

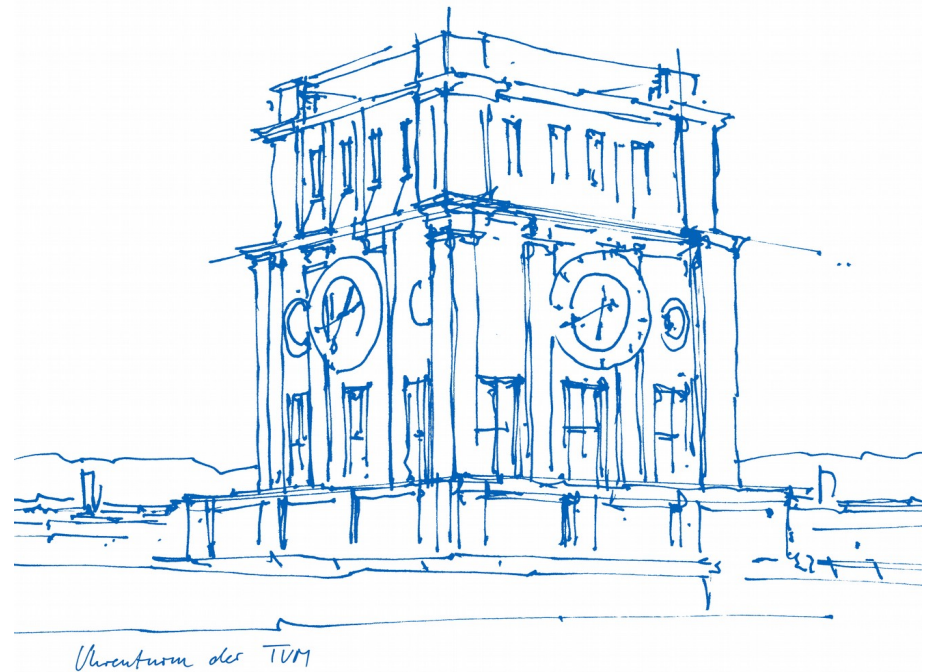
# Genetic Algorithm for the Boolean Satisfiability Problem

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# Boolean Satisfiability Problem [1,2]

SAT, or CNFSAT

**Input:**

Boolean formula in conjunctive normal form:

$$(x_1 \vee \neg x_2) \wedge (x_2 \vee x_3) \wedge (\neg x_1 \vee \neg x_3)$$

**Output:**

Are there assignments  $x_i \in \{True, False\}$  s.t the formula evaluates to *True*?

# Boolean Satisfiability Problem [1,2,3,4]

SAT, 3SAT and other variations are NP-Complete

- Verifiable in Polynomial time
- No polynomial time algorithm ( $P \neq NP$ )

But:

- SAT solvers become better
- SAT Competition (300-600 Problems to be solved in 5000 seconds)

Score	Total Solved	SAT Solved	UNSAT Verified	(UNSAT Claimed: proofFailed / dratFailed)	Solver Name
1857321.82182	231	135	96	0 / 6	MapleLCMDistChronoBT, default
1872489.47761	228	134	94	0 / 4	Maple_LCM_Scave1_fix2, default
1908304.62009	224	125	99	0 / 1	Maple_CM, default

SAT Competition 2018

Score	Total Solved	SAT Solved	UNSAT Solved	Solver Name
1610934.19936	208	102	106	Maple_LCM_Dist,default
1640696.51549	206	100	106	Maple_LCM,default
1654244.83466	204	96	108	MapleLRB_LCMoccRestart,default


SAT Competition 2017

# Genetic Algorithm For SAT

- Simplified setting – Find a solution to satisfiable problems only
- Gene := Assignments (*True* | *False*) for all variables
- Fitness := Number of satisfied clauses
- Solved := (Fitness == Number of clauses)

1. Local / Greedy search
2. Crossover (Top 50% individuals)
3. Selection (Best fitness)
4. Mutation (Flip value)

Crossover:

$[x_1, x_2, x_3, x_4, x_5, x_6]$   
 $[y_1, y_2, y_3, y_4, y_5, y_6]$    $[x_1, y_2, y_3, x_4, y_5, x_6]$

Local / Greedy search:

Flip the variable which leads to the highest increase in fitness

# Genetic Algorithm For SAT + Neural Network

1. Local / Greedy search

2. Crossover

3. Selection (Best fitness)

4. Mutation (Flip value)

Adapt individual fitness:

Multiply fitness by a factor sampled from a probability distribution parameterized by the network

1. Local / Greedy search

2. Crossover (Top 50% individuals)

3. Selection

4. Mutation (Flip value)

Adapt mutation rate:

Per individual

Per gene (variable)

1. Local / Greedy search

2. Crossover (Top 50% individuals)

3. Selection (Best fitness)

4. Mutation (Flip value)

# Neural Network Architecture

## Input Channels

$P$  := Population Size

$G$  := Number of variables

- Population / Solutions ( $P * G$ )
- Inverse Solutions (\*) ( $P * G$ )
- Variable participation in clauses ( $P * G$ )
- Variable participation in unsatisfied clauses ( $P * G$ )
- Individual Fitness ( $P$ )
- Number of clauses (1)
- Number of variables (1)
- Generations left (1)

# Neural Network Architecture

## Input representation

M := Number of clauses

G := Number of variables

$$(x_1 \vee \neg x_2) \wedge (x_2 \vee x_3) \wedge (\neg x_1 \vee \neg x_3)$$

To Numpy Array M X G

	$x_1$	$x_2$	$x_3$
1	1	-1	0
2	0	1	1
3	-1	0	-1

# Neural Network Architecture

## Evaluation

$$(x_1 \vee \neg x_2) \wedge (x_2 \vee x_3) \wedge (\neg x_1 \vee \neg x_3)$$

Multiply row-wise with inverted solution

	$x_1$	$x_2$	$x_3$
1	1	-1	0
2	0	1	1
3	-1	0	-1

$\times$

$\neg s_1$	$\neg s_2$	$\neg s_3$
1	-1	-1

$\Rightarrow$

Sum negative values in each row

1	1	0
0	-1	-1
-1	0	1

$\Rightarrow$

0	Clause #1 is <b>not satisfied</b>
-2	Clause #2 is satisfied
-1	Clause #3 is satisfied

Similarly, calculate participation in (unsatisfied) clauses



# Neural Network Architecture(s)

## Output

$P$  := Number of individuals

$G$  := Number of variables

### Crossover

Output  $2 * P$  parameters for a beta distribution

### Selection

Output  $2 * P$  parameters for a beta distribution

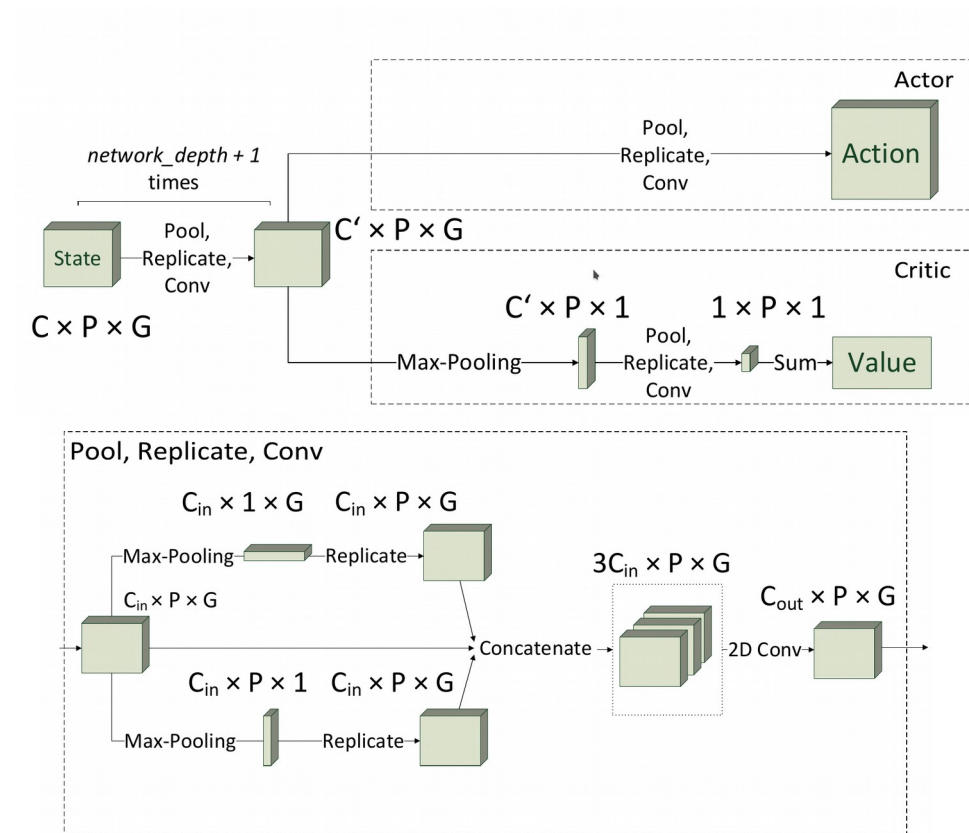
### Individual Mutation

Output  $2 * P$  parameters for a beta distribution

### Gene Mutation

Output  $2 * P * G$  parameters for a beta distribution

# Neural Network Architecture [5]



# Characteristics of the Architecture [5]

## Architectural properties:

- Permutation Invariant (in order of clauses, variables)
- No limit on input size (1\*1 conv + pooling)

## Additional Hardwired properties:

- *True* assignment (1) is not *more* than *False* (-1) - Inverse coding as additional input
- Solving a problem is the goal - Multiply reward with a factor on success (2.0)

## Reinforcement Learning properties / adaptations:

- Number of generations is not fixed - repeat steps to match batch size
- Failure bias - more generations if solution is not found
- Training is very slow for bad solvers – exhaust all generations for every problem

Average best fitness

# Results

1000 Generations  
100 Individuals

- **Average best fitness**
  - **1000 generations**
  - **100 individuals**
  - **Averaged across 100 different instances**

# Results

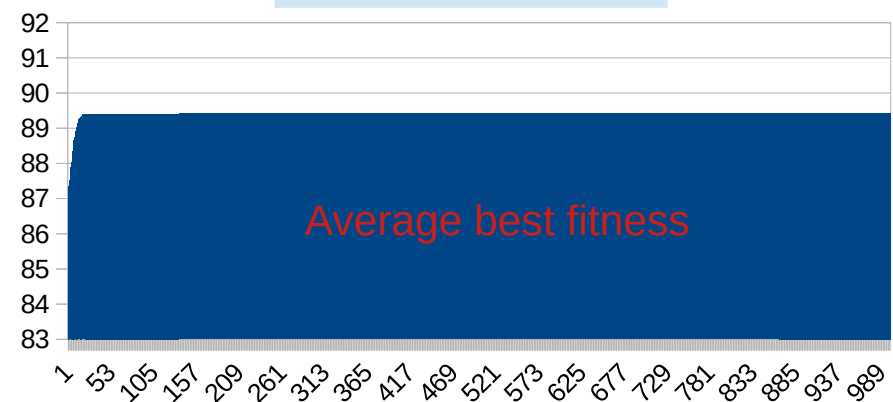
UF20-91 - 20 variables, 91 clauses

1000 Generations  
100 Individuals

Individual Mutation Rate



Gene Mutation Rate



Selection



Crossover



# Results

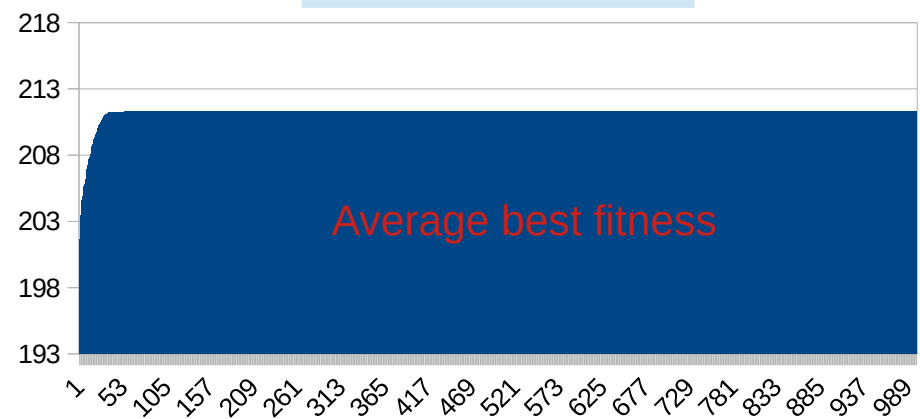
UF50-218 - 50 variables, 218 clauses

1000 Generations  
100 Individuals

Individual Mutation Rate



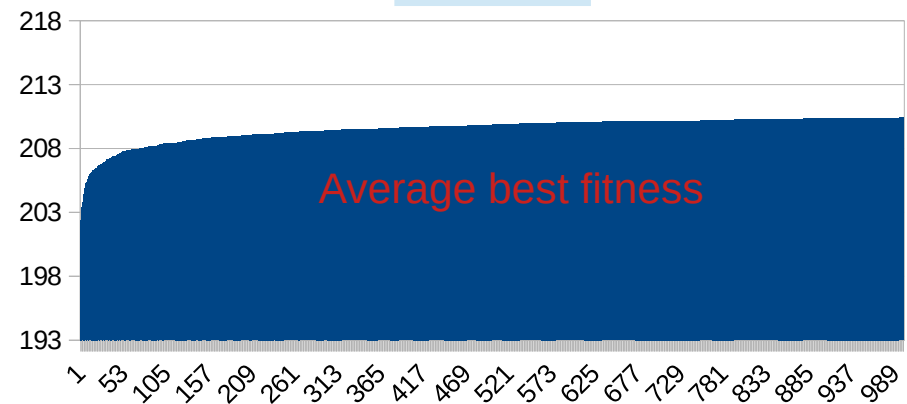
Gene Mutation Rate



Selection



Crossover

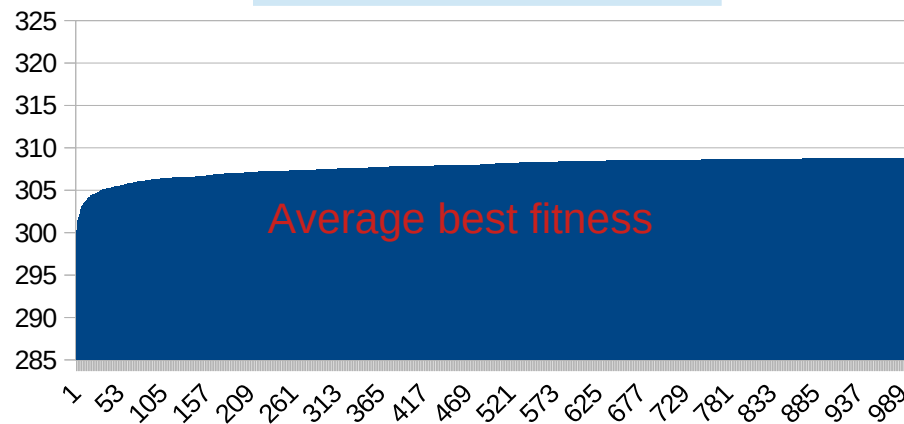


# Results

UF75-325 - 75 variables, 325 clauses

1000 Generations  
100 Individuals

Individual Mutation Rate



Gene Mutation Rate



Selection



Crossover



# Results

1000 Generations  
100 Individuals

## „Standard“ Genetic Algorithm

- Crossover
  - Take top 50% (fitness) and randomly cross pairs until doubling population size
- Selection
  - Take top 50% (fitness)
- Mutation
  - Fixed mutation rate – 0.05

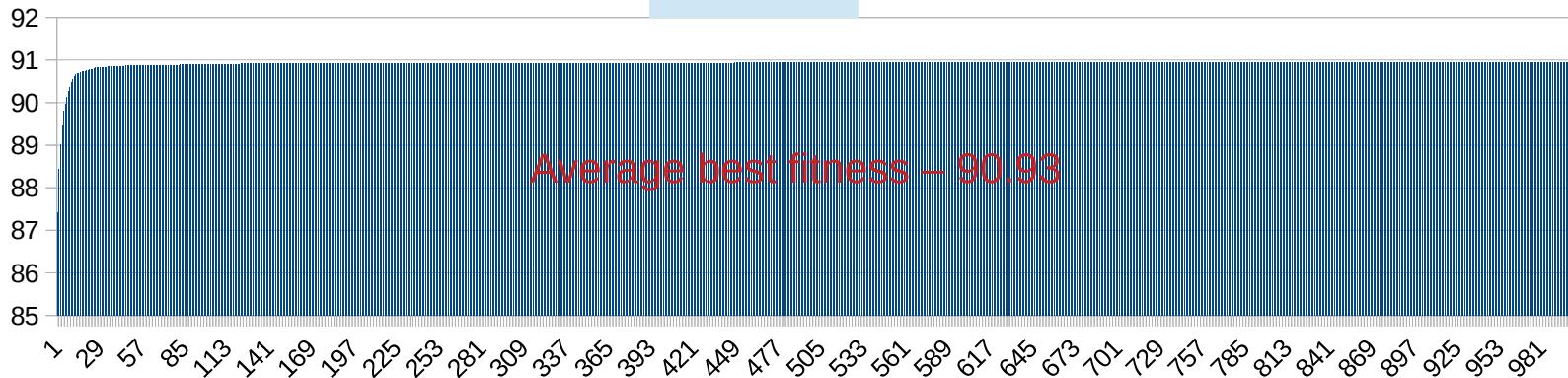


# Results

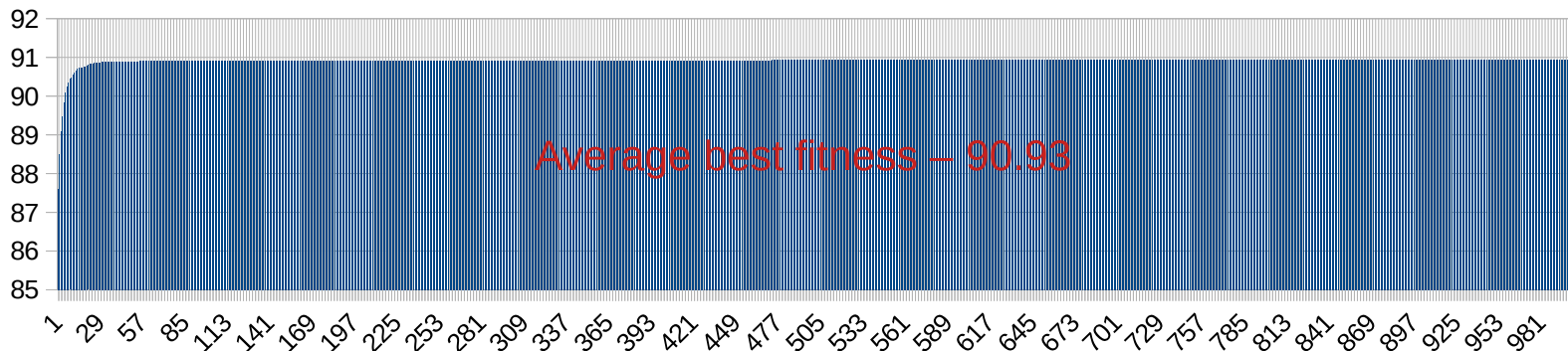
UF20-91 - 20 variables, 91 clauses

1000 Generations  
100 Individuals

Selection



Standard

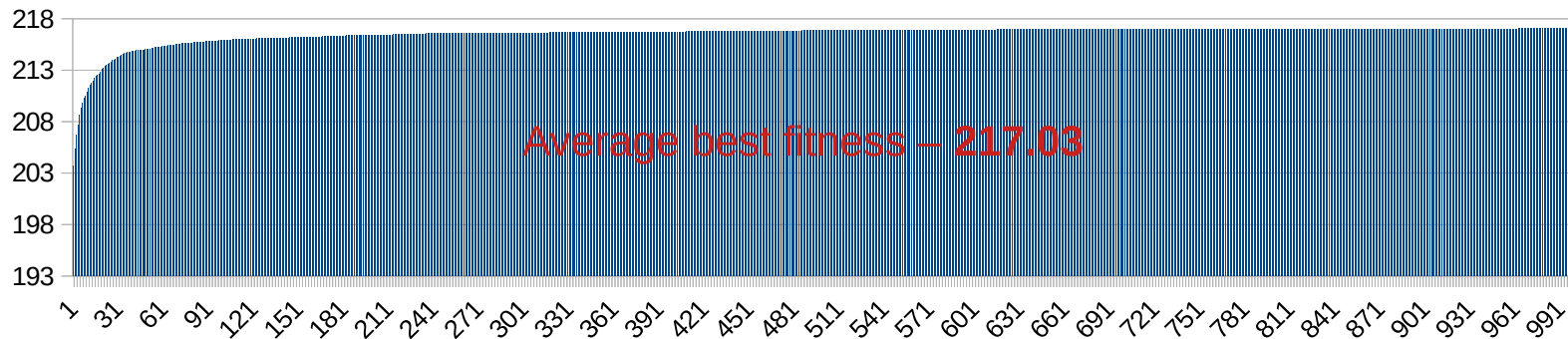


# Results

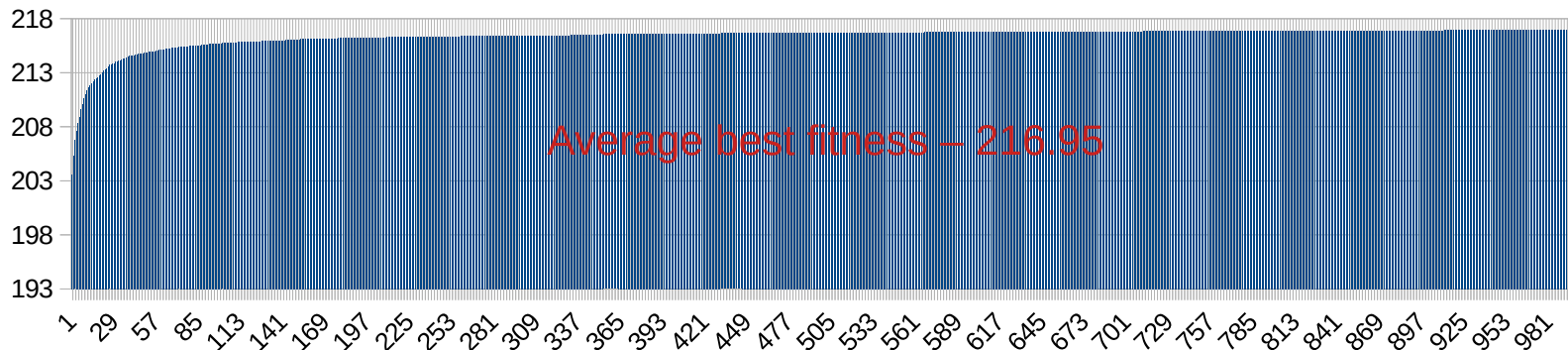
UF50-218 - 50 variables, 218 clauses

1000 Generations  
100 Individuals

## Selection



## Standard

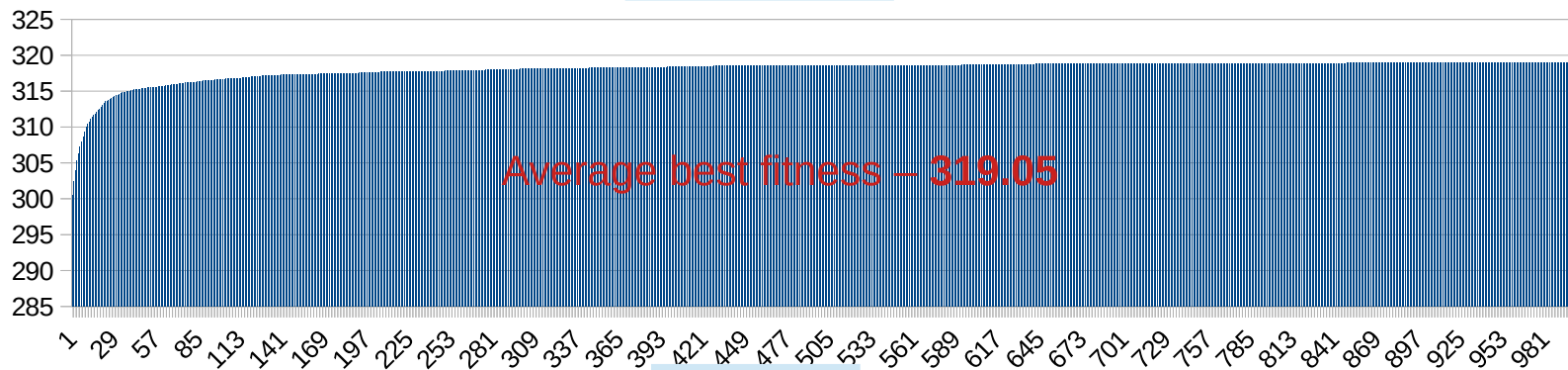


# Results

UF75-325 - 75 variables, 325 clauses

1000 Generations  
100 Individuals

## Selection



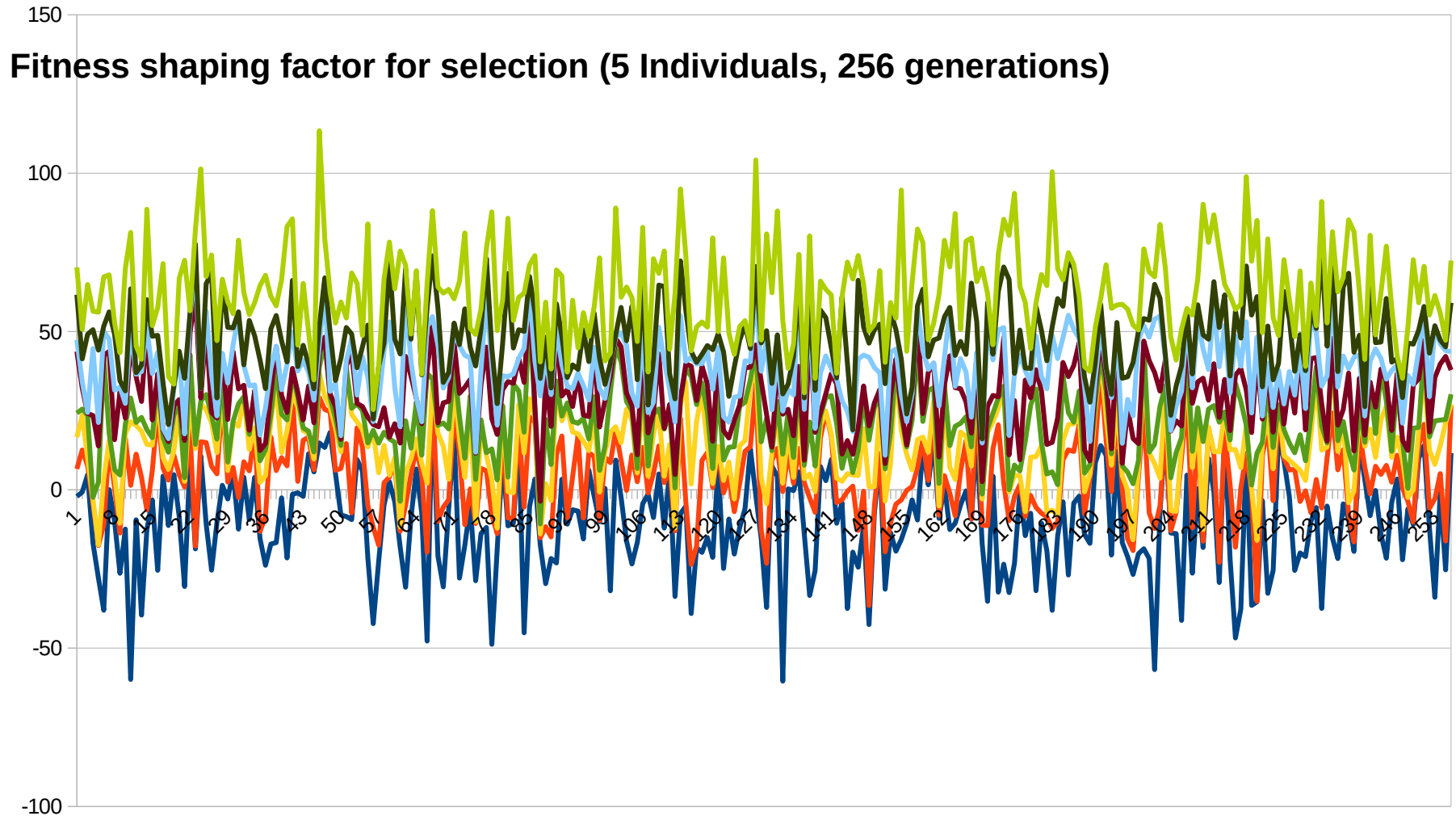
## Standard



# What did it learn?

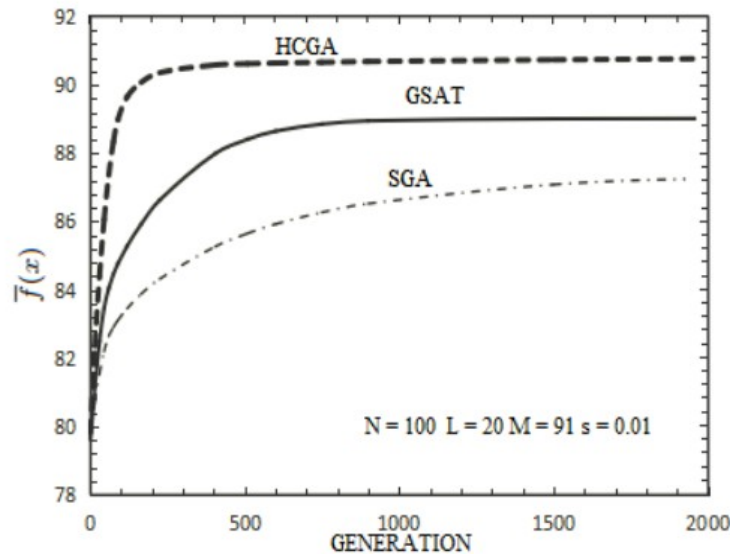
**Did the network just learn the standard algorithm?**

# What did it learn?



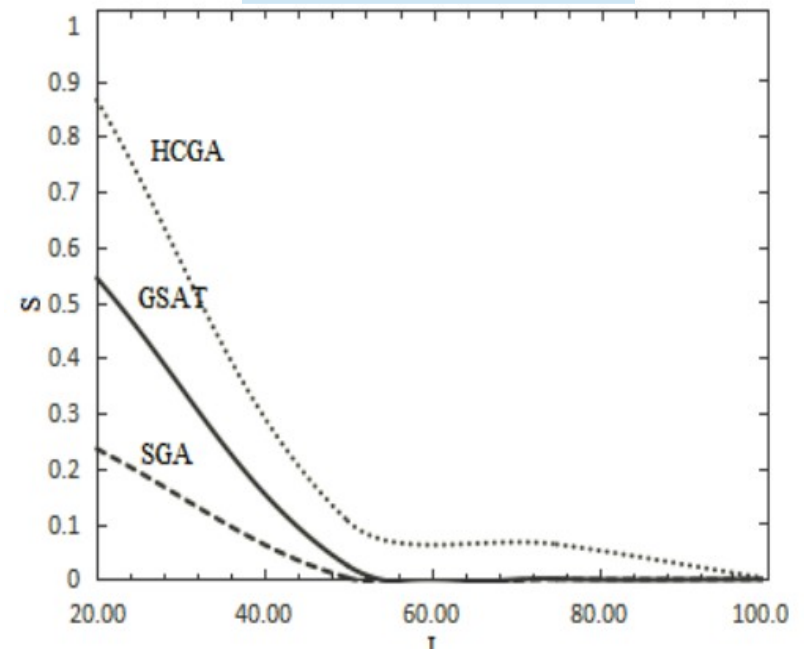
# Other Genetic Algorithms [8]

Average best fitness



HCGA - Adaptive crossover, Mutation rates  
 GSAT - Greedy  
 SGA - Standard genetic algorithm

Success probability  
(Time constrained)



HCGA:  
 Adapts mutation and crossover rates based on average and max fitness of the population

# Modern SAT Solvers [7]

## DPLL (Old):

- Boolean Constraint Propagation (BCP)
  - Maintain partial assignment
  - „Fix“ clauses where only one variable is missing
- Backtracking
  - Fix some unassigned variable
  - Solve subproblem recursively
  - Backtrack if no solution found
  - Kind of Tree Search

# Modern SAT Solvers [7]

## CDSS - Conflict Driven SAT Solving:

- Derive Conflict Clauses
  - New clauses added on conflicts
  - Redundant, but make BCP easier
- Backtracking
  - Analyze which decisions led to conflict
  - Undo decisions not relevant
- Idea
  - Search in area of recent conflicts
  - Save information gained in simple clauses



# Charcteristics of the Problem

- **Not Continous**
  - Change of one variable can influence any number of clauses
- **Hard**
  - There could be any number of solutions
  - „Close enough“ is not enough
- **Very Structured**
  - Strict, known relations between clauses and variables
  - But - Not trivial (NP Complete)

# What can we learn from state of the art solvers?

- **Perception**

- SAT solvers receive and operate on a full representation of the problem
- Our method can only see and analyze information from part of the problem (1\*1 filters) or see the maximum (max pooling) in the gene / population dimension. No good(\*) representation of the clauses found, has to understand the clauses implicitly

- **Learning**

- SAT solvers learn more about the specific problem and use the information to steer search
- Our method learns general approaches, „one size fits all“, doesn't learn specifics of one problem
- The architecture does not allow saving additional information

# What can we learn from state of the art solvers?

- **History**

- SAT solvers maintain history, allowing to revert to older branch if they reach a dead end
- Our method works with snapshots and some general information about the current progress (number of generations), ignoring valuable information

- **Action space**

- SAT solvers can directly manipulate the solution
- Our method can only influence one step of a multi-step algorithm, in an indirect way - parameterizing a probability distribution.

# Future Work

- **Perception**

- Include exact information about clauses
  - Represent Clause-Variable-Clause connections
  - Full representation (each clause is a set of variables)
  - Seems like the best way to improve performance

- **History**

- LSTM?
- History as a channel, possibly fitness (network could learn to increase mutation rate if no changes in long time, etc.)

- **Action Space**

- Control multiple steps
  - Multiple networks
  - Or adapt PPO (output all actions, use one at a time, adapt training)
- Remove one „indirection“ layer - manipulate population directly
- Operator selection

# Not Done and Lessons Learned

- **Unit-Tests**

- Measured fitness of the first individual instead of the best individual because sorting was not done
- Most of the time, inverse solutions were found, wrong sign in the evaluation code

- **Multiple Actions as output**

- Proximal policy optimization „designed“ for one distribution
- Actions modify the population, need to run new population through network again and make sure to back-propagate for the correct action at each step

# Sources

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