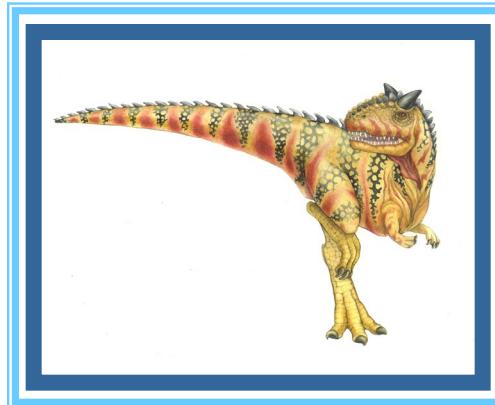


Chapter 2

Operating Structures (2)





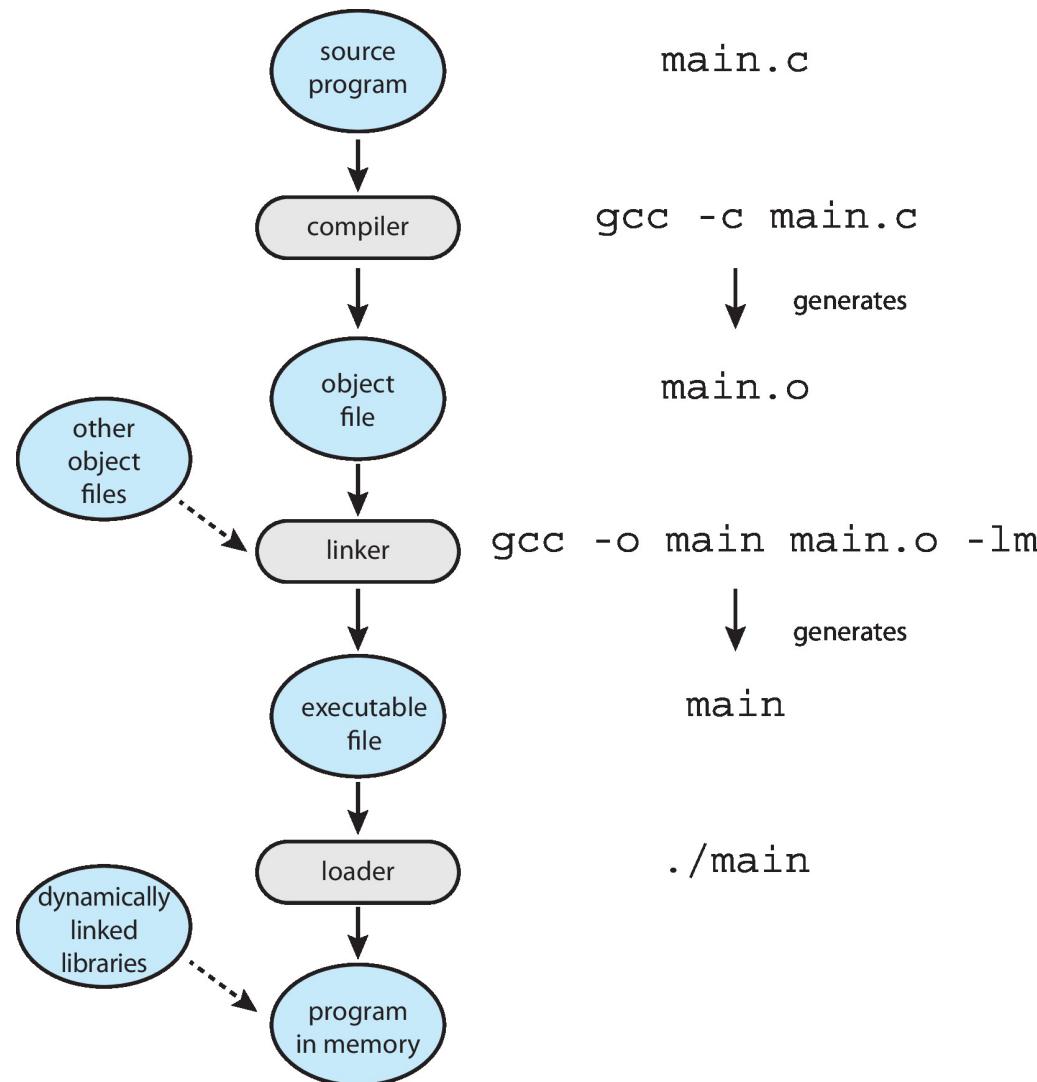
Linkers and Loaders

- Source code compiled into object files designed to be loaded into any physical memory location – **relocatable object file**
- **Linker** combines these into single binary **executable** file
 - Also brings in libraries
- Program resides on secondary storage as binary executable
- Must be brought into memory by **loader** to be executed
 - **Relocation** assigns final addresses to program parts and adjusts code and data in program to match those addresses
- Modern general purpose systems don't link libraries into executables
 - Rather, **dynamically linked libraries** (in Windows, **DLLs**) are loaded as needed, shared by all that use the same version of that same library (loaded once)
- Object, executable files have standard formats, so operating system knows how to load and start them





The Role of the Linker and Loader





Why Applications are Operating System Specific

- Apps compiled on one system usually not executable on other operating systems
- Each operating system provides its own unique system calls
 - Own file formats, etc.
- Apps can be multi-operating system
 - Written in interpreted language like Python, Ruby, and interpreter available on multiple operating systems
 - App written in language that includes a VM containing the running app (like Java)
 - Use standard language (like C), compile separately on each operating system to run on each
- **Application Binary Interface (ABI)** is architecture equivalent of API, defines how different components of binary code can interface for a given operating system on a given architecture, CPU, etc.





Design and Implementation

- Design and Implementation of OS is not “solvable”, but some approaches have proven successful
- Internal structure of different Operating Systems can vary widely
- Start the design by defining goals and specifications
- Affected by choice of hardware, type of system
- **User** goals and **System** goals
 - User goals – operating system should be convenient to use, easy to learn, reliable, safe, and fast
 - System goals – operating system should be easy to design, implement, and maintain, as well as flexible, reliable, error-free, and efficient
- Specifying and designing an OS is highly creative task of **software engineering**





Policy and Mechanism

- **Policy:** **What** needs to be done?
 - Example: Interrupt after every 100 seconds
- **Mechanism:** **How** to do something?
 - Example: timer
- Important principle: separate policy from mechanism
- The separation of policy from mechanism is a very important principle, it allows maximum flexibility if policy decisions are to be changed later
 - Example: change 100 to 200





Implementation

- Much variation
 - Early OSes in assembly language
 - Then system programming languages like Algol, PL/1
 - Now C, C++
- Actually usually a mix of languages
 - Lowest levels in assembly
 - Main body in C
 - Systems programs in C, C++, scripting languages like PERL, Python, shell scripts
- More high-level language easier to **port** to other hardware
 - But slower
- **Emulation** can allow an OS to run on non-native hardware





Operating System Structure

- General-purpose OS is very large program
- Various ways to structure ones
 - Simple structure – MS-DOS
 - More complex – UNIX
 - Layered – an abstraction
 - Microkernel – Mach





Monolithic Structure – Original UNIX

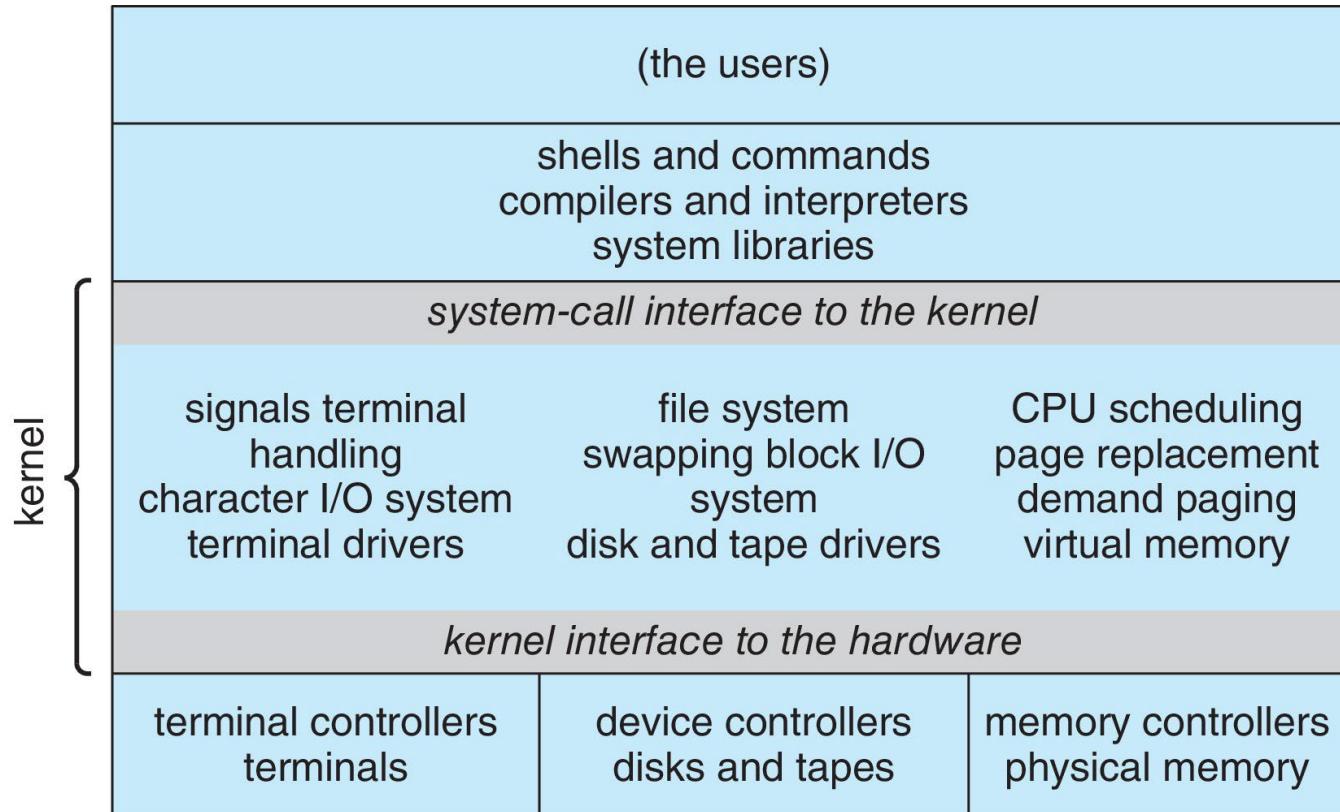
- UNIX – limited by hardware functionality, the original UNIX operating system had limited structuring.
- The UNIX OS consists of two separable parts
 - Systems programs
 - The kernel
 - ▶ Consists of everything below the system-call interface and above the physical hardware
 - ▶ Provides the file system, CPU scheduling, memory management, and other operating-system functions; a large number of functions for one level





Traditional UNIX System Structure

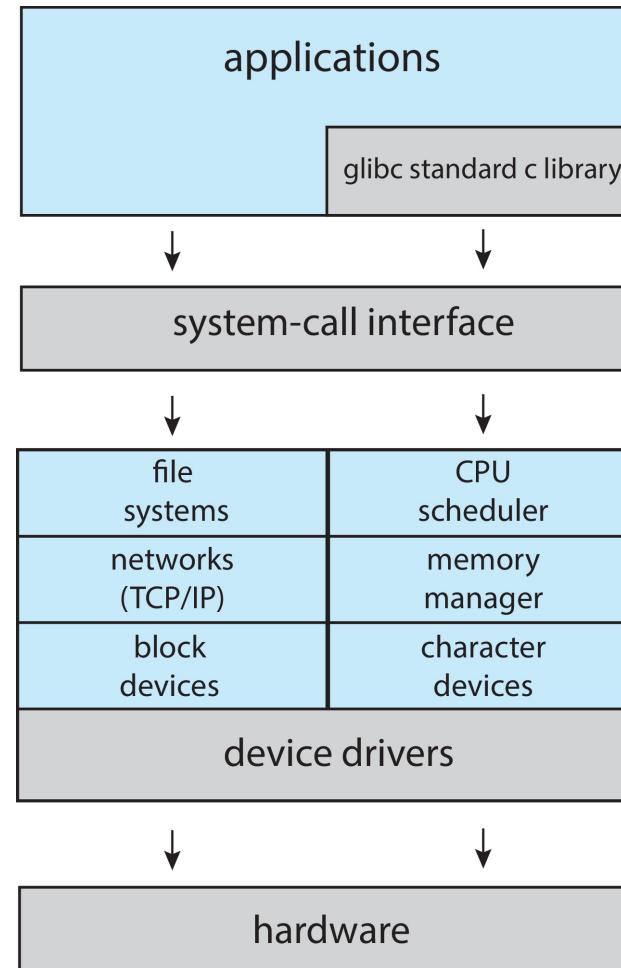
- Beyond simple but not fully layered





Linux System Structure

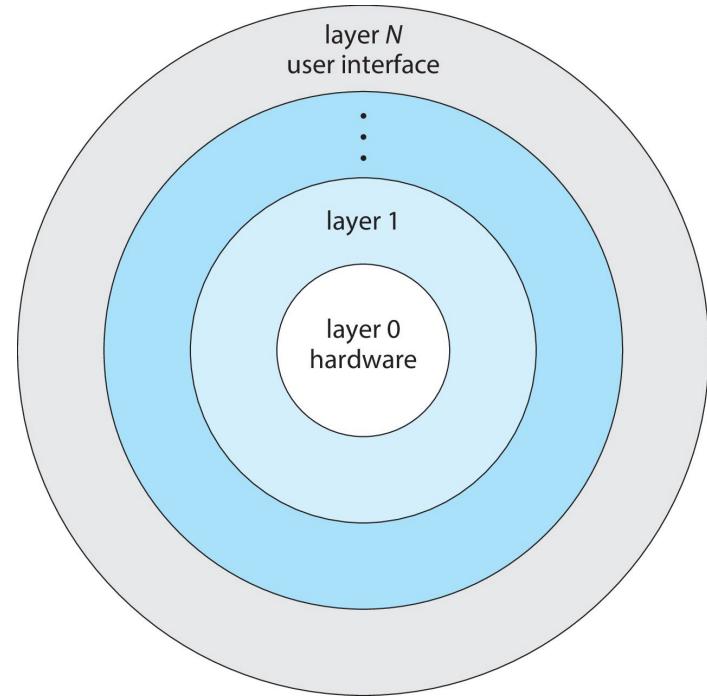
■ Monolithic plus modular design





Layered Approach

- The operating system is divided into a number of layers (levels), each built on top of lower layers. The bottom layer (layer 0), is the hardware; the highest (layer N) is the user interface.
- With modularity, layers are selected such that each uses functions (operations) and services of only lower-level layers





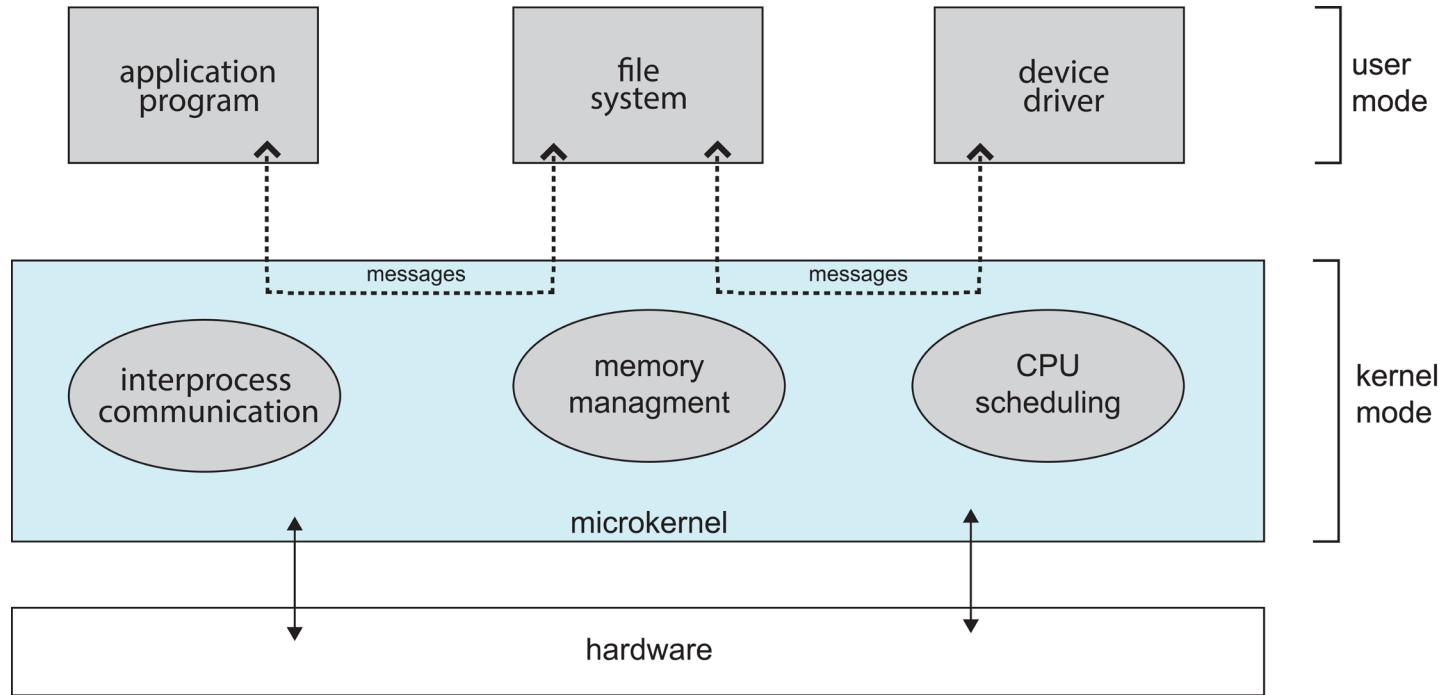
Microkernels

- Moves as much from the kernel into user space
- **Mach** is an example of **microkernel**
 - Mac OS X kernel (**Darwin**) partly based on Mach
- Communication takes place between user modules using **message passing**
- Benefits:
 - Easier to extend a microkernel
 - Easier to port the operating system to new architectures
 - More reliable (less code is running in kernel mode)
 - More secure
- Detriments:
 - Performance overhead of user space to kernel space communication





Microkernel System Structure

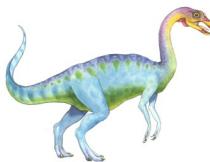




Modules

- Many modern operating systems implement **loadable kernel modules (LKMs)**
 - Uses object-oriented approach
 - Each core component is separate
 - Each talks to the others over known interfaces
 - Each is loadable as needed within the kernel
- Overall, similar to layers but with more flexible
 - Linux, Solaris, etc.





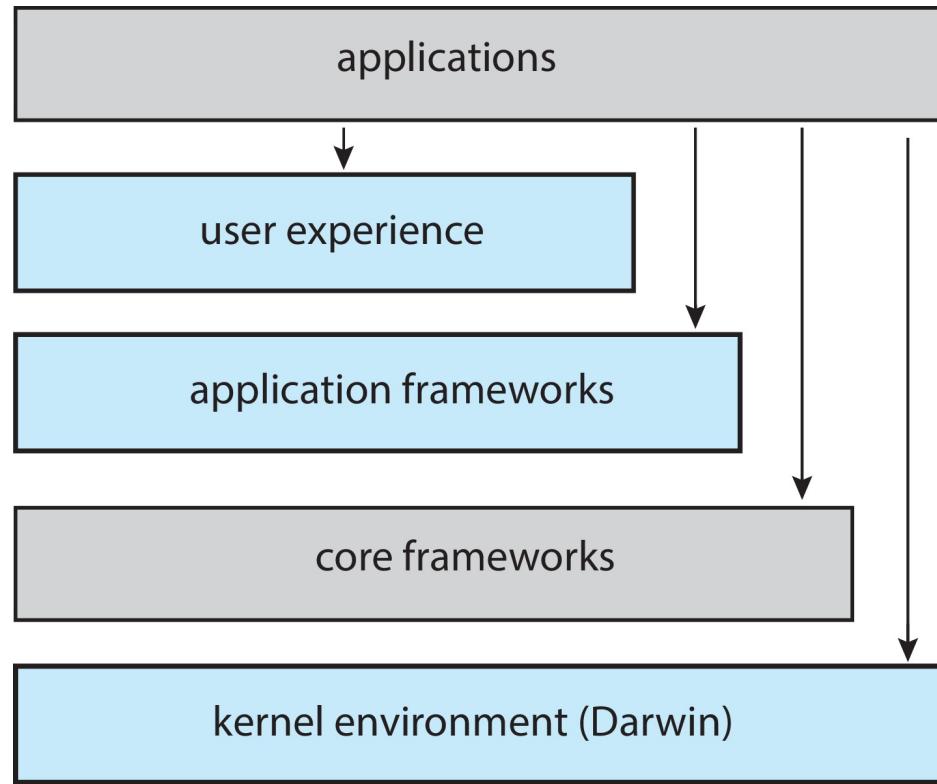
Hybrid Systems

- Most modern operating systems are not one pure model
 - Hybrid combines multiple approaches to address performance, security, usability needs
 - Linux and Solaris kernels in kernel address space, so monolithic, plus modular for dynamic loading of functionality
 - Windows mostly monolithic, plus microkernel for different subsystem **personalities**
- Apple Mac OS X hybrid, layered, **Aqua** UI plus **Cocoa** programming environment
 - Below is kernel consisting of Mach microkernel and BSD Unix parts, plus I/O kit and dynamically loadable modules (called **kernel extensions**)



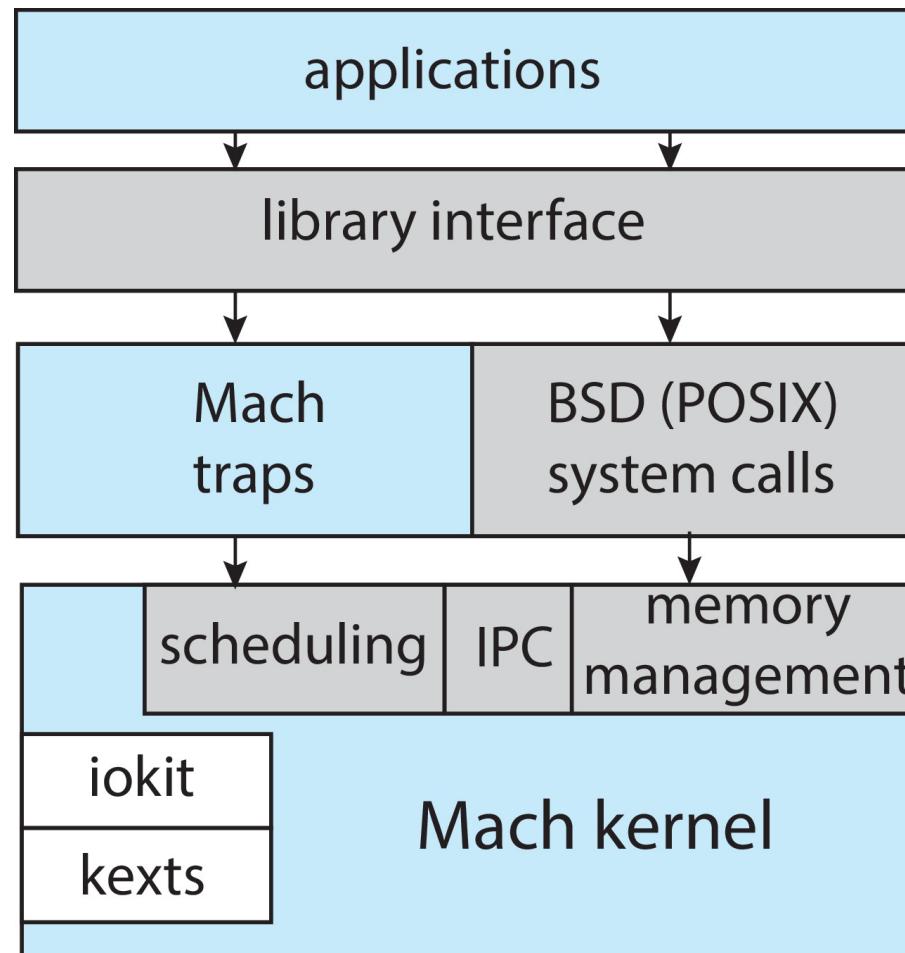


macOS and iOS Structure





Darwin





iOS

- Apple mobile OS for *iPhone*, *iPad*

- Structured on Mac OS X, added functionality
- Does not run OS X applications natively
 - ▶ Also runs on different CPU architecture (ARM vs. Intel)
- **Cocoa Touch** Objective-C API for developing apps
- **Media services** layer for graphics, audio, video
- **Core services** provides cloud computing, databases
- Core operating system, based on Mac OS X kernel

Cocoa Touch

Media Services

Core Services

Core OS

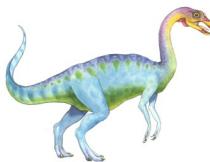




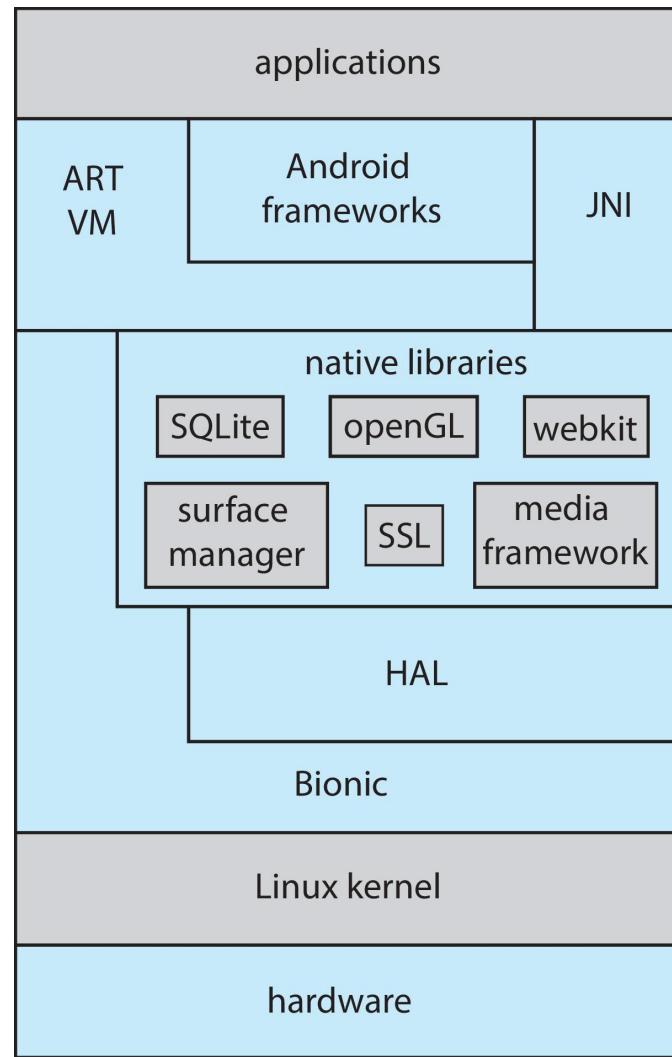
Android

- Developed by Open Handset Alliance (mostly Google)
 - Open Source
- Similar stack to iOS
- Based on Linux kernel but modified
 - Provides process, memory, device-driver management
 - Adds power management
- Runtime environment includes core set of libraries and Dalvik virtual machine
 - Apps developed in Java plus Android API
 - ▶ Java class files compiled to Java bytecode then translated to executable then runs in Dalvik VM
- Libraries include frameworks for web browser (webkit), database (SQLite), multimedia, smaller libc





Android Architecture





Building and Booting an Operating System

- Operating systems generally designed to run on a class of systems with variety of peripherals
- Commonly, operating system already installed on purchased computer
 - But can build and install some other operating systems
 - If generating an operating system from scratch
 - ▶ Write the operating system source code
 - ▶ Configure the operating system for the system on which it will run
 - ▶ Compile the operating system
 - ▶ Install the operating system
 - ▶ Boot the computer and its new operating system





Building and Booting Linux

- Download Linux source code (<http://www.kernel.org>)
- Configure kernel via “make menuconfig”
- Compile the kernel using “make”
 - Produces vmlinuz, the kernel image
 - Compile kernel modules via “make modules”
 - Install kernel modules into vmlinuz via “make modules_install”
 - Install new kernel on the system via “make install”





System Boot

- When power initialized on system, execution starts at a fixed memory location
- Operating system must be made available to hardware so hardware can start it
 - Small piece of code – **bootstrap loader**, **BIOS**, stored in **ROM** or **EEPROM** locates the kernel, loads it into memory, and starts it
 - Sometimes two-step process where **boot block** at fixed location loaded by ROM code, which loads bootstrap loader from disk
 - Modern systems replace BIOS with **Unified Extensible Firmware Interface (UEFI)**
- Common bootstrap loader, **GRUB**, allows selection of kernel from multiple disks, versions, kernel options
- Kernel loads and system is then **running**
- Boot loaders frequently allow various boot states, such as single user mode





Operating System Debugging

- **Debugging** is finding and fixing errors, or **bugs**
- Also **performance tuning**
- OS generate **log files** containing error information
- Failure of an application can generate **core dump** file capturing memory of the process
- Operating system failure can generate **crash dump** file containing kernel memory
- Beyond crashes, performance tuning can optimize system performance
 - Sometimes using ***trace listings*** of activities, recorded for analysis
 - **Profiling** is periodic sampling of instruction pointer to look for statistical trends

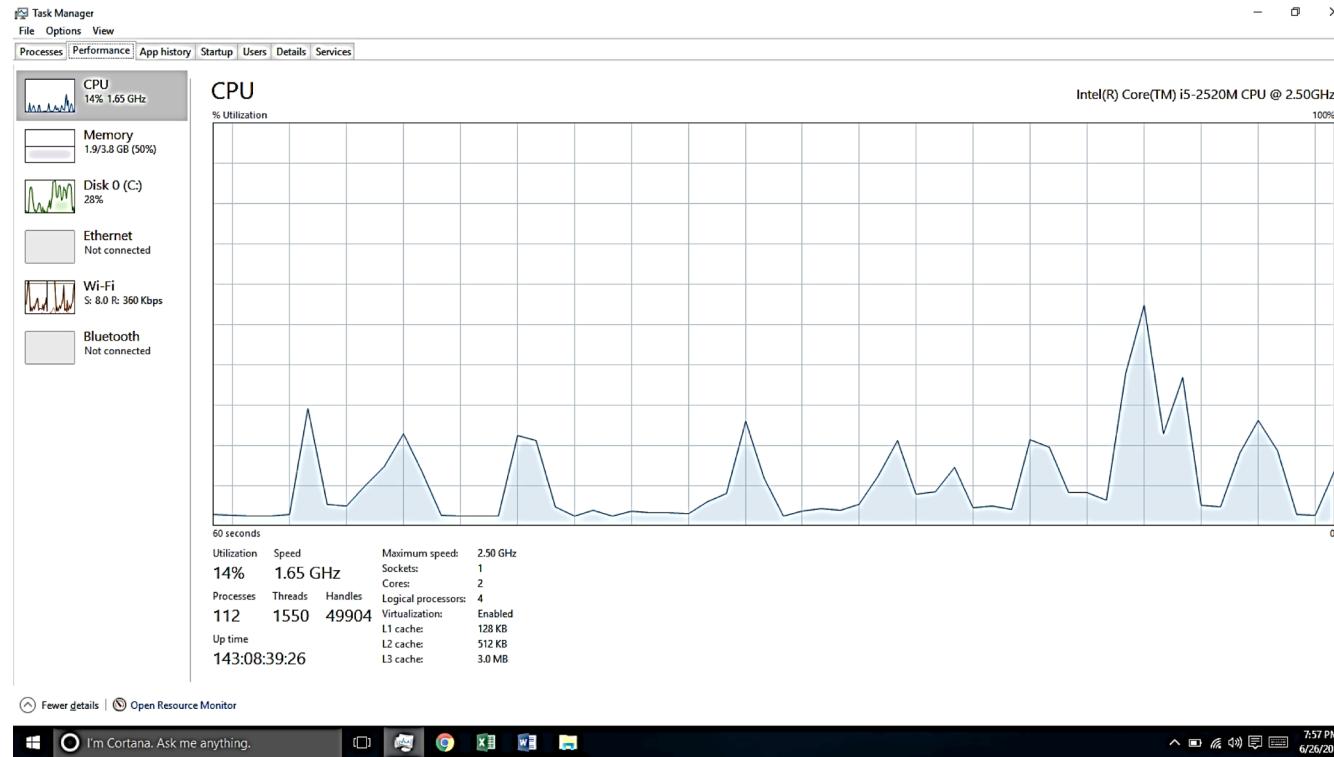
Kernighan's Law: "Debugging is twice as hard as writing the code in the first place. Therefore, if you write the code as cleverly as possible, you are, by definition, not smart enough to debug it."





Performance Tuning

- Improve performance by removing bottlenecks
- OS must provide means of computing and displaying measures of system behavior
- For example, “top” program or Windows Task Manager





Tracing

- Collects data for a specific event, such as steps involved in a system call invocation
- Tools include
 - strace – trace system calls invoked by a process
 - gdb – source-level debugger
 - perf – collection of Linux performance tools
 - tcpdump – collects network packets





BCC

- Debugging interactions between user-level and kernel code nearly impossible without toolset that understands both and can instrument their actions
- BCC (BPF Compiler Collection) is a rich toolkit providing tracing features for Linux
 - See also the original DTrace
- For example, `disksnoop.py` traces disk I/O activity

TIME(s)	T	BYTES	LAT(ms)
1946.29186700	R	8	0.27
1946.33965000	R	8	0.26
1948.34585000	W	8192	0.96
1950.43251000	R	4096	0.56
1951.74121000	R	4096	0.35

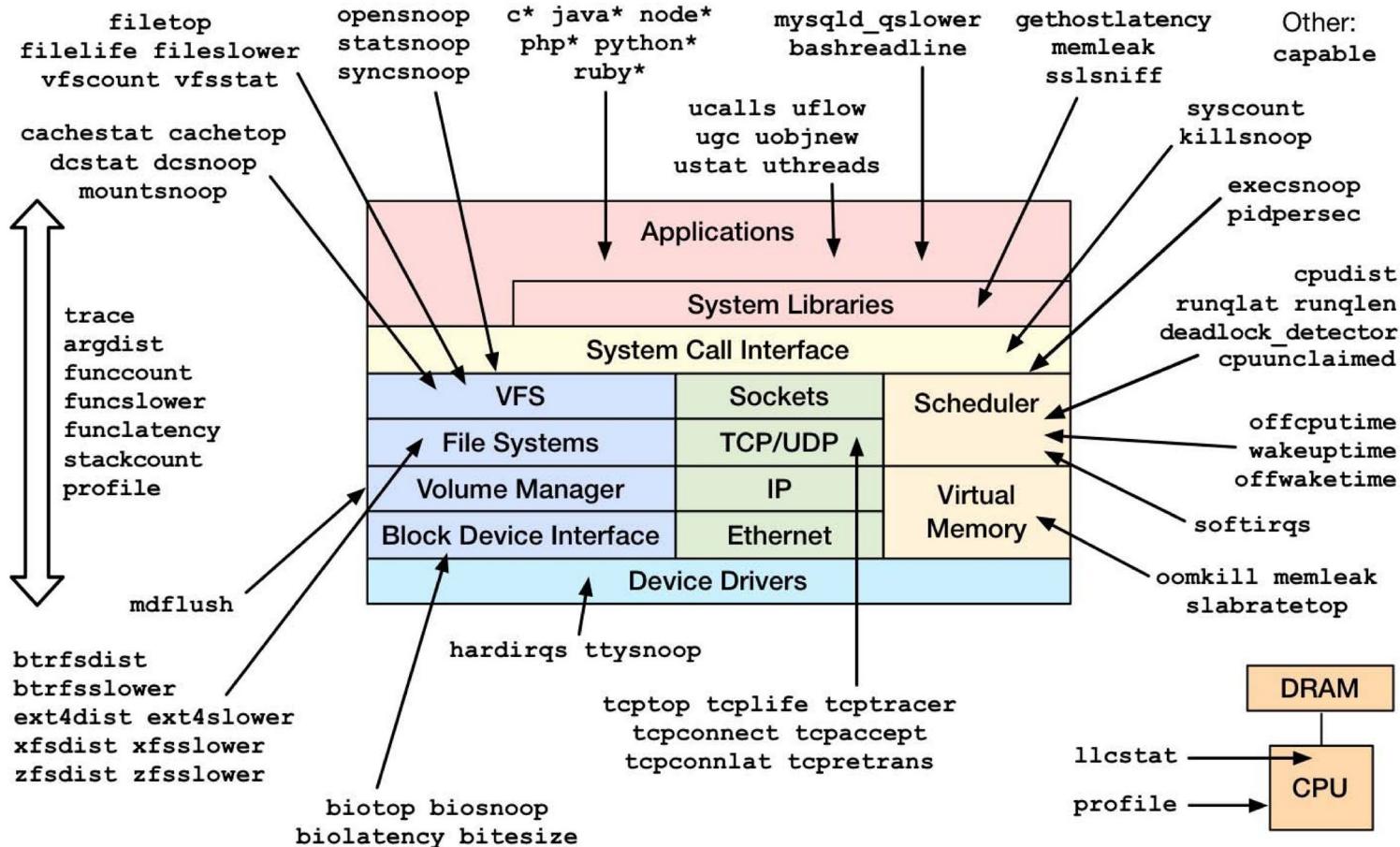
- Many other tools (next slide)





Linux bcc/BPF Tracing Tools

Linux bcc/BPF Tracing Tools



<https://github.com/iovisor/bcc#tools> 2017



End of Chapter 2

