

# HODGE THEORY AND COMPLEX ALGEBRAIC GEOMETRY

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ABSTRACT. It's a lecture note I typed for "Hodge theory and complex algebraic geometry" taught by Qizheng Yin, in spring 2022. This note mainly follows the blackboard-writing of Prof. Yin. I also add some details and my understandings in it.

Attention: there may be a considerable number of mistakes in this note, and that's all my fault, since I still have too many problems to work out.

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## 0. OVERVIEW

In this course, we will introduce two parts:

- I Kähler manifold and Hodge decomposition;
- II Hodge theory in algebra geometry.

For the first part, if  $X$  is a compact complex manifold, we can consider the following structures:

- (1) Topology:  $H_B^*(X, \mathbb{Z})$ , singular cohomology, where  $B$  means “Betti”.
- (2)  $C^\infty$ -structure:  $H_{dR}^*(X, \mathbb{R}) = H^*(X, \Omega_{X, \mathbb{R}}^\bullet)$ , de Rham cohomology. In fact, de Rham theorem implies that

$$H_{dR}^*(X, \mathbb{R}) \cong H_B^*(X, \mathbb{Z}) \otimes_{\mathbb{Z}} \mathbb{R}$$

- (3) Complex structure: For  $x \in X$ , we use  $T_{X,x}$  to denote its tangent space at  $x$ , its real dimension is  $2n$ . And there is a linear map  $J_x : T_{X,x} \rightarrow T_{X,x}$  for any  $x \in M$ , such that  $J_x^2 = -\text{id}$ . If we complexificate  $T_{X,x}$ , then we can decompose it into

$$T_{X,x} \otimes_{\mathbb{R}} \mathbb{C} = T_{X,x}^{1,0} \oplus T_{X,x}^{0,1}$$

where  $T_{X,x}^{1,0}$  is the eigenspace belonging to eigenvalue  $\sqrt{-1}$ , and  $T_{X,x}^{0,1}$  is the eigenspace belonging to eigenvalue  $-\sqrt{-1}$ .

If we consider its dual, we will get bundle/sheaf of differential forms, and we can also decompose them as follows

$$\Omega_{X, \mathbb{C}}^1 = \Omega_{X, \mathbb{R}}^1 \otimes \mathbb{C} = \Omega_X^{1,0} \oplus \Omega_X^{0,1}$$

and

$$\Omega_{X, \mathbb{C}}^k = \Omega_{X, \mathbb{R}}^k \otimes \mathbb{C} = \bigoplus_{p+q=k} \Omega_X^{p,q}$$

where  $\Omega_X^{p,q} = \bigwedge^p \Omega_X^{1,0} \otimes \bigwedge^q \Omega_X^{0,1}$

Since we have such decomposition for differential forms, it's natural to ask if there is a similar decomposition for de Rham cohomology? In other words, do we have

$$H_{dR}^k(X, \mathbb{C}) = \bigoplus_{p+q=k} H^{p,q}(X)$$

such that  $\overline{H^{p,q}(X)} = H^{q,p}(X)$ ?

The Hodge decomposition says it's true for compact Kähler manifolds. It's a very beautiful result, connecting “Topology” and “Complex geometry”, since de Rham cohomology reflects the topology information and

$$H^{p,q}(X) \cong H_{Dol}^q(X, \Omega_X^p)$$

where “Dol” means Dolbeault cohomology, reflects the holomorphic information of a complex manifold.

Here is some examples of Kähler manifolds. In fact, almost every interesting manifold is Kähler manifold:

**Example 0.0.1.** Riemann surfaces, complex torus, projective manifolds are Kähler manifolds.

We also need to know an example that is not Kähler manifold:

**Example 0.0.2** (Hopf surface). Consider  $\mathbb{Z}$  acts on  $\mathbb{C}^2 \setminus \{0\}$  by  $mz = \lambda^m z, m \in \mathbb{Z}$  for some  $\lambda \in (0, 1)$ , then we define Hopf surface as follows

$$S = \mathbb{C}^2 \setminus \{0\} / \mathbb{Z}$$

As we can see,  $S$  is diffeomorphic to  $S^3 \times S^1$ , then  $\dim_{\mathbb{C}} H^1(S, \mathbb{C}) = 1$ , so  $S$  can not be a Kähler manifold by Hodge's decomposition, since for a Kähler manifold,  $\dim_{\mathbb{C}} H^1$  must be even.

**Remark 0.0.3.** By Chow's theorem/GAGA, a compact complex manifold  $X$  admitting an embedding into projective space can be defined by polynomial equations, i.e.  $X$  is a projective variety, so here comes the forth structure, and that's the second part of this course, we want to apply Hodge theory in algebraic geometry.

(4) Algebraic structure.

## Part 1. Preliminaries

### 1. COMPLEX MANIFOLD

#### 1.1. Manifolds and vector bundles.

##### 1.1.1. Definitions and Examples.

**Definition 1.1.1** (complex manifold). A complex manifold consists of  $(X, \{U_i, \phi_i\}_{i \in I})$ , where  $X$  is a connected, Hausdorff topological space,  $\{U_i\}_{i \in I}$  is an open cover of  $X$  such that the index set  $I$  is countable, and  $\phi_i$  is a homeomorphism from  $U_i$  to an open subset  $V_i$  of  $\mathbb{C}^n$ , such that

$$\phi_i \circ \phi_j^{-1} : \phi_j(U_i \cap U_j) \rightarrow \phi_i(U_i \cap U_j)$$

is biholomorphic.

**Remark 1.1.2.** Such  $\phi_i \circ \phi_j^{-1}$  is called transition function;  $n$  is called the dimension of  $X$ , denoted by  $\dim_{\mathbb{C}} X$ ;  $\{U_i, \phi_i\}_{i \in I}$  is called complex atlas. Two atlas are called equivalent, if the union of them is still an atlas.

**Definition 1.1.3** (complex structure). A complex structure is an equivalence class of a complex atlas.

**Remark 1.1.4.** Replace  $\mathbb{C}^n$  by  $\mathbb{R}^n$ , and biholomorphism is replaced by homeomorphism or diffeomorphism, then we get topological manifold or smooth manifold. It's a philosophy, since these things are all trivial locally, so how does we glue them together really matter.

**Remark 1.1.5.**  $V_i \subset \mathbb{C}^n$  usually can not be the whole  $\mathbb{C}^n$ . For example, there is no non-constant holomorphism from  $\mathbb{C}$  to unit disk  $\mathbb{D}$ . More generally,  $X$  is called Brody hyperbolic if there is no non-constant holomorphism from  $\mathbb{C}$  to  $X$ .

**Example 1.1.6.** Projective space  $\mathbb{P}^n$  is a complex manifold. Atlas are  $U_i = \{[z] \in \mathbb{P}^n \mid z_j \neq 0\}, 0 \leq i \leq n, \phi_i : U_i \rightarrow \mathbb{C}^n$  is defined as follows

$$[z] \mapsto \left( \frac{z_0}{z_i}, \dots, \frac{\widehat{z_i}}{z_i}, \dots, \frac{z_n}{z_i} \right)$$

Transition functions are calculated as follows, for  $i < j$

$$\phi_i \circ \phi_j^{-1} : (u_1, \dots, u_n) \mapsto \left( \frac{u_1}{u_i}, \dots, \frac{\widehat{u_i}}{u_i}, \dots, \frac{u_{j-1}}{u_i}, \frac{1}{u_i}, \frac{u_{j+1}}{u_i}, \dots, \frac{u_n}{u_i} \right)$$

In fact,  $\mathbb{P}^n$  is a compact complex manifold, since  $\mathbb{P}^n$  is diffeomorphic to  $S^{2n+1}/S^1$ .

**Example 1.1.7.** Grassmannian manifold

$$Gr(k, n) = \{k\text{-dimensional subspace of } \mathbb{C}^n\}$$

Now we're going to show  $Gr(k, n)$  is a manifold of dimension  $k(n-k)$ . An atlas for  $Gr(k, n)$  is given as follows: The idea is to present linear space of dimension  $k$  as graphs of linear maps. To be precise, let's introduce some notations.

For any subset  $I \subset \{1, \dots, n\}$  of the set of indices, let

$$I' = \{1, 2, \dots, n\} \setminus I$$

be its complement. And define  $\mathbb{C}^I = \{x \in \mathbb{C}^n \mid x^i = 0, \forall i \in I'\}$ . Clearly, if  $|I| = k$ , then  $\mathbb{C}^I \in Gr(k, n)$ . Note that  $\mathbb{C}^{I'} = (\mathbb{C}^I)^\perp$ . Let

$$U_I = \{E \in Gr(k, n) \mid E \cap \mathbb{C}^{I'} = \{0\}\}$$

Thus each  $E \in U_I$  can be described as the graph of a unique linear map  $A_I : \mathbb{C}^I \rightarrow \mathbb{C}^{I'}$ , that is

$$E = \{y + A_I(y) \mid y \in \mathbb{C}^I\}$$

This gives a bijection

$$\varphi_I : U_I \rightarrow \text{Hom}(\mathbb{C}^I, \mathbb{C}^{I'}) \cong \mathbb{C}^{k(n-k)}$$

$$E \mapsto \varphi_I(E) = A_I$$

Now it suffices to show this really is an atlas.

**Example 1.1.8.** Complex torus is  $\mathbb{C}^n/\Lambda$  where  $\Lambda$  is a free abelian subgroup of  $\mathbb{C}^n$  with rank  $2n$ , called a lattice.

**Definition 1.1.9** (holomorphic map). *Let  $X, Y$  be complex manifolds of dimension  $n, m$ , with atlas  $(U_i, \phi_i : U_i \rightarrow V_i)$  and  $(M_j, \psi_j : M_j \rightarrow N_j)$  respectively. A continous map  $f : X \rightarrow Y$  is called holomorphic, if for any two charts, we have*

$$\psi_j \circ f \circ \phi_i^{-1} : V_i \rightarrow \psi_j(f(U_i) \cap M_j)$$

is holomorphic.

**Definition 1.1.10** (holomorphic function). *A holomorphic function on  $X$  is a holomorphic map  $f : X \rightarrow \mathbb{C}$ .*

**Example 1.1.11.** Let  $S = (\mathbb{C}^2 \setminus \{0\})/\mathbb{Z}$  be Hopf surface, then

$$f : S \rightarrow \mathbb{P}^1 = (\mathbb{C}^2 \setminus \{0\})/\mathbb{C}^*$$

is a holomorphic map. The fibers of  $f$  are biholomorphic to 1-dimensional complex torus.

**Proposition 1.1.** *If  $X$  is a compact complex manifold, then every holomorphic function on  $X$  is constant.*

*Proof.* Standard conclusion in complex analysis.  $\square$

**Definition 1.1.12** (immersion/submersion). *A holomorphic map  $f : X \rightarrow Y$  is called an immersion (resp submersion), if for all  $x \in X$ , there exists  $(x \in U_i, \phi_i), (f(x) \in M_j, \psi_j)$ , such that*

$$J_{\psi_j \circ f \circ \phi_i^{-1}}(\phi_i(x))$$

*has the max rank  $\dim X$  (resp  $\dim Y$ )*

**Definition 1.1.13** (embedding).  *$f : X \rightarrow Y$  is an embedding, if it is immersion and  $f : X \rightarrow f(X) \subset Y$  is homeomorphism.*

**Definition 1.1.14** (submanifold). *A closed connected subset  $Y$  of  $X$  is called a submanifold, if for all  $x \in Y$ , there exists  $x \in U \subset X$  and a holomorphic submersion  $f : U \rightarrow \mathbb{D}^k$  such that*

$$U \cap Y = f^{-1}(0)$$

*where  $k$  is the codimension of  $Y$  in  $X$ .*

**Example 1.1.15** (regular value theorem). Let  $X, Y$  be complex manifold with dimension  $n, m$ , If  $y \in Y$  such that  $\text{rank } J_{f(x)}$  reaches maximum  $m$  for all  $x \in f^{-1}(y)$ , then  $f^{-1}(y)$  is a submanifold of codimension  $m$ .

**Definition 1.1.16** (projective manifold). *A projective manifold<sup>1</sup>  $X$  is a submanifold of  $\mathbb{P}^N$  of the form*

$$X = \{[z] \in \mathbb{P}^N \mid f_1(z) = \cdots = f_m(z) = 0\}$$

*where  $f_i$  is a homogenous polynomial in  $\mathbb{C}[z_0, \dots, z_n]$*

**Remark 1.1.17.** Here we always assume  $(f_1, \dots, f_m) \subset \mathbb{C}[z_0, \dots, z_n]$  is a prime ideal, so the case that  $X$  is defined by polynomials like  $f^2 = 0$  is not allowed, what's more, the condition that  $X$  is a manifold implies the following cases won't happen:

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<sup>1</sup>Chow's theorem claims that every submanifold of  $\mathbb{P}^n$  must be defined by a set of homogenous polynomials, so we can use this property to define a projective manifold, in convenient.

1.  $f_1 f_2 = 0$ ;
2.  $X$  has a singular point.

**Definition 1.1.18** (complete intersection). *Let  $\pi : \mathbb{C}^{n+1} \setminus \{0\} \rightarrow \mathbb{P}^n$  be the projection, then  $X$  is a submanifold of codimension  $k$  if and only if*

$$J = \left( \frac{\partial f_i}{\partial z_j} \right)_{\substack{1 \leq i \leq m \\ 0 \leq j \leq N}}$$

*has rank  $k$ , for all  $x \in \pi^{-1}(X)$ . Then  $X$  is called a complete intersection, if  $m = k$ .*

**Example 1.1.19.** Consider  $C \subset \mathbb{P}^3$  defined by

$$xw - yz = y^2 - xz = z^2 - yw = 0$$

is not a complete intersection, called twisted cubic.

**Example 1.1.20.** Plücker embedding

$$\Phi : G(k, V) \hookrightarrow \mathbb{P}(\wedge^k V)$$

defined by  $S \subset V$  with basis  $s_1, \dots, s_k$  is mapped to  $[s_1 \wedge \dots \wedge s_k]$ . It's easy to check it's well-defined, this fact follows from the following lemma

**Lemma 1.1.21.** *Let  $W$  be a subspace of a finite dimensional vector space, and let  $\mathcal{B}_1 = \{w_1, \dots, w_k\}$  and  $\mathcal{B}_2 = \{v_1, \dots, v_k\}$  be two basis for  $W$ . Then  $v_1 \wedge \dots \wedge v_k = \lambda w_1 \wedge \dots \wedge w_k$  for some  $\lambda$  lying in the base field.*

*Proof.* Write  $w_j = a_{1j}v_1 + \dots + a_{kj}v_k$ . Then one can directly compute that

$$\begin{aligned} w_1 \wedge \dots \wedge w_k &= (a_{11}v_1 + \dots + a_{k1}v_k) \wedge \dots \wedge (a_{1k}v_1 + \dots + a_{kk}v_k) \\ &= \sum_{\sigma \in S_k} \epsilon(\sigma) a_{1\sigma(1)} \dots a_{k\sigma(k)} v_1 \wedge \dots \wedge v_k \\ &= \lambda v_1 \wedge \dots \wedge v_k \end{aligned}$$

Note that  $\lambda$  we need is exactly the determinant of the change of basis matrix from  $\mathcal{B}_1$  to  $\mathcal{B}_2$ .  $\square$

However, it's a little bit complicated to check it's injective. I will add the proof if I'm not tooo lazy in future.(smile)

**Remark 1.1.22.** Together above embedding with Chow's theorem, we have the fact that Grassmannian manifold is a variety, in fact.

### 1.1.2. Vector bundle.

**Definition 1.1.23** (complex vector bundle). *Let  $X$  be a differential manifold,  $E$  is a complex vector bundle of rank  $r$  on  $X$*

1. (Via total space)  *$E$  is a differential manifold with surjective map  $\pi : E \rightarrow X$ , such that*
  - (1) *For all  $x \in X$ , fiber  $E_x$  is a  $\mathbb{C}$ -vector space of dimension  $r$ ;*
  - (2) *For all  $x \in X$ , there exists  $U \subset X$  and  $\pi^{-1}(U)$  is diffeomorphic to  $U \times \mathbb{C}^r$  via  $h$  such that*

$$\begin{array}{ccc}
\pi^{-1}(U) & \xrightarrow{\pi} & U \\
& \searrow h \quad \curvearrowright \quad p^1 \nearrow & \\
& U \times \mathbb{C}^r & \xrightarrow{p^2} \mathbb{C}^r
\end{array}$$

and for all  $y \in U$ ,  $E_y \xrightarrow{p^2 \circ h} \mathbb{C}^r$  is a  $\mathbb{C}$ -vector space isomorphism.

**Remark 1.1.24.** Consider two local trivialization  $(U_\alpha, h_\alpha), (U_\beta, h_\beta)$ , then  $h_\alpha \circ h_\beta^{-1} : (U_\alpha \cap U_\beta) \times \mathbb{C}^r \rightarrow (U_\alpha \cap U_\beta) \times \mathbb{C}^r$ , this induces

$$g_{\alpha\beta} : U_\alpha \cap U_\beta \xrightarrow{\text{diff}} \text{GL}(r, \mathbb{C})$$

such  $g_{\alpha\beta}$  are called transition function<sup>2</sup>, such that

$$\begin{aligned}
g_{\alpha\beta} g_{\beta\gamma} g_{\gamma\alpha} &= \text{id} \quad \text{on } U_\alpha \cap U_\beta \cap U_\gamma \\
g_{\alpha\alpha} &= \text{id} \quad \text{on } U_\alpha
\end{aligned}$$

2. (Via transition function)  $E$  is the data of

- (1) open covering  $\{U_\alpha\}$  of  $X$ ;
- (2) transition functions  $\{g_{\alpha\beta} : U_\alpha \cap U_\beta \xrightarrow{\text{diff}} \text{GL}(r, \mathbb{C})\}$ , satisfies

$$\begin{aligned}
g_{\alpha\beta} g_{\beta\gamma} g_{\gamma\alpha} &= \text{id} \quad \text{on } U_\alpha \cap U_\beta \cap U_\gamma \\
g_{\alpha\alpha} &= \text{id} \quad \text{on } U_\alpha
\end{aligned}$$

**Remark 1.1.25.** The two definitions above are equivalent. The first definition implies the second clearly. The converse is a standard constructive method, which tell us how to glue things together using gluing data:

If we already have an open covering and a set of transition functions, the vector bundle  $E$  is defined to be the quotient of the disjoint union  $\coprod_{U_\alpha} (U \times \mathbb{C}^r)$  by the equivalence relation that puts  $(p', v') \in U_\beta \times \mathbb{C}^r$  equivalent to  $(p, v) \in U_\alpha \times \mathbb{C}^r$  if and only if  $p = p'$  and  $v' = g_{\alpha\beta}(p)v$ . To connect this definition with the previous one, define the map  $\pi$  to send the equivalence class of any given  $(p, v)$  to  $p$ .

**Definition 1.1.26** (holomorphic vector bundle).  $X$  is a complex manifold,  $\pi : E \rightarrow X$  is a complex vector bundle, given by  $g_{\alpha\beta} : U_\alpha \cap U_\beta \rightarrow \text{GL}(r, \mathbb{C})$ ,  $E$  is called holomorphic if  $g_{\alpha\beta}(x)$  is holomorphic function on  $\mathbb{C}^r$  for each  $x \in U_\alpha \cap U_\beta$ .

**Exercise 1.1.27.** Show that the total space of a holomorphic vector bundle  $E$  is a complex manifold.

*Proof.* Since we already have a complex structure on  $X$ , we need to pull it back to  $E$  using  $\pi$  and use the holomorphic transition functions to show it indeed gives a complex structure on  $E$ .  $\square$

<sup>2</sup>Note that here “diff” means for each  $x \in U_\alpha \cap U_\beta$ , we have  $g_{\alpha\beta}(x)$  is a smooth function on  $\mathbb{C}^r$ .



**Definition 1.1.28** (morphism between vector bundles).  $\phi$  is a diffeomorphic/holomorphic morphism of vector bundle on  $X$  of rank  $k$ , if  $\phi : E \rightarrow F$  is diffeomorphic/holomorphic map and fiberwise  $\mathbb{C}$ -linear of rank  $k$ .

$$\begin{array}{ccc} E & \xrightarrow{\phi} & F \\ & \searrow \pi_1 \quad \curvearrowright \quad \swarrow \pi_2 & \\ & X & \end{array}$$

**Example 1.1.29.**  $X$  is a differential/complex manifold, then  $X \times \mathbb{C}^r$  is the trivial rank  $r$  complex/holomorphic vector bundle on  $X$ .

**Example 1.1.30.**  $E, F$  are complex/holomorphic vector bundles on  $X$ , then  $E \oplus F, E \otimes F, \text{Hom}(E, F), E^* = \text{Hom}(E, \mathbb{C}), \text{Sym}^k E, \bigwedge^k E, \det E$  are complex/holomorphic vector bundles.

Though all of these are basic constructions of linear algebra, we need to make it clear here in order to avoid further confusion.

If  $E, F$  are given by transition functions  $\{g_{\alpha\beta}\}$  and  $\{g'_{\alpha\beta}\}$ . WLOG, we may assume they use the same open covering  $\{U_\alpha\}$ , otherwise we can take their common refinement.

Then, for direct sum, we can define transition functions as

$$\begin{aligned} g''_{\alpha\beta} : U_\alpha \cap U_\beta &\rightarrow \text{GL}(2r, \mathbb{C}) \\ x &\mapsto \text{diag}(g_{\alpha\beta}(x), g'_{\alpha\beta}(x)) \end{aligned}$$

and similarly for tensor  $E \otimes F$ , we can define transition functions as  $g_{\alpha\beta} \otimes g'_{\alpha\beta}$ .

Now if we can define the dual vector bundle  $E^*$ , then in fact we can define  $\text{Hom}(E, F)$  as

$$\text{Hom}(E, F) = E^* \otimes F$$

For dual vector bundle defined by  $\{g_{\alpha\beta}\}$ , in fact the transition functions are  $\{(g_{\alpha\beta}^{-1})^T\}$ , i.e. the transpose of the inverse. But it may be difficult to understand why? In fact, you will find it's just a fact in linear algebra.

Let's back to the definition via total space, it's natural to define the dual vector bundle of  $E$ , by defining all fibers to be the dual space of  $E_x$ . To elaborate,  $E^*$  is, first of all, the set of pairs  $\{(p, l) \mid p \in X, \text{ and } l : E_x \rightarrow \mathbb{C} \text{ is a linear map.}\}$ , and  $\pi$  maps  $(p, l)$  to  $p \in X$ . Furthermore, it's important to know what is the trivialization. If  $(U_\alpha, h_\alpha)$  is the trivialization of vector bundle  $E$ , defined by  $E_p \ni (p, e) \mapsto (p, \lambda_\alpha(e)) \in U \times \mathbb{C}^r$ , then we can define the trivialization of  $E^*$  as

$$\begin{aligned} h_\alpha^* : \pi^{-1}(U) &\rightarrow U \times \mathbb{C}^r \\ (p, l) &\mapsto (p, \lambda_\alpha^*(l)) \end{aligned}$$

where  $\lambda_\alpha^*(l)$  can be seen as a functional on  $\mathbb{C}^r$ , such that  $\lambda_\alpha^*(l)(\lambda_\alpha(e)) = l(e)$ . It's quite natural to require that.

So in the language of linear algebra, if you have a matrix  $A : \mathbb{C}^r \rightarrow \mathbb{C}^r$ , then it induces a matrix of dual spaces  $A' : (\mathbb{C}^r)^* \rightarrow (\mathbb{C}^r)^*$ , then facts

in linear algebra tells you  $A' = (A^{-1})^T$ , that's why here the relationship between  $g_{\alpha\beta}$  and  $g'_{\alpha\beta}$  is transpose of inverse.

**Remark 1.1.31.** We should always hold such an ideal, all information of a vector bundle is encoded in its transition functions. So if the transition are trivial, i.e. identity matrix, then the vector bundle is just trivial one, or product bundle. So from the relationship between transition functions of vector bundle and its dual, if the vector bundle is a line bundle, i.e.  $g_{\alpha\beta} \in \mathbb{C} \setminus \{0\}$ , then

$$(g_{\alpha\beta}^{-1})^T g_{\alpha\beta} = g_{\alpha\beta}^{-1} g_{\alpha\beta} = \text{id}$$

So the vector bundle  $\text{End}(L) = L^* \otimes L$  is the trivial bundle, but in general  $\text{End}(E)$  is not trivial. We will use this fact later.

**Definition 1.1.32** (line bundle). *A holomorphic line bundle  $L$  is a rank 1 vector bundle, i.e.,*

$$g_{\alpha\beta} : U_\alpha \cap U_\beta \xrightarrow{\text{holo}} \mathbb{C}^*$$

**Exercise 1.1.33.**  $L$  is a trivial line bundle  $X \times \mathbb{C}$  if and only if up to refinement, there exists  $s_\alpha : U_\alpha \rightarrow \mathbb{C}^*$ , such that  $g_{\alpha\beta} = s_\alpha/s_\beta$  on  $U_\alpha \cap U_\beta$

*Proof.* □

**Definition 1.1.34** (picard group).  *$X$  is a complex manifold, then*

$$\text{Pic}(X) = (\{\text{holomorphic line bundles on } X\} / \text{isomorphism}, \otimes)$$

*called the picard group of  $X$ .*

**Remark 1.1.35.** Clearly the identity of this group is trivial line bundle, and from Remark 1.1.31 we can see that the inverse element of line bundle  $L$  is its dual bundle  $L^*$ .

**Example 1.1.36** (tautological line bundle). Here is a special line bundle on  $\mathbb{P}^n$

$$\begin{array}{c} L = \{([l], x) \in \mathbb{P}^n \times \mathbb{C}^{n+1} \mid x \in l\} \subset \mathbb{P}^n \times \mathbb{C}^{n+1} \\ \downarrow \pi \\ \mathbb{P}^n \end{array}$$

is called tautological line bundle. Consider open coverings

$$U_i = \{[l] = [l_1, \dots, l_n] \in \mathbb{P}^n \mid l_i \neq 0\}$$

there is a map  $U_i \rightarrow \pi^{-1}(U_i)$ , defined as

$$[l] \mapsto ([l], (\frac{l_0}{l_i}, \dots, 1, \dots, \frac{l_n}{l_i}))$$

and local trivialization  $h_i : \pi^{-1}(U_i) \rightarrow U_i \times \mathbb{C}$  defined as

$$([l], x) \mapsto ([l], \lambda)$$

where

$$x = \lambda(\frac{l_0}{l_i}, \dots, 1, \dots, \frac{l_n}{l_i})$$

so we can calculate transition function

$$\begin{aligned} h_i \circ h_j^{-1} : (U_i \cap U_j) \times \mathbb{C} &\longrightarrow (U_i \cap U_j) \times \mathbb{C} \\ ([l], \lambda_j) &\mapsto ([l], \lambda_j(\frac{l_0}{l_j}, \dots, \frac{l_n}{l_j})) \mapsto ([l], \lambda_i) \end{aligned}$$

such that

$$\lambda_j(\frac{l_0}{l_j}, \dots, \frac{l_n}{l_j}) = \lambda_i(\frac{l_0}{l_i}, \dots, \frac{l_n}{l_i})$$

which implies

$$\lambda_i = \lambda_j \frac{l_i}{l_j}$$

so we can see transition function  $g_{ij} = l_i/l_j \in \mathbb{C}^*$ . This line bundle  $L$  will be denoted by  $\mathcal{O}_{\mathbb{P}^n}(-1)$ .

**Definition 1.1.37** (line bundles on  $\mathbb{P}^n$ ). *We can define*

$$\begin{aligned} \mathcal{O}_{\mathbb{P}^n}(-k) &= \mathcal{O}_{\mathbb{P}^n}(-1)^{\otimes k}, \quad k \in \mathbb{N}^+ \\ \mathcal{O}_{\mathbb{P}^n}(k) &= (\mathcal{O}_{\mathbb{P}^n}(-k))^*, \quad k \in \mathbb{N}^+ \\ \mathcal{O}_{\mathbb{P}^n}(0) &= \mathbb{P}^n \times \mathbb{C}, \quad \text{trivial line bundle.} \end{aligned}$$

**Remark 1.1.38.** In fact, line bundles listed above contain all possible line bundles over  $\mathbb{P}^n$ .

**Example 1.1.39.** More generally, consider

$$\begin{aligned} E &= \{([S], x) \in Gr(k, n) \times \mathbb{C}^n \mid x \in S\} \subset Gr(k, n) \times \mathbb{C}^n \\ &\downarrow \pi \\ &Gr(k, n) \end{aligned}$$

**Definition 1.1.40** (section).  $\pi : E \rightarrow X$  is a complex/holomorphic vector bundle. A (global) section of  $E$  is a differential/holomorphic map  $s : X \rightarrow E$ , such that  $\pi \circ s = \text{id}_X$ , denoted by  $C^\infty(X, E) / \Gamma(X, E)$ .

**Example 1.1.41.** Global holomorphic sections of trivial holomorphic vector bundle are exactly holomorphic functions  $f : X \rightarrow \mathbb{C}^r$ .

**Remark 1.1.42.** In fact, global holomorphic sections are very rare, as we can seen from the above example, if  $X$  is a compact complex manifold, then all global holomorphic functions are only constant.

**Definition 1.1.43** (subbundle).  $\pi : E \rightarrow X$  is a complex/holomorphic vector bundle.  $F \subset E$  is called a subbundle of rank  $s$ , if

1. For all  $x \in X$ ,  $F \cap E_x$  is a subvector space of dimension  $s$ .
2.  $\pi|_F : F \rightarrow X$  induces a complex/holomorphic vector bundle.

**Remark 1.1.44.** If  $F$  is a subbundle of  $E$ , then given a section of  $F$ , i.e.  $\sigma : X \rightarrow F$  such that  $\pi|_F \circ \sigma = \text{id}_X$ , then clearly we can extend it to a section of  $E$ .

**Example 1.1.45.**  $\mathcal{O}_{\mathbb{P}^n}(-1) \subset \mathbb{P}^n \times \mathbb{C}^{n+1}$ , is a subbundle.

**Exercise 1.1.46.**

$$\Gamma(\mathbb{P}^n, \mathcal{O}_{\mathbb{P}^n}(k)) = \begin{cases} 0, & k < 0 \\ \mathbb{C}, & k = 0 \\ \text{homogeneous polynomials in } n+1 \text{ variables of deg } k, & k > 0 \end{cases}$$

*Proof.* Let's see what happened for  $k = -1$ , the tautological line bundle. Since we have  $\mathcal{O}_{\mathbb{P}^n}(-1)$  is a subbundle of trivial bundle  $\mathbb{P}^n \times \mathbb{C}^{n+1}$ . So we have a global section  $\sigma \in \Gamma(\mathbb{P}^n, \mathcal{O}_{\mathbb{P}^n}(-1))$  must be a global section of  $\Gamma(\mathbb{P}^n, \mathbb{P}^n \times \mathbb{C}^{n+1})$ . However, since  $\mathbb{P}^n$  is a compact complex manifold, we have that global sections  $\Gamma(\mathbb{P}^n, \mathbb{P}^n \times \mathbb{C}^{n+1})$  must be constant, i.e. for any  $x \in \mathbb{P}^n$ ,  $\sigma(x) = v$  is a constant. However,  $v \in [l]$ , for all  $[l] \in \mathbb{P}^n$ , which forces  $v = 0$ . Similarly we will get the result for case  $k < 0$ .

And for case  $k = 0$ , global sections are exactly constant so we have  $\Gamma(\mathbb{P}^n, \mathcal{O}_{\mathbb{P}^n}(0)) = \mathbb{C}$ .

Now consider what will happen when  $k > 0$ . Take  $k = 1$  for an example. Life is like a seesaw, so is mathematics. If something is defined concisely, it must be quite difficult to compute. Since sections of a trivial bundle is easy to compute, so in practice, we always compute the sections of the trivialization of a vector bundle, and glue them together to get a global one, that's what we always do.

For projective space  $\mathbb{P}^n$ , there exists a canonical affine cover  $\{U_i\}$ , where  $U_i = \{[z_0 : \dots : z_n] \in \mathbb{P}^n \mid z_i \neq 0\} \cong \mathbb{C}^n$ . And the transition functions  $g_{ij}$  of  $\mathcal{O}_{\mathbb{P}^n}(1)$  is given by  $z_j/z_i$ , according to Example 1.1.34. So a global section is given by  $s_i \in \Gamma(U_i, \mathcal{O}_{\mathbb{P}^n}(1))$  such that  $s_i = z_j s_j / z_i$  on  $U_i \cap U_j$ . We claim that the only possible functions are of form  $s_i = L/z_i$ , where  $L = a_0 z_0 + \dots + a_n z_n$ , that's a homogenous polynomial in  $n+1$  variables of degree 1.

We compute explicitly for  $n = 1$  in order to really understand what's going on: A global section  $s$  of  $\mathcal{O}_{\mathbb{P}^1}(1)$  is given by a function  $s_0(z) = a + bz + cz^2 + \dots \in \Gamma(U_0, \mathcal{O}_{\mathbb{P}^1}(1)) = k[z]$ , where  $z = z_1/z_0$ , and a function  $s_1(w) = \alpha + \beta w + \gamma w^2 + \dots \in \Gamma(U_1, \mathcal{O}_{\mathbb{P}^1}(1)) = k[w]$  such that on  $U_0 \cap U_1$   $s_0(z) = a + bz + cz^2 + \dots = z(\alpha + \beta w + \gamma w^2 + \dots) = \alpha z + \beta + \gamma(1/z) + \dots$

This implies  $a = \beta, b = \alpha$  and that all other coefficients are zero.  $\square$

**Example 1.1.47.** For a morphism between vector bundles  $\phi : E \rightarrow F$ ,  $\ker \phi \subset E, \text{im } \phi \subset F$  are subbundles.

**Definition 1.1.48** (exact). A sequence of vector bundles

$$S \xrightarrow{\phi} E \xrightarrow{\psi} Q$$

is called exact at  $E$  if  $\ker \psi = \text{im } \phi$ ;

**Definition 1.1.49** (pullback).  $f : X \rightarrow Y$  is a differential/holomorphic map,  $\pi : E \rightarrow Y$  is a vector bundle, define

$$f^*E = \{(x, e) \in X \times E \mid f(x) = \pi(e)\} \subset X \times E$$

is called the pullback of  $\pi$ .

**Remark 1.1.50.** To be somewhat more explicit, suppose  $U \subset Y$  is a local trivialization, i.e.  $\varphi_U : E|_U \rightarrow U \times \mathbb{C}^r$  with  $\varphi_U(e) = (\pi(e), \lambda_U(e))$ . Then we can define a local trivialization of  $f^*E$  on  $f^{-1}(U) \subset X$ , by

$$\begin{aligned} \varphi_U^* : f^*E|_{f^{-1}(U)} &\rightarrow f^{-1}(U) \times \mathbb{C}^r \\ (x, e) &\mapsto (x, \lambda_U(e)) \end{aligned}$$

and transition functions on  $f^{-1}(U_\alpha) \cap f^{-1}(U_\beta)$  is given by  $g_{\alpha\beta} \circ f$ .

**1.2. Episode: sheaves.** Why we need sheaves here? As we have seen in the last section, the global sections of holomorphic vector bundle are very rare, but there are many local sections, we need to keep these information and learn the connection between global and local systemically. Sheaf is a power language for us to manage global and local at the same time. However, sheaf gives more information. Indeed, as we can see in Exercise 1.2.5, vector bundles are exactly locally free sheaves.

**Definition 1.2.1** (sheaf).  $X$  is a topological space. A sheaf<sup>3</sup> of abelian group  $\mathcal{F}$  on  $X$  is the data of:

1. For any open subset  $U$  of  $X$ ,  $\mathcal{F}(U)$  is an abelian group.
2. If  $U \subset V$  are two open subsets of  $X$ , then there is a group homomorphism  $r_{UV} : \mathcal{F}(U) \rightarrow \mathcal{F}(V)$ , such that
  - (1)  $\mathcal{F}(\emptyset) = 0$
  - (2)  $r_{UU} = \text{id}$
  - (3) If  $W \subset U \subset V$ , then  $r_{UW} = r_{VW} \circ r_{UV}$
  - (4)  $\{V_i\}$  is an open covering of  $U \subset X$ , and  $s \in \mathcal{F}(U)$ . If  $s|_{V_i} := r_{UV_i}(s) = 0, \forall i$ , then  $s = 0$ .
  - (5)  $\{V_i\}$  is an open covering of  $U \subset X$ , and  $s_i \in \mathcal{F}(V_i)$  such that  $s_i|_{V_i \cap V_j} = s_j|_{V_i \cap V_j}$ , then there exists  $s \in \mathcal{F}(U)$  such that  $s|_{V_i} = s_i$ .

**Example 1.2.2** (sheaf of sections of a holomorphic vector bundle). If  $\pi : E \rightarrow X$  is a holomorphic vector bundle, then define

$$\mathcal{F}(U) = \Gamma(U, E|_U), \quad \forall U \subset_{\text{open}} X$$

This  $\mathcal{F}$  will be denoted by  $\mathcal{O}_X(E)$ . In particular,  $E$  is a trivial vector bundle, then  $\mathcal{O}_X(E) = \mathcal{O}_X$ , the sheaf of holomorphic function, also called the structure sheaf of  $X$ .

**Definition 1.2.3** (morphism of sheaves on  $X$ ).  $\phi : \mathcal{F} \rightarrow \mathcal{G}$  is called a morphism of sheaves, if for any open subset  $U$  of  $X$ , there is a group homomorphism  $\phi(U) : \mathcal{F}(U) \rightarrow \mathcal{G}(U)$ , such that if  $U \subset V$  are two open subsets of  $X$ , the the following diagram commutes

<sup>3</sup>A sheaf which fails to meet (4), (5) is called a presheaf.

$$\begin{array}{ccc}
\mathcal{F}(U) & \xrightarrow{\phi(U)} & \mathcal{G}(U) \\
\downarrow r_{UV} & & \downarrow r_{UV} \\
\mathcal{F}(V) & \xrightarrow{\phi(V)} & \mathcal{G}(V)
\end{array}$$

**Example 1.2.4** (locally free sheaves). A sheaf is called locally free, if there exists covering  $\{U_\alpha\}$  such that  $\mathcal{F}|_{U_\alpha} \cong \mathcal{O}_{U_\alpha}^{\oplus r}$  of rank  $r$ . For  $r = 1$ , it is called invertible sheaf.

**Exercise 1.2.5.** There are correspondences:

$$\begin{aligned}
\{\text{holomorphic vector bundles}\} &\xleftrightarrow{1-1} \{\text{locally free sheaves}\} \\
\{\text{holomorphic line bundles}\} &\xleftrightarrow{1-1} \{\text{invertible sheaves}\}
\end{aligned}$$

*Proof.* It suffices to prove the first correspondence. If we have a holomorphic vector bundle  $\pi : E \rightarrow X$ . Then consider the sheaf of sections  $\mathcal{O}_X(E)$ . We claim it's a locally free sheaf. Since we have local trivialization of holomorphic vector bundle  $\{U_\alpha\}$ . Then consider what's  $\mathcal{O}_X(E)|_{U_\alpha}$ . Since  $E|_{U_\alpha} \cong U_\alpha \times \mathbb{C}^r$ , then holomorphic sections of  $U_\alpha \times \mathbb{C}^r \rightarrow U_\alpha$  are just holomorphic functions  $f : U \rightarrow \mathbb{C}^r$ , i.e.  $\mathcal{O}_X(E|_{U_\alpha}) = \mathcal{O}_{U_\alpha}^{\oplus r}$ . So sheaf  $\mathcal{O}_X(E)$  is a locally free sheaf.

Conversely, if we have a locally free sheaf  $\mathcal{E}$ , how can we get a holomorphic vector bundle? Assume  $\mathcal{E}$  is locally free over an open covering  $\{U_\alpha\}$  of  $X$ , then we just need to glue  $U_\alpha \times \mathbb{C}^r \rightarrow U_\alpha$  together to get a vector bundle. Therefore we need a family of gluing data  $g_{\alpha\beta} : (U_\alpha \cap U_\beta) \times \mathbb{C}^r \rightarrow (U_\alpha \cap U_\beta) \times \mathbb{C}^r$ . Since  $\mathcal{E}$  is locally free, we have local isomorphism  $f_\alpha : \mathcal{E}|_{U_\alpha} \rightarrow \mathcal{O}_{U_\alpha}^{\oplus r}$ . Restricting to intersection  $U_\alpha \cap U_\beta$ , we get

$$f_{\alpha\beta} = f_\alpha|_{U_\alpha \cap U_\beta} \circ f_\beta^{-1}|_{U_\alpha \cap U_\beta} : \mathcal{O}_{U_\beta}^{\oplus r}|_{U_\alpha \cap U_\beta} \rightarrow \mathcal{O}_{U_\alpha}^{\oplus r}|_{U_\alpha \cap U_\beta}$$

Every such map is induced by a map

$$g_{\alpha\beta} : (U_\alpha \cap U_\beta) \times \mathbb{C}^r \rightarrow (U_\alpha \cap U_\beta) \times \mathbb{C}^r$$

that's gluing data we desire.  $\square$

### 1.3. Tangent bundle.

**Definition 1.3.1** (tangent bundle).  $X$  is a differential manifold,  $\dim_{\mathbb{R}} X = n$ , and  $\{U_\alpha, \phi_\alpha : U_\alpha \rightarrow V_\alpha \subset \mathbb{R}^n\}$  is a atlas of  $X$ . The (real) tangent bundle  $T_{X, \mathbb{R}}$  is defined through transition functions

$$\begin{aligned}
g_{\alpha\beta} : U_\alpha \cap U_\beta &\xrightarrow{\text{diff}} \text{GL}(n, \mathbb{R}) \\
x &\mapsto J_{\phi_\alpha \circ \phi_\beta^{-1}}(\phi_\beta(x))
\end{aligned}$$

Then  $T_{X, \mathbb{C}} = T_{X, \mathbb{R}} \otimes \mathbb{C}$  is a complex vector bundle, called the complexified tangent vector bundle.

**Remark 1.3.2.** The following statement may be a little bit boring, I write it down just to make myself more clear and to get familiar with two definition of vector bundle.

The tangent bundle  $T_{X,\mathbb{R}}$  can be defined as the set

$$T_{X,\mathbb{R}} = \coprod_{x \in X} T_{X,x}$$

and note that there is a natural projection  $\pi : T_{X,\mathbb{R}} \rightarrow X$ , sending  $v \in T_{X,x}$  to  $x \in X$ . Now we want to give a chart on  $T_{X,\mathbb{R}}$  to make it into a differential manifold. Let  $\{(U_i, \phi_i = (x_i^1, \dots, x_i^n))\}$  be a chart of  $X$ , then we can define a chart on  $X$  by considering  $\{(\pi^{-1}(U_i), \tilde{\phi}_i)\}$ , where  $\tilde{\phi}_i$  is defined through

$$\tilde{\phi}_i(v) = (\phi_i(\pi(v)), (dx_i^1)_{\pi(v)}(v), \dots, (dx_i^n)_{\pi(v)}(v)) \subset \mathbb{R}^n \times \mathbb{R}^n$$

note that such  $\tilde{\phi}_i$  is bijective. And it's easy to equip  $T_{X,\mathbb{R}}$  with a topology such that  $\tilde{\phi}_i$  is diffeomorphism.

Now I need to calculate transition function to confirm myself as follows: For two charts  $(U, \phi = (x_1, \dots, x_n)), (V, \psi = (y_1, \dots, y_n))$ , then calculate

$$\tilde{\psi} \circ \tilde{\phi}^{-1} : \phi(U \cap V) \times \mathbb{R}^n \rightarrow \psi(U \cap V) \times \mathbb{R}^n$$

Note that

$$\tilde{\phi}^{-1}(r_1, \dots, r_n, u_1, \dots, u_n) = \sum_i u_i \frac{\partial}{\partial x_i} |_{\phi^{-1}(r_1, \dots, r_n)} \in T_{\phi^{-1}(r_1, \dots, r_n)} M$$

But

$$dy_j(\sum_i u_i \frac{\partial}{\partial x_i}) = \sum_i u_i (\frac{\partial}{\partial x_i}(y_j)) = \sum_i \frac{\partial y_j}{\partial x_i} u_i$$

Thus transition functions are

$$\begin{aligned} \tilde{\psi} \circ \tilde{\phi}^{-1}(r_1, \dots, r_n, u_1, \dots, u_n) &= (\psi \circ \phi^{-1}(r), (\sum_i \frac{\partial y_1}{\partial x_i}(r) u_i, \dots, \sum_i \frac{\partial y_n}{\partial x_i}(r) u_i)) \\ &= (\psi \circ \phi^{-1}(r), (\frac{\partial y_j}{\partial x_i}(r)) \begin{pmatrix} u_1 \\ \vdots \\ u_n \end{pmatrix}) \end{aligned}$$

So transition function  $g_{\alpha\beta}$  are exactly Jacobian of  $\varphi_\alpha \circ \varphi_\beta^{-1}$ .

**Definition 1.3.3** (holomorphic tangent bundle).  $X$  is a complex manifold,  $\dim_{\mathbb{C}} X = n$ , and  $\{U_\alpha, \phi_\alpha : U_\alpha \rightarrow V_\alpha \subset \mathbb{C}^n\}$  is a atlas of  $X$ . The holomorphic tangent bundle  $T_X$  is defined through transition functions

$$\begin{aligned} g_{\alpha\beta} : U_\alpha \cap U_\beta &\rightarrow \text{GL}(n, \mathbb{C}) \\ z &\mapsto J_{\phi_\alpha \circ \phi_\beta^{-1}}^{\text{holo}}(\phi_\beta(z)) \end{aligned}$$

where  $J^{\text{holo}}$  is holomorphic Jacobian.

**Remark 1.3.4.** Since  $\phi_\alpha \circ \phi_\beta^{-1} : V_\beta \rightarrow V_\alpha$  is a holomorphic functions, then holomorphic Jacobian means the matrix

$$\left( \frac{\partial(\phi_\alpha \circ \phi_\beta^{-1})^j}{\partial z_i} \right)_{1 \leq i, j \leq n}$$

**Remark 1.3.5.** Clearly,  $T_X \neq T_{X, \mathbb{C}}$ , even they don't have the same rank! For example, if  $X$  is a  $n$ -dimensional complex manifold, then

$$\dim T_X = n \neq 2n = \dim T_{X, \mathbb{C}}$$

Later we will see the relationship between them.

**Remark 1.3.6** (sheaf viewpoint).  $\mathcal{O}_X$  is the sheaf of holomorphic function, then define the stalk at  $x$  is

$$\mathcal{O}_{X, x} := \varinjlim_{x \in U \subset X} \mathcal{O}_X(U)$$

The elements of  $\mathcal{O}_{X, x}$  are called germs. For a tangent vector, we can take derivation in this direction, so

$$\text{tangent vector} \longrightarrow \text{derivation } D : \mathcal{O}_{X, x} \rightarrow \mathbb{C}$$

where a derivation is a map which satisfies

1.  $\mathbb{C}$ -linear
2. Leibniz rule  $D(fg) = D(f)g + fD(g)$

In fact, the above correspondence is 1-1, that is, every derivation arises from a tangent vector. So we have  $T_{X, x} \cong$  space of derivation of  $\mathcal{O}_{X, x}$ , that's also a nice definition many authors prefer.

**Definition 1.3.7** (cotangent bundle/(anti)canonical bundle).  $\Omega_X = T_X^*$  is called holomorphic cotangent bundle;  $K_X = \det \Omega_X$  is called canonical bundle;  $K_X^* = \det T_X$  is called the anticanonical bundle.

**Exercise 1.3.8.** We calculate tangent bundle of  $\mathbb{P}^n$  through the following exact sequence called Euler sequence<sup>4</sup>.

$$0 \rightarrow \mathcal{O}_{\mathbb{P}^n} \xrightarrow{\phi} \mathcal{O}_{\mathbb{P}^n}(-1)^{\oplus n+1} \xrightarrow{\psi} T_{\mathbb{P}^n} \rightarrow 0$$

Let's clarify what does the map look like in a geometry viewpoint:

Let  $\pi : \mathbb{C}^{n+1} \setminus \{0\} \rightarrow \mathbb{P}^n$  denote the canonical projection from  $\mathbb{C}^n \setminus \{0\} \rightarrow \mathbb{P}^n$ . We know that basis of tangent vector at  $z \in \mathbb{C}^{n+1} \setminus \{0\}$  is  $\{\frac{\partial}{\partial z_0}, \dots, \frac{\partial}{\partial z_n}\}$ , but these are not tangent vector for  $\mathbb{P}^n$ . Since function  $f([z])$  defined on  $\mathbb{P}^n$  satisfies  $f([z]) = f([\lambda z]), \forall \lambda \in \mathbb{C} \setminus \{0\}$ , regard it as a function defined on  $\mathbb{C}^n \setminus \{0\}$  and use tangent vector  $\frac{\partial}{\partial z_i}$  to act on both sides of this equation, we have

$$\frac{\partial f}{\partial z_i} = \lambda \frac{\partial f}{\partial z_i}$$

A contradiction. However,  $z_i \frac{\partial}{\partial z_i}$  will descend to tangent vector at  $[z] \in \mathbb{P}^n$ .

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<sup>4</sup>Refer to pages 408-409 of Griffiths-Harris for more details.



Recall  $z_0, \dots, z_n$  form basis of  $\Gamma(\mathbb{P}^n, \mathcal{O}_{\mathbb{P}^n}(1))$  and span  $(\mathcal{O}_{\mathbb{P}^n}(1))_{[z]}$ , so can define

$$\begin{aligned} \psi : (\mathcal{O}_{\mathbb{P}^n}(1))_{[z]}^{\oplus n+1} &\rightarrow T_{\mathbb{P}^n, [z]} \\ (0, \dots, \underbrace{z_i}_{j\text{-th}}, \dots, 0) &\mapsto z_i \frac{\partial}{\partial z_j} \end{aligned}$$

But  $\sum_{i=0}^n z_i \frac{\partial}{\partial z_i}$  tangent to the fibers of  $\pi$ , so descends to zero at  $[z] \in \mathbb{P}^n$ , so we can define  $\phi$  as

$$\begin{aligned} \phi : \mathcal{O}_{\mathbb{P}^n, [z]} &\rightarrow (\mathcal{O}_{\mathbb{P}^n}(1))_{[z]}^{\oplus n+1} \\ 1 &\rightarrow (z_0, \dots, z_n) \end{aligned}$$

In fact, for a homogenous polynomial  $f$  with degree  $d$ , we have the famous relation

$$\sum_{i=0}^n x_i \frac{\partial f}{\partial x_i} = df$$

which is discovered by Euler, and that's why this sequence is called Euler sequence.

**Exercise 1.3.9.** For Grassmannian manifold  $Gr(k, n)$ , we have

$$0 \rightarrow E \rightarrow Gr(k, n) \otimes \mathbb{C}^n \rightarrow Q \rightarrow 0$$

Show that

$$T_{Gr(k, n)} \cong \text{Hom}(E, Q)$$

**Exercise 1.3.10.** Let  $\pi : L \rightarrow X$  is a holomorphic line bundle, given  $s \in \Gamma(X, L)$ , suppose that  $D = \{x \in X \mid s(x) = 0\}$  is a smooth submanifold of codimensional 1. Show that the following sequence is exact:

$$0 \rightarrow T_D \rightarrow T_X|_D \rightarrow L|_D \rightarrow 0$$

then we can get

$$K_X^*|_D \cong K_D^* \otimes L|_D$$

which is called adjunction formula.

In particular, let  $X = \mathbb{P}^n$  and  $L = \mathcal{O}_{\mathbb{P}^n}$ , then Exercise 1.1.46 tells us that  $D \subset \mathbb{P}^n$  is a smooth hypersurface defined by a homogenous polynomial with degree  $d$ . Then we have

$$K_D^* \cong \mathcal{O}_{\mathbb{P}^n}(n+1-d)$$

and we call it

$$\begin{cases} \text{Fano,} & d < n+1 \\ \text{Calabi-Yau,} & d = n+1 \\ \text{General type,} & d > n+1 \end{cases}$$

**1.4. Almost complex structure and integrable theorem.** Now let us talk about some linear algebra:

Consider a  $2n$ -dimensional real vector space  $V$ , a almost complex structure on  $V$  is a  $\mathbb{R}$ -linear transformation  $J : V \rightarrow V$  such that  $J^2 = -\text{id}$ . We can regard  $V$  as a complex vector space, by

$$(a + bi)v = av + bJ(v), \quad a, b \in \mathbb{R}$$

Indeed, the  $\mathbb{R}$ -linearity of  $J$  and the assumption  $J^2 = -\text{id}$  yield associative law, i.e

$$((a + ib)(c + id))v = (a + ib)((c + id)v), \quad v \in V$$

In particular, we have  $i^2v = -v$ .

If we consider  $V \otimes \mathbb{C}$ , then  $J$  can extend to  $V \otimes \mathbb{C}$  by  $J(v \otimes \alpha) = J(v) \otimes \alpha$ , then we can decompose  $V \otimes \mathbb{C}$  into

$$\begin{aligned} V_{\mathbb{C}} &= V \otimes \mathbb{C} = V^{1,0} \oplus V^{0,1} \\ &= \{v \in V_{\mathbb{C}} \mid J(v) = iv\} \oplus \{v \in V_{\mathbb{C}} \mid J(v) = -iv\} \end{aligned}$$

such that  $\overline{V^{1,0}} = V^{0,1}$ , where conjugate means  $\overline{v \otimes \alpha} = v \otimes \bar{\alpha}$ . More explicitly, for any  $v \in V_{\mathbb{C}}$ , we can decompose it into

$$v = \frac{1}{2}(v - iJ(v)) + \frac{1}{2}(v + iJ(v))$$

since the first part do lies in  $V^{1,0}$ , checked as follows

$$\begin{aligned} J\left(\frac{1}{2}(v - iJ(v))\right) &= \frac{1}{2}(J(v) + iv) \\ i\left(\frac{1}{2}(v - iJ(v))\right) &= \frac{1}{2}(iv + J(v)) \end{aligned}$$

and the latter part holds similarly.

We can take exterior product of  $V_{\mathbb{C}}$   $k$  times and have the following decomposition

$$\bigwedge^k V_{\mathbb{C}} = \bigoplus_{p+q=k} V_{\mathbb{C}}^{p,q}$$

where  $V_{\mathbb{C}}^{p,q} = \bigwedge^p V^{1,0} \otimes \bigwedge^q V^{0,1}$ .

Now let's do what we have done on a manifold, firstly we need something playing the role of  $J$ .

**Definition 1.4.1** (almost complex structure).  *$X$  is a differential manifold of  $\dim_{\mathbb{R}} X = 2n$ . An almost complex structure on  $X$  is a complex structure on  $T_{X,\mathbb{R}}$ , i.e. an isomorphism of differential vector bundles  $J : T_{X,\mathbb{R}} \rightarrow T_{X,\mathbb{R}}$  such that  $J^2 = -\text{id}$ .*

It's natural to ask, if  $X$  is a complex manifold, and forget its complex structure and just regard it as a differential manifold, can we give a natural almost complex structure on it? That's the following example:

**Example 1.4.2.**  $X$  is a complex manifold, and  $T_{X,\mathbb{R}}$  is its (real) tangent bundle if we just see  $X$  as a differential manifold. Locally we have

$$T_{X,\mathbb{R}}|_U \cong U \times \mathbb{C}^n$$

for open subset  $U$  in  $X$ , where we regard  $\mathbb{C}^n$  as a  $2n$  dimension real vector space. But there is a natural almost complex structure on it arising from multiplying  $i$ . So we get  $J : T_{X,\mathbb{R}}|_U \rightarrow T_{X,\mathbb{R}}|_U$ . More explicitly, since we can write  $T_{X,\mathbb{R}} = \text{span}_{\mathbb{R}}\{\frac{\partial}{\partial x_1}, \frac{\partial}{\partial y_1}, \dots, \frac{\partial}{\partial x_n}, \frac{\partial}{\partial y_n}\}$ , we can write  $J$  explicitly as follows

$$\begin{aligned} J\left(\frac{\partial}{\partial x_i}\right) &= \frac{\partial}{\partial y_i} \\ J\left(\frac{\partial}{\partial y_i}\right) &= -\frac{\partial}{\partial x_i} \end{aligned}$$

If we want to get a global one, it suffices to glue them together. So we consider any two charts  $(U_1, \varphi_1) = (z_1 = x_1 + iy_1, \dots, z_n = x_n + iy_n)$ ,  $(U_2, \varphi_2) = (g_1 = u_1 + iv_1, \dots, g_n = u_n + iv_n)$  with  $U_1 \cap U_2 \neq \emptyset$ , there are two ways to define  $J$  on  $U_1 \cap U_2$

$$\begin{array}{ccccc} U_1 \cap U_2 \times \mathbb{C}^n & & & & U_1 \cap U_2 \times \mathbb{C}^n \\ & \nwarrow \tilde{\varphi}_1 & & \nearrow \tilde{\varphi}_2 & \\ & T_X|_{U_1 \cap U_2} & \longleftarrow & T_X|_{U_1 \cap U_2} & \\ & \downarrow J & & \downarrow J & \\ & T_X|_{U_1 \cap U_2} & \longleftarrow & T_X|_{U_1 \cap U_2} & \\ & \nwarrow \tilde{\varphi}_1 & & \nearrow \tilde{\varphi}_2 & \\ U_1 \cap U_2 \times \mathbb{C}^n & & & & U_1 \cap U_2 \times \mathbb{C}^n \end{array}$$

$\times i$  (vertical arrows from  $U_1 \cap U_2 \times \mathbb{C}^n$  to  $U_1 \cap U_2 \times \mathbb{C}^n$ )

We need to check transition functions of tangent bundle  $T_{X,\mathbb{R}}$  commute with  $J$ , calculated in a local chart as follows: For a  $2 \times 2$  part, Jacobian of  $\varphi_2 \circ \varphi_1^{-1}$  is

$$\begin{pmatrix} \frac{\partial u_j}{\partial x_k} & \frac{\partial u_j}{\partial y_k} \\ \frac{\partial v_j}{\partial x_k} & \frac{\partial v_j}{\partial y_k} \end{pmatrix} \stackrel{\text{C-R}}{=} \begin{pmatrix} \frac{\partial v_j}{\partial y_k} & \frac{\partial u_j}{\partial y_k} \\ -\frac{\partial u_j}{\partial y_k} & \frac{\partial v_j}{\partial y_k} \end{pmatrix}$$

and  $J$  is

$$\begin{pmatrix} 0 & 1 \\ -1 & 0 \end{pmatrix}$$

So they commute with each other.

So complex structure gives a almost complex structure (naturally), but the question is: Does every complex structure on a complex manifold can be induced from a almost complex structure on a even-dimensional differential manifold? Unfortunately, it's false in general, but we have the following theorem.

**Theorem 1.4.3** (Newlander-Nirenberg). *Let  $(X, J)$  be a complex manifold,  $J$  is induced by a almost complex structure on  $X$  is equivalent to*

$$[T_X^{1,0}, T_X^{1,0}] \subset T_X^{1,0}$$

*which is called an integrable condition.*

**1.5. Operator  $\partial$  and  $\bar{\partial}$ .** In this section, we will discuss the relationship between  $T_X, T_{X,\mathbb{R}}, T_{X,\mathbb{C}}$  and so on, for a complex manifold  $X$ <sup>5</sup>.

First, we have  $T_X \hookrightarrow T_{X,\mathbb{C}}$  as complex vector bundle<sup>6</sup>, with image  $T_{X,\mathbb{C}}^{1,0}$ . In fact, if we consider locally, take  $(z_1, \dots, z_n) \in U \subset \mathbb{C}^n, z_j = x_j + iy_j$  as a coordinate, then we can do the following identification

$$\Gamma(U, T_X) \ni \frac{\partial}{\partial z_j} = \frac{1}{2} \left( \frac{\partial}{\partial x_j} - i \frac{\partial}{\partial y_j} \right) \in C^\infty(U, T_{X,\mathbb{C}}^{1,0})$$

moreover, we can define the conjugation as

$$\frac{\partial}{\partial \bar{z}_j} = \frac{1}{2} \left( \frac{\partial}{\partial x_j} + i \frac{\partial}{\partial y_j} \right) \in C^\infty(U, T_{X,\mathbb{C}}^{0,1})$$

that's non holomorphic part of  $T_{U,\mathbb{C}}$ . If we consider its dual space, we get the differential forms

$$\Omega_{X,\mathbb{C}}^1 = \Omega_{X,\mathbb{R}}^1 \otimes \mathbb{C} = \Omega_X^{1,0} \oplus \Omega_X^{0,1}$$

and take wedge product  $k$  times, then we get

$$\Omega_{X,\mathbb{C}}^k = \bigwedge^k \Omega_{X,\mathbb{C}}^1 = \bigoplus_{p+q=k} \Omega_X^{p,q}, \quad \text{where } \Omega_X^{p,q} = \bigwedge^p \Omega_X^{1,0} \otimes \left( \bigwedge^q \Omega_X^{0,1} \right)$$

**Remark 1.5.1.** Let's see locally how to decompose  $\Omega_{X,\mathbb{C}}^1$  into two parts with respect to the dual map of  $J$ . Choose a local coordinate  $(z_1, \dots, z_n) \in U \subset \mathbb{C}^n, z_j = x_j + iy_j$ . Then we have  $C^\infty(U, \Omega_{X,\mathbb{C}}^1) = \text{span}_{C^\infty(U)} \{dx_1, dy_1, \dots, dx_n, dy_n\}$ . By definition

$$\begin{aligned} J^*(dx_i) \left( \frac{\partial}{\partial x_i} \right) &= dx_i \left( J \left( \frac{\partial}{\partial x_i} \right) \right) = dx_i \left( \frac{\partial}{\partial y_i} \right) = 0 \\ J^*(dx_i) \left( \frac{\partial}{\partial y_i} \right) &= dx_i \left( J \left( \frac{\partial}{\partial y_i} \right) \right) = dx_i \left( -\frac{\partial}{\partial x_i} \right) = -1 \end{aligned}$$

so we have

$$\begin{aligned} J^*(dx_i) &= -dy_i \\ J^*(dy_i) &= dx_i \end{aligned}$$

that is,

$$\begin{aligned} C^\infty(U, \Omega_{X,\mathbb{C}}^{1,0}) &= \text{span}_{C^\infty(U)} \{dx_1 + idy_1, \dots, dx_n + idy_n\} \\ C^\infty(U, \Omega_{X,\mathbb{C}}^{0,1}) &= \text{span}_{C^\infty(U)} \{dx_1 - idy_1, \dots, dx_n - idy_n\} \end{aligned}$$

<sup>5</sup>Keep in mind:  $T_X$  is the tangent bundle of complex manifold  $X$ , and  $T_{X,\mathbb{R}}$  is the underlying real tangent bundle of  $T_X$ , and  $T_{X,\mathbb{C}} = T_{X,\mathbb{R}} \otimes \mathbb{C}$ .

<sup>6</sup>However, not as a holomorphic vector bundle, since  $T_{X,\mathbb{C}}$  contains part which is not holomorphic.

By our identification, the dual of  $\frac{\partial}{\partial z_j}$  is

$$dz_j = dx_j + idy_j$$

and the dual of  $\frac{\partial}{\partial \bar{z}_j}$  is

$$d\bar{z}_j = dx_j - idy_j$$

For any  $\alpha \in C^\infty(X, \Omega_{X,\mathbb{C}}^1)$ , locally on  $U$  we have

$$\alpha = \sum \alpha_j dx_j + \sum \beta_j dy_j$$

then we can decompose it into

$$\alpha = \sum \frac{1}{2}(\alpha_j - i\beta_j)dz_j + \sum \frac{1}{2}(\alpha_j + i\beta_j)d\bar{z}_j$$

where the first part lies in  $\Omega_U^{1,0}$  and the later part lies in  $\Omega_U^{0,1}$ .

Similarly we can take exterior product  $k$  times, get  $\Omega_{X,\mathbb{C}}^k$  and decompose it into  $p, q$  parts.

**Definition 1.5.2** (differential  $k$ -form). *A  $k$ -form  $\omega$  of type  $(p, q)$  is a differential section of  $\Omega_X^{p,q}$ , that is*

$$\omega \in C^\infty(X, \Omega_X^{p,q}) \subset C^\infty(X, \Omega_{X,\mathbb{C}}^k)$$

**Remark 1.5.3.** It's quite necessary for us to keep in mind how to distinguish a differential  $k$ -form what type it is, particularly for the case  $k = 2$ , since later we will study the first Chern class, a special  $(1, 1)$ -form.

Let's firstly see it in a local viewpoint: Since  $\Omega_{X,\mathbb{C}}$  is locally trivial, then for any section  $\omega$  of  $\Omega_{X,\mathbb{C}}$  we can write it as follows locally

$$\omega = \sum_i f_i dz_i + g_i d\bar{z}_i$$

Then after taking exterior product  $k$  times we get something which looks like

$$\sum_{\substack{|I|=p, |J|=q \\ p+q=k}} f_{IJ} dz_I \wedge d\bar{z}_J$$

So a  $k$ -form is a  $(p, q)$ -form if and only if locally it looks like

$$\sum_{|I|=p, |J|=q} f dz_I \wedge d\bar{z}_J$$

Or we can use a more global language: Let's elaborate in the case  $k = 2$ . By the definition of wedge of cotangent bundle, any section  $\omega$  of  $\Omega_{X,\mathbb{C}}^2$  is a skew-symmetric bilinear function defined on  $C^\infty(X, T_{X,\mathbb{C}}) \times C^\infty(X, T_{X,\mathbb{C}})$ . A 2-form  $\omega$  is in type  $(1, 1)$  if and only if

$$\omega(C^\infty(X, T_X^{1,0}), C^\infty(X, T_X^{1,0})) = \omega(C^\infty(X, T_X^{0,1}), C^\infty(X, T_X^{0,1})) = 0$$

Similarly we can describe when a 2-form is in type  $(2, 0)$  or  $(0, 2)$ .

**Exercise 1.5.4.** For  $\mathbb{C}^n \cong \mathbb{R}^{2n}$ , we have

$$\omega = dx_1 \wedge dy_1 \wedge \cdots \wedge dx_n \wedge dy_n = \left(\frac{i}{2}\right)^n dz_1 \wedge d\bar{z}_1 \wedge \cdots \wedge dz_n \wedge d\bar{z}_n$$

*Proof.* It suffices to show the case  $n = 1$ , and we can compute directly as follows

$$\begin{aligned} \left(\frac{i}{2}\right)dz \wedge d\bar{z} &= \left(\frac{i}{2}\right)(dx + idy) \wedge (dx - idy) \\ &= \left(\frac{i}{2}\right)(-2idx \wedge dy) \\ &= dx \wedge dy \end{aligned}$$

□

**1.6. Exterior differential.** Recall what we have done in the theory of de Rham cohomology: Let  $X$  be a differential manifold, with real dimension  $n$ , we have exterior differential

$$d : C^\infty(X, \Omega_{X,\mathbb{R}}^k) \rightarrow C^\infty(X, \Omega_{X,\mathbb{R}}^{k+1})$$

such that

$$d(\alpha \wedge \beta) = d\alpha \wedge \beta + (-1)^{\deg \alpha} \alpha \wedge d\beta$$

Exterior differential has an important property:

$$d^2 = 0$$

So we can consider such cochain complex

$$0 \rightarrow C^\infty(X, \Omega_{X,\mathbb{R}}^0) \rightarrow C^\infty(X, \Omega_{X,\mathbb{R}}^1) \rightarrow C^\infty(X, \Omega_{X,\mathbb{R}}^2) \rightarrow \cdots \rightarrow C^\infty(X, \Omega_{X,\mathbb{R}}^n) \rightarrow 0$$

with de Rham cohomology group

$$H^k(X, \mathbb{R}) := Z^k(X, \mathbb{R}) / B^k(X, \mathbb{R})$$

The following theorem implies that the de Rham cohomology is just a topological data.

**Theorem 1.6.1** (comparison theorem).  $H^k(X, \mathbb{R})$  computes the singular cohomology of  $X$  with real coefficient.

**Theorem 1.6.2** (Poincaré lemma). Let  $X = B(x_0, r_0) \subset \mathbb{R}^n$  is a open ball, then  $H^k(X, \mathbb{R}) = 0, \forall k > 0$ .

**Remark 1.6.3.** Poincaré lemma implies that for small enough open set, the cohomology groups are trivial, so only for global differential forms, de Rham cohomology tells interesting information.

So let's see what is the complex version of above theory. Now let  $X$  be a complex manifold, with complex dimension  $n$ , then similar we also have an exterior derivative

$$d : C^\infty(X, \Omega_{X,\mathbb{C}}^k) \rightarrow C^\infty(X, \Omega_{X,\mathbb{C}}^{k+1})$$

But there is also something interesting, we already know that we can decompose  $\Omega_{X,\mathbb{C}}^k$ , but for any  $\alpha \in C^\infty(X, \Omega_{X,\mathbb{C}}^k)$ , we can also decompose  $d\alpha$ .

**Example 1.6.4.** For  $\alpha \in C^\infty(X, \Omega_{X,\mathbb{C}}^0)$ , then

$$d\alpha \in C^\infty(X, \Omega_{X,\mathbb{C}}^1) = C^\infty(X, \Omega_X^{1,0}) \oplus C^\infty(X, \Omega_X^{0,1})$$

Locally, we have

$$\begin{aligned} d\alpha &= \sum \frac{\partial \alpha}{\partial x_j} dx_j + \sum \frac{\partial \alpha}{\partial y_j} dy_j \\ &= \sum \frac{1}{2} \left( \frac{\partial \alpha}{\partial x_j} - i \frac{\partial \alpha}{\partial y_j} \right) dz_j + \sum \frac{1}{2} \left( \frac{\partial \alpha}{\partial x_j} + i \frac{\partial \alpha}{\partial y_j} \right) d\bar{z}_j \\ &= \sum \frac{\partial \alpha}{\partial z_j} dz_j + \sum \frac{\partial \alpha}{\partial \bar{z}_j} d\bar{z}_j \end{aligned}$$

More generally, for  $\alpha \in C^\infty(X, \Omega_X^{p,q})$ , then locally

$$\alpha = \sum_{|J|=p, |K|=q} \alpha_{J,K} dz_J \wedge d\bar{z}_K$$

then

$$d\alpha = \sum_{|J|=p, |K|=q} \frac{\partial \alpha_{J,K}}{\partial z_l} dz_l \wedge dz_J \wedge d\bar{z}_K + \sum_{|J|=p, |K|=q} \frac{\partial \alpha_{J,K}}{\partial \bar{z}_l} d\bar{z}_l \wedge dz_J \wedge d\bar{z}_K$$

that is

$$d\alpha \in C^\infty(X, \Omega_X^{p+1,q}) \oplus C^\infty(X, \Omega_X^{p,q+1})$$

**Definition 1.6.5** (partial operator). *For  $\alpha \in C^\infty(X, \Omega_X^{p,q})$ , we can define partial operator and its conjugation  $\partial\alpha, \bar{\partial}\alpha$  as follows*

$$d\alpha = \partial\alpha + \bar{\partial}\alpha$$

where  $\partial\alpha \in C^\infty(X, \Omega_X^{p+1,q})$ ,  $\bar{\partial}\alpha \in C^\infty(X, \Omega_X^{p,q+1})$ . More generally, if  $\alpha \in C^\infty(X, \Omega_{X,\mathbb{C}}^k)$ , write  $\alpha = \sum \alpha^{p,q}$ , then we can define

$$\partial\alpha = \sum_{p,q} \partial\alpha^{p,q}, \quad \bar{\partial}\alpha = \sum_{p,q} \bar{\partial}\alpha^{p,q}$$

**Remark 1.6.6.** We have the following relations

1. Leibniz rule

$$\partial(\alpha \wedge \beta) = \partial\alpha \wedge \beta + (-1)^{\deg \alpha} \alpha \wedge \partial\beta$$

2. <sup>7</sup>

$$\partial^2 = \bar{\partial}^2 = 0, \quad \partial\bar{\partial} + \bar{\partial}\partial = 0$$

So we can do the same thing for  $\bar{\partial}$  by consider the following cochain complex<sup>8</sup>

$$0 \rightarrow C^\infty(X, \Omega_X^{p,0}) \xrightarrow{\bar{\partial}} C^\infty(X, \Omega_X^{p,1}) \xrightarrow{\bar{\partial}} \cdots \xrightarrow{\bar{\partial}} C^\infty(X, \Omega_X^{p,n}) \rightarrow 0$$

<sup>7</sup>Hint: consider  $d^2 = (\partial + \bar{\partial})^2 = 0$

<sup>8</sup>You may wonder why don't we use  $\partial$  to construct such cobchain complex. In fact, the two definitions are almost the same, since they conjugate to each other. However, the cohomology group of cochain complex defined by  $\bar{\partial}$  is more meaningful, as we will see later.

**Definition 1.6.7** (Dolbeault cohomology).

$$H^{p,q}(X) := Z^{p,q}(X)/B^{p,q}(X) = H_{\bar{\partial}}^q(C^\infty(X, \Omega_X^{p,*}))$$

Here comes the key question we mentioned in the overview: Since we have  $C^\infty(X, \Omega_{X,\mathbb{C}}^k) = \bigoplus_{p+q=k} C^\infty(X, \Omega_X^{p,q})$ , could we have the following decomposition?

$$H^k(X, \mathbb{C}) = \bigoplus_{p+q=k} H^{p,q}(X)$$

**Example 1.6.8.** What is  $H^{p,0}(X)$ ? Since  $B^{p,0} = 0$ , then

$$H^{p,0}(X) = Z^{p,0}(X) = \{\alpha \in C^\infty(X, \Omega_X^{p,0}) \mid \bar{\partial}\alpha = 0\}$$

Locally  $\alpha = \sum_{|J|=p} \alpha_J dz_J$ , then

$$\bar{\partial}\alpha = \sum_{|J|=p} \frac{\partial \alpha_J}{\partial \bar{z}_K} d\bar{z}_K \wedge dz_J = 0 \implies \frac{\partial \alpha_J}{\partial \bar{z}_K} = 0$$

That is,  $\alpha_J$  is holomorphic function. Since  $\Omega_X^{p,0} \cong \Omega_X^p$  as complex vector bundle, we have  $H^{p,0}(X) = \Gamma(X, \Omega_X^p)$ <sup>9</sup>.

**Example 1.6.9.** For a holomorphic map  $f : X \rightarrow Y$  between complex manifold, then

$$f^* : C^\infty(Y, \Omega_{Y,\mathbb{C}}^k) \rightarrow C^\infty(X, \Omega_{X,\mathbb{C}}^k)$$

Then<sup>10</sup>

$$f^* : C^\infty(Y, \Omega_{Y,\mathbb{C}}^{p,q}) \rightarrow C^\infty(X, \Omega_{X,\mathbb{C}}^{p,q})$$

and

$$f^* : H^{p,q}(Y) \rightarrow H^{p,q}(X)$$

so Dolbeault cohomology is a contravariant functor.

**Example 1.6.10** (Dolbeault cohomology of a holomorphic vector bundle<sup>11</sup>). For a holomorphic vector bundle  $E \rightarrow X$ , we can also define

$$\bar{\partial}_E : C^\infty(X, \Omega_X^{0,q} \otimes E) \rightarrow C^\infty(X, \Omega_X^{0,q+1} \otimes E)$$

satisfies  $\bar{\partial}_E^2 = 0$ . Let's elaborate this construction: Since any global section is glued together by local sections, we just need to define  $\bar{\partial}_E$  for local sections and check it is well-defined under the change of local chart. We can choose a local holomorphic frame  $\{e_1, \dots, e_n\}$  for  $E$  on  $U$ , so any section  $\sigma \in C^\infty(U, \Omega_X^{0,q} \otimes E)$  we can write  $\sigma = \sum_i \varphi_i \otimes e_i$  for  $\varphi_i \in C^\infty(U, \Omega_X^{0,q})$ . Then we can define

$$\bar{\partial}_E(\sigma) = \sum_i \bar{\partial} \varphi_i \otimes e_i$$

<sup>9</sup>This implies that Dolbeault cohomology does compute useful information.

<sup>10</sup>Check this, we need back to definition, a holomorphic map induces a tangent map  $T_f : T_{X,\mathbb{C}} \rightarrow f^* T_{Y,\mathbb{C}}$ , and consider its dual we get cotangent map  $\Omega_f : f^* \Omega_{Y,\mathbb{C}} \rightarrow \Omega_{X,\mathbb{C}}$

<sup>11</sup>In previous,  $E = \Omega_X^p$



It's clear that this definition is independent of the choice of local chart, since the transition functions are holomorphic and  $\bar{\partial}$  kills them. Furthermore,  $\bar{\partial}_E^2 = 0$  holds since  $\bar{\partial}^2 = 0$ .

So we can construct a cochain complex and define its cohomology, denoted by

$$H^q(X, E) = H_{\bar{\partial}_E}^q(C^\infty(X, \Omega_X^{0,*} \otimes E))$$

and we can show a result similar to Example 1.6.8.

$$H^0(X, E) = \Gamma(X, E)$$

**Theorem 1.6.11** (Dolbeault lemma). *Let  $X = D(z_0, r_0) \subset \mathbb{C}^n$  be a poly-disk, then*

$$H^{p,q}(X) = 0, \quad \forall p \geq 0, q > 0$$

**1.7. Čech cohomology.** Let  $X$  be a topological space, and  $\mathcal{U} = (U_i)_{i \in I}$  be an open covering, such that  $I$  is countable and an ordered set. For all  $i_0, \dots, i_p \in I$  write

$$U_{i_0 \dots i_p} = U_{i_0} \cap \dots \cap U_{i_p}$$

Let  $\mathcal{F}$  be a sheaf of abelian group, define a chain complex  $C^*(\mathcal{U}, \mathcal{F})$  as

$$0 \rightarrow C^0(\mathcal{U}, \mathcal{F}) \xrightarrow{\delta} C^1(\mathcal{U}, \mathcal{F}) \xrightarrow{\delta} \dots$$

where

$$C^p = \prod_{i_0 < \dots < i_p} \mathcal{F}(U_{i_0 \dots i_p})$$

and  $\delta$  is defined as

$$(\delta\alpha)_{i_0 \dots i_{p+1}} = \sum_{k=0}^{p+1} (-1)^k \alpha_{i_0 \dots \widehat{i_k} \dots i_{p+1}}|_{U_{i_0 \dots i_{p+1}}}$$

**Exercise 1.7.1** (Once and only once exercise in your whole life). Check that  $\delta \circ \delta = 0$

So we can define Čech cohomology as

$$\check{H}^q(\mathcal{U}, \mathcal{F}) := H_\delta^q(C^*(\mathcal{U}, \mathcal{F}))$$

**Example 1.7.2.** We consider

$$\check{H}^0(\mathcal{U}, \mathcal{F}) = \{\alpha \in C^0(\mathcal{U}, \mathcal{F}) \mid \delta\alpha = 0\}$$

then if  $\alpha = \prod_{i_0} \alpha_{i_0}$ , then  $\delta\alpha = 0$  implies

$$\alpha_i|_{U_i \cap U_j} = \alpha_j|_{U_i \cap U_j}$$

then we have

$$\check{H}^0(\mathcal{U}, \mathcal{F}) = \mathcal{F}(X)$$

In other words, the 0 dimensional Čech cohomology group of a sheaf is global section of itself.

However, we want our definition is independent of open cover, so

**Definition 1.7.3** (Čech cohomology). *We define Čech cohomology as*

$$\check{H}^q(X, \mathcal{F}) = \varinjlim_{\mathcal{U}} \check{H}^q(\mathcal{U}, \mathcal{F})$$

**Remark 1.7.4.** In other words,  $\alpha = \alpha' \in \check{H}^q(X, \mathcal{F})$  is equivalent to there exists a common refinement  $\mathcal{U}''$  such that

$$\alpha = \alpha' \in \check{H}^q(\mathcal{U}'', \mathcal{F})$$

Why we want to introduce Čech cohomology here? In fact, it provides a method to compute de Rham cohomology and Dolbeault cohomology we defined before. However, we will use cohomology of sheaf to unify all de Rham cohomology and Čech cohomology together later.

Recall that if  $X$  is a complex manifold,  $E \rightarrow X$  is a holomorphic vector bundle. Then we can define a sheaf of holomorphic sections, defined by

$$\mathcal{O}_X(E) : U \mapsto \Gamma(U, E|_U)$$

then we get a Čech cohomology of this sheaf

$$\check{H}^q(X, \mathcal{O}_X(E)) = \varinjlim_{\mathcal{U}} \check{H}^q(\mathcal{U}, \mathcal{O}_X(E))$$

**Theorem 1.7.5** (comparison). *We have the following isomorphism*

$$\check{H}^q(X, \mathcal{O}_X(E)) \cong H^q(X, E) = H_{\bar{\partial}_E}^q(C^\infty(X, \Omega_X^{0,*} \otimes E))$$

In particular, let  $E = \Omega_X^p$ , we have

$$\check{H}^q(X, \mathcal{O}_X(\Omega_X^p)) \cong H^{p,q}(X) = H_{\bar{\partial}}^q(C^\infty(X, \Omega_X^{p,*}))$$

**Remark 1.7.6.** In fact, Theorem 1.7.5 uses the sequence of sheaves

$$0 \rightarrow E \rightarrow \Omega_X^{0,0} \otimes E \xrightarrow{\bar{\partial}_E} \Omega_X^{0,1} \otimes E \xrightarrow{\bar{\partial}_E} \cdots \xrightarrow{\bar{\partial}_E} \Omega_X^{0,n} \otimes E \rightarrow 0$$

And Dolbeault lemma implies the above sequence is exact. That's what behind the comparison theorem, and tells us why Dolbeault cohomology is about holomorphic information, since here we use  $\mathcal{O}_X(\Omega_X^p)$ , sheaf of holomorphic sections.

Similarly, in de Rham cohomology, we have the same story. There is a sequence of sheaves

$$0 \rightarrow \underline{\mathbb{C}} \xrightarrow{i} \Omega_{X,\mathbb{C}}^0 \xrightarrow{d} \Omega_{X,\mathbb{C}}^1 \xrightarrow{d} \cdots \xrightarrow{d} \Omega_{X,\mathbb{C}}^n \rightarrow 0$$

where  $\underline{\mathbb{C}}$  is the sheaf of locally constant functions, i.e.

$$\underline{\mathbb{C}} : U \mapsto \{\text{locally constant functions } f : U \rightarrow \mathbb{C}\}$$

Then Poincaré lemma implies the above sequence is also exact. Parallel to Theorem 1.7.5, we will get

$$\check{H}^q(X, \underline{\mathbb{C}}) \cong H^k(X, \mathbb{C}) = H_{dR}^k(C^\infty(X, \Omega_{X,\mathbb{C}}^*))$$

This also explain why de Rham cohomology is just a topological information, since here we just use  $\underline{\mathbb{C}}$ , a pure topological information.

**Theorem 1.7.7** (Leray). *Let  $\mathcal{U}$  be a covering such that for all  $i_0 \dots i_k \in I$ , and for all  $q > 0$ , we have*

$$H^q(U_{i_0 \dots i_k}, E|_{U_{i_0 \dots i_k}}) = 0$$

*then  $\mathcal{U}$  is called acyclic for  $E$ . Then*

$$\check{H}^q(\mathcal{U}, \mathcal{O}_X(E)) \cong H^q(X, E)$$

**Remark 1.7.8.** This provides us a practical way to compute Čech cohomology.

**Example 1.7.9.** Consider  $\mathcal{O}_X^\times \subset \mathcal{O}_X$ , the sheaf of invertible holomorphic functions. Then we have

$$\check{H}^1(X, \mathcal{O}_X^\times) \cong \text{Pic}(X)$$

## 2. GEOMETRY OF VECTOR BUNDLES

### 2.1. Connections.

**Definition 2.1.1** (connection).  *$X$  is a differential manifold, and  $\pi : E \rightarrow X$  is a complex vector bundle. A connection on  $E$  is a  $\mathbb{C}$ -linear operator*

$$D : C^\infty(X, E) \rightarrow C^\infty(X, \Omega_{X, \mathbb{C}}^1 \otimes E)$$

*satisfying the Leibniz rule*

$$D(f\sigma) = df \otimes \sigma + fD(\sigma)$$

*for  $f \in C^\infty(X)$  and  $\sigma \in C^\infty(X, E)$ .*

**Remark 2.1.2.** In fact, if we ask  $D$  to satisfy the Leibniz rule, it induces

$$D : C^\infty(X, \Omega_{X, \mathbb{C}}^k \otimes E) \rightarrow C^\infty(X, \Omega_{X, \mathbb{C}}^{k+1} \otimes E)$$

for any  $k$ , by setting<sup>12</sup>

$$D(\varphi \otimes \sigma) = d\varphi \otimes \sigma + (-1)^{\deg \varphi} \varphi \wedge (D\sigma)$$

for  $\varphi \in C^\infty(X, \Omega_{X, \mathbb{C}}^k)$  and  $\sigma \in C^\infty(X, E)$ .

**Remark 2.1.3.** Let's see what's going on in local pointview. Locally around  $x \in U \subset X$ , then  $\pi^{-1}(U) \cong U \times \mathbb{C}^r$ , there is a basis  $\{e_1, \dots, e_r\}$  for  $\mathbb{C}^r$ . For  $\sigma \in C^\infty(U, E|_U)$ , we have

$$\sigma = \sum_{j=1}^r s_j e_j, \quad s_j \in C^\infty(U)$$

By Leibniz rule, we have

$$D\sigma = \sum_{j=1}^r (ds_j \otimes e_j + s_j D e_j)$$

---

<sup>12</sup>Some authors may extend  $D$  by setting usual Leibniz rule, that is, without  $(-1)^{\deg \varphi}$ , it's not quit important. We will see that reason in local chart computation.

where  $De_j \in C^\infty(U, \Omega_{X,\mathbb{C}}^1 \otimes E)$ . So we can write more explicitly as

$$De_j = \sum_{i=1}^r a_{ij} \otimes e_i, \quad a_{ij} \in C^\infty(U, \Omega_{X,\mathbb{C}}^1)$$

So we have

$$D\sigma = \sum_{j=1}^r (ds_j \otimes e_j + \sum_{i=1}^r s_j a_{ij} \otimes e_i)$$

We can rewrite the above formula in frame of  $\{e_1, \dots, e_r\}$  as

$$D\sigma = Ds = ds + As$$

where

$$\sigma = s = \begin{pmatrix} s_1 \\ \vdots \\ s_n \end{pmatrix}, \quad A = (a_{ij}) \in C^\infty(X, \Omega_{X,\mathbb{C}}^1 \otimes \text{End}(E|_U))$$

Here we chose a local trivialization of the vector bundle  $E$ , so we may wonder what will happen if we change our choice. If  $x \in U' \subset X$  is another trivialization, so  $\pi^{-1}(U') \cong U' \times \mathbb{C}^r$ , and  $\{e'_1, \dots, e'_r\}$  is another local frame. Then

$$D\sigma = \begin{cases} Ds = ds + As \\ Ds' = ds' + A's' \end{cases}$$

so we wonder the relationship between  $A$  and  $A'$ . Transition functions between  $U$  and  $U'$  are

$$g : U \cap U' \rightarrow \text{GL}(r, \mathbb{C})$$

so we have  $s = gs'$  and  $Ds = gDs'$ . We compute as follows

$$\begin{aligned} ds &= d(gs') = (dg)s' + g(ds') = g(g^{-1}(dg)s' + ds') \\ ds + As &= g(g^{-1}(dg)s' + ds' + g^{-1}As') \\ &= g(ds' + (g^{-1}dg + g^{-1}Ag)s') \end{aligned}$$

Since we have

$$ds + As = gDs' = g(ds' + A's')$$

So we have

$$A' = g^{-1}dg + g^{-1}Ag$$

You may feel quite uncomfortable since  $A'$  does not conjugate to  $A$  under the change of the frame, but if we apply  $D$  twice, something interesting may happen.

Before that, we compute what does  $D : C^\infty(X, \Omega_{X,\mathbb{C}}^k \otimes E) \rightarrow C^\infty(X, \Omega_{X,\mathbb{C}}^{k+1} \otimes E)$  look like locally:

Take  $\sigma \in C^\infty(X, \Omega_{X,\mathbb{C}}^k \otimes E)$ , if we choose a local frame  $\{e_1, \dots, e_r\}$  on  $U$ , locally we can write as

$$\sigma = \sum_{j=1}^r s_j \otimes e_j, \quad s_j \in C^\infty(U, \Omega_{X,\mathbb{C}}^k)$$

then

$$\begin{aligned} D\sigma &= D\left(\sum_{j=1}^r s_j \otimes e_j\right) \\ &= \sum_{j=1}^r ds_j \otimes e_j + (-1)^k s_j \wedge De_j \\ &= \sum_{j=1}^r (ds_j \otimes e_j + (-1)^k s_j \wedge \sum_{i=1}^r a_{ij} \otimes e_i) \\ &= \sum_{j=1}^r (ds_j \otimes e_j + \sum_{i=1}^r a_{ij} \wedge s_j \otimes e_i) \\ &= ds + A \wedge s \end{aligned}$$

So we know that  $D : C^\infty(X, \Omega_{X,\mathbb{C}}^k \otimes E) \rightarrow C^\infty(X, \Omega_{X,\mathbb{C}}^{k+1} \otimes E)$  still looks like<sup>13</sup>

$$D\sigma = Ds = ds + A \wedge s$$

Here we can see clearly what does  $A \wedge s$  mean. Furthermore, we can see that  $A \wedge A$  isn't trivial, unless in the case of  $A$  is a  $1 \times 1$  matrix, in other words,  $E$  is a line bundle.

So we can compute as follows.

$$\begin{aligned} D^2\sigma &= D(ds + As) = d(ds + As) + A \wedge (ds + As) \\ &= d^2s + d(As) + A \wedge ds + A \wedge As \\ &= d^2s + (dA)s - A \wedge ds + A \wedge ds + A \wedge As \\ &= (dA + A \wedge A)s \end{aligned}$$

And we check what will happen if we choose another trivialization

$$\begin{aligned} D^2\sigma &= D^2s = (dA + A \wedge A)s = (dA + A \wedge A)gs' \\ &= gD^2s' = g(dA' + A' \wedge A')s' \end{aligned}$$

so we have

$$dA' + A' \wedge A' = g^{-1}(dA + A \wedge A)g$$

that is,  $dA + A \wedge A$  behaves “well” under the change of frame, object with such property we always call it a “tensor”<sup>14</sup>.

<sup>13</sup>That's why we need  $(-1)^{\deg \varphi}$  when we extend  $D$ .

<sup>14</sup>But what is a “tensor”? Here I quote a motto said by Leonard Susskind, a well-known physicist. I'm quite impressed when I first heard it in my childhood. “Tensor is something which behaves like a tensor.”

From discussion above, we can give the following definition

**Definition 2.1.4** (curvature). *There exists a global section  $H_D \in C^\infty(X, \Omega_{X, \mathbb{C}}^2 \otimes \text{End}(E))$  such that*

$$D^2\sigma = H_D \wedge \sigma, \quad \forall \sigma \in C^\infty(X, \Omega_{X, \mathbb{C}}^k \otimes E)$$

*such  $H_D$  is called the curvature tensor of connection  $D$ .*

**Definition 2.1.5** (Hermitian metric).  *$X$  is a differential manifold, and  $\pi : E \rightarrow X$  is a complex vector bundle. A Hermitian metric  $h$  on  $E$  is a Hermitian inner product on each fiber  $E_x$ , such that for all open subset  $U \subset X$ , and  $\xi, \eta \in C^\infty(U, E|_U)$ , we have*

$$\begin{aligned} \langle \xi, \eta \rangle : U &\rightarrow \mathbb{C} \\ x &\mapsto \langle \xi(x), \eta(x) \rangle \end{aligned}$$

*is a smooth function.*

**Example 2.1.6.** Locally, for  $x \in U \subset X$ , we have  $\pi^{-1}(U) \cong U \times \mathbb{C}^r$ , and  $\{e_1, \dots, e_r\}$  is a local frame. Then our Hermitian metric is just a Hermitian matrix

$$H = (h_{\lambda\mu})$$

where  $h_{\lambda\mu} \in C^\infty(U)$ , defined by

$$h_{\lambda\mu}(x) = \langle e_\lambda(x), e_\mu(x) \rangle$$

Indeed, this Hermitian matrix can tell us how does the metric works. In our local frame, two sections  $\xi = \sum_{i=1}^r \xi_i e_i, \eta = \sum_{i=1}^r \eta_i e_i \in C^\infty(U, E|_U)$  can be write as

$$\xi = \begin{pmatrix} \xi_1 \\ \vdots \\ \xi_r \end{pmatrix}, \eta = \begin{pmatrix} \eta_1 \\ \vdots \\ \eta_r \end{pmatrix}$$

Then

$$\begin{aligned} h(\xi, \eta) &= h\left(\sum_{i=1}^r \xi_i e_i, \sum_{i=1}^r \eta_i e_i\right) \\ &= \sum_{i,j=1}^r \xi_i \overline{\eta_j} h(e_i, e_j) \\ &= \sum_{i,j=1}^r \xi_i \overline{\eta_j} h_{ij} \\ &= (\xi_1, \dots, \xi_r) H \begin{pmatrix} \overline{\eta_1} \\ \vdots \\ \overline{\eta_r} \end{pmatrix} \\ &= \xi^t H \overline{\eta} \end{aligned}$$

And take another  $x \in U' \subset X$ ,  $\pi^{-1}(U') \cong U' \times \mathbb{C}^r$ , with  $\{e'_1, \dots, e'_r\}$ , and  $g$  is the transition function, we have

$$H' = g^t H \bar{g}$$

**Proposition 2.1.7.** *Every complex vector bundle admits a Hermitian metric*

*Proof.* Use partition of unity.  $\square$

Now for a complex vector bundle over a differential manifold, we have two structures on it, connection and Hermitian metric, so it's natural to require them to exist in a harmony.

For a Hermitian metric  $h$ , it induces a pairing  $\{\cdot, \cdot\}$

$$C^\infty(X, \Omega_{X, \mathbb{C}}^p \otimes E) \times C^\infty(X, \Omega_{X, \mathbb{C}}^q \otimes E) \rightarrow C^\infty(X, \Omega_{X, \mathbb{C}}^{p+q})$$

We describe this pairing locally, consider  $x \in U \subset X$ ,  $\pi^{-1}(U) \cong U \times \mathbb{C}^r$ , and  $\{e_1, \dots, e_r\}$  is a local frame. Then  $\sigma \in C^\infty(X, \Omega_{X, \mathbb{C}}^p \otimes E)$  and  $\eta \in C^\infty(X, \Omega_{X, \mathbb{C}}^q \otimes E)$  are in form

$$\sigma = \sum_{i=1}^r s_i \otimes e_i, \quad \tau = \sum_{j=1}^r t_j \otimes e_j$$

where  $s_i$  are  $p$ -forms and  $t_j$  are  $q$ -forms, then the pairing is locally look like

$$\begin{aligned} \{\sigma, \tau\} &= \left\{ \sum_{i=1}^r s_i \otimes e_i, \sum_{j=1}^r t_j \otimes e_j \right\} \\ &= \sum_{i,j=1}^r s_i \wedge t_j h(e_i, e_j) \\ &= \sum_{i,j=1}^r s_i \wedge t_j h_{ij} \\ &= s^t H \wedge \bar{t} \end{aligned}$$

Using this pairing, we can define when a connection is called Hermitian.

**Definition 2.1.8** (Hermitian connection).  *$(E, h)$  is a Hermitian vector bundle on  $X$ . A connection  $D$  on  $E$  is Hermitian if for all  $\sigma \in C^\infty(X, \Omega_{X, \mathbb{C}}^p \otimes E)$ ,  $\eta \in C^\infty(X, \Omega_{X, \mathbb{C}}^q \otimes E)$ ,*

$$d\{\sigma, \tau\} = \{D\sigma, \tau\} + (-1)^{\deg \sigma} \{\sigma, D\tau\}$$

Since we know that a connection locally looks like  $D = d + A \wedge$ . Then let's compute in a local frame to show what condition  $A$  needs to satisfy for a Hermitian connection.

Fixing a local frame, then  $\sigma = s = (s_1, \dots, s_r)^t$ ,  $\tau = t = (t_1, \dots, t_r)^t$ . WLOG, we assume  $\{e_1, \dots, e_r\}$  is a orthonormal basis, i.e.  $H$  is identity matrix, then

$$\{\sigma, \tau\} = s^t \wedge \bar{t}$$

Otherwise we need to consider the derivative of  $H$ , which will make things more complicated. Exercise 2.1.9 gives us an equivalent condition for  $A$  is a Hermitian connection.

If we already use orthonormal basis, we can directly compute as follows

$$\begin{aligned} d\{\sigma, \tau\} &= (ds)^t \wedge \bar{t} + (-1)^{\deg \sigma} s^t \wedge d\bar{t} \\ \{D\sigma, \tau\} &= (ds + A \wedge s)^t \wedge \bar{t} = (ds)^t \wedge \bar{t} + (-1)^{\deg \sigma} s^t \wedge A^t \wedge \bar{t} \\ \{\sigma, D\tau\} &= s^t \wedge (\overline{dt + A \wedge t}) = s^t \wedge d\bar{t} + s^t \wedge \bar{A} \wedge \bar{t} \end{aligned}$$

then

$$d\{\sigma, \tau\} - \{D\sigma, \tau\} - (-1)^{\deg \sigma} \{\sigma, D\tau\} = (-1)^{\deg \sigma + 1} s^t \wedge (A^t + \bar{A}) \wedge \bar{t}$$

So  $D$  is a Hermitian connection if and only if  $A^t + \bar{A} = 0$ .

**Exercise 2.1.9.** If connection  $D$  is locally given by  $A$ , and Hermitian metric is locally given by  $H$ . Show that  $A$  is Hermitian if and only if

$$dH = A^t H + H \bar{A}$$

*Proof.* Let's compute  $d\{\sigma, \tau\}$  without choosing orthonormal basis:

$$\begin{aligned} d\{\sigma, \tau\} &= (ds)^t H \wedge \bar{t} + (-1)^{\deg \sigma} s^t \wedge dH \wedge \bar{t} + (-1)^{\deg \sigma} s^t H \wedge d\bar{t} \\ \{D\sigma, \tau\} &= (ds + A \wedge s)^t H \wedge \bar{t} = (ds)^t H \wedge \bar{t} + (-1)^{\deg \sigma} s^t \wedge A^t H \wedge \bar{t} \\ \{\sigma, D\tau\} &= s^t H \wedge (\overline{dt + A \wedge t}) = s^t H \wedge d\bar{t} + s^t H \bar{A} \wedge \bar{t} \end{aligned}$$

Thus

$$d\{\sigma, \tau\} - \{D\sigma, \tau\} - (-1)^{\deg \sigma} \{\sigma, D\tau\} \iff dH = A^t H + H \bar{A}$$

□

**Remark 2.1.10.** Let's make it more beautiful. We define  $D^{\text{adj}}$ , adjoint connection, locally given by  $-\bar{A}^t$  with respect to  $H = I$ , then we always have

$$d\{\sigma, \tau\} = \{D\sigma, \tau\} + (-1)^{\deg \sigma} \{\sigma, D^{\text{adj}}\tau\}$$

Take  $\frac{1}{2}(D + D^{\text{adj}})$ , which is also a connection, locally looks like

$$\frac{1}{2}(A - \bar{A}^t)$$

is a Hermitian connection. So it's easy to get a Hermitian connection, just average  $A$  with its adjoint.

**Proposition 2.1.11.** *Every Hermitian vector bundle admits a Hermitian connection.*

*Proof.* Use partition of unity to show the existence of connection, and take the average of it and its adjoint connection. □



**2.2. Connections and metrics on holomorphic vector bundles.** In this section, let's see when the base space is a complex manifold, and the vector bundle is holomorphic, what will happen?

Recall that for a complex manifold  $X$ , we have

$$\Omega_{X,\mathbb{C}}^1 = \Omega_X^{1,0} \oplus \Omega_X^{0,1}$$

Consider  $E \rightarrow X$  is a complex vector bundle, and  $D$  is a connection, then we can decompose  $D = D^{1,0} + D^{0,1}$  by composing the projection as follows

$$\begin{array}{ccc} & & C^\infty(X, \Omega_X^{1,0} \otimes E) \\ & \nearrow & \\ C^\infty(X, E) & \xrightarrow{D} & C^\infty(X, \Omega_{X,\mathbb{C}}^1 \otimes E) \\ & \searrow & \\ & & C^\infty(X, \Omega_X^{0,1} \otimes E) \end{array}$$

Locally, we have  $D = d + A$ , then

$$D^{1,0} = \partial + A^{1,0}, \quad D^{0,1} = \bar{\partial} + A^{0,1}$$

both  $D^{1,0}$  and  $D^{0,1}$  satisfy Leibniz rule.

Now consider  $X$  is a complex manifold, and  $E \rightarrow X$  is a holomorphic vector bundle. Recall that we already have

$$\bar{\partial}_E : C^\infty(X, E) \rightarrow C^\infty(X, \Omega_X^{0,1} \otimes E)$$

We want to compare  $D_E^{0,1}$  and  $\bar{\partial}_E$

**Theorem 2.2.1** (Chern connection).  *$X$  is a complex manifold,  $(E, h)$  is a Hermitian holomorphic vector bundle, then there exists a unique Hermitian connection  $D_E$  such that  $D_E^{0,1} = \bar{\partial}_E$ .  $D_E$  is called the Chern connection of  $(E, h)$ .*

*Proof.* Uniqueness: If we already have  $D_E^{0,1} = \bar{\partial}_E$ . Locally  $x \in U \subset X$ ,  $\{e_1, \dots, e_r\}$  is holomorphic local frame, and smooth section  $\sigma = s = (s_1, \dots, s_r)^t$ . Then

$$D_E^{0,1} \sigma = \bar{\partial} s + A^{0,1} s = \bar{\partial}_E \sigma$$

If  $s$  is a holomorphic section, then  $\bar{\partial}_E \sigma = \bar{\partial} s = 0$ , which implies  $A^{0,1} = 0$ .

Since we have

$$dH = \partial H + \bar{\partial} H = \underbrace{A^t H}_{(1,0) \text{ part}} + \underbrace{H \bar{A}}_{(0,1) \text{ part}}$$

then

$$\bar{\partial} H = H \bar{A}$$

So  $A$  is uniquely determined by

$$A = \bar{H}^{-1} \partial \bar{H}$$

Existence: It suffices to prove we can glue  $A$  together to get a global connection, i.e. compatible with holomorphic change of frames.

Consider another holomorphic local chart  $x \in U' \subset X$ , with frame  $\{e'_1, \dots, e'_r\}$ . And the metric with respect to this new frame is  $H'$ , we have

$$H' = g^t H \bar{g}$$

Then

$$\begin{aligned} A' &= \overline{H'^{-1}} \partial \overline{H'} = g^{-1} \overline{H^{-1}} (\overline{g^t})^{-1} \partial (\overline{g^t} \overline{H} g) \\ &= g^{-1} \overline{H^{-1}} (\overline{g^t})^{-1} ((\partial \overline{g^t}) + \overline{g^t} (\partial \overline{H}) g + \overline{g^t} \overline{H} \partial g) \\ &= g^{-1} \overline{H^{-1}} (\partial \overline{H} g) + g^{-1} dg \\ &= g^{-1} dg + g^{-1} A g \end{aligned}$$

As we desire.  $\square$

**Corollary 2.2.2.** *If  $X$  is a complex manifold,  $(E, h)$  is a Hermitian holomorphic vector bundle,  $D_E$  is Chern connection on it, and  $H_E$  is its curvature, called Chern curvature. If  $A$  is the matrix of  $D_E$  with respect to holomorphic local frame, then*

1.  $A$  is of type  $(1, 0)$ , with  $\partial A = -A \wedge A$
2. locally we have  $H_E = \bar{\partial} A$ , a form of type  $(1, 1)$
3.  $\bar{\partial} H_E = 0$

*Proof.* For (1). Locally we have  $A = \overline{H}^{-1} \partial \overline{H}$ , so it's of type  $(1, 0)$ , and we compute

$$\begin{aligned} \partial A &= \partial (\overline{H}^{-1} \partial \overline{H}) = \partial \overline{H}^{-1} \wedge \partial \overline{H} \\ &= (-\overline{H}^{-1} (\partial \overline{H}) \overline{H}^{-1}) \wedge \partial \overline{H} \\ &= -(\overline{H}^{-1} \partial \overline{H}) \wedge (\overline{H}^{-1} \partial \overline{H}) \\ &= -A \wedge A \end{aligned}$$

Note that in the second equality we use the identity

$$-\overline{H} \partial \overline{H}^{-1} = (\partial \overline{H}) \overline{H}^{-1}$$

and this holds from  $\partial (\overline{H} H^{-1}) = \partial \overline{I}_n = 0$ .

For (2). Chern curvature locally looks like

$$H_E = dA + A \wedge A = dA - \partial A = \bar{\partial} A$$

, which is of type  $(1, 1)$ . And (3) is clear, since  $\bar{\partial} H_E = \bar{\partial}^2 \overline{A} = 0$ .  $\square$

The Chern connection of Hermitian holomorphic vector bundle behaves well with respect to bundle operations, as we see in the next two Exercises.

**Exercise 2.2.3.**  $(E, h)$  is a Hermitian holomorphic vector bundle, and  $S \hookrightarrow E$  is a holomorphic subbundle.  $S^\perp$  is defined by  $(S^\perp)_x = (S_x)^\perp$  with respect

to  $h$ . We have  $E = S \oplus S^\perp$  as complex vector bundle.<sup>15</sup> So we can define a natural projection

$$P_S : C^\infty(X, \Omega_{X, \mathbb{C}}^k \otimes E) \rightarrow C^\infty(X, \Omega_{X, \mathbb{C}}^k \otimes S)$$

Show that  $D_S = P_S \circ D_E$ .

*Proof.* Since Chern curvature is unique, so it suffices to check  $P_S \circ D_E$  is Hermitian and satisfies  $(P_S \circ D_E)^{0,1} = \bar{\partial}_S$ . Take any section  $\omega \in C^\infty(X, \Omega_{X, \mathbb{C}}^k)$ , then we have

$$P_S \circ D_E(\omega) = P_S(\bar{\partial}_E \omega) = \bar{\partial}_S \omega$$

And if  $\sigma, \tau$  are two sections of  $S$ , then

$$\begin{aligned} d\{\sigma, \tau\} &= \{D_E \sigma, \tau\} + (-1)^{\deg \sigma} \{\sigma, D_E \tau\} \\ &= \{P_S \circ D_E \sigma, \tau\} + (-1)^{\deg \sigma} \{\sigma, P_S \circ D_E \tau\} \end{aligned}$$

□

**Exercise 2.2.4.** If  $E$  and  $E'$  are two Hermitian holomorphic vector bundle, then

$$D_{E \otimes E'} = D_E \otimes \text{id} + \text{id} \otimes D_{E'}$$

*Proof.* The same as above. □

**2.3. Case of line bundle.** In this case we will consider a special case, i.e. line bundle, to find some interesting things.

Recall that if  $X$  is a differential manifold, and  $\pi : X \rightarrow L$  is a complex line bundle,  $D$  is a connection on  $L$ . Since

$$H_D \in C^\infty(X, \Omega_{X, \mathbb{C}}^2 \otimes \text{End}(L))$$

But for line bundle,  $\text{End}(L) \cong L^* \otimes L \cong X \times \mathbb{C}$  is just trivial bundle. So in fact,  $H_D \in C^\infty(X, \Omega_{X, \mathbb{C}}^2)$ , that is, curvature of connection is exactly a 2-form, without coefficient.

Furthermore, in a local pointview,  $D$  is represented by 1-form  $A$ , then  $H_D = dA + A \wedge A$ , and for a line bundle, we clearly have  $A \wedge A = 0$ , since  $A$  is just a  $1 \times 1$  matrix, and forms are skew symmetric. So  $H_D = dA$ . A immediate consequence is that  $dH_D = 0$ , i.e.  $H_D$  is a closed form, so we get

$$[H_D] \in H^2(X, \mathbb{C})$$

an element of de Rham cohomology group  $H^2(X, \mathbb{C})$ .

Now it's natural to ask what's the relationship between closed form from different connections. A surprising result is that they exactly lie in the same cohomology class.

---

<sup>15</sup>But in general,  $S^\perp$  may not be a holomorphic subbundle of  $E$ . That is, if we have a short exact sequence of holomorphic vector bundle

$$0 \rightarrow S \rightarrow E \rightarrow Q \rightarrow 0$$

this exact sequence generally won't split. That's why we prefer short exact sequence rather than direct sum in algebraic geometry.

If we consider another connection  $\tilde{D}$ , and  $\tilde{A}$ , let's compare  $H_D$  with  $H_{\tilde{D}}$ . For all  $\sigma \in C^\infty(X, \Omega_{X, \mathbb{C}}^k \otimes L)$ , locally  $\sigma = s$  with respect to  $\{e_1, \dots, e_r\}$ .

Then

$$\begin{aligned} D(\sigma) - \tilde{D}(\sigma) &= (ds + A \wedge s) - (ds - \tilde{A} \wedge s) \\ &= (A - \tilde{A}) \wedge s \\ &= B \wedge \sigma \end{aligned}$$

where  $B = (A - \tilde{A}) \in C^\infty(X, \Omega_{X, \mathbb{C}}^1)$ .

Then

$$H_D - H_{\tilde{D}} = dB$$

So different connections give the same cohomology class in  $H^2(X, \mathbb{C})$ . What a beautiful result!

**Definition 2.3.1** (First Chern class). *Let  $\pi : E \rightarrow X$  be a complex line bundle,  $D$  is any connection. Then define*

$$c_1(L) := \left[ \frac{i}{2\pi} H_D \right] \in H^2(X, \mathbb{C})$$

, which is called the first Chern class of line bundle.

**Remark 2.3.2.** The first Chern class is a property of line bundle itself, and independent of connections on it. In other words, it's a topological information.

Let's explain why we need coefficient  $\frac{i}{2\pi}$  here.

**Lemma 2.3.3.**  *$(E, h)$  is a Hermitian line bundle, and  $D$  is a Hermitian connection, then*

$$\frac{i}{2\pi} H_D \in C^\infty(X, \Omega_{X, \mathbb{R}}^2)$$

hence  $c_1(L) \in H^2(X, \mathbb{R})$ .

*Proof.* Locally we have  $\bar{A} = -A$

$$\overline{\frac{i}{2\pi} H_D} = -\frac{i}{2\pi} \overline{H_D} = -\frac{i}{2\pi} d\bar{A} = -\frac{i}{2\pi} d\bar{A} = \frac{i}{2\pi} dA = \frac{i}{2\pi} H_D$$

□

**Remark 2.3.4.** However, why we need  $2\pi$  here? Later we will see in fact  $c_1(L) \in H^2(X, \mathbb{Z})$ .

**Exercise 2.3.5.** Let  $E \rightarrow X$  be a complex vector bundle of rank  $r$ , define

$$c_1(E) := c_1(\det E)$$

If  $L \rightarrow X$  is a complex line bundle, show that

$$c_1(E \otimes L) = c_1(E) + rc_1(L)$$

*Proof.* First we need a formula about determinant and tensor product, we state it as follows: If  $E, F$  are two vector bundles, with rank  $r_1$  and  $r_2$ , then we have

$$\det(E \otimes F) = (\det E)^{r_2} \otimes (\det F)^{r_1}$$

So we have

$$\det(E \otimes L) = \det E \otimes (\det L)^r$$

And use Exercise 2.2.4 to conclude.  $\square$

Now, Let's combine all we have together, to see what will happen. Let  $X$  be a complex manifold and  $L$  be a holomorphic line bundle, with a Hermitian metric.  $D_L$  is the Chern connection of  $L$ , and its Chern curvature is  $H_L$ .

By Corollary 2.2.2 and Lemma 2.3.3, we have

$$\frac{i}{2\pi} H_L \in C^\infty(X, \Omega_{X, \mathbb{R}}^2) \cap C^\infty(X, \Omega_X^{1,1})$$

such that

$$d(\frac{i}{2\pi} H_L) = \bar{\partial}(\frac{i}{2\pi} H_L) = 0$$

that is

$$[\frac{i}{2\pi} H_L] \in H^2(X, \mathbb{R}), \quad [\frac{i}{2\pi} H_L] \in H^{1,1}(X)$$

However, it's also necessary to show cohomology class of Chern curvature is independent of metric  $h$ .

**Example 2.3.6.** Locally we have  $x \in U \subset X$ , with  $\pi^{-1}(U) \cong U \times \mathbb{C}$ ,  $\{e_1\}$  is the local frame. Then Hermitian metric is

$$H(z) = \langle e_1(z), e_1(z) \rangle = \|e_1(z)\|_h^2$$

Write  $\varphi(z) = -\log H(z)$ , a function  $U \rightarrow \mathbb{R}$ . Then

$$A = \bar{H}^{-1} \partial \bar{H} = e^{\varphi(z)} \partial e^{-\varphi(z)} = -\partial \varphi(z)$$

then

$$H_L = \bar{\partial} A = -\bar{\partial} \partial \varphi(z) = \partial \bar{\partial} \varphi(z)$$

then we have

$$\begin{aligned} \frac{i}{2\pi} H_L &= \frac{i}{2\pi} \partial \bar{\partial} \varphi(z) \\ &= \frac{i}{2\pi} \partial \bar{\partial} (-\log H(z)) \\ &= \frac{1}{2\pi i} \partial \bar{\partial} \log \|e_1(z)\|_h^2 \end{aligned}$$

Summarize as follows

**Proposition 2.3.7.**  $X$  is a complex manifold,  $(L, h)$  is a Hermitian holomorphic line bundle. Then  $c_1(L)$  is represented by a real  $(1, 1)$ -form, given locally by

$$\frac{i}{2\pi} H_L = \frac{1}{2\pi i} \partial \bar{\partial} \log \|e_1(z)\|_h^2$$

**Exercise 2.3.8.** Show that  $[\frac{i}{2\pi}H_L] \in H^{1,1}(X)$  is independent of  $h$ .

*Proof.* Note that any two metric on a line bundle differ by a function  $f$  which is positive everywhere, so we can write  $\|e_1(z)\|_{h'} = e^f \|e_1(z)\|_h$  for some smooth function  $f$ . So by Proposition 2.3.7, we have the difference of Chern curvatures come from different metrics is  $2\bar{\partial}\partial f$ , as desired.  $\square$

**2.4. Positive line bundle.** Before we go into deeper, let's discuss some facts about linear algebra we will need. Let  $V$  be a  $n$ -dimensional complex vector space, and use  $V_{\mathbb{R}}$  to denote the underlying real vector space, with real dimension  $2n$ . And  $J$  acts on  $V_{\mathbb{R}}$  as  $\times i$ . Then

$$V_{\mathbb{C}} = V_{\mathbb{R}} \otimes \mathbb{C} = V^{1,0} \oplus V^{0,1}$$

Consider its dual space  $W_{\mathbb{R}} = V_{\mathbb{R}}^*$ , and  $W_{\mathbb{C}} = W_{\mathbb{R}} \otimes \mathbb{C} = W^{1,0} \oplus W^{0,1}$ . Then  $W^{1,1} = W^{1,0} \otimes W^{0,1} = W^{1,1} \subset \bigwedge^2 W_{\mathbb{C}}$ . For a Hermitian form  $h : V \times V \rightarrow \mathbb{C}$ , we have the following magic correspondence.

**Lemma 2.4.1.** *We have the following canonical correspondence*

$$\{\text{Hermitian forms on } V\} \longleftrightarrow \{\text{real } (1,1)\text{-forms on } V_{\mathbb{R}}\}$$

*Proof.* Given a Hermitian form  $h$ , then  $h \mapsto \omega = -\text{Im } h$ ; Conversely, given such a form  $\omega$ , we define

$$h = \omega(\cdot, J\cdot) - i\omega(\cdot, \cdot)$$

Now let's check it: In one direction, write  $h = \text{Re } h + i \text{Im } h$ , then

$$\text{Re } h(u, v) + i \text{Im } h(u, v) = h(u, v) = \overline{h(v, u)} = \text{Re } h(v, u) - i \text{Im } h(v, u)$$

So  $\text{Im } h$  is skew symmetric, that is,  $\omega = -\text{Im } h$  is an alternating real 2-form. Now we need to show  $\omega$  is a  $(1,1)$ -form. Use Remark 1.5.3, we have  $\omega \in W^{1,1}$  is equivalent to  $\omega(V^{1,0}, V^{1,0}) = \omega(V^{0,1}, V^{0,1}) = 0$

Recall that  $V^{1,0}$  is spanned by  $u - iJ(u)$ , then

$$\omega(u - iJ(u), v - iJ(v)) = \omega(u, v) - \omega(J(u), J(v)) - i(\omega(u, J(v)) + \omega(J(u), v))$$

Since

$$h(J(u), J(v)) = ih(u, J(v)) = i \times (-i)h(u, v) = h(u, v)$$

then

$$\omega(u, v) = \omega(J(u), J(v))$$

And note that

$$\text{Re } h(u, J(v)) - i\omega(u, J(v)) = h(u, J(v)) = h(J(u), -v) = \text{Re } h(J(u), -v) - i\omega(J(u), -v)$$

which implies

$$\omega(u, J(v)) + \omega(J(u), v) = 0$$

Similarly we can check  $\omega(V^{0,1}, V^{0,1}) = 0$ . So  $\omega$  is a real 2-form of  $(1,1)$  type.

Worth to be mentioned: From what we have done above, we see that a real 2-form  $\omega$  is of type  $(1, 1)$  if and only if

$$\begin{cases} \omega(u, v) = \omega(J(u), J(v)) \\ \omega(u, J(v)) + \omega(J(u), v) = 0 \end{cases}$$

So if  $\omega$  is a real  $(1, 1)$ -form, then

$$\begin{aligned} \overline{h(u, v)} &:= \overline{\omega(u, J(v)) - i\omega(u, v)} = \omega(u, J(v)) + i\omega(u, v) \\ &= -\omega(J(v), u) - i\omega(v, u) \\ &= -\omega(J^2(v), J(u)) - i\omega(v, u) \\ &= \omega(v, J(u)) - i\omega(v, u) \\ &= h(v, u) \end{aligned}$$

□

**Remark 2.4.2.** Though the correspondence above is canonical, we can choose a basis to see what's going on: If we choose a basis  $z_1, \dots, z_n$  of  $V$ . Then Hermitian forms on  $V$  can be write as  $h = \sum_{j,k} h_{jk} z_j^* \otimes \bar{z}_k^*$ , where  $z_k^*$  is the dual basis of  $z_k$  and  $h_{jk} = h(z_j, z_k)$  is a Hermitian matrix.

If we let  $u = (u_1, \dots, u_n)^T, v = (v_1, \dots, v_n)^T$ , then  $h(u, v) = \sum_{jk} u_j h_{jk} \bar{v}_k$ . By definition, we have

$$\begin{aligned} \omega(u, v) &= -\operatorname{Im} h(u, v) \\ &= \frac{i}{2} \left( \sum h_{jk} u_j \bar{v}_k - \sum \bar{h}_{jk} \bar{u}_j v_k \right) \\ &= \frac{i}{2} \sum (h_{jk} u_j \bar{v}_k - h_{jk} v_j \bar{u}_k) \\ &= \frac{i}{2} \sum h_{jk} (u_j \bar{v}_k - v_j \bar{u}_k) \end{aligned}$$

That is, the corresponding real  $(1, 1)$ -form is

$$\omega = \frac{i}{2} \sum_{j,k} h_{jk} z_j^* \wedge \bar{z}_k^*$$

To be more explicit, if we choose  $x_1, \dots, x_n, y_1, \dots, y_n$  to be basis of  $V_{\mathbb{R}}$ , then  $z_i^* = x_i^* + iy_i^* \in (V_{\mathbb{C}})^*$ .

**Definition 2.4.3** (positive form). *For a real  $(1, 1)$ -form  $\omega$ , it is called positive, if the corresponding Hermitian form  $h$  is positive definite.*

**Definition 2.4.4** (positive line bundle).  *$X$  is a complex manifold,  $L$  is a holomorphic line bundle.  $L$  is called positive if it admits a Hermitian metric  $h$  such that*

$$\frac{i}{2\pi} H_L$$

*corresponds to a positive Hermitian metric on the holomorphic tangent bundle  $T_X$ .*

**Remark 2.4.5.** For any  $x \in X$ , then

$$(\frac{i}{2\pi}H_L)_x \in (\Omega_{X,\mathbb{R}}^2 \cap \Omega_X^{1,1})_x$$

is a real  $(1,1)$ -form on  $(T_{X,\mathbb{R}})_x$ . Then by Lemma 2.4.1, we know that there is a one to one correspondence with Hermitian form on  $T_{X,x}$ . So globally we have that  $\frac{i}{2\pi}H_L$  will correspond to a Hermitian metric on  $T_X$ .

Locally, we have

$$\frac{i}{2\pi}H_L = \frac{i}{2\pi}\partial\bar{\partial}\varphi(z) = \frac{i}{2\pi}\sum_{j,k}\frac{\partial^2\varphi}{\partial z_j\partial\bar{z}_k}dz_j \wedge d\bar{z}_k$$

Then  $L$  is positive is equivalent to the Hermitian metric

$$(\frac{\partial^2\varphi}{\partial z_j\partial\bar{z}_k})$$

is everywhere positive definite.

**Exercise 2.4.6** (positive line bundle  $\mathcal{O}_{\mathbb{P}^n}(1)$ ). First consider function

$$\begin{aligned}\varphi : \mathbb{C}^{n+1} \setminus \{0\} &\rightarrow \mathbb{R} \\ z &\mapsto \log(\sum_{j=0}^n |z_j|^2)\end{aligned}$$

then  $(\frac{\partial^2\varphi}{\partial z_j\partial\bar{z}_k})$  is positive definite. Show that

1.  $\frac{i}{2\pi}\partial\bar{\partial}\varphi(z)$  induces a real  $(1,1)$ -form on  $\mathbb{P}^n$  such that  $d\omega = 0$ .
2.  $\varphi$  comes from a Hermitian metric  $h$  on  $\mathcal{O}_{\mathbb{P}^n}(1)$  called Fubini-Study metric.

**Exercise 2.4.7.**  $L$  is positive if and only if  $L^{\otimes m}$  is positive for some  $m \in \mathbb{N}$ .

**Exercise 2.4.8.**  $L$  is positive, and  $M$  is any holomorphic line bundle, then there exists  $N_0 \in \mathbb{N}$  such that  $M \otimes L^{\otimes N}$  positive for  $N \geq N_0$ .

**Remark 2.4.9.** In fact, If we use language of algebraic geometry, then a positive line bundle is equivalent to an ample divisor.

**2.5. Lefschetz  $(1,1)$ -theorem.** Now we know that given a Hermitian holomorphic line bundle  $(L, h)$ , then consider its Chern curvature we will get a real  $(1,1)$ -form. So we may wonder the converse of this statement. Is there any real  $(1,1)$ -form comes from such a Hermitian holomorphic line bundle? That's main theorem for this section.

**Theorem 2.5.1** (Lefschetz  $(1,1)$ -theorem).  $X$  is a complex manifold,  $\omega \in C^\infty(X, \Omega_{X,\mathbb{R}}^2) \cap C^\infty(X, \Omega_X^{1,1})$ , a real  $(1,1)$ -form, such that  $d\omega = 0$ . And

$$[\omega] \in \text{im}(H^2(X, \mathbb{Z}) \rightarrow H^2(X, \mathbb{R}))$$



Then there exists a Hermitian holomorphic line bundle  $(L, h)$  such that

$$\frac{i}{2\pi} H_L = \omega$$

Before proving this theorem, let's elaborate what does the following map mean

$$H^2(X, \mathbb{Z}) \rightarrow H^2(X, \mathbb{R})$$

since in de Rham cohomology, it's meaningless to say cohomology with  $\mathbb{Z}$  coefficient. Here we use comparison  $H^2(X, \mathbb{R}) \cong \check{H}^2(X, \underline{\mathbb{R}})$ , where  $\underline{\mathbb{R}}$  is the sheaf of local constant  $\mathbb{R}$ -functions and consider the map in terms of Čech cohomology

$$\check{H}^2(X, \mathbb{Z}) \rightarrow \check{H}^2(X, \underline{\mathbb{R}})$$

We prove comparison theorem for  $n = 2$  in an explicit way, since later we will use it.

In sketch, the philosophy of this method is that we descend the degree of differential forms, but the price is we need to consider functions defined on intersections of many open subsets.

$X$  is a differential manifold, and  $Z^1 \subset \Omega_{X, \mathbb{R}}^1$ , sheaf of closed 1-form. Then we have the following exact sequence of sheaves

$$0 \rightarrow \underline{\mathbb{R}} \rightarrow C^\infty(X) \xrightarrow{d} Z^1 \rightarrow 0$$

Locally constant functions are clearly smooth functions, such that  $d$  acts on them is zero, so the exactness for the first two is trivial. But for the last one, it is equivalent to that a closed form locally must be an exact form, that's Poincaré lemma.

Similarly, define  $Z^2 \subset \Omega_{X, \mathbb{R}}^2$ , sheaf of closed 2-forms. then

$$0 \rightarrow Z^1 \rightarrow \Omega_{X, \mathbb{R}}^1 \xrightarrow{d} Z^2 \rightarrow 0$$

This sequence is exact for the same reason.

By the definition of de Rham cohomology, we have

$$H^2(X, \mathbb{R}) = \frac{C^\infty(X, Z^2)}{dC^\infty(X, \Omega_{X, \mathbb{R}}^1)}$$

In order to avoid the limit in the definition of Čech cohomology, we take open covering  $\mathcal{U} = \{U_\alpha\}$  good enough, such that

$$d : C^\infty(U_\alpha, \Omega_{U_\alpha, \mathbb{R}}^1) \rightarrow C^\infty(U_\alpha, Z^2)$$

is surjective for any  $\alpha$ . And

$$d : C^\infty(U_\alpha \cap U_\beta) \rightarrow C^\infty(U_\alpha \cap U_\beta, Z^1)$$

is surjective for any  $\alpha, \beta$ .

If  $\omega$  is a closed real 2-form, i.e.  $[\omega] \in H^2(X, \mathbb{R})$ . For any  $\alpha$ , choose  $A_\alpha \in C^\infty(U_\alpha, \Omega_{U_\alpha, \mathbb{R}}^1)$  such that

$$\omega|_{U_\alpha} = dA_\alpha$$

then

$$\prod_{\alpha, \beta} (A_\alpha - A_\beta)$$

is a Čech 1-cocchain in  $C^1(\mathcal{U}, Z^1)$ , it's d closed since  $d(A_\alpha - A_\beta)|_{U_\alpha \cap U_\beta} = \omega - \omega = 0$ .

For any  $\alpha, \beta$ , choose  $f_{\alpha\beta} \in C^\infty(U_\alpha \cap U_\beta)$ , such that

$$(A_\alpha - A_\beta)_{\alpha\beta} = df_{\alpha\beta}$$

then

$$f_{\beta\gamma} - f_{\alpha\gamma} + f_{\alpha\beta}|_{U_\alpha \cap U_\beta \cap U_\gamma}$$

is closed, hence locally constant,

$$\tilde{\omega} = \prod_{\alpha, \beta, \gamma} (f_{\beta\gamma} - f_{\alpha\gamma} + f_{\alpha\beta})$$

is a Čech 2-cocycle, in  $C^2(\mathcal{U}, \mathbb{R})$ . We have  $\delta\tilde{\omega} = 0$ , and  $[\omega]$  corresponds to  $[\tilde{\omega}]$ , that's the explicit construction for comparison theorem in dimension 2. In fact, the general case is proved in the same method.

And we also the some lemmas in multiply complex analysis.

**Lemma 2.5.2.** *Locally on a polydisk  $D \subset \mathbb{C}^n$ , and  $\omega \in C^\infty(D, \Omega_{D, \mathbb{R}}^2) \cap C^\infty(D, \Omega_D^{1,1})$  is a d closed real  $(1,1)$ -form. Then there exists a smooth function  $\varphi : D \rightarrow \mathbb{R}$  such that*

$$\omega = i\partial\bar{\partial}\varphi$$

*Proof.* Poincaré lemma implies that  $\omega = dA = d(A^{1,0} + A^{0,1}) = (\partial + \bar{\partial})(A^{1,0} + \overline{A^{1,0}})$ , and since  $A$  is real, then  $\overline{A^{1,0}} = A^{0,1}$ .

Since  $\omega$  is a  $(1,1)$ -form, then

$$\begin{cases} \partial A^{1,0} = 0 \\ \bar{\partial} A^{0,1} = 0 \\ \omega = \bar{\partial} A^{1,0} + \partial A^{0,1} \end{cases}$$

Dolbeault lemma implies that  $A^{0,1} = \bar{\partial}f$ , so  $A^{1,0} = \partial\bar{f}$ , so we have

$$\begin{aligned} \omega &= \bar{\partial}\partial\bar{f} + \partial\bar{\partial}f \\ &= \partial\bar{\partial}(f - \bar{f}) \\ &= i\partial\bar{\partial}\varphi \end{aligned}$$

□

**Lemma 2.5.3.** *Locally on  $U \subset \mathbb{C}^n$ , a simply connected open subset, and a smooth function  $\varphi : U \rightarrow \mathbb{R}$ , such that  $\partial\bar{\partial}\varphi = 0$ <sup>16</sup>. Then there exists a holomorphic functions  $f : U \rightarrow \mathbb{C}$ , such that  $\varphi = \text{Re}(f)$ .*

Now let's prove Lefschetz  $(1,1)$ -theorem

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<sup>16</sup>Such  $\varphi$  is called pluriharmonic

*Proof.* Let's first see how does the above two lemmas play a role in our proof. We will choose a good enough open cover  $\mathcal{U} = \{U_\alpha\}$  of open polydisk such that for all  $\alpha, \beta$ , we have  $U_\alpha \cap U_\beta$  is simply connected.

Since  $\omega$  is a d closed real  $(1,1)$ -form, Lemma 2.5.2 implies that there exists smooth function  $\varphi_\alpha : U_\alpha \rightarrow \mathbb{R}$  such that

$$\omega|_{U_\alpha} = \frac{i}{2\pi} \partial \bar{\partial} \varphi_\alpha$$

On any two intersection  $U_\alpha \cap U_\beta$ , we have  $\partial \bar{\partial}(\varphi_\alpha - \varphi_\beta) = 0$ , then Lemma 2.5.3 implies that there exists a holomorphic function  $f_{\alpha\beta}$ , such that

$$(\varphi_\alpha - \varphi_\beta)|_{U_\alpha \cap U_\beta} = 2 \operatorname{Re}(f_{\alpha\beta}) = f_{\alpha\beta} + \overline{f_{\alpha\beta}}$$

Consider  $\prod f_{\alpha\beta} \in C^1(\mathcal{U}, \mathcal{O}_X)$ , then

$$(\delta f)_{\alpha\beta\gamma} = (f_{\beta\gamma} - f_{\alpha\gamma} + f_{\alpha\beta})|_{U_\alpha \cap U_\beta \cap U_\gamma}$$

Note that  $2 \operatorname{Re}(f_{\beta\gamma} - f_{\alpha\gamma} + f_{\alpha\beta})_{\alpha\beta\gamma} = 0$ , so it must be a locally constant imaginary number, i.e. it lies in  $2\pi i \mathbb{R}(U_\alpha \cap U_\beta \cap U_\gamma)$ .

Consider real form<sup>17</sup>

$$A_\alpha = \frac{i}{4\pi} (\bar{\partial} \varphi_\alpha - \partial \varphi_\alpha)$$

and by directly computing, we can note that  $\omega|_{U_\alpha} = dA_\alpha$ , and that's why we define  $A_\alpha$  in this method.

Similar to what we have done in the proof of comparison theorem, we want to consider  $A_\alpha - A_\beta$  on the intersection  $U_\alpha \cap U_\beta$ . So we compute the difference of each term of  $A_\alpha$  and  $A_\beta$  as follows

$$\begin{aligned} \partial(\varphi_\beta - \varphi_\alpha) &= \partial(f_{\alpha\beta} + \overline{f_{\alpha\beta}}) \\ &= \partial f_{\alpha\beta} \\ &= df_{\alpha\beta} \end{aligned}$$

Similarly we have

$$\bar{\partial}(\varphi_\beta - \varphi_\alpha) = d\overline{f_{\alpha\beta}}$$

then

$$(A_\beta - A_\alpha)_{\alpha\beta} = \frac{i}{4\pi} d(\overline{f_{\alpha\beta}} - f_{\alpha\beta}) = \frac{1}{2\pi} d(\operatorname{im}(f_{\alpha\beta}))$$

Via  $H^2(X, \mathbb{R}) \cong \check{H}^2(X, \mathbb{R})$ ,  $[\omega]$  corresponds to  $[\tilde{\omega}]$ , above process is just what we have done in the proof of comparison theorem, so we have

$$\begin{aligned} \tilde{\omega} &= \prod \left( \frac{1}{2\pi} \operatorname{im}(f_{\beta\gamma} - f_{\alpha\gamma} + f_{\alpha\beta}) \right)_{\alpha\beta\gamma} \\ &= \prod \left( \frac{1}{2\pi i} (f_{\beta\gamma} - f_{\alpha\gamma} + f_{\alpha\beta}) \right)_{\alpha\beta\gamma} \end{aligned}$$

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<sup>17</sup>Here we need to consider some queer coefficients, in order to get a beautiful result. In fact, we need to use  $e^{2\pi i} = 1$ , a god given formula.

Hypothesis tells that  $[\tilde{\omega}]$  is an image of  $[\prod n_{\alpha\beta\gamma}] \in \check{H}^2(X, \mathbb{Z})$ . However, it doesn't mean that  $f_{\alpha\beta}$  are exactly integers, but not too bad, we just need some correction terms, that is

$$\prod \left( \frac{1}{2\pi i} (f_{\beta\gamma} - f_{\alpha\gamma} + f_{\alpha\beta}) \right)_{\alpha\beta\gamma} = \prod n_{\alpha\beta\gamma} + \delta \left( \prod c_{\alpha\beta} \right)$$

where  $\prod (c_{\alpha\beta})$  is real 1-cochain.

So we set  $f'_{\alpha\beta} = f_{\alpha\beta} - 2\pi i c_{\alpha\beta}$ . Then

$$\frac{1}{2\pi i} (f'_{\beta\gamma} - f'_{\alpha\gamma} + f'_{\alpha\beta})_{\alpha\beta\gamma} = 2\pi i n_{\alpha\beta\gamma} \in 2\pi i \mathbb{Z} (U_\alpha \cap U_\beta \cap U_\gamma)$$

Note that  $e^{2\pi i} = 1$ , Then consider  $g_{\alpha\beta} = \exp(-f'_{\alpha\beta})$ , a holomorphic from  $U_\alpha \cap U_\beta$  to  $\mathbb{C}^*$ , it satisfies the cocycle condition

$$g_{\beta\gamma} g_{\alpha\gamma}^{-1} g_{\alpha\beta} = 1$$

so we get a holomorphic line bundle  $L$ .

**Remark 2.5.4.** It's important to keep in mind vector bundles are encoded in their gluing data, and you can regard it as an element in  $\check{H}^1$ . So if we want to get a holomorphic line bundle, we need to determine its transition functions  $g_{\alpha\beta} : U_\alpha \cap U_\beta \rightarrow \mathbb{C}^*$  satisfying the cocycle conditions, that is,  $\prod g_{\alpha\beta} \in C^1(\mathcal{U}, \mathcal{O}_X^*)$  such that  $\delta(\prod g_{\alpha\beta}) = 1$ , i.e.  $[\prod g_{\alpha\beta}] \in \check{H}^1(X, \mathcal{O}_X^*) = \text{Pic}(X)$ . That's what Example 1.7.9 already tells us.

Now we need to give a Hermitian metric on this holomorphic line bundle  $H$ , and calculate its curvature to complete the proof.

Note that

$$(\varphi_\alpha - \varphi_\beta)_{U_\alpha \cap U_\beta} = 2 \operatorname{Re}(f_{\alpha\beta}) = 2 \operatorname{Re}(f_{\alpha\beta})' = -\log |g_{\alpha\beta}|^2$$

then we get a Hermitian metric

$$H_\alpha = -\exp(-\varphi_\alpha), \quad \text{on } U_\alpha$$

Indeed, since  $H_\beta = |g_{\alpha\beta}|^2 H_\alpha = g_{\alpha\beta}^T H_\alpha \overline{g_{\alpha\beta}}$ .

Finally,

$$\frac{i}{2\pi} H_L = \frac{i}{2\pi} \partial \bar{\partial} \varphi_\alpha = \omega$$

This completes the proof.  $\square$

**Remark 2.5.5.** Now if we already have a holomorphic line bundle  $L$ , determined by its transition functions  $g_{\alpha\beta}$ . We can try to reverse what we have done above. That is, take its logarithm, consider its alternating sum and divide it by  $2\pi i$ , then we get an element in  $\check{H}^2(X, \mathbb{R})$ , and that's exact  $-c_1$ , where  $c_1$  is the first Chern class.

However, we can rephrase it as a basical operation in homological algebra, consider the exponential sequence

$$0 \rightarrow \mathbb{Z} \xrightarrow{2\pi i} \mathcal{O}_X \xrightarrow{\exp} \mathcal{O}_X^* \rightarrow 1$$

Taking cohomology we will get a boundary map  $\partial$

$$\mathrm{Pic}(X) \cong \check{H}^1(X, \mathcal{O}_X^*) \xrightarrow{\partial} \check{H}^2(X, \mathbb{Z}) \rightarrow \check{H}^2(X, \mathbb{R})$$

that's just what we have done, so this boundary map sometimes is denoted by  $-c_1$ .

**2.6. Hypersurface and Divisors.** This section we will briefly introduce some definitions and theorems about hypersurfaces and divisors without proofs.

**Definition 2.6.1** (hypersurface).  *$X$  is a complex manifold, a hypersurface of  $X$  is a closed subset  $D \subset X$  such that for all  $x \in D$ , there exists an open subset  $U \subset X$  containing  $x$  and a nonzero holomorphic function  $f : U \rightarrow \mathbb{C}$  such that*

$$D \cap U = \{x \in U \mid f(x) = 0\}$$

**Remark 2.6.2.**  $x \in D$  is called smooth, if we can choose  $f : D \rightarrow \mathbb{C}$  is a submersion. The set of all smooth point is denoted by  $D_{sm}$ ; If  $D_{sm} = D$ , then  $D_{sm} = D$ . And note that we do not assume  $D$  is connected.

**Exercise 2.6.3.** Let  $D \subset X$  be a smooth hypersurface, then there exists an open covering  $\{U_\alpha : f_\alpha \rightarrow \mathbb{C}\}$  where  $f_\alpha$  is holomorphic submersion, such that

$$D \cap U_\alpha = \{x \in U_\alpha \mid f_\alpha(x) = 0\}$$

Then  $g_{\alpha\beta} = f_\alpha/f_\beta : U_\alpha \cap U_\beta \rightarrow \mathbb{C}^*$  is a holomorphic function. Then we get a holomorphic line bundle  $\mathcal{O}_X(D)$  on  $X$ . In particular, if we take  $X = \mathbb{P}^n$ ,  $D = \mathbb{P}^{n-1}$ , then  $\mathcal{O}_X(D) = \mathcal{O}_{\mathbb{P}^n}(1)$ .

In fact, we can drop the assumption of “smoothness” by some technical method.

**Lemma 2.6.4.** *If  $D \subset X$  is a hypersurface, then  $D_{sm} \subset D$  is open and dense.*

**Proposition 2.1.** *If  $D \subset X$  is a hypersurface, then there exists a holomorphic line bundle  $\mathcal{O}_X(D)$  on  $X$  with global section  $\sigma$ , such that  $D = \{x \in X \mid \sigma(x) = 0\}$*

*Proof.* Sketch. Set  $Z = D \setminus D_{sm}$ . Then  $D_{sm} \subset X \setminus Z$  is a smooth hypersurface. Then we get transition functions  $g_{\alpha\beta} : U_\alpha \cap U_\beta \setminus Z \rightarrow \mathbb{C}^*$ , so we get a holomorphic line bundle over  $X \setminus Z$ . Then Lemma 3.5.4 tells us  $Z$  contains no hypersurface of  $X$ , and Hartogs theorem tells us we can extend this holomorphic function  $g_{\alpha\beta}$  to  $U_\alpha \cap U_\beta$ . So we define a holomorphic line bundle.  $\square$

**Definition 2.6.5** (irreducible hypersurface). *A hypersurface  $D \subset X$  is called irreducible, if it can not be written as union of two hypersurface.*

**Definition 2.6.6** (divisor).  *$X$  is a complex manifold, a divisor on  $X$  is a finite formal sum*

$$D = \sum_i a_i D_i$$

where  $a_i \in \mathbb{Z}$  and  $D_i$  is a irreducible hypersurface. And formally we can define

$$\mathcal{O}_X(D) := \bigotimes_i \mathcal{O}_X(D_i)^{\otimes a_i}$$

**Remark 2.6.7.** We have seen that from divisors we can get a holomorphic line bundle. So it's natural to guess all holomorphic line bundle are arised in this form. However, it's false.

**Example 2.6.8.** There exists a complex torus with no hypersurface, but there is non trivial holomorphic line bundle on it.

But

**Theorem 2.6.9.** *If  $X$  is a projective manifold, then for any holomorphic line bundle  $L$ , there exists a divisor  $D$  ( $D = D_1 - D_2$ , and  $D_1, D_2$  are hypersurface in  $X$ ), such that*

$$L \cong \mathcal{O}_X(D)$$

Let  $X$  be a compact complex manifold of dimension  $n$ , and  $D \subset X$  is a smooth hypersurface, we can define

$$D : C^\infty(X, \Omega_{X, \mathbb{R}}^{2n-2}) \rightarrow \mathbb{R}$$

$$\omega \mapsto \int_D \omega|_D$$

and if  $\omega$  is a exact form, then  $\int_D \omega|_D = 0$  by Stokes.

So we get a  $[D] : H^{2n-2}(X, \mathbb{R}) \rightarrow \mathbb{R}$ , then Poincaré duality tells us we get  $[D] \in H^2(X, \mathbb{R})$ .

Surprisingly,

**Theorem 2.6.10** (Lelong-Poincaré).  *$X$  is a compact complex manifold,  $D \subset X$  is a smooth hypersurface, then*

$$[D] = c_1(\mathcal{O}_X(D)) \in H^2(X, \mathbb{R})$$

**Remark 2.6.11.** We can also drop smoothness condition. We need to make sense of  $[D] \in H^2(X, \mathbb{R})$ , i.e. we need to check the following integral make sense:

$$\int_{D_{sm}} \omega|_{D_{sm}}$$

It's not trivial, since  $D_{sm}$  is just an open subset, and integral over an open subset may be quite bad.

## Part 2. Hodge theory

### 3. KÄHLER MANIFOLD

#### 3.1. Definitions and Examples.

**Definition 3.1.1** (Kähler manifold). *Let  $X$  be a complex manifold,  $h$  is a positive Hermitian metric on  $TX$ , and  $\omega$  is the real  $(1,1)$ -form corresponding to  $h$ .  $X$  is called a Kähler manifold, if  $d\omega = 0$ .*

**Remark 3.1.2.** Note that the condition  $d\omega = 0$  is equivalent to  $\partial\omega = 0$ , and is also equivalent to  $\bar{\partial}\omega = 0$ .

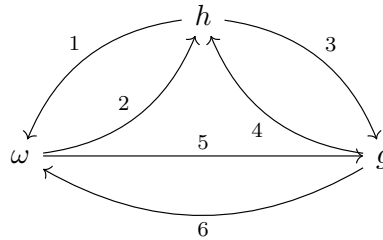
**Remark 3.1.3.** Our definition of Kähler manifold is the complex Hermitian viewpoint. But Kähler manifold in fact is an intersection of three interesting objects: Complex manifold, Symplectic manifold and Riemannian manifold.

Let's see from the symplectic viewpoint: If  $(X, \omega)$  is a symplectic manifold, where  $X$  is a differential manifold and  $\omega$  is a  $d$  closed real non-degenerate symplectic form.  $(X, \omega)$  is called a Kähler manifold, if there exists an integrable almost complex structure  $J$  on  $T_{X, \mathbb{R}}$  such that  $g(u, v) := \omega(u, Jv)$  is positive definite, that is  $g$  is a Riemannian metric.

Also, we can define Kähler manifold from the differential geometry viewpoint. Let  $(X, g)$  be a Riemannian manifold, where  $g$  is a Riemannian metric.  $(X, g)$  is called Kähler if there exists an integrable almost complex structure  $J$  on  $T_{X, \mathbb{R}}$  satisfying  $g(Ju, Jv) = g(u, v)$  and preserved by parallel transport with respect to Levi-Civita connection.

Anyway, the hallmarks of a Kähler manifold are “complex structure”, “positive” and “closed”.

**Remark 3.1.4.** For a Kähler manifold  $X$ , we have  $J, h, \omega, g$  on it. Now we want to elaborate to how do these things intersect with each other. One thing we need to keep in mind all the way is the identification  $T_{X, x} \longleftrightarrow T_{X, \mathbb{R}, x}$ . We draw a diagram as follows



And the explicit correspondence is listed as follows

- 1  $\omega(u, v) = -\operatorname{Im} h(u, v)$
- 2  $h(u, v) = \omega(u, Jv) - i\omega(u, v)$
- 3  $g(u, v) = \operatorname{Re} h(u, v)$
- 4  $h(u, v) = g(u, v) - ig(Ju, v)$

$$5 \quad g(u, v) = \omega(u, Jv)$$

$$6 \quad \omega(u, v) = g(Ju, v)$$

**Example 3.1.5.** Any complex curve<sup>18</sup>  $X$  is Kähler. Since  $d\omega = 0$  automatically holds.

**Example 3.1.6.** If  $X$  admits a positive holomorphic line bundle, then  $X$  is Kähler, since we can take  $\omega$  to be its first Chern class. In particular,  $\mathbb{P}^n$  is Kähler, since  $\mathcal{O}_{\mathbb{P}^n}(1)$  is a positive holomorphic line bundle of it, with respect to Fubini-Study metric.

**Exercise 3.1.7.** Show that a submanifold of a Kähler manifold is still Kähler. In particular, any projective manifold is Kähler.

*Proof.* If  $X$  is a Kähler manifold and  $Y$  is a submanifold,  $h$  is a positive Hermitian metric on  $TX$  such that its corresponding real  $(1, 1)$ -form is  $d$  closed, then consider its restriction on  $Y$  to conclude.  $\square$

**Exercise 3.1.8.** If  $(X, \omega)$  is a Kähler manifold with  $\dim_{\mathbb{C}} X = n$ . Show that  $\frac{\omega^n}{n!}$  is the volume form of  $X$  as a Riemannian manifold with respect to  $g$ . Furthermore, since  $d\omega = 0$ , then  $d(\omega^k) = 0, 0 \leq k \leq n$ , then

$$[\omega^k] \in H^{2k}(X, \mathbb{R})$$

Deduce that if  $X$  is a compact Kähler manifold, then  $[\omega^k] \neq 0$  for all  $0 \leq k \leq n$ . So  $H^{2k}(X, \mathbb{R}) \neq 0$ .

*Proof.* In fact,  $\frac{\omega^n}{n!} = \text{vol}$  holds for any complex manifold with a positive Hermitian metric  $h$ , the Kähler condition does not really come into play, since it's just a problem of linear algebra.

Let's begin by fixing a point  $p \in X$  and a frame for the  $T_p X$ , orthonormal with respect to the Riemannian metric  $g$  defined by  $h$  on  $X$ , call it  $\frac{\partial}{\partial x_1}, \frac{\partial}{\partial y_1}, \dots, \frac{\partial}{\partial x_n}, \frac{\partial}{\partial y_n}$ , and use  $dx_1, dy_1, \dots, dx_n, dy_n$  to denote its dual, and  $\frac{\partial}{\partial z_i} = \frac{1}{2}(\frac{\partial}{\partial x_i} - i\frac{\partial}{\partial y_i})$ ,  $dz_i = dx_i + idy_i$ . By Remark 2.4.2, we have

$$\omega_p = \frac{i}{2} \sum_{j=1}^n dz_j \wedge d\bar{z}_j$$

Since the volume form is just

$$\text{vol}_p = dx_1 \wedge dy_1 \wedge \dots \wedge dx_n \wedge dy_n$$

and compare it with  $\omega^n$ , directly compute

$$\omega_p^n = n! \frac{i^n}{2^n} dz_1 \wedge d\bar{z}_1 \wedge \dots \wedge dz_n \wedge d\bar{z}_n$$

---

<sup>18</sup>In other words, a Riemann surface



we can compute  $n = 2$  to feel what's going on:

$$\begin{aligned}\omega_p^2 &= \left(\frac{i}{2}\right)^2 (dz_1 \wedge d\bar{z}_1 + dz_2 \wedge d\bar{z}_2) \wedge (dz_1 \wedge d\bar{z}_1 + dz_2 \wedge d\bar{z}_2) \\ &= \left(\frac{i}{2}\right)^2 (dz_1 \wedge d\bar{z}_1 \wedge dz_2 \wedge d\bar{z}_2 + dz_2 \wedge d\bar{z}_2 \wedge dz_1 \wedge d\bar{z}_1) \\ &= 2\left(\frac{i}{2}\right)^2 dz_1 \wedge d\bar{z}_1 \wedge dz_2 \wedge d\bar{z}_2\end{aligned}$$

By Exercise 1.5.4 we have

$$dx_1 \wedge dy_1 \wedge dx_2 \wedge dy_2 = \left(\frac{i}{2}\right)^2 dz_1 \wedge d\bar{z}_1 \wedge dz_2 \wedge d\bar{z}_2$$

Thus we get desired result.

Now suppose  $X$  is a compact Kähler manifold, then consider the integral pairing

$$\int_X \omega^k \wedge \omega^{n-k} = \int_X \text{vol} = \text{vol}(X) \neq 0 \implies [\omega^k] \neq 0$$

□

As we can see from the definition of Kähler manifold, all of the requirements are local, but from the above exercise, we can see a surprising thing, that is the cohomology groups with even dimension must be non-trivial, it's a global result.

To some extent, this reflects the philosophy of Hodge theory, that is how does locally good property control global cohomology. Kähler is a locally good property, and the following theorem may cultivate you such an intuition.

**Theorem 3.1.9.**  *$X$  is a Kähler manifold, then locally around  $x \in U \subset X$ , we can choose a holomorphic coordinate  $(\xi_1, \dots, \xi_n)$  such that  $h_{jk} = \delta_{jk} + O(|\xi|^2)$*

*Proof.* With linear change of coordinate, we can assume

$$\omega = \frac{i}{2} \sum_{jk} h_{jk} dz_j \wedge d\bar{z}_k$$

where  $h_{jk} = \delta_{jk} + O(|\xi|)$ , that is

$$h_{jk} = \delta_{jk} + \sum_l (a_{jkl} z_l + a'_{jkl} \bar{z}_l) + O(|\xi|^2)$$

What we need to do is to kill the first order term. Since  $h_{jk}$  is Hermitian, that is  $h_{jk} = \overline{h_{kj}}$ . So we have

$$(3.1) \quad \overline{a_{kjl}} = a'_{jkl}$$

To get above result we only use the fact that  $h_{jk}$  is Hermitian, but we also have that  $\omega$  is also  $\partial$  closed, we compute directly

$$\partial\omega = \frac{i}{2} \sum_{jkl} \frac{\partial h_{jk}}{\partial z_l} dz_l \wedge dz_j \wedge d\bar{z}_k$$

If we want  $\partial\omega = 0$ , we need the coefficients of  $dz_l \wedge dz_j$  and  $dz_j \wedge dz_l$  are equal so that they can cancel with each other, that is

$$\frac{\partial h_{jk}}{\partial z_l} = \frac{\partial h_{lk}}{\partial z_j} \implies a_{jkl} = a_{lkj}$$

Set  $\xi_k = z_k + \frac{1}{2} \sum_{jl} a_{jkl} z_j z_l$ , this is a holomorphic change of coordinate, so  $\xi_1, \dots, \xi_n$  is also a holomorphic coordinate. Then

$$\begin{aligned} d\xi_k &= dz_k + \frac{1}{2} \sum_{jl} a_{jkl} (z_l dz_j + z_j dz_l) \\ &= dz_k + \frac{1}{2} \sum_{jl} (a_{jkl} + a_{lkj}) z_l dz_j \\ &= dz_k + \sum_{jl} a_{jkl} z_l dz_j \end{aligned}$$

So we have

$$\frac{i}{2} \sum_k d\xi_k \wedge d\bar{\xi}_k = \frac{i}{2} \sum_k dz_k \wedge d\bar{z}_k + \frac{i}{2} \sum_{jkl} (\overline{a_{jkl}} \bar{z}_l dz_k \wedge d\bar{z}_j + a_{jkl} z_l dz_j \wedge d\bar{z}_k) + O(|\xi|^2)$$

By (3.1), we have

$$\sum_{jkl} \overline{a_{jkl}} \bar{z}_l dz_k \wedge d\bar{z}_j = \sum_{jkl} \overline{a_{kjl}} \bar{z}_l dz_j \wedge d\bar{z}_k = \sum_{jkl} a'_{jkl} \bar{z}_l dz_j \wedge d\bar{z}_k$$

So we have

$$\begin{aligned} \frac{i}{2} \sum_k d\xi_k \wedge d\bar{\xi}_k &= \frac{i}{2} \sum_{jk} (\delta_{jk} + \sum_l a_{jkl} z_l + a'_{jkl} \bar{z}_l) dz_j \wedge d\bar{z}_k + O(|\xi|^2) \\ &= \omega + O(|\xi|^2) \end{aligned}$$

This completes the proof.  $\square$

**3.2. Differential operators.** Now let's introduce some functionals, since we can do this when we have metric and we do have it on vector bundles.

If  $X$  is an oriented differential manifold, with  $\dim_{\mathbb{R}} X = n$ .  $(E, g_E)$  is an Euclidean real vector bundle, that is<sup>19</sup>

$$\begin{aligned} g_E : C^\infty(X, E) \times C^\infty(X, E) &\rightarrow C^\infty(X) \\ (\alpha, \beta) &\mapsto \{\alpha, \beta\} \end{aligned}$$

a bilinear mapping.

Suppose there exists a Riemannian metric  $g$  on  $X$ , then we have a volume form  $\text{vol}$  with respect to  $g$ . Use such volume form, we can define  $L^2$ -inner product on  $C_c^\infty(X, E)$  as

$$(\alpha, \beta)_{L^2} = \int_X \{\alpha, \beta\} \text{vol}$$

---

<sup>19</sup>Don't confuse  $g_E$  here with pairing  $\{ , \}$  we used before.

For any two Euclidean real vector bundles  $(E, g_E), (F, g_F)$ , and two linear operators

$$\begin{aligned} P &: C_c^\infty(X, E) \rightarrow C_c^\infty(X, F) \\ P^* &: C_c^\infty(X, F) \rightarrow C_c^\infty(X, E) \end{aligned}$$

We say that  $P$  and  $P^*$  are formally adjoints<sup>20</sup> if

$$(P\alpha, \beta)_{L^2} = (\alpha, P^*\beta)_{L^2}, \quad \forall \alpha \in C_c^\infty(X, E), \beta \in C_c^\infty(X, F)$$

We are interested in the case  $E = \Omega_{X, \mathbb{R}}^k$ , and  $P = d$ , that is

$$d : C_c^\infty(X, \Omega_{X, \mathbb{R}}^k) \rightarrow C_c^\infty(X, \Omega_{X, \mathbb{R}}^{k+1})$$

Claim that in this case, its adjoint do exists

$$d^* : C_c^\infty(X, \Omega_{X, \mathbb{R}}^{k+1}) \rightarrow C_c^\infty(X, \Omega_{X, \mathbb{R}}^k)$$

To define this, we need the Hodge star operator. Let's first make it clear in the case of vector space.

**Example 3.2.1.** Let  $V$  be an oriented  $n$ -dimensional Euclidean vector space, with inner product  $\langle \cdot, \cdot \rangle$ , and let  $W = V^*$ . There exists a canonical volume form  $\text{vol} \in \bigwedge^n W \cong \mathbb{R}$ . More explicitly, if  $\{e_1, \dots, e_n\}$  is an orthonormal basis of  $V$ , and  $\{e^1, \dots, e^n\}$  is the dual basis in  $W$ , then canonical volume form is  $e^1 \wedge \dots \wedge e^n$ .

From linear algebra we already know the wedge product

$$\bigwedge^k W \times \bigwedge^{n-k} W \xrightarrow{\wedge} \bigwedge^n W$$

is non-degenerate. So for any  $\beta \in \bigwedge^{n-k} W$ , we can define  $*\beta \in \bigwedge^k W$  such that

$$\alpha \wedge *\beta = \langle \alpha, \beta \rangle \text{vol}, \quad \forall \alpha \in \bigwedge^k W$$

where  $\langle \cdot, \cdot \rangle$  is the inner product induced from  $W$ . To be more explicit, for  $u_1 \wedge \dots \wedge u_k, v_1 \wedge \dots \wedge v_k \in \bigwedge^k W$ , we define their inner product as

$$\langle u_1 \wedge \dots \wedge u_k, v_1 \wedge \dots \wedge v_k \rangle = \det(\langle u_i, v_j \rangle)_{k \times k}$$

Clearly we have  $*1 = \text{vol}$  and  $*\text{vol} = 1$ . Indeed, by definition, take  $1, \alpha \in \bigwedge^0 W \cong \mathbb{R}$ , then

$$\alpha * 1 = \alpha \wedge *1 = \alpha \text{vol} \implies *1 = \text{vol}$$

Similar for  $*\text{vol}$ . Furthermore,

$$*e^i = (-1)^{i-1} e^1 \wedge \dots \wedge \widehat{e^i} \wedge \dots \wedge e^n$$

---

<sup>20</sup>In order to avoid quite hard functional analysis, we use such formal definition, but we will see later, in our interested case, such adjoints really exist.

To show this, we also need to back to definition. For any  $\alpha \in W$ , write it as  $\alpha = \sum_{i=1}^n a_i e^i$ , then

$$\begin{aligned} \alpha \wedge *e^i &= \langle \alpha, e^i \rangle \text{vol} \\ &= \left\langle \sum_{i=1}^n a_i e^i, e^i \right\rangle \text{vol} \\ &= a_i \text{vol} \\ &= a_i e^1 \wedge \cdots \wedge e^n \end{aligned}$$

From this equation, it's easy to see what  $*e^i$  is exactly.

Last but not least,

$$** = *^2 = (-1)^{k(n-k)} \text{id}, \quad \text{on } \bigwedge^k W$$

the proof of it is also a routine, we omit it here.

We can carry what we have done to bundles of differential forms, since differential forms are just covector spaces living on a manifold smoothly.

**Definition 3.2.2** (Hodge star operator). *There exists*

$$*: C^\infty(X, \Omega_{X,\mathbb{R}}^k) \rightarrow C^\infty(X, \Omega_{X,\mathbb{R}}^{n-k})$$

such that

$$\alpha \wedge * \beta = \langle \alpha, \beta \rangle \text{vol}, \quad \forall \alpha \in C^\infty(X, \Omega_{X,\mathbb{C}}^k)$$

**Remark 3.2.3.** In particular, if  $\alpha, \beta \in C_c^\infty(X, \Omega_{X,\mathbb{R}}^k)$ , then

$$(\alpha, \beta)_{L^2} = \int_X \alpha \wedge * \beta$$

**Lemma 3.2.4.**  $d^* = (-1)^{nk+1} * d * : C_c^\infty(X, \Omega_{X,\mathbb{C}}^{k+1}) \rightarrow C_c^\infty(X, \Omega_{X,\mathbb{C}}^k)$

*Proof.* For  $\alpha \in C_c^\infty(X, \Omega_{X,\mathbb{R}}^k)$  and  $\beta \in C_c^\infty(X, \Omega_{X,\mathbb{R}}^{k+1})$ , then

$$\begin{aligned} (d\alpha, \beta)_{L^2} &= \int_X d\alpha \wedge * \beta \\ &= \int_X d(\alpha \wedge * \beta) - (-1)^k \alpha \wedge d * \beta \\ &= (-1)^{k+1} \int_X \alpha \wedge d * \beta \\ &= (-1)^{k+1} (-1)^{k(n-k)} \int_X \alpha \wedge * * d * \beta \\ &= (-1)^{nk+1} (\alpha, * d * \beta)_{L^2} \end{aligned}$$

□

Although we have a formula for  $d^*$ , we can have a more computable formula for  $d^*$  in trivial case.

Recall contraction or interior product: Given a  $\theta \in C^\infty(X, T_{X,\mathbb{R}})$  and  $u \in C^\infty(X, \Omega_{X,\mathbb{R}}^k)$ , we have

$$\iota_\theta u \in C^\infty(X, \Omega_{X,\mathbb{R}}^{k-1})$$

defined by  $\iota_\theta(u)(v_1, \dots, v_{k-1}) = u(\theta, v_1, \dots, v_{k-1})$ . Locally, if  $\frac{\partial}{\partial x_1}, \dots, \frac{\partial}{\partial x_n}$  is a local frame of  $T_{X,\mathbb{R}}$ , then

$$\iota_{\frac{\partial}{\partial x_m}}(dx_{j_1} \wedge \dots \wedge dx_{j_k}) = \begin{cases} 0, & m \notin \{j_1, \dots, j_k\} \\ (-1)^{l-1} dx_{j_1} \wedge \dots \widehat{dx_{j_l}} \wedge \dots \wedge dx_{j_k}, & m = j_l \end{cases}$$

Furthermore,  $\iota_\theta$  satisfies the Leibniz rule.

Let  $U \subset \mathbb{R}^n$  with standard Euclidean metric on  $T_{U,\mathbb{R}}$ , write  $u = \sum_{|J|=k} u_J dx_J$ . Then

$$d^*u = - \sum_{l=1}^n \sum_{|J|=k} \frac{\partial u_J}{\partial x_l} \iota_{\frac{\partial}{\partial x_l}} dx_J$$

Let's check case  $n = 1$  for an example. Take a 1-form  $u = f dx$ , by definition  $d^* = (-1) * d * = - * d *$ . And take any other 1-form  $g dx$

$$g dx \wedge *(f dx) = \langle g dx, f dx \rangle dx = g f dx \implies *(f dx) = f$$

So we compute as follows

$$\begin{aligned} - * d * u &= - * d * (f dx) \\ &= - * df \\ &= - * \left( \frac{df}{dx} dx \right) \\ &= - \frac{df}{dx} \end{aligned}$$

as desired.

Now, let's consider about  $n$  dimensional complex manifold  $X$ , endowed with a Hermitian metric  $h$ , and  $\omega$  is the real  $(1,1)$ -form corresponds to  $h$ . As we have seen in Exercise 3.1.8, we have

$$\text{vol} = \frac{\omega^n}{n!}$$

with respect to  $g$ . Since we have the following decomposition

$$\Omega_{X,\mathbb{C}}^k = \bigoplus_{p+q=k} \Omega_X^{p,q}$$

You can guess that we can descend our Hodge star operator to these  $\Omega_X^{p,q}$ .

**Definition 3.2.5** (Hodge star operator). *Hodge star operator is a  $\mathbb{C}$ -linear operator*

$$* : C^\infty(X, \Omega_{X,\mathbb{C}}^k) \rightarrow C^\infty(X, \Omega_{X,\mathbb{C}}^{2n-k})$$

such that

$$\alpha \wedge * \bar{\beta} = \{\alpha, \beta\} \text{ vol}, \quad \forall \alpha, \beta \in C^\infty(X, \Omega_{X, \mathbb{C}}^k)$$

**Remark 3.2.6.** We should be more careful when dealing with inner product on  $\Omega_{X, \mathbb{C}}^k$ , since it's not quite similar to what we have discussed in Example 3.2.1. Given a Hermitian metric  $h$  on  $X$  and  $\{dz_1, \dots, dz_n\}$  is a unitary coframe with respect to this metric. Then inner product on  $\Omega_{X, \mathbb{C}}^{p,q}$ ,  $p+q=k$  is given by taking the basis  $\{dz_I \wedge d\bar{z}_J\}_{|I|=p, |J|=q}$  to be orthonormal and of length  $\|dz_I \wedge d\bar{z}_J\|^2 = 2^k$ . Recall that  $\|dz_i\|^2 = 2$  on  $\mathbb{C}^n$ .

Let's see how  $*$  acts on  $\Omega_X^{p,q}$ . Consider  $\alpha, \beta \in \Omega_X^{p,q}$ , since we already know  $\text{vol}$  is a  $(n, n)$ -form. In order to get a  $(n, n)$ -form from  $\alpha \wedge * \bar{\beta}$ , we need  $* \bar{\beta}$  is a  $(n-p, n-q)$  form, that is,  $* \beta$  is a  $(n-q, n-p)$ -form. So we will have

$$* : \Omega_X^{p,q} \cong \Omega_X^{n-q, n-p}$$

In other words, we have

$$* : C^\infty(X, \Omega_X^{p,q}) \rightarrow C^\infty(X, \Omega_X^{n-q, n-p})$$

Use Hodge star operator, we can also define the adjoints of  $d, \partial$  and  $\bar{\partial}$ .

$$\begin{aligned} d^* &= - * d * \\ \partial^* &= - * \bar{\partial} * \\ \bar{\partial}^* &= - * \partial * \end{aligned}$$

Similarly we can calculate them in a standard Hermitian metric.

**Example 3.2.7.** Take  $U \subset \mathbb{C}^n$  with standard Hermitian metric. For any  $(p, q)$ -form  $u$ , we have

$$u = \sum_{|J|=p, |K|=q} u_{JK} dz_J \wedge d\bar{z}_K$$

then we have

$$\begin{aligned} \partial^* u &= -2 \sum_{l=1}^n \sum_{|J|=p, |K|=q} \frac{\partial u_{JK}}{\partial \bar{z}_l} \iota_{\frac{\partial}{\partial \bar{z}_l}} dz_J \wedge d\bar{z}_K \\ \bar{\partial}^* u &= -2 \sum_{l=1}^n \sum_{|J|=p, |K|=q} \frac{\partial u_{JK}}{\partial z_l} \iota_{\frac{\partial}{\partial \bar{z}_l}} dz_J \wedge d\bar{z}_K \end{aligned}$$

**Definition 3.2.8** (Laplacians).

$$\begin{aligned} \Delta_d &= dd^* + d^*d \\ \Delta_\partial &= \partial\partial^* + \partial^*\partial \\ \Delta_{\bar{\partial}} &= \bar{\partial}\bar{\partial}^* + \bar{\partial}^*\bar{\partial} \end{aligned}$$

**Example 3.2.9.** Take  $U \subset \mathbb{R}^n$  with standard Euclidean metric. For  $f \in C^\infty(U)$ , we have

$$\begin{aligned}\Delta_d f &= dd^*f + d^*df \\ &= d^*df \\ &= d^*\left(\sum_j \frac{\partial f}{\partial x_j} dx_j\right) \\ &= -\sum_j \frac{\partial^2 f}{\partial x_j^2} \\ &= -\Delta f\end{aligned}$$

That's why we call it Laplacian.

**Definition 3.2.10** (harmonic). *Let  $X$  be an oriented Riemannian manifold or a complex Hermitian manifold. A (compactly supported) form  $\alpha$  is called  $\Delta_\bullet$ -harmonic if  $\Delta_\bullet \alpha = 0$ . Here  $\bullet$  can be  $d$ ,  $\partial$  and  $\bar{\partial}$ .*

**Lemma 3.2.11.**  *$\alpha$  is  $\Delta_d$ -harmonic if and only if  $d\alpha = 0$ ,  $d^*\alpha = 0$ . Same for  $\partial$  and  $\bar{\partial}$ .*

*Proof.* Note that

$$\begin{aligned}(\alpha, \Delta_d \alpha)_{L^2} &= (\alpha, dd^*\alpha)_{L^2} + (\alpha, d^*d\alpha)_{L^2} \\ &= \|d^*\alpha\|^2 + \|d\alpha\|^2\end{aligned}$$

Cases for  $\partial$  and  $\bar{\partial}$  can be proved using the same argument.  $\square$

We're not going to study so much functionals. The reason we introduce above things is that they're necessary for us to know what Hodge theorem is talking about.

The statement of the Hodge theorem is as follows, there are two versions, that is, real version and complex version. But for Hodge, they're the same things, just elliptic differential equations. Let's see real version first.

**Theorem 3.2.12** (Hodge theorem). *Let  $(X, g)$  be a compact oriented Riemannian manifold, with  $\dim_{\mathbb{R}} X = n$ . Let  $\mathcal{H}^k$  denote the space of  $\Delta_d$ -harmonic  $k$ -forms, a subset of  $C^\infty(X, \Omega_{X, \mathbb{R}}^k)$ . Then*

1.  $\mathcal{H}^k$  is finite dimensional.
2. decomposition  $C^\infty(X, \Omega_{X, \mathbb{R}}^k) = \mathcal{H}^k \oplus \Delta_d(C^\infty(X, \Omega_{X, \mathbb{R}}^k))$ . Furthermore, it is orthonormal with respect to  $(\cdot, \cdot)_{L^2}$ .

**Remark 3.2.13.** Although we omit the proof of Hodge theorem here<sup>21</sup>, we can see why  $\mathcal{H}^k$  is orthonormal to  $\Delta_d(C^\infty(X, \Omega_{X, \mathbb{R}}^k))$ .

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<sup>21</sup>In fact, almost every textbook omits it.

Take a harmonic  $k$ -form  $\alpha$  and  $\Delta_d \beta$  where  $\beta$  is also a  $k$ -form. Then

$$\begin{aligned} (\alpha, \Delta_d \beta)_{L^2} &= (\alpha, dd^* \beta)_{L^2} + (\alpha, d^* d \beta)_{L^2} \\ &= (d^* \alpha, d^* \beta)_{L^2} + (d\alpha, d\beta)_{L^2} \\ &= (dd^* \alpha, \beta)_{L^2} + (d^* d\alpha, \beta)_{L^2} \\ &= (\Delta_d \alpha, \beta)_{L^2} \\ &= 0 \end{aligned}$$

From above computation, we see that for any two  $k$ -forms  $\alpha, \beta$ , we have

$$(\alpha, \Delta_d \beta)_{L^2} = (\Delta_d \alpha, \beta)_{L^2}$$

In other words, Laplacian is a self-adjoint operator.

**Corollary 3.2.14.** *More explicitly, we have the following orthonormal decomposition*

$$C^\infty(X, \Omega_{X, \mathbb{R}}^k) = \mathcal{H}^k \oplus d(C^\infty(X, \Omega_{X, \mathbb{R}}^{k-1})) \oplus d^*(C^\infty(X, \Omega_{X, \mathbb{R}}^{k+1}))$$

*Proof.* It suffices to show  $d(C^\infty(X, \Omega_{X, \mathbb{R}}^{k-1}))$  is orthonormal to  $d^*(C^\infty(X, \Omega_{X, \mathbb{R}}^{k+1}))$ , and the proof is quite easy. Take  $d\alpha$  and  $d^*\beta$ , where  $\alpha$  is a  $k-1$ -form and  $\beta$  is a  $k+1$ -form. Then

$$(d\alpha, d^*\beta)_{L^2} = (d^2\alpha, \beta)_{L^2} = 0$$

□

**Corollary 3.2.15.**

$$\begin{aligned} \ker d &= \mathcal{H}^k \oplus d^*(C^\infty(X, \Omega_{X, \mathbb{R}}^{k-1})) \\ \ker d^* &= \mathcal{H}^k \oplus d(C^\infty(X, \Omega_{X, \mathbb{R}}^{k-1})) \end{aligned}$$

*Proof.* Clear from Corollary 3.2.14. □

**Corollary 3.2.16.** *The natural map  $\mathcal{H}^k \rightarrow H^k(X, \mathbb{R})$  is an isomorphism.<sup>22</sup> In other words, every element in  $H^k(X, \mathbb{R})$  is represented by a unique  $\Delta_d$ -harmonic form.*

*Proof.* Clear from Corollary 3.2.15. □

**Corollary 3.2.17.**  *$*$  :  $\mathcal{H}^k \rightarrow \mathcal{H}^{n-k}$  is an isomorphism.*

*Proof.* It suffices to show  $*$  maps harmonic forms to harmonic forms, since we already have  $*$  maps  $k$ -forms to  $k$ -forms By Lemma 3.2.10, we just need to show  $d * \alpha = d^* * \alpha = 0$  for a harmonic form  $\alpha$ . Directly compute as follows

$$\begin{aligned} d * \alpha &= (-1)^{\bullet 1} * d * \alpha = (-1)^{\bullet 2} * d^* \alpha = 0 \\ d^* * \alpha &= (-1)^{\bullet 3} * d * \alpha = (-1)^{\bullet 4} * d\alpha = 0 \end{aligned}$$

Here we use  $\bullet, \bullet'$  to denote the power of  $(-1)$ , since it's not necessary for us to know what exactly it is. □

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<sup>22</sup>Here we use exclamatory mark to show it's a surprising result.



**Remark 3.2.18.** In fact, above corollary follows from the following identity

$$\Delta_d \circ * = * \circ \Delta_d$$

which can be directly<sup>23</sup> checked. In other words, Hodge star commutes with Laplacian  $\Delta_d$ . Here gives a method of computation: From what we have done in the proof, we will see

$$\begin{aligned} *d^*d &= (-1)^{\bullet_2} d * d = (-1)^{\bullet_2 + \bullet_4} dd^* * \\ *dd^* &= (-1)^{\bullet_4} d^* * d^* = (-1)^{\bullet_2 + \bullet_4} d^* d^* \end{aligned}$$

So all we need to do is to figure out the precise number of  $\bullet_2, \bullet_4$  and show that  $\bullet_2 + \bullet_4$  is even.

**Corollary 3.2.19** (Poincaré duality).  $H^k(X, \mathbb{R}) \cong H^{n-k}(X, \mathbb{R})$ .

*Proof.* Clear from Corollary 3.2.16 and Corollary 3.2.17.  $\square$

From above corollaries of Hodge theorem, I think you've convinced yourself that Hodge theorem is really a quite meaningful theorem. Now let's elaborate complex version of it.

**Theorem 3.2.20** (Hodge theorem). *Let  $(X, h)$  be a compact complex Hermitian manifold with  $\dim_{\mathbb{C}} X = n$ . Let  $\mathcal{H}^{p,q}$  be the space of  $\Delta_{\bar{\partial}}$  harmonic forms of type  $(p, q)$ , a subset of  $C^\infty(X, \Omega_X^{p,q})$ . Then*

1.  $\mathcal{H}^{p,q}$  is finite dimensional.
2. decomposition  $C^\infty(X, \Omega_X^{p,q}) = \mathcal{H}^{p,q} \oplus \Delta_{\bar{\partial}}(C^\infty(X, \Omega_X^{p,q}))$ . Furthermore, it is orthonormal with respect to  $(\ , \ )_{L_2}$ .

The story here is the same as the real version.

**Corollary 3.2.21.** *More explicitly, we have the following orthonormal decomposition*

$$C^\infty(X, \Omega_X^{p,q}) = \mathcal{H}^k \oplus \bar{\partial}(C^\infty(X, \Omega_X^{p,q-1})) \oplus \bar{\partial}^*(C^\infty(X, \Omega_X^{p,q+1}))$$

**Corollary 3.2.22.**

$$\begin{aligned} \ker \bar{\partial} &= \mathcal{H}^{p,q} \oplus \bar{\partial}^*(C^\infty(X, \Omega_X^{p,q-1})) \\ \ker \bar{\partial}^* &= \mathcal{H}^{p,q} \oplus \bar{\partial}(C^\infty(X, \Omega_X^{p,q+1})) \end{aligned}$$

**Corollary 3.2.23.** *The natural map  $\mathcal{H}^{p,q} \rightarrow H_{Dol}^{p,q}(X)$  is an isomorphism! In particular,  $H_{Dol}^{p,q}(X)$  is finite dimensional.*

Parallel to what have happened in the real version, we may desire that

$$* : \mathcal{H}^{p,q} \rightarrow \mathcal{H}^{n-q, n-p}$$

is an isomorphism. In order to have such an isomorphism, we may desire the following identity holds:

$$* \circ \Delta_{\bar{\partial}} = \Delta_{\bar{\partial}} \circ *$$

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<sup>23</sup>But not easily

But something bad happens, since we only have  $\bar{\partial}^* = - * \partial *$ , and if we use the same method we will get  $\Delta_{\bar{\partial}} \circ * = * \circ \Delta_{\partial}$ . So it fails generally since  $\Delta_{\bar{\partial}} \neq \Delta_{\partial}$  in general.

There are two ways to fix it. The first way is that we will see later if  $X$  is compact Kähler manifold, then  $\Delta_{\partial} = \Delta_{\bar{\partial}}$ . Then

**Corollary 3.2.24.**  *$(X, \omega)$  is a compact Kähler manifold with dimension  $n$ , then  $*$  :  $\mathcal{H}^{p,q} \rightarrow \mathcal{H}^{n-q,n-p}$  is an isomorphism*

Another way is to fix the operator  $*$ , we define

$$\begin{aligned} \bar{*} : \Omega_X^{p,q} &\rightarrow \Omega_X^{n-p,n-q} \\ \beta &\mapsto *\bar{\beta} \end{aligned}$$

then

$$\bar{*}\Delta_{\bar{\partial}} = \Delta_{\bar{\partial}}\bar{*}$$

**Corollary 3.2.25.**  *$(X, h)$  is a compact Hermitian manifold, then  $\bar{*} : \mathcal{H}^{p,q} \rightarrow \mathcal{H}^{n-p,n-q}$  is an isomorphism.*

**Corollary 3.2.26.**  $H_{Dol}^{p,q}(X) \cong H_{Dol}^{n-p,n-q}(X)$ .

**Remark 3.2.27.** This is a special case of Serre duality.

Untill now, the same things happen simultaneously in the real world and complex world, but they do not intersect with each other, just like parallel universes. But, as we will see soon, the Kähler condition plays a role of a “wormhole”, connecting these two parallel universes.<sup>24</sup>

**3.3. Differential operators on Kähler manifolds.**  $(X, \omega)$  is a Kähler manifold

**Definition 3.3.1.**

$$\begin{aligned} L : C^\infty(X, \Omega_{X,\mathbb{R}}^k) &\rightarrow C^\infty(X, \Omega_{X,\mathbb{R}}^{k+2}) \\ \alpha &\mapsto \omega \wedge \alpha \end{aligned}$$

**Lemma 3.3.2.**  $\Lambda := L^* = (-1)^k * L *$ .

*Proof.* For  $\alpha \in C_c^\infty(X, \Omega_{X,\mathbb{R}}^k), \beta \in C_c^\infty(X, \Omega_{X,\mathbb{R}}^{k+2})$ . Compute

$$\begin{aligned} \{L\alpha, \beta\} \text{ vol} &= L\alpha \wedge *\beta \\ &= \omega \wedge \alpha \wedge *\beta \\ &= \alpha \wedge \omega \wedge *\beta \\ &= \alpha \wedge (-1)^{k(2n-k)} * \omega \wedge *\beta \\ &= \alpha \wedge *((-1)^{k(2n-k)} * L * \beta) \\ &= \{\alpha, (-1)^k * L * \beta\} \text{ vol} \end{aligned}$$

□

---

<sup>24</sup>So romantic.

**Remark 3.3.3.** If  $A, B$  are two differential operators, we define the commutator of  $A, B$  as

$$[A, B] := AB - (-1)^{\deg A \deg B} BA$$

As what we have learnt in Lie algebra, commutator should satisfy Jacobi identity. Here is a similar one:

$$(-1)^{\deg A \deg C} [A, [B, C]] + (-1)^{\deg B \deg A} [B, [C, A]] + (-1)^{\deg C \deg B} [C, [A, B]] = 0$$

In our case, the degree of  $d, d^*, \partial, \partial^*, \bar{\partial}, \bar{\partial}^*$  is one, and the degree of  $L$  and  $\Lambda$  is zero<sup>25</sup>.

Now we have eight differential operators, and Kähler condition implies that there are some relations between them.

**Proposition 3.3.4** (Kähler identities). *If  $(X, \omega)$  is a Kähler manifold, then we have*

$$\begin{aligned} [\bar{\partial}^*, L] &= i\partial \\ [\partial^*, L] &= -i\bar{\partial} \\ [\Lambda, \partial] &= -i\partial^* \\ [\Lambda, \bar{\partial}] &= i\bar{\partial}^* \end{aligned}$$

**Example 3.3.5.** Let  $U \subset \mathbb{C}^n$  be an open subset with standard Hermitian metric, then  $\omega = \frac{i}{2} \sum dz_j \wedge d\bar{z}_j$ . For any compactly supported  $(p, q)$ -form  $u = \sum_{J,K} u_{JK} dz_J \wedge d\bar{z}_K$ .

By Example 3.2.7, we have

$$\begin{aligned} \bar{\partial}^* u &= -2 \sum_l \sum_{J,K} \frac{\partial u_{JK}}{\partial z_l} \iota_{\frac{\partial}{\partial \bar{z}_l}} dz_J \wedge d\bar{z}_K \\ &= -2 \sum_l \iota_{\frac{\partial}{\partial \bar{z}_l}} \frac{\partial u}{\partial z_l} \end{aligned}$$

So we have

$$\begin{aligned} [\bar{\partial}^*, L] u &= \bar{\partial}^* (\omega \wedge u) - \omega \wedge \bar{\partial}^* u \\ &= -2 \sum_l \iota_{\frac{\partial}{\partial \bar{z}_l}} \frac{\partial}{\partial z_l} (\omega \wedge u) + \omega \wedge 2 \sum_l \iota_{\frac{\partial}{\partial \bar{z}_l}} \frac{\partial u}{\partial z_l} \end{aligned}$$

Since  $\omega$  is a closed  $(1, 1)$ -form, then

$$\frac{\partial}{\partial z_l} (\omega \wedge u) = \omega \wedge \frac{\partial u}{\partial z_l}$$

---

<sup>25</sup>You can try to understand this thing in a following way: operators  $d, d^*, \partial, \partial^*, \bar{\partial}, \bar{\partial}^*$  do take differentials, but  $L$  and  $\Lambda$  not.

So we have

$$\begin{aligned}\iota_{\frac{\partial}{\partial \bar{z}_l}} \frac{\partial}{\partial z_l} (\omega \wedge u) &= \iota_{\frac{\partial}{\partial \bar{z}_l}} (\omega \wedge \frac{\partial u}{\partial z_l}) \\ &= (\iota_{\frac{\partial}{\partial \bar{z}_l}} \omega) \wedge \frac{\partial u}{\partial z_l} + \omega \wedge \iota_{\frac{\partial}{\partial \bar{z}_l}} \frac{\partial u}{\partial z_l}\end{aligned}$$

Then

$$\begin{aligned}[\bar{\partial}^*, L]u &= -2 \sum_l (\iota_{\frac{\partial}{\partial \bar{z}_l}} \omega) \wedge \frac{\partial u}{\partial z_l} \\ &= i \sum_l dz_l \wedge \frac{\partial u}{\partial z_l} \\ &= i \sum_l \sum_{J,K} \frac{\partial u_{JK}}{\partial z_l} dz_l \wedge dz_J \wedge d\bar{z}_K \\ &= i \partial u\end{aligned}$$

*Proof.* By conjugating and taking adjoints, it suffices to prove the first identity, that is a first order identity of differential equation

$$[\bar{\partial}^*, L] = i\partial$$

But by Theorem 3.1.9, locally we have  $h_{jk} = \delta_{jk} + O(|\xi^2|)$ . Thus Kähler identity holds from the  $U \subset \mathbb{C}^n$  case.  $\square$

**Theorem 3.3.6.**  *$(X, \omega)$  is a Kähler manifold. Then*

$$\Delta_d = 2\Delta_\partial = 2\Delta_{\bar{\partial}}$$

*In particular,  $\Delta_d$ -harmonic is equivalent to  $\Delta_\partial$ -harmonic and is equivalent to  $\Delta_{\bar{\partial}}$ -harmonic.*

*Proof.* Directly compute

$$\Delta_d = (\partial + \bar{\partial})(\partial^* + \bar{\partial}^*) + (\partial^* + \bar{\partial}^*)(\partial + \bar{\partial})$$

Use the forth Kähler identity, we first compute the first term

$$\begin{aligned}(\partial + \bar{\partial})(\partial^* + \bar{\partial}^*) &= (\partial + \bar{\partial})(\partial^* - i\Lambda\partial + i\partial\Lambda) \\ &= \partial\partial^* - i\partial\Lambda\partial + \bar{\partial}\partial^* - i\bar{\partial}\Lambda\partial + i\bar{\partial}\partial\Lambda\end{aligned}$$

And the second term

$$\begin{aligned}(\partial^* + \bar{\partial}^*)(\partial + \bar{\partial}) &= (\partial^* - i\Lambda\partial + i\partial\Lambda)(\partial + \bar{\partial}) \\ &= \partial^*\partial + i\partial\Lambda\partial + \partial^*\bar{\partial} - i\Lambda\partial\bar{\partial} + i\partial\Lambda\bar{\partial}\end{aligned}$$

Use the third Kähler identity, we have

$$\partial^* = i[\Lambda, \bar{\partial}] = i\Lambda\bar{\partial} - i\bar{\partial}\Lambda$$

then

$$\begin{aligned}\bar{\partial}\partial^* &= \bar{\partial}(i\Lambda\bar{\partial} - i\bar{\partial}\Lambda) = i\bar{\partial}\Lambda\bar{\partial} \\ \partial^*\bar{\partial} &= (i\Lambda\bar{\partial} - i\bar{\partial}\Lambda)\bar{\partial} = -i\bar{\partial}\Lambda\bar{\partial} \\ &= -\bar{\partial}\partial^*\end{aligned}$$

Now we have

$$\begin{aligned}\Delta_d &= \Delta_\partial - i\bar{\partial}\Lambda\partial - i\Lambda\partial\bar{\partial} + i\bar{\partial}\partial\Lambda + i\partial\Lambda\bar{\partial} \\ &= \Delta_\partial + i(\Lambda\bar{\partial}\partial - \bar{\partial}\Lambda\partial) + i(\partial\Lambda\bar{\partial} - \bar{\partial}\partial\Lambda) \\ &= \Delta_\partial + i[\Lambda, \bar{\partial}]\partial + i\partial[\Lambda, \bar{\partial}] \\ &= \Delta_\partial + \partial^*\partial + \partial\partial^* \\ &= 2\Delta_\partial\end{aligned}$$

□

**Exercise 3.3.7.** Show that for Kähler manifold we have

$$\begin{aligned}[\Delta_d, L] &= 0 \\ [L, \Lambda] &= (k - n) \text{id} \quad \text{on } C^\infty(X, \Omega_{X, \mathbb{C}}^k)\end{aligned}$$

*Proof.* For the first one, we have  $\Delta_d = 2\Delta_\partial = 2(\partial\partial^* + \partial^*\partial)$ . Thus

$$[\Delta_d, L] = 2([\partial\partial^*, L] + [\partial^*\partial, L]) = 2(\partial[\partial^*, L] + [\partial^*, L]\partial)$$

The last equality holds by the fact that  $L$  commutes with  $\partial$ , since  $\omega$  is closed. Now we use the identity  $[\partial^*, L] = -i\bar{\partial}$ , which anticommutes with  $\partial$ . Thus we have the desired result.

For the second one, since we are considering operators of order zero, thus WLOG we will assume that the metric is the standard flat metric. Recall that  $L$  is the exterior product with  $\omega = \frac{i}{2} \sum_i dz_i \wedge d\bar{z}_i$ . Let  $A_j$  be the operator given by the exterior product with  $\frac{i}{2} dz_j \wedge d\bar{z}_j$

$$[L, \Lambda]\alpha =$$

□

**Corollary 3.3.8.**  $(X, \omega)$  is a Kähler manifold, and  $\alpha$  is a  $(p, q)$ -form, then  $\Delta_d\alpha$  is still a  $(p, q)$ -form.

*Proof.*  $\Delta_\partial\alpha$  is still a  $(p, q)$ -form is a clear fact. □

**Theorem 3.3.9.**  $(X, \omega)$  is a Kähler manifold,  $\alpha = \sum_{p+q=k} \alpha^{p,q}$ . Then  $\alpha$  is harmonic if and only if  $\alpha^{p,q}$  is harmonic. That is

$$\mathcal{H}^k \otimes_{\mathbb{R}} \mathbb{C} = \bigoplus_{p+q=k} \mathcal{H}^{p,q}$$

with  $\overline{\mathcal{H}^{p,q}} = \mathcal{H}^{q,p}$ .

**Theorem 3.3.10** (Hodge decomposition).  *$(X, \omega)$  is a compact Kähler manifold. Then*

$$H^k(X, \mathbb{C}) \cong \bigoplus_{p+q=k} H^{p,q}(X)$$

with  $\overline{H^{p,q}(X)} = H^{q,p}(X)$ .

**Corollary 3.3.11.**  *$(X, \omega)$  is a compact Kähler manifold. Set  $b_k = \dim H^k(X, \mathbb{C})$  and  $h^{p,q} = \dim H^{p,q}(X)$ . Then*

$$b_k = \sum_{p+q=k} h^{p,q}$$

with  $h^{p,q} = h^{q,p}$ .

**Corollary 3.3.12.**  *$b_k$  is even when  $k$  is odd.*

**Corollary 3.3.13.**  *$b_k \neq 0$  when  $k$  is even.*

*Proof.*  $h^{k,k} \neq 0$ , since  $0 \neq \omega^k \in H^{k,k}(X)$ . □

There are many relations between  $h^{p,q}$ , and we can draw a picture as follows, called Hodge diamond, since it has the same symmetry as a diamond.

$$\begin{array}{ccccccc}
 & & & h^{0,0} & & & b_0 \\
 & & & & h^{1,0} & & h^{0,1} & & b_1 \\
 & & h^{2,0} & & h^{1,1} & & h^{0,2} & & b_2 \\
 & & \ddots & & \vdots & & \ddots & & \vdots \\
 \text{Hodge} \updownarrow & h^{n,0} & \dots & \text{Serre} & \dots & h^{0,n} & & b_n \\
 & & \ddots & & \vdots & & \ddots & & \vdots \\
 & & h^{n,n-2} & & h^{n-1,n-1} & & h^{n-2,n} & & b_{2n-2} \\
 & & & h^{n,n-1} & & h^{n-1,n} & & & b_{2n-1} \\
 & & & & h^{n,n} & & & & b_{2n} \\
 & & & & \longleftrightarrow & & & & \text{conjugation}
 \end{array}$$

**Example 3.3.14.**

$$H^{p,q}(\mathbb{P}^n) = \begin{cases} \mathbb{C}, & 0 \leq p = q \leq n \\ 0, & \text{otherwise} \end{cases}$$

*Proof.* It's known to all that the singular cohomology of  $\mathbb{R}^n$  with complex coefficient are

$$H^k(\mathbb{P}^n, \mathbb{C}) = \begin{cases} \mathbb{C}, & k \text{ is even} \\ 0, & k \text{ is odd} \end{cases}$$

And it's clear to compute Dolbeault cohomology of  $\mathbb{P}^n$  using the symmetry of Hodge diamond. □

**3.4. Bott-Chern cohomology.** Review what we have done: We have already proven one of the main theorems in this course, that is, Hodge decomposition. But along the way we used the Kähler metric on a Kähler manifold, so our decomposition may depend on it. A question is that: (In)dependence of the Kähler metric? The answer is yes, shown by Bott-Chern cohomology.

**Definition 3.4.1** (Bott-Chern cohomology).  *$X$  is a complex manifold, we define*

$$H_{BC}^{p,q}(X) := \frac{Z_{BC}^{p,q} := \{\alpha \in C^\infty(X, \Omega^{p,q}) \mid d\alpha = 0\}}{\partial\bar{\partial}C^\infty(X, \Omega_X^{p-1, q-1})}$$

**Remark 3.4.2.** There is a natural map

$$Z_{BC}^{p,q}(X) \rightarrow H^{p+q}(X, \mathbb{C})$$

and since  $\partial\bar{\partial}\beta = d\bar{\partial}\beta$ , then it descends to

$$H_{BC}^{p,q}(X) \rightarrow H^{p+q}(X, \mathbb{C})$$

On the other hand, we also have a natural map

$$Z_{BC}^{p,q}(X) \rightarrow H^{p,q}(X)$$

and since  $\partial\bar{\partial}\beta = -\bar{\partial}\partial\beta$ , then it also descends to

$$H_{BC}^{p,q}(X) \rightarrow H^{p,q}(X)$$

In our definition about Bott-Chern cohomology, there's nothing relative to the choice of metrics. So if we can prove there is an isomorphism between

$$H_{BC}^{p,q}(X) \cong H^{p,q}(X), \quad \bigoplus_{p+q=k} H_{BC}^{p,q}(X) \cong H^k(X, \mathbb{C})$$

we can say our Hodge decomposition is canonical.

**Lemma 3.4.3** ( $\partial\bar{\partial}$ -lemma).  *$(X, \omega)$  is a compact Kähler manifold,  $\alpha$  is a  $d$ -closed  $(p, q)$ -form, i.e.  $\alpha \in Z_{BC}^{p,q}(X)$ . If  $\alpha$  is  $\bar{\partial}$ -exact or  $\partial$ -exact, then there exists a  $(p-1, q-1)$ -form such that*

$$\alpha = \partial\bar{\partial}\beta$$

*Proof.* We have  $d\alpha = \partial\alpha = \bar{\partial}\alpha = 0$ , and  $\alpha = \bar{\partial}\gamma$ . Hodge's theorem implies that we can write  $\gamma$  as

$$\gamma = a + \partial b + \partial^* c$$

where  $a$  is  $\Delta_\partial$ -harmonic. Directly compute

$$\begin{aligned} \alpha = \bar{\partial}\gamma &= \bar{\partial}a + \bar{\partial}\partial b + \bar{\partial}\partial^* c \\ &= -\partial\bar{\partial}b + \bar{\partial}\partial^* c \\ &= -\partial\bar{\partial}b - \partial^*\bar{\partial}c \end{aligned}$$

What we need to do is to show  $-\partial^*\bar{\partial}c = 0$ . A trick here is to note that

$$0 = \partial\alpha = -\partial\partial^*\bar{\partial}c \implies \partial^*\bar{\partial}c \in \ker(\partial) \cap \text{im}(\partial^*) \implies \partial^*\bar{\partial}c = 0$$

So we have

$$\alpha = \partial\bar{\partial}(-b)$$

as desired.  $\square$

**Corollary 3.4.4.**  $H_{BC}^{p,q}(X) \rightarrow H^{p,q}(X)$  is an isomorphism, and  $\bigoplus_{p+q=k} H_{BC}^{p,q}(X) \rightarrow H^k(X, \mathbb{C})$  is an isomorphism.

*Proof.* Here we only prove the first isomorphism. From Remark 3.4.2 we have a canonical map  $H_{BC}^{p,q}(X) \rightarrow H^{p,q}(X)$ , and if we choose a metric, we have  $H^{p,q}(X) \cong \mathcal{H}^{p,q}$ , we will show our canonical map is both surjective and injective using this chosen metric.

Surjectivity. For  $H^{p,q}(X)$  we choose a  $\Delta_{\bar{\partial}}$ -harmonic representative. Since  $\Delta_{\partial}$ -harmonic is equivalent to  $\Delta_d$ -harmonic, so this representative is also  $d$ -closed.

Injectivity. Suppose we have  $\gamma \in H_{BC}^{p,q}(X)$ , represented by  $[\alpha]$ , where  $\alpha \in Z_{BC}^{p,q}(X)$  such that  $\bar{\partial}\alpha = 0$ , that is  $\bar{\partial}$ -exact. By  $\partial\bar{\partial}$ -lemma we have that  $\alpha \in \partial\bar{\partial}C^\infty(X, \Omega_X^{p-1, q-1})$ , that is  $0 = \gamma \in H_{BC}^{p,q}(X)$ .  $\square$

**3.5. Lefschetz decomposition.** Let  $(X, \omega)$  be a  $n$  dimensional Kähler manifold, then

$$\begin{aligned} L : \Omega_{X, \mathbb{R}}^k &\rightarrow \Omega_{X, \mathbb{R}}^{k+2} \\ \alpha &\mapsto \omega \wedge \alpha \end{aligned}$$

**Proposition 3.5.1.**  $L^{n-k} : \Omega_{X, \mathbb{R}}^k \rightarrow \Omega_{X, \mathbb{R}}^{2n-k}$  is an isomorphism for  $k \leq n$ .

*Proof.* Since  $\Omega_{X, \mathbb{R}}^k$  has the same rank with  $\Omega_{X, \mathbb{R}}^{2n-k}$ , it suffices to show  $L^{n-k}$  is injective, and it suffices to show that it's injective in each fiber. Recall that  $L^* = \Lambda = (-1)^k * L^* : \Omega_{X, \mathbb{R}}^k \rightarrow \Omega_{X, \mathbb{R}}^{k-2}$ , and

$$[L, \Lambda]\alpha = (n-k)\alpha, \quad \forall \alpha \in C^\infty(X, \Omega_{X, \mathbb{R}}^k)$$

then

$$\begin{aligned} [L^r, \Lambda] &= L^r \Lambda - \Lambda L^r \\ &= L(L^{r-1} \Lambda - \Lambda L^{r-1}) + L \Lambda L^{r-1} - \Lambda L L^{r-1} \\ &= L[L^{r-1}, \Lambda] + [L, \Lambda] L^{r-1} \end{aligned}$$

So we can prove the following identity by induction on  $r$ :

$$[L^r, \Lambda]\alpha = (r(k-n) + r(r-1))L^{r-1}\alpha, \quad \forall \alpha \in C^\infty(X, \Omega_{X, \mathbb{R}}^k)$$

Suppose  $L^r \alpha = 0, r \leq n-k$ , then

$$\begin{aligned} L^r \Lambda \alpha &= [L^r, \Lambda]\alpha \\ &= (r(k-n) + r(r-1))L^{r-1}\alpha \end{aligned}$$

So we have

$$L^{r-1}(L \Lambda \alpha - (r(k-n) + r(r-1))\alpha) = 0$$



In other words, from  $\alpha \in \ker L^r$ , we get something in  $\ker L^{r-1}$ . Clearly  $L$  is injective, so we have the following identity by induction on  $r$ :

$$L\Lambda\alpha = (r(k-n) + r(r-1))\alpha$$

Let  $\beta = \Lambda\alpha$ , and apply  $L^r$  to both side we have

$$L^{r+1}\beta = (r(k-n) + r(r-1))L^r\alpha = 0$$

where  $\beta \in C^\infty(X, \Omega_{X,\mathbb{R}}^{k-2})$ . By induction on  $k$ , we have  $\beta = 0$ , so we have  $\alpha = 0$ .  $\square$

**Remark 3.5.2.** From above proof, we can see all  $L^r, r \leq n-k$  are injective.

**Definition 3.5.3** (primitive form).  $(X, \omega)$  is a Kähler manifold, with dimension  $n \geq k$ .  $\alpha \in C^\infty(X, \Omega_{X,\mathbb{R}}^k)$  is called primitive if  $L^{n-k+1}\alpha = 0$ .

**Exercise 3.5.4.**  $\alpha \in C^\infty(X, \Omega_{X,\mathbb{R}}^k), k \leq n$  is primitive if and only if  $\Lambda\alpha = 0$ .

*Proof.* Let's first see what will happen for a primitive  $n$ -form  $\alpha$ .  $\alpha$  is primitive if and only if  $L\alpha = 0$ . Recall that Exercise 3.3.7 implies that

$$[L, \Lambda] = (k-n)\text{id}, \quad \text{on } C^\infty(X, \Omega_{X,\mathbb{C}}^k)$$

So if  $k = n$ , then  $L$  and  $\Lambda$  commutes, so we have  $\alpha$  is primitive if and only if  $\Lambda\alpha = 0$ , since

$$\Lambda\alpha = 0 \iff L\Lambda\alpha = 0 \iff \Lambda L\alpha = 0 \iff L\alpha = 0$$

and the first and last equality we use the fact that  $L$  is injective on  $\Omega_{X,\mathbb{R}}^k, k \leq n$  and  $\Lambda$  is injective on  $\Omega_{X,\mathbb{R}}^{n+2}$ .

More generally, we have

$$[L^r, \Lambda]\alpha = (r(k-n) + r(r-1))L^{r-1}\alpha$$

and in particular for  $r = n-k+1$  where  $k$  is the degree of  $\alpha$ , we have

$$[L^r, \Lambda]\alpha = 0$$

The argument can be repeated as above to conclude.  $\square$

**Proposition 3.5.5.** For all  $\alpha \in C^\infty(X, \Omega_{X,\mathbb{R}}^k), k \leq n$ . Then there exists a unique decomposition

$$\alpha = \sum_r L^r \alpha_r$$

with  $\alpha_r \in C^\infty(X, \Omega_{X,\mathbb{R}}^{k-2r})$  is primitive.

*Proof.* Uniqueness. Suppose  $\sum_r L^r \alpha_r = 0$  with primitive  $\alpha_r$ . We want to show  $\alpha_r = 0$ . If not, then take the largest  $r_m$  such that  $\alpha_{r_m} \neq 0$ . By our choice,  $L^{n-k+r_m}$  kills everything in  $\sum_r L^r \alpha_r$  but  $L^{r_m} \alpha_{r_m}$ , so

$$0 = L^{n-k+r_m} \left( \sum_r L^r \alpha_r \right) = L^{n-k+r_m} (L^{r_m} \alpha_{r_m}) \neq 0$$

A contradiction.

Existence. For  $L^{n-k+1}\alpha \in C^\infty(X, \Omega_{X,\mathbb{R}}^{2n-k+2})$ , then there exists  $\beta \in C^\infty(X, \Omega_{X,\mathbb{R}}^{k-2})$  such that

$$L^{n-k+1}\alpha = L^{n-k+2}\beta \implies L^{n-k+1}(\alpha - L\beta) = 0$$

So  $\alpha - L\beta$  is primitive, that is

$$\alpha = (\alpha - L\beta) + L\beta$$

By induction on  $k$ , we have primitive decomposition for  $\beta \in C^\infty(X, \Omega_{X,\mathbb{R}}^{k-2})$ . This completes the proof.  $\square$

**Remark 3.5.6.** Set  $H = [L, \Lambda]$ , we have proven that  $(L, H, \Lambda)$  generates an  $\mathfrak{sl}_2$ -action on  $\bigoplus_k \Omega_{X,\mathbb{R}}^k$ , hence on  $\bigoplus_k C^\infty(X, \Omega_{X,\mathbb{R}}^k)$ .

In cohomology, we can define the following map

$$\begin{aligned} L : H^k(X, \mathbb{R}) &\rightarrow H^{k+2}(X, \mathbb{R}) \\ [\alpha] &\mapsto [\omega \wedge \alpha] \end{aligned}$$

Clearly, it's well-defined, it suffices to check that  $L$  maps closed forms to closed forms and exact forms to exact forms. If  $\alpha$  is closed, then

$$d(\omega \wedge \alpha) = d\omega \wedge \alpha + \omega \wedge d\alpha = 0$$

since  $\omega$  is closed. And if  $\alpha = d\beta$ , then

$$\omega \wedge d\beta = d\omega \wedge \beta + \omega \wedge d\beta = d(\omega \wedge \beta)$$

So, as you can see,  $L$  is well-defined mainly relies on the fact that  $\omega$  is closed.

**Theorem 3.5.7** (Hard Lefschetz theorem<sup>26</sup>).  *$(X, \omega)$  is a compact Kähler manifold with dimension  $n$ , then*

$$L^{n-k} : H^k(X, \mathbb{R}) \rightarrow H^{2n-k}(X, \mathbb{R})$$

*is an isomorphism for  $k \leq n$ .*

*Proof.* Use  $[\Delta_d, L] = 0$ , we have

$$L^{n-k} : \mathcal{H}^k \rightarrow \mathcal{H}^{2n-k}$$

By the fact that  $L^{n-k}$  is injective and  $\mathcal{H}^k, \mathcal{H}^{2n-k}$  have the same dimension, we obtain the desired result.  $\square$

**Definition 3.5.8** (primitive form).  *$(X, \omega)$  is a compact Kähler manifold, with dimension  $n$ . For  $[\alpha] \in H^k(X, \mathbb{R})$ , we call it primitive, if  $L^{n-k+1}[\alpha] = 0$ . We use  $H^k(X, \mathbb{R})_{\text{prim}}$  to denote the set of all primitive forms.*

---

<sup>26</sup>Though proof of this theorem is quite easy using tools we have, but it's quite hard for Lefschetz, since during his time, there is no Hodge! And we use  $L$  to denote this operator, in order to honor Lefschetz.

**Corollary 3.5.9** (Lefschetz decomposition). *For all  $[\alpha] \in H^k(X, \mathbb{R})$ ,  $k \leq n$ , we have following unique decomposition*

$$[\alpha] = \sum_r L^r [\alpha_r]$$

where  $[\alpha_r] \in H^{k-2r}(X, \mathbb{R})_{\text{prim}}$ . In other words

$$H^k(X, \mathbb{R}) = \bigoplus_r L^r H^{k-2r}(X, \mathbb{R})_{\text{prim}}$$

**Remark 3.5.10.** If  $[\omega] \in H^2(X, \mathbb{Z})$ , such as  $\omega$  comes from a positive holomorphic line bundle. Then we can state theorem and corollary for  $H^k(X, \mathbb{Q})$ .

Moreover, we have the following isomorphism

$$L^{n-k} : H^{p,q}(X) \rightarrow H^{n-q,n-p}(X)$$

for  $k = p + q \leq n$ .

**Corollary 3.5.11.** *For a compact Kähler manifold  $(X, \omega)$  with dimension  $n$ . Then  $b_{k-2} \leq b_k$  and  $h^{p-1,q-1} \leq h^{p,q}$  for  $k = p + q \leq n$ .*

**3.6. Hodge index.** Firstly we show the baby case: surfaces

**Example 3.6.1.** For open subset  $U \subset \mathbb{C}^2$ , we have

$$\omega = \frac{i}{2}(dz_1 \wedge d\bar{z}_1 + dz_2 \wedge d\bar{z}_2)$$

Then we have volume form

$$\text{vol} = \frac{\omega^2}{2!} = -\frac{1}{4}(dz_1 \wedge d\bar{z}_1 \wedge dz_2 \wedge d\bar{z}_2)$$

If we take a 2-form  $\alpha$  of type  $(2, 0)$ , then

$$\alpha = adz_1 \wedge dz_2$$

then its Hodge star  $*\bar{\alpha}$  is also a  $(2, 0)$ -form, we assume  $*\bar{\alpha} = b dz_1 \wedge dz_2$ . If we want to determine  $b$ , just take an arbitrary  $(0, 2)$ -form and compute. For convenience let's take  $\beta = d\bar{z}_1 \wedge d\bar{z}_2$ , then

$$\beta \wedge *\bar{\alpha} = -b dz_1 \wedge d\bar{z}_1 \wedge dz_2 \wedge d\bar{z}_2$$

$$\{\beta, \alpha\} \text{vol} = \{adz_1 \wedge dz_2, d\bar{z}_1 \wedge d\bar{z}_2\} \times \frac{-1}{4} dz_1 \wedge d\bar{z}_1 \wedge dz_2 \wedge d\bar{z}_2$$

and by definition of inner product on  $\Omega_{X, \mathbb{C}}^{2,0}$ , we have

$$\{adz_1 \wedge dz_2, d\bar{z}_1 \wedge d\bar{z}_2\} = 2^2 a = 4a$$

So we have  $a = b$ , that is,  $\alpha = *\bar{\alpha}$ . Directly compute we have

$$L\alpha = \omega \wedge \alpha = 0$$

which means a 2-form  $\alpha$  of type  $(2, 0)$  is always primitive. And computations for  $(0, 2)$ -form is similar, we also have  $*\bar{\alpha} = \alpha$  for a  $(0, 2)$ -form.

Take a 2-form  $\alpha$ , say of type  $(1, 1)$ , that is

$$\alpha = a_{11} dz_1 \wedge d\bar{z}_1 + a_{22} dz_2 \wedge d\bar{z}_2 + a_{12} dz_1 \wedge d\bar{z}_2 + a_{21} dz_2 \wedge d\bar{z}_1$$

We can also compute its Hodge star, but it's a little bit complicated, so we just list the result as follows

$$*\bar{\alpha} = a_{22}dz_1 \wedge d\bar{z}_1 + a_{11}dz_2 \wedge d\bar{z}_2 - a_{12}dz_1 \wedge d\bar{z}_2 - a_{21}dz_2 \wedge d\bar{z}_1$$

Directly compute we have

$$L\alpha = \omega \wedge \alpha = \frac{i}{2}(a_{11} + a_{22})dz_1 \wedge d\bar{z}_1 \wedge dz_2 \wedge d\bar{z}_2$$

So

$$L\alpha = 0 \iff a_{11} + a_{22} = 0 \iff *\bar{\alpha} = -\alpha$$

**Lemma 3.6.2.**  *$(X, \omega)$  is a Kähler surface,  $\alpha \in C^\infty(X, \Omega_X^{p,q})$  is primitive 2-form, then*

$$*\bar{\alpha} = (-1)^p \alpha$$

*Proof.* It suffices to do  $U \subset \mathbb{C}^2$ , and that's what we have done in Example 3.6.1.  $\square$

Now let's see the case that  $X$  is a compact Kähler surface. Poincaré duality implies that we have the following non-degenerate pairing

$$Q : H^2(X, \mathbb{R}) \times H^2(X, \mathbb{R}) \rightarrow \mathbb{R}$$

$$([\alpha], [\beta]) \mapsto \int_X \alpha \wedge \beta$$

Then we get a Hermitian form by defining

$$H([\alpha], [\beta]) = Q([\alpha], \overline{[\beta]})$$

**Lemma 3.6.3.** *Lefschetz decomposition for  $H^2(X, \mathbb{R}) = H^2(X, \mathbb{R})_{\text{prim}} \oplus \mathbb{R} \cdot [\omega]$  is orthonormal with respect to  $Q$ . If we use complex coefficient, then with respect to  $H$ .*

*Proof.*

$$Q([\omega], [\alpha]) = \int_X \omega \wedge \alpha = \int_X L\alpha = 0$$

for  $\alpha$  is primitive and harmonic.  $\square$

**Theorem 3.6.4.**  *$H^2(X, \mathbb{C})_{\text{prim}} = \bigoplus_{p+q=2} H^{p,q}(X)_{\text{prim}}$  is orthonormal with respect to  $H$ . Furthermore,  $(-1)^p H$  is positive definite on  $H^{p,q}(X)_{\text{prim}}$ .*

*Proof.* Orthonormality is almost trivial, since only integrate  $(2, 2)$ -form we can get a non-zero result. Take a harmonic form  $\alpha$  such that  $[\alpha] \in H^{p,q}(X)_{\text{prim}} \setminus \{0\}$ ,  $p+q=2$ , then

$$\begin{aligned} (-1)^p H([\alpha], [\alpha]) &= (-1)^p \int_X \alpha \wedge \bar{\alpha} \\ &= (-1)^{p+q} \int_X \alpha \wedge *\bar{\alpha} \\ &= \|\alpha\|^2 > 0 \end{aligned}$$

So  $(-1)^p H$  is positive definite on  $H^{p,q}(X)_{\text{prim}}$ .  $\square$

**Corollary 3.6.5** (Hodge index theorem: surface case).  $H$  on  $H^2(X, \mathbb{C}) \cap H^{1,1}(X)$  is of index  $(1, h^{1,1} - 1)$ .

*Proof.* Note that for  $H^2(X, \mathbb{C}) \cap H^{1,1}(X)$  we have the following decomposition

$$H^2(X, \mathbb{C}) \cap H^{1,1}(X) = H^{1,1}(X)_{\text{prim}} \oplus \mathbb{C}[\omega]$$

And  $H$  is negative definite on  $H^{1,1}(X)_{\text{prim}}$ , so index for  $H$  on  $H^2(X, \mathbb{C}) \cap H^{1,1}(X)$  is  $(1, h^{1,1} - 1)$ .  $\square$

Now let's see a more general case:  $(X, \omega)$  is a compact Kähler manifold with dimension  $n$ . Then by Lefschetz decomposition we have

$$H^k(X, \mathbb{R}) = \bigoplus_r L^r H^{k-2r}(X, \mathbb{R})_{\text{prim}}, \quad k \leq n$$

And by Hodge decomposition we have each piece can be decomposed into smaller one

$$H^k(X, \mathbb{C})_{\text{prim}} = \bigoplus_{p+q=k} H^{p,q}(X)_{\text{prim}}$$

As we have seen in the case of surface,  $H$  will be positive definite or negative definite in such small piece. The same thing happens in higher dimension. Now we introduce some symbols, in order to get a neater result.

Let  $\varepsilon(k) = (-1)^{\frac{k(k-1)}{2}}$ , and we define

$$Q : H^k(X, \mathbb{R}) \times H^k(X, \mathbb{R}) \rightarrow \mathbb{R}$$

$$([\alpha], [\beta]) \mapsto \varepsilon(k) \int_X \omega^{n-k} \wedge \alpha \wedge \beta$$

This  $Q$  is just a bilinear form, and it is symmetric when  $k$  is even and anti-symmetric when  $k$  is odd.

**Definition 3.6.6** (weil operator). We define weil operator as follows

$$\mathbb{C} : H^k(X, \mathbb{R}) \rightarrow H^k(X, \mathbb{R})$$

$$\mathbb{C}|_{H^{p,q}(X)} \mapsto i^{p-q} \text{id}$$

**Remark 3.6.7.** To see that  $\mathbb{C}$  is a real operator, check directly as follows

$$\mathbb{C}|_{\overline{H^{p,q}(X)}} = \mathbb{C}|_{H^{q,p}(X)} = i^{q-p} \text{id} = \overline{i^{p-q} \text{id}} = \overline{\mathbb{C}|_{H^{p,q}(X)}}$$

Now we define

$$H : H^k(X, \mathbb{C}) \times H^k(X, \mathbb{C}) \rightarrow \mathbb{C}$$

$$([\alpha], [\beta]) \mapsto Q(\mathbb{C}[\alpha], \overline{[\beta]})$$

In other words, we have

$$H([\alpha], [\beta]) = (-1)^{\frac{k(k-1)}{2}} i^{p-q} \int_X \omega^{n-k} \wedge \alpha \wedge \overline{\beta}$$

**Exercise 3.6.8.**  $H$  is a Hermitian form on  $H^{p,q}(X)$ .

**Theorem 3.6.9** (Hodge-Riemann bilinear relations). *We have following results:*

1.  $H^k(X, \mathbb{R}) = \bigoplus_r L^r H^{k-2r}(X, \mathbb{R})_{\text{prim}}$  is orthonormal with respect to  $Q$ .
2.  $H^k(X, \mathbb{C})_{\text{prim}} = \bigoplus_{p+q=k} H^{p,q}(X)_{\text{prim}}$  is orthonormal with respect to  $H$ .
3.  $H$  is positive definite on  $H^{p,q}(X)_{\text{prim}}$ .

*Proof.* 1. Take  $r < s$ , note that

$$\omega^{n-k} \wedge L^r \gamma \wedge L^s \delta = (L^{n-k+r+s} \gamma) \wedge \delta = 0$$

since  $L^{n-k+2r+1} \gamma = 0$  and  $r < s$ .

2. If  $\alpha$  is a  $(p, q)$ -form, and  $\beta$  is  $(p', q')$ -form, and  $(p, q) \neq (p', q')$ , then  $\omega^{n-k} \wedge \alpha \wedge \bar{\beta}$  is not a  $(n, n)$ -form.

3. We need to establish the following lemma

**Lemma 3.6.10.**  $\alpha \in C^\infty(X, \Omega_X^{p,q})$  is a primitive  $k$ -form, then

$$*\alpha = (-1)^{\frac{k(k+1)}{2}} i^{p-q} \frac{L^{n-k} \alpha}{(n-k)!}$$

Take  $\alpha$  which is harmonic such that it represents  $[\alpha] \in H^{p,q}(X)_{\text{prim}} \setminus \{0\}$ . Then

$$H([\alpha], [\alpha]) = (-1)^{\frac{k(k-1)}{2}} i^{p-q} \int_X \omega^{n-k} \wedge \alpha \wedge \bar{\alpha}$$

Note that

$$\begin{aligned} *\bar{\alpha} &= (-1)^{\frac{k(k+1)}{2}} i^{q-p} \frac{L^{n-k} \bar{\alpha}}{(n-k)!} \\ &= (-1)^{\frac{k(k-1)}{2}} i^{p-q} \frac{L^{n-k} \bar{\alpha}}{(n-k)!} \end{aligned}$$

so we have

$$H([\alpha], [\alpha]) = (n-k)! \int_X \alpha \wedge *\bar{\alpha} = (n-k)! \|\alpha\| > 0$$

□

**Corollary 3.6.11** (Hodge index theorem). *Let  $k = n = \dim_{\mathbb{C}} X$  and  $k$  is even<sup>27</sup>. Then  $\int_X \alpha \wedge \beta$  on  $H^n(X, \mathbb{R})$  is of signature*

$$\sum_{p,q} (-1)^p h^{p,q}$$

where summation runs over all  $p, q$ .

*Proof.* Note that the signature of  $\int_X \alpha \wedge \beta$  on  $H^n(X, \mathbb{R})$  is the same as the signature of  $\int_X \alpha \wedge \bar{\beta}$  on  $H^n(X, \mathbb{C})$ .

We write

$$H^n(X, \mathbb{C}) = \bigoplus_{r,p,q} L^r H^{p,q}(X)_{\text{prim}}, \quad p+q+2r=n$$

<sup>27</sup>So that  $\int_X \alpha \wedge \beta$  is symmetric on  $H^n(X, \mathbb{R})$ .

Then Hodge-Riemann bilinear theorem implies that  $\int_X \alpha \wedge \bar{\beta}$  is  $(-1)^p$ -definite on  $L^r H^{p,q}(X)_{\text{prim}}$ , here we need the requirement  $n$  is even.

Then we have the signature is

$$\sum_{p+q+2r=n} (-1)^p h_{\text{prim}}^{p,q}$$

We also have  $h_{\text{prim}}^{p,q} = h^{p,q} - h^{p-1,q-1}$ . Then signature is

$$\sum_{p+q+2r=n} (-1)^p (h^{p,q} - h^{p-1,q-1})$$

Note that  $p+q = n$  counted once and  $p+q < n$  counted twice, so rewrite it as

$$\sum_{p+q \text{ even}} (-1)^p h^{p,q}$$

since  $h^{p,q} = h^{n-p,n-q}$ . And this is also equivalent to sum all  $p, q$ , since

$$\sum_{p+q \text{ odd}} (-1)^p h^{p,q} = 0$$

This completes the proof.  $\square$

**Example 3.6.12.** For surface, we have

$$H^2(X, \mathbb{C}) = H^{2,0}(X) \oplus H^{1,1}(X)_{\text{prim}} \oplus \mathbb{C}[\omega] \oplus H^{0,2}(X)$$

Then this corollary implies

$$h^{0,0} + h^{2,0} - h^{1,1} + h^{0,2} + h^{2,2} = h^{2,0} + h^{0,2} + (1 - (h^{1,1} - 1))$$

recover what we have done in the case of surface.

#### 4. APPLICATIONS OF HODGE THEORY

**4.1. Serre duality.** Let  $X$  be a compact complex manifold, and  $E \rightarrow X$  be a holomorphic vector bundle. Recall

$$H^q(X, E) = H_{\bar{\partial}_E}^q(C^\infty(X, \Omega_X^{0,\bullet} \otimes E))$$

One can also define

$$H^{p,q}(X, E) = H_{\bar{\partial}_E}^q(C^\infty(X, \Omega_X^{p,\bullet} \otimes E))$$

But it does not give anything very interesting, since

$$H^{p,q}(X, E) = H^q(X, \Omega_X^p \otimes E)$$

We want to do for the forms with coefficients in  $E$ , that is, if  $(E, h)$  is a Hermitian holomorphic vector bundle, we want to define

$$\bar{*}_E : C^\infty(X, \Omega_X^{p,q} \otimes E) \rightarrow C^\infty(X, \Omega_X^{n-p,n-q} \otimes E^*)$$

We define it locally. Take  $x \in U \subset X$ , then we define a  $\mathbb{C}$ -antilinear map on each fiber

$$\begin{aligned} \tau_x : E_x &\rightarrow E_x^* \\ e_x &\mapsto \langle \bullet, e_x \rangle \end{aligned}$$

then we get a  $\mathbb{C}$ -antilinear map

$$\tau : E \rightarrow E^*$$

For  $\varphi \otimes e \in C^\infty(U, \Omega_U^{p,q} \otimes E)$ , we define

$$\bar{*}_E(\varphi \otimes e) := *\bar{\varphi} \otimes \tau(e)$$

In other words, we want to have

$$\alpha \wedge \bar{*}_E \beta = \{\alpha, \beta\} \text{vol}$$

If  $D_E$  is the Chern connection, then  $D_E^{0,1} = \bar{\partial}_E$ , we set  $D_E^{1,0} = \partial_E$ , then its Chern curvature is that

$$H_E = D_E^2 = \partial_E^2 + \partial_E \bar{\partial}_E + \bar{\partial}_E \partial_E + \bar{\partial}_E^2$$

Recall that  $H_E$  is a  $(1,1)$ -form, with coefficients in  $\text{End}(X)$ , then  $\partial_E^2 = 0$

So,

$$H_E = \partial_E \bar{\partial}_E + \bar{\partial}_E \partial_E = [\partial_E, \bar{\partial}_E]$$

We want to define formal adjoints  $\partial_E^*, \bar{\partial}_E^*$ . In fact, we can write them down directly.

**Exercise 4.1.1.** Give formulas in terms of  $\bar{*}_E$

So we can define Laplacians  $\Delta_{\partial_E}, \Delta_{\bar{\partial}_E}$ , and Hodge appears again! We will have

$$C^\infty(X, \Omega_X^{p,q} \otimes E) = \mathcal{H}^{p,q}(X, E) \oplus \text{im } \bar{\partial}_E \oplus \text{im } \bar{\partial}_E^*$$

and by the same argument we have

$$H^{p,q}(X, E) \cong \mathcal{H}^{p,q}$$

**Theorem 4.1.2** (Serre duality). *Let  $X$  be a compact complex manifold,  $E$  is a holomorphic vector bundle, then there exists a non-degenerate  $\mathbb{C}$ -linear pairing*

$$\begin{aligned} H^{p,q}(X, E) \times H^{n-p, n-q}(X, E^*) &\rightarrow \mathbb{C} \\ ([\alpha], [\beta]) &\mapsto \int_X \alpha \wedge \beta \end{aligned}$$

In particular, we have

$$H^{p,q}(X, E) = H^{n-p, n-q}(X, E^*)^*$$

Before the proof of Serre duality, let's recall how do we prove Poincaré duality using Hodge theory. Poincaré duality states that if  $X$  is a compact oriented Riemannian manifold with  $\dim_{\mathbb{R}} X = n$ , then the pairing

$$\begin{aligned} H^{p,q}(X, \mathbb{R}) \times H^{n-p, n-q}(X, \mathbb{R}) &\rightarrow \mathbb{R} \\ ([\alpha], [\beta]) &\mapsto \int_X \alpha \wedge \beta \end{aligned}$$

is non-degenerate. So we have  $H^k(X, \mathbb{R}) = H^{n-k}(X, \mathbb{R})^*$ . Our proof via Hodge theory is that

$$* : \mathcal{H}^k \xrightarrow{\cong} \mathcal{H}^{n-k}$$



and Hodge theorem imply that

$$\mathcal{H}^k \cong H^k(X, \mathbb{R})$$

For  $\alpha \in \mathcal{H}^k, \beta \in \mathcal{H}^{n-k}$ , we have  $\beta = *\gamma$  for some  $\gamma \in \mathcal{H}^k$ , so

$$\int_X \alpha \wedge \beta = \int_X \alpha \wedge *\gamma = \langle \alpha, \gamma \rangle_{L^2}$$

is non-degenerate.

Our proof of Serre duality is quite similar to the one of Poincaré duality.

*Proof.* Sketch. Endow  $E$  with a Hermitian metric  $h$ . Firstly show

$$\Delta_{\bar{\partial}_E}^* \bar{*}_E = \bar{*}_E \Delta_{\bar{\partial}_E}$$

then

$$\bar{*}_E : \mathcal{H}^{p,q}(X, E) \xrightarrow{\cong} \mathcal{H}^{n-p, n-q}(X, E^*)$$

and Hodge theorem implies that

$$\mathcal{H}^{p,q}(X, E) \cong H^{p,q}(X, E)$$

For all  $\alpha \in \mathcal{H}^{p,q}(X, E), \beta \in \mathcal{H}^{n-p, n-q}(X, E^*)$ , we have  $\beta = \bar{*}_E \gamma$  for some  $\gamma \in \mathcal{H}^{p,q}(X, E)$ , then

$$\int_X \alpha \wedge \beta = \int_X \alpha \wedge \bar{*}_E \gamma = \langle \alpha, \gamma \rangle_{L^2}$$

is non-degenerate.  $\square$

**Example 4.1.3.** Let  $E = \mathcal{O}_X$ , then  $H^{p,q}(X) = H^{n-p, n-q}(X)^*$ . This recovers Corollary 3.2.25.

**Example 4.1.4.** Let  $p = 0$ , we have

$$H^q(X, E) = H^{n-q}(X, K_X \otimes E^*)^*$$

where  $n$  is the dimension of  $X$ . This form of Serre duality may be the one you learnt in a more serious algebraic geometry course.

**4.2. Kodaira vanishing.** Now if we let  $X$  be a compact Kähler manifold with dimension  $n$ .  $(E, h)$  as above. Similarly we can define

$$L : C^\infty(X, \Omega^{p,q} \otimes E) \rightarrow C^\infty(X, \Omega^{p+1, q+1} \otimes E)$$

$$\alpha \mapsto \omega \wedge \alpha$$

and  $\Lambda = L^*$ , formal adjoint. We also have Kähler identities

$$\begin{aligned} [\bar{\partial}_E^*, L] &= i\partial \\ [\partial_E^*, L] &= -i\bar{\partial} \\ [\Lambda, \partial] &= -i\partial^* \\ [\Lambda, \bar{\partial}] &= i\bar{\partial}^* \end{aligned}$$

and

$$[L, \Lambda] = (p + q - n) \text{id}, \quad \text{on } C^\infty(X, \Omega_X^{p,q} \otimes E)$$

Untill now, all things are same as the things without coefficient  $E$ . But

**Theorem 4.2.1** (Bochner-Kodaira-Nakano identity).

$$\Delta_{\bar{\partial}_E} = [iH_E, \Lambda] + \Delta_{\partial_E}$$

*Proof.* By definition, we have

$$\begin{aligned} \Delta_{\bar{\partial}_E} &= [\bar{\partial}_E, \bar{\partial}_E^*] \\ &= -i[\bar{\partial}_E, [\Lambda, \partial_E]] \\ &= -i[\Lambda, [\partial_E, \bar{\partial}_E]] - i[\partial_E, [\bar{\partial}_E, \Lambda]] \\ &= -i[\Lambda, H_E] - i[\partial_E, i\partial_E^*] \\ &= [iH_E, \Lambda] + \Delta_{\partial_E} \end{aligned}$$

□

**Corollary 4.2.2** (Bochner-Kodaira-Nakano inequality). *For  $\alpha \in C^\infty(X, \Omega_X^{p,q} \otimes E)$ . Then*

$$([iH_E, \Lambda]\alpha, \alpha)_{L^2} \leq (\Delta_{\bar{\partial}_E} \alpha, \alpha)_{L^2}$$

*In particular, if  $\alpha$  is  $\Delta_{\bar{\partial}_E}$ -harmonic, then  $([iH_E, \Lambda]\alpha, \alpha)_{L^2} \leq 0$ .*

*Proof.*

$$\begin{aligned} (\Delta_{\bar{\partial}_E} \alpha, \alpha)_{L^2} - ([iH_E, \Lambda]\alpha, \alpha)_{L^2} &= (\Delta_{\partial_E} \alpha, \alpha) \\ &= \|\partial_E \alpha\|^2 + \|\partial_E^* \alpha\|^2 \geq 0 \end{aligned}$$

□

**Theorem 4.2.3** (Kodaira-Akizuki-Nakano vanishing).  *$(X, \omega)$  is a compact Kähler manifold with dimension  $n$ .  $(L, h)$  is a holomorphic line bundle. Then*

$$H^{p,q}(X, L) = 0, \quad p + q > n$$

*In particular, if we let  $p = n$ , then*

$$H^q(X, K_X \otimes L) = 0, \quad q > 0$$

*Proof.* Use  $\Delta_{\bar{\partial}_L}$ -harmonic forms, that is  $H^{p,q}(X, L) \cong \mathcal{H}^{p,q}(X, L)$ . For  $\alpha \in \mathcal{H}^{p,q}(X, L)$ , then BKN inequality says

$$([iH_E, \Lambda]\alpha, \alpha)_{L^2} \leq 0$$

On the other hand, we can choose  $\omega = \frac{i}{2\pi} H_L$ , since  $L$  is positive. So we have

$$[iH_L, \Lambda]\alpha = 2\pi(p + q - n)\alpha$$

Thus

$$([iH_E, \Lambda]\alpha, \alpha)_{L^2} = 2\pi(p + q - n)\|\alpha\|^2 \geq 0$$

Thus

$$([iH_E, \Lambda]\alpha, \alpha)_{L^2} = 0$$

That is  $\alpha = 0$ .

□

**Corollary 4.2.4** (Kodaira vanishing). *(X, ω) is a compact Kähler manifold with dimension n. (L, h) is a holomorphic line bundle. Then*

$$H^q(X, K_X \otimes L) = 0, \quad q > 0$$

*Proof.* Let  $p = n$ . □

**Exercise 4.2.5.** Compute all  $H^q(\mathbb{P}^n, \mathcal{O}_{\mathbb{P}^n}(k))$  for all  $k, q$ .

**Definition 4.2.6** (Fano). *Fano manifold is a compact Kähler manifold with  $K_X^* = \det T_X$  is positive.*

**Exercise 4.2.7.** Let  $X$  be a Fano manifold. Show that

$$H^q(X, \mathcal{O}_X) = 0, \quad \forall q > 0$$

*Proof.* Note that  $\mathcal{O}_X = K_X \otimes K_X^*$ . □

**Theorem 4.2.8** (Serre vanishing). *(X, ω) is a compact Kähler manifold with dimension n. (L, h) is a holomorphic line bundle. For any holomorphic vector bundle E on X. Then there exists a constant  $m_0$  such that for all  $m \geq m_0$*

$$H^q(X, E \otimes L^{\otimes m}) = 0, \quad q > 0$$

*Proof.* Endow  $E$  with a Hermitian metric and consider  $H^{p,q}(X, E \otimes L^{\otimes m}) \cong \mathcal{H}^{p,q}(X, E \otimes L^{\otimes m})$ . For  $\alpha \in \mathcal{H}^{p,q}(X, E \otimes L^{\otimes m})$ . BKN inequality implies that

$$([iH_{E \otimes L^{\otimes m}}, \Lambda]\alpha, \alpha)_{L^2} \leq 0$$

Recall that  $D_{E \otimes L^{\otimes m}} = D_E \otimes 1 + 1 \otimes D_{L^{\otimes m}}$ . So

$$H_{E \otimes L^{\otimes m}} = H_E \otimes 1 + m(1 \otimes H_L) \in C^\infty(X, \Omega_X^{1,1} \otimes \text{End}(E \otimes L^{\otimes m}))$$

Choose  $\omega = \frac{i}{2\pi} H_L$ , since  $L$  is positive. Then

$$([iH_{E \otimes L^{\otimes m}}, \Lambda]\alpha, \alpha)_{L^2} = ([iH_E, \Lambda]\alpha, \alpha)_{L^2} + 2\pi m(p + q - n)\|\alpha\|^2 \leq 0$$

Cauchy inequality implies that

$$([iH_E, \Lambda]\alpha, \alpha)_{L^2} \geq -C\|\alpha\|^2$$

where  $C$  is the norm of  $[iH_E, \Lambda]$ .

So if we have  $2\pi m(p + q - n) - C > 0$ , then

$$([iH_{E \otimes L^{\otimes m}}, \Lambda]\alpha, \alpha)_{L^2} \geq 0$$

Thus  $\alpha = 0$  as desired.

So we take  $p = n, q > 0, m_0 \geq \frac{C}{2\pi}$ , we have

$$H^{n,q}(X, E \otimes L^{\otimes m}) = H^q(X, K_X \otimes E \otimes L^{\otimes m}) = 0, \quad \forall m \geq m_0, q > 0$$

Since  $E$  is arbitrary, use  $K_X^* \otimes E$  instead<sup>28</sup>. □

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<sup>28</sup>Note that  $m_0$  might change in the process.

### 4.3. Kodaira embedding.

**Theorem 4.3.1** (Kodaira embedding).  *$X$  is a compact complex manifold, the following statements are equivalent*

1. *There exists a holomorphic embedding  $\varphi : X \hookrightarrow \mathbb{P}^N$ .*
2. *There exists a integral Kähler form  $\omega$  in  $X$ , that is,  $[\omega] \in \text{im}(H^2(X, \mathbb{Z}) \rightarrow H^2(X, \mathbb{R}))$*
3. *There exists a positive holomorphic line bundle on  $X$ .*

**Remark 4.3.2.** 1 clearly implies 2, and 2 implies 3 is Lefschetz (1,1)-theorem. So the heart of the proof is 3 to 1.

**Remark 4.3.3.** We will shall see 1 together with Chow's theorem,  $X \subset \mathbb{P}^N$  can be written as a zero set of homogenous polynomials. Thus  $X$  is a projective manifold in our definition.

Before the proof of Kodaira embedding, let's see some corollaries.

**Corollary 4.3.4.**  *$X$  is a compact Kähler manifold such that  $H^2(X, \mathcal{O}_X) = H^{0,2}(X) = 0$ . Then  $X$  is a projective manifold.*

*Proof.* Hodge decomposition implies that  $H^{2,0}(X) = H^{0,2}(X) = 0$ , so  $H^2(X, \mathbb{Q}) \otimes_{\mathbb{Q}} \mathbb{C} = H^2(X, \mathbb{C}) = H^{1,1}(X)$ . Choose basis  $[\alpha_1], \dots, [\alpha_n] \in H^2(X, \mathbb{Q})$  such that  $\alpha_i$  is harmonic and of type (1,1). Since the Kähler form  $\omega$  is real, harmonic and of type (1,1). It's harmonic since  $[\Delta_d, L] = 0$ . Then

$$\omega = \sum_i \lambda_i \alpha_i, \quad \lambda_i \in \mathbb{R}$$

For  $\mu_i \in \mathbb{Q}$  sufficiently close to  $\lambda_i$ , then  $\sum_i \mu_i \alpha_i$  is still positive. Thus  $\sum_i \mu_i \alpha_i$  gives a Kähler form. Take  $N$  sufficiently large such that  $[N \sum_i \mu_i \alpha_i] \in \text{im}(H^2(X, \mathbb{Z}) \rightarrow H^2(X, \mathbb{R}))$ . Applying Kodaira embedding together with Chow's theorem to complete the proof.  $\square$

**Corollary 4.3.5.** *Fano manifold is projective.*

*Proof.* Since for Fano manifold, all  $H^{0,p}(X) = 0, q > 0$ .  $\square$

Now we give the proof of Kodaira embedding.

*Proof.* (Sketch.) Use holomorphic global sections  $\Gamma(X, L^{\otimes m})$  for sufficiently large  $m$  to construct  $\varphi : X \hookrightarrow \mathbb{P}^N$ .

We need to show the following three things:

1. For sufficiently large  $m$ ,  $L^{\otimes m}$  is globally generated, which means for all  $x \in X$ , there exists a global section  $s \in \Gamma(X, L^{\otimes m})$  such that  $s(x) \neq 0$ . Then for all  $x \in X$ ,  $H_x = \{s \in \Gamma(X, L^{\otimes m}) \mid s(x) = 0\}$  is a hypersurface. Thus we get a holomorphic map  $\varphi : X \rightarrow \mathbb{P}(\Gamma(X, L^{\otimes m})^*)$ , defined by  $x \mapsto H_x$ .
2. For more sufficiently large<sup>29</sup>  $m$ ,  $L^{\otimes m}$  separates points, that is for all  $x, y \in X$ , there exists  $s \in \Gamma(X, L^{\otimes m})$  such that  $s(x) \neq 0, s(y) = 0$ . Thus in this case our  $\varphi$  is injective.

<sup>29</sup>Larger than  $m$  is step one.

3. For more more sufficiently large  $m$ ,  $L^{\otimes m}$  separates tangent vectors, that is, for all  $x \in X, u \in T_{X,x}$  there exists  $s \in \Gamma(X, L^{\otimes m})$  such that  $s(x) = 0$  and  $ds(u) \neq 0$ . Thus in this case our  $\varphi$  is an immersion, together with  $X$  is compact we have  $\varphi$  is an embedding.

□

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