



香港中文大學 (深圳)  
The Chinese University of Hong Kong

# CSC3100 Data Structures

## Lecture 21: Graph shortest path

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# Outline

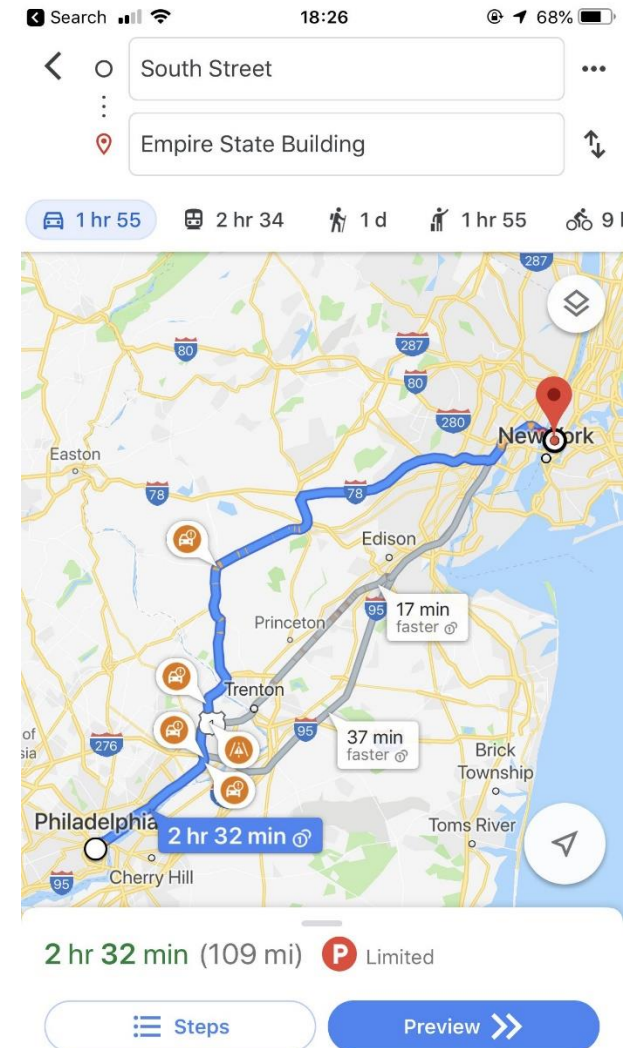
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- ▶ We focus on weighted graphs
- ▶ Graphs with non-negative weights
  - Single-Source Shortest Path: Dijkstra's algorithm
- ▶ All-Pair Shortest Path: Floyd's algorithm
- ▶ Graphs with negative weights
  - Bellman-Ford algorithm



# Weighted graphs

- ▶ In real world graphs, each edge may have a weight
  - On road networks, the weight of each edge (a road segment) may be the distance between two road junctions or the travel time from one junction to another
  - In navigation systems, e.g., Google Map, we may want to find the path with minimum travel time between two locations





# Shortest path problems

- ▶ How can we find the shortest route between two points on a road map?

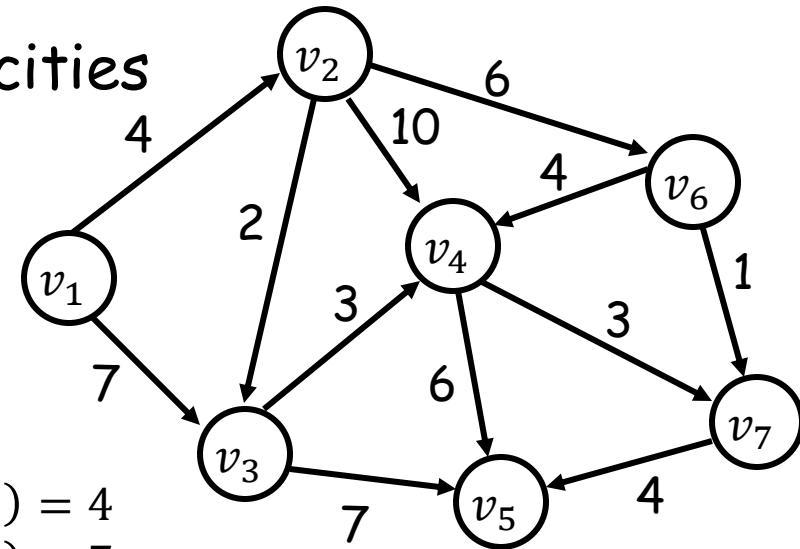
- ▶ Model the problem as a graph problem:

- Road map is a **weighted directed graph**:

**vertices** = cities

**edges** = road segments between cities

**edge weights** = road distances



$$w(v_1, v_2) = 4$$

$$w(v_1, v_3) = 7$$

...



# Shortest path problems

- **Input:**

- Directed graph  $G = (V, E)$
- Weight function  $w : E \rightarrow \mathbf{R}$

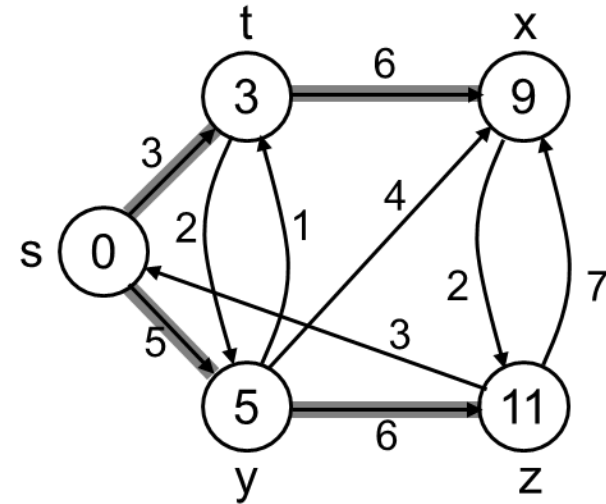
- **Weight of path**  $p = \langle v_0, v_1, \dots, v_k \rangle$

$$w(p) = \sum_{i=1}^k w(v_{i-1}, v_i)$$

- **Shortest-path weight** from  $u$  to  $v$ :

$$\delta(u, v) = \min \begin{cases} w(p) : u \xrightarrow{p} v & \text{if there exists a path from } u \text{ to } v \\ \infty & \text{otherwise} \end{cases}$$

- **Note:** there might be multiple shortest paths from  $u$  to  $v$





# Variants of shortest path

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## ▶ **Single-source shortest paths**

- $G = (V, E) \Rightarrow$  find a shortest path from a given source vertex  $s$  to each vertex  $v \in V$

## ▶ **Single-destination shortest paths**

- Find a shortest path to a given destination vertex  $t$  from each vertex  $v$
- Reversing the direction of each edge  $\Rightarrow$  single-source

## ▶ **Single-pair shortest path**

- Find a shortest path from  $u$  to  $v$  for given vertices  $u$  and  $v$

## ▶ **All-pairs shortest-paths**

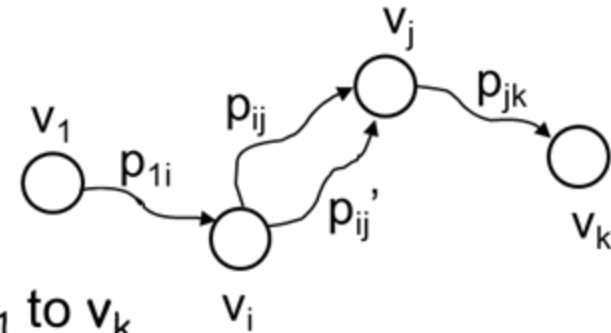
- Find a shortest path from  $u$  to  $v$  for every pair of vertices  $u$  and  $v$



# Optimal substructure theorem

Given:

- A weighted, directed graph  $G = (V, E)$
- A weight function  $w: E \rightarrow \mathbf{R}$ ,
- A shortest path  $p = \langle v_1, v_2, \dots, v_k \rangle$  from  $v_1$  to  $v_k$
- A subpath of  $p$ :  $p_{ij} = \langle v_i, v_{i+1}, \dots, v_j \rangle$ , with  $1 \leq i \leq j \leq k$



Then:  $p_{ij}$  is a shortest path from  $v_i$  to  $v_j$

**Proof:**  $p = v_1 \xrightarrow{p_{1i}} v_i \xrightarrow{p_{ij}} v_j \xrightarrow{p_{jk}} v_k$

$$w(p) = w(p_{1i}) + w(p_{ij}) + w(p_{jk})$$

Assume  $\exists p_{ij}'$  from  $v_i$  to  $v_j$  with  $w(p_{ij}') < w(p_{ij})$

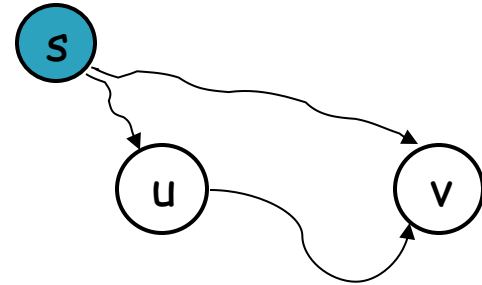
$\Rightarrow w(p') = w(p_{1i}) + w(p_{ij}') + w(p_{jk}) < w(p)$  **contradiction!**



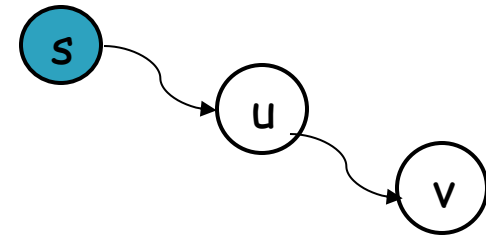
# Triangle inequality

- ▶ For all  $(u, v) \in E$ , we have:  
$$\delta(s, v) \leq \delta(s, u) + \delta(u, v)$$

Proof?



- ▶ If u is on the shortest path to v we have the equality sign







# Cycles

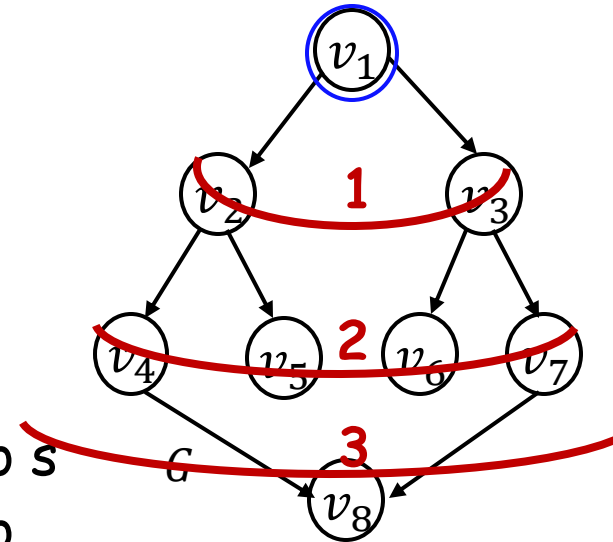
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- ▶ Can shortest paths contain cycles?
- ▶ Negative-weight cycles No!
  - Shortest path is not well defined
- ▶ Positive-weight cycles: No!
  - By removing the cycle, we can get a shorter path



# Shortest path: unweighted graph

- ▶ A simple case: unweighted graph
  - How to find the shortest path? Use BFS!
- ▶ A simple algorithm
  1. Mark the starting vertex,  $s$
  2. Find and mark all unmarked vertices adjacent to  $s$
  3. Find and mark all unmarked vertices adjacent to the marked vertices
  4. Repeat Step 3 until all vertices are marked
- ▶ For each vertex, keep track of
  - whether the adjacent vertex has been marked
  - its distance from  $s(d_v)$
  - previous vertex of the path from  $s(p_v)$





# Shortest path: unweighted graph

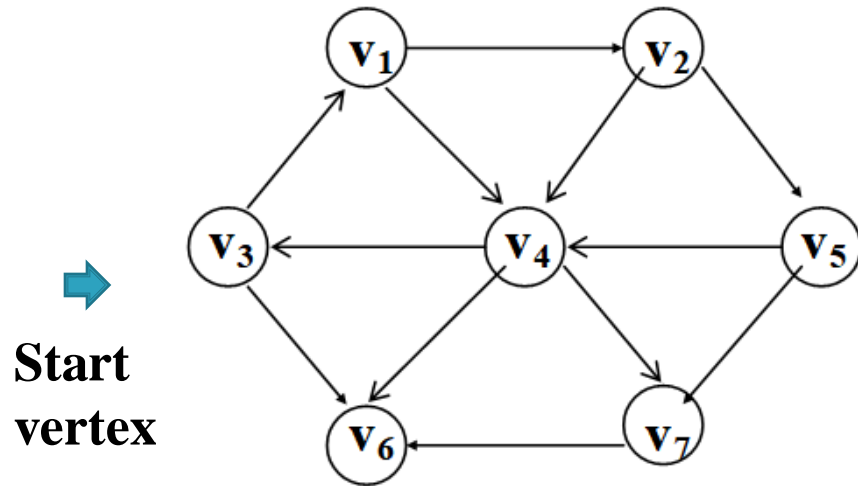
/\* Pseudocode for unweighted shortest-path algorithm with  $O(|E| + |V|)$  time\*/

```
void unweighted(Vertex s) {  
    Queue<Vertex> q = new Queue<Vertex>();  
    for each vertex v { $d_v = \text{INFINITY}$ ;}  
     $d_s = 0$ ;  
    q.enqueue(s);  
    while(!q.isEmpty()){  
        Vertex v = q.dequeue();  
        for each Vertex w adjacent to v  
            if( $d_w == \text{INFINITY}$ ){  
                 $d_w = d_v + 1$ ;  
                 $p_w = v$ ;  
                q.enqueue(w);  
            }  
    }  
}
```

Running time is  $O(|E| + |V|)$



# Shortest path: unweighted graph



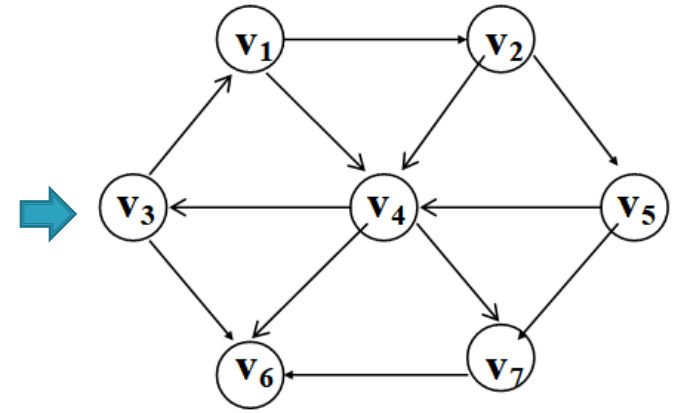
$v$	<i>Known</i>	$d_v$	$p_v$
$v_1$	<b>F</b>	$\infty$	<b>0</b>
$v_2$	<b>F</b>	$\infty$	<b>0</b>
$v_3$	<b>F</b>	<b>0</b>	<b>0</b>
$v_4$	<b>F</b>	$\infty$	<b>0</b>
$v_5$	<b>F</b>	$\infty$	<b>0</b>
$v_6$	<b>F</b>	$\infty$	<b>0</b>
$v_7$	<b>F</b>	$\infty$	<b>0</b>



# Shortest path: unweighted graph

$v$	Initial State		
	<i>Known</i>	$d_v$	$p_v$
$v_1$	F	$\infty$	0
$v_2$	F	$\infty$	0
$v_3$	F	0	0
$v_4$	F	$\infty$	0
$v_5$	F	$\infty$	0
$v_6$	F	$\infty$	0
$v_7$	F	$\infty$	0
Q	$v_3$		

$v$	$v_3$ Dequeued		
	<i>Known</i>	$d_v$	$p_v$
$v_1$	F	1	$v_3$
$v_2$	F	$\infty$	0
$v_3$	T	0	0
$v_4$	F	$\infty$	0
$v_5$	F	$\infty$	0
$v_6$	F	1	$v_3$
$v_7$	F	$\infty$	0
Q	$v_1, v_6$		



$v$	$v_1$ Dequeued		
	<i>Known</i>	$d_v$	$p_v$
$v_1$	T	1	$v_3$
$v_2$	F	2	$v_1$
$v_3$	T	0	0
$v_4$	F	2	$v_1$
$v_5$	F	$\infty$	0
$v_6$	F	1	$v_3$
$v_7$	F	$\infty$	0
Q	$v_6, v_2, v_4$		

$v$	$v_6$ Dequeued		
	<i>Known</i>	$d_v$	$p_v$
$v_1$	T	1	$v_3$
$v_2$	F	2	$v_1$
$v_3$	T	0	0
$v_4$	F	2	$v_1$
$v_5$	F	$\infty$	0
$v_6$	T	1	$v_3$
$v_7$	F	$\infty$	0
Q	$v_2, v_4$		



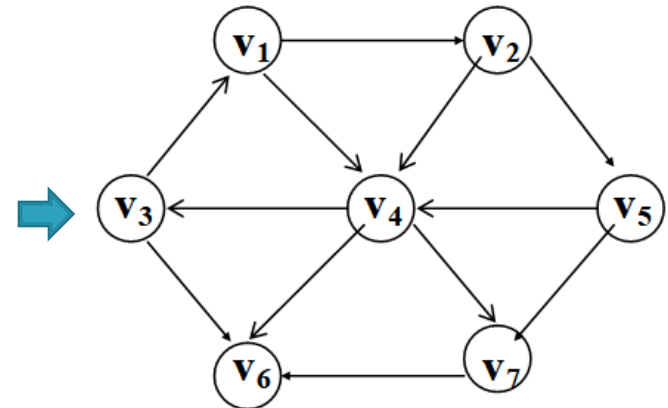
# Shortest path: unweighted graph

<i>v</i>	<i>v</i> <sub>2</sub> Dequeued		
	<i>Known</i>	<i>d<sub>v</sub></i>	<i>p<sub>v</sub></i>
<i>v</i> <sub>1</sub>	T	1	<i>v</i> <sub>3</sub>
<i>v</i> <sub>2</sub>	T	2	<i>v</i> <sub>1</sub>
<i>v</i> <sub>3</sub>	T	0	0
<i>v</i> <sub>4</sub>	F	2	<i>v</i> <sub>1</sub>
<i>v</i> <sub>5</sub>	F	3	<i>v</i> <sub>2</sub>
<i>v</i> <sub>6</sub>	T	1	<i>v</i> <sub>3</sub>
<i>v</i> <sub>7</sub>	F	∞	0
Q	<i>v</i> <sub>4</sub> , <i>v</i> <sub>5</sub>		

<i>v</i>	<i>v</i> <sub>4</sub> Dequeued		
	<i>Known</i>	<i>d<sub>v</sub></i>	<i>p<sub>v</sub></i>
<i>v</i> <sub>1</sub>	T	1	<i>v</i> <sub>3</sub>
<i>v</i> <sub>2</sub>	T	2	<i>v</i> <sub>1</sub>
<i>v</i> <sub>3</sub>	T	0	0
<i>v</i> <sub>4</sub>	T	2	<i>v</i> <sub>1</sub>
<i>v</i> <sub>5</sub>	F	3	<i>v</i> <sub>2</sub>
<i>v</i> <sub>6</sub>	T	1	<i>v</i> <sub>3</sub>
<i>v</i> <sub>7</sub>	F	3	<i>v</i> <sub>4</sub>
Q	<i>v</i> <sub>5</sub> , <i>v</i> <sub>7</sub>		

<i>v</i>	<i>v</i> <sub>5</sub> Dequeued		
	<i>Known</i>	<i>d<sub>v</sub></i>	<i>p<sub>v</sub></i>
<i>v</i> <sub>1</sub>	T	1	<i>v</i> <sub>3</sub>
<i>v</i> <sub>2</sub>	T	2	<i>v</i> <sub>1</sub>
<i>v</i> <sub>3</sub>	T	0	0
<i>v</i> <sub>4</sub>	T	2	<i>v</i> <sub>1</sub>
<i>v</i> <sub>5</sub>	T	3	<i>v</i> <sub>2</sub>
<i>v</i> <sub>6</sub>	T	1	<i>v</i> <sub>3</sub>
<i>v</i> <sub>7</sub>	F	3	<i>v</i> <sub>4</sub>
Q	<i>v</i> <sub>7</sub>		

<i>v</i>	<i>v</i> <sub>7</sub> Dequeued		
	<i>Known</i>	<i>d<sub>v</sub></i>	<i>p<sub>v</sub></i>
<i>v</i> <sub>1</sub>	T	1	<i>v</i> <sub>3</sub>
<i>v</i> <sub>2</sub>	T	2	<i>v</i> <sub>1</sub>
<i>v</i> <sub>3</sub>	T	0	0
<i>v</i> <sub>4</sub>	T	2	<i>v</i> <sub>1</sub>
<i>v</i> <sub>5</sub>	T	3	<i>v</i> <sub>2</sub>
<i>v</i> <sub>6</sub>	T	1	<i>v</i> <sub>3</sub>
<i>v</i> <sub>7</sub>	T	3	<i>v</i> <sub>4</sub>
Q	empty		





# Shortest path: weighted graph

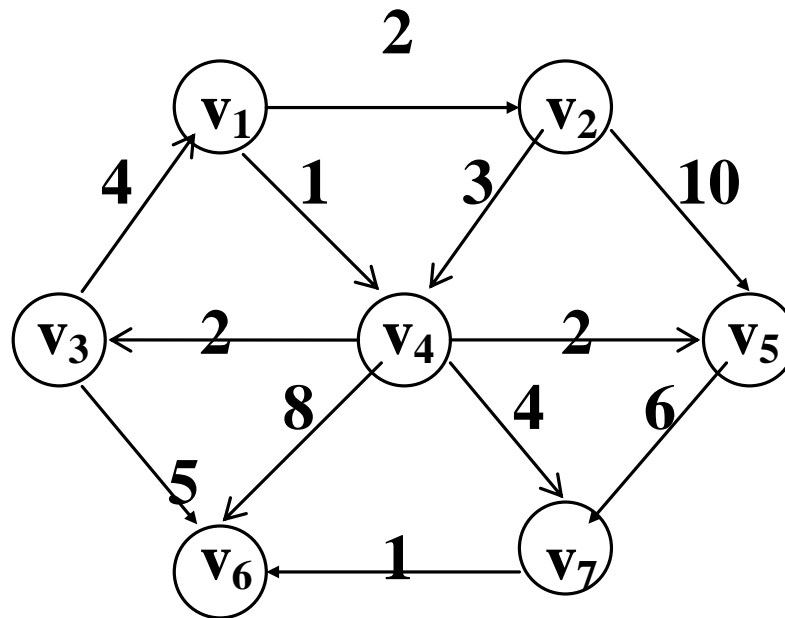
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- ▶ Dijkstra's algorithm for weighted graphs
  - A greedy algorithm, solving a problem by stages by doing what appears to be the best thing at each stage
  - Select a vertex  $u$ , which has the smallest  $d_u$  among all the unknown vertices, and declare that the shortest path from  $s$  to  $u$  is known
  - For each adjacent vertex,  $v$ , update  $d_v = d_u + c_{u,v}$  if this new value for  $d_v$  is an improvement



# Example of Dijkstra's algorithm

Given a graph, find the shortest path starting from  $v_1$ :



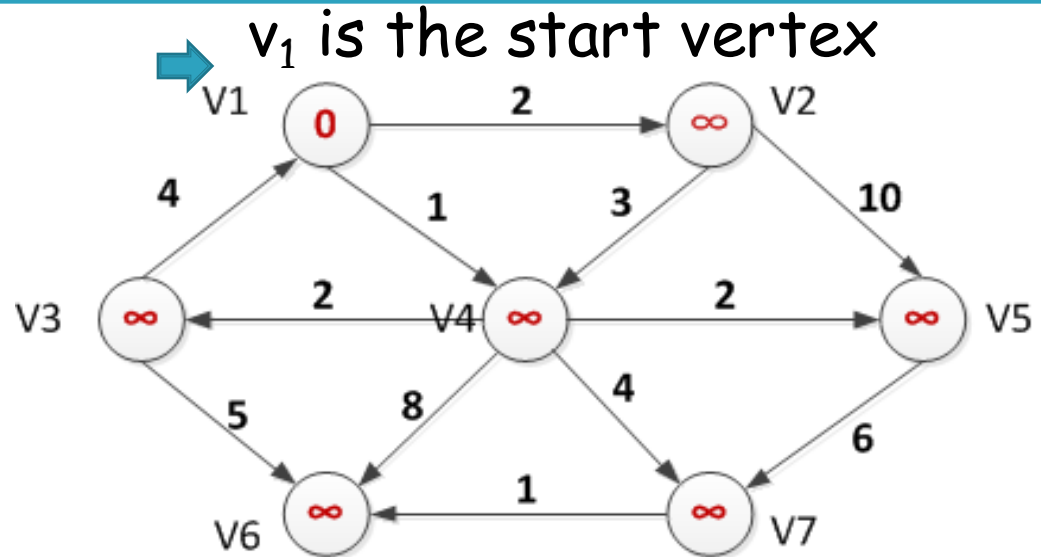




# Intermediate results of Dijkstra's algorithm

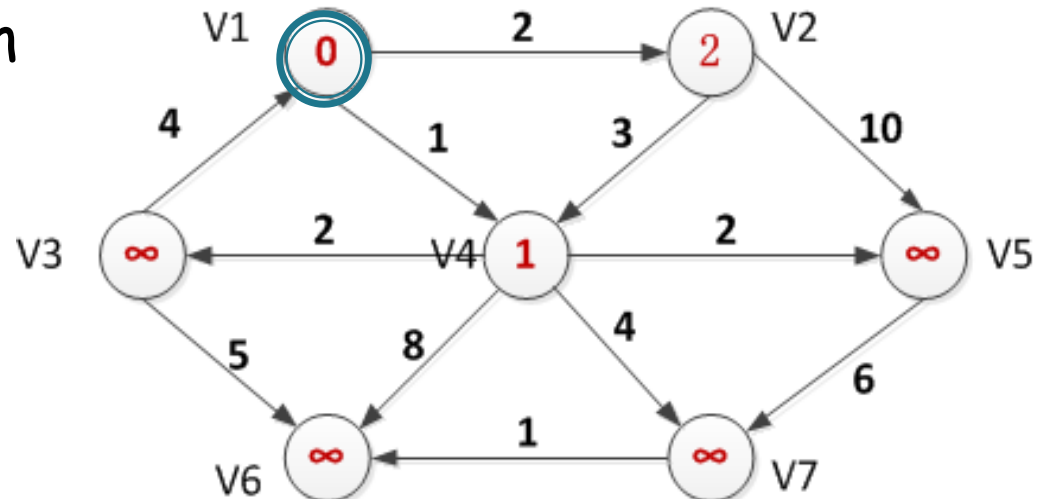
## Initial configuration

$v$	$Known$	$d_v$	$p_v$
$v_1$	0	0	0
$v_2$	0	$\infty$	0
$v_3$	0	$\infty$	0
$v_4$	0	$\infty$	0
$v_5$	0	$\infty$	0
$v_6$	0	$\infty$	0
$v_7$	0	$\infty$	0



## After $v_1$ is declared known

$v$	$Known$	$d_v$	$p_v$
$v_1$	1	0	0
$v_2$	0	2	$v_1$
$v_3$	0	$\infty$	0
$v_4$	0	1	$v_1$
$v_5$	0	$\infty$	0
$v_6$	0	$\infty$	0
$v_7$	0	$\infty$	0

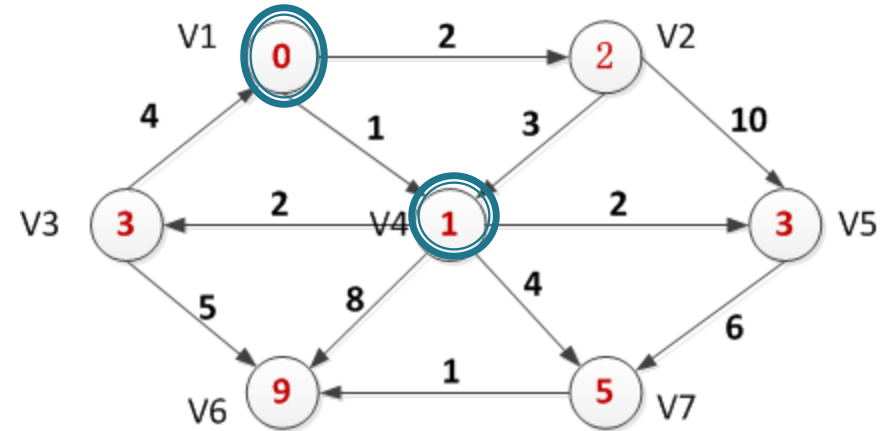




# Intermediate results of Dijkstra's algorithm

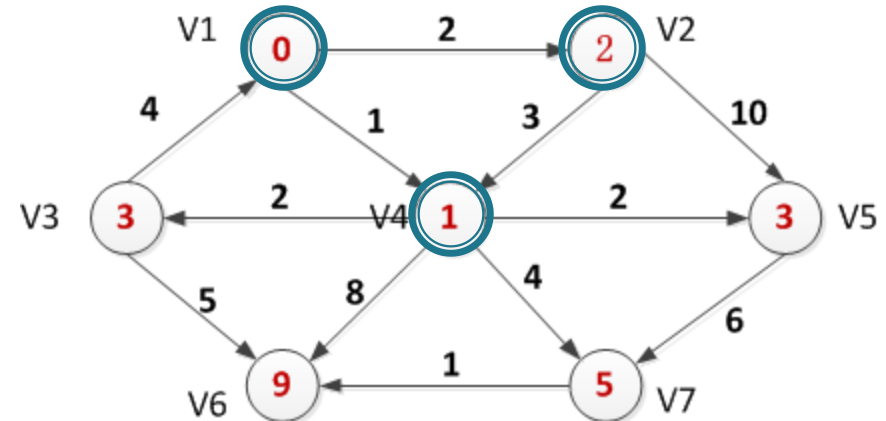
After  $v_4$  is declared known

$v$	<i>Known</i>	$d_v$	$p_v$
$v_1$	1	0	0
$v_2$	0	2	$v_1$
$v_3$	0	3	$v_4$
$v_4$	1	1	$v_1$
$v_5$	0	3	$v_4$
$v_6$	0	9	$v_4$
$v_7$	0	5	$v_4$



After  $v_2$  is declared known

$v$	<i>Known</i>	$d_v$	$p_v$
$v_1$	1	0	0
$v_2$	1	2	$v_1$
$v_3$	0	3	$v_4$
$v_4$	1	1	$v_1$
$v_5$	0	3	$v_4$
$v_6$	0	9	$v_4$
$v_7$	0	5	$v_4$





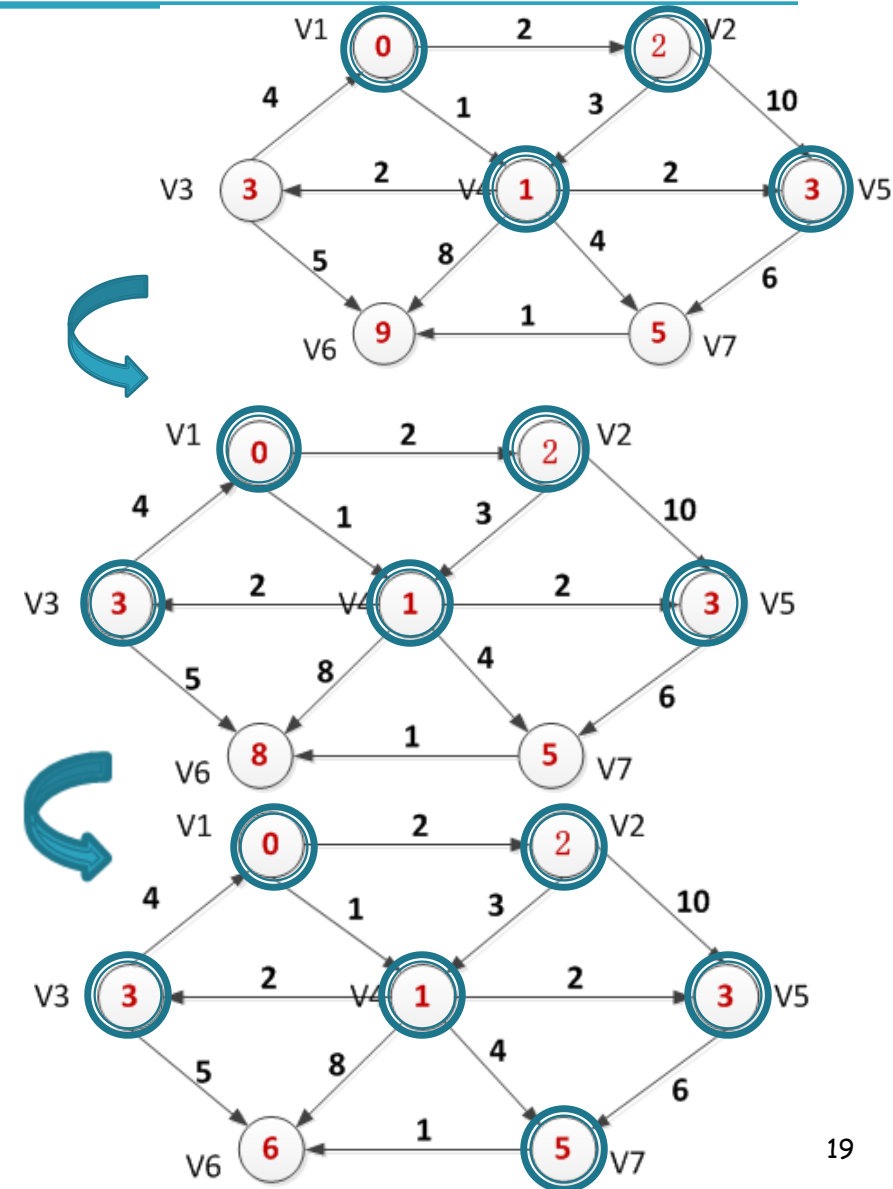
# Intermediate results of Dijkstra's algorithm

After  $v_5$  and then  $v_3$  are declared known

$v$	<i>Known</i>	$d_v$	$p_v$
$v_1$	1	0	0
$v_2$	1	2	$v_1$
$v_3$	1	3	$v_4$
$v_4$	1	1	$v_1$
$v_5$	1	3	$v_4$
$v_6$	0	8	$v_3$
$v_7$	0	5	$v_4$

After  $v_7$  is declared known

$v$	<i>Known</i>	$d_v$	$p_v$
$v_1$	1	0	0
$v_2$	1	2	$v_1$
$v_3$	1	3	$v_4$
$v_4$	1	1	$v_1$
$v_5$	1	3	$v_4$
$v_6$	0	6	$v_7$
$v_7$	1	5	$v_4$

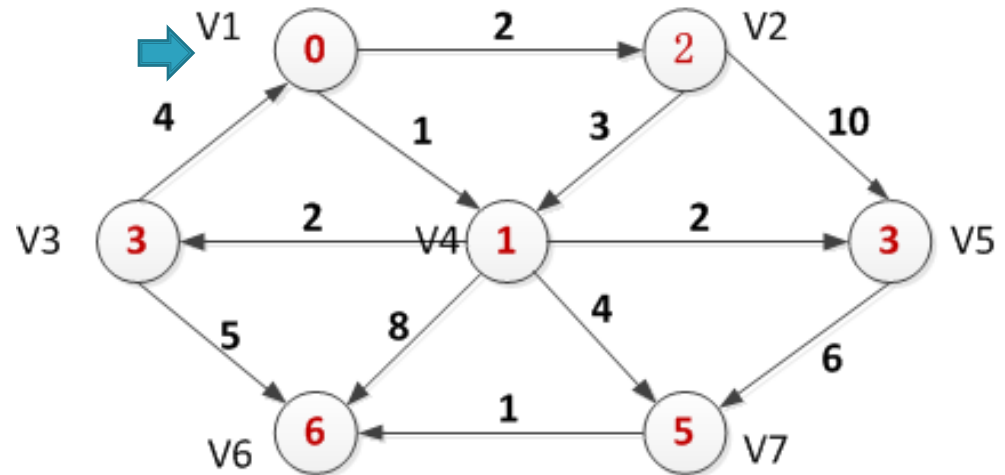




# Intermediate results of Dijkstra's algorithm

After  $v_6$  is declared known

$v$	<i>Known</i>	$d_v$	$p_v$
$v_1$	1	0	0
$v_2$	1	2	$v_1$
$v_3$	1	3	$v_4$
$v_4$	1	1	$v_1$
$v_5$	1	3	$v_4$
$v_6$	1	6	$v_7$
$v_7$	1	5	$v_4$





# Initialization

---

*Alg.*: INITIALIZE-SINGLE-SOURCE( $V, s$ )

1.   **for** each  $v \in V$
2.         **do**  $d[v] \leftarrow \infty$
3.          $p[v] \leftarrow \text{NIL}$
4.    $d[s] \leftarrow 0$

- ▶ All the shortest-paths algorithms start with INITIALIZE-SINGLE-SOURCE



# Relaxation step

- ▶ **Relaxing** an edge  $(u, v)$  = testing whether we can improve the shortest path to  $v$  found so far by going through  $u$

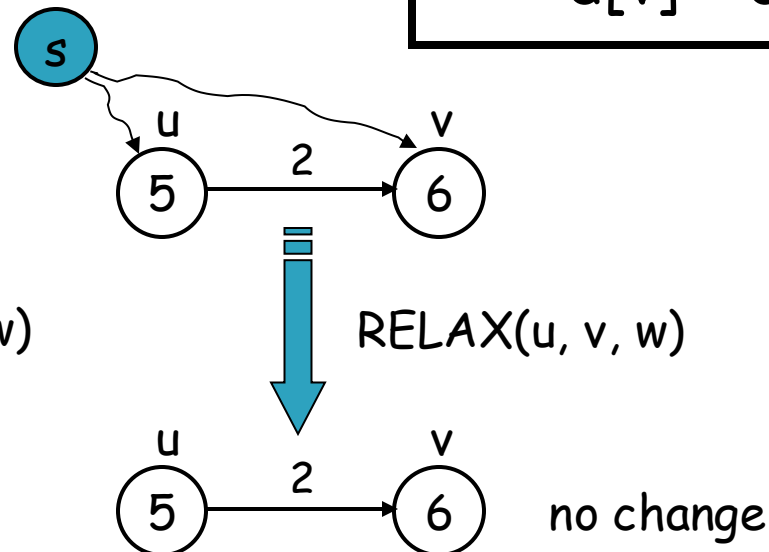
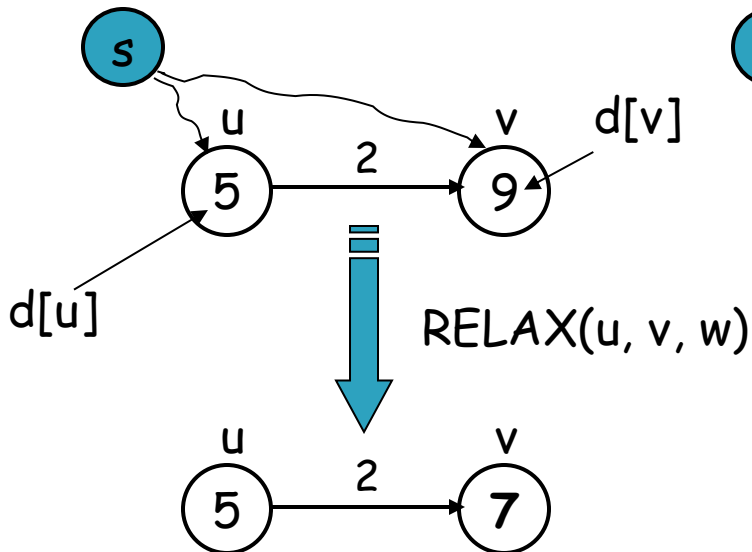
If  $d[v] > d[u] + w(u, v)$

we can improve the shortest path to  $v$

$\Rightarrow d[v] = d[u] + w(u, v)$

$\Rightarrow p[v] \leftarrow u$

After relaxation:  
 $d[v] = d[u] + w(u, v)$





# Dijkstra( $G, w, s$ )

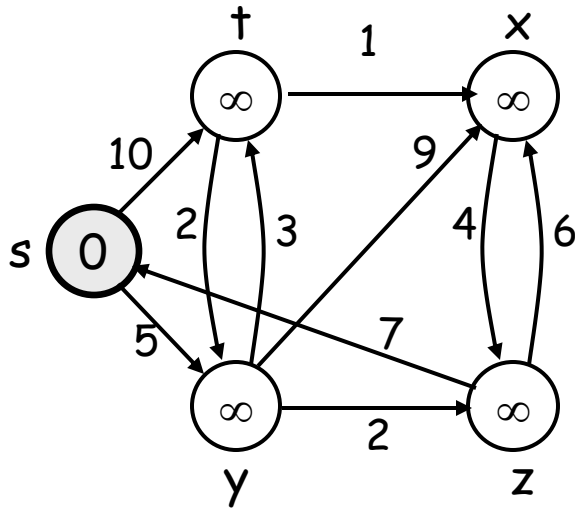
1. INITIALIZE-SINGLE-SOURCE( $V, s$ )  $\leftarrow \Theta(V)$
2.  $S \leftarrow \emptyset$
3.  $Q \leftarrow V[G] \leftarrow O(V)$  build min-heap
4. **while**  $Q \neq \emptyset \leftarrow \text{Executed } O(V) \text{ times}$
5.     **do**  $u \leftarrow \text{EXTRACT-MIN}(Q) \leftarrow O(\log V)$  }  $O(V \log V)$
6.      $S \leftarrow S \cup \{u\}$
7.     **for** each vertex  $v \in \text{Adj}[u] \leftarrow O(E) \text{ times (total)}$
8.         **do** RELAX( $u, v, w$ ) }  $O(E \log V)$
9.         Update  $Q(\text{DECREASE\_KEY}) \leftarrow O(\log V)$  }

Running time:  $O(V \log V + E \log V) = O(E \log V)$



# Exercise

- Show the steps of Dijkstra's algorithm

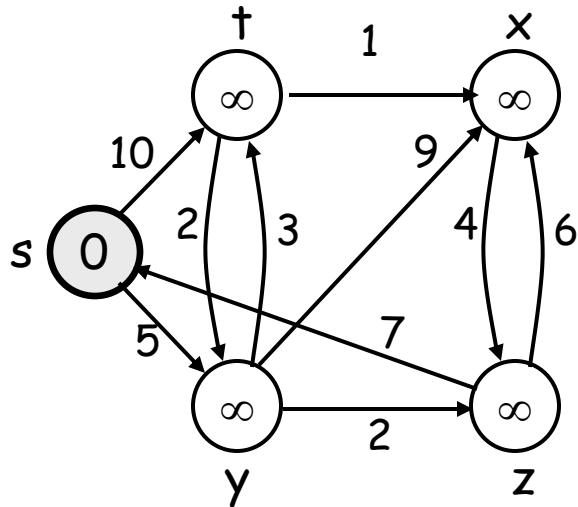




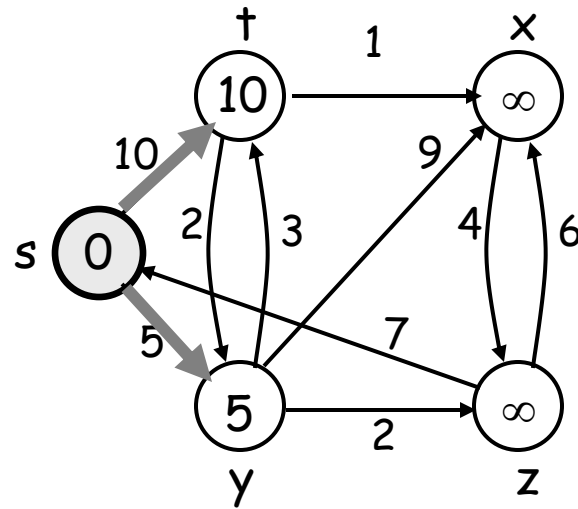


# Dijkstra ( $G, w, s$ )

$S = \langle \rangle$   $Q = \langle s, t, x, z, y \rangle$

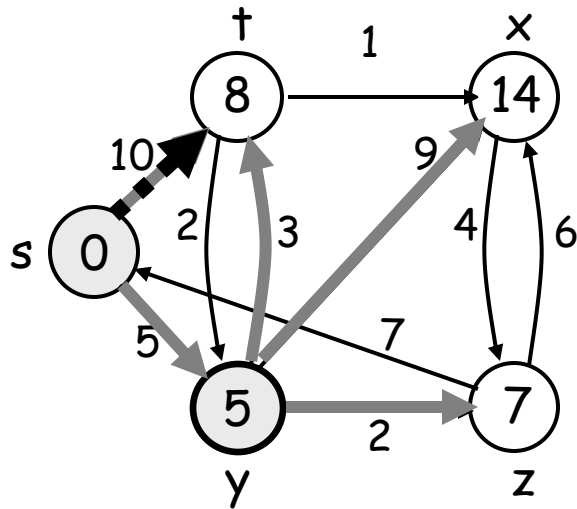


$S = \langle s \rangle$   $Q = \langle y, t, x, z \rangle$

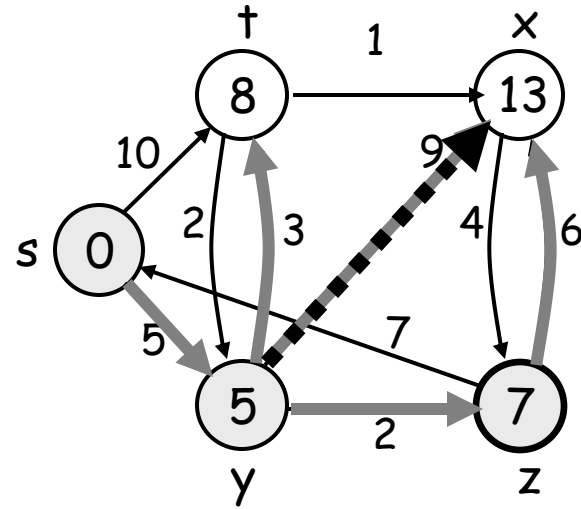




# Example (cont.)



$S = \langle s, y \rangle$   $Q = \langle z, t, x \rangle$

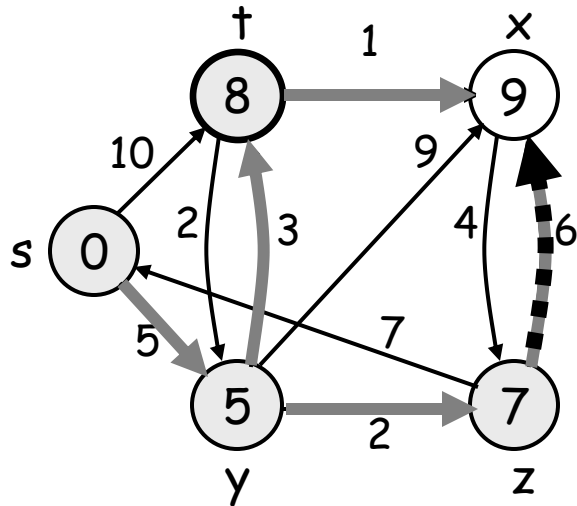


$S = \langle s, y, z \rangle$   $Q = \langle t, x \rangle$

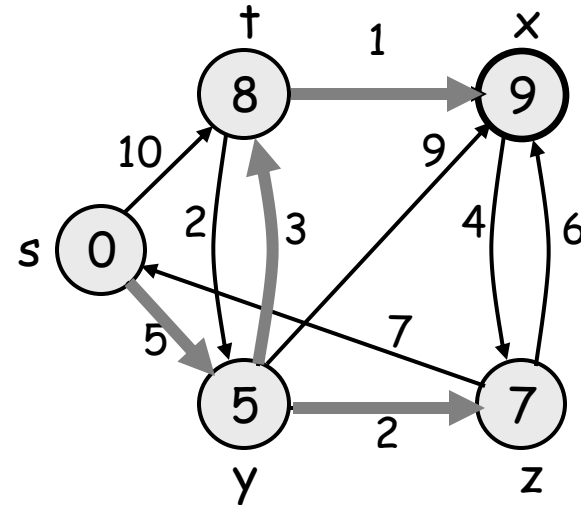


# Example (cont.)

$S = \langle s, y, z, t \rangle$   $Q = \langle x \rangle$



$S = \langle s, y, z, t, x \rangle$   $Q = \langle \rangle$





# Correctness of Dijkstra's algorithm

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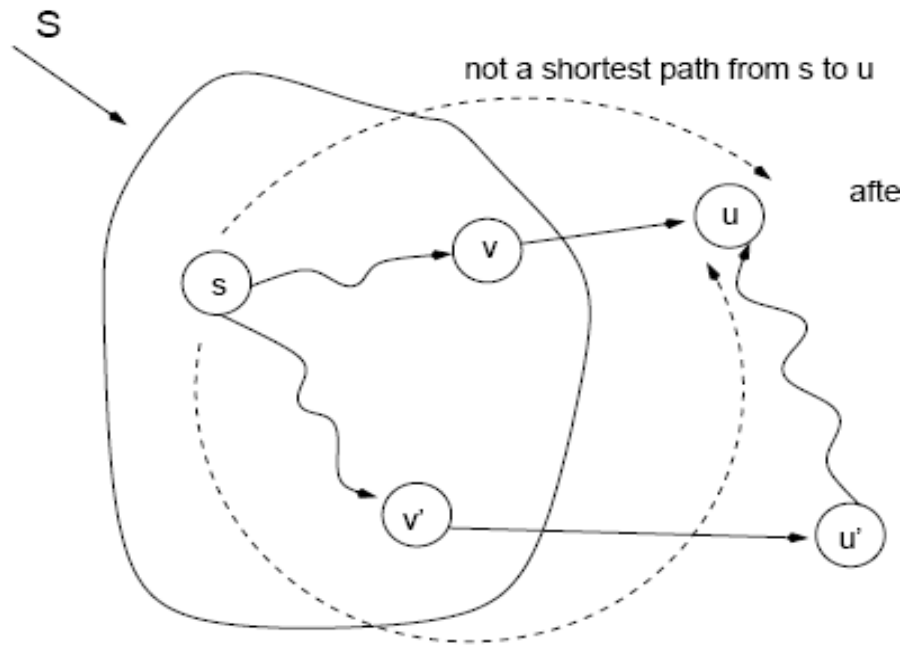
- ▶ Theorem: For each vertex  $u \in V$ , we must have  $d[u] = \delta(s, u)$  at the time when  $u$  is added to  $S$

## Proof:

- Assume that  $u$  is the first vertex for which  $d[u] \neq \delta(s, u)$  when added to  $S$ 
  - For each vertex  $v$  in  $S$ ,  $d[v] = \delta(s, v)$
  - Vertex  $u$  has the highest priority in  $Q$ :  $\langle u, \dots \rangle$  (i.e.,  $d[u] < \dots$ )



# Correctness of Dijkstra's algorithm



0: If we have a path  $P'$  with smaller distance from  $s$  to  $u$  than  $d[u]$ , then  $\delta(s, u) < d[u]$

1: Let  $v'$  be the last vertex in  $P'$  such that it is in  $S$ , and let  $u'$  be the next vertex of  $v'$

2: According to the algorithm,  $u'$  must be in the priority queue  $Q$

3: We know  $d[u'] < \delta(s, u)$  and further get  $d[u'] < \delta(s, u) < d[u]$

4. However, from the assumption, we have  $d[u] < d[u']$ , so contradiction!



# Exercise 1

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- ▶ Given a directed graph  $G=(V,E)$  where each edge  $(u, v)$  has an associated value  $r(u,v)$ , which is a real number in the range  $0 \leq r(u,v) \leq 1$  that represents the reliability of a communication channel from vertex  $u$  to vertex  $v$ 
  - We interpret  $r(u,v)$  as the probability that the channel from  $u$  to  $v$  will not fail, and we assume that these probabilities are independent
  - Give an efficient algorithm to find the most reliable path between two given vertices



# Exercise 1

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- ▶ Solution 1: modify Dijkstra's algorithm
  - $r(u,v) = \text{Pr}(\text{channel from } u \text{ to } v \text{ will not fail})$
  - Assuming that the probabilities are independent, the reliability of a path  $p = \langle v_1, v_2, \dots, v_k \rangle$  is:  
$$r(v_1, v_2) r(v_2, v_3) \dots r(v_{k-1}, v_k)$$
  - Find the channel with the highest reliability, i.e.,

$$\max_p \prod_{(u,v) \in p} r(u,v)$$



## Exercise 1 (cont.)

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- ▶ But Dijkstra's algorithm computes

$$\min_p \sum_{(u,v) \in p} w(u,v)$$

- ▶ Perform relaxation as follows:  
if  $d[v] < d[u] + w(u,v)$  then  
     $d[v] = d[u] + w(u,v)$
- ▶ Use "EXTRACT\_MAX" instead of "EXTRACT\_MIN"





# Exercise 1 (cont.)

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- ▶ Solution 2: use Dijkstra's algorithm without any modifications!

- Goal

$$\max_p \prod_{(u,v) \in p} r(u,v)$$

- Take the lg

$$\lg(\max_p \prod_{(u,v) \in p} r(u,v)) = \max_p \sum_{(u,v) \in p} \lg(r(u,v))$$



## Exercise 1 (cont.)

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- ▶ Turn this into a minimization problem by taking the negative:

$$-\min_p \sum_{(u,v) \in p} \lg(r(u,v)) = \min_p \sum_{(u,v) \in p} -\lg(r(u,v))$$

- ▶ Run Dijkstra's algorithm using

$$w(u,v) = -\lg(r(u,v))$$



# Recommended reading

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- ▶ Reading materials
  - Textbook Chapters 24&25
- ▶ Next lecture
  - All pairs shortest path