

# COMPLEX GEOMETRY

BOWEN LIU

ABSTRACT.

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## Part 1. Local theory

In this part, we mainly follow [Huy05] and [Dem12].

### 1. REVIEW OF COMPLEX ANALYSIS

**1.1. One variable case.** We first give a quick review about basic results in holomorphic functions of one variable. Fix an open subset  $U \subset \mathbb{C}$ . There are too many ways to define a holomorphic function, and all of them are equivalent.

**Definition 1.1.1** (holomorphic). A function  $f : U \rightarrow \mathbb{C}$  is called holomorphic at  $z_0 \in U$ , if there exists an open ball  $B_\varepsilon(z_0) \subset U$  with  $\varepsilon > 0$  such that  $f|_{B_\varepsilon(z_0)}$  can be written as convergent power series, that is

$$f(z) = \sum_{n=0}^{\infty} a_n(z - z_0)^n, \quad z \in B_\varepsilon(z_0)$$

$f$  is holomorphic on  $U$ , if  $f$  is holomorphic at any point of  $U$ .

*Remark 1.1.1* (Cauchy-Riemann equation). The second definition is given by Cauchy-Riemann equation. To be explicit, for a function  $f : U \rightarrow \mathbb{C}$ , we can regard it as a function defined on  $\mathbb{R}^2$ , and write it as  $f(x, y) = u(x, y) + \sqrt{-1}v(x, y)$ , where  $u, v$  are real-valued functions, then  $f$  is holomorphic if and only if  $u, v$  are continuously differentiable and satisfy the following C-R equations:

$$\begin{aligned} \frac{\partial u}{\partial x} &= \frac{\partial v}{\partial y} \\ \frac{\partial u}{\partial y} &= -\frac{\partial v}{\partial x} \end{aligned}$$

If we introduce the following two operators

$$\begin{aligned} \frac{\partial}{\partial z} &:= \frac{1}{2} \left( \frac{\partial}{\partial x} - \sqrt{-1} \frac{\partial}{\partial y} \right) \\ \frac{\partial}{\partial \bar{z}} &:= \frac{1}{2} \left( \frac{\partial}{\partial x} + \sqrt{-1} \frac{\partial}{\partial y} \right) \end{aligned}$$

Then C-R equation is equivalent to  $\frac{\partial f}{\partial \bar{z}} = 0$ . Indeed,

$$\begin{aligned} \frac{\partial f}{\partial \bar{z}} &= \frac{1}{2} \left( \frac{\partial f}{\partial x} + \sqrt{-1} \frac{\partial f}{\partial y} \right) \\ &= \frac{1}{2} \left( \frac{\partial u}{\partial x} + \sqrt{-1} \frac{\partial v}{\partial x} + \sqrt{-1} \frac{\partial u}{\partial y} - \frac{\partial v}{\partial y} \right) \\ &= 0 \end{aligned}$$

*Remark 1.1.2* (Cauchy integral formula). The third definition is given by Cauchy integral formula. To be explicit, a function  $f : U \rightarrow \mathbb{C}$  is holomorphic if and only if  $f$  is continuously differentiable and for any  $B_\varepsilon(z_0) \subset U$ ,

the following formula holds

$$f(z_0) = \frac{1}{2\pi\sqrt{-1}} \int_{\partial B_\varepsilon(z_0)} \frac{f(z)}{z - z_0} dz$$

Here are some standard facts in complex analysis, which can be found in any textbook.

**Theorem 1.1.1** (maximum principle). Let  $U \subset \mathbb{C}$  be open and connected. If  $f : U \rightarrow \mathbb{C}$  is holomorphic and non-constant, then  $|f|$  has no local maximum in  $U$ .

**Theorem 1.1.2** (identity theorem). If  $f, g : U \rightarrow \mathbb{C}$  are two holomorphic functions a connected open subset  $U \subset \mathbb{C}$  such that  $f(z) = g(z)$  for all  $z$  in a non-empty subset  $V$  of  $U$ , then  $f = g$ .

**Theorem 1.1.3** (Riemann extension theorem). Let  $f : B_\varepsilon(z_0) - \{z_0\} \rightarrow \mathbb{C}$  be a bounded holomorphic function, then  $f$  can be extended to a holomorphic function  $f : B_\varepsilon(z_0) \rightarrow \mathbb{C}$ .

**Theorem 1.1.4** (Riemann mapping theorem). Let  $U \subset \mathbb{C}$  be a simply connected proper open subset. Then  $U$  is biholomorphic to the unit ball.

**Theorem 1.1.5** (Liouville). Every bounded holomorphic function  $f : \mathbb{C} \rightarrow \mathbb{C}$  is constant.

*Remark 1.1.3.* Liouville theorem implies that  $\mathbb{C}$  is not biholomorphic to the unit ball. It's a striking difference to the real case, since we know unit ball is homeomorphic to  $\mathbb{R}$ .

**1.2. Several variables case.** Now let  $U$  be an open subset of  $\mathbb{C}^n$ . For any  $w \in U$ , a polydisc  $B_\varepsilon(w) = \{z \mid |z_i - w_i| < \varepsilon_i\}$ , where  $\varepsilon = (\varepsilon_1, \dots, \varepsilon_n)$ . Similar to one variable case, we can define holomorphic function as follows.

**Definition 1.2.1** (holomorphic). A function  $f : U \rightarrow \mathbb{C}$  is called holomorphic at point  $w \in U$ , if there exists a polydisc  $B_\varepsilon(w) \subset U$  such that the restriction of  $f|_{B_\varepsilon(w)}$  is given by power series

$$\sum_{i_1, \dots, i_n=0}^{\infty} a_{i_1 \dots i_n} (z_1 - w_1)^{i_1} \dots (z_n - w_n)^{i_n}$$

*Remark 1.2.1.* Also, we can define holomorphicity as follows:

1. A function  $f : U \rightarrow \mathbb{C}$  is holomorphic, if it satisfies C-R equations for all coordinates  $z_i = x_i + \sqrt{-1}y_i$ , that is

$$\frac{\partial f}{\partial \bar{z}_i} = 0, \quad i = 1, 2, \dots, n$$

where  $\frac{\partial}{\partial z_i} := \frac{1}{2}(\frac{\partial}{\partial x^i} + \sqrt{-1}\frac{\partial}{\partial y_i})$

2. A function  $f : U \rightarrow \mathbb{C}$  is holomorphic if and only if  $f$  is continuously differentiable and for any  $z_0 \in U$ , the following formula holds

$$f(z) = \frac{1}{(2\pi\sqrt{-1})^n} \int_{\partial B_\varepsilon(w)} \frac{f(w)}{(z_1 - w_1) \dots (z_n - w_n)} dw_1 \dots dw_n$$

*Remark 1.2.2.* Other results such as maximum theorem, identity theorem and Liouville theorem generalize easily to the higher dimension. A version of Riemann extension still holds true. However, Riemann mapping theorem fails.

**Exercise 1.2.1.** Show that polydisc  $B_{(1,1)}(0) \subset \mathbb{C}^2$  is not biholomorphic to the unit disk  $D = \{z \in \mathbb{C}^2 \mid \|z\| < 1\}$ .

*Proof.* □

The next result is only valid in dimension at least two.

**Theorem 1.2.1** (Hartogs' theorem). Suppose  $\varepsilon = (\varepsilon_1, \dots, \varepsilon_n)$  and  $\varepsilon' = (\varepsilon'_1, \dots, \varepsilon'_n)$  are given such that for all  $i$  one has  $\varepsilon'_i < \varepsilon_i$ . If  $n > 1$ , then any holomorphic map  $f : B_\varepsilon(0) \setminus \overline{B_{\varepsilon'}(0)} \rightarrow \mathbb{C}$  can be uniquely extended to a holomorphic map  $f : B_\varepsilon(0) \rightarrow \mathbb{C}$ .

**Definition 1.2.2** (holomorphic). Let  $U \subset \mathbb{C}^n$  be an open subset. A function  $f : U \rightarrow \mathbb{C}^n$  is called holomorphic if all coordinate functions  $f_1, \dots, f_n$  are holomorphic functions  $U \rightarrow \mathbb{C}$ .

**Definition 1.2.3** (biholomorphic). A holomorphic map  $f : U \rightarrow V$  between two open subsets  $U, V \subset \mathbb{C}^n$  is biholomorphic if  $f$  is bijective and its inverse  $f^{-1}$  is also holomorphic.

**Definition 1.2.4** (complex Jacobian). Let  $U \subset \mathbb{C}^m$  be an open subset and let  $f : U \rightarrow \mathbb{C}^n$  be a holomorphic map, the complex Jacobian of  $f$  at point  $z \in U$  is the matrix

$$J(f)(z) := \left( \frac{\partial f_i}{\partial z_j}(z) \right)_{\substack{1 \leq i \leq n \\ 1 \leq j \leq m}}$$

*Remark 1.2.3.* The smooth map  $f : U \subset \mathbb{C}^m = \mathbb{R}^{2m} \rightarrow \mathbb{C}^n = \mathbb{R}^{2n}$ , then it induces for  $z \in U$  a  $\mathbb{R}$ -linear map, which is denoted by  $J_{\mathbb{R}}(f)(z) : T_z \mathbb{R}^{2m} \rightarrow T_{f(z)} \mathbb{R}^{2n}$ . With respect to basis  $\{\frac{\partial}{\partial x_1}, \dots, \frac{\partial}{\partial x_m}, \frac{\partial}{\partial y_1}, \dots, \frac{\partial}{\partial y_m}\}$  and  $\{\frac{\partial}{\partial r_1}, \dots, \frac{\partial}{\partial r_n}, \frac{\partial}{\partial s_1}, \dots, \frac{\partial}{\partial s_n}\}$ ,  $df(z)$  is given by

$$J_{\mathbb{R}}(f) = \begin{pmatrix} \left( \frac{\partial u_i}{\partial x_j} \right) & \left( \frac{\partial u_i}{\partial y_j} \right) \\ \left( \frac{\partial v_i}{\partial x_j} \right) & \left( \frac{\partial v_i}{\partial y_j} \right) \end{pmatrix}$$

If we consider its  $\mathbb{C}$ -linear extension, with respect to basis  $\{\frac{\partial}{\partial z_1}, \dots, \frac{\partial}{\partial z_m}, \frac{\partial}{\partial \bar{z}_1}, \dots, \frac{\partial}{\partial \bar{z}_m}\}$  and  $\{\frac{\partial}{\partial w_1}, \dots, \frac{\partial}{\partial w_n}, \frac{\partial}{\partial \bar{w}_1}, \dots, \frac{\partial}{\partial \bar{w}_n}\}$ , it can be written as

$$\begin{pmatrix} \left( \frac{\partial f_i}{\partial z_j} \right) & \left( \frac{\partial f_i}{\partial \bar{z}_j} \right) \\ \left( \frac{\partial f_i}{\partial w_j} \right) & \left( \frac{\partial f_i}{\partial \bar{w}_j} \right) \end{pmatrix}$$

In particular, if  $f$  is holomorphic, then  $\det J_{\mathbb{R}}(f) = \det J(f) \det \overline{J(f)} = |\det J(f)|^2$ , which is non-negative.

**Definition 1.2.5** (regular value). Let  $U \subset \mathbb{C}^m$  be an open subset and let  $f : U \rightarrow \mathbb{C}^n$  be a holomorphic map,  $z \in U$  is called regular point, if  $J(f)(z)$  is surjective. If every point  $z \in f^{-1}(w)$  is regular point, then  $w$  is called a regular value.

*Remark 1.2.4.* In particular, if  $f^{-1}(w) = \emptyset$ , then  $w$  is also called a regular value.

**Theorem 1.2.2** (inverse function theorem). Let  $f : U \rightarrow V$  be a holomorphic map between two open subsets  $U, V \subset \mathbb{C}^n$ . If  $z \in U$  is a regular point, then there exist open subsets  $z \in U' \subset U$  and  $f(z) \in V' \subset V$  such that  $f$  induces a biholomorphic map  $f : U' \rightarrow V'$ .

**Theorem 1.2.3** (implicit function theorem). Let  $U \subset \mathbb{C}^m$  be an open subset and let  $f : U \rightarrow \mathbb{C}^n$  be a holomorphic map, where  $m \geq n$ . Suppose  $z_0 \in U$  is a point such that

$$\det \left( \frac{\partial f_i}{\partial z_j}(z_0) \right)_{1 \leq i, j \leq n} \neq 0$$

Then there exist open subsets  $U_1 \subset \mathbb{C}^{m-n}, U_2 \subset \mathbb{C}^n$  and a holomorphic map  $g : U_1 \rightarrow U_2$  such that  $U_1 \times U_2 \rightarrow U$  and  $f(z) = f(z_0)$  if and only if  $g(z_{n+1}, \dots, z_m) = (z_1, \dots, z_n)$ .

**Corollary 1.2.1.** Let  $U \subset \mathbb{C}^m$  be an open subset and let  $f : U \rightarrow \mathbb{C}^n$  be a holomorphic map. Assume that  $z_0 \in U$  such that  $J(f)(z_0)$  has maximal rank, then

1. If  $m \geq n$ , then there exists a biholomorphic map  $h : V \rightarrow U'$ , where  $U'$  is an open subset of  $U$  containing  $z_0$ , and  $V$  is an open subset of  $\mathbb{C}^n$  containing  $f(z_0)$ , such that  $f(h(z_1, \dots, z_n)) = (z_1, \dots, z_n)$ .
2. If  $m \leq n$ , then there exists a biholomorphic map  $g : V \rightarrow V'$ , where  $V, V'$  are open subsets of  $\mathbb{C}^n$  containing  $f(z_0)$ , such that  $g(f(z)) = (z_1, \dots, z_m, 0, \dots, 0)$ .

## 2. ALGEBRAIC AND ANALYTIC

**2.1. Weierstrass' theorems.** Let  $f : B_\varepsilon(0) \rightarrow \mathbb{C}$  be a holomorphic function defined on polydisc  $B_\varepsilon(0)$ . For any  $w = (z_2, \dots, z_n)$  we denote  $f_w(z_1)$  the function  $f(z_1, \dots, z_n)$ . Now we're going to show that all zeros of  $f$  are caused by a factor of  $f$  which has the form of a Weierstrass polynomial.

**Definition 2.1.1** (Weierstrass polynomial). A Weierstrass polynomial is a polynomial in  $z_1$  of the form

$$z_1^d + \alpha_1(w)z_1^{d-1} + \dots + \alpha_d(w)$$

where coefficients  $\alpha_i(w)$  are holomorphic functions on some small disc in  $\mathbb{C}^{n-1}$  vanishing at the origin.

*Remark 2.1.1.* Recall the one variable case, any holomorphic function  $f(z)$  with a zero of order  $d$  at the origin can be written as  $z^d h(z)$ , where  $h(0) \neq 0$ . In fact,  $z^d$  is a Weierstrass polynomial, since in this case,  $\alpha_i$  are constants which vanish at origin, that's exactly zero.

**Theorem 2.1.1** (Weierstrass preparation theorem). Let  $f : B_\varepsilon(0) \rightarrow \mathbb{C}$  be a holomorphic function on the polydisc  $B_\varepsilon(0)$ . Assume  $f(0) = 0$  and  $f_0(z_1) \neq 0$ . Then there exists a unique Weierstrass polynomial  $g_w(z_1)$  and a holomorphic function  $h$  on some smaller polydisc  $B_{\varepsilon'}(0) \subset B_\varepsilon(0)$  such that  $f = gh$  and  $h(0) \neq 0$ .

*Proof.* See Proposition 1.1.6 in Page8 of [Huy05].

**Theorem 2.1.2** (Weierstrass division theorem). Let  $f \in \mathcal{O}_{\mathbb{C}^n,0}$  and let  $g \in \mathcal{O}_{\mathbb{C}^{n-1},0}[z_1]$  be a Weierstrass polynomial of degree  $d$ . Then there exist  $r \in \mathcal{O}_{\mathbb{C}^{n-1},0}[z_1]$  of degree  $< d$  and  $h \in \mathcal{O}_{\mathbb{C}^n,0}$  such that  $f = gh + r$ . The functions  $h$  and  $r$  are uniquely determined.

*Proof.* See Proposition 1.1.17 in Page15 of [Huy05]. □

□

**2.2. Stalk of sheaf of holomorphic functions.** If we use  $\mathcal{O}_{\mathbb{C}^n}$  to denote the sheaf<sup>1</sup> of holomorphic functions on  $\mathbb{C}^n$ , and  $\mathcal{O}_{\mathbb{C}^n,0}$  to denote its stalk at origin. It's clear  $\mathcal{O}_{\mathbb{C}^n,0}$  is a local ring with maximal ideal  $\mathfrak{m}$  consisting of all functions that vanish at origin, which implies units in  $\mathcal{O}_{\mathbb{C}^n,0}$  are functions that don't vanish at origin.

Then Weierstrass preparation theorem can be rephrased by saying that after an appropriate coordinate choice any function  $f \in \mathcal{O}_{\mathbb{C}^n,0}$  can be uniquely written as  $f = gh$ , where  $h \in \mathcal{O}_{\mathbb{C}^n,0}$  is a unit and  $g \in \mathcal{O}_{\mathbb{C}^{n-1},0}[z_1]$  is a Weierstrass polynomial.

By using Weierstrass preparation theorem, one can say more about algebraic property of  $\mathcal{O}_{\mathbb{C}^n,0}$ . A algebraic lemma we need is that if a ring  $R$  is UFD, so is  $R[x]$ .

---

<sup>1</sup>Sheaf and its cohomology are important tools we will use once and again, if you're not familiar with it, see Appendix A.

**Theorem 2.2.1.** The local ring  $\mathcal{O}_{\mathbb{C}^n,0}$  is a UFD.

*Proof.* We prove the assumption by induction on  $n$ . For  $n = 0$ , the ring  $\mathcal{O}_{\mathbb{C}^n,0} = \mathbb{C}$  is a field, and thus a UFD. Suppose that  $\mathcal{O}_{\mathbb{C}^{n-1},0}$  is a UFD, for  $f \in \mathcal{O}_{\mathbb{C}^n,0}$  we choose coordinates such that Weierstrass preparation theorem is applied, that is  $f = gh$ , where  $g \in \mathcal{O}_{\mathbb{C}^{n-1},0}[z_1]$  is a Weierstrass polynomial and  $h$  is a unit in  $\mathcal{O}_{\mathbb{C}^n,0}$ . By induction we have  $\mathcal{O}_{\mathbb{C}^{n-1},0}[z_1]$  is UFD, then  $g$  can be written as a product of irreducible elements of  $\mathcal{O}_{\mathbb{C}^{n-1},0}[z_1]$ . All that is left to show is that any irreducible element in  $\mathcal{O}_{\mathbb{C}^{n-1},0}[z_1]$  is also irreducible as an element in  $\mathcal{O}_{\mathbb{C}^n,0}$ .

Assume  $g \in \mathcal{O}_{\mathbb{C}^{n-1},0}[z_1]$  is a Weierstrass polynomial which is written as the product of non-units  $g_i \in \mathcal{O}_{\mathbb{C}^{n-1},0}[z_1]$ . There are two cases:

1.  $g_i \in \mathcal{O}_{\mathbb{C}^{n-1},0}$ . By induction hypothesis,  $g_i$  can be written as the product of irreducible elements of  $\mathcal{O}_{\mathbb{C}^{n-1},0}$ , which are also irreducible in  $\mathcal{O}_{\mathbb{C}^n,0}$ .
2.  $g_i \notin \mathcal{O}_{\mathbb{C}^{n-1},0}$ . In this case,  $g_i$  satisfies the hypothesis of Weierstrass preparation theorem, since  $g$  is a Weierstrass polynomial, then  $g_i$  is non-trivial on the  $z_1$ -line. So we can write  $g_i = \tilde{g}_i h_i$ , where  $\tilde{g}_i$  is also Weierstrass polynomial.

Note that degree of  $g$  as a polynomial in  $z_1$  is finite, then repeating above process leads to a decomposition, with factors are either irreducible Weierstrass polynomials or elements in  $\mathcal{O}_{\mathbb{C}^{n-1},0}$ .

Now it suffices to show any irreducible Weierstrass polynomial  $g$  is actually irreducible as an element of  $\mathcal{O}_{\mathbb{C}^n,0}$ . Suppose  $g = f_1 f_2$ , where  $f_1, f_2 \in \mathcal{O}_{\mathbb{C}^n,0}$  are non-units. We apply Weierstrass preparation theorem to obtain  $f_i = g_i h_i, i = 1, 2$ , and thus  $g = (g_1 g_2)(f_1 f_2)$ . By uniqueness one has  $g = g_1 g_2$ , which contradicts to the irreducibility of  $g$  as an element of  $\mathcal{O}_{\mathbb{C}^{n-1},0}[z_1]$ .  $\square$

Another important fact is that  $\mathcal{O}_{\mathbb{C}^n,0}$  is noetherian, based Weierstrass division theorem.

**Theorem 2.2.2.** The local UFD  $\mathcal{O}_{\mathbb{C}^n,0}$  is noetherian.

*Proof.* We prove the assumption by induction on  $n$ . For  $n = 0$ , it's clear since any field is noetherian. Suppose that  $\mathcal{O}_{\mathbb{C}^{n-1},0}$  is noetherian, then Hilbert's basis theorem implies  $\mathcal{O}_{\mathbb{C}^{n-1},0}[z_1]$  is also noetherian. Let  $I \subset \mathcal{O}_{\mathbb{C}^n,0}$  be a non-trivial idea and choose  $0 \neq f \in I$ . Changing coordinates if necessary, we may assume Weierstrass preparation theorem is applied, that is  $f = gh$ , where  $g \in \mathcal{O}_{\mathbb{C}^{n-1},0}[z_1]$  is a Weierstrass polynomial and  $h$  is a unit in  $\mathcal{O}_{\mathbb{C}^n,0}$ , hence  $g \in I$ . Furthermore, we assume  $I \cap \mathcal{O}_{\mathbb{C}^{n-1},0}[z_1]$  is generated by  $g_1, \dots, g_k$ .

For any other  $\tilde{f} \in I$ , the Weierstrass division theorem implies  $\tilde{f} = g\tilde{h} + r$  for some  $r \in \mathcal{O}_{\mathbb{C}^{n-1},0}[z_1]$ . Since  $\tilde{f}, g\tilde{h} \in I$ , we have  $r \in I$  and therefore  $r \in I \cap \mathcal{O}_{\mathbb{C}^{n-1},0}[z_1]$ . Thus  $\tilde{f} = g\tilde{h} + \sum_{i=1}^k a_i g_i$ . This shows  $I$  is finitely generated by elements  $g, g_1, \dots, g_k$ .  $\square$



**Corollary 2.2.1.** Let  $g \in \mathcal{O}_{\mathbb{C}^n,0}$  be an irreducible element. If  $f \in \mathcal{O}_{\mathbb{C}^n,0}$  vanishes on  $Z(g) = \{z \mid g(z) = 0\}$ , then  $g$  divides  $f$ .

*Proof.* By Weierstrass preparation theorem we may assume  $g \in \mathcal{O}_{\mathbb{C}^{n-1},0}[z_1]$  is a Weierstrass polynomial with degree  $d$ . By the Weierstrass division theorem one finds  $h \in \mathcal{O}_{\mathbb{C}^n,0}$  and  $r \in \mathcal{O}_{\mathbb{C}^{n-1},0}[z_1]$  of degree  $< d$  such that  $f = gh + r$ . For  $w \in \mathbb{C}^{n-1}$ , by assumption  $r_w$  vanishes on the zero set  $g_w$ . If all of zeros of  $g_w$  have multiplicity one, then  $r_w \equiv 0$ , since  $r_w$  is of degree  $< d$ . Now it suffices to show the set  $w \in \mathbb{C}^{n-1}$  such that  $g_w$  has zeros with multiplicity  $> 1$  is quite “small”.

Since  $g$  is irreducible and  $\frac{\partial g}{\partial z_1}$  is of degree  $d-1$ , there exist elements  $h_1, h_2 \in \mathcal{O}_{\mathbb{C}^{n-1},0}[z_1]$  and  $0 \neq \gamma \in \mathcal{O}_{\mathbb{C}^{n-1},0}$  such that  $h_1 g + h_2 \frac{\partial g}{\partial z_1} = \gamma$ . So if  $g_w$  has a zero  $\xi$  of multiplicity  $> 1$ , then  $\gamma(w) = h_1(\xi, w)g_w(\xi) + h_2(\xi, w)\frac{\partial g_w}{\partial z_1}(\xi) = 0$ . This shows such  $w$  is contained in the zero set of a non-trivial holomorphic function  $\gamma \in \mathcal{O}_{\mathbb{C}^{n-1},0}$ . Then the following exercise completes the proof.  $\square$

**Exercise 2.2.1.** Let  $U \subset \mathbb{C}^n$  be open and connected. Show that for any non-trivial holomorphic function  $f : U \rightarrow \mathbb{C}$  the complement  $U \setminus Z(f)$  of the zero set of  $f$  is connected and dense in  $U$ .

*Proof.*  $\square$

**2.3. Analitic germ.** For any  $f \in \mathcal{O}_{\mathbb{C}^n,0}$ ,  $Z(f)$  is not well-defined in fact, since for another  $g \in \mathcal{O}_{\mathbb{C}^n,0}$ , which represents the same element with  $f$ ,  $Z(f)$  may not equal to  $Z(g)$ . However, there always exists an open neighborhood  $0 \in U \subset \mathbb{C}^n$  such that  $Z(f) \cap U = Z(g) \cap U$ .

**Definition 2.3.1** (germ of a set). The germ of a set in the origin  $0 \in \mathbb{C}^n$  is given by a subset  $X \subset \mathbb{C}^n$ . Two germs of a set in the origin  $X, Y \subset \mathbb{C}^n$  are same if there exists an open neighborhood  $0 \in U \subset \mathbb{C}^n$  such that  $X \cap U = Y \cap U$ .

*Remark 2.3.1.* In this section we only consider germ of a set in the origin, and for convenience we just call it a germ

**Example 2.3.1.** For  $f \in \mathcal{O}_{\mathbb{C}^n,0}$ ,  $Z(f)$  is a well-defined germ.

**Definition 2.3.2** (analytic germ). A germ  $X \subset \mathbb{C}^n$  is called analytic if there exist elements  $f_1, \dots, f_k \in \mathcal{O}_{\mathbb{C}^n,0}$  such that  $X = Z(f_1, \dots, f_k) := \bigcap_{i=1}^k Z(f_i)$ .

**Example 2.3.2.** Let  $A$  be a subset of  $\mathcal{O}_{\mathbb{C}^n,0}$ , if we use  $(A)$  to denote the idea generated by  $A$ , then  $(A)$  is finitely generated since  $\mathcal{O}_{\mathbb{C}^n,0}$  is noetherian. Thus  $Z((A))$  is an analytic germ.

**Definition 2.3.3.** Let  $X \subset \mathbb{C}^n$  be a germ. Then  $I(X)$  denotes the set of all elements  $f \in \mathcal{O}_{\mathbb{C}^n,0}$  with  $X \subset Z(f)$ .

*Remark 2.3.2.* It's clear  $I(X)$  is an idea of  $\mathcal{O}_{\mathbb{C}^n,0}$ .

**Lemma 2.3.1.** We have the following relations:

1. If  $X_1 \subset X_2$  are germs, then  $I(X_2) \subset I(X_1)$ ;
2. If  $\mathfrak{a}_1 \subset \mathfrak{a}_2$  are two ideas of  $\mathcal{O}_{\mathbb{C}^n,0}$ , then  $Z(\mathfrak{a}_2) \subset Z(\mathfrak{a}_1)$ ;
3. For any analytic germ one has  $Z(I(X)) = X$ ;
4. For any idea  $\mathfrak{a}$  of  $\mathcal{O}_{\mathbb{C}^n,0}$  one has  $\mathfrak{a} \subset I(Z(\mathfrak{a}))$

*Proof.* (1),(2) and (4) are clear;

For (3). It's clear  $X \subset Z(I(X))$ ; On the other hand, since  $X$  is analytic germ there exist elements  $f_1, \dots, f_k \in \mathcal{O}_{\mathbb{C}^n,0}$  such that  $X = Z(f_1, \dots, f_k)$  as germs, thus  $f_1, \dots, f_k \in I(X)$ , so by (2) we have  $Z(I(X)) \subset X = Z(f_1, \dots, f_k)$ . This completes the proof of (3).  $\square$

**Definition 2.3.4** (irreducible germ). An analytic germ is irreducible if the following condition is satisfied: Let  $X = X_1 \cup X_2$ , where  $X_1, X_2$  are analytic germs, then  $X = X_1$  or  $X = X_2$ .

**Lemma 2.3.2.** An analytic germ  $X$  is irreducible if and only if  $I(X) \subset \mathcal{O}_{\mathbb{C}^n,0}$  is a prime ideal.

*Proof.* If  $X$  is irreducible and  $f_1 f_2 \in I(X)$ , then  $X \subset Z(f_1 f_2) = Z(f_1) \cup Z(f_2)$ , so we have  $X = (X \cap Z(f_1)) \cup (X \cap Z(f_2))$  is a union of analytic germs. Then by irreducibility one has  $X = X \cap Z(f_i)$  for some  $i = 1$  or  $i = 2$ . Hence at least one of functions  $f_1$  or  $f_2$  vanishes on  $X$ , this shows  $I(X)$  is prime.

Conversely, if  $I(X)$  is a prime ideal and let  $X = X_1 \cup X_2$  with  $X_1$  and  $X_2$  are analytic. If  $f_i \in I(X_i), i = 1, 2$ , then  $f_1 f_2 \in I(X)$ , since

$$X = X_1 \cup X_2 \subset Z(f_1) \cup Z(f_2) = Z(f_1 f_2)$$

Hence  $f_1 \in I(X)$  or  $f_2 \in I(X)$ . Thus it suffices to shows that if  $X \neq X_1$  and  $X \neq X_2$ , there exist elements  $f_1 \in I(X_1) \setminus I(X)$  and  $f_2 \in I(X_2) \setminus I(X)$ . This follows immediately from (1) of Lemma 2.3.1.  $\square$

**Corollary 2.3.1.** For  $f \in \mathcal{O}_{\mathbb{C}^n,0}$ ,  $Z(f)$  is irreducible if and only if there exists an irreducible  $g \in \mathcal{O}_{\mathbb{C}^n,0}$  such that  $f = g^k$  for some  $k \in \mathbb{Z}_{>0}$ .

*Proof.* If  $f = g^k$  with  $g$  irreducible, then  $Z(f) = Z(g)$  and if  $h \in I(Z(g))$ , then  $g$  divides  $h$  by Corollary 2.2.1, this shows  $I(Z(g)) = (g)$  and thus  $Z(f)$  is irreducible, since  $I(Z(f))$  also equals to  $(g)$ , which is prime.

Conversely, if  $f = \prod g_i^{n_i}$ , then  $Z(f) = \bigcup Z(g_i)$ , which cannot be irreducible except for the case  $f = g^k$  for some irreducible  $g$ .  $\square$

**Lemma 2.3.3.** Every decreasing sequences of germs  $\{X_i\}$  is stationary.

*Proof.* Consider its corresponding sequence  $\{I(X_i)\}$ , it's an increasing sequence, thus it's stationary, since  $\mathcal{O}_{\mathbb{C}^n,0}$  is noetherian, this completes the proof, since for each  $i$ ,  $Z(I(X_i)) = X_i$ .  $\square$

**Theorem 2.3.1.** Every germ  $X$  admits a finite decomposition  $X = \bigcup_{i=1}^N X_i$ , where  $X_i$  is irreducible for each  $i$  and  $X_i \subsetneq X_j$  for  $i \neq j$ . The decomposition is unique apart from the ordering.

*Proof.* It suffices to show uniqueness, since existence follows from above lemma. Assume  $X = \bigcup_{l=1}^{N'} X'_l$  is another decomposition, note that  $X_i = \bigcup_{l=1}^{N'} X_i \cap X'_l$ , we must have  $X_i = X_i \cap X'_{l(i)}$ , since  $X_i$  is irreducible. Likewise one has  $X'_{l(i)} \cap X_j$ , then we  $i = j$ , since  $X_i \subsetneq X_j$  for  $i \neq j$ , and this shows  $X_i = X'_{l(i)}$   $\square$

**Definition 2.3.5** (dimension). Let  $X$  be an irreducible analytic germ defined by a prime ideal  $\mathfrak{p} \subset \mathcal{O}_{\mathbb{C}^n,0}$ , then the dimension of  $X$  is defined by  $n - \text{ht}\mathfrak{p}$ , where  $\text{ht}\mathfrak{p}$  is the height of  $\mathfrak{p}$ .

*Remark 2.3.3.* For arbitrary analytic germ is of dimension  $d$  if all its irreducible components are of the same dimension  $d$ .

*Remark 2.3.4.* Let  $X \subset \mathbb{C}^n$  be an irreducible analytic germ of codimensional 1, then the prime ideal  $\mathfrak{p}$  defining  $X$  is of height 1. A basic result in commutative algebra says any prime ideal of height 1 in a UFD is principle. Therefore,  $\mathfrak{p} = (f)$  for some irreducible  $f \in \mathcal{O}_{\mathbb{C}^n,0}$ .

#### 2.4. Meromorphic functions and relatively prime.

**Definition 2.4.1.** Let  $U \subset \mathbb{C}^n$  be an open subset. A meromorphic function  $f$  on  $U$  is a function on the complement of a nowhere dense subset  $S \subset U$  with the following property: There exist an open covering  $\{U_i\}$  of  $U$  and holomorphic functions  $g_i, h_i : U \rightarrow \mathbb{C}$  with  $h_i|_{U_i \setminus S} \cdot f|_{U_i \setminus S} = g_i|_{U_i \setminus S}$ .

*Remark 2.4.1.* For any  $z \in U$ , the meromorphic function  $f$  in a neighborhood of  $z$  is given by  $g/h$ , where  $g, h \in \mathcal{O}_{\mathbb{C}^n,z}$ . If we assume  $g, h$  are chosen to be relatively prime, then they're unique up to units.

**Proposition 2.4.1.** Let  $f \in \mathcal{O}_{\mathbb{C}^n,0}$  be irreducible, then for sufficiently small  $\varepsilon$  and  $z \in B_\varepsilon(0)$  the induced element  $f \in \mathcal{O}_{\mathbb{C}^n,z}$  is irreducible.

*Proof.* Suppose  $f \in \mathcal{O}_{\mathbb{C}^n,z}$  is reducible, that is  $f = f_1 f_2$  where  $f_i \in \mathcal{O}_{\mathbb{C}^n,z}$  non-units, i.e.  $f_1(z) = f_2(z) = 0$ . Thus  $\frac{\partial f}{\partial z_1}(z) = \frac{\partial f_1}{\partial z_1}(z) f_2(z) + f_1(z) \frac{\partial f_2}{\partial z_1}(z) = 0$ .

Thus the set of points  $z \in B_\varepsilon(0)$  where  $f$  as an element of  $\mathcal{O}_{\mathbb{C}^n,z}$  is reducible is contained in the analytic set  $Z(f, \frac{\partial f}{\partial z_1})$ . Now it suffices to show it's a proper subset of  $Z(f)$ , since  $f$  is irreducible, so is  $Z(f)$ . If not, then  $\frac{\partial f}{\partial z_1}$  would vanish on  $Z(f)$ . Since  $f$  is irreducible, we can apply Corollary 2.2.1 to obtain  $\frac{\partial f}{\partial z_1}$  divides  $f$ , a contradiction.  $\square$

**Proposition 2.4.2.** If  $f, g \in \mathcal{O}_{\mathbb{C}^n,0}$  are relatively prime, then they're relatively prime in  $\mathcal{O}_{\mathbb{C}^n,z}$ , for  $z$  in a sufficiently small neighborhood of 0.

*Proof.* Without lose of generality, we may assume  $f, g \in \mathcal{O}_{\mathbb{C}^{n-1},0}[z_1]$  are Weierstrass polynomials, then  $f$  and  $g$  are relatively prime if and only if their resultant  $R \in \mathcal{O}_{\mathbb{C}^{n-1}}$  has non-zero germ at 0, therefore the germ of  $R$  is also non-zero in a sufficiently small neighborhood of 0.  $\square$

## Part 2. Complex manifold and vector bundle

### 3. COMPLEX MANIFOLD

#### 3.1. Definition and first properties.

**Definition 3.1.1** (holomorphic atlas). A holomorphic atlas on a smooth manifold is an atlas  $\{(U_\alpha, \varphi_\alpha)\}$  of the form  $\varphi_\alpha : U_\alpha \xrightarrow{\cong} \varphi_\alpha(U_\alpha) \subset \mathbb{C}^n$  such that transition functions  $\varphi_{\alpha\beta} := \varphi_\alpha \circ \varphi_\beta^{-1} : \varphi_\beta(U_{\alpha\beta}) \rightarrow \varphi_\alpha(U_{\alpha\beta})$  are holomorphic functions. Furthermore,

1. The pair  $(U_\alpha, \varphi_\alpha)$  is called a holomorphic chart;
2. Two holomorphic atlases are called equivalent, if the union of them is still a holomorphic atlas.

**Definition 3.1.2** (complex manifold). A complex  $n$ -manifold  $X$  is a smooth  $2n$ -manifold admitting an equivalence class of holomorphic atlases.

*Remark 3.1.1.* A complex manifold is called connected, compact, simply-connected and so on, if its underlying smooth manifold has this property.

**Definition 3.1.3** (submanifold). Let  $X$  be a complex  $n$ -manifold, let  $Y \subset X$  be a smooth manifold of (real) dimension  $2k$ . Then  $Y$  is a complex submanifold if there exists a holomorphic atlas  $\{(U_i, \varphi_i)\}$  of  $X$  such that  $\varphi_i : U_i \cap Y \xrightarrow{\cong} \varphi_i(U_i) \cap \mathbb{C}^k$ .

**Definition 3.1.4** (holomorphic map). Let  $X, Y$  be complex manifolds. A continuous map  $f : X \rightarrow Y$  is a holomorphic map if for any holomorphic charts  $(U, \varphi)$  and  $(U', \varphi')$  of  $X$  and  $Y$  respectively, the map  $\varphi' \circ f \circ \varphi^{-1} : \varphi(f^{-1}(U') \cap U) \rightarrow \varphi'(U')$  is holomorphic.

**Definition 3.1.5** (biholomorphic). Two complex manifolds  $X, Y$  are called biholomorphic, if there exists a holomorphic homeomorphism  $f : X \rightarrow Y$ .

**Definition 3.1.6** (holomorphic function). A holomorphic function on complex manifold  $X$  is a holomorphic map  $f : X \rightarrow \mathbb{C}$ .

*Remark 3.1.2.* We always use  $\mathcal{O}_X$  to denote the sheaf of holomorphic functions on complex manifold  $X$ , and use  $\Gamma(U, \mathcal{O}_X)$  to denote sections over open subset  $U \subset X$ .

**Proposition 3.1.** Let  $X$  be a compact connected complex manifold. Then  $\Gamma(X, \mathcal{O}_X) = \mathbb{C}$ .

*Proof.* It's clear from maximum principle. □

**Definition 3.1.7** (meromorphic function). A meromorphic function on a complex manifold  $X$  is a map  $f : X \rightarrow \coprod_{x \in X} \mathbb{C} \setminus \{0\}$  which associates to any  $x \in X$  an element  $f_x \in \mathbb{C} \setminus \{0\}$  such that for any  $x_0 \in X$  there exists a neighborhood  $U \subset X$  and two holomorphic functions  $g, h : U \rightarrow \mathbb{C}$  with  $f_x = g/h$  for all  $x \in U$ .

*Remark 3.1.3.* We always use  $\mathcal{K}_X$  to denote the sheaf of meromorphic functions on complex manifold  $X$ , and use  $K(X)$  to denote  $\Gamma(X, \mathcal{K}_X)$ .

**Proposition 3.1.1** (Siegel). Let  $X$  be a compact connected complex  $n$ -manifold. Then

$$\mathrm{trdeg}_{\mathbb{C}} K(X) \leq n$$

*Proof.* See Proposition 2.1.9 in Page 54 of [Huy05].  $\square$

**Definition 3.1.8** (algebraic dimension). The algebraic dimension of a compact connected complex manifold  $X$  is  $a(X) := \mathrm{trdeg}_{\mathbb{C}} K(X)$ .

### 3.2. Analytic subvariety.

**Definition 3.2.1** (analytic subvariety). Let  $X$  be a complex manifold. An analytic subvariety of  $X$  is a closed subset  $Y \subset X$  such that for any  $x \in Y$  there exists an open neighborhood  $x \in U \subset X$  such that  $Y \cap U$  is a zero set of finitely many holomorphic functions  $f_1, \dots, f_k \in \mathcal{O}(U)$ .

**Definition 3.2.2.** An analytic subvariety  $Y$  is called irreducible, if it can't be written as the union  $Y = Y_1 \cup Y_2$  of two proper analytic subvarieties  $Y_i \subset Y, i = 1, 2$ .

Given an analytic subvariety  $Y$  of a complex manifold  $X$ .

**Definition 3.2.3** (regular). A point  $x \in Y$  is called regular point, if the functions  $f_1, \dots, f_k$  can be chosen such that  $\varphi(x) \in \varphi(U)$  is a regular point of holomorphic map  $f := (f_1 \circ \varphi^{-1}, \dots, f_k \circ \varphi^{-1}) : \varphi(U) \rightarrow \mathbb{C}^k$ , where  $(U, \varphi)$  is a local chart of  $x$ .

**Definition 3.2.4** (singular). A point  $x \in Y$  is singular, if it's not regular.

**Proposition 3.2.1.** The set of regular points  $Y_{\mathrm{reg}} = Y \setminus Y_{\mathrm{sing}}$  is a non-empty submanifold of  $X$ . Furthermore, if  $Y$  is irreducible, then  $Y_{\mathrm{reg}}$  is connected.

**Definition 3.2.5.** The dimension of an irreducible analytic subvariety  $Y$  is defined  $\dim Y = \dim Y_{\mathrm{reg}}$ .

### 3.3. Basic examples.

**Example 3.3.1** (affine space). The  $n$ -dimensional complex plane  $\mathbb{C}^n$  is a complex manifold.

**Example 3.3.2** (complex tori). If  $V$  is a complex vector space of dimension  $n$  and  $\Gamma \subset V$  is a free abelian, discrete subgroup of order  $2n$ , then  $X = V/\Gamma$  is a complex manifold, which is called complex tori.

*Remark 3.3.1.* The underlying manifolds of complex tori with different  $\Gamma$  are not very interesting, since they are all diffeomorphic to  $(S^1)^{2n}$ . However, if you pick two lattices  $\Gamma_1, \Gamma_2$  randomly, then  $\mathbb{C}^n/\Gamma_1$  and  $\mathbb{C}^n/\Gamma_2$  will not be biholomorphic to each other.

**Example 3.3.3** (projective space). The projective space  $\mathbb{P}^n$  is a complex manifold. Indeed, atlas are given by  $U_i = \{[z] \in \mathbb{P}^n \mid z_i \neq 0\}, 0 \leq i \leq n$ ,

and  $\varphi_i$  is defined as

$$\begin{aligned}\varphi_i : U_i &\rightarrow \mathbb{C}^n \\ [z] &\mapsto \left( \frac{z_0}{z_i}, \dots, \frac{\widehat{z_i}}{z_i}, \dots, \frac{z_n}{z_i} \right)\end{aligned}$$

The transition functions are calculated as follows: For  $i < j$

$$\varphi_i \circ \varphi_j^{-1} : (u_1, \dots, u_n) \mapsto \left( \frac{u_1}{u_i}, \dots, \frac{\widehat{u_i}}{u_i}, \dots, \frac{u_{j-1}}{u_i}, \frac{1}{u_i}, \frac{u_{j+1}}{u_i}, \dots, \frac{u_n}{u_i} \right)$$

It's holomorphic on  $U_i \cap U_j$ .

*Remark 3.3.2.*  $\mathbb{P}^n$  is compact, since  $\mathbb{P}^n$  is diffeomorphic to  $S^{2n+1}/S^1$ , which is called Hopf fibration.

**Definition 3.3.1** (projective manifold). A complex manifold  $X$  is called projective if  $X$  is biholomorphic to a closed complex submanifold of some projective space  $\mathbb{P}^N$ .

**Example 3.3.4** (Grassmannian manifold). The Grassmannian manifold

$$Gr(k, n+1) = \{k\text{-dimensional subspace of } \mathbb{C}^{n+1}\}$$

Now we're going to show  $Gr(k, n+1)$  is a manifold of dimension  $k(n+1-k)$ . Any  $W \in Gr(k, n+1)$  is generated by the rows of a  $k \times (n+1)$  matrix  $A$  of rank  $k$ . Let us denote the set of these matrices by  $M_{k,n+1}$ , which is an open subset of the set of all  $k \times (n+1)$  matrices. The latter space is a complex manifold which is canonically isomorphic to  $\mathbb{C}^{k(n+1)}$ . Thus we obtain a natural surjection  $\pi : M_{k,n+1} \rightarrow Gr(k, n+1)$ , which is the quotient by the natural action of  $GL(k, \mathbb{C})$  on  $M_{k,n+1}$ .

Let's fix an ordering  $\{B_1, \dots, B_m\}$  of all  $k \times k$ -minors of matrices  $A \in M_{k,n+1}$ . Define an open covering  $Gr(k, n+1) = \bigcup_{i=1}^m U_i$ , where  $U_i$  is the open subset  $\{\pi(A) \mid \det(B_i) \neq 0\}$ . Note that  $U_i$  is well-defined, since if  $\pi(A) = \pi(A')$ , then  $A$  and  $A'$  differs an action of  $GL(k, \mathbb{C})$ , so  $\det(B_i) \neq 0$  if and only if  $\det(B'_i) \neq 0$ . So without lose of generality, we may assume  $A$  is of form  $(B_i, C_i)$ , where  $C_i$  is a  $k \times (n+1-k)$  matrix. Then the map  $\varphi_i : U_i \rightarrow \mathbb{C}^{k(n+1-k)}$ , given by  $\pi(A) \rightarrow B_i^{-1}C_i$  is well-defined, and  $\{(U_i, \varphi_i)\}$  will give atlas of  $Gr(k, n+1)$ , since all operations are matrix operation, thus they're holomorphic. This shows  $Gr(k, n+1)$  is a complex manifold with dimension  $k(n+1-k)$ .

*Remark 3.3.3.* If  $V$  is a complex vector space of dimension  $n+1$ , then  $Gr(k, V)$  is defined as the set consisting of all  $k$ -dimensional subspaces of  $V$ , which is biholomorphic to  $Gr(k, n+1)$ .

**Example 3.3.5** (Plücker embedding). Let  $V$  be a complex vector space of dimension  $n+1$ , then

$$\Phi : Gr(k, V) \hookrightarrow \mathbb{P}\left(\bigwedge^k V\right)$$

defined by  $W \subset V$  with basis  $w_1, \dots, w_k$  is mapped to  $[w_1 \wedge \dots \wedge w_k]$ , is called Plücker embedding. It's well-defined, thanks to the following lemma.

**Lemma 3.3.1.** Let  $W$  be a complex vector space of dimension  $k$ , and  $\mathcal{B}_1 = \{w_1, \dots, w_k\}$  and  $\mathcal{B}_2 = \{v_1, \dots, v_k\}$  are two basis for  $W$ . Then  $v_1 \wedge \dots \wedge v_k = \lambda w_1 \wedge \dots \wedge w_k$  for some  $\lambda \in \mathbb{C}^*$ .

*Proof.* If we express  $w_j = a_{1j}v_1 + \dots + a_{kj}v_k$ , then direct computation shows that

$$\begin{aligned} w_1 \wedge \dots \wedge w_k &= (a_{11}v_1 + \dots + a_{k1}v_k) \wedge \dots \wedge (a_{1k}v_1 + \dots + a_{kk}v_k) \\ &= \sum_{\sigma \in S_k} \text{sgn}(\sigma) a_{1\sigma(1)} \dots a_{k\sigma(k)} v_1 \wedge \dots \wedge v_k \\ &= \lambda v_1 \wedge \dots \wedge v_k \end{aligned}$$

Note that  $\lambda$  is exactly the determinant of the change of basis matrix from  $\mathcal{B}_1$  to  $\mathcal{B}_2$ .  $\square$

*Remark 3.3.4.* It's a little bit complicated to check it's injective. I will add the proof if I'm not too lazy in future(smile).

### 3.4. Vector bundle.

#### 3.4.1. Definitions.

**Definition 3.4.1** (complex vector bundle). Let  $X$  be a smooth manifold, a complex vector bundle  $E$  of rank  $r$  on  $X$  consists of the following data

1.  $E$  is a smooth manifold with surjective map  $\pi : E \rightarrow X$ , such that
  - (1) For all  $x \in X$ , fibre  $E_x$  is a  $\mathbb{C}$ -vector space of dimension  $r$ ;
  - (2) For all  $x \in X$ , there exists  $U \subset X$  and  $\pi^{-1}(U)$  is diffeomorphic to  $U \times \mathbb{C}^r$  via  $\varphi$  such that

$$\begin{array}{ccc}
 \pi^{-1}(U) & \xrightarrow{\pi} & U \\
 \searrow \varphi & \curvearrowright p_1 & \nearrow \\
 & U \times \mathbb{C}^r & \xrightarrow{p_2} \mathbb{C}^r
 \end{array}$$

and for all  $y \in U$ ,  $E_y \xrightarrow{p_2 \circ \varphi} \mathbb{C}^r$  is a  $\mathbb{C}$ -vector space isomorphism.  $(U, \varphi)$  is called a trivialization of  $E$  over  $U$ .

*Remark 3.4.1* (transition functions). Consider two local trivialization  $(U_\alpha, \varphi_\alpha), (U_\beta, \varphi_\beta)$ , then  $\varphi_\alpha \circ \varphi_\beta^{-1} : (U_\alpha \cap U_\beta) \times \mathbb{C}^r \rightarrow (U_\alpha \cap U_\beta) \times \mathbb{C}^r$  induces

$$g_{\alpha\beta} : U_\alpha \cap U_\beta \rightarrow \text{GL}(r, \mathbb{C})$$

where  $g_{\alpha\beta}$  is called transition function. Furthermore, it satisfies

$$\begin{aligned}
 g_{\alpha\beta} g_{\beta\gamma} g_{\gamma\alpha} &= \text{id} \quad \text{on } U_\alpha \cap U_\beta \cap U_\gamma \\
 g_{\alpha\alpha} &= \text{id} \quad \text{on } U_\alpha
 \end{aligned}$$

In fact, transition functions contain all information about this vector bundle, since a vector bundle is locally trivial, so how are these trivial pieces glued together really matters.

**Definition 3.4.2** (complex vector bundle). Let  $X$  be a smooth manifold, a complex vector bundle  $E$  of rank  $r$  on  $X$  consists of the following data

- (1) open covering  $\{U_\alpha\}$  of  $X$ ;
- (2) smooth functions  $\{g_{\alpha\beta} : U_\alpha \cap U_\beta \xrightarrow{\text{diff}} \text{GL}(r, \mathbb{C})\}$  satisfies

$$\begin{aligned}
 g_{\alpha\beta} g_{\beta\gamma} g_{\gamma\alpha} &= \text{id} \quad \text{on } U_\alpha \cap U_\beta \cap U_\gamma \\
 g_{\alpha\alpha} &= \text{id} \quad \text{on } U_\alpha
 \end{aligned}$$

*Remark 3.4.2.* The two definitions above are equivalent. The first definition implies the second clearly. The converse is a standard constructive method: If we already have an open covering and a set of transition functions, the vector bundle  $E$  is defined to be the quotient of the disjoint union  $\coprod_{U_\alpha} (U_\alpha \times \mathbb{C}^r)$  by the equivalence relation that puts  $(p', v') \in U_\beta \times \mathbb{C}^r$  equivalent to  $(p, v) \in U_\alpha \times \mathbb{C}^r$  if and only if  $p = p'$  and  $v' = g_{\alpha\beta}(p)v$ . To connect this definition with the previous one, define the map  $\pi$  to send the equivalence class of any given  $(p, v)$  to  $p$ .



**Definition 3.4.3** (holomorphic vector bundle). Let  $X$  be a complex manifold,  $\pi : E \rightarrow X$  is a complex vector bundle given by transition functions  $\{g_{\alpha\beta}\}$ .  $E$  is called a holomorphic vector bundle if  $\{g_{\alpha\beta}\}$  is a holomorphic map.

**Exercise 3.4.1.** Show that the total space of a holomorphic vector bundle  $E$  is a complex manifold.

*Proof.* Since we already have a complex structure on  $X$ , we need to pull it back to  $E$  using  $\pi$  and use the holomorphic transition functions to show it does give a complex structure on  $E$ .  $\square$

### 3.4.2. Morphism and exactness.

**Definition 3.4.4** (morphism between vector bundles).  $\phi$  is a smooth/holomorphic morphism of vector bundle on  $X$  of rank  $k$ , if  $\phi : E \rightarrow F$  is smooth/holomorphic map and fibrewise  $\mathbb{C}$ -linear of rank  $k$ .

$$\begin{array}{ccc} E & \xrightarrow{\phi} & F \\ \pi_1 \searrow & \curvearrowright & \swarrow \pi_2 \\ & X & \end{array}$$

**Example 3.4.1.**  $X$  is a smooth/complex manifold, then  $X \times \mathbb{C}^r$  is the trivial rank  $r$  complex/holomorphic vector bundle on  $X$ .

**Definition 3.4.5** (subbundle).  $\pi : E \rightarrow X$  is a complex/holomorphic vector bundle.  $F \subset E$  is called a subbundle of rank  $s$ , if

1. For all  $x \in X$ ,  $F \cap E_x$  is a subvector space of dimension  $s$ .
2.  $\pi|_F : F \rightarrow X$  induces a complex/holomorphic vector bundle.

**Definition 3.4.6** (exact). A sequence of vector bundles

$$S \xrightarrow{\phi} E \xrightarrow{\psi} Q$$

is called exact at  $E$  if  $\ker \psi = \text{im } \phi$ ;

**3.4.3. Algebraic construction.**  $E, F$  are complex/holomorphic vector bundles on  $X$  with transition functions  $\{g_{\alpha\beta}\}, \{h_{\alpha\beta}\}$ , then by algebraic construction we have

1.  $E \oplus F$ , given by transition functions  $\{\text{diag}(g_{\alpha\beta}, h_{\alpha\beta})\}$
2.  $E \otimes F$ , given by transition functions  $\{g_{\alpha\beta} \otimes h_{\alpha\beta}\}$ ;
3.  $E^*$ , given by transition functions  $\{(g_{\alpha\beta}^{-1})^T\}$ ;
4.  $\text{Hom}(E, F) := E^* \otimes F$ ;
5.  $\bigwedge^k E$ , given by transition functions  $\{\bigwedge^k g_{\alpha\beta}\}$ ;
6. Let  $f : X \rightarrow Y$  be a smooth/holomorphic map,  $\pi : E \rightarrow Y$  is a vector bundle with transition functions  $\{g_{\alpha\beta}\}$ , then transition functions of pullback bundle  $f^*E$  is given by  $\{g_{\alpha\beta} \circ f\}$ .

*Remark 3.4.3.* Here is an explicit construction of pullback bundle defined by

$$f^*E = \{(x, e) \in X \times E \mid f(x) = \pi(e)\} \subset X \times E$$

In fact, you can regard it as a fiber product as

$$\begin{array}{ccc} f^*E & \longrightarrow & E \\ \downarrow & & \downarrow \pi \\ X & \xrightarrow{f} & Y \end{array}$$

**3.4.4. Hermitian structure.** In this section  $X$  is a smooth manifold, and  $\pi : E \rightarrow X$  is a complex vector bundle.

**Definition 3.4.7** (hermitian metric). A hermitian metric  $h$  on  $E$  is a hermitian inner product on each fibre  $E_x$  such that for all open subset  $U \subset X$ , and  $\xi, \eta \in C^\infty(U, E|_U)$ , we have

$$\begin{aligned} \langle \xi, \eta \rangle : U &\rightarrow \mathbb{C} \\ x &\mapsto \langle \xi(x), \eta(x) \rangle \end{aligned}$$

is a smooth function.

*Remark 3.4.4* (local form). Given a local frame  $\{e_\alpha\}$  of  $E$ , hermitian metric can be written as a hermitian matrix  $H = (h_{\alpha\beta})$ , where  $h_{\alpha\beta} \in C^\infty(U)$ , defined by

$$h_{\alpha\beta}(x) = \langle e_\alpha(x), e_\beta(x) \rangle$$

For two sections  $s, t$  of  $E$ , if we write them as  $s = s^\alpha e_\alpha, t = t^\beta e_\beta$  with respect to local frame, then

$$\begin{aligned} h(s, t) &= h(s^\alpha e_\alpha, t^\beta e_\beta) \\ &= s^\alpha \bar{t}^\beta h_{\alpha\beta} \end{aligned}$$

In matrix notation we have

$$h(s, t) = s^T H \bar{t}$$

**Proposition 3.4.1.** Every complex vector bundle admits a hermitian metric.

*Proof.* Use partition of unity. □

**3.4.5. In viewpoint of sheaf.**

**Definition 3.4.8** (section). Let  $X$  be a complex manifold,  $\pi : E \rightarrow X$  a complex/holomorphic vector bundle, and  $U$  is an open subset of  $X$ . A section of  $E$  on  $U$  is a smooth/holomorphic map  $s : U \rightarrow E$ , such that  $\pi \circ s = \text{id}_U$ . The set of all smooth/holomorphic sections over  $U$  is denoted by  $C^\infty(U, E) / \Gamma(U, E)$ .

One reason why sheaf plays an important role of study of complex geometry is that you can regard a vector bundle as a special sheaf.

**Definition 3.4.9** (sheaf of sections). Let  $X$  be a complex manifold and  $\pi : E \rightarrow X$  a holomorphic vector bundle, then its sheaf of sections, denoted by  $\mathcal{O}_X(E)$  is defined as

$$\mathcal{O}_X(E)(U) = \Gamma(U, E|_U)$$

**Example 3.4.2.** If  $E \rightarrow X$  is trivial holomorphic vector bundle, then  $\mathcal{O}_X(E)$  is exactly sheaf of holomorphic functions.

**Example 3.4.3** (locally free sheaf). A sheaf  $\mathcal{F}$  is called locally free, if there exists an open covering  $\{U_\alpha\}$  such that  $\mathcal{F}|_{U_\alpha} \cong \mathcal{O}_{U_\alpha}^{\oplus r}$  of rank  $r$ .

**Exercise 3.4.2.** There is one to one correspondence:

$$\{\text{holomorphic vector bundles}\} \xleftrightarrow{1-1} \{\text{locally free sheaves}\}$$

*Proof.* If  $\pi : E \rightarrow X$  is a holomorphic vector bundle, we claim  $\mathcal{O}_X(E)$  is a locally free sheaf. Since we have local trivialization of holomorphic vector bundle  $\{U_\alpha\}$ . Then consider what's  $\mathcal{O}_X(E)|_{U_\alpha}$ . Since  $E|_{U_\alpha} \cong U_\alpha \times \mathbb{C}^r$ , then holomorphic sections of  $U_\alpha \times \mathbb{C}^r \rightarrow U_\alpha$  are just holomorphic functions  $f : U \rightarrow \mathbb{C}^r$ , i.e.  $\mathcal{O}_X(E|_{U_\alpha}) = \mathcal{O}_{U_\alpha}^{\oplus r}$ . So sheaf  $\mathcal{O}_X(E)$  is a locally free sheaf.

Conversely, assume  $\mathcal{E}$  is locally free over an open covering  $\{U_\alpha\}$  of  $X$ , then we just need to glue  $U_\alpha \times \mathbb{C}^r \rightarrow U_\alpha$  together to get a vector bundle. Therefore we need a family of gluing data  $g_{\alpha\beta} : (U_\alpha \cap U_\beta) \times \mathbb{C}^r \rightarrow (U_\alpha \cap U_\beta) \times \mathbb{C}^r$ . Since  $\mathcal{E}$  is locally free, we have local isomorphism  $f_\alpha : \mathcal{E}|_{U_\alpha} \rightarrow \mathcal{O}_{U_\alpha}^{\oplus r}$ . Restricting to intersection  $U_\alpha \cap U_\beta$ , we get

$$f_{\alpha\beta} = f_\alpha|_{U_\alpha \cap U_\beta} \circ f_\beta^{-1}|_{U_\alpha \cap U_\beta} : \mathcal{O}_{U_\beta}^{\oplus r}|_{U_\alpha \cap U_\beta} \rightarrow \mathcal{O}_{U_\alpha}^{\oplus r}|_{U_\alpha \cap U_\beta}$$

Every such map is induced by a map

$$g_{\alpha\beta} : (U_\alpha \cap U_\beta) \times \mathbb{C}^r \rightarrow (U_\alpha \cap U_\beta) \times \mathbb{C}^r$$

that's gluing data we desire.  $\square$

**Definition 3.4.10.** If  $E$  is a holomorphic vector bundle on a complex manifold  $X$ , then  $H^q(X, E)$  denotes the  $q$ -th cohomology of its sheaf of sections.

## 4. DIVISOR AND LINE BUNDLE

In this section,  $X$  is a complex manifold.

## 4.1. Line bundle.

**Definition 4.1.1** (line bundle). A complex/holomorphic line bundle  $L$  is a rank 1 complex/holomorphic vector bundle.

**Exercise 4.1.1.** Let  $E \rightarrow X$  be a line bundle (no matter complex or holomorphic), then  $E$  is a trivial line bundle if and only if there exists a non-vanishing global section  $s$ .

*Proof.* It's clear there exists a non-vanishing global section if  $E$  is trivial; Conversely, if there exists a non-vanishing global section  $s$ . Define the following map

$$\begin{aligned} \varphi : X \times \mathbb{C} &\rightarrow E \\ (x, \lambda) &\mapsto \lambda s(x) \end{aligned}$$

Now it suffices to show it's an isomorphism, i.e. the map  $\varphi_x : \{x\} \times \mathbb{C} \rightarrow E_x$  is an isomorphism of vector spaces. The map  $\varphi_x$  is given by  $\lambda s(x)$ , it's injective thus an isomorphism. Indeed, if  $\lambda s(x) = 0$  then we have  $\lambda = 0$  since  $s(x) \neq 0$ .  $\square$

*Remark 4.1.1.* Note that for a line bundle  $L$  with transition functions  $\{g_{\alpha\beta}\}$ , then the transition functions of  $L^* \otimes L$  is

$$(g_{\alpha\beta}^{-1})^T g_{\alpha\beta} = g_{\alpha\beta}^{-1} g_{\alpha\beta} = \text{id}$$

So the vector bundle  $L^* \otimes L$  is the trivial bundle.

**Definition 4.1.2** (picard group). The picard group  $\text{Pic}(X)$  is defined as the set of all holomorphic line bundles on  $X$  up to isomorphism, where group structure is given by tensor product.

**Proposition 4.1.1.** There is a natural isomorphism  $\text{Pic}(X) \cong H^1(X, \mathcal{O}_X^*)$ .

*Proof.* For a line bundle  $L$ , it's completely determined by its transition functions  $g_{\alpha\beta} : U_{\alpha\beta} \rightarrow \mathbb{C}^*$ , which is holomorphic functions. It gives rise to an element in  $\check{H}^1(X, \mathcal{O}_X^*)$ , since  $g_{\alpha\beta}$  satisfies cocycle conditions. Furthermore, Čech cohomology<sup>2</sup> computes the sheaf cohomology for reasonable topological space, e.g. for manifolds.  $\square$

*Remark 4.1.2.* This proposition gives us a method to compute Picard group of a complex manifold, since there is exponential sequence as follows

$$0 \rightarrow \mathbb{Z} \rightarrow \mathcal{O}_X \rightarrow \mathcal{O}_X^* \rightarrow 0$$

which is a exact sequence of sheaves, then it gives a long exact sequence of cohomology groups as follows

$$\cdots \rightarrow H^1(X, \mathbb{Z}) \rightarrow H^1(X, \mathcal{O}_X) \rightarrow H^1(X, \mathcal{O}_X^*) \rightarrow H^2(X, \mathbb{Z}) \rightarrow \cdots$$

---

<sup>2</sup>For more details, see Appendix A.

Thus  $\text{Pic}(X)$  can in principle be computed by above exact sequence. Roughly speaking,  $\text{Pic}(X)$  has two parts:

1. A discrete part, measured by its image in  $H^2(X, \mathbb{Z})$ ;
2. A continuous part coming from the  $H^1(X, \mathcal{O}_X)$ , which is possibly trivial.

#### 4.2. Divisor.

**Definition 4.2.1** (analytic hypersurface). An analytic hypersurface of  $X$  is an analytic subvariety  $Y \subset X$  of codimensional one.

*Remark 4.2.1.* A hypersurface is locally given as the zero set of a non-trivial holomorphic function.

**Definition 4.2.2** (divisor). A divisor  $D$  on  $X$  is a locally finite formal linear combination  $D = \sum a_i [Y_i]$  with  $Y_i \subset X$  are irreducible hypersurfaces and  $a_i \in \mathbb{Z}$ .

*Remark 4.2.2.* The above sum is called locally finite, if for any  $x \in X$ , there exists an open neighborhood  $x \in U \subset X$  such that only finite many coefficients  $a_i \neq 0$  with  $Y_i \cap U \neq \emptyset$ .

**Definition 4.2.3** (divisor group). The divisor group  $\text{Div}(X)$  is the set of all divisors endowed with the natural group structure.

**Definition 4.2.4** (effective). A divisor  $D = \sum a_i [Y_i]$  is called effective, if  $a_i \geq 0$  for all  $i$ . In this case, we write  $D \geq 0$ .

**Example 4.2.1.** Every hypersurfaces  $Y$  defines an effective divisor  $\sum [Y_i] \in \text{Div}(X)$ , where  $Y_i$  are irreducible component of  $Y$ .

Let  $Y \subset X$  be a hypersurface and let  $x \in Y$ . Suppose that  $Y$  defines an irreducible germ in  $x$ , that is this germ is the zero set of an irreducible  $g \in \mathcal{O}_{X,x}$ .

**Definition 4.2.5** (order). Let  $f$  be a meromorphic function in a neighborhood of  $x \in Y$ , then the order  $\text{ord}_{Y,x}(f)$  of  $f$  in  $x$  with respect to  $Y$  is given by

$$f = g^{\text{ord}_{Y,x}(f)} h$$

where  $h \in \mathcal{O}_{X,x}^*$ .

*Remark 4.2.3.* For order, we have the following remarks:

1. The order of  $f$  in  $x$  with respect to  $Y$  is independent of the choice of  $g$ , since any two irreducible  $g, g' \in \mathcal{O}_{X,x}$  with  $Z(g) = Z(g')$  only differs by an element in  $\mathcal{O}_{X,x}^*$ .
2. More globally, one can define order  $\text{ord}_Y(f)$  as  $\text{ord}_Y(f) = \text{ord}_{Y,x}(f)$  for  $x \in Y$  such that  $Y$  defines an irreducible germ in  $x$ . Such a point  $x \in Y$  always exists, for example, one can choose a regular point  $x \in Y_{\text{reg}}$ . Moreover, it's independent of the choice of  $x$ , since

**Definition 4.2.6** (zeros and poles). Let  $f$  be a meromorphic function on  $X$ , then

1.  $f$  has zeros of order  $d \geq 0$  along  $Y$  if  $\text{ord}_Y(f) = d$ ;
2.  $f$  has poles of order  $d \geq 0$  along  $Y$  if  $\text{ord}_Y(f) = -d$ ;

**Definition 4.2.7.** Let  $f \in K(X)$ . Then the divisor associated to  $f$  is

$$(f) := \sum \text{ord}_Y(f)[Y]$$

where the sum is taken over all irreducible hypersurfaces  $Y \subset X$ . A divisor of this form is called principal.

*Remark 4.2.4.* The divisor  $(f)$  can be written as the difference of two effective divisors  $(f) = Z(f) - P(f)$ , where

$$Z(f) = \sum_{\text{ord}_Y(f) > 0} \text{ord}_Y(f)[Y], \quad P(f) = \sum_{\text{ord}_Y(f) < 0} \text{ord}_Y(f)[Y]$$

**Proposition 4.2.1.** There exists a natural isomorphism

$$H^0(X, \mathcal{K}_X^* / \mathcal{O}_X^*) \cong \text{Div}(X)$$

*Proof.* An element  $f \in H^0(X, \mathcal{K}_X^* / \mathcal{O}_X^*)$  is given by non-trivial meromorphic functions  $f_i \in K_X^*(U_i)$  such that  $f_i f_j^{-1}$  is a holomorphic function without zeros on  $U_i \cap U_j$ , where  $\{U_i\}$  is an open covering of  $X$ . Thus for any irreducible hypersurface  $Y \subset X$  with  $Y \cap U_i \cap U_j \neq \emptyset$ , one has  $\text{ord}_Y(f_i) = \text{ord}_Y(f_j)$ . Hence  $\text{ord}_Y(f)$  is well-defined for any irreducible hypersurface  $Y$ . Then one associates to  $f$  the divisor  $(f) = \sum \text{ord}_Y(f)[Y] \in \text{Div}(X)$ .

It's clear this map is a group homomorphism. To see it's bijective, we define the inverse as follows. If  $D = \sum a_i[Y_i] \in \text{Div}(X)$  is given, then there exists an open covering  $\{U_i\}$  of  $X$  such that  $Y_i \cap U_j$  is defined by  $g_{ij} \in \mathcal{O}(U_j)$  which is unique up to elements in  $\mathcal{O}^*(U_j)$ . Let  $f_j := \prod_i g_{ij}^{a_i} \in \mathcal{K}_X^*(U_j)$ , since  $g_{ij}$  and  $g_{ik}$  defines the same irreducible hypersurface, they only differ by an element in  $\mathcal{O}^*(U_j \cap U_k)$ . Thus  $f$  glue to an element  $f \in H^0(X, \mathcal{K}_X^* / \mathcal{O}_X^*)$ . It's clear these two maps are inverse to each other.  $\square$

*Remark 4.2.5.* In algebraic geometry, elements in  $H^0(X, \mathcal{K}_X^* / \mathcal{O}_X^*)$  are called Cartier divisors and elements in  $\text{Div}(X)$  are called Weil divisors. Above isomorphism still holds in the algebraic setting under a weak smoothness assumption on  $X$ .

**Corollary 4.2.1.** There exists a natural group homomorphism

$$\begin{aligned} \text{Div}(X) &\rightarrow \text{Pic}(X) \\ D &\mapsto \mathcal{O}(D) \end{aligned}$$

where  $\mathcal{O}(D)$  is defined in the proof.

*Proof.* If  $D = \sum a_i[Y_i] \in \text{Div}(X)$  corresponds to  $f \in H^0(X, \mathcal{K}_X^* / \mathcal{O}_X^*)$ , which in turn is given by functions  $f_i \in \mathcal{K}_X^*(U_i)$  for an open covering  $\{U_i\}$ . Then we define  $\mathcal{O}(D) \in \text{Div}(X)$  with transition functions  $\psi_{ij} := f_i f_j^{-1} \in \mathcal{O}_X^*(U_{ij})$ .

If  $D, D'$  are two divisors, without lose of generality we may assume they're given by  $\{f_i\}$  and  $\{f'_i\}$  respectively on the same open covering, then  $D + D'$ ,

then  $D + D'$  corresponds to  $\{f_i + f'_i\}$ . By definition  $\mathcal{O}(D + D')$  is described by  $\{\psi_{ij}\psi'_{ij}\}$ , hence  $\mathcal{O}(D + D') = \mathcal{O}(D) \otimes \mathcal{O}(D')$ .  $\square$

*Remark 4.2.6.* In fact, above corollary can be derived from the following exact sequence of sheaves

$$0 \rightarrow \mathcal{O}_X^* \rightarrow \mathcal{K}_X^* \rightarrow \mathcal{K}_X^*/\mathcal{O}_X^* \rightarrow 0$$

Then above group homomorphism is exactly the boundary map, the kernel of which coincides with the image of  $H^0(X, \mathcal{K}_X^*) \rightarrow H^0(X, \mathcal{K}_X^*/\mathcal{O}_X^*)$ , and the latter by definition is the set of principal divisors.

**Definition 4.2.8** (linearly equivalent). Two divisors  $D, D'$  are called linearly equivalent, denoted by  $D \sim D'$ , if  $D - D'$  is a principal divisor.

**Corollary 4.2.2.** The group homomorphism  $\text{Div}(X) \rightarrow \text{Pic}(X)$  factorizes over an injection

$$\text{Div}(X)/\sim \hookrightarrow \text{Pic}(X)$$

**4.3. Divisor and line bundle.** In general,  $\text{Div}(X)/\sim \hookrightarrow \text{Pic}(X)$  is a strict inclusion, but we will see if a line bundle admits a non-trivial global section, then it's contained in the image. In order to show this, we need to construct a canonical map

$$\begin{aligned} H^0(X, L) \setminus \{0\} &\rightarrow \text{Div}(X) \\ s &\mapsto Z(s) \end{aligned}$$

The map is constructed as follows: Let  $L \in \text{Pic}(X)$  on open covering  $\{U_i\}$  be trivialized by  $\psi_i : L|_{U_i} \rightarrow \mathcal{O}_{U_i}$ , then divisor  $Z(s)$  is given by  $f := \{f_i := \psi_i(s|_{U_i}) \in H^0(X, \mathcal{K}_X^*/\mathcal{O}_X^*)\}$ .

**Proposition 4.3.1.** Let  $0 \neq s \in H^0(X, L)$ , the line bundle  $\mathcal{O}(Z(s))$  is isomorphic to  $L$ .

**Proposition 4.3.2.** For any effective divisor  $D \in \text{Div}(X)$ , there exists a section  $0 \neq s \in H^0(X, \mathcal{O}(D))$  with  $Z(s) = D$ .

**Corollary 4.3.1.** Non-trivial sections  $s_1 \in H^0(X, L_1)$  and  $s_2 \in H^0(X, L_2)$  define linearly equivalent divisors  $Z(s_1) \sim Z(s_2)$  if and only if  $L_1 \cong L_2$ .

*Proof.* If  $L_1 \cong L_2$ , then

If  $Z(s_1) \sim Z(s_2)$ , then by Corollary 4.2.2 one has  $\mathcal{O}(Z(s_1)) \cong \mathcal{O}(Z(s_2))$ , then this shows  $L_1 \cong L_2$  since  $\mathcal{O}(Z(s_i)) = L_i, i = 1, 2$ .  $\square$

**Corollary 4.3.2.** The image of the natural map  $\text{Div}(X) \rightarrow \text{Pic}(X)$  is generated by those line bundles  $L \in \text{Pic}(X)$  with  $H^0(X, L) \neq 0$ .

*Proof.* We have already seen if  $H^0(X, L) \neq 0$ , then  $L$  is contained in the image. Conversely, any divisor  $D = \sum a_i[Y_i]$  can be written as  $D = \sum a_i^+[Y_i] - \sum a_j^-[Y_j]$  with  $a_k^\pm \geq 0$ , thus  $\mathcal{O}(D) \cong \mathcal{O}(\sum a_i^+[Y_i]) \otimes \mathcal{O}(\sum a_j^-[Y_j])^*$ . Both  $\mathcal{O}(\sum a_i^+[Y_i])$  and  $\mathcal{O}(\sum a_j^-[Y_j])$  are associated to effective divisors, and therefore admit non-trivial global sections.  $\square$

*Remark 4.3.1.* For projective manifolds, the map  $\text{Div}(X) \rightarrow \text{Pic}(X)$  is surjective, but note that even for very easy manifolds, such as complex tori, this is no longer the case.

#### 4.4. Ample line bundle.

**Definition 4.4.1** (base point). Let  $L$  be a holomorphic line bundle on a complex manifold  $X$ . A point  $x \in X$  is a base point of  $L$  if  $s(x) = 0$  for all  $s \in H^0(X, L)$ . The base locus  $\text{Bs}(L)$  is the set of all base points of  $L$ .

*Remark 4.4.1.* If  $\dim H^0(X, L) < \infty$ , we can choose a basis of global sections  $s_1, \dots, s_N$  of it, then  $\text{Bs}(L) = Z(s_1) \cap \dots \cap Z(s_N)$  is an analytic subvariety. Later we will see if  $X$  is compact, then  $\dim H^0(X, L) < \infty$ .

**Proposition 4.4.1.** Let  $L$  be a holomorphic line bundle on a complex manifold  $X$  and suppose  $s_1, \dots, s_N \in H^0(X, L)$  is a basis, then

$$\begin{aligned} \varphi_L : X \setminus \text{Bs}(L) &\rightarrow \mathbb{P}^N \\ x &\mapsto (s_0(x) : \dots : s_N(x)) \end{aligned}$$

defines a holomorphic map such that  $\varphi_L^* \mathcal{O}_{\mathbb{P}^N}(-1) \cong L|_{X \setminus \text{Bs}(L)}$ .

**Definition 4.4.2** (ample line bundle). A holomorphic line bundle  $L$  on a complex manifold  $X$  is called ample if for some  $k > 0$  and some linear system in  $H^0(X, L^k)$  the associated map  $\varphi$  is an embedding.

*Remark 4.4.2.* By definition, a compact complex manifold is projective if and only if it admits an ample line bundle.



## 5. TANGENT AND COTANGENT BUNDLE

## 5.1. Complex and holomorphic tangent bundle.

**Definition 5.1.1** (complex tangent bundle). Let  $X$  be a smooth  $n$ -manifold, with an atlas  $\{U_\alpha, \varphi_\alpha : U_\alpha \rightarrow V_\alpha \subset \mathbb{R}^n\}$ , then real tangent bundle  $T_{X,\mathbb{R}}$  is defined through transition functions

$$g_{\alpha\beta} : U_\alpha \cap U_\beta \xrightarrow{\text{diff}} \text{GL}(n, \mathbb{R})$$

$$x \mapsto J(\varphi_\alpha \circ \varphi_\beta^{-1})(\varphi_\beta(x))$$

The complex tangent bundle  $T_{X,\mathbb{C}}$  is defined as the complexified (real) tangent vector bundle, that is  $T_{X,\mathbb{R}} \otimes \mathbb{C}$ .

**Definition 5.1.2** (holomorphic tangent bundle).  $X$  is a complex  $n$ -manifold, with an atlas  $\{U_\alpha, \varphi_\alpha : U_\alpha \rightarrow V_\alpha \subset \mathbb{C}^n\}$ , then holomorphic tangent bundle  $T_X$  is defined through transition functions

$$g_{\alpha\beta} : U_\alpha \cap U_\beta \rightarrow \text{GL}(n, \mathbb{C})$$

$$z \mapsto J(\varphi_\alpha \circ \varphi_\beta^{-1})(\varphi_\beta(z))$$

*Remark 5.1.1* (relations between complex tangent bundle and holomorphic tangent bundle). Now here comes a natural question: For a complex manifold  $X$ , if we consider its underlying smooth manifold  $X$ , then we have a complex tangent bundle  $T_{X,\mathbb{C}}$ ; On the other hand, we have a holomorphic tangent bundle  $T_X$ . Now we're going to show  $T_X$  is isomorphic to some component of  $T_{X,\mathbb{C}}$  as complex bundle, but not as holomorphic bundle.

To be explicit, for local coordinates  $\{z^1, \dots, z^n\}$  of  $X$ , if we write  $z^i = x^i + \sqrt{-1}y^i$ , then  $X$  is a smooth manifold with local coordinates  $\{x^1, \dots, x^n, y^1, \dots, y^n\}$ . Thus  $\{\frac{\partial}{\partial x^i}, \frac{\partial}{\partial y^i}\}$  is a local frame of  $T_{X,\mathbb{R}}$ . There is a natural almost complex structure  $J$  on  $T_{X,\mathbb{R}}$ , locally given by

$$J\left(\frac{\partial}{\partial x^i}\right) = \frac{\partial}{\partial y^i}$$

$$J\left(\frac{\partial}{\partial y^i}\right) = -\frac{\partial}{\partial x^i}$$

Thus complex tangent bundle  $T_{X,\mathbb{C}}$  can be decomposed as  $T_{X,\mathbb{C}} = T_X^{1,0} \oplus T_X^{0,1}$  with respect to  $J$ , with local frame as follows:

1.  $\frac{\partial}{\partial z^i} := \frac{1}{2}\left(\frac{\partial}{\partial x^i} - \sqrt{-1}\frac{\partial}{\partial y^i}\right)$  is a local frame of  $T_X^{1,0}$ ;
2.  $\frac{\partial}{\partial \bar{z}^i} := \frac{1}{2}\left(\frac{\partial}{\partial x^i} + \sqrt{-1}\frac{\partial}{\partial y^i}\right)$  is a local frame of  $T_X^{0,1}$ .

Indeed, let's check  $T_X^{1,0}$  for an example:

$$\begin{aligned} J\left(\frac{\partial}{\partial z^i}\right) &= \frac{1}{2}\left(\frac{\partial}{\partial y^i} + \sqrt{-1}\frac{\partial}{\partial x^i}\right) \\ &= \frac{\sqrt{-1}}{2}\left(\frac{\partial}{\partial x^i} - \sqrt{-1}\frac{\partial}{\partial y^i}\right) \\ &= \sqrt{-1}\frac{\partial}{\partial z^i} \end{aligned}$$

Note that as real bundle  $T_X$  is isomorphic to  $T_{X,\mathbb{R}}$ , and there is a natural inclusion  $T_{X,\mathbb{R}} \rightarrow T_{X,\mathbb{C}}$ , if we compose it with projection  $T_{X,\mathbb{C}} = T_X^{1,0} \oplus T_X^{0,1} \rightarrow T_X^{1,0}$  onto the first summand, we obtain an  $\mathbb{R}$ -isomorphism  $T_X \rightarrow T_X^{1,0}$  with inverse map  $2\operatorname{Re}(\cdot)$ . Indeed, we can decompose  $\frac{\partial}{\partial x^i}$  in  $T_{X,\mathbb{C}}$  as

$$\frac{\partial}{\partial x^i} = \frac{1}{2}\left(\frac{\partial}{\partial x^i} - \sqrt{-1}J\left(\frac{\partial}{\partial x^i}\right)\right) + \frac{1}{2}\left(\frac{\partial}{\partial x^i} + \sqrt{-1}J\left(\frac{\partial}{\partial x^i}\right)\right)$$

such that the first term lies in  $T_X^{1,0}$  and second term lies in  $T_X^{0,1}$ , so the inverse map of this composite is  $2\operatorname{Re}(\cdot)$ .

Furthermore, the almost complex structure  $J$  on  $T_{X,\mathbb{R}}$  makes it to be a complex vector bundle, and above  $\mathbb{R}$ -isomorphism between  $T_{X,\mathbb{R}}$  and  $T_X^{1,0}$  is a  $\mathbb{C}$ -isomorphism in this setting. That is  $T_X$  is isomorphic to  $T_X^{1,0}$  as complex bundle.

**5.2. Differential forms and operators.** Let  $X$  be a complex manifold, if we consider the complexified dual space of  $T_{X,\mathbb{R}}$ , it admits an analogous decomposition:

$$\Omega_{X,\mathbb{C}}^1 = \Omega_{X,\mathbb{R}}^1 \otimes \mathbb{C} = \Omega_X^{1,0} \oplus \Omega_X^{0,1}$$

Furthermore, there is a decomposition on its  $k$ -th wedge product:

$$\Omega_{X,\mathbb{C}}^k = \bigwedge^k \Omega_{X,\mathbb{C}}^1 = \bigoplus_{p+q=k} \Omega_X^{p,q}, \quad \text{where } \Omega_X^{p,q} = \bigwedge^p \Omega_X^{1,0} \otimes \left(\bigwedge^q \Omega_X^{0,1}\right)$$

*Remark 5.2.1 (local form).* Choose a local coordinate  $(z^1, \dots, z^n) \in U \subset \mathbb{C}^n$ ,  $z^i = x^i + \sqrt{-1}y^i$ , there is a local frame of  $\Omega_{X,\mathbb{R}}^1$ , consisting of  $\{dx^i, dy^j\}$ , where  $dx^i, dy^j$  are dual basis of  $\frac{\partial}{\partial x^i}, \frac{\partial}{\partial y^i}$  respectively. By definition

$$\begin{aligned} J^*(dx^i)\left(\frac{\partial}{\partial x^i}\right) &= dx^i\left(J\left(\frac{\partial}{\partial x^i}\right)\right) = dx^i\left(\frac{\partial}{\partial y^i}\right) = 0 \\ J^*(dx^i)\left(\frac{\partial}{\partial y^i}\right) &= dx^i\left(J\left(\frac{\partial}{\partial y^i}\right)\right) = dx^i\left(-\frac{\partial}{\partial x^i}\right) = -1 \end{aligned}$$

that is

$$\begin{aligned} J^*(dx^i) &= -dy^i \\ J^*(dy^i) &= dx^i \end{aligned}$$

and similarly we have

1.  $dz^i := dx^i + \sqrt{-1}dy^i$  is a local frame of  $\Omega_X^{1,0}$ ;

2.  $d\bar{z}^i := dx^i - \sqrt{-1}dy^i$  is a local frame of  $\Omega_X^{0,1}$ .

**Definition 5.2.1** ( $(p, q)$ -form). A  $k$ -form  $\omega$  of type  $(p, q)$  is a smooth section of  $\Omega_X^{p,q}$ , that is

$$\omega \in C^\infty(X, \Omega_X^{p,q}) \subset C^\infty(X, \Omega_{X,\mathbb{C}}^k)$$

*Remark 5.2.2.* It's quite necessary for us to keep in mind how to distinguish a differential  $k$ -form what type it is, particularly for the case  $k = 2$ , since later we will study the first Chern class, a special  $(1, 1)$ -form. Let's firstly see it in a local viewpoint: For a  $k$ -form omega, it locally looks like

$$\sum_{\substack{|I|=p, |J|=q \\ p+q=k}} f_{IJ} dz_I \wedge d\bar{z}_J$$

So a  $k$ -form is a  $(p, q)$ -form if and only if locally it looks like

$$\sum_{|I|=p, |J|=q} f_{IJ} dz_I \wedge d\bar{z}_J$$

Or we can use language of skew-symmetric bilinear form: Let's elaborate in the case  $k = 2$ . By the definition of wedge of cotangent bundle, any section  $\omega$  of  $\Omega_{X,\mathbb{C}}^2$  is a skew-symmetric bilinear form that maps  $C^\infty(X, T_{X,\mathbb{C}}) \times C^\infty(X, T_{X,\mathbb{C}})$  to  $\mathbb{C}$ . A 2-form  $\omega$  is in type  $(1, 1)$  if and only if

$$\omega(C^\infty(X, T_X^{1,0}), C^\infty(X, T_X^{1,0})) = \omega(C^\infty(X, T_X^{0,1}), C^\infty(X, T_X^{0,1})) = 0$$

**Exercise 5.2.1.** For  $\mathbb{C}^n \cong \mathbb{R}^{2n}$ , we have

$$\omega = dx^1 \wedge dy^1 \wedge \cdots \wedge dx^n \wedge dy^n = \left(\frac{i}{2}\right)^n dz^1 \wedge d\bar{z}^1 \wedge \cdots \wedge dz^n \wedge d\bar{z}^n$$

*Proof.* It suffices to show the case  $n = 1$ , and we can compute directly as follows

$$\begin{aligned} \left(\frac{i}{2}\right) dz \wedge d\bar{z} &= \left(\frac{i}{2}\right) (dx + idy) \wedge (dx - idy) \\ &= \left(\frac{i}{2}\right) (-2idx \wedge dy) \\ &= dx \wedge dy \end{aligned}$$

□

**5.3.  $\bar{\partial}$ -operator.** Let  $X$  be a complex manifold, naturally there is a differential operator

$$d : C^\infty(X, \Omega_{X,\mathbb{C}}^k) \rightarrow C^\infty(X, \Omega_{X,\mathbb{C}}^{k+1})$$

Since we already know that we can decompose  $\Omega_{X,\mathbb{C}}^k$ , so it's natural to ask how to decompose  $d\alpha$ , for  $\alpha \in C^\infty(X, \Omega_{X,\mathbb{C}}^k)$ .

**Example 5.3.1.** For  $\alpha \in C^\infty(X, \Omega_{X,\mathbb{C}}^0)$ , then  $d\alpha$  can be written as  $\partial\alpha + \bar{\partial}\alpha$ , where  $\partial\alpha \in C^\infty(X, \Omega_X^{1,0})$  and  $\bar{\partial}\alpha \in C^\infty(X, \Omega_X^{0,1})$ . It suffices to see how to

decompose locally. Locally we have

$$\begin{aligned} d\alpha &= \frac{\partial\alpha}{\partial x^i} dx^i + \frac{\partial}{\partial y^i} dy^i \\ &= \frac{1}{2} \left( \frac{\partial\alpha}{\partial x^i} - \sqrt{-1} \frac{\partial\alpha}{\partial y^i} \right) dz^i + \frac{1}{2} \left( \frac{\partial\alpha}{\partial x^i} + \sqrt{-1} \frac{\partial\alpha}{\partial y^i} \right) d\bar{z}^i \\ &= \frac{\partial\alpha}{\partial z^i} dz^i + \frac{\partial\alpha}{\partial \bar{z}^i} d\bar{z}^i \end{aligned}$$

that is locally  $\partial\alpha$  looks like  $\frac{\partial\alpha}{\partial z^i} dz^i$ . More generally, for  $\alpha \in C^\infty(X, \Omega_X^{p,q})$ , locally looks like

$$\alpha = \sum_{|I|=p, |J|=q} \alpha_{IJ} dz^J \wedge d\bar{z}^K$$

then

$$d\alpha = \sum_{|I|=p, |J|=q} \frac{\partial\alpha_{IJ}}{\partial z^l} dz^l \wedge dz^I \wedge d\bar{z}^J + \sum_{|I|=p, |J|=q} \frac{\partial\alpha_{IJ}}{\partial \bar{z}^l} d\bar{z}^l \wedge z^I \wedge \bar{z}^J$$

We use  $\partial\alpha$  to denote the former and  $\bar{\partial}\alpha$  to denote the latter, and call them partial differential operators.

**Proposition 5.3.1.** For  $\partial$  and  $\bar{\partial}$ , we have

1. Leibniz rule

$$\partial(\alpha \wedge \beta) = \partial\alpha \wedge \beta + (-1)^{\deg \alpha} \alpha \wedge \partial\beta$$

2.

$$\partial^2 = \bar{\partial}^2 = 0, \quad \partial\bar{\partial} + \bar{\partial}\partial = 0$$

Thus we can consider the following cochain complex<sup>3</sup>

$$(5.1) \quad 0 \rightarrow C^\infty(X, \Omega_X^{p,0}) \xrightarrow{\bar{\partial}} C^\infty(X, \Omega_X^{p,1}) \xrightarrow{\bar{\partial}} \dots \xrightarrow{\bar{\partial}} C^\infty(X, \Omega_X^{p,n}) \rightarrow 0$$

**Definition 5.3.1** (Dolbeault cohomology).

$$H^{p,q}(X) := Z^{p,q}(X)/B^{p,q}(X) = H_{\bar{\partial}}^q(C^\infty(X, \Omega_X^{p,*}))$$

*Remark 5.3.1.* Here comes a key question: Since we have  $C^\infty(X, \Omega_{X,\mathbb{C}}^k) = \bigoplus_{p+q=k} C^\infty(X, \Omega_X^{p,q})$ , could we have the following decomposition?

$$H^k(X, \mathbb{C}) = \bigoplus_{p+q=k} H^{p,q}(X)$$

In fact, later we will see for compact Kähler manifold, such decomposition do holds, which is called hodge decomposition.

---

<sup>3</sup>You may wonder why don't we use  $\partial$  to construct such cobchain complex. In fact, the two definitions are almost the same, since they conjugate to each other. However, the cohomology group of cochain complex defined by  $\bar{\partial}$  is more meaningful, as we will see later.

A natural question is that what does this cohomology compute? In the setting of smooth manifold, de Rham cohomology computes the cohomology of constant sheaf, and this holds from Poincaré lemma. This complex setting similar things still hold, firstly let's see an example.

**Example 5.3.2.** Since  $B^{p,0} = 0$ , then

$$H^{p,0}(X) = Z^{p,0}(X) = \{\alpha \in C^\infty(X, \Omega_X^{p,0}) \mid \bar{\partial}\alpha = 0\}$$

Locally we have  $\alpha = \sum_{|I|=p} \alpha_I dz^I$ , then

$$\bar{\partial}\alpha = \sum_{|I|=p} \frac{\partial \alpha_I}{\partial \bar{z}^l} d\bar{z}^l \wedge dz^I = 0 \implies \frac{\partial \alpha_I}{\partial \bar{z}^l} = 0$$

That is,  $\alpha_I$  is holomorphic function. Since  $\Omega_X^{p,0} \cong \Omega_X^p$  as complex vector bundle, we have  $H^{p,0}(X) = \Gamma(X, \Omega_X^p)$ .

**Proposition 5.3.2** ( $\bar{\partial}$ -Poincaré lemma). Let  $B$  be an open disc, if  $\alpha \in C^\infty(B, \Omega_X^{p,q})$  is  $\bar{\partial}$ -closed and  $q > 0$ , then there exists  $\beta \in C^\infty(B, \Omega_X^{p,q-1})$  such that  $\alpha = \bar{\partial}\beta$ .

*Proof.* See Corollary 1.3.9 of Page 47 of [Huy05].  $\square$

*Remark 5.3.2.* This shows Dolbeault cohomology  $H^{p,q}(X)$  computes the  $q$ -th sheaf cohomology of  $\Omega_X^p$ .

**Proposition 5.3.3.** For a holomorphic map  $f : X \rightarrow Y$  between complex manifold, then

$$f^* : C^\infty(Y, \Omega_{Y,\mathbb{C}}^k) \rightarrow C^\infty(X, \Omega_{X,\mathbb{C}}^k)$$

Then<sup>4</sup>

$$f^* : C^\infty(Y, \Omega_{Y,\mathbb{C}}^{p,q}) \rightarrow C^\infty(X, \Omega_{X,\mathbb{C}}^{p,q})$$

and

$$f^* : H^{p,q}(Y) \rightarrow H^{p,q}(X)$$

*Remark 5.3.3.* This shows Dolbeault cohomology is a contravariant functor.

**Example 5.3.3** (Dolbeault cohomology of a holomorphic vector bundle<sup>5</sup>). For a holomorphic vector bundle  $E \rightarrow X$ , we can also define

$$\bar{\partial}_E : C^\infty(X, \Omega_X^{0,q} \otimes E) \rightarrow C^\infty(X, \Omega_X^{0,q+1} \otimes E)$$

satisfies  $\bar{\partial}_E^2 = 0$ . Let's elaborate this construction: Since any global section is glued together by local sections, we just need to define  $\bar{\partial}_E$  for local sections and check it is well-defined under the change of local chart. We can choose a local holomorphic frame  $\{e^1, \dots, e^n\}$  for  $E$  on  $U$ , so any section  $\sigma \in$

<sup>4</sup>Check this, we need back to definition, a holomorphic map induces a tangent map  $T_f : T_{X,\mathbb{C}} \rightarrow f^*T_{Y,\mathbb{C}}$ , and consider its dual we get cotangent map  $\Omega_f : f^*\Omega_{Y,\mathbb{C}} \rightarrow \Omega_{X,\mathbb{C}}$

<sup>5</sup>In previous case,  $E = \Omega_X^p$

$C^\infty(U, \Omega_X^{0,q} \otimes E)$  we can write it locally as  $\sigma = \varphi^i e_i$ , where  $\varphi^i \in C^\infty(U, \Omega_X^{0,q})$ . Then we can define

$$\bar{\partial}_E(\sigma) = \bar{\partial}\varphi_i \otimes e^i$$

It's clear that this definition is independent of the choice of local chart, since the transition functions are holomorphic and  $\bar{\partial}$  kills them. Furthermore,  $\bar{\partial}_E^2 = 0$  holds since  $\bar{\partial}^2 = 0$ . So we can construct a cochain complex and define its cohomology, denoted by

$$H^q(X, E) = H_{\bar{\partial}_E}^q(C^\infty(X, \Omega_X^{0,*} \otimes E))$$

and similarly  $H^q(X, E)$  computes the  $q$ -th sheaf cohomology of  $E$ .

### Part 3. Geometry of vector bundle

#### 6. CONNECTIONS

**6.1. General case.** In this section  $X$  is a smooth manifold, and  $\pi : E \rightarrow X$  is a complex vector bundle.

**Definition 6.1.1** (connection). A connection on  $E$  is a  $\mathbb{C}$ -linear operator

$$\nabla : C^\infty(X, E) \rightarrow C^\infty(X, \Omega_{X, \mathbb{C}}^1 \otimes E)$$

satisfying the Leibniz rule

$$\nabla(fs) = df \otimes s + f\nabla s$$

for  $f \in C^\infty(X)$  and  $s \in C^\infty(X, E)$ .

*Remark 6.1.1* (connection form). If we choose a local frame  $\{e_\alpha\}$  of  $E$ , then any section  $s$  of  $E$  can be written as  $s = s^\alpha e_\alpha$ , then

$$\nabla(s^\alpha e_\alpha) = ds^\alpha e_\alpha + s^\alpha \nabla s_\alpha$$

If we write  $\nabla e_\alpha$  explicitly as follows

$$\nabla e_\alpha = \omega_\alpha^\beta e_\beta$$

where  $\omega_\alpha^\beta$  are 1-forms, which is called connection 1-form. Suppose there is another local frame  $\tilde{e}_\alpha$ , which is related by  $\tilde{e}_\alpha = g_\alpha^\beta e_\beta$ , then connection 1-form  $\tilde{\omega}$  with respect to local frame  $\{\tilde{e}_\alpha\}$  satisfies  $\tilde{\omega} = g\omega g^{-1} + dg g^{-1}$ . In terms of Christoffel symbol, one has

$$\omega_\alpha^\beta = \Gamma_{\alpha\gamma}^\beta dz^\gamma + \Gamma_{\alpha\bar{\gamma}}^\beta d\bar{z}^\gamma$$

**Definition 6.1.2** (hermitian connection). A connection  $\nabla$  on a hermitian vector bundle  $(E, h)$  is called a hermitian connection, if

$$dh(s, t) = h(\nabla s, t) + h(s, \nabla t)$$

where  $s, t$  are sections of  $E$ .

*Remark 6.1.2* (local form). If  $\{e_\alpha\}$  is a local frame of  $E$ , then

$$\begin{aligned} dh_{\alpha\beta} &= dh(e_\alpha, e_\beta) \\ &= h(\nabla e_\alpha, e_\beta) + h(e_\alpha, \nabla e_\beta) \\ &= \omega_\alpha^\gamma h_{\gamma\beta} + \overline{\omega_\beta^\gamma} h_{\alpha\gamma} \end{aligned}$$

So in matrix notation, we have

$$dh = \omega h + h \bar{\omega}^T$$

In particular, if we take  $\{e_\alpha\}$  to be orthogonal local frame of  $E$  with respect to  $h$ , we will find  $\omega + \bar{\omega}^T = 0$ , that is  $\omega$  is skew-hermitian matrix.

Now we're going to extend connection to something called exterior derivative defined on sections of vector bundle valued  $k$ -forms as follows

$$\begin{aligned} d^\nabla : C^\infty(M, \Omega_{X, \mathbb{C}}^k \otimes E) &\rightarrow C^\infty(M, \Omega_{X, \mathbb{C}}^{k+1} \otimes E) \\ \omega \otimes s &\mapsto d\omega \otimes s + (-1)^k \omega \wedge \nabla s \end{aligned}$$

Note that  $d^\nabla$  on  $C^\infty(M, E)$  is exactly  $\nabla$ . Furthermore, direct computation shows

$$\begin{aligned} (d^\nabla)^2(s^\alpha e_\alpha) &= d^\nabla(ds^\alpha e_\alpha + s^\alpha \omega_\alpha^\beta e_\beta) \\ &= -ds^\alpha \wedge \omega_\alpha^\beta e_\beta + d(s^\alpha \omega_\alpha^\beta) e_\beta - s^\alpha \omega_\alpha^\beta \wedge \omega_\beta^\gamma e_\gamma \\ &= s^\alpha (d\omega_\alpha^\beta - \omega_\alpha^\gamma \wedge \omega_\gamma^\beta) e_\beta \\ (d^\nabla)^2(e_\alpha) &= d^\nabla(\omega_\alpha^\beta e_\beta) \\ &= d\omega_\alpha^\beta e_\beta - \omega_\alpha^\beta \wedge \nabla e_\beta \\ &= d\omega_\alpha^\beta e_\beta - \omega_\alpha^\beta \wedge \omega_\beta^\gamma e_\gamma \\ &= (d\omega_\alpha^\beta - \omega_\alpha^\gamma \wedge \omega_\gamma^\beta) e_\beta \end{aligned}$$

that is smooth functions commutes with  $(d^\nabla)^2$ . This is a quite good property, from this we can conclude:

1.  $(d^\nabla)^2(e_\alpha)$  completely determines  $(d^\nabla)^2$  locally, thus we can say  $(d^\nabla)^2$  locally looks like  $d\omega - \omega \wedge \omega$ ;
2.  $(d^\nabla)^2$  is a global section of  $\Omega_M^2 \otimes \text{End } E$ , that is it's compatible with change of basis. Indeed, for two local frame  $e, \tilde{e}$  such that  $\tilde{e} = ge$ , we will see

$$\begin{aligned} g(d^\nabla)^2 e &= (d^\nabla)^2 ge \\ &= (d^\nabla)^2 \tilde{e} \\ &= (d\tilde{\omega} - \tilde{\omega} \wedge \tilde{\omega}) \tilde{e} \\ &= (d\tilde{\omega} - \tilde{\omega} \wedge \tilde{\omega}) ge \end{aligned}$$

which implies

$$g^{-1}(d\tilde{\omega} - \tilde{\omega} \wedge \tilde{\omega})g = d\omega - \omega \wedge \omega$$

**Definition 6.1.3** (curvature form). Given a connection  $\nabla$  on a complex vector bundle  $E$  on a smooth manifold, there exists a global section  $\Theta \in C^\infty(X, \Omega_{X, \mathbb{C}}^2 \otimes \text{End } E)$  such that

$$(d^\nabla)^2 s = \Theta \wedge s$$

for all  $s \in C^\infty(X, \Omega_{X, \mathbb{C}}^k \otimes E)$ .

*Remark 6.1.3.* In physicists' language, a connection is a “field”, the curvature is the “strength” of the field, and choosing a local frame is called “fixing the gauge”. The reason for these names comes from H. Weyl's work, rewriting Maxwell's equations.



In particular, if  $\pi : X \rightarrow L$  is a complex line with connection  $\nabla$ , then curvature  $\Theta$  is a global section of  $\Omega_{X,\mathbb{C}}^2 \otimes \text{End}(L)$  and  $\text{End } L$  is trivial bundle, so in this case  $\Theta$  is exactly a 2-form.

Furthermore,  $\Theta$  locally looks like  $d\omega$ , since for line bundle  $\omega \wedge \omega = 0$ . A immediate consequence is that  $d\Theta = 0$ , that is  $\Theta$  is a closed 2-form<sup>6</sup>. In other words,

$$[\Theta] \in H^2(X, \mathbb{C})$$

is an element of de Rham cohomology group.

*Remark 6.1.4.* In fact,  $[\Theta] \in H^2(X, \mathbb{C})$  is independent of the choice of connection. Indeed, if we consider another connection  $\tilde{\nabla}$ , and  $\tilde{\omega}$ , then for section  $s$  of  $\Omega_{X,\mathbb{C}}^k \otimes L$ , we have

$$\begin{aligned} (\nabla - \tilde{\nabla})s &= (ds + \omega \wedge s) - (ds - \tilde{\omega} \wedge s) \\ &= (\omega - \tilde{\omega}) \wedge s \end{aligned}$$

Note that  $\omega - \tilde{\omega}$  is a global section of  $\Omega_{X,\mathbb{C}}^1$ , so  $\Theta - \tilde{\Theta}$  is exact.

**Definition 6.1.4** (first Chern class). Let  $X$  be a smooth manifold,  $\pi : X \rightarrow L$  a complex line. Then the first Chern class of  $L$  is defined as

$$c_1(L) := \left[ \frac{\sqrt{-1}}{2\pi} \Theta \right] \in H^2(X, \mathbb{C})$$

where  $\Theta$  is curvature of arbitrary connection.

*Remark 6.1.5.* In fact, if  $L$  is a hermitian line bundle, then  $c_1(L) \in H^2(X, \mathbb{R})$ . Indeed, for a hermitian connection  $\nabla$ , locally we have

$$\bar{\omega} = -\omega$$

Thus

$$\frac{\sqrt{-1}}{2\pi} \Theta = -\frac{\sqrt{-1}}{2\pi} \bar{\Theta} = -\frac{\sqrt{-1}}{2\pi} d\bar{\omega} = \frac{\sqrt{-1}}{2\pi} d\omega = \frac{\sqrt{-1}}{2\pi} \Theta$$

**6.2. Chern connection.** Recall that for a complex manifold  $X$ , we have

$$\Omega_{X,\mathbb{C}}^1 = \Omega_X^{1,0} \oplus \Omega_X^{0,1}$$

Consider  $E \rightarrow X$  is a complex vector bundle, and  $\nabla$  is a connection, then we can decompose  $\nabla = \nabla^{1,0} + \nabla^{0,1}$  by composing the projection as follows

$$\begin{array}{ccc} & & C^\infty(X, \Omega_X^{1,0} \otimes E) \\ & \nearrow & \\ C^\infty(X, E) & \xrightarrow{\nabla} & C^\infty(X, \Omega_{X,\mathbb{C}}^1 \otimes E) \\ & \searrow & \\ & & C^\infty(X, \Omega_X^{0,1} \otimes E) \end{array}$$

---

<sup>6</sup>Attention: Here  $\Theta$  is just a closed form, not necessary exact, since  $\omega$  is not a globally defined object.

Locally, we have  $\nabla = d + \omega$ , then

$$\nabla^{1,0} = \partial + \omega^{1,0}, \quad \nabla^{0,1} = \bar{\partial} + \omega^{0,1}$$

both  $\nabla^{1,0}$  and  $\nabla^{0,1}$  satisfy Leibniz rule.

**Definition 6.2.1** (complex connection). A connection  $\nabla$  on a complex vector bundle  $E$  over a complex manifold  $X$  is said to be compatible with complex structure, if  $\nabla^{0,1} = \bar{\partial}_E$ .

*Remark 6.2.1* (local form). Let  $\{e_\alpha\}$  be a holomorphic local form of  $E$ , and denote

$$\nabla e_\alpha = (\Gamma_{\alpha\gamma}^\beta dz^\gamma + \Gamma_{\alpha\bar{\gamma}}^\beta d\bar{z}^\gamma) e_\beta$$

that is

$$\nabla^{0,1} e_\alpha = \Gamma_{\alpha\bar{\gamma}}^\beta e_\beta$$

But since  $\{e_\alpha\}$  is holomorphic, that is  $\bar{\partial}_E e_\alpha = 0$ , which implies  $\nabla$  is complex if and only if  $\Gamma_{\alpha\bar{\gamma}}^\beta = 0$ .

**Theorem 6.2.1** (Chern connection).  $X$  is a complex manifold,  $(E, h)$  is a hermitian holomorphic vector bundle, then there exists a unique hermitian connection  $\nabla$  such that  $\nabla^{0,1} = \bar{\partial}_E$ , which is called Chern connection.

*Proof.* If hermitian connection  $\nabla$  is compatible with complex structure, then the following three equations are equivalent

$$\begin{aligned} dh &= \omega h + h \bar{\omega}^t \\ \partial h &= \omega h \\ \bar{\partial} h &= h \bar{\omega}^t \end{aligned}$$

since  $\omega$  is a  $(1,0)$ -valued matrix. This shows  $\nabla$  is uniquely determined by our metric, since  $\omega = (\partial h)h^{-1}$ .  $\square$

*Remark 6.2.2* (local form). It's necessary to write down local form of  $\partial h = \omega h$ , that is

$$\partial_\beta g_{\alpha\bar{\lambda}} = \Gamma_{\alpha\beta}^\gamma g_{\gamma\bar{\lambda}}$$

**Corollary 6.2.1.**  $X$  is a complex manifold,  $(E, h)$  is a hermitian holomorphic vector bundle equipped with Chern connection  $\nabla$ , the curvature of Chern connection is called Chern curvature, denoted by  $\Theta_h$ . Then we have

1. Locally we have  $\partial\omega = \omega \wedge \omega$ ;
2. Locally we have  $\Theta_h = \bar{\partial}\omega$ ;
3.  $\bar{\partial}\Theta_h = 0$ .

*Proof.* For (1). Since  $\omega = (\partial h)h^{-1}$ , then directly computation shows

$$\begin{aligned} \partial\omega &= -\partial h \wedge \partial(h^{-1}) \\ &= -\partial h \wedge (-h^{-1}\partial h h^{-1}) \\ &= (\partial h)h^{-1} \wedge (\partial h)h^{-1} \\ &= \omega \wedge \omega \end{aligned}$$

For (2).  $\Theta$  locally looks like

$$\Theta_h = d\omega - \omega \wedge \omega = d\omega - \partial\omega = \bar{\partial}\omega$$

For (3). It's clear from (2).  $\square$

In particular,  $X$  is a complex manifold,  $(L, h)$  is a hermitian holomorphic line bundle,  $\nabla$  is the Chern connection of  $L$  with Chern curvature  $\Theta_h$ . Then by Remark 6.1.5 and Corollary 6.2.1, we have we have

$$\frac{\sqrt{-1}}{2\pi}\Theta_h \in C^\infty(X, \Omega_{X, \mathbb{R}}^2) \cap C^\infty(X, \Omega_X^{1,1})$$

such that

$$d\left(\frac{\sqrt{-1}}{2\pi}\Theta_h\right) = \bar{\partial}\left(\frac{\sqrt{-1}}{2\pi}\Theta_h\right) = 0$$

that is

$$\left[\frac{\sqrt{-1}}{2\pi}\Theta_h\right] \in H^2(X, \mathbb{R}), \quad \left[\frac{\sqrt{-1}}{2\pi}\Theta_h\right] \in H^{1,1}(X)$$

*Remark 6.2.3* (local form). Let  $\{e(x)\}$  be a local frame of  $L$ , then hermitian metric is

$$h(x) = \langle e(x), e(x) \rangle = \|e(x)\|_h^2$$

If we denote  $\varphi(x) = -\log h(x)$ , then

$$\omega = (\partial h)h^{-1} = \partial e^{-\varphi(x)}e^{\varphi(x)} = -\partial\varphi(x)$$

$$\Theta_h = \bar{\partial}\omega = -\bar{\partial}\partial\varphi(x) = \partial\bar{\partial}\varphi(x)$$

So we have

$$\begin{aligned} \frac{\sqrt{-1}}{2\pi}\Theta_h &= \frac{\sqrt{-1}}{2\pi}\partial\bar{\partial}\varphi(x) \\ &= \frac{\sqrt{-1}}{2\pi}\partial\bar{\partial}(-\log h(x)) \\ &= \frac{1}{2\pi\sqrt{-1}}\partial\bar{\partial}\log \|e(x)\|_h^2 \end{aligned}$$

**Proposition 6.2.1.**  $X$  is a complex manifold,  $(L, h)$  is a hermitian holomorphic line bundle. Then  $c_1(L)$  is represented by a real  $(1, 1)$ -form, given locally by

$$\frac{\sqrt{-1}}{2\pi}\Theta_h = \frac{1}{2\pi\sqrt{-1}}\partial\bar{\partial}\log \|e(z)\|_h^2$$

**Exercise 6.2.1.** Show that  $[\frac{i}{2\pi}\Theta_h] \in H^{1,1}(X)$  is independent of  $h$ .

*Proof.* Note that any two metric on a line bundle differ a smooth function which is positive everywhere, so if  $h$  and  $h'$  are two different metrics, we can write  $\|e(z)\|_{h'} = e^f\|e(z)\|_h$  for some smooth function  $f$ . So by Proposition 6.2.1, we have the difference of first Chern classes coming from different metrics is  $\frac{i}{\pi}\bar{\partial}\partial f$ , as desired.  $\square$

### 6.3. When Chern connection encounters Levi-Civita connection.

Let  $X$  be a complex manifold, as we have seen in Remark 5.1.1, one can regard holomorphic vector bundle  $TX$  as a real tangent bundle with an almost complex structure  $J$ , then  $TX$  and  $T_X^{1,0}$  is isomorphic as complex vector bundles via  $X \mapsto \frac{1}{2}(X - \sqrt{-1}JX)$ .

Note that any hermitian metric  $h$  on a complex vector bundle, the real part of  $h$  induces a Riemannian metric on underlying real vector bundle. So if  $TX$  is endowed with a Riemannian metric  $g$ , it's natural to ask whether it comes from a hermitian metric, that is it's real part of some hermitian metric on  $TX$ .

*Remark 6.3.1.* Note that if a Riemannian metric comes from a hermitian one, we must have  $g(JX, JY) = g(X, Y)$ , since  $J$  acts just like a “rotation”, so it preserves the real part of hermitian metric, such Riemannian metric is called a hermitian Riemannian metric.

Note that a Riemannian metric  $g$  is a  $\mathbb{R}$ -bilinear map on  $TX \times TX$ , we can extend it by  $\mathbb{C}$ -linearity to a  $\mathbb{C}$ -bilinear map on  $(TX)_{\mathbb{C}} \times (TX)_{\mathbb{C}}$ . If we decompose  $(TX)_{\mathbb{C}} = T_X^{1,0} \oplus T_X^{0,1}$ , then  $g$  is broken into four parts, that is

$$\begin{aligned} g_{\alpha\beta} & \text{ on } T_X^{1,0} \times T_X^{1,0} \\ g_{\alpha\bar{\beta}} & \text{ on } T_X^{1,0} \times T_X^{0,1} \\ g_{\bar{\alpha}\beta} & \text{ on } T_X^{0,1} \times T_X^{1,0} \\ g_{\bar{\alpha}\bar{\beta}} & \text{ on } T_X^{0,1} \times T_X^{0,1} \end{aligned}$$

If  $g$  is hermitian, then there are some other restrictions on these four parts.

1. The symmetry of  $g$  implies  $g_{\alpha\bar{\beta}} = g_{\bar{\beta}\alpha}$ .
2. Direct computation shows

$$\begin{aligned} g\left(\frac{\partial}{\partial z^\alpha}, \frac{\partial}{\partial z^\beta}\right) & \stackrel{(a)}{=} g\left(J\frac{\partial}{\partial z^\alpha}, J\frac{\partial}{\partial z^\beta}\right) \\ & \stackrel{(b)}{=} g\left(\sqrt{-1}\frac{\partial}{\partial z^\alpha}, \sqrt{-1}\frac{\partial}{\partial z^\beta}\right) \\ & \stackrel{(c)}{=} -g\left(\frac{\partial}{\partial z^\alpha}, \frac{\partial}{\partial z^\beta}\right) \end{aligned}$$

where

- (a) holds from  $g$  is hermitian;
- (b) holds from  $J|_{T_X^{1,0}}$  is the same as  $\sqrt{-1}$ ;
- (c) holds from  $g$  is  $\mathbb{C}$ -linear.

which implies  $g_{\alpha\beta} = 0$ .

3. Since  $g$  is real, then

$$\begin{aligned} \overline{g(\frac{\partial}{\partial z^\alpha}, \frac{\partial}{\partial \bar{z}^\beta})} &= \overline{g(\frac{1}{2}(\frac{\partial}{\partial x^\alpha} - \sqrt{-1}\frac{\partial}{\partial y^\alpha}), \frac{1}{2}(\frac{\partial}{\partial x^\beta} + \sqrt{-1}\frac{\partial}{\partial y^\beta}))} \\ &= g(\frac{1}{2}(\frac{\partial}{\partial x^\alpha} + \sqrt{-1}\frac{\partial}{\partial y^\alpha}), \frac{1}{2}(\frac{\partial}{\partial x^\beta} - \sqrt{-1}\frac{\partial}{\partial y^\beta})) \\ &= g(\frac{\partial}{\partial \bar{z}^\alpha}, \frac{\partial}{\partial z^\beta}) \end{aligned}$$

that is  $\overline{g_{\alpha\bar{\beta}}} = g_{\bar{\alpha}\beta}$ .

4. (1) together with (3) implies  $(g_{\alpha\bar{\beta}})$  is a hermitian matrix.

So in a summary, if Riemannian metric  $g$  is hermitian, then its  $\mathbb{C}$ -bilinearity extension on  $(TX)_{\mathbb{C}} \times (TX)_{\mathbb{C}}$  locally can be written as

$$\begin{aligned} g_{\alpha\bar{\beta}} dz^\alpha \otimes d\bar{z}^\beta + g_{\bar{\alpha}\beta} d\bar{z}^\alpha \otimes dz^\beta &= g_{\alpha\bar{\beta}} dz^\alpha \otimes d\bar{z}^\beta + g_{\bar{\beta}\alpha} dz^\alpha \otimes d\bar{z}^\beta \\ &= 2g_{\alpha\bar{\beta}} dz^\alpha \otimes d\bar{z}^\beta \end{aligned}$$

Thus we obtain a hermitian metric  $h$  on  $T_X^{1,0}$ , locally given by  $2g_{\alpha\bar{\beta}} dz^\alpha \otimes d\bar{z}^\beta$ . Recall  $T_X^{1,0}$  is isomorphic to  $TX$  as complex vector bundle, this gives a hermitian metric on  $TX$ , and we claim  $g$  is arisen from this one. Indeed, direct computation shows

$$\begin{aligned} 2g(\frac{1}{2}(X - \sqrt{-1}JX), \frac{1}{2}(Y + \sqrt{-1}JY)) &= \frac{1}{2}g(X, Y) + \frac{\sqrt{-1}}{2}g(X, JY) \\ &\quad - \frac{\sqrt{-1}}{2}g(JX, Y) + \frac{1}{2}g(JX, JY) \\ &= g(X, Y) + \sqrt{-1}g(X, JY) \end{aligned}$$

Now let's compare Levi-Civita connection with Chern connection. Let  $\nabla$  be the Levi-Civita connection on  $TX$  with respect to hermitian Riemannian metric  $g$ , and extend it into a connection

$$\nabla : C^\infty(X, (TX)_{\mathbb{C}}) \rightarrow C^\infty(X, (T^*X)_{\mathbb{C}} \otimes (TX)_{\mathbb{C}})$$

by  $\mathbb{C}$ -linearity. By facts in Riemannian geometry, the Christoffel symbol is given by

$$\Gamma_{ij}^k = \frac{1}{2}g^{kl}(\partial_i g_{lj} + \partial_j g_{il} - \partial_l g_{ij})$$

where  $i$  denotes both  $\alpha$  and  $\bar{\alpha}$ . Since  $g$  is hermitian, then  $l$  must be type of  $\bar{\lambda}$  to make a non-zero contribution, and thanks to this property, the Christoffel symbol becomes simpler.

If the  $\mathbb{C}$ -linear extension of Levi-Civita connection  $\nabla$  gives a connection on  $T_X^{1,0}$ , we must have  $\Gamma_{i\gamma}^{\bar{\beta}} = 0$  for  $i = \alpha, \bar{\alpha}$ . Let's consider each case as follows:

1. If  $i = \alpha$ , then

$$\Gamma_{\alpha\gamma}^{\bar{\beta}} = \frac{1}{2}g^{\bar{\beta}\lambda}(\partial_\alpha g_{\lambda\gamma} + \partial_\gamma g_{\alpha\lambda} - \partial_\lambda g_{\alpha\gamma}) = 0$$

2. If  $i = \bar{\alpha}$ , then

$$\Gamma_{\bar{\alpha}\gamma}^{\bar{\beta}} = \frac{1}{2}g^{\bar{\beta}\lambda}(\partial_{\bar{\alpha}}g_{\lambda\gamma} + \partial_{\gamma}g_{\bar{\alpha}\lambda} - \partial_{\lambda}g_{\bar{\alpha}\gamma}) = \frac{1}{2}g^{\bar{\beta}\lambda}(\partial_{\gamma}g_{\bar{\alpha}\lambda} - \partial_{\lambda}g_{\bar{\alpha}\gamma})$$

Thus  $\nabla$  gives a connection on  $T_X^{1,0}$  if and only if

$$(6.1) \quad \partial_{\gamma}g_{\bar{\alpha}\lambda} = \partial_{\lambda}g_{\bar{\alpha}\gamma}$$

by taking conjugate, it's easy to see (6.1) is also equivalent to

$$(6.2) \quad \partial_{\bar{\gamma}}g_{\alpha\bar{\lambda}} = \partial_{\bar{\lambda}}g_{\alpha\bar{\gamma}}$$

Since Levi-Civita connection already preserves metric  $g$ , thus its restriction on  $T_X^{1,0}$  also preserves hermitian metric induced from  $g$ . So if we want to show this restriction is Chern connection with respect to  $2g_{\alpha\bar{\beta}}$ , it remains to check

1. it's complex, according that Remark 6.2.1, that is  $\Gamma_{\alpha\bar{\gamma}}^{\beta} = 0$

2. it satisfies the equation  $\partial h = \omega h$ , or  $\Gamma_{\alpha\beta}^{\gamma} = g^{\gamma\bar{\lambda}}\partial_{\alpha}g_{\beta\bar{\lambda}}$

For (1)

$$\begin{aligned} \Gamma_{\alpha\bar{\gamma}}^{\beta} &= \frac{1}{2}g^{\beta\bar{\lambda}}(\partial_{\alpha}g_{\bar{\lambda}\bar{\gamma}} + \partial_{\bar{\gamma}}g_{\alpha\bar{\lambda}} - \partial_{\bar{\lambda}}g_{\alpha\bar{\gamma}}) \\ &= \frac{1}{2}g^{\beta\bar{\lambda}}(\partial_{\bar{\gamma}}g_{\alpha\bar{\lambda}} - \partial_{\bar{\lambda}}g_{\alpha\bar{\gamma}}) \\ &\stackrel{(6.2)}{=} 0 \end{aligned}$$

For (2)

$$\begin{aligned} \Gamma_{\alpha\beta}^{\gamma} &= \frac{1}{2}g^{\gamma\bar{\lambda}}(\partial_{\alpha}g_{\bar{\lambda}\beta} + \partial_{\beta}g_{\alpha\bar{\lambda}} - \partial_{\bar{\lambda}}g_{\alpha\beta}) \\ &= \frac{1}{2}g^{\gamma\bar{\lambda}}(\partial_{\alpha}g_{\bar{\lambda}\beta} + \partial_{\beta}g_{\alpha\bar{\lambda}}) \\ &\stackrel{(6.1)}{=} g^{\gamma\bar{\lambda}}\partial_{\alpha}g_{\bar{\lambda}\beta} \end{aligned}$$

So as you can see, if (6.1) or (6.2) holds, we can complexify the Levi-Civita connection on  $TX$  and restrict it to  $T_X^{1,0}$  to obtain a Chern connection. This condition is called Kähler condition, and according to Remark 8.2.2, that's exactly Kähler condition we will define later.

## 7. POSITIVE LINE BUNDLE

**7.1. Fundamental form.** Let  $V$  be a finite dimensional real vector space together with a almost complex structure  $J$ .

**Definition 7.1.1** (compatible inner product). A bilinear form  $\langle -, - \rangle$  of  $V$  is called compatible with  $J$ , if  $\langle J(u), J(v) \rangle = \langle u, v \rangle$  for all  $u, v \in V$ .

In the following of this section, we assume  $\langle -, - \rangle$  is a bilinear form on  $V$ , which is compatible with complex structure  $J$ .

**Definition 7.1.2** (fundamental form). The fundamental form associated to  $(V, \langle -, - \rangle, J)$  is the form  $\omega := \langle J(-), - \rangle$ .

*Remark 7.1.1.* Note that any two of the three structures  $\{\langle -, - \rangle, J, \omega\}$  determine the remaining one.

**Lemma 7.1.1.** The fundamental form  $\omega$  satisfies

1.  $\omega(J(u), J(v)) = \omega(u, v)$ ;
2.  $\omega(u, J(v)) + \omega(v, J(u)) = 0$ .

where  $u, v \in V$ .

*Proof.* It's clear. □

**Proposition 7.1.1.** The fundamental form  $\omega$  is a real  $(1,1)$ -form, that is  $\omega \in \bigwedge^2 V^* \cap \bigwedge^{1,1} V_{\mathbb{C}}^*$ .

*Proof.* Let's check step by step.

1. It's alternating, since for all  $u, v \in V$ , one has

$$\omega(u, v) = \langle J(u), v \rangle = \langle -u, J(v) \rangle = -\langle J(v), u \rangle = -\omega(v, u)$$

that is  $\omega \in \bigwedge^2 V^*$ .

2. It suffices to show  $\omega(V^{1,0}, V^{1,0}) = \omega(V^{0,1}, V^{0,1}) = 0$ . Note that  $V^{1,0}$  is spanned by  $u - \sqrt{-1}J(u)$ , then

$$\begin{aligned} \omega(u - \sqrt{-1}J(u), v - \sqrt{-1}J(v)) &= \omega(u, v) - \omega(J(u), J(v)) \\ &\quad - \sqrt{-1}(\omega(u, J(v)) + \omega(J(u), v)) \\ &= 0 \end{aligned}$$

□

**Proposition 7.1.2.** The form  $(-, -) := \langle -, - \rangle - \sqrt{-1}\omega$  is a hermitian form on  $(V, J)$ .

*Proof.* It's clear  $(-, -)$  is  $\mathbb{R}$ -linear. Moreover,  $(u, v) = \overline{(v, u)}$  for all  $u, v \in V$ . Now it suffices to show  $(J(u), v) = \sqrt{-1}(u, v)$  holds for all  $u, v \in V$ . Indeed,

$$\begin{aligned} (J(u), v) &= \langle J(u), v \rangle - \sqrt{-1}\omega(J(u), v) \\ &= \langle J^2(u), J(v) \rangle + \sqrt{-1}\langle u, v \rangle \\ &\stackrel{(1)}{=} \sqrt{-1}(\sqrt{-1}\langle u, J(v) \rangle + \langle u, v \rangle) \\ &= \sqrt{-1}(u, v) \end{aligned}$$

where (1) holds, since the multiplication by  $\sqrt{-1}$  on  $V$  is given by multiplication by  $J$ .  $\square$

*Remark 7.1.2.* Conversely, for a hermitian form, if we consider its imaginary part, then we can obtain a real (1,1)-form, that's a one to one correspondence. Although we have this canonical correspondences, in computation we prefer relations written in terms of a suitable basis. Let  $z_1, \dots, z_n$  be a  $\mathbb{C}$ -basis of  $V^{1,0}$ , if we write  $z_i = \frac{1}{2}(x_i - \sqrt{-1}J(x_i))$ , then  $x_1, \dots, x_n$  is a  $\mathbb{C}$ -basis of  $(V, J)$ . If hermitian form  $h$  on  $V$  with respect to basis  $x_1, \dots, x_n$  is given by  $h_{ij}$ , then by correspondence one has  $\omega(x_i, x_j) = \omega(y_i, y_j) = -\operatorname{Im} h_{ij}$  and  $\omega(x_i, y_j) = \operatorname{Re} h_{ij}$ . Thus

$$\begin{aligned} \omega &= - \sum_{i < j} \operatorname{Im}(h_{ij})(x^i \wedge x^j + y^i \wedge y^j) + \sum_{i,j=1}^n \operatorname{Re}(h_{ij})x^i \wedge y^j \\ &= \frac{\sqrt{-1}}{2} h_{ij} z^i \wedge \bar{z}^j \end{aligned}$$

where the last equation holds from  $z^i \wedge \bar{z}^j = (x^i + \sqrt{-1}y^i) \wedge (x^j - \sqrt{-1}y^j)$ .

**7.2. Positive line bundle.** Now let's move onto the complex manifold  $X$ . Let  $(L, h)$  be a hermitian holomorphic line bundle, then for any  $x \in X$ , the

$$\left(\frac{\sqrt{-1}}{2\pi} \Theta_h\right)_x \in (\Omega_{X,\mathbb{R}}^2 \cap \Omega_X^{1,1})_x$$

is a real (1,1)-form, thus it corresponds to a hermitian form on  $T_{X,x}$ . So globally we have that  $\frac{\sqrt{-1}}{2\pi} \Theta_h$  will correspond to a hermitian metric on  $T_X$ .

**Definition 7.2.1** (positive line bundle). Let  $L$  be a holomorphic line bundle over  $X$ .  $L$  is called positive if it admits a hermitian metric  $h$  such that

$$\frac{\sqrt{-1}}{2\pi} \Theta_h$$

corresponds to a positive hermitian metric on  $T_X$ .

*Remark 7.2.1* (local form). Locally, one has

$$\frac{\sqrt{-1}}{2\pi} \Theta_h = \frac{\sqrt{-1}}{2\pi} \partial \bar{\partial} \varphi(z) = \frac{\sqrt{-1}}{2\pi} \frac{\partial^2 \varphi}{\partial z^i \partial \bar{z}^j} dz^i \wedge d\bar{z}^j$$

where  $\varphi(x) = -\log \|e(x)\|_h$ , where  $e$  is a local frame of  $L$ . Thus  $L$  is positive if and only if the hermitian metric  $(\frac{\partial^2 \varphi}{\partial z^i \partial \bar{z}^j})$  is everywhere positive definite.

**Example 7.2.1** (Fubini-Study metric and positive line bundle  $\mathcal{O}_{\mathbb{P}^n}(1)$ ). Let's first see a canonical metric on the projective space  $\mathbb{P}^n$ . Let  $\mathbb{P}^n = \bigcup_{i=0}^n U_i$  be the standard open covering, that is  $U_i = \{(z^0 : \dots : z^n) \mid z^i \neq 0\}$ . Then one defines

$$\omega_i := \frac{\sqrt{-1}}{2\pi} \partial \bar{\partial} \log \left( \frac{\sum_{k=0}^n |z^k|^2}{|z^i|^2} \right)$$



If we regard  $U_i$  as  $\mathbb{C}^n$  with coordinate  $(w^1, \dots, w^n)$ , then we can write  $\omega_i$  as

$$\frac{\sqrt{-1}}{2\pi} \partial \bar{\partial} \log \left( \sum_{k=1}^n |w^k|^2 + 1 \right)$$

Now we claim that these  $\omega_i$  define a global real  $(1, 1)$ -form.

1. It's globally defined: It suffices to show  $\omega_i|_{U_i \cap U_j} = \omega_j|_{U_i \cap U_j}$ . Indeed,

$$\log \left( \frac{\sum_{j=0}^n |z^j|^2}{|z^i|^2} \right) = \log \left( \frac{|z^j|^2}{|z^i|^2} \frac{\sum_{k=0}^n |z^k|^2}{|z^j|^2} \right) = \log \left( \frac{|z^j|^2}{|z^i|^2} \right) + \log \left( \frac{\sum_{k=0}^n |z^k|^2}{|z^j|^2} \right)$$

and note that

$$\partial \bar{\partial} \log \left( \frac{|z^j|^2}{|z^i|^2} \right) = 0$$

since  $\frac{z^j}{z^i}$  is the  $j$ -th coordinate function on  $U_i$ .

2. Real: It holds from  $\partial \bar{\partial} = \bar{\partial} \partial = -\partial \bar{\partial}$ .

3.  $\partial$ -closed. It's  $\partial$ -closed, since it's locally exact.

It remains to show  $\omega$  is positive definite, it suffices to show on each  $U_i$ , and it's easier to compute if we regard  $U_i$  as  $\mathbb{C}^n$ . A straightforward computation yields

$$\partial \bar{\partial} \log \left( 1 + \sum_{i=1}^n |w^i|^2 \right) = \frac{1}{(1 + \sum_{i=1}^n |w^i|^2)^2} h_{ij} dw^i \wedge d\bar{w}^j$$

where  $h_{ij} = (1 + \sum_{i=1}^n |w^i|^2) \delta_{ij} - \bar{w}^i w^j$ . To see  $h_{ij}$  is positive definite, we take a column vector  $u \neq 0$  and compute as follows:

$$\begin{aligned} u^t (h_{ij}) \bar{u} &= (u, u) + (w, w)(u, u) - u^t \bar{w} w^t \bar{u} \\ &= (u, u) + (w, w)(u, u) - (u, w)(w, u) \\ &= (u, u) + (w, w)(u, u) - \overline{(w, u)}(w, u) \\ &= (u, u) + (w, w)(u, u) - |(w, u)|^2 > 0 \end{aligned}$$

Untill now we have shown that  $\omega$  we defined above is a positive hermitian metric, but where does it come from? In fact, it comes from the line bundle  $\mathcal{O}_{\mathbb{P}^n}(1)$  on projective space. Note that line bundle  $\mathcal{O}_{\mathbb{P}^n}(1)$  can be given by data  $\{U_i, g_{ij}\}$ , where  $U_i$  is canonical open covering and  $g_{ij} = z^j/z^i$ . If we set  $h_i : U_i \rightarrow \mathbb{R}_{>0}$  as

$$h_i = \frac{|z^i|^2}{\sum_{k=0}^n |z^k|^2}$$

Then  $h_i$  can be glued together to obtain a hermitian metric on  $\mathcal{O}_{\mathbb{P}^n}(1)$ , since  $h_i = h_j |g_{ij}|^2$ . It's easy to see the hermitian metric corresponding to curvature of Chern connection with respect to this metric is Fubini-Study metric we defined above.

Here comes the last question: Why is this metric on  $\mathcal{O}_{\mathbb{P}^n}(1)$  natural? Note that if we consider the dual bundle of  $\mathcal{O}_{\mathbb{P}^n}(1)$ , and that's  $\mathcal{O}_{\mathbb{P}^n}(-1)$ .  $\mathcal{O}_{\mathbb{P}^n}(-1)$  is a subbundle of  $\mathbb{P}^n \times \mathbb{C}^{n+1}$ , so we can obtain a natural hermitian

metric of  $\mathcal{O}_{\mathbb{P}^n}(-1)$  by restricting standard hermitian metric of  $\mathbb{P}^n \times \mathbb{C}^{n+1}$ . And hermitian metric on  $\mathcal{O}_{\mathbb{P}^n}(1)$  we defined before is just the dual metric of this natural metric.

**Exercise 7.2.1.**  $L$  is positive if and only if  $L^{\otimes m}$  is positive for some  $m \in \mathbb{N}_{\geq 0}$ .

*Proof.* For a line bundle  $L$  locally we have the hermitian metric corresponding to its curvature looking like

$$\left( \frac{\partial \varphi^2}{\partial z^i \partial \bar{z}^j} \right)$$

and for  $L^{\otimes m}, m \in \mathbb{N}_{\geq 0}$  we have

$$\left( m \cdot \frac{\partial \varphi^2}{\partial z^i \partial \bar{z}^j} \right)$$

it's clear  $L$  is positive if and only if  $L^{\otimes m}$  is.  $\square$

**Exercise 7.2.2.** Suppose  $X$  is a compact complex manifold,  $L$  is a positive line bundle, and  $M$  is any holomorphic line bundle, then there exists  $N_0 \in \mathbb{N}$  such that  $M \otimes L^{\otimes N}$  positive for  $N \geq N_0$ .

*Proof.* The proof is quite similar to above exercise, we need to check locally, but compactness is necessary here. For an open subset  $U_1$ , locally we have the hermitian metric corresponding to  $M \otimes L^m$  looking like

$$\left( \frac{\partial \varphi_M^2}{\partial z^i \partial \bar{z}^j} + m \cdot \frac{\partial \varphi_L^2}{\partial z^i \partial \bar{z}^j} \right)$$

So we can choose suffices large  $N_1$  such that  $M \otimes L^{\otimes N_1}$  is positive on  $U$ . Since  $X$  is compact, we can take a finite open covering  $\{U_i\}$  of  $X$  and choose the largest  $N_i$  to be  $N$  we desired.  $\square$

*Remark 7.2.2.* In fact, If we use language of algebraic geometry, then a positive line bundle is equivalent to an ample divisor.

**7.3. Lefschetz  $(1, 1)$ -theorem.** Now we know that given a hermitian holomorphic line bundle  $(L, h)$ , then consider its Chern curvature we will get a real  $(1, 1)$ -form. So we may wonder the converse of this statement. Is there any real  $(1, 1)$ -form comes from such a hermitian holomorphic line bundle? That's main theorem for this section.

**Theorem 7.3.1** (Lefschetz  $(1, 1)$ -theorem). Let  $X$  be a complex manifold, and  $[\omega] \in H^2(X, \mathbb{R}) \cap H^{1,1}(X)$ . If

$$[\omega] \in \text{im}\{H^2(X, \mathbb{Z}) \rightarrow H^2(X, \mathbb{R})\}$$

Then there exists a hermitian holomorphic line bundle  $(L, h)$  such that

$$\frac{\sqrt{-1}}{2\pi} \Theta_h = \omega$$

*Remark 7.3.1.* Before proving this theorem, let's elaborate what does the following map mean

$$H^2(X, \mathbb{Z}) \rightarrow H^2(X, \mathbb{R})$$

since in de Rham cohomology, it's meaningless to say cohomology with  $\mathbb{Z}$  coefficient. Here we use comparison  $H^2(X, \mathbb{R}) \cong \check{H}^2(X, \underline{\mathbb{R}})$ , where  $\underline{\mathbb{R}}$  is constant sheaf valued  $\mathbb{R}$  and consider the map in terms of Čech cohomology

$$\check{H}^2(X, \underline{\mathbb{Z}}) \rightarrow \check{H}^2(X, \underline{\mathbb{R}})$$

Generally this can be shown by using tool of spectral sequences. Here we give an explicit construction in  $k = 2$ , since later we will use it.

In sketch, the philosophy of this construction is that we can descend the degree of differential forms, but the price we pay is to consider functions defined on intersections of many open subsets.

Let  $X$  be a smooth manifold, and  $Z^1 \subset \Omega_{X, \mathbb{R}}^1$ , the sheaf of closed 1-form. Then we have the following exact sequence of sheaves

$$0 \rightarrow \underline{\mathbb{R}} \rightarrow C^\infty(X) \xrightarrow{d} Z^1 \rightarrow 0$$

Locally constant functions are clearly smooth functions, such that  $d$  acts on them is zero, so the exactness for the first two is trivial. But for the last one, it is equivalent to that a closed form locally must be an exact form, that's Poincaré lemma.

Similarly, define  $Z^2 \subset \Omega_{X, \mathbb{R}}^2$ , the sheaf of closed 2-forms. then the following sequence is also exact for the same reason

$$0 \rightarrow Z^1 \rightarrow \Omega_{X, \mathbb{R}}^1 \xrightarrow{d} Z^2 \rightarrow 0$$

By the definition of de Rham cohomology, we have

$$H^2(X, \mathbb{R}) = \frac{C^\infty(X, Z^2)}{dC^\infty(X, \Omega_{X, \mathbb{R}}^1)}$$

In order to avoid the limit in the definition of Čech cohomology, we take open covering  $\mathfrak{U} = \{U_\alpha\}$  good enough, such that

$$d : C^\infty(U_\alpha, \Omega_{U_\alpha, \mathbb{R}}^1) \rightarrow C^\infty(U_\alpha, Z^2)$$

is surjective for any  $\alpha$ . And

$$d : C^\infty(U_\alpha \cap U_\beta) \rightarrow C^\infty(U_\alpha \cap U_\beta, Z^1)$$

is surjective for any  $\alpha, \beta$ . If  $\omega$  is a closed real 2-form. For any  $\alpha$ , choose  $A_\alpha \in C^\infty(U_\alpha, \Omega_{U_\alpha, \mathbb{R}}^1)$  such that

$$\omega|_{U_\alpha} = dA_\alpha$$

then

$$\prod_{\alpha, \beta} (A_\alpha - A_\beta)$$

is a Čech 1-cocchain in  $C^1(\mathfrak{U}, Z^1)$ , it's d-closed since  $d(A_\alpha - A_\beta)|_{U_\alpha \cap U_\beta} = \omega - \omega = 0$ . For any  $\alpha, \beta$ , choose  $f_{\alpha\beta} \in C^\infty(U_\alpha \cap U_\beta)$ , such that

$$(A_\alpha - A_\beta)_{\alpha\beta} = df_{\alpha\beta}$$

then

$$f_{\beta\gamma} - f_{\alpha\gamma} + f_{\alpha\beta}|_{U_\alpha \cap U_\beta \cap U_\gamma}$$

is also d-closed by the same reason, hence locally constant. Thus

$$\tilde{\omega} = \prod_{\alpha, \beta, \gamma} (f_{\beta\gamma} - f_{\alpha\gamma} + f_{\alpha\beta})$$

is a Čech 2-cocycle in  $C^2(\mathfrak{U}, \mathbb{R})$ . Thus we obtain an element in  $\check{H}^2(X, \mathbb{R})$  from an element in  $H^2(X, \mathbb{R})$ .

That's the explicit construction for comparison theorem in dimension 2. In fact, the general case can be proved in the same method, though it maybe quite complicated as you can imagine.

Before our proof of Lefschetz (1, 1)-theorem, we still need two lemmas in multi-variables complex analysis.

**Lemma 7.3.1** ( $\partial\bar{\partial}$ -lemma). Locally on a polydisk  $D \subset \mathbb{C}^n$ , and  $[\omega] \in H^2(D, \mathbb{R}) \cap H^{1,1}(D)$ . Then there exists a smooth function  $\varphi : D \rightarrow \mathbb{R}$  such that

$$\omega = \sqrt{-1}\partial\bar{\partial}\varphi$$

**Lemma 7.3.2.** Locally on  $U \subset \mathbb{C}^n$ , a simply connected open subset, and a smooth function<sup>7</sup>  $\varphi : U \rightarrow \mathbb{R}$ , such that  $\partial\bar{\partial}\varphi = 0$ . Then there exists a holomorphic functions  $f : U \rightarrow \mathbb{C}$ , such that  $\varphi = \text{Re}(f)$ .

Now let's prove Lefschetz (1, 1)-theorem

*proof of theorem 7.3.1.* Let's first see how does the above two lemmas play a role in our proof. Choose a good enough open cover  $\mathfrak{U} = \{U_\alpha\}$  of open polydisk such that for all  $\alpha, \beta$ , we have  $U_\alpha \cap U_\beta$  is simply connected.

Since  $\omega$  is a d-closed real (1, 1)-form, Lemma 7.3.1 implies that there exists smooth function  $\varphi_\alpha : U_\alpha \rightarrow \mathbb{R}$  such that

$$\omega|_{U_\alpha} = \frac{\sqrt{-1}}{2\pi}\partial\bar{\partial}\varphi_\alpha$$

On any two intersection  $U_\alpha \cap U_\beta$ , we have  $\partial\bar{\partial}(\varphi_\alpha - \varphi_\beta) = 0$ , then Lemma 7.3.2 implies that there exists a holomorphic function  $f_{\alpha\beta}$ , such that

$$(\varphi_\alpha - \varphi_\beta)|_{U_\alpha \cap U_\beta} = 2\text{Re}(f_{\alpha\beta}) = f_{\alpha\beta} + \overline{f_{\alpha\beta}}$$

Consider  $\prod f_{\alpha\beta} \in C^1(\mathfrak{U}, \mathcal{O}_X)$ , then

$$(\delta f)_{\alpha\beta\gamma} = (f_{\beta\gamma} - f_{\alpha\gamma} + f_{\alpha\beta})|_{U_\alpha \cap U_\beta \cap U_\gamma}$$

Note that  $2\text{Re}(f_{\beta\gamma} - f_{\alpha\gamma} + f_{\alpha\beta})_{\alpha\beta\gamma} = 0$ , so it must be a locally constant pure imaginary number, that is, it lies in  $2\pi\sqrt{-1}\mathbb{R}(U_\alpha \cap U_\beta \cap U_\gamma)$ .

<sup>7</sup>Such  $\varphi$  is called pluriharmonic

Consider real form<sup>8</sup>

$$A_\alpha = \frac{\sqrt{-1}}{4\pi}(\bar{\partial}\varphi_\alpha - \partial\varphi_\alpha)$$

and by directly computing, we can note that  $\omega|_{U_\alpha} = dA_\alpha$ , and that's why we define  $A_\alpha$  in this form.

Similar to what we have done in the proof of comparison theorem, if we want to find Čech cocycle which corresponding to  $\omega$ , we need to consider  $A_\alpha - A_\beta$  on the intersection  $U_\alpha \cap U_\beta$ . Directly compute the difference of each term of  $A_\alpha$  and  $A_\beta$  as follows

$$\begin{aligned}\partial(\varphi_\beta - \varphi_\alpha) &= \partial(f_{\alpha\beta} + \overline{f_{\alpha\beta}}) \\ &= \partial f_{\alpha\beta} \\ &= df_{\alpha\beta} \\ \bar{\partial}(\varphi_\beta - \varphi_\alpha) &= d\overline{f_{\alpha\beta}}\end{aligned}$$

Thus

$$(A_\beta - A_\alpha)_{\alpha\beta} = \frac{\sqrt{-1}}{4\pi}d(\overline{f_{\alpha\beta}} - f_{\alpha\beta}) = \frac{1}{2\pi}d(\text{Im}(f_{\alpha\beta}))$$

So from the explicit construction of comparison theorem, we have the Čech cocycle  $\tilde{\omega}$  corresponding to  $\omega$  is

$$\begin{aligned}\tilde{\omega} &= \prod \left( \frac{1}{2\pi} \text{Im}(f_{\beta\gamma} - f_{\alpha\gamma} + f_{\alpha\beta}) \right)_{\alpha\beta\gamma} \\ &= \prod \left( \frac{1}{2\pi\sqrt{-1}} (f_{\beta\gamma} - f_{\alpha\gamma} + f_{\alpha\beta}) \right)_{\alpha\beta\gamma}\end{aligned}$$

Hypothesis tells that  $[\tilde{\omega}]$  is an image of  $[\prod n_{\alpha\beta\gamma}] \in \check{H}^2(X, \mathbb{Z})$ . However, it doesn't mean that  $f_{\alpha\beta}$  are exactly integers, but not too bad, we just need some correction terms, that is

$$\prod \left( \frac{1}{2\pi\sqrt{-1}} (f_{\beta\gamma} - f_{\alpha\gamma} + f_{\alpha\beta}) \right)_{\alpha\beta\gamma} = \prod n_{\alpha\beta\gamma} + \delta \left( \prod c_{\alpha\beta} \right)$$

where  $\prod(c_{\alpha\beta})$  is real 1-cochain. So we set  $f'_{\alpha\beta} = f_{\alpha\beta} - 2\pi\sqrt{-1}c_{\alpha\beta}$ . Then

$$(f'_{\beta\gamma} - f'_{\alpha\gamma} + f'_{\alpha\beta})_{\alpha\beta\gamma} = 2\pi\sqrt{-1}n_{\alpha\beta\gamma} \in 2\pi\sqrt{-1}\mathbb{Z}(U_\alpha \cap U_\beta \cap U_\gamma)$$

Note that  $e^{2\pi\sqrt{-1}} = 1$ , then consider  $g_{\alpha\beta} = \exp(-f'_{\alpha\beta})$ , a holomorphic function from  $U_\alpha \cap U_\beta$  to  $\mathbb{C}^*$ , it satisfies the cocycle condition

$$g_{\beta\gamma}g_{\alpha\gamma}^{-1}g_{\alpha\beta} = 1$$

so we get a holomorphic line bundle  $L$ .

Now we need to give a hermitian metric on this holomorphic line bundle  $H$ , and calculate its curvature to complete the proof.

Note that

$$(\varphi_\alpha - \varphi_\beta)_{U_\alpha \cap U_\beta} = 2\text{Re}(f_{\alpha\beta}) = 2\text{Re}(f_{\alpha\beta})' = -\log |g_{\alpha\beta}|^2$$

---

<sup>8</sup>Here we need to consider some queer coefficients, in order to get a beautiful result. In fact, we need to use  $e^{2\pi\sqrt{-1}} = 1$ , a good given formula.

then we get a hermitian metric on  $U_\alpha$  which is defined by

$$H_\alpha = \exp(-\varphi_\alpha)$$

Indeed, since  $H_\beta = |g_{\alpha\beta}|^2 H_\alpha = g_{\alpha\beta}^T H_\alpha \overline{g_{\alpha\beta}}$ . Finally,

$$\frac{\sqrt{-1}}{2\pi} \Theta_h = \frac{\sqrt{-1}}{2\pi} \partial \bar{\partial} \varphi_\alpha = \omega$$

This completes the proof.  $\square$

*Remark 7.3.2.* In fact, what we have done in the proof is just reversing the operations in constructing connecting homomorphism  $\partial$  in

$$\cdots \rightarrow \check{H}^1(X, \mathcal{O}_X^*) \xrightarrow{\partial} \check{H}^2(X, \mathbb{Z}) \rightarrow \check{H}^2(X, \mathbb{R}) \rightarrow \cdots$$

If we already have a holomorphic line bundle  $L$ , determined by its transition functions  $g_{\alpha\beta}$ . What does  $\partial$  look like? Recall what we have learnt in homological algebra, that is, take logarithm of  $g_{\alpha\beta}$ , consider its alternating sum and divide it by  $2\pi\sqrt{-1}$ , and it turns out to be first Chern class.

So if we have some real  $(1, 1)$ -form which may come from a holomorphic line bundle, we need to realize it as a Čech cocycle and reverse three steps above, that's what we have done in the proof.

## Part 4. Hodge decomposition

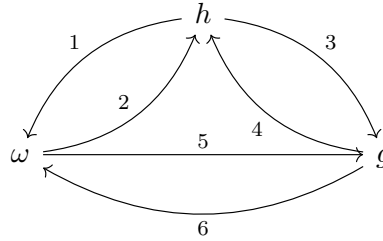
### 8. KÄHLER MANIFOLD

#### 8.1. Hermitian manifold.

**Definition 8.1.1** (hermitian manifold). A complex manifold  $X$  is called a hermitian manifold, if it's endowed with a positive hermitian metric  $h$  on  $T_X$ .

Let  $(X, h)$  be a hermitian manifold, we can apply what we have done in section 7.1 to each point of  $X$  to obtain a real  $(1, 1)$ -form  $\omega$  on  $T_{X, \mathbb{R}}$ , which is called fundamental form of  $h$ , and we can give a Riemannian metric  $g$  on  $T_{X, \mathbb{R}}$ , which is defined by  $g(-, -) = \omega(-, J(-))$ .

All in all, for a hermitian manifold, we have  $J, h, \omega, g$  on it, and correspondence between them can be drawn as



where

- 1  $\omega(-, -) = -\operatorname{Im} h(-, -)$
- 2  $h(-, -) = \omega(-, J(-)) - \sqrt{-1}\omega(-, -)$
- 3  $g(-, -) = \operatorname{Re} h(-, -)$
- 4  $h(-, -) = g(-, -) - \sqrt{-1}g(J(-), -)$
- 5  $g(-, -) = \omega(-, J(-))$
- 6  $\omega(-, -) = g(J(-), -)$

**Proposition 8.1.1.** If  $(X, \omega)$  is a hermitian  $n$ -manifold. Show that  $\frac{\omega^n}{n!}$  is the volume form of  $X$  as a Riemannian manifold with respect to  $g$ .

*Proof.* It suffices to check pointwise. Let  $\{\frac{\partial}{\partial x^1}, \frac{\partial}{\partial y^1}, \dots, \frac{\partial}{\partial x^n}, \frac{\partial}{\partial y^n}\}$  be a  $\mathbb{R}$ -basis of tangent space at point  $p \in X$  which is orthogonal with respect to Riemannian metric  $g$ , with dual basis  $\{dx^1, dy^1, \dots, dx^n, dy^n\}$ . Furthermore, the volume form is

$$\operatorname{vol}_p = dx^1 \wedge dy^1 \wedge \dots \wedge dx^n \wedge dy^n$$

Note that  $\{dz^i = dx^i + \sqrt{-1}dy^i\}$  is a  $\mathbb{C}$ -basis, and it's also orthogonal with respect to hermitian metric  $h$ , then

$$\omega_p = \frac{\sqrt{-1}}{2} \sum_{i=1}^n dz^i \wedge d\bar{z}^i$$

Now what we need to do is just computation. Here we compute the case  $n = 2$  to feel what's going on:

$$\begin{aligned}\omega_p^2 &= \left(\frac{\sqrt{-1}}{2}\right)^2 (dz^1 \wedge d\bar{z}^1 + dz^2 \wedge d\bar{z}^2) \wedge (dz^1 \wedge d\bar{z}^1 + dz^2 \wedge d\bar{z}^2) \\ &= \left(\frac{\sqrt{-1}}{2}\right)^2 (dz^1 \wedge d\bar{z}^1 \wedge dz^2 \wedge d\bar{z}^2 + dz^2 \wedge d\bar{z}^2 \wedge dz^1 \wedge d\bar{z}^1) \\ &= 2\left(\frac{\sqrt{-1}}{2}\right)^2 dz^1 \wedge d\bar{z}^1 \wedge dz^2 \wedge d\bar{z}^2\end{aligned}$$

By Exercise 5.2.1 we have

$$dx^1 \wedge dy^1 \wedge dx^2 \wedge dy^2 = \left(\frac{\sqrt{-1}}{2}\right)^2 dz^1 \wedge d\bar{z}^1 \wedge dz^2 \wedge d\bar{z}^2$$

Thus we get desired result.  $\square$

## 8.2. Kähler manifold.

**Definition 8.2.1** (Kähler manifold). A hermitian manifold  $(X, h)$  is called a Kähler manifold, if fundamental form  $\omega$  corresponding to  $h$  is d-closed<sup>9</sup>.

*Remark 8.2.1.* Note that the condition  $d\omega = 0$  is equivalent to  $\partial\omega = 0$ , and is also equivalent to  $\bar{\partial}\omega = 0$ .

*Remark 8.2.2* (local form). Let  $(z^1, \dots, z^n)$  be a holomorphic local coordinate, then positive hermitian metric can be written as

$$h = h_{ij} dz^i \otimes d\bar{z}^j$$

where  $(h_{ij})$  is positive definite hermitian matrix, thus fundamental form  $\omega$  can be written as

$$\omega = \frac{\sqrt{-1}}{2} h_{ij} dz^i \wedge d\bar{z}^j$$

So Kähler condition  $d\omega = 0$  can be computed explicitly as follows

$$\begin{aligned}d\omega &= \frac{\sqrt{-1}}{2\pi} d(h_{ij} dz^i \wedge d\bar{z}^j) \\ &= \frac{\sqrt{-1}}{2\pi} \left( \frac{\partial h_{ij}}{\partial z^k} dz^k \wedge dz^i \wedge d\bar{z}^j - \frac{\partial h_{ij}}{\partial \bar{z}^k} dz^i \wedge d\bar{z}^k \wedge d\bar{z}^j \right) \\ &= 0\end{aligned}$$

So locally Kähler condition can be written as follows

$$\frac{\partial h_{ij}}{\partial z^k} = \frac{\partial h_{kj}}{\partial z^i}, \quad \frac{\partial h_{ij}}{\partial \bar{z}^k} = \frac{\partial h_{ik}}{\partial \bar{z}^j}$$

for all  $i, j, k, l$ .

*Remark 8.2.3.* Our definition of Kähler manifold is from the complex hermitian viewpoint. But Kähler manifold in fact is an intersection of three interesting objects: complex manifold, symplectic manifold and Riemannian manifold. Here are another two viewpoints:

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<sup>9</sup> $\omega$  is sometimes called Kähler form and  $h$  is sometimes called Kähler metric.



1. If  $(X, \omega)$  is a symplectic manifold, where  $X$  is a differential manifold and  $\omega$  is a d-closed real non-degenerate symplectic form.  $(X, \omega)$  is called a Kähler manifold, if there exists an integrable almost complex structure  $J$  on  $T_{X, \mathbb{R}}$  such that  $g(u, v) := \omega(u, Jv)$  is positive definite, that is  $g$  is a Riemannian metric.
2. Let  $(X, g)$  be a Riemannian manifold, where  $g$  is a Riemannian metric.  $(X, g)$  is called Kähler if there exists an integrable almost complex structure  $J$  on  $T_{X, \mathbb{R}}$  satisfying  $g(Ju, Jv) = g(u, v)$  and preserved by parallel transport with respect to Levi-Civita connection.

Anyway, the hallmarks of a Kähler manifold are “complex structure”, “positive” and “closed”.

**Example 8.2.1.** Any complex curve<sup>10</sup>  $X$  is Kähler. Since  $d\omega = 0$  automatically holds.

**Example 8.2.2.** If  $X$  admits a positive holomorphic line bundle, then  $X$  is Kähler, since we can take  $\omega$  to be its first Chern class. In particular,  $\mathbb{P}^n$  is Kähler, since  $\mathcal{O}_{\mathbb{P}^n}(1)$  is a positive holomorphic line bundle of it, with respect to Fubini-Study metric.

**Exercise 8.2.1.** Show that a submanifold of a Kähler manifold is still Kähler. In particular, any projective manifold is Kähler.

*Proof.* If  $X$  is a Kähler manifold and  $Y$  is a submanifold,  $h$  is a positive hermitian metric on  $TX$  such that its corresponding real  $(1, 1)$ -form is d-closed, then consider its restriction on  $Y$  to conclude.  $\square$

**Proposition 8.2.1.** Let  $(X, h)$  be a compact Kähler  $n$ -manifold, then  $H^{2k}(X, \mathbb{R}) \neq 0$  for  $0 \leq k \leq n$ .

*Proof.* Note that  $d(\omega^k) = 0, 0 \leq k \leq n$ , since  $d\omega = 0$ , that is  $[\omega^k] \in H^{2k}(X, \mathbb{R})$ . According to Proposition 8.1.1,  $\omega^n = n! \text{ vol}$ , then consider the integral pairing

$$\int_X \omega^k \wedge \omega^{n-k} = n! \int_X \text{ vol} \neq 0$$

which implies  $[\omega^k] \neq 0$  for  $0 \leq k \leq n$ .  $\square$

*Remark 8.2.4.* Later we will see it's an immediate consequence of Hodge decomposition.

As we can see from the definition of Kähler manifold, all of the requirements are local, but from the above proposition, we can see a surprising thing, that is the cohomology groups with even dimension must be non-trivial, it's a global result.

To some extent, this reflects the philosophy of Hodge theory, that is how does locally good property control global cohomology. Kähler is a locally good property, and the following theorem may cultivate you such an intuition.

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<sup>10</sup>In other words, a Riemann surface

**Theorem 8.2.1.** Let  $X$  be a Kähler manifold, then locally we can choose a holomorphic coordinate  $(\xi^1, \dots, \xi^n)$  such that  $h_{ij} = \delta_{ij} + O(|\xi|^2)$

*Proof.* With linear change of coordinate, we can assume

$$\omega = \frac{\sqrt{-1}}{2} h_{ij} dz^i \wedge d\bar{z}^j$$

where  $h_{ij} = \delta_{ij} + O(|z|)$ , that is

$$(8.1) \quad h_{ij} = \delta_{ij} + a_{ijk} z^k + a'_{ijk} \bar{z}^k + O(|z|^2)$$

So it suffices to use Kähler condition to kill the first order term. Since  $h_{ij}$  is hermitian, then

$$(8.2) \quad a'_{ijk} = \overline{a_{jik}}$$

and Kähler condition implies

$$(8.3) \quad a_{ijk} = a_{kji}$$

Set  $\xi^k = z^k + \frac{1}{2} a_{ikj} z^i z^j$ , this is a holomorphic change of coordinate, so  $\xi^1, \dots, \xi^n$  is also a holomorphic coordinate, and

$$\begin{aligned} d\xi^k &= dz^k + \frac{1}{2} a_{ikj} (z^i dz^j + z^j dz^i) + O(|z|^2) \\ &= dz^k + \frac{1}{2} (a_{ikj} + a_{jki}) z^i dz^j + O(|z|^2) \\ &\stackrel{(1)}{=} dz^k + a_{ikj} z^i dz^j + O(|z|^2) \end{aligned}$$

where (1) holds from equation (8.3). So we have

$$\begin{aligned} \delta_{jk} d\xi^j \wedge d\bar{\xi}^k &= dz^k \wedge d\bar{z}^k + a_{ikj} z^i dz^j \wedge d\bar{z}^k + \overline{a_{ikj}} \bar{z}^i dz^k \wedge d\bar{z}^j + O(|z|^2) \\ &\stackrel{(2)}{=} dz^k \wedge d\bar{z}^k + a_{jki} z^i dz^j \wedge d\bar{z}^k + a'_{kji} \bar{z}^i dz^k \wedge d\bar{z}^j + O(|z|^2) \\ &\stackrel{(3)}{=} h_{jk} dz^j \wedge d\bar{z}^k + O(|z|^2) \end{aligned}$$

where

(2) holds from equations (8.2) and (8.3);

(3) holds from equation (8.1).

After multiplying  $\frac{\sqrt{-1}}{2}$ , this shows  $\omega = (\delta_{ij} + O(|\xi|^2)) d\xi^j \wedge d\bar{\xi}^k$ , which completes the proof.  $\square$

**8.3. Inner products on hermitian manifolds.** Let  $(X, h)$  be a compact hermitian manifold, in local frames a  $(p, q)$ -form  $\alpha$  can be written as

$$\alpha = \frac{1}{p! \times q!} \alpha_{i_1 \dots i_p j_1 \dots j_q} dz^{i_1} \wedge \dots \wedge dz^{i_p} \wedge d\bar{z}^{j_1} \wedge \dots \wedge d\bar{z}^{j_q}$$

For  $\alpha, \beta \in C^\infty(X, \Omega_X^{p,q})$ , an local inner product is defined as

$$\langle \alpha, \beta \rangle = \frac{1}{p!q!} h^{i_1 k_1} \dots h^{i_p k_p} h^{j_1 l_1} \dots h^{j_q l_q} \alpha_{i_1 \dots i_p j_1 \dots j_q} \overline{\beta_{k_1 \dots k_p l_1 \dots l_q}}$$

which is a smooth function on  $X$ .

**Definition 8.3.1** (inner product on  $(p, q)$ -form). An inner product on  $C^\infty(X, \Omega_X^{p,q})$  is defined as

$$(\alpha, \beta) := \int_X \langle \alpha, \beta \rangle \frac{\omega^n}{n!}$$

where  $\alpha, \beta \in C^\infty(X, \Omega_X^{p,q})$  and  $\omega$  is fundamental form of  $h$ .

With respect to this inner product, we can show adjoint operator of  $d$ , which is an operator

$$d^* : C^\infty(X, \Omega_X^{p,q}) \rightarrow C^\infty(X, \Omega_X^{p-1,q-1})$$

satisfying  $(\alpha, d\beta) = (d^*\alpha, \beta)$  for  $\alpha, \beta$  with appropriate bidegrees. In order to construct this, there is a well-known Hodge star operator.

**Definition 8.3.2** (Hodge star operator). There exists an operator

$$* : C^\infty(X, \Omega_X^{p,q}) \rightarrow C^\infty(X, \Omega_X^{n-q,n-p})$$

such that

$$(\alpha, \beta) = \int_X \alpha \wedge *\bar{\beta}$$

*Remark 8.3.1.* It's well-defined, since  $\bar{\psi}$  is a  $(q, p)$ -form, and thus  $*\bar{\psi}$  is a  $(n-p, n-q)$ -form.

**Lemma 8.3.1.** Here are some first properties of Hodge star operator

1.  $*1 = \frac{\omega}{n!}$ .
2.  $*\bar{\psi} = *\psi$ .
3.  $** = (-1)^{p+q}$  on  $C^\infty(X, \Omega_X^{p,q})$ .
4.  $(*\varphi, *\psi) = (\varphi, \psi)$ .

**Proposition 8.3.1.**  $d^* = - * d *$

*Proof.* For arbitrary  $\alpha \in C^\infty(X, \Omega_X^{p,q})$  and  $\beta \in C^\infty(X, \Omega_{X,\mathbb{R}}^{p+1,q+1})$ , then

$$\begin{aligned} (d\alpha, \beta) &= \int_X d\alpha \wedge *\beta \\ &= \int_X d(\alpha \wedge *\beta) - (-1)^{p+q} \alpha \wedge d*\beta \\ &= (-1)^{p+q+1} \int_X \alpha \wedge d*\beta \\ &= (-1)^{p+q+1} (-1)^{2n-p-q-2} \int_X \alpha \wedge **d*\beta \\ &= -(\alpha, *d*\beta) \end{aligned}$$

□

**Proposition 8.3.2.** Adoint operators for  $\partial$  and  $\bar{\partial}$  are

$$\begin{aligned} \partial^* &= - * \bar{\partial} * \\ \bar{\partial}^* &= - * \partial * \end{aligned}$$

*Proof.* The same as above.  $\square$

**Definition 8.3.3** (Laplacian). Laplacian  $\Delta_d$  is an operator defined by  $\Delta_d := dd^* + d^*d$ .

*Remark 8.3.2.* Similarly we can define  $\Delta_\partial$  and  $\Delta_{\bar{\partial}}$ , by replacing  $d$  by  $\partial$  and  $\bar{\partial}$ .

**Definition 8.3.4** (harmonic). A form  $\alpha$  is called  $\Delta_\bullet$ -harmonic if  $\Delta_\bullet \alpha = 0$ . Here  $\bullet$  can be  $d, \partial$  and  $\bar{\partial}$ .

**Lemma 8.3.2.**  $\alpha$  is  $\Delta_d$ -harmonic if and only if  $d\alpha = 0, d^*\alpha = 0$ . Same for  $\partial$  and  $\bar{\partial}$ .

*Proof.* Note that

$$\begin{aligned} (\alpha, \Delta_d \alpha) &= (\alpha, dd^*\alpha) + (\alpha, d^*d\alpha) \\ &= \|d^*\alpha\|^2 + \|d\alpha\|^2 \end{aligned}$$

Cases for  $\partial$  and  $\bar{\partial}$  can be proved using the same argument.  $\square$

## 9. HODGE THEORY

## 9.1. Hodge theorem.

**Theorem 9.1.1** (Hodge theorem). Let  $(X, h)$  be a compact complex hermitian  $n$ -manifold,  $\mathcal{H}^{p,q}$  be the space of  $\Delta_{\bar{\partial}}$  harmonic forms of type  $(p, q)$ . Then

1.  $\mathcal{H}^{p,q}$  is finite dimensional.
2. There is a decomposition  $C^\infty(X, \Omega_X^{p,q}) = \mathcal{H}^{p,q} \oplus \Delta_{\bar{\partial}}(C^\infty(X, \Omega_X^{p,q}))$ , which is orthogonal with respect to inner products we have defined before.

**Corollary 9.1.1.** More explicitly, we have the following orthonormal decomposition

$$C^\infty(X, \Omega_X^{p,q}) = \mathcal{H}^{p,q} \oplus \bar{\partial}(C^\infty(X, \Omega_X^{p,q-1})) \oplus \bar{\partial}^*(C^\infty(X, \Omega_X^{p,q+1}))$$

**Corollary 9.1.2.**

$$\ker \bar{\partial} = \mathcal{H}^{p,q} \oplus \bar{\partial}^*(C^\infty(X, \Omega_X^{p,q-1}))$$

$$\ker \bar{\partial}^* = \mathcal{H}^{p,q} \oplus \bar{\partial}(C^\infty(X, \Omega_X^{p,q+1}))$$

**Corollary 9.1.3.** The natural map  $\mathcal{H}^{p,q} \rightarrow H^{p,q}(X)$  is an isomorphism. In particular,  $H^{p,q}(X)$  is finite dimensional.

Parallel to what have happened in the real version, we may desire that

$$* : \mathcal{H}^{p,q} \rightarrow \mathcal{H}^{n-q, n-p}$$

is an isomorphism. In order to have such an isomorphism, we may desire the following identity holds:

$$* \circ \Delta_{\bar{\partial}} = \Delta_{\bar{\partial}} \circ *$$

But something bad happens, since we only have  $\bar{\partial}^* = - * \bar{\partial} *$ , and if we use the same method we will get  $\Delta_{\bar{\partial}} \circ * = * \circ \Delta_{\bar{\partial}}$ . So it fails generally since  $\Delta_{\bar{\partial}} \neq \Delta_{\partial}$  in general.

There are two ways to fix it. The first way is that we will see later if  $X$  is compact Kähler manifold, then  $\Delta_{\partial} = \Delta_{\bar{\partial}}$ . Then

**Corollary 9.1.4.**  $(X, \omega)$  is a compact Kähler manifold with dimension  $n$ , then  $* : \mathcal{H}^{p,q} \rightarrow \mathcal{H}^{n-q, n-p}$  is an isomorphism

Another way is to fix the operator  $*$ , we define

$$\begin{aligned} \bar{*} : \Omega_X^{p,q} &\rightarrow \Omega_X^{n-p, n-q} \\ \beta &\mapsto \bar{*}\beta \end{aligned}$$

then

$$\bar{*}\Delta_{\bar{\partial}} = \Delta_{\bar{\partial}}\bar{*}$$

**Corollary 9.1.5.**  $(X, h)$  is a compact hermitian manifold, then  $\bar{*} : \mathcal{H}^{p,q} \rightarrow \mathcal{H}^{n-p, n-q}$  is an isomorphism.

**Corollary 9.1.6.**  $H^{p,q}(X) \cong H^{n-p, n-q}(X)$ .

*Remark 9.1.1.* This is a special case of Serre duality.

Untill now, the same things happen simultaneously in the real world and complex world, but they do not intersect with each other, just like parallel universes. But, as we will see soon, the Kähler condition plays a role of a “wormhole”, connecting these two parallel universes.<sup>11</sup>

**9.2. Differential operators on Kähler manifolds.**  $(X, \omega)$  is a Kähler manifold

**Definition 9.2.1.**

$$\begin{aligned} L : C^\infty(X, \Omega_{X, \mathbb{R}}^k) &\rightarrow C^\infty(X, \Omega_{X, \mathbb{R}}^{k+2}) \\ \alpha &\mapsto \omega \wedge \alpha \end{aligned}$$

**Lemma 9.2.1.**  $\Lambda := L^* = (-1)^k * L *$ .

*Proof.* For  $\alpha \in C_c^\infty(X, \Omega_{X, \mathbb{R}}^k), \beta \in C_c^\infty(X, \Omega_{X, \mathbb{R}}^{k+2})$ . Compute

$$\begin{aligned} \{L\alpha, \beta\} \text{ vol} &= L\alpha \wedge *\beta \\ &= \omega \wedge \alpha \wedge *\beta \\ &= \alpha \wedge \omega \wedge *\beta \\ &= \alpha \wedge (-1)^{k(2n-k)} * \omega \wedge *\beta \\ &= \alpha \wedge *((-1)^{k(2n-k)} * L * \beta) \\ &= \{\alpha, (-1)^k * L * \beta\} \text{ vol} \end{aligned}$$

□

*Remark 9.2.1.* If  $A, B$  are two differential operators, we define the commutator of  $A, B$  as

$$[A, B] := AB - (-1)^{\deg A \deg B} BA$$

As what we have learnt in Lie algebra, commutator should satisfy Jacobi identity. Here is a similar one:

$$(-1)^{\deg A \deg C} [A, [B, C]] + (-1)^{\deg B \deg A} [B, [C, A]] + (-1)^{\deg C \deg B} [C, [A, B]] = 0$$

In our case, the degree of  $d, d^*, \partial, \partial^*, \bar{\partial}, \bar{\partial}^*$  is one, and the degree of  $L$  and  $\Lambda$  is zero<sup>12</sup>.

Now we have eight differential operators, and Kähler condition implies that there are some relations between them.

<sup>11</sup>So romantic.

<sup>12</sup>You can try to understand this thing in a following way: operators  $d, d^*, \partial, \partial^*, \bar{\partial}, \bar{\partial}^*$  do take differentials, but  $L$  and  $\Lambda$  not.

**Proposition 9.2.1** (Kähler identities). If  $(X, \omega)$  is a Kähler manifold, then we have

$$\begin{aligned} [\bar{\partial}^*, L] &= i\partial \\ [\partial^*, L] &= -i\bar{\partial} \\ [\Lambda, \partial] &= -i\partial^* \\ [\Lambda, \bar{\partial}] &= i\bar{\partial}^* \end{aligned}$$

**Example 9.2.1.** Let  $U \subset \mathbb{C}^n$  be an open subset with standard hermitian metric, then  $\omega = \frac{i}{2} \sum dz_j \wedge d\bar{z}_j$ . For any compactly supported  $(p, q)$ -form  $u = \sum_{J,K} u_{JK} dz_J \wedge d\bar{z}_K$ .

By Example 3.2.7, we have

$$\begin{aligned} \bar{\partial}^* u &= -2 \sum_l \sum_{J,K} \frac{\partial u_{JK}}{\partial z_l} \iota_{\frac{\partial}{\partial \bar{z}_l}} dz_J \wedge d\bar{z}_K \\ &= -2 \sum_l \iota_{\frac{\partial}{\partial \bar{z}_l}} \frac{\partial u}{\partial z_l} \end{aligned}$$

So we have

$$\begin{aligned} [\bar{\partial}^*, L] u &= \bar{\partial}^* (\omega \wedge u) - \omega \wedge \bar{\partial}^* u \\ &= -2 \sum_l \iota_{\frac{\partial}{\partial \bar{z}_l}} \frac{\partial}{\partial z_l} (\omega \wedge u) + \omega \wedge 2 \sum_l \iota_{\frac{\partial}{\partial \bar{z}_l}} \frac{\partial u}{\partial z_l} \end{aligned}$$

Since  $\omega$  is a closed  $(1, 1)$ -form, then

$$\frac{\partial}{\partial z_l} (\omega \wedge u) = \omega \wedge \frac{\partial u}{\partial z_l}$$

So we have

$$\begin{aligned} \iota_{\frac{\partial}{\partial \bar{z}_l}} \frac{\partial}{\partial z_l} (\omega \wedge u) &= \iota_{\frac{\partial}{\partial \bar{z}_l}} (\omega \wedge \frac{\partial u}{\partial z_l}) \\ &= (\iota_{\frac{\partial}{\partial \bar{z}_l}} \omega) \wedge \frac{\partial u}{\partial z_l} + \omega \wedge \iota_{\frac{\partial}{\partial \bar{z}_l}} \frac{\partial u}{\partial z_l} \end{aligned}$$

Then

$$\begin{aligned} [\bar{\partial}^*, L] u &= -2 \sum_l (\iota_{\frac{\partial}{\partial \bar{z}_l}} \omega) \wedge \frac{\partial u}{\partial z_l} \\ &= i \sum_l dz_l \wedge \frac{\partial u}{\partial z_l} \\ &= i \sum_l \sum_{J,K} \frac{\partial u_{JK}}{\partial z_l} dz_l \wedge dz_J \wedge d\bar{z}_K \\ &= i\partial u \end{aligned}$$

*Proof.* By conjugating and taking adjoints, it suffices to prove the first identity, that is a first order identity of differential equation

$$[\bar{\partial}^*, L] = i\partial$$

But by Theorem 3.1.9, locally we have  $h_{jk} = \delta_{jk} + O(|\xi|^2)$ . Thus Kähler identity holds from the  $U \subset \mathbb{C}^n$  case.  $\square$

**Theorem 9.2.1.**  $(X, \omega)$  is a Kähler manifold. Then

$$\Delta_d = 2\Delta_\partial = 2\Delta_{\bar{\partial}}$$

In particular,  $\Delta_d$ -harmonic is equivalent to  $\Delta_\partial$ -harmonic and is equivalent to  $\Delta_{\bar{\partial}}$ -harmonic.

*Proof.* Directly compute

$$\Delta_d = (\partial + \bar{\partial})(\partial^* + \bar{\partial}^*) + (\partial^* + \bar{\partial}^*)(\partial + \bar{\partial})$$

Use the forth Kähler identity, we first compute the first term

$$\begin{aligned} (\partial + \bar{\partial})(\partial^* + \bar{\partial}^*) &= (\partial + \bar{\partial})(\partial^* - i\Lambda\partial + i\partial\Lambda) \\ &= \partial\partial^* - i\partial\Lambda\partial + \bar{\partial}\partial^* - i\bar{\partial}\Lambda\partial + i\bar{\partial}\partial\Lambda \end{aligned}$$

And the second term

$$\begin{aligned} (\partial^* + \bar{\partial}^*)(\partial + \bar{\partial}) &= (\partial^* - i\Lambda\partial + i\partial\Lambda)(\partial + \bar{\partial}) \\ &= \partial^*\partial + i\partial\Lambda\partial + \partial^*\bar{\partial} - i\Lambda\partial\bar{\partial} + i\partial\Lambda\bar{\partial} \end{aligned}$$

Use the third Kähler identity, we have

$$\partial^* = i[\Lambda, \bar{\partial}] = i\Lambda\bar{\partial} - i\bar{\partial}\Lambda$$

then

$$\begin{aligned} \bar{\partial}\partial^* &= \bar{\partial}(i\Lambda\bar{\partial} - i\bar{\partial}\Lambda) = i\bar{\partial}\Lambda\bar{\partial} \\ \partial^*\bar{\partial} &= (i\Lambda\bar{\partial} - i\bar{\partial}\Lambda)\bar{\partial} = -i\bar{\partial}\Lambda\bar{\partial} \\ &= -\bar{\partial}\partial^* \end{aligned}$$

Now we have

$$\begin{aligned} \Delta_d &= \Delta_\partial - i\bar{\partial}\Lambda\partial - i\Lambda\partial\bar{\partial} + i\bar{\partial}\partial\Lambda + i\partial\Lambda\bar{\partial} \\ &= \Delta_\partial + i(\Lambda\bar{\partial}\partial - \bar{\partial}\Lambda\partial) + i(\partial\Lambda\bar{\partial} - \bar{\partial}\partial\Lambda) \\ &= \Delta_\partial + i[\Lambda, \bar{\partial}]\partial + i\partial[\Lambda, \bar{\partial}] \\ &= \Delta_\partial + \partial^*\partial + \partial\partial^* \\ &= 2\Delta_\partial \end{aligned}$$

$\square$

**Exercise 9.2.1.** Show that for Kähler manifold we have

$$[\Delta_d, L] = 0$$

$$[L, \Lambda] = (k - n) \text{id} \quad \text{on } C^\infty(X, \Omega_{X, \mathbb{C}}^k)$$



*Proof.* For the first one, we have  $\Delta_d = 2\Delta_\partial = 2(\partial\partial^* + \partial^*\partial)$ . Thus

$$[\Delta_d, L] = 2([\partial\partial^*, L] + [\partial^*\partial, L]) = 2(\partial[\partial^*, L] + [\partial^*, L]\partial)$$

The last equality holds by the fact that  $L$  commutes with  $\partial$ , since  $\omega$  is  $\partial$  closed. Now we use the identity  $[\partial^*, L] = -i\bar{\partial}$ , which anticommutes with  $\partial$ . Thus we have the desired result.

For the second one, since we are considering operators of order zero, thus WLOG we will assume that the metric is the standard flat metric. Recall that  $L$  is the exterior product with  $\omega = \frac{i}{2} \sum_i dz_i \wedge d\bar{z}_i$ . Let  $A_j$  be the operator given by the exterior product with  $\frac{i}{2} dz_j \wedge d\bar{z}_j$

$$[L, \Lambda]\alpha =$$

□

**Corollary 9.2.1.**  $(X, \omega)$  is a Kähler manifold, and  $\alpha$  is a  $(p, q)$ -form, then  $\Delta_d \alpha$  is still a  $(p, q)$ -form.

*Proof.*  $\Delta_\partial \alpha$  is still a  $(p, q)$ -form is a clear fact. □

**Theorem 9.2.2.**  $(X, \omega)$  is a Kähler manifold,  $\alpha = \sum_{p+q=k} \alpha^{p,q}$ . Then  $\alpha$  is harmonic if and only if  $\alpha^{p,q}$  is harmonic. That is

$$\mathcal{H}^k \otimes_{\mathbb{R}} \mathbb{C} = \bigoplus_{p+q=k} \mathcal{H}^{p,q}$$

with  $\overline{\mathcal{H}^{p,q}} = \mathcal{H}^{q,p}$ .

**Theorem 9.2.3** (Hodge decomposition).  $(X, \omega)$  is a compact Kähler manifold. Then

$$H^k(X, \mathbb{C}) \cong \bigoplus_{p+q=k} H^{p,q}(X)$$

with  $\overline{H^{p,q}(X)} = H^{q,p}(X)$ .

**Corollary 9.2.2.**  $(X, \omega)$  is a compact Kähler manifold. Set  $b_k = \dim H^k(X, \mathbb{C})$  and  $h^{p,q} = \dim H^{p,q}(X)$ . Then

$$b_k = \sum_{p+q=k} h^{p,q}$$

with  $h^{p,q} = h^{q,p}$ .

**Corollary 9.2.3.**  $b_k$  is even when  $k$  is odd.

**Corollary 9.2.4.**  $b_k \neq 0$  when  $k$  is even.

*Proof.*  $h^{k,k} \neq 0$ , since  $0 \neq \omega^k \in H^{k,k}(X)$ . □

There are many relations between  $h^{p,q}$ , and we can draw a picture as follows, called Hodge diamond, since it has the same symmetry as a diamond.

## Part 5. Appendix

### APPENDIX A. SHEAF AND ITS COHOMOLOGY

#### A.1. Definition and first properties.

**Definition A.1.1** (sheaf). Let  $X$  be a topological space. A sheaf of abelian group  $\mathcal{F}$  on  $X$  is the data of:

1. For any open subset  $U$  of  $X$ ,  $\mathcal{F}(U)$  is an abelian group.
2. If  $U \subset V$  are two open subsets of  $X$ , then there is a group homomorphism  $r_{UV} : \mathcal{F}(U) \rightarrow \mathcal{F}(V)$ , such that
  - (a)  $\mathcal{F}(\emptyset) = 0$ ;
  - (b)  $r_{UU} = \text{id}$ ;
  - (c) If  $W \subset U \subset V$ , then  $r_{UW} = r_{VW} \circ r_{UV}$ ;
  - (d)  $\{V_i\}$  is an open covering of  $U \subset X$ , and  $s \in \mathcal{F}(U)$ . If  $s|_{V_i} := r_{UV_i}(s) = 0, \forall i$ , then  $s = 0$ ;
  - (e)  $\{V_i\}$  is an open covering of  $U \subset X$ , and  $s_i \in \mathcal{F}(V_i)$  such that  $s_i|_{V_i \cap V_j} = s_j|_{V_i \cap V_j}$ , then there exists  $s \in \mathcal{F}(U)$  such that  $s|_{V_i} = s_i$ .

**Definition A.1.2** (presheaf). A sheaf which fails to meet (d), (e) is called a presheaf.

**Example A.1.1** (constant presheaf). Let  $G$  be abelian group, the constant presheaf assign each open set  $U$  the group  $G$  itself. However, it's not a sheaf. Indeed, consider  $U = U_1 \cup U_2$  with  $U_1 \cap U_2 = \emptyset$ . Consider  $g_1 \in \mathcal{F}(U_1) = G, g_2 \in \mathcal{F}(U_2), g_1 \neq g_2$ , then one can't find  $g \in \mathcal{F}(U)$  such that  $g|_{U_1} = g_1, g|_{U_2} = g_2$ , since  $g_1 \neq g_2$ .

**Definition A.1.3** (morphism of sheaves).  $\phi : \mathcal{F} \rightarrow \mathcal{G}$  is called a morphism of sheaves, if for any open subset  $U$  of  $X$ , there is a group homomorphism  $\phi(U) : \mathcal{F}(U) \rightarrow \mathcal{G}(U)$ , such that if  $U \subset V$  are two open subsets of  $X$ , the the following diagram commutes

$$\begin{array}{ccc} \mathcal{F}(U) & \xrightarrow{\phi(U)} & \mathcal{G}(U) \\ \downarrow r_{UV} & & \downarrow r_{UV} \\ \mathcal{F}(V) & \xrightarrow{\phi(V)} & \mathcal{G}(V) \end{array}$$

*Remark A.1.1.* For convenience, for  $s \in \mathcal{F}(U)$ , we often write  $\phi(s)$  instead of  $\phi(U)(s)$ .

**A.2. Sheafification.** In this section we will consider sheafification. Recall Example A.1.1, we encounter a presheaf which is not a sheaf. So we may wonder how can we get a sheaf from this presheaf? And that's sheafification.

There are too many ways to define sheafification. One way is to define by its universal property:

**Definition A.2.1** (sheafification). Given a presheaf  $\mathcal{F}$  there is a sheaf  $\mathcal{F}^+$  and a morphism  $\theta : \mathcal{F} \rightarrow \mathcal{F}^+$  with the property that for any sheaf  $\mathcal{G}$  and

any morphism  $\varphi : \mathcal{F} \rightarrow \mathcal{G}$  there is a unique morphism  $\bar{\varphi} : \mathcal{F}^+ \rightarrow \mathcal{G}$  such that  $\varphi = \bar{\varphi} \circ \theta$ . In other words, the following diagram commutes:

$$\begin{array}{ccc} \mathcal{F} & \xrightarrow{\varphi} & \mathcal{G} \\ \downarrow \theta & \nearrow \bar{\varphi} & \\ \mathcal{F}^+ & & \end{array}$$

Although the universal property shows that if the sheafification exists, it's determined uniquely up to unique isomorphism, how can we show that there do exists a sheafification?

To give an explicit construction, we need to consider stalks of a presheaf.

**Definition A.2.2** (stalks). For a presheaf  $\mathcal{F}$  and  $x \in X$ , stalk at  $x$  is defined as

$$\mathcal{F}_x = \varinjlim_{x \in U} \mathcal{F}(U)$$

*Remark A.2.1* (alternative definition). In order to avoid language of direct limit, we give a more useful and equivalent description of stalk: an element  $s_x \in \mathcal{F}_x$ , which is called a germ, is an equivalence class  $[s_U]$ , where  $s_U \in \mathcal{F}(U)$  and  $x \in U$ . Two such sections  $s_U$  and  $s_V$  are considered equivalent if the restrictions of the two sections coincide on some neighborhood of  $x$ . For  $s \in \mathcal{F}(U), x \in U$ , we use  $s|_x$  to denote its equivalence class.

*Remark A.2.2* (morphisms on stalks). Given a morphism of sheaves  $\varphi : \mathcal{F} \rightarrow \mathcal{G}$ , it induces a morphism of abelian groups  $\varphi_p : \mathcal{F}_p \rightarrow \mathcal{G}_p$  as follows:

$$\begin{aligned} \varphi_p : \mathcal{F}_p &\rightarrow \mathcal{G}_p \\ s_p &\mapsto \varphi(s)|_p \end{aligned}$$

and it's easy to check  $\varphi_p$  is well-defined.

As you can imagine, stalks are quite local information, and the difference between sheaf and presheaf is that whether a local information can glue together uniquely or not. So stalks of presheaf and its sheafification should be the same. And one way to construct sheafification is to glue stalks together in a suitable way.

Construct  $\mathcal{F}^+(U)$  as a set of functions

$$f : U \rightarrow \coprod_{p \in U} \mathcal{F}_p$$

such that  $f(p) \in \mathcal{F}_p$  and for every  $p \in U$  there is an open set  $V_p \subseteq U$  and  $t \in \mathcal{F}(V_p)$  such that for all  $q \in V_p$  we have the germ  $t|_q = f(q)$ .

$\mathcal{F}^+$  is a sheaf. Indeed:

1. Let  $U$  be an open set,  $\{V_i\}$  an open covering of  $U$ , and  $s \in \mathcal{F}^+(U)$  such that  $s|_{V_i} = 0$  for all  $i$ , then  $s$  must be zero: It suffices to show  $s(p) = 0$  for all  $p \in U$ . Take any  $p \in U$ , then there exists an open set  $V_i$  contains  $p$ , hence  $s(p) = s|_{V_i}(p) = 0$ ;

2. Suppose for each  $i$ , we have  $s_i \in \mathcal{F}^+(V_i)$  such that

$$s_i|_{V_i \cap V_j} = s_j|_{V_i \cap V_j}$$

We can construct  $s \in \mathcal{F}^+(U)$  such that  $s|_{V_i} = s_i$  directly: take any  $p \in U$  and  $V_i$  containing  $p$ , define  $s(p) = s_i(p)$ . This is well-defined since  $s_i$  agree on the intersections. All is left to check is that  $s$  satisfies the requirements of the sheafification. The first condition is trivial. For the second one, just consider that you can apply the condition to  $s_i$ , and this will give you an open neighborhood  $W_i$  contained in  $V_i$  and containing  $p$ , with  $t_i \in \mathcal{F}(W_i)$  as above. Since  $W_i$  is open in  $V_i$ , which is open in  $U$ , so  $W_i$  is suitable also for the function  $s$  we have just defined.

*Remark A.2.3.* From this construction, you can see the stalk of  $\mathcal{F}^+$  at  $p$  is exactly  $\mathcal{F}_p$ . Check it by definition.

Now let's define the canonical morphism  $\theta : \mathcal{F} \rightarrow \mathcal{F}^+$  as follows: For open  $U \subseteq X$ , and  $s \in \mathcal{F}(U)$ , define

$$\begin{aligned} \theta(s) : U &\rightarrow \coprod_{p \in U} \mathcal{F}_p \\ p &\mapsto s|_p \end{aligned}$$

Note that if  $\mathcal{F}$  is already a sheaf, we desire canonical morphism  $\theta$  is an isomorphism. Indeed, if  $s_p = 0$  for all  $p \in U$ , so there exists an open covering  $\{V_i\}$  of  $U$  such that  $s|_{V_i} = 0$ , by axioms of sheaf we obtain  $s = 0$ , this is injectivity; For surjectivity: take  $f \in \mathcal{F}^+(U)$ . Since for each  $p \in U$  there exists  $p \in V_p \subseteq U$  and  $t \in \mathcal{F}(V_p)$  such that  $f(p) = t|_p$ , then glue these  $t$  together to get our desired  $s$  such that  $\theta(s) = f$ .

Finally let's construct  $\tilde{\varphi}$ : A map of presheaves  $\varphi : \mathcal{F} \rightarrow \mathcal{G}$  induces a map on stalks

$$\varphi_p : \mathcal{F}_p \rightarrow \mathcal{G}_p$$

Thus for  $f \in \mathcal{F}^+(U)$ , we can compose  $f$  with the map

$$\coprod_{p \in U} \varphi_p : \coprod_{p \in U} \mathcal{F}_p \rightarrow \coprod_{p \in U} \mathcal{G}_p$$

to get a map  $U \rightarrow \coprod_{p \in U} \mathcal{G}_p$ . Thus we get a morphism  $\tilde{\varphi} : \mathcal{F}^+ \rightarrow \mathcal{G}^+$ . Indeed,  $\tilde{\varphi}(f)(p) \in \mathcal{G}_p$ , since  $f(p) \in \mathcal{F}_p$  and  $\varphi_p : \mathcal{F}_p \rightarrow \mathcal{G}_p$ ; If for all  $q \in V_p$  we have  $t|_q = f(q)$ , then

$$\tilde{\varphi}(f)(q) = \varphi_q(f(q)) = \varphi_q(t|_q) = \varphi(t)|_q$$

So  $\tilde{\varphi}(f) \in \mathcal{G}^+$ . Since  $\mathcal{G}$  is assumed to be sheaf, then canonical morphism  $\theta' : \mathcal{G} \rightarrow \mathcal{G}^+$  is isomorphic, so we obtain  $\bar{\varphi} := \theta'^{-1} \circ \tilde{\varphi}$ . Now let's show  $\varphi = \bar{\varphi} \circ \theta = \theta'^{-1} \circ \tilde{\varphi} \circ \theta$ . It's suffice to show they coincide on each stalk since both  $\mathcal{F}^+$  and  $\mathcal{G}$  are sheaves, and it's quite easy to see this, since  $\varphi_p = \theta'_p{}^{-1} \circ \tilde{\varphi}_p \circ \theta_p$ . Furthermore, uniqueness follows from the fact that  $\bar{\varphi}_p$  is uniquely determined by  $\varphi_p$ .

*Remark A.2.4.* We can describe sheafification in a more fancy language: Since we have sheaf of abelian groups on  $X$  as a category, denote it by  $\underline{Ab}_X$ , and presheaf is a full subcategory of  $\underline{Ab}_X$ , there is a natural inclusion functor  $\iota$  from category of sheaf to category of presheaf. Then sheafification is the adjoint functor of  $\iota$ .

### A.3. More examples on sheaves.

**Example A.3.1** (constant sheaf). Let  $G$  be abelian group, the associated constant sheaf  $\underline{G}$  is the sheafification of the presheaf

$$U \mapsto G$$

Use the construction of sheafification, we can write  $\underline{G}$  more explicitly as

$$\underline{G}(U) = \{\text{locally constant function } f : U \rightarrow G\}$$

**Example A.3.2** (ringed space). A ringed space is the data of space + functions. For different spaces, we can define different functions:

1. Let  $X$  be a topological space, then  $\mathcal{C}_X$  is defined by: For any open subset  $U$ , we define

$$\mathcal{C}_X(U) := \{\text{continuous functions } f : U \rightarrow \mathbb{R}\}$$

2. Let  $M$  be a smooth manifold, then  $C_M^\infty$  is defined by: For any open subset  $U$ , we define

$$C_M^\infty(U) := \{\text{smooth functions } f : U \rightarrow \mathbb{R}\}$$

3. Let  $X$  be a complex manifold, then  $\mathcal{O}_X$  is defined by: For any open subset  $U$ , we define

$$\mathcal{O}_X(U) := \{\text{holomorphic functions } f : U \rightarrow \mathbb{C}\}$$

4. Let  $X$  be an algebraic variety, then  $\mathcal{O}_X$  is defined by: For any open subset  $U$ , we define

$$\mathcal{O}_X(U) := \{\text{regular functions on } U\}$$

**Example A.3.3** (Sheaf of modules on a ringed space). Let  $(X, \mathcal{O}_X)$  be a ringed space. A sheaf of  $\mathcal{O}_X$ -module is a sheaf  $\mathcal{M}$  such that for any open  $U \subseteq X$ ,  $\mathcal{M}(U)$  is an  $\mathcal{O}_X(U)$ -module and the module structure is compatible with the restriction.

**Example A.3.4.** Let  $(X, \mathcal{O}_X)$  be an algebraic variety, then we have (quasi) coherent sheaves of  $\mathcal{O}_X$ -modules.

**Example A.3.5.** Let  $(X, \mathcal{O}_X)$  be a complex manifold and let  $\pi : E \rightarrow M$  be a holomorphic vector bundle. Then by Example ?? we know that  $E$  defines a sheaf. In fact  $E$  is a sheaf of  $\mathcal{O}_X$ -modules.

**A.4. Exact sequence of sheaf.** We can consider the kernel and cokernel if we already have a morphism of objects. So it's natural to define similar conceptions for morphisms of sheaves.

Given a morphism  $\varphi : \mathcal{F} \rightarrow \mathcal{G}$  between sheaves of abelian groups, we define its kernel  $\ker \varphi$  as a presheaf by assigning each open subset  $U$  the abelian group  $\ker \varphi(U)$ , since  $\varphi(U)$  is a morphism of abelian groups.

Similarly, we can define its image or cokernel as a presheaf by assigning each open subset  $U$  the abelian group  $\operatorname{im} \varphi(U)$  or  $\operatorname{coker} \varphi(U)$ .

It's natural to ask whether the kernel, image or cokernel of morphism  $\varphi$  between sheaves are still sheaves or not? Unfortunately, only kernel of  $\varphi$  is still a sheaf, its image or cokernel may fail to be sheaf in our definition.

Why kernel of a morphism  $\varphi : \mathcal{F} \rightarrow \mathcal{G}$  is still a sheaf? Let's check by definition: Take  $s \in \ker \varphi(U)$ , and take an open covering  $\{V_i\}_{i \in I}$  of  $U$ . Then  $s|_{V_i} = 0$  must imply  $s = 0$  since  $s$  is also in  $\mathcal{F}(U)$ ; If there exists  $s_i \in \ker \varphi(V_i)$  such that  $s_i|_{V_i \cap V_j} = s_j|_{V_i \cap V_j}$ , so they glue together to get  $s \in \mathcal{F}(U)$ , and we need to check  $\varphi(U)(s) = 0$ . But we have

$$\varphi(U)(s)|_{V_i} = \varphi(V_i)(s|_{V_i}) = \varphi(V_i)(s_i) = 0$$

Then we obtain  $\varphi(U)(s) = 0$ .

But image of morphism may not be a sheaf: Although we can prove the first requirement in a same way, for the second something bad happens. If there exists  $s_i \in \operatorname{im} \varphi(V_i)$ , and we can glue them together to get a  $s \in \mathcal{G}(U)$ , but  $s$  may not be the image of some  $t \in \mathcal{F}(U)$ . The cokernel fails to be a sheaf for the same reason.

So we may change our definition about image and cokernel: To define the image and cokernel of a morphism to be the sheafification of our previous definition.

For a sequence of sheaves:

$$\dots \rightarrow \mathcal{F}^{i-1} \xrightarrow{\varphi^{i-1}} \mathcal{F}^i \xrightarrow{\varphi^i} \mathcal{F}^{i+1} \rightarrow \dots$$

It's called exact at  $\mathcal{F}^i$ , if  $\ker \varphi^i = \operatorname{im} \varphi^{i-1}$ . If a sequence is exact at everywhere, then it's an exact sequence of sheaves.

However, there is a better description of exactness of sequence of sheaves, that is looking its stalks:

**Proposition A.4.1.** The sequence of sheaves

$$\dots \rightarrow \mathcal{F}^{i-1} \xrightarrow{\varphi^{i-1}} \mathcal{F}^i \xrightarrow{\varphi^i} \mathcal{F}^{i+1} \rightarrow \dots$$

is exact if and only if the sequence of abelian groups are exact

$$\dots \rightarrow \mathcal{F}_x^{i-1} \xrightarrow{\varphi_x^{i-1}} \mathcal{F}_x^i \xrightarrow{\varphi_x^i} \mathcal{F}_x^{i+1} \rightarrow \dots$$

for all  $x \in X$ .

*Proof.* It suffices to show for any morphism  $\varphi : \mathcal{F} \rightarrow \mathcal{G}$ , we have  $(\ker \varphi)_p = \ker \varphi_p$ ,  $(\operatorname{im} \varphi)_p = \operatorname{im} \varphi_p$ . Let's fix  $p \in X$  and check by definition.

For (1). It's clear  $(\ker \varphi)_p \subseteq \ker \varphi_p$ ; Conversely, take  $s_p \in \ker \varphi_p$ , then  $\varphi_p(s_p) = 0 \in \mathcal{G}_p$ . In other words, there exists an open set  $U$  containing  $p$  and  $s \in \mathcal{F}(U)$  such that  $s|_p = s_p$  and  $\varphi(s)|_p = 0$ , which implies there is an open set  $V$  containing  $p$  such that  $\varphi(s)|_V = 0$ . Hence  $\varphi(s|_V) = 0$ , that is  $s|_V \in \ker \varphi(V)$ . Thus  $s_p = (s|_V)|_p \in (\ker \varphi)_p$ .

For (2). It's clear  $(\operatorname{im} \varphi)_p \subseteq \operatorname{im} \varphi_p$ , since  $(\operatorname{im} \varphi)_p$  is the same stalk of the presheaf of image before sheafification; Conversely, if  $s_p \in \operatorname{im} \varphi_p$ , we have some  $t_p \in \mathcal{F}_p$  such that  $\varphi_p(t_p) = s_p$ . Suppose  $t \in \mathcal{F}(U)$  is a section of some open set  $U$  containing  $p$  such that  $t|_p = t_p$ . Then  $\varphi(t)|_p = \varphi_p(t_p) = s_p$ , so  $s_p$  is in the stalk of the image presheaf at  $p$ . But the stalk at a point remains the same after sheafification, we have  $s_p \in (\operatorname{im} \varphi)_p$ .  $\square$

*Remark A.4.1.* The proof for the first part is a routine, but the proof for the half part shows the hallmark of sheafification: Stalks are not changed!

Now let's consider a special exact sequence: short exact sequence:

$$0 \rightarrow \mathcal{F} \xrightarrow{\varphi} \mathcal{G} \xrightarrow{\psi} \mathcal{H} \rightarrow 0$$

In this case,  $\varphi$  is called injective and  $\psi$  is called surjective. Attention: For any open subset  $U \subseteq X$ , we will have

$$\varphi(U) : \mathcal{F}(U) \rightarrow \mathcal{G}(U)$$

is injective. Indeed, by definition we have for any open subset  $U \subseteq X$ ,  $\ker \varphi(U) = 0$ , that is injectivity. Or from another point of view, for each  $p \in U$ , we have

$$\varphi_p : \mathcal{F}_p \rightarrow \mathcal{G}_p$$

is injective. That is  $\ker \varphi_p = 0$ . So we obtain  $(\ker \varphi(U))_p = 0$  for all  $p \in U$ . But for a section  $s \in \mathcal{F}(U)$  if we have  $s|_p = 0$ , then we must have  $s = 0$ . So we obtain  $\ker \varphi(U) = 0$ .

The second pointview may be a little talk nonsense, but we will see it can explain why  $\psi(U) : \mathcal{G}(U) \rightarrow \mathcal{H}(U)$  may not be surjective in general. Since from

$$\psi_p : \mathcal{G}_p \rightarrow \mathcal{H}_p$$

is surjective we can only get “locally surjective”, and from locally surjectivity you may not get a global one. The reason for why does image fail to be a sheaf appears again.

**Example A.4.1** (exponential sequence). Let  $X$  be a complex manifold and  $\mathcal{O}_X$  is its holomorphic function sheaf. Then

$$0 \rightarrow 2\pi\sqrt{-1}\mathbb{Z} \rightarrow \mathcal{O}_X \xrightarrow{\exp} \mathcal{O}_X^* \rightarrow 0$$

is an exact sequence of sheaves, called exponential sequence.

*Proof.* The difficulty is to show  $\exp$  is surjective on stalks at  $p \in X$ . That is we need to construct logarithms of functions  $g \in \mathcal{O}_X^*(U)$  for  $U$ , a neighborhood of  $p$ . We may choose  $U$  is simply-connected, then define

$$\log g(q) = \log g(p) + \int_{\gamma_q} \frac{dg}{g}$$

for  $q \in U$ , where  $\gamma_q$  is a path from  $p$  to  $q$  in  $U$ , and our definition is independent of the choice of  $\gamma_q$  since  $U$  is simply-connected.

In fact,  $U$  is simply-connected is crucial for constructing logarithm. If we consider  $X = \mathbb{C}$  and  $U = \mathbb{C} \setminus \{0\}$ , then

$$\exp : \mathcal{O}_X(U) \rightarrow \mathcal{O}_X^*(U)$$

won't be surjective.  $\square$

**A.5. Derived functor formulation of sheaf cohomology.** The category  $\underline{Ab}_X$ : sheaves of abelian groups on  $X$ . In this section we will introduce sheaf cohomology by considering it as a derived functor.

For an exact sequence of sheaf:

$$0 \rightarrow \mathcal{F}' \xrightarrow{\phi} \mathcal{F} \xrightarrow{\psi} \mathcal{F}''$$

If we take its section on  $U$ , we get a sequence of abelian groups

$$0 \rightarrow \mathcal{F}'(U) \xrightarrow{\phi(U)} \mathcal{F}(U) \xrightarrow{\psi(U)} \mathcal{F}''(U)$$

We already know this sequence is still exact at  $\mathcal{F}'(U)$ , now let's it's still exact at  $\mathcal{F}(U)$ , that is

$$\ker \psi(U) = \text{im } \phi(U)$$

Let's first show  $\ker \psi(U) \supseteq \text{im } \phi(U)$ . Take  $s \in \mathcal{F}'(U)$ , and we want to show  $\psi\phi(s) = 0$ . It suffices to show  $\psi\phi(s)|_p = 0$  for all  $p \in U$ , since  $\mathcal{F}''$  is a sheaf. For any  $p \in U$ , consider its stalk we obtain an exact sequence of abelian groups

$$0 \rightarrow \mathcal{F}'_p \xrightarrow{\phi_p} \mathcal{F}_p \xrightarrow{\psi_p} \mathcal{F}''_p$$

then we obtain  $\psi_p\phi_p(s|_p) = 0$ , that is  $\psi\phi(s)|_p$ .

On the other hand. Take  $s \in \ker \psi(U)$ , then for any  $p \in U$  we have  $s|_p \in \ker \psi_p$ . By exactness of stalks, there exists  $t_p \in \mathcal{F}'_p$  such that  $\phi_p(t_p) = s|_p$ . So there exists an open subset  $V_i$  containing  $p$  and  $t_i \in \mathcal{F}'(V_i)$  such that  $\phi(t_i) = s|_{V_i}$ . We claim that these  $t_i$  can be glued together to obtain  $t \in \mathcal{F}(U)$ . Since  $\mathcal{F}$  is a sheaf, it suffices to check these  $t_i$  agree on intersections  $V_i \cap V_j$ . This follows from the injectivity of  $\phi$ , since  $\phi(t_i - t_j|_{V_i \cap V_j}) = s|_{V_i \cap V_j} - s|_{V_i \cap V_j} = 0$ .

*Remark A.5.1.* From above argument, we can see that

$$0 \rightarrow \mathcal{F}' \xrightarrow{\phi} \mathcal{F} \xrightarrow{\psi} \mathcal{F}''$$

is exact if and only if for any open subset  $U \subseteq X$

$$0 \rightarrow \mathcal{F}'(U) \xrightarrow{\phi(U)} \mathcal{F}(U) \xrightarrow{\psi(U)} \mathcal{F}''(U)$$

is exact.



In homological algebra, we can consider the derived functor of a left or right-exact functor. Here as we can see, take sections (in particular, global sections) of a sheaf is a left exact functor.

So, as what we did in homological, we need choose a injective resolution and consider the cohomology of the sequence of its global sections to define the sheaf cohomology.

**Definition A.5.1** (injective). A sheaf  $\mathcal{I}$  is injective if  $\text{Hom}(-, \mathcal{I})$  is an exact functor.

**Fact A.5.1.**  $\underline{Ab}_X$  is an abelian category with enough injectives. Namely, every sheaf  $\mathcal{F}$  can be realized as a subsheaf of some injective sheaf.

**Definition A.5.2** (injective resolution). Let  $\mathcal{F}$  be a sheaf, an injective resolution of  $\mathcal{F}$  is an exact sequence

$$0 \rightarrow \mathcal{F} \rightarrow \mathcal{I}^0 \rightarrow \mathcal{I}^1 \rightarrow \mathcal{I}^2 \rightarrow \dots$$

where  $\mathcal{I}^i, i = 0, 1, 2, \dots$  are injective.

**Fact A.5.2.** Every sheaf admits an injective resolution.

**Fact A.5.3.** Let  $\mathcal{F} \rightarrow \mathcal{I}^\bullet$  and  $\mathcal{G} \rightarrow \mathcal{G}^\bullet$  are two resolutions, and  $\phi : \mathcal{F} \rightarrow \mathcal{G}$  is a homomorphism of sheaves. Then there exists  $\tilde{\phi} : \mathcal{I}^\bullet \rightarrow \mathcal{G}^\bullet$ . Although the lifting of  $\phi$  may not be unique, but they are homotopic.

**Definition A.5.3** (sheaf cohomology). Let  $\mathcal{F}$  be a sheaf of abelian groups, then

$$H^p(X, \mathcal{F}) := H^p(\mathcal{I}^\bullet(X))$$

*Remark A.5.2.* This definition is independent of the choice of injective resolution thanks to A.5.3.

**Example A.5.1.** By definition,

$$H^0(X, \mathcal{F}) := \ker\{\mathcal{I}^0(X) \rightarrow \mathcal{I}^1(X)\}$$

Thus  $H^0(X, \mathcal{F}) = \mathcal{F}(X)$ , the global sections of sheaf.

**Example A.5.2.** If  $\mathcal{F}$  is a injective sheaf, then  $H^i(X, \mathcal{F}) = 0$  for all  $i > 0$ . It's clear if we choose the following injective resolution

$$0 \rightarrow \mathcal{F} \xrightarrow{\text{id}} \mathcal{F} \rightarrow 0 \rightarrow 0 \rightarrow \dots$$

**Proposition A.5.1** (zig-zag). If

$$0 \rightarrow \mathcal{F} \rightarrow \mathcal{G} \rightarrow \mathcal{H} \rightarrow 0$$

is a short sequence of sheaves, then there is an induced long exact sequence of abelian groups

$$0 \rightarrow H^0(X, \mathcal{F}) \rightarrow H^0(X, \mathcal{G}) \rightarrow H^0(X, \mathcal{H}) \rightarrow H^1(X, \mathcal{F}) \rightarrow H^1(X, \mathcal{G}) \rightarrow \dots$$

**Definition A.5.4** (direct image). Let  $f : X \rightarrow Y$  be continuous map between topological spaces. Let  $\mathcal{F}$  be a sheaf of abelian groups on  $X$ . A sheaf  $f_*\mathcal{F}$  on  $Y$  is defined by

$$f_*\mathcal{F}(U) := \mathcal{F}(f^{-1}(U))$$

$f_*\mathcal{F}$  is called direct image sheaf of  $\mathcal{F}$ .

**Proposition A.5.2.**  $f_* : \underline{Ab}_X \rightarrow \underline{Ab}_Y$  is a left exact functor.

*Proof.* Given an exact sequence of sheaves on  $X$

$$0 \rightarrow \mathcal{F}' \rightarrow \mathcal{F} \rightarrow \mathcal{F}''$$

Then we need to show

$$0 \rightarrow f_*\mathcal{F}' \rightarrow f_*\mathcal{F} \rightarrow f_*\mathcal{F}''$$

is also an exact sequence on  $Y$ . But by Remark A.5.1 it suffices to check for any  $V \in Y$ , we have the following exact sequence

$$0 \rightarrow f_*\mathcal{F}'(V) \rightarrow f_*\mathcal{F}(V) \rightarrow f_*\mathcal{F}''(V)$$

and that's

$$0 \rightarrow \mathcal{F}'(f^{-1}(V)) \rightarrow \mathcal{F}(f^{-1}(V)) \rightarrow \mathcal{F}''(f^{-1}(V))$$

and since  $f$  is continuous, then  $f^{-1}(V)$  is an open subset in  $X$ . This completes the proof.  $\square$

Since we obtain another left exact functor  $f_*$ , we can consider its derived functor.

**Definition A.5.5** (higher direct image sheaves). Let  $0 \rightarrow \mathcal{F} \rightarrow \mathcal{I}^\bullet$  be an injective resolution of  $\mathcal{F}$ . The higher direct image sheaf is defined by: For open  $U$ ,

$$R^i f_*\mathcal{F}(U) := H^i(f_*\mathcal{I}^\bullet(U))$$

For higher direct image sheaves, it has similar properties parallel to A.5.1, A.5.2 and A.5.1, since these are properties shared by derived functors.

**A.6. Computation for cohomology.** Since it may be difficult for us to choose an injective resolution, we usual other resolutions to compute sheaf cohomology.

**Definition A.6.1** (acyclic sheaf). A sheaf  $\mathcal{F}$  is acyclic if  $H^i(X, \mathcal{F}) = 0, \forall i > 0$ .

**Example A.6.1.** Injective sheaf is acyclic.

**Definition A.6.2** (acyclic resolution). Let  $\mathcal{F}$  be a sheaf, an acyclic resolution of  $\mathcal{F}$  is an exact sequence

$$0 \rightarrow \mathcal{F} \rightarrow \mathcal{A}^0 \rightarrow \mathcal{A}^1 \rightarrow \mathcal{A}^2 \rightarrow \dots$$

where  $\mathcal{A}^i, i = 0, 1, 2, \dots$  are acyclic.

**Proposition A.6.1.** The cohomology of sheaf  $\mathcal{F}$  can be computed using acyclic resolution.

In fact, it's a quite homological techniques, called dimension shifting. So we will state this technique in language of homological algebra. Let's see a baby version of it.

**Example A.6.2.** Let  $\mathcal{F}$  be a left exact functor, and  $0 \rightarrow A \rightarrow M_1 \rightarrow B \rightarrow 0$  is exact with  $M_1$  is  $\mathcal{F}$ -acyclic. Then  $R^{i+1}\mathcal{F}(A) \cong R^i\mathcal{F}(B)$  for  $i > 0$ , and  $R^1\mathcal{F}(A)$  is the cokernel of  $\mathcal{F}(M_1) \rightarrow \mathcal{F}(B)$ .

*Proof.* Consider the long exact sequence induced by  $0 \rightarrow A \rightarrow M_1 \rightarrow B \rightarrow 0$ , then we obtain

$$R^i\mathcal{F}(M_1) \rightarrow R^i\mathcal{F}(B) \rightarrow R^{i+1}\mathcal{F}(A) \rightarrow R^{i+1}\mathcal{F}(M_1)$$

If  $i > 0$ , then  $R^i\mathcal{F}(M_1) = R^{i+1}\mathcal{F}(M_1) = 0$  since  $M_1$  is  $\mathcal{F}$ -acyclic. So we obtain  $R^{i+1}\mathcal{F}(A) \cong R^i\mathcal{F}(B)$ . If  $i = 0$ , then

$$0 \rightarrow \mathcal{F}(M_1) \rightarrow \mathcal{F}(B) \rightarrow R^1\mathcal{F}(A) \rightarrow 0$$

implies  $R^1\mathcal{F}(A) = \text{coker}\{\mathcal{F}(M_1) \rightarrow \mathcal{F}(B)\}$  □

Now let's prove dimension shifting in a general setting.

**Lemma A.6.1** (dimension shifting). If

$$0 \rightarrow A \rightarrow M_1 \rightarrow M_2 \rightarrow \cdots \rightarrow M_m \rightarrow B \rightarrow 0$$

is exact with  $M_i$  is  $\mathcal{F}$ -acyclic. Then  $R^{i+m}\mathcal{F}(A) \cong R^i\mathcal{F}(B)$  for  $i > 0$ , and  $R^m\mathcal{F}(A)$  is the cokernel of  $\mathcal{F}(M_m) \rightarrow \mathcal{F}(B)$ .

*Proof.* Prove it by induction on  $m$ . For  $m = 1$ , we already see it in Example A.6.2. Assume this is holds for  $m < k$ , then for  $m = k$ , let's split  $0 \rightarrow A \rightarrow M_1 \rightarrow M_2 \rightarrow \cdots \rightarrow M_k \xrightarrow{d_k} B \rightarrow 0$  into two exact sequences

$$0 \rightarrow A \rightarrow M_1 \rightarrow M_2 \rightarrow \cdots \rightarrow M_{k-1} \rightarrow \ker d_k \rightarrow 0$$

$$0 \rightarrow \ker d_k \rightarrow M_k \xrightarrow{d_k} B \rightarrow 0$$

Then by induction, for  $i > 0$  we have

$$R^{i+k-1}\mathcal{F}(A) \cong R^i\mathcal{F}(\ker d_k)$$

$$R^{i+1}\mathcal{F}(\ker d_k) \cong R^i\mathcal{F}(B)$$

Combine these two isomorphisms together we obtain  $R^{i+k}\mathcal{F}(A) \cong R^i\mathcal{F}(B)$  for  $i > 0$ , as desired. For  $i = 0$ , it suffices to let  $i = 1$  in  $R^{i+k-1}\mathcal{F}(A) \cong R^i\mathcal{F}(\ker d_k)$ , then we obtain

$$R^k\mathcal{F}(A) = R^1\mathcal{F}(\ker d_k) = \text{coker}\{\mathcal{F}(M_k) \rightarrow \mathcal{F}(B)\}$$

This completes the proof. □

**Corollary A.6.1.**  $0 \rightarrow A \rightarrow M_\bullet$  is a  $\mathcal{F}$ -acyclic resolution, then  $R^i\mathcal{F}(A) = H^i(\mathcal{F}(M))$ .

*Proof.* Truncate the resolution as

$$\begin{aligned} 0 \rightarrow A \rightarrow M_0 \rightarrow M_1 \rightarrow \dots M_{i-1} \rightarrow B \rightarrow 0 \\ 0 \rightarrow B \rightarrow M_i \rightarrow M_{i+1} \rightarrow \dots \end{aligned}$$

Since we already have  $R^i\mathcal{F}(A) = \text{coker}\{\mathcal{F}(M_{i-1}) \rightarrow \mathcal{F}(B)\}$ . Note  $\mathcal{F}$  is left exact, then

$$\mathcal{F}(B) = \ker\{\mathcal{F}(M_i) \rightarrow \mathcal{F}(M_{i+1})\}$$

Thus we obtain

$$R^i\mathcal{F}(A) = \text{coker}\{\mathcal{F}(M_{i-1}) \rightarrow \ker\{\mathcal{F}(M_i) \rightarrow \mathcal{F}(M_{i+1})\}\} = H^i(\mathcal{F}(M))$$

□

### A.7. Examples about acyclic sheaf.

A.7.1. *Flabby sheaf.* First kind of acyclic sheaf is flabby<sup>13</sup> sheaf.

**Definition A.7.1** (flabby). A sheaf  $\mathcal{F}$  is flabby if all open  $U \subseteq V$ , the restriction map  $\mathcal{F}(V) \rightarrow \mathcal{F}(U)$  is surjective.

Now let's see some examples about flabby sheaves.

**Example A.7.1.** A constant sheaf on an irreducible topological space is flabby.

*Proof.* Note that the constant presheaf on a irreducible topological space is a sheaf in fact. And it's easy to see this constant presheaf is flabby. □

In particular, we have

**Example A.7.2.** Let  $X$  be an algebraic variety, so any two non-empty open sets intersect non-trivially. Then constant sheaf  $\mathbb{Z}_X$  is flabby.

**Example A.7.3.** If  $\mathcal{F}$  is a flabby sheaf on  $X$ , and  $f : X \rightarrow Y$  is a continuous map, then  $f_*\mathcal{F}$  is a flabby sheaf on  $Y$ .

*Proof.* For  $V \subset W$  in  $Y$ , it suffices to show  $f_*\mathcal{F}(W) \rightarrow f_*\mathcal{F}(V)$  is surjective, and that's

$$\mathcal{F}(f^{-1}W) \rightarrow \mathcal{F}(f^{-1}V)$$

it's surjective since  $\mathcal{F}$  is flabby. □

**Example A.7.4.** An injective sheaf is flabby.

*Proof.* Let  $\mathcal{I}$  be an injective sheaf and let  $V \subseteq U$  be an inclusion of open sets. We define a sheaf  $\underline{\mathbb{Z}}_U$  on  $X$  by

$$\underline{\mathbb{Z}}_U := \begin{cases} \underline{\mathbb{Z}}(W), & W \subseteq U \\ 0, & \text{otherwise} \end{cases}$$

---

<sup>13</sup>Some authors also call this flasque.

where  $\underline{\mathbb{Z}}$  is constant sheaf valued in  $\mathbb{Z}$ . Similarly we can define  $\underline{\mathbb{Z}}_V$ , and we have  $\underline{\mathbb{Z}}_U(W) = \underline{\mathbb{Z}}_V(W)$  unless  $W \subseteq U$  and  $W \not\subseteq V$ . Thus we obtain an exact sequence

$$0 \rightarrow \underline{\mathbb{Z}}_V \rightarrow \underline{\mathbb{Z}}_U$$

Applying the functor  $\text{Hom}(-, \mathcal{I})$ , which is exact, we obtain an exact sequence

$$\text{Hom}(\underline{\mathbb{Z}}_U, \mathcal{I}) \rightarrow \text{Hom}(\underline{\mathbb{Z}}_V, \mathcal{I}) \rightarrow 0$$

is exact. Now let's see why we need such a weird sheaf  $\underline{\mathbb{Z}}_U$ . In fact, we will prove  $\text{Hom}(\underline{\mathbb{Z}}_U, \mathcal{I}) = \mathcal{I}(U)$ . Indeed, since  $\varphi : \underline{\mathbb{Z}}_U \rightarrow \mathcal{I}$  is a sheaf morphism. Then if  $W \not\subseteq U$ , then  $\varphi(U)$  must be zero. If  $W = U$ , then the group of sections of  $\underline{\mathbb{Z}}_U(U)$  over any connected component is simply  $\mathbb{Z}$  and hence  $\varphi(U)$  on this connected component is determined by the image of  $1 \in \mathbb{Z}$ . Thus  $\varphi(U)$  can be thought of an element of  $\mathcal{I}(U)$ . Now on any proper open subset of  $U$ ,  $\varphi$  is determined by restriction maps. Hence  $\text{Hom}(\underline{\mathbb{Z}}_U, \mathcal{I}) = \mathcal{I}(U)$ , as desired. We can do similar things for  $V$ , and we obtain an exact sequence

$$\mathcal{I}(U) \rightarrow \mathcal{I}(V) \rightarrow 0$$

Thus  $\mathcal{I}$  is flabby.  $\square$

Our goal is to prove a flabby sheaf is acyclic, but we still need some property of flabby sheaves.

**Proposition A.7.1.** If  $0 \rightarrow \mathcal{F}' \xrightarrow{\phi} \mathcal{F} \xrightarrow{\psi} \mathcal{F}'' \rightarrow 0$  is an exact sequence of sheaves, and  $\mathcal{F}'$  is flabby, then for any open set  $U$ , the sequence

$$0 \rightarrow \mathcal{F}'(U) \xrightarrow{\phi(U)} \mathcal{F}(U) \xrightarrow{\psi(U)} \mathcal{F}''(U) \rightarrow 0$$

is exact.

*Proof.* It suffices to show  $\mathcal{F}(U) \rightarrow \mathcal{F}''(U) \rightarrow 0$  is exact. And it may be quite hard than it looks and here we give a sketch of proof.

Since we have exact sequence on stalks for each  $p \in U$  as follows

$$0 \rightarrow \mathcal{F}'_p \xrightarrow{\phi_p} \mathcal{F}_p \xrightarrow{\psi_p} \mathcal{F}''_p \rightarrow 0$$

Then for each  $s \in \mathcal{F}''(U)$ , then there exists  $t_p \in \mathcal{F}_p$  such that  $\psi_p(t_p) = s|_p$ . So there exists  $V_p \subseteq U$  containing  $p$  and  $t \in \mathcal{F}(V_p)$  such that  $\psi(t) = s|_{V_p}$ . If we can glue these  $t$  together then we get a section in  $\mathcal{F}(U)$  and is mapped to  $s$ , which completes the proof. However, they may not equal on the intersection. But things are not too bad, consider another point  $q$  and  $t' \in \mathcal{F}(V_q)$  such that  $\psi(t') = s|_{V_q}$ ,  $(t' - t)|_{V_p \cap V_q} \in \ker \psi|_{V_p \cap V_q} = \text{im } \phi|_{V_p \cap V_q}$ . So there exists  $t'' \in \mathcal{F}'(V_p \cap V_q)$  such that

$$\phi(t'') = (t' - t)|_{V_p \cap V_q}$$

Now since  $\mathcal{F}'$  is flabby, then there exists  $t''' \in \mathcal{F}'(V_p)$  such that  $t'''|_{V_p \cap V_q} = t''$ . And consider  $t + \phi(t''') \in \mathcal{F}(V_p)$ , which will coincide with  $t'$  on  $V_p \cap V_q$ . After above corrections, we can glue  $t$  after correction together.  $\square$

**Proposition A.7.2.** If  $0 \rightarrow \mathcal{F}' \rightarrow \mathcal{F} \rightarrow \mathcal{F}'' \rightarrow 0$  is an exact sequence of sheaves, and if  $\mathcal{F}'$  and  $\mathcal{F}$  are flabby, then  $\mathcal{F}''$  is flabby.

*Proof.* Take  $V \subseteq U$  and consider the following diagram

$$\begin{array}{ccccccccc}
 0 & \longrightarrow & \mathcal{F}'(U) & \longrightarrow & \mathcal{F}(U) & \longrightarrow & \mathcal{F}''(U) & \longrightarrow & 0 \\
 \downarrow & & \downarrow & & \downarrow & & \downarrow & & \downarrow \\
 0 & \longrightarrow & \mathcal{F}'(V) & \longrightarrow & \mathcal{F}(V) & \longrightarrow & \mathcal{F}''(V) & \longrightarrow & 0
 \end{array}$$

Then five lemma completes the proof.  $\square$

**Proposition A.7.3.** A flabby sheaf is acyclic.

*Proof.* Let  $\mathcal{F}$  be a flabby sheaf. Since there are enough injectives, there is an exact sequence

$$0 \rightarrow \mathcal{F} \rightarrow \mathcal{I} \rightarrow \mathcal{Q} \rightarrow 0$$

with  $\mathcal{I}$  is injective. By Example A.7.4 we have  $\mathcal{I}$  is flabby. And by Proposition A.7.2 we have  $\mathcal{Q}$  is flabby. Consider the long exact sequence induced from above short exact sequence

$$\mathcal{F}(X) \rightarrow \mathcal{I}(X) \rightarrow \mathcal{Q}(X) \rightarrow H^1(X, \mathcal{F}) \rightarrow H^1(X, \mathcal{I}) \rightarrow \dots$$

Since injective sheaf is acyclic, then  $H^1(X, \mathcal{I}) = 0$ . Then  $H^1(X, \mathcal{F}) = \text{coker}\{\mathcal{I}(X) \rightarrow \mathcal{Q}(X)\}$ . But from Proposition A.7.1 we know that it's surjective. So  $H^1(X, \mathcal{F}) = 0$ .

Now we prove  $H^k(X, \mathcal{F}) = 0$  for  $k > 0$  by induction on  $k$ . We already show  $k = 1$ . Assume this holds for  $k < n$ . Then consider

$$\dots \rightarrow H^{n-1}(X, \mathcal{F}) \rightarrow H^{n-1}(X, \mathcal{I}) \rightarrow H^{n-1}(X, \mathcal{Q}) \rightarrow H^n(X, \mathcal{F}) \rightarrow H^n(X, \mathcal{I}) \rightarrow H^n(X, \mathcal{Q}) \rightarrow \dots$$

From we already know, we can reduce above sequence to

$$\dots \rightarrow 0 \rightarrow 0 \rightarrow 0 \rightarrow H^n(X, \mathcal{F}) \rightarrow 0 \rightarrow H^n(X, \mathcal{Q}) \rightarrow \dots$$

which implies  $H^n(X, \mathcal{F}) = 0$ . This completes the proof.  $\square$

**A.7.2. Soft sheaf.** The second kind of acyclic sheaves is called soft sheaves, which is quit similar to flabby.

**Definition A.7.2** (soft). A sheaf  $\mathcal{F}$  over  $X$  is soft if for any closed subset  $S \subseteq X$  the restriction map  $\mathcal{F}(X) \rightarrow \mathcal{F}(S)$  is surjective.

*Remark A.7.1.* Here we need to know how to define sections over a closed subset: For closed subset  $S$ ,

$$\mathcal{F}(S) := \varinjlim_{S \subset \bar{U}} \mathcal{F}(U)$$

Quite similar to Proposition A.7.1 and A.7.2, soft sheaf has the following properties:

**Proposition A.7.4.** If  $0 \rightarrow \mathcal{F}' \xrightarrow{\phi} \mathcal{F} \xrightarrow{\psi} \mathcal{F}'' \rightarrow 0$  is an exact sequence of sheaves, and  $\mathcal{F}'$  is soft, then the following sequence

$$0 \rightarrow \mathcal{F}'(X) \xrightarrow{\phi(X)} \mathcal{F}(X) \xrightarrow{\psi(X)} \mathcal{F}''(X) \rightarrow 0$$

is exact.

**Proposition A.7.5.** If  $0 \rightarrow \mathcal{F}' \rightarrow \mathcal{F} \rightarrow \mathcal{F}'' \rightarrow 0$  is an exact sequence of sheaves, and if  $\mathcal{F}'$  and  $\mathcal{F}$  are soft, then  $\mathcal{F}''$  is soft.

**Proposition A.7.6.** A soft sheaf is acyclic.

So you may wonder, what's the difference between flabby and soft, since the definitions are quite similar, and both of them are acyclic. Clearly by definition of sections over a closed subset, we know that every flabby sheaf is soft, but converse fails

**Example A.7.5.** The sheaf of smooth functions on a smooth manifold is soft but not flabby.

**Lemma A.7.1.** If  $\mathcal{M}$  is a sheaf of modules over a soft sheaf of rings  $\mathcal{R}$ , then  $\mathcal{M}$  is a soft sheaf.

*Proof.* Let  $s \in \mathcal{M}(K)$  for  $K$  a closed subset of  $X$ . Then  $s$  extends to some open neighborhood  $U$  of  $K$ . Let  $\rho \in \mathcal{R}(K \cup (X - U))$  be defined by

$$\rho = \begin{cases} 1, & \text{on } K \\ 0, & \text{on } X - U \end{cases}$$

Since  $\mathcal{R}$  is soft, then  $\rho$  extends to a section over  $X$ , then  $\rho s$  is the desired extension of  $s$ .  $\square$

**A.7.3. Fine sheaf.** Another important kind of acyclic sheaves, which behaves like sheaf of differential forms  $\Omega_X^k$  is called fine sheaf. Recall what is a partition of unity: Let  $U = \{U_i\}_{i \in I}$  be a locally finite open cover of  $X$ . A partition of unity subordinate to  $U$  is a collection of continuous or smooth functions  $f_i : U_i \rightarrow [0, 1]$  for each  $i \in I$  such that its support lies in  $U_i$ , and for any  $x \in X$

$$\sum_{i \in I} f_i(x) = 1$$

Note that for a smooth manifold  $M$ , then sheaf of differential  $k$ -forms is a  $C_M^\infty$ -module sheaf.

**Definition A.7.3** (fine sheaf). A fine sheaf  $\mathcal{F}$  on  $X$  is a sheaf of  $\mathcal{A}$ -modules, where  $\mathcal{A}$  is a sheaf of rings such that for every locally finite open cover  $\{U_i\}_{i \in I}$  of  $X$ , there is a partition of unity

$$\sum_{i \in I} \rho_i = 1$$

where  $\rho_i \in \mathcal{A}(X)$  and  $\text{supp}(\rho_i) \subseteq U_i$ .

*Remark A.7.2.* It's necessary to give an explicit definition of support of a section: For a sheaf  $\mathcal{F}$  on  $X$  and a section  $s \in \mathcal{F}(X)$ , its support is defined as

$$\text{supp}(s) := \overline{\{x \in X : s|_x \neq 0\}}$$

**Proposition A.7.7.** A fine sheaf is acyclic.

*Proof.* Let  $\mathcal{F}$  be a sheaf of  $\mathcal{A}$ -modules and a fine sheaf. And choose a injective resolution

$$0 \rightarrow \mathcal{F} \xrightarrow{d} \mathcal{I}^0 \xrightarrow{d} \mathcal{I}^1 \xrightarrow{d} \mathcal{I}^2 \xrightarrow{d} \dots$$

such that  $\mathcal{I}^i$  are injective sheaves of  $\mathcal{A}$ -modules. Let  $s \in \mathcal{F}(X)$  such that  $ds = 0$ . Then by exactness of injective resolution we have  $X$  is covered by open sets  $U_i$  such that for each  $i$  there is an element  $t_i \in \mathcal{I}^{p-1}(U_i)$  such that  $dt_i = s|_{U_i}$ . By passing to a refinement we may assume that the cover  $\{U_i\}$  is locally finite. Let  $\{\rho_i\}$  be a partition of unity subordinate to  $\{U_i\}$ . Then we have  $t = \sum \rho_i t_i \in \mathcal{I}^{p-1}(X)$  such that  $dt = s$ . This completes the proof.  $\square$

**Example A.7.6.** Let  $M$  be a manifold and let  $\pi : E \rightarrow M$  be a vector bundle, then sheaf of smooth sections of  $E$  is also a fine sheaf.

**Example A.7.7.** Tangent sheaf  $T_M$ , and its tensor  $T_M^{\otimes k}$ , sheaf of differential forms  $\Omega_M$  and  $k$ -forms  $\Omega_M^k$  are fine sheaves.

*Remark A.7.3.* So from Example A.7.6, we know that it's meaningless to compute cohomology of sheaf of differential  $k$ -forms, or any other vector bundle over a smooth manifold. But in complex version, something interesting happens:

Let  $(X, \mathcal{O}_X)$  be a complex manifold, and let  $\pi : E \rightarrow X$  a holomorphic vector bundle. Then the sheaf of holomorphic sections of  $E$  is not a fine sheaf, since there is no partition of unity we use in the sense of smooth. So we may compute cohomology of some holomorphic vector bundle, and that's what does Dolbeault cohomology compute.

For fine sheaf and soft sheaf, we have

**Lemma A.7.2.** Fine sheaf is soft

*Proof.* Let  $\mathcal{F}$  be a fine sheaf,  $S \subseteq X$  closed and  $s \in \mathcal{F}(S)$ . Let  $\{U_i\}$  be an open covering of  $S$  and  $s_i \in \mathcal{F}(U_i)$  such that

$$s_i|_{S \cap U_i} = s|_{S \cap U_i}$$

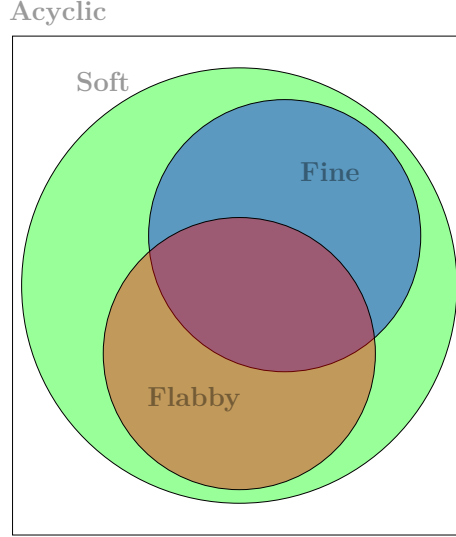
Let  $U_0 = X - S$ , and  $s_0 = 0$ . Then  $\{U_i\} \sqcup \{U_0\}$  is an open covering of  $X$ , WLOG we assume this open covering is locally finite and choose a partition of unity  $\{\rho_i\}$  subordinate to it. Set

$$\bar{s} := \sum_i \rho_i(s_i) \in \mathcal{F}(X)$$

which extends  $s$ .  $\square$



*Remark A.7.4.* For flabby, soft, fine and acyclic sheaves. In fact we have the following relations:



Indeed, constant sheaf on an irreducible space is flabby but not fine, sheaf of smooth functions on a smooth manifold is fine but not flabby.

**A.8. Proof of de Rham theorem using sheaf cohomology.** As we already know, for constant sheaf  $\underline{\mathbb{R}}$  over a smooth manifold  $M$ , we have the following fine resolution

$$0 \rightarrow \underline{\mathbb{R}} \xrightarrow{i} \Omega_M^0 \xrightarrow{d} \Omega_M^1 \xrightarrow{d} \Omega_M^2 \xrightarrow{d} \dots$$

And de Rham cohomology computes the sheaf cohomology of  $\underline{\mathbb{R}}$ . de Rham theorem implies that de Rham cohomology equals to the singular cohomology with real coefficient. So if we can give constant sheaf another resolution using singular cochains, we may derive the de Rham cohomology.

We state this in a general setting: Let  $X$  be a topological manifold, and a constant sheaf  $\underline{G}$  over  $X$ , where  $G$  is an abelian group. Let  $S^p(U, G)$  be the group of singular cochains in  $U$  with coefficients in  $G$ , and let  $\delta$  denote the coboundary operator.

Let  $\mathcal{S}^p(G)$  be the sheaf over  $X$  generated by the presheaf  $U \mapsto S^p(U, G)$ , with induced differential mapping  $\mathcal{S}^p(G) \xrightarrow{\delta} \mathcal{S}^{p+1}(G)$ .

Similar to Poincaré lemma, we have for a unit ball  $U$  in Euclidean space, we have the following sequence

$$\dots \rightarrow S^{p-1}(U, G) \xrightarrow{\delta} S^p(U, G) \xrightarrow{\delta} S^{p+1}(U, G) \rightarrow \dots$$

is exact. So we have the following resolution of the constant sheaf  $\underline{G}$

$$0 \rightarrow \underline{G} \rightarrow \mathcal{S}^0(G) \xrightarrow{\delta} \mathcal{S}^1(G) \xrightarrow{\delta} \mathcal{S}^2(G) \rightarrow \dots$$

*Remark A.8.1.* If  $M$  is a smooth manifold, then we can consider smooth chains, that is  $f : \Delta^p \rightarrow U$ , where  $f$  is a smooth function. The corresponding

results above still hold, and we have a resolution by smooth cochains with coefficients in  $G$ :

$$0 \rightarrow \underline{G} \rightarrow \mathcal{S}_\infty^\bullet(G)$$

So if we choose  $G = \mathbb{R}$ , then it suffices to show  $0 \rightarrow \underline{\mathbb{R}} \rightarrow \mathcal{S}_\infty^\bullet(\mathbb{R})$  is an acyclic resolution, then we obtain de Rham theorem.

First, note that  $\mathcal{S}_\infty^p$  is a  $\mathcal{S}_\infty^0$ -module, given by cup product on open sets. Then by Lemma A.7.1 and the fact  $\mathcal{S}_\infty^0$  is soft we know that it's a soft resolution. This completes the proof.

**A.9. Hypercohomology.** In homological algebra, the hypercohomology is a generalization of cohomology functor which takes as input not objects in abelian category but instead chain complexes of objects.

One of the motivations for hypercohomology comes from the fact that there isn't an obvious generalization of cohomological long exact sequences associated to short exact sequence

$$0 \rightarrow \mathcal{F}' \rightarrow \mathcal{F} \rightarrow \mathcal{F}'' \rightarrow 0$$

It turns out hypercohomology gives techniques for constructing a similar cohomological associated long exact sequence from an arbitrary long exact sequence

$$0 \rightarrow \mathcal{F}_1 \rightarrow \mathcal{F}_2 \rightarrow \cdots \rightarrow \mathcal{F}_k \rightarrow 0$$

Now let's clarify the definition of hypercohomology: Let  $\mathcal{F}^\bullet : \cdots \rightarrow \mathcal{F}^{i-1} \rightarrow \mathcal{F}^i \rightarrow \mathcal{F}^{i+1} \rightarrow \cdots$  be a complex of sheaves of abelian groups. Assume  $\mathcal{F}^\bullet$  is bounded below, i.e.  $\mathcal{F}^n = 0$  for  $n \ll 0$ . Then  $\mathcal{F}^\bullet$  admits an injective resolution  $\mathcal{F}^\bullet \rightarrow \mathcal{I}^\bullet$ . In other words

$$\begin{array}{ccccccc} \cdots & \longrightarrow & \mathcal{F}^{i-1} & \longrightarrow & \mathcal{F}^i & \longrightarrow & \mathcal{F}^{i+1} \longrightarrow \cdots \\ & & \downarrow & & \downarrow & & \downarrow \\ \cdots & \longrightarrow & \mathcal{I}^{i-1} & \longrightarrow & \mathcal{I}^i & \longrightarrow & \mathcal{I}^{i+1} \longrightarrow \cdots \end{array}$$

such that

1. All  $\mathcal{I}^i$  are injective sheaves;
2. The induced homomorphism  $H^i(\mathcal{F}^\bullet) \rightarrow H^i(\mathcal{I}^\bullet)$  is an isomorphism.

The hypercohomology of  $\mathcal{F}^\bullet$  is defined by

$$H^i(X, \mathcal{F}^\bullet) := H^i(\Gamma(X, \mathcal{I}^\bullet))$$

**Notation A.9.1.** Let  $\mathcal{F}^\bullet[n]$  be the complex obtained from  $\mathcal{F}^\bullet$  by

$$(\mathcal{F}^\bullet[n])^i = \begin{cases} \mathcal{F}^i, & i = n \\ 0, & \text{otherwise} \end{cases}$$

**Example A.9.1.** Let  $\mathcal{F}$  be a sheaf and consider  $\mathcal{F}^\bullet[0]$ , that is,

$$0 \rightarrow \underbrace{\mathcal{F}}_{\text{deg zero}} \rightarrow 0 \rightarrow 0 \rightarrow \cdots$$

If  $0 \rightarrow \mathcal{F} \rightarrow \mathcal{I}^0 \rightarrow \mathcal{I}^1 \rightarrow \cdots$  is an injective resolution of  $\mathcal{F}$ , then

$$\begin{array}{ccccccc}
0 & \longrightarrow & \mathcal{F} & \longrightarrow & 0 & \longrightarrow & 0 \longrightarrow \dots \\
& & \downarrow & & \downarrow & & \downarrow \\
0 & \longrightarrow & \mathcal{I}^0 & \longrightarrow & \mathcal{I}^1 & \longrightarrow & \mathcal{I}^2 \longrightarrow \dots
\end{array}$$

is an injective resolution of  $\mathcal{F}^\bullet$ . Indeed,  $\mathcal{I}^i$  are injective for all  $i \geq 0$ . Furthermore,

$$H^i(\mathcal{I}^\bullet) = \begin{cases} \mathcal{F}, & n = 0 \\ 0, & \text{otherwise} \end{cases} = H^i(\mathcal{F}^\bullet[0])$$

So by definition of hypercohomology, we have  $H^i(X, \mathcal{F}^\bullet[0]) = H^i(\Gamma(X, \mathcal{I}^\bullet)) = H^i(X, \mathcal{F}^\bullet)$ . For general case, we have

$$H^i(X, \mathcal{F}^\bullet[n]) \cong H^{i+n}(X, \mathcal{F})$$

**Proposition A.9.1** (zig-zag in hypercohomology). Let  $0 \rightarrow \mathcal{F}^\bullet \rightarrow \mathcal{G}^\bullet \rightarrow \mathcal{H}^\bullet \rightarrow 0$  be a short exact sequence of bounded below complex. Then there is an induced long exact sequence

$$\dots \rightarrow H^{i-1}(X, \mathcal{H}^\bullet) \rightarrow H^i(X, \mathcal{F}^\bullet) \rightarrow H^i(X, \mathcal{G}^\bullet) \rightarrow H^i(X, \mathcal{H}^\bullet) \rightarrow H^{i+1}(X, \mathcal{F}^\bullet) \rightarrow \dots$$

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YAU MATHEMATICAL SCIENCES CENTER, TSINGHUA UNIVERSITY, BEIJING, 100084,  
P.R. CHINA,

*Email address:* `liubw22@mails.tsinghua.edu.cn`