

A quick review of topology

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In this talk we give a quick review of topology which we will use frequently, and the main topics are listed as follows:

- Homotopy and fundamental group.
- Covering spaces.
- Continuous group action.

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Definition (homotopy)

Let X and Y be topological spaces and $f, g: X \rightarrow Y$ be continuous maps. A homotopy from f to g is a continuous map $F: X \times I \rightarrow Y$ such that for all $x \in X$, one has

$$F(x, 0) = f(x)$$

$$F(x, 1) = g(x)$$

If there exists a homotopy from f to g , then we say f and g are homotopic, and write $f \simeq g$.

Definition (stationary homotopy)

Let X and Y be topological spaces and $A \subseteq X$ an arbitrary subset. A homotopy F between continuous maps $f, g: X \rightarrow Y$ is said to be stationary on A if

$$F(x, t) = f(x)$$

for all $x \in A$ and $t \in I$. If there exists such a homotopy, then we say f and g are homotopic relative to A .

Remark.

If f and g are homotopic relative to A , then f must agree with g on A .

Definition (path homotopy)

Let X be a topological space and γ_1, γ_2 be two paths in X . They are said to be path homotopic if they are homotopic relative on $\{0, 1\}$, and write $\gamma_1 \simeq \gamma_2$.

Definition (loop homotopy)

Let X be a topological space and γ_1, γ_2 be two loops in X . They're called loop homotopic if they are homotopic relative on $\{0\}$, and write $\gamma_1 \simeq \gamma_2$.

Remark.

For convenience, if γ_1, γ_2 are paths (loops), then when we say γ_1 is homotopic to γ_2 , we mean γ_1 is path (loop) homotopic to γ_2 .

Definition (free loop homotopy)

Let X be a topological space and γ_1, γ_2 be two loops in X . They are said to be freely loop homotopic if they're homotopic through loops (but not necessarily preserving the base point), that is, there exists a homotopy $F(x, t): [0, 1] \times [0, 1] \rightarrow X$ such that

$$F(0, t) = \gamma_1(t)$$

$$F(1, t) = \gamma_2(t)$$

$$F(s, 0) = F(s, 1) \text{ holds for all } s \in [0, 1]$$

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Lemma

Let X be a topological space. For any $p, q \in X$, path homotopy is an equivalence relation on the set of all paths in X from p to q . For any path γ in X , the path homotopy class is denoted by $[\gamma]$.

Proof.

For path $\gamma: I \rightarrow X$, γ is homotopic to itself by $F(s, t) = \gamma(s)$. If γ_1 is homotopic to γ_2 by F , then γ_2 is homotopic to γ_1 by $G(s, t) = F(s, 1 - t)$. Finally, suppose γ_1 is homotopic to γ_2 by F , γ_2 is homotopic to γ_3 by G . Then consider

$$H = \begin{cases} F(s, 2t) & 0 \leq t \leq \frac{1}{2} \\ G(s, 2t - 1) & \frac{1}{2} \leq t \leq 1 \end{cases}$$

which is a homotopy from γ_1 to γ_3 . This shows path homotopy is an equivalence relation.



Definition (reparametrization)

A reparametrization of a path $f: I \rightarrow X$ is of the form $f \circ \varphi$ for some continuous map $\varphi: I \rightarrow I$ fixing 0 and 1.

Lemma

Any reparametrization of a path f is homotopic to f .

Proof.

Suppose $f \circ \varphi$ is a reparametrization of f , and let $F: I \times I \rightarrow I$ denote the straight-line homotopy from the identity map to φ . Then $f \circ H$ is a path homotopy from f to $f \circ \varphi$. □

Definition (product of path)

Let X be a topological space and f, g be paths. f and g are composable if $f(1) = g(0)$. If f and g are composable, their product $f \cdot g: I \rightarrow X$ is defined by

$$f \cdot g(s) = \begin{cases} f(2s) & 0 \leq s \leq \frac{1}{2} \\ g(2s - 1) & \frac{1}{2} \leq s \leq 1 \end{cases}$$

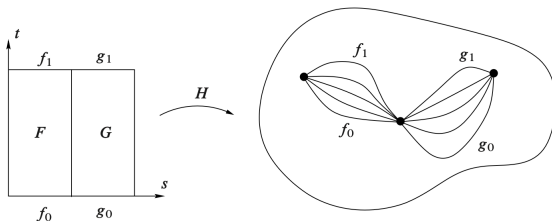
Lemma

Let X be a topological space and $p \in X$ and f_0, f_1, g_0, g_1 be loops in X based at p . If $f_0 \simeq g_0$, $f_1 \simeq g_1$, then $f_0 \cdot g_0 \simeq f_1 \cdot g_1$.

Proof.

Suppose the homotopy from f_0 to f_1 is given by F and the homotopy from g_0 to g_1 is given by G . Then the required homotopy H from $f_0 \cdot g_0$ to $f_1 \cdot g_1$ is given by

$$H(s, t) = \begin{cases} F(2s, t) & 0 \leq s \leq \frac{1}{2}, 0 \leq t \leq 1 \\ G(2s - 1, t) & \frac{1}{2} \leq s \leq 1, 0 \leq t \leq 1 \end{cases}$$



Theorem

Let X be a topological space and $[f], [g], [h]$ be homotopy classes of loops based at $p \in X$.

- ① $[c_p] \cdot [f] = [f] \cdot [c_p] = [f]$, where c_p is constant loop based at p .
- ② $[f] \cdot [\bar{f}] = [c_p]$ and $[\bar{f}] \cdot [f] = [c_p]$, where \bar{f} is the loop based at p obtained from reversing f .
- ③ $[f] \cdot ([g] \cdot [h]) = ([f] \cdot [g]) \cdot [h]$.

Proof.

For (1). Let us show that $c_p \cdot f \simeq f$, and the other case is similar. Define $H: I \times I \rightarrow X$ by

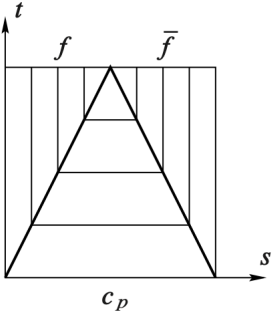
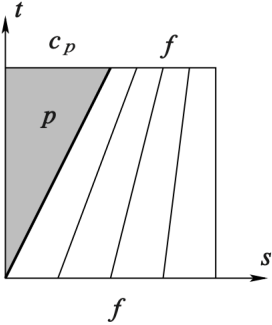
$$H(s, t) = \begin{cases} p & t \geq 2s \\ f(\frac{2s-t}{2-t}) & t \leq 2s \end{cases}$$

Continuation.

For (2). It suffices to show that $f \cdot \bar{f} \simeq c_p$, since the reverse path of \bar{f} is f , the other relation follows by interchanging the roles of f and \bar{f} . Define

$$H(s, t) = \begin{cases} f(2s) & 0 \leq s \leq \frac{t}{2} \\ f(t) & \frac{t}{2} \leq s \leq 1 - \frac{t}{2} \\ f(2 - 2s) & 1 - \frac{t}{2} \leq s \leq 1 \end{cases}$$

It is easy to check that H is a homotopy from c_p to $f \cdot \bar{f}$.
 For (3). It suffices to show $(f \cdot g) \cdot h \simeq f \cdot (g \cdot h)$. The first path follows f and then g at quadruple speed for $s \in [0, \frac{1}{2}]$, and then follows h at double speed for $s \in [\frac{1}{2}, 1]$, while the second follows f at double speed and then g and h at quadruple speed. The two paths are therefore reparametrizations of each other and thus homotopic by Lemma 8.



Definition (fundamental group)

Let X be a topological space. The fundamental group of X based at p , denoted by $\pi_1(X, p)$, is the set of path homotopy classes of loops based at p equipped with composition as its group structure.

Theorem (base point change)

Let X be a topological space, $p, q \in X$ and g is any path from p to q . The map

$$\begin{aligned}\Phi_g: \pi_1(X, p) &\rightarrow \pi_1(X, q) \\ [f] &\mapsto [\bar{g}] \cdot [f] \cdot [g]\end{aligned}$$

is a group isomorphism with inverse $\Phi_{\bar{g}}$.

Proof.

It suffices to show Θ_g is a group homomorphism, since it's clear $\Phi_g \circ \Phi_{\bar{g}} = \Phi_{\bar{g}} \circ \Phi_g = \text{id}$. For $[\gamma_1], [\gamma_2] \in \pi_1(X, p)$, one has

$$\begin{aligned}\Phi_g[\gamma_1] \cdot \Phi[\gamma_2] &= [\bar{g}] \cdot [\gamma_1] \cdot [g] \cdot [\bar{g}] \cdot [\gamma_2] \cdot [g] \\ &= [\bar{g}] \cdot [\gamma_1] \cdot [c_p] \cdot [\gamma_2] \cdot [g] \\ &= [\bar{g}] \cdot [\gamma_1] \cdot [\gamma_2] \cdot [g] \\ &= \Phi_g([\gamma_1] \cdot [\gamma_2])\end{aligned}$$



Corollary

If X is a path-connected topological space, then its fundamental is independent of the choice of base point, and denoted by $\pi_1(X)$ for convenience.

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In this section we assume all spaces are **connected** and **locally path connected** topological spaces, and all maps are **continuous**. We are including these hypotheses¹ since most of the interesting results (such as lifting criterion) require them, and most of the interesting topological space (such as connected topological manifold) satisfy them.

¹In fact, it's almost the strongest connected hypotheses, since if a topological space is connected and locally path-connected, then it's also path connected.

Definition (covering space)

A covering space of X is a map $\pi: \tilde{X} \rightarrow X$ such that there exists a discrete space D and for each $x \in X$ an open neighborhood $U \subseteq X$, such that $\pi^{-1}(U) = \coprod_{d \in D} V_d$ and $\pi|_{V_d}: V_d \rightarrow U$ is a homeomorphism for each $d \in D$.

- 1 Such a U is called evenly covered by $\{V_d\}$.
- 2 The open sets $\{V_d\}$ are called sheets.
- 3 For each $x \in X$, the discrete subset $\pi^{-1}(x)$ is called the fiber of x .
- 4 The degree of the covering is the cardinality of the space D .

Definition (isomorphism between covering spaces)

Let $\pi_1: \tilde{X}_1 \rightarrow X$ and $\pi_2: \tilde{X}_2 \rightarrow X$ be two covering spaces. An isomorphism between covering spaces is a homeomorphism $f: \tilde{X}_1 \rightarrow \tilde{X}_2$ such that $\pi_1 = \pi_2 \circ f$.

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Theorem (unique lifting property)

Let $\pi: \tilde{X} \rightarrow X$ be a covering space and a map $f: Y \rightarrow X$. If two lifts $\tilde{f}_1, \tilde{f}_2: Y \rightarrow \tilde{X}$ of f agree at one point of Y , then \tilde{f}_1 and \tilde{f}_2 agree on all of Y .

Proof.

Let A be the set consisting of points of Y where \tilde{f}_1 and \tilde{f}_2 agree. If \tilde{f}_1 agrees with \tilde{f}_2 at some point of Y , then A is not empty, and we may assume $A \neq Y$, otherwise there is nothing to prove. For $y \notin A$, let \tilde{U}_1 and \tilde{U}_2 be the sheets containing $\tilde{f}_1(y)$ and $\tilde{f}_2(y)$ respectively. By continuity of \tilde{f}_1 and \tilde{f}_2 , there exists a neighborhood N of y mapped into \tilde{U}_1 by \tilde{f}_1 and mapped into \tilde{U}_2 by \tilde{f}_2 . Since $\tilde{f}_1(y) \neq \tilde{f}_2(y)$, then $\tilde{U}_1 \cap \tilde{U}_2 = \emptyset$. This shows $\tilde{f}_2 \neq \tilde{f}_1$ throughout the neighborhood N , and thus $Y \setminus A$ is open, that is A is closed. To see A is open, for $y \in A$ one has $\tilde{f}_1(y) = \tilde{f}_2(y)$, and thus $\tilde{U}_1 = \tilde{U}_2$. Since $\pi|_{\tilde{U}_1}$ is a diffeomorphism, one has $\tilde{f}_1 = \pi^{-1} \circ f = \tilde{f}_2$ on \tilde{U}_i . This shows the set A is open, and thus $A = Y$ since Y is connected. □

Theorem (homotopy lifting property)

Let $\pi: \tilde{X} \rightarrow X$ be a covering space and $F: Y \times I \rightarrow X$ be a homotopy. If there exists a map $\tilde{F}: Y \times \{0\} \rightarrow \tilde{X}$ which lifts $F|_{Y \times \{0\}}$, then there exists a unique homotopy $\tilde{F}: Y \times I \rightarrow \tilde{X}$ which lifts F and restricting to the given \tilde{F} on $Y \times \{0\}$. Furthermore, if F is stationary on A , so is \tilde{F} .

Corollary (path lifting property)

Let $\pi: \tilde{X} \rightarrow X$ be a covering space. Suppose $\gamma: I \rightarrow X$ is any path, and $\tilde{x} \in \tilde{X}$ is any point in the fiber of $\pi^{-1}(\gamma(0))$. Then there exists a unique lift $\tilde{\gamma}: I \rightarrow \tilde{X}$ of γ such that $\tilde{\gamma}(0) = \tilde{x}$.

Corollary (monodromy theorem)

Let $\pi: \tilde{X} \rightarrow X$ be a covering space. Suppose γ_1 and γ_2 are paths in X with the same initial point and the same terminal point, and $\tilde{\gamma}_1, \tilde{\gamma}_2$ are their lifts with the same initial point. Then $\tilde{\gamma}_1$ is homotopic to $\tilde{\gamma}_2$.

Proof.

Suppose $F: I \times I \rightarrow X$ is the homotopy from γ_1 to γ_2 which is stationary on $\{0, 1\}$ and $\tilde{\gamma}_1, \tilde{\gamma}_2$ are lifts of γ_1, γ_2 with the same initial point. Then by Theorem 18 there exists a homotopy $\tilde{F}: I \times I \rightarrow \tilde{X}$ from $\tilde{\gamma}_1$ to $\tilde{\gamma}_2$ which is also stationary on $\{0, 1\}$, which shows $\tilde{\gamma}_1$ is homotopic to $\tilde{\gamma}_2$. □

Corollary

Let $\pi: (\tilde{X}, \tilde{x}_0) \rightarrow (X, x_0)$ be a covering space. Then

- ① The map $\pi_*: \pi_1(\tilde{X}, \tilde{x}_0) \rightarrow \pi_1(X, x_0)$ is injective.
- ② $\pi_*(\pi_1(\tilde{X}, \tilde{x}_0))$ consists of the homotopy class of loops in X whose lifts to \tilde{X} are still loops.
- ③ The index of $\pi_*(\pi_1(\tilde{X}, \tilde{x}_0))$ in $\pi_1(X, x_0)$ is the degree of covering. In particular, the degree of universal covering equals $|\pi_1(X, x_0)|$.

Proof.

For (1). An element of $\ker \pi_*$ is represented by a loop $\tilde{\gamma}_0: I \rightarrow \tilde{X}$ with a homotopy F of $\gamma_0 = \pi \circ \tilde{\gamma}_0$ to the trivial loop γ_1 . By Theorem 18 there is a lifted homotopy of loops \tilde{F} starting with $\tilde{\gamma}_0$ and ending with a constant loop. Hence $[\tilde{\gamma}_0] = 0$ in $\pi_1(\tilde{X}, \tilde{x}_0)$ and π_* is injective.

Continuation.

For (2). The loops at x_0 lifting to loops at \tilde{x}_0 certainly represent elements of the image of $\pi_*: \pi_1(\tilde{X}, \tilde{x}_0) \rightarrow \pi_1(X, x_0)$. Conversely, a loop representing an element of the image of π_* is homotopic to a loop having such a lift, so by Theorem 18, the loop itself must have such a lift.

For (3). For a loop γ in X based at x_0 , let $\tilde{\gamma}$ be its lift to \tilde{X} starting at \tilde{x}_0 . A product $h \cdot \gamma$ with $[h] \in H = \pi_*(\pi_1(\tilde{X}, \tilde{x}_0))$ has the lift $\tilde{h} \cdot \tilde{\gamma}$ ending at the same point as $\tilde{\gamma}$ since h is a loop. Thus we may define a function Φ from cosets $H[\gamma]$ to $\pi^{-1}(x_0)$ by sending $H[\gamma]$ to $\tilde{\gamma}(1)$. The path-connectedness of \tilde{X} implies that Φ is surjective since \tilde{x}_0 can be joined to any point in $\pi^{-1}(x_0)$ by a path $\tilde{\gamma}$ projecting to a loop γ at x_0 . To see that Φ is injective, observe that $\Phi(H[\gamma_1]) = \Phi(H[\gamma_2])$ implies that $\gamma_1 \cdot \bar{\gamma}_2$ lifts to a loop in \tilde{X} based at \tilde{x}_0 , so $[\gamma_1][\gamma_2]^{-1} \in H$ and hence $H[\gamma_1] = H[\gamma_2]$. Thus the index of H is the same as $|\pi^{-1}(x_0)|$. □

Theorem (lifting criterion)

Let $\pi: (\tilde{X}, \tilde{x}_0) \rightarrow (X, x_0)$ be a covering space and $f: (Y, y_0) \rightarrow (X, x_0)$ be a map. A lift $\tilde{f}: (Y, y_0) \rightarrow (\tilde{X}, \tilde{x}_0)$ of f exists if and only if $f_*(\pi_1(Y, y_0)) \subseteq \pi_*(\pi_1(\tilde{X}, \tilde{x}_0))$.

Proof.

The "only if" statement is obvious since $f_* = \pi_* \circ f_*$. Conversely, let $y \in Y$ and let γ be a path in Y from y_0 to y . By Corollary 19, the path $f\gamma$ in X starting at x_0 has a unique lift $\tilde{f}\gamma$ starting at \tilde{x}_0 , and we define $\tilde{f}(y) = \tilde{f}\gamma(1)$.

To see it's well-defined, let γ' be another path from y_0 to y . Then $(f\gamma') \cdot (\overline{f\gamma})$ is a loop h_0 at x_0 with $[h_0] \in f_*(\pi_1(Y, y_0)) \subseteq \pi_*(\pi_1(\tilde{X}, \tilde{x}_0))$. This means there is a homotopy H of h_0 to a loop h_1 that lifts to a loop \tilde{h}_1 in \tilde{X} based at \tilde{x}_0 .

Continuation.

Apply Theorem 18 to H to get a lifting \tilde{H} . Since \tilde{h}_1 is a loop at \tilde{x}_0 , so is \tilde{h}_0 . By Theorem 17, that is uniqueness of lifted paths, the first half of \tilde{h}_0 is $\tilde{f}\gamma'$ and the second half is $\tilde{f}\gamma$ traversed backwards, with the common midpoint $\tilde{f}\gamma(1) = \tilde{f}\gamma'(1)$. This shows \tilde{f} is well-defined.

To see \tilde{f} is continuous, let $U \subseteq X$ be an open neighborhood of $f(y)$ having a lift $\tilde{U} \subseteq \tilde{X}$ containing $\tilde{f}(y)$ such that $\pi: \tilde{U} \rightarrow U$ is a homeomorphism. Choose a path-connected open neighborhood V of y with $f(V) \subseteq U$. For paths from y_0 to points $y' \in V$, we can take a fixed path γ from y_0 to y followed by paths η in V from y to points y' . Then the paths $(f\gamma) \cdot (f\eta)$ in X have lifts $(\tilde{f}\gamma) \cdot (\tilde{f}\eta)$ where $\tilde{f}\eta = \pi^{-1}f\eta$. Thus $\tilde{f}(V) \subseteq \tilde{U}$ and $\tilde{f}|_V = \pi^{-1}f$, so \tilde{f} is continuous at y . □

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Definition (universal covering)

A simply-connected covering space of X is called universal covering.

Definition (semilocally simply-connected)

A topological space X is called semilocally simply-connected if each $x \in X$ has a neighborhood U such that the inclusion induced map $\pi_1(U, x) \rightarrow \pi_1(X, x)$ is trivial.

Theorem

If X is a semilocally simply-connected topological space, then X has a universal covering \tilde{X} .

Theorem

Suppose X is a semilocally simply-connected topological space. Then for every subgroup $H \subseteq \pi_1(X, x_0)$, there exists a covering space $\pi: X_H \rightarrow X$ such that $\pi_(\pi_1(X_H, \tilde{x}_0)) = H$ for a suitably chosen based point $\tilde{x}_0 \in X_H$.*

Lemma

Let $\pi_1: \tilde{X}_1 \rightarrow X$ and $\pi_2: \tilde{X}_2 \rightarrow X$ be two coverings. There exists an isomorphism $f: \tilde{X}_1 \rightarrow \tilde{X}_2$ taking a basepoint $\tilde{x}_1 \in \pi_1^{-1}(x_0)$ to a basepoint $\tilde{x}_2 \in \pi_2^{-1}(x_0)$ if and only if

$$\pi_{1*}(\pi_1(\tilde{X}_1, \tilde{x}_1)) = \pi_{2*}(\pi_1(\tilde{X}_2, \tilde{x}_2)).$$

Proof.

If there is an isomorphism $f: (\tilde{X}_1, \tilde{x}_1) \rightarrow (\tilde{X}_2, \tilde{x}_2)$, then from the two relations $\pi_1 = \pi_2 \circ f$ and $\pi_2 = \pi_1 \circ f^{-1}$ it follows that $\pi_{1*}(\pi_1(\tilde{X}_1, \tilde{x}_1)) = \pi_{2*}(\pi_1(\tilde{X}_2, \tilde{x}_2))$. Conversely, suppose that $\pi_{1*}(\pi_1(\tilde{X}_1, \tilde{x}_1)) = \pi_{2*}(\pi_1(\tilde{X}_2, \tilde{x}_2))$. By Theorem 22, that is lifting criterion, we may lift π_1 to a map $\tilde{\pi}_1: (\tilde{X}_1, \tilde{x}_1) \rightarrow (\tilde{X}_2, \tilde{x}_2)$ with $\pi_2 \circ \tilde{\pi}_1 = \pi_1$. Similarly, one has $\tilde{\pi}_2: (\tilde{X}_2, \tilde{x}_2) \rightarrow (\tilde{X}_1, \tilde{x}_1)$ with $\pi_1 \circ \tilde{\pi}_2 = \pi_2$. Then Theorem 17, that is the unique lifting property, $\tilde{\pi}_1 \circ \tilde{\pi}_2 = \text{id}$ and $\tilde{\pi}_2 \circ \tilde{\pi}_1 = \text{id}$ since these composed lifts fix the basepoints.



Lemma

For covering $\pi: (\tilde{X}, \tilde{x}_0) \rightarrow (X, x_0)$, changing the basepoint \tilde{x}_0 within $\pi^{-1}(x_0)$ corresponds exactly to changing $\pi_(\pi_1(\tilde{X}, \tilde{x}_0))$ to a conjugate subgroup of $\pi_1(X, x_0)$.*

Proof.

Let \tilde{x}_1 be another basepoint in $\pi^{-1}(x_0)$ and $\tilde{\gamma}$ be a path from \tilde{x}_0 to \tilde{x}_1 . Then $\tilde{\gamma}$ projects to a loop γ in X representing some element $g \in \pi_1(X, x_0)$. If we denote $H_i = \pi_*(\pi_1(\tilde{X}, \tilde{x}_i))$ for $i = 0, 1$, there is an inclusion $g^{-1}H_0g \subseteq H_1$ since if \tilde{f} is a loop at \tilde{x}_0 , one has $\tilde{\gamma}^{-1} \cdot \tilde{f} \cdot \tilde{\gamma}$ is a loop at \tilde{x}_1 . Similarly one has $gH_1g^{-1} \subseteq H_0$. This shows changing the basepoint from \tilde{x}_0 to \tilde{x}_1 changes H_0 to the conjugate subgroup $H_1 = g^{-1}H_0g$. □

Theorem

Let X be a semilocally simply-connected topological space. Then there is a bijection between the set of *basepoint-preserving isomorphism classes of covering spaces* $\pi: (\tilde{X}, \tilde{x}_0) \rightarrow (X, x_0)$ and the set of *subgroups of $\pi_1(X, x_0)$* obtained by associating the subgroup $\pi_*(\pi_1(\tilde{X}, \tilde{x}_0))$ to the covering space (\tilde{X}, \tilde{x}_0) . If basepoints are ignored, this correspondence gives a bijection between *isomorphism classes of covering spaces* $\pi: \tilde{X} \rightarrow X$ and *conjugacy classes of subgroups of $\pi_1(X, x_0)$* .

Proof.

Theorem 26 and Lemma 27 completes the proof of the first half, and Lemma 28 completes the proof of the last half. □

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Definition (deck transformation)

Let $\pi: \tilde{X} \rightarrow X$ be a covering space. The deck transformation group is following set

$$\text{Aut}_\pi(\tilde{X}) = \{f: \tilde{X} \rightarrow \tilde{X} \text{ is homeomorphism} \mid \pi \circ f = \pi\}$$

equipped with composition as group operation.

Definition (normal)

A covering $\pi: \tilde{X} \rightarrow X$ is called normal, if any deck transformation acts transitively on each fiber of $x \in X$.

Lemma

Let $\pi: \tilde{X} \rightarrow X$ be a covering space. The deck transformation group $\text{Aut}_\pi(\tilde{X})$ acts on \tilde{X} freely.

Proof.

Suppose $f: \tilde{X} \rightarrow \tilde{X}$ is a deck transformation admitting a fixed point. Since $\pi \circ f = \pi$, we may regard f as a lift of π , and identity map of \tilde{X} is another lift of π . By Theorem 17, that is unique lifting property, one has f is exactly identity map since it agrees with identity map at fixed point. □

Lemma

Let $\pi: \tilde{X} \rightarrow X$ be a normal covering. Then $\tilde{X}/\text{Aut}_\pi(\tilde{X})$ is homeomorphic to X .

Proof.

Let $\Phi: \tilde{X}/\text{Aut}_\pi(\tilde{X}) \rightarrow X$ be the map sending the orbit $\mathcal{O}_{\tilde{x}}$ to $\pi(\tilde{x})$, where $\tilde{x} \in \tilde{X}$. It's clear Φ is well-defined bijection since $\text{Aut}_\pi(\tilde{X})$ acts on \tilde{X} fiberwise transitive, and the following diagram commutes

$$\begin{array}{ccc}
 \tilde{X} & & \\
 p \downarrow & \searrow \pi & \\
 \tilde{X}/\text{Aut}_\pi(\tilde{X}) & \xrightarrow{\Phi} & X
 \end{array}$$

This diagram shows Φ is both continuous and open, since p is the quotient map and π is continuous and open, which shows $\tilde{X}/\text{Aut}_\pi(\tilde{X})$ is homeomorphic to X .

Theorem

Let $\pi: (\tilde{X}, \tilde{x}_0) \rightarrow (X, x_0)$ be a covering space and $H = \pi_*(\pi_1(\tilde{X}, \tilde{x}_0)) \subseteq \pi_1(X, x_0)$. Then

- ① π is a normal covering if and only if H is a normal subgroup of $\pi_1(X, x_0)$.
- ② $\text{Aut}_\pi(\tilde{X})$ is isomorphic to the quotient $N(H)/H$, where $N(H)$ is the normalizer of H in $\pi_1(X, x_0)$.

Proof.

For (1). By proof of Lemma 28 one has changing the basepoint $\tilde{x}_0 \in \pi^{-1}(x_0)$ to $\tilde{x}_1 \in \pi^{-1}(x_0)$ corresponds precisely to conjugating H by an element $[\gamma] \in \pi_1(X, x_0)$ where γ lifts to a path $\tilde{\gamma}$ from \tilde{x}_0 to \tilde{x}_1 . Thus $[\gamma]$ is in the normalizer $N(H)$ if and only if $\pi_*(\pi_1(\tilde{X}, \tilde{x}_0)) = \pi_*(\pi_1(\tilde{X}, \tilde{x}_1))$, which is equivalent to the existence of a deck transformation taking \tilde{x}_0 to \tilde{x}_1 by Lemma 27. Thus the covering space is normal if and only if $N(H) = \pi_1(X, x_0)$.

Continuation.

For (2). Define $\varphi: N(H) \rightarrow \text{Aut}_\pi(\tilde{X})$ by sending $[\gamma]$ to the deck transformation τ taking \tilde{x}_0 to \tilde{x}_1 , in the notation above. Let's show φ is a homomorphism. If γ' is another loop corresponding to the deck transformation τ' taking \tilde{x}_0 to \tilde{x}'_1 , then $\gamma \cdot \gamma'$ lifts to $\tilde{\gamma} \cdot (\tau(\tilde{\gamma}'))$, a path from \tilde{x}_0 to $\tau(\tilde{x}'_1) = \tau\tau'(\tilde{x}_0)$, so $\tau\tau'$ is the deck transformation corresponding to $[\gamma][\gamma']$. By the proof of (1) one has φ is surjective. The kernel of φ consists of classes $[\gamma]$ lifting to loops in \tilde{X} , which are exactly the elements of $\pi_*(\pi_1(\tilde{X}, \tilde{x}_0)) = H$. □

Corollary

Let $\pi: \tilde{X} \rightarrow X$ be the universal covering. Then $\text{Aut}_\pi(\tilde{X}) \cong \pi_1(X, x_0)$.

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Definition (act by homeomorphisms)

Let Γ be a group and X be a topological space. The group Γ is called acting on X by homeomorphisms, if Γ acts on X , and for every $g \in \Gamma$, the map $x \mapsto gx$ is a homeomorphism.

Definition (topological group)

A group is called a topological group, if it's a topological space such that the multiplication and the inversion are continuous.

Definition (continuous action)

Let X be a topological space and G a topological group. A continuous G -action on X is given by the following data:

- ① G acts on X by homeomorphisms.
- ② The map $G \times X \rightarrow X$ given by $(g, x) \mapsto gx$ is continuous.

Lemma

Let X be a topological space and Γ a group acting on X by homeomorphisms. Then the quotient map $\pi: X \rightarrow X/\Gamma$ is an open map.

Proof.

For any $g \in \Gamma$ and any subset $U \subseteq X$, the set $gU \subseteq X$ is defined as

$$gU = \{gx \mid x \in U\}$$

If $U \subseteq X$ is open, then $\pi^{-1}(\pi(U))$ is the union of all sets of the form gU as g ranges over G . Since $p \mapsto gp$ is a homeomorphism, each set is open, and therefore $\pi^{-1}(\pi(U))$ is open in X . Since π is a quotient map, this implies $\pi(U)$ is open in X/Γ , and therefore π is an open map. □

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Definition (proper)

Let X be a topological space and G a topological group. A continuous G -action on X is called proper if the continuous map

$$\begin{aligned}\Theta: G \times X &\rightarrow X \times X \\ (g, x) &\mapsto (gx, x)\end{aligned}$$

is proper, that is, the preimage of a compact set is compact.

Lemma

Let X, Y be topological spaces and $\pi: X \rightarrow Y$ be an open quotient map. Then Y is Hausdorff if and only if the set $\mathcal{R} = \{(x_1, x_2) \mid \pi(x_1) = \pi(x_2)\}$ is closed in $X \times X$.

Lemma

Let X be a topological space and G a topological group acting on X continuously. If the action is also proper, then the orbit space is Hausdorff.

Proof.

Let $\Theta: G \times X \rightarrow X \times X$ be the proper map $\Theta(g, x) = (gx, x)$ and $\pi: X \rightarrow X/G$ be the quotient map. Define the orbit relation $\mathcal{O} \subseteq X \times X$ by

$$\mathcal{O} = \Theta(G \times X) = \{(gx, x) \mid x \in X, g \in G\}$$

Since proper continuous map is closed, it follows that \mathcal{O} is closed in $X \times X$, and since π is open by Lemma 39, one has X/G is Hausdorff by Lemma 41. □

Theorem

Let M be a topological manifold and G a topological group acting on M continuously. The following statements are equivalent.

- 1 *The action is proper.*
- 2 *If $\{p_i\}$ is a sequence in M and $\{g_i\}$ is a sequence in G such that both $\{p_i\}$ and $\{g_i p_i\}$ converge, then a subsequence of $\{g_i\}$ converges.*
- 3 *For every compact subset $K \subseteq M$, the set $G_K = \{g \in G \mid gK \cap K \neq \emptyset\}$ is compact.*

Proof.

Along the proof, let $\Theta: G \times M \rightarrow M \times M$ denote the map $(g, p) \mapsto (gp, p)$. For (1) to (2). Suppose Θ is proper, and $\{p_i\}$, $\{g_i\}$ are sequences satisfying the hypotheses of (2). Let U and V be precompact² neighborhoods of $p = \lim_i p_i$ and $q = \lim_i g_i p_i$. The assumption implies $\Theta(g_i, p_i)$ all lie in compact set $\overline{U} \times \overline{V}$ when i is sufficiently large, so there exists a subsequence of $\{(g_i, p_i)\}$ converges in $G \times M$ since Θ is proper. In particular, this means that a subsequence of $\{g_i\}$ converges in G .

For (2) to (3). Let K be a compact subset of M , and suppose $\{g_i\}$ is any sequence in G_K . This means for each i , there exists $p_i \in g_i K \cap K$, which is to say that $p_i \in K$ and $g_i^{-1} p_i \in K$. By passing to a subsequence twice, we may assume both $\{p_i\}$ and $\{g_i^{-1} p_i\}$ converge, and the assumption implies there exists a convergent subsequence of $\{g_i\}$. Since each sequence of G_K has a convergent subsequence, G_K is compact.

Continuation.

For (3) to (1). Suppose $L \subseteq M \times M$ is compact, and let $K = \pi_1(L) \cup \pi_2(L)$, where $\pi_1, \pi_2: M \times M \rightarrow M$ are the projections onto the first and second factors, respectively. Then

$$\Theta^{-1}(L) \subseteq \Theta^{-1}(K \times K) = \{(g, p) \mid gp \in K, p \in K\} \subseteq G_K \times K$$

By assumption $G_K \times K$ is compact, and thus $\Theta^{-1}(L)$ is compact since it's a closed subset of a compact subset, which implies the action is proper. □

Corollary

Let M be a topological manifold and G a compact topological group. Then every continuous G -action on M is proper.

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Definition (properly discontinuous)

Let Γ be a group acting on a topological space X by homeomorphisms. The action is called properly discontinuous, if every point $x \in X$ has a neighborhood U such that for each $g \in G$, $gU \cap U = \emptyset$ unless $g = e$.

Lemma

Suppose Γ be a group acting properly discontinuous on a topological space X . Then every subgroup of Γ still acts properly discontinuous on X .

Lemma

Let $\pi: \tilde{X} \rightarrow X$ be a covering space. Then $\text{Aut}_\pi(\tilde{X})$ acts on \tilde{X} properly discontinuous.

Proof.

Let $\tilde{U} \subseteq \tilde{X}$ project homeomorphically to $U \subseteq X$. For $g \in \text{Aut}_\pi(\tilde{X})$, if $g(\tilde{U}) \cap \tilde{U} \neq \emptyset$, then $g\tilde{x}_1 = \tilde{x}_2$ for some $\tilde{x}_1, \tilde{x}_2 \in \tilde{U}$. Since \tilde{x}_1 and \tilde{x}_2 lie in the same set $\pi^{-1}(x)$, which intersects \tilde{U} in only one point, we must have $\tilde{x}_1 = \tilde{x}_2 = \tilde{x}$. Then \tilde{x} is a fixed point of g , which implies $g = e$ by Lemma 32. □

Theorem (covering space quotient theorem)

Let E be a topological space and Γ be a group acting on E by homeomorphisms effectively. Then the quotient map $\pi: E \rightarrow E/\Gamma$ is a covering map if and only if Γ acts on E properly discontinuous. In this case, π is a normal covering and $\text{Aut}_\pi(E) = \Gamma$.

Proof.

Firstly, assume π is a covering map. Then the action of each $g \in \Gamma$ is an automorphism of the covering since it's a homeomorphism satisfying $\pi(ge) = \pi(e)$ for all $g \in \Gamma, e \in E$, so we can identify Γ with a subgroup of $\text{Aut}_\pi(E)$. Then Γ acts on E properly discontinuous by Lemma 46 and Lemma 47.

Continuation.

Conversely, suppose the action is properly discontinuous. To show π is a covering map, suppose $x \in E/\Gamma$ is arbitrary. Choose $e \in \pi^{-1}(x)$, and let U be a neighborhood of e such that for each $g \in \Gamma$, $gU \cap U = \emptyset$ unless $g = 1$. Since E is locally path-connected, by passing to the component of U containing e , we may assume U is path-connected. Let $V = \pi(U)$, which is a path-connected neighborhood of x . Now $\pi^{-1}(V)$ is equal to the union of the disjoint connected open subsets gU for $g \in \Gamma$, so to show π is a covering space it remains to show π is a homeomorphism from each such set onto V . For each $g \in \Gamma$, the restriction map $g: U \rightarrow gU$ is a homeomorphism, and the diagram

$$\begin{array}{ccc}
 U & \xrightarrow{g} & gU \\
 \searrow \pi & & \swarrow \pi \\
 & V &
 \end{array}$$

Continuation.

Thus it suffices to show $\pi|_U: U \rightarrow V$ is a homeomorphism. It's surjective, continuous and open, and it's injective since $\pi(e) = \pi(e')$ for $e, e' \in U$ implies $e' = ge$ for some $g \in \Gamma$, so $e = e'$ by the choice of U . This shows π is a covering map.

To prove the final statement of the theorem, suppose the action is a covering space action. As noted above, each map $e \mapsto ge$ is a covering automorphism, so $\Gamma \subseteq \text{Aut}_\pi(E)$. By construction, Γ acts transitively on each fiber, so $\text{Aut}_\pi(E)$ does too, and thus π is a normal covering. If φ is any covering automorphism, choose $e \in E$ and let $e' = \varphi(e)$. Then there is some $g \in \Gamma$ such that $ge = e'$. Since φ and $x \mapsto gx$ are deck transformation that agree at a point, so they are equal. Thus $\Gamma = \text{Aut}_\pi(E)$. □

Lemma

Suppose G is a discrete topological group acting continuously and freely on a topological manifold M . The action is proper if and only if the following conditions both hold.

- ① *Every point $p \in M$ has a neighborhood U such that for each $g \in G$, $gU \cap U = \emptyset$ unless $g = e$.*
- ② *If $p, p' \in M$ are not in the same orbit, there exist a neighborhood V of p and V' of p' such that $gV \cap V' = \emptyset$ for all $g \in G$.*

Proof.

Firstly, suppose that the action is free and proper, and let $\pi: M \rightarrow M/G$ be the quotient map. By Lemma 42, the orbit space M/G is Hausdorff. If $p, p' \in M$ are not in the same orbit, we can choose disjoint neighborhoods W of $\pi(p)$ and W' of $\pi(p')$.

Continuation.

Then $V = \pi^{-1}(W)$ and $V' = \pi^{-1}(W')$ satisfy the conclusion of condition (2).

Now let's prove (1). Let $p \in M$ and V be a precompact neighborhood of p . By Theorem 43, the set $G_{\overline{V}}$ is a compact subset of G , and hence finite because G is discrete. Write $G_{\overline{V}} = \{e, g_1, \dots, g_m\}$. Shrinking V if necessary, we may assume that $g_i^{-1}p \notin \overline{V}$ for $i = 1, \dots, m$. Then the open subset

$$U = V \setminus (g_1 \overline{V} \cup \dots \cup g_m \overline{V})$$

satisfies the conclusion of (1).

Conversely, assume that (1) and (2) hold. Suppose $\{g_i\}$ is a sequence in G and (p_i) is a sequence in E such that $p_i \rightarrow p$ and $g_i p_i \rightarrow p'$. If p and p' are in different orbits, there exist neighborhoods V of p and V' of p' as in (2).

Continuation.

But for large enough i , we have $p_i \in V$ and $g_i p_i \in V'$, which contradicts the fact that $(g_i V) \cap V' = \emptyset$. Thus, p and p' are in the same orbit, so there exists $g \in G$ such that $gp = p'$. This implies $g^{-1}g_i p_i \rightarrow p$. Choose a neighborhood U of p as in (i), and let i be large enough that p_i and $g^{-1}g_i p_i$ are both in U . Because $(g^{-1}g_i U) \cap U \neq \emptyset$, it follows that $g^{-1}g_i = e$. So $g_i = g$ when i is large enough, which certainly converges. By (2) of Theorem 43, the action is proper. □

Theorem

Let M be a topological manifold and $\pi: \tilde{M} \rightarrow M$ be a covering space. If $\text{Aut}_\pi(\tilde{M})$ is equipped with the discrete topology, then it acts on \tilde{M} continuously, freely and properly.

Proof.

By Lemma 32 one has $\text{Aut}_\pi(\tilde{M})$ acts on \tilde{M} freely and the action is also continuously since $\text{Aut}_\pi(\tilde{M})$ is equipped with discrete topology. To see the action is properly, we show the action satisfies the two conditions in Lemma 49.

Continuation.

- (a) Firstly, if $\tilde{x} \in \tilde{M}$ is arbitrary, choose $W \subseteq M$ to be an evenly covered neighborhood of $\pi(\tilde{x})$. If U is the component of $\pi^{-1}(W)$ containing \tilde{x} , then it is easy to check that U satisfies (1).
- (b) Secondly, if $\tilde{x}_1, \tilde{x}_2 \in \tilde{M}$ are in different orbits, then just as in the proof Lemma 32, we can choose disjoint neighborhoods W of $\pi(\tilde{x}_1)$ and W' of $\pi(\tilde{x}_2)$, and it follows that $V = \pi^{-1}(W)$ and $V' = \pi^{-1}(W')$ satisfy (2).



Thanks!