

# Convolutional neural network

2024年9月12日 22:23

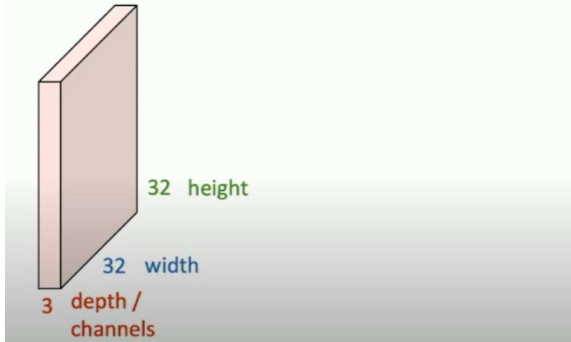
$$\underbrace{32 \times 32 \times 3}_{1024} \rightarrow 3072 \times 1 \quad \text{fully connected}$$

3 Components: Conv layers, Pooling, Normalization

## 1 Convolution Layers

1)

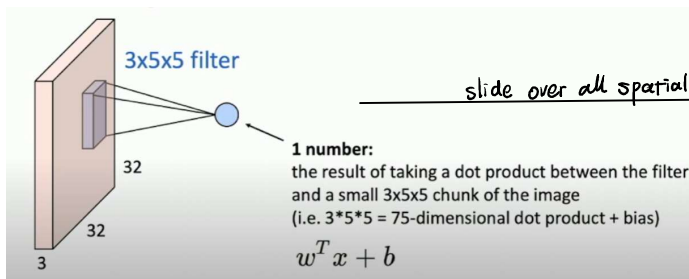
1°  $3 \times 32 \times 32$  image: preserve spatial structure



$3 \times 5 \times 5$  filter

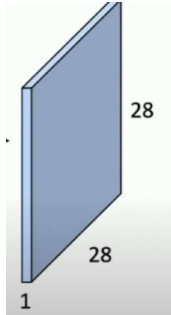


Convolve the filter with the image  
i.e. "slide over the image spatially,  
computing dot products"



$3 \times 32 \times 32$

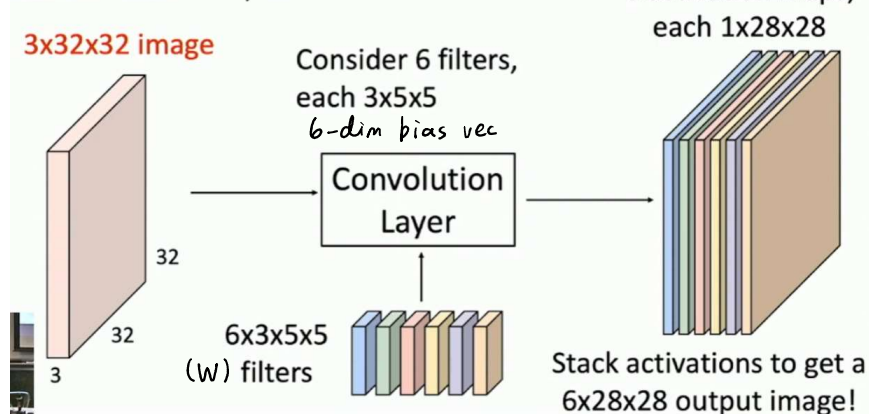
activation map



$1 \times 28 \times 28$

2° 可以有更多 filters, 提取不同特征  $\rightarrow$  act- maps, stack them together.

## Convolution Layer

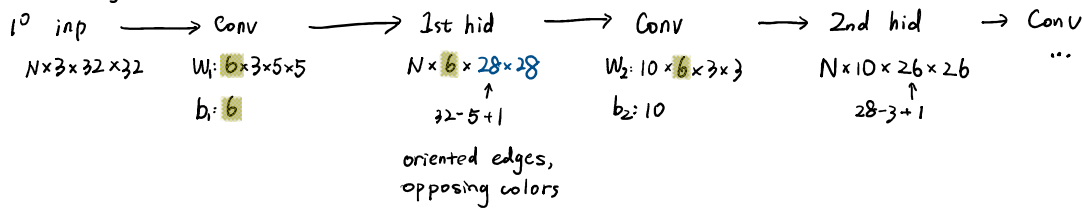


3° Batch of img  $2 \times 3 \times 32 \times 32 \xrightarrow{6 \times 3 \times 5 \times 5 \text{ filters}} 2 \times 6 \times 28 \times 28$  Batch of outp

$$N \times C_{in} \times H \times W \quad C_{out} \times C_{in} \times K_w \times K_h \quad N \times C_{out} \times H' \times W'$$

3° Batch of img  $2 \times 3 \times 32 \times 32$   $\xrightarrow{6 \times 7 \times 5 \times 5 \text{ filters}}$   $2 \times 6 \times 28 \times 28$  Batch of outp  
 $N \times C_{in} \times H \times W$   $C_{out} \times C_{in} \times K_w \times K_h$   $N \times C_{out} \times H' \times W'$   
 inp channels  $\uparrow$   $\uparrow$  maybe dif

## 2) Stacking Convolutions



Stacking 2 conv  $\rightarrow$  another conv  $y = W_2 W_1 x$  linear classifier

## 2° Size

- ① In:  $W$   $7 \times 7$   
 Fil:  $K$   $3 \times 3$   
 Out:  $W-K+1$   $5 \times 5$

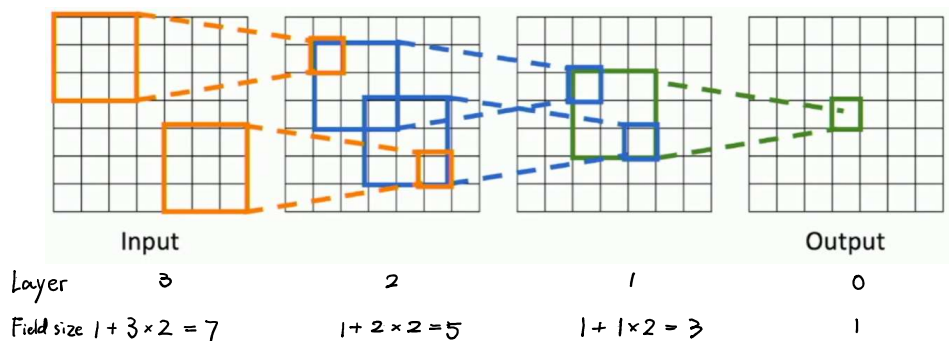
Feature maps shrink, lim #layers, sol:

## ② Padding, +0s around the input

- In:  $W$   
 Fil:  $K$   
 Pad:  $P$   
 Out:  $W-K+1+2P$

(common:  $P = (K-1)/2$  to make size in = out)

## 3° Receptive Fields



$L$  Layer receptive field size =  $1 + L \times (K-1)$   
 $\uparrow$  fil size

e.g. input  $1000 \times 1000$ ,  $K=3$ ,  $L=?$

$$1000 = 1 + L \times (3-1)$$

$$L = 999 \div 2 = 499.5$$

Large imgs need many layers for each outps to "see" the whole img, sol:  
 (global context)

## 4° Downsample inside the network

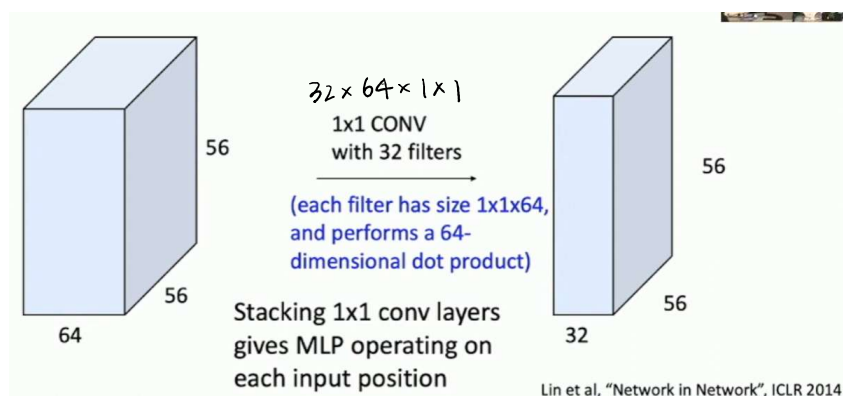
Controlling the stride: indirectly downsampling  $\because$  fewer data pt are being processed.

### ① Strided conv:

In:  $W$   $3 \times 32 \times 32$   
 Fil:  $K$   $10 \times 5 \times 5$

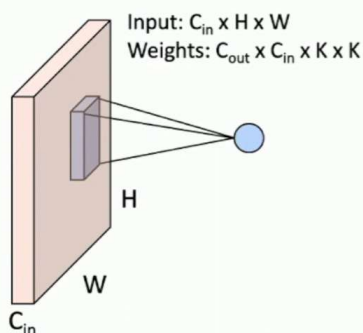
$\text{In } W$   
 $\text{Fil } K$   
 $\text{Pad } P$   
 $\text{Str } S$   
 $\text{Out } (W - K + 2P) / S + 1$   
 $\# \text{ learnable para} \left\{ \begin{array}{l} \textcircled{1} \text{ para/fil} \\ \textcircled{2} \times \# \text{fil} \end{array} \right.$   
 $\# \text{ multiply-add Oper} \left\{ \begin{array}{l} \# \text{ outs} \\ \text{inner product/out} \\ \text{total} \end{array} \right.$

$3 \times 32 \times 32$   
 $10 \times 5 \times 5$   
 $2$   
 $1$   
 $(32 - 5 + 2 \times 2) / 1 + 1 = 32$   
 $10 \times 32 \times 32$   
 $3 \times 5 \times 5 + 1 = 76$   
 $\uparrow$   
 $\text{bias}$   
 $76 \times 10 = 760$   
 $10 \times 32 \times 32 = 10240$   
 $3 \times 5 \times 5 = 75$   
 $75 \times 10240 = 768 \text{ K}$

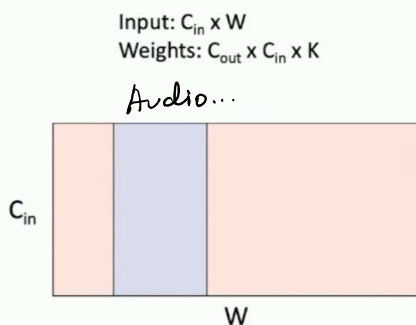


5° Other conv

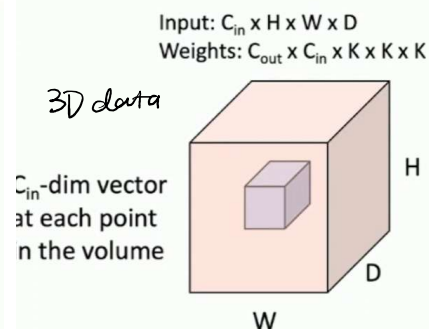
So far: 2D Convolution



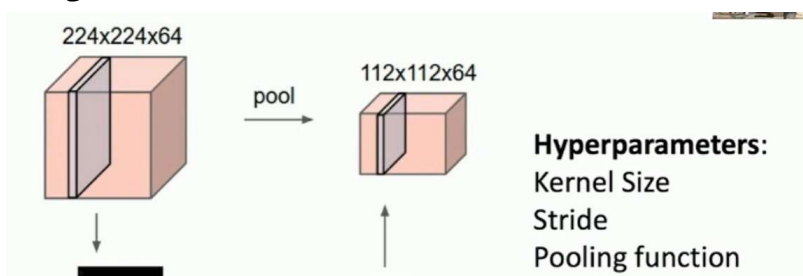
1D Convolution

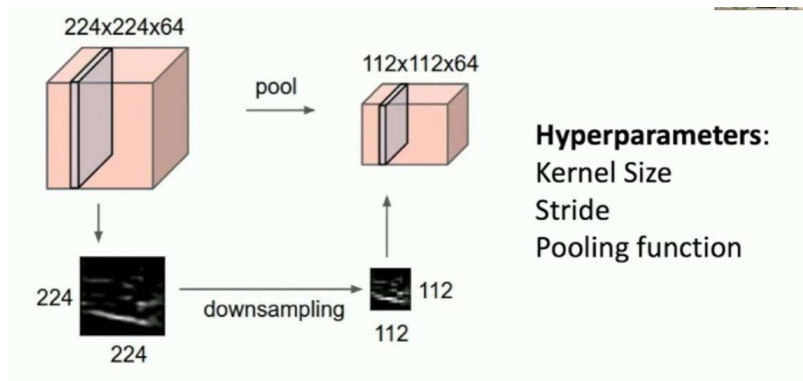


3D Convolution

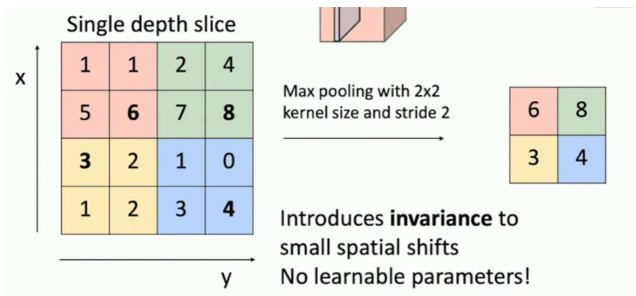


2 Pooling Layer: downsample  $\frac{1}{2}$  -  $\frac{1}{4}$





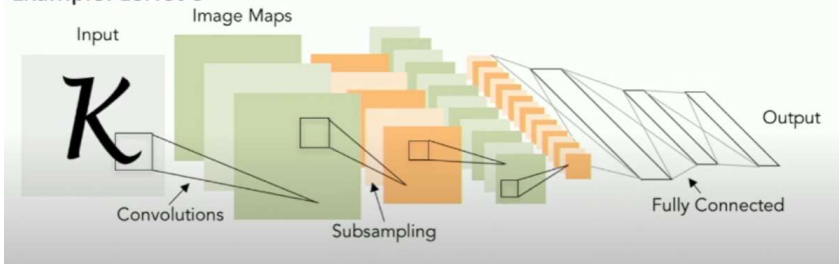
1° Max Pooling



### 3 Convolutional Networks

Classic architecture: [Conv, ReLU, Pool] x N, flatten, [FC, ReLU] x N, FC

Example: LeNet-5



Layer	Output Size	Weight Size
Input	1 x 28 x 28	
Conv ( $C_{out}=20, K=5, P=2, S=1$ )	20 x 28 x 28	20 x 1 x 5 x 5
ReLU	20 x 28 x 28	
MaxPool( $K=2, S=2$ )	20 x 14 x 14	
Conv ( $C_{out}=50, K=5, P=2, S=1$ )	50 x 14 x 14	50 x 20 x 5 x 5
ReLU	50 x 14 x 14	
MaxPool( $K=2, S=2$ )	50 x 7 x 7	
Flatten	2450	
Linear (2450 -> 500)	500	2450 x 500
ReLU	500	

spatial size ↓ (pl & strided)

# channels ↑ (total volume is preserved)

ReLU 不一定需要.

Deep NN: hard to train (converge), sol:

### 4 Normalization

1) Batch Norm in fully-connected

1° Idea: "Normalize" the outputs of a layer so they have zero mean and unit variance

Why? Helps reduce "internal covariate shift", improves optimization

We can normalize a batch of activations like this:

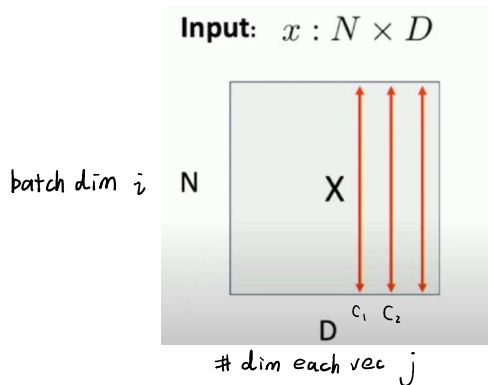
Why? Helps reduce "internal covariate shift", improves optimization

We can normalize a batch of activations like this:

$$\hat{x}^{(k)} = \frac{x^{(k)} - E[x^{(k)}]}{\sqrt{\text{Var}[x^{(k)}]}}$$

This is a **differentiable function**, so we can use it as an operator in our networks and backprop through it!

convert inp  $\rightarrow$  more standardized dist



per channel mean, shape  $D$   $\mu_j = \frac{1}{N} \sum_{i=1}^N x_{i,j}$  ①

~ std, shape  $D$   $\sigma_j^2 = \frac{1}{N} \sum_{i=1}^N (x_{i,j} - \mu_j)^2$  ②

Normalized  $X$ ,  $N \times D$   $\hat{x}_{i,j} = \frac{x_{i,j} - \mu_j}{\sqrt{\sigma_j^2 + \epsilon}}$  ③

What if  $\mu = 0$ , unit vec: too hard of a constrained, sol:

2° + Learnable scale & shift para:  $\gamma, \beta; D$

Learning  $\gamma = \sigma$ ,  $\beta = \mu$ , recover identity func.

① ② ③

$y_{i,j} = \gamma_j \hat{x}_{i,j} + \beta_j$  ④  $N \times D$

① ~ ③ Estimated depend on minibatch; x do this at test-time! sol:

3° Test-Time use  $\mu_j, \sigma_j^2$  got from training

Batch Normalization: Test-Time

Input:  $x : N \times D$

Learnable scale and shift parameters:

$\gamma, \beta : D$

Learning  $\gamma = \sigma$ ,  $\beta = \mu$  will recover the identity function!

$\mu_j =$  (Running) average of values seen during training

Per-channel mean, shape is  $D$

$\sigma_j^2 =$  (Running) average of values seen during training

Per-channel std, shape is  $D$

$\hat{x}_{i,j} = \frac{x_{i,j} - \mu_j}{\sqrt{\sigma_j^2 + \epsilon}}$  Normalized  $x$ , Shape is  $N \times D$

$y_{i,j} = \gamma_j \hat{x}_{i,j} + \beta_j$  Output, Shape is  $N \times D$

During testing batchnorm becomes a linear operator! Can be fused with the previous fully-connected or conv layer

v. 融合.

2) Batch N~ in Conv

Batch Normalization for  
**fully-connected** networks

$$\mathbf{x}: \mathbf{N} \times \mathbf{D}$$

Normalize

$$\mu, \sigma: 1 \times \mathbf{D}$$

$$\gamma, \beta: 1 \times \mathbf{D}$$

$$\mathbf{y} = \gamma(\mathbf{x} - \mu) / \sigma + \beta$$

only on batch

Batch Normalization for  
**convolutional** networks  
(Spatial Batchnorm, BatchNorm2D)

$$\mathbf{x}: \mathbf{N} \times \mathbf{C} \times \mathbf{H} \times \mathbf{W}$$

Normalize

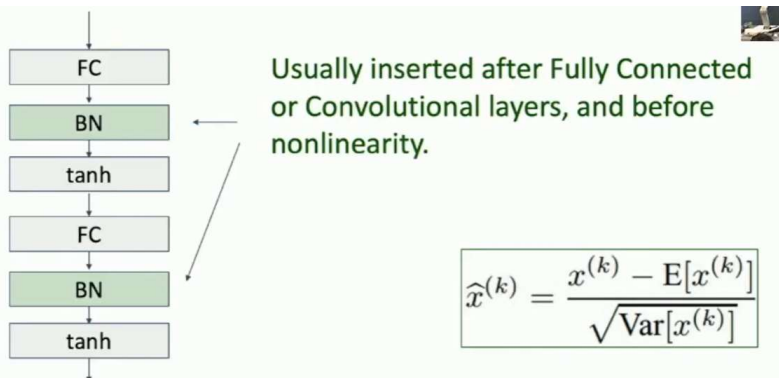
$$\mu, \sigma: 1 \times \mathbf{C} \times 1 \times 1$$

$$\gamma, \beta: 1 \times \mathbf{C} \times 1 \times 1$$

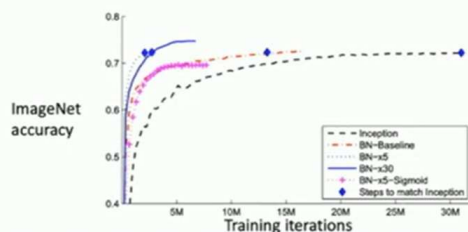
$$\mathbf{y} = \gamma(\mathbf{x} - \mu) / \sigma + \beta$$

on batch, spatial dims

3)



- ⊕ - Makes deep networks **much easier to train!**
- Allows higher **learning rates, faster convergence**
- Networks become more robust to **initialization**
- Acts as regularization during training
- Zero overhead at test-time: can be fused with conv!



- ⊖ - **Not well-understood theoretically (yet)**
- **Behaves differently during training and testing: this is a very common source of bugs!**

4) Others Norm

