

ALGEBRAIC GEOMETRY

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1. CATEGORY

1.1. Category.

Definition 1.1.1 (monomorphism). A morphism $f: A \rightarrow B$ in \mathcal{C} is called a monomorphism (or injective) if for any two morphisms $\alpha, \beta: C \rightarrow A$ satisfying $f \circ \alpha = f \circ \beta$, we have $\alpha = \beta$.

Definition 1.1.2 (epimorphism). A morphism $f: A \rightarrow B$ in \mathcal{C} is called an epimorphism (or surjective) if for any two morphisms $\alpha, \beta: B \rightarrow C$ satisfying $\alpha \circ f = \beta \circ f$, we have $\alpha = \beta$.

Definition 1.1.3 (bijective). A morphism is called bijective if it's both monomorphism and epimorphism.

Definition 1.1.4 (isomorphism). A morphism is called an isomorphism if it admits two-sided inverse.

Remark 1.1.1. Any isomorphism is bijective, but in general a bijective morphism may not be an isomorphism.

1.2. Abelian category.

Definition 1.2.1 (additive category). A category \mathcal{C} is called an additive category if for any objects A, B, C in \mathcal{C} ,

- (1) the direct product of A and B exists;
- (2) $\text{Hom}(A, B)$ is an abelian group, and $0 \in \text{Hom}(A, B)$ is called zero morphism;
- (3) the map

$$\begin{aligned} \text{Hom}(A, B) \times \text{Hom}(B, C) &\rightarrow \text{Hom}(A, C) \\ (f, g) &\mapsto g \circ f \end{aligned}$$

is bilinear.

Definition 1.2.2. Let \mathcal{C} be an additive category and $f: A \rightarrow B$ be a morphism in \mathcal{C} .

- (1) A morphism $K \rightarrow A$ is the kernel of f if the composite $K \rightarrow A \rightarrow B$ is 0, and for any morphism $K' \rightarrow A$ such that the composite $K' \rightarrow A \rightarrow B$ is 0, there exists a unique morphism $K' \rightarrow K$ such that the diagram

$$\begin{array}{ccc} K' & & \\ \downarrow & \searrow & \\ K & \xrightarrow{\quad} & A \end{array}$$

commutes. For convenience we often denote K by $\ker f$ and call it the kernel of f .

- (2) A morphism $B \rightarrow C$ is the cokernel of f if the composite $A \rightarrow B \rightarrow C$ is 0, and for any morphism $B \rightarrow C'$ such that the composite $A \rightarrow B \rightarrow C'$ is 0, there exists a unique morphism $C \rightarrow C'$ such that the diagram

$$\begin{array}{ccc}
B & \longrightarrow & C \\
& \searrow & \downarrow \\
& & C'
\end{array}$$

commutes. For convenience we often denote C by $\text{coker } f$ and call it the cokernel of f .

- (3) The image of f is defined to be the kernel of the cokernel of f , and the coimage of f is defined to be the cokernel of the kernel of f .

Remark 1.2.1. A kernel is necessarily a monomorphism, and a cokernel is necessarily an epimorphism.

Remark 1.2.2. There is a natural morphism $\text{coim } f \rightarrow \text{im } f$ induced by universal property

$$\begin{array}{ccccccc}
\ker f & \longrightarrow & A & \xrightarrow{f} & B & \longrightarrow & \text{coker } f \\
& & \downarrow & & \uparrow & & \\
& & \text{coim } f & \dashrightarrow & \text{im } f & &
\end{array}$$

Definition 1.2.3 (zero object). Let \mathcal{C} be an additive category. A zero object 0 in \mathcal{C} is an object such that $\text{Hom}(0, 0) = \{0\}$.

Definition 1.2.4 (abelian category). An abelian category \mathcal{C} is an additive category with zero objects such that for every morphism f in \mathcal{C} , the kernel and the cokernel of f exist, and the canonical morphism $\text{coim } f \rightarrow \text{im } f$ is an isomorphism.

Proposition 1.2.1. In an abelian category, a bijective morphism is an isomorphism.

Definition 1.2.5 (exact). In an abelian category, a sequence of morphisms

$$A \xrightarrow{u} B \xrightarrow{v} C$$

is called exact if $v \circ u = 0$ and the canonical morphism from $\text{coim } u \rightarrow \ker v$ is an isomorphism.

Definition 1.2.6 (short exact sequence). An exact sequence of the form

$$0 \rightarrow A \rightarrow B \rightarrow C \rightarrow 0$$

is called a short exact sequence.

Definition 1.2.7 (split). A short exact sequence $0 \rightarrow A \rightarrow B \rightarrow C \rightarrow 0$ is called split if it's isomorphic to

$$0 \rightarrow A \rightarrow A \oplus C \rightarrow C \rightarrow 0,$$

where $A \rightarrow A \oplus C$ and $A \oplus C \rightarrow C$ are the canonical morphisms.

2. SHEAF AND COHOMOLOGY

2.1. **Sheaves.** Along this section, X denotes a topological space.

2.1.1. *Definitions and Examples.*

Definition 2.1.1 (sheaf). A presheaf of abelian group \mathcal{F} on X consisting of the following data:

- (1) For any open subset U of X , $\mathcal{F}(U)$ is an abelian group.
- (2) If $U \subseteq V$ are two open subsets of X , then there is a group homomorphism $r_{UV}: \mathcal{F}(U) \rightarrow \mathcal{F}(V)$. Moreover, above data satisfy
 - I $\mathcal{F}(\emptyset) = 0$.
 - II $r_{UU} = \text{id}$.
 - III If $W \subseteq U \subseteq V$ are open subsets of X , then $r_{UW} = r_{VW} \circ r_{UV}$.

Moreover, \mathcal{F} is called a sheaf if it satisfies the following extra conditions

- IV Let $\{V_i\}_{i \in I}$ be an open covering of open subset $U \subseteq X$ and $s \in \mathcal{F}(U)$. If $s|_{V_i} := r_{UV_i}(s) = 0$ for all $i \in I$, then $s = 0$.
- V Let $\{V_i\}_{i \in I}$ be an open covering of open subset $U \subseteq X$ and $s_i \in \mathcal{F}(V_i)$. If $s_i|_{V_i \cap V_j} = s_j|_{V_i \cap V_j}$ for all $i, j \in I$, then there exists $s \in \mathcal{F}(U)$ such that $s|_{V_i} = s_i$ for all $i \in I$.

Example 2.1.1 (constant presheaf). For an abelian group G , the constant presheaf assign each open set U the group G itself, but in general it's not a sheaf.

Definition 2.1.2 (morphism of presheaves). A morphism $\varphi: \mathcal{F} \rightarrow \mathcal{G}$ between presheaves consisting of the following data:

- (1) For any open subset U of X , there is a group homomorphism $\varphi(U): \mathcal{F}(U) \rightarrow \mathcal{G}(U)$.
- (2) If $U \subseteq V$ are two open subsets of X , then the following diagram commutes

$$\begin{array}{ccc} \mathcal{F}(U) & \xrightarrow{\varphi(U)} & \mathcal{G}(U) \\ \downarrow r_{UV} & & \downarrow r_{UV} \\ \mathcal{F}(V) & \xrightarrow{\varphi(V)} & \mathcal{G}(V) \end{array}$$

Notation 2.1.1. For convenience, for $s \in \mathcal{F}(U)$, we often write $\varphi(s)$ instead of $\varphi(U)(s)$.

Remark 2.1.1. The morphisms between sheaves are defined as morphisms of presheaves.

Definition 2.1.3 (isomorphism). A morphism of presheaves $\varphi: \mathcal{F} \rightarrow \mathcal{G}$ is called an isomorphism if it has two-sided inverse, that is, there exists a morphism of presheaves $\psi: \mathcal{G} \rightarrow \mathcal{F}$ such that $\psi\varphi = \text{id}_{\mathcal{F}}$ and $\varphi\psi = \text{id}_{\mathcal{G}}$.

Remark 2.1.2. A morphism of presheaves $\varphi: \mathcal{F} \rightarrow \mathcal{G}$ is an isomorphism if and only if for every open subset $U \subseteq X$, $\varphi(U): \mathcal{F}(U) \rightarrow \mathcal{G}(U)$ is an isomorphism of abelian groups.

2.1.2. *Stalks.*

Definition 2.1.4 (stalks). For a presheaf \mathcal{F} and $p \in X$, the stalk at p is defined as

$$\mathcal{F}_p = \varinjlim_{p \in U} \mathcal{F}(U)$$

Remark 2.1.3 (alternative definition). In order to avoid language of direct limit, we give a more useful but equivalent description of stalk: For $p \in U \cap V$, $s_U \in \mathcal{F}(U)$ and $s_V \in \mathcal{F}(V)$ are equivalent if there exists $x \in W \subseteq U \cap V$ such that $s_U|_W = s_V|_W$. An element $s_p \in \mathcal{F}_p$, which is called a germ, is an equivalence class $[s_U]$, and for $s \in \mathcal{F}(U)$, the germ given by s is denoted by $s|_p$.

Notation 2.1.2.

- (1) For $s \in \mathcal{F}(U)$ and $p \in U$, $s|_p$ denotes the equivalent class it gives.
- (2) For $s_p \in \mathcal{F}_p$, $s \in \mathcal{F}(U)$ denotes the section such that $s|_p = s_p$.

Definition 2.1.5 (morphisms on stalks). Given a morphism of sheaves $\varphi: \mathcal{F} \rightarrow \mathcal{G}$, it induces a morphism of abelian groups $\varphi_p: \mathcal{F}_p \rightarrow \mathcal{G}_p$ as follows:

$$\begin{aligned} \varphi_p: \mathcal{F}_p &\rightarrow \mathcal{G}_p \\ s_p &\mapsto \varphi(s)|_p. \end{aligned}$$

Remark 2.1.4. It's necessary to check the φ_p is well-defined since there are different choices s such that $s|_p = s_p$.

Proposition 2.1.1. Let $\varphi: \mathcal{F} \rightarrow \mathcal{G}$ be a morphism between sheaves. Then φ is an isomorphism if and only if the induced map $\varphi_p: \mathcal{F}_p \rightarrow \mathcal{G}_p$ is an isomorphism for every $p \in X$.

Proof. It's clear if φ is an isomorphism between sheaves, then it induces an isomorphism between stalks. Conversely, it suffices to show $\varphi(U): \mathcal{F}(U) \rightarrow \mathcal{G}(U)$ is an isomorphism for every open subset $U \subseteq X$.

- (1) Injectivity: For $s, s' \in \mathcal{F}(U)$ such that $\varphi(s) = \varphi(s')$, by passing to stalks one has $\varphi_p(s|_p) = \varphi_p(s'|_p)$ for every $p \in U$, and thus $s|_p = s'|_p$ since φ_p is an isomorphism. By definition of stalks there exists an open subset $V_p \subseteq U$ containing p such that s agrees with s' on V_p . Then it gives an open covering $\{V_p\}$ of U , and by axiom (IV) one has $s = s'$ on U .
- (2) Surjectivity: For $t \in \mathcal{G}(U)$, by passing to stalks there exists $s_p \in \mathcal{F}_p$ such that $\varphi_p(s_p) = t|_p$ for every $p \in U$ since φ_p is surjective. By definition of stalks there exists an open subset $V_p \subseteq U$ containing p and $s \in \mathcal{F}(V_p)$ such that $\varphi(s) = t$ on V_p . This gives a collection of sections defined on an open covering $\{V_p\}$ of U , and by injectivity we proved above one has these sections agree with each other on the intersections. Then by axiom (V) there exists a section $s \in \mathcal{F}(U)$ such that $\varphi(s) = t$.

□

2.1.3. Sheafification. In Example 2.1.1, we come across a presheaf that is not a sheaf. To obtain a sheaf from a presheaf, we require a process known as sheafification. One approach to defining sheafification is through its universal property.

Definition 2.1.6 (sheafification). Given a presheaf \mathcal{F} there is a sheaf \mathcal{F}^+ and a morphism $\theta: \mathcal{F} \rightarrow \mathcal{F}^+$ with the property that for any sheaf \mathcal{G} and any morphism $\varphi: \mathcal{F} \rightarrow \mathcal{G}$ there is a unique morphism $\bar{\varphi}: \mathcal{F}^+ \rightarrow \mathcal{G}$ such that the following diagram commutes:

$$\begin{array}{ccc} \mathcal{F} & \xrightarrow{\varphi} & \mathcal{G} \\ \downarrow \theta & \nearrow \bar{\varphi} & \\ \mathcal{F}^+ & & \end{array}$$

The universal property shows that if the sheafification exists, then it's unique up to a unique isomorphism. One way to give an explicit construction of sheafification is to glue stalks together in a suitable way. Let $\mathcal{F}^+(U)$ be a set of functions

$$f: U \rightarrow \coprod_{p \in U} \mathcal{F}_p$$

such that $f(p) \in \mathcal{F}_p$ and for every $p \in U$ there is an open subset $V_p \subseteq U$ containing p and $t \in \mathcal{F}(V_p)$ such that $t|_q = f(q)$ for all $q \in V_p$.

Proposition 2.1.2. \mathcal{F}^+ is the sheafification of \mathcal{F} .

Proof. Firstly let's show \mathcal{F}^+ is a sheaf: It's clear \mathcal{F}^+ is a presheaf, so it suffices to check conditions (IV) and (V) in the definition. Let $U \subseteq X$ be an open subset and $\{V_i\}$ be an open covering of U .

- (1) If $s \in \mathcal{F}^+(U)$ such that $s|_{V_i} = 0$ for all i , then s must be zero: It suffices to show $s(p) = 0$ for all $p \in U$. For any $p \in U$, then there exists an open subset V_i contains p , hence $s(p) = s|_{V_i}(p) = 0$.
- (2) Suppose there exists a collection of sections $\{s_i \in \mathcal{F}^+(V_i)\}_{i \in I}$ such that

$$s_i|_{V_i \cap V_j} = s_j|_{V_i \cap V_j}$$

holds for all $i, j \in I$. Now we construct $s \in \mathcal{F}^+(U)$ as follows: For $p \in U$ and V_i containing p , we define $s(p) = s_i(p)$. This is well-defined since s_i agree on the intersections, so it remains to show $s \in \mathcal{F}^+(U)$. It's clear $s(p) \in \mathcal{F}_p$. For $p \in U$, there exists V_i containing p , and thus there exists $W_i \subseteq V_i$ containing p and $t \in \mathcal{F}(W_i)$ such that $t|_q = s_i(q) = s(q)$ for all $q \in W_i$.

There is a canonical morphism $\theta: \mathcal{F} \rightarrow \mathcal{F}^+$ as follows: For open subset $U \subseteq X$, and $s \in \mathcal{F}(U)$, $\theta(s)$ is defined by

$$\begin{aligned} \theta(s): U &\rightarrow \coprod_{p \in U} \mathcal{F}_p \\ p &\mapsto s|_p. \end{aligned}$$

Note that if \mathcal{F} is a sheaf, the canonical morphism $\theta: \mathcal{F} \rightarrow \mathcal{F}^+$ is an isomorphism.

- (1) Injectivity: If $s \in \mathcal{F}(U)$ such that $s|_p = 0$ for all $p \in U$, then there exists an open covering $\{V_i\}_{i \in I}$ of U such that $s|_{V_i} = 0$, by axiom (IV) of sheaf one has $s = 0$.
- (2) Surjectivity: For $f \in \mathcal{F}^+(U)$ and $p \in U$, there exists $p \in V_p \subseteq U$ and $t \in \mathcal{F}(V_p)$ such that $f(p) = t|_p$ by construction of \mathcal{F}^+ . Then glue these sections together to get our desired s such that $\theta(s) = f$.

Finally let's show \mathcal{F}^+ satisfies the universal property of sheafification. A morphism of presheaves $\varphi: \mathcal{F} \rightarrow \mathcal{G}$ induces a map on stalks

$$\varphi_p: \mathcal{F}_p \rightarrow \mathcal{G}_p.$$

For $f \in \mathcal{F}^+(U)$, the composite of f with the map

$$\coprod_{p \in U} \varphi_p: \coprod_{p \in U} \mathcal{F}_p \rightarrow \coprod_{p \in U} \mathcal{G}_p$$

gives a map $\tilde{\varphi}(f): U \rightarrow \coprod_{p \in U} \mathcal{G}_p$, and in fact $\tilde{\varphi}(f) \in \mathcal{G}^+(U)$: For $p \in U$, $\tilde{\varphi}(f)(p) \in \mathcal{G}_p$ since $f(p) \in \mathcal{F}_p$ and $\varphi_p: \mathcal{F}_p \rightarrow \mathcal{G}_p$. If for all $q \in V_p$ we have $t|_q = f(q)$, then

$$\tilde{\varphi}(f)(q) = \varphi_q(f(q)) = \varphi_q(t|_q) = \varphi(t)|_q.$$

Since \mathcal{G} is a sheaf, the canonical morphism $\theta': \mathcal{G} \rightarrow \mathcal{G}^+$ is an isomorphism, so we can define $\bar{\varphi} := \theta'^{-1} \circ \tilde{\varphi}$. Now let's show $\varphi = \bar{\varphi} \circ \theta = \theta'^{-1} \circ \tilde{\varphi} \circ \theta$. It's easy to show they coincide on each stalk since $\varphi_p = \theta'^{-1}_p \circ \tilde{\varphi}_p \circ \theta_p$, and thus $\varphi = \bar{\varphi} \circ \theta$ by Proposition 2.1.1. Furthermore, uniqueness follows from the fact that $\bar{\varphi}_p$ is uniquely determined by φ_p . \square

Remark 2.1.5. From the construction, one can see the stalk of \mathcal{F}^+ at p is exactly \mathcal{F}_p .

Remark 2.1.6. The sheafification can be described in a more fancy language: Since we have sheaf of abelian groups on X as a category, denote it by \underline{Ab}_X , and presheaf is a full subcategory of \underline{Ab}_X , there is a natural inclusion functor ι from category of sheaf to category of presheaf. The sheafification is the adjoint functor of ι .

Example 2.1.2 (constant sheaf). For an abelian group G , the associated constant sheaf \underline{G} is the sheafification of the constant presheaf. By the construction of sheafification, \underline{G} can be explicitly expressed as

$$\underline{G}(U) = \{\text{locally constant function } f: U \rightarrow G\}$$

2.1.4. *Exact sequence of sheaf.* Given a morphism $\varphi: \mathcal{F} \rightarrow \mathcal{G}$ between sheaves of abelian groups, there are the following presheaves

$$\begin{aligned} U &\mapsto \ker \varphi(U) \\ U &\mapsto \operatorname{im} \varphi(U) \\ U &\mapsto \operatorname{coker} \varphi(U), \end{aligned}$$

since $\varphi(U): \mathcal{F}(U) \rightarrow \mathcal{G}(U)$ is a group homomorphism.

Proposition 2.1.3. Kernel of a morphism between sheaves is a sheaf.

Proof. Let $\{V_i\}_{i \in I}$ be an open covering of U .

- (1) For $s \in \ker \varphi(U)$, if $s|_{V_i} = 0$, then $s = 0$ since s is also in $\mathcal{F}(U)$.
- (2) If there exists $s_i \in \ker \varphi(V_i)$ such that $s_i|_{V_i \cap V_j} = s_j|_{V_i \cap V_j}$, then they glue together to get $s \in \mathcal{F}(U)$. Note that

$$\varphi(U)(s)|_{V_i} = \varphi(V_i)(s|_{V_i}) = \varphi(V_i)(s_i) = 0$$

Then $s \in \ker \varphi(U)$.

□

But image of morphism may not be a sheaf: Although we can prove the first requirement in a same way, for the second something bad happens. If there exists $s_i \in \text{im } \varphi(V_i)$, and we can glue them together to get a $s \in \mathcal{G}(U)$, but s may not be the image of some $t \in \mathcal{F}(U)$. The cokernel fails to be a sheaf for the same reason.

So we may change our definition about image and cokernel: To define the image and cokernel of a morphism to be the sheafification of our previous definition.

Definition 2.1.7 (exact). For a sequence of sheaves:

$$\dots \rightarrow \mathcal{F}^{i-1} \xrightarrow{\varphi^{i-1}} \mathcal{F}^i \xrightarrow{\varphi^i} \mathcal{F}^{i+1} \rightarrow \dots$$

It's called exact at \mathcal{F}^i , if $\ker \varphi^i = \text{im } \varphi^{i-1}$. If a sequence is exact at everywhere, then it's an exact sequence of sheaves.

Proposition 2.1.4. The sequence of sheaves

$$\dots \rightarrow \mathcal{F}^{i-1} \xrightarrow{\varphi^{i-1}} \mathcal{F}^i \xrightarrow{\varphi^i} \mathcal{F}^{i+1} \rightarrow \dots$$

is exact if and only if the sequence of abelian groups are exact

$$\dots \rightarrow \mathcal{F}_p^{i-1} \xrightarrow{\varphi_p^{i-1}} \mathcal{F}_p^i \xrightarrow{\varphi_p^i} \mathcal{F}_p^{i+1} \rightarrow \dots$$

for all $p \in X$.

Proof. It suffices to show for any morphism $\varphi: \mathcal{F} \rightarrow \mathcal{G}$, we have $(\ker \varphi)_p = \ker \varphi_p$, $(\text{im } \varphi)_p = \text{im } \varphi_p$. Let's fix $p \in X$ and check by definition.

For (1). It's clear $(\ker \varphi)_p \subseteq \ker \varphi_p$. Conversely, take $s_p \in \ker \varphi_p$, then $\varphi_p(s_p) = 0 \in \mathcal{G}_p$. In other words, there exists an open set U containing p and $s \in \mathcal{F}(U)$ such that $s|_p = s_p$ and $\varphi(s)|_p = 0$, which implies there is an open set V containing p such that $\varphi(s)|_V = 0$. Hence $\varphi(s|_V) = 0$, that is $s|_V \in \ker \varphi(V)$. Thus $s_p = (s|_V)|_p \in (\ker \varphi)_p$.

For (2). It's clear $(\text{im } \varphi)_p \subseteq \text{im } \varphi_p$ since $(\text{im } \varphi)_p$ is the same stalk of the presheaf of image before sheafification. Conversely, if $s_p \in \text{im } \varphi_p$, we have some $t_p \in \mathcal{F}_p$ such that $\varphi_p(t_p) = s_p$. Suppose $t \in \mathcal{F}(U)$ is a section of some open set U containing p such that $t|_p = t_p$. Then $\varphi(t)|_p = \varphi_p(t_p) = s_p$, so s_p

is in the stalk of the image presheaf at p . But the stalk at a point remains the same after sheafification, we have $s_p \in (\text{im } \varphi)_p$. \square

Remark 2.1.7. The proof for the first part is a routine, but the proof for the half part shows the hallmark of sheafification: Stalks are not changed!.

Now let's consider a special exact sequence: short exact sequence:

$$0 \rightarrow \mathcal{F} \xrightarrow{\varphi} \mathcal{G} \xrightarrow{\psi} \mathcal{H} \rightarrow 0$$

In this case, φ is called injective and ψ is called surjective. Attention: For any open subset $U \subseteq X$, we will have

$$\varphi(U): \mathcal{F}(U) \rightarrow \mathcal{G}(U)$$

is injective. Indeed, by definition we have for any open subset $U \subseteq X$, $\ker \varphi(U) = 0$, that is injectivity. Or from another point of view, for each $p \in U$, we have

$$\varphi_p: \mathcal{F}_p \rightarrow \mathcal{G}_p$$

is injective. That is $\ker \varphi_p = 0$. So we obtain $(\ker \varphi(U))_p = 0$ for all $p \in U$. But for a section $s \in \mathcal{F}(U)$ if we have $s|_p = 0$, then we must have $s = 0$. So we obtain $\ker \varphi(U) = 0$.

The second pointview may be a little talk nonsense, but we will see it can explain why $\psi(U): \mathcal{G}(U) \rightarrow \mathcal{H}(U)$ may not be surjective in general. Since from

$$\psi_p: \mathcal{G}_p \rightarrow \mathcal{H}_p$$

is surjective we can only get “locally surjective”, and from locally surjectivity you may not get a global one. The reason for why does image fail to be a sheaf appears again.

Example 2.1.3 (exponential sequence). Let X be a complex manifold and \mathcal{O}_X be its holomorphic function sheaf. Then

$$0 \rightarrow 2\pi\sqrt{-1}\mathbb{Z} \rightarrow \mathcal{O}_X \xrightarrow{\exp} \mathcal{O}_X^* \rightarrow 0$$

is an exact sequence of sheaves, called exponential sequence.

Proof. The difficulty is to show \exp is surjective on stalks at $p \in X$. That is we need to construct logarithms of functions $g \in \mathcal{O}_X^*(U)$ for U , a neighborhood of p . We may choose U is simply-connected, then define

$$\log g(q) = \log g(p) + \int_{\gamma_q} \frac{dg}{g}$$

for $q \in U$, where γ_q is a path from p to q in U , and the definition is independent of the choice of γ_q since U is simply-connected. \square

Remark 2.1.8. In fact, U is simply-connected is crucial for constructing logarithm. If we consider $X = \mathbb{C}$ and $U = \mathbb{C} \setminus \{0\}$, then

$$\exp: \mathcal{O}_X(U) \rightarrow \mathcal{O}_X^*(U)$$

cannot be surjective.

2.2. Derived Functor Formulation of Sheaf Cohomology. The category \underline{Ab}_X : sheaves of abelian groups on X . In this section we will introduce sheaf cohomology by considering it as a derived functor.

For an exact sequence of sheaf:

$$0 \rightarrow \mathcal{F}' \xrightarrow{\phi} \mathcal{F} \xrightarrow{\psi} \mathcal{F}''$$

If we take its section on U , we get a sequence of abelian groups

$$0 \rightarrow \mathcal{F}'(U) \xrightarrow{\phi(U)} \mathcal{F}(U) \xrightarrow{\psi(U)} \mathcal{F}''(U)$$

We already know this sequence is still exact at $\mathcal{F}'(U)$, now let's it's still exact at $\mathcal{F}(U)$, that is

$$\ker \psi(U) = \operatorname{im} \phi(U)$$

Let's first show $\ker \psi(U) \supseteq \operatorname{im} \phi(U)$. Take $s \in \mathcal{F}'(U)$, and we want to show $\psi\phi(s) = 0$. It suffices to show $\psi\phi(s)|_p = 0$ for all $p \in U$ since \mathcal{F}'' is a sheaf. For any $p \in U$, consider its stalk we obtain an exact sequence of abelian groups

$$0 \rightarrow \mathcal{F}'_p \xrightarrow{\phi_p} \mathcal{F}_p \xrightarrow{\psi_p} \mathcal{F}''_p$$

then we obtain $\psi_p\phi_p(s|_p) = 0$, that is $\psi\phi(s)|_p$.

On the other hand. Take $s \in \ker \psi(U)$, then for any $p \in U$ we have $s|_p \in \ker \psi_p$. By exactness of stalks, there exists $t_p \in \mathcal{F}'_p$ such that $\phi_p(t_p) = s|_p$. So there exists an open subset V_i containing p and $t_i \in \mathcal{F}'(V_i)$ such that $\phi(t_i) = s|_{V_i}$. We claim that these t_i can be glued together to obtain $t \in \mathcal{F}'(U)$. Since \mathcal{F}' is a sheaf, it suffices to check these t_i agree on intersections $V_i \cap V_j$. This follows from the injectivity of ϕ since $\phi(t_i - t_j|_{V_i \cap V_j}) = s|_{V_i \cap V_j} - s|_{V_i \cap V_j} = 0$.

Remark 2.2.1. From above argument, we can see that

$$0 \rightarrow \mathcal{F}' \xrightarrow{\phi} \mathcal{F} \xrightarrow{\psi} \mathcal{F}''$$

is exact if and only if for any open subset $U \subseteq X$

$$0 \rightarrow \mathcal{F}'(U) \xrightarrow{\phi(U)} \mathcal{F}(U) \xrightarrow{\psi(U)} \mathcal{F}''(U)$$

is exact.

In homological algebra, we can consider the derived functor of a left or right-exact functor. Here as we can see, take sections (in particular, global sections) of a sheaf is a left exact functor.

So, as what we did in homological, we need choose a injective resolution and consider the cohomology of the sequence of its global sections to define the sheaf cohomology.

Definition 2.2.1 (injective). A sheaf \mathcal{I} is injective if $\operatorname{Hom}(-, \mathcal{I})$ is an exact functor.

Theorem 2.2.1. \underline{Ab}_X is an abelian category with enough injectives. Namely, every sheaf \mathcal{F} can be realized as a subsheaf of some injective sheaf.

Definition 2.2.2 (injective resolution). Let \mathcal{F} be a sheaf, an injective resolution of \mathcal{F} is an exact sequence

$$0 \rightarrow \mathcal{F} \rightarrow \mathcal{I}^0 \rightarrow \mathcal{I}^1 \rightarrow \mathcal{I}^2 \rightarrow \dots$$

where $\mathcal{I}^i, i = 0, 1, 2, \dots$ are injective.

Theorem 2.2.2. Every sheaf admits an injective resolution.

Theorem 2.2.3. Let $\mathcal{F} \rightarrow \mathcal{I}^\bullet$ and $\mathcal{G} \rightarrow \mathcal{G}^\bullet$ are two resolutions, and $\phi : \mathcal{F} \rightarrow \mathcal{G}$ is a homomorphism of sheaves. Then there exists $\tilde{\phi} : \mathcal{I}^\bullet \rightarrow \mathcal{G}^\bullet$. Although the lifting of ϕ may not be unique, but they are homotopic.

Definition 2.2.3 (sheaf cohomology). Let \mathcal{F} be a sheaf of abelian groups, then

$$H^p(X, \mathcal{F}) := H^p(\mathcal{I}^\bullet(X))$$

Remark 2.2.2. This definition is independent of the choice of injective resolution thanks to 2.2.3.

Example 2.2.1. By definition,

$$H^0(X, \mathcal{F}) := \ker\{\mathcal{I}^0(X) \rightarrow \mathcal{I}^1(X)\}$$

Thus $H^0(X, \mathcal{F}) = \mathcal{F}(X)$, the global sections of sheaf.

Example 2.2.2. If \mathcal{F} is a injective sheaf, then $H^i(X, \mathcal{F}) = 0$ for all $i > 0$. It's clear if we choose the following injective resolution

$$0 \rightarrow \mathcal{F} \xrightarrow{\text{id}} \mathcal{F} \rightarrow 0 \rightarrow 0 \rightarrow \dots$$

Proposition 2.2.1 (zig-zag). If

$$0 \rightarrow \mathcal{F} \rightarrow \mathcal{G} \rightarrow \mathcal{H} \rightarrow 0$$

is a short sequence of sheaves, then there is an induced long exact sequence of abelian groups

$$0 \rightarrow H^0(X, \mathcal{F}) \rightarrow H^0(X, \mathcal{G}) \rightarrow H^0(X, \mathcal{H}) \rightarrow H^1(X, \mathcal{F}) \rightarrow H^1(X, \mathcal{G}) \rightarrow \dots$$

Definition 2.2.4 (direct image). Let $f : X \rightarrow Y$ be continuous map between topological spaces. Let \mathcal{F} be a sheaf of abelian groups on X . A sheaf $f_*\mathcal{F}$ on Y is defined by

$$f_*\mathcal{F}(U) := \mathcal{F}(f^{-1}(U))$$

$f_*\mathcal{F}$ is called direct image sheaf of \mathcal{F} .

Proposition 2.2.2. $f_* : \underline{Ab}_X \rightarrow \underline{Ab}_Y$ is a left exact functor.

Proof. Given an exact sequence of sheaves on X

$$0 \rightarrow \mathcal{F}' \rightarrow \mathcal{F} \rightarrow \mathcal{F}''$$

Then we need to show

$$0 \rightarrow f_*\mathcal{F}' \rightarrow f_*\mathcal{F} \rightarrow f_*\mathcal{F}''$$

is also an exact sequence on Y . But by Remark 2.2.1 it suffices to check for any $V \in Y$, we have the following exact sequence

$$0 \rightarrow f_* \mathcal{F}'(V) \rightarrow f_* \mathcal{F}(V) \rightarrow f_* \mathcal{F}''(V)$$

and that's

$$0 \rightarrow \mathcal{F}'(f^{-1}(V)) \rightarrow \mathcal{F}(f^{-1}(V)) \rightarrow \mathcal{F}''(f^{-1}(V))$$

and since f is continuous, then $f^{-1}(V)$ is an open subset in X . This completes the proof. \square

Since we obtain another left exact functor f_* , we can consider its derived functor.

Definition 2.2.5 (higher direct image sheaves). Let $0 \rightarrow \mathcal{F} \rightarrow \mathcal{I}^\bullet$ be an injective resolution of \mathcal{F} . The higher direct image sheaf is defined by: For open U ,

$$R^i f_* \mathcal{F}(U) := H^i(f_* \mathcal{I}^\bullet(U))$$

For higher direct image sheaves, it has similar properties parallel to 2.2.1, 2.2.2 and 2.2.1 since these are properties shared by derived functors.

2.3. Computation for Cohomology. Since it may be difficult for us to choose an injective resolution, we use other resolutions to compute sheaf cohomology.

Definition 2.3.1 (acyclic sheaf). A sheaf \mathcal{F} is acyclic if $H^i(X, \mathcal{F}) = 0, \forall i > 0$.

Example 2.3.1. Injective sheaf is acyclic.

Definition 2.3.2 (acyclic resolution). Let \mathcal{F} be a sheaf, an acyclic resolution of \mathcal{F} is an exact sequence

$$0 \rightarrow \mathcal{F} \rightarrow \mathcal{A}^0 \rightarrow \mathcal{A}^1 \rightarrow \mathcal{A}^2 \rightarrow \dots$$

where $\mathcal{A}^i, i = 0, 1, 2, \dots$ are acyclic.

Proposition 2.3.1. The cohomology of sheaf \mathcal{F} can be computed using acyclic resolution.

In fact, it's a quite homological techniques, called dimension shifting. So we will state this technique in language of homological algebra. Let's see a baby version of it.

Example 2.3.2. Let \mathcal{F} be a left exact functor, and $0 \rightarrow A \rightarrow M_1 \rightarrow B \rightarrow 0$ is exact with M_1 is \mathcal{F} -acyclic. Then $R^{i+1} \mathcal{F}(A) \cong R^i \mathcal{F}(B)$ for $i > 0$, and $R^1 \mathcal{F}(A)$ is the cokernel of $\mathcal{F}(M_1) \rightarrow \mathcal{F}(B)$.

Proof. Consider the long exact sequence induced by $0 \rightarrow A \rightarrow M_1 \rightarrow B \rightarrow 0$, then we obtain

$$R^i \mathcal{F}(M_1) \rightarrow R^i \mathcal{F}(B) \rightarrow R^{i+1} \mathcal{F}(A) \rightarrow R^{i+1} \mathcal{F}(M_1)$$

If $i > 0$, then $R^i \mathcal{F}(M_1) = R^{i+1} \mathcal{F}(M_1) = 0$ since M_1 is \mathcal{F} -acyclic. So we obtain $R^{i+1} \mathcal{F}(A) \cong R^i \mathcal{F}(B)$. If $i = 0$, then

$$0 \rightarrow \mathcal{F}(M_1) \rightarrow \mathcal{F}(B) \rightarrow R^1 \mathcal{F}(A) \rightarrow 0$$

implies $R^1 \mathcal{F}(A) = \text{coker}\{\mathcal{F}(M_1) \rightarrow \mathcal{F}(B)\}$ \square

Now let's prove dimension shifting in a general setting.

Lemma 2.3.1 (dimension shifting). If

$$0 \rightarrow A \rightarrow M_1 \rightarrow M_2 \rightarrow \cdots \rightarrow M_m \rightarrow B \rightarrow 0$$

is exact with M_i is \mathcal{F} -acyclic. Then $R^{i+m} \mathcal{F}(A) \cong R^i \mathcal{F}(B)$ for $i > 0$, and $R^m \mathcal{F}(A)$ is the cokernel of $\mathcal{F}(M_m) \rightarrow \mathcal{F}(B)$.

Proof. Prove it by induction on m . For $m = 1$, we already see it in Example 2.3.2. Assume this holds for $m < k$, then for $m = k$, let's split $0 \rightarrow A \rightarrow M_1 \rightarrow M_2 \rightarrow \cdots \rightarrow M_k \xrightarrow{d_k} B \rightarrow 0$ into two exact sequences

$$0 \rightarrow A \rightarrow M_1 \rightarrow M_2 \rightarrow \cdots \rightarrow M_{k-1} \rightarrow \ker d_k \rightarrow 0$$

$$0 \rightarrow \ker d_k \rightarrow M_k \xrightarrow{d_k} B \rightarrow 0$$

Then by induction, for $i > 0$ we have

$$R^{i+k-1} \mathcal{F}(A) \cong R^i \mathcal{F}(\ker d_k)$$

$$R^{i+1} \mathcal{F}(\ker d_k) \cong R^i \mathcal{F}(B)$$

Combine these two isomorphisms together we obtain $R^{i+k} \mathcal{F}(A) \cong R^i \mathcal{F}(B)$ for $i > 0$, as desired. For $i = 0$, it suffices to let $i = 1$ in $R^{i+k-1} \mathcal{F}(A) \cong R^i \mathcal{F}(\ker d_k)$, then we obtain

$$R^k \mathcal{F}(A) = R^1 \mathcal{F}(\ker d_k) = \text{coker}\{\mathcal{F}(M_k) \rightarrow \mathcal{F}(B)\}$$

This completes the proof. \square

Corollary 2.3.1. $0 \rightarrow A \rightarrow M_\bullet$ is a \mathcal{F} -acyclic resolution, then $R^i \mathcal{F}(A) = H^i(\mathcal{F}(M))$.

Proof. Truncate the resolution as

$$0 \rightarrow A \rightarrow M_0 \rightarrow M_1 \rightarrow \cdots \rightarrow M_{i-1} \rightarrow B \rightarrow 0$$

$$0 \rightarrow B \rightarrow M_i \rightarrow M_{i+1} \rightarrow \cdots$$

Since we already have $R^i \mathcal{F}(A) = \text{coker}\{\mathcal{F}(M_{i-1}) \rightarrow \mathcal{F}(B)\}$. Note \mathcal{F} is left exact, then

$$\mathcal{F}(B) = \ker\{\mathcal{F}(M_i) \rightarrow \mathcal{F}(M_{i+1})\}$$

Thus we obtain

$$R^i \mathcal{F}(A) = \text{coker}\{\mathcal{F}(M_{i-1}) \rightarrow \ker\{\mathcal{F}(M_i) \rightarrow \mathcal{F}(M_{i+1})\}\} = H^i(\mathcal{F}(M))$$

\square

2.4. Examples about acyclic sheaf.

2.4.1. *Flabby sheaf*. First kind of acyclic sheaf is flabby¹ sheaf.

Definition 2.4.1 (flabby). A sheaf \mathcal{F} is flabby if all open $U \subseteq V$, the restriction map $\mathcal{F}(V) \rightarrow \mathcal{F}(U)$ is surjective.

Now let's see some examples about flabby sheaves.

Example 2.4.1. A constant sheaf on an irreducible topological space is flabby.

Proof. Note that the constant presheaf on a irreducible topological space is a sheaf in fact. And it's easy to see this constant presheaf is flabby. \square

In particular, we have

Example 2.4.2. Let X be an algebraic variety, so any two non-empty open sets intersect non-trivially. Then constant sheaf \mathbb{Z}_X is flabby.

Example 2.4.3. If \mathcal{F} is a flabby sheaf on X , and $f: X \rightarrow Y$ is a continuous map, then $f_*\mathcal{F}$ is a flabby sheaf on Y .

Proof. For $V \subseteq W$ in Y , it suffices to show $f_*\mathcal{F}(W) \rightarrow f_*\mathcal{F}(V)$ is surjective, and that's

$$\mathcal{F}(f^{-1}W) \rightarrow \mathcal{F}(f^{-1}V)$$

it's surjective since \mathcal{F} is flabby. \square

Example 2.4.4. An injective sheaf is flabby.

Proof. Let \mathcal{I} be an injective sheaf and let $V \subseteq U$ be an inclusion of open sets. We define a sheaf $\underline{\mathbb{Z}}_U$ on X by

$$\underline{\mathbb{Z}}_U := \begin{cases} \underline{\mathbb{Z}}(W) & W \subseteq U \\ 0 & \text{otherwise} \end{cases}$$

where $\underline{\mathbb{Z}}$ is constant sheaf valued in \mathbb{Z} . Similarly we can define $\underline{\mathbb{Z}}_V$, and we have $\underline{\mathbb{Z}}_U(W) = \underline{\mathbb{Z}}_V(W)$ unless $W \subseteq U$ and $W \not\subseteq V$. Thus we obtain an exact sequence

$$0 \rightarrow \underline{\mathbb{Z}}_V \rightarrow \underline{\mathbb{Z}}_U$$

Applying the functor $\text{Hom}(-, \mathcal{I})$, which is exact, we obtain an exact sequence

$$\text{Hom}(\underline{\mathbb{Z}}_U, \mathcal{I}) \rightarrow \text{Hom}(\underline{\mathbb{Z}}_V, \mathcal{I}) \rightarrow 0$$

is exact. Now let's see why we need such a weird sheaf $\underline{\mathbb{Z}}_U$. In fact, we will prove $\text{Hom}(\underline{\mathbb{Z}}_U, \mathcal{I}) = \mathcal{I}(U)$. Indeed since $\varphi: \underline{\mathbb{Z}}_U \rightarrow \mathcal{I}$ is a sheaf morphism. Then if $W \not\subseteq U$, then $\varphi(U)$ must be zero. If $W = U$, then the group of sections of $\underline{\mathbb{Z}}_U(U)$ over any connected component is simply \mathbb{Z} and hence $\varphi(U)$ on this connected component is determined by the image of $1 \in \mathbb{Z}$. Thus $\varphi(U)$ can be thought of an element of $\mathcal{I}(U)$. Now on any proper open subset

¹Some authors also call this flasque.

of U , φ is determined by restriction maps. Hence $\text{Hom}(\underline{\mathbb{Z}}_U, \mathcal{I}) = \mathcal{I}(U)$, as desired. We can do similar things for V , and we obtain an exact sequence

$$\mathcal{I}(U) \rightarrow \mathcal{I}(V) \rightarrow 0$$

Thus \mathcal{I} is flabby. \square

Our goal is to prove a flabby sheaf is acyclic, but we still need some property of flabby sheaves.

Proposition 2.4.1. If $0 \rightarrow \mathcal{F}' \xrightarrow{\phi} \mathcal{F} \xrightarrow{\psi} \mathcal{F}'' \rightarrow 0$ is an exact sequence of sheaves, and \mathcal{F}' is flabby, then for any open set U , the sequence

$$0 \rightarrow \mathcal{F}'(U) \xrightarrow{\phi(U)} \mathcal{F}(U) \xrightarrow{\psi(U)} \mathcal{F}''(U) \rightarrow 0$$

is exact.

Proof. It suffices to show $\mathcal{F}(U) \rightarrow \mathcal{F}''(U) \rightarrow 0$ is exact. And it may be quite hard than it looks and here we give a sketch of proof.

Since we have exact sequence on stalks for each $p \in U$ as follows

$$0 \rightarrow \mathcal{F}'_p \xrightarrow{\phi_p} \mathcal{F}_p \xrightarrow{\psi_p} \mathcal{F}''_p \rightarrow 0$$

Then for each $s \in \mathcal{F}''(U)$, then there exists $t_p \in \mathcal{F}_p$ such that $\psi_p(t_p) = s|_p$. So there exists $V_p \subseteq U$ containing p and $t \in \mathcal{F}(V_p)$ such that $\psi(t) = s|_{V_p}$. If we can glue these t together then we get a section in $\mathcal{F}(U)$ and is mapped to s , which completes the proof. However, they may not equal on the intersection. But things are not too bad, consider another point q and $t' \in \mathcal{F}(V_q)$ such that $\psi(t') = s|_{V_q}$, $(t' - t)|_{V_p \cap V_q} \in \ker \psi|_{V_p \cap V_q} = \text{im } \phi|_{V_p \cap V_q}$. So there exists $t'' \in \mathcal{F}'(V_p \cap V_q)$ such that

$$\phi(t'') = (t' - t)|_{V_p \cap V_q}$$

Now since \mathcal{F}' is flabby, then there exists $t''' \in \mathcal{F}'(V_p)$ such that $t''|_{V_p \cap V_q} = t'''$. And consider $t + \phi(t''') \in \mathcal{F}(V_p)$, which will coincide with t' on $V_p \cap V_q$. After above corrections, we can glue t after correction together. \square

Proposition 2.4.2. If $0 \rightarrow \mathcal{F}' \rightarrow \mathcal{F} \rightarrow \mathcal{F}'' \rightarrow 0$ is an exact sequence of sheaves, and if \mathcal{F}' and \mathcal{F} are flabby, then \mathcal{F}'' is flabby.

Proof. Take $V \subseteq U$ and consider the following diagram

$$\begin{array}{ccccccccc} 0 & \longrightarrow & \mathcal{F}'(U) & \longrightarrow & \mathcal{F}(U) & \longrightarrow & \mathcal{F}''(U) & \longrightarrow & 0 \\ \downarrow & & \downarrow & & \downarrow & & \downarrow & & \downarrow \\ 0 & \longrightarrow & \mathcal{F}'(V) & \longrightarrow & \mathcal{F}(V) & \longrightarrow & \mathcal{F}''(V) & \longrightarrow & 0 \end{array}$$

Then five lemma completes the proof. \square

Proposition 2.4.3. A flabby sheaf is acyclic.

Proof. Let \mathcal{F} be a flabby sheaf. Since there are enough injectives, there is an exact sequence

$$0 \rightarrow \mathcal{F} \rightarrow \mathcal{I} \rightarrow \mathcal{Q} \rightarrow 0$$

with \mathcal{I} is injective. By Example 2.4.4 we have \mathcal{I} is flabby. And by Proposition 2.4.2 we have \mathcal{Q} is flabby. Consider the long exact sequence induced from above short exact sequence

$$\mathcal{F}(X) \rightarrow \mathcal{I}(X) \rightarrow \mathcal{Q}(X) \rightarrow H^1(X, \mathcal{F}) \rightarrow H^1(X, \mathcal{I}) \rightarrow \dots$$

Since injective sheaf is acyclic, then $H^1(X, \mathcal{I}) = 0$. Then $H^1(X, \mathcal{F}) = \text{coker}\{\mathcal{I}(X) \rightarrow \mathcal{Q}(X)\}$. But from Proposition 2.4.1 we know that it's surjective. So $H^1(X, \mathcal{F}) = 0$.

Now we prove $H^k(X, \mathcal{F}) = 0$ for $k > 0$ by induction on k . We already show $k = 1$. Assume this holds for $k < n$. Then consider

$$\dots \rightarrow H^{n-1}(X, \mathcal{F}) \rightarrow H^{n-1}(X, \mathcal{I}) \rightarrow H^{n-1}(X, \mathcal{Q}) \rightarrow H^n(X, \mathcal{F}) \rightarrow H^n(X, \mathcal{I}) \rightarrow H^n(X, \mathcal{Q}) \rightarrow \dots$$

From we already know, we can reduce above sequence to

$$\dots \rightarrow 0 \rightarrow 0 \rightarrow 0 \rightarrow H^n(X, \mathcal{F}) \rightarrow 0 \rightarrow H^n(X, \mathcal{Q}) \rightarrow \dots$$

which implies $H^n(X, \mathcal{F}) = 0$. This completes the proof. \square

2.4.2. Soft sheaf. The second kind of acyclic sheaves is called soft sheaves, which is quit similar to flabby.

Definition 2.4.2 (soft). A sheaf \mathcal{F} over X is soft if for any closed subset $S \subseteq X$ the restriction map $\mathcal{F}(X) \rightarrow \mathcal{F}(S)$ is surjective.

Remark 2.4.1. Here we need to know how to define sections over a closed subset: For closed subset S ,

$$\mathcal{F}(S) := \varinjlim_{S \subseteq U} \mathcal{F}(U)$$

Quite similar to Proposition 2.4.1 and 2.4.2, soft sheaf has the following properties:

Proposition 2.4.4. If $0 \rightarrow \mathcal{F}' \xrightarrow{\phi} \mathcal{F} \xrightarrow{\psi} \mathcal{F}'' \rightarrow 0$ is an exact sequence of sheaves, and \mathcal{F}' is soft, then the following sequence

$$0 \rightarrow \mathcal{F}'(X) \xrightarrow{\phi(X)} \mathcal{F}(X) \xrightarrow{\psi(X)} \mathcal{F}''(X) \rightarrow 0$$

is exact.

Proposition 2.4.5. If $0 \rightarrow \mathcal{F}' \rightarrow \mathcal{F} \rightarrow \mathcal{F}'' \rightarrow 0$ is an exact sequence of sheaves, and if \mathcal{F}' and \mathcal{F} are soft, then \mathcal{F}'' is soft.

Proposition 2.4.6. A soft sheaf is acyclic.

So you may wonder, what's the difference between flabby and soft since the definitions are quite similar, and both of them are acyclic. Clearly by definition of sections over a closed subset, we know that every flabby sheaf is soft, but converse fails

Example 2.4.5. The sheaf of smooth functions on a smooth manifold is soft but not flabby.

Lemma 2.4.1. If \mathcal{M} is a sheaf of modules over a soft sheaf of rings \mathcal{R} , then \mathcal{M} is a soft sheaf.

Proof. Let $s \in \mathcal{M}(K)$ for K a closed subset of X . Then s extends to some open neighborhood U of K . Let $\rho \in \mathcal{R}(K \cup (X - U))$ be defined by

$$\rho = \begin{cases} 1, & \text{on } K \\ 0, & \text{on } X - U \end{cases}$$

Since \mathcal{R} is soft, then ρ extends to a section over X , then ρs is the desired extension of s . \square

2.4.3. Fine sheaf. Another important kind of acyclic sheaves, which behaves like sheaf of differential forms Ω_X^k is called fine sheaf. Recall what is a partition of unity: Let $U = \{U_i\}_{i \in I}$ be a locally finite open cover of X . A partition of unity subordinate to U is a collection of continuous or smooth functions $f_i : U_i \rightarrow [0, 1]$ for each $i \in I$ such that its support lies in U_i , and for any $x \in X$

$$\sum_{i \in I} f_i(x) = 1$$

Note that for a smooth manifold M , then sheaf of differential k -forms is a C_M^∞ -module sheaf.

Definition 2.4.3 (fine sheaf). A fine sheaf \mathcal{F} on X is a sheaf of \mathcal{A} -modules, where \mathcal{A} is a sheaf of rings such that for every locally finite open cover $\{U_i\}_{i \in I}$ of X , there is a partition of unity

$$\sum_{i \in I} \rho_i = 1$$

where $\rho_i \in \mathcal{A}(X)$ and $\text{supp}(\rho_i) \subseteq U_i$.

Remark 2.4.2. It's necessary to give an explicit definition of support of a section: For a sheaf \mathcal{F} on X and a section $s \in \mathcal{F}(X)$, its support is defined as

$$\text{supp}(s) := \overline{\{x \in X : s|_x \neq 0\}}$$

Proposition 2.4.7. A fine sheaf is acyclic.

Proof. Let \mathcal{F} be a sheaf of \mathcal{A} -modules and a fine sheaf. And choose a injective resolution

$$0 \rightarrow \mathcal{F} \xrightarrow{d} \mathcal{I}^0 \xrightarrow{d} \mathcal{I}^1 \xrightarrow{d} \mathcal{I}^2 \xrightarrow{d} \dots$$

such that \mathcal{I}^i are injective sheaves of \mathcal{A} -modules. Let $s \in \mathcal{I}^p(X)$ such that $ds = 0$. Then by exactness of injective resolution we have X is covered by open sets U_i such that for each i there is an element $t_i \in \mathcal{I}^{p-1}(U_i)$ such that $dt_i = s|_{U_i}$. By passing to a refinement we may assume that the cover $\{U_i\}$ is

locally finite. Let $\{\rho_i\}$ be a partition of unity subordinate to $\{U_i\}$. Then we have $t = \sum \rho_i t_i \in \mathcal{I}^{p-1}(X)$ such that $dt = s$. This completes the proof. \square

Example 2.4.6. Let M be a manifold and let $\pi: E \rightarrow M$ be a vector bundle, then sheaf of smooth sections of E is also a fine sheaf.

Example 2.4.7. Tangent sheaf T_M , and its tensor $T_M^{\otimes k}$, sheaf of differential forms Ω_M and k -forms Ω_M^k are fine sheaves.

Remark 2.4.3. So from Example 2.4.6, we know that it's meaningless to compute cohomology of sheaf of differential k -forms, or any other vector bundle over a smooth manifold. But in complex version, something interesting happens:

Let (X, \mathcal{O}_X) be a complex manifold, and let $\pi: E \rightarrow X$ a holomorphic vector bundle. Then the sheaf of holomorphic sections of E is not a fine sheaf since there is no partition of unity we use in the sense of smooth. So we may compute cohomology of some holomorphic vector bundle, and that's what does Dolbeault cohomology compute.

For fine sheaf and soft sheaf, we have

Lemma 2.4.2. Fine sheaf is soft

Proof. Let \mathcal{F} be a fine sheaf, $S \subseteq X$ closed and $s \in \mathcal{F}(S)$. Let $\{U_i\}$ be an open covering of S and $s_i \in \mathcal{F}(U_i)$ such that

$$s_i|_{S \cap U_i} = s|_{S \cap U_i}$$

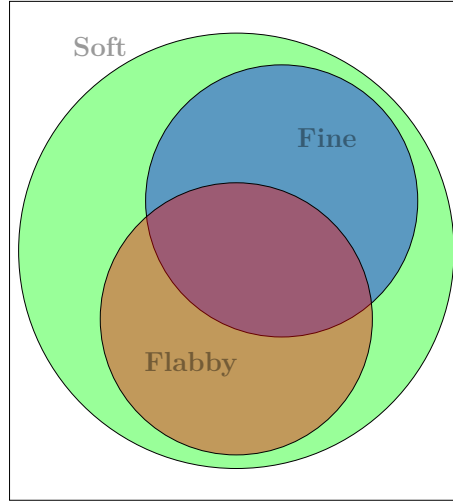
Let $U_0 = X - S$, and $s_0 = 0$. Then $\{U_i\} \coprod \{U_0\}$ is an open covering of X , WLOG we assume this open covering is locally finite and choose a partition of unity $\{\rho_i\}$ subordinate to it. Set

$$\bar{s} := \sum_i \rho_i(s_i) \in \mathcal{F}(X)$$

which extends s . \square

Remark 2.4.4. For flabby, soft, fine and acyclic sheaves. In fact we have the following relations:

Acyclic



Indeed, constant sheaf on an irreducible space is flabby but not fine, sheaf of smooth functions on a smooth manifold is fine but not flabby.

2.5. Proof of de Rham theorem using sheaf cohomology. As we already know, for constant sheaf \mathbb{R} over a smooth manifold M , we have the following fine resolution

$$0 \rightarrow \mathbb{R} \xrightarrow{i} \Omega_M^0 \xrightarrow{d} \Omega_M^1 \xrightarrow{d} \Omega_M^2 \xrightarrow{d} \dots$$

And de Rham cohomology computes the sheaf cohomology of \mathbb{R} . de Rham theorem implies that de Rham cohomology equals to the singular cohomology with real coefficient. So if we can give constant sheaf another resolution using singular cochains, we may derive the de Rham cohomology.

We state this in a general setting: Let X be a topological manifold, and a constant sheaf \underline{G} over X , where G is an abelian group. Let $S^p(U, G)$ be the group of singular cochains in U with coefficients in G , and let δ denote the coboundary operator.

Let $\mathcal{S}^p(G)$ be the sheaf over X generated by the presheaf $U \mapsto S^p(U, G)$, with induced differential mapping $\mathcal{S}^p(G) \xrightarrow{\delta} \mathcal{S}^{p+1}(G)$.

Similar to Poincaré lemma, we have for a unit ball U in Euclidean space, we have the following sequence

$$\dots \rightarrow S^{p-1}(U, G) \xrightarrow{\delta} S^p(U, G) \xrightarrow{\delta} S^{p+1}(U, G) \rightarrow \dots$$

is exact. So we have the following resolution of the constant sheaf \underline{G}

$$0 \rightarrow \underline{G} \rightarrow \mathcal{S}^0(G) \xrightarrow{\delta} \mathcal{S}^1(G) \xrightarrow{\delta} \mathcal{S}^2(G) \rightarrow \dots$$

Remark 2.5.1. If M is a smooth manifold, then we can consider smooth chains, that is $f: \Delta^p \rightarrow U$, where f is a smooth function. The corresponding results above still hold, and we have a resolution by smooth cochains with

coefficients in G :

$$0 \rightarrow \underline{G} \rightarrow \mathcal{S}_\infty^\bullet(G)$$

So if we choose $G = \mathbb{R}$, then it suffices to show $0 \rightarrow \underline{\mathbb{R}} \rightarrow \mathcal{S}_\infty^\bullet(\mathbb{R})$ is an acyclic resolution, then we obtain de Rham theorem.

First, note that \mathcal{S}_∞^p is a \mathcal{S}_∞^0 -module, given by cup product on open sets. Then by Lemma 2.4.1 and the fact \mathcal{S}_∞^0 is soft we know that it's a soft resolution. This completes the proof.

2.6. Hypercohomology. In homological algebra, the hypercohomology is a generalization of cohomology functor which takes as input not objects in abelian category but instead chain complexes of objects.

One of the motivations for hypercohomology comes from the fact that there isn't an obvious generalization of cohomological long exact sequences associated to short exact sequence

$$0 \rightarrow \mathcal{F}' \rightarrow \mathcal{F} \rightarrow \mathcal{F}'' \rightarrow 0$$

It turns out hypercohomology gives techniques for constructing a similar cohomological associated long exact sequence from an arbitrary long exact sequence

$$0 \rightarrow \mathcal{F}_1 \rightarrow \mathcal{F}_2 \rightarrow \cdots \rightarrow \mathcal{F}_k \rightarrow 0$$

Now let's clarify the definition of hypercohomology: Let $\mathcal{F}^\bullet : \cdots \rightarrow \mathcal{F}^{i-1} \rightarrow \mathcal{F}^i \rightarrow \mathcal{F}^{i+1} \rightarrow \cdots$ be a complex of sheaves of abelian groups. Assume \mathcal{F}^\bullet is bounded below, i.e. $\mathcal{F}^n = 0$ for $n \ll 0$. Then \mathcal{F}^\bullet admits an injective resolution $\mathcal{F}^\bullet \rightarrow \mathcal{I}^\bullet$. In other words

$$\begin{array}{ccccccc} \cdots & \longrightarrow & \mathcal{F}^{i-1} & \longrightarrow & \mathcal{F}^i & \longrightarrow & \mathcal{F}^{i+1} \longrightarrow \cdots \\ & & \downarrow & & \downarrow & & \downarrow \\ \cdots & \longrightarrow & \mathcal{I}^{i-1} & \longrightarrow & \mathcal{I}^i & \longrightarrow & \mathcal{I}^{i+1} \longrightarrow \cdots \end{array}$$

such that

- (1) All \mathcal{I}^i are injective sheaves.
- (2) The induced homomorphism $H^i(\mathcal{F}^\bullet) \rightarrow H^i(\mathcal{I}^\bullet)$ is an isomorphism.

The hypercohomology of \mathcal{F}^\bullet is defined by

$$H^i(X, \mathcal{F}^\bullet) := H^i(\Gamma(X, \mathcal{I}^\bullet))$$

Notation 2.6.1. Let $\mathcal{F}^\bullet[n]$ be the complex obtained from \mathcal{F}^\bullet by

$$(\mathcal{F}^\bullet[n])^i = \begin{cases} \mathcal{F}^i, & i = n \\ 0, & \text{otherwise} \end{cases}$$

Example 2.6.1. Let \mathcal{F} be a sheaf and consider $\mathcal{F}^\bullet[0]$, that is,

$$0 \rightarrow \underbrace{\mathcal{F}}_{\text{deg zero}} \rightarrow 0 \rightarrow 0 \rightarrow \cdots$$

If $0 \rightarrow \mathcal{F} \rightarrow \mathcal{I}^0 \rightarrow \mathcal{I}^1 \rightarrow \cdots$ is an injective resolution of \mathcal{F} , then

$$\begin{array}{ccccccc}
0 & \longrightarrow & \mathcal{F} & \longrightarrow & 0 & \longrightarrow & 0 \longrightarrow \dots \\
& & \downarrow & & \downarrow & & \downarrow \\
0 & \longrightarrow & \mathcal{I}^0 & \longrightarrow & \mathcal{I}^1 & \longrightarrow & \mathcal{I}^2 \longrightarrow \dots
\end{array}$$

is an injective resolution of \mathcal{F}^\bullet . Indeed, \mathcal{I}^i are injective for all $i \geq 0$. Furthermore,

$$H^i(\mathcal{I}^\bullet) = \begin{cases} \mathcal{F}, & n = 0 \\ 0, & \text{otherwise} \end{cases} = H^i(\mathcal{F}^\bullet[0])$$

So by definition of hypercohomology, we have $H^i(X, \mathcal{F}^\bullet[0]) = H^i(\Gamma(X, \mathcal{I}^\bullet)) = H^i(X, \mathcal{F}^\bullet)$. For general case, we have

$$H^i(X, \mathcal{F}^\bullet[n]) \cong H^{i+n}(X, \mathcal{F})$$

Proposition 2.6.1 (zig-zag in hypercohomology). Let $0 \rightarrow \mathcal{F}^\bullet \rightarrow \mathcal{G}^\bullet \rightarrow \mathcal{H}^\bullet \rightarrow 0$ be a short exact sequence of bounded below complex. Then there is an induced long exact sequence

$$\dots \rightarrow H^{i-1}(X, \mathcal{H}^\bullet) \rightarrow H^i(X, \mathcal{F}^\bullet) \rightarrow H^i(X, \mathcal{G}^\bullet) \rightarrow H^i(X, \mathcal{H}^\bullet) \rightarrow H^{i+1}(X, \mathcal{F}^\bullet) \rightarrow \dots$$

3. PROPERTIES OF SCHEMES

3.1. Reduced, irreducible and integral scheme.

Definition 3.1.1. Let (X, \mathcal{O}_X) be a scheme. Then it's

- (1) connected if X is connected.
- (2) irreducible if X is irreducible.
- (3) reduced if for every open subset U of X , $\mathcal{O}_X(U)$ is reduced.
- (4) integral if for every open subset U of X , $\mathcal{O}_X(U)$ is an integral domain.
- (5) locally integral if $\mathcal{O}_{X,P}$ is an integral domain for every $P \in X$.

Proposition 3.1.1. A scheme (X, \mathcal{O}_X) is integral if and only if it's irreducible and reduced.

Proposition 3.1.2. Let (X, \mathcal{O}_X) be an integral scheme and ξ be its generic point. Then $\mathcal{O}_{X,\xi}$ is a field.

Proposition 3.1.3. A scheme (X, \mathcal{O}_X) is reduced if and only if $\mathcal{O}_{X,P}$ is reduced for every $P \in X$.

Proposition 3.1.4. Let (X, \mathcal{O}_X) be a scheme such that X is a noetherian topological space. Then (X, \mathcal{O}_X) is locally integral if and only if it's reduced and its irreducible component are disjoint.

3.2. Affine criterion.

Definition 3.2.1. Let (X, \mathcal{O}_X) be a scheme. For any section $f \in \mathcal{O}_X(X)$, X_f is defined to be the subset of X consisting of those $P \in X$ such that the germ of f at P is a unit in $\mathcal{O}_{X,P}$.

Proposition 3.2.1. Let (X, \mathcal{O}_X) be a scheme.

- (1) For every $f \in \mathcal{O}_X(X)$, X_f is open. It's empty if and only if there exists an open covering $\{U_i\}_{i \in I}$ of X such that each $f|_{U_i}$ is nilpotent.
- (2) For any $f, g \in \mathcal{O}_X(X)$, we have $X_f \cap X_g = X_{fg}$.
- (3) Let $(\varphi, \varphi^\#): (X, \mathcal{O}_X) \rightarrow (Y, \mathcal{O}_Y)$ be a morphism of schemes and $f \in \mathcal{O}_Y(Y)$. Then $\varphi^{-1}(Y_f) = X_{\varphi^\#(f)}$.
- (4) Suppose X can be covered by finitely many affine open subschemes $\{U_i\}_{i \in I}$ such that $U_i \cap U_j$ can be covered by finitely many affine open subschemes for all $i, j \in I$. Let $A = \mathcal{O}_X(X)$. Then for any $f \in A$, we have $\mathcal{O}_X(X_f) = A_f$.

Proposition 3.2.2. A scheme (X, \mathcal{O}_X) is affine if and only if there exist finitely many sections $f_1, \dots, f_n \in \mathcal{O}_X(X)$ generating the unit ideal of $\mathcal{O}_X(X)$ such that each open subscheme $(X_{f_i}, \mathcal{O}_X|_{X_{f_i}})$ is affine.

3.3. Noetherian scheme.

Definition 3.3.1. A scheme (X, \mathcal{O}_X) is called locally noetherian if it can be covered by affine open subschemes $\{U_i = \text{Spec } A_i\}_{i \in I}$ such that each A_i is noetherian, and it's called noetherian if it's quasi-compact and locally noetherian.

Remark 3.3.1. If (X, \mathcal{O}_X) is a noetherian scheme, then X is a noetherian topological space, but the converse is not true.

Proposition 3.3.1. Let (X, \mathcal{O}_X) be a locally noetherian scheme. Then for any affine open subscheme $U = \text{Spec } A$ of X , A is noetherian. In particular, an affine scheme $(\text{Spec } A, \mathcal{O}_{\text{Spec } A})$ is locally noetherian if and only if A is noetherian.

4. PROPERTIES OF MORPHISMS

4.1. Quasi-compact, affine, finite type and finite.

Definition 4.1.1. Let $f: X \rightarrow Y$ be a morphism of schemes. Then it's

- (1) quasi-compact if there exists a covering of Y by affine open subschemes $\{V_i\}_{i \in I}$ such that each $f^{-1}(V_i)$ is quasi-compact.
- (2) affine if there exists a covering of Y by affine open subschemes $\{V_i\}_{i \in I}$ such that each $f^{-1}(V_i)$ is affine.
- (3) locally of finite type if there exists a covering of Y by affine open subschemes $\{V_i = \text{Spec } B_i\}_{i \in I}$ such that each $f^{-1}(V_i)$ can be covered by affine open subschemes $\{U_{ij} = \text{Spec } A_{ij}\}_{j \in J_i}$ for some finitely generated B_i -algebra A_{ij} .
- (4) finite type if it's quasi-compact and locally of finite type.
- (5) finite if there exists a covering of Y by affine open subschemes $\{V_i = \text{Spec } B_i\}_{i \in I}$ such that each $f^{-1}(V_i) = \text{Spec } A_i$ for some finitely generated B_i -module A_i .

Proposition 4.1.1. Let $f: X \rightarrow Y$ be a morphism of schemes.

- (1) f is quasi-compact if and only if for every open quasi-compact subset V of Y , $f^{-1}(V)$ is quasi-compact.
- (2) f is affine if and only if for every affine open subscheme V of Y , $f^{-1}(V)$ is affine.
- (3) f is locally of finite type if and only if for every affine open subscheme $V = \text{Spec } B$ of Y and every affine open subscheme $U = \text{Spec } A$ of X such that $f(U) \subseteq V$, the B -algebra A is finitely generated.
- (4) f is of finite type if and only if for every affine open subscheme $V = \text{Spec } B$ of Y , $f^{-1}(V)$ can be covered by finitely many affine open subschemes $\{U_j = \text{Spec } A_j\}_{j \in J}$ such that each A_j is a finitely generated B -algebra.
- (5) f is finite if and only if for every affine open subscheme $V = \text{Spec } B$ of Y , $f^{-1}(V) = \text{Spec } A$ for some finitely generated B -module A .

4.2. Open immersion and closed immersion.

Definition 4.2.1. A morphism $(f, f^\#): (Z, \mathcal{O}_Z) \rightarrow (X, \mathcal{O}_X)$ is called an open immersion if it induces an isomorphism of (Z, \mathcal{O}_Z) with an open subscheme of (X, \mathcal{O}_X) .

Definition 4.2.2. A morphism $(f, f^\#): (Z, \mathcal{O}_Z) \rightarrow (X, \mathcal{O}_X)$ is called a closed immersion if it induces a homeomorphism of Z with a closed subset of X , and $f^\#: \mathcal{O}_X \rightarrow f_*\mathcal{O}_Z$ is surjective.

Definition 4.2.3. A morphism $Z \rightarrow X$ is called an immersion if it can be written as a composite $Z \rightarrow U \rightarrow X$ such that $U \rightarrow X$ is an open immersion and $Z \rightarrow U$ is a closed immersion.

Definition 4.2.4. A subset Z of X is called locally closed if it's the intersection of an open subset with a closed subset.

Proposition 4.2.1. Let $(f, f^\#): (Z, \mathcal{O}_Z) \rightarrow (X, \mathcal{O}_X)$ be a morphism of schemes.

- (1) $(f, f^\#): (Z, \mathcal{O}_Z) \rightarrow (X, \mathcal{O}_X)$ is an open immersion if and only if f induces a homeomorphism of Z with an open subset of X and $f_P^\#: \mathcal{O}_{X, f(P)} \rightarrow \mathcal{O}_{Z, P}$ is an isomorphism for every $P \in Z$.
- (2) $(f, f^\#): (Z, \mathcal{O}_Z) \rightarrow (X, \mathcal{O}_X)$ is an immersion if and only if f induces a homeomorphism of Z with a locally closed subset of X and $f_P^\#: \mathcal{O}_{X, f(P)} \rightarrow \mathcal{O}_{Z, P}$ is an epimorphism.
- (3) Immersions are monomorphisms in the category of schemes. Moreover, the composite of immersions is an immersion, so are open immersion and closed immersion.

4.3. Fiber product. In this section S always is a scheme.

Definition 4.3.1.

- (1) An S -scheme is a scheme X together with a morphism $X \rightarrow S$.
- (2) An S -morphism from an S -scheme X to an S -scheme Y is a morphism $X \rightarrow Y$ such that the diagram

$$\begin{array}{ccc} X & \xrightarrow{\quad} & Y \\ & \searrow & \swarrow \\ & S & \end{array}$$

commutes.

Remark 4.3.1. For any scheme X , there is a unique morphism $X \rightarrow \operatorname{Spec} \mathbb{Z}$, so the category of schemes coincides with the category of $\operatorname{Spec} \mathbb{Z}$ -schemes.

Definition 4.3.2. Let X and Y be S -schemes. The product in the category of S -schemes is called the fiber product of X and Y over S , which is a S -scheme denoted by $X \times_S Y$.

Proposition 4.3.1. For S -schemes X and Y , their fiber product over S exists and unique up to unique isomorphism.

4.4. Separated morphism.

4.4.1. *Separated.*

Definition 4.4.1. Let $f: X \rightarrow Y$ be a morphism of schemes. The diagonal morphism $\Delta_{X/Y}: X \rightarrow X \times_Y X$ to be the unique morphism satisfying

$$p \circ \Delta_{X/Y} = q \circ \Delta_{X/Y} = \operatorname{id}_X$$

Definition 4.4.2. Let $f: X \rightarrow Y$ be a morphism of schemes. It's called separated if $\Delta_{X/Y}$ is a closed immersion.

Definition 4.4.3. A scheme X is called separated if the canonical morphism $X \rightarrow \operatorname{Spec} \mathbb{Z}$ is separated.

Proposition 4.4.1. Let $f: \operatorname{Spec} B \rightarrow \operatorname{Spec} A$ be a morphism of affine schemes. Then f is separated.

Proposition 4.4.2. Let $f: X \rightarrow Y$ be a morphism of schemes.

- (1) The diagonal morphism $\Delta: X \rightarrow X \times_Y X$ is an immersion.
- (2) $f: X \rightarrow Y$ is separated if and only if $\Delta_{X/Y}(X)$ is a closed subset of $X \times_Y X$.

4.4.2. *Quasi-separated.*

Definition 4.4.4. A morphism $f: X \rightarrow Y$ of schemes is called quasi-separated if the diagonal morphism is quasi-compact, and a scheme X is quasi-separated if the canonical morphism is quasi-separated.

4.5. Proper morphism.

Definition 4.5.1. A morphism $f: X \rightarrow Y$ of schemes is proper if f satisfies

- (1) f is of finite type.
- (2) f is separated.
- (3) For any morphism $Y' \rightarrow Y$, the base change $f': X \times_Y Y' \rightarrow Y'$ of f is a closed map on the underlying topological spaces, and such a property is called universally closed.

4.6. Projective morphism.

Definition 4.6.1. For any scheme Y , the projective space over Y is the Y -scheme $\mathbb{P}_Y^n := \mathbb{P}_{\mathbb{Z}}^n \times Y$.

Definition 4.6.2. A morphism $f: X \rightarrow Y$ of schemes is projective if f can factorized as a composite

$$X \rightarrow \mathbb{P}_Y^n \rightarrow Y$$

such that $X \rightarrow \mathbb{P}_Y^n$ is a closed immersion and $\mathbb{P}_Y^n \rightarrow Y$ is the projection. It's called quasi-projective if it can be factorized as above with $X \rightarrow \mathbb{P}_Y^n$ being an immersion.

Proposition 4.6.1. Projective morphism is proper.

Proposition 4.6.2.

- (1) Closed immersions are projective.
- (2) Composites of projective morphisms are projective.
- (3) Let $f: X \rightarrow Y$ and $Y' \rightarrow Y$ be morphism of schemes and let $f': X \times_Y Y' \rightarrow Y'$ be the base change of f . If f is projective, then f' is projective.
- (4) Let $f: X \rightarrow Y$ and $f': X' \rightarrow Y'$ be projective S -morphisms between S -schemes. Then $f \times f': X \times_S X' \rightarrow Y \times_S Y'$ is projective.
- (5) Let $f: X \rightarrow Y$ and $g: Y \rightarrow Z$ be morphism of schemes. If gf is projective and g is separated, then f is projective.

Proposition 4.6.3 (Segre embedding). There exists a closed immersion

$$\mathbb{P}_S^m \times_S \mathbb{P}_S^n \rightarrow \mathbb{P}_S^{(m+1)(n+1)-1}$$

which is an S -morphism.

5. COHERENT SHEAVES

6. HOMEWORK

6.1. Week 1.

Exercise 6.1.1. 1. A filtered abelian group is a pair $(A, F^\bullet A)$ such that A is an abelian group and

$$\dots \supset F^i A \supset F^{i+1} A \supset \dots$$

is a decreasing family of subgroups of A with indices $i \in \mathbb{Z}$. A homomorphism $f: (A, F^\bullet A) \rightarrow (B, F^\bullet B)$ of filtered abelian groups is a homomorphism $f: A \rightarrow B$ of abelian groups such that $f(F^i A) \subset F^i B$ for all $i \in \mathbb{Z}$.

- (1) Prove that filtered abelian groups form an additive category with zero objects and every morphism has kernel and cokernel.
- (2) Given an example of a morphism f such that the canonical morphism $\text{coim } f \rightarrow \text{im } f$ is not an isomorphism.

Proof. For (1). Suppose $(A, F^\bullet A)$ and $(B, F^\bullet B)$ are filtered abelian groups. The direct product of $(A, F^\bullet A)$ and $(B, F^\bullet B)$ is given by $(A \oplus B, F^\bullet(A \oplus B))$, where the filtration of $A \oplus B$ is given by $F^i(A \oplus B) = F^i A \oplus F^i B$, and it's clear morphisms between $(A, F^\bullet A)$ and $(B, F^\bullet B)$ form an abelian group such that the composition is bilinear. This shows the category of filtered abelian groups is additive, and the zero object in this category is zero group with trivial filtration.

Suppose $f: (A, F^\bullet A) \rightarrow (B, F^\bullet B)$ is a morphism between filtered abelian groups. Since f is also a group homomorphism between abelian groups, it has kernel and cokernel in the category of abelian groups. More precisely, $\ker f \subset A$ and $\text{coker } f = B/\text{im } f$. Then the filtrations on A and B induce filtrations on $\ker f$ and $\text{coker } f$ respectively, and thus it gives kernel and cokernel in the category of filtered abelian groups.

For (2). Suppose $A = \mathbb{Z} \oplus \mathbb{Z}$ with filtration $\mathbb{Z} \oplus \mathbb{Z} \supset \mathbb{Z} \supset \{0\}$ and $B = \mathbb{Z} \oplus \mathbb{Z} \oplus \mathbb{Z}$ with filtration $\mathbb{Z} \oplus \mathbb{Z} \oplus \mathbb{Z} \supset \mathbb{Z} \oplus \mathbb{Z} \supset \{0\}$. For homomorphism given by

$$\begin{aligned} A &\rightarrow B \\ (a, b) &\mapsto (a, b, 0), \end{aligned}$$

the coimage is exactly A with filtration $\mathbb{Z} \oplus \mathbb{Z} \supset \mathbb{Z} \supset \{0\}$, but the image is $\mathbb{Z} \oplus \mathbb{Z}$ with filtration $\mathbb{Z} \oplus \mathbb{Z} \supset \mathbb{Z} \oplus \mathbb{Z} \supset \{0\}$. \square

Exercise 6.1.2. Let $0 \rightarrow A \rightarrow B \rightarrow C \rightarrow 0$ be a sequence of morphisms in an abelian category. Prove the following statements are equivalent:

- (1) The sequence is a short exact sequence.
- (2) $B \rightarrow C$ is an epimorphism and $A \rightarrow B$ is its kernel.
- (3) $A \rightarrow B$ is a monomorphism and $B \rightarrow C$ is its cokernel.

Proof. Firstly let's show the following lemma:

Lemma 6.1.1. Suppose $B \xrightarrow{v} C \rightarrow 0$ is a sequence of morphisms in an abelian category. Then the following statements are equivalent:

- (a) $B \rightarrow C \rightarrow 0$ is exact.
- (b) the cokernel of v is $C \rightarrow 0$.
- (c) v is an epimorphism.

Proof.

(a) to (b): If $B \rightarrow C \rightarrow 0$ is exact, then $\text{coim } v = \text{im } v$ is the kernel of $C \rightarrow 0$, that is the $\text{im } v = C \rightarrow C$. On the other hand, $\text{im } v$ is the kernel of $\text{cokernel } v$. Thus the cokernel of v is $C \rightarrow 0$.

(b) to (a): If the cokernel of v is $C \rightarrow 0$, then $\text{coim } v = \text{im } v = \ker(\text{coker } v) = \ker\{C \rightarrow 0\}$, that is $B \rightarrow C \rightarrow 0$ is exact.

(b) to (c): If the cokernel of v is $C \rightarrow 0$ and $\alpha, \beta: C \rightarrow D$ are morphisms such that $\alpha \circ v = \beta \circ v$. Then $(\alpha - \beta) \circ v = 0$, and thus by universal property of cokernel there exists the following commutative diagram

$$\begin{array}{ccccc} B & \xrightarrow{v} & C & \longrightarrow & 0 \\ & & \downarrow \alpha - \beta & \swarrow & \\ & & D & & \end{array}$$

This shows $\alpha = \beta$, that is, v is an epimorphism.

(c) to (b): If v is an epimorphism and $f: C \rightarrow D$ is a morphism such that $f \circ v = 0$, then $f = 0$ since v is an epimorphism, and thus every morphism f such that $f \circ v = 0$ factors through $C \rightarrow 0$, that is, the cokernel of v is $C \rightarrow 0$.

□

Remark 6.1.1. By the same argument one can see a sequence of morphisms $0 \rightarrow A \xrightarrow{u} B$ in abelian category is exact if and only if u is a monomorphism, also if and only if $0 \rightarrow A$ is the kernel of u .

Now suppose $0 \rightarrow A \xrightarrow{u} B \xrightarrow{v} C \rightarrow 0$ is an exact sequence in abelian category. Then we claim u is the kernel of v : Since $v \circ u = 0$, by the universal property of kernel there exists the following diagram

$$\begin{array}{ccccc} 0 & \longrightarrow & A & \xrightarrow{u} & B \\ & & \downarrow & \searrow \bar{u} & \uparrow \\ & & \text{coim}\{0 \rightarrow A\} & \longrightarrow & \ker v \end{array}$$

Note that \bar{u} is an epimorphism, since $A \rightarrow \text{coim}\{0 \rightarrow A\}$ is an epimorphism and $\text{coim}\{0 \rightarrow A\} \rightarrow \ker v$ is an isomorphism. Moreover, \bar{u} is a monomorphism since u is a monomorphism: If $\alpha, \beta: D \rightarrow A$ such that $\bar{u} \circ \alpha = \bar{u} \circ \beta$, then we compose them with $\ker v \rightarrow B$, one has $u \circ \alpha = u \circ \beta$, and thus $\alpha = \beta$. Then \bar{u} is both monomorphism and epimorphism, and since the category is abelian, one has \bar{u} is an isomorphism, and thus u is the kernel of v . By the same argument, it's easy to see v is the cokernel of u .

In a summary, above arguments show that (1) implies (2) and (3). To see (2) implies (1), it suffices to show $0 \rightarrow A \rightarrow B \rightarrow C$ is exact, since $v: B \rightarrow C$ is epimorphism already implies $B \rightarrow C \rightarrow 0$ is exact. Firstly, since u is the

kernel of v , then it's monomorphism, and thus $0 \rightarrow A \rightarrow B$ is exact. By previous lemma one has $0 \rightarrow A$ is the kernel of u , and thus $\text{coim } u = A \rightarrow A$. On the other hand, kernel of v is u . This shows the coimage of u is exactly the kernel of v , that is $A \rightarrow B \rightarrow C$ is exact.

$$\begin{array}{ccccccc} 0 & \longrightarrow & A & \xrightarrow{u} & B & \xrightarrow{v} & C \\ & & \downarrow \cong & & \uparrow u & & \\ & & \text{coim } u = A & \dashrightarrow & \ker v = A & & \end{array}$$

□

Exercise 6.1.3. Let A and B be objects in an abelian category. Prove that the canonical sequence

$$0 \rightarrow A \xrightarrow{i_1} A \oplus B \xrightarrow{p_2} B \rightarrow 0$$

is exact.

Proof. By Exercise 6.1.2 it suffices to show i_1 is a monomorphism and cokernel of i_1 is p_2 . By definition there exists $p_1: A \oplus B \rightarrow A$ such that $p_1 \circ i_1 = \text{id}_A$ and $i_2: B \rightarrow A \oplus B$ such that $p_2 \circ i_2 = \text{id}_B$. Moreover, $p_2 \circ i_1 = p_1 \circ i_2 = 0$ and $i_1 \circ p_1 + i_2 \circ p_2 = \text{id}_{A \oplus B}$.

- (1) Suppose $\alpha, \beta: C \rightarrow A$ are morphisms such that $i_1 \circ \alpha = i_1 \circ \beta$. Then $p_1 \circ i_1 \circ \alpha = p_1 \circ i_1 \circ \beta$ implies $\alpha = \beta$, and thus i_1 is a monomorphism.
- (2) Suppose $\alpha: C \rightarrow A \oplus B$ is a morphism such that $p_2 \circ \alpha = 0$. Then

$$i_1 \circ p_1 \circ \alpha = (i_1 \circ p_1 + i_2 \circ p_2) \circ \alpha = \alpha.$$

Thus we have the following commutative diagram

$$\begin{array}{ccccccc} 0 & \longrightarrow & A & \xrightarrow{i_1} & A \oplus B & \xrightarrow{p_2} & B \longrightarrow 0 \\ & & \uparrow p_1 \circ \alpha & & \nearrow \alpha & & \\ & & C & & & & \end{array}$$

This shows $i_1: A \rightarrow A \oplus B$ satisfies the universal property of kernel.

□

Exercise 6.1.4. Let I be a category whose objects form a set, and let F be a covariant functor from I to the category of Abelian groups. For each $i \in I$, let $k_i: F(i) \rightarrow \bigoplus_{i \in I} F(i)$ be the canonical monomorphism. Let H be the subgroup of $\bigoplus_{i \in I} F(i)$ generated by

$$k_i(x_i) - k_j(F(i \rightarrow j)(x_i)),$$

where $i \rightarrow j$ goes over all morphisms in I , and x_i goes over all elements $F(i)$. Set

$$\varinjlim_{i \in I} F(i) = \left(\bigoplus_{i \in I} F(i) \right) / H.$$

Let $\phi_i: F(i) \rightarrow \varinjlim_{i \in I} F(i)$ be the composite of k_i with the projection $\bigoplus_{i \in I} F(i) \rightarrow (\bigoplus_{i \in I} F(i)) / H$. Then we have $\phi_j \circ F(i \rightarrow j) = \phi_i$ for every morphism $i \rightarrow j$ in I . If A is an abelian group and $\psi_i: F(i) \rightarrow A$ ($i \in I$) is a family of homomorphisms such that $\psi_j \circ F(i \rightarrow j) = \psi_i$ for all morphisms $i \rightarrow j$ in I , then there exists one and only one homomorphism $\psi: \varinjlim_{i \in I} F(i) \rightarrow A$ such that $\psi \circ \phi_i = \psi_i$ for all i .

Proof. Firstly let's show the existence: Note that by universal property of direct sum, there exists a morphism $\phi: \bigoplus_i F(i) \rightarrow A$, such that $\psi_i = \phi \circ k_i$, where $k_i: F(i) \rightarrow \bigoplus_i F(i)$ is canonical inclusion. Moreover, for any element $k_i(x_i) - k_j(F(i \rightarrow j)(x_i)) \in H$, one has

$$\phi(k_i(x_i) - k_j(F(i \rightarrow j)(x_i))) = \psi_i(x_i) - \psi_j \circ F(i \rightarrow j)(x_i) = 0.$$

This shows $H \subseteq \ker \phi$, and thus we obtain a morphism $\psi: \varinjlim_{i \in I} F(i) \rightarrow A$ induced by ϕ , and it's clear $\psi_i = \psi \circ \phi_i$.

$$\begin{array}{ccc} & F(i) & \\ & \downarrow & \searrow \psi_i \\ \phi_i \swarrow & \bigoplus_i F(i) & \xrightarrow{\phi} A \\ & \downarrow & \nearrow \psi \\ & \varinjlim_{i \in I} F(i) & \end{array}$$

Before we begin to prove the uniqueness, we claim any element of $\varinjlim_{i \in I} F(i)$ can be written in the form (x_i) for some $i \in I$ and some $x_i \in F(i)$: For any element $x \in \varinjlim_{i \in I} F(i) = (\bigoplus_{i \in I} F(i)) / H$, we write it as

$$x = \sum_{j=1}^n \phi_i(x_j), \quad x_j \in F(j).$$

It suffices to check the case of $n = 2$: Since I is a directed set, there exists $k \in I$ such that $k \geq 1, k \geq 2$. Then

$$\phi_1(x_1) + \phi_2(x_2) = \phi_k \circ F(1 \rightarrow k)(x_1) + \phi_k \circ F(2 \rightarrow k)(x_2).$$

Then x can be written as $\phi_k(F(1 \rightarrow k)(x_1) + F(2 \rightarrow k)(x_2))$ as desired.

Let's show the uniqueness: If $\psi': \varinjlim_{i \in I} F(i) \rightarrow A$ is another morphism such that $\psi_i = \psi' \circ \phi_i$ for all $i \in I$. By above claim, we know each element can be written as $\mu_i(x_i)$ for $x_i \in F(i)$. So it suffices to check $\psi(\phi_i(x_i)) = \psi'(\phi_i(x_i))$, which is clear

$$\psi(\phi_i(x_i)) = \psi_i(x_i) = \psi'(\phi_i(x_i)).$$

□

Exercise 6.1.5. Let $(A_i, \phi_{ji})_{i \in \mathbb{N}}$ be an inverse system of abelian groups over the direct set (\mathbb{N}, \leq) of natural numbers. Consider the homomorphism

$$f: \prod_{i \in \mathbb{N}} A_i \rightarrow \prod_{i \in \mathbb{N}} A_i, \quad (a_i) \mapsto (a_i - \phi_{i+1,i}(a_{i+1})).$$

Define $\varprojlim_i^1 A_i = \text{coker } f$. Let $u: (A'_i, \phi_{ji})_{i \in \mathbb{N}} \rightarrow (A_i, \phi_{ji})_{i \in \mathbb{N}}$ and $v: (A_i, \phi_{ji})_{i \in \mathbb{N}} \rightarrow (A''_i, \phi_{ji})_{i \in \mathbb{N}}$ be morphisms of inverse systems of abelian groups such that the sequences

$$0 \rightarrow A'_i \xrightarrow{u_i} A_i \xrightarrow{v_i} A''_i \rightarrow 0$$

are exact for all i .

Prove that we have an exact sequence $0 \rightarrow \varprojlim_i A'_i \rightarrow \varprojlim_i A_i \rightarrow \varprojlim_i A''_i \rightarrow \varprojlim_i^1 A'_i \rightarrow \varprojlim_i^1 A_i \rightarrow \varprojlim_i^1 A''_i \rightarrow 0$.

Proof. Consider the following commutative diagram consisting of exact sequences

$$\begin{array}{ccccccc} & \ker f' & & \ker f & & \ker f'' & \\ & \downarrow & & \downarrow & & \downarrow & \\ 0 & \longrightarrow & \prod_{i \in I} A'_i & \xrightarrow{u} & \prod_{i \in I} A_i & \xrightarrow{v} & \prod_{i \in I} A''_i \longrightarrow 0 \\ & & \downarrow f' & & \downarrow f & & \downarrow f'' \\ 0 & \longrightarrow & \prod_{i \in I} A'_i & \xrightarrow{u} & \prod_{i \in I} A_i & \xrightarrow{v} & \prod_{i \in I} A''_i \longrightarrow 0 \\ & & \downarrow & & \downarrow & & \downarrow \\ & & \text{coker } f' & & \text{coker } f & & \text{coker } f'' \end{array}$$

Since $\ker f \cong \varprojlim_i A_i$, the snake lemma yields the desired result. \square

REFERENCES

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