

# PRINCIPAL BUNDLE AND ITS APPLICATIONS

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ABSTRACT.

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## 0. PREFACE

0.1. **About this lecture.**

## 0.2. Some notations.

- (1)  $M$  is used to denote a smooth manifold, and  $x \in M$  denotes its point.
- (2)  $TM$  and  $\Omega_M^k$  denote tangent bundle and bundle of  $k$ -forms over  $M$  respectively.
- (3)  $v$  is used to denote vector in tangent space.
- (4)  $X$  is used to denote a vector field on  $M$ , then  $X_x$  denote the value of  $X$  at point  $x \in M$ , similarly for a  $k$ -form  $\omega$ .
- (5) For a vector bundle  $E$  over  $M$ ,  $C^\infty(M, E)$  denotes its (smooth) sections.
- (6)  $G$  is used to denote a Lie group, with Lie algebra  $\mathfrak{g}$ .
- (7)  $\pi : P \rightarrow M$  is used to denote a principal  $G$ -bundle over  $M$ , and  $p \in P$  denotes its point.
- (8)  $\tilde{X}$  is used to denote vector field on principal bundle  $P$ , so do  $\tilde{\omega}$  and  $\tilde{v}$ .

## Part 1. Principal bundle and its geometry

### 1. PRINCIPAL BUNDLE

**1.1. A glimpse of fiber bundle.** Fix topological spaces  $E, B, F$ .

**Definition 1.1.1** (fiber bundle). A fiber bundle with fiber  $F$  over  $B$  is a surjective map  $\pi : E \rightarrow B$  such that for any  $p \in B$ , there exists an open neighborhood  $U \ni p$  and a homeomorphism  $\varphi$  such that the following diagram commutes

$$\begin{array}{ccc} \pi^{-1}(U) & \xrightarrow{\varphi} & U \times F \\ & \searrow \pi & \swarrow \pi_1 \\ & U & \end{array}$$

We always use  $F \rightarrow E \xrightarrow{\pi} B$  to denote this fiber bundle and

- (1)  $B$  is called base space;
- (2)  $E_x = \pi^{-1}(x)$  is called the fiber of  $E$  at  $x$ ;
- (3)  $(U, \varphi)$  is called a local trivialization at point  $p$ , and use  $E|_U$  to denote  $\pi^{-1}(U)$ .

**Example 1.1.1** (trivial bundle). Consider  $E = B \times F$  and  $\pi : E \rightarrow B$  is just the projection onto the first summand.

**Example 1.1.2.** Consider  $E = S^n$  and  $B = \mathbb{R}P^n$ , then natural map  $\pi : E \rightarrow B$  is a fiber bundle with  $\mathbb{Z}/2\mathbb{Z}$ . It's clear that this fiber bundle is not trivial, since  $S^n$  is connected.

**Example 1.1.3** (Hopf fibration). Recall that

$$\mathbb{C}P^n = \{\text{the set of all complex lines through origin in } \mathbb{C}^{n+1}\}$$

Consider the canonical open covering  $\{U_i\}$  of  $\mathbb{C}P^n$ , that is

$$U_i = \{[z_0 : \dots : z_n] \mid z_i \neq 0\}$$

Now view  $S^{2n+1} \subset \mathbb{R}^{2n+2} = \mathbb{C}^{n+1}$  as the set of all  $(z_0, \dots, z_n) \in \mathbb{C}^{n+1}$  with  $|z_0|^2 + \dots + |z_n|^2 = 1$ . Then the projection map  $\pi : \mathbb{C}^{n+1} - \{0\} \rightarrow \mathbb{C}P^n$  restricts to a surjective smooth map

$$\pi : S^{2n+1} \rightarrow \mathbb{C}P^n$$

We claim that it's a fiber bundle with fiber  $S^1$ . Indeed, by definition we have

$$\pi^{-1}(U_i) = \{(z_0, \dots, z_n) \in S^{2n+1} \mid z_i \neq 0\}$$

and local trivialization map can be taken as

$$\begin{aligned} \varphi_i : \pi^{-1}(U_i) &\rightarrow U_i \times S^1 \\ z &\mapsto ([z_0 : \dots : z_n], \frac{z_i}{|z_i|}) \end{aligned}$$

It's also not trivial which can be seen by considering their fundamental groups.

**Example 1.1.4.** The covering space is a fiber bundle with discrete set as fiber.

## 1.2. Principal bundle.

1.2.1. *Definitions.* Briefly speaking, given a Lie group  $G$  and a smooth manifold  $M$ , a principal  $G$ -bundle  $P$  is a fiber bundle with fiber  $G$  equipped with a suitable smooth right  $G$ -action on it. For a smooth right  $G$ -action we mean a smooth map

$$\begin{aligned} P \times G &\rightarrow P \\ (p, g) &\mapsto pg \end{aligned}$$

**Definition 1.2.1** (principal  $G$ -bundle). A principal  $G$ -bundle is a surjective smooth map  $\pi : P \rightarrow M$  between smooth manifolds such that:

- (1) There is a smooth right  $G$ -action on  $P$ ;
- (2) For all  $x \in M$ ,  $\pi^{-1}(x)$  is a  $G$ -orbit;
- (3) For all  $x \in M$ , there exists an open subset  $U_\alpha$  and a diffeomorphism  $\varphi_\alpha$  such that the following diagram commutes

$$\begin{array}{ccc} \pi^{-1}(U_\alpha) & \xrightarrow{\varphi_\alpha} & U_\alpha \times G \\ & \searrow \pi & \swarrow \pi_1 \\ & U_\alpha & \end{array}$$

If we write  $\varphi_\alpha(p) = (\pi(p), g_\alpha(p))$ , then we require  $g_\alpha(pg) = g_\alpha(p)g$  for any  $g \in G$ .

*Remark 1.2.1.* Note that  $G$  acts on  $P$  freely and transitively, which can be seen from local trivialization.

**Notation 1.2.1.**  $\mathcal{P}_G M$  is used to denote the set of all principal  $G$ -bundles over  $M$  up to isomorphism.

**Example 1.2.1.**  $S^n \rightarrow \mathbb{RP}^n$  is a  $\mathbb{Z}/2\mathbb{Z}$ -principal bundle, where  $\mathbb{Z}/2\mathbb{Z}$  acts on  $S^n$  as  $x \mapsto -x$ .

**Example 1.2.2.**  $S^{2n+1} \rightarrow \mathbb{CP}^n$  is a  $U(1)$ -principal bundle, where  $U(1)$  acts on  $S^{2n+1}$  as  $(z_0, z_1, \dots, z_n) \mapsto (z_0 e^{i\theta}, z_1 e^{i\theta}, \dots, z_n e^{i\theta})$ .

**Definition 1.2.2** (morphism between principal  $G$ -bundle). For two principal  $G$ -bundles  $(P, M, \pi), (P', M, \pi')$ , a morphism between them is a  $G$ -equivariant smooth map  $\tilde{f} : P' \rightarrow P$  making the following diagram commute

$$\begin{array}{ccc} P & \xrightarrow{\tilde{f}} & P' \\ & \searrow \pi & \swarrow \pi' \\ & M & \end{array}$$

**Proposition 1.1.** A morphism  $\tilde{f} : P' \rightarrow P$  between principal  $G$ -bundles over  $M$  is an isomorphism.

*Proof.* All information are encoded in the equivariance of  $\tilde{f}$  and properties of principal  $G$ -bundle:

- (1)  $\tilde{f}$  is injective: For any  $p_1, p_2 \in P$ , if  $\tilde{f}(p_1) = \tilde{f}(p_2)$ , then  $p_1, p_2$  lie in same fiber, since above diagram commutes. If  $p_1 = p_2 g$  for  $g \in G$ , then  $\tilde{f}(p_1) = \tilde{f}(p_2)g$ , which implies  $g = e$ , since  $G$  acts on  $P'$  freely, that is  $p_1 = p_2$ ;
- (2)  $\tilde{f}$  is surjective: For any  $p' \in P'$ , if  $\pi'(p') = x$ , then  $p' \in P'_x$ . So choose an arbitrary element  $p \in P_x$ , there must be some  $g \in G$  such that  $\tilde{f}(pg) = p'$ , since  $P'_x$  is a  $G$ -orbit and  $\tilde{f}$  is  $G$ -equivariant.

□

**Definition 1.2.3** (trivial principal bundle). A principal  $G$ -bundle  $P$  is called trivial, if there exists a principal  $G$ -bundle isomorphism  $\varphi: P \rightarrow M \times G$ .

**Lemma 1.2.1.** If  $\tilde{f}: M \times G \rightarrow M \times G$  is an isomorphism between trivial principal  $G$ -bundles, then there exists  $\varphi: M \rightarrow G$  such that

$$\tilde{f}(x, g) = (x, \varphi(x)g)$$

*Proof.* Define  $\varphi(x)$  via  $\tilde{f}(x, 1) = (x, \varphi(x))$ . □

1.2.2. *Transition functions.* By (3) of Definition 1.2.1, there exists an open covering  $\{U_\alpha\}$  together with  $G$ -equivariant diffeomorphism  $\varphi_\alpha$ . If  $U_\alpha \cap U_\beta \neq \emptyset$ , then

$$\begin{aligned} \varphi_{\alpha\beta} &:= \varphi_\alpha \circ \varphi_\beta^{-1} : (U_\alpha \cap U_\beta) \times G \rightarrow (U_\alpha \cap U_\beta) \times G \\ &(x, g) \mapsto (x, g_\alpha(\varphi_\beta^{-1}(x, g))) \end{aligned}$$

If we denote

$$g_{\alpha\beta}(x) = g_\alpha(\varphi_\beta^{-1}(x, g))$$

then  $g_{\alpha\beta}(x)$  is a  $G$ -equivariant diffeomorphism of  $G$ . But it's easy to show

$$\begin{aligned} G &\rightarrow \{f: G \rightarrow G \mid f \text{ is } G\text{-equivariant diffeomorphism}\} \\ g &\mapsto (x \mapsto gx) \end{aligned}$$

is bijective, which implies the transition functions of principal  $G$ -bundle valued in  $G$ , that is

$$g_{\alpha\beta}: U_\alpha \cap U_\beta \rightarrow G$$

Conversely, it's clear you can recover a principal  $G$ -bundle from its transition functions.

1.2.3. *Section.*

**Definition 1.2.4** (global section). A global section is a smooth map  $s: M \rightarrow P$  such that  $\pi \circ s = \text{id}$ .

**Proposition 1.2.1.** A principal bundle admits a section if and only if it is trivial<sup>1</sup>.

*Proof.* If  $s: M \rightarrow P$  is a smooth section, we define

$$\begin{aligned}\varphi: P &\rightarrow M \times G \\ p &\mapsto (\pi(p), g(p))\end{aligned}$$

where  $g(p) \in G$  such that  $p = s(\pi(p))g(p)$ , it always exists since the right action of  $G$  is transitive on each fiber and it is unique since the action is free on each fiber. Clearly, it's  $G$ -equivariant, since

$$\varphi(ph) = (\pi(ph), g(ph)) = (\pi(p), g(p)h)$$

and the last equality holds since

$$ph = s(\pi(ph))g(ph) = s(\pi(p))g(ph) = pg^{-1}(p)g(ph) \implies h = g^{-1}(p)g(ph)$$

And it's easy to see  $\varphi$  is a bijection, with inverse map

$$\begin{aligned}\varphi^{-1}: M \times G &\rightarrow P \\ (p, g) &\mapsto s(p)g\end{aligned}$$

The smoothness of the section and of the  $G$ -action on  $P$  imply smoothness.  $\square$

**1.3. Associated fiber bundle.** Given a principal  $G$ -bundle  $P$  and a smooth manifold  $F$  admitting a smooth left  $G$ -action on it. Then we can construct a fiber bundle  $P \times_G F$  with fiber  $F$  with base space  $M$  as follows

$$P \times_G F := P \times F / \sim$$

where  $(p, f) \sim (p', f')$  if and only if  $p' = pg, f' = g^{-1}f$ . Let's check  $P \times_G F$  is a fiber bundle.

*Proof.* Consider the map taking an equivalence class  $[p, f]$  to  $\pi(p)$ . To see the local structure, since we already have the local structure of principal bundle  $P$ , i.e. for any  $x \in M$ , there exists open  $U_\alpha \ni x$  and  $\varphi_\alpha: \pi^{-1}(U_\alpha) \rightarrow U_\alpha \times G$ . Now we define the local trivialization of  $P \times_G F$  as

$$\begin{aligned}\varphi_\alpha^V: (P \times_G F)|_{U_\alpha} &\rightarrow U_\alpha \times F \\ (p, v) &\mapsto (\pi(p), g_\alpha(p)v)\end{aligned}$$

First note that this is well-defined, since

$$(pg, g^{-1}v) \mapsto (\pi(pg), g_\alpha(pg)g^{-1}v) = (\pi(p), g_\alpha(p)gg^{-1}v) = (\pi(p), g_\alpha(p)v)$$

And this map is one to one, and invertible, its inverse sends  $(x, v) \in U_\alpha \times F$  to the equivalence class of  $(\varphi_\alpha^{-1}(x, e), v)$ . Directly check as follows

$$\begin{aligned}\varphi_\alpha^V(\varphi_\alpha^{-1}(x, e), v) &= (x, ev) \\ &= (x, v)\end{aligned}$$

since  $\pi(\varphi_\alpha^{-1}(x, e)) = x$  and  $g_\alpha(\varphi_\alpha^{-1}(x, e)) = e$ .  $\square$

<sup>1</sup>This is in sharp contrast with vector bundles, which always admit sections.



*Remark 1.3.1* (transition function of associated bundle). Though we've found the local trivialization of  $P \times_G V$ , it's also necessary to see what does the transition functions look like.

Let  $U_\alpha, U_\beta$  be open sets with non-empty intersection  $U_{\alpha\beta}$ , and  $\varphi_\alpha, \varphi_\beta$  be local trivializations of principal bundles, with transition functions

$$\begin{aligned} \varphi_\alpha \circ \varphi_\beta^{-1} : U_{\alpha\beta} \times G &\rightarrow U_{\alpha\beta} \times G \\ (x, g) &\mapsto (x, g_{\alpha\beta}(x)g) \end{aligned}$$

then we can compute the transition functions of associated vector bundles as follows

$$\begin{aligned} \varphi_\alpha^V \circ (\varphi_\beta^V)^{-1} : U_{\alpha\beta} \times V &\rightarrow U_{\alpha\beta} \times V \\ (x, v) &\mapsto (\varphi_\beta^{-1}(x, e), v) \mapsto (x, g_{\alpha\beta}(x)v) \end{aligned}$$

**Example 1.3.1** (associated vector bundle). Now let's consider a special case, that is associated vector bundles. Given a representation of  $G$ , that is a group homomorphism  $\rho : G \rightarrow \text{GL}(V)$ , thus you can construct a vector bundle  $P \times_G V$ . However, there is a more simple way to construct in transition functions viewpoint: By Remark 1.3.1, we can see the transition function of this associated vector bundle is  $\{\rho \circ g_{\alpha\beta}\}$ , where  $\{g_{\alpha\beta}\}$  is transition function of  $P$ .

*Remark 1.3.2* (Relations between vector bundle and principal bundle). If we consider real vector bundles, we have the following one to one correspondence

$$\phi : \mathcal{P}_{\text{GL}(n, \mathbb{R})} M \rightarrow \text{Vect}_n^{\mathbb{R}} M$$

given by  $P \mapsto P \times_{\rho} \mathbb{R}^n$ , where  $\rho : \text{GL}(n, \mathbb{R}) \rightarrow \text{GL}(n, \mathbb{R})$  is trivial representation. The inverse  $\psi$  is given by considering frame bundle of vector bundle. Furthermore, if we endow vector bundle a Riemannian metric, then it can be regarded as a  $\text{O}(n)$ -principal bundle, and one can show it's independent of the choice of Riemannian metric, thus in fact we have the following one to one correspondence

$$\mathcal{P}_{\text{O}(n)} M \iff \text{Vect}_n^{\mathbb{R}} M$$

Similarly we also have

$$\mathcal{P}_{\text{U}(n)} M \iff \text{Vect}_n^{\mathbb{C}} M$$

**Example 1.3.2.** There are two important examples of associated bundles that we will use later.

- (1) The associated bundle obtained from  $G$  acts on  $G$  by conjugation, denoted by  $\text{Conj } P$ ;
- (2) The associated vector bundle obtained from  $G$  acts on  $\mathfrak{g}$  by adjoint representation, denoted by  $\text{Ad } P$ .

*Remark 1.3.3.* A philosophy of geometry is that we can study the objects lying over this geometric objects to study this geometric object itself, and that's why we study the vector bundle over a smooth manifold. Note that

for a principal  $G$ -bundle, you can obtain a vector bundle from a representation of  $G$ . However, there are too many representations of  $G$ , so special representations may correspond to special vector bundles.

**Proposition 1.3.1.** There is a one to one correspondence

$$C^\infty(M, P \times_G F) \xleftrightarrow{1-1} \{f: P \rightarrow F \mid f \text{ is smooth and } f(xg) = g^{-1}f(x)\}$$

*Proof.* For smooth function  $f: P \rightarrow F$  which is  $G$ -equivariant, we define  $s_f \in \Gamma(M, P \times_G F)$  as

$$s_f(x) = \{(p, f(p)) \mid \pi(p) = x\}, \quad x \in M$$

Here we need to check our definition is independent of the choice of  $p$ . Indeed, if we choose  $pg$ , then  $s_f(x) = (pg, f(pg)) = (pg, g^{-1}f(p)) \sim (p, f(p)) \in P \times_G F$ .

Conversely, given  $s \in C^\infty(M, P \times_G F)$ , then for any  $p \in P$ , we consider  $\pi(p) = x \in M$  and write  $s(x) = [(p, v)]$ , then we define  $f(p) = v$ . It's clear  $f(pg) = g^{-1}f(p)$ , since  $[(p, v)] = [(pg, g^{-1}v)]$ .  $\square$

In fact, this proposition is not a coincidence, and it's a quite important motivation which explains why we need principal bundles. If  $\pi: P \rightarrow M$  is a principal  $G$  bundle, and  $E$  is a vector bundle over  $M$  such that  $E$  is an associated vector bundle of  $P$ , then if we use  $\pi$  to pull  $E$  back to  $P$ , we claim that the vector bundle  $\pi^*E$  is the trivial bundle  $P \times V$  over  $P$ . Indeed, we define the following bundle map

$$\begin{aligned} \psi: P \times V &\rightarrow P \times_G V \\ (p, v) &\mapsto [p, v] \end{aligned}$$

and consider the following diagram

$$\begin{array}{ccc} P \times V & \longrightarrow & P \\ \downarrow \psi & & \downarrow \pi \\ E = P \times_G V & \longrightarrow & M \end{array}$$

Clearly  $P \times V$  satisfies the universal property of pullback, thus by uniqueness we obtain  $\pi^*E \cong P \times V$ .

It's clear sections of trivial bundle  $P \times V$  can be regard as smooth functions  $f: P \rightarrow V$ , and by relation between sections of bundle and its pullback bundle, there is no surprise you have one to one correspondence in Proposition 1.3.1.

*Remark 1.3.4.* More generally, we can use  $\pi$  to pull  $(P \times_G V) \otimes E'$  back to  $P$ , and prove it's  $(P \times V) \otimes \pi^*E'$  by the same method. The cases we will encounter are  $E' = T^*M$  or  $E' = \bigwedge^k T^*M$ . We use  $\Omega_M^k(P \times_G V)$  to denote  $(P \times_G V) \otimes \bigwedge^k T^*M$ , the generalization tells that we have the one to one correspondence between sections of  $\Omega_M^k(P \times_G V)$  and sections of  $(P \times V) \otimes \pi^* \bigwedge^k T^*M$  with equivariant conditions, we will call such forms basic forms, a conception we will define later.

**1.4. Reduction of principal bundle.** Given a principal  $G$ -bundle  $\pi : P \rightarrow M$  and a  $H$ -principal bundle  $\pi' : P' \rightarrow M$ . Furthermore, there is a Lie group homomorphism  $\alpha : H \rightarrow G$ .

**Definition 1.4.1** (reduction). If there exists a smooth map  $\varphi : P' \rightarrow P$  such that the following diagram commutes

$$\begin{array}{ccc} P' & \xrightarrow{\varphi} & P \\ & \searrow \pi_F & \swarrow \pi_E \\ & M & \end{array}$$

and  $\varphi$  is  $H$ -equivariant, that is for any  $p \in P', h \in H$

$$\varphi(ph) = \varphi(p)\alpha(h)$$

Then  $P$  is called an extension of  $P'$  from  $H$  to  $G$  and  $P'$  is called a reduction of  $P$  from  $G$  to  $H$ .

*Remark 1.4.1.* Here are two cases we're concern about:

- (1)  $H < G$  is a subgroup,  $\alpha$  is an inclusion.
- (2)  $\alpha : H \rightarrow G$  is surjective, for example,  $H$  is universal covering of  $G$ .

Extension of principal bundle always exists, and it's unique, according to the following proposition.

**Proposition 1.4.1.** Given a Lie group homomorphism  $\alpha : H \rightarrow G$  and a  $H$ -principal bundle  $P'$ , there exists a unique extension of  $P'$  from  $H$  to  $G$ .

*Proof.* Existence: Note that  $\alpha : H \rightarrow G$  gives a smooth left  $H$ -action on  $G$ , then consider associated fiber bundle  $P' \times_H G$ , it's a principal  $G$ -bundle, and if we define

$$\begin{aligned} \varphi : P' &\rightarrow P' \times_H G \\ p' &\mapsto [p', 1] \end{aligned}$$

Then  $\varphi$  is desired equivariant map which makes diagram commutes.

Uniqueness: If there is another extension  $\varphi' : P' \rightarrow P$ , in order to make the following diagram commutes

$$\begin{array}{ccc} & P' \times_H G & \\ \nearrow \varphi & & \downarrow \psi \\ P' & & P \\ \searrow \varphi' & & \end{array}$$

we define  $\psi$  by  $\psi([p, 1]) = \varphi'(p)$ . Thus principal  $G$ -bundles  $P' \times_H G$  and  $P$  are isomorphic to each other.  $\square$

However, reduction may not exist.

**Lemma 1.4.1.** Let  $\alpha : H \rightarrow G$  be a Lie group homomorphism,  $P$  is a principal  $G$ -bundle with transition functions  $\psi_{\alpha\beta} : U_{\alpha\beta} \rightarrow G$ . The following statements are equivalent:

- (1) There exists reduction of  $P$  from  $G$  to  $H$ ;
- (2) There exists  $\varphi_{\alpha\beta} : U_{\alpha\beta} \rightarrow H$  such that  $\alpha \circ \varphi_{\alpha\beta} = \psi_{\alpha\beta}$ .

**Corollary 1.4.1.** Let  $P$  be a principal  $G$ -bundle and  $H$  is a Lie subgroup of  $G$ , then there exists a reduction of  $P$  from  $G$  to  $H$  if and only if there exists transition functions of  $P$  valued in  $H$ .

**Example 1.4.1.** If  $E \rightarrow M$  is a complex vector bundle with a hermitian inner product, then a local trivialization

$$\varphi_\alpha : \pi^{-1}(U_\alpha) \rightarrow U_\alpha \times \mathbb{C}^n$$

gives a hermitian inner product on  $\mathbb{C}^n$ . Thus a transition function must preserve the inner product, thus

$$\begin{array}{ccc} U_\alpha \cap U_\beta & \longrightarrow & \mathrm{GL}_n(\mathbb{C}) \\ & \searrow & \uparrow \\ & & \mathrm{U}(n) \end{array}$$

This gives a reduction of  $\mathrm{GL}_n(\mathbb{C})$ -principal bundle to a  $\mathrm{U}(n)$ -principal bundle.

**Example 1.4.2.** If  $E \rightarrow M$  is a real vector bundle, by the same argument we can always reduce its frame bundle  $P$ , that is a  $\mathrm{GL}_n(\mathbb{R})$ -principal bundle, to a  $\mathrm{O}(n)$ -principal bundle. Furthermore,

- (1)  $P$  can be reduced to a  $\mathrm{SO}(n)$ -principal bundle if and only if  $E$  is orientable;
- (2)  $P$  can be reduced to a  $\{e\}$ -principal bundle if and only if  $E$  is trivial.

**Example 1.4.3.** Let  $M$  be an oriented Riemannian manifold, then  $TM$  is a  $\mathrm{SO}(n)$ -principal bundle. Consider universal covering  $\mathrm{Spin}(n) \xrightarrow{2:1} \mathrm{SO}(n)$ . If there exists a reduction from  $\mathrm{SO}(n)$  to  $\mathrm{Spin}(n)$ , then we say  $M$  admits a spin structure.

## 2. CONNECTION OF PRINCIPAL BUNDLE

**2.1. Forms valued in vector space.** In this section, let  $M$  be a smooth manifold,  $V$  a vector space with basis  $\{e_\alpha\}$  and  $G$  a Lie group with Lie algebra  $\mathfrak{g}$ . A  $k$ -form valued in vector space  $V$  can be written as

$$\omega = \omega^\alpha e_\alpha$$

where  $\omega^\alpha$  are  $k$ -forms. We use  $\Omega_M^k(V)$  to denote the bundle of  $k$ -forms valued in  $V$ . It's an easy generalization of differential forms, just by replacing  $\mathbb{R}$  with a general vector space, and properties of  $k$ -forms also hold for  $k$ -forms value in  $V$ .

**Definition 2.1.1** (exterior derivative). Let  $\omega = \omega^\alpha e_\alpha$  be a  $k$ -form valued in  $V$ , then its exterior derivative is

$$d\omega = d\omega^\alpha e_\alpha$$

**Proposition 2.1.1** (Cartan's formula). Let  $\omega = \omega^\alpha e_\alpha$  be a  $k$ -form valued in  $V$ , then

$$\begin{aligned} (d\omega)(X_1, \dots, X_{k+1}) &= \sum_{i=1}^{k+1} (-1)^{i+1} X_i \omega(X_1, \dots, \widehat{X_i}, \dots, X_{k+1}) \\ &\quad + \sum_{i < j} (-1)^{i+j} \omega([X_i, X_j], X_1, \dots, \widehat{X_i}, \dots, \widehat{X_j}, \dots, X_{k+1}) \end{aligned}$$

where  $X_i$  are vector fields.

**Definition 2.1.2** (wedge product). Let  $\omega_1, \omega_2$  are forms valued in  $V$  with degree  $k$  and  $l$  respectively, then

$$(\omega_1 \wedge \omega_2)(X_1, \dots, X_{k+l}) := \frac{1}{k! \times l!} \sum_{\sigma \in S_{k+l}} (-1)^{|\sigma|} \omega_1(X_{\sigma(1)}, \dots, X_{\sigma(k)}) \otimes \omega_2(X_{\sigma(k+1)}, \dots, X_{\sigma(k+l)})$$

where  $X_i$  are vector fields.

**Proposition 2.1.2.** Let  $\omega_i, i = 1, 2, 3$  be forms valued in  $V$ , then

- (1)  $(\omega_1 \wedge \omega_2) \wedge \omega_3 = \omega_1 \wedge (\omega_2 \wedge \omega_3)$ , where  $\omega_i, i = 1, 2, 3$  are forms valued in  $V$ ;
- (2)  $d(\omega_1 \wedge \omega_2) = d\omega_1 \wedge \omega_2 + (-1)^{\deg \omega_1} \omega_1 \wedge d\omega_2$ ,

**Definition 2.1.3.** Let  $T : V \rightarrow W$  be a linear map between vector spaces, and  $\omega$  is a  $k$ -form valued in  $V$ , then  $T\omega$  is a  $k$ -form valued in  $W$ , which is defined as

$$T\omega(X_1, \dots, X_k) := T(\omega(X_1, \dots, X_k))$$

where  $X_i$  are vector fields.

**Example 2.1.1.** Let  $\omega_1, \omega_2$  be forms with degree  $k$  and  $l$  respectively, then by our definition one has  $\omega_1 \wedge \omega_2 \in \Omega_M^{k+l}(\mathfrak{g} \otimes \mathfrak{g})$ . It's a little bit different from

standard definition of wedge product, since  $\omega_1 \wedge \omega_2$  should be a  $(k+l)$ -form, not a  $(k+l)$ -form valued in  $\mathbb{R} \otimes \mathbb{R}$ . If we consider

$$\begin{aligned} T : \mathbb{R} \otimes \mathbb{R} &\rightarrow \mathbb{R} \\ a \otimes b &\mapsto ab \end{aligned}$$

Then  $T(\omega_1 \wedge \omega_2)$  is a  $(k+l)$ -form, coincides with standard definition, so we just denote  $T(\omega_1 \wedge \omega_2)$  by  $\omega_1 \wedge \omega_2$  for convenience.

**Example 2.1.2.** Let  $\omega_1$  be a  $k$ -form valued in  $\mathfrak{g}$ , and  $\omega_2$  a  $l$ -form valued in  $V$ . Given a representation  $\rho : G \rightarrow \mathrm{GL}(V)$ , it induces a representation of Lie algebra, that is  $\rho_* : \mathfrak{g} \rightarrow \mathfrak{gl}(V)$ . If we consider

$$\begin{aligned} T : \mathfrak{g} \otimes V &\rightarrow V \\ \xi \otimes v &\mapsto \rho_*(\xi)v \end{aligned}$$

Then we have  $T(\omega_1 \wedge \omega_2)$  is a  $(k+l)$ -form valued in  $V$ , we just denote it by  $\omega_1 \wedge \omega_2$  for convenience.

**Example 2.1.3.** Let  $\omega_1, \omega_2$  be forms valued in  $\mathfrak{g}$  with degree  $k$  and  $l$  respectively, by our definition  $\omega_1 \wedge \omega_2$  is a  $(k+l)$ -form valued in  $\mathfrak{g}$ . If we consider

$$\begin{aligned} T : \mathfrak{g} \otimes \mathfrak{g} &\rightarrow \mathfrak{g} \\ \xi \otimes \eta &\mapsto [\xi, \eta] \end{aligned}$$

Then we have  $T(\omega_1 \wedge \omega_2)$  is a  $(k+l)$ -form valued in  $\mathfrak{g}$ , we just denote it by  $\omega_1 \wedge \omega_2$  for convenience.

*Remark 2.1.1.* If Lie group  $G = \mathrm{GL}(n, \mathbb{R})$ , then  $\mathfrak{g} = \mathfrak{gl}(n, \mathbb{R})$  consists of matrix. Thus in this case for any  $\xi, \eta \in \mathfrak{g}$ , we can define  $T$  as multiplying them together to obtain an element in  $\mathfrak{gl}(n, \mathbb{R})$ . However, these two definitions may cause some misunderstandings.

**Example 2.1.4.** Let  $\omega$  be a 1-form valued in  $\mathfrak{g}$ , then for vector fields  $X, Y$ , one has

$$\begin{aligned} \omega \wedge \omega(X, Y) &= T((\omega_1 \wedge \omega_2)(X_1, X_2)) \\ &= T\left(\frac{1}{1! \times 1!}(\omega(X) \otimes \omega(Y) - \omega(Y) \otimes \omega(X))\right) \\ &= [\omega(X), \omega(Y)] - [\omega(Y), \omega(X)] \\ &= 2[\omega(X), \omega(Y)] \end{aligned}$$

*Remark 2.1.2.* If  $T$  is choose as in Remark 2.1.1, then in this case we have

$$\omega \wedge \omega(X, Y) = [\omega(X), \omega(Y)]$$

That's where misunderstanding lies. Different authors may use different notations, so be careful!

**Proposition 2.1.3.** Let  $\omega$  be a 1-form valued in  $\mathfrak{g}$ , then

$$(\omega \wedge \omega) \wedge \omega = \omega \wedge (\omega \wedge \omega) = 0$$

*Proof.* For arbitrary vector fields  $X, Y$  and  $Z$ , one has

$$\begin{aligned} (\omega \wedge \omega) \wedge \omega(X, Y, Z) &= \frac{1}{2! \times 1!} \{ [\omega \wedge \omega(X, Y), \omega(Z)] + [\omega \wedge \omega(Y, Z), \omega(X)] + [\omega \wedge \omega(Z, X), \omega(Y)] \\ &\quad - [\omega \wedge \omega(Y, X), \omega(Z)] + [\omega \wedge \omega(Z, Y), \omega(X)] + [\omega \wedge \omega(X, Z), \omega(Y)] \} \\ &= \frac{2}{2! \times 1!} \{ [[\omega(X), \omega(Y)], \omega(Z)] + [[\omega(Y), \omega(Z)], \omega(X)] + [[\omega(Z), \omega(X)], \omega(Y)] \\ &\quad - [[\omega(Y), \omega(X)], \omega(Z)] + [[\omega(Z), \omega(Y)], \omega(X)] + [[\omega(X), \omega(Z)], \omega(Y)] \} \end{aligned}$$

This equals to zero according to Jacobi identity of Lie bracket.  $\square$

**Proposition 2.1.4.** Let  $\omega_1, \omega_2$  be forms valued in  $\mathfrak{g}$  with degree  $k$  and  $l$  respectively, then

$$\omega_1 \wedge \omega_2 = (-1)^{kl+1} \omega_2 \wedge \omega_1$$

*Proof.* Note that for a  $k$ -form  $\omega_1$  and a  $l$ -form  $\omega_2$ , we have

$$\omega_1 \wedge \omega_2 = (-1)^{kl} \omega_2 \wedge \omega_1$$

But in this case, there is one more  $-1$  coming from Lie bracket.  $\square$

## 2.2. Maurer-Cartan form.

**Example 2.2.1** (Maurer-Cartan form). The Maurer-Cartan form  $\theta$ , which is defined by

$$\theta_g := (L_{g^{-1}})_*$$

is a  $\mathfrak{g}$ -valued 1-form on  $G$ . Indeed, since tangent bundle of Lie group is trivial, so we may assume vector field  $X$  is left-invariant, then

$$\theta_g(X_g) = (L_{g^{-1}})_*(L_g)_*X_e = X_e \in \mathfrak{g}$$

where  $X_g$  means value of  $X$  at point  $g \in G$ .

*Remark 2.2.1.* If  $G$  is a matrix group, we also use  $g^{-1}dg$  to denote its Maurer-Cartan form, which is easy to compute. For example,

*Example 2.2.2.* Consider  $G = \text{SO}(2) \subset \text{GL}(2, \mathbb{R})$ . We may parametrize  $\text{SO}(2)$  by

$$g(\theta) = \begin{pmatrix} \cos \theta & -\sin \theta \\ \sin \theta & \cos \theta \end{pmatrix}$$

where  $\theta \in \mathbb{R}$ . Then directly compute we have

$$\begin{aligned} \omega &= g^{-1}dg \\ &= \begin{pmatrix} \cos \theta & \sin \theta \\ -\sin \theta & \cos \theta \end{pmatrix} \begin{pmatrix} -\sin \theta d\theta & -\cos \theta d\theta \\ \cos \theta d\theta & -\sin \theta d\theta \end{pmatrix} \\ &= \begin{pmatrix} 0 & -d\theta \\ d\theta & 0 \end{pmatrix} \end{aligned}$$

**2.3. Motivation.** In fact, here we use principal  $G$ -bundle as a tool to study geometry of vector bundle  $E$ , that is to give a connection on  $E$ , if  $E$  is an associated vector bundle of  $P$ . Recall a connection on  $E$  is defined as the following linear operator

$$\nabla : C^\infty(M, E) \rightarrow C^\infty(M, \Omega_M^1(E))$$

satisfying Leibniz rule.

Suppose vector bundle  $E$  is associated to principal  $G$ -bundle  $\pi : P \rightarrow M$ , and written as  $P \times_G V$ , then from Proposition 1.3.1, we have a one to one correspondence between sections of  $E$  with  $G$ -equivariant maps from  $P$  to  $V$ . Given a section  $s$  of  $E$ , if we use  $s^P$  to denote the  $G$ -equivariant map obtained from one to one correspondence, it's easy to take derivatives of  $s^P$  to obtain a 1-form on  $P$  valued in  $V$ , that is a  $G$ -equivariant fiber-wise linear map from  $TP$  to  $V$ .

However, this 1-form does not by itself define a covariant derivative of  $s$ . As what we've defined,  $\nabla s \in C^\infty(M, \Omega_M^1(E))$ , so by Remark 1.3.4, a covariant derivative appears upstairs on  $P$  is supposed to be a  $G$ -equivariant section over  $(P \times V) \otimes \pi^* T^* M$ , that is a  $G$ -equivariant fiber-wise linear map from  $\pi^* TM$  to  $V$ .

To see what is missing, it is important to keep in mind that  $TP$  has some properties that arise from the fact that  $P$  is a principal bundle over  $M$ . In fact, we have the following exact sequence

$$(2.1) \quad 0 \rightarrow \ker \pi_* \rightarrow TP \rightarrow \pi^* TM \rightarrow 0$$

This exact sequence is quite important, let's make following remarks:

*Remark 2.3.1.* The map from  $\ker \pi_*$  is clearly an inclusion. And the map from  $TP$  to  $\pi^* TM$  is characterized as follows

$$\begin{aligned} TP &\rightarrow \pi^* TM \subset P \times TM \\ v &\mapsto (p, \pi_* v) \end{aligned}$$

where  $v \in T_p P$ .

*Remark 2.3.2.*  $\ker \pi_*$  is isomorphic to trivial bundle  $P \times \mathfrak{g}$ . Indeed, we have the following bundle isomorphism

$$\begin{aligned} \psi : P \times \mathfrak{g} &\rightarrow \ker \pi_* \\ (p, X) &\mapsto \sigma(X)_p := \left. \frac{d}{dt} \right|_{t=0} p e^{tX} \end{aligned}$$

where  $\sigma(X)_p$  means the value of  $\sigma(X)$  at  $p$ . It's clear  $\sigma(X) \in \ker \pi_*$ , since for each  $p \in P$ ,

$$\begin{aligned} \pi_*(\sigma(X)_p) &= \left. \frac{d}{dt} \right|_{t=0} \pi(p e^{tX}) \\ &= \left. \frac{d}{dt} \right|_{t=0} \pi(p) \\ &= 0 \end{aligned}$$



*Remark 2.3.3* ( $G$ -equivariance of exact sequence). The action of  $G$  on  $P$  can be lifted to the exact sequence (2.1), as follows:

Let  $R_g : P \rightarrow P$  denote the action of  $g \in G$  on  $P$ , given by  $p \mapsto pg$ .

- (1) The  $G$  action on  $TP$  is given by  $(R_g)_* : TP \rightarrow TP$ , and it descends to  $\ker \pi_*$  since if  $v \in \ker \pi_*$ , then

$$\begin{aligned} \pi_*((R_g)_*v) &= (\pi \circ R_g)_*(v) \\ &= \pi_*(v) \\ &= 0 \end{aligned}$$

- (2) The  $G$  action on  $\pi^*TM$  is given by sending defined by sending a pair  $(p, v) \in P \times TM$  to the pair  $(pg, v)$ .  $(pg, v) \in \pi^*TM$  since  $\pi(pg) = \pi(p) = \pi(v)$ .

Furthermore, we claim the exact sequence (2.1) is equivariant with respect to the lifts.

- (1) It automatically holds for inclusion map from  $\ker \pi_*$  to  $TP$ , since  $G$  action on  $\ker \pi_*$  is obtain from descending the one on  $TP$ ;  
 (2) It holds for the map from  $TP$  to  $\pi^*TM$ , since for  $v \in TP$  we have  $(R_g)_*v$  is sent to  $(pg, \pi_*(R_g)_*v)$ , that is exactly  $(pg, \pi_*v)$ , since  $\pi \circ R_g = \pi$ .

If we want to identify  $\ker \pi_*$  as  $P \times \mathfrak{g}$ , we need to choose a  $G$ -action on  $\mathfrak{g}$  properly such that the isomorphism  $\psi$  is  $G$ -equivariant. It turns out to be adjoint representation. Indeed, we compute as follows

$$\begin{aligned} (R_g)_*\psi(p, X) &= (R_g)_* \left( \left. \frac{d}{dt} \right|_{t=0} p \exp(tX) \right) \\ &= \left. \frac{d}{dt} \right|_{t=0} p \exp(tX) g \\ &= \left. \frac{d}{dt} \right|_{t=0} (pg) (g^{-1} \exp(tX) g) \\ &= \psi(pg, \text{Ad}(g^{-1})X) \end{aligned}$$

**2.4. Connection on principal bundle.** So if we want to obtain a fiber-wise linear map  $\pi^*TM \rightarrow V$  from a fiber-wise linear map  $TP \rightarrow V$ , we need exact sequence (2.1) splitting. In other words, we desire there exists a  $G$ -equivariant  $\omega : TP \rightarrow P \times \mathfrak{g}$ , such that  $\omega|_{P \times \mathfrak{g}}$  is identity. Such  $\omega$  is called a connection on principal  $G$ -bundle  $P$ .

**Definition 2.4.1** (connection on principal bundle). Let  $\pi : P \rightarrow M$  be a principal  $G$ -bundle. If  $\omega \in C^\infty(P, \Omega_P^1(\mathfrak{g}))$  satisfies

- (1) For any  $X \in \mathfrak{g}$ ,  $\omega(\sigma(X)) = X$ ;  
 (2) For any  $g \in G$ ,  $R_g^*\omega = \text{Ad}(g^{-1}) \circ \omega$

Then  $\omega$  is called a connection on  $P$ .

**Notation 2.4.1.** We use  $\mathcal{A}(P)$  to denote the set of all connections on  $P$ .

*Remark 2.4.1* (horizontal distribution viewpoint). If we define  $H = \ker \omega$ , then

$$TP = H \oplus (P \times \mathfrak{g})$$

such that  $(R_g)_*H_p = H_{pg}$ .  $H$  is called a horizontal distribution and  $P \times \mathfrak{g}$  is called vertical distribution. Conversely, give a horizontal distribution, one can also construct a connection, they're the same things.

**Example 2.4.1** (connection on trivial principal bundle). Consider trivial principal  $G$ -bundle  $P = M \times G$ . Recall we have a Maurer-Cartan form  $\theta$ , which is a 1-form valued in  $\mathfrak{g}$ . Then we can use  $\pi_2 : M \times G \rightarrow G$  to pull it back to  $P$  to obtain a 1-form on  $P$  valued in  $\mathfrak{g}$ , which is called Maurer-Cartan form on trivial principal  $G$ -bundle, and it's denoted  $\omega_{mc}$ . Now let's check  $\omega_{mc}$  gives a connection on trivial principal bundle.

(1) For any  $X \in \mathfrak{g}$ , we have

$$\begin{aligned} \omega_{mc}(\sigma(X)) &= \pi_2^*\theta\left(\frac{d}{dt}\Big|_{t=0} (x, g)e^{tX}\right) \\ &= \theta\left(\frac{d}{dt}\Big|_{t=0} ge^{tX}\right) \\ &= (L_{g^{-1}})_*\left(\frac{d}{dt}\Big|_{t=0} ge^{tX}\right) \\ &= \frac{d}{dt}\Big|_{t=0} e^{tX} \\ &= X \end{aligned}$$

(2) It suffices to check  $R_g^*\theta = \text{Ad}(g^{-1}) \circ \theta$  holds for  $g \in G$ . For any left-invariant vector field  $X$ , recall that  $\theta(X) = X_e$ , thus

$$R_g^*\theta(X) = \theta((R_g)_*X) = ((R_g)_*X)_e = (L_{g^{-1}})_*(R_g)_*X_e$$

that's exactly  $\text{Ad}(g^{-1}) \circ \theta(X)$ .

*Remark 2.4.2.* It's clear to see  $\ker \omega_{mc} = \pi^*TM$ , since  $\omega_{mc}$  is pullback from a 1-form on  $G$ , thus in this case

$$TP = \pi^*TM \oplus \pi_2^*TG$$

that's exactly canonical splitting of  $TP$ .

## 2.5. Gauge group.

**Definition 2.5.1** (gauge group). For a principal  $G$ -bundle  $P$ , the gauge group  $\mathcal{G}(P)$  is the group of  $G$ -automorphism of  $P$ , that is  $G$ -equivariant diffeomorphism  $\Phi : P \rightarrow P$  such that  $\pi = \pi \circ \Phi$ .

**Definition 2.5.2** (gauge transformation). An element in  $\mathcal{G}(P)$  is called gauge transformation.

*Remark 2.5.1* (local expression of gauge transformation). For a gauge transformation  $\Phi$ , if we consider its action on local trivialization  $\varphi_\alpha : \pi^{-1}(U_\alpha) \rightarrow U_\alpha$ , we have  $\varphi_\alpha(\Phi(p)) = (\pi(p), g_\alpha(\Phi(p)))$ , which induces a map  $\tilde{\phi}_\alpha : \pi^{-1}(U_\alpha) \rightarrow G$  by

$$\tilde{\phi}_\alpha(p) = g_\alpha(\Phi(p))g_\alpha(p)^{-1}$$

By the equivariance of  $g_\alpha$  and  $\Phi$  we have  $\tilde{\phi}$  is  $G$ -invariant, which implies  $\tilde{\phi}_\alpha(p) = \phi_\alpha(\pi(p))$  for some  $\phi_\alpha : U_\alpha \rightarrow G$ .

If we consider on the overlaps  $x \in U_{\alpha\beta}$  with  $p = \pi^{-1}(x)$ . Then

$$\begin{aligned} \phi_\alpha(x) &= g_\alpha(\Phi(p))g_\alpha(p)^{-1} \\ &= g_\alpha(\Phi(p))g_\beta(\Phi(p))^{-1}g_\beta(\Phi(p))g_\beta(p)^{-1}g_\beta(p)g_\alpha(p)^{-1} \\ &= g_{\alpha\beta}(x)\phi_\beta(x)g_{\alpha\beta}(x)^{-1} \end{aligned}$$

This shows  $\{\phi_\alpha\}$  defines a global section of associated bundle obtained from  $G$  acts on  $G$  by conjugation, that is  $\text{Conj } P$  defined in Example 1.3.2. In fact, we have the following one to one correspondence.

**Proposition 2.5.1.** There is one to one correspondence between the group  $\mathcal{G}(P)$  and  $C^\infty(M, \text{Conj } P)$ .

*Proof.* We have already seen that a gauge transformation can give an element in  $C^\infty(M, \text{Conj } P)$ . Conversely, by Proposition 1.3.1, there is a one to one correspondence between  $C^\infty(M, \text{Conj } P)$  and smooth functions  $f : P \rightarrow G$  which is  $G$ -equivariant. For such  $f$ , consider  $\Phi_f : P \rightarrow P$  given by  $\Phi_f(p) = pf(p)$ .

- (1)  $\pi \circ \Phi_f = \pi$ , since  $\pi \circ \Phi_f(p) = \pi(pf(p)) = \pi(p)$
- (2) It's  $G$ -equivariant since

$$\begin{aligned} \Phi_f(pg) &= pgf(pg) \\ &= pgg^{-1}f(p)g \\ &= pf(p)g \\ &= \Phi_f(p)g \end{aligned}$$

The two maps we constructed are clearly inverse to each other, giving the desired correspondence.  $\square$

Now we're going to show  $\mathcal{G}(P)$  acts on  $\mathcal{A}(P)$ .

**Lemma 2.5.1.** For any  $X \in \mathfrak{g}$  and  $\Phi \in \mathcal{G}(P)$ , then

$$\Phi_*(\sigma(X)) = \sigma(X)$$

*Proof.* Direct computation shows

$$\begin{aligned}
\Phi_*\sigma(X) &= \Phi_*\left(\frac{d}{dt}\Big|_{t=0} pe^{tX}\right) \\
&= \frac{d}{dt}\Big|_{t=0} \Phi(pe^{tX}) \\
&= \frac{d}{dt}\Big|_{t=0} \Phi(p)e^{tX} \\
&= \sigma(X)
\end{aligned}$$

□

**Proposition 2.5.2.**  $\mathcal{G}(P)$  acts on  $\mathcal{A}(P)$  via pullback.

*Proof.* For  $\omega \in \mathcal{A}(P)$  and  $\Phi \in \mathcal{G}(P)$ , let's check  $\Phi^*\omega \in \mathcal{A}(P)$ .

(1) For any  $X \in \mathfrak{g}$ , we have

$$\begin{aligned}
\Phi^*\omega(\sigma(X)) &= \omega(\Phi_*\sigma(X)) \\
&= \omega(\sigma(X)) \\
&= X
\end{aligned}$$

(2) Note that  $R_g^*\Phi^* = (R_g \circ \Phi)^* = (\Phi \circ R_g)^*$ , thus

$$\begin{aligned}
R_g^*(\Phi^*\omega) &= \Phi^*(R_g^*\omega) \\
&= \Phi^*(\text{Ad}(g^{-1}) \circ \omega) \\
&= \text{Ad}(g^{-1}) \circ \Phi^*\omega
\end{aligned}$$

□

*Remark 2.5.2.* Gauge theory concerns about “space” of orbit of  $\mathcal{G}(P)$ , that is  $\mathcal{A}(P)/\mathcal{G}(P)$ .

**2.6. Local expression of connection.** Instead of considering connection 1-form living on  $P$ , we want to convert it into the one living on base manifold  $M$ , since we want to use it to study connection of vector bundle over  $M$ . To do this, we divide the process into three steps:

- (1) Given a connection on trivial principal  $G$ -bundle, correspond it to a 1-form on  $M$ ;
- (2) Figure out how does this correspondence transform under gauge transformation;
- (3) Since a  $G$ -principal is locally trivial, and you can regard transition functions as gauge transformation, then together above two step to conclude.

**2.6.1. Baby case.** Fix a trivial principal  $G$ -bundle  $P = M \times G$  and the following notations:

- (1)  $\pi : P \rightarrow M$  is natural projection, given by  $p = (x, g) \mapsto x \in M$ ;
- (2)  $i : M \rightarrow P$  is natural inclusion, given by  $x \mapsto (x, e) \in P$ .

**Lemma 2.6.1.** For any  $A \in C^\infty(M, \Omega_M^1(\mathfrak{g}))$ , there exists a unique  $\tilde{A} \in C^\infty(P, \Omega_P^1(\mathfrak{g}))$  such that

- (1)  $i^*\tilde{A} = A$ ;
- (2)  $\tilde{A}(\sigma(X)) = 0$ , where  $X \in \mathfrak{g}$ ;
- (3)  $R_g^*\tilde{A} = \text{Ad}(g^{-1}) \circ \tilde{A}$ .

*Proof.* Let's construct  $\tilde{A}$  pointwise.

(a) For  $p = (x, e) \in M \times G$ , we have

$$T_p P = T_x M \oplus \mathfrak{g}$$

Then  $\tilde{A}$  is uniquely determined at this point according to (1) and (2).

(b) At point  $p' = (x, g)$ , it's clear  $p' = pg$  and  $(R_g)_* : T_p P \rightarrow T_{p'} P$  is an isomorphism, then for arbitrary  $v \in T_{p'} P$ , we may assume  $v = (R_g)_* w$  for some  $w \in T_p P$ , then

$$\begin{aligned} \tilde{A}_{p'}(v) &= \tilde{A}_{pg}((R_g)_* w) \\ &= (R_g^* \tilde{A})_p(w) \\ &= \text{Ad}(g^{-1}) \circ \tilde{A}(w) \end{aligned}$$

□

**Proposition 2.6.1.**  $i^* : \mathcal{A}(P) \rightarrow C^\infty(M, \Omega_M^1(\mathfrak{g}))$  is bijective, that is

$$\begin{array}{ccc} C^\infty(P, \Omega_P^1(\mathfrak{g})) & \xrightarrow{i^*} & C^\infty(M, \Omega_M^1(\mathfrak{g})) \\ \uparrow & \nearrow 1-1 & \\ \mathcal{A}(P) & & \end{array}$$

*Proof.* For any  $A \in C^\infty(M, \Omega_M^1(\mathfrak{g}))$ , by Lemma 2.6.1 we have  $\omega_{mc} + \tilde{A}$  is also a connection on  $P$ . Thus consider

$$\begin{aligned} \tau : \Omega_M^1(\mathfrak{g}) &\rightarrow \mathcal{A}(P) \\ A &\mapsto \omega_{mc} + \tilde{A} \end{aligned}$$

It's clear  $\tau$  is surjective, since for any  $\omega \in \mathcal{A}(P)$ , we have

$$\tau(i^*(\omega - \omega_{mc})) = \omega_{mc} + \omega - \omega_{mc} = \omega$$

Now it suffices to show  $i^*\tau = \text{id}$ , which implies  $\tau$  is injective thus bijective. Indeed, for  $A \in \Omega_M^1(\mathfrak{g})$ ,

$$i^*\tau(A) = i^*(\omega_{mc} + \tilde{A}) = i^*\tilde{A} = A$$

since  $i^*\omega_{mc} = 0$ .

□

2.6.2. *How to glue.* For gauge transformation  $\Phi$  we can write it as

$$\Phi(x, g) = (x, \varphi(x)g)$$

where  $\varphi: M \rightarrow G$  is smooth. So for any  $\omega \in \mathcal{A}(P)$ , if we write it as  $\omega = \omega_{mc} + \tilde{A}$ . Then

$$\begin{aligned} i^*\Phi^*\omega &= i^*\Phi^*(\omega_{mc} + \tilde{A}) \\ &= i^*\Phi^*\pi_2^*\theta + i^*\Phi^*\tilde{A} \\ &= \varphi^*\theta + i^*\Phi^*\tilde{A} \end{aligned}$$

since  $\pi_2 \circ \Phi \circ i(x) = \pi_2 \circ \Phi(x, e) = \pi_2(x, \varphi(x)) = \varphi(x)$  for  $x \in M$ . So it suffices to compute  $i^*\Phi^*\tilde{A}$ . For any vector field  $X$ , we have

$$\begin{aligned} (i^*\Phi^*\tilde{A})(X) &= \tilde{A}(\Phi_*i_*(X)) \\ &= \tilde{A}(\varphi_*X) \\ &= \text{Ad}(\varphi^{-1}) \circ \tilde{A}(X) \end{aligned}$$

Thus we have

$$i^*(\Phi^*\omega) = \varphi^*\theta + \text{Ad}(\varphi^{-1}) \circ \tilde{A}$$

2.6.3. *General case.* Let  $\pi: P \rightarrow M$  be a principal  $G$ -bundle with local trivialization  $\{(U_\alpha, \varphi_\alpha)\}$ , we use  $i_\alpha: U_\alpha \rightarrow U_\alpha \times G$  to denote natural inclusion. For a connection  $\omega \in \mathcal{A}(P)$ , then we can write it locally on

$$i_\alpha^*(\varphi_\alpha^{-1})^*\omega|_{\pi^{-1}(U_\alpha)} = A_\alpha \in \Omega_{U_\alpha}^1(\mathfrak{g})$$

Furthermore,

$$A_\alpha = \text{Ad}(g_{\alpha\beta}^{-1}) \circ A_\beta + g_{\alpha\beta}^*\theta$$

where  $g_{\alpha\beta}: U_\alpha \cap U_\beta \rightarrow G$  are transition functions. Thus we have the following one to one correspondence.

**Proposition 2.6.2.**

$$\mathcal{A}(P) \xLeftrightarrow{1-1} \{(A_\alpha) \in \prod_\alpha \Omega_{U_\alpha}^1(\mathfrak{g}) \mid A_\alpha = \text{Ad}(g_{\alpha\beta}^{-1}) \circ A_\beta + g_{\alpha\beta}^*\theta\}$$

*Remark 2.6.1.* From this viewpoint, for two connection  $\omega_1$  and  $\omega_2$ , it's clear  $\omega_1 - \omega_2$  gives a global section of associated vector bundle  $\text{Ad } P$ , thus  $\mathcal{A}(P)$  is an affine space modelled on  $C^\infty(M, \Omega_M^1(\text{Ad } P))$ . In particular, it's contractible.

## 3. CURVATURE OF PRINCIPAL BUNDLE

## 3.1. Definition.

**Definition 3.1.1** (curvature). Let  $P$  be a principal  $G$ -bundle and  $\omega \in \mathcal{A}(P)$ . Curvature of  $\omega$  is defined as

$$\Omega := d\omega + \frac{1}{2}\omega \wedge \omega \in C^\infty(P, \Omega_P^2(\mathfrak{g}))$$

**Example 3.1.1.** If  $P = M \times G$ , and  $\omega = \omega_{mc}$ , then  $\Omega = 0$ .

*Proof.* It suffices to check Maurer-Cartan form  $\theta \in \Omega_G^1(\mathfrak{g})$  satisfying

$$d\theta + \frac{1}{2}\theta \wedge \theta = 0$$

which is called Maurer-Cartan equation. Let  $X, Y$  are two left-invariant vector fields on  $G$ , then

$$\theta(X) = (L_{g^{-1}})_*X_g = (L_{g^{-1}})_*(L_g)_*X_e = X_e$$

is constant. Thus

$$d\theta(X, Y) = -\theta([X, Y]) = -\frac{1}{2}\theta \wedge \theta(X, Y)$$

since  $X(\theta(Y)) = Y(\theta(X)) = 0$ . □

**Theorem 3.1.1** (Bianchi identity).

$$d\Omega + \omega \wedge \Omega = 0$$

*Proof.*

$$\begin{aligned} d\Omega &= d(d\omega + \frac{1}{2}\omega \wedge \omega) \\ &= \frac{1}{2}d\omega \wedge \omega - \frac{1}{2}\omega \wedge d\omega \\ &= -\omega \wedge d\omega \\ &= -\omega \wedge (\Omega - \frac{1}{2}\omega \wedge \omega) \\ &= -\omega \wedge \Omega \end{aligned}$$

□

**Definition 3.1.2** (horizontal form). Let  $\omega$  be a 2-form on  $P$  valued in vector space  $V$ , it's called horizontal, if  $\omega(\sigma(X), -) = 0$  for arbitrary  $X \in \mathfrak{g}$ .

**Proposition 3.1.1.**  $\Omega$  is a horizontal 2-form.

*Proof.* Divide computations into two parts:

(1) If  $X, Y \in \mathfrak{g}$  and write  $X' = \sigma(X), Y' = \sigma(Y)$ , then

$$\begin{aligned} d\omega(X', Y') &= X'(\omega(Y')) - Y'\omega(X') - \omega([X', Y']) \\ &= X'(Y) - Y'(X) - [X, Y] \\ &= -[X, Y] \\ &= -\frac{1}{2}\omega \wedge \omega(X', Y') \end{aligned}$$

(2) If  $X \in \mathfrak{g}$  and  $Y$  is a horizontal vector field, note that

$$\frac{1}{2}\omega \wedge \omega(\sigma(X), Y) = 0$$

since  $\omega(Y) = 0$ . So it suffices to compute

$$\begin{aligned} d\omega(\sigma(X), Y) &= \sigma(X)(\omega(Y)) - Y\omega(\sigma(X)) - \omega([\sigma(X), Y]) \\ &= -\omega([\sigma(X), Y]) \\ &= -\omega(\mathcal{L}_{\sigma(X)}Y) \end{aligned}$$

However, we have

$$\mathcal{L}_{\sigma(X)}Y = \lim_{t \rightarrow 0} \frac{Y \circ \phi_t - Y}{t}$$

where  $\phi_t$  is the flow generated by  $\sigma(X)$ , thus it's clear  $\omega(\mathcal{L}_{\sigma(X)}Y) = 0$ .  $\square$

*Remark 3.1.1.* Now let's give another explanation about horizontal: Given a horizontal distribution  $H \subset TP$ , we define the horizontal projection  $h : TP \rightarrow TP$  to be the projection onto the horizontal distribution along the vertical distribution. Since both  $H$  and  $V$  are invariant under the action of  $G$ , so is  $h$ .

Then  $\Omega = h^*\mathrm{d}\omega$ . Indeed, it suffices to show for vector fields  $X, Y$ , one has

$$\mathrm{d}\omega(hX, hY) = \mathrm{d}\omega(X, Y) + \frac{1}{2}\omega \wedge \omega(X, Y)$$

Consider the following cases:

- (1) Let  $X, Y$  be horizontal. In this case there is nothing to prove, since  $\omega(X) = \omega(Y) = 0$  and  $hX = X, hY = Y$ ;
- (2) If one of  $X, Y$  are vertical, then it's clear both sides are zero, since both  $\Omega$  and  $h^*\mathrm{d}\omega$  are horizontal.

That is,  $\Omega(X, Y) = 0$  if and only if  $[hX, hY]$  is horizontal. In other words, the curvature of the connection measures the failure of integrability of the horizontal distribution  $H \subset TP$ .

**3.2. Local expression of curvature.** Let  $\pi : P \rightarrow M$  be a principal  $G$ -bundle with local trivialization  $\{(U_\alpha, \varphi_\alpha)\}$ , we use  $i_\alpha : U_\alpha \rightarrow U_\alpha \times G$  to denote natural inclusion. For connection  $\omega \in \mathcal{A}(P)$ , its curvature is defined as

$$\Omega = \mathrm{d}\omega + \frac{1}{2}\omega \wedge \omega$$

If we define  $\Omega_\alpha = (\varphi_\alpha^{-1})^*\Omega$ , which is a 2-form on  $U_\alpha \times G$ , and

$$F_\alpha := i_\alpha^*\Omega_\alpha \in C^\infty(U_\alpha, \Omega_{U_\alpha}^1(\mathfrak{g}))$$

By definition one has

$$F_\alpha = \mathrm{d}A_\alpha + \frac{1}{2}A_\alpha \wedge A_\alpha$$



Now we're going to show on  $U_{\alpha\beta}$ , one has

$$F_\beta = \text{Ad}(g_{\alpha\beta}^{-1}) \circ F_\alpha$$

where  $g_{\alpha\beta} : U_{\alpha\beta} \rightarrow G$  are transition function. Note that

$$\begin{aligned} F_\alpha &= dA_\alpha + \frac{1}{2}A_\alpha \wedge A_\alpha \\ &= d(\text{Ad}(g_{\alpha\beta}^{-1}) \circ A_\beta + g_{\alpha\beta}^* \theta) + \frac{1}{2}(\text{Ad}(g_{\alpha\beta}^{-1}) \circ A_\beta + g_{\alpha\beta}^* \theta) \wedge (\text{Ad}(g_{\alpha\beta}^{-1}) \circ A_\beta + g_{\alpha\beta}^* \theta) \end{aligned}$$

Since  $\theta$  satisfies Maurer-Cartan equation, one has

$$g_{\alpha\beta}^*(d\theta + \frac{1}{2}\theta \wedge \theta) = 0$$

In order to give a neat computation of  $\text{Ad}$ , we here assume  $G$  is a matrix group<sup>2</sup>. Then

$$\begin{aligned} d(\text{Ad}(g_{\alpha\beta}^{-1}) \circ A_\beta) &= d(g_{\alpha\beta}^{-1} A_\beta g_{\alpha\beta}) \\ &= dg_{\alpha\beta}^{-1} A_\beta g_{\alpha\beta} + g_{\alpha\beta}^{-1} dA_\beta g_{\alpha\beta} + g_{\alpha\beta}^{-1} A_\beta dg_{\alpha\beta} \\ &= dg_{\alpha\beta}^{-1} A_\beta g_{\alpha\beta} + g_{\alpha\beta}^{-1} A_\beta dg_{\alpha\beta} + \text{Ad}(g_{\alpha\beta}^{-1}) \circ dA_\beta \end{aligned}$$

And

$$\begin{aligned} dg_{\alpha\beta}^{-1} A_\beta g_{\alpha\beta} + g_{\alpha\beta}^{-1} A_\beta dg_{\alpha\beta} &= -g_{\alpha\beta}^{-1} dg_{\alpha\beta} \wedge g_{\alpha\beta}^{-1} A_\beta g_{\alpha\beta} + g_{\alpha\beta}^{-1} A_\beta g_{\alpha\beta} g_{\alpha\beta}^{-1} dg_{\alpha\beta} \\ &= -g_{\alpha\beta}^* \theta \wedge \text{Ad}(g_{\alpha\beta}^{-1}) A_\beta + \text{Ad}(g_{\alpha\beta}^{-1}) A_\beta \wedge g_{\alpha\beta}^* \theta \end{aligned}$$

*Remark 3.2.1.* In other words,  $\{F_\alpha\}$  gives a global section of  $\Omega_M^2(\text{Ad } P)$ , which is denoted by  $F_\omega$ .

**3.3. Basic forms.** Recall our curvature form  $\Omega \in C^\infty(P, \Omega_P^2(\mathfrak{g}))$  has the following properties:

- (1)  $\Omega$  is horizontal;
- (2) It's  $\text{Ad}$ -equivariant, that is

$$R_g^* \Omega = \text{Ad}(g^{-1}) \circ \Omega$$

**Definition 3.3.1** (basic form). Let  $\rho : G \rightarrow \text{GL}(V)$  be a representation of  $G$ , a  $k$ -form on  $P$  valued in  $V$  is called basic, if it satisfies

- (1)  $\Omega$  is horizontal;
- (2) It's  $\rho$ -equivariant, that is

$$R_g^* \Omega = \rho(g^{-1}) \circ \Omega$$

The set of all basic  $k$ -forms is denoted by  $C^\infty(P, \Omega_P^k(V))^{\text{basic}}$ .

**Example 3.3.1.** The curvature form is a basic 2-form.

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<sup>2</sup>In fact, most interesting cases we're concern about are matrix group

**Proposition 3.3.1.** Let  $\rho : G \rightarrow \mathrm{GL}(V)$  be a representation of  $G$ , if we use  $E$  to denote associated vector bundle  $P \times_\rho V$ , then there is an one to one correspondence

$$C^\infty(P, \Omega_P^k(V))^{\mathrm{basic}} \xLeftrightarrow{1-1} C^\infty(M, \Omega_M^k(E))$$

*Proof.* Given  $\tilde{\omega} \in C^\infty(P, \Omega_P^k(V))^{\mathrm{basic}}$ , now we're going to construct a  $\omega \in C^\infty(M, \Omega_M^k(E))$  pointwise, that is for arbitrary  $x \in M$  and  $v_1, \dots, v_k \in T_x M$ , we give an assignment:

$$\omega_x(v_1, \dots, v_k) \in E_x$$

Recall that  $E_x$  is an equivalent class  $[p, v]$ , where  $p \in P, v \in V$ . Choose arbitrary  $p \in \pi^{-1}(x) \in P$ , since  $\pi_* : T_p P \rightarrow T_x M$  is surjective, we can choose  $\tilde{v}_i \in T_p P$  such that  $\pi_*(\tilde{v}_i) = v_i, i = 1, \dots, k$ .

Now we define

$$\omega_x(v_1, \dots, v_k) := [(p, \tilde{\omega}_x(\tilde{v}_1, \dots, \tilde{v}_k))]$$

It's well-defined, that is it is independent of the choice of  $p$  and  $\tilde{v}_1, \dots, \tilde{v}_k$ . Indeed, choose  $p' = pg \in \pi^{-1}(x)$  and  $\tilde{v}'_1, \dots, \tilde{v}'_k \in T_{p'} P$  with  $\pi_*(\tilde{v}'_i) = v_i, i = 1, \dots, k$ . Note that for each  $i$ , one has  $(R_g)_* \tilde{v}_i - \tilde{v}'_i$  is vertical, since  $\pi_*((R_g)_* \tilde{v}_i - \tilde{v}'_i) = 0$ . Thus

$$\begin{aligned} \tilde{\omega}_{p'}(\tilde{v}'_1, \dots, \tilde{v}'_k) &\stackrel{(1)}{=} \tilde{\omega}_{p'}((R_g)_* \tilde{v}_1, \dots, (R_g)_* \tilde{v}_k) \\ &= (R_g^* \tilde{\omega})_p(\tilde{v}_1, \dots, \tilde{v}_k) \\ &\stackrel{(2)}{=} \rho(g^{-1}) \circ \omega_p(\tilde{v}_1, \dots, \tilde{v}_k) \end{aligned}$$

where

(1) holds from  $\tilde{\omega}$  is horizontal;

(2) holds from  $\tilde{\omega}$  is  $G$ -equivariant.

This shows  $\omega$  is well-defined, since  $[(p, \tilde{\omega}_x(\tilde{v}_1, \dots, \tilde{v}_k))] = [(p', \rho(g^{-1}) \circ \omega_p(\tilde{v}_1, \dots, \tilde{v}_k))]$  in  $E$ . Conversely, from above construction, there is a formula

$$(3.1) \quad \omega_x(X_1, \dots, X_k) = [(p, \tilde{\omega}_p(\tilde{X}_1, \dots, \tilde{X}_k))]$$

So it's clear how to construct  $\tilde{\omega}$  when you have  $\omega \in C^\infty(M, \Omega_M^k(E))$ .  $\square$

*Remark 3.3.1.* Above proposition is the key tool to study the geometry of vector bundle  $E$  via principal bundle, if  $E$  can be constructed as an associated vector bundle  $P \times_\rho V$ . Furthermore, it gives a explicit proof of motivation we said in Remark 1.3.4. In particular, if we consider the case  $k = 0$ , then we have

$$\{f : P \rightarrow V \mid f(xg) = \rho(g^{-1})f(x)\} \xLeftrightarrow{1-1} C^\infty(M, E)$$

since the former is exactly  $\Omega_P^0(V)^{\mathrm{basic}}$ . This shows Proposition 1.3.1 again.

**3.4. Relations between connections on principal bundle and its associated bundle.** Now we're going to define connection on vector bundle  $E = P \times_\rho V$  using connection  $\omega$  on principal  $G$ -bundle  $P$ . Thanks to Proposition 3.3.1, it suffices to construct

$$d_\omega : C^\infty(P, \Omega_P^0(V))^{\text{basic}} \rightarrow C^\infty(P, \Omega_P^1(V))^{\text{basic}}$$

Note that there is a natural exterior derivative

$$d : C^\infty(P, \Omega_P^0(V)) \rightarrow C^\infty(P, \Omega_P^1(V))$$

However, it may not descend down to basic forms. Here we define

$$\begin{aligned} d_\omega : C^\infty(P, \Omega_P^0(V))^{\text{basic}} &\rightarrow C^\infty(P, \Omega_P^1(V))^{\text{basic}} \\ s &\mapsto ds + \rho_*(\omega)s \end{aligned}$$

where  $\rho_* : \mathfrak{g} \rightarrow \mathfrak{gl}(V)$  is representation of Lie algebra induced by  $\rho$ . Let's show  $d_\omega$  is well-defined.

(1) It's  $G$ -equivariant:

$$\begin{aligned} (R_g)^*(d_\omega s) &= R_g^*(ds + \rho_*(\omega)s) \\ &= dR_g^*s + R_g^*(\rho_*(\omega)s) \\ &= dR_g^*s + \rho_*(R_g^*\omega)R_g^*s \\ &= dR_g^*s + \rho_*(\text{Ad}(g^{-1})\omega)R_g^*s \\ &= dR_g^*s + \text{Ad}(\rho(g^{-1}))(\rho_*\omega)\rho_g^*s \\ &= d(\rho(g^{-1})s) + \text{Ad}(\rho(g^{-1}))(\rho_*\omega)\rho(g^{-1})s \\ &= d(\rho(g^{-1})s) + \rho(g^{-1})(\rho_*(\omega)s) \\ &= \rho(g^{-1})d_\omega s \end{aligned}$$

(2) It's horizontal: For arbitrary vertical  $\sigma(X)$

$$\begin{aligned} d_\omega(s) &= ds(\sigma(X)) + \rho_*(\omega(\sigma(X)))s \\ &= ds(\sigma(X)) + \rho_*(X)s \end{aligned}$$

So it suffices to check

$$\sigma(X)(s) = -\rho_*(X)s$$

Indeed,

$$\left. \frac{d}{dt} \right|_{t=0} s e^{tX} = \left. \frac{d}{dt} \right|_{t=0} \rho(e^{-tX})s$$

More generally, we can define

$$\begin{aligned} d_\omega : C^\infty(P, \Omega_P^k(V))^{\text{basic}} &\rightarrow C^\infty(P, \Omega_P^{k+1}(V))^{\text{basic}} \\ s &\mapsto ds + \rho_*(\omega) \wedge s \end{aligned}$$

And one can check it's well-defined by the same method as above.

*Remark 3.4.1.* The case we're most interested in is  $V = \mathfrak{g}$  and  $\rho$  is adjoint representation. Since in this case  $\rho_*(X)$  acts on  $Y$  is exactly  $[X, Y]$ , where  $X, Y \in \mathfrak{g}$ . In particular,

$$d_\omega(s) = ds + \omega \wedge s$$

where  $s \in C^\infty(P, \Omega_P^k(\mathfrak{g}))^{\text{basic}}$  and above wedge is wedge of forms valued in  $\mathfrak{g}$ .

Now we're going to back to base manifold  $M$  to give description of connection  $\nabla$  on  $E$ . Here are two methods:

- (1) Take  $E = \Omega_M^1(P \times_{\text{Ad}} \mathfrak{g})$  as an example, since we need this example later. If  $U_\alpha$  is a local trivialization and  $\pi_\alpha : U_\alpha \times G \rightarrow U_\alpha$  is the projection to the first factor, section  $s$  of  $E$  on  $U_\alpha$  is a section of  $\Omega_{U_\alpha}^1(\mathfrak{g})$ , denoted by  $s_\alpha$ , then  $\tilde{s}_\alpha := \pi_\alpha^* s_\alpha$  is a basic 1-form valued in  $\mathfrak{g}$ , and  $d_\omega \tilde{s}_\alpha = d\tilde{s}_\alpha + \omega \wedge \tilde{s}_\alpha$ , then by using  $i_\alpha : U_\alpha \rightarrow U_\alpha \times G$  to pullback, one has

$$(3.2) \quad ds_\alpha + A_\alpha \wedge s_\alpha$$

where  $A_\alpha \in C^\infty(U_\alpha, \Omega_{U_\alpha}^1(\mathfrak{g}))$  is given by  $\omega$ .

- (2) Let  $X$  be a vector field on  $M$ ,  $s$  a section of  $E$ , we can give an explicit formula of  $\nabla_X s$  via formula (3.1). For  $x \in M$ , choose  $p \in \pi^{-1}(x)$ ,  $\tilde{X}$  is horizontal such that  $\pi_*(\tilde{X}) = X$  and  $\tilde{s} : P \rightarrow V$  is  $G$ -equivariant map which corresponds to  $s$ , then

$$\begin{aligned} (\nabla_X s)_x &= [(p, \tilde{\nabla} \tilde{s}(\tilde{X}_p))] \\ &= [(p, (d\tilde{s} + \rho_*(\omega)(\tilde{s}))(\tilde{X}_p))] \\ &\stackrel{(1)}{=} [(p, d\tilde{s}(\tilde{X}_p))] \\ &= [(p, \tilde{X}_p(\tilde{s}))] \end{aligned}$$

where (1) holds from  $\tilde{X}$  is horizontal.

From the second method, it's easy to see write

$$([\nabla_X, \nabla_Y]s - \nabla_{[X, Y]}s)_x = [(p, -\rho_*(\omega([\tilde{X}, \tilde{Y}]_p))\tilde{s})]$$

here  $X, Y$  are vector fields on  $M$ . A natural question is what's the relation of curvature of  $\omega$  and  $\nabla$ .

Recall curvature of  $\omega$ , denoted by  $\Omega$ , is basic 2-form, and it can be regarded as a section of  $\Omega_M^2(\text{Ad } P)$ , we use  $\Omega \mapsto \Theta$  to denote this correspondence. Now let's compute  $\Theta$  via formula (3.1): For  $x \in M, v, w \in T_x M$ , choose  $\tilde{v}, \tilde{w}$  such that  $\pi_*(\tilde{v}) = v$  and  $\pi_*(\tilde{w}) = w$ . Without loss of generality, we may assume  $\tilde{v}, \tilde{w}$  are horizontal, then

$$\Theta_x(v, w) = [(p, \Omega_p(\tilde{v}, \tilde{w}))] \in (\text{Ad } P)_x$$

Note that

$$\begin{aligned}\Omega_p(\tilde{v}, \tilde{w}) &= d\omega(\tilde{v}, \tilde{w}) + \frac{1}{2}\omega \wedge \omega(\tilde{v}, \tilde{w}) \\ &\stackrel{(1)}{=} d\omega(\tilde{v}, \tilde{w}) \\ &\stackrel{(2)}{=} -\omega([\tilde{v}, \tilde{w}])\end{aligned}$$

where

(1) holds from  $\tilde{v}, \tilde{w}$  are horizontal;

(2) holds from Cartan's formula.

Note that  $\text{Ad } P$  can act on  $P \times_\rho V$  as follows

$$[(p, X)] \times [(p, v)] \mapsto [(p, \rho_*(X)v)]$$

So  $\Theta_x(v, w)$  can act on  $E_x$ , that is  $\Theta \in C^\infty(M, \Omega_M^2(\text{End } E))$ . Thus we have the following theorem.

**Theorem 3.4.1.** Let  $\pi : P \rightarrow M$  be a principal  $G$ -bundle,  $E = P \times_\rho V$  an associated vector bundle of  $P$ . For vector fields  $X, Y$  over  $M$  and section  $s$  of  $E$ , then

$$[\nabla_X, \nabla_Y]s - \nabla_{[X, Y]}s = \Theta(X, Y)s$$

## 4. FLAT CONNECTION AND HOLONOMY

**4.1. Lifting of curves.** Let  $\pi : P \rightarrow M$  be a principal  $G$ -bundle equipped with connection  $\omega$ , consider smooth curve  $\gamma : [0, 1] \rightarrow M$  and a point  $p \in \pi^{-1}(\gamma(0))$ , we claim there exists a unique smooth map  $\tilde{\gamma} : [0, 1] \rightarrow P$  such that

(1) The following diagram commutes:

$$\begin{array}{ccc} & & P \\ & \nearrow \tilde{\gamma} & \downarrow \pi \\ [0, 1] & \xrightarrow{\gamma} & M \end{array}$$

(2)  $\tilde{\gamma}'(t)$  is horizontal;

(3)  $\tilde{\gamma}(0) = p$ .

*Proof.* For convenience we assume  $G$  is a matrix group, and without loss of generality, we may assume  $P$  is trivial principal  $G$ -bundle  $M \times G$ , since it's a local problem. In this case we write  $\tilde{\gamma} = (\gamma(t), g(t))$ , it's clear  $\pi \circ \tilde{\gamma} = \gamma$ .

For conditions (2) and (3), it's an ODE with initial value in fact: Note that we can write connection  $\omega = \omega_{mc} + \tilde{A}$ , so  $\tilde{\gamma}'(t)$  is horizontal if and only if

$$\begin{aligned} (\omega_{mc} + \tilde{A})(\tilde{\gamma}'(t)) &= (\omega_{mc} + \tilde{A})((\gamma'(t), g'(t))) \\ &= g^{-1}(t)g'(t) + \tilde{A}((\gamma'(t), g'(t))) \\ &= g^{-1}(t)g'(t) + \text{Ad}(g^{-1}(t)) \circ A_{\gamma(t)}(\gamma'(t)) \\ &= g^{-1}(t)g'(t) + g^{-1}(t)A_{\gamma(t)}(\gamma'(t))g(t) \\ &= 0 \end{aligned}$$

This completes the proof.  $\square$

## 4.2. Flat connection.

**Definition 4.2.1** (flat connection). Let  $P$  be a principal  $G$ -bundle, a connection  $\omega \in \mathcal{A}(P)$  is called flat, if its curvature form  $\Omega = 0$ .

**Theorem 4.2.1.** The following are equivalent:

- (1)  $\omega$  is flat;
- (2) For any  $p \in M$ , there exists  $U \subset M$  and local trivialization  $\varphi : \pi^{-1}(U) \rightarrow U \times G$  such that  $\omega|_U = \varphi^*\omega_{mc}$ .

*Proof.* Hallmark of proof is to see curvature vanishes if and only if horizontal distribution is integrable.  $\square$

*Remark 4.2.1.* From this theorem, we can see a flat connection is just a topology information.

**Corollary 4.2.1.** The following are equivalent:

- (1) There is a flat connection on  $P$ ;

- (2) There is a local trivialization  $\varphi_\alpha : P|_{U_\alpha} \rightarrow U_\alpha \times G$  such that transition functions  $\{g_{\alpha\beta} : U_\alpha \cap U_\beta \rightarrow G\}$  are locally constant.

*Proof.* From (2) to (1): Note that a connection on  $P$  is given by the following data:

$$A_\beta = \text{Ad}(g_{\alpha\beta}^{-1})A_\alpha + g_{\alpha\beta}^*\theta$$

If  $g_{\alpha\beta}$  are locally constant, then  $g_{\alpha\beta}^*\omega = 0$ , so just take all  $A_\alpha = 0$  to obtain a flat connection.

From (1) to (2): If  $\omega$  is a flat connection, then we can choose a local trivialization  $\{U_\alpha, \varphi_\alpha\}$  such that  $\omega|_{\pi^{-1}(U_\alpha)}$  are  $\varphi_\alpha^*\omega_{mc}$ . In this local trivialization, we have all  $A_\alpha = 0$ , thus  $g_{\alpha\beta}^*\theta = 0$ , which implies  $g_{\alpha\beta}$  is locally constant.  $\square$

**4.3. Holonomy.** Now we give a smooth closed curve  $\gamma : [0, 1] \rightarrow M$  and  $p \in \pi^{-1}(\gamma(0))$ . Consider its lifting  $\tilde{\gamma} : [0, 1] \rightarrow P$ , note that

$$\tilde{\gamma}(1) \in \pi^{-1}(\gamma(1)) = \pi^{-1}(\gamma(0))$$

So there exists  $g \in G$  such that  $\tilde{\gamma}(1) = \tilde{\gamma}(0)g$ , since  $P_p$  is an orbit of  $G$ . Such element  $g$  is called holonomy, and it's denoted by  $\text{Hol}(\gamma, p)$ , since it only depends on  $\gamma$  and  $p$ .

**Proposition 4.3.1.** For holonomy, the following properties hold.

- (1) If we change base point  $p$  to  $pg$ , then

$$\text{Hol}(\gamma, pg) = g^{-1} \text{Hol}(\gamma, p)g$$

- (2) For two smooth closed curves  $\gamma_1, \gamma_2$ , we have

$$\text{Hol}(\gamma_1\gamma_2, p) = \text{Hol}(\gamma_1, p) \text{Hol}(\gamma_2, p)$$

*Proof.* Clear.  $\square$

From (2) of above proposition,  $\text{Hol}$  can be regarded as a group homomorphism to some extent, so if we want to give a homomorphism

$$\text{Hol} : \pi_1(M) \rightarrow G$$

It suffices to check when  $\text{Hol}(\gamma, p)$  is independent of homotopy class. Consider the following homotopy

$$\gamma_s : (-\varepsilon, \varepsilon) \times [0, 1] \rightarrow M$$

such that  $\gamma_0 = \gamma$ . If we write its lifting on local trivialization as  $\tilde{\gamma}_s(t) = (\gamma_s(t), g_s(t))$ , then the following equation holds

$$\frac{\partial g_s}{\partial t}(t) + A_{\gamma(t)}\left(\frac{\partial \gamma_s}{\partial t}(t)\right)g_s(t) = 0$$

So if  $\omega$  is a flat connection, then it reduces to for arbitrary  $s \in (-\varepsilon, \varepsilon)$ , one has  $\frac{\partial g_s}{\partial t}(t) = 0$ . This shows it's independent of  $s$ .

**Theorem 4.3.1** (Riemann-Hilbert correspondence). There is a one to one correspondence

$$\{\text{flat connections on } P\}/\text{isomorphism} \xLeftrightarrow{1-1} \text{Hom}(\pi_1(M), G)/\text{conjugate}$$



## Part 2. Chern-Weil theory

### 5. CHERN-WEIL THEORY

**5.1.  $G$ -invariant polynomial.** Let  $G$  be a Lie group with Lie algebra  $\mathfrak{g}$ , note that  $G$  can act on  $\mathfrak{g}$  via adjoint representation, then  $G$  can also act on dual space of  $\mathfrak{g}$ , that is  $\mathfrak{g}^*$ , and thus on  $\text{Sym}^k \mathfrak{g}^*$ . To be explicit, for  $p \in \text{Sym}^k \mathfrak{g}^*$  and  $g \in G$ , one has

$$gp(x_1, \dots, x_k) := p(\text{Ad}(g)x_1, \dots, \text{Ad}(g)x_k)$$

**Definition 5.1.1** ( $G$ -invariant polynomial). The set of  $G$ -invariant polynomials of degree  $k$  is

$$I^k(\mathfrak{g}) := \{p \in \text{Sym}^k \mathfrak{g}^* \mid gp = p, \forall g \in G\}$$

and

$$I(\mathfrak{g}) := \bigoplus_{k \geq 0} I^k(\mathfrak{g})$$

**5.2. Chern-Weil homomorphism.** Let  $\pi : P \rightarrow M$  be a principal  $G$ -bundle, and  $\omega$  is a connection on  $P$  with curvature  $\Omega$ .

**Proposition 5.2.1.** For  $p \in I^k(\mathfrak{g})$ ,

$$p(\Omega) := p \circ \underbrace{(\Omega \wedge \cdots \wedge \Omega)}_{k \text{ times}}$$

is a  $2k$ -form defined on  $P$ . Then

- (1)  $p(\Omega)$  is horizontal,  $G$ -invariant, closed;
- (2) There exists a unique  $2k$ -form  $p(\Theta)$  on  $M$  such that  $\pi^*(p(\Theta)) = p(\Omega)$  and  $dp(\Theta) = 0$ ;
- (3)  $[p(\Theta)] \in H^{2k}(M)$  is independent of the choice of connection  $\omega$ .

*Proof.* For (1). It's clear  $p(\Omega)$  is horizontal, since  $\Omega$  is horizontal; To see it's  $G$ -invariant, note that

$$\begin{aligned} R_g^*(p(\Omega)) &= p(R_g^*\Omega) \\ &\stackrel{(a)}{=} p(\text{Ad}(g^{-1}) \circ \Omega) \\ &\stackrel{(b)}{=} p(\Omega) \end{aligned}$$

where

- (a) holds from  $\Omega$  is  $G$ -equivariant;
- (b) holds from  $p$  is  $G$ -invariant.

To see it's closed,

$$dp(\Omega) = p(d\Omega \wedge \Omega \wedge \cdots \wedge \Omega + \Omega \wedge d\Omega \wedge \cdots \wedge \Omega + \dots)$$

Bianchi identity implies

$$d\Omega + \omega \wedge \Omega = 0$$

If we substitute  $d\Omega$  by  $-\omega \wedge \Omega$  in above, then it suffices to show  $dp(\Omega)$  is horizontal. To see this, given a vertical vector field  $X$ , then  $\mathcal{L}_X p(\Omega) = 0$ , since  $p(\Omega)$  is horizontal, then by Cartan formula

$$\begin{aligned} 0 &= \mathcal{L}_X p(\Omega) \\ &= d\iota_X p(\Omega) + \iota_X dp(\Omega) \\ &= \iota_X dp(\Omega) \end{aligned}$$

For (2). Note that  $\text{im } \pi^* = \{\tau \in C^\infty(P, \Omega_P^{2k}) \mid \tau \text{ is horizontal and } G\text{-invariant}\}$  and  $\pi^*$  is injective implies uniqueness. It's closed, since

$$\pi^*(dp(\Theta)) = d\pi^*(p(\Theta)) = dp(\Omega) = 0$$

For (3). Let  $\omega'$  be another connection on  $P$ , consider principal  $G$ -bundle  $P \times \mathbb{R}$  over  $M \times \mathbb{R}$ , and connection  $\tilde{\omega} = (1-t)\omega + t\omega'$  on it, with curvature  $\tilde{\Omega}$ . If we use  $i_0, i_1$  to denote inclusion from  $M$  to  $M \times \{0\}$  and  $M \times \{1\}$  respectively, then it's clear

$$\begin{aligned} p(\Theta) &= i_0^* p(\tilde{\Omega}) \\ p(\Theta') &= i_1^* p(\tilde{\Omega}) \end{aligned}$$

Furthermore, the homotopy invariance of de Rham cohomology implies  $i_0^*, i_1^* : H^{2k}(M \times \mathbb{R}) \rightarrow H^{2k}(M)$  are the same map.  $\square$

**Theorem 5.2.1** (Chern-Weil homomorphism). There is a ring homomorphism

$$\begin{aligned} W(P, -) : I(\mathfrak{g}) &\rightarrow H^*(M) \\ p &\mapsto [p(\Theta)] \end{aligned}$$

*Proof.* It suffices to show

$$p \odot q(\Theta) = p(\Theta) \wedge q(\Theta)$$

Note that  $\pi^*$  is injective, thus it suffices to check

$$p \odot q(\Omega) = p(\Omega) \wedge q(\Omega)$$

and that's clear.  $\square$

**5.3. Transgression.** In this section we will show for a given principal  $G$ -bundle  $P$  and a connection  $\omega$  on it with curvature  $\Omega$ ,  $[p(\Omega)] = 0 \in H^{2k}(P)$ , where  $p \in I^k(\mathfrak{g}), k \geq 1$ .

To see this, let's introduce the functorial Chern-Weil homomorphism. Given the following homomorphism between principal  $G$ -bundles

$$\begin{array}{ccc} P' & \xrightarrow{\tilde{f}} & P \\ \downarrow \pi' & & \downarrow \pi \\ M' & \xrightarrow{f} & M \end{array}$$

where  $P' = f^*P$ .

**Proposition 5.3.1** (functorial). For all  $p \in I(\mathfrak{g})$ , we have

$$W(f^*P, p) = f^*W(P, p)$$

*Proof.* Given a connection  $\omega \in \mathcal{A}(P)$  with curvature  $\Omega$ , and use  $\omega'$  to denote the pullback connection  $\tilde{f}^*\omega \in \mathcal{A}(P')$  with curvature  $\Omega'$ . For any  $p \in I(\mathfrak{g})$ , it's clear

$$p(\Omega') = \tilde{f}^*p(\Omega)$$

Then

$$\begin{aligned} (\pi')^*(p(\Theta')) &= \tilde{f}^*\pi^*p(\Theta) \\ &= (\pi')^*f^*p(\Theta) \end{aligned}$$

which implies  $p(\Theta') = f^*p(\Theta)$ , since  $(\pi')^*$  is injective.  $\square$

**Example 5.3.1.** Let  $P = M \times G$  be trivial bundle, then we can regard it as

$$\begin{array}{ccc} M \times G & \xrightarrow{\tilde{f}} & G \\ \downarrow \pi' & & \downarrow \pi \\ M & \xrightarrow{f} & \{\text{pt}\} \end{array}$$

So for any  $p \in I^k(\mathfrak{g})$ ,  $k \geq 1$ , we have

$$W(P, p) = f^*W(G, p) = 0$$

since  $W(G, p) \in H^{2k}(\{\text{pt}\}) = 0$  if  $k \geq 1$ .

*Remark 5.3.1.* This example shows if  $P$  is a trivial principal  $G$ -bundle, then the Chern-Weil homomorphism  $W(P, -)$  is trivial on  $I(\mathfrak{g})$ .

Now let's consider the following case

$$\begin{array}{ccc} f^*P & \xrightarrow{\tilde{f}} & P \\ \downarrow \pi' & & \downarrow \pi \\ P & \xrightarrow{f} & M \end{array}$$

where  $f = \pi$ . In fact we can write  $f^*P$  down as

$$\begin{aligned} f^*P &= \{(x', x) \in P \times P \mid f(x') = \pi(x)\} \\ &= \{(x', x) \in P \times P \mid \pi(x') = \pi(x)\} \end{aligned}$$

It's clear it has global section, given by

$$\begin{aligned} s: P &\rightarrow f^*P \\ x &\mapsto (x, x) \end{aligned}$$

so  $f^*P$  is trivial principal bundle. Thus for any  $p \in I^k(\mathfrak{g})$ ,  $k \geq 1$ , we have

$$W(f^*P, p) = 0 \in H^{2k}(P)$$

However, functorial implies

$$\begin{aligned}
 W(f^*P, p) &= f^*W(P, p) \\
 &= f^*[p(\Theta)] \\
 &= \pi^*[p(\Theta)] \\
 &= p(\Omega)
 \end{aligned}$$

This shows  $[p(\Omega)] = 0$  in  $H^{2k}(P)$ .

## 6. CHARACTERISTIC CLASS

## 6.1. Chern class.

**Proposition 6.1.1.** Let  $G = \mathrm{U}(n)$  with Lie algebra  $\mathfrak{g} = \mathfrak{u}(n)$ . For any  $X \in \mathfrak{g}$ , consider

$$\det(I - \frac{t}{2\pi i} X) = \sum_{k=0}^n c_k(X) t^k$$

Then

- (1) For each  $1 \leq k \leq n$ ,  $c_k \in I(\mathfrak{g})$ ;
- (2)  $I(\mathfrak{g})$  is generated by  $c_1, \dots, c_n$

*Proof.* For (1). For arbitrary  $g \in G$ , note that

$$\begin{aligned} \det(I - \frac{t}{2\pi i} \mathrm{Ad}(g)X) &= \det(I - \frac{t}{2\pi i} gXg^{-1}) \\ &= \det(g^{-1}g - \frac{t}{2\pi i} gXg^{-1}) \\ &= \det(I - \frac{t}{2\pi i} X) \end{aligned}$$

For (2). Note that any  $X \in \mathfrak{g}$  is diagonalizable, so without lose of generality we may assume  $X = \mathrm{diag}\{\lambda_1, \dots, \lambda_n\}$ . Then  $I(\mathfrak{g})$  consists of symmetric polynomial of  $\lambda_1, \dots, \lambda_n$ . Then the proof follows since any symmetric function can be expressed in terms of elementary symmetric functions and

$$\begin{aligned} c_1 &= -\frac{1}{2\pi} \lambda_1 + \dots + \lambda_n \\ &\vdots \\ c_n &= (\frac{1}{2\pi})^n \lambda_1 \dots \lambda_n \end{aligned}$$

□

Let  $E$  be a complex vector bundle of rank  $n$  over  $M$  equipped with a hermitian metric, then consider its frame bundle we obtain a  $\mathrm{U}(n)$ -principal bundle  $\pi : P \rightarrow M$ , then choose an arbitrary connection  $\omega$  on it with curvature  $\Omega$ , then by Chern-Weil theory there exists a unique  $2k$ -form  $c_k(\Theta)$  on  $M$  such that  $\pi^*(c_k(\Theta)) = c_k(\Omega)$ .

**Definition 6.1.1** (chern class). The  $k$ -th Chern class of  $E$  is defined as

$$c_k := [c_k(\Theta)] \in H^{2k}(M, \mathbb{C})$$

**Definition 6.1.2** (chern polynomial). The Chern polynomial is defined as

$$c(t) = \det(I - \frac{t}{2\pi i} \Theta) = \sum_{k=0}^n c_k t^k$$

**Proposition 6.1.2.**

$$c_k \in H^{2k}(M, \mathbb{R})$$

*Proof.* Note that  $\mathfrak{u}(n)$  consists of skew-symmetric matrices, then for arbitrary  $X \in \mathfrak{u}(n)$ , one has

$$\begin{aligned} \det\left(I - \frac{t}{2\pi i} X\right) &= \det\left(I + \frac{t}{2\pi i} \overline{X}^t\right) \\ &= \overline{\det\left(I - \frac{t}{2\pi i} X\right)} \\ &= \sum_{k=0}^n \bar{c}_k t^k \end{aligned}$$

which implies  $c_k = \bar{c}_k$ .  $\square$

**Proposition 6.1.3.** Let  $E, F$  are two complex vector bundles, then

$$c(E \oplus F) = c(E)c(F)$$

*Proof.* If  $\nabla_E, \nabla_F$  are connections on  $E, F$  respectively, then  $\nabla_E \oplus \nabla_F$  gives a connection on  $E \oplus F$ , with curvature  $\begin{pmatrix} \Theta_E & 0 \\ 0 & \Theta_F \end{pmatrix}$ , and thus

$$c(E \oplus F) = \det \begin{pmatrix} I - \frac{1}{2\pi i} \Theta_E & 0 \\ 0 & I - \frac{1}{2\pi i} \Theta_F \end{pmatrix} = c(E)c(F)$$

$\square$

**6.2. Pontrjagin class.** Now let  $E$  be a (real) vector bundle of rank  $n$  over  $M$  equipped with a Riemannian metric, then its frame bundle is a  $O(n)$ -principal bundle  $P$ . For any  $X \in \mathfrak{o}(n)$ , consider

$$\det\left(I - \frac{t}{2\pi} X\right) = \sum_{k=0}^n q_k(X) t^k$$

By the same argument as above one can show  $q_k \in I(\mathfrak{g})$ , thus we pick arbitrary connection  $\omega$  of  $P$  with curvature  $\Omega$ , then it gives rise to a closed  $2k$ -form  $q_k(\Theta)$  on  $M$  for each  $k$ . Note that  $X + X^t = 0$ , then

$$\det\left(I + \frac{t}{2\pi} X\right) = \det\left(I - \frac{-t}{2\pi} X\right)$$

which implies

$$q_k(X) = q_k(-X) = (-1)^k q_k(X)$$

Thus we can conclude  $q_k = 0$  for odd  $k$ .

**Definition 6.2.1** (Pontrjagin class).  $[p_k(\Theta)] := [q_{2k}(\Theta)] \in H^{4k}(M, \mathbb{R})$  is called  $k$ -th Pontrjagin class of  $E$ .

**Proposition 6.2.1.** Let  $E$  be a vector bundle with its complexification  $E^c = E \otimes \mathbb{C}$ , which is a complex vector bundle, then

$$p_k(E) = (-1)^k c_{2k}(E^c)$$

*Proof.*  $\square$

If we consider oriented vector bundle  $E$ , then its frame bundle is a  $SO(n)$ -principal bundle. Then

**Lemma 6.2.1.** Let  $E$  be a oriented vector bundle of rank  $n$ , then

- (1) If  $n = 2m + 1$ , then  $I(\mathfrak{so}(n))$  is generated by  $q_2, \dots, q_{2m}$ ;
- (2) If  $n = 2m$ , then  $I(\mathfrak{so}(n))$  is generated by  $q_2, \dots, q_{2m}, e$ , where

$$e(\text{diag}\left\{\begin{pmatrix} 0 & \lambda_1 \\ -\lambda_1 & 0 \end{pmatrix}, \dots, \begin{pmatrix} 0 & \lambda_m \\ -\lambda_m & 0 \end{pmatrix}\right\}) = \lambda_1 \dots \lambda_m$$

**Definition 6.2.2** (Euler class). Let  $E$  be an oriented vector bundle of rank  $2m$ , then  $[\frac{1}{(2\pi)^m}e(\Theta)] \in H^{2m}(M, \mathbb{R})$  is called the Euler class of  $E$ , denoted by  $e(E)$ .

*Remark 6.2.1.* For an oriented  $2m$ -dimensional manifold  $M$ ,  $e(TM)$  is the Euler number of  $M$ . See [JM74].

## 7. THE CLASSIFYING SPACE

In last section, we have defined characteristic classes via a geometrical method, that is we use connections. However, they're topological invariants. In this section, we will give another explanation about characteristic class, and explain why it computes the right thing.

**7.1. The universal  $G$ -bundle.** In this section, we work on category of topological spaces (In particular, CW-complexes) instead of smooth manifolds.

**Definition 7.1.1** (weakly homotopy). Let  $X, Y$  be topological spaces,  $X$  is weakly homotopy to  $Y$ , if there exists a continuous map  $f: X \rightarrow Y$  such that  $f$  induces isomorphisms between homotopy groups of  $X$  and  $Y$ .

**Definition 7.1.2** (weakly contractible). A topological space  $X$  is called weakly contractible, if it's weakly homotopy to a point.

*Remark 7.1.1.* A contractible space is weakly contractible, and by Whitehead's theorem, a CW-complex is weakly contractible if and only if it's contractible.

**Definition 7.1.3** (classifying space). For a principal  $G$ -bundle  $EG \rightarrow BG$ , where  $EG, BG$  are topological spaces. If  $EG$  is weakly contractible, then

- (1)  $BG$  is called a classifying space for  $G$ ;
- (2)  $EG$  is called a universal  $G$ -bundle.

*Remark 7.1.2.* Note that in definition the classifying space for  $G$  is just a topological space, in fact, we can choose it as a CW-complex. Indeed, since for any topological space, there exists a CW-complex which is weakly homotopic to it. Then for a classifying space  $BG$ , there exists a CW-complex  $BG'$  and a weakly homotopy  $g: BG' \rightarrow BG$ , then  $g^*EG \rightarrow BG'$  is also a universal  $G$ -bundle.

**Theorem 7.1.1.** Let  $EG \rightarrow BG$  be a universal  $G$ -bundle, then for all CW-complexes  $X$ , then the following map is bijective.

$$\begin{aligned} \phi: [X, BG] &\rightarrow \mathcal{P}_G X \\ f &\mapsto f^*P \end{aligned}$$

where  $[X, BG]$  denotes the set of all continuous maps up to homotopy.

*Proof.* See [Mit01]. □

*Remark 7.1.3.* This theorem implies why  $BG$  is called classifying space, since it can be used to classify principal  $G$ -bundles over a given CW-complex.

However, until now we still don't know whether classifying space exists or not. The following theorem is due to [Mil56].

**Theorem 7.1.2.** Let  $G$  be any topological group, then there exists a classifying space for  $G$ .



Now let's see some examples of classifying space for special Lie group  $G$ .

**Proposition 7.1.1.** Let  $G$  be a discrete group, then  $PK(G, 1) \rightarrow K(G, 1)$  is a universal  $G$ -bundle, and hence  $K(G, 1)$  is a classifying space for  $G$ .

*Proof.* It's clear path space  $PK(G, 1)$  is contractible.  $\square$

*Remark 7.1.4.* In [Liu22] we have already computed  $K(G, 1)$  for groups, for example,  $K(\mathbb{Z}, 1) = \mathbb{S}^1$ ,  $K(\mathbb{Z}_2, 1) = \mathbb{RP}^\infty$  and so on.

**Proposition 7.1.2.**  $V_n(\mathbb{R}^\infty) \rightarrow Gr_n(\mathbb{R}^\infty)$  is a universal  $GL(n, \mathbb{R})$ -bundle, and hence  $Gr_n(\mathbb{R}^\infty)$  is a classifying space for  $GL(n, \mathbb{R})$ .

*Proof.* It suffices to show  $V_n(\mathbb{R}^\infty)$  is contractible. Since we have already computed low dimensional homotopy groups of  $V_n(\mathbb{R}^N)$  in [Liu22], and then telescope construction completes the proof.  $\square$

**Corollary 7.1.1.** For all CW-complexes  $X$ ,  $[X, Gr_n(\mathbb{R}^\infty)] \rightarrow \text{Vect}_n^{\mathbb{R}} X$ .

*Proof.* See Remark 1.3.2.  $\square$

*Remark 7.1.5.* The analogous result with  $\mathbb{R}$  replaced by  $\mathbb{C}$  also holds.

**7.2. Homotopical properties of classifying spaces.** In this section we collect some Homotopical properties of classifying spaces.

**Theorem 7.2.1.** Let  $G$  be any topological group, then  $G$  is weakly equivalent to the loop space  $\Omega BG$ .

**Corollary 7.2.1.** For  $n \geq 1$ ,  $\pi_n(BG) = \pi_{n-1}(G)$ .

**Theorem 7.2.2.** Let  $G$  be a topological space and  $H$  a subgroup, then the homotopy fiber of  $BH \rightarrow BG$  is  $G/H$ , up to weakly equivalent.

**Theorem 7.2.3.** Let  $G$  be a topological space and  $H$  a subgroup, then there is a fibration  $BH \rightarrow BG \rightarrow B(G/H)$ .

**Example 7.2.1.** The exact sequences  $1 \rightarrow SO(n) \rightarrow O(n) \rightarrow \mathbb{Z}_2 \rightarrow 1$  and  $1 \rightarrow SU(n) \rightarrow U(n) \rightarrow S^1 \rightarrow 1$  give rise to fibration

$$BSO(n) \rightarrow BO(n) \rightarrow \mathbb{RP}^\infty$$

and

$$BSU(n) \rightarrow BU(n) \rightarrow \mathbb{CP}^\infty$$

**7.3. Another viewpoint to characteristic class.**

**Proposition 7.1.** The cohomology ring of  $BU(n)$  with integer coefficients is  $\mathbb{Z}[c_1, \dots, c_n]$ .

*Proof.* If we consider  $U(n-1)$  as a subgroup of  $U(n)$ , then we have the following filtration

$$\begin{array}{ccc} S^{2n-1} \cong U(n)/U(n-1) & \longrightarrow & BU(n) \\ & & \downarrow \\ & & BU(n-1) \end{array}$$

Apply Leray spectral sequence this this fibration and use the fact that the cohomology ring of  $\mathbb{CP}^\infty$  is  $\mathbb{Z}[c_1]$  to conclude.  $\square$

**Definition 7.1** (universal Chern class). The generators  $c_1, \dots, c_n$  of  $H^*(BU(n), \mathbb{Z})$  are called the universal Chern classes of  $U(n)$ -bundles.

**Definition 7.2** (Chern class). The  $k$ -th Chern class of the  $U(n)$ -bundle  $\pi : E \rightarrow M$  with classifying map  $f_\pi : M \rightarrow BU(n)$  is defined as

$$c_k(E) := f_\pi^*(c_k) \in H^{2k}(M, \mathbb{Z})$$

**Proposition 7.2.** The cohomology ring of  $BO(n)$  with  $\mathbb{Z}_2$  coefficients is  $\mathbb{Z}_2[w_1, \dots, w_n]$ .

*Proof.* The same as above, just note that cohomology ring of  $\mathbb{RP}^\infty$  with  $\mathbb{Z}_2$  coefficient is  $\mathbb{Z}_2[w_1]$ .  $\square$

**Definition 7.3** (universal Steifel-Whitney class). The generators  $w_1, \dots, w_n$  of  $H^*(BO(n), \mathbb{Z}_2)$  are called the universal Steifel-Whitney classes of  $O(n)$ -bundles.

**Definition 7.4** (Steifel-Whitney class). The  $k$ -th Steifel-Whitney class of the  $O(n)$ -bundle  $\pi : E \rightarrow M$  with classifying map  $f_\pi : M \rightarrow BO(n)$  is defined as

$$w_k(E) := f_\pi^*(w_k) \in H^{2k}(M, \mathbb{Z}_2)$$

### Part 3. The Yang-Mills equations on Riemannian manifold

#### 8. THE YANG-MILLS EQUATIONS

In this section we assume  $G$  is a compact Lie group, since we desire Killing form of  $G$  is non-degenerate, and  $(M, g)$  is an oriented compact Riemannian manifold, since we need to consider integration.

**8.1. The Yang-Mills functional.** Let  $P$  be a principal  $G$ -bundle,  $V$  is a vector space and  $\rho : G \rightarrow \text{GL}(V)$  is a representation of  $G$ . If we want to construct an inner product on  $\Omega_M^k(P \times_\rho V)$ , firstly on each local trivialization  $U_\alpha$ , view such forms as forms with values in  $V$ , so all we need is an inner product on  $V$ , since we already have a Riemannian metric  $g$  on  $M$ , which induces an inner product on forms.

But if we desire such inner product  $\langle -, - \rangle$  can be glued well on overlaps, we need to require that it is  $G$ -invariant, that is, for all  $g \in G, v, w \in V$ ,

$$\langle \rho(g)w, \rho(g)v \rangle = \langle v, w \rangle$$

since if  $\omega \in C^\infty(M, \Omega_M^k(P \times_\rho V))$  is represented locally by  $\omega_\alpha \in C^\infty(U_\alpha, \Omega_{U_\alpha}^k(V))$ , then on a non-empty overlap  $U_{\alpha\beta}$ , we have  $\omega_\alpha = \rho(g_{\alpha\beta})\omega_\beta$ .

The case we're most interested in is  $V = \mathfrak{g}$ , since curvature of a connection is a section of  $\Omega_M^2(\text{Ad } \mathfrak{g})$ . So what we need is an inner product on Lie algebra  $\mathfrak{g}$  which is invariant under the adjoint action. Since  $G$  is compact, its Killing form is a non-degenerate inner product, that's what we're looking for!

Thus we have an pointwise inner product on the bundle  $\Omega_M^k(\text{Ad } \mathfrak{g})$ , and denote it by  $\langle -, - \rangle$ , and define a global inner product on  $\Omega_M^k(\text{Ad } \mathfrak{g})$  as

$$(\alpha, \beta) := \int_M \langle \alpha, \beta \rangle \text{vol}$$

where  $\alpha, \beta \in C^\infty(M, \Omega_M^k(\text{Ad } \mathfrak{g}))$ .

**Definition 8.1.1** (Hodge star operator). There exists an operator

$$* : C^\infty(M, \Omega_M^k(\text{Ad } \mathfrak{g})) \rightarrow C^\infty(M, \Omega_M^{n-k}(\text{Ad } \mathfrak{g}))$$

For  $\beta \in C^\infty(M, \Omega_M^k(\text{Ad } \mathfrak{g}))$ ,  $*\beta$  is given by

$$\alpha \wedge *\beta = \langle \alpha, \beta \rangle \text{vol}, \quad \forall \alpha \in C^\infty(M, \Omega_M^k(\text{Ad } \mathfrak{g}))$$

With some of the preliminary results established, we arrive at the Yang-Mills functional.

**Definition 8.1.2** (Yang-Mills functional). The Yang-Mills functional is the map  $YM : \mathcal{A}(P) \rightarrow \mathbb{R}$  given by

$$YM(\omega) := \|F_\omega\|^2 = \int_M \langle F_\omega, F_\omega \rangle \text{vol}$$

where  $F_\omega$  is curvature of connection  $\omega$ , which is a section of  $\Omega_M^2(\text{Ad } \mathfrak{g})$ .

*Remark 8.1.1.* By using Hodge star operator, we may rewrite Yang-Mills functional as follows

$$YM(\omega) = \int_M F_\omega \wedge *F_\omega$$

The advantages of writing Yang-Mills functional in this way is that we can use some properties of Hodge operator to simplify our computations

**Proposition 8.1.1.** Yang-Mills functional  $YM$  is gauge invariant, that is for any gauge transformation  $\Phi \in \mathcal{G}(P)$ , one has  $YM(\Phi^*\omega) = YM(\omega)$  holds for connection  $\omega$ .

*Proof.* On each local trivialization  $U_\alpha$ , the curvature of  $\Phi^*\omega$  is given by  $\text{Ad}(\phi^{-1}) \circ F_\alpha$ , where  $\phi$  is given by  $\Phi|_{U_\alpha}(x, g) = (x, \phi(x)g)$ , thus Yang-Mills functional is gauge invariant follows from inner product  $\langle -, - \rangle$  is adjoint invariant.  $\square$

**Definition 8.1.3** (Yang-Mills connection). A Yang-Mills connection is a connection  $A \in \mathcal{A}(P)$  which is a local extremum of Yang-Mills functional.

**Notation 8.1.1.**  $\mathcal{A}_{YM}(P)$ , or briefly  $\mathcal{A}_{YM}$  denotes the set of all Yang-Mills connections.

**8.2. The variational problem.** Let's see how to use a second-order partial differential equation to characterize Yang-Mills connection. Recall that  $\mathcal{A}(P)$  is an affine space modelled on  $\Omega_M^1(\text{Ad } \mathfrak{g})$ . This means the tangent space to  $\mathcal{A}(P)$  at any point is isomorphic to  $\Omega_M^1(\text{Ad } \mathfrak{g})$ .

Given  $\omega \in \mathcal{A}(P)$  and  $\tau \in C^\infty(M, \Omega_M^1(\text{Ad } \mathfrak{g}))$ . The directional derivative of Yang-Mills functional at  $\omega$  in the direction  $\tau$  is given by

$$\left. \frac{d}{dt} \right|_{t=0} YM(\omega + t\tau)$$

And Yang-Mills condition states that this vanishes for all  $\tau$ . In order to see what this means, firstly we need the following lemma.

**Lemma 8.2.1.** Given  $\omega \in \mathcal{A}(P)$  and  $\tau \in C^\infty(M, \Omega_M^1(\text{Ad } \mathfrak{g}))$ , then

$$F_{\omega+\tau} = F_\omega + d_\omega \tau + \frac{1}{2} \tau \wedge \tau$$

where  $d_\omega$  is connection induced by  $\omega$  on  $\Omega_M^1(\text{Ad } \mathfrak{g})$ .

*Proof.* On local trivialization  $U_\alpha$  one has

$$\begin{aligned} (F_{\omega+\tau})_\alpha &= d(A_\alpha + \tau_\alpha) + \frac{1}{2}(A_\alpha + \tau_\alpha) \wedge (A_\alpha + \tau_\alpha) \\ &= (F_\omega)_\alpha + d\tau_\alpha + \frac{1}{2}(A_\alpha \wedge \tau_\alpha + \tau_\alpha \wedge A_\alpha) + \frac{1}{2}\tau_\alpha \wedge \tau_\alpha \\ &\stackrel{(1)}{=} (F_\omega)_\alpha + d\tau_\alpha + A_\alpha \wedge \tau_\alpha + \frac{1}{2}\tau_\alpha \wedge \tau_\alpha \\ &\stackrel{(2)}{=} (F_\omega)_\alpha + d_\omega \tau_\alpha + \frac{1}{2}\tau_\alpha \wedge \tau_\alpha \end{aligned}$$

where

- (1) holds from both  $A_\alpha, \tau_\alpha$  are 1-form valued in  $\mathfrak{g}$ ;
- (2) holds from (3.2).

□

**Proposition 8.2.1** (first variation formula). Let  $\omega$  be a Yang-Mills connection, then we have

$$d_\omega^* F_\omega = 0$$

*Proof.* Direct computation shows

$$\begin{aligned} YM(\omega + t\tau) &= \int_M \langle F_{\omega+t\tau}, F_{\omega+t\tau} \rangle \text{vol} \\ &= \int_M \langle F_\omega + \frac{t^2}{2}(\tau \wedge \tau) + td_\omega\tau, F_\omega + \frac{t^2}{2}(\tau \wedge \tau) + td_\omega\tau \rangle \text{vol} \end{aligned}$$

The coefficient of linear term is

$$\int_M \langle F_\omega, d_\omega\tau \rangle + \langle d_\omega\tau, F_\omega \rangle \text{vol} = 2 \int_M \langle d_\omega\tau, F_\omega \rangle \text{vol}$$

Let  $d_\omega^* = (-1)^{2n+1} * d_\omega *$  denote the formal adjoint to  $d_\omega$ . Then we have

$$\int_M \langle d_\omega\tau, F_\omega \rangle \text{vol} = \int_M \langle \tau, d_\omega^* F_\omega \rangle \text{vol}$$

this shows

$$d_\omega^* F_\omega = 0$$

□

**Definition 8.2.1** (Yang-Mills equations). A connection  $\omega \in \mathcal{A}(P)$  is called satisfying Yang-Mills equations, if

$$\begin{cases} d_\omega F_\omega = 0 \\ d_\omega^* F_\omega = 0 \end{cases}$$

*Remark 8.2.1.* The first equation is also called Bianchi identity.

**Example 8.2.1.** In the case that  $G = U(1)$ , we have that the curvature of a connection  $A$  can be identified as a section of  $\Omega_M^2$ . Indeed, the curvature form takes value in the bundle  $\text{Ad } \mathfrak{g}$ , but here  $G = U(1)$  is abelian, thus the adjoint action on  $\mathfrak{u}(1)$  is trivial, so

$$\text{Ad } \mathfrak{g} = M \times \mathfrak{u}(1) = M \times \mathbb{R}$$

is trivial bundle. Furthermore,  $\omega$  is a Yang-Mills connection if and only if  $F_\omega$  is a harmonic 2-form, that is  $\Delta F_\omega = 0$ , where  $\Delta = dd^* + d^*d$ . Indeed, thanks to  $U(1)$  is abelian again,  $d_\omega$  can be reduced to  $d$ , since for arbitrary form  $\beta$ , we have  $\omega \wedge \beta = 0$ . This follows from in the definition of wedge product of forms valued in Lie algebra we used Lie bracket, and abelian Lie algebra has trivial Lie bracket. Note that  $F_\omega$  is harmonic if and only if

$$\begin{cases} d^* F_\omega = 0 \\ d F_\omega = 0 \end{cases}$$

It's a standard result in differential geometry, which can be seen from

$$\begin{aligned}
 0 &= \int_M \langle \Delta F_\omega, F_\omega \rangle \text{vol} \\
 &= \int_M \langle d d^* F_\omega, F_\omega \rangle + \langle d^* d F_\omega, F_\omega \rangle \text{vol} \\
 &= \int_M \|d^* F_\omega\|^2 + \|d F_\omega\|^2 \text{vol}
 \end{aligned}$$

Note that the Yang-Mills functional is gauge invariant, so if a connection  $\omega$  solves the Yang-Mills equations, so does any gauge transformed  $\Phi^*\omega$ . In other words, the gauge group acts on  $\mathcal{A}_{YM}$ . The quotient  $\mathcal{A}_{YM}/\mathcal{G}$  is the space of classical solutions. In general it is infinite dimensional, and the topology of this space may be quite bad. For example it may be neither Hausdorff or a smooth manifold. But adding some restrictions, we do have a good correspondence, and that's main theorem for next lecture.

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