

## Big-O and Big- $\Omega$ and Big $\Theta$

Suppose  $f, g: \mathbb{N} \rightarrow \mathbb{N}$

$f(n)$  is  $O(g(n))$  if there exists  $c, n_0 \in \mathbb{R}^+$  such that for all  $n > n_0$ ,  
 $f(n) \leq c \cdot g(n)$ .

$f(n)$  is  $\Omega(g(n))$  if there exists  $c, n_0 \in \mathbb{R}^+$  such that for all  $n > n_0$ ,  
 $f(n) \geq c \cdot g(n)$ .

$f(n)$  is  $\Theta(g(n))$  if  $f(n)$  is  $O(g(n))$  and  $f(n)$  is  $\Omega(g(n))$ .

$f(n)$  is  $o(g(n))$  if  $\lim_{n \rightarrow \infty} f(n)/g(n) = 0$ .

$f(n)$  is  $\omega(g(n))$  if  $\lim_{n \rightarrow \infty} g(n)/f(n) = 0$ .

$f(n) \sim g(n)$  if  $\lim_{n \rightarrow \infty} f(n)/g(n) = 1$ .

$f(n) = O(g(n))$  is not an equality, rather a way of writing  
 $f(n)$  is  $O(g(n))$ .

The proper defn of  $O(g(n))$  is as a set

$O(g(n)) = \{f(n) \mid \text{there exists } c, n_0 \in \mathbb{R}^+ \text{ such that for all } n > n_0, f(n) \leq c \cdot g(n)\}$   
and then  $f(n) = O(g(n))$  can be interpreted as meaning  $f(n) \in O(g(n))$ .



Examples:

$3n+2 = O(n)$  as  $3n+2 \leq 4n$  for all  $n \geq 2$ .

$3n+3 = O(n)$  as  $3n+3 \leq 4n$  for all  $n \geq 3$ .

$100n+6 = O(n)$  as  $100n+6 \leq 101n$  for all  $n \geq 6$ .

$10n^2+4n+2 = O(n^2)$  as  $10n^2+4n+2 \leq 11n^2$  for all  $n \geq 5$ .

$1000n^2+100n-6 = O(n^2)$  as  $1000n^2+100n-6 \leq 1001n^2$  for all  $n \geq 100$ .

$6 \times 2^n + n^2 = O(2^n)$  as  $6 \times 2^n + n^2 \leq 7 \times 2^n$  for all  $n \geq 4$ .

$3n+3 = O(n^2)$  as  $3n+3 \leq 3n^2$  for all  $n \geq 2$ .

$10n^2+4n+2 = O(n^4)$  as  $10n^2+4n+2 \leq 10n^4$  for all  $n \geq 2$ .

$3n+2 \neq O(1)$  as  $3n+2$  is not less than or equal to  $c$  for any constant  $c$  and all  $n \geq n_0$ .

$10n^2+4n+2 \neq O(n)$ .

$O(1)$  is called constant computing time;  $O(n)$  is called linear;  $O(n^2)$  is called quadratic;  $O(n^3)$  is called cubic and  $O(2^n)$  is called exponential.

If an algorithm takes time  $O(\log n)$ , it is faster, for sufficiently large  $n$ , than if it had taken  $O(n)$ . Similarly,  $O(n \log n)$  is better than  $O(n^2)$  but not as good as  $O(n)$ .

It is now clear that  $f(n) = O(g(n))$  states only that  $g(n)$  is an upper bound on the value of  $f(n)$  for all  $n$ ,  $n \geq n_0$ . But it does not say anything about how good this bound is. Note that  $n = O(2^n)$ ,  $n = O(n^{2.5})$ ,  $n = O(n^3)$ ,  $n = O(n \log n)$  and so on. It should now be clear why these notations are called asymptotic.



As in the case of big-oh notation, there are several functions  $g(n)$  for which  $f(n) = O(g(n))$ . The function  $g(n)$  is only a lower bound on  $f(n)$ .

The way  $g(n)$  should be as small as possible for which  $f(n) = O(g(n))$  to be informative or of any use,  $f(n) = O(g(n))$  is informative only when  $g(n)$  is as large as possible. So, while we say that  $3n+3 = O(n)$ , we almost never say  $3n+3 = O(n^2)$  though it is correct.

Similarly, while we say that  $3n+3 = \Omega(n)$  and  $6 \cdot 2^n + n^2 = \Omega(2^n)$ , we almost never say that  $3n+3 = \Omega(1)$  or  $6 \cdot 2^n + n^2 = \Omega(1)$ , though they are correct.

We further observe that the theta notation is more precise than both the big-oh and omega notations. The function  $f(n) = \Theta(g(n))$  iff  $g(n)$  is both an upper bound and a lower bound on  $f(n)$ .

Notice that the coefficients in all of the  $g(n)$ 's used have been 1. This is only the practice. We don't say that  $3n+3 = O(3n)$  or  $10 = O(100)$  or  $10n^2+4n+2 = \Omega(4n^2)$  or  $6 \cdot 2^n + n^2 = O(6 \cdot 2^n)$  or  $6 \cdot 2^n + n^2 = \Theta(4 \cdot 2^n)$  even though each one is true.

Asymptotic time complexity by step count:

Following are two algorithms, one iterative and one recursive, to add elements of an array:



Algo ISum(a, n) {

    s = 0.0;

    for i = 0 to n-1

        s = s + a[i];

    return s;

}

Algo RSum(a, n) {

    if (n ≤ 0) return 0.0;

    else return RSum(a, n-1) + a[n-1];

}

s/e is steps for one execution

| Statement               | s/e | Frequency | Total steps |
|-------------------------|-----|-----------|-------------|
| 1 Algo ISum(a, n) {     | 0   | —         | $\theta(0)$ |
| 2     s = 0.0;          | 1   | 1         | $\theta(1)$ |
| 3     for i = 0 to n-1  | 1   | n+1       | $\theta(n)$ |
| 4         s = s + a[i]; | 1   | n         | $\theta(n)$ |
| 5     return s;         | 1   | 1         | $\theta(1)$ |
| 6 }                     | 0   | —         | $\theta(0)$ |
| Total                   |     |           | $\theta(n)$ |

Asymptotic complexity of ISum



| Statement                              | s/e   | Frequency |         | Total steps |               |
|--|-------|-----------|---------|-------------|---------------|
|  |       | $n < 0$   | $n > 0$ | $n < 0$     | $n > 0$       |
| 1 Algo RSum(a, n) {                    | 0     | —         | —       | 0           | $\Theta(0)$   |
| 2   if (n < 0) return 0.0;             | 1     | 1         | 1       | 1           | $\Theta(1)$   |
| 3   else return RSum(a, n-1) + a[n-1]; | $1+x$ | 0         | 1       | 0           | $\Theta(1+x)$ |
| 4 }                                    | 0     | —         | —       | 0           | $\Theta(0)$   |
| Total                                  |       |           |         | 1           | $\Theta(1+x)$ |

$$x = t_{\text{RSum}}(n-1)$$

Asymptotic complexity of RSum

Asymptotic time complexity by analysis:

Algo Perm(a, k, n) {

    if (k == n-1) write(a[k:n-1]);

    else // a[k:n-1] has more than one permutation:

        // Generate them recursively

        for i = k to n-1 {

            interchange(a[k], a[i]);

            Perm(a, k+1, n-1);

            // All permutations of a[k+1; n-1]

            interchange(a[k], a[i]);

        }

    }



Analysis:

When  $k = n-1$ , the time taken is  $\Theta(n)$ .

When  $k < n-1$ , the else clause is entered. At this time, the second for loop is entered  $n-k$  times. So,

$$T_{perm}(k, n) = \Theta((n-k)(n-1 + T_{perm}(k+1, n-1))) \text{ when } k < n-1.$$

Since,  $T_{perm}(k+1, n-1)$  is at least  $n-1$  when  $k+1 \leq n-1$ , we get

$$T_{perm}(k, n) = \Theta((n-k) T_{perm}(k+1, n-1)) \text{ for } k < n-1.$$

Using the substitution method, we obtain

$$T_{perm}(0, n-1) = \Theta(n(n!)), \quad n \geq 0.$$