MAT 2040

Tutorial 8

TA team

CUHK(SZ)

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Consider

$$A = \begin{bmatrix} -3 & 0 & 2 & -1 \\ 1 & 1 & -2 & 4 \\ -2 & 1 & 0 & 3 \\ 0 & 5 & -4 & 2 \end{bmatrix}$$

- Calculate the rank and nullity of A.
- ② Find the basis for Col(A) and Row(A).

The row echelon form of A is

$$A = \begin{bmatrix} -3 & 0 & 2 & -1 \\ 1 & 1 & -2 & 4 \\ -2 & 1 & 0 & 3 \\ 0 & 5 & -4 & 2 \end{bmatrix} \rightarrow \begin{bmatrix} -3 & 0 & 2 & -1 \\ 0 & 1 & -\frac{4}{3} & \frac{11}{3} \\ 0 & 1 & -\frac{4}{3} & \frac{11}{3} \\ 0 & 5 & -4 & 2 \end{bmatrix}$$
$$\rightarrow \begin{bmatrix} -3 & 0 & 2 & -1 \\ 0 & 1 & -\frac{4}{3} & \frac{11}{3} \\ 0 & 0 & \frac{4}{3} & \frac{11}{3} \\ 0 & 0 & \frac{8}{3} & -\frac{49}{3} \\ 0 & 0 & 0 & 0 \end{bmatrix}$$

• Thus rank(A)=3. By the Rank-Nullity Theorem, n(A)= 4-rank(A)= 1.

$$\text{Col}(A) = \text{Span} \left\{ \begin{bmatrix} -3\\1\\-2\\0 \end{bmatrix}, \begin{bmatrix} 0\\1\\1\\5 \end{bmatrix}, \begin{bmatrix} 2\\-2\\0\\-4 \end{bmatrix} \right\}, \\ \text{Row}(A) = \text{Span} \left\{ \begin{bmatrix} -3,0,2,-1 \end{bmatrix}^T, \begin{bmatrix} 0,1,-\frac{4}{3},\frac{11}{3} \end{bmatrix}^T, \begin{bmatrix} 0,0,\frac{8}{3},-\frac{49}{3} \end{bmatrix}^T \right\}$$

For different values of λ , what is the rank of the following matrix

$$A = \begin{bmatrix} 1 & \lambda & -1 & 2 \\ 2 & -1 & \lambda & 5 \\ 1 & 10 & -6 & 1 \end{bmatrix}$$

$$A = \begin{bmatrix} 1 & \lambda & -1 & 2 \\ 2 & -1 & \lambda & 5 \\ 1 & 10 & -6 & 1 \end{bmatrix} \rightarrow \begin{bmatrix} 1 & \lambda & -1 & 2 \\ 0 & -1 - 2\lambda & \lambda + 2 & 1 \\ 0 & 10 - \lambda & -5 & -1 \end{bmatrix}$$

• If $-1-2\lambda \neq 0$, i.e. $\lambda \neq -1/2$, then

$$\begin{bmatrix} 1 & \lambda & -1 & 2 \\ 0 & -1 - 2\lambda & \lambda + 2 & 1 \\ 0 & 10 - \lambda & -5 & -1 \end{bmatrix} \rightarrow \begin{bmatrix} 1 & \lambda & -1 & 2 \\ 0 & 1 & -\frac{\lambda + 2}{1 + 2\lambda} & -\frac{1}{1 + 2\lambda} \\ 0 & 0 & -\frac{(\lambda - 3)(\lambda + 5)}{1 + 2\lambda} & -\frac{3\lambda - 9}{1 + 2\lambda} \end{bmatrix}$$

- **1** If $\lambda \neq 3$ and $\lambda \neq -5$, then rank(A)=3.
- ② If $\lambda = 3$, then rank(A)=2.
- **3** If $\lambda = -5$, then rank(A)=3.

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• If $\lambda = -1/2$, then

$$A \to \begin{bmatrix} 1 & -\frac{1}{2} & -1 & 2 \\ 0 & 0 & \frac{3}{2} & 1 \\ 0 & \frac{11}{2} & -5 & -1 \end{bmatrix} \to \begin{bmatrix} 1 & -\frac{1}{2} & -1 & 2 \\ 0 & \frac{11}{2} & -5 & -1 \\ 0 & 0 & \frac{3}{2} & 1 \end{bmatrix}$$

Thus, rank(A)=3.

Conslusion: If $\lambda = 3$, rank(A)=2; If $\lambda \neq 3$, rank(A)=3.

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Consider the vectors

$$\mathbf{u} = \begin{bmatrix} 1 \\ -1 \\ 1 \end{bmatrix}, \ \mathbf{v} = \begin{bmatrix} 1 \\ 2 \\ 1 \end{bmatrix}, \ \mathbf{w} = \begin{bmatrix} 0 \\ 0 \\ 1 \end{bmatrix}, \ \mathbf{0} = \begin{bmatrix} 0 \\ 0 \\ 0 \end{bmatrix}$$

Let A be a 3×3 matrix such that $A\mathbf{u} = \mathbf{0}$, $A\mathbf{v} = \mathbf{0}$ and $A\mathbf{w} = \mathbf{w}$. What is the rank of A?

From the Rank-Nullity Theorem

$$\dim(\mathsf{Null}(A)) + \mathsf{rank}(A) = 3$$

 $\operatorname{Null}(A)$ is a subspace of \mathbb{R}^3 so that $\dim(\operatorname{Null}(A)) \leq 3$.

Since $A\mathbf{u} = \mathbf{0}$ and $A\mathbf{v} = \mathbf{0}$, we have $\mathbf{u} \in \text{Null}(A)$ and $\mathbf{v} \in \text{Null}(A)$, \mathbf{u} and \mathbf{v} are linear independent $\Rightarrow \dim(\text{Null}(A)) \geq 2$.

 $A\mathbf{w} = \mathbf{w} \neq 0 \implies \mathbf{w} \notin \text{Null}(A) \implies \text{Null}(A) \neq \mathbb{R}^3$, then we have $\dim(\text{Null}(A)) = 2$.

Thus

$$rank(A) = 3 - dim(Null(A)) = 1$$

Let $A \in \mathbb{R}^{s \times n}$, show that $rank(AA^T) = rank(A^TA) = rank(A)$.

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If we can prove that the null spaces of the linear systems $(A^TA)x = 0$ and Ax = 0 are identical, then by the Rank-Nullity Theorem, we will have:

$$n - \operatorname{rank}(A^T A) = n - \operatorname{rank}(A)$$

Now, let's prove that the null spaces of $A^TAx = 0$ and Ax = 0 are identical.

Let η be any solution to $A\mathbf{x} = 0$, i.e., $A\eta = 0$, then $A^TA\eta = 0$, meaning that η is a solution to $A^TAx = 0$.

Conversely, assume $A^T A \delta = 0$, then

$$\delta^T A^T A \delta = 0$$

which implies $(A\delta)^T(A\delta) = 0$.



Suppose $(A\delta)^T = [c_1, c_2, \cdots, c_s]$. Since A is a real matrix, the components of $A\delta$ are real numbers. Thus we have

$$c_1^2 + c_2^2 + \dots + c_s^2 = 0 \implies c_1 = c_1 = \dots = c_s = 0$$

This implies that $A\delta=0$, meaning that δ is also a solution to Ax=0. Thus the null spaces of the linear systems $(A^TA)x=0$ and Ax=0 are identical. Hence, we conclude that:

$$Null(A^TA) = Null(A) \Rightarrow rank(A^TA) = rank(A)$$

Thus,

$$rank(AA^T) = rank(A^TA) = rank(A).$$

