

Block 6: Field Data and Performance Monitoring

34553: Applied Photovoltaics

Outline

- Intro to PV monitoring
 - Equipment and sensors (IEC 61724-1)
 - Capacity Evaluation (IEC 61724-2)
 - Performance Index (PI)
- Performance Metrics
 - Performance Ratios / Specific Yield
- Degradation Rate (R_d)

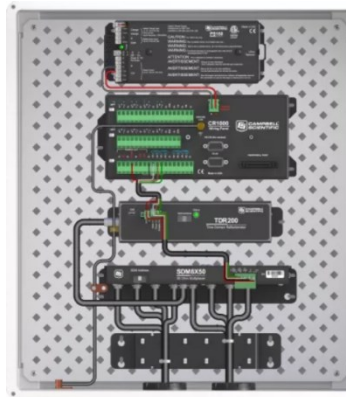
The Building Blocks of a PV Monitoring System

- Equipment / *Sensors*
 - AC power / *transducers or inverter*
 - Nice to have: DC data, voltage (V_{mp}) and current (I_{mp})
 - In plane irradiance / *pyranometer or reference cell*
 - Global horizontal irradiance / *pyranometer*
 - Nice to have: DfHI, DNI
 - Back of module temperature / *RTD, TC etc.*
 - Ambient temperature and wind speed / *aspirated temp. sensor + anemometer*
 - Nice to have: other meteo data such as rain fall, RH, soiling etc.



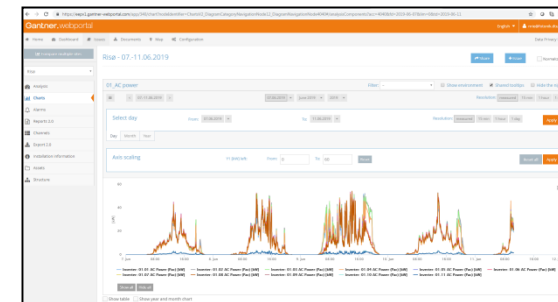
Sensor network

→
Analog and/or
digital signals



Enclosure with data logging
and transfer (SCADA)

→
Ethernet,
Wi-Fi, 4G,
or Satellite



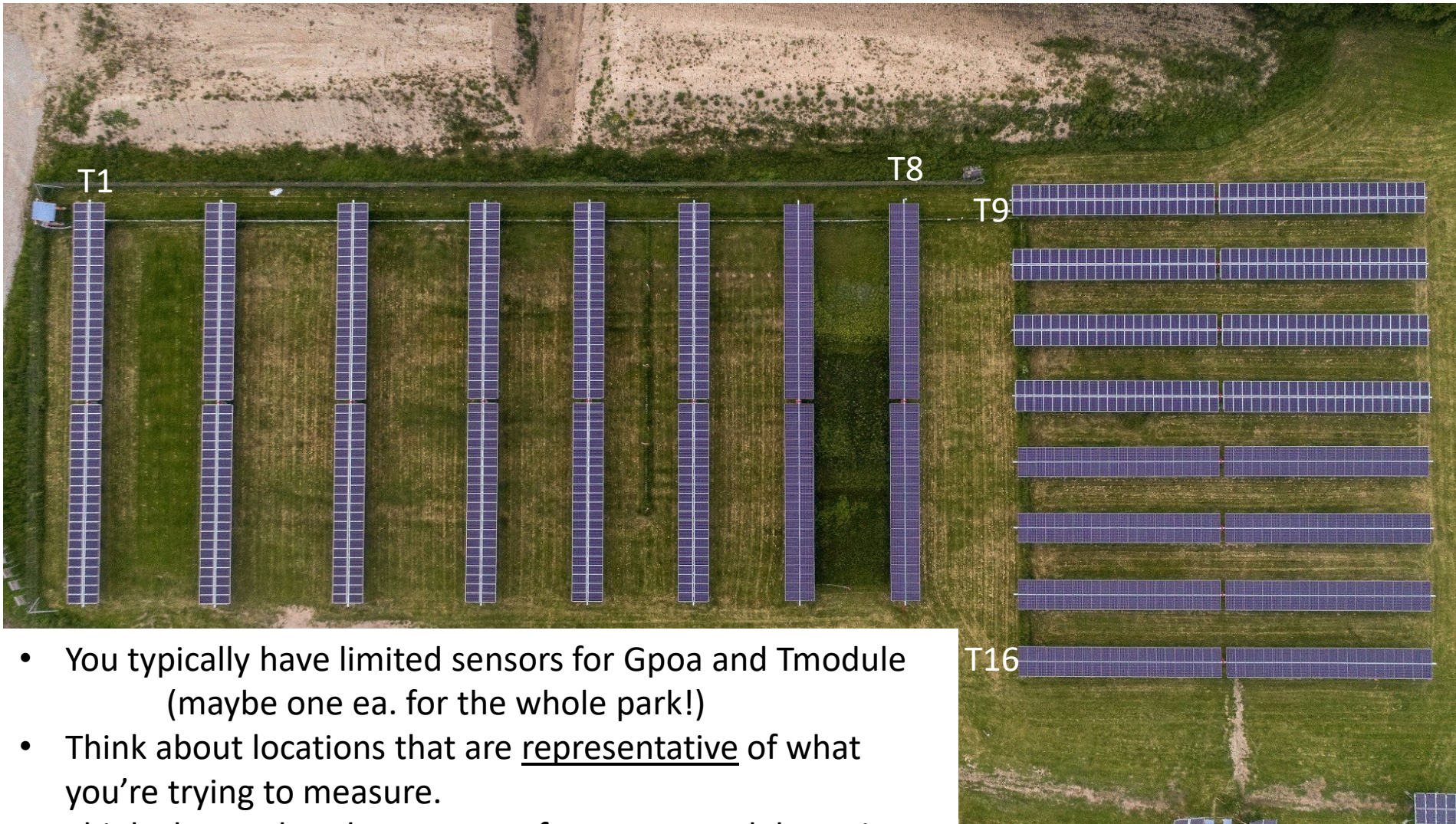
PV system analytics

Classifications of Monitoring Systems (IEC 61724)

- The accuracy and complexity of a monitoring system depends on customer needs and contractual terms.
 - Not only in terms of physical hardware, but calibration and cleaning schedules, and analytics.
- Three classes and guidelines are specified in IEC 61724
 - The more valuable the asset (utility scale), typically the higher the class.

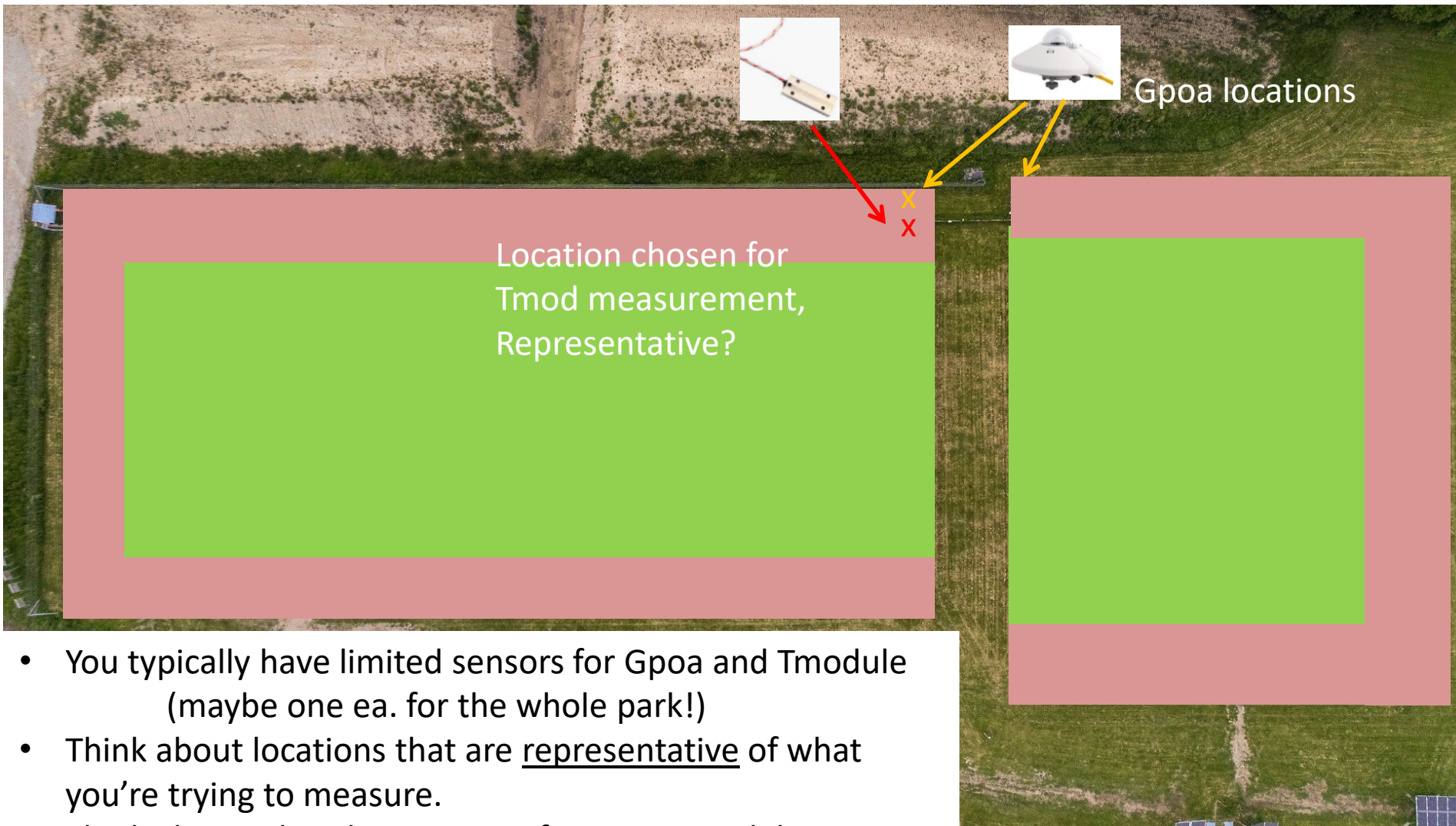
General Requirements	Class A System	Class B System	Class C System
Basic system performance assessment	x	x	x
Documentation of performance guarantee/performance index	x	x	
Fault localization	x	x	
Precise PV system degradation measurement	x		
System losses analysis	x		
Electricity network interaction assessment	x		

Where do the Meteorological Sensors Get Mounted???



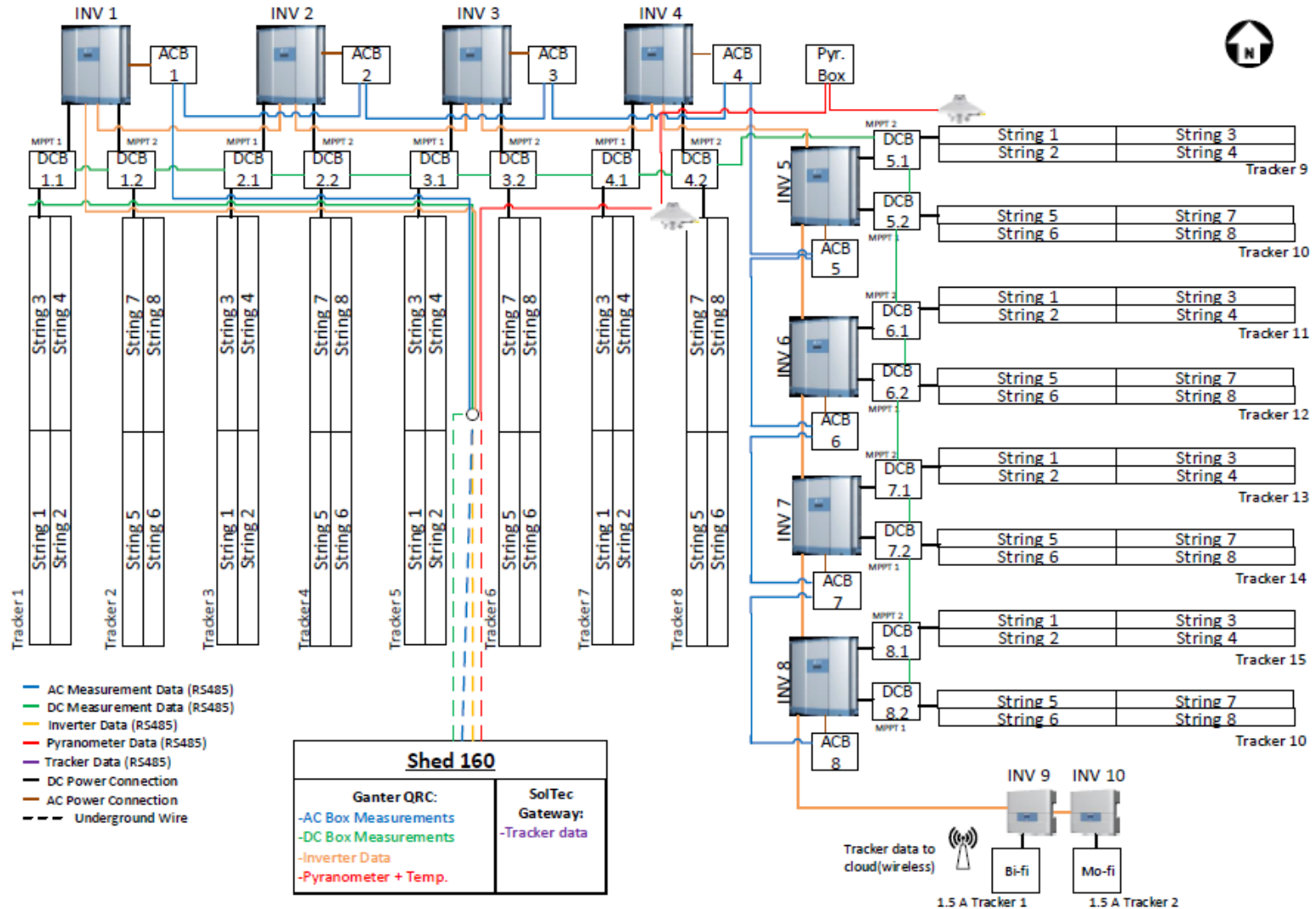
- You typically have limited sensors for G_{poa} and T_{module} (maybe one ea. for the whole park!)
- Think about locations that are representative of what you're trying to measure.
- Think about what data your performance model requires:
Eg: Albedo, wind speed (height), RH etc.

Where do the Meteorological Sensors Get Mounted???



- You typically have limited sensors for Gpoa and Tmodule (maybe one ea. for the whole park!)
- Think about locations that are representative of what you're trying to measure.
- Think about what data your performance model requires:
Eg: Albedo, wind speed (height), RH etc.

Placement of Electrical Monitoring Sensors



Pyranometers or Reference Cells?



Pyranometers

- Thermoelectric principle
 - Not spectrally sensitive
- Slower response times
- Can be mounted in PV plane or horizontally
 - Better angular performance



Reference Cells

- Photovoltaic principle
 - Spectrally sensitive
- Fast response times
- Can be mounted only in PV POA
 - High reflection when $\text{AOI} > 45^\circ$

Pyranometer Classification

Reference number	ISO Pyranometer Categories		
ISO 9060:2018 classification	Class C	Class B	Class A
1 Response time (95 %)	< 60 s	< 30 s	< 15 s
2 Zero offsets (a) (b)	$\pm 30 \text{ W/m}^2$ $\pm 8 \text{ W/m}^2$	$\pm 15 \text{ W/m}^2$ $\pm 4 \text{ W/m}^2$	$\pm 7 \text{ W/m}^2$ $\pm 2 \text{ W/m}^2$
3a Non-stability	$\pm 3.0 \%$	$\pm 1.5 \%$	$\pm 0.8 \%$
3b Non-linearity	$\pm 3 \%$	$\pm 1 \%$	$\pm 0.5 \%$
3c Directional response (for beam radiation)	$\pm 30 \text{ W/m}^2$	$\pm 20 \text{ W/m}^2$	$\pm 10 \text{ W/m}^2$
3d Spectral selectivity	$\pm 10 \%$	$\pm 5 \%$	$\pm 3 \%$
3e Temperature response	8 %	4 %	2 %
3f Tilt response (leveling, NA to GHI)	$\pm 5 \%$	$\pm 2 \%$	$\pm 0.5 \%$

- New nomenclature for classification is *Class A, B and C*,
- Less confusing than secondary standard as the highest class.
- Within Class A, B, or C sensors can be “**spectrally flat**” or “**fast response**”.



Q to Class: Which is spectrally flat and which is fast response?
Pyranometers or reference cells?

Additional Irradiance Measurements

Direct Normal Irradiance



Pyrheliometer on 2-axis tracker

- Measurement of direct beam light using **5° FOV** collimation tube.
- They must point directly at the sun.

Diffuse Horizontal Irradiance



Pyranometer shaded by shadow ball on 2-axis tracker

- DfHI is measured w/ a shadow ball or disc that shades the pyranometer with a **5° FOV**.
- Shadow bands can also be used.
 - Less accurate than disc/ball

Review of Transposition

- If we don't have irradiance data in the plane of array (POA) of interest, we can always estimate it from the irradiance data we have available.
 - At minimum we need GHI. But with more data (e.g. DfHI or DNI), comes better accuracy.
- There are many transposition models (see 34552), but we'll review the basic isotropic model.

$$GHI = DNI * \cos(\theta_z) + DfHI$$

Review of Transposition

$$G_{POA} = \boxed{DrHI * R_b} + \boxed{DfHI * \frac{1+\cos(\beta)}{2}} + \boxed{GHI * \rho_g * \frac{1-\cos(\beta)}{2}}$$

Where:

GHI = Global horizontal irradiance

DfHI = Diffuse horizontal irradiance

DNI = Direct normal irradiance

DrHI = Direct beam irradiance on a horizontal surface

β = Collector tilt angle

ρ_g = Ground albedo

R_b = Correction factor for beam component

θ = Angle of incidence

$$R_b = \frac{\cos(\theta)}{\cos(\theta_z)}$$

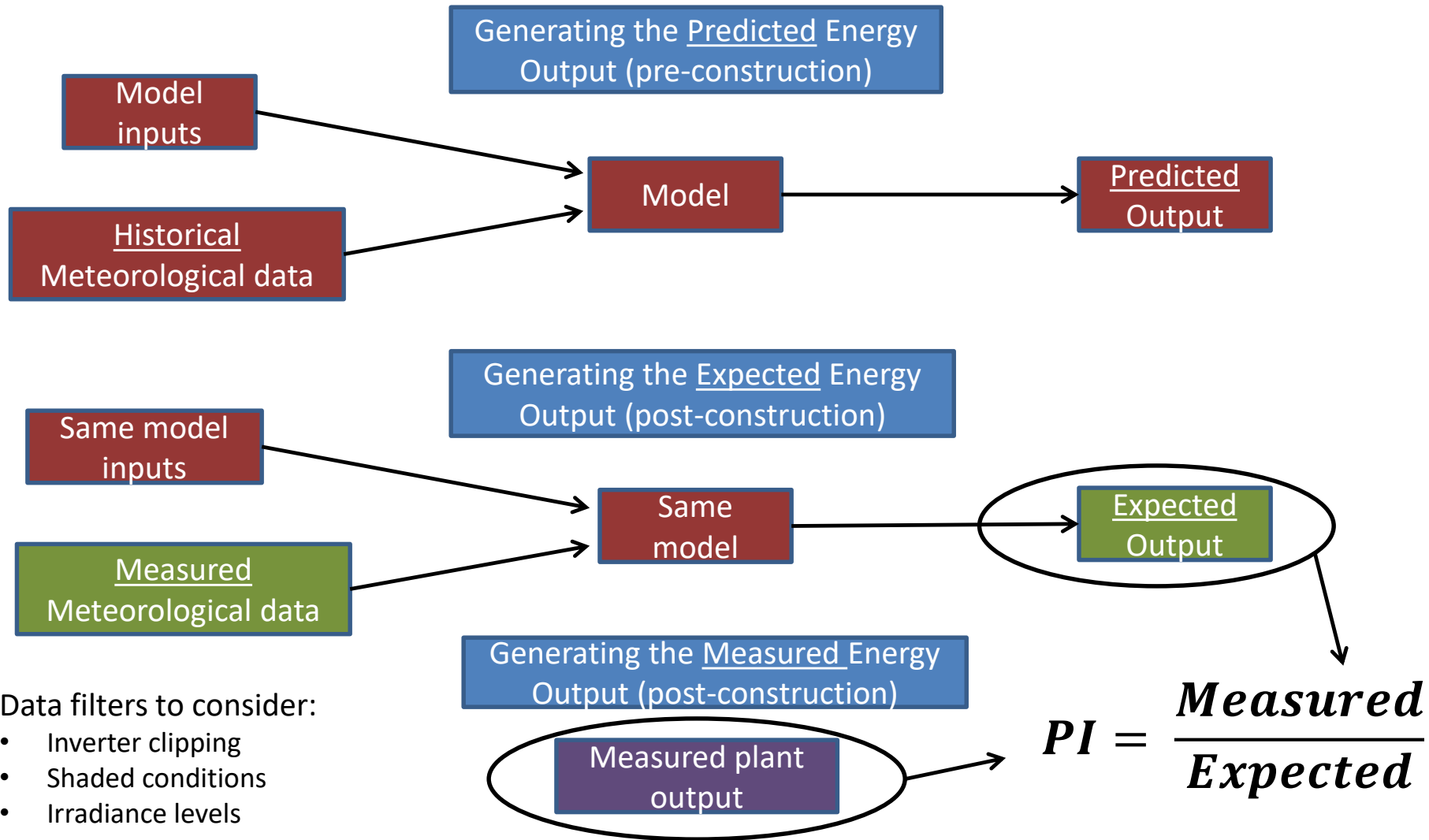


The isotropic model is conservative (i.e. tends to underestimate G_{POA})

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Checking the Energy Performance Index (PI)



Performance Ratios

- The PR is the ratio of the total AC energy generated by a PV system to the amount of energy it would have generated at STC conditions/efficiency.

$$PR = \frac{AC_{yield} [Wh] / STC_{Mpp} [W]}{G_{POA} [\frac{Wh}{m^2}] / 1000 [\frac{W}{m^2}]}$$

- Note that the PR is calculated over time (e.g. hours, days, months etc). The equation is often shown in the following form:

$$PR = \frac{Y_{AC}(t)}{E_{POA}(t)}$$

Where:

PR = Performance ratio

$Y_{AC}(t)$ = The AC energy yield over time (kWh/kW_p)

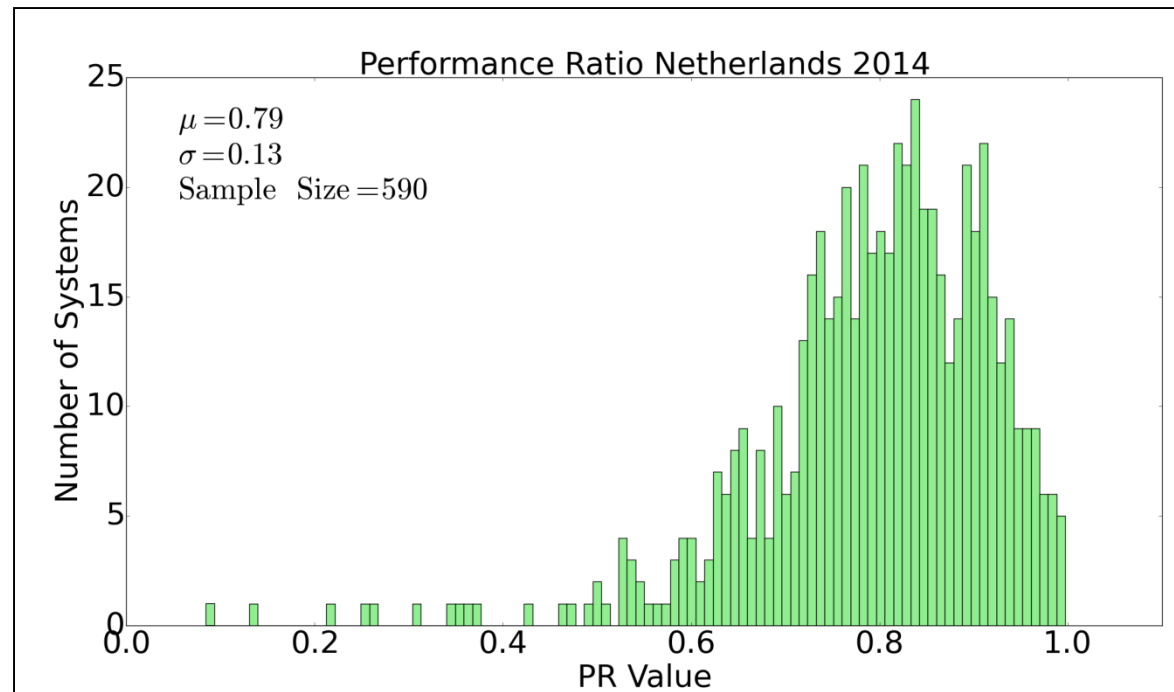
$E_{POA}(t)$ = Cumulative POA irradiance divided by 1000 W/m² (STC)

Performance Ratios

- The PR of a PV system characterizes all relevant losses of the system including:
 - Thermal losses
 - Shading losses (from inter-row, near and far objects)
 - DC and AC cabling losses
 - Inverter losses
 - Losses from mismatch (cell and module level)
 - Losses from decreased efficiency under variable light intensity and spectral conditions
 - Reflection losses
 - Soiling and other losses

Case Studies of Performance Ratios

- Avg. system size: 10.7 kWp
 - Avg. PR = 0.79
- “web scrapping” techniques used to acquire Y_{AC} data.
- PV system data correlated to nearest weather station (E_{POA}).
- Severe under performance ($PR < 0.5$) due to malfunction.
- $0.6 < PR < 0.7$ likely due to shade obstruction.
- $PR \approx 1$ not realistic. Likely due to inaccurate E_{POA} estimate.



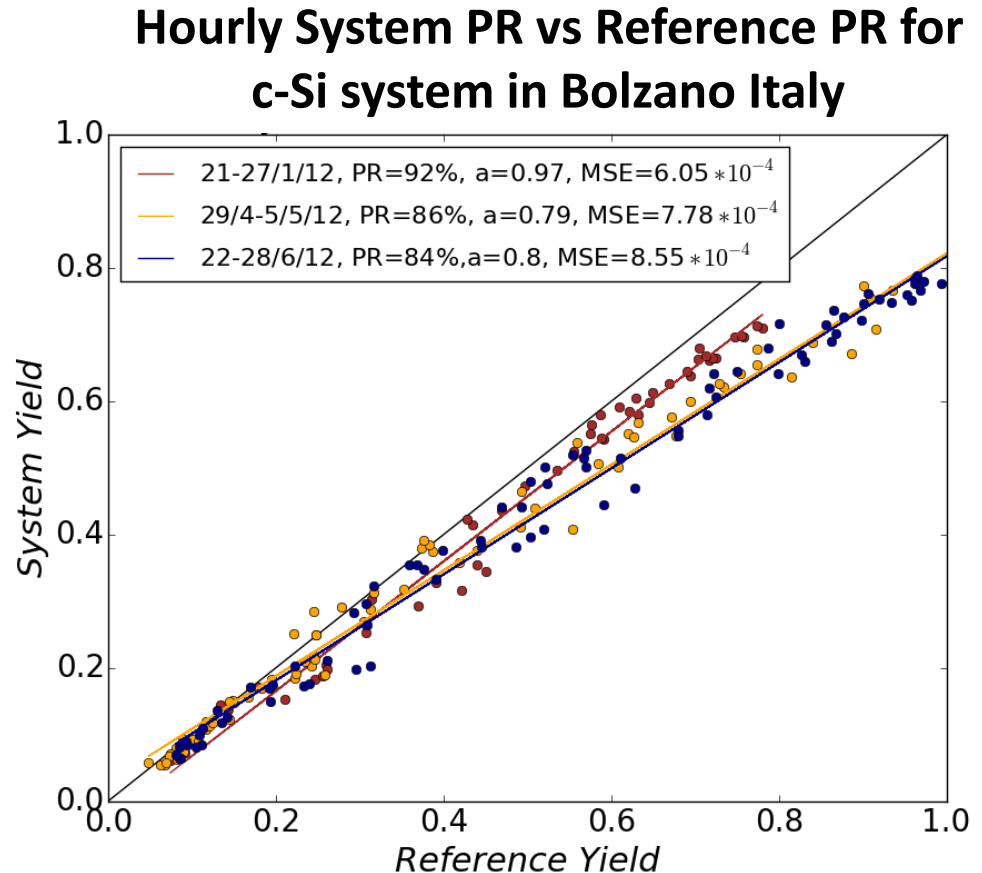
Distribution of PR values for a sample of 590 Dutch PV systems (Source: Moraitis, P. in The 42nd PVSC, 2015)

Case Studies of Performance Ratios

Winter PR = 0.92
Spring PR = 0.86
Summer PR = 0.84

$$\text{System Yield} = AC_{\text{yield}} [Wh] / STC_{Mpp} [W]$$

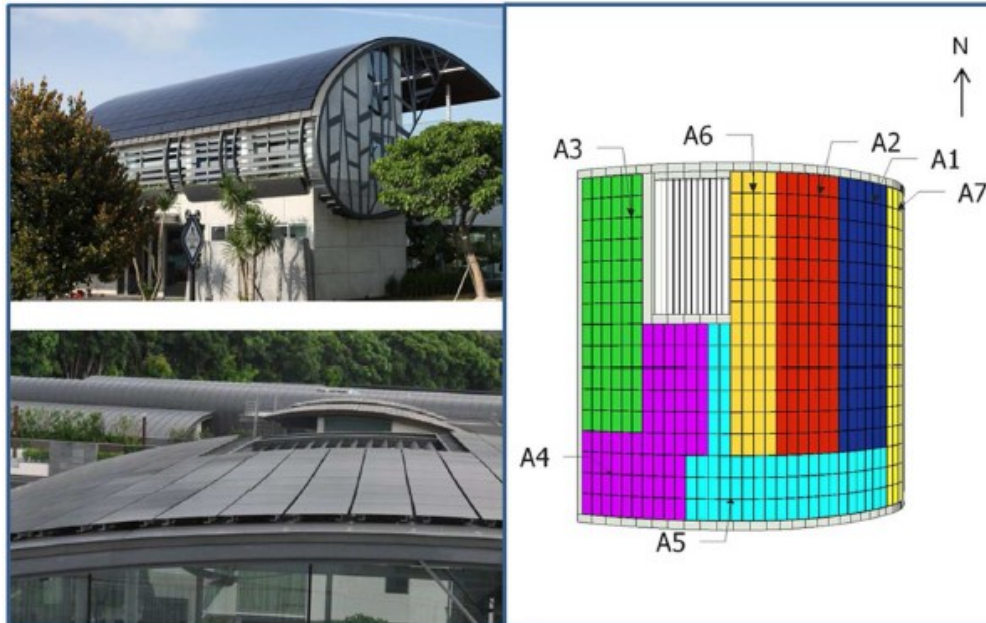
$$\text{Reference Yield} = G_{POA} \left[\frac{Wh}{m^2} \right] / 1000 \left[\frac{W}{m^2} \right]$$



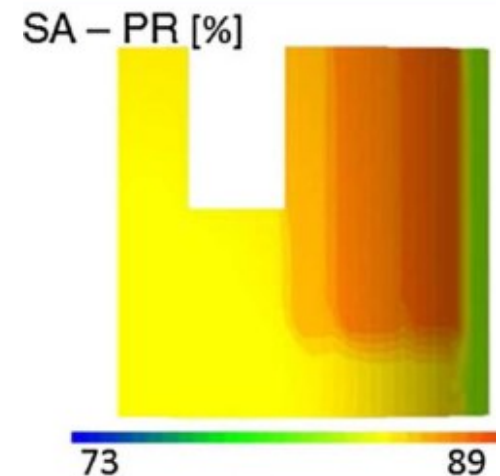
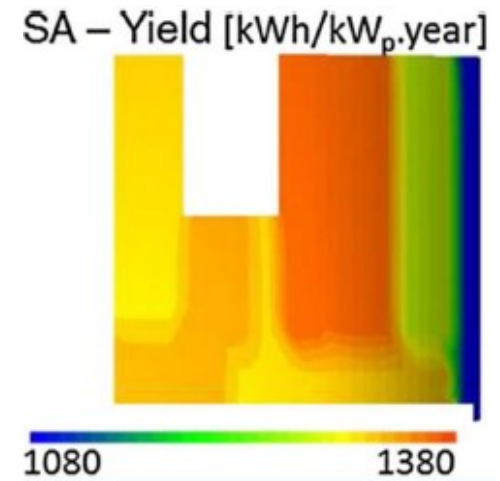
System yield versus reference yield for a 4.14 kWp PV system in Bolzano Italy. The colored data points are for different time periods, the black line is the unity reference yield. Source: (Tsafarakis, O. in 29th EU PVSEC, 2014).

Case Studies of Performance Ratios

- 45 kWp mono-si system in Singapore (1.35°N).
- 7 subsystems w/ $\beta = 2^\circ$ to 48°
 - Eastern arrays are the steepest.
 - Subsystem A7 is partially shaded.
- AM in Singapore is sunnier than PM.

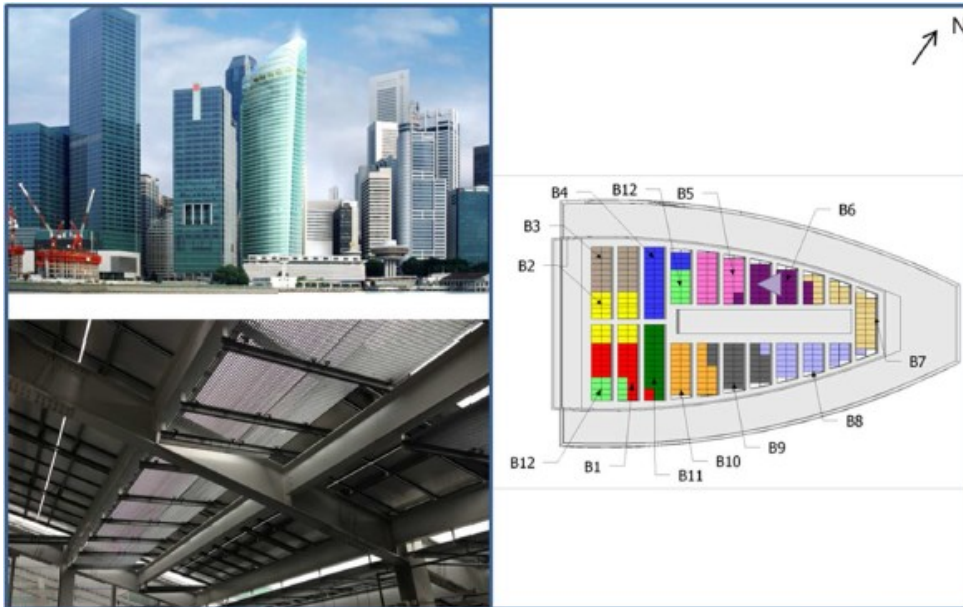


Source: Zomer et al, PiP, 2014

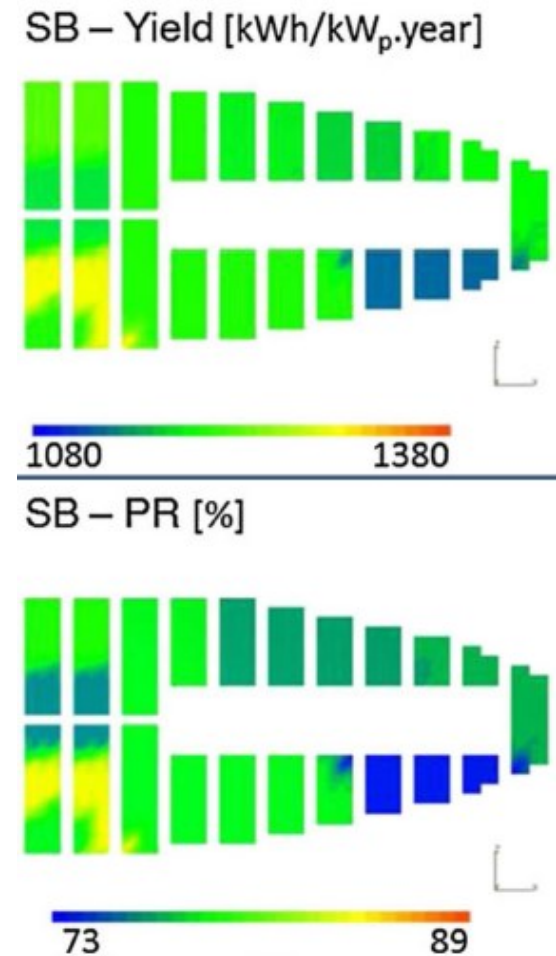


Case Studies of Performance Ratios

- 75 kWp SHJ system in Singapore (1.35°N).
- On top of a high rise building.
- 12 subsystems all with same tilt and azimuth (SW).
 - Lower PR than the 45 kWp system because of the SW azimuth (i.e. cloudy afternoons).



Source: Zomer et al, PiP, 2014



Application of Performance Ratios

- Let's say you have reliable PR data for a specific PV technology in a given area. Then you can calculate the expected energy output simply using:
 - The expected PR,
 - The TMY or DRY solar radiation data (in kWh/m²),
 - and the installed system's size at STC (in DC W_p).
- Example in the case of Denmark:**
 - We can assume an average annual performance ratio of 80%.
 - The insolation received on a 35° surface in a TMY is about 1200 kWh/m²/yr.
 - What will be the energy output of a 3 kWp system?

$$PR = \frac{AC_{yield}/STC_{Mpp}}{G_{POA}/1000} \quad \Rightarrow \quad AC_{yield} = PR * \frac{G_{POA}}{1000} * STC_{Mpp}$$

Application of Performance Ratios

- **Example in the case of Denmark:**

- We can assume an average annual performance ratio of 80%.
- The insolation received on a 35° surface in a TMY is about 1200 kWh/m².
- What will be the energy output of a 3 kWp system?

$$AC_{yield} = PR * \frac{G_{POA}}{1000} * STC_{Mpp}$$

$$AC_{yield} = 0.8 * \frac{1200}{1000} * 3000 = \mathbf{2880} \frac{kWh}{yr}$$

- In this way, the PR can be a convenient metric for “back of the envelope” energy yield calculations that doesn’t require detailed understanding of all system loss mechanisms.

Temperature-Corrected Performance Ratios (PR_{TC})

- The seasonality of PR can be greatly reduced using PR_{TC}

$$PR_{TC} = PR * [1 + \gamma * (T_{mod} - 25)]$$

- If T_{mod} data is not available, it can be estimated using a suitable heat transfer model such as Faïman 2008.

$$T_{mod} = \frac{G_{POA}}{U1 * WS + U0} + T_{amb}$$

Where:

γ = temperature coefficient for power (1/°C)

WS = wind speed (m/s)

T_{amb} = ambient temperature (°C)

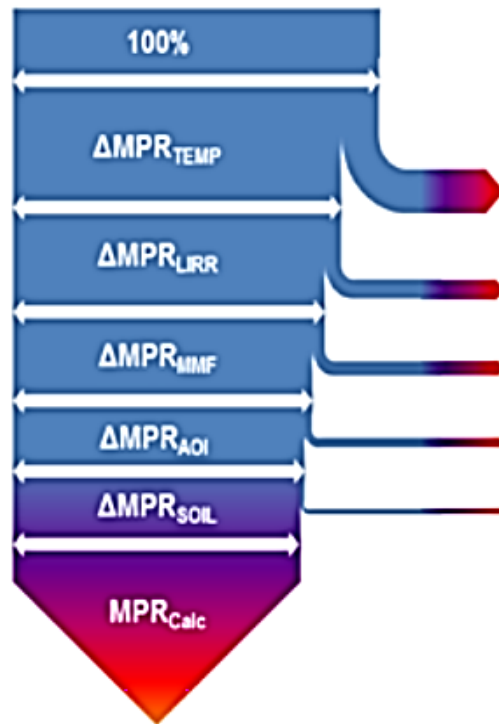
U1 = convective heat transfer coefficient (W·s/m³ ·K) -> **6 W·s/m³ ·K** reasonable for c-Si

U0 = radiative heat transfer coefficient (W/m² ·K) -> **26 W/m² ·K** reasonable for c-Si

M. Koehl et al. Modeling of the NOCT based on outdoor weathering. Sol. Energy Mater. 2011

Specific Loss Mechanisms and Module Performance Ratios (MPR)

- MPR doesn't take the inverter losses into account (good for comparing PV technologies).
- By calculating all individual losses (AOI, spectrum etc), then you can derive the overall MPR.



c-Si 1				CdTe 1				CIGS 2			
Cologne	Ancona	Tempe	Chennai	Cologne	Ancona	Tempe	Chennai	Cologne	Ancona	Tempe	Chennai
-2.3%	-3.9%	-8.9%	-9.6%	-1.5%	-3.5%	-6.7%	-6.2%	-2.9%	-4.5%	-8.8%	-9.1%
-1.2%	-0.8%	-0.4%	-0.5%	0.0%	+0.2%	+0.3%	+0.6%	-3.6%	-3.1%	-1.8%	-2.4%
+1.3%	+0.5%	-0.8%	+1.6%	+2.3%	+0.9%	+1.1%	+5.3%	+1.4%	+0.3%	-1.1%	+1.8%
-3.5%	-2.4%	-2.0%	-2.9%	-3.5%	-2.4%	-2.0%	-2.9%	-3.5%	-2.4%	-2.0%	-2.9%
-0.5%	-0.5%	-3.7%	-2.1%	-0.5%	-0.5%	-3.7%	-2.1%	-0.5%	-0.5%	-3.7%	-2.1%
-6.2%	-7.2%	-15.9%	-13.5%	-3.1%	-5.4%	-11.1%	-5.3%	-9.1%	-10.3%	-17.4%	-14.7%
93.9%	92.8%	84.1%	86.5%	96.9%	94.6%	88.9%	94.7%	90.9%	89.7%	82.6%	85.3%

Source: PV-tech Magazine, May 2017, pp. 56-65

Example of Module Level Loss Mechanism Calculations

- To calculate these loss factors you would need the characterization data from the we talked about in block 3 (optical, spectral and thermal performance).

$$L_{AOI} = \frac{G_{AOI} - G}{G}$$



$$L_{AOI,year} = \frac{H_{AOI,year} - H_{year}}{H_{year}}$$



$$G_{eff} = G_{AOI} * \frac{\int E(\lambda) * SR(\lambda) d\lambda}{\int SR(\lambda) * E_{STC}(\lambda) d\lambda}$$



$$L_{Spectral,year} = \frac{G_{eff,year} - H_{AOI,year}}{H_{AOI,year}}$$



Cont. w/ calculations for low light and temperature dependency etc.

Where:

L_{AOI} = global AOI losses (instantaneous)

G = measured in plane irradiance (W/m^2)

G_{AOI} = effective global irradiance adjusted for IAM loss

$L_{AOI,year}$ = annual AOI losses

H_{year} = G integrated over 1-year

G_{eff} = G adjusted for spectral shifts deviating from the AM1.5G reference spectrum

$L_{spec,year}$ = annual losses from spectral shifts from AM1.5G



Read more at:

T. Huld et. al, (2016) "Photovoltaic energy rating data sets for Europe", Solar Energy Vol. 133. pp. 349-362

Specific Yield (kWh/kW_p)

- Another commonly used metric to assess PV system performance is the 'Specific Yield', which is in units of AC kWh / DC kW_p.
- The specific yield is the numerator in the PR calculation, thus they are closely related.
- For example, using the numbers from before (80% PR and 1200 kWh/m²/yr).

$$\text{Specific Yield} \left[\frac{\text{kWh}}{\text{kW}_p} \right] = 0.8 * 1200 \left[\frac{\text{kWh}/\text{m}^2}{\text{yr}} \right]$$

$$\text{Specific Yield} = \mathbf{960} \left[\frac{\text{kWh}}{\text{kW}_p} \right]$$

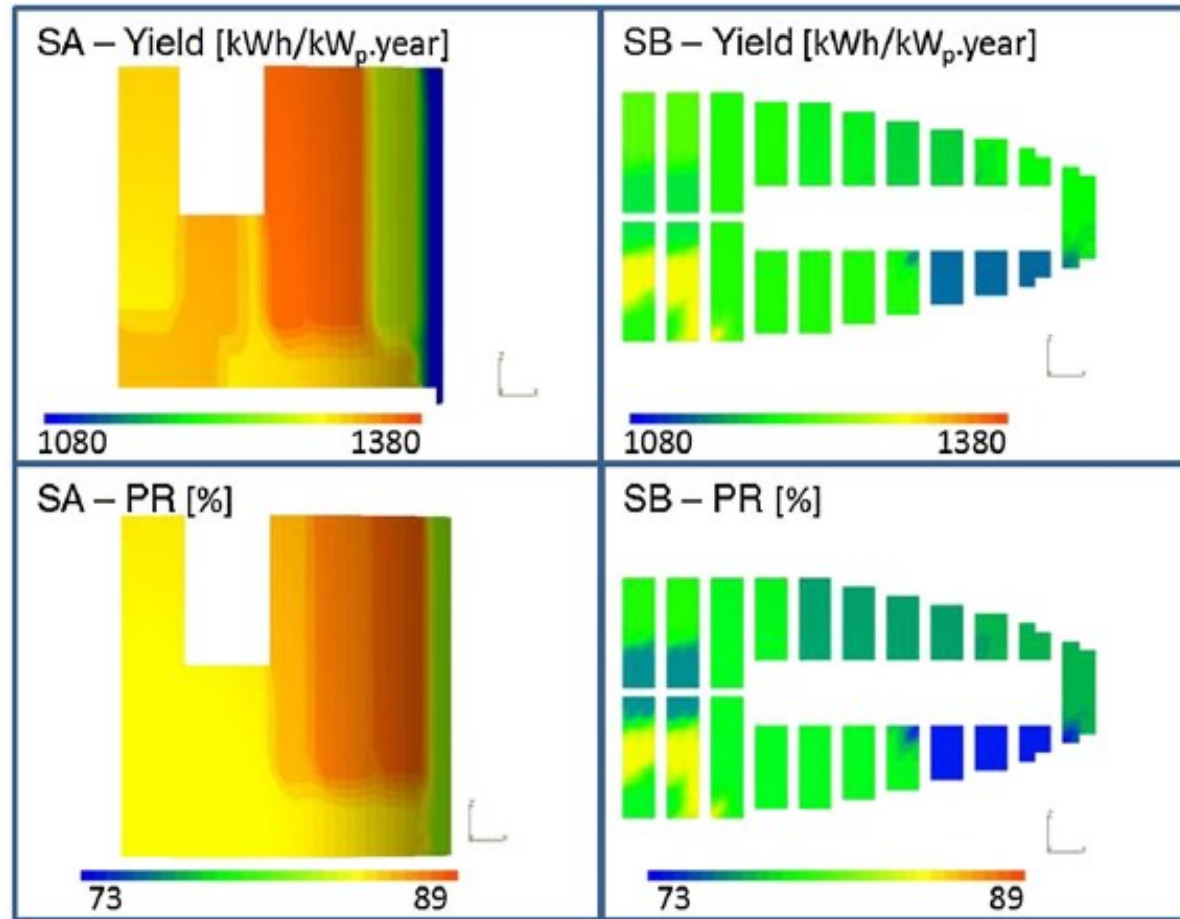
- This means that for every 1 kW_p of PV, you can expect to generate 960 kWh of AC electricity annually.

Specific Yield (kWh/kW_p)

- Specific yield depends on:
 1. Location! (i.e. solar resource).
 2. PV system orientation (e.g. horizontal vs. tilted).
 3. Module type (i.e efficiency under multi-irradiance conditions).
 4. BoS components and loss mechanisms mentioned previously.
- Whether to use PR or specific yield when analyzing the performance of PV systems seems mostly a matter of preference.
 - But if you don't have G_{POA} data, then you can't calculate PR!

Comparing Specific Yield and PR

- Recall our two BIPV systems from Singapore.
- We can see that Specific Yield corresponds to PR for both systems.

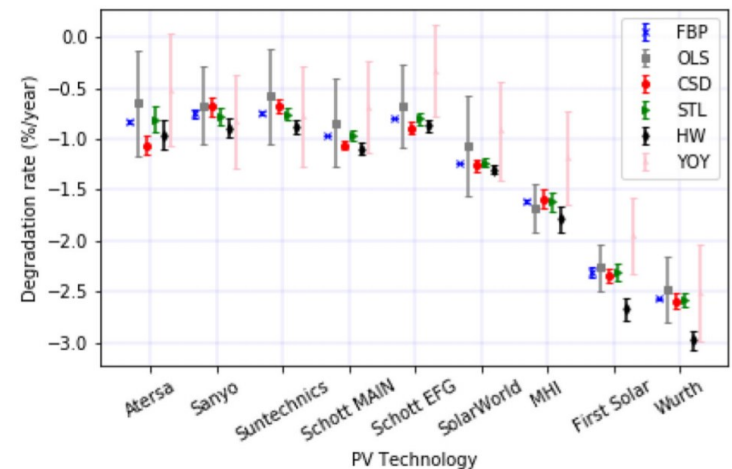


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Degradation Rate (Rd)

- Rd describes the decrease of PV power output over time.
- Many methodologies, but no standard calculation exists yet
 - ordinary least squares (OLS), year-on-year (YoY), smoothing (HW) etc.
- Rd can be non-linear
 - e.g. multi-step or exponential
- Rd is dependent on BoM and exposure
 - 0.5-0.6%/year is global avg. for c-Si [1].
 - Hotter climates can cause higher stress.

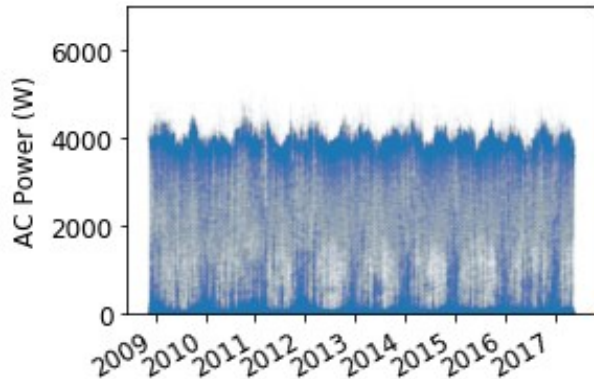


Results of different Rd methods applied to different technologies installed in Cyprus [2]

1. Jordan, et al. "Compendium of Photovoltaic Degradation Rates", PIPV 2016
2. Theristis, M. et al. "Non-linear photovoltaic degradation rates: modeling and comparison against conventional methods", JPV 2020

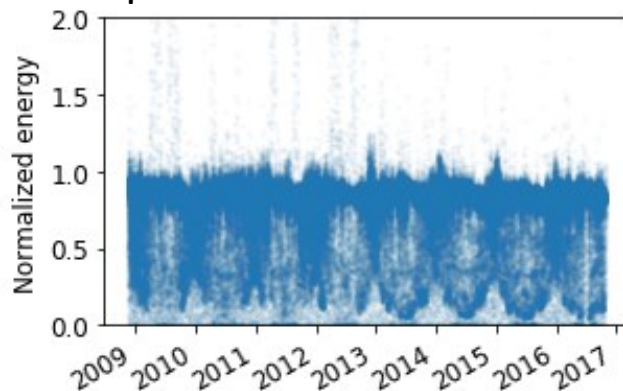
How to Calculate Degradation Rates From Monitoring Data (Rd Tools Method)

Step 0: Import monitoring data



Ex: 9 years of AC data from BP Solar Panels

Step 1: normalize data



Step 1

Data Normalization

- PR, PR + temp correction, CEC, SAPM model
- Clearsky model correction

Step 2

Filter raw data

- High DC/AC ratio clipping
- Clear-sky filter
- Outage / data QA screen

Step 3

Aggregation

- Daily, weekly, monthly
- Irradiance weighted

Step 4

Rd & error calculation

- Linear, nonlinear regression, Year-on-Year, Seasonal decomposition

The Rd Tools module for Python can be installed via PyPi in a cmd line type:

```
pip install rdtools
```

You will also need PVLlib installed

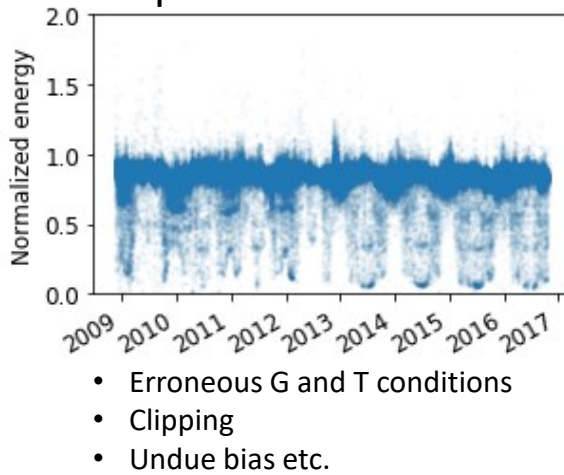
```
pip install pvlib
```



<https://github.com/nrel/rdtools>

How to Calculate Degradation Rates From Monitoring Data (Rd Tools Method)

Step 2: filter data



Step 1

Data Normalization

- PR, PR + temp correction, CEC, SAPM model
- Clearsky model correction

Step 2

Filter raw data

- High DC/AC ratio clipping
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Step 3

Aggregation

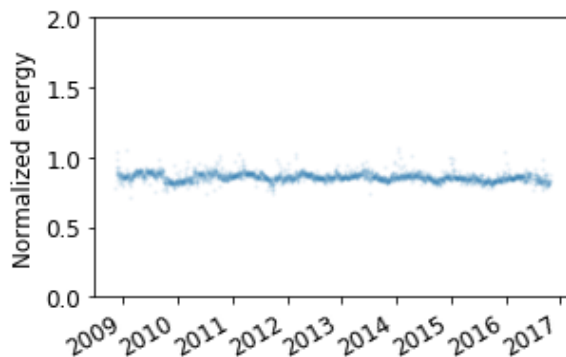
- Daily, weekly, monthly
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Step 4

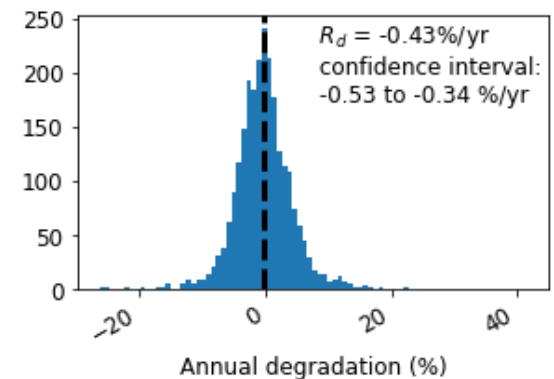
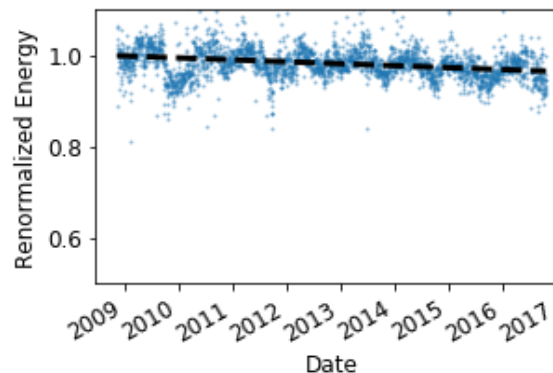
Rd & error calculation

- Linear, nonlinear regression, Year-on-Year, Seasonal decomposition

Step 3: Aggregate



Step 4: Rd Calculation



Review of Clear Sky Models

- Estimate the amount of solar radiation reaching the Earth's surface in the absence of cloud cover.
- Clear sky models can help detect errors in measured GHI data.
i.e. compare the measured GHI data on a clear sky -> does it match the model? Can be used to detect erroneous irradiance measurements.
- There are many (50+!) clear sky models. Here is a simple one (Haurwitz):

$$GHI = 1098 \times \cos \theta_z \times \exp\left(\frac{-0.057}{\cos \theta_z}\right)$$

Where θ_z = solar zenith angle

B. Haurwitz, "Insolation in Relation to Cloudiness and Cloud Density," Journal of Meteorology, vol. 2, pp. 154-166, 1945.

Degradation Rate Calculation Exercise (Optional)

- Download and analyze historical PV performance data.
- Source: 10+ years of performance data from 40+ PV systems (Australia)
 - <http://dkasolarcentre.com.au/historical-data/download>

