



香港中文大學(深圳)  
The Chinese University of Hong Kong, Shenzhen



# CSC3170

## Tutorial 9

School of Data Science  
The Chinese University of Hong Kong, Shenzhen

# Outline

- Join Algorithm
  - Block Nested Loop Join
  - Index Nested Loop Join
  - Sort-Merge Join
  - Hash Join
- Exercise

# Block Nested Loop Join

- If we have  $B$  buffers available:
  - Use  $B-2$  buffers for each block of the outer table.
  - Use one buffer for the inner table, one buffer for output.

```
foreach  $B-2$  pages  $p_R \in R$ :
  foreach page  $p_S \in S$ :
    foreach tuple  $r \in B-2$  pages:
      foreach tuple  $s \in p_S$ :
        if  $r$  and  $s$  match then emit
```

- Cost:  $M + (\lceil M / (B-2) \rceil \cdot N)$

$M$  pages  
 $m$  tuples

id	name
600	MethodMan
200	GZA
100	Andy
300	ODB
500	RZA
700	Ghostface
400	Raekwon

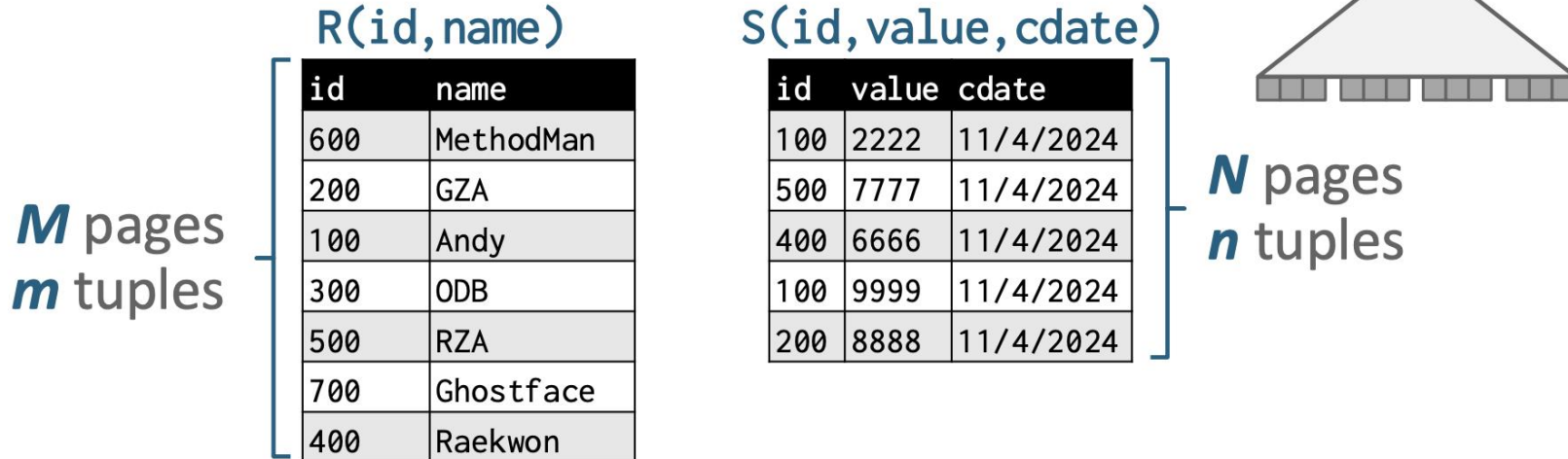
id	value	cdate
100	2222	11/4/2024
500	7777	11/4/2024
400	6666	11/4/2024
100	9999	11/4/2024
200	8888	11/4/2024

$N$  pages  
 $n$  tuples

# Index Nested Loop Join

```
foreach tuple  $r \in R$ :
  foreach tuple  $s \in \text{Index}(r_i = s_j)$ :
    if  $r$  and  $s$  match then emit
```

require an existing index of inner table



- Assume the cost of each index probe is some constant  $C$  per tuple.
- Cost:  $M + (m \cdot C)$

# Sort–Merge Join

- **Phase #1: Sort**

- Sort both tables on the join key(s).
- You can use any appropriate sort algorithm
- These phases are distinct from the sort/merge phases of an external merge sort, from the previous class

- **Phase #2: Merge**

- Step through the two sorted tables with cursors and emit matching tuples.
- May need to backtrack depending on the join type.

# Sort-Merge Join

```
sort R,S on join keys
cursorR ← Rsorted, cursorS ← Ssorted
while cursorR and cursorS:
    if cursorR > cursorS:
        increment cursorS
    if cursorR < cursorS:
        increment cursorR
    backtrack cursorS (if necessary)
    elif cursorR and cursorS match:
        emit
        increment cursorS
```

```
do{
    if (!mark){
        while(r<s){advance r}
        while(s<r){advance s}
        mark = s
    }
    if (r==s){
        emit
        advance s
    }
    else{
        reset s to mark
        advance r
        mark = NULL
    }
}
```

# Sort-Merge Join

R(id,name)

id	name
600	MethodMan
200	GZA
100	Andy
300	ODB
500	RZA
700	Ghostface
200	GZA
400	Raekwon

S(id,value,cdate)

id	value	cdate
100	2222	11/4/2024
500	7777	11/4/2024
400	6666	11/4/2024
100	9999	11/4/2024
200	8888	11/4/2024

```
SELECT R.id, S.cdate
FROM R JOIN S
      ON R.id = S.id
WHERE S.value > 100
```

# Sort–Merge Join

- **Sort Cost (R):**  $2M \cdot (1 + \lceil \log_{B-1} [M / B] \rceil)$
- **Sort Cost (S):**  $2N \cdot (1 + \lceil \log_{B-1} [N / B] \rceil)$
- **Merge Cost:**  $(M + N)$
- **Total Cost:** Sort + Merge

Worst Case: join attribute of all the tuples in both relations contains the same value

Merge Cost:  $M \times N$



# Hash Join

- **Phase #1: Build**

- Scan the outer relation and populate a hash table using the hash function  $h_1$  on the join attributes.
- We can use any hash table that we discussed before but in practice linear probing works the best.

- **Phase #2: Probe**

- Scan the inner relation and use  $h_1$  on each tuple to jump to a location in the hash table and find a matching tuple.

# Hash Join–Grace Hash Join

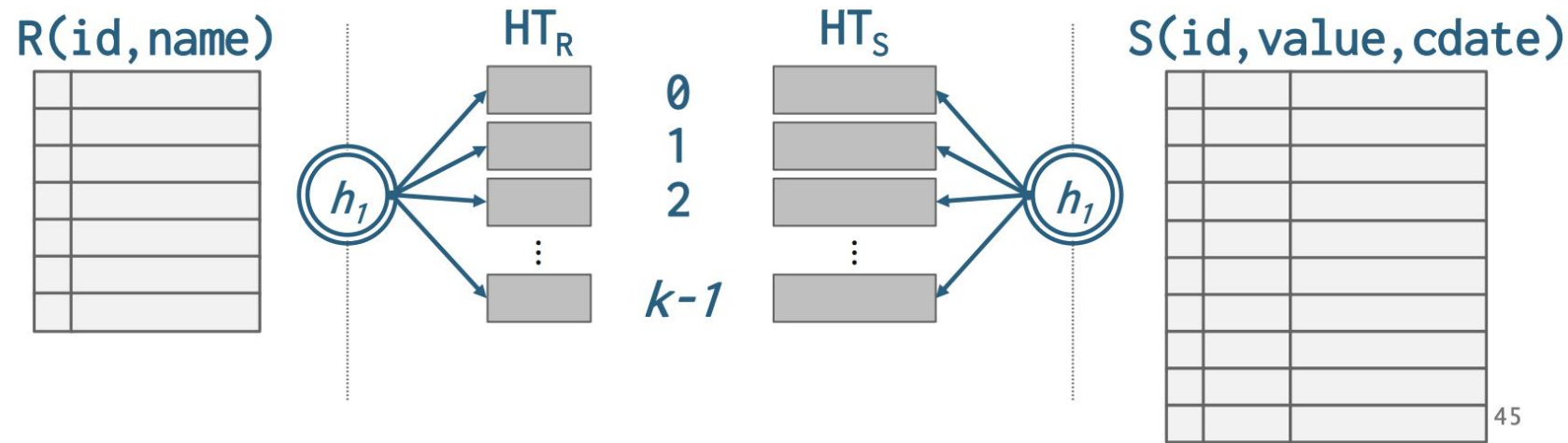
Deal the problem that the tables can not fit in the memory

- **Partition Phase:** Hash both tables on the join attribute into partitions.
- **Probe Phase:** Compares tuples in corresponding partitions for each table.

# Hash Join–Grace Hash Join

## Partition Phase

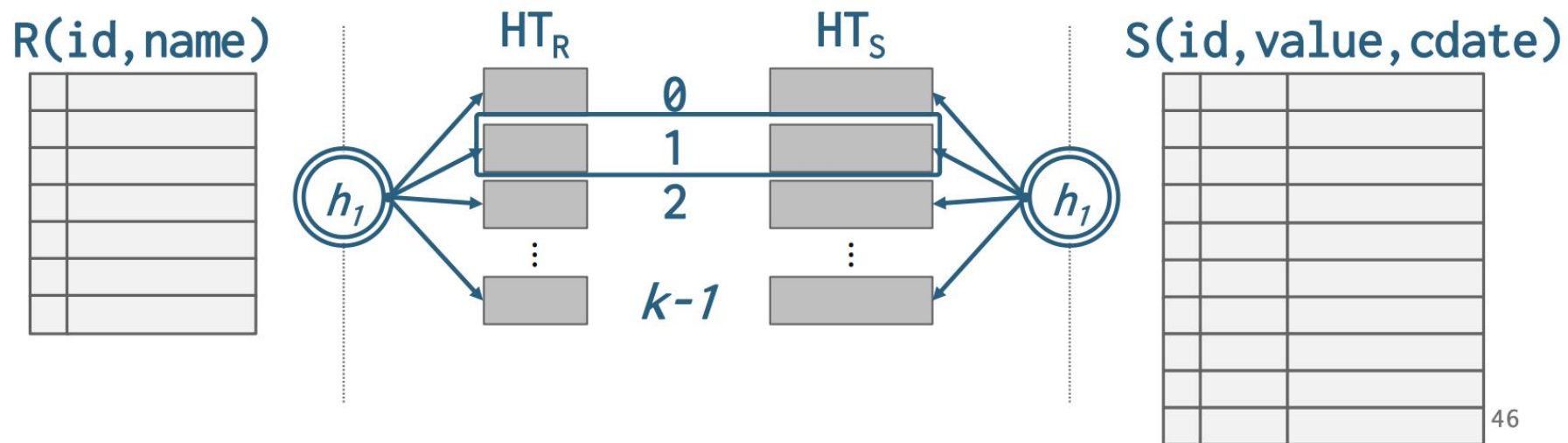
- Hash **R** into  $k$  buckets.
- Hash **S** into  $k$  buckets with same hash function.
- Write buckets to disk when they get full.



# Hash Join–Grace Hash Join

## Probe Phase

- Read corresponding partitions into memory one pair at a time, hash join their contents.



# Hash Join–Grace Hash Join

- If we do not need recursive partitioning:
  - Cost:  $3(M + N)$
- **Partition phase:**
  - Read+write both tables
  - $2(M+N)$  I/Os
- **Probe phase:**
  - Read both tables (in total, one partition at a time)
  - $M+N$  I/Os

The algorithm is **recursively** applied when one or more of the partitions still does not fit into the available memory.

# Exercise

Consider relations X and Y to be joined on the common attribute. There are

- $B = 500$  pages in the buffer
- Table X spans  $M = 2000$  pages with 40 tuples per page
- Table Y spans  $N = 600$  pages with 450 tuples per page

1. What is the I/O cost of a block nested loop join with Y as the outer relation and X as the inner relation?

# Exercise

Consider relations X and Y to be joined on the common attribute. There are

- B = 500 pages in the buffer
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1. What is the I/O cost of a block nested loop join with Y as the outer relation and X as the inner relation?

$$N + \lceil N/(B - 2) \rceil \cdot M = 600 + 2 \times 2000 = 4600$$

# Exercise

Consider relations X and Y to be joined on the common attribute. There are

- $B = 500$  pages in the buffer
- Table X spans  $M = 2000$  pages with 40 tuples per page
- Table Y spans  $N = 600$  pages with 450 tuples per page

2. What is the I/O cost of a Grace hash join with X as the outer relation and Y as the inner relation?



# Exercise

Consider relations X and Y to be joined on the common attribute. There are

- B = 500 pages in the buffer
- Table X spans M = 2000 pages with 40 tuples per page
- Table Y spans N = 600 pages with 450 tuples per page

2. What is the I/O cost of a Grace hash join with X as the outer relation and Y as the inner relation?

$$3(M + N) = 3 \times 2600 = 7800$$

# Q&A