# PRINCIPAL BUNDLE AND ITS APPLICATIONS

# BOWEN LIU

Abstract.

# Contents

0. Preface	3
0.1. About this lecture	3
0.2. Some notations	4
Part 1. Principal bundle and its geometry	5
1. Principal bundle	5
1.1. A glimpse of fiber bundle	5
1.2. Principal bundle	6
1.3. Associated fiber bundle	8
1.4. Reduction of principal bundle	10
2. Connection of principal bundle	13
2.1. Forms valued in vector space	13
2.2. Maurer-Cartan form	15
2.3. Motivation	16
2.4. Connection on principal bundle	17
2.5. Gauge group	18
2.6. Local expression of connection	20
3. Curvature of principal bundle	23
3.1. Definition	23
3.2. Local expression of curvature	24
3.3. Basic forms	25
3.4. Relations between connections on principal bundle and its	$\mathbf{s}$
associated bundle	27
4. Flat connection and holonomy	30
4.1. Lifting of curves	30
4.2. Flat connection	30
4.3. Holonomy	31
Part 2. Chern-Weil theory	33
5. Chern-Weil theory	33
5.1. G-invariant polynomial	33
5.2. Chern-Weil homomorphism	33
5.3. Transgression	34

2 BOWEN LIU

6. Characteristic class	37
6.1. Chern class	37
6.2. Pontrjagin class	38
7. The classifying space	40
7.1. The universal $G$ -bundle	40
7.2. Homotopical properties of classifying spaces	41
7.3. Another viewpoint to characteristice class	41
Part 3. The Yang-Mills equations on Riemannian manifold	43
8. The Yang-Mills equations	43
8.1. The Yang-Mills functional	43
8.2. The variational problem	44
References	47

# 0. Preface

# 0.1. About this lecture.

### 0.2. Some notations.

4

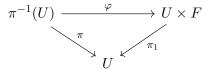
- 1. M is used to denote a smooth manifold, and  $x \in M$  denotes its point.
- 2. TM and  $\Omega_M^k$  denote tangent bundle and bundle of k-forms over M respectively.
- 3. v is used to denote vector in tangent space.
- 4. X is used to denote a vector field on M, then  $X_x$  denote the value of X at point  $x \in M$ , similarly for a k-form  $\omega$ .
- 5. For a vector bundle E over M,  $C^{\infty}(M, E)$  denotes its sections.
- 6. G is used to denote a Lie group, with Lie algebra  $\mathfrak{g}$ .
- 7.  $\pi: P \to M$  is used to denote a principal G-bundle over M, and  $p \in P$  denotes its point.
- 8.  $\widetilde{X}$  is used to denote vector field on principal bundle P, so do  $\widetilde{\omega}$  and  $\widetilde{v}$ .

### Part 1. Principal bundle and its geometry

#### 1. Principal bundle

### 1.1. A glimpse of fiber bundle. Fix topological spaces E, B, F.

**Definition 1.1.1** (fiber bundle). A fiber bundle with fiber F over B is a surjective map  $\pi: E \to B$  such that for any  $p \in B$ , there exists an open neighborhood  $U \ni p$  and a homeomorphism  $\varphi$  such that the following diagram commutes



We always use  $F \to E \xrightarrow{\pi} B$  to denote this fiber bundle and

- 1. B is called base space;
- 2.  $E_x = \pi^{-1}(x)$  is called the fiber of E at x;
- 3.  $(U, \varphi)$  is called a local trivialization at point p, and use  $E|_U$  to denote  $\pi^{-1}(U)$ .

**Example 1.1.1** (trivial bundle). Consider  $E = B \times F$  and  $\pi : E \to B$  is just the projection onto the first summand.

**Example 1.1.2.** Consider  $E = S^n$  and  $B = \mathbb{RP}^n$ , then natural map  $\pi : E \to B$  is a fiber bundle with  $\mathbb{Z}/2\mathbb{Z}$ . It's clear that this fiber bundle is not trivial, since  $S^n$  is connected.

**Example 1.1.3** (Hopf fibration). Recall that

 $\mathbb{CP}^n = \{ \text{the set of all complex lines through origin in } \mathbb{C}^{n+1} \}$ 

Consider the canonical open covering  $\{U_i\}$  of  $\mathbb{CP}^n$ , that is

$$U_i = \{ [z_0 : \ldots : z_n] \mid z_i \neq 0 \}$$

Now view  $S^{2n+1} \subset \mathbb{R}^{2n+2} = \mathbb{C}^{n+1}$  as the set of all  $(z_0, \ldots, z_n) \in \mathbb{C}^{n+1}$  with  $|z_0|^2 + \cdots + |z_n|^2 = 1$ . Then the projection map  $\pi : \mathbb{C}^{n+1} - \{0\} \to \mathbb{CP}^n$  restricts to a surjective smooth map

$$\pi: S^{2n+1} \to \mathbb{CP}^n$$

We claim that it's a fiber bundle with fiber  $S^1$ . Indeed, by definition we have

$$\pi^{-1}(U_i) = \{(z_0, \dots, z_n) \in S^{2n+1} \mid z_i \neq 0\}$$

and local trivialization map can be taken as

$$\varphi_i: \pi^{-1}(U_i) \to U_i \times S^1$$
$$z \mapsto ([z_0: \dots : z_n], \frac{z_i}{|z_i|})$$

It's also not trivial which can be seen by considering their fundamental groups.

**Example 1.1.4.** The covering space is a fiber bundle with discrete set as fiber.

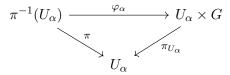
### 1.2. Principal bundle.

1.2.1. Definitions. Briefly speaking, given a Lie group G and a smooth manifold M, a principal G-bundle P is a fiber bundle with fiber G equipped with a suitable smooth right G-action on it. For a smooth right G-action we mean a smooth map

$$P \times G \to P$$
$$(p,g) \mapsto pg$$

**Definition 1.2.1** (principal G-bundle). A principal G-bundle is a surjective smooth map  $\pi: P \to M$  between smooth manifolds such that:

- 1. There is a smooth right G-action on P;
- 2. For all  $x \in M$ ,  $\pi^{-1}(x)$  is a G-orbit;
- 3. For all  $x \in M$ , there exists an open subset  $U_{\alpha}$  and a diffeomorphism  $\varphi_{\alpha}$ , which is called local trivialization, such that the following diagram commutes



Furthermore, if we write  $\varphi_{\alpha}(p) = (\pi(p), g_{\alpha}(p))$ , then we require  $g_{\alpha}(pg) = g_{\alpha}(p)g$  for any  $g \in G$ .

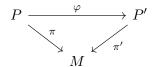
Remark 1.2.1. Note that G acts on P freely and transitively, which can be seen from local trivialization.

**Notation 1.2.1.**  $\mathcal{P}_G M$  is used to denoted the set of all principal G-bundles over M up to isomorphism.

**Example 1.2.1.**  $S^n \to \mathbb{RP}^n$  is a  $\mathbb{Z}/2\mathbb{Z}$ -principal bundle, where  $\mathbb{Z}/2\mathbb{Z}$  acts on  $S^n$  via  $x \mapsto -x$ .

**Example 1.2.2.**  $S^{2n+1} \to \mathbb{CP}^n$  is a U(1)-principal bundle, where U(1) acts on  $S^{2n+1}$  via  $(z_0, z_1, \ldots, z_n) \mapsto (z_0 e^{i\theta}, z_1 e^{i\theta}, \ldots, z_n e^{i\theta})$ .

**Definition 1.2.2** (morphism between principal G-bundle). For two principal G-bundles  $(P, M, \pi), (P', M, \pi')$ , a morphism between them is a G-equivariant smooth map  $\varphi \colon P' \to P$  making the following diagram commute



**Proposition 1.2.1.** A morphism  $\varphi \colon P \to P'$  between principal G-bundles over M is an isomorphism.

*Proof.* All information are encoded in the equivariance of  $\varphi$  and properties of principal G-bundle:

- 1.  $\varphi$  is injective: For any  $p_1, p_2 \in P$ , if  $\varphi(p_1) = \varphi(p_2)$ , then  $p_1, p_2$  lie in same fiber, since above diagram commutes. If  $p_1 = p_2 g$  for  $g \in G$ , then  $\varphi(p_1) = \varphi(p_2)g$ , which implies g = e, since G acts on P' freely, that is  $p_1 = p_2$ ;
- 2.  $\varphi$  is surjective: For any  $p' \in P'$ , if  $\pi'(p') = x$ , then  $p' \in P'_x$ . So choose an arbitrary element  $p \in P_x$ , there must be some  $g \in G$  such that  $\varphi(pg) = p'$ , since  $P'_x$  is a G-orbit and  $\varphi$  is G-equivariant.

**Definition 1.2.3** (trivial principal bundle). A principal G-bundle P is called trivial, if there exists a principal G-bundle isomorphism  $\varphi \colon P \to M \times G$ .

1.2.2. Structure group. By (3) of Definition 1.2.1, there exists an open covering  $\{U_{\alpha}\}$  together with G-equivariant diffeomorphism  $\varphi_{\alpha} \colon U_{\alpha} \to U_{\alpha} \times G$ . If  $U_{\alpha\beta} := U_{\alpha} \cap U_{\beta} \neq \emptyset$ , then

$$\varphi_{\alpha\beta} := \varphi_{\alpha} \circ \varphi_{\beta}^{-1} \colon U_{\alpha\beta} \times G \to U_{\alpha\beta} \times G$$
$$(x,g) \mapsto (x, g_{\alpha}(\varphi_{\beta}^{-1}(x,g)))$$

If we denote

$$g_{\alpha\beta}(x) = g_{\alpha}(\varphi_{\beta}^{-1}(x,g))$$

then  $g_{\alpha\beta}(x)$  is a G-equivariant diffeomorphism of G. But it's easy to show

$$G \to \{f \colon G \to G \mid f \text{ is a $G$-equivariant diffeomorphism}\}$$
  
 $g \mapsto (x \mapsto gx)$ 

is bijective, which impiles the transition functions of principal G-bundle valued in G, that is

$$g_{\alpha\beta}\colon U_{\alpha\beta}\to G$$

That is to say, the structure group of a principal G-bundle is G.

1.2.3. Section.

**Definition 1.2.4** (global section). A global section of principal G-bundle  $\pi \colon P \to M$  is a smooth map  $s \colon M \to P$  such that  $\pi \circ s = \mathrm{id}$ .

**Proposition 1.2.2.** A principal G-bundle P over M admits a section if and only if it is trivial<sup>1</sup>.

*Proof.* If  $s: M \to P$  is a smooth section, consider

$$\varphi \colon P \to M \times G$$

$$p \mapsto (\pi(p), g(p))$$

<sup>&</sup>lt;sup>1</sup>This is in sharp contrast with vector bundles, which always admit sections.

where  $g(p) \in G$  such that  $p = s(\pi(p))g(p)$ , it always exsits since the right action of G is transitive on each fiber and it is unique since the action is free on each fiber. Clearly, it's G-equivariant, since

$$\varphi(ph) = (\pi(ph), g(ph)) = (\pi(p), g(p)h)$$

and the last equality holds since

$$ph = s(\pi(ph))g(ph) = s(\pi(p))g(ph) = pg^{-1}(p)g(ph) \implies h = g^{-1}(p)g(ph)$$

Thus  $\varphi \colon P \to M \times G$  is a morphism between principal G-bundles over M, so by Proposition 1.2.1, P is isomorphic to  $M \times G$ , that is P is trivial principal G-bundle.

1.3. Associated fiber bundle. Given a principal G-bundle  $\pi: P \to M$  and a smooth manifold F admitting a smooth left G-action on it.

**Proposition 1.1.** The set  $P \times_G F := P \times F / \sim$ , where  $(p, f) \sim (p', f')$  if and only if p' = pg,  $f' = g^{-1}f$ , admits a fiber bundle structure over M with fiber F.

*Proof.* Consider the map taking an equivalence class [p, f] to  $\pi(p)$ . To see the local structure, since we already have the local structure of principal bundle P, i.e. for any  $x \in M$ , there exists open  $U_{\alpha} \ni x$  and  $\varphi_{\alpha} : \pi^{-1}(U_{\alpha}) \to U_{\alpha} \times G$ . Now we define the local trivialization of  $P \times_G V$  as

$$\varphi_{\alpha}^{V}: (P \times_{G} V)|_{U_{\alpha}} \to U_{\alpha} \times V$$
$$(p, v) \mapsto (\pi(p), q_{\alpha}(p)v)$$

First note that this is well-defined, since

$$(pg, g^{-1}v) \mapsto (\pi(pg), g_{\alpha}(pg)g^{-1}v) = (\pi(p), g_{\alpha}(p)gg^{-1}v) = (\pi(p), g_{\alpha}(p)v)$$

And this map is one to one, and invertible, its inverse sends  $(x, v) \in U_{\alpha} \times V$  to the equivalence class of  $(\varphi_{\alpha}^{-1}(x, e), v)$ . Directly check as follows

$$\varphi_{\alpha}^{V}(\varphi_{\alpha}^{-1}(x,e),v) = (x,ev)$$
$$= (x,v)$$

since 
$$\pi(\varphi_{\alpha}^{-1}(x,e)) = x$$
 and  $g_{\alpha}(\varphi_{\alpha}^{-1}(x,e)) = e$ .

Remark 1.3.1 (transition function of associated bundle). Though we've found the local trivialization of  $P \times_G V$ , it's also necessary to see what does the transition functions look like.

Let  $U_{\alpha}, U_{\beta}$  be open sets with non-empty intersection  $U_{\alpha\beta}$ , and  $\varphi_{\alpha}, \varphi_{\beta}$  be local trivializations of principal bundles, with transition functions

$$\varphi_{\alpha} \circ \varphi_{\beta}^{-1} : U_{\alpha\beta} \times G \to U_{\alpha\beta} \times G$$

$$(x, q) \mapsto (x, q_{\alpha\beta}(x)q)$$

then we can compute the transition functions of associated vector bundles as follows

$$\varphi_{\alpha}^{V} \circ (\varphi_{\beta}^{V})^{-1} : U_{\alpha\beta} \times V \to U_{\alpha\beta} \times V$$
$$(x,v) \mapsto (\varphi_{\beta}^{-1}(x,e),v) \mapsto (x,g_{\alpha\beta}(x)v)$$

**Example 1.3.1** (associated vector bundle). Now let's consider a special case, that is associated vector bundles. Given a representation of G, that is a group homomorphism  $\rho: G \to \mathrm{GL}(V)$ , thus you can construct a vector bundle  $P \times_G V$ . However, there is a more simple way to construct in transition functions viewpoint: By Remark 1.3.1, we can see the transition function of this associated vector bundle is  $\{\rho \circ g_{\alpha\beta}\}$ , where  $\{g_{\alpha\beta}\}$  is transition function of P.

Remark 1.3.2 (relations between vector bundle and principal bundle). If we consider real vector bundles, we have the following one to one correspondence

$$\phi: \mathcal{P}_{\mathrm{GL}(n,\mathbb{R})} M \to \mathrm{Vect}_n^{\mathbb{R}} M$$
$$P \mapsto P \times_{\rho} \mathbb{R}^n$$

where  $\rho: \operatorname{GL}(n,\mathbb{R}) \to \operatorname{GL}(n,\mathbb{R})$  is trivial representation, that is, identity map. The inverse  $\psi$  is given by considering frame bundle of vector bundle. Furthermore, if we endow vector bundle a Riemannian metric, then it can be regarded as a  $\operatorname{O}(n)$ -principal bundle, and one can show it's independent of the choice of Riemannian metric, thus in fact we have the following one to one correspondence

$$\mathcal{P}_{\mathrm{O}(n)}M \Longleftrightarrow \mathrm{Vect}_n^{\mathbb{R}}M$$

Similarly we also have

$$\mathcal{P}_{\mathrm{U}(n)}M \Longleftrightarrow \mathrm{Vect}_n^{\mathbb{C}}M$$

In this viewpoint, principal G-bundles generalize the ideal of vector bundles.

**Example 1.3.2.** There are two important examples of associated bundles that we will use later.

- 1. The associated bundle obtained from G acts on G by conjugation, denoted by  $\operatorname{Ad} P$ .
- 2. The associated vector bundle obtained from G acts on  $\mathfrak g$  by adjoint representation, denoted by ad P.

Remark 1.3.3. A philosophy of geometry is that we can study the objects lying over this geometric objects to study this geometric object itself, and that's why we study the vector bundle over a smooth manifold. Note that for a principal G-bundle, you can obtain a vector bundle from a representation of G. However, there are too many representations of G, so special representations may correspond to special vector bundles.

**Proposition 1.3.1.** There is a one to one correspondence

$$C^{\infty}(M, P \times_G F) \stackrel{1-1}{\longleftrightarrow} \{f \colon P \to F \mid f \text{ is smooth and } f(xg) = g^{-1}f(x)\}$$

*Proof.* For smooth function  $f: P \to F$  which is G-equivariant, consider  $s_f \in C^{\infty}(M, P \times_G F)$  given by

$$s_f(x) = \{(p, f(p)) \mid \pi(p) = x\}$$

where  $x \in M$ . It's well-defined, since if we choose pg instead of p for some  $g \in G$ , then  $s_f(x) = (pg, f(pg)) = (pg, g^{-1}f(p)) \sim (p, f(p)) \in P \times_G F$ . Conversely, given  $s \in C^{\infty}(M, P \times_G F)$ , then for any  $p \in P$ , we consider  $\pi(p) = x \in M$  and write s(x) = [(p, v)], then we define f(p) = v. It's clear  $f(pg) = g^{-1}f(p)$ , since  $[(p, v)] = [(pg, g^{-1}v)]$ .

In fact, this proposition is not a coincidence, and it's a quite important motivation which shows why we introduce principal G-bundles. If  $\pi\colon P\to M$  is a principal G-bundle, and E is a vector bundle over M such that E is an associated vector bundle of P, then if we use  $\pi$  to pull E back to P, we claim that the vector bundle  $\pi^*E$  is the trivial bundle  $P\times V$  over P. Indeed, we define the following bundle map

$$\psi: P \times V \to P \times_G V$$
$$(p, v) \mapsto [p, v]$$

and consider the following diagram

$$\begin{array}{ccc} P \times V & \longrightarrow & P \\ \downarrow^{\psi} & & \downarrow^{\pi} \\ E = P \times_G V & \longrightarrow & M \end{array}$$

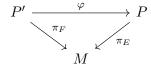
Clearly  $P \times V$  satisfies the universal property of pullback, thus by uniqueness we obtain  $\pi^*E \cong P \times V$ .

It's clear sections of trivial bundle  $P \times V$  can be regard as smooth functions  $f \colon P \to V$ , and by relation between sections of bundle and its pullback bundle, there is no surprise you have one to one correspondence in Proposition 1.3.1.

Remark 1.3.4. More generally, we can use  $\pi$  to pull  $(P \times_G V) \otimes E'$  back to P, and prove it's  $(P \times V) \otimes \pi^* E'$  by the same method. The cases we will encounter are  $E' = T^*M$  or  $E' = \bigwedge^k T^*M$ . We use  $\Omega_M^k(P \times_G V)$  to denote  $(P \times_G V) \otimes \bigwedge^k T^*M$ , the generalization tells that we have the one to one correspondence between sections of  $\Omega_M^k(P \times_G V)$  and sections of  $(P \times V) \otimes \pi^* \bigwedge^k T^*M$  with equivariant conditions, we will call such forms basic forms, a conception we will define in section 3.3.

1.4. Reduction of principal bundle. Given a principal G-bundle  $\pi: P \to M$  and a H-principal bundle  $\pi': P' \to M$ . Furthermore, there is a Lie group homomorphism  $\alpha: H \to G$ .

**Definition 1.4.1** (reduction). If there exists a smooth map  $\varphi: P' \to P$  such that the following diagram commutes



and  $\varphi$  is H-equivariant, that is for any  $p \in F, h \in H$ 

$$\varphi(ph) = \varphi(p)\alpha(h)$$

Then P is called an extension of P' from H to G and P' is called an reduction of P from G to H.

Remark 1.4.1. Here are two cases we're concern about:

- 1. H < G is a subgroup,  $\alpha$  is an inclusion.
- 2.  $\alpha: H \to G$  is surjective, for example, H is universal covering of G.

Extension of principal bundle always exists, and it's unique, according to the following proposition.

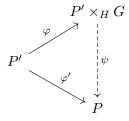
**Proposition 1.4.1.** Given a Lie group homomorphism  $\alpha: H \to G$  and a H-principal bundle P', there exists a unique extension of P' from H to G.

*Proof.* Existence: Note that  $\alpha: H \to G$  gives a smooth left H-action on G, then consider associated fiber bundle  $P' \times_H G$ , it's a principal G-bundle, and if we define

$$\varphi \colon P' \to P' \times_H G$$
$$p' \mapsto [p', 1]$$

Then  $\varphi$  is desired equivariant map which makes diagram commutes.

Uniqueness: If there is another extension  $\varphi': P' \to P$ , in order to make the following diagram commutes



we define  $\psi$  by  $\psi([p,1]) = \varphi'(p)$ . Thus principal G-bundles  $P' \times_H G$  and P are isomorphic to each other.

However, reduction may not exist.

**Lemma 1.4.1.** Let  $\alpha: H \to G$  be a Lie group homomorphism, P is a principal G-bundle with transition functions  $\psi_{\alpha\beta}: U_{\alpha\beta} \to G$ . The following statements are equivalent:

- 1. There exists reduction of P from G to H;
- 2. There exists  $\varphi_{\alpha\beta}: U_{\alpha\beta} \to H$  such that  $\alpha \circ \varphi_{\alpha\beta} = \psi_{\alpha\beta}$ .

12 BOWEN LIU

Corollary 1.4.1. Let P be a principal G-bundle and H is a Lie subgroup of G, then there exists a reduction of P from G to H if and only if there exists transition functions of P valued in H.

**Example 1.4.1.** If  $E \to M$  is a complex vector bundle with a hermitian inner product, then a local trivialization

$$\varphi_{\alpha}: \pi^{-1}(U_{\alpha}) \to U_{\alpha} \times \mathbb{C}^n$$

gives a hermitian inner product on  $\mathbb{C}^n$ . Thus a transition function must preserve the inner product, thus

$$U_{\alpha} \cap U_{\beta} \longrightarrow \operatorname{GL}_{n}(\mathbb{C})$$

$$\downarrow \qquad \qquad \downarrow$$

$$U(n)$$

This gives a reduction of  $GL_n(\mathbb{C})$ -principal bundle to a U(n)-principal bundle.

**Example 1.4.2.** If  $E \to M$  is a real vector bundle, by the same argument we can always reduce its frame bundle P, that is a  $GL_n(\mathbb{R})$ -principal bundle, to a O(n)-principal bundle. Furthermore,

- 1. P can be reduced to a SO(n)-principal bundle if and only if E is orientable:
- 2. P can be reduced to a  $\{e\}$ -principal bundle if and only if E is trivial.

**Example 1.4.3.** Let M be an oriented Riemannian manifold, then TM is a SO(n)-principal bundle. Consider universal covering  $Spin(n) \xrightarrow{2:1} SO(n)$ . If there exists a reduction from SO(n) to Spin(n), then we say M admits a spin structure.

#### 2. Connection of Principal Bundle

2.1. Forms valued in vector space. In this section, let M be a smooth manifold, V a vector space with basis  $\{e_{\alpha}\}$  and G a Lie group with Lie algebra  $\mathfrak{g}$ . A k-form valued in vector space V can be written as

$$\omega = \omega^{\alpha} e_{\alpha}$$

where  $\omega^{\alpha}$  are k-forms. We use  $\Omega_{M}^{k}(V)$  to denote the bundle of k-forms valued in V. It's an easy generalization of differential forms, just by replacing  $\mathbb{R}$  with a general vector space, and properties of k-forms also hold for k-forms value in V.

**Definition 2.1.1** (exterior derivative). Let  $\omega = \omega^{\alpha} e_{\alpha}$  be a k-form valued in V, then its exterior derivative is defined as

$$d\omega = d\omega^{\alpha} e_{\alpha}$$

**Proposition 2.1.1** (Cartan's formula). Let  $\omega = \omega^{\alpha} e_{\alpha}$  be a k-form valued in V, then

$$(d\omega)(X_1, \dots, X_{k+1}) = \sum_{i=1}^{k+1} (-1)^{i+1} X_i \omega(X_1, \dots, \widehat{X_i}, \dots, X_{k+1})$$
  
+ 
$$\sum_{i < j} (-1)^{i+j} \omega([X_i, X_j], X_1, \dots, \widehat{X_i}, \dots, \widehat{X_j}, \dots, X_{k+1})$$

where  $X_i$  are vector fields.

**Definition 2.1.2** (wedge product). Let  $\omega_1, \omega_2$  be forms valued in V with degree k and l respectively, then

$$(\omega_1 \wedge \omega_2)(X_1, \dots, X_{k+l}) := \frac{1}{k! \times l!} \sum_{\sigma \in S_{k+l}} (-1)^{|\sigma|} \omega_1(X_{\sigma(1)}, \dots, X_{\sigma(k)}) \otimes \omega_2(X_{\sigma(k+1)}, \dots, X_{\sigma(k+l)})$$

where  $X_i$  are vector fields.

**Proposition 2.1.2.** Let  $\omega_i$ , where i = 1, 2, 3, be forms valued in V, then

- 1.  $(\omega_1 \wedge \omega_2) \wedge \omega_3 = \omega_1 \wedge (\omega_2 \wedge \omega_3)$ ;
- 2.  $d(\omega_1 \wedge \omega_2) = d\omega_1 \wedge \omega_2 + (-1)^{\deg \omega_1} \omega_1 \wedge d\omega_2$ .

**Definition 2.1.3.** Let  $T: V \to W$  be a linear map between vector spaces, and  $\omega$  is a k-form valued in V, then  $T\omega$  is a k-form valued in W, which is defined as

$$T\omega(X_1,\ldots,X_k):=T(\omega(X_1,\ldots,X_k))$$

where  $X_i$  are vector fields.

**Example 2.1.1.** Let  $\omega_1, \omega_2$  be forms with degree k and l respectively, then by our definition one has  $\omega_1 \wedge \omega_2 \in \Omega_M^{k+l}(\mathbb{R} \otimes \mathbb{R})$ . It's a little bit different from standard definition of wedge product, since  $\omega_1 \wedge \omega_2$  should be a (k+l)-form. If we consider

$$T: \mathbb{R} \otimes \mathbb{R} \to \mathbb{R}$$
$$a \otimes b \mapsto ab$$

Then  $T(\omega_1 \wedge \omega_2)$  is a (k+l)-form, coincides with standard definition, so we just denote  $T(\omega_1 \wedge \omega_2)$  by  $\omega_1 \wedge \omega_2$  for convenience.

**Example 2.1.2.** Let  $\omega_1$  be a k-form valued in  $\mathfrak{g}$ , and  $\omega_2$  a l-form valued in V. Given a representation  $\rho: G \to \mathrm{GL}(V)$ , it induces a representation of Lie algebra, that is  $\rho_*: \mathfrak{g} \to \mathfrak{gl}(V)$ . If we consider

$$T: \mathfrak{g} \otimes V \to V$$
$$\xi \otimes v \mapsto \rho_*(\xi)v$$

Then we have  $T(\omega_1 \wedge \omega_2)$  is a (k+l)-form valued in V, we just denote it by  $\omega_1 \wedge \omega_2$  for convenience.

**Example 2.1.3.** Let  $\omega_1, \omega_2$  be forms valued in  $\mathfrak{g}$  with degree k and l respectively, by our definition  $\omega_1 \wedge \omega_2$  is a (k+l)-form valued in  $\mathfrak{g}$ . If we consider

$$T: \mathfrak{g} \otimes \mathfrak{g} \to \mathfrak{g}$$
$$\xi \otimes \eta \mapsto [\xi, \eta]$$

Then we have  $T(\omega_1 \wedge \omega_2)$  is a (k+l)-form valued in  $\mathfrak{g}$ , we just denote it by  $\omega_1 \wedge \omega_2$  for convenience.

Remark 2.1.1. If Lie group  $G = \mathrm{GL}(n,\mathbb{R})$ , then  $\mathfrak{g} = \mathfrak{gl}(n,\mathbb{R})$  consists of matrix. Thus in this case for any  $\xi, \eta \in \mathfrak{g}$ , we can define T as multiplying them together to obtain an element in  $\mathfrak{gl}(n,\mathbb{R})$ . However, these two definitions may cause some misunderstandings.

**Example 2.1.4.** Let  $\omega$  be a 1-form valued in  $\mathfrak{g}$ , then for vector fields X, Y, one has

$$\begin{split} \omega \wedge \omega(X,Y) &= T((\omega \wedge \omega)(X,Y)) \\ &= T(\frac{1}{1! \times 1!}(\omega(X) \otimes \omega(Y) - \omega(Y) \otimes \omega(X))) \\ &= [\omega(X), \omega(Y)] - [\omega(Y), \omega(X)] \\ &= 2[\omega(X), \omega(Y)] \end{split}$$

Remark 2.1.2. If T is choose as in Remark 2.1.1, then in this case we have

$$\omega \wedge \omega(X,Y) = [\omega(X),\omega(Y)]$$

That's where misunderstanding lies. Different authors may use different notations, so be careful!

**Proposition 2.1.3.** Let  $\omega$  be a 1-form valued in  $\mathfrak{g}$ , then

$$(\omega \wedge \omega) \wedge \omega = \omega \wedge (\omega \wedge \omega) = 0$$

*Proof.* For arbitrary vector fields X, Y and Z, one has

$$\begin{split} (\omega \wedge \omega) \wedge \omega(X,Y,Z) &= \frac{1}{2! \times 1!} \{ [\omega \wedge \omega(X,Y),\omega(Z)] + [\omega \wedge \omega(Y,Z),\omega(X)] + [\omega \wedge \omega(Z,X),\omega(Y)] \\ &- [\omega \wedge \omega(Y,X),\omega(Z)] + [\omega \wedge \omega(Z,Y),\omega(X)] + [\omega \wedge \omega(X,Z),\omega(Y)] \} \\ &= \frac{2}{2! \times 1!} \{ [[\omega(X),\omega(Y)],\omega(Z)] + [[\omega(Y),\omega(Z)],\omega(X)] + [[\omega(Z),\omega(X)],\omega(Y)] \\ &- [[\omega(Y),\omega(X)],\omega(Z)] + [[\omega(Z),\omega(Y)],\omega(X)] + [[\omega(X),\omega(Z)],\omega(Y)] \} \end{split}$$

This equals to zero according to Jacobi identity of Lie bracket.  $\Box$ 

**Proposition 2.1.4.** Let  $\omega_1, \omega_2$  be forms valued in  $\mathfrak{g}$  with degree k and l respectively, then

$$\omega_1 \wedge \omega_2 = (-1)^{kl+1} \omega_2 \wedge \omega_1$$

*Proof.* Note that for a k-form  $\omega_1$  and a l-form  $\omega_2$ , we have

$$\omega_1 \wedge \omega_2 = (-1)^{kl} \omega_2 \wedge \omega_1$$

But in this case, there is one more -1 coming from Lie bracket.

# 2.2. Maurer-Cartan form.

**Example 2.2.1** (Maurer-Cartan form). The Maurer-Cartan form  $\theta$ , which is defined by

$$\theta_g := (L_{g^{-1}})_*$$

is a  $\mathfrak{g}$ -valued 1-form on G. Indeed, since tangent bundle of Lie group is trivial, so we may assume vector field X is left-invariant, then

$$\theta_g(X_g) = (L_{g^{-1}})_*(L_g)_*X_e = X_e \in \mathfrak{g}$$

where  $X_g$  means value of X at point  $g \in G$ .

Remark 2.2.1. If G is a matrix group, we also use  $g^{-1}dg$  to denote its Maurer-Cartan form, which is easy to compute. For example,

Example 2.2.2. Consider  $G=\mathrm{SO}(2)\subset\mathrm{GL}(2,\mathbb{R}).$  We may parametrize  $\mathrm{SO}(2)$  by

$$g(\theta) = \begin{pmatrix} \cos \theta & -\sin \theta \\ \sin \theta & \cos \theta \end{pmatrix}$$

where  $\theta \in \mathbb{R}$ . Then directly compute we have

$$\omega = g^{-1} dg$$

$$= \begin{pmatrix} \cos \theta & \sin \theta \\ -\sin \theta & \cos \theta \end{pmatrix} \begin{pmatrix} -\sin \theta d\theta & -\cos \theta d\theta \\ \cos \theta d\theta & -\sin \theta d\theta \end{pmatrix}$$

$$= \begin{pmatrix} 0 & -d\theta \\ d\theta & 0 \end{pmatrix}$$

2.3. **Motivation.** In fact, here we use principal G-bundle as a tool to study geometry of vector bundle E, that is to give a connection on E, if E is an associated vector bundle of P. Recall a connection on E is defined as the following linear operator

$$\nabla: C^{\infty}(M, E) \to C^{\infty}(M, \Omega_M^1(E))$$

satisfying Leibniz rule.

Suppose vector bundle E is associated to principal G-bundle  $\pi: P \to M$ , and written as  $P \times_G V$ , then from Proposition 1.3.1, we have a one to one correspondence between sections of E with G-equivariant maps from P to V. Given a section s of E, if we use  $s^P$  to denote the G-equivariant map obtained from one to one correspondence, it's easy to take derivatives of  $s^P$  to obtain a 1-form on P valued in V, that is a G-equivariant fiber-wise linear map from TP to V.

However, this 1-form does not by itself define a covariant derivative of s. As what we've defined,  $\nabla s \in C^{\infty}(M, \Omega_M^1(E))$ , so by Remark 1.3.4, a covariant derivative appears upstairs on P is supposed to be a G-equivariant section over  $(P \times V) \otimes \pi^* T^* M$ , that is a G-equivariant fiber-wise linear map from  $\pi^* TM$  to V.

To see what is missing, it is important to keep in mind that TP has some properties that arise from the fact that P is a principal bundle over M. In fact, we have the following exact sequence

$$(2.1) 0 \to \ker \pi_* \to TP \to \pi^*TM \to 0$$

This exact sequence is quite important, let's make following remarks:

Remark 2.3.1. The map from  $\ker \pi_*$  is clearly an inclusion. And the map from TP to  $\pi^*TM$  is characterized as follows

$$TP \to \pi^*TM \subset P \times TM$$
  
 $v \mapsto (p, \pi_*v)$ 

where  $v \in T_p P$ .

Remark 2.3.2.  $\ker \pi_*$  is isomorphic to trivial bundle  $P \times \mathfrak{g}$ . Indeed, we have the following bundle isomorphism

$$\psi: P \times \mathfrak{g} \to \ker \pi_*$$
 d

$$(p,X) \mapsto \sigma(X)_p := \frac{\mathrm{d}}{\mathrm{d}t} \Big|_{t=0} pe^{tX}$$

where  $\sigma(X)_p$  means the value of  $\sigma(X)$  at p. It's clear  $\sigma(X) \in \ker \pi_*$ , since for each  $p \in P$ ,

$$\pi_*(\sigma(X)_p) = \frac{\mathrm{d}}{\mathrm{d}t} \Big|_{t=0} \pi(pe^{tX})$$
$$= \frac{\mathrm{d}}{\mathrm{d}t} \Big|_{t=0} \pi(p)$$
$$= 0$$

Remark 2.3.3 (G-equivariance of exact sequence). The action of G on P can be lifted to the exact sequence (2.1), as follows:

Let  $R_g: P \to P$  denote the action of  $g \in G$  on P, given by  $p \mapsto pg$ .

1. The G action on TP is given by  $(R_g)_*: TP \to TP$ , and it descends to  $\ker \pi_*$  since if  $v \in \ker \pi_*$ , then

$$\pi_*((R_g)_*v) = (\pi \circ R_g)_*(v)$$
$$= \pi_*(v)$$
$$= 0$$

2. The G action on  $\pi^*TM$  is given by sending defined by sending a pair  $(p,v) \in P \times TM$  to the pair (pg,v).  $(pg,v) \in \pi^*TM$  since  $\pi(pg) = \pi(p) = \pi(v)$ .

Furthermore, we claim the exact sequence (2.1) is equivariant with respect to the lifts.

- 1. It automatically holds for inclusion map from ker  $\pi_*$  to TP, since G action on ker  $\pi_*$  is obtain from descending the one on TP;
- 2. It holds for the map from TP to  $\pi^*TM$ , since for  $v \in TP$  we have  $(R_g)_*v$  is sent to  $(pg, \pi_*(R_g)_*v)$ , that is exactly  $(pg, \pi_*v)$ , since  $\pi \circ R_g = \pi$ .

If we want to identify  $\ker \pi_*$  as  $P \times \mathfrak{g}$ , we need to choose a G-action on  $\mathfrak{g}$  properly such that the isomorphism  $\psi$  is G-equivariant. It turns out to be adjoint representation. Indeed, we compute as follows

$$(R_g)_* \psi(p, X) = (R_g)_* \left( \frac{\mathrm{d}}{\mathrm{d}t} \Big|_{t=0} p \exp(tX) \right)$$

$$= \frac{\mathrm{d}}{\mathrm{d}t} \Big|_{t=0} p \exp(tX) g$$

$$= \frac{\mathrm{d}}{\mathrm{d}t} \Big|_{t=0} (pg) \left( g^{-1} \exp(tX) g \right)$$

$$= \psi(pg, \mathrm{Ad}(g^{-1})X)$$

2.4. Connection on principal bundle. So if we want to obtain a fiberwise linear map  $TP \to V$ , we need exact sequence (2.1) splitting. In other words, we desire there exists a G-equivariant  $\omega: TP \to P \times \mathfrak{g}$ , such that  $\omega|_{P \times \mathfrak{g}}$  is identity. Such  $\omega$  is called a connection on principal G-bundle P.

**Definition 2.4.1** (connection on principal bundle). Let  $\pi: P \to M$  be a principal G-bundle. If  $\omega \in C^{\infty}(P, \Omega_P^1(\mathfrak{g}))$  satisfies

- 1. For any  $X \in \mathfrak{g}$ ,  $\omega(\sigma(X)) = X$ ;
- 2. For any  $g \in G$ ,  $R_q^* \omega = \operatorname{Ad}(g^{-1}) \circ \omega$

Then  $\omega$  is called a connection on P.

**Notation 2.4.1.** We use  $\mathscr{A}(P)$  to denote the set of all connections on P.

Remark 2.4.1 (horizontal distribution viewpoint). If we define  $H = \ker \omega$ , then

$$TP = H \oplus (P \times \mathfrak{g})$$

such that  $(R_g)_*H_p = H_{pg}$ . H is called a horizontal distribution and  $P \times \mathfrak{g}$  is called vertical distribution. Conversely, give a horizontal distribution, one can also construct a connection, they're the same things.

**Example 2.4.1** (connection on trivial principal bundle). Consider trivial principal G-bundle  $P = M \times G$ . Recall we have a Maurer-Cartan form  $\theta$ , which is a 1-form valued in  $\mathfrak{g}$ . Then we can use  $\pi_2 : M \times G \to G$  to pull it back to P to obtain a 1-form on P valued in  $\mathfrak{g}$ , which is called Maurer-Cartan form on trivial principal G-bundle, and it's denoted  $\omega_{mc}$ . Now let's check  $\omega_{mc}$  gives a connection on trivial principal bundle.

1. For any  $X \in \mathfrak{g}$ , we have

$$\omega_{mc}(\sigma(X)) = \pi_2^* \theta\left(\frac{\mathrm{d}}{\mathrm{d}t} \Big|_{t=0} (x, g) e^{tX}\right)$$

$$= \theta\left(\frac{\mathrm{d}}{\mathrm{d}t} \Big|_{t=0} g e^{tX}\right)$$

$$= (L_{g^{-1}})_* \left(\frac{\mathrm{d}}{\mathrm{d}t} \Big|_{t=0} g e^{tX}\right)$$

$$= \frac{\mathrm{d}}{\mathrm{d}t} \Big|_{t=0} e^{tX}$$

$$= X$$

2. It suffices to check  $R_g^*\theta = \operatorname{Ad}(g^{-1}) \circ \theta$  holds for  $g \in G$ . For any left-invariant vector field X, recall that  $\theta(X) = X_e$ , thus

$$R_g^*\theta(X) = \theta((R_g)_*X) = ((R_g)_*X)_e = (L_{g^{-1}})_*(R_g)_*X_e$$

that's exactly  $\operatorname{Ad}(g^{-1}) \circ \theta(X)$ .

Remark 2.4.2. It's clear to see  $\ker \omega_{mc} = \pi^*TM$ , since  $\omega_{mc}$  is pullback from a 1-form on G, thus in this case

$$TP = \pi^*TM \oplus \pi_2^*TG$$

that's exactly canonical splitting of TP.

#### 2.5. Gauge group.

**Definition 2.5.1** (gauge group). For a principal G-bundle P, the gauge group  $\mathcal{G}(P)$  is the group of G-automorphism of P, that is G-equivariant diffeomorphism  $\Phi: P \to P$  such that  $\pi = \pi \circ \Phi$ .

**Definition 2.5.2** (gauge transformation). An element in  $\mathcal{G}(P)$  is called gauge transformation.

Remark 2.5.1 (local expression of gauge transformation). For a gauge transformation  $\Phi$ , if we consider its action on local trivialization  $\varphi_{\alpha} : \pi^{-1}(U_{\alpha}) \to U_{\alpha}$ , we have  $\varphi_{\alpha}(\Phi(p)) = (\pi(p), g_{\alpha}(\Phi(p)))$ , which induces a map  $\bar{\phi}_{\alpha} : \pi^{-1}(U_{\alpha}) \to G$  by

$$\widetilde{\phi}_{\alpha}(p) = g_{\alpha}(\Phi(p))g_{\alpha}(p)^{-1}$$

By the equivariance of  $g_{\alpha}$  and  $\Phi$  we have  $\widetilde{\phi}$  is G-invariant, which implies  $\widetilde{\phi}_{\alpha}(p) = \phi_{\alpha}(\pi(p))$  for some  $\phi_{\alpha}: U_{\alpha} \to G$ .

If we consider on the overlaps  $x \in U_{\alpha\beta}$  with  $p = \pi^{-1}(x)$ . Then

$$\phi_{\alpha}(x) = g_{\alpha}(\Phi(p))g_{\alpha}(p)^{-1}$$

$$= g_{\alpha}(\Phi(p))g_{\beta}(\Phi(p))^{-1}g_{\beta}(\Phi(p))g_{\beta}(p)^{-1}g_{\beta}(p)g_{\alpha}(p)^{-1}$$

$$= g_{\alpha\beta}(x)\phi_{\beta}(x)g_{\alpha\beta}(x)^{-1}$$

This shows  $\{\phi_{\alpha}\}$  defines a global section of associated bundle obtained from G acts on G by conjugation, that is  $\operatorname{Ad} P$  defined in Example 1.3.2. In fact, we have the following one to one correspondence.

**Proposition 2.5.1.** There is one to one correspondence between the group  $\mathcal{G}(P)$  and  $C^{\infty}(M, \operatorname{Ad} P)$ .

*Proof.* We have already seen that a gauge transformation can give an element in  $C^{\infty}(M, \operatorname{Ad} P)$ . Conversely, by Proposition 1.3.1, there is a one to one correspondence between  $C^{\infty}(M, \operatorname{Ad} P)$  and smooth functions  $f \colon P \to G$  which is G-equivariant. For such f, consider  $\Phi_f \colon P \to P$  given by  $\Phi_f(p) = pf(p)$ .

- 1.  $\pi \circ \Phi_f = \pi$ , since  $\pi \circ \Phi_f(p) = \pi(pf(p)) = \pi(p)$
- 2. It's G-equivariant since

$$\Phi_f(pg) = pgf(pg)$$

$$= pgg^{-1}f(p)g$$

$$= pf(p)g$$

$$= \Phi_f(p)g$$

The two maps we constructed are clearly inverse to each other, giving the desired correspondence.  $\Box$ 

Now we're going to show  $\mathcal{G}(P)$  acts on  $\mathcal{A}(P)$ .

**Lemma 2.5.1.** For any  $X \in \mathfrak{g}$  and  $\Phi \in \mathcal{G}(P)$ , then

$$\Phi_*(\sigma(X)) = \sigma(X)$$

BOWEN LIU

20

*Proof.* Direct computation shows

$$\Phi_* \sigma(X) = \Phi_* \left( \frac{\mathrm{d}}{\mathrm{d}t} \middle|_{t=0} p e^{tX} \right)$$

$$= \frac{\mathrm{d}}{\mathrm{d}t} \middle|_{t=0} \Phi(p e^{tX})$$

$$= \frac{\mathrm{d}}{\mathrm{d}t} \middle|_{t=0} \Phi(p) e^{tX}$$

$$= \sigma(X)$$

**Proposition 2.5.2.**  $\mathscr{G}(P)$  acts on  $\mathscr{A}(P)$  via pullback.

*Proof.* For  $\omega \in \mathscr{A}(P)$  and  $\Phi \in \mathscr{G}(P)$ , let's check  $\Phi^*\omega \in \mathscr{A}(P)$ .

1. For any  $X \in \mathfrak{g}$ , we have

$$\Phi^*\omega(\sigma(X)) = \omega(\Phi_*\sigma(X))$$
$$= \omega(\sigma(X))$$
$$= X$$

2. Note that  $R_q^*\Phi^* = (R_g \circ \Phi)^* = (\Phi \circ R_g)^*$ , thus

$$\begin{split} R_g^*(\Phi^*\omega) &= \Phi^*(R_g^*\omega) \\ &= \Phi^*(\mathrm{Ad}(g^{-1}) \circ \omega) \\ &= \mathrm{Ad}(g^{-1}) \circ \Phi^*\omega \end{split}$$

Remark 2.5.2. Gauge theory concerns about "space" of orbit of  $\mathscr{G}(P)$ , that is  $\mathscr{A}(P)/\mathscr{G}(P)$ .

- 2.6. Local expression of connection. Instead of considering connection 1-form living on P, we want to convert it into the one living on base manifold M, since we want to use it to study connection of vector bundle over M. To do this, we divide the process into three steps:
- 1. Given a connection on trivial principal G-bundle, correspond it to a 1-form on M;
- 2. Figure out how does this correspondence transform under gauge transformation;
- 3. Since a G-principal is locally trivial, and you can regard transition functions as gauge transformation, then together above two step to conclude.
- 2.6.1. Baby case. Fix a trivial principal G-bundle  $P=M\times G$  and the following notations:
- 1.  $\pi: P \to M$  is natural projection, given by  $p = (x, g) \mapsto x \in M$ ;
- 2.  $i: M \to P$  is natural inclusion, given by  $x \mapsto (x, e) \in P$ .

**Lemma 2.6.1.** For any  $A \in C^{\infty}(M, \Omega_M^1(\mathfrak{g}))$ , there exists a unique  $\widetilde{A} \in C^{\infty}(P, \Omega_P^1(\mathfrak{g}))$  such that

- 1.  $i^*\widetilde{A} = A$ ;
- 2.  $\widetilde{A}(\sigma(X)) = 0$ , where  $X \in \mathfrak{g}$ ;
- 3.  $R_q^* \widetilde{A} = \operatorname{Ad}(g^{-1}) \circ \widetilde{A}$ .

*Proof.* Let's construct  $\widetilde{A}$  pointwise.

(a) For  $p = (x, e) \in M \times G$ , we have

$$T_pP = T_xM \oplus \mathfrak{g}$$

Then  $\widetilde{A}$  is uniquely determined at this point according to (1) and (2).

(b) At point p' = (x, g), it's clear p' = pg and  $(R_g)_* : T_pP \to T_{p'}P$  is an isomorphism, then for arbitrary  $v \in T_{p'}P$ , we may assume  $v = (R_g)_*w$  for some  $w \in T_pP$ , then

$$\widetilde{A}_{p'}(v) = \widetilde{A}_{pg}((R_g)_* w)$$

$$= (R_g^* \widetilde{A})_p(w)$$

$$= \operatorname{Ad}(g^{-1}) \circ \widetilde{A}(w)$$

**Proposition 2.6.1.**  $i^*: \mathscr{A}(P) \to C^{\infty}(M, \Omega^1_M(\mathfrak{g}))$  is bijective, that is

$$C^{\infty}(P, \Omega_{P}^{1}(\mathfrak{g})) \xrightarrow{i^{*}} C^{\infty}(M, \Omega_{M}^{1}(\mathfrak{g}))$$

$$\uparrow \qquad \qquad \downarrow \qquad \qquad \downarrow$$

*Proof.* For any  $A \in C^{\infty}(M, \Omega_M^1(\mathfrak{g}))$ , by Lemma 2.6.1 we have  $\omega_{mc} + \widetilde{A}$  is also a connection on P. Thus consider

$$\tau: \Omega_M^1(\mathfrak{g}) \to \mathscr{A}(P)$$
$$A \mapsto \omega_{mc} + \widetilde{A}$$

It's clear  $\tau$  is surjective, since for any  $\omega \in \mathcal{A}(P)$ , we have

$$\tau(i^*(\omega - \omega_{mc})) = \omega_{mc} + \omega - \omega_{mc} = \omega$$

Now it suffices to show  $i^*\tau = \mathrm{id}$ , which impiles  $\tau$  is injective thus bijective. Indeed, for  $A \in \Omega^1_M(\mathfrak{g})$ ,

$$i^*\tau(A) = i^*(\omega_{mc} + \widetilde{A}) = i^*\widetilde{A} = A$$

since  $i^*\omega_{mc} = 0$ .

2.6.2. How to glue. For gauge transformation  $\Phi$  we can write it as

$$\Phi(x,g) = (x, \varphi(x)g)$$

where  $\varphi \colon M \to G$  is smooth. So for any  $\omega \in \mathscr{A}(P)$ , if we write it as  $\omega = \omega_{mc} + \widetilde{A}$ . Then

$$i^*\Phi^*\omega = i^*\Phi^*(\omega_{mc} + \widetilde{A})$$
$$= i^*\Phi^*\pi_2^*\theta + i^*\Phi^*\widetilde{A}$$
$$= \varphi^*\theta + i^*\Phi^*\widetilde{A}$$

since  $\pi_2 \circ \Phi \circ i(x) = \pi_2 \circ \Phi(x, e) = \pi_2(x, \varphi(x)) = \varphi(x)$  for  $x \in M$ . So it suffices to compute  $i^*\Phi^*\widetilde{A}$ . For any vector field X, we have

$$(i^*\Phi^*\widetilde{A})(X) = \widetilde{A}(\Phi_*i_*(X))$$
$$= \widetilde{A}(\varphi_*X)$$
$$= \operatorname{Ad}(\varphi^{-1}) \circ \widetilde{A}(X)$$

Thus we have

$$i^*(\Phi^*\omega) = \varphi^*\theta + \operatorname{Ad}(\varphi^{-1}) \circ \widetilde{A}$$

2.6.3. General case. Let  $\pi: P \to M$  be a principal G-bundle with local trivialization  $\{(U_{\alpha}, \varphi_{\alpha})\}$ , we use  $i_{\alpha}: U_{\alpha} \to U_{\alpha} \times G$  to denote natural inclusion. For a connection  $\omega \in \mathscr{A}(P)$ , then we can write it locally on

$$i_{\alpha}^{*}(\varphi_{\alpha}^{-1})^{*}\omega|_{\pi^{-1}(U_{\alpha})} = A_{\alpha} \in \Omega^{1}_{U_{\alpha}}(\mathfrak{g})$$

Furthermore,

$$A_{\alpha} = \operatorname{Ad}(g_{\alpha\beta}^{-1}) \circ A_{\beta} + g_{\alpha\beta}^{*} \theta$$

where  $g_{\alpha\beta}:U_{\alpha}\cap U_{\beta}\to G$  are transition functions. Thus we have the following one to one correspondence.

### Proposition 2.6.2.

$$\mathscr{A}(P) \stackrel{1-1}{\Longleftrightarrow} \{ (A_{\alpha}) \in \prod_{\alpha} \Omega^1_{U_{\alpha}}(\mathfrak{g}) \mid A_{\alpha} = \operatorname{Ad}(g_{\alpha\beta}^{-1}) \circ A_{\beta} + g_{\alpha\beta}^* \theta \}$$

Remark 2.6.1. From this viewpoint, for two connection  $\omega_1$  and  $\omega_2$ , it's clear  $\omega_1 - \omega_2$  gives a global section of associated vector bundle ad P, thus  $\mathscr{A}(P)$  is an affine space modelled on  $C^{\infty}(M, \Omega^1_M(\operatorname{ad} P))$ . In particular, it's contractible.

# 3. Curvature of Principal Bundle

#### 3.1. **Definition.**

**Definition 3.1.1** (curvature). Let P be a principal G-bundle and  $\omega \in \mathscr{A}(P)$ . Curvature of  $\omega$  is defined as

$$\Omega := \mathrm{d}\omega + \frac{1}{2}\omega \wedge \omega \in C^{\infty}(P, \Omega_P^2(\mathfrak{g}))$$

**Example 3.1.1.** If  $P = M \times G$ , and  $\omega = \omega_{mc}$ , then  $\Omega = 0$ .

*Proof.* It suffices to check Maurer-Cartan form  $\theta \in \Omega^1_G(\mathfrak{g})$  satisfying

$$\mathrm{d}\theta + \frac{1}{2}\theta \wedge \theta = 0$$

which is called Maurer-Cartan equation. Let X, Y are two left-invariant vector fields on G, then

$$\theta(X) = (L_{g^{-1}})_* X_g = (L_{g^{-1}})_* (L_g)_* X_e = X_e$$

is constant. Thus

$$d\theta(X,Y) = -\theta([X,Y]) = -\frac{1}{2}\theta \wedge \theta(X,Y)$$

since 
$$X(\theta(Y)) = Y(\theta(X)) = 0$$
.

Theorem 3.1.1 (Bianchi identity).

$$d\Omega + \omega \wedge \Omega = 0$$

Proof.

$$d\Omega = d(d\omega + \frac{1}{2}\omega \wedge \omega)$$

$$= \frac{1}{2}d\omega \wedge \omega - \frac{1}{2}\omega \wedge d\omega$$

$$= -\omega \wedge d\omega$$

$$= -\omega \wedge (\Omega - \frac{1}{2}\omega \wedge \omega)$$

$$= -\omega \wedge \Omega$$

**Definition 3.1.2** (horizontal form). Let  $\omega$  be a 2-form on P valued in vector space V, it's called horizontal, if  $\omega(\sigma(X), \cdot) = 0$  for arbitrary  $X \in \mathfrak{g}$ .

**Proposition 3.1.1.**  $\Omega$  is a horizontal 2-form.

*Proof.* Divide computations into two parts:

1. If 
$$X, Y \in \mathfrak{g}$$
 and write  $X' = \sigma(X), Y' = \sigma(Y)$ , then

$$d\omega(X',Y') = X'(\omega(Y')) - Y'\omega(X') - \omega([X',Y'])$$

$$= X'(Y) - Y'(X) - [X,Y]$$

$$= -[X,Y]$$

$$= -\frac{1}{2}\omega \wedge \omega(X',Y')$$

2. If  $X \in \mathfrak{g}$  and Y is a horizontal vector field, note that

$$\frac{1}{2}\omega \wedge \omega(\sigma(X), Y) = 0$$

since  $\omega(Y) = 0$ . So it suffices to compute

$$d\omega(\sigma(X), Y) = \sigma(X)(\omega(Y)) - Y\omega(\sigma(X)) - \omega([\sigma(X), Y])$$
$$= -\omega([\sigma(X), Y])$$
$$= -\omega(\mathcal{L}_{\sigma(X)}Y)$$

However, we have

$$\mathscr{L}_{\sigma(X)}Y = \lim_{t \to 0} \frac{Y \circ \phi_t - Y}{t}$$

where  $\phi_t$  is the flow generated by  $\sigma(X)$ , thus it's clear  $\omega(\mathscr{L}_{\sigma(X)}Y) = 0$ .

Remark 3.1.1. Now let's give another explaination about horizontal: Given a horizontal distribution  $H \subset TP$ , we define the horizontal projection  $h: TP \to TP$  to be the projection onto the horizontal distribution along the vertical distribution. Since both H and V are invariant under the action of G, so is h.

Then  $\Omega = h^* d\omega$ . Indeed, it suffices to show for vector fields X, Y, one has

$$d\omega(hX, hY) = d\omega(X, Y) + \frac{1}{2}\omega \wedge \omega(X, Y)$$

Consider the following cases:

- 1. Let X,Y be horizontal. In this case there is nothing to prove, since  $\omega(X) = \omega(Y) = 0$  and hX = X, hY = Y;
- 2. If one of X, Y are vertical, then it's clear both sides are zero, since both  $\Omega$  and  $h^*d\omega$  are horizontal.

That is,  $\Omega(X,Y)=0$  if and only if [hX,hY] is horizontal. In other words, the curvature of the connection measures the failure of integrability of the horizontal distribution  $H \subset TP$ .

3.2. Local expression of curvature. Let  $\pi: P \to M$  be a principal G-bundle with local trivialization  $\{(U_{\alpha}, \varphi_{\alpha})\}$ , we use  $i_{\alpha}: U_{\alpha} \to U_{\alpha} \times G$  to denote natural inclusion. For connection  $\omega \in \mathscr{A}(P)$ , its curvature is defined as

$$\Omega = \mathrm{d}\omega + \frac{1}{2}\omega \wedge \omega$$

If we define  $\Omega_{\alpha} = (\varphi_{\alpha}^{-1})^* \Omega$ , which is a 2-form on  $U_{\alpha} \times G$ , and

$$F_{\alpha} := i_{\alpha}^* \Omega_{\alpha} \in C^{\infty}(U_{\alpha}, \Omega^1_{U_{\alpha}}(\mathfrak{g}))$$

By definition one has

$$F_{\alpha} = \mathrm{d}A_{\alpha} + \frac{1}{2}A_{\alpha} \wedge A_{\alpha}$$

Now we're going to show on  $U_{\alpha\beta}$ , one has

$$F_{\beta} = \operatorname{Ad}(g_{\alpha\beta}^{-1}) \circ F_{\alpha}$$

where  $g_{\alpha\beta}:U_{\alpha\beta}\to G$  are transition function. Note that

$$F_{\alpha} = dA_{\alpha} + \frac{1}{2}A_{\alpha} \wedge A_{\alpha}$$

$$= d(\operatorname{Ad}(g_{\alpha\beta}^{-1}) \circ A_{\beta} + g_{\alpha\beta}^{*}\theta) + \frac{1}{2}(\operatorname{Ad}(g_{\alpha\beta}^{-1}) \circ A_{\beta} + g_{\alpha\beta}^{*}\theta) \wedge (\operatorname{Ad}(g_{\alpha\beta}^{-1}) \circ A_{\beta} + g_{\alpha\beta}^{*}\theta)$$

Since  $\theta$  satisfies Maurer-Cartan equation, one has

$$g_{\alpha\beta}^*(\mathrm{d}\theta + \frac{1}{2}\theta \wedge \theta) = 0$$

In order to give a neat computation of Ad, we here assume G is a matrix group<sup>2</sup>. Then

$$\begin{split} \mathrm{d}(\mathrm{Ad}(g_{\alpha\beta}^{-1}) \circ A_{\beta}) &= \mathrm{d}(g_{\alpha\beta}^{-1} A_{\beta} g_{\alpha\beta}) \\ &= \mathrm{d}g_{\alpha\beta}^{-1} A_{\beta} g_{\alpha\beta} + g_{\alpha\beta}^{-1} \mathrm{d}A_{\beta} g_{\alpha\beta} + g_{\alpha\beta}^{-1} A_{\beta} \mathrm{d}g_{\alpha\beta} \\ &= \mathrm{d}g_{\alpha\beta}^{-1} A_{\beta} g_{\alpha\beta} + g_{\alpha\beta}^{-1} A_{\beta} \mathrm{d}g_{\alpha\beta} + \mathrm{Ad}(g_{\alpha\beta}^{-1}) \circ \mathrm{d}A_{\beta} \end{split}$$

And

$$dg_{\alpha\beta}^{-1}A_{\beta}g_{\alpha\beta} + g_{\alpha\beta}^{-1}A_{\beta}dg_{\alpha\beta} = -g_{\alpha\beta}^{-1}dg_{\alpha\beta} \wedge g_{\alpha\beta}^{-1}A_{\beta}g_{\alpha\beta} + g_{\alpha\beta}^{-1}A_{\beta}g_{\alpha\beta}g_{\alpha\beta}^{-1}dg_{\alpha\beta}$$
$$= -g_{\alpha\beta}^{*}\theta \wedge \operatorname{Ad}(g_{\alpha\beta}^{-1})A_{\beta} + \operatorname{Ad}(g_{\alpha\beta}^{-1})A_{\beta} \wedge g_{\alpha\beta}^{*}\theta$$

Remark 3.2.1. In order words,  $\{F_{\alpha}\}$  gives a global section of  $\Omega_M^2(\operatorname{ad} P)$ , which is denoted by  $F_{\omega}$ .

- 3.3. **Basic forms.** Recall our curvature form  $\Omega \in C^{\infty}(P, \Omega_P^2(\mathfrak{g}))$  has the following properties:
- 1.  $\Omega$  is horizontal;
- 2. It's Ad-equivariant, that is

$$R_q^*\Omega = \operatorname{Ad}(g^{-1}) \circ \Omega$$

**Definition 3.3.1** (basic form). Let  $\rho: G \to GL(V)$  be a representation of G, a k-form on P valued in V is called basic, if it satisfies

- 1.  $\Omega$  is horizontal;
- 2. It's  $\rho$ -equivariant, that is

$$R_g^*\Omega = \rho(g^{-1}) \circ \Omega$$

The set of all basic k-forms is denoted by  $C^{\infty}(P, \Omega_P^k(V))^{\text{basic}}$ .

**Example 3.3.1.** The curvature form is a basic 2-form.

<sup>&</sup>lt;sup>2</sup>In fact, most interesting cases we're concern about are matrix group

**Proposition 3.3.1.** Let  $\rho: G \to \operatorname{GL}(V)$  be a representation of G, if we use E to denote associated vector bundle  $P \times_{\rho} V$ , then there is an one to one correspondence

$$C^{\infty}(P, \Omega_P^k(V))^{\text{basic}} \stackrel{1-1}{\Longleftrightarrow} C^{\infty}(M, \Omega_M^k(E))$$

*Proof.* Given  $\widetilde{\omega} \in C^{\infty}(P, \Omega_P^k(V))^{\text{basic}}$ , now we're going to construct a  $\omega \in C^{\infty}(M, \Omega_M^k(E))$  pointwise, that is for arbitrary  $x \in M$  and  $v_1, \ldots, v_k \in T_xM$ , we give an assignment:

$$\omega_x(v_1,\ldots,v_k)\in E_x$$

Recall that  $E_x$  is an equivalent class [p, v], where  $p \in P, v \in V$ . Choose arbitrary  $p \in \pi^{-1}(x) \in P$ , since  $\pi_* : T_pP \to T_xM$  is surjective, we can choose  $\widetilde{v}_i \in T_pP$  such that  $\pi_*(\widetilde{v}_i) = v_i, i = 1, \ldots, k$ .

Now we define

$$\omega_x(v_1,\ldots,v_k) := [(p,\widetilde{\omega}_x(\widetilde{v_1},\ldots,\widetilde{v_k}))]$$

It's well-defined, that is it is independent of the choice of p and  $\widetilde{v}_1, \ldots, \widetilde{v}_k$ . Indeed, choose  $p' = pg \in \pi^{-1}(x)$  and  $\widetilde{v}'_1, \ldots, \widetilde{v}'_k \in T_{p'}P$  with  $\pi_*(\widetilde{v}'_i) = X_i, i = 1, \ldots, k$ . Note that for each i, one has  $(R_g)_*\widetilde{v}_i - \widetilde{v}'_i$  is vertical, since  $\pi_*((R_g)_*\widetilde{v}_i - \widetilde{v}'_i) = 0$ . Thus

$$\widetilde{\omega}_{p'}(\widetilde{v}_1', \dots, \widetilde{v}_k') \stackrel{(1)}{=} \widetilde{\omega}_{p'}((R_g)_* \widetilde{v}_1, \dots, (R_g)_* \widetilde{v}_k)$$

$$= (R_g^* \omega)_p(\widetilde{v}_1, \dots, \widetilde{v}_k)$$

$$\stackrel{(2)}{=} \rho(g^{-1}) \circ \omega_p(\widetilde{v}_1, \dots, \widetilde{v}_k)$$

where

- (1) holds from  $\widetilde{\omega}$  is horizontal;
- (2) holds from  $\widetilde{\omega}$  is G-equivariant.

This shows  $\omega$  is well-defined, since  $[(p, \widetilde{\omega}_x(\widetilde{v}_1, \dots, \widetilde{v}_k))] = [(p', \rho(g^{-1}) \circ \omega_p(\widetilde{v}_1, \dots, \widetilde{v}_k))]$  in E. Conversely, from above construction, there is a formula

(3.1) 
$$\omega_x(X_1,\ldots,X_k) = [(p,\widetilde{\omega}_p(\widetilde{X}_1,\ldots,\widetilde{X}_k))]$$

So it's clear how to construct  $\widetilde{\omega}$  when you have  $\omega \in C^{\infty}(M, \Omega_M^k(E))$ .

Remark 3.3.1. Above proposition is the key tool to study the geometry of vector bundle E via principal bundle, if E can be constructed as an associated vector bundle  $P \times_{\rho} V$ . Furthermore, it gives a explicit proof of motivation we said in Remark 1.3.4. In particular, if we consider the case k=0, then we have

$$\{f \colon P \to V \mid f(xg) = \rho(g^{-1})f(x)\} \stackrel{1-1}{\Longleftrightarrow} C^{\infty}(M, E)$$

since the former is exactly  $\Omega_P^0(V)^{\text{basic}}$ . This shows Proposition 1.3.1 again.

3.4. Relations between connections on principal bundle and its associated bundle. Now we're going to define connection on vector bundle  $E = P \times_{\rho} V$  using connection  $\omega$  on principal G-bundle P. Thanks to Proposition 3.3.1, it suffices to construct

$$d_{\omega}: C^{\infty}(P, \Omega_P^0(V))^{\text{basic}} \to C^{\infty}(P, \Omega_P^1(V))^{\text{basic}}$$

Note that there is a natural exterior derivative

$$d: C^{\infty}(P, \Omega_P^0(V)) \to C^{\infty}(P, \Omega_P^1(V))$$

However, it may not descend down to basic forms. Here we define

$$\mathbf{d}_{\omega}: C^{\infty}(P, \Omega_{P}^{0}(V))^{\text{basic}} \to C^{\infty}(P, \Omega_{P}^{1}(V))^{\text{basic}}$$
  
 $s \mapsto \mathbf{d}s + \rho_{*}(\omega)s$ 

where  $\rho_*: \mathfrak{g} \to \mathfrak{gl}(V)$  is representation of Lie algebra induced by  $\rho$ . Let's show  $d_{\omega}$  is well-defined.

1. It's G-equivariant:

$$(R_g)^*(d_{\omega}s) = R_g^*(ds + \rho_*(\omega)s)$$

$$= dR_g^*s + R_g^*(\rho_*(\omega)s)$$

$$= dR_g^*s + \rho_*(R_g^*\omega)R_g^*s$$

$$= dR_g^*s + \rho_*(Ad(g^{-1})\omega)R_g^*s$$

$$= dR_g^*s + Ad(\rho(g^{-1}))(\rho_*\omega)\rho_g^*s$$

$$= d\rho(g^{-1})s + Ad(\rho(g^{-1}))(\rho_*\omega)\rho(g^{-1})s$$

$$= d(\rho(g^{-1})s) + \rho(g^{-1})(\rho_*(\omega)s)$$

$$= \rho(g^{-1})d_{\omega}s$$

2. It's horizontal: For arbitrary vertical  $\sigma(X)$ 

$$d_{\omega}(s) = ds(\sigma(X)) + \rho_*(\omega(\sigma(X)))s$$
$$= ds(\sigma(X)) + \rho_*(X)s$$

So it suffices to check

$$\sigma(X)(s) = -\rho_*(X)s$$

Indeed,

$$\frac{\mathrm{d}}{\mathrm{d}t}\bigg|_{t=0} s e^{tX} = \left. \frac{\mathrm{d}}{\mathrm{d}t} \right|_{t=0} \rho(e^{-tX}) s$$

More generally, we can define

$$d_{\omega}: C^{\infty}(P, \Omega_{P}^{k}(V))^{\text{basic}} \to C^{\infty}(P, \Omega_{P}^{k+1}(V))^{\text{basic}}$$
$$s \mapsto ds + \rho_{*}(\omega) \wedge s$$

And one can check it's well-defined by the same method as above.

Remark 3.4.1. The case we're most interested in is  $V = \mathfrak{g}$  and  $\rho$  is adjoint representation. Since in this case  $\rho_*(X)$  acts on Y is exactly [X,Y], where  $X, Y \in \mathfrak{g}$ . In particular,

$$d_{\omega}(s) = ds + \omega \wedge s$$

where  $s \in C^{\infty}(P, \Omega_P^k(\mathfrak{g}))^{\text{basic}}$  and above wedge is wedge of forms valued in

Now we're going to back to base manifold M to give description of connection  $\nabla$  on E. Here are two methods:

1. Take  $E = \Omega_M^1(P \times_{\operatorname{Ad}} \mathfrak{g})$  as an example, since we need this example later. If  $U_{\alpha}$  is a local trivialization and  $\pi_{\alpha}: U_{\alpha} \times G \to U_{\alpha}$  is the projection to the first factor, section s of E on  $U_{\alpha}$  is a section of  $\Omega^1_{U_{\alpha}}(\mathfrak{g})$ , denoted by  $s_{\alpha}$ , then  $\widetilde{s}_{\alpha} := \pi_{\alpha}^* s_{\alpha}$  is a basic 1-form valued in  $\mathfrak{g}$ , and  $d_{\omega} \widetilde{s}_{\alpha} = d\widetilde{s}_{\alpha} + \omega \wedge \widetilde{s}_{\alpha}$ , then by using  $i_{\alpha}: U_{\alpha} \to U_{\alpha} \times G$  to pullback, one has

$$(3.2) ds_{\alpha} + A_{\alpha} \wedge s_{\alpha}$$

where  $A_{\alpha} \in C^{\infty}(U_{\alpha}, \Omega^{1}_{U_{\alpha}}(\mathfrak{g}))$  is given by  $\omega$ . 2. Let X be a vector field on M, s a section of E, we can give an explicit formula of  $\nabla_X s$  via formula (3.1). For  $x \in M$ , choose  $p \in \pi^{-1}(x)$ ,  $\widetilde{X}$  is horizontal such that  $\pi_*(\widetilde{X}) = X$  and  $\widetilde{s}: P \to V$  is G-equivariant map which corresponds to s, then

$$(\nabla_X s)_x = [(p, \widetilde{\nabla} \widetilde{s}(\widetilde{X}_p))$$

$$= [(p, (d\widetilde{s} + \rho_*(\omega) \widetilde{s})(\widetilde{X}_p))]$$

$$\stackrel{(1)}{=} [(p, d\widetilde{s}(\widetilde{X}_p))]$$

$$= [(p, \widetilde{X}_p(\widetilde{s}))]$$

where (1) holds from  $\widetilde{X}$  is horizontal.

From the second method, it's easy to see write

$$([\nabla_X, \nabla_Y]s - \nabla_{[X,Y]}s)_x = [(p, -\rho_*(\omega([\widetilde{X}, \widetilde{Y}]_p))\widetilde{s})]$$

here X, Y are vector fields on M. A natural question is what's the relation of curvature of  $\omega$  and  $\nabla$ .

Recall curvature of  $\omega$ , denoted by  $\Omega$ , is basic 2-form, and it can be regarded as a section of  $\Omega^2_M(\operatorname{ad} P)$ , we use  $\Omega \mapsto \Theta$  to denote this correspondence. Now let's compute  $\Theta$  via formula (3.1): For  $x \in M, v, w \in T_xM$ , choose  $\widetilde{v}, \widetilde{w}$  such that  $\pi_*(\widetilde{v}) = v$  and  $\pi_*(\widetilde{w}) = w$ . Without lose of generality, we may assume  $\widetilde{v}, \widetilde{w}$  are horizontal, then

$$\Theta_x(v, w) = [(p, \Omega_p(\widetilde{v}, \widetilde{w}))] \in (\operatorname{ad} P)_x$$

Note that

$$\Omega_{p}(\widetilde{v}, \widetilde{w}) = d\omega(\widetilde{v}, \widetilde{w}) + \frac{1}{2}\omega \wedge \omega(\widetilde{v}, \widetilde{w})$$

$$\stackrel{(1)}{=} d\omega(\widetilde{v}, \widetilde{w})$$

$$\stackrel{(2)}{=} -\omega([\widetilde{v}, \widetilde{w}])$$

where

- (1) holds from  $\widetilde{v}, \widetilde{w}$  are horizontal;
- (2) holds from Cartan's formula.

Note that ad P can act on  $P \times_{\rho} V$  as follows

$$[(p,X)] \times [(p,v)] \mapsto [(p,\rho_*(X)v)]$$

So  $\Theta_x(v,w)$  can act on  $E_x$ , that is  $\Theta \in C^{\infty}(M,\Omega^2_M(\operatorname{End} E))$ . Thus we have the following theorem.

**Theorem 3.4.1.** Let  $\pi: P \to M$  be a principal G-bundle,  $E = P \times_{\rho} V$  an associated vector bundle of P. For vector fields X, Y over M and section s of E, then

$$[\nabla_X, \nabla_Y]s - \nabla_{[X,Y]}s = \Theta(X,Y)s$$

#### 4. FLAT CONNECTION AND HOLONOMY

- 4.1. **Lifting of curves.** Let  $\pi: P \to M$  be a principal G-bundle equipped with connection  $\omega$ , consider smooth curve  $\gamma: [0,1] \to M$  and a point  $p \in \pi^{-1}(\gamma(0))$ , we claim there exists a unique smooth map  $\widetilde{\gamma}: [0,1] \to P$  such that
- 1. The following diagram commutes:

$$\begin{array}{c}
P \\
\downarrow^{\widetilde{\gamma}} & \downarrow^{\pi} \\
[0,1] & \xrightarrow{\gamma} & M
\end{array}$$

- 2.  $\widetilde{\gamma}'(t)$  is horizontal;
- 3.  $\widetilde{\gamma}(0) = p$ .

*Proof.* For convenience we assume G is a matrix group, and without lose of generality, we may assume P is trivial principal G-bundle  $M \times G$ , since it's a local problem. In this case we write  $\tilde{\gamma} = (\gamma(t), g(t))$ , it's clear  $\pi \circ \tilde{\gamma} = \gamma$ .

For conditions (2) and (3), it's an ODE with initial value in fact: Note that we can write connection  $\omega = \omega_{mc} + \widetilde{A}$ , so  $\widetilde{\gamma}'(t)$  is horizontal if and only if

$$(\omega_{mc} + \widetilde{A})(\widetilde{\gamma}'(t)) = (\omega_{mc} + \widetilde{A})((\gamma'(t), g'(t)))$$

$$= g^{-1}(t)g'(t) + \widetilde{A}((\gamma'(t), g'(t)))$$

$$= g^{-1}(t)g'(t) + \operatorname{Ad}(g^{-1}(t)) \circ A_{\gamma(t)}(\gamma'(t))$$

$$= g^{-1}(t)g'(t) + g^{-1}(t)A_{\gamma(t)}(\gamma'(t))g(t)$$

$$= 0$$

This completes the proof.

#### 4.2. Flat connection.

**Definition 4.2.1** (flat connection). Let P be a principal G-bundle, a connection  $\omega \in \mathscr{A}(P)$  is called flat, if its curvature form  $\Omega = 0$ .

**Theorem 4.2.1.** The following are equivalent:

- 1.  $\omega$  is flat;
- 2. For any  $p \in M$ , there exists  $p \in U \subset M$  and local trivialization  $\varphi \colon \pi^{-1}(U) \to U \times G$  such that  $\omega|_U = \varphi^* \omega_{mc}$ .

*Proof.* Hallmark of proof is to see curvature vanishes if and only if horizontal distribution is integrable.  $\hfill\Box$ 

Remark 4.2.1. From this theorem, we can see a flat connection is just a topology information.

Corollary 4.2.1. The following are equivalent:

1. There is a flat connection on P:

2. There is a local trivialization  $\varphi_{\alpha}: P|_{U_{\alpha}} \to U_{\alpha} \times G$  such that transition functions  $\{g_{\alpha\beta}: U_{\alpha} \cap U_{\beta} \to G\}$  are locally constant.

*Proof.* From (2) to (1): Note that a connection on P is given by the following data:

$$A_{\beta} = \operatorname{Ad}(g_{\alpha\beta}^{-1})A_{\alpha} + g_{\alpha\beta}^{*}\theta$$

If  $g_{\alpha\beta}$  are locally constant, then  $g_{\alpha\beta}^*\omega=0$ , so just take all  $A_{\alpha}=0$  to obtain a flat connection.

From (1) to (2): If  $\omega$  is a flat connection, then we can choose a local trivialization  $\{U_{\alpha}, \varphi_{\alpha}\}$  such that  $\omega|_{\pi^{-1}(U_{\alpha})}$  are  $\varphi_{\alpha}^*\omega_{mc}$ . In this local trivialization, we have all  $A_{\alpha} = 0$ , thus  $g_{\alpha\beta}^*\theta = 0$ , which implies  $g_{\alpha\beta}$  is locally constant.

4.3. **Holonomy.** Now we give a smooth closed curve  $\gamma:[0,1]\to M$  and  $p\in\pi^{-1}(\gamma(0))$ . Consider its lifting  $\widetilde{\gamma}:[0,1]\to P$ , note that

$$\widetilde{\gamma}(1) \in \pi^{-1}(\gamma(1)) = \pi^{-1}(\gamma(0))$$

So there exists  $g \in G$  such that  $\widetilde{\gamma}(1) = \widetilde{\gamma}(0)g$ , since  $P_p$  is an orbit of G. Such element g is called holonomy, and it's denoted by  $\operatorname{Hol}(\gamma, p)$ , since it only depends on  $\gamma$  and p.

**Proposition 4.3.1.** For holonomy, the following properties hold.

1. If we change base point p to pq, then

$$\operatorname{Hol}(\gamma, pg) = g^{-1} \operatorname{Hol}(\gamma, p)g$$

2. For two smooth closed curves  $\gamma_1, \gamma_2$ , we have

$$\operatorname{Hol}(\gamma_1 \gamma_2, p) = \operatorname{Hol}(\gamma_1, p) \operatorname{Hol}(\gamma_2, p)$$

Proof. Clear.  $\Box$ 

From (2) of above proposition, Hol can be regarded as a group homomorphism to some extend, so if we want to give a homomorphism

$$\operatorname{Hol}: \pi_1(M) \to G$$

It suffices to check when  $\operatorname{Hol}(\gamma, p)$  is independent of homotopy class. Consider the following homotopy

$$\gamma_s \colon (-\varepsilon, \varepsilon) \times [0, 1] \to M$$

such that  $\gamma_0 = \gamma$ . If we write its lifting on local trivialization as  $\widetilde{\gamma}_s(t) = (\gamma_s(t), g_s(t))$ , then the following equation holds

$$\frac{\partial g_s}{\partial t}(t) + A_{\gamma(t)}(\frac{\partial g_s}{\partial t}(t))g_s(t) = 0$$

So if  $\omega$  is a flat connection, then it reduces to for arbitrary  $s \in (-\varepsilon, \varepsilon)$ , one has  $\frac{\partial g_s}{\partial t}(t) = 0$ . This shows it's independent of s.

32 BOWEN LIU

 $\bf Theorem~4.3.1~(Riemann-Hilbert correspondence).$  There is a one to one correspondence

{flat connections on P}/isomorphism  $\stackrel{1-1}{\Longleftrightarrow}$   $\operatorname{Hom}(\pi_1(M),G)/\operatorname{conjugate}$ 

### Part 2. Chern-Weil theory

# 5. Chern-Weil Theory

5.1. *G*-invariant polynomial. Let *G* be a Lie group with Lie algebra  $\mathfrak{g}$ , note that *G* can act on  $\mathfrak{g}$  via adjoint representation, then *G* can also act on dual space of  $\mathfrak{g}$ , that is  $\mathfrak{g}^*$ , and thus on  $\operatorname{Sym}^k \mathfrak{g}^*$ . To be explicit, for  $p \in \operatorname{Sym}^k \mathfrak{g}^*$  and  $g \in G$ , one has

$$gp(x_1,\ldots,x_k) := p(\mathrm{Ad}(g)x_1,\ldots,\mathrm{Ad}(g)x_k)$$

**Definition 5.1.1** (G-invariant polynomial). The set of G-invariant polynomials of degree k is

$$I^k(\mathfrak{g}) := \{ p \in \operatorname{Sym}^k \mathfrak{g}^* \mid gp = p, \forall g \in G \}$$

and

$$I(\mathfrak{g}) := \bigoplus_{k \geq 0} I^k(\mathfrak{g})$$

5.2. Chern-Weil homomorphism. Let  $\pi: P \to M$  be a principal G-bundle, and  $\omega$  is a connection on P with curvature  $\Omega$ .

Proposition 5.2.1. For  $p \in I^k(\mathfrak{g})$ ,

$$p(\Omega) := p \circ (\underbrace{\Omega \wedge \dots \wedge \Omega}_{k \text{ times}})$$

is a 2k-form defined on P. Then

- 1.  $p(\Omega)$  is horizontal, G-invariant, closed;
- 2. There exists a unique 2k-form  $p(\Theta)$  on M such that  $\pi^*(p(\Theta)) = p(\Omega)$  and  $dp(\Theta) = 0$ ;
- 3.  $[p(\Theta)] \in H^{2k}(M)$  is independent of the choice of connection  $\omega$ .

*Proof.* For (1). It's clear  $p(\Omega)$  is horizontal, since  $\Omega$  is horizontal; To see it's G-invariant, note that

$$R_g^*(p(\Omega)) = p(R_g^*\Omega)$$

$$\stackrel{(a)}{=} p(\operatorname{Ad}(g^{-1}) \circ \Omega)$$

$$\stackrel{(b)}{=} p(\Omega)$$

where

- (a) holds from  $\Omega$  is G-equivariant;
- (b) holds from p is G-invariant.

To see it's closed,

$$dp(\Omega) = p(d\Omega \wedge \Omega \wedge \cdots \wedge \Omega + \Omega \wedge d\Omega \wedge \cdots \wedge \Omega + \dots)$$

Bianchi identity impiles

$$d\Omega + \omega \wedge \Omega = 0$$

If we substitute  $d\Omega$  by  $-\omega \wedge \Omega$  in above, then it suffices to show  $dp(\Omega)$  is horizontal. To see this, given a vertical vector field X, then  $\mathscr{L}_X p(\Omega) = 0$ , since  $p(\Omega)$  is horizontal, then by Cartan formula

$$0 = \mathcal{L}_X p(\Omega)$$
  
=  $d\iota_X p(\Omega) + \iota_X dp(\Omega)$   
=  $\iota_X dp(\Omega)$ 

For (2). Note that im  $\pi^* = \{ \tau \in C^{\infty}(P, \Omega_P^{2k}) \mid \tau \text{ is horizontal and } G\text{-invariant} \}$  and  $\pi^*$  is injective impiles uniqueness. It's closed, since

$$\pi^*(\mathrm{d}p(\Theta)) = \mathrm{d}\pi^*(p(\Theta)) = \mathrm{d}p(\Omega) = 0$$

For (3). Let  $\omega'$  be another connection on P, consider principal G-bundle  $P \times \mathbb{R}$  over  $M \times \mathbb{R}$ , and connection  $\widetilde{\omega} = (1 - t)\omega + t\omega'$  on it, with curvature  $\widetilde{\Omega}$ . If we use  $i_0, i_1$  to denote inclusion from M to  $M \times \{0\}$  and  $M \times \{1\}$  respectively, then it's clear

$$p(\Theta) = i_0^* p(\widetilde{\Omega})$$
$$p(\Theta') = i_1^* p(\widetilde{\Omega})$$

Furthermore, the homotopy invariance of de Rham cohomology impiles  $i_0^*, i_1^*: H^{2k}(M \times \mathbb{R}) \to H^{2k}(M)$  are the same map.

**Theorem 5.2.1** (Chern-Weil homomorphism). There is a ring homomorphism

$$W(P, -): I(\mathfrak{g}) \to H^*(M)$$
  
 $p \mapsto [p(\Theta)]$ 

*Proof.* It suffices to show

$$p \odot q(\Theta) = p(\Theta) \wedge q(\Theta)$$

Note that  $\pi^*$  is injective, thus it suffices to check

$$p \odot q(\Omega) = p(\Omega) \wedge q(\Omega)$$

and that's clear.

5.3. **Transgression.** In this section we will show for a given principal G-bundle P and a connection  $\omega$  on it with curvature  $\Omega$ ,  $[p(\Omega)] = 0 \in H^{2k}(P)$ , where  $p \in I^k(\mathfrak{g}), k \geq 1$ .

To see this, let's introduce the funtorial Chern-Weil homomorphism. Given the following homomorphism between principal G-bundles

$$P' \xrightarrow{\widetilde{f}} P$$

$$\downarrow^{\pi'} \qquad \downarrow^{\pi}$$

$$M' \xrightarrow{f} M$$

where  $P' = f^*P$ .

**Proposition 5.3.1** (funtorial). For all  $p \in I(\mathfrak{g})$ , we have

$$W(f^*P, p) = f^*W(P, p)$$

*Proof.* Given a connection  $\omega \in \mathscr{A}(P)$  with curvature  $\Omega$ , and use  $\omega'$  to denote the pullback connection  $\widetilde{f}^*\omega \in \mathscr{A}(P')$  with curvature  $\Omega'$ . For any  $p \in I(\mathfrak{g})$ , it's clear

$$p(\Omega') = \widetilde{f}^* p(\Omega)$$

Then

$$(\pi')^*(p(\Theta')) = \widetilde{f}^*\pi^*p(\Theta)$$
$$= (\pi')^*f^*p(\Theta')$$

which impiles  $p(\Theta') = f^*p(\Theta)$ , since  $(\pi')^*$  is injective.

**Example 5.3.1.** Let  $P = M \times G$  be trivial bundle, then we can regard it as

$$\begin{array}{ccc} M \times G & \stackrel{\widetilde{f}}{\longrightarrow} G \\ \downarrow_{\pi'} & & \downarrow_{\pi} \\ M & \stackrel{f}{\longrightarrow} \{ \mathrm{pt} \} \end{array}$$

So for any  $p \in I^k(\mathfrak{g}), k \geq 1$ , we have

$$W(P, p) = f^*W(G, p) = 0$$

since  $W(G, p) \in H^{2k}(\{\mathrm{pt}\}) = 0$  if  $k \ge 1$ .

Remark 5.3.1. This example shows if P is a trivial principal G-bundle, then the Chern-Weil homomorphism W(P, -) is trivial on  $I(\mathfrak{g})$ .

Now let's consider the following case

$$\begin{array}{ccc} f^*P & \stackrel{\widetilde{f}}{\longrightarrow} P \\ \downarrow^{\pi'} & & \downarrow^{\pi} \\ P & \stackrel{f}{\longrightarrow} M \end{array}$$

where  $f = \pi$ . In fact we can write  $f^*P$  down as

$$f^*P = \{(x', x) \in P \times P \mid f(x') = \pi(x)\}\$$
$$= \{(x', x) \in P \times P \mid \pi(x') = \pi(x)\}\$$

It's clear it has global section, given by

$$s \colon P \to f^*P$$
  
 $x \mapsto (x, x)$ 

so  $f^*P$  is trivial principal bundle. Thus for any  $p \in I^k(\mathfrak{g}), k \geq 1$ , we have

$$W(f^*P, p) = 0 \in H^{2k}(P)$$

36 BOWEN LIU

However, funtorial impiles

$$W(f^*P, p) = f^*W(P, p)$$
$$= f^*[p(\Theta)]$$
$$= \pi^*[p(\Theta)]$$
$$= p(\Omega)$$

This shows  $[p(\Omega)] = 0$  in  $H^{2k}(P)$ .

#### 6. Characteristic class

#### 6.1. Chern class.

**Proposition 6.1.1.** Let G = U(n) with Lie algebra  $\mathfrak{g} = \mathfrak{u}(n)$ . For any  $X \in \mathfrak{g}$ , consider

$$\det(I - \frac{t}{2\pi i}X) = \sum_{k=0}^{n} c_k(X)t^k$$

Then

1. For each  $1 \leq k \leq n$ ,  $c_k \in I(\mathfrak{g})$ ;

2.  $I(\mathfrak{g})$  is generated by  $c_1, \ldots, c_n$ 

*Proof.* For (1). For arbitrary  $g \in G$ , note that

$$\det(I - \frac{t}{2\pi i} \operatorname{Ad}(g)X) = \det(I - \frac{t}{2\pi i} gXg^{-1})$$

$$= \det(g^{-1}g - \frac{t}{2\pi i} gXg^{-1})$$

$$= \det(I - \frac{t}{2\pi i}X)$$

For (2). Note that any  $X \in \mathfrak{g}$  is diagonalizable, so without lose of generality we may assume  $X = \text{diag}\{\lambda_1, \ldots, \lambda_n\}$ . Then  $I(\mathfrak{g})$  consists of symmetric polynomial of  $\lambda_1, \ldots, \lambda_n$ . Then the proof follows since any symmetric function can be expressed in terms of elementary symmetric functions and

$$c_1 = -\frac{1}{2\pi}\lambda_1 + \dots + \lambda_n$$

$$\vdots$$

$$c_n = (\frac{1}{2\pi})^n \lambda_1 \dots \lambda_n$$

Let E be a complex vector bundle of rank n over M equipped with a hermitian metric, then consider its frame bundle we obtain a U(n)-principal bundle  $\pi: P \to M$ , then choose an arbitrary connection  $\omega$  on it with curvature  $\Omega$ , then by Chern-Weil theory there exists a unique 2k-form  $c_k(\Theta)$  on M such that  $\pi^*(c_k(\Theta)) = c_k(\Omega)$ .

**Definition 6.1.1** (chern class). The k-th Chern class of E is defined as

$$c_k := [c_k(\Theta)] \in H^{2k}(M, \mathbb{C})$$

**Definition 6.1.2** (chern polynomial). The Chern polynomial is defined as

$$c(t) = \det(I - \frac{t}{2\pi i}\Theta) = \sum_{k=0}^{n} c_k t^k$$

Proposition 6.1.2.

$$c_k \in H^{2k}(M, \mathbb{R})$$

*Proof.* Note that  $\mathfrak{u}(n)$  consists of skew-symmetric matrices, then for arbitrary  $X \in \mathfrak{u}(n)$ , one has

$$\det(I - \frac{t}{2\pi i}X) = \det(I + \frac{t}{2\pi i}\overline{X}^t)$$
$$= \det(I - \frac{t}{2\pi i}X)$$
$$= \sum_{k=0}^{n} \overline{c}_k t^k$$

which implies  $c_k = \overline{c}_k$ .

**Proposition 6.1.3.** Let E, F are two complex vector bundles, then

$$c(E \oplus F) = c(E)c(F)$$

*Proof.* If  $\nabla_E, \nabla_F$  are connections on E, F respectively, then  $\nabla_E \oplus \nabla_F$  gives a connection on  $E \oplus F$ , with curvature  $\begin{pmatrix} \Theta_E & 0 \\ 0 & \Theta_F \end{pmatrix}$ , and thus

$$c(E \oplus F) = \det \begin{pmatrix} I - \frac{1}{2\pi i}\Theta_E & 0\\ 0 & I - \frac{1}{2\pi i}\Theta_F \end{pmatrix} = c(E)c(F)$$

6.2. **Pontrjagin class.** Now let E be a (real) vector bundle of rank n over M equipped with a Riemannian metric, then its frame bundle is a O(n)-principal bundle P. For any  $X \in \mathfrak{o}(n)$ , consider

$$\det(I - \frac{t}{2\pi}X) = \sum_{k=0}^{n} q_k(X)t^k$$

By the same argument as above one can show  $q_k \in I(\mathfrak{g})$ , thus we pick arbitrary connection  $\omega$  of P with curvature  $\Omega$ , then it gives rise to a closed 2k-form  $q_k(\Theta)$  on M for each k. Note that  $X + X^t = 0$ , then

$$\det(I + \frac{t}{2\pi}X) = \det(I - \frac{-t}{2\pi}X)$$

which impiles

$$q_k(X) = q_k(-X) = (-1)^k q_k(X)$$

Thus we can conclude  $q_k = 0$  for odd k.

**Definition 6.2.1** (Pontrjagin class).  $[p_k(\Theta)] := [q_{2k}(\Theta)] \in H^{4k}(M, \mathbb{R})$  is called k-th Pontrjagin class of E.

**Proposition 6.2.1.** Let E be a vector bundle with its complexification  $E^c = E \otimes \mathbb{C}$ , which is a complex vector bundle, then

$$p_k(E) = (-1)^k c_{2k}(E^c)$$

$$\square$$

If we consider oriented vector bundle E, then its frame bundle is a  $\mathrm{SO}(n)$ -principal bundle. Then

**Lemma 6.2.1.** Let E be a oriented vector bundle of rank n, then

- 1. If n = 2m + 1, then  $I(\mathfrak{so}(n))$  is generated by  $q_2, \ldots, q_{2m}$ ;
- 2. If n = 2m, then  $I(\mathfrak{so}(n))$  is generated by  $q_2, \ldots, q_{2m}, e$ , where

$$e(\operatorname{diag}\left\{\begin{pmatrix} 0 & \lambda_1 \\ -\lambda_1 & 0 \end{pmatrix}, \dots, \begin{pmatrix} 0 & \lambda_m \\ -\lambda_m & 0 \end{pmatrix}\right\}) = \lambda_1 \dots \lambda_m$$

**Definition 6.2.2** (Euler class). Let E be an oriented vector bundle of rank 2m, then  $\left[\frac{1}{(2\pi)^m}e(\Theta)\right] \in H^{2m}(M,\mathbb{R})$  is called the Euler class of E, denoted by e(E).

Remark 6.2.1. For an oriented 2m-dimensional manifold M, e(TM) is the Euler number of M. See [JM74].

#### 7. The classifying space

In last section, we have defined characteristic classes via a geometrical method, that is we use connections. However, they're topological invariants. In this section, we will give another explaination about characteristic class, and explain why it computes the right thing.

7.1. **The universal** *G***-bundle.** In this section, we work on category of topological spaces (In particular, CW-complexes) instead of smooth manifolds.

**Definition 7.1.1** (weakly homotopy). Let X, Y be topological spaces, X is weakly homotopy to Y, if there exists a continuous map  $f: X \to Y$  such that f induces isomorphisms between homotopy groups of X and Y.

**Definition 7.1.2** (weakly contractible). A topological space X is called weakly contractible, if it's weakly homotopy to a point.

Remark 7.1.1. A contractible space is weakly contractible, and by Whitehead's theorem, a CW-complex is weakly contractible if and only if it's contractible.

**Definition 7.1.3** (classifying space). For a principal G-bundle  $EG \to BG$ , where EG, BG are topological spaces. If EG is weakly contractible, then

- 1. BG is called a classifying space for G;
- 2. EG is called a universal G-bundle.

Remark 7.1.2. Note that in definition the classifying space for G is just a topological space, in fact, we can choose it as a CW-complex. Indeed, since for any topological space, there exists a CW-complex which is weakly homotopic to it. Then for a classifying space BG, there exists a CW-complex BG' and a weakly homotopy  $g:BG'\to BG$ , then  $g^*EG\to BG'$  is also a universal G-bundle.

**Theorem 7.1.1.** Let  $EG \to BG$  be a universal G-bundle, then for all CW-complexes X, then the following map is bijective.

$$\phi: [X, BG] \to \mathcal{P}_G X$$
$$f \mapsto f^* P$$

where [X, BG] denotes the set of all continuous maps up to homotopy.

Proof. See [Mit01]. 
$$\Box$$

Remark 7.1.3. This theorem impiles why BG is called classifying space, since it can be used to classify principal G-bundles over a given CW-complex.

However, untill now we still don't know whether classifying space exists or not. The following theorem is due to [Mil56].

**Theorem 7.1.2.** Let G be any topological group, then there exists a classifying space for G.

Now let's see some examples of classifying space for special Lie group G.

**Proposition 7.1.1.** Let G be a discrete group, then  $PK(G,1) \to K(G,1)$  is a universal G-bundle, and hence K(G,1) is a classifying space for G.

*Proof.* It's clear path space PK(G,1) is contractible.

Remark 7.1.4. In [Liu22] we have already computed K(G,1) for groups, for example,  $K(\mathbb{Z},1)=\mathbb{S}^1, \ K(\mathbb{Z}_2,1)=\mathbb{RP}^{\infty}$  and so on.

**Proposition 7.1.2.**  $V_n(\mathbb{R}^{\infty}) \to Gr_n(\mathbb{R}^{\infty})$  is a universal  $GL(n,\mathbb{R})$ -bundle, and hence  $Gr_n(\mathbb{R}^{\infty})$  is a classifying space for  $GL(n,\mathbb{R})$ .

*Proof.* It suffices to show  $V_n(\mathbb{R}^{\infty})$  is contractible. Since we have already computed low dimensional homotopy groups of  $V_n(\mathbb{R}^N)$  in [Liu22], and then telescope construction completes the proof.

Corollary 7.1.1. For all CW-complexes X,  $[X, Gr_n(\mathbb{R}^{\infty})] \to \operatorname{Vect}_n^{\mathbb{R}} X$ .

*Proof.* See Remark 1.3.2.  $\Box$ 

Remark 7.1.5. The analogous result with  $\mathbb{R}$  replaced by  $\mathbb{C}$  also holds.

7.2. Homotopical properties of classifying spaces. In this section we collect some Homotopical properties of classifying spaces.

**Theorem 7.2.1.** Let G be any topological group, then G is weakly equivalent to the loop space  $\Omega BG$ .

Corollary 7.2.1. For  $n \ge 1$ ,  $\pi_n(BG) = \pi_{n-1}(G)$ .

**Theorem 7.2.2.** Let G be a topological space and H a subgroup, then the homotopy fiber of  $BH \to BG$  is G/H, up to weakly equivalent.

**Theorem 7.2.3.** Let G be a topological space and H a subgroup, then there is a fiberation  $BH \to BG \to B(G/H)$ .

**Example 7.2.1.** The exact sequences  $1 \to SO(n) \to O(n) \to \mathbb{Z}_2 \to 1$  and  $1 \to SU(n) \to U(n) \to S^1 \to 1$  give rise to fiberation

$$B \operatorname{SO}(n) \to B \operatorname{O}(n) \to \mathbb{RP}^{\infty}$$

and

$$B \operatorname{SU}(n) \to B \operatorname{U}(n) \to \mathbb{CP}^{\infty}$$

7.3. Another viewpoint to characteristice class.

**Proposition 7.1.** The cohomology ring of B U(n) with integer coefficients is  $\mathbb{Z}[c_1,\ldots,c_n]$ .

*Proof.* If we consider U(n-1) as a subgroup of U(n-1), then we have the following filteration

$$S^{2n-1} \cong \mathrm{U}(n)/\mathrm{U}(n-1) \longrightarrow B\,\mathrm{U}(n)$$
 
$$\downarrow$$
 
$$B\,\mathrm{U}(n-1)$$

42 BOWEN LIU

Apply Leray spectral sequence this this fiberation and use the fact that the cohomology ring of  $\mathbb{CP}^{\infty}$  is  $\mathbb{Z}[c_1]$  to conclude.

**Definition 7.1** (universal Chern class). The generators  $c_1, \dots, c_n$  of  $H^*(B \cup (n), \mathbb{Z})$  are called the universal Chern classes of U(n)-bundles.

**Definition 7.2** (Chern class). The k-th Chern class of the  $\mathrm{U}(n)$ -bundle  $\pi:E\to M$  with classifying map  $f_\pi:M\to B\,\mathrm{U}(n)$  is defined as

$$c_k(E) := f_{\pi}^*(c_k) \in H^{2k}(M, \mathbb{Z})$$

**Proposition 7.2.** The cohomology ring of BO(n) with  $\mathbb{Z}_2$  coefficients is  $\mathbb{Z}_2[w_1,\ldots,w_n]$ .

*Proof.* The same as above, just note that cohomology ring of  $\mathbb{RP}^{\infty}$  with  $\mathbb{Z}_2$  coefficient is  $\mathbb{Z}_2[w_1]$ .

**Definition 7.3** (universal Steifel-Whitney class). The generators  $w_1, \dots, w_n$  of  $H^*(B O(n), \mathbb{Z}_2)$  are called the universal Steifel-Whitney classes of O(n)-bundles.

**Definition 7.4** (Steifel-Whitney class). The k-th Steifel-Whitney class of the O(n)-bundle  $\pi: E \to M$  with classifying map  $f_{\pi}: M \to BO(n)$  is defined as

$$w_k(E) := f_{\pi}^*(w_k) \in H^{2k}(M, \mathbb{Z}_2)$$

### Part 3. The Yang-Mills equations on Riemannian manifold

### 8. The Yang-Mills equations

In this section we assume G is a compact Lie group, since we desire Killing form of G is non-degenerate, and (M,g) is an oriented compact Riemannian manifold, since we need to consider integration.

8.1. The Yang-Mills functional. Let P be a principal G-bundle, V is a vector space and  $\rho: G \to \operatorname{GL}(V)$  is a representation of G. If we want to construct an inner product on  $\Omega^k_M(P\times_\rho V)$ , firstly on each local trivialization  $U_\alpha$ , view such forms as forms with values in V, so all we need is an inner product on V, since we already have a Riemannian metric g on M, which induces an inner product on forms.

But if we desire such inner product  $\langle -, - \rangle$  can be glued well on overlaps, we need to require that it is G-invariant, that is, for all  $g \in G$ ,  $v, w \in V$ ,

$$\langle \rho(g)w, \rho(g)w \rangle = \langle v, w \rangle$$

since if  $\omega \in C^{\infty}(M, \Omega_M^k(P \times_{\rho} V))$  is represented locally by  $\omega_{\alpha} \in C^{\infty}(U_{\alpha}, \Omega_{U_{\alpha}}^k(V))$ , then on a non-empty overlap  $U_{\alpha\beta}$ , we have  $\omega_{\alpha} = \rho(g_{\alpha\beta})\omega_{\beta}$ .

The case we're most interested in is  $V=\mathfrak{g}$ , since curvature of a connection is a section of  $\Omega^2_M(\mathrm{Ad}\,\mathfrak{g})$ . So we what we need is an inner product on Lie algebra  $\mathfrak{g}$  which is invariant under the adjoint action. Since G is compact, its Killing form is a non-degenerate inner product, that's what we're looking for!

Thus we have an pointwise inner product on the bundle  $\Omega_M^k(\operatorname{Ad}\mathfrak{g})$ , and denote it by  $\langle -, - \rangle$ , and define a global inner product on  $\Omega_M^k(\operatorname{Ad}\mathfrak{g})$  as

$$(\alpha, \beta) := \int_M \langle \alpha, \beta \rangle \operatorname{vol}$$

where  $\alpha, \beta \in C^{\infty}(M, \Omega_M^k(\operatorname{Ad}\mathfrak{g})).$ 

**Definition 8.1.1** (Hodge star operator). There exists an operator

$$*: C^{\infty}(M, \Omega_M^k(\operatorname{Ad}\mathfrak{g})) \to C^{\infty}(M, \Omega_M^{n-k}(\operatorname{Ad}\mathfrak{g}))$$

For  $\beta \in C^{\infty}(M, \Omega_M^k(\operatorname{Ad}\mathfrak{g})), *\beta$  is given by

$$\alpha \wedge *\beta = \langle \alpha, \beta \rangle \operatorname{vol}, \quad \forall \alpha \in C^{\infty}(M, \Omega^k_M(\operatorname{Ad}\mathfrak{g}))$$

With some of the preliminary results established, we arrive at the Yang-Mills functional.

**Definition 8.1.2** (Yang-Mills functional). The Yang-Mills functional is the map  $YM : \mathcal{A}(P) \to \mathbb{R}$  given by

$$YM(\omega) := ||F_{\omega}||^2 = \int_M \langle F_{\omega}, F_{\omega} \rangle \text{ vol}$$

where  $F_{\omega}$  is curvature of connection  $\omega$ , which is a section of  $\Omega_M^2(\operatorname{Ad}\mathfrak{g})$ .

Remark 8.1.1. By using Hodge star operator, we may rewrite Yang-Mills functional as follows

 $YM(\omega) = \int_M F_\omega \wedge *F_\omega$ 

The advantages of writing Yang-Mills functional in this way is that we can use some properties of Hodge operator to simplify our computations

**Proposition 8.1.1.** Yang-Mills functional YM is gauge invariant, that is for any gauge transformation  $\Phi \in \mathcal{G}(P)$ , one has  $YM(\Phi^*\omega) = YM(\omega)$  holds for connection  $\omega$ .

*Proof.* On each local trivialization  $U_{\alpha}$ , the curvature of  $\Phi^*\omega$  is given by  $\operatorname{Ad}(\phi^{-1}) \circ F_{\alpha}$ , where  $\phi$  is given by  $\Phi|_{U_{\alpha}}(x,g) = (x,\phi(x)g)$ , thus Yang-Mills functional is gauge invariant follows from inner product  $\langle -,-\rangle$  is adjoint invariant.

**Definition 8.1.3** (Yang-Mills connection). A Yang-Mills connection is a connection  $A \in \mathcal{A}(P)$  which is a local extremum of Yang-Mills functional.

**Notation 8.1.1.**  $\mathscr{A}_{YM}(P)$ , or briefly  $\mathscr{A}_{YM}$  denotes the set of all Yang-Mills connections.

8.2. The variational problem. Let's see how to use a second-order partial differential equation to characterize Yang-Mills connection. Recall that  $\mathscr{A}(P)$  is an affine space modelled on  $\Omega^1_M(\operatorname{Ad}\mathfrak{g})$ . This means the tangent space to  $\mathscr{A}(P)$  at any point is isomorphic to  $\Omega^1_M(\operatorname{Ad}\mathfrak{g})$ .

Given  $\omega \in \mathscr{A}(P)$  and  $\tau \in C^{\infty}(M, \Omega_M^1(\operatorname{Ad}\mathfrak{g}))$ . The directional derivative of Yang-Mills functional at  $\omega$  in the direction  $\tau$  is given by

$$\frac{\mathrm{d}}{\mathrm{d}t}\Big|_{t=0} YM(\omega + t\tau)$$

And Yang-Mills condition states that this vanishes for all  $\tau$ . In order to see what this means, firstly we need the following lemma.

**Lemma 8.2.1.** Given  $\omega \in \mathscr{A}(P)$  and  $\tau \in C^{\infty}(M, \Omega^1_M(\operatorname{Ad}\mathfrak{g}))$ , then

$$F_{\omega+\tau} = F_{\omega} + d_{\omega}\tau + \frac{1}{2}\tau \wedge \tau$$

where  $d_{\omega}$  is connection induced by  $\omega$  on  $\Omega_M^1(\operatorname{Ad}\mathfrak{g})$ .

*Proof.* On local trivialization  $U_{\alpha}$  one has

$$(F_{\omega+\tau})_{\alpha} = d(A_{\alpha} + \tau_{\alpha}) + \frac{1}{2}(A_{\alpha} + \tau_{\alpha}) \wedge (A_{\alpha} + \tau_{\alpha})$$

$$= (F_{\omega})_{\alpha} + d\tau_{\alpha} + \frac{1}{2}(A_{\alpha} \wedge \tau_{\alpha} + \tau_{\alpha} \wedge A_{\alpha}) + \frac{1}{2}\tau_{\alpha} \wedge \tau_{\alpha}$$

$$\stackrel{(1)}{=} (F_{\omega})_{\alpha} + d\tau_{\alpha} + A_{\alpha} \wedge \tau_{\alpha} + \frac{1}{2}\tau_{\alpha} \wedge \tau_{\alpha}$$

$$\stackrel{(2)}{=} (F_{\omega})_{\alpha} + d_{\omega}\tau_{\alpha} + \frac{1}{2}\tau_{\alpha} \wedge \tau_{\alpha}$$

where

- (1) holds from both  $A_{\alpha}$ ,  $\tau_{\alpha}$  are 1-form valued in  $\mathfrak{g}$ ;
- (2) holds from (3.2).

**Proposition 8.2.1** (first variation formula). Let  $\omega$  be a Yang-Mills connection, then we have

$$\mathrm{d}_{\omega}^* F_{\omega} = 0$$

*Proof.* Direct computation shows

$$YM(\omega + t\tau) = \int_{M} \langle F_{\omega + t\tau}, F_{\omega + t\tau} \rangle \text{ vol}$$
$$= \int_{M} \langle F_{\omega} + \frac{t^{2}}{2} (\tau \wedge \tau) + t d_{\omega} \tau, F_{\omega} + \frac{t^{2}}{2} (\tau \wedge \tau) + t d_{\omega} \tau \rangle \text{ vol}$$

The coefficient of linear term is

$$\int_{M} \langle F_{\omega}, d_{\omega} \tau \rangle + \langle d_{\omega} \tau, F_{\omega} \rangle \text{ vol} = 2 \int_{M} \langle d_{\omega} \tau, F_{\omega} \rangle \text{ vol}$$

Let  $d_{\omega}^* = (-1)^{2n+1} * d_{\omega} *$  denote the formal adjoint to  $d_{\omega}$ . Then we have

$$\int_{M} \langle \mathbf{d}_{\omega} \tau, F_{\omega} \rangle \operatorname{vol} = \int_{M} \langle \tau, \mathbf{d}_{\omega}^{*} F_{\omega} \rangle \operatorname{vol}$$

this shows

$$\mathrm{d}_{\omega}^* F_{\omega} = 0$$

**Definition 8.2.1** (Yang-Mills equations). A connection  $\omega \in \mathscr{A}(P)$  is called satisfying Yang-Mills equations, if

$$\begin{cases} d_{\omega} F_{\omega} = 0 \\ d_{\omega}^* F_{\omega} = 0 \end{cases}$$

Remark 8.2.1. The first equation is also called Bianchi identity.

**Example 8.2.1.** In the case that G = U(1), we have that the curvature of a connection A can be identified as a section of  $\Omega_M^2$ . Indeed, the curvature form takes value in the bundle  $\operatorname{Ad}\mathfrak{g}$ , but here G = U(1) is abelian, thus the adjoint action on  $\mathfrak{u}(1)$  is trivial, so

$$Ad\mathfrak{g} = M \times \mathfrak{u}(1) = M \times \mathbb{R}$$

is trivial bundle. Furthermore,  $\omega$  is a Yang-Mills connection if and only if  $F_{\omega}$  is a harmonic 2-form, that is  $\Delta F_{\omega} = 0$ , where  $\Delta = \mathrm{dd}^* + \mathrm{d}^*\mathrm{d}$ . Indeed, thanks to U(1) is abelian again,  $\mathrm{d}_{\omega}$  can be reduced to d, since for arbitrary form  $\beta$ , we have  $\omega \wedge \beta = 0$ . This follows from in the definition of wedge product of forms valued in Lie algebra we used Lie bracket, and abelian Lie algebra has trivial Lie bracket. Note that  $F_{\omega}$  is harmonic if and only if

$$\begin{cases} d^* F_{\omega} = 0 \\ dF_{\omega} = 0 \end{cases}$$

46 BOWEN LIU

It's a standard result in differential geometry, which can be seen from

$$0 = \int_{M} \langle \Delta F_{\omega}, F_{\omega} \rangle \text{ vol}$$

$$= \int_{M} \langle dd^{*} F_{\omega}, F_{\omega} \rangle + \langle d^{*} dF_{\omega}, F_{\omega} \rangle \text{ vol}$$

$$= \int_{M} ||d^{*} F_{\omega}||^{2} + ||dF_{\omega}||^{2} \text{ vol}$$

Note that the Yang-Mills functional is guage invariant, so if a connection  $\omega$  solves the Yang-Mills equations, so does any gauge transformed  $\Phi^*\omega$ . In other words, the gauge group acts on  $\mathscr{A}_{YM}$ . The quotient  $\mathscr{A}_{YM}/\mathscr{G}$  is the space of classical solutions. In general it is infinite dimensional, and the topology of this space may be quite bad. For example it may be neither Hausdorff or a smooth manifold. But adding some restrictions, we do have a good correspondence, and that's main theorem for next lecture.

### References

- [JM74] James D. Stasheff John Milnor. Characteristic Classes. Princeton University Press, 1974.
- [Liu22] Bowen Liu. Spectral sequences and its application, 2022.
- [Mil56] John W. Milnor. Construction of universal bundles, ii. Annals of Mathematics, 63:272, 1956.
- [Mit01] Stephen A. Mitchell. Notes on principal bundles and classifying spaces. 2001.

Yau Mathematical Sciences Center, Tsinghua University, Beijing, 100084, P.R. China,

Email address: liubw22@mails.tsinghua.edu.cn