



香港中文大學(深圳)

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# **Introduction to Computer Science: Programming Methodology**

## **Lecture 5 List**

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# List is kind of a collection

- A collection allows us to put **many values** in a **single** “variable”
- A collection is nice because we can carry all many variables around in one convenient package



# What is not a collection

- Most of our variables have only **one value** in them – when we put a new value in the variable, the old value will be **over-written**



```
>>> x=2
>>> x=4
>>> print(x)
4
```

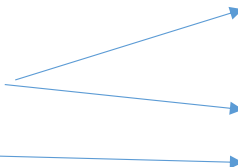
# List constants

- **List constants** are surrounded by square brackets and the elements in the list are separated by commas
- A list element can be any Python object – even **another list**
- A list can be **empty**

```
>>> print([1, 24, 76])
[1, 24, 76]
>>> print(['red', 'yellow', 'blue'])
['red', 'yellow', 'blue']
>>> print(['red', 24, 98.6])
['red', 24, 98.6]
>>> print(1, [5, 6], 7)
1 [5, 6] 7
>>> print([])
[]
```

# List and definite loop - best pal

```
friends = ['Tom', 'Jerry', 'Bat']  
for friend in friends:  
    print('Happy new year', friend)  
print('Done')
```



Happy new year Tom  
Happy new year Jerry  
Happy new year Bat  
Done

# Looking inside lists

- Just like strings, we can access any **single element** in a list using an **index** specified in square bracket

列表可索引

|        |       |       |
|--------|-------|-------|
| Joseph | Glenn | Sally |
| 0      | 1     | 2     |

```
>>> friends = ['Joseph', 'Glenn', 'Sally']  
>>> print(friends[1])  
Glenn
```

# Lists are mutable 可更改的

- Strings are “immutable” – we **cannot** change the contents of a string unless we make a **new string**
- Lists are “mutable” – we can **change** an element of a list using **index** operator

```
>>> fruit = 'Banana'
>>> fruit[0] = 'b'
Traceback (most recent call last):
  File "<pyshell#3>", line 1, in <module>
    fruit[0] = 'b'
TypeError: 'str' object does not support item assignment
>>>
>>> x=fruit.lower()
>>> print(x)
banana
>>>
>>> lotto = [2, 14, 26, 41, 63]
>>> print(lotto)
[2, 14, 26, 41, 63]
>>> lotto[2]=28
>>> print(lotto)
[2, 14, 28, 41, 63]
```

*list [location] = new value.*

# How long is a list? `len()`

- The `len()` function takes a **list** as input and returns the **number of elements** in that list

```
>>> greet = 'Hello Bob'
>>> print(len(greet))
9
>>> x=[1, 2, 'joe', 99]
>>> print(len(x))
4
```

- Actually `len()` tells us the number of elements in **any sequence** (e.g. strings)



# Range() function

- The `range()` function returns a list of numbers
- We can construct an `index loop` using `for` and an integer iterator

左闭右开.

```
>>> x=range(4)
```

```
>>> x
```

```
range(0, 4)
```

```
>>> x[0]
```

```
0
```

```
>>> x[1]
```

```
1
```

```
>>> x[2]
```

```
2
```

```
>>> x[3]
```

```
3
```

2, 4, 6, 8.

```
>>> x=range(2, 10, 2)
```

```
>>> x[0]
```

```
2
```

```
>>> x[3]
```

```
8
```

```
>>> x[4]
```

```
Traceback (most recent call last):
```

```
File "<pyshell#31>", line 1, in <module>
```

```
    x[4]
```

```
IndexError: range object index out of range
```

# A tale of two loops

★ for 循环 / 用 index operator

Example

```
friends = ['Tom', 'Jerry', 'Bat']
```

用(

```
for friend in friends:  
    print('Happy new year,', friend)
```

```
for i in range(len(friends)):  
    friend = friends[i]  
    print('Happy new year,', friend)
```

3  
0, 1, 2

Output

```
Happy new year, Tom  
Happy new year, Jerry  
Happy new year, Bat  
Happy new year, Tom  
Happy new year, Jerry  
Happy new year, Bat  
>>> |
```

连接.

# Concatenating lists using +

- Similar to strings, we can **add** two existing lists together to create a **new list**

与 string 操作  
类似

```
>>> a=[1, 2, 3]
>>> b=[4, 5, 6]
>>> c=a+b
>>> print(c)
[1, 2, 3, 4, 5, 6]
>>> print(a)
[1, 2, 3]
```

# Lists can be sliced using :

- Remember: similar to strings, the second number is “up to but not including”

list [a:b]

```
>>> t=[9, 41, 12, 3, 74, 15]
>>> t[1:3]
[41, 12]
>>> t[:4]
[9, 41, 12, 3]
>>> t[3:]
[3, 74, 15]
>>> t[:]
[9, 41, 12, 3, 74, 15]
```

# List methods

```
>>> x=list()
>>> type(x)
<class 'list'>
>>> dir(x)
['__add__', '__class__', '__contains__', '__delattr__', '__delitem__', '__dir__',
 '__doc__', '__eq__', '__format__', '__ge__', '__getattribute__', '__getitem__',
 '__gt__', '__hash__', '__iadd__', '__imul__', '__init__', '__iter__', '__le__',
 '__len__', '__lt__', '__mul__', '__ne__', '__new__', '__reduce__', '__reduce_e
x__', '__repr__', '__reversed__', '__rmul__', '__setattr__', '__setitem__', '__s
izeof__', '__str__', '__subclasshook__', 'append', 'clear', 'copy', 'count', 'ex
tend', 'index', 'insert', 'pop', 'remove', 'reverse', 'sort']
```

<https://docs.python.org/3/tutorial/datastructures.html#more-on-lists>

•

# Building a list from scratch

- We can **create** an empty list using `list()`, and then **add elements** using `append()` method  
空集 → 添加元素

- The list stays **in order**, and new elements are added at the **end** of the list



```
>>> stuff = list()
>>> stuff.append('book')
>>> stuff.append(99)
>>> print(stuff)
['book', 99]
>>> stuff.append('cookie')
>>> print(stuff)
['book', 99, 'cookie']
```

# Is something in a list

- Python provides two **operators** to check whether an item is in a list

```
>>> some = [1, 9, 21, 10, 16]
>>> 9 in some
True
>>> 15 in some
False
>>> 20 not in some
True
```

- These are logical operators that return True or False

- They do not modify the list 不会修改.

# A list is an ordered sequence

- A list can hold many items and keeps them in the order (until we do something to change the order)

- A list can be sorted (i.e. change the order)

- The sort() method means "sort yourself"

```
>>> friend = ['Tom', 'Jerry', 'Bat']
>>> friends.sort()
>>> print(friends)
['Bat', 'Jerry', 'Tom']
>>> print(friends[1])
Jerry
>>>
>>> numbers = [1, 2, 5, 100, 32, 7, 97, 1001]
>>> numbers.sort()
>>> print(numbers)
[1, 2, 5, 7, 32, 97, 100, 1001]
```

順序排列



# Built-in functions and lists

- There are a number of **functions** built into Python that take lists **as inputs**
- Remember the loops we built? These are much simpler

```
>>> numbers = [3, 41, 12, 9, 74, 15]
>>> print(len(numbers))
6
>>> print(max(numbers))
74
>>> print(min(numbers))
3
>>> print(sum(numbers))
154
>>> print(sum(numbers)/len(numbers))
25.666666666666668
```

# Averaging with a list

```
total = 0
count = 0
while True:
    inp = input('Enter a number:')
    if inp == 'done': break
    value = float(inp)
    total = total + value
    count = count + 1

average = total/count
print('The average is:', average)
```

## Practice

```
print ( sum(list) / len(list) ).
```

- Write a program to instruct the user to input several numbers and calculate their average using list methods

```
list = []  
while True:  
    inp = input("enter a number:")  
    try:  
        float(inp)  
        list.append(float(inp))  
    except:
```

if inp == "done":

# Best friends: strings and lists

else: print("reconsider; p enter a num")

- Use the `split()` method to break up a string into a

list of strings

`print(sum(list)/len(list))`

- We think of these as

words

- We can access a particular word or loop through all the words

```
>>> myStr = 'Catch me if you can'
>>> words = myStr.split()
>>> print(words)
['Catch', 'me', 'if', 'you', 'can']
>>> print(len(words))
5
>>> print(words[0])
Catch
>>> for w in words: print(w)

Catch
me
if
you
can
```

# 不可指定空格→多空格当作一个来看待.

- When you do not specify a **delimiter**, **multiple spaces** are treated like "one" delimiter

```
>>> line = 'A lot of spaces'
>>> etc = line.split()
>>> print(etc)
['A', 'lot', 'of', 'spaces']
```



- You can specify **what delimiter character** to use in splitting

```
>>> line = 'first;second;third'
>>> thing = line.split()
>>> print(thing)
['first;second;third']
>>> len(thing)
```

仍为一个字符串.

## 可用指定分隔符 分开.



```
1
>>>
>>> thing = line.split(';')
>>> print(thing)
['first', 'second', 'third']
>>> print(len(thing))
3
```

# Practice

- The header of an email takes the following format:

a= From/professor.xman@uct.edu/Sat/Jan/5/09:14:16/2008

查找域和发送月份

For a given email header, write a program to find out the domain of email address, and the month in which this email is sent

⇒ b = a.split('')

# The double split pattern

- Sometimes we split a line one way, and then grab one piece of the line and split it again

From professor.xman@uct.edu Sat Jan 5 09:14:16 2008

```
words = header.split()  
address = words[1].split('@')
```

['professor.xman', 'uct.edu']

# A story of two collections

保持顺序的值的

- **List**: a linear collection of values that stay in order

线性集合

- **Dictionary**: a “bag” of values, each with its own label





# Dictionary



| List |       |  |
|------|-------|--|
| Key  | Value |  |
| [0]  | 21    |  |
| [1]  | 183   |  |

| Dictionary |       |     |
|------------|-------|-----|
| Key        | Value |     |
| [course]   | 183   | ddd |
| [age]      | 21    |     |

[https://en.wikipedia.org/wiki/Associative\\_array](https://en.wikipedia.org/wiki/Associative_array)

# Dictionary 字典.

- Dictionaries are Python's most powerful data collection
- Dictionaries allow us to do fast database-like operations in Python
- Dictionaries have different names in different languages
- Associative arrays – Perl/PHP
- Properties or Map or HashMap – Java
- Property Bag – C#/.Net

、可映射型

# Dictionary

key: 不可变类型  
value: 可变类型

- Lists **index** their entries based on the position in the list
- **Dictionaries** are like bags – no order
- We **index** the elements we put in the dictionary with a “lookup tag”

create an empty dictionary.

or purse = { }

```
>>> purse = dict()
>>> purse['money'] = 12
>>> purse['candy'] = 3
>>> purse['tissues'] = 75
>>> print(purse)
{'money': 12, 'tissues': 75, 'candy': 3}
>>> print(purse['candy'])
3
+2
>>> purse['candy'] = purse['candy'] + 2
>>> print(purse)
{'money': 12, 'tissues': 75, 'candy': 5}
>>> purse[3] = 77
>>> print(purse)
{3: 77, 'money': 12, 'tissues': 75, 'candy': 5}
```

# Dictionary

```
>>> purse = dict()
>>> purse['money'] = 12
>>> purse['candy'] = 3
>>> purse['tissues'] = 75
>>> print(purse)
{'money': 12, 'tissues': 75, 'candy': 3}
>>> print(purse['candy'])
3
>>> purse['candy'] = purse['candy'] + 2
>>> print(purse)
{'money': 12, 'tissues': 75, 'candy': 5}
```



Mutable:

both mutable

## List v.s. dictionary

不用索引, 用 keys.

- Dictionaries are similar to lists, except that they use keys instead of numbers to look up values

```
>>> lst = list()
>>> lst.append(21)
>>> lst.append(183)
>>> print(lst)
[21, 183]
>>> lst[0] = 23
>>> print(lst)
[23, 183]
```

```
>>> ddd = dict()
>>> ddd['age'] = 21
>>> ddd['course'] = 182
>>> print(ddd)
{'age': 21, 'course': 182}
>>> ddd['age'] = 23
>>> print(ddd)
{'age': 23, 'course': 182}
```

# Dictionary literals (constants)

大丁丁.

- Dictionary literals use curly braces and have list of key:value pairs
- You can make an empty dictionary using empty curly braces

```
>>> jjj = {'chuck':1, 'fred':42, 'jan':100}
>>> print(jjj)
{'fred': 42, 'chuck': 1, 'jan': 100}
>>> ooo={}
>>> print(ooo)
{}

```

# Most common names



A word cloud on a black background featuring the names 'zhen', 'marquard', 'cwen', and 'csev' in a light blue font. The names are scattered across the frame, with 'zhen' appearing most frequently (6 times), followed by 'marquard' (3 times), 'cwen' (2 times), and 'csev' (2 times).

zhen marquard cwen csev  
zhen marquard zhen csev  
csev marquard marquard csev cwen  
zhen zhen

# Counting with a dictionary

- A common use of dictionary is counting how often we “see” something

```
>>> ccc=dict()  
>>> ccc['csev']=1  
>>> ccc['cwen']=1  
>>> print(ccc)  
{'csev': 1, 'cwen': 1}  
>>> ccc['cwen']=ccc['cwen']+1  
>>> print(ccc['cwen'])  
2
```



# Dictionary tracebacks

- It is an error to reference a key which is not in the dictionary
- We can use the in operator to see if a key is in the dictionary

```
>>> ccc=dict()
>>> print(ccc['csev'])
Traceback (most recent call last):
  File "<pyshell#46>", line 1, in <module>
    print(ccc['csev'])
KeyError: 'csev'
>>> 'csev' in ccc
False
```

## Practice



- Write a program to instruct the user to continuously input some words, and use dictionary to count how many times a word has been inputted before.

# The get() method

- This pattern of checking to see if a key is already in a dictionary, and assuming a default value if the key is not there is so common, that there is a method called `get()` that does this for us

```
>>> counts = {'aaa':1, 'bbb':2, 'ccc':5}
>>> print(counts.get('eee', 0))
```

0

(counts.get('aaa', GOOD))  
dict.get(key, default)

键不存在时要返回

默认值

Python 入门教程.

## Practice

- Write a program to instruct the user to input a line of texts, and use dictionary to count how many times a word has been seen in this line. You should use the `get()` method in this program.

split → list [ ].

字典.

print(counts.get('...', None))

# Definite loops and dictionaries

- Even though dictionaries are **not stored in order**, we can write a **for loop** that **goes through** all elements in a dictionary – actually it goes through **all the keys** in that dictionary and looks up the values

```
counts = {'chuck':1, 'fred':42, 'jan':100}
```

```
for key in counts:  
    print(key, counts[key])
```

```
jan 100  
fred 42  
chuck 1
```

for a in counts:  
 print(a, counts[a]).

↓  
与名称无关的指向字典  
中的钥匙。

# Retrieving lists of keys and values

- You can get a list of keys, values or items (both) from a dictionary

```
>>> jjj = {'chuck':1, 'fred':42, 'jan':100}
```

```
>>> print(list(jjj))
```

```
['jan', 'fred', 'chuck']
```

dict → list

★ 直接打印list, 只有key, 无value.

```
>>> print(list(jjj.keys()))
```

```
['jan', 'fred', 'chuck']
```

print(list(dic.values()),)

```
>>> print(list(jjj.values()))
```

```
[100, 42, 1]
```

keys()

```
>>> print(list(jjj.items()))
```

```
[('jan', 100), ('fred', 42), ('chuck', 1)]
```

items()

两个迭代变量.

## Bonus: two iteration variables

- We loop through the **key-value** pairs in a dictionary using **two** iteration variables

```
counts = {'chuck':1, 'fred':42, 'jan':100}
for key, value in counts.items():
    print(key, value)
```

```
chuck 1
fred 42
jan 100
```

- Each iteration, the first variable is the **key**, and the second variable is the **corresponding value** for the key

# Tuples 元组 .

- Tuples are another type of sequence that function more like a list –  
they have elements which are indexed starting from 0

从0开始  
索引.

```
>>> x=('Glenn','Sally','Joseph')
>>> print(x)
('Glenn', 'Sally', 'Joseph')
>>> y=(1,9,2)
>>> print(y)
(1, 9, 2)
>>> print(max(y))
9
```

```
>>> for i in y:
      print(i)

1
9
2
```



lists: mutable.

不可变的。

But, tuples are **"immutable"**

- Unlike a list, once you create a tuple, you cannot change its contents  
– similar to a string

012

```
>>> x=[9, 8, 7]
>>> x[2]=6
>>> print(x)
[9, 8, 6]
```

```
>>> y='abc'
>>> y[2]='e'
```

```
Traceback (most recent call last)
:
File "<pyshell#23>", line 1, in
<module>
    y[2]='e'
TypeError: 'str' object does not
support item assignment
```

```
>>> z=(5, 4, 3)
>>> z[2]
```

```
3
>>> z[2]=0
Traceback (most recent call last)
:
File "<pyshell#28>", line 1, in
<module>
    z[2]=0
TypeError: 'tuple' object does not
support item assignment
```

# Some things that you cannot do with tuples

```
>>> x=(1, 2, 3)
>>> x.sort()
Traceback (most recent call last):
  File "<pyshell#32>", line 1, in <module>
    x.sort()
AttributeError: 'tuple' object has no attribute 'sort'
>>> x.append(5)
Traceback (most recent call last):
  File "<pyshell#33>", line 1, in <module>
    x.append(5)
AttributeError: 'tuple' object has no attribute 'append'
>>> x.reverse()
Traceback (most recent call last):
  File "<pyshell#34>", line 1, in <module>
    x.reverse()
AttributeError: 'tuple' object has no attribute 'reverse'
```

例非所有元素.

可用函数.

# A tale of two sequences

```
>>> l = list()
>>> dir(l)
['__add__', '__class__', '__contains__', '__delattr__', '__delitem__', '__dir__', '__doc__', '__eq__', '__format__', '__ge__', '__getattribute__', '__getitem__', '__gt__', '__hash__', '__iadd__', '__imul__', '__init__', '__iter__', '__le__', '__len__', '__lt__', '__mul__', '__ne__', '__new__', '__reduce__', '__reduce_ex__', '__repr__', '__reversed__', '__rmul__', '__setattr__', '__setitem__', '__sizeof__', '__str__', '__subclasshook__', 'append', 'clear', 'copy', 'count', 'extend', 'index', 'insert', 'pop', 'remove', 'reverse', 'sort']
>>> t = tuple()
>>> dir(t)
['__add__', '__class__', '__contains__', '__delattr__', '__dir__', '__doc__', '__eq__', '__format__', '__ge__', '__getattribute__', '__getitem__', '__getnewargs__', '__gt__', '__hash__', '__init__', '__iter__', '__le__', '__len__', '__lt__', '__mul__', '__ne__', '__new__', '__reduce__', '__reduce_ex__', '__repr__', '__rmul__', '__setattr__', '__sizeof__', '__str__', '__subclasshook__', 'count', 'index']
```

不可变序列

有时候高效率更重要

# ✓Tuples are more efficient

- Since Python does not have to build tuple structures to be modifiable, they are simpler and more efficient in terms of memory use and performance than lists

临时变量

- In our program when we are making “temporary variables” we prefer tuples over lists



# Tuples and dictionaries

- ★ The `item()` method in dictionaries returns a list of (key, value) tuples

```
>>> d=dict()  
>>> d['csev']=2  
>>> d['cwen']=4  
>>> for (k,v) in d.items():  
    print(k,v)
```

```
csev 2  
cwen 4  
>>> tups = d.items()  
>>> print(tups)  
dict_items([('csev', 2), ('cwen', 4)])  
>>> print(list(tups))  
[('csev', 2), ('cwen', 4)]  
0 1  
>>> tups = list(tups)  
>>> tups[1]  
( 'cwen', 4)
```

# Tuples are comparable ☆.

- The **comparison** operators work with tuples and other sequences if the **first item is equal**. Python goes on to the next element, until it finds the elements which are different

一个接一个地比较。

```
>>> (0, 1, 2) < (5, 1, 2)
True
>>> (0, 1, 200000) < (0, 3, 4)
True
>>> ('Jones', 'Sally') < ('Jones', 'Fred')
False
>>> ('Jones', 'Sally') > ('Adams', 'Sam')
True
```

△ 比较结果基于第一组不相等的元素的比较结果决定!!

# Sorting lists of tuples

- We can take advantage of the ability to sort a list of **tuples** to get a sorted version of a dictionary

tuple 不可用 sort ( ).

- First we sort the dictionary by the key using the **items()** method

```
>>> d={'a':10,'b':1,'c':22}
>>> t=d.items()
>>> t=list(t)
>>> t
[('c', 22), ('b', 1), ('a', 10)]
>>> t.sort()
>>> t
[('a', 10), ('b', 1), ('c', 22)]
```

dic tuple → list  
↓  
sort

# Using sorted()

- We can do this even more efficiently using a built-in function sorted() which takes a sequence as a parameter and returns a sorted sequence

这一步等同于:

`t = list(d.items())`  
`t.sort()` 排序(字母).

```
>>> d={'a':10,'b':1,'c':22}
>>> d.items() → dic.
dict_items([('c', 22), ('b', 1), ('a', 10)])
>>> t = sorted(list(d.items()))
>>> t
[('a', 10), ('b', 1), ('c', 22)]
```

```
>>> for (k, v) in t:
    print(k, v)
```

```
a 10
b 1
c 22
```

在原列表  
基础上修改

★ `a = [3, 2, 1]`

`b = a.sort()`

`print(b) → None`. 无创建新列表

`b = sorted(a)`



`print(b) → [1,2,3]`.

## Practice

根据每个元素的值对元素排序、

- Write a program, which sorts the elements of a dictionary by the value of each element

dic → a list of tuples →  
(key, value)

for key, value in dict.items():  
list.append((value, key))

The item() method in dictionaries returns a list of (key, value) tuples

# Sort by values instead of key

- If we could construct a list of tuples of the form (key, value) we could sort by value

```
>>> d={'a':10,'b':1,'c':22}
>>> tmp = list()
>>> for k,v in d.items():
>>>     tmp.append((v,k))
```

→ 创建元列表.  
▲ (v,k)  
放入list.

- We do this with a for loop that creates a list of tuples

```
>>> print(tmp)
[(22, 'c'), (1, 'b'), (10, 'a')]
>>> tmp.sort(reverse=True)
>>> print(tmp)
[(22, 'c'), (10, 'a'), (1, 'b')]
```

★ sort 只会排序前者.  
要按值排序应该  
用 (key, value)

sort() 是顺序排序.  
(比如字母顺序). 若传递  
reverse=True, 逆序排列.

reverse() 是反转列表排列顺序。

Example: Finding the 10 most common words in a file

```
fhand = open('myhost.txt', 'r')
counts = dict()
for line in fhand:
    words = line.split()
    for word in words:
        counts[word] = counts.get(word, 0) + 1

lst = list()
for key, val in counts.items():
    lst.append((val, key))

lst.sort(reverse = True)
for val, key in lst[:10]:
    print(key, val)
```

每个词  
出现几次



不用 dict  
方法。

(key, value)

dic → list.

从大到小  
逆序排列。

0-9. 10个数。

