

# SOLUTIONS TO ALGEBRA2-H

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ABSTRACT. This note contain solutions to homework of Algebra2-H (2024Spring), but we will omit proofs which are already shown in the textbook or quite trivial.

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## 1. WEEK-1

## 1.1. Solutions to 4.1.

1. It suffices to note that  $(u+1)^{-1} = (u^2 - u + 1)/3$ .
2. Note that  $u^8 + 1 = 0$ , and by Eisenstein criterion it's easy to show that  $x^8 + 1$  is irreducible.
4. It suffices to note that  $[F(u) : F(u^2)] \leq 2$ .
5. Omit.
6. Omit.
7. Pick any  $0 \neq v \in K \setminus F$ , then by the explicit construction of  $F(u)$ , we may write

$$v = \frac{f(u)}{g(u)},$$

where  $f, g \in F[x]$  with  $g \neq 0$ . In other words, one has  $f(u) - vg(u) = 0$ . On the other hand,  $f(x) - vg(x) \neq 0$ , otherwise it leads to  $v \in F$ , since coefficients of  $f, g$  lie in  $F$ . This shows  $u$  satisfies a non-trivial polynomial with coefficients in  $K$ , and thus it's algebraic over  $K$ .

8. Omit.
9. If  $\beta$  is algebraic over  $F$ , then by exercise 7 one has  $[F(\alpha) : F(\beta)] < \infty$ , and thus

$$[F(\alpha) : F] = [F(\alpha) : F(\beta)][F(\beta) : F] < \infty,$$

a contradiction.

- 10 Since  $\alpha$  is algebraic over  $F(\beta)$ , then there exists a non-trivial polynomial

$$P(x) = x^n + a_{n-1}(\beta)x^{n-1} + \cdots + a_0(\beta) \in F(\beta)[x]$$

such that  $P(\alpha) = 0$ . On the other hand, it's clear that  $\beta$  is transcendental over  $F$ , otherwise

$$[F(\alpha, \beta) : F] = [F(\alpha, \beta) : F(\beta)][F(\beta) : F] < \infty,$$

a contradiction to  $\alpha$  is transcendental over  $F$ . Thus by the explicit construction of  $F(\beta)$ , we may write

$$a_i(\beta) = \frac{f_i(\beta)}{g_i(\beta)},$$

where  $f_i(x)$  and  $g_i(x) \in F[x]$ , while  $g_i(x) \neq 0$ . Now consider the polynomial

$$Q(x, y) = P(x) \prod_{i=1}^n g_i(y) \in F[x, y].$$

It's a polynomial satisfying  $Q(\alpha, \beta) = 0$ , which implies  $\beta$  is algebraic over  $F(\alpha)$ .

## 1.2. Solutions to 4.2.

2. It's clear  $\mathbb{Q}(\sqrt{2} + \sqrt{3}) \subseteq \mathbb{Q}(\sqrt{2}, \sqrt{3})$ . On the other hand, note that

$$\sqrt{3} - \sqrt{2} = (\sqrt{2} + \sqrt{3})^{-1} \in \mathbb{Q}(\sqrt{2}, \sqrt{3}).$$

This shows  $\sqrt{2}, \sqrt{3} \in \mathbb{Q}(\sqrt{2}, \sqrt{3})$ , and thus  $\mathbb{Q}(\sqrt{2} + \sqrt{3}) = \mathbb{Q}(\sqrt{2}, \sqrt{3})$ .

*Remark 1.2.1.* In fact, any finite separable extension is a simple extension, that is, a field extension generated by one element. This is called primitive element theorem.

3. Suppose there exists  $a \in E$  such that  $g(a) = 0$ . Since  $g$  is irreducible over  $F$ , so it's the minimal polynomial of  $a$  over  $F$ . Thus

$$[F(a) : F] = \deg g = k.$$

On the other hand,  $[E : F] = [E : F(a)][F(a) : F]$ , a contradiction to  $k \nmid [E : F]$ .

5 Suppose  $K$  be a subring of  $E$  containing  $F$ . For any  $0 \neq u \in K$ , since  $E$  is algebraic over  $F$ , there exists a polynomial  $f(x) = x^n + a_{n-1}x^{n-1} + \cdots + a_0$  such that  $f(u) = 0$ . Thus

$$u^{-1} = -\frac{1}{a_0}(u^{n-1} + a_{n-1}u^{n-2} + \cdots + a_1) \in K.$$

6. Omit.

7. It's clear  $\mathbb{C}$  is the algebraic closure of  $\mathbb{R}$ , since it's algebraic over  $\mathbb{R}$ , and it's algebraically closed.

(a) An algebraically closed field must contain infinitely many elements, otherwise if an algebraically closed  $E$  is a finite field with  $|E| = q$ , then  $x^q - x + 1$  has no roots in  $E$ .

(b) An example is  $[\mathbb{C} : \mathbb{R}] = 2$ .

8. Firstly we prove that if  $p_1, \dots, p_n$  and  $p$  are distinct prime numbers, then  $\sqrt{p} \notin \mathbb{Q}(\sqrt{p_1}, \dots, \sqrt{p_n})$  by induction. For  $n = 1$ , if  $\sqrt{p} \in \mathbb{Q}(\sqrt{p_1})$ , then there exists  $a, b \in \mathbb{Q}$  such that

$$\sqrt{p} = a + b\sqrt{p_1},$$

and thus  $a^2 + b^2 p_1 + 2ab\sqrt{p_1} = p$ . Since  $\sqrt{p_1} \notin \mathbb{Q}$ , it leads to  $ab = 0$ . Both  $a = 0$  and  $b = 0$  will lead to contradictions. Now suppose the statement holds for  $n = k - 1$  and consider the case  $n = k$ . By induction hypothesis, one has

$$\sqrt{p}, \sqrt{p_k} \notin \mathbb{Q}(\sqrt{p_1}, \dots, \sqrt{p_{k-1}}).$$

If  $\sqrt{p} \in \mathbb{Q}(\sqrt{p_1}, \dots, \sqrt{p_k})$ , then

$$\sqrt{p} = c + d\sqrt{p_k},$$

where  $c, d \in \mathbb{Q}(\sqrt{p_1}, \dots, \sqrt{p_{k-1}})$ . By the same argument one has  $cd = 0$ , but  $c \neq 0$ , otherwise it contradicts to  $\sqrt{p} \notin \mathbb{Q}(\sqrt{p_1}, \dots, \sqrt{p_{k-1}})$ . This shows  $\sqrt{p} = d\sqrt{p_k}$ . Repeat above process for  $d \in \mathbb{Q}(\sqrt{p_1}, \dots, \sqrt{p_{k-1}})$ , one has

$$d = d_1\sqrt{p_{k-1}},$$

and thus

$$\sqrt{p} = d_{n-1}\sqrt{p_1 \cdots p_k},$$

where  $d_{n-1} \in \mathbb{Q}$ , a contradiction. This shows  $E = \mathbb{Q}(\sqrt{2}, \sqrt{3}, \dots, \sqrt{p}, \dots) / \mathbb{Q}$  is an algebraic extension of infinite degree. Since  $\overline{\mathbb{Q}}$  is the algebraic closure of  $\mathbb{Q}$ , and  $E$  is algebraic over  $\mathbb{Q}$ , so  $\overline{\mathbb{Q}}$  is also the algebraic closure of  $E$ .

9. Omit.

10. Omit.

**1.3. Solutions to 4.3.**

1. Omit.

2. It suffices to show that  $\sin 18^\circ$  is constructable. Suppose  $\theta = 18^\circ$ . Then  $\sin 2\theta = \sin(\pi/2 - 3\theta) = \cos 3\theta$ , and thus

$$2\sin\theta\cos\theta = 4\cos^3\theta - 3\cos\theta.$$

A simple computation yields

$$\cos\theta(4\sin^2\theta + 2\sin\theta - 1) = 0.$$

As a result, one has  $\sin\theta = (\sqrt{5} - 1)/4$ , which is constructable.

## 2. WEEK-2

## 2.1. Solutions to 4.4.

1. Let  $\xi_3$  be the 3-th unit root. Then

$$\begin{aligned} f(x) &= (x-1)(x+1)(x^4+x^2+1) \\ &= (x-1)(x+1)(x-\xi_3)(x+\xi_3)(x-\xi_3^2)(x+\xi_3^2). \end{aligned}$$

This shows the splitting field of  $f(x)$  over  $\mathbb{Q}$  is  $\mathbb{Q}(\xi_3)$ .

2. Let  $\xi_4$  be the 4-th unit root. Then

$$f(x) = (x - \sqrt[4]{2}\xi_4)(x + \sqrt[4]{2})(x - \sqrt[4]{2} \times \sqrt{-1}\xi_4)(x + \sqrt[4]{2} \times \xi_4\sqrt{-1}).$$

This shows the splitting field of  $f(x)$  over  $\mathbb{Q}$  is  $\mathbb{Q}(\sqrt[4]{2}\xi_4, \sqrt{-1})$ .

3. Let  $\xi_3$  be the 3-th unit root. Then

$$f(x) = (x + \sqrt{2})(x - \sqrt{2})(x - \sqrt[3]{3})(x - \sqrt[3]{3}\xi_3)(x - \sqrt[3]{3}\xi_3^2).$$

This shows the splitting field of  $f(x)$  over  $\mathbb{Q}$  is  $\mathbb{Q}(\sqrt{2}, \sqrt[3]{3}, \xi_3)$ .

4. The splitting field of  $x^3 - 2$  over  $\mathbb{R}$  is  $\mathbb{C}$ .

5. Suppose there is a field isomorphism  $\varphi: \mathbb{Q}(\sqrt{3}) \rightarrow \mathbb{Q}(\sqrt{2})$  and  $\varphi(\sqrt{2}) = a + b\sqrt{3}$ . Then

$$2 = \varphi(\sqrt{2}^2) = \varphi(\sqrt{2})^2 = a^2 + 3b^2 + 2ab\sqrt{3}.$$

On the other hand,  $\{1, \sqrt{3}\}$  gives a basis of  $\mathbb{Q}(\sqrt{3})$  over  $\mathbb{Q}$ . This shows  $2ab = 0$  and  $a^2 + 3b^2 = 0$ , a contradiction to  $a, b \in \mathbb{Q}$ .

6. Suppose  $E = F(\alpha)$ . Then the minimal polynomial of  $\alpha$  is of degree two, which can be written as  $x^2 + ax + b$  with  $a, b \in F$ . On the other hand,

$$x^2 + ax + b = (x - \alpha)(x - \alpha - a).$$

This shows  $E$  is exactly the splitting field of  $x^2 + ax + b$  over  $F$ .

7. Note that

$$f(x) = (x - \sqrt{-3})(x + \sqrt{-3})(x - 1 - \sqrt{-3})(x - 1 + \sqrt{-3}).$$

This shows the splitting field of  $f(x)$  over  $\mathbb{Q}$  is  $\mathbb{Q}(\sqrt{-3})$ . Suppose there is an automorphism  $\sigma$  such that  $\sigma(\sqrt{-3}) = 1 + \sqrt{-3}$ . Then

$$-3 = \sigma(\sqrt{-3}^2) = \sigma(\sqrt{-3})^2 = (1 + \sqrt{-3})^2 = -2 + 2\sqrt{-3},$$

a contradiction.

8. Note that  $f(x)$  is irreducible over  $\mathbb{Z}_2[x]$ , then  $\mathbb{Z}_2[x]/(f(x))$  contains a root  $u$  of  $f(x)$ . Furthermore, note that if  $f(u) = 0$ , then  $f(u+1) = 0$ , thus  $\mathbb{Z}_2[x]/(f(x))$  contains all roots of  $f(x)$ , that is it's splitting field of  $f$ .

9. The same argument shows  $\mathbb{Z}_3[x]/(f(x))$  is splitting field of  $f$ .

10. It's clear that we must have  $f$  is irreducible over  $\mathbb{Q}$  and its splitting field is exactly  $\mathbb{Q}[x]/(f(x))$ , since  $[\mathbb{Q}[x]/(f(x)) : \mathbb{Q}] = 3$ . This is equivalent to the discriminant  $\sqrt{\Delta}$  of  $f(x)$  in  $\mathbb{Q}$ .

11. In fact, we can prove a stronger result, that is  $[E : F] \mid n!$ . Let's prove by induction on degree of  $f(x)$ . It's clear for the case  $\deg f(x) = 1$ . Now assume  $\deg f(x) = n + 1$ . Let's consider the following cases:

(a) If  $f$  is reducible, let  $p(x)$  be an irreducible factor of  $f(x)$  with degree  $k$ , and  $L$  the splitting field of  $p(x)$  over  $F$ . Then  $E$  is the splitting field of  $f/p$  over  $L$ . Note that degree of  $p(x)$  and  $f(x)/p(x)$  are  $\leq n$ , then by induction hypothesis one has

$$[E : F] = [E : L][L : F] \mid k! \times (n + 1 - k)!(n + 1)!$$

(b) Suppose  $f$  is irreducible, then consider  $L = F[x]/(f) \cong F(\alpha)$ , where  $\alpha$  is a root of  $f$ . It's clear  $[L : F] = n + 1$ . Now consider polynomial  $f/(x - \alpha)$  over  $L$ , it's clear that  $E$  is the splitting field of it. The same argument yields the result.

## 2.2. Solutions to 4.5.

8. Omit.

9. Omit.

10. If  $F$  is a perfect field, then it's clear every finite extension  $E$  of  $F$  is separable, since any element of  $E$  fits a irreducible polynomial, and every irreducible polynomial of  $F$  is separable; Conversely, if  $F \neq F^p$ , then there exists  $u \in F \setminus F^p$ , then  $x^p - u$  is irreducible, but not separable over  $F$ , a contradiction.

## 3. WEEK-3

## 3.1. Solutions to 4.6.

1. If  $\alpha$  is a root of  $f(x) = x^p - x - c$ , then

$$\begin{aligned} f(\alpha + k) &= (\alpha + k)^p - (\alpha + k) - c \\ &= \alpha^p + k^p - \alpha - k - c \\ &= 0 \end{aligned}$$

for all  $1 \leq k \leq p-1$ . This shows  $F(\alpha)$  is the splitting field of  $f(x)$ .

2. Suppose  $[E : F] = 2$ . Then  $E/F$  is the splitting field of some polynomial over  $F$ , and thus it's a normal extension.

3.  $\mathbb{Q}(\sqrt{-2})/\mathbb{Q}$  and  $\mathbb{Q}(\sqrt{-1})/\mathbb{Q}$  are normal extensions, but  $\mathbb{Q}(6\sqrt[3]{7})/\mathbb{Q}$  is not normal, since the minimal polynomial of  $\sqrt[3]{7}$  over  $\mathbb{Q}$  is  $x^3 - 7$ , which has a root  $\sqrt[3]{7}\xi_3$  not lying in  $\mathbb{Q}(5\sqrt[3]{7})$ .

8. Suppose  $F$  is a finite field with characteristic  $p$  and  $E/F$  is a finite extension. Then  $E$  is also a finite field with  $|E| = p^m$ , and thus  $E$  is the splitting field of  $x^{p^m} - x$  over  $\mathbb{F}_p$ . In particular,  $E/\mathbb{F}_p$  is a normal extension, so is  $E/F$ .

10. Suppose the minimal subfield of  $L$  which contains  $E'_1, \dots, E'_n$  is  $K$ , and the normal closure of  $E/F$  is  $N$ . On one hand, it's clear that  $K \subseteq N$ , since  $\sigma(N) \subseteq N$ . On the other hand, for any  $\alpha \in E$ , suppose its minimal polynomial over  $F$  is  $f(x)$  and  $\beta$  is another root of  $f(x)$ . Then  $\alpha \mapsto \beta$  may extend to an automorphism of  $E$  which fixes  $F$ . As a consequence, one has  $\beta \in K$ , and thus  $N \subseteq K$ .



## 4. WEEK-4

## 4.1. Solutions to 4.7.

1. Note that  $\mathbb{Q}(\sqrt{2} + \sqrt{3}) = \mathbb{Q}(\sqrt{2}, \sqrt{3})$ , and it's the splitting field of  $(x^2 - 2)(x^2 - 3)$  over  $\mathbb{Q}$ , so  $\mathbb{Q}(\sqrt{2}, \sqrt{3})/\mathbb{Q}$  is a Galois extension with the Klein four group  $K_4$  as its Galois group. By the Galois correspondence, the subfields of  $\mathbb{Q}(\sqrt{2}, \sqrt{3})$  are  $\mathbb{Q}, \mathbb{Q}(\sqrt{2}), \mathbb{Q}(\sqrt{3}), \mathbb{Q}(\sqrt{6})$  and itself.
2. The splitting field of  $x^4 + 1$  over  $\mathbb{Q}$  is  $\mathbb{Q}(e^{\sqrt{-1}\pi/4})$ , which is also the splitting field of  $x^8 - 1$ . Then the Galois group is isomorphic to the automorphism group of  $C_8$ , which is the Klein four group  $K_4$ .
3.  $\mathbb{Z}/4\mathbb{Z}$ .
4.  $\mathbb{Z}/5\mathbb{Z}$ .
5. Note that over  $\mathbb{Z}_3$  one has the following decomposition

$$x^4 + 2 = (x^2 + 1)(x + 1)(x - 2),$$

which implies the splitting field of  $x^4 + 2$  is the same as the one of  $x^2 + 1$ . In other words, the splitting field of  $x^4 + 2$  over  $\mathbb{Z}_3$  is  $\mathbb{Z}_3(\sqrt{-1})$ , and the Galois group is  $\mathbb{Z}_2$ .

6. By the assumption on  $a$  we know that  $f(x) = x^p - x - a$  is irreducible over  $F$ , and if  $\alpha$  is a root of  $f(x)$ , then  $\{\alpha + k \mid k = 0, 1, \dots, p-1\}$  are all roots of  $f(x)$ . In particular, the Galois group is  $\mathbb{Z}_p$ .
7. Omit.

## 4.2. Solutions to 4.8.

1. Since the Frobenius map  $x \mapsto x^p$  is injective, then it's also surjective by the finiteness.
2. Note that  $E = F[x]/(f(x))$  is a finite field with  $|E| = q^n$ . In particular, every non-zero element is a root of  $x^{q^n-1} - 1$ , and thus  $f(x) \mid x^{q^n-1} - 1$ .
3. Suppose  $F$  is a infinite field such that  $F^\times$  is an infinite cyclic group. Let  $K$  be the prime subfield of  $F$ . Then  $K^\times \subseteq F^\times$  is also an infinite cyclic subgroup. This shows  $\text{char}K = 0$  and thus  $K = \mathbb{Q}$ , but  $\mathbb{Q}^\times$  is not cyclic, a contradiction.
4. Omit.
5. If  $\text{char}F = 2$ , then  $F^2 = F$ , and thus  $F \subseteq F^2 + F^2$ . If  $\text{char}F = p > 2$  and suppose  $F = \{0, a, a^2, \dots, a^{q-1}\}$ , where  $q = p^n$ , then

$$F^2 = \{0, a^2, a^4, \dots, a^{q-1}\}.$$

In particular,  $|F^2| = (q+1)/2$ . For any  $c \in F$ , similarly one has  $|c - F^2| = (q+1)/2$ , and thus

$$c - F^2 \cap F^2 \neq \emptyset.$$

6. Omit.
8. Note that  $\mathbb{Q}(\sqrt{2}) \not\cong \mathbb{Q}(\sqrt{3})$ .
9. In exercise 2 we have already shown that every irreducible polynomial of degree  $p$  is a divisor of  $x^{q^p} - x$ . On the other hand,  $\mathbb{F}_{q^p}/\mathbb{F}_q$  is the splitting field of  $x^{q^p} - x$ , and since  $p$  is prime, so there is no intermediate field. In other words, every irreducible polynomial that divides  $x^{q^p} - x$  must be of degree  $p$  or 1. Since

there are  $q$  irreducible polynomial of degree 1, so the number of irreducible polynomial of degree  $p$  over  $\mathbb{F}_q$  is exactly  $(q^p - q)/p$ .

10. Omit.

## 5. WEEK-5

## 5.1. Solutions to 4.9.

2. We divide into two parts:

- (a) It's clear  $E/K$  is Galois, with Galois group  $\text{Gal}(E/K)$ , which is abelian, since any subgroup of abelian group is still abelian. So  $E/K$  is an abelian extension;
- (b) Note that  $K/F$  is Galois if and only if  $\text{Gal}(E/K)$  is a normal subgroup of  $\text{Gal}(E/F)$ , and it's clear any subgroup of abelian group is normal, thus  $K/F$  is Galois. Furthermore it's Galois group is  $\text{Gal}(E/F)/\text{Gal}(E/K)$ , which implies  $K/F$  is abelian extension, since any quotient group of abelian group is still abelian.

3. By the same argument as above.

4. It suffices to show if  $z$  is a  $n$ -th primitive root of unity, then  $-z$  is a  $2n$ -th primitive root of unity, since cyclotomic polynomial is the product of these roots. Let  $z = \cos(2k\pi/n) + \sqrt{-1}\sin(2k\pi/n)$  is  $n$ -th primitive root of unity, thus  $(k, n) = 1$ . Note that

$$\begin{aligned} -z &= \cos\left(\frac{2k\pi}{n} + \pi\right) + \sqrt{-1}\sin\left(\frac{2k\pi}{n} + \pi\right) \\ &= \cos\frac{2(2k+n)\pi}{2n} + \sqrt{-1}\sin\frac{2(2k+n)\pi}{2n}. \end{aligned}$$

Since  $(k, n) = 1$  and  $n > 1$  is odd, we have  $(2k+n, 2n) = 1$ , and thus  $-z$  is a  $2n$ -th primitive root.

5. Since

$$x^{p^n} - 1 = \prod_{m|n} \varphi_m(x) = \prod_{0 \leq k \leq n} \varphi_{p^k}(x),$$

we have

$$\varphi_{p^k}(x) = \frac{x^{p^k} - 1}{x^{p^{k-1}} - 1} = 1 + x^{p^{k-1}} + x^{2p^{k-1}} + \dots + x^{(p-1)p^{k-1}}.$$

6. It's isomorphic to  $\text{Aut}(\mathbb{Z}_{12})$ , which is the Klein four group.

7. Otherwise, suppose  $n = pm$ . Then  $x^n - 1 = (x^m - 1)^p$ , which implies the number of different roots of  $x^n - 1$  is at most  $m$ , a contradiction.

8. If  $x^m - a$  is reducible, then it's clear  $(x^n)^m - a$  is also reducible. This shows if  $x^{mn} - a$  is irreducible, then both  $x^n - a$  and  $x^m - a$  are irreducible. Conversely, suppose both  $x^m - a$  and  $x^n - a$  are irreducible, and  $\alpha$  is a root of  $x^{mn} - a$ . Then  $\alpha^m$  is a root of  $x^n - a$ . This shows  $[F(\alpha^m) : F] = n$ , and similarly we have  $[F(\alpha^n) : F] = m$ . Since  $(m, n) = 1$ , we have  $[F(\alpha) : F] = mn$ , and thus  $x^{mn} - a$  is irreducible.

9. If  $a \in F^p$ , it's clear that  $x^p - a$  is reducible. Conversely, suppose  $a \notin F^p$  and  $f(x)$  is an irreducible factor of  $x^p - a$  with degree  $k$ , and the constant term of  $f(x)$  is  $c$ . Let  $\alpha$  be a root of  $x^p - a$  in the splitting field. Then any root of  $x^p - a$  is of the form  $\alpha\omega$ , where  $\omega$  is some primitive  $p$ -th root. By Vieta's theorem we have  $c = \pm\omega^\ell \alpha^k$ . Since  $(k, p) = 1$ , there exist  $s, t$  such that  $sk + pt = 1$ , and thus

$$\alpha = \alpha^{sk} \alpha^{pt} = \pm(c\omega^{-\ell})^s \alpha^t,$$

which implies  $\alpha\omega^{s\ell} = \pm c^s a^t \in F$ . Then we have  $\alpha = \alpha^p = (\alpha\omega^{s\ell})^p \in F^p$ , a contradiction.

10. Omit.

## 6. WEEK-6

## 6.1. Solutions to 4.9.

1. Prove the Galois groups of these polynomials are all  $S_5$ .
2. Consider  $-x^7 + 10x^5 - 15x + 5$ , which only has 5 real roots.
3. Consider Cayley's theorem.
4. Omit.
5. Let  $F = \mathbb{Q}(t_1, \dots, t_n)$ . Then prove  $\text{Gal}(E/F(\theta))$  is trivial.

## 6.2. Solutions to Chapter 1 of Atiyah-MacDonald.

## 6.2.1. Exercise 1/2 in Chapter 1 of Atiyah-MacDonald.

**Exercise 6.2.1.** Let  $x$  be a nilpotent element of a ring  $A$ . Show that  $1+x$  is a unit of  $A$ . Deduce that the sum of a nilpotent element and a unit is a unit.

*Proof.* If  $x$  is a nilpotent element, then  $x \in \mathfrak{N} \subseteq \mathfrak{R}$ . By property of Jacobson ideal, we have  $1-xy$  is unit for any  $y \in A$ . Take  $y = -1$  we obtain  $1+x$  is a unit. If  $y$  is unit, then we have  $x+y = y^{-1}(y^{-1}x+1)$ . Since  $y^{-1}x$  is also nilpotent, we have  $y^{-1}x+1$  is unit, thus  $x+y$  is unit.  $\square$

**Exercise 6.2.2.** Let  $A$  be a ring and let  $A[x]$  be the ring of polynomials in an indeterminate  $x$ , with coefficients in  $A$ . Let  $f = a_0 + a_1x + \dots + a_nx^n \in A[x]$ . Prove that

- (1)  $f$  is a unit in  $A[x] \Leftrightarrow a_0$  is a unit in  $A$  and  $a_1, \dots, a_n$  are nilpotent.
- (2)  $f$  is nilpotent  $\Leftrightarrow a_0, a_1, \dots, a_n$  are nilpotent.
- (3)  $f$  is a zero-divisor  $\Leftrightarrow$  there exists  $a \neq 0$  in  $A$  such that  $af = 0$ .
- (4)  $f$  is said to be primitive if  $(a_0, a_1, \dots, a_n) = (1)$ . Prove that if  $f, g \in A[x]$ , then  $fg$  is primitive  $\Leftrightarrow f$  and  $g$  are primitive.

*Proof.* For (1). Use  $g = \sum_{i=0}^m b_i x^i$  to denote the inverse of  $f$ . Since  $fg = 1$  and if we use  $c_k$  to denote  $\sum_{m+n=k} a_m b_n$ , then we have

$$\begin{cases} c_0 = 1 \\ c_k = 0, \quad k > 0 \end{cases}$$

But  $c_0 = a_0 b_0$ , thus  $a_0$  is unit. Now let's prove  $a_n^{r+1} b_{m-r} = 0$  by induction on  $r$ :  $r = 0$  is trivial, since  $a_n b_m = c_{n+m} = 0$ . If we have already proven this for  $k < r$ . Then consider  $c_{m+n-r}$ , we have

$$0 = c_{m+n-r} = a_n b_{m-r} + a_{n-1} b_{m-r+1} + \dots$$

and multiply  $a_n^r$  we obtain

$$0 = a_n^{r+1} b_{m-r} + a_{n-1} \underbrace{a_n^r b_{m-r+1}}_{\text{by induction this term is 0}} + a_{n-2} a_n \underbrace{a_n^{r-1} b_{m-r+2}}_{\text{by induction this term is 0}} + \dots$$

which completes the proof of claim. Take  $r = m$ , we obtain  $a_n^{m+1} b_0 = 0$ . But  $b_0$  is unit, thus  $a_n$  is nilpotent and  $a_n x^n$  is a nilpotent element in  $A[x]$ . By Exercise 6.2.1, we know that  $f - a_n x^n$  is unit, then we can prove  $a_{n-1}, a_{n-2}$  is also nilpotent

by induction on degree of  $f$ . Conversely, if  $a_0$  is unit and  $a_1, \dots, a_n$  is nilpotent. We can imagine that if you power  $f$  enough times, then we will obtain unit. Or you can see  $\sum_{i=1}^n a_i x^i$  is nilpotent, then unit plus nilpotent is also unit.

For (2)<sup>1</sup>. If  $a_0, \dots, a_n$  are nilpotent, then clearly  $f$  is. Conversely, if  $f$  is nilpotent, then clearly  $a_n$  is nilpotent, and we have  $f - a_n x^n$  is nilpotent, then by induction on degree of  $f$  to conclude.

For (3).  $af = 0$  for  $a \neq 0$  implies  $f$  is a zero-divisor is clear. Conversely choose a  $g = \sum_{i=0}^m b_i x^i$  of least degree  $m$  such that  $fg = 0$ , then we have  $a_n b_m = 0$ , hence  $a_n g = 0$ , since  $a_n g f = 0$  and has degree less than  $m$ . Then consider

$$0 = fg - a_n x^n g = (f - a_n x^n)g$$

Then  $f - a_n x^n$  is a zero-divisor with degree  $n - 1$ , so we can conclude by induction on degree of  $f$ .

For (4). Note that  $(a_0, \dots, a_n) = 1$  is equivalent to there is no maximal ideal  $\mathfrak{m}$  contains  $a_0, \dots, a_n$ , it's an equivalent description for primitive polynomials. For  $f \in A[x]$ ,  $f$  is primitive if and only if for all maximal ideal  $\mathfrak{m}$ , we have  $f \notin \mathfrak{m}[x]$ . Note that we have the following isomorphism

$$A[x]/\mathfrak{m}[x] \cong (A/\mathfrak{m})[x]$$

Indeed, consider the following homomorphism

$$\varphi: A[x] \rightarrow (A/\mathfrak{m})[x]$$

$$\sum_{i=0}^n a_i x^i \mapsto \sum_{i=0}^n (a_i + \mathfrak{m}) x^i$$

Clearly  $\ker \varphi = \mathfrak{m}[x]$  and use the first isomorphism theorem. So in other words,  $f \in A[x]$  is primitive if and only if  $\overline{f} \neq 0 \in (A/\mathfrak{m})[x]$  for any maximal ideal  $\mathfrak{m}$ . Since  $A/\mathfrak{m}$  is a field, then  $(A/\mathfrak{m})[x]$  is an integral domain by (3), so  $\overline{f} \overline{g} \neq 0 \in (A/\mathfrak{m})[x]$  if and only if  $\overline{f} \neq 0 \in (A/\mathfrak{m})[x]$ ,  $\overline{g} \neq 0 \in (A/\mathfrak{m})[x]$ . This completes the proof.  $\square$

6.2.2. *Exercise 4-10 in Chapter 1 of Atiyah-MacDonald.*

**Exercise 6.2.3.** *In the ring  $A[x]$ , the Jacobson radical is equal to the nilradical*

*Proof.* Since we already have  $\mathfrak{N} \subseteq \mathfrak{R}$ , it suffices to show for any  $f \in \mathfrak{R}$ , it's nilpotent. Note that by property of Jacobson ideal, we have  $1 - fg$  is unit for any  $g \in A[x]$ . Choose  $g$  to be  $x$ , then by (1) of Exercise 1.8.1 we know that all coefficients of  $f$  is nilpotent in  $A$ , and by (2) of Exercise 6.2.1,  $f$  is nilpotent. This completes the proof.  $\square$

**Exercise 6.2.4.** *Let  $A$  be a ring and let  $A[[x]]$  be the ring of formal power series  $f = \sum_{n=0}^{\infty} a_n x^n$  with coefficients in  $A$ . Show that*

(1)  $f$  is a unit in  $A[[x]] \Leftrightarrow a_0$  is a unit in  $A$ .

<sup>1</sup>An alternative proof of (2). Note that

$$\mathfrak{N}(A[x]) = \bigcap \mathfrak{p}[x] = (\bigcap \mathfrak{p})[x] = \mathfrak{N}(A)[x]$$

- (2) If  $f$  is nilpotent, then  $a_n$  is nilpotent for all  $n \geq 0$ . Is the converse true?  
 (3)  $f$  belongs to the Jacobson radical of  $A[[x]] \Leftrightarrow a_0$  belongs to the Jacobson radical of  $A$ .  
 (4) The contraction of a maximal ideal  $\mathfrak{m}$  of  $A[[x]]$  is a maximal ideal of  $A$ , and  $\mathfrak{m}$  is generated by  $\mathfrak{m}^c$  and  $x$ .  
 (5) Every prime ideal of  $A$  is the contraction of a prime ideal of  $A[[x]]$ .

*Proof.* For (1). Let  $g = \sum_{j=1}^{\infty} b_j x^j$  be the inverse of  $f$ . Since  $fg = 1$ , then clearly we have  $a_0 b_0 = 1$ , thus  $a_0$  is a unit. Conversely, if  $a_0$  is a unit, then consider the Taylor expansion of  $1/f$  at  $x = 0$  to conclude.

For (2). If  $f = \sum_{i=0}^{\infty} a_i x^i$  is nilpotent, then  $a_0$  must be nilpotent, so  $f - a_0$  is also nilpotent. Consider  $(f - a_0)/x$  which is also nilpotent, we will obtain  $a_1$  is nilpotent. Repeat what we have done to conclude  $a_0, a_1, a_2, \dots$  are nilpotent. The converse holds when  $A$  is a Noetherian ring.

For (3).  $f \in \mathfrak{R}(A[[x]])$  if and only if  $1 - fg$  is unit for all  $g \in A[[x]]$ . Note that the zero term of  $1 - fg$  is  $1 - a_0 b_0$ , so by (1) we obtain  $1 - fg$  is unit if and only if  $1 - a_0 b_0$  is unit for all  $b_0 \in A$ , and that's equivalent to  $a_0 \in \mathfrak{R}(A)$ .

For (4). For maximal ideal  $\mathfrak{m} \in A[[x]]$ , we have  $(x) \subseteq \mathfrak{m}$ , since by (3) we have  $x \in \mathfrak{R}(A[[x]])$ . Then  $\mathfrak{m}^c = \mathfrak{m} - (x)$ , that is  $\mathfrak{m} = \mathfrak{m}^c + (x)$ . Furthermore, note that

$$A[[x]]/\mathfrak{m} = A[[x]]/(\mathfrak{m}^c + (x)) \cong A/\mathfrak{m}^c$$

implies  $\mathfrak{m}^c$  is maximal. The last isomorphism holds since for a ring  $A$  and two ideals  $\mathfrak{b} \subseteq \mathfrak{a}$ , we have

$$A/\mathfrak{a} \cong (A/\mathfrak{b})/(\mathfrak{a}/\mathfrak{b})$$

just by considering  $A/\mathfrak{a} \rightarrow A/\mathfrak{b}$  and use first isomorphism theorem.

For (5). Let  $\mathfrak{p}$  be a prime ideal in  $A$ . Consider the ideal  $\mathfrak{q}$  which is generated by  $\mathfrak{p}$  and  $x$ . Clearly  $\mathfrak{q}^c = \mathfrak{p}$  and  $\mathfrak{q}$  is prime since

$$A[[x]]/\mathfrak{q} \cong A/\mathfrak{p}$$

□

**Exercise 6.2.5.** A ring  $A$  is such that every ideal not contained in the nilradical contains a nonzero idempotent (that is, an element  $e$  such that  $e^2 = e \neq 0$ ). Prove that the nilradical and Jacobson radical of  $A$  are equal.

*Proof.* Take  $x \in \mathfrak{R}$  which is not in  $\mathfrak{N}$ . Then  $(x)$  is an ideal not contained in  $\mathfrak{N}$ . Thus there exists a nonzero idempotent  $e = xy \in (x)$ . Note that an important property of idempotent is that an idempotent is a zero-divisor, since  $e(1 - e) = 0$ . Thus  $1 - e = 1 - xy$  is not a unit. So by property of Jacobson ideal, we have  $x \notin \mathfrak{R}$ , a contradiction. □

**Exercise 6.2.6.** Let  $A$  be a ring in which every element  $x$  satisfies  $x^n = x$  for some  $n > 1$  (depending on  $x$ ). Show that every prime ideal in  $A$  is maximal.

*Proof.* The proof is quite similar to above Exercise: Note that every prime ideal is maximal if and only if nilradical and Jacobson radical are equal. If not, take

$x \in \mathfrak{N}$  which is not in  $\mathfrak{N}$ , then from  $x^n = x$  we know that  $1 - x^{n-1}$  is not a unit, a contradiction to  $x \in \mathfrak{N}$ .  $\square$

**Exercise 6.2.7.** Let  $A$  be a ring  $\neq 0$ . Show that the set of prime ideals of  $A$  has minimal elements with respect to inclusion.

*Proof.* Let  $\text{Spec} A$  denote the set of all prime ideals of  $A$ . Clearly it's not empty, since there exists a maximal ideal. We order  $\text{Spec} A$  by reverse inclusion, that is  $\mathfrak{p}_a \leq \mathfrak{p}_b$  if  $\mathfrak{p}_b \subseteq \mathfrak{p}_a$ . By Zorn lemma, it suffices to show every chain in  $\text{Spec} A$  has a upper bound in  $\text{Spec} A$ .

For a chain  $\{\mathfrak{p}_i\}_{i \in I}$ , it's natural to consider the intersection of all  $\mathfrak{p}_i$ , denote by  $\mathfrak{p}$ . It's an ideal clearly. Now it suffices to show it's prime. Suppose  $xy \in \mathfrak{p}$  and  $x, y \notin \mathfrak{p}$ . Then there exists  $\mathfrak{p}_i, \mathfrak{p}_j$  such that  $x \notin \mathfrak{p}_i, y \notin \mathfrak{p}_j$ . Without loss of generality we may assume  $\mathfrak{p}_i \subset \mathfrak{p}_j$ . Then  $x, y \notin \mathfrak{p}_i$ . But  $xy \in \mathfrak{p}$  implies  $xy \in \mathfrak{p}_i$ , a contradiction to the fact  $\mathfrak{p}_i$  is prime. This completes the proof.

*Remark 6.2.1.* At first I want to check the nilradical is a prime ideal to complete the proof. However, this statement fails in general. And it's easy to explain why: If there exists at least two minimal prime ideals, then nilradical can not be prime. Indeed, the intersections of distinct minimal prime ideal can not be prime, since if  $\mathfrak{p}_1, \dots, \mathfrak{p}_n$  is minimal and if  $\mathfrak{p} = \mathfrak{p}_1 \cap \dots \cap \mathfrak{p}_n$  is prime, then we must have  $\mathfrak{p} = \mathfrak{p}_i$  for some  $i$ , which implies  $\mathfrak{p}_i$  is contained in other  $\mathfrak{p}_j, i \neq j$ , a contradiction to minimality. Furthermore, as you can see, nilradical of a ring  $A$  is prime if and only if  $A$  only has one minimal prime ideal.  $\square$

**Exercise 6.2.8.** Let  $\mathfrak{a}$  be an ideal  $\neq (1)$  in a ring  $A$ . Show that  $\mathfrak{a} = r(\mathfrak{a}) \Leftrightarrow \mathfrak{a}$  is an intersection of prime ideals.

*Proof.* One direction is clear, since  $r(\mathfrak{a})$  is the intersection of all prime ideal containing  $\mathfrak{a}$ . Conversely, if  $\mathfrak{a}$  is an intersection of prime ideals, denoted by  $\mathfrak{a} = \bigcap_i \mathfrak{p}_i$ . If  $x^n \in \mathfrak{a}$ , then  $x^n \in \mathfrak{p}_i$  for each  $i$ , then by property of prime ideal we obtain  $x \in \mathfrak{p}_i$  for each  $i$ , which implies  $x \in \mathfrak{a}$ . This completes the proof.  $\square$

**Exercise 6.2.9.** Let  $A$  be a ring,  $\mathfrak{N}$  its nilradical. Show that the following statements are equivalent.

- (1)  $A$  has exactly one prime ideal.
- (2) every element of  $A$  is either a unit or nilpotent.
- (3)  $A/\mathfrak{N}$  is a field.

*Proof.* (1) to (3): Since  $A$  has exactly one prime ideal, it must be a maximal ideal, in this case  $A$  is a local ring and clearly  $A/\mathfrak{N}$  is a field.

(3) to (2): If  $A/\mathfrak{N}$  is a field, thus if an element in  $A$  is not a nilpotent, then it must be a unit.

(2) to (1): Consider the set of all nilpotent elements in  $A$ , it's clear it's an ideal, and thus  $A/\mathfrak{N}$  is a local ring.  $\square$



## 7. WEEK-7

## 7.1. Exercise3-7 in Chapter 2 of Atiyah-MacDonald.

**Exercise 7.1.1.** Let  $A$  be a local ring,  $M$  and  $N$  finitely generated  $A$ -modules. Prove that if  $M \otimes N = 0$ , then  $M = 0$  or  $N = 0$ .

*Proof.* Let  $\mathfrak{m}$  be the maximal ideal,  $k = A/\mathfrak{m}$  the residue field. Let  $M_k = k \otimes_A M \cong M/\mathfrak{m}M$  by Exercise 2.8.2. By Nakayama's lemma,  $M_k = 0 \Rightarrow M = 0$ . Note that by definition we have

$$\begin{aligned} (M \otimes_A N)_k &= k \otimes_A (M \otimes_A N) \\ &= (k \otimes_A M) \otimes_A N \\ &= ((k \otimes_A M) \otimes_k k) \otimes_A N \\ &= (k \otimes_A M) \otimes_k (k \otimes_A N) \\ &= M_k \otimes_k N_k \end{aligned}$$

Thus  $M \otimes_A N = 0 \Rightarrow (M \otimes_A N)_k = 0 \Rightarrow M_k \otimes_k N_k = 0 \Rightarrow M_k = 0$  or  $N_k = 0$ , since  $M_k, N_k$  are vector spaces over a field.  $\square$

**Exercise 7.1.2.** Let  $M_i, i \in I$  be any family of  $A$ -modules and  $M$  be their direct sum. Prove that  $M$  is flat  $\Leftrightarrow$  each  $M_i$  is flat.

*Proof.* It suffices to show tensor commutes with direct sum, that is for any  $A$ -module  $B$ , we have

$$B \otimes \bigoplus M_i = \bigoplus (B \otimes M_i)$$

And it's clear from Proposition 2.14 of [AM69].  $\square$

**Exercise 7.1.3.** Let  $A[x]$  be the ring of polynomials in one indeterminate over a ring  $A$ . Prove that  $A[x]$  is a flat  $A$ -algebra.

*Proof.* Note that  $A[x] = \bigoplus_i M_i$ , where  $M_i = Ax^i$ . Clearly  $M_i \cong A$  as  $A$ -modules, and  $A$  is flat as an  $A$ -module. Thus by Exercise 2.8.4 we obtain  $A[x]$  is flat.  $\square$

**Exercise 7.1.4.** For any  $A$ -module, let  $M[x]$  denote the set of all polynomials in  $x$  with coefficients in  $M$ , that is to say expressions of the form

$$m_0 + m_1x + \cdots + m_r x^r \quad m_i \in M$$

Defining the product of an element of  $A[x]$  and an element of  $M[x]$  in the obvious way, show that  $M[x]$  is an  $A[x]$ -module. Show that  $M[x] \cong A[x] \otimes_A M$ .

*Proof.* Firstly, let's define the  $A[x]$ -module structure on  $M[x]$ : For  $\sum a_i x^i \in A[x], \sum m_j x^j \in M[x]$ , define  $A[x]$  action as

$$(\sum a_i x^i)(\sum m_j x^j) = \sum c_k x^k, \quad c_k = \sum_{i+j=k} a_i m_j$$

It's a routine to check it do gives an  $A[x]$ -module structure, we omit here.

Consider the following map

$$\begin{aligned} \phi: M[x] &\rightarrow A[x] \otimes_A M \\ \sum m_i x^i &\mapsto \sum x^i \otimes m_i \end{aligned}$$

It's an  $A[x]$ -module homomorphism. Indeed, for  $\sum a_i x^i \in A[x]$ , we have

$$\begin{aligned}
 \phi(\sum a_i x^i \sum m_j x^j) &= \phi(\sum_{i+j=k} a_i m_j x^{i+j}) \\
 &= \sum_k \sum_{i+j=k} x^{i+j} \otimes a_i m_j \\
 &= \sum_{i,j} x^i x^j \otimes a_i m_j \\
 &= \sum_j ((\sum_i a_i x^i) x^j \otimes m_j) \\
 &= (\sum_i a_i x^i) (\sum_j x^j \otimes m_j) \\
 &= (\sum_i a_i x^i) \phi(\sum_j m_j x^j)
 \end{aligned}$$

As desired. Conversely, consider  $\tilde{\psi}: A[x] \times M \rightarrow M[x]$  defined by  $\tilde{\psi}(\sum a_i x^i, m) = \sum a_i m x^i$ . It induces a linear map  $\psi: A[x] \otimes_A M \rightarrow M[x]$  by sending  $(\sum a_i x^i) \otimes m$  to  $\sum a_i m x^i$ . Clearly  $\psi$  and  $\phi$  are inverse.  $\square$

*Remark 7.1.1.* From this Exercise, hope you can get a feeling of a use of tensor product: a kind of changing domain of coefficients.

**Exercise 7.1.5.** Let  $\mathfrak{p}$  be a prime ideal in  $A$ . Show that  $\mathfrak{p}[x]$  is a prime ideal in  $A[x]$ . If  $\mathfrak{m}$  is a maximal ideal in  $A$ , is  $\mathfrak{m}[x]$  a maximal ideal in  $A[x]$ ?

*Proof.* It suffices to check  $A[x]/\mathfrak{p}[x]$  is a domain. Note that  $A[x]/\mathfrak{p}[x] \cong (A/\mathfrak{p})[x]$ . By Exercise 6.2.2,  $f$  is a zero-divisor in  $(A/\mathfrak{p})[x]$  if and only if there exists  $a \in A/\mathfrak{p}$  such that  $af = 0$ , but it's impossible since  $A/\mathfrak{p}$  is a domain. However,  $\mathfrak{m}[x]$  may not be a maximal ideal. For example, let  $A = \mathbb{Q}$  and  $\mathfrak{m} = (0)$ , then clearly  $(0)$  is not maximal in  $\mathbb{Q}[x]$ .  $\square$

## 7.2. Exercise9-13 in Chapter 2 of Atiyah-MacDonald.

**Exercise 7.2.1.** Let  $0 \rightarrow M' \xrightarrow{f} M \xrightarrow{g} M'' \rightarrow 0$  be an exact sequence of  $A$ -modules. If  $M'$  and  $M''$  are finitely generated, then so is  $M$ .

*Proof.* There exist sets of generators  $\{x_i\}_{i \in I}$  of  $M'$  and  $\{\overline{y_j}\}_{j \in J}$  of  $M''$ . Consider the preimage of  $\{\overline{y_j}\}_{j \in J}$  in  $M$ , denoted by  $\{y_j\}_{j \in J}$ . It's clear  $\{f(x_i)\}_{i \in I}$  together with  $\{y_j\}_{j \in J}$  generates  $M$  by the exactness of sequence.  $\square$

**Exercise 7.2.2.** Let  $A$  be a ring,  $\mathfrak{a}$  an ideal contained in the Jacobson radical of  $A$ . Let  $M$  be an  $A$ -module and  $N$  a finitely generated  $A$ -module, and let  $u: M \rightarrow N$  be a homomorphism. If the induced homomorphism  $M/\mathfrak{a}M \rightarrow N/\mathfrak{a}N$  is surjective, then  $u$  is surjective.

*Proof.* Consider the following composition

$$M \rightarrow M/\mathfrak{a}M \xrightarrow{u} N/\mathfrak{a}N$$

It's surjective, since it's a composition of two surjective mappings, which implies  $u(M) + \mathfrak{a}N = N$ . Note that  $N$  is finitely generated and  $\mathfrak{a} \subseteq \mathfrak{R}$ . Then Nakayama's lemma implies  $\mu(M) = N$ .  $\square$

**Exercise 7.2.3.** Let  $A$  be a ring  $\neq 0$ . Show that  $A^m \cong A^n \Rightarrow m = n$ . Furthermore,

(1) If  $\phi: A^m \rightarrow A^n$  is surjective, then  $m \geq n$ .

(2) If  $\phi: A^m \rightarrow A^n$  is injective, is it always the case that  $m \leq n$ ?

*Proof.* (1). Let  $\mathfrak{m}$  be a maximal ideal of  $A$  and let  $\phi: A^m \rightarrow A^n$  be an isomorphism. Then  $1 \otimes \phi: (A/\mathfrak{m}) \otimes A^m \rightarrow (A/\mathfrak{m}) \otimes A^n$  is an isomorphism between vector spaces of dimensions  $m$  and  $n$  over the field  $k = A/\mathfrak{m}$ . Indeed, there is a surjective map  $A^m \rightarrow A^n$  and surjective map  $A^n \rightarrow A^m$ , so there is a surjective map  $(A/\mathfrak{m}) \otimes A^m \rightarrow (A/\mathfrak{m}) \otimes A^n$  and vice versa. Hence  $m = n$ . So it's natural to see (1) is also true.

(2). This method fails for the case  $\phi$  is injective, since tensor is just a right exact functor, but this statement is still true.  $\square$

**Exercise 7.2.4.** Let  $M$  be a finitely generated  $A$ -module and  $\phi: M \rightarrow A^n$  a surjective homomorphism. Show that  $\ker \phi$  is finitely generated.

*Proof.* Consider the following exact sequence

$$0 \rightarrow \ker \phi \rightarrow M \xrightarrow{\phi} A^n \rightarrow 0$$

Since  $A^n$  is a free  $A$ -module, so this exact sequence splits, which is equivalent to  $\ker \phi$  is a direct summand of  $M$ . Then  $\ker \phi$  is finitely generated, since  $M$  is.  $\square$

**Exercise 7.2.5.** Let  $f: A \rightarrow B$  be a ring homomorphism, and let  $N$  be a  $B$ -module. Regarding  $N$  as an  $A$ -module by restriction of scalars, form the  $B$ -module  $N_B = B \otimes_A N$ . Show that the homomorphism  $g: N \rightarrow N_B$  which maps  $y$  to  $1 \otimes y$  is injective and that  $g(N)$  is a direct summand of  $N_B$ .

*Proof.* Consider the following mapping

$$\begin{aligned} p: N_B &\rightarrow N \\ b \otimes y &\mapsto by \end{aligned}$$

Directly check  $p \circ g$  as follows: Take  $y \in N$ , then

$$p \circ g(y) = p(1 \otimes y) = y$$

So we have  $p \circ g$  is identity on  $N$ , which implies  $g$  is injective. Furthermore, this implies the following sequence splits

$$0 \rightarrow N \xrightarrow{g} N_B \rightarrow N_B/\text{im } g \rightarrow 0$$

which is equivalent to  $g(N)$  is a direct summand of  $N_B$ .  $\square$

## 8. WEEK-8&amp;9

## 8.1. Exercise2-9 in Chapter 3 of Atiyah-MacDonald.

**Exercise 8.1.1.** Let  $\mathfrak{a}$  be an ideal of a ring  $A$ , and let  $S = 1 + \mathfrak{a}$ . Show that  $S^{-1}\mathfrak{a}$  is contained in the Jacobson radical of  $S^{-1}A$ .

*Proof.* It suffices to show for every maximal ideal  $\mathfrak{m}$  of  $S^{-1}A$ , we have  $S^{-1}\mathfrak{a} \subseteq \mathfrak{m}$ . Note that every ideal of  $S^{-1}A$  is an extended ideal, thus there exists an ideal  $\mathfrak{b}$  of  $A$  such that  $S^{-1}\mathfrak{b} = \mathfrak{m}$ . Furthermore,  $\mathfrak{b} \cap (1 + \mathfrak{a}) = \emptyset$ , which implies  $(\mathfrak{a} + \mathfrak{b}) \cap (1 + \mathfrak{a}) = \emptyset$ . Thus  $S^{-1}\mathfrak{a} + S^{-1}\mathfrak{b} \neq (1)$  and it contains  $S^{-1}\mathfrak{b}$ . By maximality of  $S^{-1}\mathfrak{b}$  we have  $S^{-1}\mathfrak{a} \subseteq \mathfrak{m}$ . This completes the proof.  $\square$

*Remark 8.1.1.* Now let's show we can derive Corollary ?? from Nakayama's lemma: If  $M$  is a finitely generated  $A$ -module and  $\mathfrak{a}$  is an ideal of  $A$  such that  $\mathfrak{a}M = M$ . Let  $S = 1 + \mathfrak{a}$  and note that

$$S^{-1}M = S^{-1}(\mathfrak{a}M) = (S^{-1}\mathfrak{a})(S^{-1}M)$$

Since  $S^{-1}\mathfrak{a}$  is contained in Jacobson radical, so Nakayama's lemma implies  $S^{-1}M = 0$ . By Exercise 3.5.1, there exists  $x = 1 + a \in S$  such that  $xM = 0$ . In this case,  $x = 1 + a \equiv 1 \pmod{\mathfrak{a}}$  as desired.

**Exercise 8.1.2.** Let  $A$  be a ring, let  $S$  and  $T$  be two multiplicative closed subsets of  $A$ , and let  $U$  be the image of  $T$  in  $S^{-1}A$ . Show that the rings  $(ST)^{-1}A$  and  $U^{-1}(S^{-1}A)$  are isomorphic.

*Proof.* It suffices to show  $U^{-1}(S^{-1}A)$  is also the localization of  $A$  with respect to  $ST$ , and use the fact localization is unique. Take  $g: A \rightarrow B$  such that  $g(st)$  is a unit for all  $s \in S, t \in T$ . Consider the following commutative diagram

$$\begin{array}{ccccc} A & \xrightarrow{f_S} & S^{-1}A & \xrightarrow{f_U} & U^{-1}(S^{-1}A) \\ g \downarrow & \nearrow h_1 & & \nearrow h_2 & \\ B & & & & \end{array}$$

$h_1$  is induced by the fact  $g(s)$  is unit for all  $s \in S$ . Furthermore,  $h_1(\bar{t})$  is unit in  $B$  for all  $\bar{t} \in U$ , since  $\bar{t} = f_S(t)$  for some  $t \in T$  and  $h_1 \circ f_S = g$ , so it induces  $h_2$ . Note that  $h_2 \circ f_U \circ f_S = g$ , which implies that  $U^{-1}(S^{-1}A)$  is the localization of  $A$  with respect to  $ST$ .  $\square$

**Exercise 8.1.3.** Let  $f: A \rightarrow B$  be a homomorphism of rings and let  $S$  be a multiplicative closed subset of  $A$ . Let  $T = f(S)$ . Show that  $S^{-1}B$  and  $T^{-1}B$  are isomorphic as  $S^{-1}A$ -modules.

*Proof.* It's clearly  $S^{-1}B$  is a  $S^{-1}A$ -module, and the  $S^{-1}A$ -module structure on  $T^{-1}B$  is given by  $a/s \cdot b := f(a) \cdot b/f(s)$ . Consider the following  $S^{-1}A$ -module morphism:

$$\begin{aligned} \phi: S^{-1}B &\rightarrow T^{-1}B \\ b/s &\mapsto b/f(s) \end{aligned}$$

It's well-defined, since for  $b/s = b'/s'$ , there exists  $u \in S$  such that  $(bs' - b's)u = 0$ , thus  $f((bs' - b's)u) = (bf(s') - b'f(s))f(u) = 0$ , that is  $b/f(s) = b'/f(s')$  in  $T^{-1}B$ .

It's clearly surjective. For injectivity: If  $\phi(b/s) = 0$ , then there exists  $f(s') \in T$  such that  $f(s')b = 0$ . But if we want to show  $b/s = 0$ , we need to find  $s' \in S$  such that  $s' \cdot b = 0$ , and that's exactly  $f(s')b = 0$ . So  $\phi$  is an isomorphism.  $\square$

**Exercise 8.1.4.** Let  $A$  be a ring. Suppose that, for each prime ideal  $\mathfrak{p}$ , the local ring  $A_{\mathfrak{p}}$  has no nilpotent element  $\neq 0$ . Show that  $A$  has no nilpotent element  $\neq 0$ . If each  $A_{\mathfrak{p}}$  is an integral domain, is  $A$  necessarily an integral domain?

*Proof.* That's to show nilpotence is a local property: It suffices to show nilradical  $\mathfrak{N}$  of  $A$  is zero, note that  $(\mathfrak{N})_{\mathfrak{p}}$  is the nilradical of  $A_{\mathfrak{p}}$ . If for all prime ideal  $\mathfrak{p}$  we have  $A_{\mathfrak{p}}$  contains no nilpotent element, thus  $(\mathfrak{N})_{\mathfrak{p}} = 0$  for all prime ideals  $\mathfrak{p}$ , which implies  $\mathfrak{N} = 0$ .

However, integral is not a local property. Consider  $\mathbb{Z}_6$ , it's clearly not a domain. The prime ideals of it are

$$\mathfrak{p} = \mathbb{Z}_3$$

$$\mathfrak{q} = \mathbb{Z}_2$$

Now let's see its localization at  $\mathfrak{p}$ : Since it's a local ring, it suffices to consider it's maximal ideal, that's the extension of  $\mathfrak{p}$

$$\begin{aligned} \mathfrak{p}(\mathbb{Z}_6)_{\mathfrak{p}} &= \{r/s \mid r \in \mathfrak{p}, s \notin \mathfrak{p}\} \\ &= \left\{ \frac{0}{1}, \frac{2}{1}, \frac{4}{1}, \frac{0}{3}, \frac{2}{3}, \frac{4}{3}, \frac{0}{5}, \frac{2}{5}, \frac{4}{5} \right\} \end{aligned}$$

However,  $r_1/s_1 = r_2/s_2$  if and only if there is  $u \notin \mathfrak{p}$  such that  $u(r_1s_2 - r_2s_1) = 0$ . Thus  $2/1 = 0/1$  since  $3(2 \times 1 - 0) = 0$ . In fact, after simple computations we can see  $\mathfrak{p}(\mathbb{Z}_6)_{\mathfrak{p}} = 0$ . That's it's a field, definitely a domain.  $\square$

**Exercise 8.1.5.** Let  $A$  be a ring  $\neq 0$  and let  $\Sigma$  be the set of all multiplicative closed subsets  $S$  of  $A$  such that  $0 \notin S$ . Show that  $\Sigma$  has maximal elements, and that  $S \in \Sigma$  is maximal if and only if  $A - S$  is a minimal prime ideal of  $A$ .

*Proof.* By Zorn's lemma it's easy to see it has a maximal element. Now let's see  $S$  is maximal if and only if  $A - S$  is a minimal prime ideal: Note that for a general multiplicative closed subset, the complement of it may not be a prime ideal. However, for a maximal multiplicative closed subset, the complement of it must be a prime ideal: For a multiplicative closed subset  $S$ , and  $\mathfrak{p} = A - S$ .

- (1) To see  $a + b \in \mathfrak{p}$  for any  $a, b \in \mathfrak{p}$ : It suffices to show  $a + b \in S$  implies either  $a \in S$  or  $b \in S$ . If  $a + b \in S$ , consider the multiplicative sets  $A = S(a^n)_{n \geq 0}$  and  $B = S(b^n)_{n \geq 0}$ . If  $0 \in A \cap B$ , then there exists  $s_1, s_2 \in S$  and  $n, m \geq 0$  such that

$$s_1 a^n = s_2 b^m = 0$$

Then we have

$$0 = s_1 s_2 (a + b)^{n+m} \in S$$

A contradiction. Therefore, Without lose of generality we may assume  $0 \notin S(a^n)_{n \geq 0}$ . By maximality of  $S$ , this implies  $S(a^n)_{n \geq 0} = S$  and so that  $a \in S$ .

- (2) To see  $ra \in \mathfrak{p}$  for any  $r \in A, a \in \mathfrak{p}$ : Its contrapositive is  $ra \in S$  for some  $r \in A$  implies  $a \in S$ . Similarly if  $0 \in S(a^n)_{n \geq 0}$  then there exists  $s_1 \in S$  and  $n \geq 0$  such that  $s_1 a^n = 0$ . Then

$$0 = s_1 r^n a^n \in S$$

A contradiction. Therefore again by maximality of  $S$  we have  $a \in S$ .

- (3)  $\mathfrak{p}$  is prime is clear.

Thus for a maximal multiplicative closed subset  $S$ ,  $\mathfrak{p} = A - S$  must be a prime ideal, and it must be minimal, otherwise for  $\mathfrak{p}' \subseteq \mathfrak{p}$ ,  $A - \mathfrak{p}'$  will contain  $S$ .

On the other hand: assume  $\mathfrak{p} = A - S$  is a minimal prime ideal, and it's not maximal. Then  $S$  must be contained in some maximal multiplicative closed subset  $S'$ , note that  $S' = A - \mathfrak{p}'$  for some minimal prime ideal, but  $S \subseteq S'$  implies  $\mathfrak{p}' \subseteq \mathfrak{p}$ , a contradiction to the minimality of  $\mathfrak{p}$ .  $\square$

**Exercise 8.1.6.** A multiplicative closed subset  $S$  of a ring  $A$  is said to be saturated if  $xy \in S$  if and only if  $x \in S$  and  $y \in S$ . Prove that

- (1)  $S$  is saturated if and only if  $A - S$  is a union of prime ideals.
- (2) If  $S$  is any multiplicative closed subset of  $A$ , there is a unique smallest saturated multiplicative closed subset  $\bar{S}$  containing  $S$ , and that  $\bar{S}$  is the complement in  $A$  of the union of the prime ideals which do not meet  $S$ . ( $\bar{S}$  is called the saturation of  $S$ .)
- (3) If  $S = 1 + \mathfrak{a}$ , where  $\mathfrak{a}$  is an ideal of  $A$ , find  $\bar{S}$ .

*Proof.* For (1). If  $\mathfrak{p}$  is a union of prime ideals, then it's clear  $S$  is saturated. Conversely, if  $S$  is saturated, then if  $x \notin S$ , then  $rx \notin S$  for all  $r \in A$ , which implies  $(x) \cap S = \emptyset$ . So  $S^{-1}(x) \neq (1)$ , and it is contained in some prime ideal  $\mathfrak{q}$ . Then

$$(x) \subseteq (S^{-1}(x))^c \subseteq \mathfrak{q}^c$$

Furthermore,  $\mathfrak{q}^c \cap S = \emptyset$ , thus  $(x)$  is contained in a prime  $\mathfrak{q}^c \subseteq A - S$ . That is every element of  $A - S$  lies in some prime ideal, thus  $A - S$  is a union of prime ideals.

For (2). If  $\mathfrak{p}$  is the union of all prime ideals which do not meet  $S$ , then  $\bar{S} = A - \mathfrak{p}$  is a saturated multiplicative closed subset containing  $S$ . If  $\bar{S}$  is not minimal, then the minimal one  $\bar{S}'$  must be contained in  $\bar{S}$ , then consider  $\mathfrak{p}' = A - \bar{S}'$ , clear  $\mathfrak{p} \subseteq \mathfrak{p}'$ . Furthermore,  $\mathfrak{p}'$  is the union of prime ideals which do not meet  $S$ , thus  $\mathfrak{p}$  do not contain all, a contradiction.

For (3). If  $S = 1 + \mathfrak{a}$ .  $\square$

**Exercise 8.1.7.** Let  $S, T$  be multiplicative closed subsets of  $A$ , such that  $S \subseteq T$ . Let  $\phi: S^{-1}A \rightarrow T^{-1}A$  be the homomorphism which maps each  $a/x \in S^{-1}A$  to  $a/x$  considered as an element of  $T^{-1}A$ . Show that the following statements are equivalent:

- (1)  $\phi$  is bijective.
- (2) For each  $t \in T$ ,  $t/1$  is a unit in  $S^{-1}A$ .
- (3) For each  $t \in T$  there exists  $x \in A$  such that  $xt \in S$ .
- (4)  $T$  is contained in the saturation of  $S$ .
- (5) Every prime ideal which meets  $T$  also meets  $S$ .

*Proof.* For (1) to (2). Since  $\phi$  is surjective, then there exists  $a/s \in S^{-1}A$  such that  $\phi(a/s) = 1/t$  in  $T^{-1}A$ . Consider  $\phi(a/s \cdot t/1) = 1/1 \in T^{-1}A$ , by injectivity of  $\phi$  we have  $a/s$  is the inverse of  $t/1$ .

For (2) to (3). If  $t/1$  is a unit in  $S^{-1}A$ , use  $a/s$  to denote its inverse. Then  $at/s = 1/1$  in  $S^{-1}A$  implies there exists  $u \in S$  such that  $u(at - s) = 0$ . Let  $x = au$ , we have  $xt \in S$ .

For (3) to (1). For injectivity: if  $a/s = 0$  in  $T^{-1}A$ , then there exists  $t \in T$  such that  $at = 0$ . But there exists  $x \in A$  such that  $xt \in S$ , thus  $axt = 0$  implies  $a/s = 0$  in  $S^{-1}A$ . For surjectivity, for  $a/t \in T^{-1}A$ , since there exists  $x \in A$  such that  $xt \in S$ . Note that  $a/t = ax/xt \in T^{-1}A$ , thus  $\phi(ax/xt) = a/t$  as desired.

For (3) to (4). For  $t \in T$  there exists  $x \in A$  such that  $xt \in S \subset \bar{S}$ , then  $t \in \bar{S}$  since  $\bar{S}$  is saturated.

For (4) to (5). If there exists a prime ideal which meets  $T$  but not meets  $S$ , then  $T$  can not be contained in  $\bar{S}$ , since  $\bar{S}$  is the complement of the union of all prime ideals which do not meet  $S$ .

For (5) to (3). If there exists a  $t \in T$  such that there is no  $x \in A$  satisfying  $xt \in S$ , then  $(t) \cap S = \emptyset$ . Then consider  $S^{-1}(t) \in S^{-1}A$ , it must be contained in some prime ideal  $\mathfrak{p}$ . Then  $(t) \subseteq \mathfrak{p}^e$ , that is  $(t)$  is contained in a prime ideal which does not meet  $S$ , a contradiction.  $\square$

**Exercise 8.1.8.** The set  $S_0$  of all non-zero-divisors in  $A$  is a saturated multiplicative closed subset of  $A$ . Hence the set  $D$  of zero-divisors in  $A$  is a union of prime ideals. Show that every minimal prime ideal of  $A$  is contained in  $D$ .

The ring  $S_0^{-1}A$  is called the total ring of fractions of  $A$ . Prove that

- (1)  $S_0$  is the largest multiplicative closed subset of  $A$  for which the homomorphism  $A \rightarrow S_0^{-1}A$  is injective.
- (2) Every element in  $S_0^{-1}A$  is either a zero-divisor or a unit.
- (3) Every ring in which every non-unit is a zero-divisor is equal to its total ring of fractions (i.e.,  $A \rightarrow S_0^{-1}A$  is bijective).

*Proof.* For any minimal prime ideal  $\mathfrak{p}$ ,  $S = A - \mathfrak{p}$  is a maximal multiplicative closed subset. If we want to show every minimal prime ideal of  $A$  is contained in  $D$ , it suffices to show  $S_0$  is contained in every maximal multiplicative closed subset. Indeed, if  $S_0 \not\subseteq S$  for some maximal multiplicative closed subset  $S$  which does not contain 0, then  $SS_0$  must contain 0, since it strictly contains  $S$ . But this implies there exist  $s_0 \in S_0, s \in S$  such that  $s_0s = 0$ , a contradiction to the definition of  $S_0$ .

For (1). It's clear  $f: A \rightarrow S_0^{-1}A$  is injective, since by Remark 3.1.5 we know the kernel of  $f$  is zero divisor of  $A$ . Furthermore,  $S_0^{-1}A$  is maximal. Indeed, assume  $S_0 \subset S$  for some  $S$ , then there exists a zero-divisor  $a$  of  $A$  in  $S$ , then  $f: A \rightarrow S^{-1}A$  maps  $u$  into zero, not injective.

For (2). Note that if  $a/s = 0 \in S_0^{-1}A$  is a zero-divisor, then there exists  $u \in S_0$  such that  $au = 0$ , but  $u$  is a non-zero-divisor, then  $a = 0$ . So  $a/s = 0 \in S_0^{-1}A$  if and only if  $a = 0$ , thus  $a/s \in S_0^{-1}A$  is a zero-divisor if and only if  $a$  is. So if  $a/s$  is not a zero-divisor, thus  $a$  is not a zero-divisor, that is  $a \in S_0$ , thus  $a/s$  is a unit.

For (3). Note that if in ring  $A$  every non-unit is a zero-divisor, then  $S_0$ , the set of all non-zero-divisors is exactly the set of all units. Thus  $A \rightarrow S_0^{-1}A$  clearly a bijective, since localization is the most economic operation to make all elements in  $S_0$  to be unit.  $\square$

## 8.2. Exercise 12-15 in Chapter 3 of Atiyah-MacDonald.

**Exercise 8.2.1.** Let  $A$  be an integral domain and  $M$  an  $A$ -module. An element  $x \in M$  is a torsion element of  $M$  if  $\text{Ann}(x) \neq 0$ , that is if  $x$  is killed by some non-zero element of  $A$ . Show that the torsion elements of  $M$  form a submodule of  $M$ . This submodule is called the torsion submodule of  $M$  and is denoted by  $T(M)$ . If  $T(M) = 0$ , the module  $M$  is said to be torsion-free. Show that

- (1) If  $M$  is any  $A$ -module, then  $M/T(M)$  is torsion-free.
- (2) If  $f: M \rightarrow N$  is a module homomorphism, then  $f(T(M)) \subseteq T(N)$ .
- (3) If  $0 \rightarrow M' \xrightarrow{f} M \xrightarrow{g} M''$  is an exact sequence, then the sequence  $0 \rightarrow T(M') \xrightarrow{f} T(M) \xrightarrow{g} T(M'')$  is exact.
- (4) If  $M$  is any  $A$ -module, then  $T(M)$  is the kernel of the mapping  $x \mapsto 1 \otimes x$  of  $M$  into  $K \otimes_A M$ , where  $K$  is the field of fractions of  $A$ .

*Proof.* It's clear that all torsion elements form a submodule module of  $M$ . For (1). We need to show  $T(M/T(M)) = 0$ : If  $x + T(M) \in M/T(M)$  is a torsion element, so there exists  $a_1 \in A$  such that  $a_1x \in T(M)$ , so there exists  $a_2$  such that  $a_2a_1x = 0$ , that is  $x \in T(M)$ .

For (2). Take  $x \in T(M)$ , then there exists  $a \in A$  such that  $ax = 0$ , it's clear to see  $f(a)f(x) = 0$ , so  $f(x) \in T(N)$ , which implies  $f(T(M)) \subseteq T(N)$ .

For (3). It's clear  $f: T(M') \rightarrow T(M)$  is still injective, since for  $x \in T(M')$  we can regard it as an element in  $M'$  and  $f(x) = 0$  implies  $x = 0$ . By the same method, we can see  $\text{im } f \subseteq \ker g$ . Now it suffices to show  $\ker g \subseteq \text{im } f$ . Take  $x \in T(M)$  such that  $g(x) = 0$ , then there exists  $y \in M'$  such that  $f(y) = x$ , then it suffices to show  $y \in T(M')$ . Indeed, note that there exists  $a \in A$  such that  $ax = 0$ , so  $f(ay) = 0$ , then  $ay = 0$  since  $f$  is injective.

For (4). It's clear  $T(M)$  lies in the kernel of this mapping. Conversely, note that  $K \otimes_A M \cong (A \setminus \{0\})^{-1}M$ , this isomorphism is defined by  $a/s \otimes m \mapsto am/s$ . So the kernel of  $M \rightarrow K \otimes_A M$  is the same as the kernel of  $M \rightarrow K \otimes_A M \rightarrow (A \setminus \{0\})^{-1}M$ . The latter mapping is given by  $m \mapsto m/1$ . So  $m/1 = 0$  implies there exists  $a \in A \setminus \{0\}$  such that  $am = 0$ , that is  $m \in T(M)$ .  $\square$

**Exercise 8.2.2.** Let  $S$  be a multiplicative closed subset of an integral domain  $A$ . In the notation of Exercise 3.5.12, show that  $T(S^{-1}M) = S^{-1}(T(M))$ . Deduce that the following statements are equivalent.

- (1)  $M$  is torsion-free.
- (2)  $M_{\mathfrak{p}}$  is torsion-free for all prime ideal  $\mathfrak{p}$ .
- (3)  $M_{\mathfrak{m}}$  is torsion-free for all maximal ideals  $\mathfrak{m}$ .

*Proof.* For  $x \in T(M)$ , there exists  $a \in A$  such that  $ax = 0$ , so  $x/s \in T(S^{-1}M)$  since  $a/1 \cdot x/s = 0$ , that is  $S^{-1}(T(M)) \subseteq T(S^{-1}M)$ . Conversely, if  $x/s \in T(S^{-1}M)$ , there



exists  $a/s'$  such that  $a/s' \cdot x/s = 0$ , that is there exists  $u \in S$  such that  $uax = 0$ , that is  $x \in T(M)$ . Thus  $T(S^{-1}M) = S^{-1}(T(M))$ .

For (1) to (2). It's clear since  $T(M_p) = T(M)_p = 0$ . For (2) to (3). Trivial.

For (3) to (1). It suffices to show  $T(M)_m$  for all maximal ideal  $m$ , and that's clear.  $\square$

**Exercise 8.2.3.** Let  $M$  be an  $A$ -module and  $\mathfrak{a}$  an ideal of  $A$ . Suppose that  $M_m = 0$  for all maximal ideals  $m \supseteq \mathfrak{a}$ . Prove that  $M = \mathfrak{a}M$ .

*Proof.* It suffices to show  $A/\mathfrak{a}$ -module  $M/\mathfrak{a}M = 0$ . But

$$(M/\mathfrak{a})_m \cong M_m/(\mathfrak{a}M)_m = 0$$

This completes the proof.  $\square$

**Exercise 8.2.4.** Let  $A$  be a ring, and let  $F$  be the  $A$ -module  $A^n$ . Show that every set of  $n$  generators of  $F$  is a basis of  $F$ . Deduce that every set of generators of  $F$  has at least  $n$  elements.

*Proof.* Let  $x_1, \dots, x_n$  be a set of generators and  $e_1, \dots, e_n$  the canonical basis of  $F$ . Define  $\phi: F \rightarrow F$  by  $\phi(e_i) = x_i$ . Then  $\phi$  is surjective and we have to prove that it is an isomorphism. Since injectivity is a local property we may assume that  $A$  is a local ring. Let  $N$  be the kernel of  $\phi$  and let  $k = A/\mathfrak{m}$  be the residue field of  $A$ . Since field is always flat, the exact sequence  $0 \rightarrow N \rightarrow F \xrightarrow{\phi} F \rightarrow 0$  gives an exact sequence

$$0 \rightarrow k \otimes N \rightarrow k \otimes F \xrightarrow{1 \otimes \phi} k \otimes F \rightarrow 0$$

Now  $k \otimes F = k^n$  is an  $n$ -dimensional vector space over  $k$ .  $1 \otimes \phi$  is surjective, hence bijective, hence  $k \otimes N = N/\mathfrak{m}N = 0$ . Also  $N$  is finitely generated, by Chapter 2, Exercise 2.8.12, hence  $N = 0$  by Nakayama's lemma, since  $N = \mathfrak{m}N$  and for a local ring  $\mathfrak{m} = \mathfrak{R}$ . Hence  $\phi$  is an isomorphism.

Assume  $\{x_1, \dots, x_k\}, k < n$  is a set of generators of  $F$ , then add  $\{e_{k+1}, \dots, e_n\}$  into them we still obtain a set of generators, with  $n$  elements. Then we know that

$$\begin{cases} \phi(e_i) = x_i, & 1 \leq i \leq k \\ \phi(e_i) = e_i, & k < i \leq n \end{cases}$$

is an isomorphism. Claim  $\{x_1, \dots, x_k\}$  can not generate  $e_{k+1}$ . Indeed, if  $\sum_{i=1}^k a_i x_i = e_{k+1}$ , then

$$\phi\left(\sum_{i=1}^k a_i e_i\right) = \sum_{i=1}^k a_i x_i = e_{k+1} = \phi(e_{k+1})$$

But  $\phi$  is injective, thus  $\sum_{i=1}^k a_i e_i = e_{k+1}$ , a contradiction.  $\square$

## 9. WEEK-11

## 9.1. Exercise 1-9 in Chapter five of Atiyah-MacDonald.

**Exercise 9.1.1.** Let  $f: A \rightarrow B$  be an integral homomorphism of rings. Show that  $f^*: \text{Spec} B \rightarrow \text{Spec} A$  is a closed mapping.

*Proof.* Firstly, consider  $A \xrightarrow{f} f(A) \xrightarrow{i} B$ , where  $i$  is an inclusion. Then one has  $\text{Spec} f(A)$  is homeomorphic to a closed subset of  $\text{Spec} A$ , thus it suffices to show  $i^*: \text{Spec} B \rightarrow \text{Spec} f(A)$  is a closed mapping, that is we may assume  $A \subseteq B$ , as a subring.

For an closed sets  $V(\mathfrak{b})$  of  $\text{Spec} B$ , we claim

$$f^*(V(\mathfrak{b})) = V(f^{-1}(\mathfrak{b}))$$

thus it's closed mapping. Indeed, note that  $V(\mathfrak{b}) = \{\mathfrak{q} \supseteq \mathfrak{b} \mid \mathfrak{q} \text{ is prime}\}$ , then it's clear  $f^*(\mathfrak{q}) = f^{-1}(\mathfrak{q}) \supseteq f^{-1}(\mathfrak{b})$  and it's prime, thus  $f^*(V(\mathfrak{b})) \subseteq V(f^{-1}(\mathfrak{b}))$ . Conversely, for any prime  $\mathfrak{p}$  containing  $f^{-1}(\mathfrak{b})$ , by going-up theorem, there exists  $\mathfrak{q} \supseteq \mathfrak{b}$  such that  $\mathfrak{q}^c = \mathfrak{p}$ , this implies reverse inclusion.  $\square$

**Exercise 9.1.2.** Let  $A$  be a subring of a ring  $B$  such that  $B$  is integral over  $A$ , and let  $f: A \rightarrow \Omega$  be a homomorphism of  $A$  into an algebraically closed field  $\Omega$ . Show that  $f$  can be extended to a homomorphism of  $B$  into  $\Omega$ .

*Proof.* Since  $\Omega$  is a field, thus  $\ker f$  is a prime ideal, denoted by  $\mathfrak{p}$ . By going-up theorem, there exists a prime ideal  $\mathfrak{q}$  of  $B$  such that its contraction is  $\mathfrak{p}$  since  $B$  is integral over  $A$ . Furthermore, Proposition 9.1.1 implies  $B/\mathfrak{q}$  is integral over  $A/\mathfrak{p}$ . So if we extend  $\tilde{f}: A/\mathfrak{p} \rightarrow \Omega$  to  $\tilde{f}': B/\mathfrak{q} \rightarrow \Omega$ , we can also extend  $f: A \rightarrow \Omega$  to  $f': B \rightarrow \Omega$ , that is we reduce our case to  $A, B$  are integral domains and  $f$  is injective.

Let  $S = A \setminus \{0\}$ , then consider the localization  $S^{-1}B$ , by (2) of Proposition 9.1.1 one has  $S^{-1}B$  is also integral over  $\text{Frac} A$ . By Proposition 9.1.2 one has  $S^{-1}B$  is a field, and it equals to  $\text{Frac} B$  since it's contained in  $\text{Frac} B$ . That's  $\text{Frac} B$  is integral over  $\text{Frac} A$ , which implies  $\text{Frac} B$  is an algebraic extension of  $\text{Frac} A$ . Thus we can firstly extend  $f: A \rightarrow \Omega$  to  $\tilde{f}: \text{Frac} A \rightarrow \Omega$ , namely by  $a_1/a_2 \mapsto f(a_1)/f(a_2)$ , and the following lemma completes the proof.

**Lemma 9.1.1.** Let  $f: k \rightarrow \Omega$  be a homomorphism of fields, where  $\Omega$  is an algebraically closed field. For any algebraic extension  $k'$ , there is a homomorphism  $f': k' \rightarrow \Omega$  which extends  $f$ .

**Proposition 9.1.1.** Let  $A \subseteq B$  be rings,  $B$  integral over  $A$ .

- (1) If  $\mathfrak{b}$  is an ideal of  $B$  and  $\mathfrak{a} = \mathfrak{b}^c$ , then  $B/\mathfrak{b}$  is integral over  $A/\mathfrak{a}$ .
- (2) If  $S$  is a multiplicative closed subset of  $A$ , then  $S^{-1}B$  is integral over  $S^{-1}A$ .

**Proposition 9.1.2.** Let  $A \subseteq B$  be integral domains,  $B$  integral over  $A$ . Then  $B$  is a field if and only if  $A$  is a field.

$\square$

**Exercise 9.1.3.** Let  $f: B \rightarrow B'$  be a homomorphism of  $A$ -algebras, and let  $C$  be an  $A$ -algebra. If  $f$  is integral, prove that  $f \otimes 1: B \otimes_A C \rightarrow B' \otimes_A C$  is integral.

*Proof.* For any element  $\sum_{i=1}^n b'_i \otimes c_i \in B' \otimes_A C$ , it suffices to check  $b'_i \otimes c_i$  is integral over  $f(B) \otimes_A C$  for any  $i$ , since integral closure is a subring of  $B' \otimes_A C$ . For  $b' \in B'$ , there exists a polynomial

$$x^n + a_1 x^{n-1} + \cdots + a_n = 0$$

such that  $b'$  is a root of it, where  $a_i \in f(B)$ . So for  $b' \otimes c \in B' \otimes_A C$ , consider the following polynomial

$$x^n + (a_1 \otimes c)x^{n-1} + (a_2 \otimes c^2)x^{n-2} + \cdots + a_n \otimes c^n$$

Then

$$\begin{aligned} (b' \otimes c)^n + (a_1 \otimes c)(b' \otimes c)^{n-1} + \cdots + a_n \otimes c^n &= (b')^n \otimes c^n + a_1 b' \otimes c^n + \cdots + a_n \otimes c^n \\ &= ((b')^n + a_1(b')^{n-1} + \cdots + a_n) \otimes c^n \\ &= 0 \otimes c^n \\ &= 0 \end{aligned}$$

Thus  $b' \otimes c$  is integral over  $f(B) \otimes C$ , since for each  $i$ , we have  $a_i \otimes c \in f(B) \otimes C$ . In particular, localization preserves integral, since  $S^{-1}B$  can be seen as  $S^{-1}A \otimes_A B$ .  $\square$

**Exercise 9.1.4.** Let  $A$  be a subring of a ring  $B$  such that  $B$  is integral over  $A$ . Let  $\mathfrak{n}$  be a maximal ideal of  $B$  and let  $\mathfrak{m} = \mathfrak{n} \cap A$  be the corresponding maximal ideal of  $A$ . Is  $B_{\mathfrak{n}}$  necessarily integral over  $A_{\mathfrak{m}}$ ?

*Proof.* No. Consider the case  $A = k[x^2 - 1]$  and  $B = k[x]$ , where  $k$  is a field, and consider the maximal ideal  $\mathfrak{n} = (x - 1)$  of  $B$ . It's clear  $\mathfrak{m} = (x - 1) \cap k[x^2 - 1] = (x^2 - 1)$  since  $(x^2 - 1) \subseteq (x - 1)$  in  $B$ , and the localization of  $A$  with respect to  $\mathfrak{m}$  is itself, since the complement of  $\mathfrak{m}$  is just  $k$ . But  $1/(x + 1) \in B_{\mathfrak{n}}$  will not satisfy any monic polynomials with coefficients in  $A$ , since  $1/(x + 1)$  never kills  $x^2 - 1$ .  $\square$

**Exercise 9.1.5.** Let  $A \subseteq B$  be rings,  $B$  integral over  $A$ .

(1) If  $x \in A$  is a unit in  $B$ , then it is a unit in  $A$ .

(2) The Jacobson radical of  $A$  is the contraction of the Jacobson radical of  $B$ .

*Proof.* For (1). For  $x \in A$ , if  $x$  is a unit in  $B$ , that is there exists  $y \in B$  such that  $xy = 1$ . But  $B$  is integral over  $A$ , which implies there exists  $a_0, \dots, a_{n-1} \in A$  such that

$$y^n + a_{n-1}y^{n-1} + \cdots + a_1y + a_0 = 0$$

So multiply  $x^n$  on each side we obtain

$$1 + a_{n-1}x + \cdots + a_1x^{n-1} + a_0x^n = 0$$

so we have

$$-x(a_{n-1} + \cdots + a_0x^{n-1}) = 1$$

that is  $x$  is a unit in  $A$ .

For (2). Note that if  $B$  is integral over  $A$ , then for every maximal ideal  $\mathfrak{m}$  of  $B$ , we have  $\mathfrak{m} \cap A$  is an maximal ideal of  $A$ . Furthermore, every prime ideal of  $A$  is contracted, so in particular, every maximal ideal of  $A$  can be written as  $\mathfrak{m} \cap A$  where  $\mathfrak{m}$  is a maximal ideal of  $B$ . So it's clear to see

$$\mathfrak{R}_B \cap A = \bigcap (\mathfrak{m} \cap A) = \mathfrak{R}_A$$

where intersection runs over all maximal ideals of  $B$ .  $\square$

**Exercise 9.1.6.** Let  $B_1, \dots, B_n$  be integral  $A$ -algebras. Show that  $\prod_{i=1}^n B_i$  is an integral  $A$ -algebra.

*Proof.* Firstly, let  $\varphi_i: A \rightarrow B_i$  be the homomorphism making  $B_i$  into  $A$ -algebra, thus consider  $\prod_{i=1}^n \varphi_i: A \rightarrow \prod_{i=1}^n B_i$ , which makes  $\prod_{i=1}^n B_i$  into an  $A$ -algebra. Now it suffices to show  $B$  is an integral  $A$ -algebra. Choose an element  $b = (b_1, \dots, b_n) \in \prod_{i=1}^n B_i$ , then for each  $b_i$  we have a polynomial with coefficients in  $f_i(A)$ , denoted by  $f_i$ , then consider

$$f(x_1, \dots, x_n) := \left( \prod_{i=1}^n f_i(x_1), \dots, \prod_{i=1}^n f_i(x_n) \right)$$

it's clear  $f(b) = 0$ , this completes the proof.  $\square$

**Exercise 9.1.7.** Let  $A$  be a subring of a ring  $B$ , such that the set  $B \setminus A$  is closed under multiplication. Show that  $A$  is integrally closed in  $B$ .

*Proof.* Let  $b \in B$  which is integral over  $A$ , then it satisfies a monic polynomial, that is

$$b^n + a_{n-1}b^{n-1} + \dots + a_1b + a_0 = 0$$

where  $a_i \in A$ . Note that  $b(b^{n-1} + a_{n-1}b^{n-2} + \dots + a_1) \in A$ , thus if  $b \notin A$ , then we have  $b^{n-1} + a_{n-1}b^{n-2} + \dots + a_1 \in A$ , since  $B \setminus A$  is multiplicative closed. Repeat above process one has  $b + a_1 \in A$ , which implies  $b \in A$ , a contradiction.  $\square$

**Exercise 9.1.8.** Show the following statements:

- (1) Let  $A$  be a subring of an integral domain  $B$ , and let  $C$  be the integral closure of  $A$  in  $B$ . Let  $f, g$  be monic polynomials in  $B[x]$  such that  $fg \in C[x]$ . Then  $f, g$  are in  $C[x]$ .
- (2) Prove the same result without assuming that  $B$  or  $A$  is an integral domain.

*Proof.* For (1). Take a field containing  $B$  in which the polynomials  $f, g$  split into linear factors: say  $f = \prod (x - \xi_i), g = \prod (x - \eta_j)$ . Each  $\xi_i$  and each  $\eta_j$  is a root of  $fg$ , and  $fg \in C[x]$ , one has  $\xi_i$  and  $\eta_j$  is integral over  $C$ . By Vieta's formula one has coefficients of  $f$  and  $g$  are still integral over  $C$ , since  $C$  is a ring. Furthermore,  $f, g \in C[x]$ , since  $C$  is integrally closed in  $B$ .  $\square$

**Exercise 9.1.9.** Let  $A$  be a subring of a ring  $B$  and let  $C$  be the integral closure of  $A$  in  $B$ . Prove that  $C[x]$  is the integral closure of  $A[x]$  in  $B[x]$ .

*Proof.* If  $f \in B[x]$  is integral over  $A[x]$ , then there exists some  $g_i \in A[x]$  such that

$$f^m + g_1 f^{m-1} + \cdots + g_m = 0$$

Consider integer  $r$  satisfies the following conditions

- (1)  $r$  is larger than  $m$  and the degrees of  $g_1, \dots, g_m$ .
- (2) If we set  $f_1 = f - x^r$ , then

Note that in other words (2) is to say

$$f_1^m + h_1 f_1^{m-1} + \cdots + h_m = 0$$

where  $h_m = (x^r)^m + g_1(x^r)^{m-1} + \cdots + g_m \in A[x]$ . Now apply Exercise 5.5.8 to the polynomials  $f_1$  and  $f_1^{m-1} + h_1 f_1^{m-2} + \cdots + h_{m-1}$  to conclude  $f_1 \in C[x]$ , so is  $f$ .  $\square$

## 9.2. Exercise12-13 in Chapter five of Atiyah-MacDonald.

**Exercise 9.2.1.** Let  $G$  be a finite group of automorphisms of a ring  $A$ , and let  $A^G$  denote the subring of  $G$ -invariants, that is of all  $x \in A$  such that  $\sigma(x) = x$  for all  $\sigma \in G$ . Prove that  $A$  is integral over  $A^G$ .

Let  $S$  be a multiplicative closed subset of  $A$  such that  $\sigma(S) \subseteq S$  for all  $\sigma \in G$ , and let  $S^G = S \cap A^G$ . Show that the action of  $G$  on  $A$  extends to an action on  $S^{-1}A$ , and that  $(S^G)^{-1}A^G \cong (S^{-1}A)^G$ .

*Proof.* It's easy to see  $A^G$  is a subring of  $A$ . To see  $A$  is integral over  $A^G$ , we pick any  $a \in A$  and consider the polynomials  $f(x) = \prod_{\sigma \in G} (x - \sigma(a))$ . Then  $a$  is a root of  $f(x)$  and  $f(x) \in A^G[x]$ .

For any  $a/b \in S^{-1}A$  and  $\sigma \in G$ , we define  $\sigma(a/s) := \sigma(a)/\sigma(s)$ . Now we need to check the following things to show  $G$  acts on  $S^{-1}A$ .

- (1) If  $a/s = b/t \in S^{-1}A$ , then there exists  $u \in S$  such that  $uta = usb \in A$ , then

$$\sigma(u)\sigma(t)\sigma(a) = \sigma(u)\sigma(s)\sigma(b).$$

This means  $\sigma(a)/\sigma(s) = \sigma(b)/\sigma(t) \in S^{-1}A$ .

- (2) It's additive, that is,

$$\sigma\left(\frac{a}{s} + \frac{b}{t}\right) = \sigma\left(\frac{at + bs}{st}\right) = \frac{\sigma(a)\sigma(t) + \sigma(b)\sigma(s)}{\sigma(s)\sigma(t)} = \sigma\left(\frac{a}{s}\right) + \sigma\left(\frac{b}{t}\right).$$

- (3) For  $\sigma_1, \sigma_2 \in G$ , we have

$$\sigma_1\left(\sigma_2\left(\frac{s}{t}\right)\right) = \sigma_1\sigma_2\left(\frac{s}{t}\right).$$

Now let's prove  $(S^G)^{-1}A^G \cong (S^{-1}A)^G$ . For  $a \in A^G$  and any  $\sigma \in G$ , we have  $\sigma(a/1) = \sigma(a)/1 = a/1$ . Then the natural inclusion  $A^G \hookrightarrow A \rightarrow S^{-1}A$  factors through  $A^G \rightarrow (S^{-1}A)^G \hookrightarrow S^{-1}A$ . Moreover, for any element  $s \in S^G$ , one has  $s$  is sent to unit in  $(S^{-1}A)^G$ . Then by the universal property of localization, there is a unique morphism from  $(S^G)^{-1}A^G \rightarrow (S^{-1}A)^G$ , which is given by  $a/s \mapsto a/s$ . Now it suffices to show this morphism is bijective.

- (1) If  $a/s = 0 \in (S^{-1}A)^G$ , then there exists some  $t \in S$  such that  $ta = 0$ . Then take  $t' = \prod_{\sigma \in G} \sigma(t)$ , we have  $t' \in S^G$  and  $t'a = 0$ . This shows  $a/s = 0 \in (S^G)^{-1}A^G$ .

(2) If  $a/s \in (S^{-1}A)^G$ ,

□

**Exercise 9.2.2.** In the setting of Exercise 9.2.1, let  $\mathfrak{p}$  be a prime ideal of  $A^G$ , and let  $P$  be the set of prime ideals of  $A$  whose contraction is  $\mathfrak{p}$ . Show that  $G$  acts transitively on  $P$ . In particular,  $P$  is finite.

*Proof.* Firstly, an easy observation is that  $G$  acts on  $P$ . Let  $\mathfrak{p}_1, \mathfrak{p}_2$  be prime ideals in  $P$ . For any  $x \in \mathfrak{p}_1$ , note that  $\prod_{\sigma \in G} \sigma(x) \in \mathfrak{p}_1$ . Then we have

$$\prod_{\sigma \in G} \sigma(x) \in \mathfrak{p}_1 \cap A^G = \mathfrak{p} = \mathfrak{p}_2 \cap A^G \subseteq \mathfrak{p}_2.$$

This shows for some  $\sigma \in G$ , one has  $\sigma(x) \in \mathfrak{p}_2$ . Since  $x$  is arbitrary, one has  $\mathfrak{p}_1 \subseteq \prod_{\sigma \in G} \sigma(\mathfrak{p}_2)$ , but since all these  $\sigma(\mathfrak{p}_2)$  are prime ideals, there exists some  $\sigma \in G$  such that  $\mathfrak{p}_1 \subseteq \sigma(\mathfrak{p}_2)$ . By Exercise 9.2.1 we have  $A$  is integral over  $A^G$ , and thus  $\mathfrak{p}_1 = \sigma(\mathfrak{p}_2)$ . This shows  $G$  acts on  $P$  transitively. □

### 9.3. Exercise 28-31 in Chapter five of Atiyah-MacDonald.

**Exercise 9.3.1.** Let  $A$  be an integral domain,  $K$  its field of fractions. Show that the followir equivalent:

- (1)  $A$  is a valuation ring of  $K$ ;
- (2) If  $\mathfrak{a}, \mathfrak{b}$  are any two ideals of  $A$ , then either  $\mathfrak{a} \subseteq \mathfrak{b}$  or  $\mathfrak{b} \subseteq \mathfrak{a}$ .

Deduce that if  $A$  is a valuation ring and  $\mathfrak{p}$  is a prime ideal of  $A$ , then  $A_{\mathfrak{p}}$  and  $A/\mathfrak{p}$  are valuation rings of their fields of fractions.

*Proof.* (1) to (2). Suppose there exist two ideals  $\mathfrak{a}, \mathfrak{b}$  such that  $\mathfrak{a} \subsetneq \mathfrak{b}$  and  $\mathfrak{b} \subsetneq \mathfrak{a}$ . Then we pick  $0 \neq a \in \mathfrak{a}$  and  $0 \neq b \in \mathfrak{b}$  such that  $a \notin \mathfrak{b}$  and  $b \notin \mathfrak{a}$ . For  $0 \neq a/b \in K$ , the definition of valuation ring, we may assume  $a/b \in A$ , but it will imply  $(a/b) \cdot b = a \in \mathfrak{b}$ , a contradiction.

(2) to (1). Let  $0 \neq a/b \in K$ , consider ideals  $(a), (b) \in \mathfrak{A}$ . Then by assumption we may assume  $(a) \subseteq (b)$ . In particular, we have  $a/b \in A$ , and thus  $A$  is a valuation ring of  $K$ .

In particular, if  $A$  is a valuation ring and  $\mathfrak{p}$  is a prime ideal of  $A$ , then both  $A_{\mathfrak{p}}$  and  $A/\mathfrak{p}$  is a valuation ring of their fraction fields, since the inclusion order on  $A_{\mathfrak{p}}$  and  $A/\mathfrak{p}$  is inherited from  $A$ . □

**Exercise 9.3.2.** Let  $A$  be a valuation ring of a field  $K$ . Show that every subring of  $K$  which contains  $A$  is a local ring of  $A$ , that is, a localization of  $A$  with respect to some prime ideal.

*Proof.* Suppose  $B \subseteq K$  is a subring which contains  $A$ . Since  $A$  is a valuation ring, one has  $B$  is also a valuation ring, and thus a local ring. Suppose  $\mathfrak{n}$  is the maximal ideal of  $B$  and  $\mathfrak{p} = \mathfrak{n} \cap A$ . Now we're going to show  $B = A_{\mathfrak{p}}$ . For any  $a/b \in A_{\mathfrak{p}}$ , we have  $b \in A \setminus \mathfrak{p}$  and thus  $b \notin \mathfrak{n}$ , which implies  $b$  is a unit in  $B$ . In particular,  $a/b \in B$ .

Conversely, since  $A_{\mathfrak{p}} \subseteq K$  is a valuation ring, we have it's a maximal element under inclusion order of local rings of  $K$ . As a consequence, we should have  $B \subseteq A_{\mathfrak{p}}$ , and thus  $B = A_{\mathfrak{p}}$ . □

**Exercise 9.3.3.** Let  $A$  be a valuation ring of a field  $K$ . The group  $U$  of units of  $A$  is a subgroup of the multiplicative group  $K^*$  of  $K$ . Let  $\Gamma = K^*/U$ . If  $\xi, \eta \in \Gamma$  are represented by  $x, y \in K$ , define  $\xi \geq \eta$  to mean  $xy^{-1} \in A$ .

Show that this defines a total ordering on  $\Gamma$  which is compatible with the group structure (i.e.,  $\xi \geq \eta \Rightarrow \xi\omega \geq \eta\omega$  for all  $\omega \in \Gamma$ ). In other words,  $\Gamma$  is a totally ordered abelian group. It is called the value group of  $A$ .

Let  $v: K^* \rightarrow \Gamma$  be the canonical homomorphism. Show that  $v(x+y) \geq \min(v(x), v(y))$  for all  $x, y \in K^*$ .

*Proof.* Firstly, we need to show that relation  $\leq$  is well-defined on  $\Gamma$ . If  $\xi \in \Gamma$  is represented by  $x, x'$  and  $\eta \in \Gamma$  is represented by  $y, y'$ , then we need to prove  $\xi \geq \eta$  does not depend on the choice of representatives. Since both  $x, x'$  present  $\xi$ , so we have  $x'x^{-1} \in U$ , and by the same reason we have  $y'y^{-1} \in U$ . If  $xy^{-1} \in U$ , then

$$x'(y')^{-1} = x'x^{-1}xy^{-1}y(y')^{-1} \in UAU = A.$$

This shows the well-defineness. Now let's prove  $\leq$  gives a totally ordered on  $\Gamma$ .

- (1) For  $\xi \in \Gamma$  which is represented by  $x \in K^*$ , one has  $xx^{-1} = 1 \in A$ , which implies  $\xi \geq \xi$ .
- (2) For  $\xi, \eta \in \Gamma$  which are represented by  $x, y \in K^*$  such that  $\xi \geq \eta$  and  $\eta \geq \xi$ , one has  $xy^{-1} \in A$  and  $y^{-1}x \in A$ . This shows  $xy^{-1}$  is invertible and thus  $xy^{-1} \in U$ , which implies  $\xi = \eta$ .
- (3) For  $\xi, \eta, \gamma \in \Gamma$  which are represented by  $x, y, z \in K^*$ , if  $\xi \geq \eta$  and  $\eta \geq \gamma$ , then  $xy^{-1} \in A$  and  $yz^{-1} \in A$ , and thus  $xz^{-1} \in A$ , which implies  $\xi \geq \gamma$ .

Finally we're going to show this total order is compatible with the group structure on  $\Gamma$ . For  $\xi, \eta, \gamma \in \Gamma$  which are represented by  $x, y, z \in K^*$ . If  $\xi \geq \eta$ , then  $xy^{-1} \in A$ , and thus

$$xy^{-1} = xww^{-1}y^{-1} = (xw)(yw)^{-1} \in A,$$

which implies  $\xi\gamma \geq \eta\gamma$ .

Let  $v: K^* \rightarrow \Gamma$  be the canonical morphism. For  $x, y \in K^*$  with  $v(x) \geq v(y)$ , then  $xy^{-1} \in A$ , and thus

$$(x+y)y^{-1} = xy^{-1} + 1 \in A.$$

This shows  $v(x+y) \geq v(y)$ . □

**Exercise 9.3.4.** Conversely, let  $\Gamma$  be a totally ordered abelian group (written additively), and let  $K$  be a field. A valuation of  $K$  with values in  $\Gamma$  is a mapping  $v: K^* \rightarrow \Gamma$  such that

- (1)  $v(xy) = v(x) + v(y)$ ,
- (2)  $v(x+y) \geq \min(v(x), v(y))$  for all  $x, y \in K^*$ .

Show that the set of elements  $x \in K^*$  such that  $v(x) \geq 0$  together with 0 is a valuation ring of  $K$ . This ring is called the valuation ring of  $v$ , and the subgroup  $v(K^*)$  of  $\Gamma$  is the value group of  $v$ . Thus the concepts of valuation ring and valuation are essentially equivalent.

*Proof.* For convenient, we denote

$$A = \{x \in K^* \mid v(x) \geq 0\} \cup \{0\}.$$

A routine check shows that  $A$  is a subring of  $K$ . Note that  $v(1) = v(1) + v(1)$  implies  $v(1) = 0$ . Then for any  $x \in K^*$ , we must have either  $v(x) \geq 0$  or  $v(x) \leq 0$ , since  $v(x) + v(x^{-1}) = 0$ . Thus for any  $x \in K^*$ , we have  $x \in A$  or  $x^{-1} \in A$ . This shows  $A$  is a valuation ring of  $K$ .  $\square$



## 10. WEEK-12

## 10.1. Exercise27 in Chapter 5 of Atiyah-MacDonald.

**Exercise 10.1.1.** Let  $A, B$  be two local rings.  $B$  is said to dominate  $A$  if  $A$  is a subring of  $B$  and the maximal ideal  $\mathfrak{m}$  of  $A$  is contained in the maximal ideal  $\mathfrak{n}$  of  $B$ . Let  $K$  be a field and let  $\Sigma$  be the set of all local subrings of  $K$ . If  $\Sigma$  is ordered by the relation of domination, show that  $\Sigma$  has maximal elements and that  $A \in \Sigma$  is maximal if and only if  $A$  is a valuation ring of  $K$ .

*Proof.* Firstly, since  $K$  is also a local ring, so  $\Sigma \neq \emptyset$ . Let  $\{A_i\}_{i \in I}$  be a chain in  $\Sigma$ , where  $A_i$  is a local ring with maximal ideal  $\mathfrak{m}_i$ . Now we're going to show  $A := \bigcup_{i \in I} A_i$  is also a local ring with maximal ideal  $\mathfrak{m} := \bigcup_{i \in I} \mathfrak{m}_i$ . It's clear<sup>2</sup> that  $A$  is a subring of  $K$  and  $\mathfrak{m}$  is a proper ideal of  $A$ . If  $x \in A \setminus \mathfrak{m}$ , then there exists some  $i$  such that  $x \in A_i \setminus \mathfrak{m}_i$ , and thus  $x$  is a unit in  $A_i$ , so it's also a unit in  $A$ . This shows  $A$  is a local ring with maximal ideal  $\mathfrak{m}$ .

Now we show  $A \in \Sigma$  is maximal if and only if  $A$  is a valuation ring of  $K$ . Suppose  $A \in \Sigma$  is a maximal element with maximal ideal  $\mathfrak{m}$  and  $\Omega$  is the algebraic closure of  $A/\mathfrak{m}$ . Then  $f: A \rightarrow A/\mathfrak{m} \hookrightarrow \Omega$  is also the maximal element in  $\Sigma'$ , where

$$\Sigma' = \{(B, g) \mid B \subseteq K \text{ is a local ring and } g \text{ is a homomorphism from } B \text{ to } K\}$$

equipped with partial order  $(B, f) \leq (B', f')$  if and only if  $B \subseteq B'$  and  $f'|_B = f$ . Now by Theorem ??, one has  $A$  is a local ring of  $K$ .

Conversely, suppose  $A$  is a valuation ring of  $K$ . Then  $A$  is a local ring with maximal ideal  $\mathfrak{m}$ . For any  $(B, \mathfrak{n}) \in \Sigma$  which dominates  $A$ , if  $B \neq A$ , then there exists some  $0 \neq x \in B \subseteq K$  such that  $x \notin A$ , but  $x^{-1} \in A \subseteq B$  since  $A$  is a valuation ring. In other words,  $x$  is a unit in  $B$ , and thus  $x^{-1} \notin \mathfrak{n}$ . On the other hand,  $\mathfrak{m} = \mathfrak{n} \cap A$ , so we have  $x^{-1} \notin \mathfrak{m}$ , and thus a unit in  $A$ , which contradicts to  $x \notin A$ .  $\square$

## 10.2. Exercise1-7 in Chapter 6 of Atiyah-MacDonald.

**Exercise 10.2.1.**

- (1) Let  $M$  be a Noetherian  $A$ -module and  $u: M \rightarrow M$  a module homomorphism. If  $u$  is surjective, then  $u$  is an isomorphism.
- (2) If  $M$  is Artinian and  $u$  is injective, then again  $u$  is an isomorphism.

*Proof.* For (1). Consider the chains

$$\ker u \subseteq \ker u^2 \subseteq \dots$$

Since  $M$  is Noetherian, there exists some  $n \in \mathbb{Z}_{>0}$  such that  $\ker u^n = \ker u^{n+1} = \dots$ . For any  $x \in \ker u$ , we pick some  $y \in M$  such that  $u^n(y) = x$  since  $u$  is surjective, then

$$u^{n+1}(y) = u(x) = 0,$$

which implies  $y \in \ker u^{n+1} = \ker u^n$ , and thus  $x = u^n(y) = 0$ . Since  $x \in \ker u$  is arbitrary, we have  $\ker u = 0$ , that is,  $u$  is an isomorphism.

<sup>2</sup>Since  $\{A_i\}_{i \in I}$  is a chain, and in general the union of two subrings is not a subring.

For (2). Consider the chains

$$\operatorname{im} u \supseteq \operatorname{im} u^2 \supseteq \cdots,$$

and by the same argument as above.  $\square$

**Exercise 10.2.2.** *Let  $M$  be an  $A$ -module. If every non-empty set of finitely generated submodules of  $M$  has a maximal element, then  $M$  is Noetherian.*

*Proof.* Let  $N \subseteq M$  be a  $A$ -submodule and define  $\Sigma = \{Ax_1 + \cdots + Ax_n \mid x_i \in N\}$ . By assumption there exists some maximal element  $N' \in \Sigma$ . If  $N' \neq N$ , we may choose  $y \in N \setminus N'$ . then  $N' + Ay \in \Sigma$  and  $N' \subsetneq N' + Ay$ , a contradiction to  $N'$  is maximal. This shows every  $A$ -submodule of  $M$  is finitely generated, and thus  $M$  is Noetherian by Proposition ??  $\square$

**Exercise 10.2.3.** *Let  $M$  be an  $A$ -module and let  $N_1, N_2$  be submodules of  $M$ . If  $M/N_1$  and  $M/N_2$  are Noetherian, so is  $M/(N_1 \cap N_2)$ . Similarly with Artinian in place of Noetherian.*

*Proof.* Note that  $(N_1 + N_2)/N_2 \cong N_1/(N_1 \cap N_2)$  and  $(N_1 + N_2)/N_2$  is a submodule of  $M/N_2$ , so  $N_2/(N_1 \cap N_2)$  is Noetherian. Now consider the following exact sequence

$$0 \rightarrow N_1/(N_1 \cap N_2) \rightarrow M/(N_1 \cap N_2) \rightarrow M/N_1 \rightarrow 0,$$

which implies  $M/(N_1 \cap N_2)$  is Noetherian. The proof for Artinian module is the same.  $\square$

**Exercise 10.2.4.** *Let  $M$  be a Noetherian  $A$ -module and let  $\mathfrak{a}$  be the annihilator of  $M$  in  $A$ . Prove that  $A/\mathfrak{a}$  is a Noetherian ring. If we replace "Noetherian" by "Artinian" in this result, is it still true?*

*Proof.* Firstly note that  $M$  is also an  $A/\mathfrak{a}$ -module, and  $M$  is also Noetherian as an  $A/\mathfrak{a}$ -module. Suppose  $\{x_1, \dots, x_n\}$  be a set of generators of  $M$  as  $A$ -module and consider the  $A$ -module morphism

$$\begin{aligned} \varphi: A &\rightarrow M^n \\ a &\mapsto (ax_1, \dots, ax_n). \end{aligned}$$

Then  $\mathfrak{a} = \ker \varphi$  and thus  $A/\mathfrak{a} \cong \operatorname{im} \varphi \subseteq M^n$  as a  $A/\mathfrak{a}$ -submodule. As a consequence, we have  $A/\mathfrak{a}$  is also a Noetherian  $A/\mathfrak{a}$ -module, that is,  $A/\mathfrak{a}$  is a Noetherian ring.

On the other hand, it does not hold if we replace "Noetherian" by "Artinian"  $\square$

**Exercise 10.2.5.** *A topological space  $X$  is said to be Noetherian if the open subsets of  $X$  satisfy the ascending chain condition. Show that, if  $X$  is Noetherian, then every subspace of  $X$  is Noetherian, and that  $X$  is quasi-compact.*

*Proof.* Let  $Y \subseteq X$  be a subspace of  $X$  and  $\{Y_i\}_{i=1}^\infty$  be an ascending chain of open subsets. As  $Y$  is equipped with subspace topology, we may assume  $Y_i = X_i \cap Y$ , where  $X_i \subseteq X$  is open. Then there exists some  $n \in \mathbb{Z}_{>0}$  such that  $X_n = X_{n+1} = \cdots$ , since  $X$  is Noetherian, and thus  $Y$  is also Noetherian.

Suppose  $\{U_i\}$  is an open covering of  $X$ . Then consider the ascending chain given by  $V_k = \bigcup_{i=1}^k U_i$ , then there exists some  $n \in \mathbb{Z}_{>0}$  such that  $V_n = V_{n+1} = \dots$ , and thus  $\{U_1, \dots, U_n\}$  is a finite subcovering of  $X$ . This shows  $X$  is quasi-compact.  $\square$

**Exercise 10.2.6.** *Prove that the following are equivalent:*

- (1)  $X$  is Noetherian.
- (2) Every open subspace of  $X$  is quasi-compact.
- (3) Every subspace of  $X$  is quasi-compact.

*Proof.* By Exercise 10.2.5 it's clear (1) implies (3) and (3) implies (2) is tautological, so it suffices to prove (2) implies (1).

Suppose  $\{U_i\}_{i=1}^\infty$  is an ascending chain of open subsets in  $X$ . Then  $U = \bigcup_{i=1}^\infty U_i$  is an open subspace of  $X$ , and thus by assumption it's quasi-compact. In other words, there exists some  $n \in \mathbb{Z}_{>0}$  such that  $U_n = U_{n+1} = \dots$ , which shows  $X$  is Noetherian.  $\square$

**Exercise 10.2.7.** *A Noetherian space is a finite union of irreducible closed subspaces.*

*Proof.* Suppose  $X$  is not a finite union of irreducible closed subspaces. Let  $\Sigma$  be the set of all subspaces of  $X$  which is not a finite union of irreducible closed subspaces. Then  $\Sigma \neq \emptyset$  since  $X \in \Sigma$ . Since  $X$  is Noetherian, we have  $X$  satisfies descending condition on closed subsets, and thus by the Zorn lemma there exists a minimal element  $Y \in \Sigma$ . It's clear that  $Y$  is reducible, otherwise it's trivially a finite union of irreducible closed subspaces. Suppose  $Y = Y_1 \cup Y_2$ , where  $Y_1, Y_2$  are proper closed subsets of  $X$ . By the choice of  $Y$ , we have both  $Y_1$  and  $Y_2$  are finite unions of irreducible closed subspaces, and thus so is  $Y$ , a contradiction.  $\square$

### 10.3. Exercise 1/2/4 in Chapter 7 of Atiyah-MacDonald.

**Exercise 10.3.1.** *Let  $A$  be a non-Noetherian ring and let  $\Sigma$  be the set of ideals in  $A$  which are not finitely generated. Show that  $\Sigma$  has maximal elements and that the maximal elements of  $\Sigma$  are prime ideals. Hence a ring in which every prime ideal is finitely generated is Noetherian.*

*Proof.* Firstly we have  $\Sigma \neq \emptyset$  since  $A \in \Sigma$ . Suppose  $\{a_i\}_{i \in I}$  is a chain in  $\Sigma$ . Then consider  $a = \bigcup_{i \in I} a_i$ , and it's clear that  $a$  is not finitely generated, so by the Zorn lemma,  $\Sigma$  has a maximal element.

Suppose  $a$  is the maximal element in  $\Sigma$ . If  $a$  is not a prime ideal, then there exists  $x, y \notin a$  such that  $xy \in a$ . By the choice of  $a$ , it's clear that  $a + (x)$  is finitely generated, that is, there exists a finitely generated ideal  $a_0$  such that  $a_0 + (x) = a + (x)$ . Moreover, we have  $a_0 \subseteq a$  since the minimal generators of  $a_0$  are not in  $(x)$ .

Now we're going to prove  $a = a_0 + x(a : x)$ . Firstly note that  $a \supseteq a_0 + x(a : x)$ . Conversely, if  $w \in a \subseteq a + (x) = a_0 + (x)$ , we have  $w = w_0 + xz$  for some  $z \in A$  and  $w_0 \in a_0$ . This shows  $xz = w - w_0 \in a$  and thus  $z \in (a : x)$ .

Since  $y \in (\mathfrak{a} : x)$ , so  $(\mathfrak{a} : x)$  strictly contains  $\mathfrak{a}$ , and thus  $(\mathfrak{a} : x)$  is finitely generated by the choice of  $\mathfrak{a}$ . Then  $\mathfrak{a} = \mathfrak{a}_0 + x(\mathfrak{a} : x)$  implies  $\mathfrak{a}$  is finitely generated, a contradiction.  $\square$

**Exercise 10.3.2.** Let  $A$  be a Noetherian ring and let  $f = \sum_{n=0}^{\infty} a_n x^n \in A[[x]]$ . Prove that  $f$  is nilpotent if and only if each  $a_n$  is nilpotent.

*Proof.* In Exercise 6.2.4 we have already proved that if  $f$  is nilpotent then each  $a_n$  is nilpotent. Conversely, since  $A$  is Noetherian, there exists some  $k \in \mathbb{Z}_{>0}$  such that  $\mathfrak{N}^k = 0$ , where  $\mathfrak{N}$  is the nilradical of  $A$ . If each  $a_n \in A$  is nilpotent, then we have  $\prod_{i=1}^k a_{n_i} = 0$ , and thus  $f^k = 0$ .  $\square$

**Exercise 10.3.3.** Which of the following rings are Noetherian?

- (1) The ring of rational functions of  $z$  having no pole on the circle  $|z| = 1$ .
- (2) The ring of power series in  $z$  with a positive radius of convergence.
- (3) The ring of power series in  $z$  with an infinite radius of convergence.
- (4) The ring of polynomials in  $z$  whose first  $k$  derivatives vanish at the origin ( $k$  being a fixed integer).
- (5) The ring of polynomials in  $z, w$  all of whose partial derivatives with respect to  $w$  vanish for  $z = 0$ .

In all cases the coefficients are complex numbers.

*Proof.*

- (1) Yes.
- (2) Yes.
- (3) No.
- (4) Yes.
- (5) No.

$\square$

## REFERENCES

- [AM69] Michael Francis Atiyah and I. G. MacDonald. *Introduction to commutative algebra*. Addison-Wesley-Longman, 1969.

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