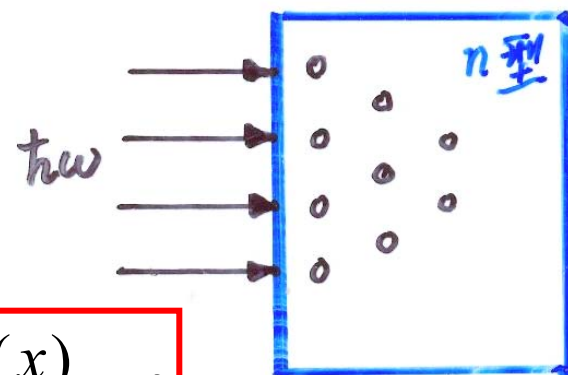


# 7.5 载流子的扩散运动<sub>2</sub>

## 7.5.2 一维扩散方程的稳态解

$$\frac{\partial \Delta p(x, t)}{\partial t} = D_p \frac{\partial^2 \Delta p(x, t)}{\partial x^2} - \frac{\Delta p(x, t)}{\tau}$$



稳态  $\frac{\partial \Delta p(x, t)}{\partial t} = 0$

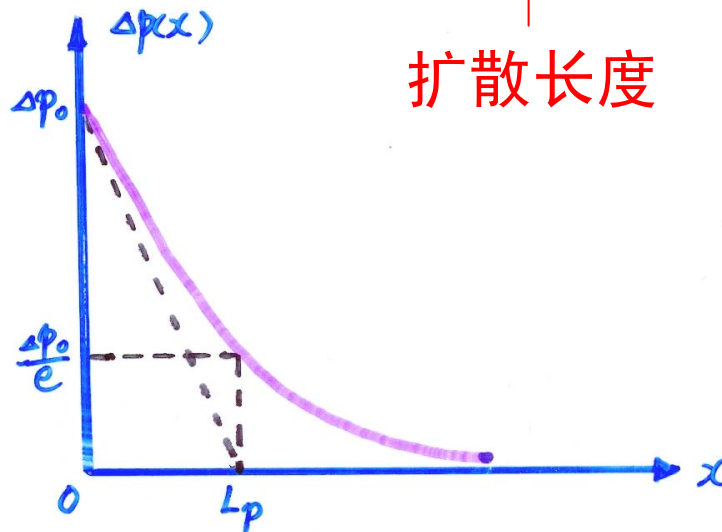
$$D_p \frac{d^2 \Delta p(x)}{dx^2} - \frac{\Delta p(x)}{\tau} = 0$$

通解  $\Delta p(x) = A \exp(-x/L_p) + B \exp(x/L_p)$  其中  $L_p = \sqrt{D_p \tau}$

(1) 样品厚度足够厚

边界条件  $\begin{cases} \Delta p(0) = \Delta p_0 \\ \Delta p(+\infty) \text{ 有限} \end{cases}$

$$\Delta p(x) = \Delta p_0 \exp(-x/L_p)$$



# 7.5 载流子的扩散运动<sub>3</sub>

## 7.5.2 一维扩散方程的稳态解

$$\Delta p(x) = \Delta p_0 \exp(-x/L_p)$$

平均扩散距离  $\bar{x} = \frac{\int_0^\infty x \Delta p(x) dx}{\int_0^\infty \Delta p(x) dx} = L_p$

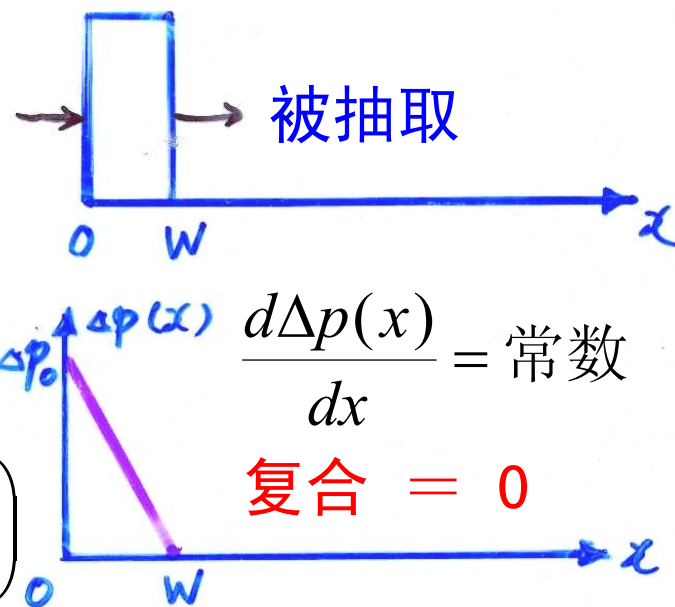
流密度  $s_p(x) = \frac{D_p}{L_p} \Delta p_0 \exp(-x/L_p) = \left( \frac{D_p}{L_p} \right) \Delta p(x)$  扩散速度 [cm/s]

通解  $\Delta p(x) = A \exp(-x/L_p) + B \exp(x/L_p)$

(2) 样品厚度足够薄，且在另一端被抽出

边界条件  $\begin{cases} \Delta p(0) = \Delta p_0 \\ \Delta p(W) = 0 \end{cases}$

$$\Delta p(x) = \Delta p_0 \frac{\sinh[(W-x)/L_p]}{\sinh(W/L_p)} \stackrel{W \ll L_p}{=} \Delta p_0 \left( 1 - \frac{x}{W} \right)$$



# 7.5 载流子的扩散运动<sub>4</sub>

## 7.5.3 扩散电流

扩散流

$$s_p = -D_p \frac{d\Delta p(x)}{dx}$$

$$s_n = -D_n \frac{d\Delta n(x)}{dx}$$

扩散电流

$$J_p = -qD_p \frac{d\Delta p(x)}{dx}$$

$$J_n = qD_n \frac{d\Delta n(x)}{dx}$$

总电流

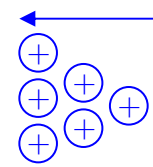
扩散电流+漂移电流

$$J_p = -qD_p \frac{d\Delta p(x)}{dx} + qp\mu_p E$$

$$J_n = qD_n \frac{d\Delta n(x)}{dx} + qn\mu_n E$$

扩散电流

梯度方向

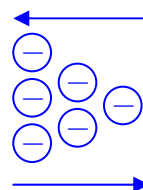


扩散方向

电流方向

电流方向

梯度方向



扩散方向

漂移电流

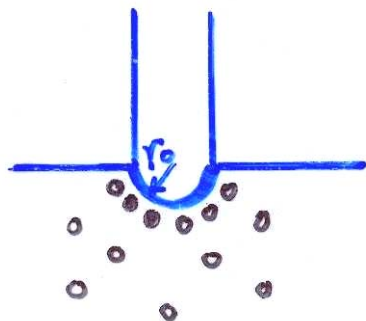
电子、空穴电流都与电场方向一致

空穴电流

电子电流

# 7.5 载流子的扩散运动<sub>5</sub>

## 7.5.4 例子：三维探针注入



三维 
$$\frac{\partial \Delta p(\mathbf{r}, t)}{\partial t} = D_p \nabla^2 \Delta p(\mathbf{r}, t) - \frac{\Delta p(\mathbf{r}, t)}{\tau}$$

稳态 
$$\frac{\partial \Delta p(\mathbf{r}, t)}{\partial t} = 0 \rightarrow D_p \nabla^2 \Delta p(\mathbf{r}, t) = \frac{\Delta p(\mathbf{r}, t)}{\tau}$$

球坐标 
$$D_p \frac{1}{r^2} \frac{d}{dr} \left[ r^2 \frac{d\Delta p}{dr} \right] = \frac{\Delta p(\mathbf{r}, t)}{\tau}$$

$$\rightarrow \Delta p(r) = \Delta p_0 \frac{r_0}{r} \exp\left(-\frac{r - r_0}{L_p}\right)$$

$$\mathbf{s}_p \Big|_{r=r_0} = -D_p \nabla \Delta p(r) \Big|_{r=r_0} = \left( \frac{D_p}{r_0} + \frac{D_p}{L_p} \right) \Delta p_0$$

几何形状引起的扩散速度

# 第七章 非平衡载流子

7.1 非平衡载流子的注入与复合

7.2 准费米能级

7.3 复合理论

7.4 陷阱效应

7.5 载流子的扩散运动

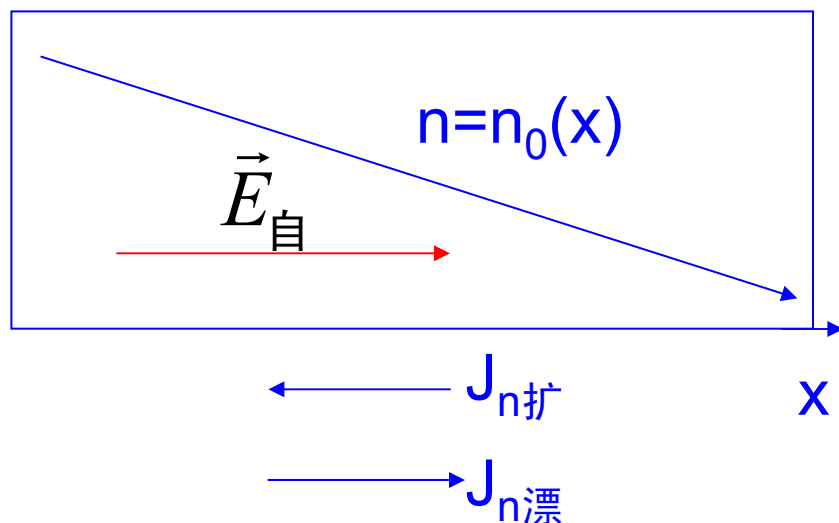
7.6 载流子的漂移运动、双极扩散

7.7 连续性方程

# 7.6 载流子的漂移运动、双极扩散<sub>1</sub>

## 7.6.1 浓度梯度引起的自建电场

- 热平衡状态
- n型半导体，掺杂不均匀
- $n_0(x)$  梯度引起扩散电流
- 电中性条件破坏，引起自建电场
- 考虑漂移电流



$$J_{n扩} = qD_n \frac{dn_0(x)}{dx}$$

$$J_{n漂} = qn_0\mu_n E_{自}$$

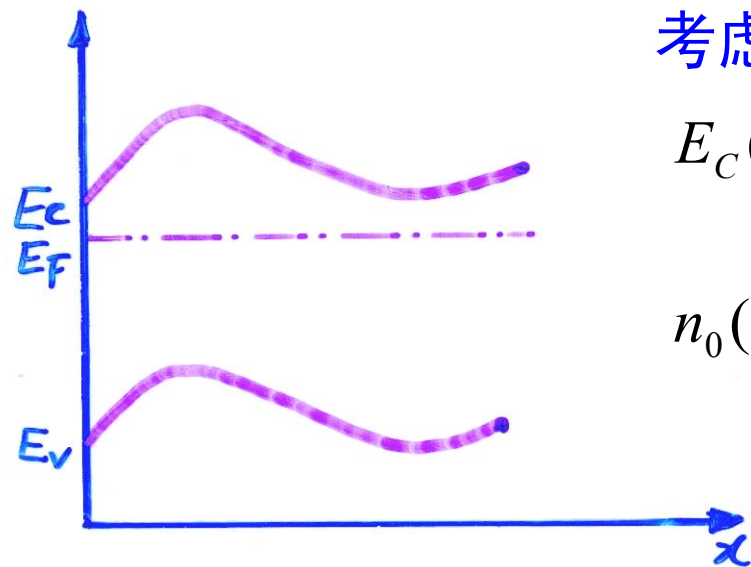
热平衡状态

$$J_{n扩} + J_{n漂} = 0$$

$$E_{自} = -\frac{D_n}{\mu_n n_0(x)} \frac{dn_0(x)}{dx} \neq 0$$

# 7.6 载流子的漂移运动、双极扩散<sub>2</sub>

## 7.6.2 爱因斯坦关系



考虑一平衡的不均匀半导体，静电势  $V(x)$

$$E_C(x) = E_C + (-q)V(x) \quad E_{\text{自}} = -\frac{dV(x)}{dx}$$

$$n_0(x) = N_C \exp\left[-\frac{E_C - qV(x) - E_F}{kT}\right]$$

$$J_{\text{总}} = qn_0(x)\mu_n E_{\text{自}}(x) + qD_n \frac{dn_0(x)}{dx} = 0$$

将  $n_0(x)$  代入，得

$$D_n = \frac{kT}{q} \mu_n$$

$$D_p = \frac{kT}{q} \mu_p$$

爱因斯坦关系

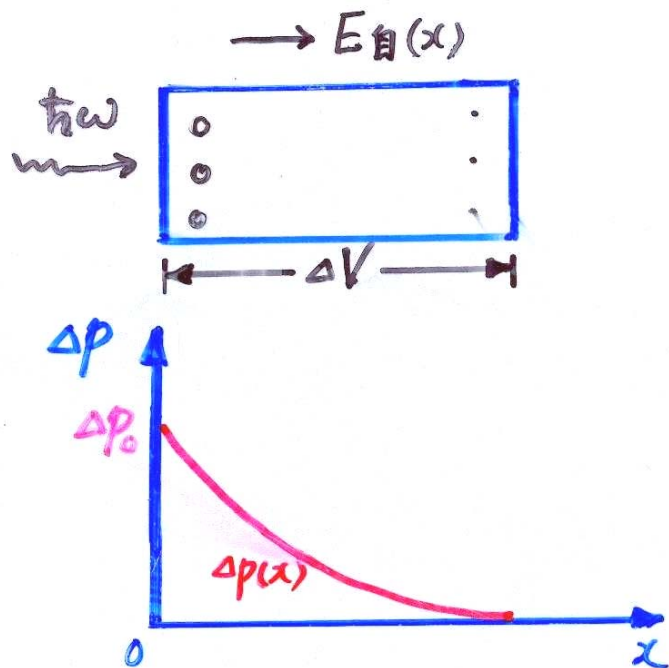
也适合非平衡载流子

通常  $\mu_n > \mu_p \rightarrow D_n > D_p$

电子与空穴的扩散不同步，  
电子快，空穴慢

# 7.6 载流子的漂移运动、双极扩散<sup>3</sup>

## 7.6.3 丹倍效应 自建场（丹倍电场）



对于  $W \gg L_p$  情形

$$J_{扩} + J_{漂} = -qD_p \frac{d\Delta p(x)}{dx} + qD_n \frac{d\Delta n(x)}{dx} + qp\mu_p E_{自} + qn\mu_n E_{自} = 0$$

$$E_{自} = \frac{D_p - D_n}{n\mu_n + p\mu_p} \frac{d\Delta p}{dx} \quad \text{近似关系} \quad \frac{d\Delta p}{dx} = \frac{d\Delta n}{dx}$$

$$\Delta V = -\int E_{自}(x) dx = \int \frac{D_n - D_p}{n\mu_n + p\mu_p} \frac{d\Delta p}{dx} dx$$

$$\Delta p = \Delta p_0 \exp(-x/L_p)$$

$$\Delta V = \frac{D_n - D_p}{n\mu_n + p\mu_p} \Delta p_0 = \frac{kT}{q} \frac{\mu_n - \mu_p}{n\mu_n + p\mu_p} \Delta p_0 = \begin{cases} \frac{kT}{q} \frac{\mu_n - \mu_p}{\mu_n} \frac{\Delta p_0}{n_0} & \text{n 型} \\ \frac{kT}{q} \frac{\mu_n - \mu_p}{\mu_p} \frac{\Delta p_0}{p_0} & \text{p 型} \end{cases}$$



# 7.6 载流子的漂移运动、双极扩散<sub>4</sub>

## 7.6.4 双极扩散

空穴电流

$$J_p = -qD_p \frac{d\Delta p(x)}{dx} + qp\mu_p E \quad \xrightarrow{\quad} E_{\text{自}} + E_{\text{外}}$$

$$= \underbrace{-qD_p \frac{d\Delta p(x)}{dx} + qp\mu_p E_{\text{自}}}_{J'_{p\text{扩}}} + qp\mu_p E_{\text{外}}$$

$$J'_{p\text{扩}} = -qD_p \frac{d\Delta p(x)}{dx} + qp\mu_p \frac{D_p - D_n}{p\mu_p + n\mu_n} \frac{d\Delta p}{dx}$$

$$qpD_p \frac{D_p - D_n}{pD_p + nD_n}$$

$$D_n = \frac{kT}{q} \mu_n$$

$$D_p = \frac{kT}{q} \mu_p$$

$$J'_{p\text{扩}} = -qD \frac{d\Delta p(x)}{dx}$$

其中

$$D = \frac{D_p D_n (p + n)}{pD_p + nD_n}$$

双极扩散

# 7.6 载流子的漂移运动、双极扩散<sup>5</sup>

## 7.6.4 双极扩散

双极扩散系数  $D = \frac{D_p D_n (p + n)}{p D_p + n D_n} \begin{cases} D_p & n \text{ 型, } n \gg p \\ D_n & p \text{ 型, } p \gg n \end{cases}$

电子电流  $J_n = q D_n \frac{d\Delta n}{dx} + q n \mu_n E$

$E_{\text{自}} + E_{\text{外}}$

$$= q D_n \frac{d\Delta n}{dx} + q n \mu_n E_{\text{自}} + q n \mu_n E_{\text{外}}$$

$$J_{n\text{扩}}' = q D \frac{d\Delta n}{dx}$$

丹倍电场、双极扩散的物理意义

- 1° 丹倍电场的来源 —— 电子与空穴扩散不同步，电子比空穴快；
- 2° 丹倍电场的作用 —— 降低电子扩散，加速空穴扩散，努力使它们同步；

# 7.6 载流子的漂移运动、双极扩散<sup>6</sup>

## 7.6.4 双极扩散

丹倍电场、双极扩散的物理意义

3° 双极扩散系数  $D$ ——概括了丹倍电场对电子、空穴扩散的影响.

$$D_n > D_{\text{双极}} > D_p$$

$$D = \frac{D_p D_n (p + n)}{p D_p + n D_n}$$

对于 n 型半导体  $D_n > D_{\text{双极}} \sim D_p$  即丹倍电场对空穴扩散的影响小  
对电子扩散的影响大

对于 p 型半导体  $D_n \sim D_{\text{双极}} > D_p$  即丹倍电场对电子扩散的影响小  
对空穴扩散的影响大

结论：丹倍电场对少子扩散影响小  
对多子扩散影响大

# 第七章 非平衡载流子

7.1 非平衡载流子的注入与复合

7.2 准费米能级

7.3 复合理论

7.4 陷阱效应

7.5 载流子的扩散运动

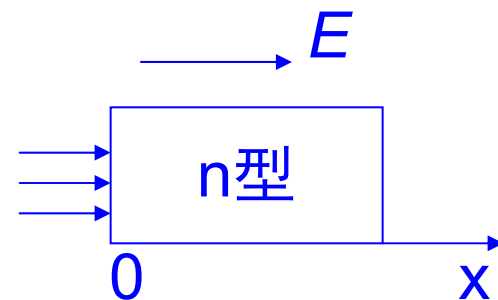
7.6 载流子的漂移运动、双极扩散

7.7 连续性方程

# 7.7 连续性方程<sub>1</sub>

## 7.7.1 连续性方程

一维, n 型, 外电场  $E$



少子  $p(x,t)$

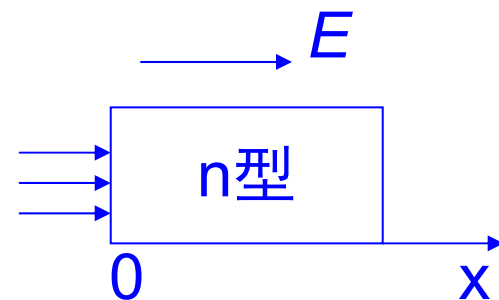
$$\frac{\partial p}{\partial t} = D_p \frac{\partial^2 p}{\partial x^2} - \underbrace{\mu_p E \frac{\partial p}{\partial x} + \mu_p p \frac{\partial E}{\partial x}}_{\text{漂移}} - \frac{\Delta p}{\tau} + g_p$$

↑ 扩散
↑ 复合
↑ 产生

# 7.7 连续性方程<sub>2</sub>

## 7.7.2 连续性方程的特例情况

$$\frac{\partial p}{\partial t} = D_p \frac{\partial^2 p}{\partial x^2} - \mu_p E \frac{\partial p}{\partial x} - \mu_p p \frac{\partial E}{\partial x} - \frac{\Delta p}{\tau} + g_p$$

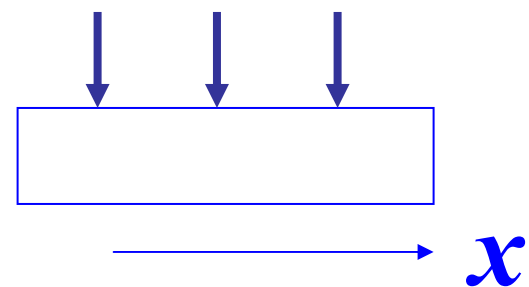


### 1. 光激发的载流子衰减

$$E = 0 \quad \frac{\partial E}{\partial x} = 0 \quad \frac{\partial \Delta p}{\partial x} = 0 \quad g_p = 0$$

( $t = 0$  时撤去光照)

$$\frac{\partial(\Delta p)}{\partial t} = -\frac{\Delta p}{\tau} \implies \Delta p = \Delta p_0 \exp(-t/\tau)$$



均匀掺杂薄样品

# 7.7 连续性方程<sub>3</sub>

## 7.7.2 连续性方程的特例情况

$$\frac{\partial p}{\partial t} = D_p \frac{\partial^2 p}{\partial x^2} - \mu_p E \frac{\partial p}{\partial x} - \mu_p p \frac{\partial E}{\partial x} - \frac{\Delta p}{\tau} + g_p$$

2. 瞬时光脉冲  $E = 0 \quad \frac{\partial E}{\partial x} = 0 \quad g_p = 0$

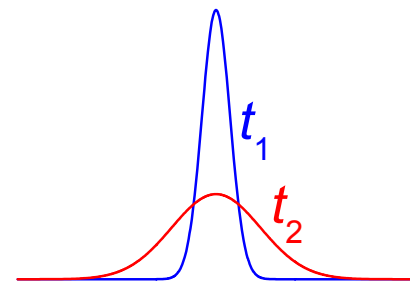
$$\frac{\partial(\Delta p)}{\partial t} = D_p \frac{\partial^2(\Delta p)}{\partial x^2} - \frac{\Delta p}{\tau}$$

$$\Delta p(x, t) = \frac{N_p}{\sqrt{4\pi D_p t}} \exp\left(-\frac{t}{\tau}\right) \exp\left(-\frac{x^2}{4D_p t}\right)$$

$$\int_{-\infty}^{+\infty} \Delta p(x, t) dx = N_p \exp(-t/\tau)$$

↓ 光脉冲

n型, 均匀



高斯分布

# 7.7 连续性方程<sub>4</sub>

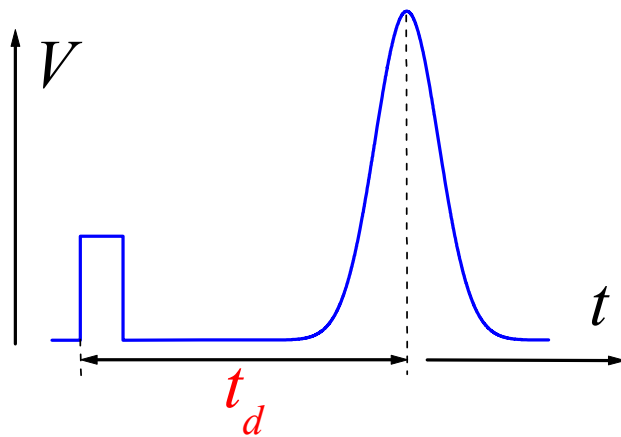
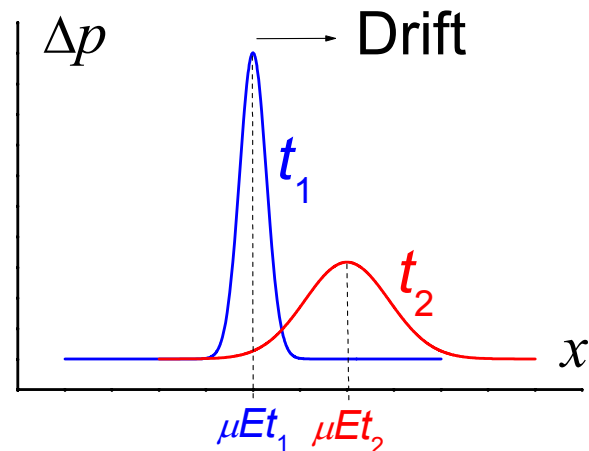
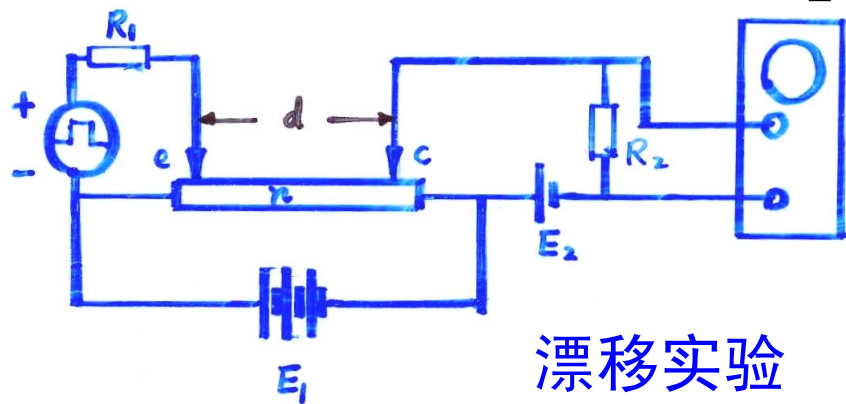
## 7.7.2 连续性方程的特例情况

$$\frac{\partial p}{\partial t} = D_p \frac{\partial^2 p}{\partial x^2} - \mu_p E \frac{\partial p}{\partial x} - \mu_p p \frac{\partial E}{\partial x} - \frac{\Delta p}{\tau} + g_p$$

3. 瞬时电脉冲  $E \neq 0$   $\frac{\partial E}{\partial x} = 0$   $g_p = 0$

$$\frac{\partial(\Delta p)}{\partial t} = D_p \frac{\partial^2(\Delta p)}{\partial x^2} - \mu_p E \frac{\partial(\Delta p)}{\partial x} - \frac{\Delta p}{\tau}$$

$$\Delta p(x, t) = \frac{N_p}{\sqrt{4\pi D_p t}} \exp\left(-\frac{t}{\tau}\right) \exp\left[-\frac{(x - \mu_p E t)^2}{4D_p t}\right]$$



$$\mu_{drift} = \frac{d}{Et_d}$$



# 7.7 连续性方程<sub>5</sub>

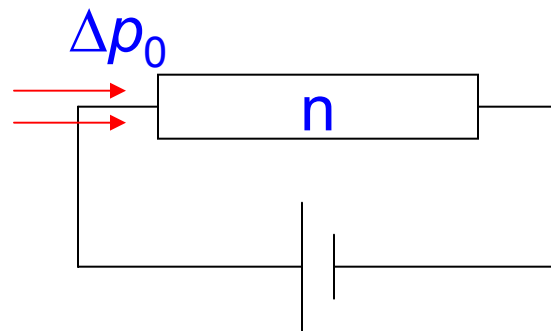
## 7.7.2 连续性方程的特例情况

### 4. 光照恒定，稳态

$$\frac{\partial p}{\partial t} = D_p \frac{\partial^2 p}{\partial x^2} - \mu_p E \frac{\partial p}{\partial x} - \mu_p p \frac{\partial E}{\partial x} - \frac{\Delta p}{\tau} + g_p$$

$$0 = D_p \frac{\partial^2 (\Delta p)}{\partial x^2} - \mu_p E \frac{\partial (\Delta p)}{\partial x} - \frac{\Delta p}{\tau}$$

通解  $\Delta p(x) = A \exp(\lambda_1 x) + B \exp(\lambda_2 x)$



$$\lambda_{1,2} = \frac{L_p(E) \pm \sqrt{L_p^2(E) + 4L_p^2}}{2L_p^2}$$

这里  $L_p(E) = \mu_p E \tau$  牵引长度

只能是衰减解  
，正根舍去

$$\begin{aligned} \Delta p(x) &= \Delta p_0 \exp \left[ \frac{L_p(E) - \sqrt{L_p^2(E) + 4L_p^2}}{2L_p^2} x \right] \\ &= \Delta p_0 \exp \left[ - \frac{2}{\sqrt{L_p^2(E) + 4L_p^2} + L_p(E)} x \right] \end{aligned}$$

# 7.7 连续性方程<sub>6</sub>

## 7.7.2 连续性方程的特例情况

### 4. 光照恒定，稳态

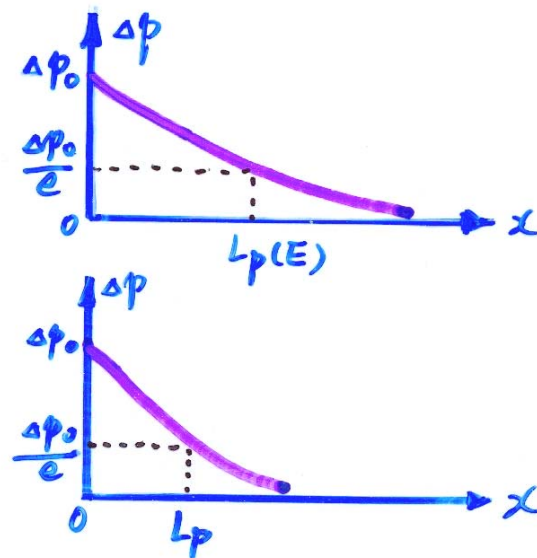
$$\Delta p(x) = \Delta p_0 \exp \left[ - \frac{2}{\sqrt{L_p^2(E) + 4L_p^2} + L_p(E)} x \right]$$

1° 当  $E$  很大时,  $L_p(E) \gg L_p$ ,  $\lambda_2 \rightarrow -1/L_p(E)$

$$\Delta p(x) = \Delta p_0 \exp[-x/L_p(E)]$$

2° 当  $E$  很小时,  $L_p(E) \ll L_p$ ,  $\lambda_2 \rightarrow -1/L_p$

$$\Delta p(x) = \Delta p_0 \exp(-x/L_p)$$



$$L_p(E) = \mu_p E \tau$$

# 7.7 连续性方程<sub>7</sub>

## 7.7.2 连续性方程的特例情况

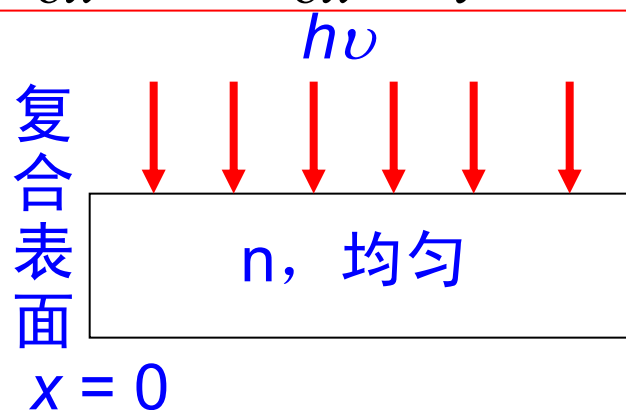
### 5. 稳态下的表面复合

$$\frac{\partial p}{\partial t} = D_p \frac{\partial^2 p}{\partial x^2} - \mu_p E \frac{\partial p}{\partial x} - \mu_p p \frac{\partial E}{\partial x} - \frac{\Delta p}{\tau} + g_p$$

$$D_p \frac{\partial^2 (\Delta p)}{\partial x^2} - \frac{\Delta p}{\tau} + g_p = 0$$

边界条件

$$\begin{cases} \Delta p(+\infty) = g_p \tau_p \\ D_p \frac{\partial (\Delta p)}{\partial x} \Big|_{x=0} = s_p [p(0) - p_0] \end{cases}$$

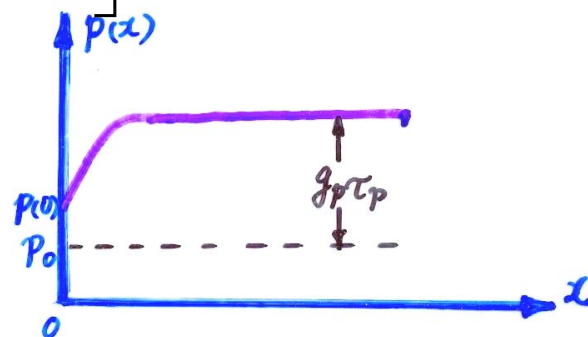


解为

$$p(x) = p_0 + g_p \tau_p \left[ 1 - \frac{s_p \tau_p}{L_p + s_p \tau_p} \exp(-x/L_p) \right]$$

当  $s_p \rightarrow 0$ ,  $p(x) \rightarrow p_0 + g_p \tau_p$  均匀分布

当  $s_p \rightarrow \infty$ ,  $p(0) \rightarrow p_0$



# 7.7 连续性方程<sub>8</sub>

## 7.7.3 连续性方程的一般情形

一般情形  $n \sim p$  (近本征情形)

$$\left\{ \begin{array}{l} \frac{\partial \Delta p}{\partial t} = D_p \frac{\partial^2 \Delta p}{\partial x^2} - \mu_p E \frac{\partial \Delta p}{\partial x} - \mu_p p \frac{\partial E}{\partial x} - \frac{\Delta p}{\tau} \\ \frac{\partial \Delta n}{\partial t} = D_n \frac{\partial^2 \Delta n}{\partial x^2} + \mu_n E \frac{\partial \Delta n}{\partial x} + \mu_n n \frac{\partial E}{\partial x} - \frac{\Delta n}{\tau} \end{array} \right. \quad \textcircled{1}$$

②

假设是均匀半导体，外加均匀电场

$$E = E_{\text{外}} + E_{\text{自}}$$

↑ ↑ 来源于  $\Delta p, \Delta n$  扩散的不同步  
均匀

一般情况下  $E_{\text{自}} \ll E_{\text{外}} \longrightarrow E \approx E_{\text{外}}$

而  $\frac{\partial E}{\partial x} = \frac{\partial E_{\text{自}}}{\partial x} = \frac{q(\Delta p - \Delta n)}{\epsilon_0 \epsilon_r}$  —— 泊松方程  $\nabla \cdot \vec{D} = \rho$

# 7.7 连续性方程<sub>9</sub>

## 7.7.3 连续性方程的一般情形

$$\left\{ \begin{array}{l} \frac{\partial \Delta p}{\partial t} = D_p \frac{\partial^2 \Delta p}{\partial x^2} - \mu_p E \frac{\partial \Delta p}{\partial x} - \mu_p p \frac{\partial E}{\partial x} - \frac{\Delta p}{\tau} \quad \textcircled{1} \\ \frac{\partial \Delta n}{\partial t} = D_n \frac{\partial^2 \Delta n}{\partial x^2} + \mu_n E \frac{\partial \Delta n}{\partial x} + \mu_n n \frac{\partial E}{\partial x} - \frac{\Delta n}{\tau} \quad \textcircled{2} \end{array} \right.$$

$$\textcircled{1} \times \mu_n n + \textcircled{2} \times \mu_p p \quad \left( \text{假设 } \Delta n \approx \Delta p \quad \frac{\partial \Delta n}{\partial x} \approx \frac{\partial \Delta p}{\partial x} \right)$$

$$(\mu_n n + \mu_p p) \frac{\partial \Delta p}{\partial t} = (\mu_n n D_p + \mu_p p D_n) \frac{\partial^2 \Delta p}{\partial x^2} - (n - p) \mu_n \mu_p E \frac{\partial \Delta p}{\partial x} - (\mu_n n + \mu_p p) \frac{\Delta p}{\tau}$$

$$\rightarrow \boxed{\frac{\partial \Delta p}{\partial t} = D \frac{\partial^2 \Delta p}{\partial x^2} - \mu E \frac{\partial \Delta p}{\partial x} - \frac{\Delta p}{\tau}} \quad \mu = \frac{(n - p) \mu_n \mu_p}{n \mu_n + p \mu_p} \text{ —— 双极迁移率}$$

$$D = \frac{n \mu_n D_p + p \mu_p D_n}{n \mu_n + p \mu_p} = \frac{(n + p) D_n D_p}{n D_n + p D_p} \text{ —— 双极扩散系数}$$

# 第七章小结

非平衡  
载流子

