

Reasoning About Programs

Week 4 PMT - Structural Induction To discuss during PMT - do NOT hand in

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Aims: To practice developing and applying the structural induction principle for various data structures. To set up proofs and make proof steps explicit. To be aware that some proofs do not require induction. Correct use of universal quantifiers.

1st Question:

Consider the function `rev` defined on lists as follows:

```
rev :: [a] -> [a]
rev [] = []
rev (x:xs) = (rev xs) ++ [x]
```

Consider the following two assertions:

RevConcat $\forall xs:[a]. \forall x:a. \text{rev } (xs ++ [x]) = x:(\text{rev } xs)$

RevRev $\forall xs:[a]. \text{rev}(\text{rev}(xs)) = xs$

and

- (a) Write out the structural induction principle for **RevConcat**
- (b) Write out the structural induction principle for **RevRev**
- (c) Prove **RevConcat**
- (d) Prove **RevRev**

In your proofs be sure to state what is given, what is to be shown and justify each step. Note that part (a) can be proven without induction. You can assume the following Lemmas about lists. For all elements x and lists xs and ys :

- (A) $xs ++ [] = xs = [] ++ xs$
- (B) $(xs ++ ys) ++ zs = xs ++ (ys ++ zs)$
- (C) $[x] ++ xs = x:xs$
- (D) $\text{rev } (xs ++ ys) = (\text{rev } ys) ++ (\text{rev } xs)$
- (E) $\text{rev } [x] = [x]$

A possible answer:

(a)

$$\begin{aligned}
 & \forall x:a. \text{rev } ([] ++ [x]) = x:(\text{rev } []) \\
 & \quad \wedge \\
 & \forall zs:[a]. \forall z:a. [\forall x:a. \text{rev } (zs ++ [x]) = x:(\text{rev } zs) \rightarrow \\
 & \quad \quad \quad \forall x:a. \text{rev } (z:zs ++ [x]) = x:(\text{rev } z:zs)] \\
 & \quad \longrightarrow \\
 & \forall xs:[a]. \forall x:a. \text{rev } (xs ++ [x]) = x:(\text{rev } xs)
 \end{aligned}$$

(b)

$$\begin{aligned}
 & \text{rev } (\text{rev } []) = [] \\
 & \quad \wedge \\
 & \forall ys:[a]. \forall y:a. [\text{rev } (\text{rev } ys) = ys \rightarrow \\
 & \quad \quad \quad \text{rev } (\text{rev } y:ys) = y:ys] \\
 & \quad \longrightarrow \\
 & \forall xs:[a]. \text{rev } (\text{rev } xs) = xs
 \end{aligned}$$

(c) **To show:** $\forall xs:[a]. \forall x:a. \text{rev } (xs ++ [x]) = x:(\text{rev } xs)$

Take arbitrary $xs:[a]$ and $x:a$. Then:

$$\begin{aligned}
 \text{rev } (xs ++ [x]) &= (\text{rev } [x]) ++ (\text{rev } xs) && \text{by (D)} \\
 &= [x] ++ (\text{rev } xs) && \text{by (E)} \\
 &= x:(\text{rev } xs) && \text{by (C)}
 \end{aligned}$$

Note: this proof did not require induction.

(d) **To show:** $\forall xs:[a]. \text{rev } (\text{rev } xs) = xs$

Let $P(xs)$ be $\text{rev } (\text{rev } xs) = xs$. We will prove $\forall xs:[a]. P(xs)$ by structural induction.

Base Case:

To show: $\text{rev}(\text{rev}([])) = []$

$$\begin{aligned}
 \text{rev}(\text{rev } ([])) &= \text{rev } [] && \text{by def. of rev} \\
 &= [] && \text{by def. of rev}
 \end{aligned}$$

Inductive Step:

Take arbitrary $ys:[a]$, and $y:a$.

Inductive Hypothesis: $\text{rev}(\text{rev}(ys)) = ys$

To show: $\text{rev}(\text{rev}(y:ys)) = y:ys$

Then:

$$\begin{aligned}
 \text{rev}(\text{rev}(y:ys)) &= \text{rev } (\text{rev}(ys) ++ [y]) && \text{by def. of rev} \\
 &= (\text{rev } [y]) ++ (\text{rev}(\text{rev}(ys))) && \text{by (D)} \\
 &= (\text{rev } [y]) ++ ys && \text{by Ind.Hyp} \\
 &= [y] ++ ys && \text{by E} \\
 &= y:ys && \text{by C}
 \end{aligned}$$

2nd Question:

Consider the function `revA` defined on lists as follows:

```
revA :: [a] -> [a] -> [a]
revA [] ys = ys
revA (x:xs) ys = revA xs (x:ys)
```

Observe that `revA (1:2:3:[]) [] = 3:2:1:[]`. More generally, we want to prove that:

$$(*) \quad \forall xs:[a]. \text{revA } xs [] = \text{rev } xs$$

However, if we attempt to prove $(*)$ by structural induction on `xs`, we will get stuck when we try to prove the inductive step. Instead, we need to find a stronger lemma $(**)$, which implies $(*)$, and which *can* be proven by induction.

Write such a $(**)$ which implies $(*)$, and can be proven by structural induction.

A possible answer:

$$(**) \quad \forall xs:[a]. \forall ys:[a]. \text{revA } xs \text{ } ys = (\text{rev } xs) ++ ys$$

Note The difference between $(*)$ and $(**)$ is that the second argument of `revA` in $(*)$ is a constant (the empty list `[]`), while in $(**)$ it is universally quantified ($\dots \forall ys:[a]. \text{revA } \dots \text{ } ys = \dots$)

3rd Question:

Consider the following definition of a datatype `DT`:

```
data DT = CA [Int] | CB DT Int
```

- a Write the structural induction principle for `DT` for a predicate $P \subseteq \text{DT}$.
- b Write the proof schema that you would employ to prove $\forall dt. P(dt)$ by induction over `dt`.

A possible answer:

a

$$\forall is:[Int]. P(\text{CA } is) \wedge \forall dt:DT. \forall i:Int. [P(dt) \rightarrow P(\text{CB } dt \text{ } i)] \longrightarrow \forall dt:DT. P(dt)$$

b

Base Case: To show: $\forall is:[Int]. P(\text{CA } is)$

Take `is`: `[Int]`, arbitrary. To show $P(\text{CA } is)$.

a proof here

...

Inductive Step:

Take arbitrary $dt : [DT]$, and $i : Int$.

Inductive Hypothesis: $P(dt)$

To show: $P(CB\ dt\ i)$

another proof here

...

4th Question (this week's challenge):

Consider the functions `guess4` and `guess5` from a Logic tutorial in the first term, defined as follows:

```
guess4 :: [Char] -> Bool
guess4 [] = true
guess4 xs = guess5 xs []

guess5 :: [Char] -> [Char] -> Bool
guess5 [] zs = true
guess5 (x:xs) zs | (x:xs) == zs = true
                  | xs == zs     = true
                  | otherwise    = guess5 xs (x:zs)
```

We define the predicate $Pal \subseteq [Char]$, which expresses that its argument is a palindrome, as follows:

$$Pal(cs) \equiv \exists cs' : [Char], c : Char. [cs = cs' ++ (rev cs') \vee cs = cs' ++ [c] ++ (rev cs')]$$

Prove that

$$(*) \quad \forall cs : [Char]. [guess4\ cs \longleftrightarrow Pal(cs)]$$

In the above, we use the notation

$$guess4\ cs \longleftrightarrow some_Predicate$$

as a shorthand for

$$guess4\ cs = true \longleftrightarrow some_Predicate$$

You can assume the following Lemmas about lists. For all elements x and lists xs and ys :

- (A) $xs ++ [] = xs = [] ++ xs$
- (B) $(xs ++ ys) ++ zs = xs ++ (ys ++ zs)$
- (C) $[x] ++ xs = x:xs$
- (D) $rev (xs ++ ys) = (rev ys) ++ (rev xs)$
- (E) $rev [x] = [x]$
- (F) $rev [] = []$
- (G) $rev (x:xs) = (rev xs) ++ x$
- (H) $rev (rev xs) = xs$
- (K) $x:xs = [x] ++ xs$
- (L) $|x:xs| = 1 + |xs|$

A possible answer:

To prove (*) we need some properties of `guess5`. Therefore, we make the following assumption.

Assumption1: $\forall c : \text{Char} . \forall cs : [\text{Char}] . [\text{guess5 } (c : cs) [] \longleftrightarrow \text{Pal}(c : cs)]$

Proof We will prove **Assumption1** through **Lemma C** which will be stated and proven below.

Lemma A: $\text{Pal}([])$

Proof: From the properties of lists from earlier, we obtain:

$$\begin{aligned} [] &= [] ++ [] && \text{by (A)} \\ &= [] ++ (\text{rev } []) && \text{by (F)} \end{aligned}$$

Therefore,

$$\exists cs1 : [\text{Char}] . [[] = cs1 ++ (\text{rev } cs1)] .$$

Therefore,

$$\text{Pal}([])$$

Lemma B: $\forall cs : [\text{Char}] . [\text{guess4 } cs \longleftrightarrow \text{Pal}(cs)]$

This is the proof of (*). We will be using **Assumption1**.

Proof: We take arbitrary `cs` from `[\text{Char}]`. We proceed by case analysis on `cs`.

First Case `cs=[]`.

$$\begin{aligned} \text{guess4 } cs &\leftrightarrow \text{true} && \text{by definition of guess5} \\ &\leftrightarrow \text{Pal}([]) && \text{by Lemma A} \end{aligned}$$

Second Case There exist `c:Char`, `cs':[Char]`, such that `cs=c:cs'`.

$$\begin{aligned} \text{guess4 } cs &\leftrightarrow \text{guess4 } (c : cs') && \text{by case} \\ &\leftrightarrow \text{guess5 } (c : cs') [] && \text{by definition of guess4} \\ &\leftrightarrow \text{Pal}(c : cs') && \text{by Assumption1} \\ &\leftrightarrow \text{Pal}(cs) && \text{by case} \end{aligned}$$

Lemma C

$$\forall cs, ds : [\text{Char}] . [\text{guess5 } cs ds \longleftrightarrow |cs| \geq |ds| \wedge \text{Pal}((\text{rev } ds) ++ cs) \wedge cs \neq []]$$

Proof We prove **Lemma C** by induction on `cs`:

Base Case:

To show:

$$\forall ds : [\text{Char}] . [\text{guess5 } [] ds \longleftrightarrow |[]| \geq |ds| \wedge \text{Pal}((\text{rev } ds) ++ []) \wedge [] \neq []]$$

Both sides of the \longleftrightarrow are false, therefore the base case is proven.

Inductive Step:

Take arbitrary `cs:[Char]`, and `c:Char`.

Inductive Hypothesis:

$$\forall ds : [\text{Char}] . [\text{guess5 } cs ds \longleftrightarrow |cs| \geq |ds| \wedge \text{Pal}((\text{rev } ds) ++ cs) \wedge cs \neq []]$$

To show:

$$\forall ds:[Char]. [\text{guess5 } c:cs \ ds \longleftrightarrow |c:cs| \geq |ds| \wedge Pal((rev \ ds)++(c:cs)) \wedge c:cs \neq []]$$

We proceed by case analysis on cs .

1st Case: $cs=[]$.

$$\begin{aligned} \text{guess5 } c:cs \ ds &\longleftrightarrow \text{guess5 } c:[] \ ds \\ &\text{by case} \\ &\longleftrightarrow ds = [] \vee ds = c:[] \\ &\text{by def. guess5} \\ &\longleftrightarrow |c:[]| \geq |ds| \wedge Pal((rev \ ds)++(c:[])) \wedge c:[] \neq [] \\ &\text{by def } Pal, \text{ and also, because} \\ &\quad |c:cs| \geq |ds| \text{ and also } c:cs \neq [] \\ &\longleftrightarrow |c:cs| \geq |ds| \wedge Pal((rev \ ds)++(c:cs)) \wedge c:cs \neq [] \\ &\text{by case} \end{aligned}$$

2nd Case: $c:cs = ds \vee cs = ds$

$$\begin{aligned} \text{guess5 } c:cs \ ds &\longleftrightarrow \text{true} \\ &\text{by case, and def. guess5} \\ &\longleftrightarrow |c:cs| \geq |ds| \wedge Pal((rev \ ds)++(c:cs)) \wedge c:cs \neq [] \\ &\text{by case, and def } Pal \end{aligned}$$

3rd Case: $c:cs \neq ds \wedge cs \neq ds$

$$\begin{aligned} \text{guess5 } c:cs \ ds &\longleftrightarrow \text{guess5 } cs \ c:ds \\ &\text{by case, and def. guess5} \\ &\longleftrightarrow |cs| \geq |c:ds| \wedge Pal((rev \ c:ds)++cs) \wedge cs \neq [] \\ &\text{by Ind Hypo} \\ &\longleftrightarrow |c:cs| \geq |ds| \wedge Pal(rev \ ds++(c:cs)) \wedge c:cs \neq [] \\ &\text{by (L), (G), (B) and (C)} \end{aligned}$$

Proof of Assumption1

In **Lemma C** we replace cs by $c:cs'$, and ds by $[]$, we obtain:

$$(***) \quad \forall c:Char. \forall cs':[Char]. [\text{guess5 } c:cs' \ [] \longleftrightarrow |c:cs'| \geq |[]| \wedge Pal((rev \ [])++(c:cs')) \wedge c:cs' \neq []]$$

Moreover, for all $c:Char$, and $cs':[Char]$, we have $|c:cs'| \geq 1 > 0 = |[]|$, and $c:cs' \neq []$. Also, from (G) and (A) we obtain that $(rev \ [])++(c:cs') = c:cs'$. Therefore, (***) gives us

$$(\text{Assumption1}) \quad \forall c:Char. \forall cs':[Char]. [\text{guess5 } c:cs' \ [] \longleftrightarrow Pal(c:cs')]$$

Discussion There are several different ways to solve this exercise. The challenge is the proof of **Assumption1**, which requires a stronger lemma to be found; the stronger lemma should talk about the execution of $\text{guess5 } cs \ ds$ rather than the execution of $\text{guess5 } cs \ []$.

There are many such stronger lemmas, and **Lemma C** is one of them. A way to discover the lemma is as follows. We notice that guess5 rotates the contents of its first argument onto its second argument. If the two become equal then it reports **true**, and otherwise it keeps rotating until the first argument becomes empty, and in such a case it reports **false**.

Thus, we have that 1) If $|cs| < |ds|$, then $\text{guess5 } cs \ ds$ evaluates to **false**. Also, 2) when $|cs| = |ds|$, or $|cs| = 1 + |ds|$, then $\text{guess5 } cs \ ds \longleftrightarrow Pal(ds++cs)$. Finally, 3) if $|cs2| \geq |cs1++ds|$, then $\text{guess5 } (cs1++cs2) \ ds = \text{guess5 } cs2 \ (rev \ cs1)++ds$.