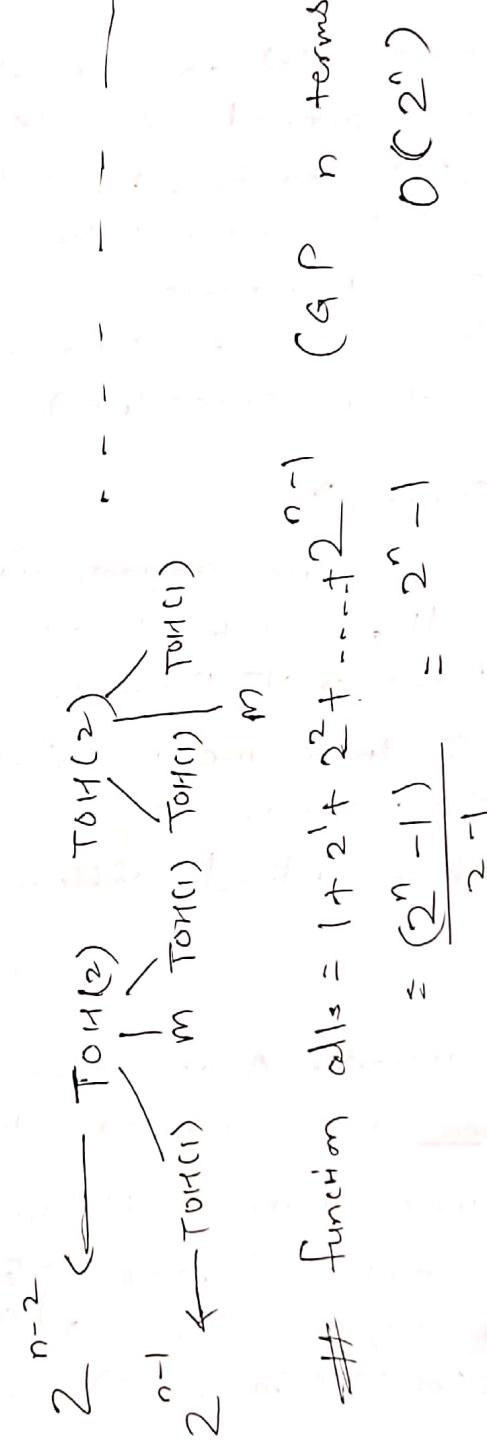
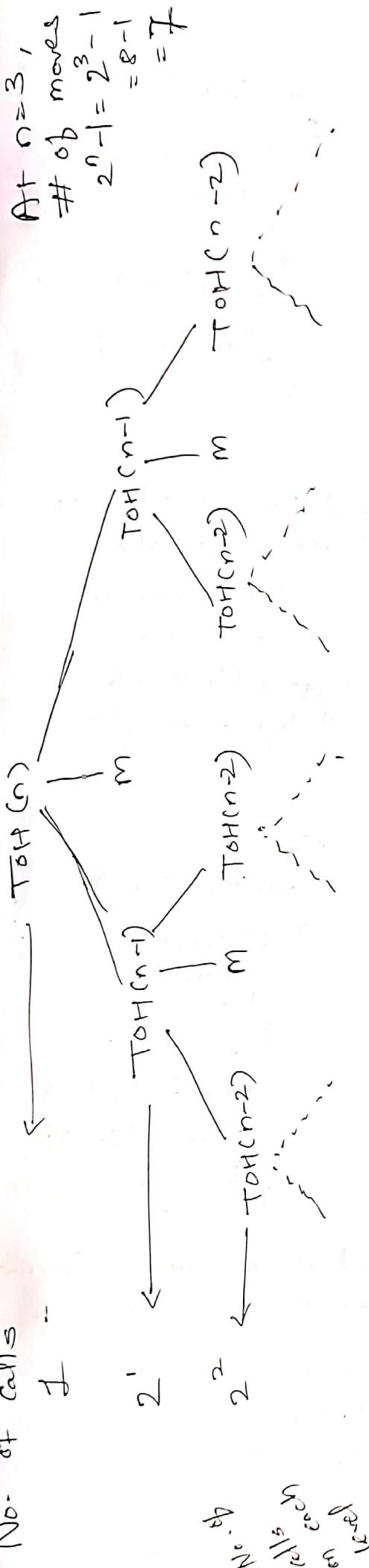
B

C

No. of calls

$$\text{At } n=3, \# \text{ of moves}$$

$$2^n - 1 = 2^3 - 1 = 8 - 1 = 7$$



$$\text{At } n=3, \# \text{ of moves} = 2^3 - 1 = 8 - 1 = 7$$

No. of terms

$$\sum_{i=1}^{2^n-1} 1 = 1 + 2^1 + 2^2 + \dots + 2^{n-1} = \frac{(2^n - 1)}{2 - 1} = 2^n - 1$$

function calls =  $1 + 2^1 + 2^2 + \dots + 2^{n-1}$

$\#$  moves in  $\text{TOH}(n) = 2^n - 1$

$\Theta(2^n)$

App<sup>nd</sup>:

## Fibonacci Series.

$$0, 1, \underset{1}{1}, \underset{2}{2}, \underset{3}{3}, \underset{4}{5}, \underset{5}{8}, \underset{6}{13}, \underset{7}{21}, \underset{8}{34}, \underset{9}{55}$$

$$\text{Fib}(n) = \text{Fib}(n-1) + \text{Fib}(n-2) \quad n \geq 2$$

$$= 0 \quad n = 0$$

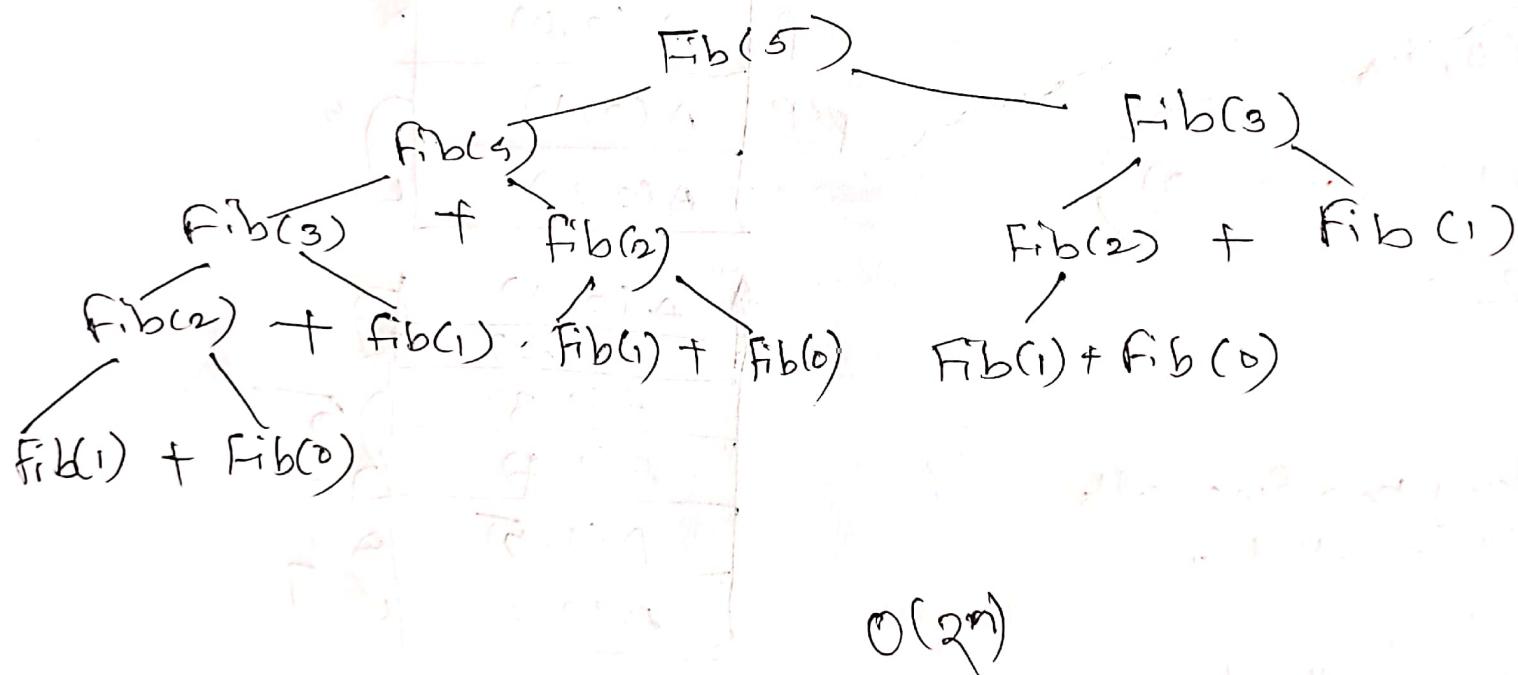
$$= 1 \quad n = 1$$

$$\text{Fib}(0) = 0$$

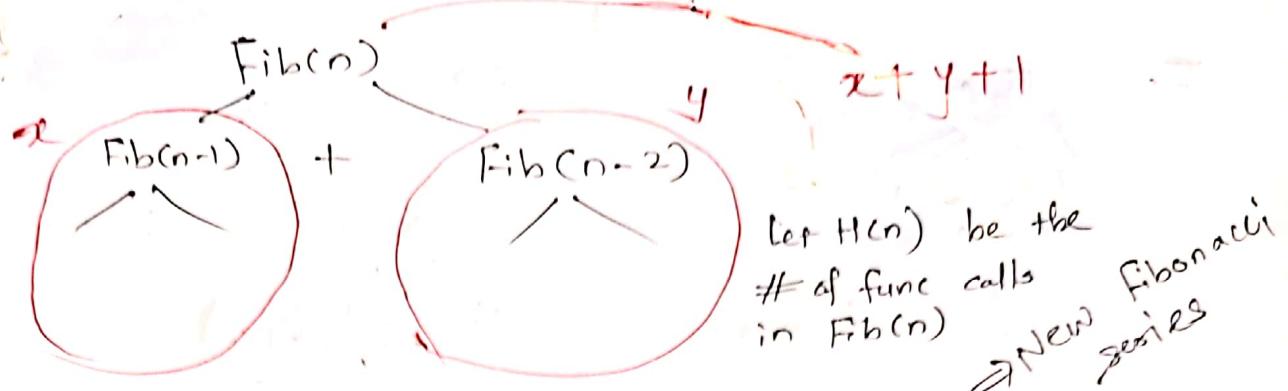
$$\text{Fib}(1) = 1$$

$$\text{Fib}(2) = \text{Fib}(1) + \text{Fib}(0) = 1 + 0 = 1$$

$$\begin{aligned} \text{Fib}(3) &= \text{Fib}(2) + \text{Fib}(1) \\ &= 1 + 1 = 2 \end{aligned}$$



\* Total No. of Function calls in  $\text{Fib}(n)$



$$H(n) = H(n-1) + H(n-2) + 1$$

$$\begin{cases} H(0) = 1 \\ H(1) = 1 \end{cases}$$

$$H(n) = 2 \times \text{Fib}(n+1) - 1$$

$n$	0	1	2	3	4	5	6
$H(n)$	1	1	3	5	9	15	25

$$H(n) = H(n-1) + H(n-2) + 1$$

$$\begin{cases} H(0) = 1 \\ H(1) = 1 \end{cases}$$

$$H(2) = H(1) + H(0) + 1 = 1 + 1 + 1 = 3$$

$$H(3) = H(2) + H(1) + 1 = 3 + 1 + 1 = 5$$

\* Total no. of add<sup>ns</sup> in calculating  $\text{Fib}(n)$  (Total # of Additions)

$$\text{Fib}(0) = 0$$

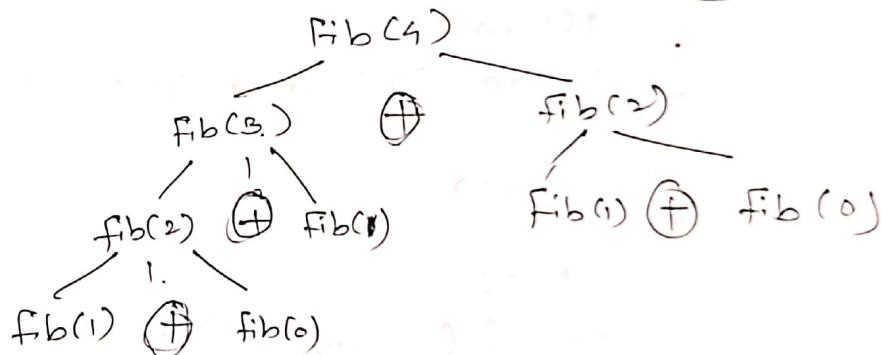
$$\text{Fib}(1) = 0$$

$$\text{Fib}(2) = 1$$

$$\text{Fib}(3) = 2$$

$$\text{Fib}(4) = 4$$

$$\text{Fib}(5) = 7$$



Let  $G(n)$  be the no. of addition in  $\text{Fib}(n)$

$$G(0) = 0$$

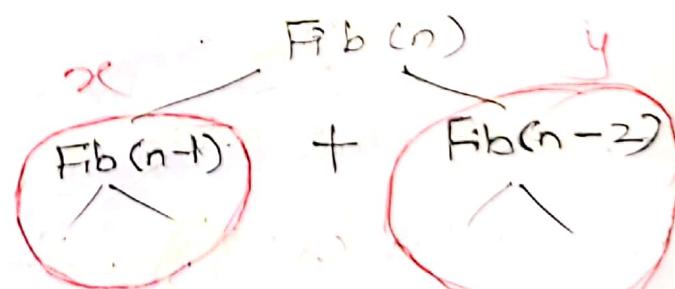
$$G(1) = 0$$

$$G(n) = G(n-1) + G(n-2) + 1$$

$$G(0) = 0$$

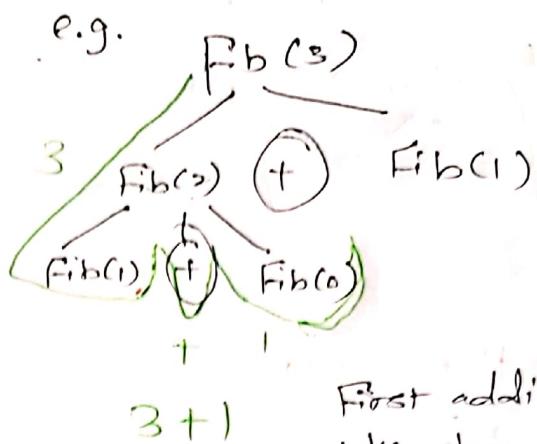
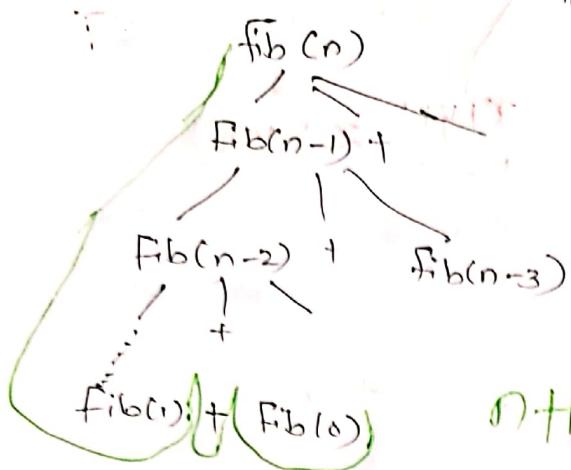
$$G(1) = 0$$

$n$	0	1	2	3	4	5	6
$G(n)$	0, 0, 1, 2, 4, 7, 12						



$$x+y+1$$

No. of function calls before 1<sup>st</sup> addition takes place in fib(n)



First addition will take place when both operands are present.

$$\begin{cases} \text{No. of Add}^n = 2 \\ \text{if func. calls} = 5 \end{cases} \quad \begin{cases} \text{No. of fun calls} \\ \text{bf 1st add}^n = 4 \end{cases}$$

Program :

```

long long int fib(int n)
{
    if (n == 0 || n == 1)
        return n;
    else → return fib(n-1) + fib(n-2); //Binary Recursion
}

int main()
{
    int n;
    printf("enter n");
    scanf("%d", &n);
    printf("\n%d", fib(n));
}
  
```

No. of fun invocations for fib(n) = 2 \* fib(n+1) - 1  
 ————— additions for fib(n) = fib(n+1) - 1

e.g. calculate : no. of invocations in fib(5) = 2 \* fib(10) - 1  
 = 199  
 ————— additions in fib(5) = fib(5) - 1

## Queue

\* Linear D.S.

\* First In First Out

\* Last In Last Out

\* Applications:

CPU scheduling,  
Semaphores, Memory Management, etc.

(Using Array)

Queue



The Order of deletion is same as order of insertion.

\* Insertion : Rear

Deletion : Front

// define SIZE 6

```
int Queue[SIZE];  
int Rear;  
int Front;
```

Front: Index from which an element can be deleted.

Rear: " of most recently added element.

{Front = -1, Rear = -1} Initially, when Queue is empty

Case 1: When we insert 1<sup>st</sup> element

Empty Queue

$$\Rightarrow F = R = 0$$

Initially

$$F \quad R$$
  
$$-1 \quad -1$$

Insert(10)

$$0 \quad 0$$

Insert(20)

$$0 \quad 1$$

Insert(30)

$$0 \quad 2$$

Insert(40)

$$0 \quad 3$$

delete

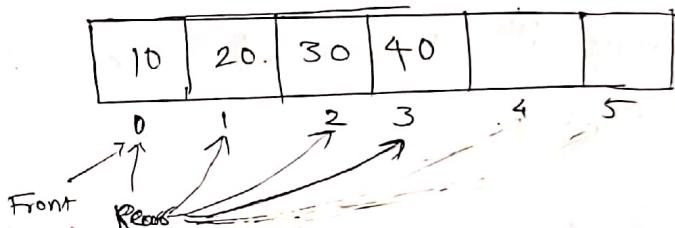
$$1 \quad 3$$

delete

$$2 \quad 3$$

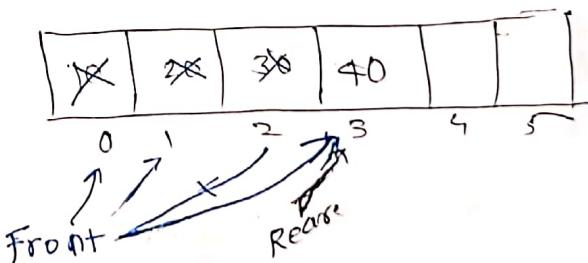
delete

$$3 \quad 3$$



Rear ++

Queue[Rear] = x;



After deletion of 3 ele, Front == Rear

Case 1 : When Queue is Empty

$$\boxed{\text{Front} == \text{Rear} = -1}$$

Case 2 : When there is only 1 ele in Queue.

$$\boxed{\text{Front} == \text{Rear} \neq 1}$$

### \* Insertion Operation (EnQueue)

EnQueue  $\Rightarrow O(1)$

void EnQueue(int x)

```

{
    if (Rear == SIZE-1)
        return; // When the Queue is full

    else if (Front == -1)
    {
        Rear = Front = 0; // When there's only one ele in queue.
        Queue[Rear] = x;
    }

    else
    {
        Rear++;
        Queue[Rear] = x;
    }
}
  
```

### \* Deletion Operation (DeQueue)

DeQueue  $\Rightarrow O(1)$

```

int DeQueue()
{
    if (Front == -1) // When the Queue is Empty.
        return INT_MIN;

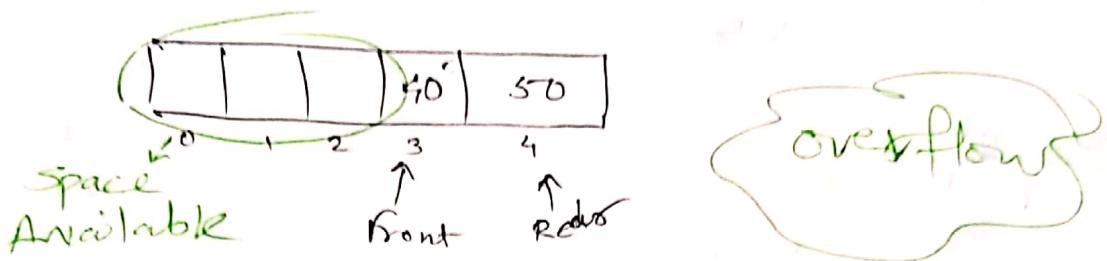
    else if (Rear == Front) // When there's only 1 ele. in queue.
    {
        temp = Queue[Front];
        Front = Rear = -1;
        return temp;
    }

    else
    {
        temp = Queue[Front];
        Front++;
        return temp;
    }
}
  
```

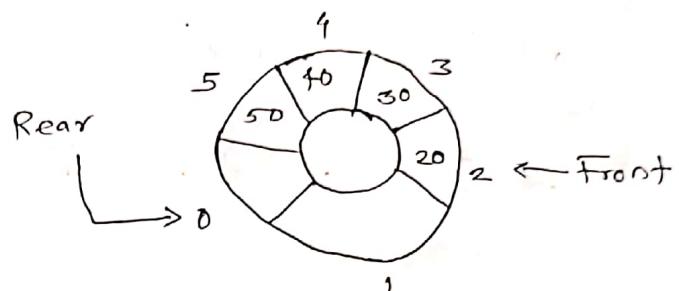
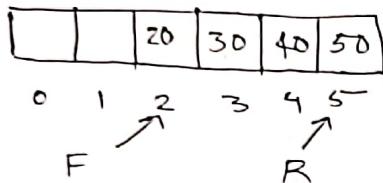
} 2 or more ele.  
           Front = Front + 1

Rear remains unchanged.

Drawback: In simple Queue, if Rear is pointing to last element, the Queue is Full, even if the Front is not pointing to the first & has performed some Enqueue operations.



Soln: Circular Queue.

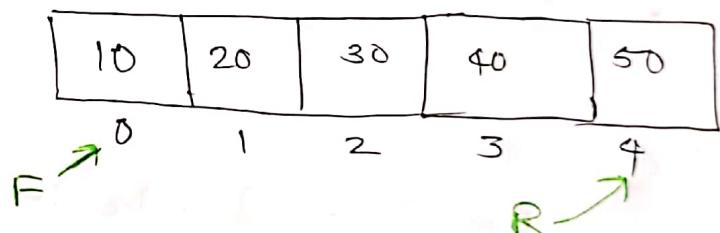


Insert (50)  $\Rightarrow$  EnQueue (50)

EnQueue (60)  $\rightarrow$  Rear = 0

Queue[Rear] = ?;

	F	R
Initially	-1	-1
EnQueue(10)	0	0
EnQueue(20)	0	1
EnQueue(30)	0	2
EnQueue(40)	0	3
EnQueue(50)	0	4



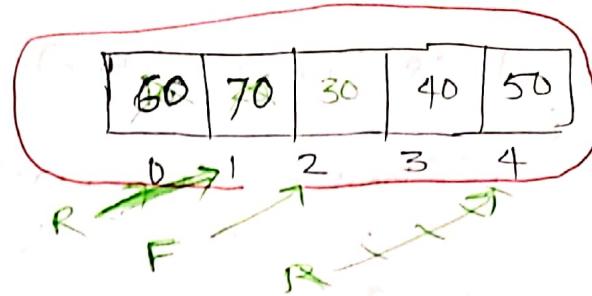
Queue is Full

Front == 0  $\&$  Rear == SIZE - 1

This is Case 1.

Case 2: If  $F == (R + 1) \% \text{SIZE}$ , Q is full.

	F	R
Initially	-1	-1
Enqueue 10	0	0
Enqueue 20	0	1
Enqueue 30	0	2
Dequeue	1	2
Dequeue	2	2
Enqueue 40	2	3
Enqueue 50	2	4
Enqueue 60	2	0
Enqueue 70	2	1



Now, the Queue is full.

$$1. \text{ Rear} = \text{Front} - 1$$

OR

$$\text{Front} = \text{R} + 1$$

Case 2: In Circular Queue,

$$F == (R+1) \% \text{SIZE}$$

In the above ex.,  $F = 2$ ,  $R = 1$

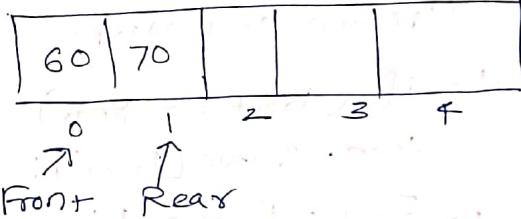
$$\therefore 2 == (1+1) \% 5 \\ 2 == 2 \Rightarrow \text{True}$$

$\therefore Q$  is Full.

Delete()

Delete ()

Delete ()



While Deletion, rear remains unchanged whereas

" Insertion, front  $\leftarrow$  "

Insertion order  $\rightarrow$   
30, 40, 50, 60, 70

$\therefore$  First element to be deleted is the first that was added i.e. 30

Void CQ\_Enqueue (int x)

{

if (Front == (Rear + 1) % SIZE) // When queue is full.

return;

else if (Rear == SIZE - 1) // When rear is pointing to last ele.

Rear = 0;

else if (Front == -1) // When queue is empty

$$\text{Front} = \text{Rear} = 0$$

else

Rear++

Queue[Rear] = x;

1

int CQ\_Delete()

七

if (Front == -1) // When Queue is Empty

pf ("Underflow");

return INT-MIN;

else if (Front == Rear) // When there's only one ele in Q

`temp = Queue[Front];`

$$\text{Front} = \text{Rear} = -1;$$

return temp;

else if (Front == size-1) // when Rear is pointing to last ele

`temp = Queue[Front];`

Front = 0;

```
return temp;
```

Can be combined with

int temp = Queue[front];

else

front = (for

return temp;

else

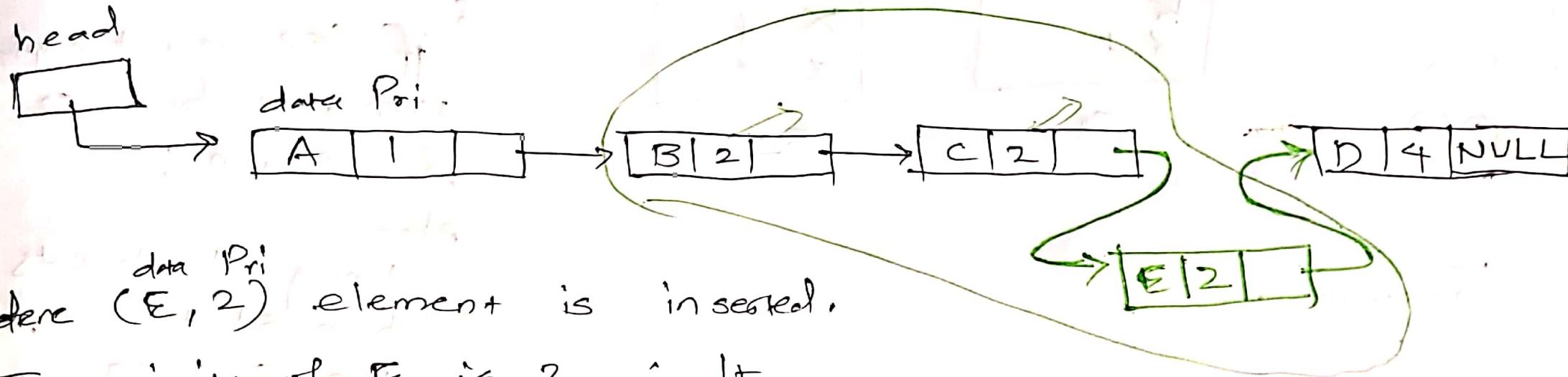
`temp = Queue[Front];`

Font ++;

```
return temp;
```

## Priority Queue

- \* A priority is associated with every element.
- \* Elements will be processed as per priority.

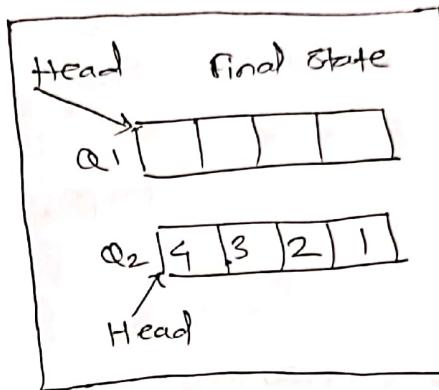
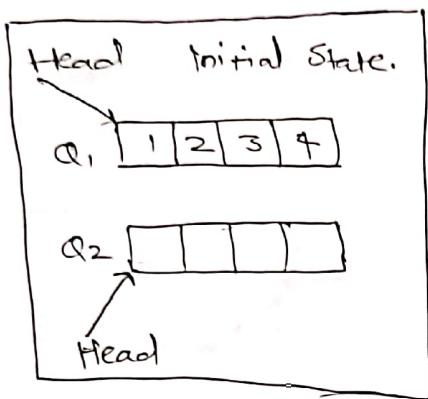


Here  $(E, 2)$  element is inserted.

The priority of E is 2. ∵ It will be inserted before D that has priority 4. It will be inserted after B & C since they have arrived earlier.

Q. Consider the queues  $Q_1$  containing four elements &  $Q_2$  containing none (shown as the initial state in the fig). The only operations allowed on these two queues are **Enqueue( $Q$ , element)** and **Dequeue( $Q$ )**. The min. no. of Enqueue operations on  $Q_1$  req'd to place the elements of  $Q_1$  in  $Q_2$  in reverse order (shown as the final state in the figure) without using any additional storage is 0.

2022  
2nd year  
GATE



To place elements from  $Q_1$  to  $Q_2$ , we should Dequeue from  $Q_1$  & Enqueue it to  $Q_2$ .

Dequeue ( $Q_1$ )     $Q_1$  [ ~~1~~ | ~~2~~ | 3 | 4 ]

$\therefore$  We can write

Enqueue ( $Q_2$ , Dequeue ( $Q_1$ ))

Enqueue ( $Q_2$ , Dequeue ( $Q_1$ ))

$Q_2$  [ 1 | 2 | ~~3~~ | 4 ]  
Order

Now, To reverse the order of  $Q_2$ , Dequeue it & again enqueue it.

$\therefore$  Enqueue ( $Q_2$ , Dequeue ( $Q_2$ ))     $Q_2$  [ ~~1~~ | 2 | 1 | 3 | 4 ]

Enqueue ( $Q_2$ , Dequeue ( $Q_1$ ))

$Q_2$  [   | 2 | 1 | 3 | 4 ]

Change the  
order

To change the order,

Enqueue ( $Q_2$ , Dequeue ( $Q_1$ ))

Enqueue ( $Q_2$ , Dequeue ( $Q_2$ ))

Now,  $Q_1$  is 1 1 1 4

Enqueue ( $Q_2$ , Dequeue ( $Q_1$ ))

$Q_2$

3 2 1 4

Reverse order

~~Ex~~ ∵ Dequeue 3 times & enqueue to itself.

Enqueue ( $Q_2$ , Dequeue ( $Q_2$ ))  $\Rightarrow$  3 times

  1 1 ←  
  1 1 ←

$Q_2$  X 2 X 4 3 2 1

∴  $Q_2$  4 3 2 1

Note that we have not performed a single Enqueue operation on  $Q_1$  to place all ele. of  $Q_1$  in  $Q_2$  in reverse order.

∴ Summary:

Enqueue ( $Q_2$ , Dequeue ( $Q_1$ ))

  1 1 ←  
  1 1 ←  
  1 1 ←

} 4 times

$Q_2$  X X X X

$Q_2$  1 2 3 4

Now Reverse:

Enqueue ( $Q_2$ , Dequeue ( $Q_1$ ))

  1 1 ←  
  1 1 ←  
  1 1 ←

} 9 times

$Q_2$  X X X X

$Q_2$  1 2 3 4

$Q_2$  4 3 2 1

Q. Let  $Q$  denote a queue containing sixteen numbers and  
 $S$  be an empty stack.

$\text{Head}(Q)$  returns the ele. at the head of the queue  $Q$  w/o removing it from  $Q$ .

$\text{Top}(S) \rightarrow$  top of the  $S \rightarrow$   $S$ .

Consider the algo<sup>m</sup> given below.

While  $Q$  is not empty do

If  $S$  is Empty OR  $\text{Top}(S) \leq \text{Head}(Q)$  then

$x : \text{Dequeue}(Q);$

$\text{Push}(S, x);$

Else

$x : \text{Pop}(S);$

$\text{Enqueue}(Q, x);$

End

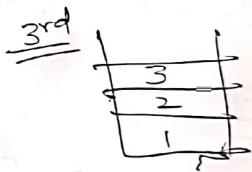
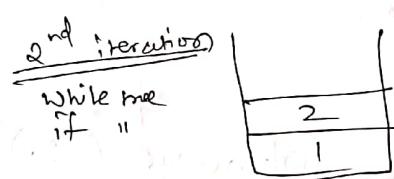
$\therefore$  For 16 elements,  $16^2 = 256$   
 $\therefore 256$  Iter<sup>s</sup> of while loop.

The max<sup>m</sup> possible no. of iterations of "while loop in the algo<sup>m</sup>?

Let us consider  $Q$  contains 3 elements

$n=3$   
 $\underline{\underline{1, 2, 3}}$

While  $Q$  is not empty  
If is true

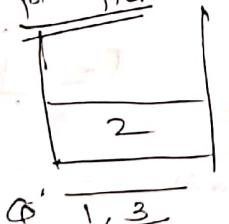


Let us change the order

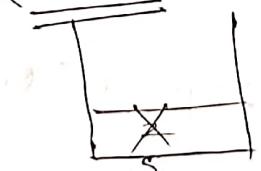
$2, 1, 3$

while  $Q$  is not empty

If cond<sup>t</sup> true



2nd iter



$\underline{\underline{1, 3, 2}}$   
 $Q$

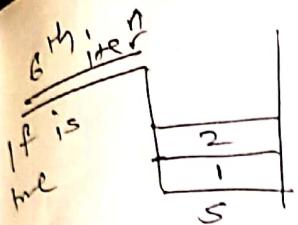
If cond<sup>t</sup> fails, goto else,  
true, pop & enqueue back

If false

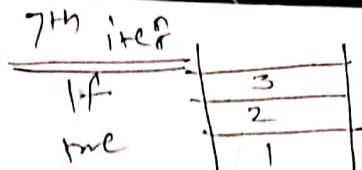
If true

if fails  
else  
true,  
pop &  
Enq

$\underline{\underline{2, 1, 3}}$   
 $Q$



Q 3



8<sup>th</sup> iter:  
End While false,  
qBstrot returns  
from while.

For 2, 1, 3 order 7<sup>th</sup> iter is reqd.

Worst case order where elements are in descending order.  
i.e. 3, 2, 1

1<sup>st</sup> Iter: While is true, If is true (stack empty)

∴ Dequeue & Push

Q ~~3~~ 2 1



2<sup>nd</sup> Iter: Q 2 1 3

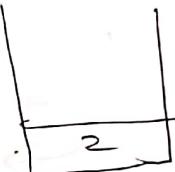
While me  
Else true  
Pop &  
Enqueue back



3<sup>rd</sup> Iter:

while T,  
If T

Q 1 3



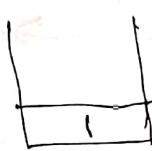
4<sup>th</sup> Iter: Q 1 3 2, 5<sup>th</sup>: If T

If fails  
Else true



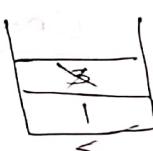
True

Q ~~3~~ 2



Now, 1 is the lowest no. in the queue, so it will never pop.

After 2 more Iterns: 3 is pushed (6<sup>th</sup>)  
& popped back (7<sup>th</sup>)



Q ~~2~~ 3

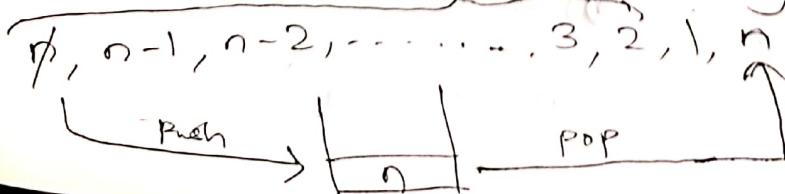
After 2 more Iterns: 2 is pushed (8<sup>th</sup>)  
3 → 1 → (9<sup>th</sup>)



10<sup>th</sup> Iter:  
while false.

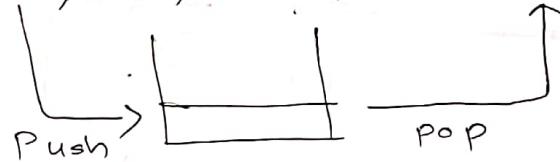
∴ For  $n=3$ , 9 max<sup>m</sup> iterations of while loop are possible.  
i.e. For  $n$  ele,  $n^2$  iterations.

Proof: For  $n$  elements in descending order



After 2 iterations,  
 $n$  goes in the end  
↑  
largest no.

Now,  $Q$  is,  $n-1, n-2, \dots, 3, 2, 1, n$



After 2

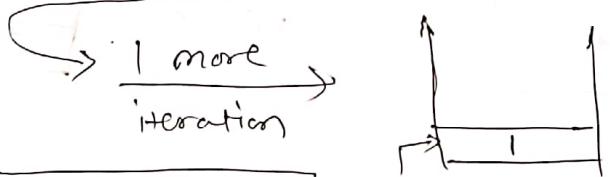
More Iterations  $Q$  is  $n-2, n-3, \dots, 3, 2, 1, n, n-1$

For all 1<sup>st</sup> ( $n-1$ ) elements, 2 iterations are reqd each.

$\overbrace{n, n-1, n-2, \dots, 3, 2, 1}^{n-1 \text{ elements}}$

$n-1$  elements, 2 Iter<sup>ns</sup> each.

$n, n-1, n-2, \dots, 3, 2, 1 \xrightarrow[\text{iterations}]{2(n-1)} 1, n, n-1, n-2 \dots, 3, 2$



1 is pushed & it will never get popped as it is lowest.

$\therefore n$  sized Queue

$n, n-1, n-2, \dots, 3, 2, 1$

$\xrightarrow{2(n-1)}$

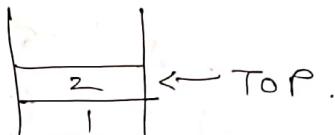
$n-1$  sized Queue

$n, n-1, \dots, 3, 2$

of push

$n-2$  elements, 2 Iter<sup>ns</sup> each.

$\therefore n, n-1, n-2, \dots, 3, 2 \xrightarrow{2(n-2)+1}$



$n-1$  sized queue  $\xrightarrow{2(n-2)+1}$   $n-2$  sized queue.

To reduce the Queue size i.e. to deqeue all the elements in order to make stack full & end while loop, we have to perform this iteration  $n$  times.

i.e.  $2(n-1)+1, 2(n-2)+1, 2(n-3)+1, \dots, 2(1)+1, \xrightarrow[\text{push last ele.}]{2(0)+1}$

$$\text{Sum} = 2(n-1 + n-2 + n-3 + \dots + 1) + 1 + 1 + \dots + n \text{ times.}$$

$$\text{i.e. } 2(1+2+3+\dots+(n-1)) + n \quad \because \text{We know, } \frac{n(n+1)}{2} = S_n.$$

$$\therefore S_{n+1} = \frac{(n-1)(n)}{2} + n = \frac{n^2 - n + n}{2} = \frac{n^2}{2}$$

# Tree

Undirected, acyclic, connected graph.

Non-Linear D.S. used to store hierarchical data.

Used in

- 1) Directory structure
- 2) Organization
- 3) HTML/XML
- 4) Parse tree

5) BST

6) Binary Heap

7) B-Tree, B+ Trees in DBMS.

① Node: Each element in tree is represented by a node.

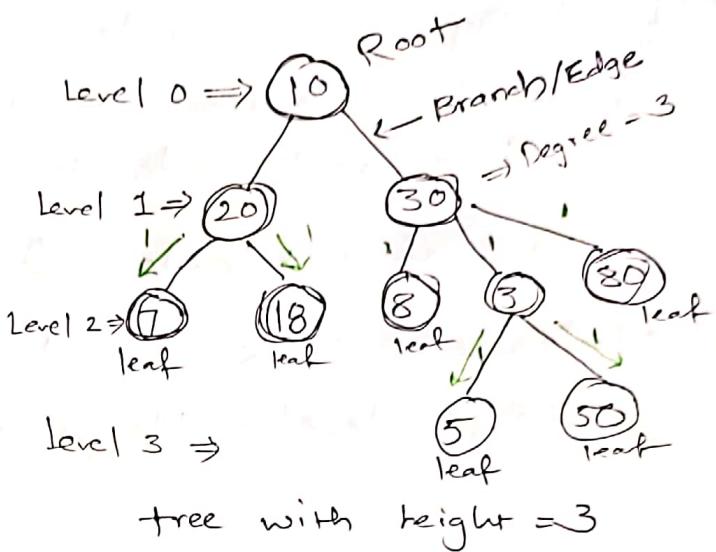
② Child: Child is a node immediately below a node.  
20 & 30 are children for node 10  
7 is a child of 20.

③ Parent: Node immediately above is parent.

30 is a parent of 8, 3, 80

④ Leaf Node: Node w/o any child

7, 18, 8, 5, 50, 80



⑤ Root Node: Distinguishable Node.

Only node w/o any parent.  
10 is the root node.  
(Topmost node)

⑥ Internal Node: Node with at least 1 child. e.g. 10, 20, 3, 30  
(Nodes except leaf nodes)

⑦ Degree of a node: It represents the no. of children of a node in a tree.

Degree of node with key 10  $\Rightarrow$  2  
" " " " 30  $\Rightarrow$  3

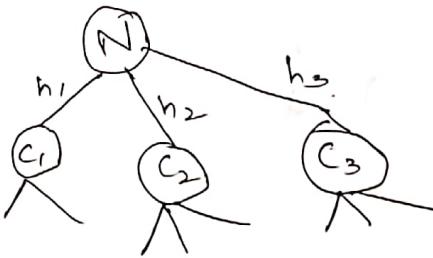
⑧ Height of a node:

It is the length of path from node "x" to the farthest leaf node.

Ht. of 20 = 1

Ht. of 30 = 2 (1+1)

Height of a leaf node = 0.



$$h(CN) = 1 + \max(h_1, h_2, h_3)$$

Maxm height in B.T. =  $n-1$

$$\therefore h(10) = 1 + \max(h(20), h(30))$$

$$= 1+2 = 3$$

~~height of tree = height of root node~~

No. of Edges from that node to the longest leaf is height of a node.

### 9) Level/Depth of a Node:

level of a node  $x$  is the length of the path from root node to node  $x$ .

Level of root node = 0

No. of Edges from the root to that node is depth of a node

If Node level is  $k$ , level of its child is  $k+1$ .

~~Maxm level = Height of tree~~

10) Ancestor of a node: if there's a path from node  $p$  to node  $q$ , then all the nodes in the path other than  $q$  are called as ancestors of  $q$ .

3, 30, 10 are ancestors of 50.

10 has no ancestor.

20 - 10

7 - 20, 10

8 - 30, 10

5 - 3, 30, 10

30 - 10

18 - 20, 10

80 - 30, 10

50 - 3, 30, 10

11) descendent of a node:  $7 \rightarrow_{\text{Ancestor}} 20, 10$

7 is a descendent for 20

7 — 11 —

10

All nodes are descendants of 10. 10 is the ancestor of all.

12) Sibling: Nodes with same parent.

7, 18 are siblings

8, 3, 80 — 11 —

2, 30 — 11 —

Root Node cannot have a sibling as it does not have a parent.

Generation: All Nodes at a particular level belongs to same Generation.

size of a node: No. of descendants of a node (including the node itself). size of node 30  $\Rightarrow 6^{(5+1)}$

\* size of leaf node  $\Rightarrow 1$  size of node 20  $\Rightarrow 3^{(2+1)}$

size = descendants + 1 (itself)

size of node

size of node 3  $\Rightarrow 3$

$$10 \Rightarrow 3+6+1 \\ = 10$$

$\therefore$  Size of a node = size of its descendants + 1

Binary tree

Every node can have at most 2-child.

Node can have 0-child. (leaf node)

1 - " [internal node]  
2 - "

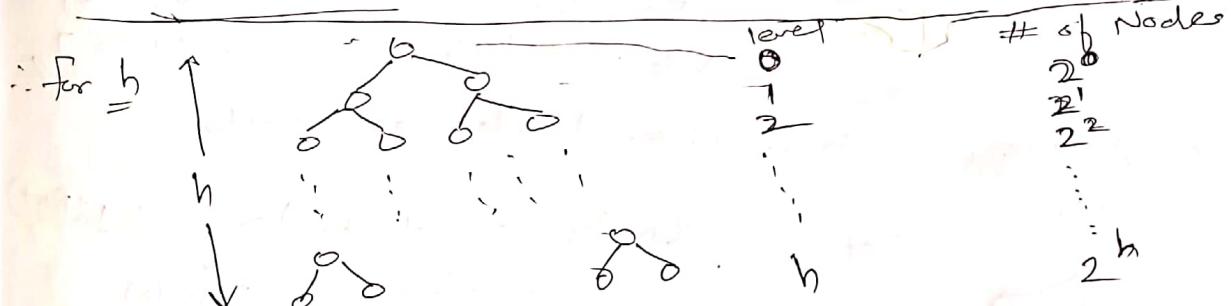
i. A binary tree, such that at each level, there're max<sup>m</sup> no. of elements / nodes present is a

Full Binary Tree / Complete or Perfect Binary Tree.

Max<sup>m</sup> no. of nodes at level "l" of a B.T. is  $2^{l-1}$  (Proof by Induction)

Max<sup>m</sup> no. of nodes possible in a binary tree of height h?

For h=2	Nodes = 7 Branches = 6	Level	# of Nodes	Max <sup>m</sup> # of Branches in BT
		0	$2^0$	= N-1
		1	$2^1$	= 7-1 = 6
		2	$2^2$ <hr/> $7$	



$$\text{Total} = 2^0 + 2^1 + 2^2 + \dots + 2^h$$

$$= 1 + 2 + 2^2 + \dots + 2^h \text{ (G.P.)}$$

$$= \frac{2^{h+1} - 1}{2^1 - 1} = 2^{h+1} - 1$$

$$\therefore n_{\max} = 2^{h+1} - 1$$

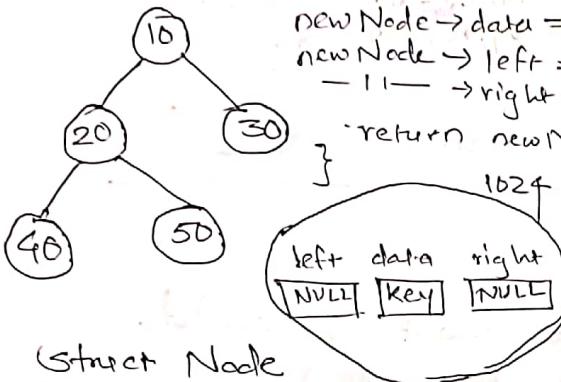
Min<sup>m</sup> no. of nodes in a binary tree of height h

i.e.  $n_{\min} = h + 1$

We add 1 as height count starts from zero.

∴ for  $h=2$ ,  $n_{\min} = 3$ .

Root:



struct node \*getNewNode(int key)

{ struct node \*newNode = malloc(sizeof(struct node));

newNode->data = key;

newNode->left = NULL;

newNode->right = NULL;

return newNode;

}

}

return newNode;

}

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K-ary tree: A tree in which every internal node has k-children.

Let I be the no. of internal nodes in a K-ary tree.

$$\text{Total nodes} = I \times k + 1 \quad \begin{matrix} \text{No. of IN} \\ \text{Each IN} \\ \text{has } k\text{-children} \end{matrix} \quad \therefore n = k \cdot I + 1 \quad \text{(1)}$$

$$n = k \cdot I + 1$$

$$\underbrace{\# \text{ of leaf nodes}}_L + \underbrace{\# \text{ of Internal nodes}}_I = k \cdot I + 1$$

$$\therefore L = k \cdot I - I + 1 \quad \therefore L = (k-1)I + 1$$

(2)

$\# \text{ of leaf nodes}$ .

$$n = f(L)$$

$$\text{We know, } \therefore n = \frac{kL - k + k - 1}{k-1}$$

$$L = (k-1)I + 1$$

$$\therefore I = \frac{L-1}{k-1}$$

$$n = k \left( \frac{L-1}{k-1} \right) + 1 \quad (\text{from (1)})$$

$$n = \frac{k \cdot L - 1}{k-1}, \text{ where}$$

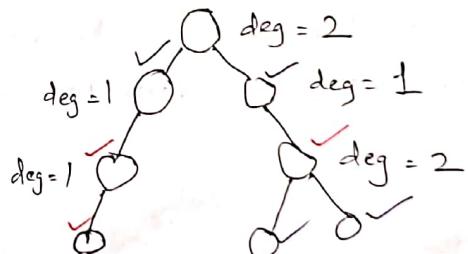
$k = \text{No. of childs for each IN}$

$L = \text{No. of leaf nodes}$

$n = L + k \cdot (k-1)$   
A binary tree with

(2) nodes of degree 2

(3) — 1 — 1,



Find the no. of leaf nodes.

$$n = 2 \times 2 + 3 \times 1 + 1$$

$$n = 8$$

$$\text{Total no. of nodes } n = 8 = L + \text{IN}$$

$$\text{IN} + L = 8$$

$$\therefore L = 3$$

$$\text{No. of leaf nodes} = 3$$

$$5 + L = 8$$

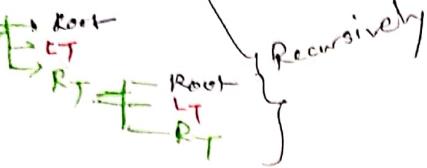
## Tree Traversal

Tree consists of:

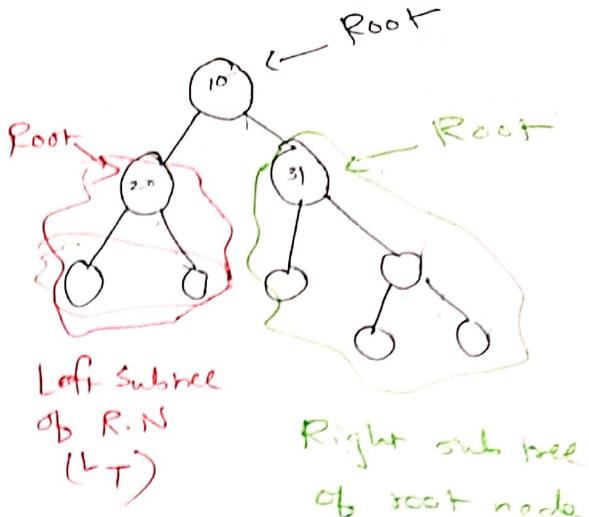
1) Root

2)  $L_T \Rightarrow$  Root

3)  $R_T \Rightarrow$  Root

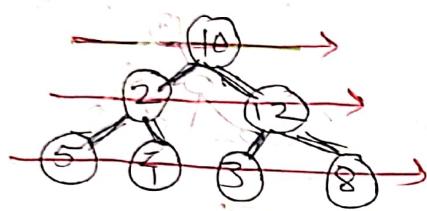


Recursion



Traversal

Level order



10, 2, 13, 5, 4, 3, 8

Depth order

i) Root,  $L_T, R_T$

ii)  $L_T, Root, R_T$

iii)  $L_T, R_T, Root$

iv)  $R_T, L_T, Root$

v)  $R_T, Root, L_T$

vi) Root,  $R_T, L_T$

Root/Node,  $L_T, R_T$

$3^3 = 6$  ways.

most used  
in CS where  
left subtree  
is before right  
subtree \*

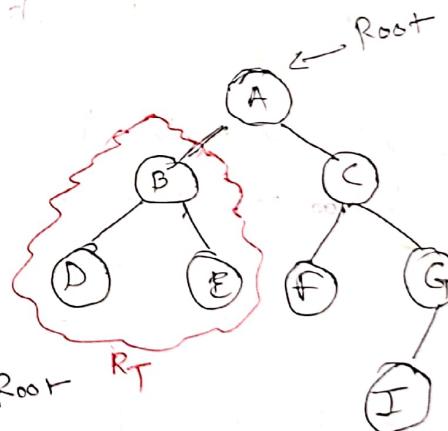
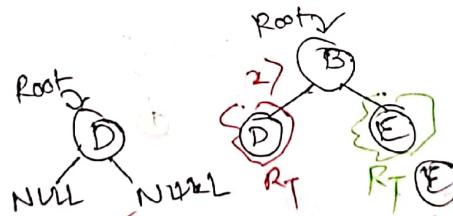
## \* Pre-order Traversal

1) Visit/Print/Process the root node.

2) Traverse  $L_T$  of root node in pre-order.

3) Traverse  $R_T$ .

Root,  $L_T, R_T$



Print: A B D E C F G I  
Root  $L_T$   $R_T$

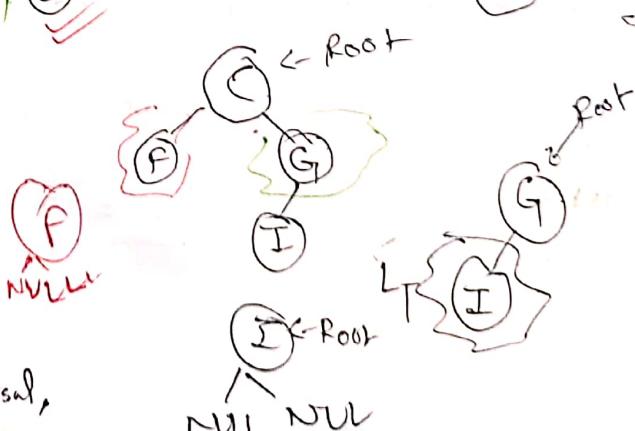
Program:

```
struct Node
```

```
{ struct Node *left;
    int data;
    struct Node *right;
```

```
}
```

Every Node will  
be visited three  
times during traversal,  
preorder is the  
printing of node during  
its first visit



## Program:

```
void main() {
```

```
    Preorder(ROOT)
```

```
}
```

```
Preorder (struct Node *Ptr)
```

```
{ if ( Ptr != NULL)
```

```
{ > pf ("%d", ptr->data);
```

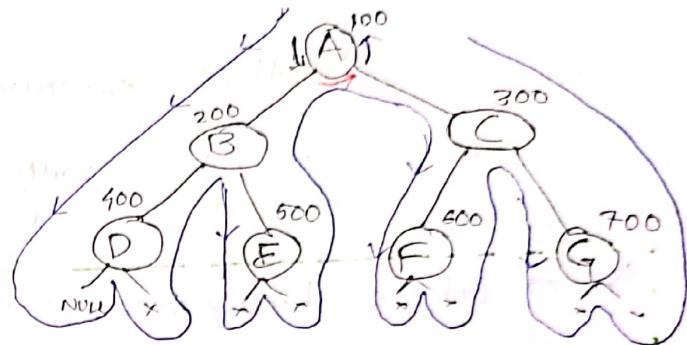
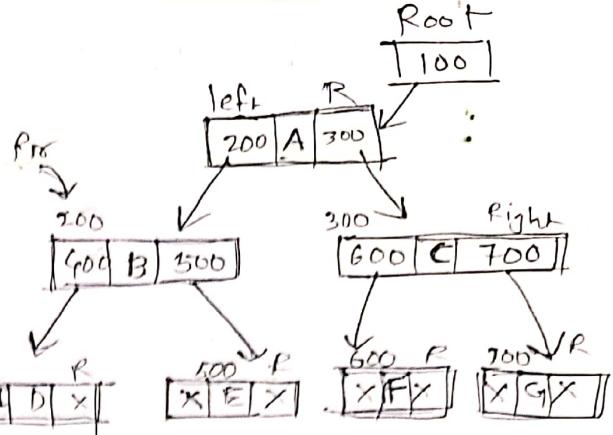
```
> Preorder (ptr->left);
```

```
> -||- (ptr->right);
```

```
> return;
```

```
}
```

First visit



## \* In-order Traversal

(L<sub>T</sub>, Root, R<sub>T</sub>) (In-order Left & Right)

1) Traverse L<sub>T</sub> of root node in In-order.

2) Print/Visit/Process root node.

3) Traverse R<sub>T</sub> of root node in In-order.

(In-order is pointing of node during its 2<sup>nd</sup> visit)



Inorder (struct Node \*Prr)

```
{ if (Prr) {
```

```
> Inorder (ptr->left);
```

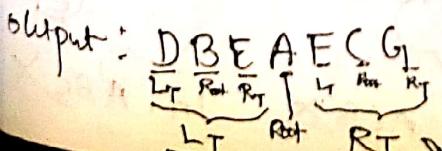
```
> pf ("%d", prr->data);
```

```
> Inorder (ptr->right)
```

```
}
```

Output:

DBE AEC G



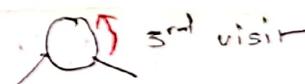
## \* Post-order Traversal (LT, RT, Root)

1) Traverse L<sub>T</sub> of root node in Post-order.

2) -||- RT -||-

3) Print/Visit/Process root node.

(Post-order is printing node during its third or last visit)



Void Postorder (struct Node \*Prr)

```
{ if (Prr) {
```

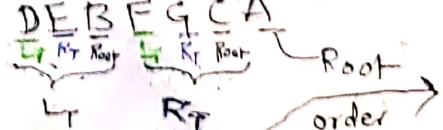
```
> Postorder (ptr->left);
```

```
> -||- (ptr->right);
```

```
> pf ("%d", prr->data);
```

```
}
```

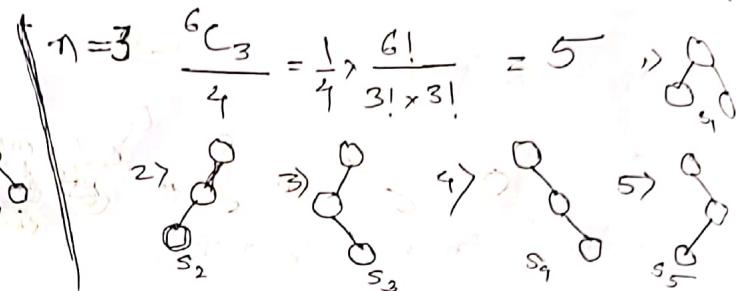
Output: DE B E G C A



No. of unlabelled binary trees with  $n$  nodes =  $\frac{^{2n}C_n}{n+1}$   
 (shape/structure/Geometry)

$$n=1 \Rightarrow \frac{^2C_1}{1+1} = \frac{2}{2} = 1$$

$$n=2 \Rightarrow \frac{^4C_2}{3} = \frac{1}{3} \times \frac{4!}{2! \times 2!} = 2$$



For each unlabelled structure  $\Rightarrow n!$  possible ways to label.

$\therefore$  Labelled binary trees with  $n$  nodes = No. of structures / unlabelled binary trees with  $n$  nodes  $\times n!$

No. of labelled binary trees with  $n$  nodes =  $\frac{^{2n}C_n}{n+1} \times n!$

Q. No. of binary trees with preorder ABC

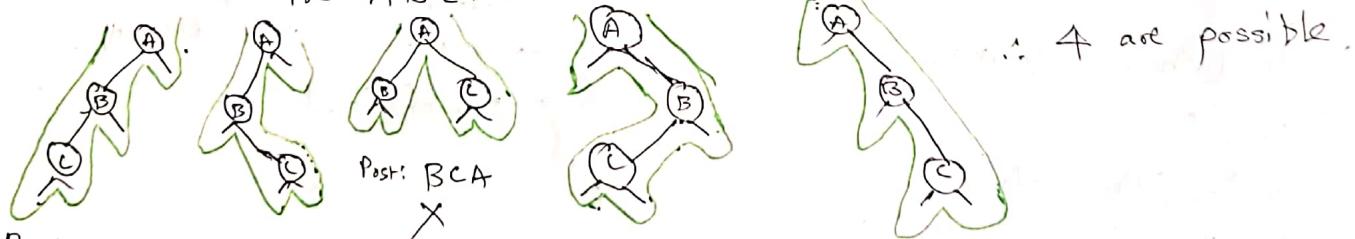
Ans: No. of structures possible =  $\frac{^{2n}C_n}{n+1}$ , Possible ways =  $n!$ . Here,  $n=3$   
 possible ways to label = 1,

label with preorder ABC  $\therefore$  No. of binary trees with preorder ABC =  $\frac{^{2n}C_n}{n+1} = 5$

With a given In/Pre/Postorder ( $n$  length), no. of B.Ts possible =  $\frac{^{2n}C_n}{n+1}$   
 Exactly either one is given.

No. of trees possible with pre: ABC  $\Rightarrow$  post: CBA

B.T. with Pre: ABC.



$\therefore$  4 are possible.

Post: CBA

Post: CBA

Post: CBA

Post: CBA

No. of B.T. with Pre: ABC

In: BAC

$\approx 1$

In: CBA X

In: BCA X

In: BAC

A

B

C

A

B

C

A

B

C

In: ACB X

In: ABC X

No. of binary trees with given pre-order & post-order  $\Rightarrow$  many  
 No.  $\rightarrow$  1  
 If  $\rightarrow$  1  
 pre-order & In-order  $\Rightarrow$  1  
 post-order & In-order  $\Rightarrow$  1

with a given pre-order & In-order, no. of B.T.s = Atmost 1

Pre: A B D E H C G I J  
 In: D B E A C I G J

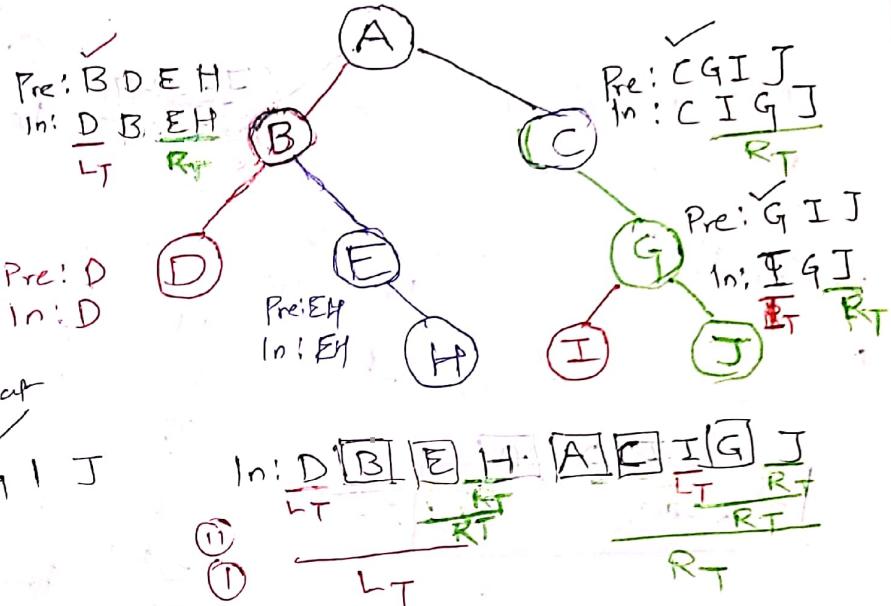
Short trick:-

① Traverse in pre-order  $\rightarrow$

② Mark root in In-order, ③ Repeat

Pre: A B D E H C G I J

In:



In: D B E A G F H C

Post: D E B G H F C A

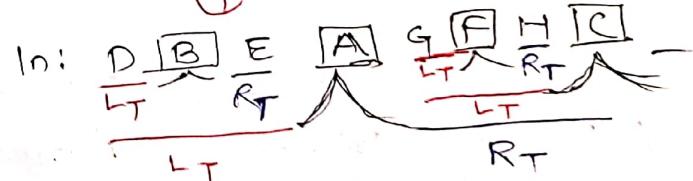
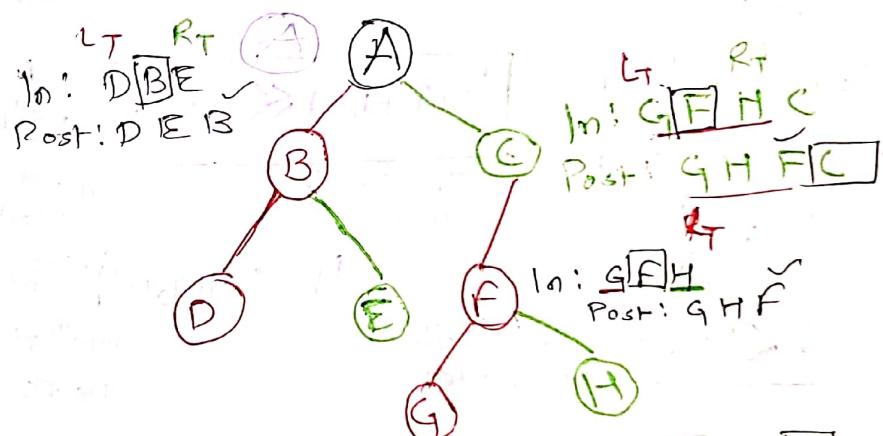
Short-trick:- ~~\*~~

① Traverse <sup>in</sup> post-order by opp. direction  $\leftarrow$

② keep marking the nodes in

In-order & keep dividing  
L & R<sub>T</sub> till leaf/last node.

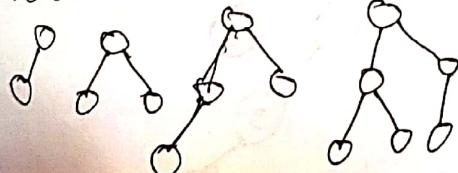
$\therefore$  Post: D E B G H F C A



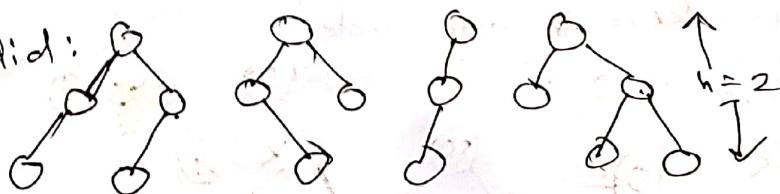
### Complete Binary Tree

A CBT is a binary tree which is full upto second last level  
 and nodes at last level are filled from left to right.

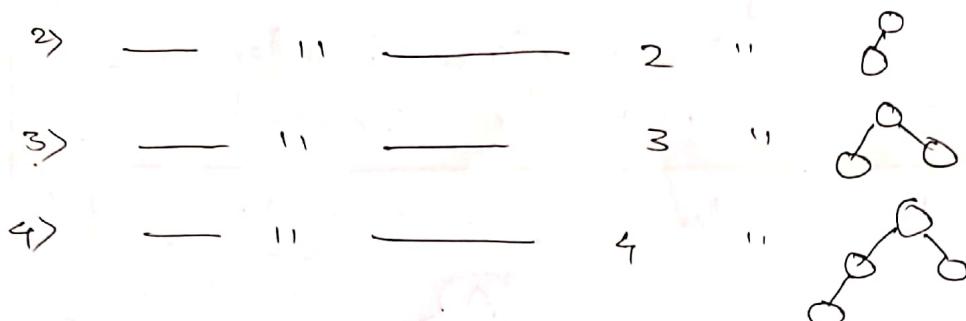
Valid:



Invalid:



1) Structure of a CBT with 1 node



max no. of nodes in a CBT of height  $h = 2^{h+1} - 1$

$$\min \text{ no. of nodes in a CBT of height } h = h + 1 \quad X$$

$$n = (1 + 2^1 + 2^2 + \dots + 2^{h-1}) + 1 \quad (\text{G.P.})$$

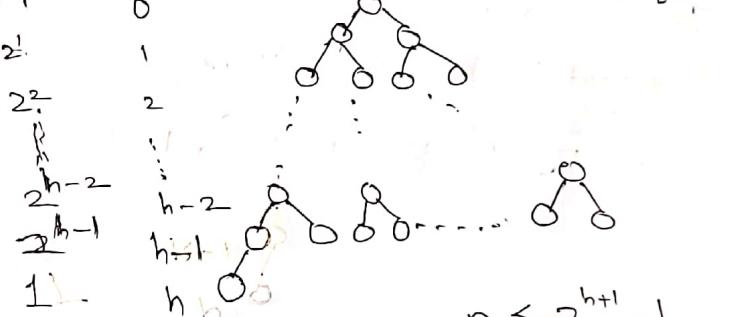
$$n = \frac{2^h - 1}{2 - 1} + 1 = 2^h - 1 + 1 = 2^h$$

$$\therefore 2^h \leq n \leq 2^{h+1} - 1$$

# nodes

level

min<sup>m</sup> no. of nodes in CBT of ht h



$$2^h \leq n$$

$$\log_2 2^h \leq \log n$$

$$h \log_2 \leq \log n$$

$$h \leq \frac{\log n}{\log 2}$$

$$h \leq \log_2 n$$

$$\boxed{\log(n+1) - 1 \leq h \leq \log_2 n}$$

Min<sup>m</sup> No. of nodes

$h = O(\log_2 n)$  Time complexity

max<sup>m</sup> Nodes  
min<sup>m</sup> # of nodes

$$n \leq 2^{h+1} - 1$$

$$2^{h+1} - 1 \leq n+1 \leq 2^{h+1}$$

$$2^{h+1} \log(n+1) \leq (h+1) \cdot \log 2$$

$$\frac{\log(n+1)}{\log 2} \leq h+1$$

$$h+1 \geq \log_2(n+1)$$

$$\boxed{h \geq \log_2(n+1) - 1}$$

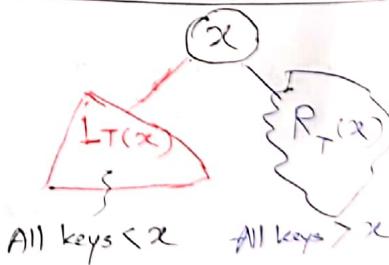
### Binary Search Trees:

A BST is a B.T. that satisfies the property:

All the keys in the LT of a node ( $\alpha$ ) are smaller than  $\alpha$ .

— — — R<sub>T</sub> — — — greater — — —

(For every node in BST)

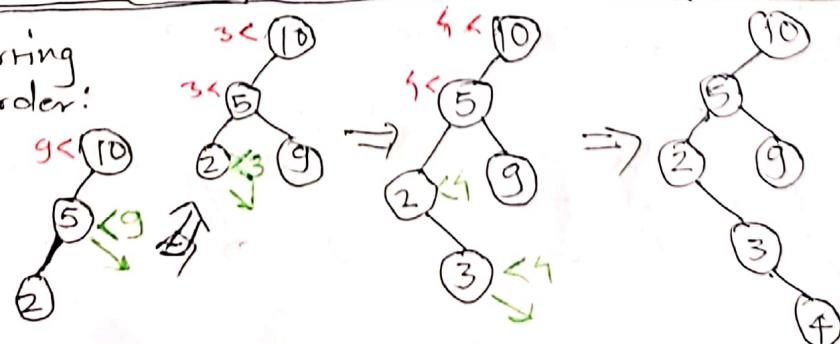


Construct BST by inserting foll. keys in same order:

10, 5, 2, 8, 3, 9

5K

10 → 2 < 10 →



No. of BSTs, when insertion order of keys is given = 1.

Q. Construct BST by inserting keys 10, 20, 30.

Insertion order can be

10, 20, 30  
10, 30, 20

20, 10, 30  
20, 30, 10

30, 10, 20  
30, 20, 10

∴ 3 keys  $\Rightarrow$  5 BSTs

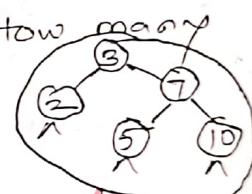
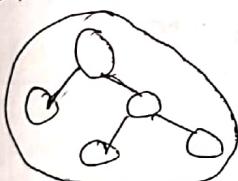
$$\text{No. of BSTs with } n \text{ keys} = \frac{2^n C_n}{n+1}$$

\* In order traversal of a BST is always increasing order of keys. i.e. Given the keys of a BST, Inorder traversal can be fixed for any BST.

\* Given a pre-order traversal of a BST, we can find the Inorder as it is fixed for any BST (i.e. in increasing order). Thus, we know with a given a pre-order & Inorder, we can construct a BST with short trick. post-order traversal can be found too.

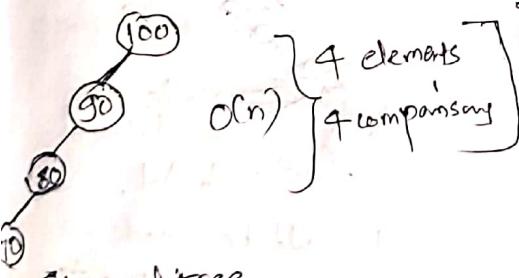
Q. Given a BT structure with  $n$  nodes &  $n$  keys, How many BSTs are possible? 10, 2, 5, 7, 3

$$\text{No. of BSTs with } n \text{ keys} = \frac{2^n C_n}{n+1}$$



\* No. of = 11 & a structure = 11

Search in a BST



Search 70

4 comparisons  
needed

4 elements

70 = 15 elements, 4 comparisons  
 $h = O(\log_2 n)$

$\therefore$  No. of comp =  $h+1 = O(h)$   $h = O(\log_2 n)$

Time complexity of searching a node in BST  
is  $O(n)$  in the worst case assuming the tree is skewed (i.e. Unbalanced/skewed on only 1 side)

otherwise, T.C. is  $O(\log_2 n)$  Min<sup>m</sup> height =  $\log_2(n+1)$

For  $n$  nodes, total searchable size will continue to reduce

$$n : n/2^0$$

$$n/2 : n/2^1$$

$$n/4 : n/2^2$$

$$n/8 : n/2^3$$

$$\therefore \frac{n}{2^k} = 1, k=0,1,2,\dots$$

$$\therefore n = 2^k \Rightarrow \log n = k \log_2 2$$

$$\therefore k = \log_2 n$$

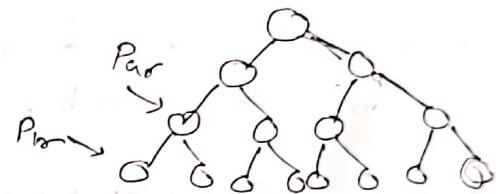
If we have 8 elements,  
 $k = \log_2 2^3 = 3$ , 3 iterations/  
comparisons needed.

## Deletion

Case I: Deletion of a node having 0-child (leaf node)

- " II:    |    |
- " III:    |    |

- 1    |
- 2    |



Case I: We need to identify the parent pointer (of node to be deleted) which is pointing to node to be deleted

⇒ Make this pointer NULL

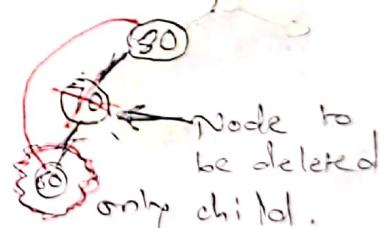
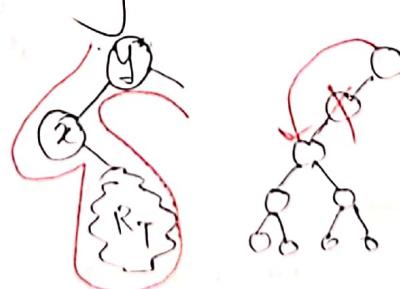
```

if (Pptr->data < Par->data)
{ par->left = NULL;
  free(ptr); }
else {
  par->right = NULL;
  free(ptr);
}
  
```

Case II: Deletion of a node having one child.

In order: X, RT, Y

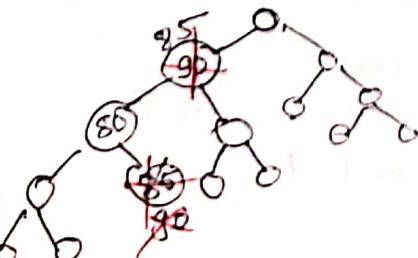
Deletion: RT, Y



It could be a node with left or right, any child.

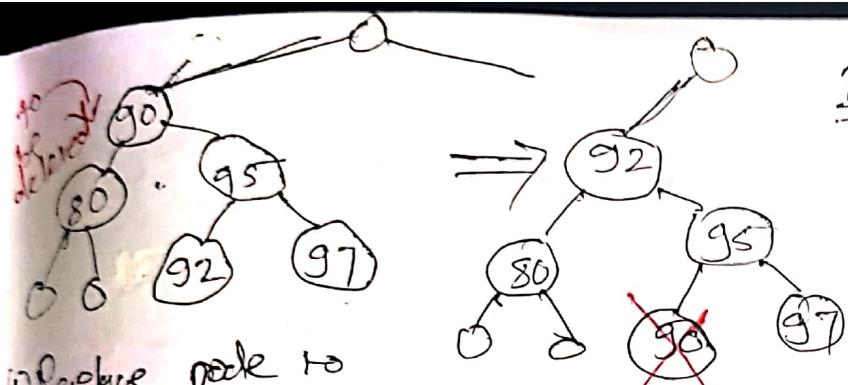
\* Identify the parent pointer (of node to be deleted) which points to node to be deleted, Make this pointer point to the child of node to be deleted.

Case III: Deletion of node with two children.



Deletion of 90.

Method 1: Replace the node to be deleted with the largest node in the left subtree of the node & perform deletion ensuring no element is larger in the left subtree of newly updated node.



Replace node to be deleted with the smallest node in its

- ⇒ perform deletion if it's leaf node, otherwise
- perform deletion with one child.

\* Every deletion of node with two children can be reduced to deletion with either one or no children

If the node to be replaced has a child, perform deletion with one child. (A node to be replaced in L<sub>T</sub> cannot have 2 children as we are replacing the largest in L<sub>T</sub>).

(Note: A node to be replaced in the right subtree cannot be having two children as we are replacing the smallest node with the node to be deleted, If it has left node as well, then that becomes the smallest node to be replaced.)

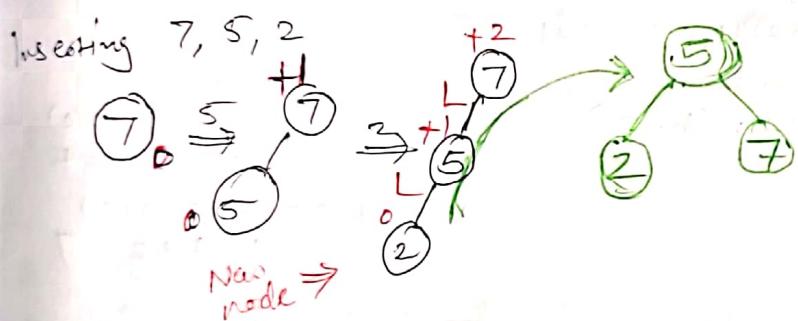
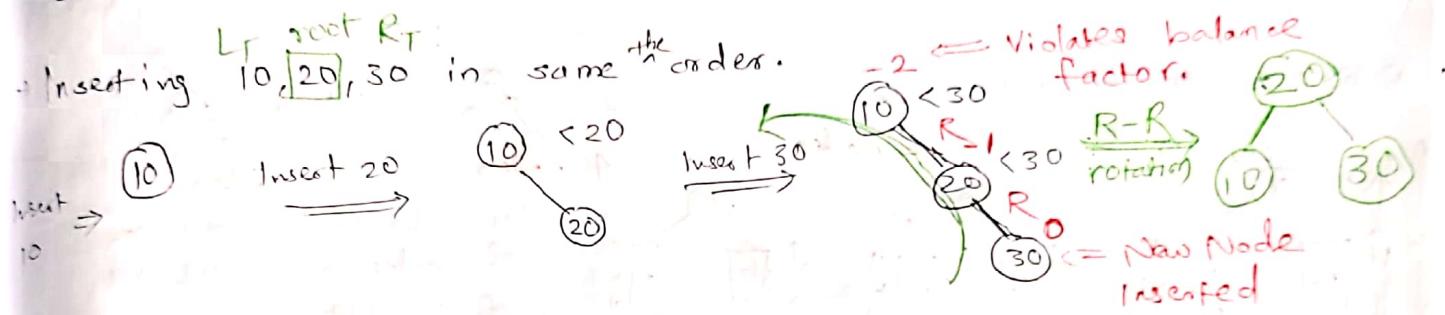
Method 2: Replace the node to be deleted with the smallest node in the right subtree of the node & perform deletion ensuring no element/node in the right subtree of the newly updated node is smaller than the newly updated node.

## AVL - Tree

In AVL tree, every node satisfies BST property (keys of LT < root & all the keys of RT > root).

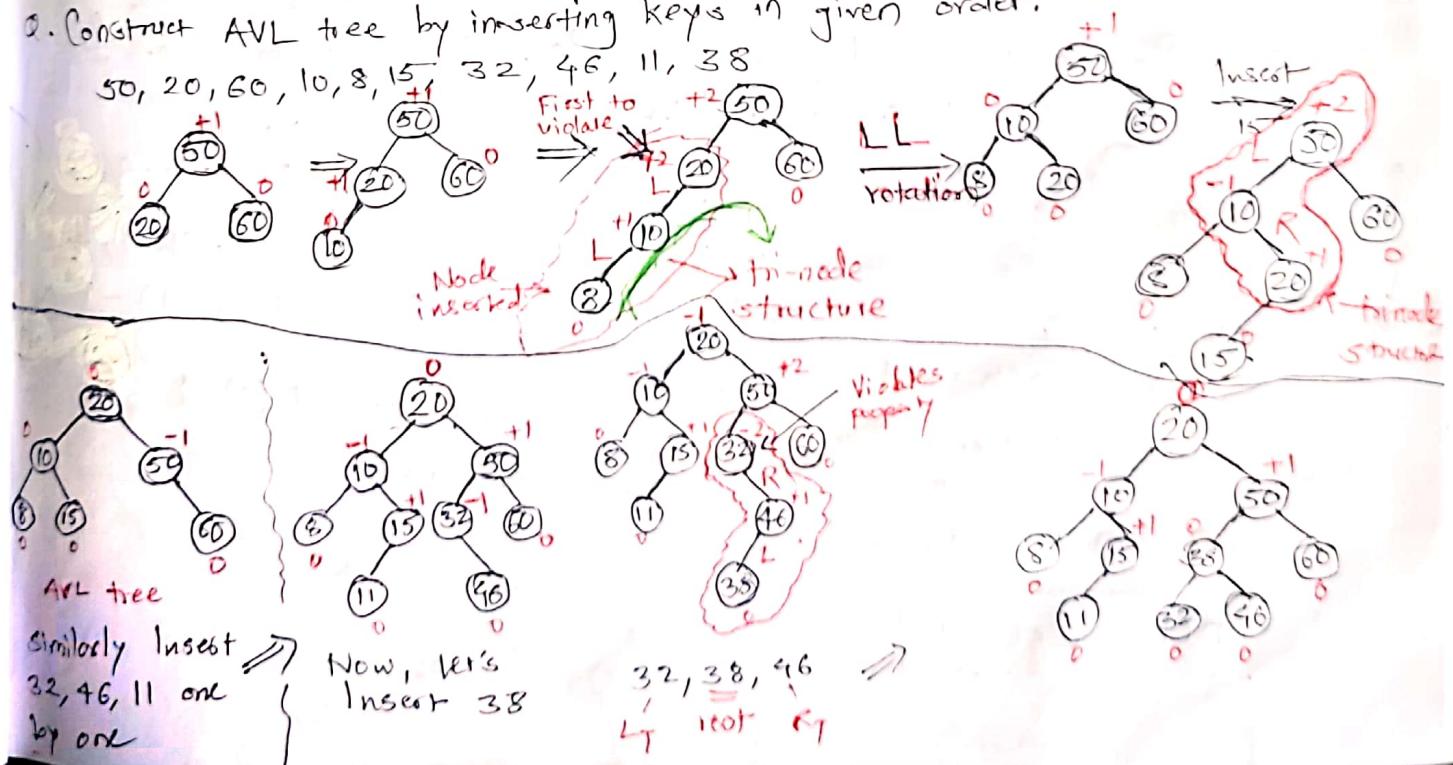
\*AVL Tree property: The balancing factor of a node can either be 0, 1, -1. Balancing factor of a node is a difference between the height of its left subtree and the height of its right subtree. Whenever the diff/balance is more than one, tree should be balanced using operations called AVL rotations.   
 ∵ AVL trees are self-balancing BSTs.

Insertion of keys may cause the bal. factor of some node other than 0, -1, +1. Tree becomes unbalanced due to this.



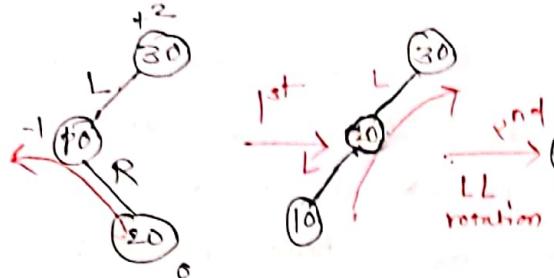
Arranging keys in ascending order  $Z, S, T \leftarrow RT$   
 $i^T$  make root

Q. Construct AVL tree by inserting keys in given order.

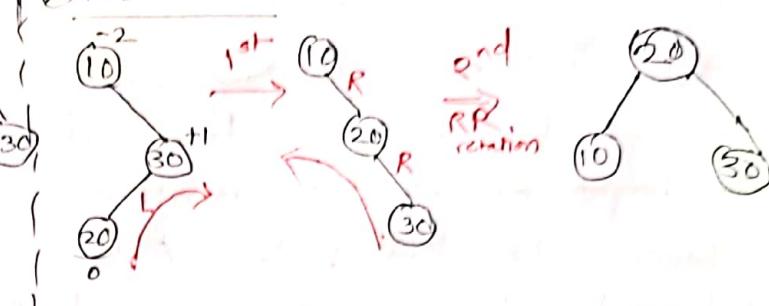


30, 10, 20

### ① LR rotation:



### ② RL rotation:



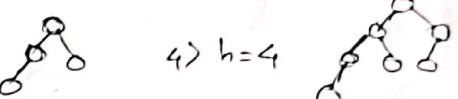
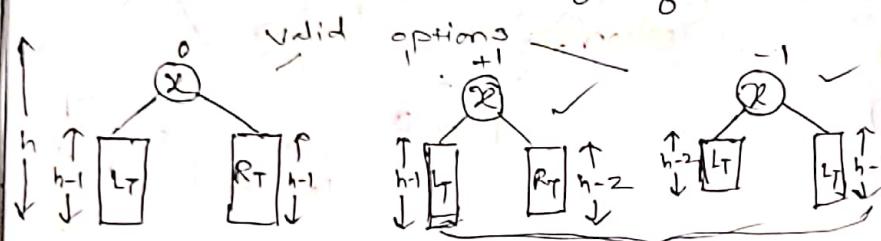
LL Rotation ] single rotation  
RR — 11 —

LR Rotation ] double rotation.  
RL — 11 —

Max<sup>m</sup> no. of nodes in an AVL-tree of height  $h = 2^{h+1} - 1$

Min<sup>m</sup> no. of nodes in — 11 — :

$\Rightarrow h=0 \quad 0 \quad \geq h=1 \quad 0 \quad , \quad \geq h=2 \quad 0$



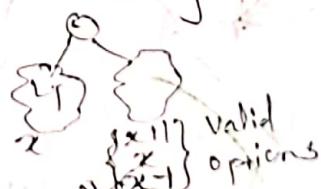
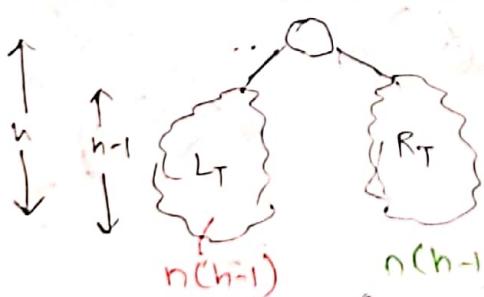
Let  $n(h)$  be the min. no. of nodes in an AVL-tree of  $h$  height.

$$n(h) = n(h-1) + n(h-1) + 1$$

$$\therefore n(h) = 1 + n(h-1) + n(h-2)$$

$$\begin{aligned} n(0) &= 1 \\ n(1) &= 2 \\ n(2) &= 1 + n(1) + n(0) \\ &= 1 + 2 + 1 = 4 \\ n(3) &= 1 + n(2) + n(1) \\ &= 1 + 4 + 2 = 7 \end{aligned}$$

Q. A B.T. where the diff b/w the no. of nodes in  $L_T$  & no. of nodes in  $R_T$  is at most 1. For each node, find the min. no. of nodes in such a tree of height 5.



(As diff. b/w no. of nodes in  $L_T$  &  $R_T$  is at most 1.)

$$\therefore n(h) = 1 + n(h-1) + n(h-1) + 1$$

$$\boxed{n(h) = 2n(h-1)}$$

$a-1$  would be the best option for min<sup>m</sup> no. of nodes.

$$n(0) = 1$$

$$n(1) = 2 \cdot n(0) = 2$$

$$n(2) = 2 \cdot n(1) = 2^2$$

$$n(5) = 2^5 = 32$$

Node Balancing

$$\therefore n(h) = 1 + n(h-1) + n(h-1) + 1$$

a. for every node: diff of height of  $L_T$  & height of  $R_T$  is at most 2  
 min<sup>m</sup> no. of nodes in such a B.T. db h = 4

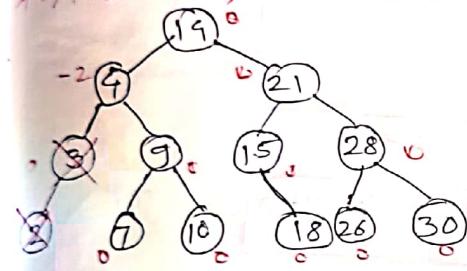
would be the best choice for min nodes as  $R_T$  of height "h-3" would contain least nodes.

$$n(h) = 1 + n(h-1) + n(h-3)$$

\*AVL tree Insertion : i) Insert using BST process.

ii) Update the height from new node to the root i.e. Balance factor.  $\Theta(\lg n)$   
 iii) Check for imbalance, if imbalanced, balance it using rotations.  $\Theta(\lg n)$   
 Insert  $\rightarrow$  const. number of rotations.

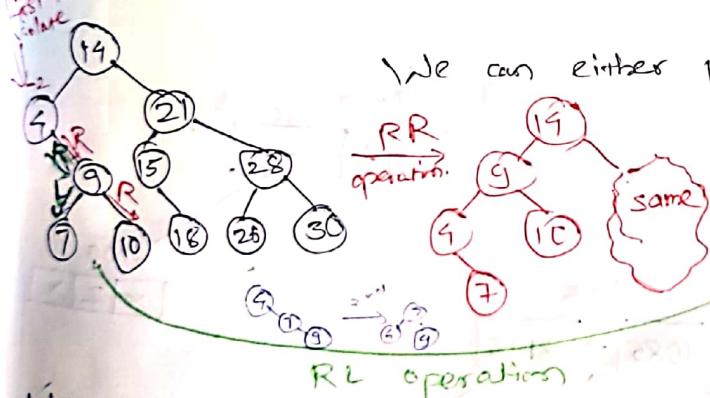
\*AVL tree, Deletion of node.



Delete 2. (No. Imbalance)

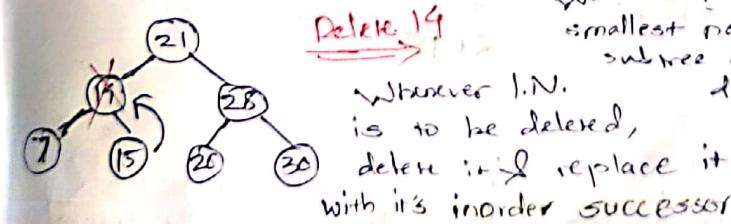
Subsequently perform deletion of 3.

Now, here Balance factor of 4 becomes -2.  $\therefore$  4 is the first node to violate the B.F. (i.e. property of AVL tree)

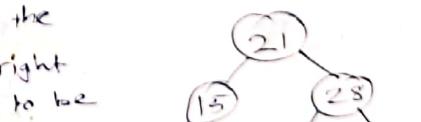


Out of these, RR is preferred as it is a single rotation operation.

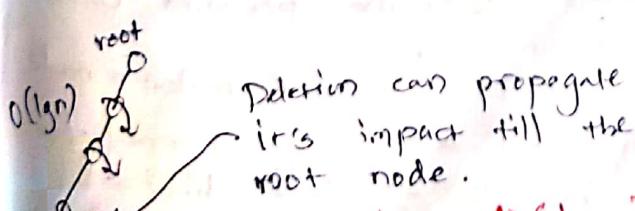
Deletion of I.N.



We can replace it with the smallest node of the right subtree of the node to be deleted.



It can even be replaced with the largest ele. from its L\_T

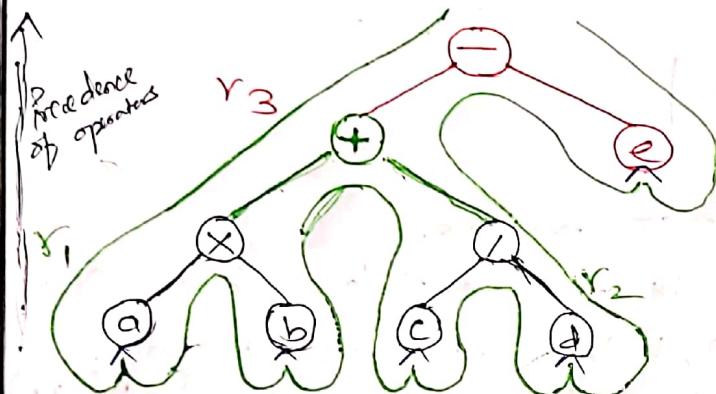


$\therefore$  Deletion  $\rightarrow \Theta(\lg n)$  no. of rotations in the worst case.

## Expression tree

Operands: leaf nodes

Operators: Internal nodes.



$$\text{Infix: } a \times b + c / d - e$$

$$r_1 \quad r_2$$

$$r_1 + r_2 - e$$

The inorder traversal of this tree is infix itself.

Inorder:  $a \times b + c / d - e$

Root  $\Rightarrow$  Least priority (last operator)

### \* Postfix to Expression tree \*

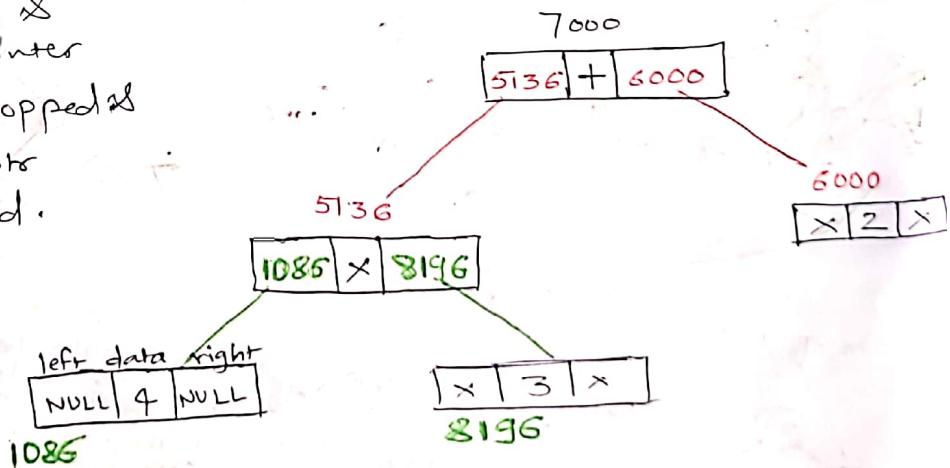
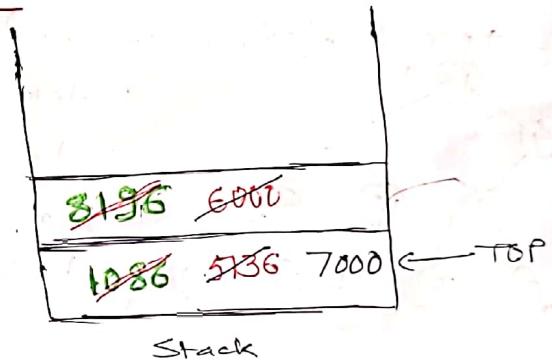
Infix :  $4 \times 3 + 2$

Postfix :  $4 \ 3 \times \ 2 \ + \ \text{--End}$

① Create nodes of postfix operands until an operator encounters.

② When operator is encountered, pop an ele. from stack & make operator's right pointer point to the element popped. Pop again, make left ptr point to the ele. popped.

Continue until evaluation of expression.



### \* Prefix to Expression tree \*

Infix:  $2 + 3 \times 4$

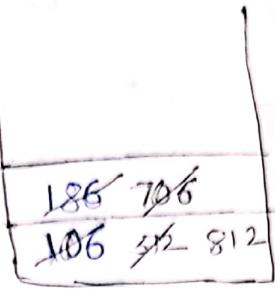
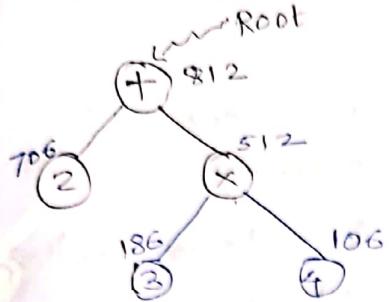
Prefix:  $+ 2 \times 3 4$

Reverse:  $4 \ 3 \times \ 2 \ +$

① Create nodes of prefix operands until operator encounters.

② When operator is encountered, make a node for it first, the first node gets popped from the stack & becomes the left child of the operator node. The 2nd popped element

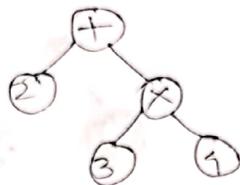
- becomes the right child of the operator node.  
 ⑤ The address of this operator node is then pushed onto stack.  
 ⑥ Continue until evaluation.



Inorder : Infix

Preorder : Prefix

Postorder: Postfix



Inorder:  $2 + 3 \times 4$

Prefix:  $+ 2 \times 3 4$

Postfix:  $2 3 4 \times +$

Ex: Unary operator - 2



Inorder :  $2 -$



Inorder:  $- 2$

**Priority of Unary Operator > Binary operators**

Q. Find post-fix of  $3 \times \log(x+1)$  GATE QUESTION

$\log$   $\rightarrow$  value  
 $\log$   $\rightarrow$  unary operator.

$3 \times \log ([x+1])$

$3 \times [x+1 \log]$   
 op1      op2      operator

$\therefore 3 x 1 + \log X$

# Heap

Max-Heap

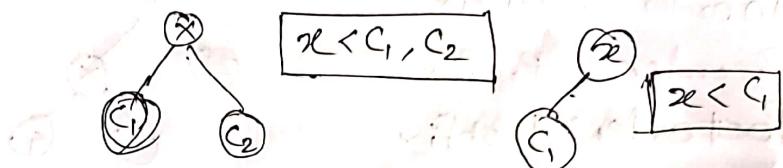
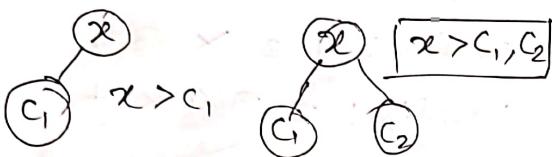
Min-Heap

A CBT in which every node satisfies property:

The value of node is greater than its children.

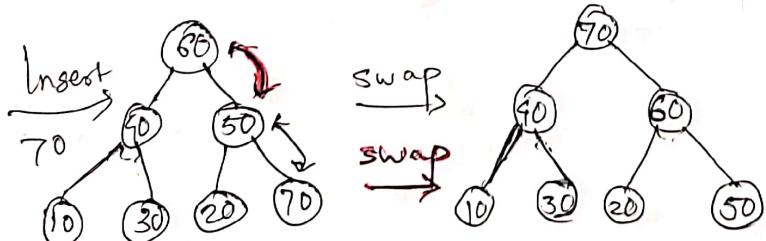
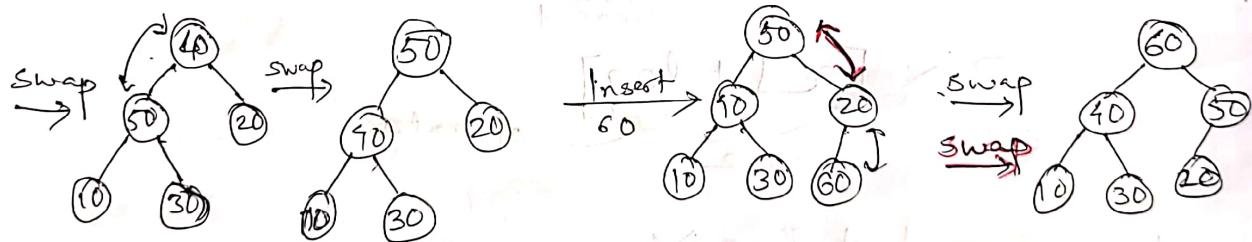
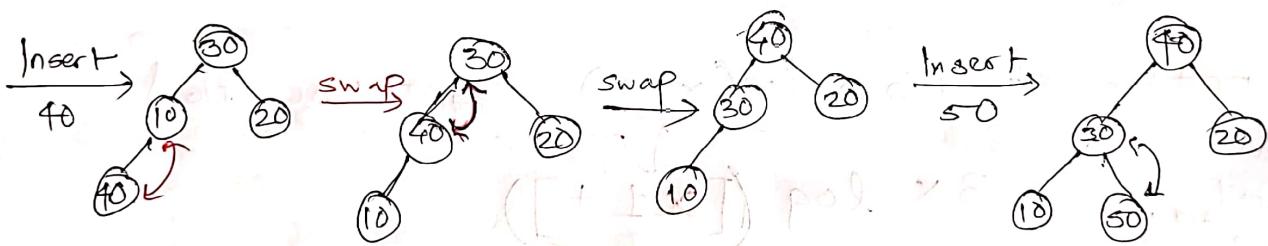
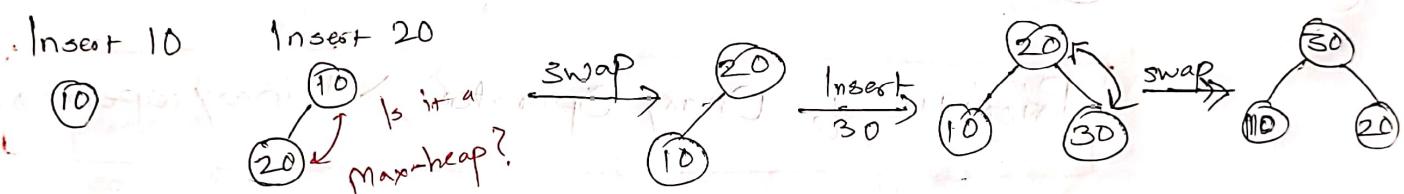
A CBT in which every node satisfies the property!

The value of node is smaller than its children.



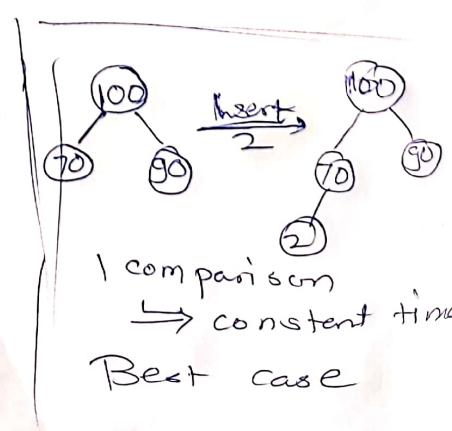
Construction of heap by inserting keys one after another in a given order.  $\Rightarrow n \log n$  as insertion in heap with  $n$  nodes  $\rightarrow O(n \log n)$

Construct a Max-heap by inserting 10, 20, 30, 40, 50, 60, 70, in same order



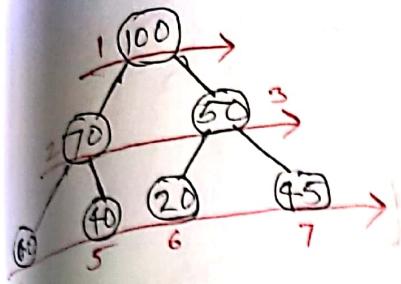
Insertion in heap with  $n$  nodes  $\rightarrow O(\log_2 n)$

$\therefore$  Construct



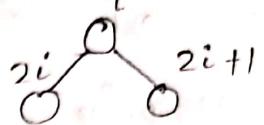
1 comparison  
 $\rightarrow$  constant time  
Best case

CBT  $\Rightarrow$  Array representation.

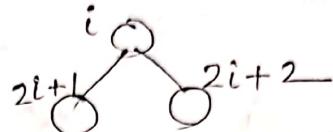


100	70	50	60	40	20	4
1	2	3	4	5	6	7

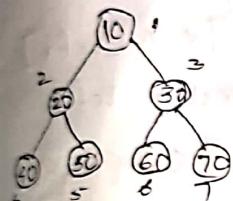
Index of node 100  $\Rightarrow$  1  
 ——————  
 Index of node 70  $\Rightarrow$  2



(Ans) Imp.  
 Index of node 100  $\Rightarrow$  0  
 ——————  
 Index of node 70  $\Rightarrow$  1



Given an array rep. of a CBT as 10, 20, 30, 40, 50, 60, 70 ?  
 Is it a max-heap? If No, convert it to max heap.



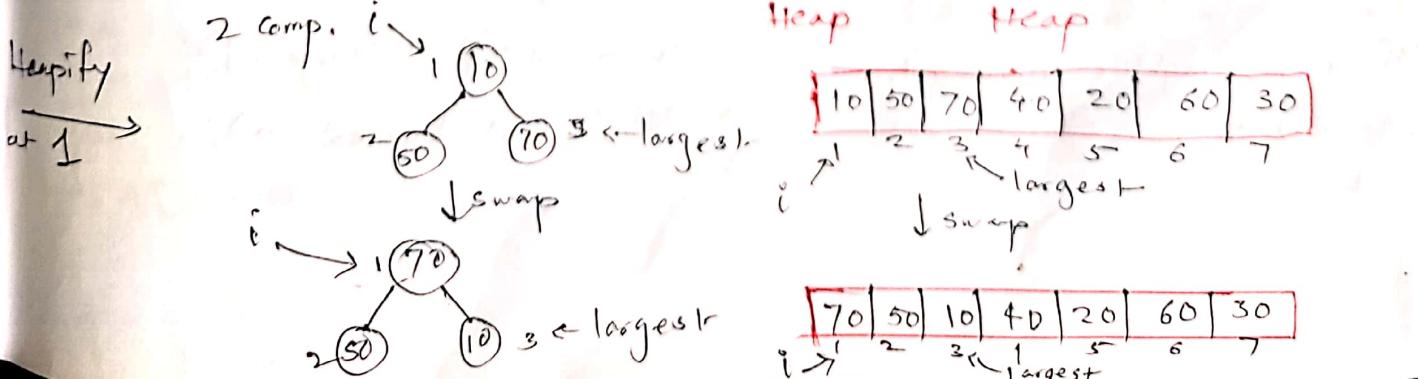
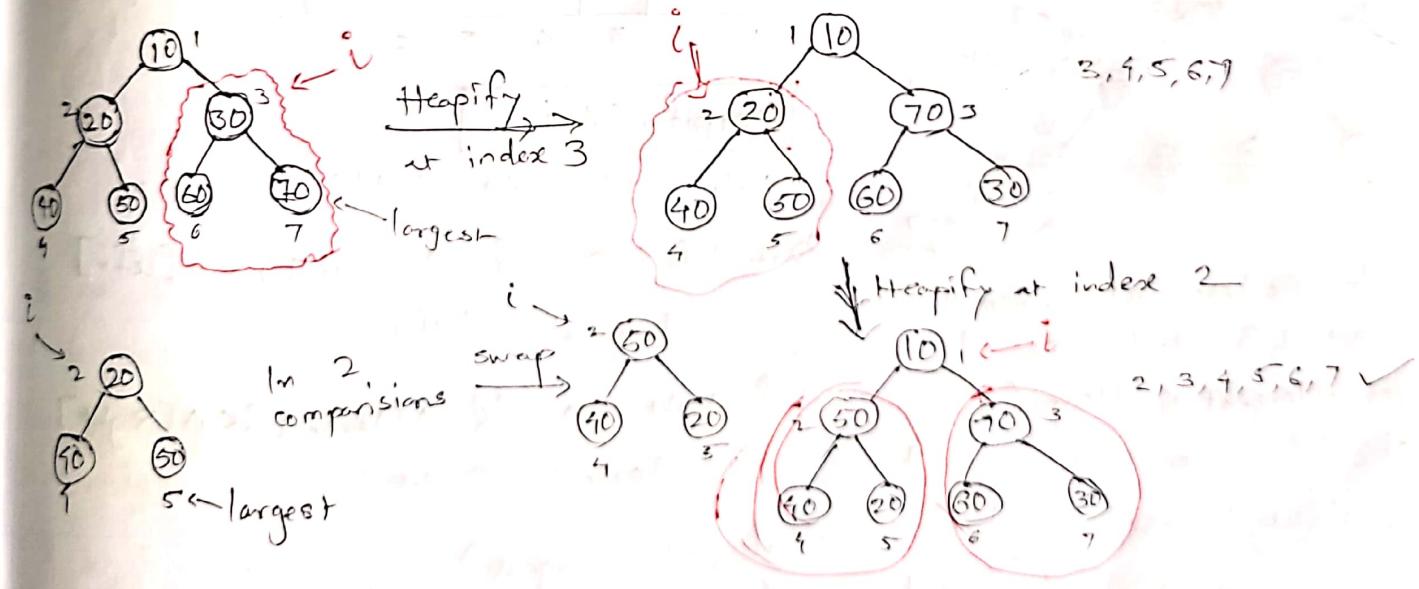
10	20	30	40	50	60	70
1	2	3	4	5	6	7
0	1	2	3	4	5	6

(Practically)

Every leaf node always satisfies max-heap prop 7.

Index of Internal nodes = 1, 2, 3 ... 1 to  $\lfloor \frac{n}{2} \rfloor$

4, 5, 6, 7 ✓

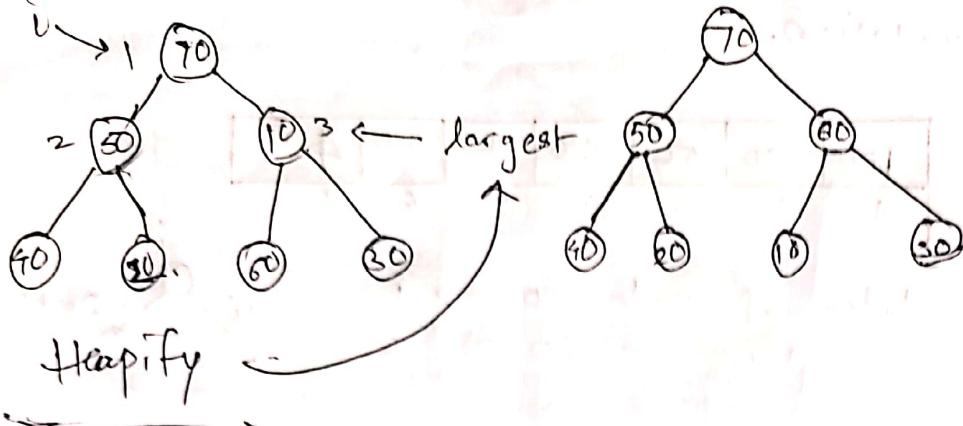


10	50	70	40	20	60	30
1	2	3	4	5	6	7

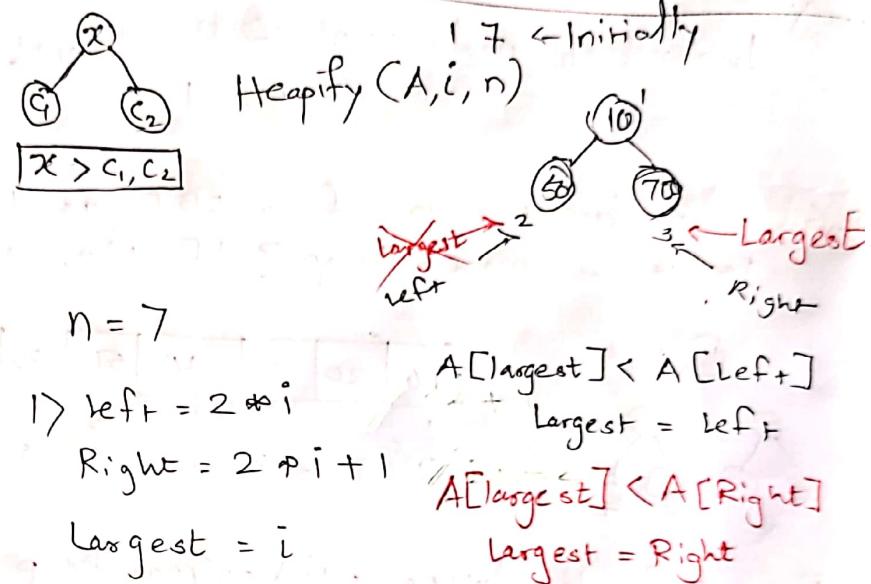
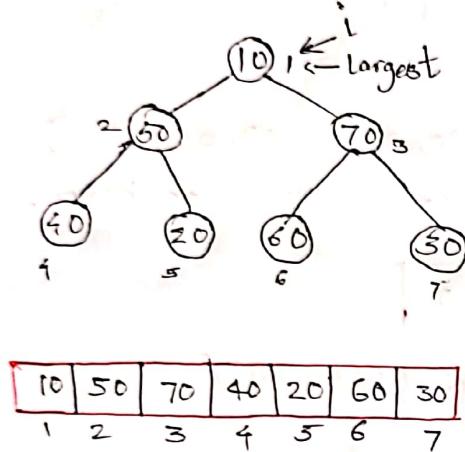
70	50	10	40	20	60	30
1	2	3	4	5	6	7

70	50	10	40	20	60	30
1	2	3	4	5	6	7

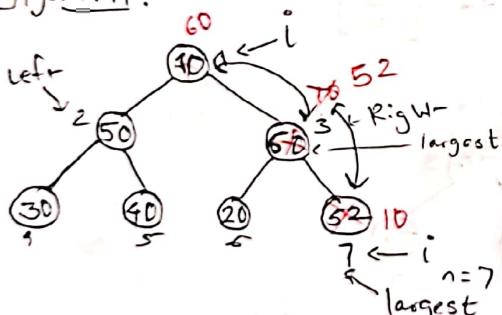
70	50	10	40	20	60	30
1	2	3	4	5	6	7



Algorithm:

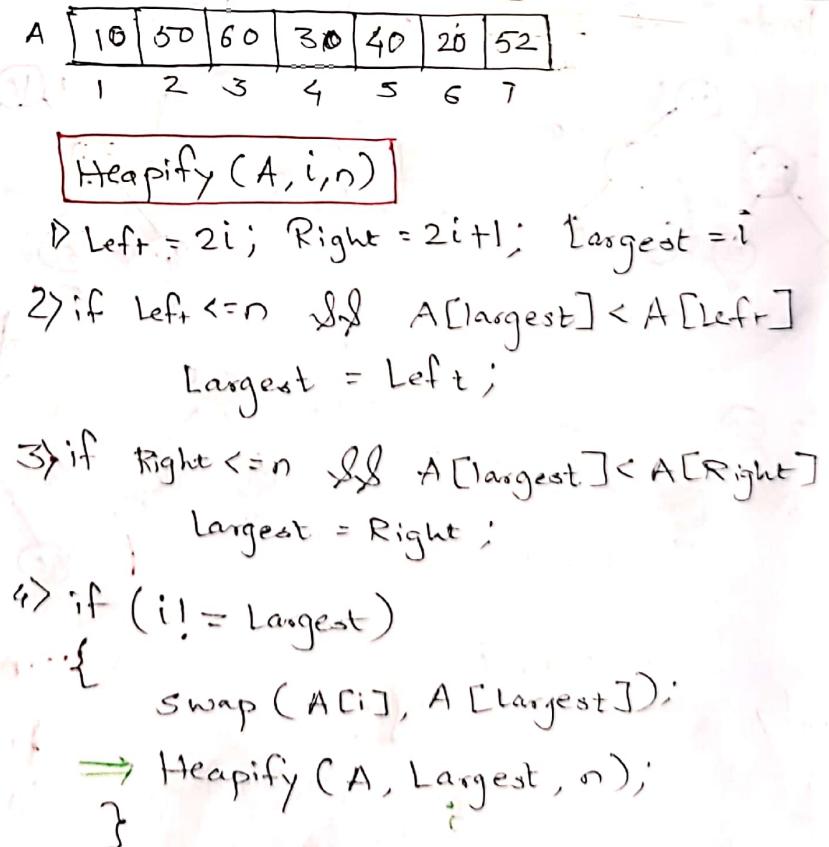


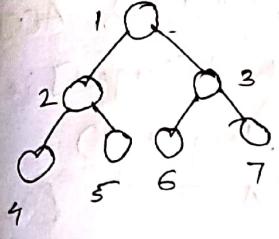
Algorithm:



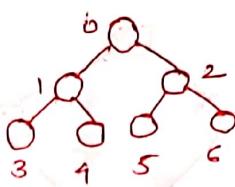
In the last iter, when the right of 3 i.e. 7 is passed as an  $i$  to `Heapify`, it's  $\text{left}$  becomes  $2i = 2 \times 7 = 14$  &  $\text{right}$  becomes  $2i+1 = 15$

Now, the condition is checked if  $\text{left} & \text{right} \leq n$  or not. As, they are not control comes out





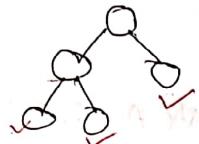
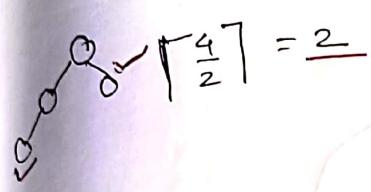
Node  $\Rightarrow i$   
Parent  $\Rightarrow \left\lfloor \frac{i}{2} \right\rfloor$



Node  $\Rightarrow i$   
Par  $\Rightarrow \left\lfloor \frac{i-1}{2} \right\rfloor$

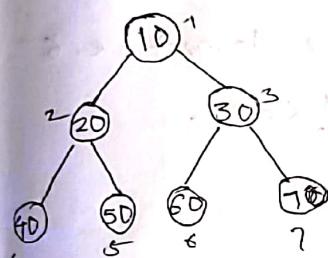
$$\lceil \frac{7}{2} \rceil = 4$$

# No. of leaf nodes in a heap  
with  $n$  nodes =  $\lceil \frac{n}{2} \rceil$



$$\text{No. of Nodes} = 5 \quad \therefore \lceil \frac{5}{2} \rceil = \lceil \frac{2.5}{2} \rceil = 3$$

Q. Given an array repr. of CBT  
10, 20, 30, 40, 50, 60, 70  $\Rightarrow$  convert to max-heap.



$$\text{Here, # of leaf nodes} = \lceil \frac{7}{2} \rceil = 4$$

$$\therefore \text{# of Internal Nodes}$$

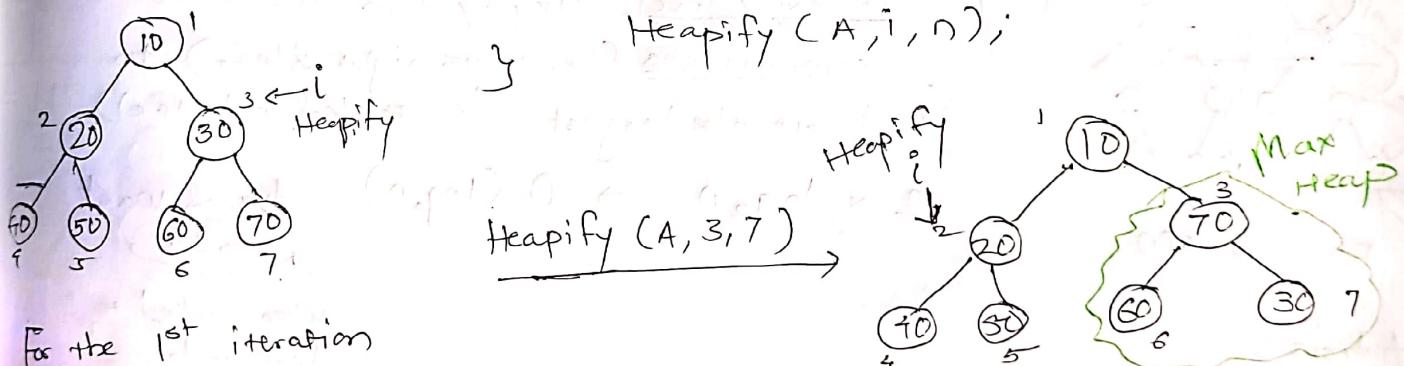
$$1 \text{ to } \left\lfloor \frac{n}{2} \right\rfloor$$

$$\begin{matrix} 1 & \text{to} & 3 \\ 1, 2, 3 \end{matrix}$$

Build-Heap ( $A, n$ )

{ for ( $i = \left\lfloor \frac{n}{2} \right\rfloor$ ;  $i \geq 1$ ;  $i--$ )

    Heapify ( $A, i, n$ );



For the 1st iteration

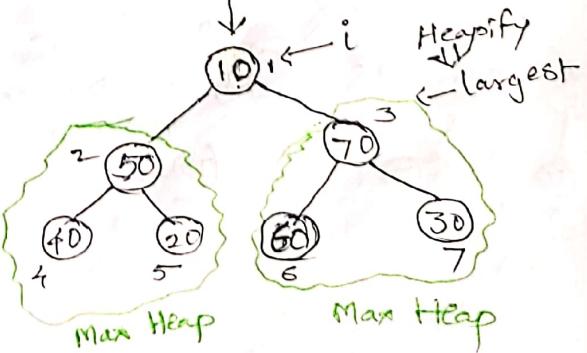
of for loop,  $i = \left\lfloor \frac{7}{2} \right\rfloor$  i.e. 3

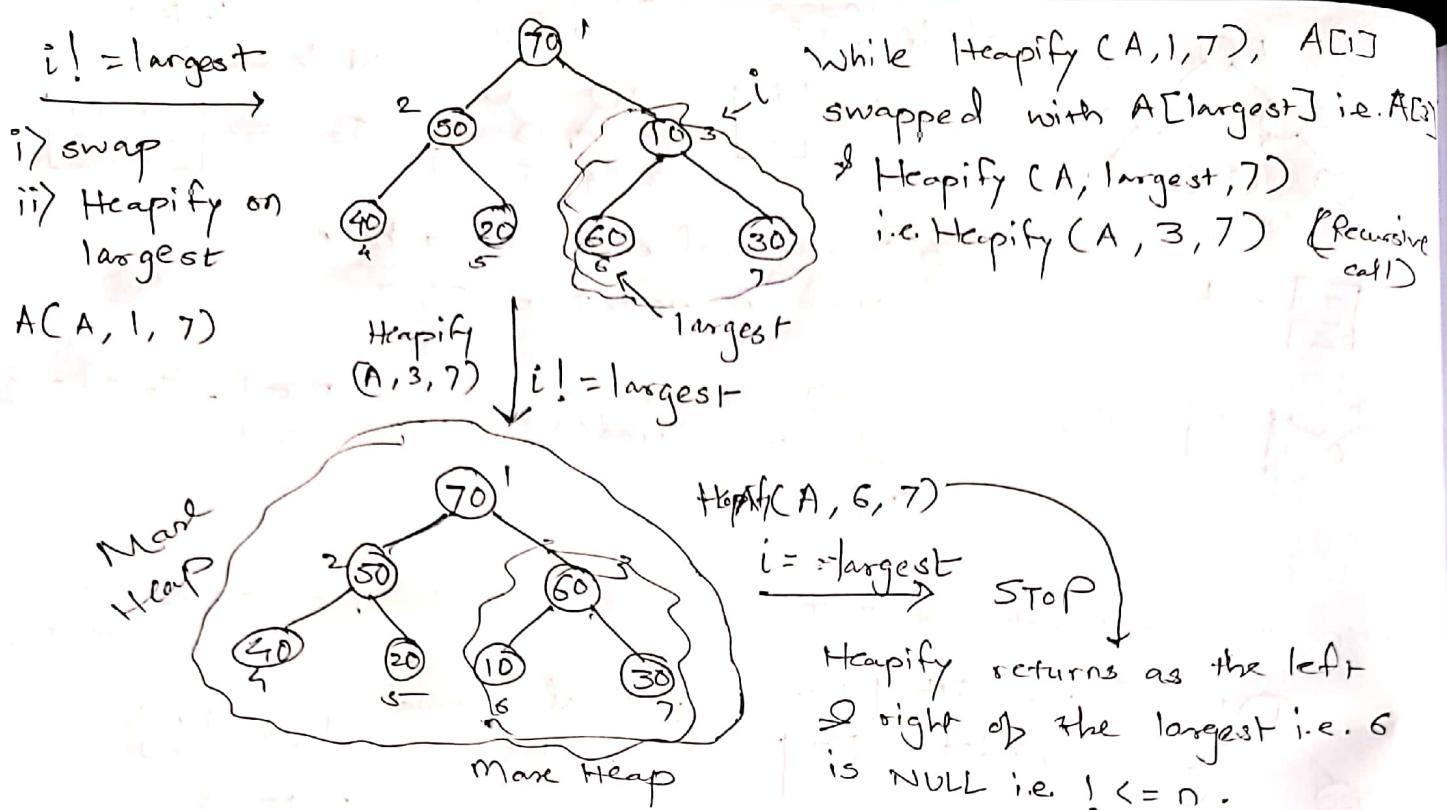
Thus, Heapify will be evaluated on index 3 (Heapify ( $A, 3, 7$ ))

It'll set  $i$  i.e. 3 equal to largest & check its right & left for the largest. If  $i$  is not equal to largest, it will swap  $A[i]$  with  $A[\text{largest}]$

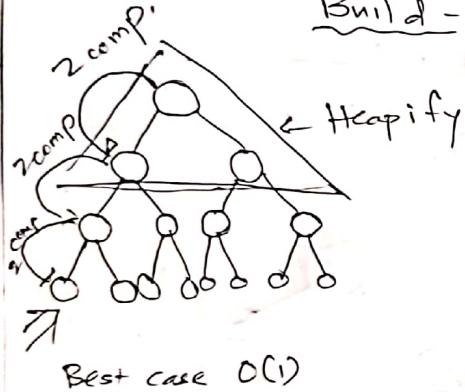
2nd iter<sup>n</sup> of for loop,  $i = 2$

    Heapify ( $A, 2, 7$ )





### Build - Heap

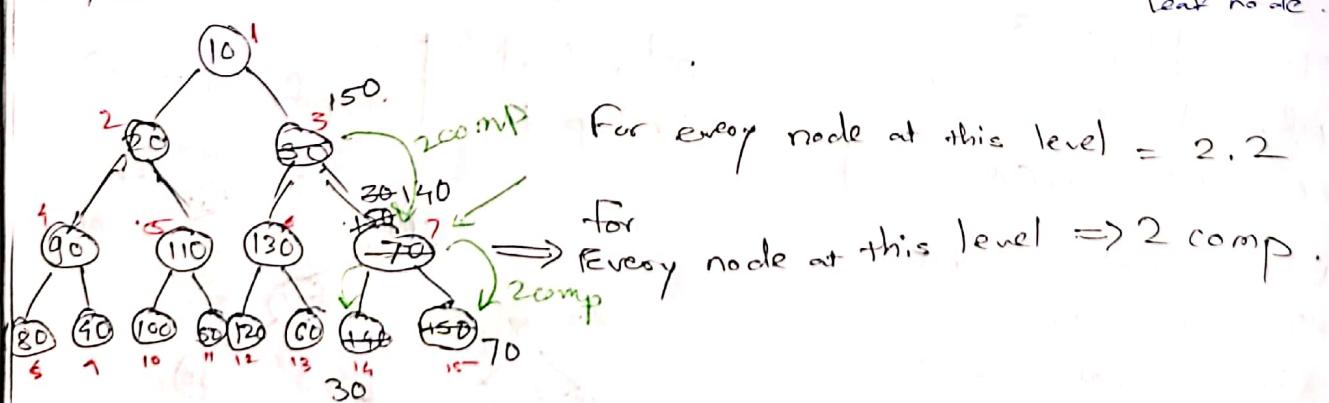


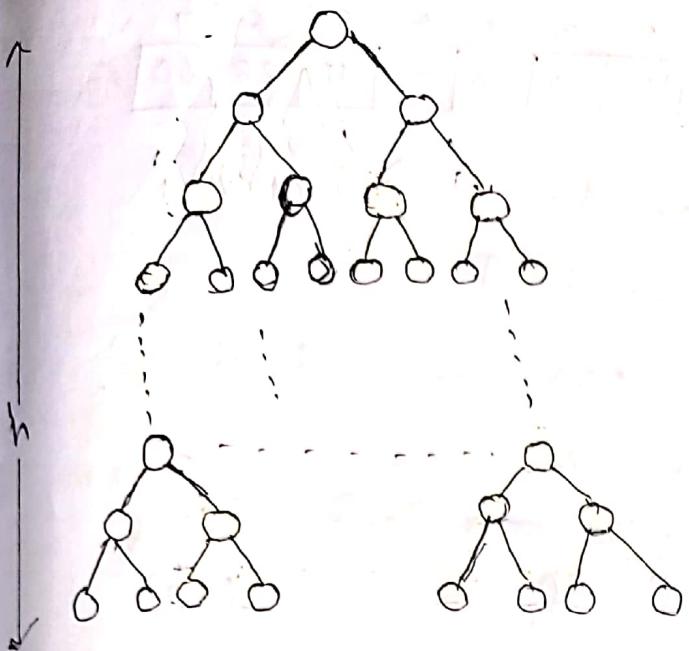
At every level, Heapify func has to do 2 comparisons (i.e. to its right & left) to find out the largest. (except leaf node case)

$$2 \times \log_2 n \Rightarrow O(\log_2 n)$$

Avg. & Worst case.

When applied to leaf node.





Level	# of comp. for each node	# of nodes at this level
0	$2(h)$	$2^0$
1	$2(h-1)$	$2^1$
2	$2(h-2)$	$2^2$
3	$2(h-3)$	$2^3$
$h-2$	$2(2)$	$2^{h-2}$
$h-1$	$2 \cdot 1$	$2^{h-1}$
$h$	0	$2^h$

$$S = 2^0 \times 2(h) + 2^1 \times 2(h-1) + 2^2 \times 2(h-2) + 2^3 \times 2(h-3) + \dots + 2^{h-2} \times 2(2) + 2^{h-1} \times 2(1)$$

$$S = 2 [2^0(h) + 2^1(h-1) + 2^2(h-2) + 2^3(h-3) + \dots + 2^{h-2}(2) + 2^{h-1}(1)]$$

$$\frac{S}{2} = 2^0(h) + 2^1(h-1) + 2^2(h-2) + 2^3(h-3) + \dots + 2^{h-2}(2) + 2^{h-1}(1)$$

$$-S = -2^0(h) \pm 2^1(h-1) \pm 2^2(h-2) \pm \dots \pm 2^{h-2}(2) \pm 2^{h-1}(1)$$

$$-\frac{S}{2} = 2^0(h) + 2^1(h-1) + 2^2(h-2) + 2^3(h-3) + \dots + 2^{h-1}(1) - 2^h$$

$$-\frac{S}{2} = h - 2^0 - 2^1 - 2^2 - \dots - 2^h \quad \therefore \frac{S}{2} = n_{\max} + 1 - 2 - \log_2 n$$

$$-\frac{S}{2} = h - (2^0 + 2^1 + \dots + 2^h)$$

$$-\frac{S}{2} = h - \frac{2^0(2^h - 1)}{2 - 1} \quad (\text{G.P.}) \quad \frac{a(r^n - 1)}{r - 1}$$

$$-\frac{S}{2} = h - (2^{h+1} - 2)$$

$$\therefore \frac{S}{2} = n - 1 - \log_2 n$$

$$\therefore S = 2n - 2 - 2 \log_2 n$$

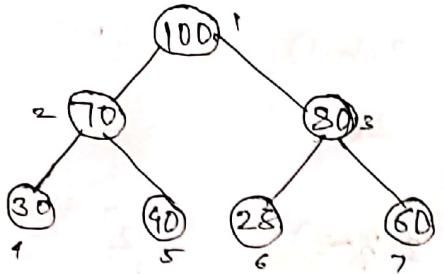
$$S = O(n)$$

$$\boxed{\frac{S}{2} = 2^{h+1} - 2 - h} \quad h = \log_2 n$$

We know, Max<sup>m</sup> no.  
of nodes in a tree

$$\boxed{n_{\max} = 2^{h+1} - 1}$$

## Max-Heap

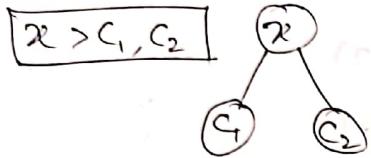


1	2	3	4	5	6	7
100	70	80	30	40	28	60

Find-Max(A, n)

```
{
    return A[1]
}
```

O(1) constant time.



Minimum can be  
among  
these 3:  $c_1$  or  $c_2$

Find-Min

→ Can be some leaf node.

No. of leaf nodes =  $\lceil \frac{n}{2} \rceil = O(n)$

Max-  
Heap

Find-Min  $\Rightarrow O(n)$   
Find-Max  $\Rightarrow O(1)$

# comp.  
10 ele  $\Rightarrow 9$  2 ele  $\Rightarrow 1$

n ele  $\Rightarrow n-1$

$\lceil \frac{n}{2} \rceil$  ele  $\Rightarrow \lceil \frac{n}{2} \rceil - 1 \Rightarrow O(n)$

Min-  
Heap

Find-Min  $\Rightarrow$  return  $A[1]$   
 $\Rightarrow O(1)$   
Find-Max  $\Rightarrow O(n)$

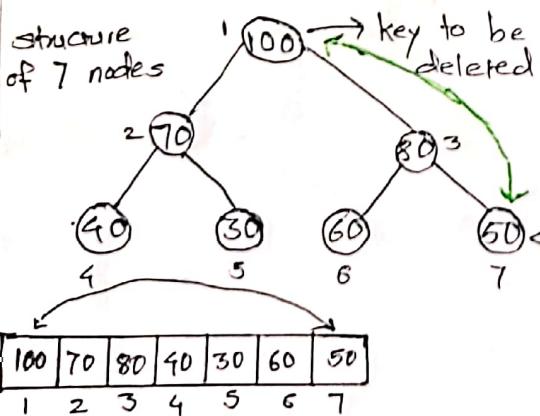
Search in heap: Worst case  
 $: O(n)$

Insert:  $O(\log n)$

\* The structure of a heap with

The structure of any no. of nodes is fixed, being a CBT  
In the structure . . . n nodes, ^ the nth node (i.e. last node  
in the last level among leaf nodes) can be deleted to  
maintain the property of CBT in order to remain a Heap.

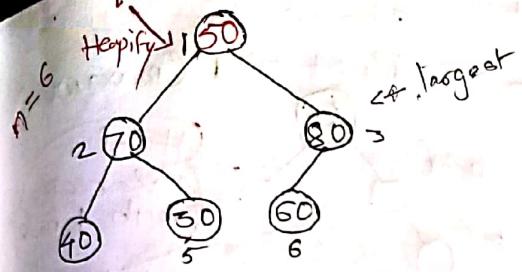
structure  
of 7 nodes



key to be deleted  
Only node  
that can be  
deleted in a  
Heap.

That means, before deleting  
the last i.e. nth node (In this  
case, 7th node), the key to be  
deleted must be replaced with  
the key at node to be deleted.

That way, the key that was supposed  
to be deleted will be deleted by  
getting replaced at node to be deleted.



only valid structure for 6 nodes.

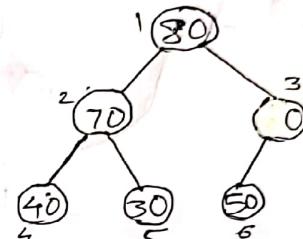
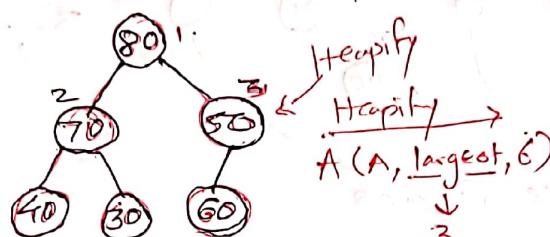
The key to be deleted:  $A[1]$   
The node that can be deleted:  $A[n]$   
swap keys,  $A[1] \leftrightarrow A[n]$

50	70	80	40	30	60	100
1	2	3	4	5	6	7

$A[1] \leftrightarrow A[n]$   $\xrightarrow{\text{const. time}}$   
 $n = n-1$   $\xrightarrow{\text{const. time}}$   
 $\text{Heapify}(A, 1, n)$   $\xrightarrow{\log_2 n}$

Lastly, Heapify should be implemented on the index at which the key from the node to be deleted is present (i.e. 1<sup>st</sup> index, key - 50). This way, our CBT becomes a Max-Heap again.

Heapify( $A, 1, 6$ )  
 $\xrightarrow{\text{swap } A[1] \leftrightarrow A[3]}$



Max-Heap

Deletion:  $O(\log_2 n)$

To Extract is to find & delete.

As deletion is performed, Heapify should be implemented.

We know, T.C. of Heapify -  $\log n$

Insertion:  $O(\log_2 n)$

Search:  $O(n)$

Find-Max:  $O(1)$

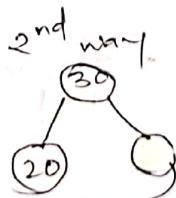
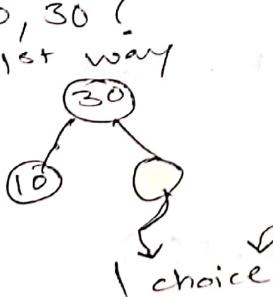
Find-Min:  $O(n)$

Extract-Max:  $O(\log_2 n)$

Extract-Min:  $O(1)$

Q. How many max-heaps can be possible with 3 distinct keys 10, 20, 30?

Maxm  
 $\xrightarrow{30}$   
 1<sup>st</sup> choice  
 1<sup>st</sup> keys  
 $= 10, 20$



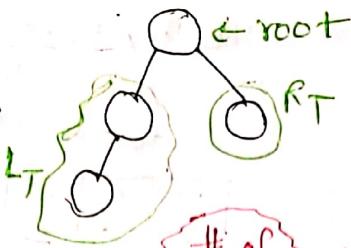
$\therefore n=3$  off o/p  
 max-Heap

2/1

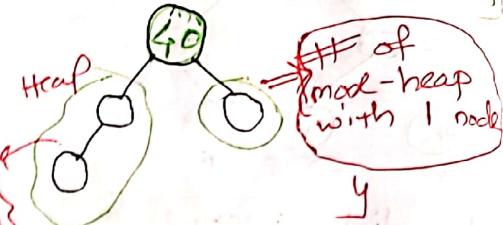
2c

$n=4$       10, 20, 30, 40

4 node structure



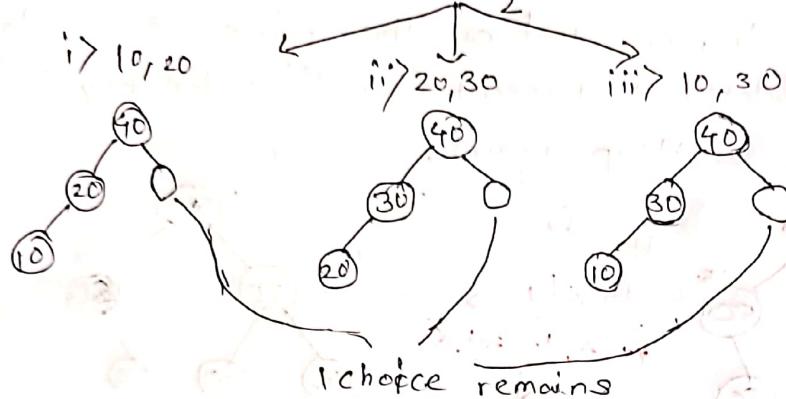
choice for  
Root  $\Rightarrow$  1 (maximum)



Remaining keys 10, 20, 30

Out of 3  $\rightarrow$  select any 2  
keys for Left sub tree.

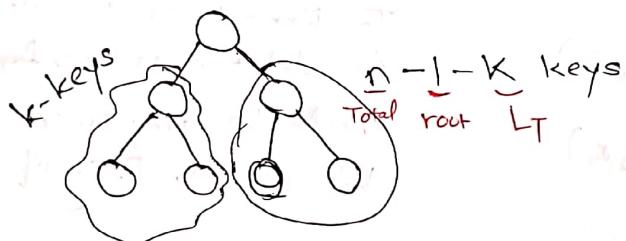
$${}^3C_2 = 3$$



$$3C_2 \times (\text{# of max-heaps with 2 dist. keys}) \times (\text{# of max-heaps with 1 key})$$

$$3C_2 \times 2 \times y$$

$T(n)$ : No. of max-heaps with  $n$ -distinct keys



$$T(n) = 1 \times {}^{n-1}C_k \times T(k) \times T(n-k-1)$$

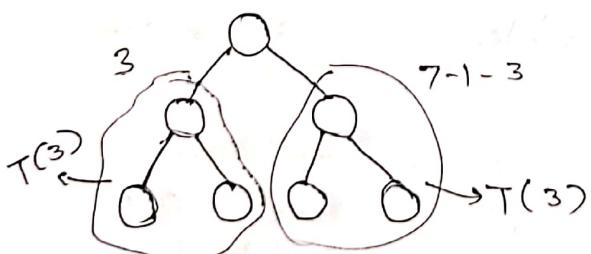
Selecting  $k$  keys from  $(n-1)$   
for  $LT$

E.g.  $n=7$  (7 distinct keys)

$$T(7) = 1 \times {}^6C_3 \times T(3) \times T(3)$$

$$= 1 \times {}^6C_3 \times 2 \times 2 \quad (\text{Heaps with 3 dist. keys})$$

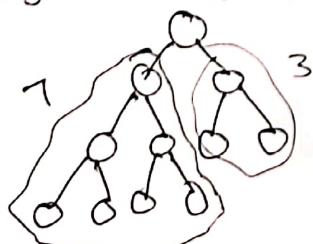
$$= \frac{6!}{3!3!} \times 4 = 80$$



Eg.  $n=11$  (11 distinct keys)

$$T(11) = 1 \times {}^{10}C_7 \times T(7) \times T(3)$$

$$T(11) = \boxed{{}^{10}C_7 \times 80 \times 2}$$



Q. Which one of the foll. seq. when stored in an array at loc. A[1] to A[20] result a max-heap.

GATE - 2023

A) 23, 14, 19, 1, 10, 13, 16, 12, 7, 5

B) 23, 17, 14, 7, 13, 10, 1, 5, 6, 12

C) 23, 17, 10, 6, 13, 14, 1, 5, 9, 12

D) 23, 17, 14, 6, 13, 10, 1, 5, 7, 15

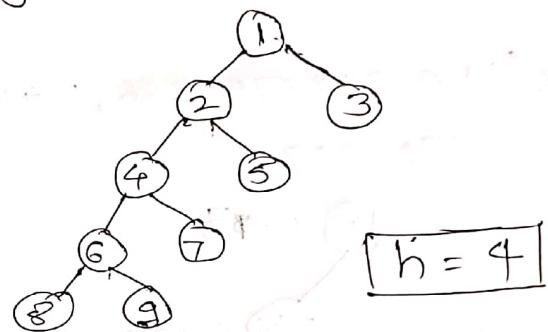
1 2 3 4 5 6 7 8 9 10

R. The postorder traversal of binary tree is 8, 9, 6, 7, 4, 5, 2, 3, 1.

The inorder traversal of the same tree is 8, 6, 9, 4, 7, 2, 5, 1, 3.

The height of a tree is the length of the longest path from root to any leaf. What is the height of the above binary tree?

Post: 8, 9, 6, 7, 4, 5, 2, 3, 1  
 In: 8, [6], 9, [4], 7, [2], 5, [1], 3  
 LT RT RT Root RT  
 LT LT LT LT



Q. We are given a set of  $n$  distinct elements & an unlabelled binary tree with  $n$  nodes. In how many ways can we populate the tree with given set so that it becomes a BST.

A) 0

$$\text{No. of BSTs with } n \text{ keys} = \frac{2^n C_n}{n+1}$$

$\checkmark$  B) 1

Here, the structure is given.

C)  $n!$

$\therefore$  No. of BSTs with  $m$  keys/elements & an unlabelled binary tree structure is 1.

D)  $\frac{2^n C_n}{n+1}$

Q. A BST stores values in the range 37 to 573.

Consider the foll. sequence of keys.

I) 81, 537, 102, 439, 285, 376, 305

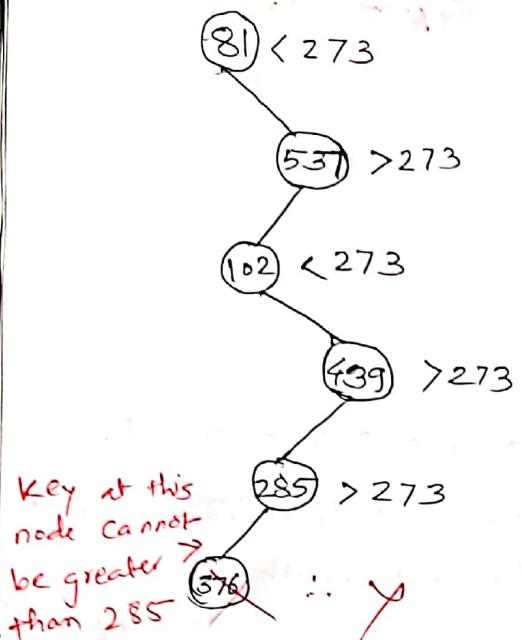
II) 32, 97, 121, 195, 242, 381, 472

$\checkmark$  III) 142, 298, 520, 386, 345, 270, 307

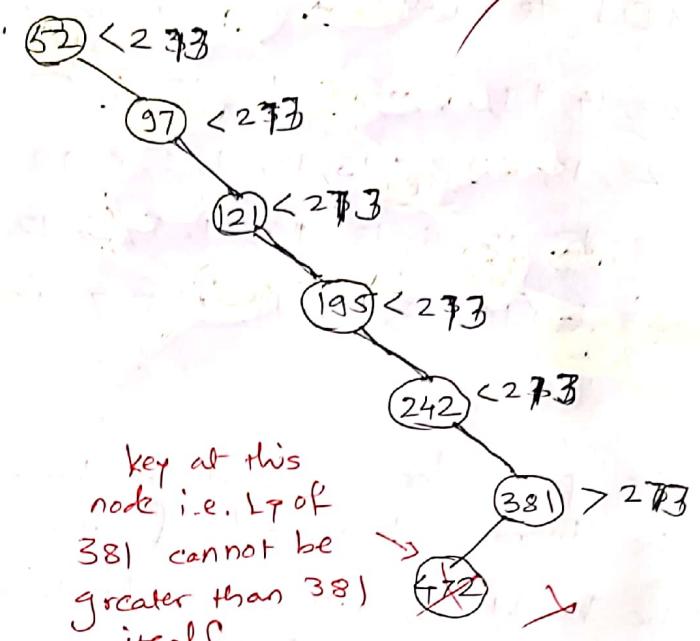
IV) 580, 149, 507, 395, 463, 402, 270

Suppose the BST has been successfully searched for key 273. Which all of above seq. list nodes in the order in which we could have encountered them in search.

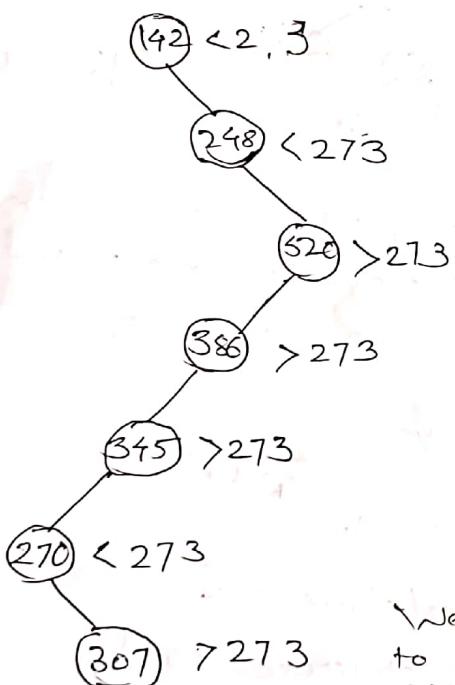
I] 81, 537, 102, 439,  
285, 376, 305



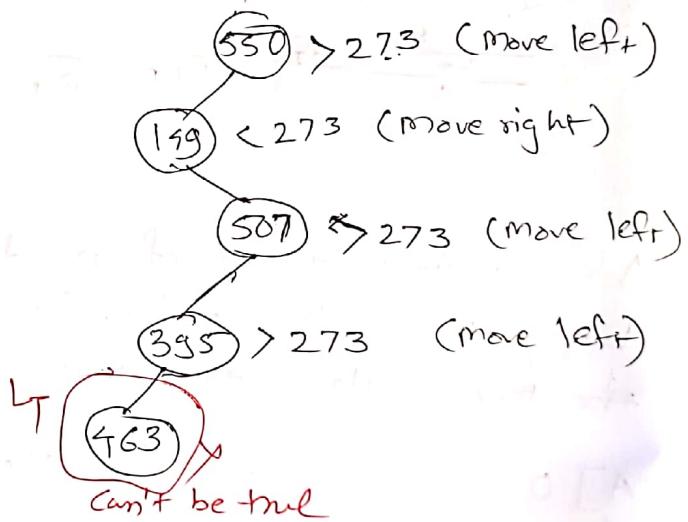
II] 62, 97, 121, 195, 242, 381, 472



III] 142, 248, 520, 386, 345,  
270, 307



IV] 550, 149, 507, 395, 463, 402, 270



We still have a chance  
to move left of 307 for  
270.

Q. When searching for the key 60 in a BST, nodes containing keys 10, 20, 40, 50, 70, 80, 90 are traversed, not necessarily in this given order. How many diff. orders are possible in which these key values can occur on the search path from root to the node containing key 60?

A] 35

C] 128

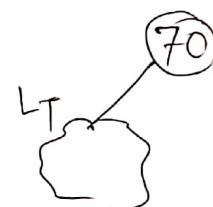
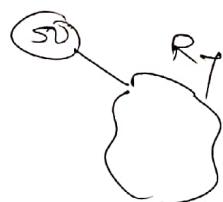
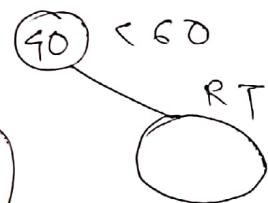
B] 64

D] 5040

Say, If the first node traversed is 40, then to get to 60, we would not traverse keys less than 40 i.e. 10, 20,

Similarly, If the 1<sup>st</sup> node traversed is 50, keys < 50 are not traversed.

On the other hand, If the 1<sup>st</sup> node traversed is 70, keys greater than 70 will not be traversed to get to 60.



10, 20, 40, 50      60

these are traversed only in ascending order.

70, 80, 90

traversed in descending order only

10    90    20    80    70    40    50

90    10    20    80    70    40    50

10    20    90    40    50    80    70

10, 20, 40, 50

90, 80, 70

For these 3, 3 places are available  $\Rightarrow$  only one choice is valid as it is descending.

$${}^7C_4 = 35$$

Out of these 7 spaces,  ${}^7C_4 \times 1$   
Select any 4 places  
 $\Rightarrow$  1 choice for placing as it is ascending order

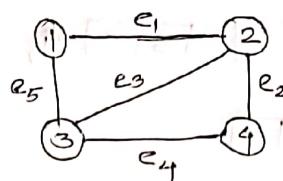
# Graph

→ Non-linear data structure.

$$G = (V, E)$$

Set of nodes/vertices

Set of Edges



- $e_1 = (1, 2)$
- $e_2 = (2, 4)$
- $e_3 = (2, 3)$
- $e_4 = (3, 4)$
- $e_5 = (1, 3)$

Order does not matter,  
∴ No. of edges = 10

$$V = \{1, 2, 3, 4\}$$

$$E = \{e_1, e_2, e_3, e_4, e_5\}$$

$$= \{(1, 2), (2, 4), (2, 3), (3, 4), (1, 3)\}$$

## # Graph Representation

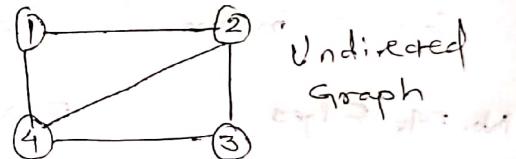
⇒ Adjacency Matrix      ⇒ Adjacency List.

Adjacency Matrix: A  $n \times n$  square matrix in which every entry is either 0 or 1.

$\left\{ \begin{array}{l} A_{ij} = 1 \text{ if node } i \text{ is adjacent to node } j. \\ = 0 \text{ otherwise} \end{array} \right. \quad \begin{array}{l} n \Rightarrow \text{no. of nodes.} \\ \text{e.g. } 4 \text{ nodes.} \end{array}$

$$A = \begin{bmatrix} 1 & 2 & 3 & 4 \\ 1 & 0 & 1 & 0 \\ 2 & 1 & 0 & 1 \\ 3 & 0 & 1 & 0 \\ 4 & 1 & 1 & 0 \end{bmatrix}$$

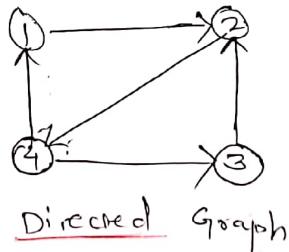
$n = 4$   
 $n \times n$   
 i.e.  $4 \times 4$   
 sq. matrix.



No. of vertices = No. of rows/columns

No. of entries for Undirected  $\rightarrow 2 \lfloor |E| \rfloor$   
 $\text{No. of edges}$

$$A = \begin{bmatrix} 1 & 2 & 3 & 4 \\ 1 & 0 & 1 & 0 \\ 2 & 0 & 0 & 0 \\ 3 & 0 & 1 & 0 \\ 4 & 1 & 0 & 0 \end{bmatrix}$$



No. of entries  
 $\rightarrow |E|$   
 $\text{No. of edges}$

(1) Insertion:  $O(1)$  for edge  
 (2) Search:  $O(1)$   
 (3) Deletion:  $O(1)$

## Adjacency List:

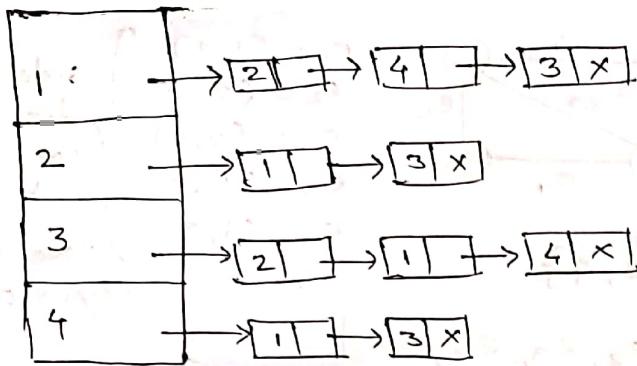
It is an array of linked lists in case of C.

— 11 — vectors — 11 — C++.

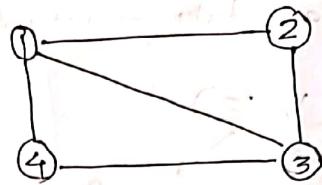
Size of an array is the number of vertices in Graph.

Each list contains neighbours of a node.

## Directed

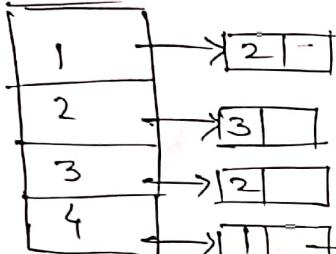


## Graph

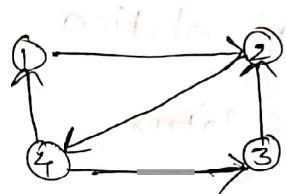


The Order does not matter in both.

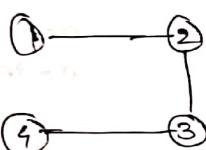
## Undirected Graph



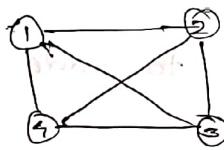
Degree is the total of nodes adjacent in a list.



Insertion:  $O(1)$   
Search:  $O(k)$   
Deletion:  $O(k)$   
Add new vertex:  $O(1)$



Sparse Graph.



Dense Graph

Every node is connected to each other.

$$E \approx |V|^2$$

space complexity:  $O(V^2)$

Adjacency matrix is used for dense graph as all the entries will be used by edges.

Space complexity:  $O(|V| + |E|)$

Adjacency list - used for sparse graph for effective utilization.

## GRAPH TRAVERSALS

### ① Depth First Search :

→ Exploring each node first (i.e. visiting its neighbours) before moving onto next node.

It is a recursive algorithm which traverses a graph in a depthward motion, entails conducting exhaustive searches of all nodes by moving forward if possible and backtracking, if necessary.

This algorithm explores as far as possible along each branch before backtracking.

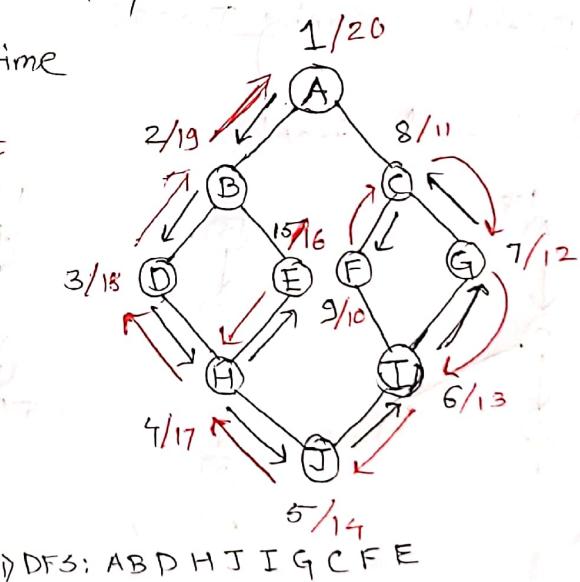
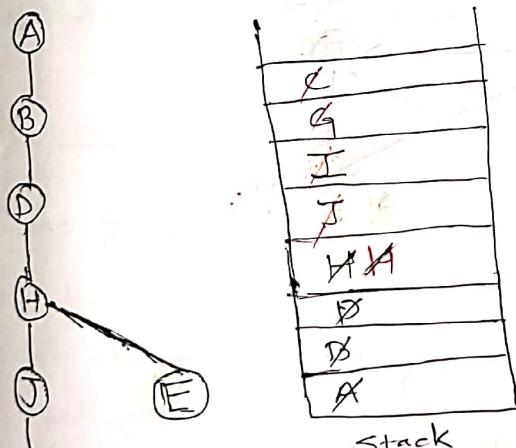
It uses a stack to remember to get the next vertex to start a search, when a dead end occurs in any iteration.

In DFS, we visit the adjacent unvisited vertex, then mark it as visited, display it & push it in a stack.

If no adjacent vertex is found, pop a vertex from the stack.  
Repeat this until <sup>the</sup> stack is empty.

Discovery time → First visit time

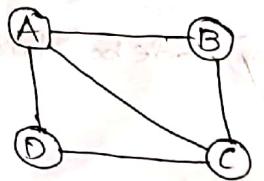
Finish time → Last time visit



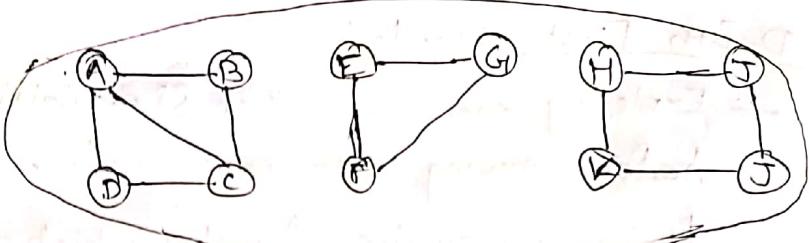
visits	A	B	C	D	E	F	G	H	J	I	3)
	0	0	0	0	0	0	0	0	0	0	

O/p: Spanning tree, In case of DFS of connected Simple Undirected Graph.

## Connected Graph



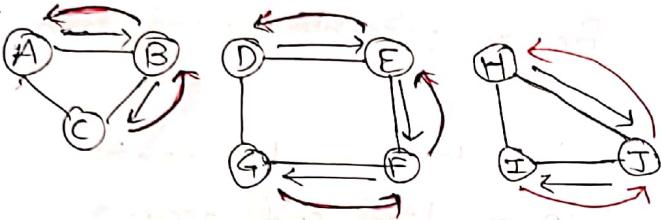
## Disconnected Graph



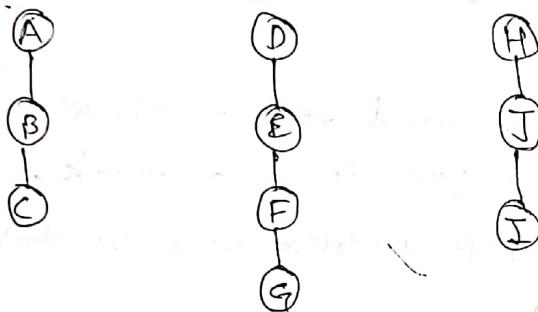
A Graph in which each node is accessible to every other node in the graph.

A Graph where each node is not accessible to every other node. There is no edge bet<sup>n</sup> A & E or G & H.

DFS:



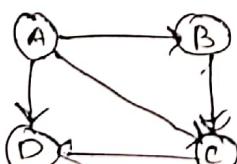
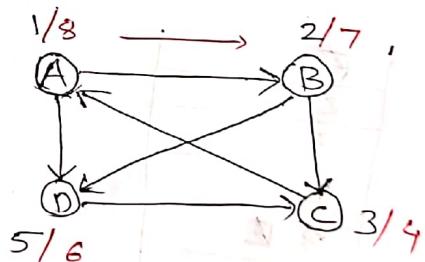
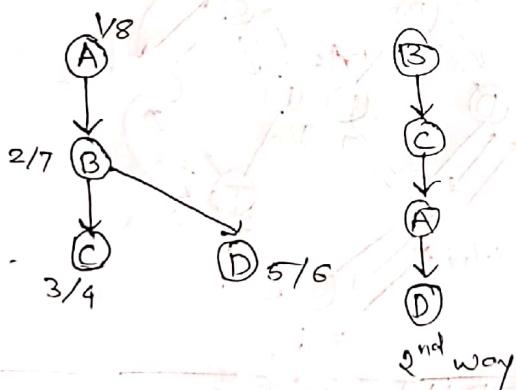
DFS  
%



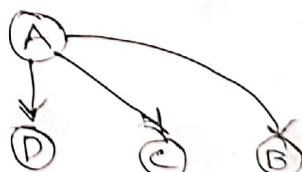
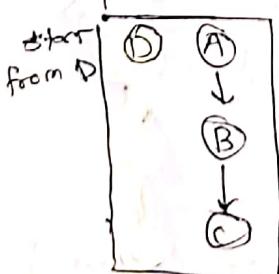
ST forest

## Multiple Spanning Trees

## \*DFS of directed Graph



DFS trees possible:



## DFS

- ① connected simple graph (undirected)
- ② disconnected simple graph (undirected)
- ③ directed

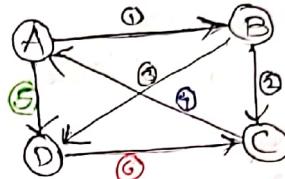
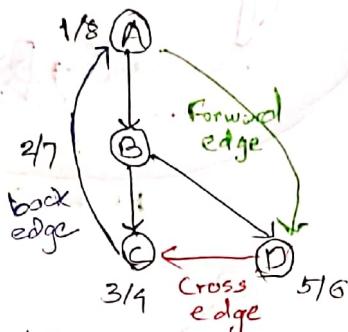
(Undirected)  
ST Forest

ST Forest

## Edges:

- i) Tree Edges:

$A \rightarrow B$   
 $B \rightarrow C$   
 $B \rightarrow D$

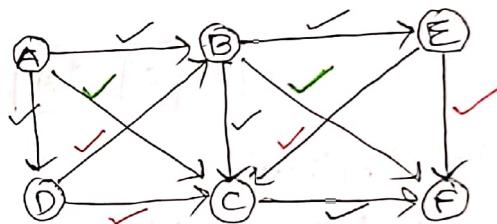
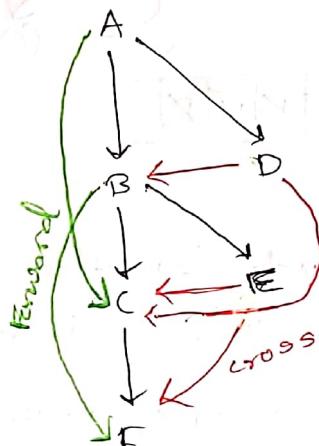


- ii) Back edge:

Node to an ancestor.

- iii) Forward edge:

Node to non-child descendant

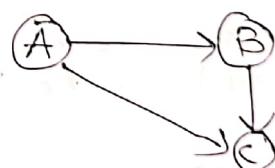


- iv) Cross edge:

Edges that do not have any ancestor & a descendant relationship b/w them.

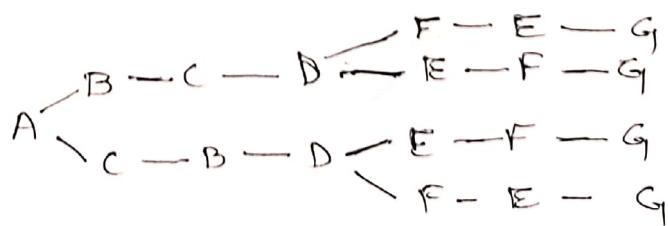
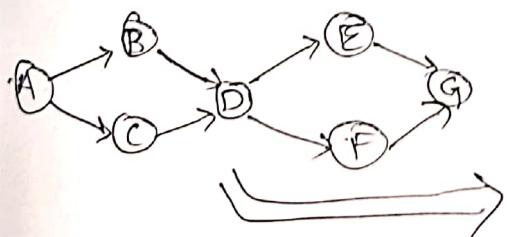
## Applications:

- i) Topological sorting: This algorithm takes a directed graph & returns an array of the nodes where each node appears before all the nodes it points to.



$A - B - C$

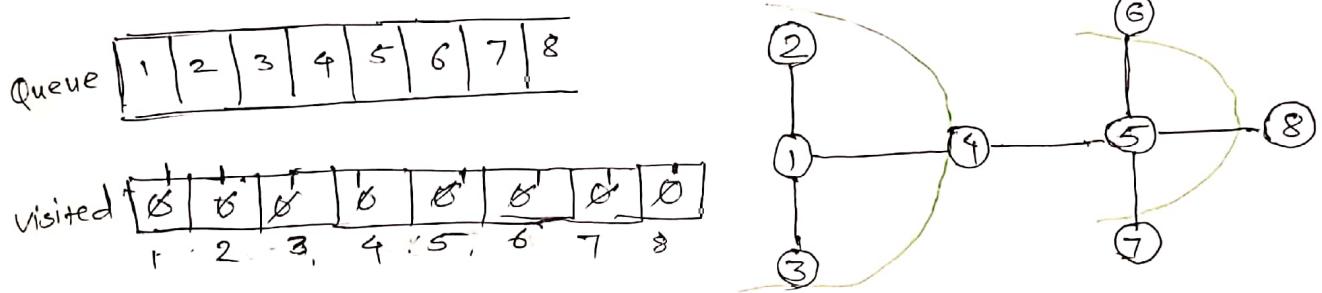
This works only on Directed Acyclic Graph (DAG)



## ② Breadth First Search (BFS) :

The BFS algorithm is used to search a graph D.S. for a node. It starts at the root of the graph or any source node and visits all nodes at the current depth level before moving on to the nodes at the next depth level. BFS algorithm visits every neighbour or adjacent node first before exploring any one of them till dead end, unlike DFS.

It uses a queue to remember to get the next vertex to start a search, when a dead end occurs in any iteration or when all the neighbour nodes are successfully visited.



BFS:  $\frac{1}{\checkmark}, \frac{2, 3, 4}{\checkmark}, \frac{5}{\checkmark}, \frac{6, 7, 8}{\text{At level 3}}$   
 from source node  
 from source node

Other valid BFS :  
 1, 3, 2, 4, 5, 7, 6, 8  
 1, 3, 4, 2, 5, 7, 6, 8  
 1, 3, 2, 4, 5, 6, 7, 8.

BFS (u)

{ i. Mark u as visited & Enqueue (u)

2. While (Q is not empty)

    x = Dequeue()

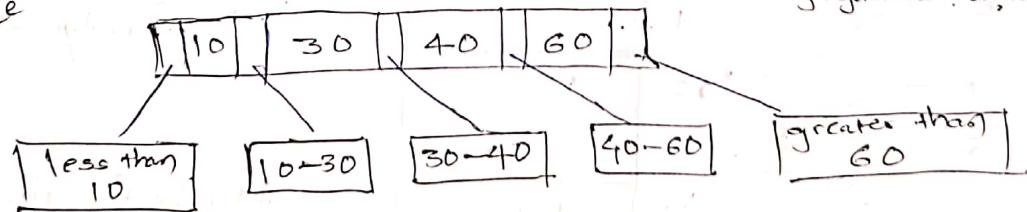
        Find all unvisited neighbors of x, mark them visited & Enqueue them.

}

## Hashing

- Say, we want to store an element in a Data structure that has  $n = 2^{20}$  elements i.e.  $2^{10} \times 2^{10} = 1024 \times 1024 = 10^6$ .  
No. of comp reqd here is  $2^{20}$  i.e.  $n \therefore O(n)$
- In BST, this # comp reduced down to  $O(\log_2 n)$ .  
In the worst case, it is still  $O(n)$ .
- Then AVL tree is introduced which is a height balanced search tree. In AVL tree, # of comp is  $O(\log_2 n)$   
In this case,  $\log_2 2^{20} = 20$  comparisons.
- In Database systems, B & B+ trees are used.  
As we have seen above in the case of AVL tree, # of comparisons depend on the height of the tree.  
Each node had 2 children & thus the height was  $\log_2 n$ .
- Multiway Search Tree, commonly known as a M-way tree can yield a max<sup>m</sup> of m children where  $m > 2$ . Due to their structure, they're used mainly in external searching i.e. in situations where data is to be retrieved from secondary storage like disk files, minimizing the no. of file accesses.  
Lesser the height, more efficient is the external search.
- B-tree is a special type of M-way tree which balances itself.  
Eases searches due to their balanced nature to manage gigantic datasets.

B-tree  
B+-tree



B-tree

$$m = 8$$

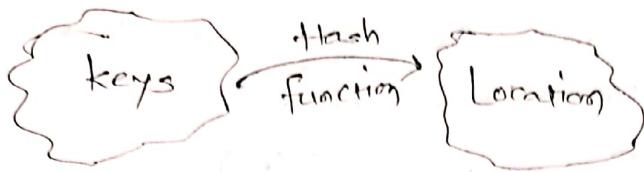
$$O(\log_m n) = \log_8 2^{20}$$

$$= \log_2 2^{20} = \frac{20}{3} \log_2 2$$

$\approx 7$  comparisons.

Order of a node! Represents the max<sup>m</sup> no. of children a tree's node could have.

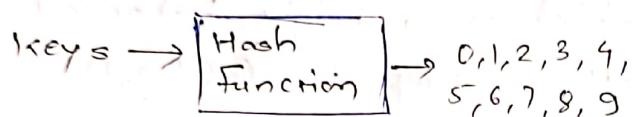
The goal of Hashing is to try to make it  $O(1)$



Hash Function takes keys as inputs & outputs the suitable location for efficient access.

Say  $m = 10$

Keys: 13, 22, 15, 78, 86, 91, 107



$$h(k) = k \bmod m$$

$$h(13) = 13 \bmod 10 = 3$$

$$h(22) = 22 \bmod 10 = 2$$

$$h(15) = 15 \bmod 10 = 5$$

$$h(78) = 78 \bmod 10 = 8$$

$$h(86) = 86 \bmod 10 = 6$$

$$h(91) = 91 \bmod 10 = 1$$

$$h(107) = 107 \bmod 10 = 7$$

0	
1	91
2	22
3	13
4	
5	15
6	86
7	107
8	78
9	

Now, let's assume

the keys : 12, 14, 16, 22, 38, 47

$$h(k) = k \bmod m$$

$$h(12) = 2$$

$$h(14) = 4$$

$$h(16) = 6$$

$$h(22) = 2$$

collision

0	
1	
2	12
3	
4	14
5	
6	16

Good hash function

i) Easy to compute.

ii) Uniformly distributed

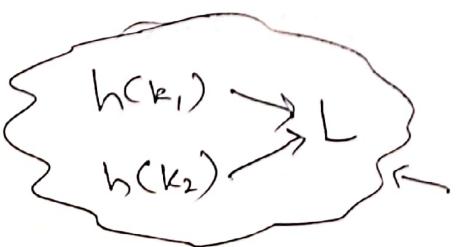
Hash functions

$$h(k) = k \bmod m$$

$$0, 1, \dots, m-1$$

$$h(k) = (k \bmod m) + l$$

$$l, \dots, m$$



Collision

To avoid collision, there're collision Resolution Tech.

# Collision Resolution Techniques.

i) Linear Probing

ii) Double Hashing

iii) Quadratic Probing

iv) Separable chaining

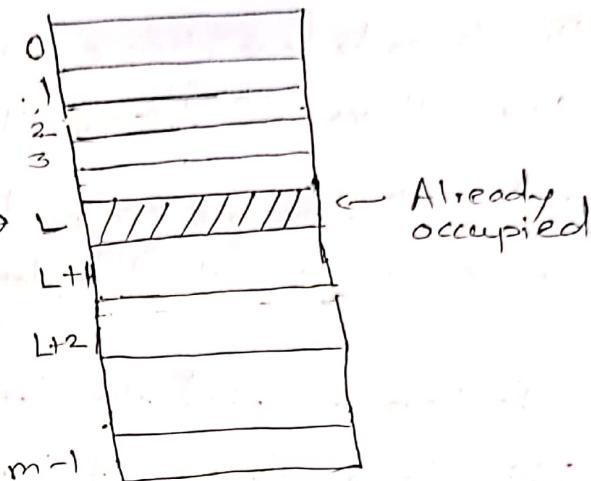
## LINEAR PROBING.

Let  $h(k) = k \bmod m$

$$h(k,i) = L \quad \text{] collision occur}$$

$$\begin{aligned} H(k, i) &= (h(k) + i) \bmod m \\ \text{key } &\downarrow \\ \text{collision no. } & \end{aligned}$$

$$\begin{aligned} H(k, 1) &= (h(k) + 1) \bmod m \\ &= (L + 1) \bmod m \end{aligned}$$



e.g.

$$h(k) = k \bmod m$$

keys: 31, 26, 43, 27, 34, 46, 14, 58, 13  
~~1~~ ~~2~~ ~~3~~ ~~4~~ ~~5~~ ~~6~~ ~~7~~ ~~8~~ ~~9~~ ~~10~~ ~~11~~  
 $m = 12$

$$i) h(31) = 31 \bmod 12 = 7$$

$$ii) h(26) = 26 \bmod 12 = 2$$

$$iii) h(43) = 43 \bmod 12 = 7 \quad \text{collision}$$

$i = 1$  (1<sup>st</sup> collision)

$$H(k, i) = (h(k) + i) \bmod m$$

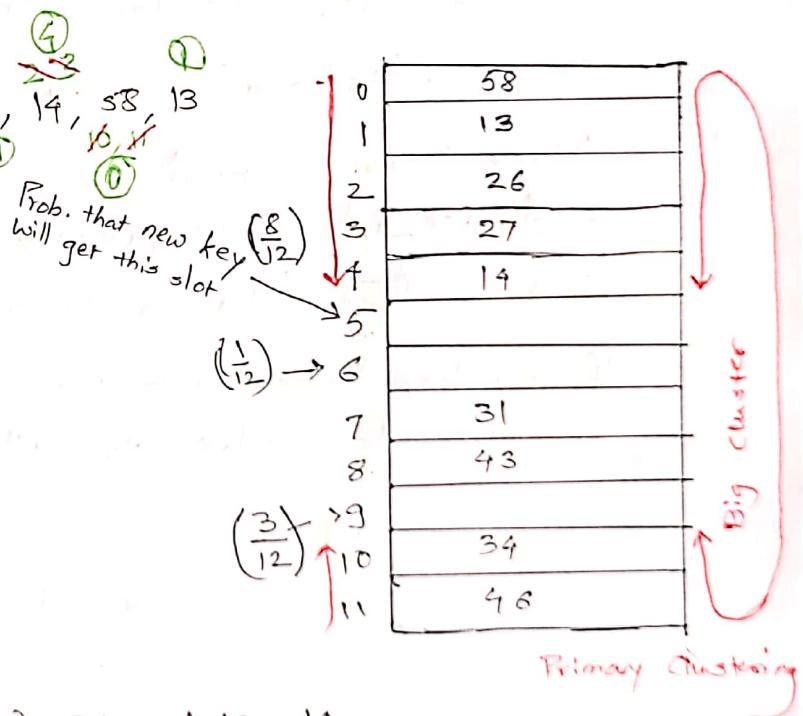
$$\begin{aligned} H(43, 1) &= (h(43) + 1) \bmod 12 \\ &= (7 + 1) \bmod 12 = 8 \quad \checkmark \end{aligned}$$

$$iv) h(27) = 27 \bmod 12 = 3 \quad v) h(34) = 34 \bmod 12 = 10$$

$$vi) h(46) = 46 \bmod 12 = 10$$

$$H(46, 1) = (h(46) + 1) \bmod 12 = 11 \quad \checkmark$$

$$\begin{aligned} vii) h(58) &= 58 \bmod 12 = 10 \quad 1^{\text{st}} \text{ collision} \\ H(58, 1) &= (h(58) + 1) \bmod 12 = 11 \quad 2^{\text{nd}} \text{ collision} \\ H(58, 2) &= (h(58) + 2) \bmod 12 = 0 \quad \checkmark \end{aligned}$$



$$vii) h(14) = 14 \bmod 12 = 2$$

$$\begin{aligned} H(14, 1) &= (h(14) + 1) \bmod 12 \\ &= 3 \quad 2^{\text{nd}} \text{ collision} \end{aligned}$$

$$H(14, 2) = (h(14) + 2) \bmod 12 = 4 \quad \checkmark$$

$$ix) h(13) = 13 \bmod 12 = 1$$

∴ Probability that new key will get 5<sup>th</sup> slot  
is  $\left(\frac{8}{12}\right)$  as out of 12, 7 slots are occupied before  
5<sup>th</sup> slot, 7 slots + 5<sup>th</sup> slot =  $\frac{12}{12}$ , as the key will get 5<sup>th</sup>  
slot if it gets location of any of these 7 slots or 5<sup>th</sup> slot.

There's only one chance out of 12 outcomes that the new key will get 6<sup>th</sup> slot i.e. when it is assigned 6<sup>th</sup> slot.

7<sup>th</sup> & 8<sup>th</sup> slots are occupied. Thus, probability of getting a new node at 9<sup>th</sup> slot is  $2+1 = \left(\frac{3}{12}\right)$ .

∴ The keys occupied the slots from 10 to 4. (10, 11, 0, 1, 2, 3, 4)  
This is a cluster of length 7.

→ The probability of getting a new ~~key~~ at 5<sup>th</sup> slot is the highest. Therefore, the cluster can get bigger.

This results in a Primary Clustering problem.

Many keys occupied successive slots/buckets forming a cluster and consequently, it will consume time to find a free slot or to search for an element.

If any key hashes into the cluster will require several attempts to resolve the collision & then it will get added.

# QUADRATIC PROBING

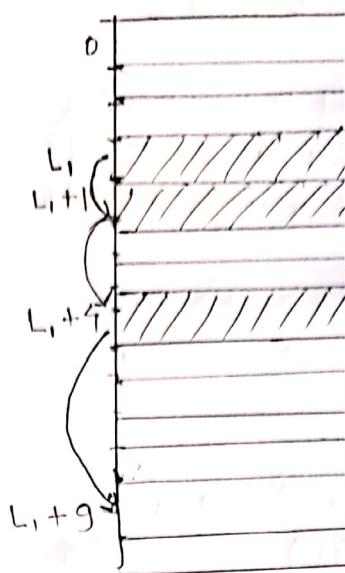
$$h(k) = k \bmod m = L_1 \} \text{ collision}$$

$$H(k, i) = (h(k) + i^2) \bmod m$$

$$H(k, 1) = (h(k) + 1^2) \bmod m = L_1 + 1$$

$$H(k, 2) = (h(k) + 2^2) \bmod m = L_1 + 4$$

$$H(k, 3) = (h(k) + 3^2) \bmod m \\ = L_1 + 9 \checkmark$$



Free from primary clustering problem

e.g. 24, 17, 32, 2, 13, 50, 30, 61

$$m = 11$$

$$h(k) = k \bmod m$$

$$\text{i)} h(24) = 24 \bmod 11 = 2$$

$$\text{ii)} h(17) = 17 \bmod 11 = 6$$

$$\text{iii)} h(32) = 32 \bmod 11 = 10$$

$$\text{iv)} h(2) = 2 \bmod 11 = 2 \text{ } \underset{\text{collision}}{\textcircled{2}}$$

$$H(2, 1) = (h(2) + 1^2) \bmod 11 \\ = 3 \bmod 11 = 3 \checkmark$$

$$\text{v)} h(13) = 13 \bmod 11 = 2 \text{ } \underset{\text{1st collision}}{\textcircled{2}}$$

$$H(13, 1) = (h(13) + 1^2) \bmod 11 \\ = 3 \text{ } \underset{\text{2nd collision}}{\textcircled{3}}$$

$$H(13, 2) = (h(13) + 2^2) \bmod 11 \\ = 6 \text{ } \underset{\text{3rd collision}}{\textcircled{6}}$$

$$H(13, 3) = (h(13) + 3^2) \bmod 11 \\ = 0 \checkmark$$

$$\text{vi)} h(50) = 50 \bmod 11 = 6 \text{ } \underset{\text{collision}}{\textcircled{6}}$$

$$H(50, 1) = (h(50) + 1^2) \bmod 11 = 7 \checkmark$$

$$\text{vii)} h(30) = 30 \bmod 11 = 8$$

$$\text{viii)} h(61) = 61 \bmod 11 = 6 \text{ } \underset{\text{collision}}{\textcircled{6}}$$

$$H(61, 1) = (h(61) + 1^2) \bmod 11 = 7 \text{ } \underset{\text{2nd collision}}{\textcircled{7}}$$

0	13
1	
2	24
3	2
4	61
5	
6	17
7	50
8	30
9	
10	32

$$H(61, 2) = (h(61) + 2^2) \bmod 11$$

$$= 10 \text{ } \underset{\text{3rd collision}}{\textcircled{10}}$$

$$H(61, 3) = (h(61) + 3^2) \bmod 11 \\ = 4 \checkmark$$

## Secondary Clustering Problem

$$m=11 \quad h(k) = k \bmod m$$

$$\begin{aligned} h(24) &= 24 \bmod 11 &=& 2 \\ h(2) &= 2 \bmod 11 &=& 2 \\ h(13) &= 13 \bmod 11 &=& 2 \end{aligned}$$

In this case, 24, 2, 13 are hashed to same memory location.

$i=1$

$$\begin{aligned} H(24,1) &= (h(24)+1^2) \bmod 11 = 3 \\ H(2,1) &= (h(2)+1^2) \quad " \quad = 3 \\ H(13,1) &= (h(13)+1^2) \quad " \quad = 3 \end{aligned}$$

$i=2$

$$\begin{aligned} H(24,2) &= (h(24)+2^2) \bmod 11 = 6 \\ H(2,2) &= (h(2)+2^2) \quad " \quad = 6 \\ H(13,2) &= (h(13)+2^2) \quad " \quad = 6 \end{aligned}$$

$i=3$

$$\begin{aligned} H(24,3) &= (h(24)+3^2) \bmod 11 = 0 \\ H(2,3) &= (h(2)+3^2) \quad " \quad = 0 \\ H(13,3) &= (h(13)+3^2) \quad " \quad = 0 \end{aligned}$$

0	
1	
2	
3	
4	
5	
6	
7	
8	
9	
10	

$i=4$

$$\begin{aligned} H(24,4) &= (h(24)+4^2) \bmod 11 = 7 \\ H(2,4) &= (h(2)+4^2) \quad " \quad = 7 \\ H(13,4) &= (h(13)+4^2) \quad " \quad = 7 \end{aligned}$$

$i=5$

$$\begin{aligned} H(24,5) &= (h(24)+5^2) \bmod 11 = 5 \\ H(2,5) &= (h(2)+5^2) \quad " \quad = 5 \\ H(13,5) &= (h(13)+5^2) \quad " \quad = 5 \end{aligned}$$

$2, 3, 6, 0, 7, 5$

same resolution path for all 3 keys

$i=6$

$$\begin{aligned} H(24,6) &= (h(24)+6^2) \bmod 11 = 5 \\ H(2,6) &= 5 \\ H(13,6) &= 5 \end{aligned}$$

$2, 3, 6, 0, 7, 5, 7, 0, 6, 3, 2$

$i=7 : 7, i=8 : 0$  repeat

$\therefore$  We are not effectively utilizing the size of the table despite having free space availability, as keys that are hashed to same memory location always follows same resolution path.

## DOUBLE HASHING

Let  $h(k)$  is the hash we are using

$$h(k) = k \bmod m \Rightarrow \text{collision occurs}$$

$$H(k, i) = (h(k) + i) \bmod m \dots \text{Linear Probing}$$

$$H(k, i) = (h(k) + i^2) \bmod m \dots \text{Quadratic Probing}$$

$$H(k, i) = (h(k) + i \cdot h'(k)) \bmod m \dots \text{Double Hashing}$$

Primary  
hash  
function

Secondary  
hash  
function

Conditions:  $h'(k) \neq k \bmod m$

Secondary hash function cannot be same as the primary " " as it will not resolve the collision occurred.

$$h'(k) = k \bmod m + 1 \checkmark \text{ can be accepted.}$$

Secondary hash function ( $h'(k)$ ) can never be 0.

$$H(k, i) = (h(k) + i \times 0) \bmod m$$

$$= h(k) \bmod m = \text{same}$$

$\Rightarrow$  collision.

Q.

keys: 13, 17, 21, 2, 57, 28, 30, 27

$$h(x) = x \bmod 11 \Rightarrow (m=11)$$

$$h'(x) = 7 - (x \bmod 7)$$

$\uparrow$   
 $\therefore$  Cannot be zero  $\leftarrow 0+6$

$$\text{i)} h(13) = 13 \bmod 11 = 2$$

$$\text{ii)} h(17) = 17 - 11 = 6$$

$$\text{iii)} h(21) = 21 - 11 = 10$$

$$\text{iv)} h(2) = 2 \bmod 11 = 2 \text{ (Collision)}$$

$$H(2, 1) = (h(k) + 1 \cdot h'(k)) \bmod 11$$

$$h'(2) = 7 - 2 \bmod 7 = 5$$

$$\therefore H(2, 1) = (2 + 1 \cdot 5) \bmod 11 = 7 \checkmark$$

$$\text{v)} h(57) = 57 \bmod 11 = 2 \rightarrow 7 - 57 \bmod 7$$

$$H(57, 1) = (h(57) + 1 \cdot h'(57)) \bmod 11 \\ = (2 + 6) \bmod 11 = 8 \checkmark$$

$$\text{vi)} h(28) = 28 \bmod 11 = 6 \text{ (Collision)}$$

$$H(28, 1) = (h(28) + 1 \cdot h'(28)) \bmod 11 \text{ (2nd collision)} \\ = (6 + 7) \bmod 11 = 2 \text{ (Collision)}$$

$$H(28, 2) = (h(28) + 2 \cdot h'(28)) \bmod 11$$

$$= (6 + 2 \cdot 7) \bmod 11 = 9 \checkmark$$

$$\text{vii)} h(30) = 30 \bmod 11 = 8 \text{ (Collision)}$$

$$H(30, 1) = (h(30) + 1 \cdot h'(30)) \bmod 11 \\ = (8 + 5) \bmod 11 = 2 \text{ (2nd Collision)}$$

$$H(30,2) = (h(30) + 2 \cdot h'(30)) \bmod 11$$

$$= (8 + 2 \cdot 5) \bmod 11 = 7 \quad \text{3rd collision}$$

$$H(30,3) = (h(30) + 3 \cdot h'(30)) \bmod 11$$

$$= (8 + 3 \cdot 5) \bmod 11 = 1 \quad \checkmark$$

viii)  $h(27) = 27 \bmod 11 = 5$

$$h(2) = 2, 7 \quad \text{D. Hashing}$$

$$h(5) = 2, 8 \quad \text{Double hashing}$$

Same resolution path is not followed.

Overhead

→ Computing two hash functions

Hence more time complexity.

In all the above discussed CRTs, if we want to perform deletion, we need to Re-Hash all remaining keys after deletion.

e.g.

keys: 31, 26, 43, 27, 34, 12, 46, 14, 58  
 $m=12$

①	②	③	④	⑤	⑥	⑦	⑧	⑨	⑩	⑪	⑫
31	26	43	27	34	12	46	14	58			

i) delete 26

ii) search 14

$$h(14) = 14 \bmod 12$$

$$= 2$$

Re-Hash all remaining keys

0	12
1	58
2	Free <del>26</del>
3	27
4	14
5	
6	
7	31
8	43
9	
10	34
11	46

To resolve this problem, there's another CRT called Separate chaining is used.

### \* Load Factor ( $\lambda$ ):

$$\lambda = \frac{n}{m} \rightarrow \text{no. of keys per table size}$$

$$n=20 \quad m=40$$

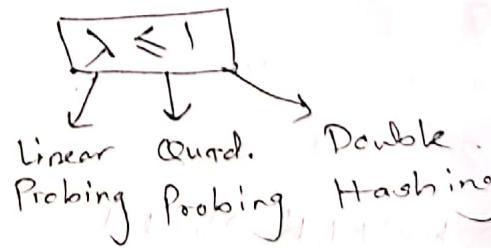
$$n=30 \quad m=90$$

$$\lambda = \frac{20}{40} = \frac{1}{2} \quad \lambda = \frac{30}{90} = \frac{3}{9}$$

$$n=60 \quad m=40$$

$$\lambda = \frac{60}{40}$$

$$\lambda = \frac{60}{40}$$



$$\lambda = \frac{n}{m} \rightarrow \text{No. of keys per table size}$$

## SEPARATE CHAINING

When the load factor  $\lambda > 1$  i.e. # of keys are more than the table size, collision is resolved using separate chaining technique which lists the elements and then hashed to locations/buckets in table.

Each position may be just a link to the list (direct chaining) or may be an item and a link, essentially, the head of a list.

e.g.  $m=10$

keys: 400, 300, 125, 625, 36, 96, 106, 500

$$h(400) = 400 \bmod 10 = 0$$

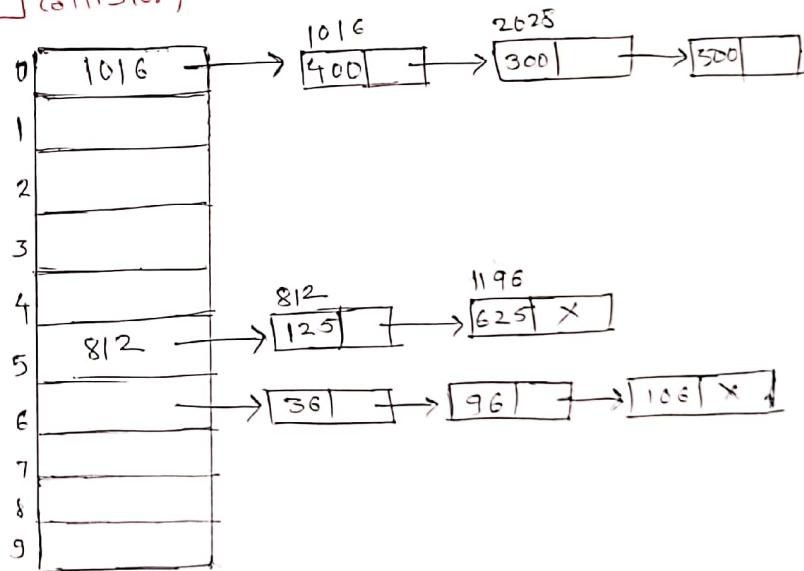
$$h(300) = 300 \bmod 10 = 0 \quad \text{[collision]}$$

$$h(125) = 125 \bmod 10 = 5$$

$$h(625) = 625 \bmod 10 = 5$$

$$h(36) = 36 \bmod 10 = 6$$

~~Worst Case~~ → All keys will map to same bucket  
(e.g. 700, 800, 900, 600, 70)



In the worst case, time complexity will be an issue.

Instead of lists, AVL trees can be used by creating nodes & then mapping its root's address to a bucket.

B-tree can also be used. That way, time complexity will be reduced. ( $O(\log n)$ )

Q. Consider a double-hashing, in which the primary hash func.  $h(k) = k \bmod 23$  & sec. hash func.  $h_2(k) = 1 + (k \bmod 19)$ . Then the addr. returned by probe 1 in the seq. (assume probe sequence begins at probe 0) for key  $k = 90$  is 13

$$i=1, m=23$$

$$h_1(k) = k \bmod 23$$

$$h_2(k) = 1 + (k \bmod 19)$$

$$k = 90$$

$$m=23$$

$$H(k, i) = (h_1(k) + i \cdot h_2(k)) \bmod m$$

$$h_1(90) = 90 \bmod 23 = 2$$

$$h_2(90) = 1 + 90 \bmod 19 = 15$$

$$\therefore H(k, 1) = (2 + 1 \cdot 15) \bmod 23 = 36 \bmod 23 = 13$$

Q. Given a Hash table T with 25 slots

that stores 2000 elements, the load factor,  $\alpha = \frac{2000}{25} = 80$   
is 80 GATE 2015

Q. Which one of the foll. hash func. on integers will distribute keys most uniformly over 10 buckets number 0 to 9 for  $i$  ranging from 0 to 2020?

a)  $h(i) = i^2 \bmod 10$

b)  $h(i) = i^3 \bmod 10$

c)  $h(i) = (11 \times i^2) \bmod 10$

d)  $h(i) = (12 \times i^3) \bmod 10$

	0	1	2	3	4	5	6	7	8	9	
1	0	1	2	3	4	5	6	7	8	9	Same
2	0	1	2	3	4	5	6	7	8	9	as option
3	0	1	2	3	4	5	6	7	8	9	odd numbered
4	0	1	2	3	4	5	6	7	8	9	buckets
5	0	1	2	3	4	5	6	7	8	9	↓
6	0	1	2	3	4	5	6	7	8	9	Empty.
7	0	1	2	3	4	5	6	7	8	9	
8	0	1	2	3	4	5	6	7	8	9	
9	0	1	2	3	4	5	6	7	8	9	

Q. Consider a hash table with 100 slots. Collisions are resolved using Chaining. Assuming simple uniform hashing, what is the probability that the first 3 slots are unfilled after the first 3 insertions.

A)  $(97 \times 97 \times 97) / 100^3$

B)  $(99 \times 98 \times 97) / 100^3$

C)  $(97 \times 96 \times 95) / 100^3$

D)  $(97 \times 96 \times 95) / (3! \times 100^3)$

2<sup>nd</sup> insertion

$$\hookrightarrow \frac{97}{100} \text{ if not } \frac{96}{100}$$

as it is chaining, Hash table never fills up

3<sup>rd</sup> insertion

$$\hookrightarrow \frac{97}{100}$$

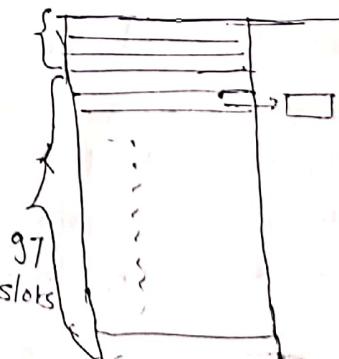
Probability of 1<sup>st</sup> key not getting inserted at first 3 slots

$$= \frac{97}{100} (P_1)$$

$$\hookrightarrow \frac{97}{100}$$

probability of 2<sup>nd</sup> key not getting inserted at first 3 slots

$$= P_1 \times P_2 \times P_3 = \frac{97}{100} \times \frac{97}{100} \times \frac{97}{100}$$



∴ Probability that first 3 slots are unfilled after the 1<sup>st</sup> 3 insertions.

Q. Consider a hash table of 9 slots. The hash function is  $h(k) = k \bmod 9$ . The collisions are resolved by chaining. Keys that are inserted: 5, 28, 19, 15, 20, 33, 12, 17, 10. The max<sup>m</sup>, min & average chain lengths in hash table resp. are:

3, 0, and 1

$$h(5) = 5 \bmod 9 = 5$$

b) 3, 3 and 3

$$h(28) = 1 \quad h(19) = 3$$

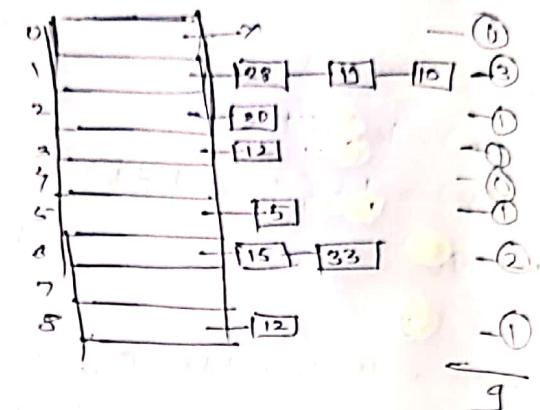
c) 4, 0 and 1

$$h(15) = 1 \quad h(17) = 8$$

d) 3, 0 and 2

$$h(20) = 2 \quad h(10) = 1$$

$$h(33) = 6$$



Max<sup>m</sup>: 3, Min: 0

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$$\text{Avg} = \frac{0+3+1+1+0+1+2+0+1}{9} = \frac{9}{9} = 1$$

Q. A hash table of length 10 uses open addressing with  $h(k) = k \bmod 10$  & linear probing. After inserting 6 values into an empty hash table, table is shown below. Which one of foll. choices give a possible order in which the key values could have been inserted in table?

a) 46, 42, 34, 52, 23, 33  $\rightarrow$  1<sup>st</sup> cond fails, 23 comes after 52.

b) 34, 42, 23, 52, 33,  $\rightarrow$  fails 2<sup>nd</sup> cond.

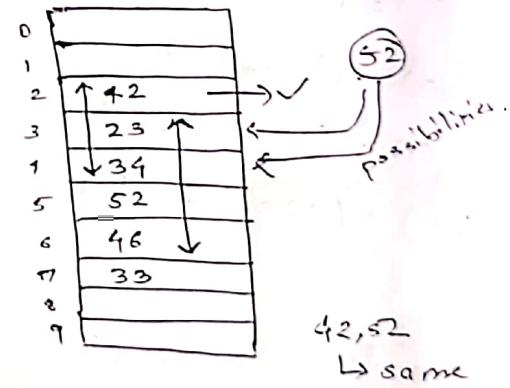
c) 46, 34, 42, 23, 52, 33  $\rightarrow$  Both cond w<sup>s</sup> satisfied

d) 42, 46, 33, 23, 34, 52  $\rightarrow$  fails 2<sup>nd</sup> cond.

Ans: C

$$42 \bmod 10 = 2$$

$\therefore$  42 should be assigned slot 2.



①  $52 \bmod 10 = 2$  collision.  $\therefore$  52 should be assigned slot 3 since it's linear probing. But, 52 was assigned to slot 5. That means keys 42, 23, 34 must've been arrived before 52.

②  $23 \bmod 10 = 3$  assigned slot 3 for 23.  $33 \bmod 10 = 3$  collision.

By the method of Linear probing 33 should be assigned slot 4, If collision occurs again, then slot 5. But, 33 was assigned slot 7. That means 23, 34, 52, 46 must've been arrived before 33.

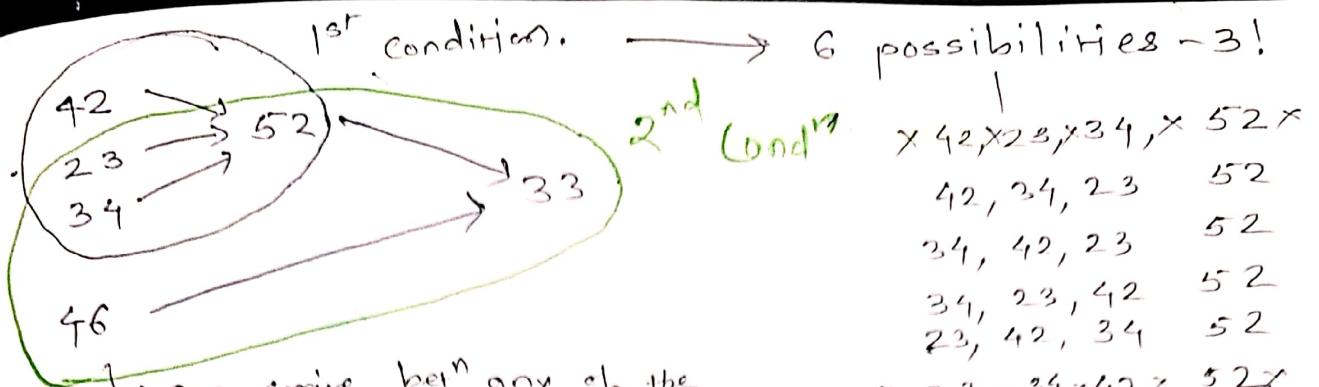
Q. How many diff. insertion sequences of the key values using same  $h(k)$  & linear probing will result in the hash table.

a) 10      d) 40

b) 20

c) 30

Refer Hash Table from the example above.



can arrive b/w any of the  
two numbers among these  
6 possibilities

1<sup>st</sup> condition. → 6 possibilities - 3!  
2<sup>nd</sup> Cond.  $\times 42, \times 23, \times 34, \times 52 \times$   
 $42, 23, 23 \quad 52$   
 $34, 42, 23 \quad 52$   
 $34, 23, 42 \quad 52$   
 $23, 42, 34 \quad 52$   
 $\gamma 23, \times 34, 42 \times 52 \times$

$$\therefore 5 \times 3! = 5 \times 6 = (30) //$$

i. There are 5 ways to arrive among  
any of two no.s from above possibilities

ii. Consider a hash table of size 11 that uses open addressing with linear probing. Let  $h(k) = k \bmod 11$  be the hash func. used. A sequence of records with keys 43, 36, 92, 87, 91, 41, 71, 13, 14 is inserted into initially empty table, the bins of which are indexed from 0 to 10. What is the index of the bin into which the last record is inserted?

a) 2

$$43 \% 11 = 10 \quad 4 = 5$$

b) 4

$$36 \% 3 \quad 71 = 6$$

c) 6

$$92 \% 4 \quad 13 = 2$$

d) 7

$$87 \% 10 = 0 \quad 14 = 3 \times 4 + 5 + 6 = 7 //$$