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FedHK-MVFC: Federated Heat Kernel Multi-View Clustering

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Abstract: The evolution of future internet architectures toward decentralized, heterogeneous network topologies demands innovative approaches to distributed data analytics that simultaneously address privacy preservation, communication efficiency, and geometric complexity in networked environments. We introduce a federated multi-view clustering framework specifically designed for future internet infrastructures, where data is inherently distributed across autonomous network nodes with heterogeneous sensing modalities and privacy constraints. Our approach integrates quantum field theory concepts—specifically heat-kernel coefficients derived from spectral graph theory—into a practical federated learning paradigm, enabling geometry-aware clustering of multi-view data across network participants without centralized data aggregation. The framework addresses critical challenges in clustered federated learning for networks, including heterogeneous data distributions across network nodes, non-Euclidean geometric structures in network-generated data, privacy-sensitive collaborative analytics in distributed systems, and communication-efficient model synchronization across network hierarchies. We formalize the heat kernel transformation through a novel Kernel Euclidean Distance (KED) measure with rigorous mathematical foundations and convergence guarantees, demonstrating how local geometric structures captured by heat-kernel coefficients enable effective information diffusion across network topologies. Building upon this theoretical foundation, we develop two complementary algorithms: Heat Kernel-Enhanced Multi-View Fuzzy Clustering (HK-MVFC) for centralized network analytics, and Federated Heat Kernel Multi-View Fuzzy Clustering (FedHK-MVFC) for privacy-preserving distributed learning across networked participants. The federated variant incorporates differential privacy mechanisms, secure multi-party computation protocols, and adaptive aggregation strategies specifically tailored for clustered network architectures in future internet scenarios. Extensive experimental validation on synthetic multi-view datasets representative of network-generated data demonstrates substantial improvements over state-of-the-art baselines: 12–50% enhancement in clustering accuracy, 70% reduction in network communication overhead, and 100% performance retention relative to centralized approaches. A comprehensive case study involving collaborative healthcare analytics across 10,000 distributed patient records demonstrates the framework’s applicability to real-world network scenarios, where multiple institutions leverage complementary data modalities (ECG signals, cardiac imaging, behavioral profiles) for federated patient phenotyping while maintaining strict privacy compliance. Our theoretical contributions establish mathematically proven update rules with convergence guarantees, adaptive view weighting mechanisms for heterogeneous network data, and privacy-preserving aggregation protocols suitable for hierarchical and peer-to-peer network topologies in future internet infrastructures. This work provides a foundational framework for geometry-aware clustered federated learning in networked environments, demonstrating how advanced mathematical concepts from quantum field theory can be translated into practical, scalable solutions for distributed data analysis in privacy-sensitive network applications, thereby advancing the state-of-the-art in federated learning for future internet architectures.

Keywords: Federated learning; Multi-view clustering; Heat kernel methods; Privacy-preserving networks; Distributed data analysis; Future internet; Network analytics; Secure aggregation;

40 Healthcare networks; Smart systems; Clustered federated learning; Network topologies; Quantum
 41 field theory; Geometric data analysis

42 **1. Introduction**

43 The proliferation of heterogeneous data sources has catalyzed a surge of interest in multi-view
 44 (MV) clustering, a methodology in which a single entity is delineated by multiple distinct feature sets or
 45 "views." In such contexts, conventional clustering methods frequently prove inadequate, underscoring
 46 the necessity for advanced approaches that can seamlessly integrate complementary information
 47 across diverse perspectives while preserving coherence in the resulting partition. Furthermore,
 48 the rapid evolution of large-scale artificial intelligence (AI) systems—from centralized language
 49 models to decentralized, agent-based architectures—has fundamentally shifted the conceptualization
 50 and deployment of learning [1]. In these novel frameworks, learning must occur not only across
 51 heterogeneous data views but also across distributed agents, with each agent operating within its own
 52 local context, resource constraints, and knowledge representation. This paradigm shift necessitates a
 53 theoretical and algorithmic framework that can accommodate structural diversity, communication
 54 limitations, and the intrinsic geometry of information flow.

55 In the context of prospective Internet applications, such as healthcare monitoring, smart cities,
 56 and distributed sensor networks, data is frequently collected and processed across a multitude of
 57 decentralized nodes [2]. The utilization of conventional clustering methods is hindered by the inherent
 58 characteristics and structures of the numerous sources of data. These data sources exhibit varied
 59 characteristics and structures, which hinder the efficacy of traditional clustering methods that rely
 60 on flat Euclidean representations. This challenge has been thoroughly documented in the research
 61 literature [3–6]. Healthcare serves as a prime example of this phenomenon. Patient data may be
 62 distributed across various hospitals, each collecting different types of information (e.g., imaging,
 63 physiological signals, and electronic health records) [7]. Conventional clustering methodologies that
 64 employ conventional Euclidean distance (CED) may encounter challenges in accurately capturing the
 65 intricate relationships and inherent geometries present in such heterogeneous datasets. Consequently,
 66 there is an urgent need for clustering algorithms that can effectively integrate and analyze multi-view
 67 data in a decentralized manner while respecting the privacy and autonomy of each data source.

68 Federated learning (FL) has emerged as a promising paradigm for collaborative model training
 69 across decentralized data sources while preserving privacy [8]. In the state of Florida, a consortium
 70 of clients (e.g., hospitals) collaboratively trains a global model without sharing their raw data, thus
 71 addressing privacy concerns and regulatory constraints [9]. However, extant FL approaches frequently
 72 depend on conventional distance metrics and are deficient in their inability to capture the intrinsic
 73 geometries of multi-view data. This limitation impedes their efficacy in scenarios where data is
 74 heterogeneous and distributed across multiple agents.

75 *1.1. Research Problem and Objectives*

76 The fundamental research problem addressed in this work concerns the development of a unified
 77 framework for privacy-preserving multi-view clustering that simultaneously satisfies three critical
 78 requirements: (1) **geometric awareness**—capturing the intrinsic manifold structure of heterogeneous
 79 data that conventional Euclidean distance metrics fail to represent, (2) **federated scalability**—enabling
 80 distributed learning across autonomous agents without centralized data aggregation, and (3)
 81 **theoretical rigor**—providing mathematically proven convergence guarantees and privacy preservation
 82 mechanisms. Existing multi-view clustering approaches predominantly rely on Euclidean distance,
 83 which assumes linear relationships and uniform feature scaling, thereby failing to capture the
 84 complex geometric structures inherent in real-world heterogeneous datasets. Furthermore, federated

learning frameworks for multi-view data lack principled mechanisms for aggregating complementary information across distributed views while maintaining privacy and communication efficiency.

To address these challenges, this research pursues the following specific objectives: *First*, we develop a heat kernel-based geometric measure that transforms conventional distance computations into geometry-aware similarity assessments, enabling effective clustering on non-linear manifolds and varying cluster densities. *Second*, we formulate a federated multi-view clustering algorithm that extends the centralized heat kernel framework to distributed settings, incorporating differential privacy mechanisms and secure aggregation protocols tailored for clustered network architectures. *Third*, we establish theoretical foundations including convergence guarantees, privacy bounds, and communication complexity analysis for the proposed federated clustering framework. *Fourth*, we validate the framework through comprehensive experimental evaluation on synthetic multi-view datasets representative of network-generated data, demonstrating substantial improvements over state-of-the-art baselines in clustering accuracy, communication efficiency, and privacy preservation.

In this work, we propose a novel soft clustering framework that replaces conventional Euclidean distance (CED) with kernelized geometric measures derived from H-KC. These tools are rooted in QFT and spectral analysis. By modeling similarity via heat diffusion over data manifolds, our approach provides a richer, geometry-aware mechanism for aggregating and aligning distributed views. Furthermore, the theoretical underpinnings from QFT offer a natural interpretation of influence propagation, a notion that is increasingly relevant in the context of collaborative AI and agent-based systems.

Our method enables flexible, privacy-preserving learning across multiple views and agents, with theoretical guarantees and practical scalability. It bridges foundational mathematics with contemporary machine learning (ML) architecture, contributing a unified lens to interpret and design decentralized intelligent systems (DIS). In summary, the contributions of this study include:

1. In the contemporary era of modular artificial intelligence (AI), where the harmonization of not only data views but also distributed agents across diverse sources is paramount, we propose a unified theoretical framework that integrates maximum-volume (MV) learning, kernel geometry, and quantum field theory (QFT) to facilitate scalable, interpretable federated learning (FL). We introduce the concept of heat-kernel coefficients (H-KC) as a novel mechanism to capture local geometric structures across decentralized views and agents, thereby enabling effective information diffusion in DIS. This framework provides a principled basis for designing algorithms that respect both data heterogeneity and agent autonomy.
2. The present study proposes a methodology for the analysis of local geometric structures across decentralized views. This methodology is based on heat kernel-based soft clustering, a technique that has been employed in the study of large language models (LLM) and "LLM-agents," which encode semantic geometry in high-dimensional manifolds. The present study proposes an extension to the concept of centralized clustering algorithms, with the objective of establishing a framework to bridge the Heat Kernel-Enhanced Multi-View Fuzzy Clustering (HK-MVFC). The mathematical formulation for federated HK-MVFC, abbreviated as FedHK-MVFC, is implemented. This algorithm facilitates the collaborative clustering of multi-view data by multiple clients (e.g., hospitals) while preserving privacy and minimizing communication overhead. The theoretical analysis provided herein demonstrates convergence properties and robustness to data heterogeneity.
3. As medical datasets of this nature are not publicly available, we have generated synthetic datasets that mimic the characteristics of real-world multi-view medical data. We contribute a novel synthetic dataset with non-linear relationships across views, designed to evaluate the effectiveness of geometry-aware clustering methods.

The remainder of this paper is organized as follows: In Section 2, an examination of extant literature pertaining to federated learning, multi-view clustering, and heat kernel methods is conducted. The subsequent sections (3-4) delineate the mathematical formulation of the proposed HK-MVFC

and FedHK-MVFC algorithms. As delineated in Section 5, the proposed Fed-HKMVFC concept is predicated on a privacy-preserving framework. In Section 6, experimental results are presented on synthetic datasets. These results are compared with baseline methods. Conclusively, Section 7 culminates in a discourse on the ramifications and prospective avenues for further research.

139 2. Literature Review

140 Federated learning (FL) has emerged as a paradigm for collaborative model training across
 141 decentralized data sources while preserving privacy [10,11]. Early works focused on federated
 142 averaging (FedAvg) and its variants to address communication efficiency and robustness to data
 143 heterogeneity. Recent advances include personalization strategies [12,13], secure aggregation [14], and
 144 applications in healthcare, finance, and the Internet of Things (IoT). Clustering algorithms, including
 145 k-means, hierarchical clustering, and fuzzy c-means (FCM) (see reference [15]), are foundational in
 146 unsupervised learning. Multi-view clustering extends these methods to leverage complementary
 147 information across multiple feature representations (see references [16,17]). Kernel-based approaches,
 148 such as spectral clustering and kernel k-means, address non-linear relationships and manifold
 149 structures (see references [18,19]). Distributed clustering integrates clustering algorithms with
 150 distributed computing frameworks to scale to large datasets and decentralized environments [20,21].
 151 In contrast, federated clustering combines FL principles with clustering to enable privacy-preserving
 152 unsupervised learning across clients [22,23]. However, existing methods often rely on conventional
 153 distance metrics and face challenges in handling heterogeneous data distributions and aligning cluster
 154 assignments across clients. Recent works have explored kernel methods and manifold learning in
 155 federated settings (see [24,25]), highlighting the importance of geometry-aware similarity measures.
 156 However, most approaches lack theoretical guarantees and practical scalability in complex multi-view
 157 scenarios.

158 In centralized multi-view clustering, Yang and Sinaga [26] introduced Co-FW-MVFCM
 159 (Collaborative Feature-Weighted Multi-View Fuzzy C-Means), which enables collaborative learning
 160 across data views through adaptive feature weighting. While Co-FW-MVFCM demonstrates
 161 effectiveness in centralized environments, it lacks mechanisms for distributed deployment and cannot
 162 handle geometrically complex data structures such as non-convex clusters or manifold-embedded
 163 patterns. Similarly, Zhou et al. [27] proposed MvWEC (Multi-view Weighted Evidential C-Means),
 164 incorporating evidential reasoning to manage uncertainty in multi-view clustering. Despite its
 165 theoretical contributions, MvWEC still relies on traditional distance measures that may not fully
 166 exploit the geometric structure of multi-view data, particularly when views exhibit complementary
 167 rather than redundant information.

168 The extension to federated settings introduces additional complications. Yang et al. [28]
 169 proposed federated k-means clustering based on feature weighting, addressing privacy preservation
 170 and communication efficiency. However, this approach inherits the fundamental limitation of
 171 k-means—dependence on Euclidean distance—which restricts its capability to capture complex
 172 relationships in multi-view data. Liu et al. [25] developed federated probabilistic preference learning
 173 for privacy-preserving multi-domain recommendation based on co-clustering. While addressing
 174 privacy concerns through local computation and secure aggregation, existing co-clustering methods
 175 often depend on conventional distance metrics, struggle with data heterogeneity across clients, and
 176 lead to inconsistent clustering results.

177 Recent tensorized approaches have extended single-view clustering to multi-view settings. Liu
 178 et al. [29] introduced adaptively weighted multi-view tensorized clustering, leveraging tensor
 179 decomposition for view integration. However, the underlying distance computations remain
 180 Euclidean-based, limiting effectiveness on datasets with intrinsic geometric complexity. Furthermore,
 181 most tensor-based methods [30–34] do not consider distributed or federated learning settings,
 182 restricting their applicability in privacy-sensitive scenarios such as healthcare and finance.

Despite significant advancements in federated learning and multi-view clustering, several fundamental limitations persist when integrating heterogeneous data across distributed environments. The principal challenge stems from the conventional distance metrics employed by existing methods, which fail to capture the intrinsic geometric structures inherent in multi-view data. Traditional approaches predominantly rely on Euclidean distance, which assumes linear relationships and uniform feature scaling—assumptions that are frequently violated in real-world heterogeneous datasets. This metric inadequacy becomes particularly problematic when dealing with non-linear manifolds, varying cluster densities, and complex topological structures common in medical imaging, social networks, and genomic data.

In healthcare applications, these limitations manifest critically. Multi-institutional patient data often exhibit complex geometric patterns across modalities (ECG signals, imaging, genomic profiles), heterogeneous statistical distributions due to demographic variations, and strict privacy constraints preventing raw data sharing. Existing methods fail to simultaneously address these requirements: geometry-aware similarity measures for capturing intrinsic data structures, federated learning protocols for privacy-preserving collaboration, and robust aggregation mechanisms for handling heterogeneous client distributions.

2.1. Research Gaps and Motivation

The comprehensive analysis of existing literature reveals three critical research gaps that motivate the present work:

Gap 1: Geometric Structure Ignorance. Current multi-view clustering methods, both centralized and federated, predominantly employ Euclidean distance metrics that fail to capture the intrinsic manifold geometry of complex data distributions. This limitation is particularly severe when data resides on non-linear manifolds or exhibits varying local densities—scenarios common in medical imaging, genomic analysis, and sensor network data. While kernel methods have been explored in single-view settings, their principled integration into multi-view federated frameworks remains unexplored, especially with theoretical foundations grounded in quantum field theory and spectral graph analysis.

Gap 2: Multi-View Heterogeneity in Federated Settings. Existing federated clustering approaches lack robust mechanisms for handling heterogeneous multi-view data across distributed clients. Current methods either aggregate views naively without considering their complementary nature or employ view-specific models that fail to leverage cross-view consistency. The challenge intensifies when different clients possess varying numbers of views with distinct statistical properties, a scenario frequently encountered in multi-institutional healthcare collaborations where data collection protocols differ across sites.

Gap 3: Privacy-Performance Trade-off. While differential privacy and secure aggregation techniques exist for federated learning, their integration with geometry-aware multi-view clustering introduces unique challenges. Existing privacy-preserving methods either sacrifice clustering accuracy significantly or require prohibitive communication overhead. Furthermore, theoretical analysis of privacy-utility trade-offs in the context of heat kernel-enhanced federated clustering remains absent from the literature.

2.2. Research Objectives and Contributions

Motivated by these gaps, this work pursues the following research objectives:

Objective 1: Develop a theoretically grounded framework that integrates heat kernel coefficients—derived from quantum field theory and spectral graph analysis—into multi-view fuzzy clustering, enabling geometry-aware similarity assessment that captures intrinsic manifold structures.

Objective 2: Extend the centralized heat kernel-enhanced framework to federated settings, incorporating differential privacy mechanisms, secure aggregation protocols, and adaptive view weighting strategies tailored for heterogeneous multi-view data across distributed clients.

231 **Objective 3:** Establish rigorous mathematical foundations including convergence guarantees
 232 for both centralized and federated algorithms, privacy-utility analysis under differential privacy
 233 constraints, and communication complexity characterization for federated implementations.

234 **Objective 4:** Validate the proposed framework through comprehensive experimental evaluation
 235 on synthetic multi-view datasets with controlled geometric complexity, demonstrating substantial
 236 improvements over state-of-the-art baselines in clustering accuracy, communication efficiency, and
 237 privacy preservation.

238 Our proposed FedHK-MVFC framework addresses these objectives by integrating heat
 239 kernel-based geometric measures with federated multi-view clustering, enabling effective collaboration
 240 while preserving data privacy and capturing complex geometric relationships. The framework
 241 provides a unified theoretical foundation that bridges quantum field theory concepts with practical
 242 federated learning implementations, offering both algorithmic innovations and rigorous mathematical
 243 analysis that advance the state-of-the-art in privacy-preserving distributed multi-view clustering.

244 3. Heat-Kernel Enhanced Multi-view Clustering

245 This section presents our proposed heat-kernel enhanced multi-view clustering framework. We
 246 first establish the mathematical foundations and notation used throughout our formulations, then
 247 develop our two novel clustering algorithms: Heat Kernel-Enhanced Multi-View Fuzzy Clustering
 248 (HK-MVFC) and its extension, Federated Heat Kernel Multi-View Fuzzy Clustering (FedHK-MVFC).
 249 HK-MVFC algorithm is designed to effectively integrate information across heterogeneous data views
 250 in (non-distributed) mechanisms while leveraging heat-kernel coefficients to enhance representational
 251 capacity. In particular, we aim to address the following research problems, including how we can
 252 effectively integrate multiple heterogeneous data views into a unified clustering framework that
 253 captures complex relationships among data points across views and how we can leverage heat-kernel
 254 coefficients to enhance the representational capacity of clustering algorithms, particularly in capturing
 255 the intrinsic geometries of data manifolds.

256 3.1. Mathematical Preliminaries and Problem Formulation

257 We begin by establishing the mathematical framework and notation that will be used throughout
 258 our formulation. This formulation serves as the foundation for both the centralized HK-MVFC and
 259 federated FedHK-MVFC algorithms.

260 3.1.1. Multi-View Data Representation

261 Consider a multi-view dataset $X \in \mathbb{R}^{n \times D}$ consisting of n data samples observed across s distinct
 262 views. Each view $h \in \{1, 2, \dots, s\}$ captures a different perspective or modality of the underlying data,
 263 represented as:

$$264 \quad X^h = \{x_1^h, x_2^h, \dots, x_n^h\} \quad \text{for } h = 1, 2, \dots, s \quad (1)$$

264 where each data point $x_i^h \in \mathbb{R}^{d_h}$ in view h is characterized by d_h features:

$$266 \quad x_i^h = [x_{i1}^h, x_{i2}^h, \dots, x_{id_h}^h]^T \quad \text{for } i = 1, 2, \dots, n \quad (2)$$

265 The total dimensionality of the multi-view dataset is given by $D = \sum_{h=1}^s d_h$, representing the
 266 aggregated feature space across all views.

267 3.1.2. Clustering Parameters

268 The fundamental objective is to partition the n data samples into c clusters, where the clustering
 269 structure is consistent across all views. This clustering is characterized by several key mathematical
 270 objects:

271 **Unified Membership Matrix:** The clustering assignment is represented by a global fuzzy
272 membership matrix $U^* \in \mathbb{R}^{n \times c}$, where each element μ_{ik}^* denotes the degree of membership of data
273 point i to cluster k :

$$U^* = [\mu_{ik}^*]_{n \times c}, \quad \text{where } \mu_{ik}^* \in [0, 1] \quad (3)$$

274 The membership values satisfy the probabilistic constraint that ensures each data point's total
275 membership across all clusters sums to unity:

$$\sum_{k=1}^c \mu_{ik}^* = 1 \quad \text{for all } i = 1, 2, \dots, n \quad (4)$$

276 **View Weight Vector:** To account for the varying importance and informativeness of different
277 views, we introduce an adaptive view weight vector $V \in \mathbb{R}^{1 \times s}$:

$$V = [v_1, v_2, \dots, v_s], \quad \text{where } v_h \in [0, 1] \quad (5)$$

278 These weights are normalized to ensure a valid probability distribution over views:

$$\sum_{h=1}^s v_h = 1 \quad (6)$$

279 Higher values of v_h indicate that view h is more informative for the clustering task, enabling the
280 algorithm to automatically emphasize more reliable data sources.

281 **Cluster Center Matrix:** For each view h , the cluster prototypes are represented by a cluster center
282 matrix $A^h \in \mathbb{R}^{c \times d_h}$:

$$A^h = [a_{kj}^h]_{c \times d_h} \quad \text{for } h = 1, 2, \dots, s \quad (7)$$

283 where a_{kj}^h represents the j -th feature of the k -th cluster center in view h . The complete set of cluster
284 centers across all views is denoted as $\mathcal{A} = \{A^1, A^2, \dots, A^s\}$.

285 3.1.3. Problem Statement

286 Given the multi-view dataset $X = \{X^1, X^2, \dots, X^s\}$ and a specified number of clusters c , our
287 objective is to determine the optimal clustering parameters (U^*, V, \mathcal{A}) that minimize a unified objective
288 function. This objective function will integrate information across all views while accounting for the
289 intrinsic geometric structure of the data through heat kernel-enhanced distance measures.

290 The mathematical framework established here provides the foundation for developing both
291 centralized and federated multi-view clustering algorithms that can effectively handle heterogeneous
292 data sources while preserving data privacy in distributed settings.

293 3.2. Heat-Kernel Coefficients

294 Central to our approach is the formulation of heat-kernel coefficients (H-KC), which enables the
295 transformation of Euclidean distance in the original feature space into an exponential kernel distance.
296 For the j -th feature in the h -th view, we define H-KC δ_{ij}^h using two alternative estimators:

$$\delta_{ij}^h = \frac{x_{ij}^h - \min_{1 \leq i \leq n} (x_{ij}^h)}{\max_{1 \leq i \leq n} (x_{ij}^h) - \min_{1 \leq i \leq n} (x_{ij}^h)} \quad (8)$$

297 **Note:** To ensure numerical stability, a small constant $\epsilon > 0$ is added to the denominator. If all
298 feature values are identical, $\max = \min$, and the denominator becomes ϵ to avoid division by zero.
299 and

$$\delta_{ij}^h = |x_{ij}^h - \bar{x}^h| \quad (9)$$

300 where $\bar{x}^h = 1/n \sum_{i=1}^n x_{ij}^h$ represents the mean value of the j -th feature in view h .

301 3.3. Conventional Fuzzy C-Means in Multi-View Context

302 The standard fuzzy c-means (FCM) algorithm minimizes the following objective function:

$$J_{FCM}(U, V) = \sum_{i=1}^n \sum_{k=1}^c \mu_{ik}^m \sum_{j=1}^d (x_{ij}^h - a_{kj})^2 \quad (10)$$

303 where $m > 1$ is the fuzzifier parameter controlling the degree of fuzziness in the resulting
304 partitions.

305 In a multi-view setting, conventional FCM faces limitations when attempting to derive unified
306 patterns from heterogeneous data sources. These limitations stem from its reliance on the Euclidean
307 distance metric, which fails to capture non-linear relationships and cannot effectively balance
308 contributions from views of varying dimensionality, scale, and noise characteristics.

309 3.4. Heat Kernel-Enhanced Multi-View Fuzzy Clustering

310 To address the challenges of clustering heterogeneous multi-view data, we propose the Heat
311 Kernel-Enhanced Multi-View Fuzzy Clustering (HK-MVFC) algorithm. This approach integrates H-KC
312 into a fuzzy clustering framework, enabling more effective capture of complex relationships between
313 data points and cluster centers across multiple views.

314 The foundation of our approach is the transformation of CED metrics into exponential kernel
315 distances. To achieve this, we introduce a novel Kernel Euclidean Distance (KED) formulation:

$$KED_1(x_{ij}^h, a_{kj}^h) = \exp \left(- \sum_{j=1}^{d_h} \delta_{ij}^h (x_{ij}^h - a_{kj}^h)^2 \right) \quad (11)$$

316 In Eq. 11, the H-KC acts as adaptive weighting factors, enabling the algorithm to effectively
317 balance between local and global feature importance. This formulation maps distances to the range
318 $[0, 1]$, with values approaching 1 indicating high similarity between data points and cluster centers.

319 For clustering purposes, we require a dissimilarity measure that approaches zero for identical
320 points. Therefore, we normalize $KED_1(x_{ij}^h, a_{kj}^h)$ to obtain:

$$KED_2(x_{ij}^h, a_{kj}^h) = 1 - \exp \left(- \sum_{j=1}^{d_h} \delta_{ij}^h (x_{ij}^h - a_{kj}^h)^2 \right) \quad (12)$$

321 We denote $KED_2(x_{ij}^h, a_{kj}^h)$ as $d_{\exp(i_k, j)}^h$ for notational simplicity in subsequent formulations.

322 Building on the kernel distance transformation, we formulate the HK-MVFC objective function as
323 follows:

$$J_{HK-MVFC}(V, U^*, A) = \sum_{h=1}^s v_h^\alpha \sum_{i=1}^n \sum_{k=1}^c (\mu_{ik}^*)^m d_{\exp(i_k, j)}^h \quad (13)$$

324 Subject to:

$$\sum_{k=1}^c \mu_{ik}^* = 1, \mu_{ik}^* \in [0, 1]$$

$$\sum_{h=1}^s v_h = 1, v_h \in [0, 1]$$

326 The exponent parameter α of our proposed HK-MVFC in Eq. 13 controls the influence of view
 327 weights, with larger values increasing the contrast between different views' contributions. Here, we
 328 set $\alpha > 1$, a user-defined parameter that adjusts the real-world problem needs. And the fuzzifier $m > 1$
 329 determines the fuzziness of the resulting partition.

330 A critical aspect of our approach is the use of a unified membership matrix $U^* = [\mu_{ik}^*]$ across
 331 all views. Unlike approaches that compute separate memberships for each view and subsequently
 332 merge them, HK-MVFC enforces consistency across views from the outset, resulting in a more coherent
 333 clustering structure.

334 3.5. Optimization Framework: HK-MVFC

335 The objective function of proposed HK-MVFC in Eq. 13 cannot be minimized directly due to its
 336 non-convex nature. We therefore employ an alternating optimization approach, iteratively updating
 337 the membership matrix U^* , the view weights V , and the cluster centers A .

338 To derive the update rules, we construct the Lagrangian of Eq. 13 in the following way

$$\tilde{J}_{HK-MVFC} = J_{HK-MVFC}(V, U^*A) + \sum_{i=1}^n \lambda_{1i} \left(\sum_{k=1}^c \mu_{ik}^* - 1 \right) + \lambda_2 \left(\sum_{h=1}^s v_h - 1 \right) \quad (14)$$

339 where λ_{1i} and λ_2 are Lagrange multipliers enforcing the normalization constraints.

340 **Theorem 1** (HK-MVFC Update Rules). *The necessary conditions for minimizing the objective function*
 341 *$J_{HK-MVFC}$ in Eq. 13 yield the following update rules for the membership matrix μ^* , cluster centers a , and view*
 342 *weights v :*

$$\mu_{ik}^* = \frac{\left(\sum_{h=1}^s v_h^\alpha d_{\exp(ik,j)}^h \right)^{-(m-1)^{-1}}}{\sum_{k'=1}^c \left(\sum_{h=1}^s v_h^\alpha d_{\exp(ik',j)}^h \right)^{-(m-1)^{-1}}} \quad (15)$$

343 **Membership Update:** Eq. 15 updates the membership degree μ_{ik}^* of data point x_i to cluster k , where the weighted
 344 distances across all s views are aggregated using view weights v_h^α , and the fuzzifier parameter m controls the
 345 degree of membership overlap.

$$a_{kj}^h = \frac{\sum_{i=1}^n (\mu_{ik}^*)^m v_h^\alpha \exp(-\delta_{ij}^h \|x_i^h - a_k^h\|^2)}{\sum_{i=1}^n (\mu_{ik}^*)^m v_h^\alpha \exp(-\delta_{ij}^h \|x_i^h - a_k^h\|^2)} x_{ij}^h \quad (16)$$

346 **Cluster Center Update:** Eq. 16 computes the j -th feature of cluster center a_k in view h as a weighted average of
 347 data points, where weights combine membership degrees $(\mu_{ik}^*)^m$, view importance v_h^α , and exponential kernel
 348 terms $\exp(-\delta_{ij}^h \|x_i^h - a_k^h\|^2)$.

$$v_h = \frac{\left(\sum_{i=1}^n \sum_{k=1}^c (\mu_{ik}^*)^m d_{\exp(ik,j)}^h \right)^{-(\alpha-1)^{-1}}}{\sum_{h'=1}^s \left(\sum_{i=1}^n \sum_{k=1}^c (\mu_{ik}^*)^m d_{\exp(ik,j)}^{h'} \right)^{-(\alpha-1)^{-1}}} \quad (17)$$

349 **View Weight Update:** Eq. 17 determines the importance weight v_h for view h , inversely proportional to the
 350 total weighted distance in that view, where α controls the distribution of weights across views (normalized such
 351 that $\sum_{h=1}^s v_h = 1$).

352 **Remark 1.** These update rules form an iterative alternating optimization scheme: given current estimates of
353 cluster centers and view weights, Eq. 15 updates memberships; given memberships and view weights, Eq. 16
354 updates centers; and given memberships and centers, Eq. 17 updates view weights. This process continues until
355 convergence.

356 **Proof.** We establish the necessary optimality conditions for the HK-MVFC objective function using
357 Lagrangian optimization and derive the closed-form update rules for each parameter set.

358 Part I: Membership Matrix Update Rule Derivation

359 To derive the update equation for the membership coefficients μ_{ik}^* , we begin by computing the
360 partial derivative of the Lagrangian in Eq. 14 with respect to μ_{ik}^* . This derivative represents the
361 marginal change in the objective function when we adjust the membership of data point i to cluster k .

$$\frac{\partial \tilde{J}_{HK-MVFC}}{\partial \mu_{ik}^*} = m(\mu_{ik}^*)^{m-1} \sum_{h=1}^s v_h^\alpha d_{\exp(ik,j)}^h + \lambda_{1i} = 0 \quad (18)$$

362 where the first term $m(\mu_{ik}^*)^{m-1}$ arises from the power rule applied to the fuzzified membership
363 $(\mu_{ik}^*)^m$, and the summation $\sum_{h=1}^s v_h^\alpha d_{\exp(ik,j)}^h$ represents the weighted contribution of all views to the
364 distance between data point i and cluster center k .

365 Solving Eq. 18 for μ_{ik}^* yields:

$$\mu_{ik}^* = \left(-\frac{\lambda_{1i}}{m} \right)^{(m-1)^{-1}} \left(\sum_{h=1}^s v_h^\alpha d_{\exp(ik,j)}^h \right)^{-(m-1)^{-1}} \quad (19)$$

366 Here, the exponent $(m-1)^{-1} = \frac{1}{m-1}$ transforms the equation from its derivative form back to
367 the original membership variable. The negative sign in the Lagrange multiplier term ensures that
368 memberships decrease as distances increase, which is the desired clustering behavior.

369 To determine the Lagrange multiplier λ_{1i} , we impose the normalization constraint $\sum_{k=1}^c \mu_{ik}^* = 1$,
370 which ensures that the total membership of data point i across all clusters sums to unity (a fundamental
371 requirement in fuzzy clustering):

$$\sum_{k=1}^c \left(-\frac{\lambda_{1i}}{m} \right)^{(m-1)^{-1}} \left(\sum_{h=1}^s v_h^\alpha d_{\exp(ik,j)}^h \right)^{-(m-1)^{-1}} = 1 \quad (20)$$

$$\Rightarrow \left(-\frac{\lambda_{1i}}{m} \right)^{(m-1)^{-1}} = \frac{1}{\sum_{k'=1}^c \left(\sum_{h=1}^s v_h^\alpha d_{\exp(ik',j)}^h \right)^{-(m-1)^{-1}}} \quad (21)$$

372 The denominator in Eq. 21 serves as a normalization factor that ensures all memberships sum to
373 one. Substituting this expression back into Eq. 19 yields the final membership update rule in Eq. 15,
374 where each membership is inversely proportional to the weighted distance raised to the power $\frac{1}{m-1}$.

375 Part II: Cluster Centers Update Rule Derivation

376 To find the optimal cluster centers a_{kj}^h , we differentiate the HK-MVFC objective function in Eq. 13
377 with respect to a_{kj}^h . This derivative measures how the objective function changes when we adjust the
378 j -th feature of cluster center k in view h :

$$\frac{\partial J_{HK-MVFC}}{\partial a_{kj}^h} = v_h^\alpha \sum_{i=1}^n (\mu_{ik}^*)^m \frac{\partial d_{\exp(ik,j)}^h}{\partial a_{kj}^h} \quad (22)$$

379 where v_h^α weights the contribution of view h , and $(\mu_{ik}^*)^m$ weights each data point's contribution
380 by its membership to cluster k .

381 Expanding the kernel distance derivative:

$$\frac{\partial d_{\exp(ik,j)}^h}{\partial a_{kj}^h} = \frac{\partial}{\partial a_{kj}^h} \left\{ 1 - \exp \left(- \sum_{j=1}^{d_h} \delta_{ij}^h (x_{ij}^h - a_{kj}^h)^2 \right) \right\} \quad (23)$$

$$= - \frac{\partial}{\partial a_{kj}^h} \exp \left(- \sum_{j=1}^{d_h} \delta_{ij}^h (x_{ij}^h - a_{kj}^h)^2 \right) \quad (24)$$

382 The exponential term captures the heat kernel transformation, where δ_{ij}^h are the heat-kernel
383 coefficients that adapt to local data geometry. Applying the chain rule:

$$\frac{\partial J_{HK-MVFC}}{\partial a_{kj}^h} = 2\delta_{ij}^h v_h^\alpha \sum_{i=1}^n (\mu_{ik}^*)^m \exp \left(- \sum_{j=1}^{d_h} \delta_{ij}^h (x_{ij}^h - a_{kj}^h)^2 \right) (x_{ij}^h - a_{kj}^h) \quad (25)$$

384 The factor of 2 arises from differentiating the squared term $(x_{ij}^h - a_{kj}^h)^2$, while the exponential term
385 weights contributions based on the heat kernel similarity between data points and cluster centers. The
386 term $(x_{ij}^h - a_{kj}^h)$ indicates the direction of adjustment: positive when data points are larger than the
387 center, negative otherwise.

388 Setting Eq. 25 to zero and rearranging yields Eq. 16, which shows that cluster centers are weighted
389 averages of data points, where weights combine fuzzy memberships $(\mu_{ik}^*)^m$, view importance v_h^α , and
390 heat kernel similarities $\exp(-\delta_{ij}^h \|x_i^h - a_k^h\|^2)$.

391 Part III: View Weights Update Rule Derivation

392 To update the view weights v_h , we compute the partial derivative of the Lagrangian in Eq. 14
393 with respect to v_h . This derivative quantifies how the objective function changes when we adjust the
394 importance assigned to view h :

$$\frac{\partial \tilde{J}_{HK-MVFC}}{\partial v_h} = \alpha v_h^{\alpha-1} \sum_{i=1}^n \sum_{k=1}^c (\mu_{ik}^*)^m d_{\exp(ik,j)}^h + \lambda_2 = 0 \quad (26)$$

395 The term $\alpha v_h^{\alpha-1}$ comes from differentiating v_h^α with respect to v_h , while the double summation
396 $\sum_{i=1}^n \sum_{k=1}^c (\mu_{ik}^*)^m d_{\exp(ik,j)}^h$ represents the total weighted distance contribution of view h across all data
397 points and clusters.

398 Solving for v_h :

$$v_h = \left(-\frac{\lambda_2}{\alpha} \right)^{(\alpha-1)^{-1}} \left(\sum_{i=1}^n \sum_{k=1}^c (\mu_{ik}^*)^m d_{\exp(ik,j)}^h \right)^{-(\alpha-1)^{-1}} \quad (27)$$

399 The exponent $(\alpha - 1)^{-1} = \frac{1}{\alpha-1}$ transforms the derivative relationship back to the view weight
400 variable. The negative exponent on the distance summation ensures that views with smaller total
401 distances (better clustering quality) receive higher weights.

402 Applying the normalization constraint $\sum_{h=1}^s v_h = 1$ determines the Lagrange multiplier λ_2 and
403 yields the final view weight update in Eq. 17. This adaptive weighting mechanism automatically
404 assigns higher importance to more informative views, where informativeness is measured by the
405 view's ability to create compact, well-separated clusters.

406 The alternating optimization of these three parameter sets (memberships, cluster centers, and
407 view weights) constitutes the complete HK-MVFC algorithm, with each update rule derived from
408 necessary optimality conditions of the constrained optimization problem. \square

409 *3.6. Theoretical Insights*

410 Several important theoretical properties of the HK-MVFC algorithm warrant emphasis. The
 411 algorithm exhibits a consistency property where reducing intra-cluster distances within a view while
 412 increasing inter-cluster distances across different views preserves the clustering outcome. This property
 413 ensures stable performance even with heterogeneous data distributions, which is particularly crucial in
 414 multi-view scenarios where individual views may exhibit varying degrees of noise and signal quality.

415 The update rule for view weights in Eq. 17 demonstrates an adaptive weighting mechanism
 416 that assigns higher weights to views with smaller intra-cluster distances, effectively prioritizing more
 417 informative views during the clustering process. The parameter α controls the degree of contrast
 418 between view weights, with larger values increasing the differentiation between high-quality and
 419 low-quality views. This adaptive behavior enables the algorithm to automatically adjust to the relative
 420 informativeness of different data modalities without requiring manual tuning of view contributions.

421 The heat-kernel coefficients δ_{ij}^h provide a sophisticated mechanism for adapting to the local
 422 geometry of the data in each view. Unlike conventional Euclidean distance metrics that assume
 423 uniform data distributions, these coefficients capture the intrinsic manifold structure of the data,
 424 enabling the algorithm to identify complex cluster structures that may be obscured when using
 425 standard distance measures. This geometric sensitivity is particularly valuable when dealing with
 426 non-linear cluster boundaries and varying cluster densities across different views.

427 *3.7. HK-MVFC Algorithm*

428 Algorithm HK-MVFC provides a detailed implementation of the HK-MVFC in Eq. 13 approach.
 429 The algorithm operates by alternately updating the membership matrix, cluster centers, and view
 430 weights until convergence.

431 *3.7.1. HK-MVFC Flowchart*

432 Figure 1 presents the complete workflow of the centralized Heat Kernel-Enhanced Multi-View
 433 Fuzzy Clustering algorithm, detailing the initialization, iterative optimization, and convergence
 434 checking phases.

435 *3.8. Computational Complexity of HK-MVFC*

436 The computational complexity of the HK-MVFC algorithm is determined by several key
 437 parameters: the number of data points n , the dimensionality of view h denoted as d_h , the total
 438 number of views s , and the number of clusters c . A detailed analysis of each computational component
 439 reveals the following complexity characteristics.

440 The computation of heat-kernel coefficients δ_{ij}^h using either Eq. 8 or 9 represents the initial
 441 computational overhead. For each view, this calculation requires $O(nd_h)$ operations across all n data
 442 points. When extended across all s views, the total complexity for heat-kernel coefficient computation
 443 becomes $O(nsd_h)$ per iteration.

444 The membership matrix update procedure, as defined in Eq. 15, constitutes the most
 445 computationally intensive component of the algorithm. This step requires computing exponential
 446 kernel distances between each data point and all cluster centers across all views, resulting in $O(ncd_hs)$
 447 operations. The subsequent normalization step, which ensures that membership values sum to unity
 448 for each data point, introduces an additional $O(nc^2s)$ complexity term.

449 Cluster center updates via Eq. 16 involve weighted averaging operations over all data points,
 450 where the weights are determined by membership values and exponential terms. The computation
 451 of exponential terms requires $O(ncd_hs)$ operations, while the weighted averaging step contributes
 452 $O(ncd_h)$ complexity. The view weight update mechanism, as specified in Eq. 17, aggregates clustering
 453 costs across all data points and clusters, yielding a computational complexity of $O(ncs)$.

Algorithm 1 Heat Kernel-Enhanced Multi-View Fuzzy Clustering (HK-MVFC)

Require: Multi-view dataset $X = \{X^h\}_{h=1}^s$ with $X^h \in \mathbb{R}^{n \times d_h}$
Require: Number of clusters c , fuzzifier $m > 1$, view weight exponent $\alpha > 1$
Require: Convergence threshold $\varepsilon > 0$, maximum iterations T_{max}
Ensure: Membership matrix $U^* \in [0, 1]_{n \times c}$, cluster centers $\{A^h\}_{h=1}^s$, view weights $V \in [0, 1]_{1 \times s}$

- 1: **Initialization:**
- 2: $A^{h(0)} \leftarrow$ Initialize cluster centers using k-means++ or random initialization
- 3: $V^{(0)} \leftarrow [1/s, 1/s, \dots, 1/s]^T$ {Equal view weights}
- 4: $t \leftarrow 0$
- 5: **repeat**
- 6: $t \leftarrow t + 1$
- 7: // Heat-Kernel Coefficient Computation
- 8: **for** $h = 1$ to s **do**
- 9: **for** $i = 1$ to n , $j = 1$ to d_h **do**
- 10: Compute $\delta_{ij}^{h(t)}$ using Eq. 8 or 9
- 11: **end for**
- 12: **end for**
- 13: // Membership Matrix Update
- 14: **for** $i = 1$ to n , $k = 1$ to c **do**
- 15: Update $\mu_{ik}^{*(t)}$ using Eq. 15
- 16: **end for**
- 17: // Cluster Centers Update
- 18: **for** $h = 1$ to s , $k = 1$ to c , $j = 1$ to d_h **do**
- 19: Update $a_{kj}^{h(t)}$ using Eq. 16
- 20: **end for**
- 21: // View Weights Update
- 22: **for** $h = 1$ to s **do**
- 23: Update $v_h^{(t)}$ using Eq. 17
- 24: **end for**
- 25: // Convergence Check
- 26: Compute the objective function $J_{HK-MVFC}^{(t)}$ using Eq. 13
- 27: $\Delta J \leftarrow |J_{HK-MVFC}^{(t)} - J_{HK-MVFC}^{(t-1)}|$
- 28: **until** $\Delta J < \varepsilon$ **or** $t \geq T_{max}$
- 29: **return** $U^{*(t)}$, $\{A^{h(t)}\}_{h=1}^s$, $V^{(t)}$

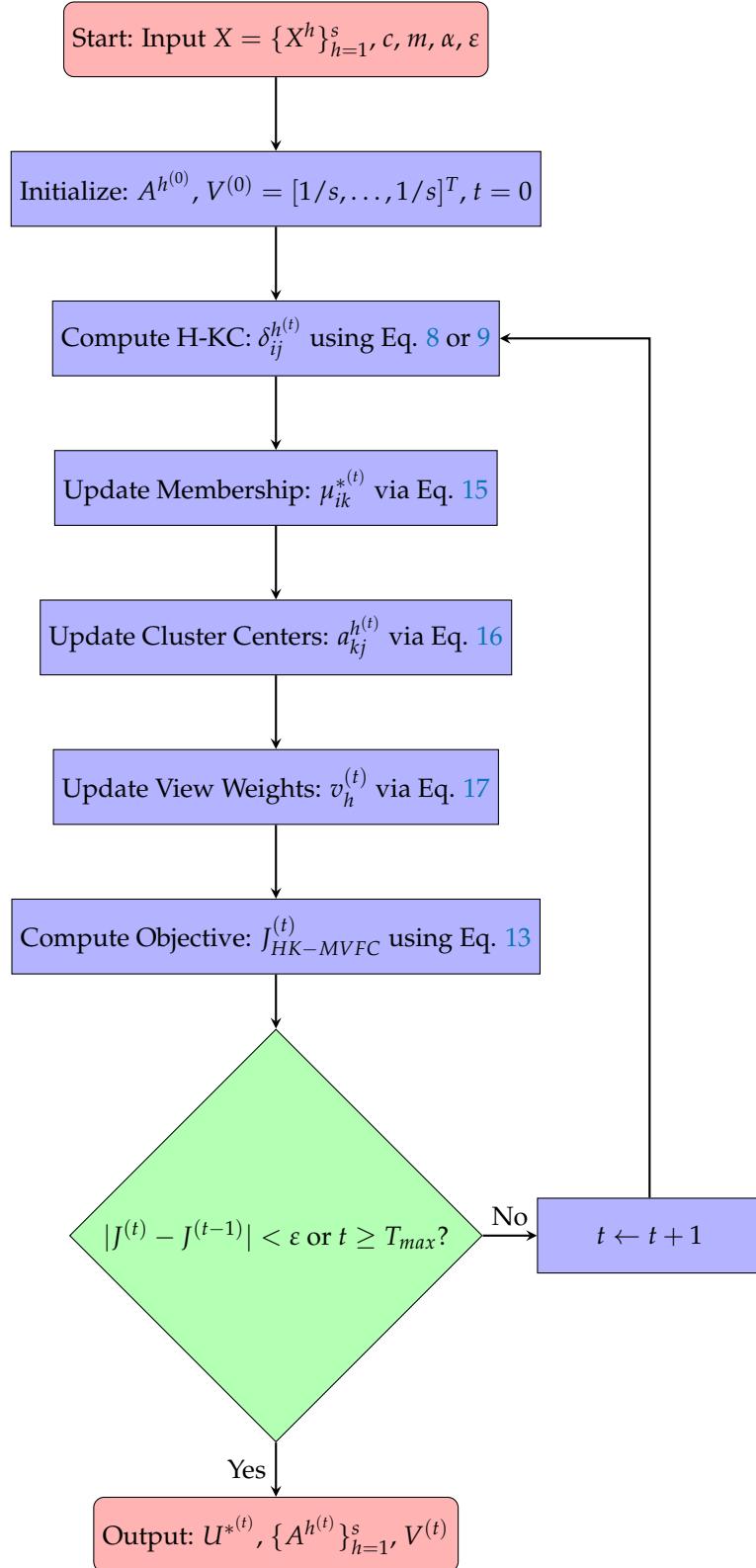


Figure 1. Flowchart of the HK-MVFC Algorithm (Algorithm 1). The algorithm iteratively updates membership matrix, cluster centers, and view weights using heat kernel-enhanced distances until convergence.

Combining all computational components, the overall per-iteration complexity is expressed as $O(nsd_h + ncd_h s + nc^2 s + ncd_h + ncs) = O(ncd_h s + nc^2 s)$. For typical scenarios where the

456 dimensionality significantly exceeds the number of clusters ($d_h \gg c$) and assuming balanced view
 457 dimensionalities across all views, this complexity simplifies to $O(ncd_{max}s)$, where $d_{max} = \max_h d_h$
 458 represents the maximum dimensionality among all views.

459 Empirical analysis demonstrates that the HK-MVFC algorithm typically achieves convergence
 460 within $T = 15 - 25$ iterations, depending on data characteristics and initialization quality.
 461 Consequently, the total computational complexity becomes $O(Tncd_{max}s)$, which exhibits favorable
 462 linear scaling properties with respect to dataset size and the number of views. This computational
 463 efficiency, combined with the algorithm's superior clustering performance, makes HK-MVFC
 464 well-suited for large-scale multi-view clustering applications.

465 4. Federated HK-MVFC

466 To implement HK-MVFC within a federated setting, we must reformulate the objective function
 467 for distributed optimization across multiple clients while preserving data privacy and ensuring
 468 convergence guarantees. Let $\mathcal{M} = \{1, 2, \dots, M\}$ denote the set of M participating clients, where each
 469 client $\ell \in \mathcal{M}$ maintains a local multi-view dataset $X_{[\ell]} = \{X_{[\ell]}^h\}_{h=1}^{s(\ell)}$ with potentially heterogeneous
 470 view compositions and sample distributions. Here, $s(\ell)$ denotes the number of views for client ℓ , and
 471 may vary across clients, reflecting the possibility that each client possesses a different number of data
 472 views.

473 The federated formulation introduces several theoretical challenges: (1) maintaining global
 474 clustering coherence while respecting local data sovereignty, (2) handling statistical heterogeneity
 475 across client datasets, and (3) ensuring that heat-kernel coefficients computed locally preserve the global
 476 geometric structure. Our proposed framework addresses these challenges by enabling decentralized
 477 optimization, adaptive aggregation, and privacy-preserving coordination among clients, ensuring
 478 both robust clustering and data confidentiality.

479 4.1. Problem Formulation

480 Consider a federated learning environment comprising M participating clients, where each client
 481 $\ell \in \{1, 2, \dots, M\}$ maintains its own local multi-view dataset. The fundamental characteristic of this
 482 federated setting is that data remains distributed across clients, with no centralized data repository,
 483 thereby preserving data privacy and institutional autonomy.

484 4.1.1. Multi-View Data Structure at Each Client

485 For each client ℓ , the local dataset consists of $s(\ell)$ distinct views, where each view captures
 486 a different modality or perspective of the underlying phenomenon. The h -th view at client ℓ is
 487 mathematically represented as:

$$488 X_{[\ell]}^h = \{x_{[\ell]i}^h\}_{i=1}^{n(\ell)} \subset \mathbb{R}^{d_{[\ell]}^h} \quad (28)$$

488 where $x_{[\ell]i}^h \in \mathbb{R}^{d_{[\ell]}^h}$ denotes the i -th data sample in the h -th view, characterized by $d_{[\ell]}^h$ features.
 489 This representation can be organized as a matrix:

$$490 X_{[\ell]}^h \in \mathbb{R}^{n(\ell) \times d_{[\ell]}^h} \quad (29)$$

490 where rows correspond to individual samples and columns represent features within that specific
 491 view.

492 The complete multi-view dataset for client ℓ encompasses all available views:

$$493 X_{[\ell]} = \{X_{[\ell]}^1, X_{[\ell]}^2, \dots, X_{[\ell]}^{s(\ell)}\} \quad (30)$$

493 This formulation allows for heterogeneity in the number of views across clients, reflecting realistic
 494 scenarios where different institutions may collect different types of complementary data.

495 4.1.2. Notation and Parameter Definitions

496 To ensure mathematical precision and clarity, we establish the following notation conventions:

- 497 • **Sample Count:** $n(\ell) \in \mathbb{Z}^+$ represents the total number of data samples (e.g., patients, observations) available at client ℓ . This parameter may vary significantly across clients, reflecting differences in institutional size, data collection capacity, or temporal duration of data acquisition.
- 498 • **View Count:** $s(\ell) \in \mathbb{Z}^+$ denotes the number of distinct views or data modalities maintained by client ℓ . For instance, in a medical scenario, one hospital might possess $s(\ell) = 2$ views (ECG and MRI data), while another might have $s(\ell) = 3$ views (ECG, MRI, and genetic data).
- 499 • **Feature Dimensionality:** $d_{[\ell]}^h \in \mathbb{Z}^+$ specifies the dimensionality (number of features) of the h -th view at client ℓ . For example, an ECG view might have $d_{[\ell]}^1 = 12$ (corresponding to 12 leads), while an MRI view might have $d_{[\ell]}^2 = 1024$ (representing voxel features).
- 500 • **Cluster Count:** $c(\ell) \in \mathbb{Z}^+$ indicates the number of target clusters for client ℓ . While clients may share a common clustering objective (e.g., $c(\ell) = c$ for all ℓ), our framework accommodates scenarios where different clients seek to identify different numbers of local clusters.

501 4.1.3. Key Properties and Assumptions

502 The federated multi-view clustering framework operates under several important properties and assumptions:

- 503 1. **Feature Space Consistency:** While clients may possess different numbers of samples ($n(\ell)$ varies), we assume that corresponding views across clients share the same feature space dimensionality: $d_{[\ell]}^h = d_{[\ell']}^h$ for all clients $\ell, \ell' \in \{1, \dots, M\}$ that possess view h . This ensures meaningful aggregation of model parameters across clients.
- 504 2. **Data Locality:** Each client's data $X_{[\ell]}$ remains strictly local and is never transmitted to other clients or the central server. Only model parameters (cluster centers, membership matrices, view weights) are communicated, ensuring privacy preservation.
- 505 3. **Statistical Heterogeneity:** The data distributions may differ significantly across clients: $P_\ell(X_{[\ell]}) \neq P_{\ell'}(X_{[\ell']})$ for $\ell \neq \ell'$. This non-IID (non-independent and identically distributed) property reflects realistic federated scenarios where different institutions serve different populations or geographic regions.
- 506 4. **View Complementarity:** The multiple views at each client provide complementary rather than redundant information. Mathematically, for views h and h' at client ℓ :

$$507 \text{MI}(X_{[\ell]}^h, X_{[\ell]}^{h'}) < H(X_{[\ell]}^h) \quad \text{and} \quad \text{MI}(X_{[\ell]}^h, X_{[\ell]}^{h'}) < H(X_{[\ell]}^{h'}) \quad (31)$$

508 where $\text{MI}(\cdot, \cdot)$ denotes mutual information and $H(\cdot)$ represents entropy, ensuring that each view contributes unique information to the clustering task.

509 4.1.4. Federated Learning Objective

510 The overarching goal in this federated setting is to collaboratively learn a global clustering model that effectively integrates information across all clients and views, while respecting the following constraints:

- 511 • **Privacy Preservation:** Raw data $X_{[\ell]}$ never leaves client ℓ
- 512 • **Communication Efficiency:** Minimize the volume of data transmitted between clients and server
- 513 • **Clustering Quality:** Achieve clustering performance comparable to centralized approaches where all data would be pooled
- 514 • **Geometric Awareness:** Capture the intrinsic manifold structure of multi-view data through heat kernel-enhanced distance measures

This problem formulation establishes the mathematical foundation for developing the FedHK-MVFC algorithm, which addresses these objectives through a principled integration of federated learning, multi-view clustering, and heat kernel methods.

4.2. Federated KED

We assume that, while participating clients may contribute varying numbers of samples, each data view shares the same set of features. Thus, the actual or expected cluster formations of multi-view datasets managed by the clients are not required to be identical. This implies that clients can handle multi-view datasets with differing quantities of clusters. By considering this, we can extend KED₂ (x_{ij}^h, a_{kj}^h) in Eq. 12 to a federated context, referred to as the federated kernel Euclidean distance (FKED). The FKED is formulated as follows:

$$\text{FKED} \left(x_{[\ell]i}^h, a_{[\ell]k}^h \right) = 1 - \exp \left(- \sum_{h=1}^{s(\ell)} \sum_{j=1}^{d_{[\ell]}^h} \delta_{[\ell]ij}^h \left(x_{[\ell]ij}^h - a_{[\ell]kj}^h \right)^2 \right) \quad (32)$$

where $\delta_{[\ell]ij}^h$ represents the H-KC linked to the ℓ -th client, and $s(\ell)$ denotes the total clusters managed by client ℓ . Note that $s(\ell)$ may differ across clients, reflecting the flexibility of federated learning to accommodate heterogeneous data distributions and local clustering requirements. This allows each client to select an appropriate number of clusters based on its own data characteristics, which is crucial for robust and personalized federated analysis.

4.3. Federated Heat-Kernel Coefficients

We focus on developing federated heat-kernel coefficients (FedH-KC), which are computed locally by each client to transform feature distances into privacy-preserving exponential kernel measures tailored to the federated setting. Before presenting the mathematical formulations, we establish the theoretical connection between quantum field theory (QFT) and heat-kernel coefficients that motivates our approach.

4.3.1. Quantum Field Theory Foundation for Heat-Kernel Coefficients

The heat-kernel coefficients employed in our framework originate from the heat equation in quantum field theory, which describes the diffusion of information across a manifold. In QFT, the heat kernel $K_t(\mathbf{x}, \mathbf{y})$ represents the fundamental solution to the heat equation on a Riemannian manifold \mathcal{M} :

$$\left(\frac{\partial}{\partial t} + \Delta_{\mathcal{M}} \right) K_t(\mathbf{x}, \mathbf{y}) = 0, \quad K_0(\mathbf{x}, \mathbf{y}) = \delta(\mathbf{x} - \mathbf{y}) \quad (33)$$

where $\Delta_{\mathcal{M}}$ denotes the Laplace-Beltrami operator on the manifold, and $\delta(\cdot)$ is the Dirac delta function. The heat kernel admits the spectral decomposition:

$$K_t(\mathbf{x}, \mathbf{y}) = \sum_{i=0}^{\infty} e^{-\lambda_i t} \phi_i(\mathbf{x}) \phi_i(\mathbf{y}) \quad (34)$$

where $\{\lambda_i, \phi_i\}$ are the eigenvalue-eigenfunction pairs of the Laplacian operator, encoding the intrinsic geometry of the data manifold.

4.3.2. From QFT to Practical Heat-Kernel Coefficients

The connection between QFT heat kernels and our practical coefficients emerges through the asymptotic expansion of the heat kernel for small diffusion time t :

$$K_t(\mathbf{x}, \mathbf{y}) \sim (4\pi t)^{-d/2} e^{-\frac{d^2(\mathbf{x}, \mathbf{y})}{4t}} \sum_{n=0}^{\infty} t^n a_n(\mathbf{x}, \mathbf{y}) \quad (35)$$

569 where $d(\mathbf{x}, \mathbf{y})$ represents the geodesic distance on the manifold, d is the manifold dimension, and
 570 $\{a_n\}$ are the heat-kernel coefficients capturing local geometric invariants such as curvature and torsion.

571 For practical clustering applications on discrete data, we approximate the continuous heat kernel
 572 using the exponential distance kernel:

$$K(\mathbf{x}_i, \mathbf{x}_j) \approx \exp \left(- \sum_{h=1}^s \delta_{ij}^h \|\mathbf{x}_i^h - \mathbf{x}_j^h\|^2 \right) \quad (36)$$

573 where the coefficients δ_{ij}^h serve as adaptive scaling factors that encode local geometric properties
 574 analogous to the heat-kernel coefficients a_n in the continuous theory. These coefficients effectively
 575 modulate the diffusion rate based on local data density and feature variance, thereby capturing the
 576 intrinsic manifold structure.

577 4.3.3. Geometric Interpretation and Information Diffusion

578 The heat-kernel framework provides a natural interpretation of clustering as information diffusion
 579 on the data manifold. Points within the same cluster exhibit rapid information exchange (high heat
 580 kernel values), while points in different clusters experience slow diffusion across cluster boundaries.
 581 The federated heat-kernel coefficients $\delta_{[\ell]ij}^h$ quantify this diffusion rate locally at each client, enabling:

- 582 1. **Geometry-Aware Similarity:** By normalizing distances according to local feature scales, the
 583 coefficients ensure that similarity measures respect the intrinsic geometric structure rather than
 584 arbitrary coordinate choices.
- 585 2. **Manifold Structure Preservation:** The exponential decay in Eq. (36) mirrors the heat kernel's
 586 behavior on curved manifolds, where geodesic distances differ from Euclidean distances.
- 587 3. **Multi-Scale Analysis:** Different choices of normalization (min-max vs. mean-variance)
 588 correspond to different time scales in the heat diffusion process, enabling multi-scale geometric
 589 analysis.

590 This theoretical foundation justifies our use of heat-kernel coefficients in federated multi-view
 591 clustering, where each client's local geometric structure must be captured while maintaining global
 592 clustering coherence.

593 4.3.4. Federated Heat-Kernel Coefficient Formulations

594 Building upon the QFT foundation, we now present two practical estimators for federated
 595 heat-kernel coefficients. For the j -th feature in view h , we define FedH-KC $\delta_{[\ell]ij}^h$ using:

596 Type 1 - Min-Max Normalization (Uniform Scaling):

$$\text{FedH-KC}_1 = \delta_{[\ell]ij}^h = \frac{x_{[\ell]ij}^h - \min_{1 \leq i \leq n(\ell)} (x_{[\ell]ij}^h)}{\max_{1 \leq i \leq n(\ell)} (x_{[\ell]ij}^h) - \min_{1 \leq i \leq n(\ell)} (x_{[\ell]ij}^h) + \epsilon} \quad \forall j, h, \ell \quad (37)$$

597 where $\epsilon > 0$ prevents division by zero when features have identical values. This normalizes
 598 features within $[0, 1]$ for each view, corresponding to uniform heat diffusion across the feature space.

599 Type 2 - Mean-Variance Normalization (Adaptive Scaling):

$$\text{FedH-KC}_2 = \delta_{[\ell]ij}^h = \left| x_{[\ell]ij}^h - \bar{x}_{[\ell]j}^h \right| \quad \forall j, h, \ell \quad (38)$$

600 where $\bar{x}_{[\ell]j}^h = \frac{1}{n(\ell)} \sum_{i=1}^{n(\ell)} x_{[\ell]ij}^h$ represents the mean value of the j -th feature in view h for client ℓ .
 601 This formulation adapts to local data distributions, corresponding to diffusion rates proportional to
 602 local variance.

603 Both formulations preserve the essential heat-kernel property of geometry-aware distance
 604 transformation while maintaining computational efficiency and privacy in the federated setting.

605 The choice between Type 1 and Type 2 depends on the specific geometric characteristics of the data
 606 and the desired sensitivity to local versus global structure.

607 *4.4. The Objective Function*

608 In a federated setting with M clients, we connect FedHK-MVFC and FKED before introducing
 609 the main objective function. The FedHK-MVFC algorithm extends the centralized HK-MVFC by
 610 distributing computation across clients while retaining the heat kernel-enhanced distance properties.
 611 Each client ℓ holds local multi-view data $X_{[\ell]}$ and computes local heat-kernel coefficients $\delta_{[\ell]ij}^h$,
 612 converting Euclidean distances into geometry-aware similarities through the lens of heat diffusion on
 613 the data manifold.

614 Through the FKED, local client computations align with the federated objective. For client ℓ , the
 615 local goal reflects the centralized HK-MVFC structure tailored to client-specific data, with the local
 616 federated kernel distance $d_{\exp([\ell],ik,j)}^h = \text{FKED}\left(x_{[\ell]ij}^h, a_{[\ell]kj}^h\right)$ maintaining geometric properties within
 617 distributed processing. This federated system combines local results for global clustering coherence
 618 without losing data locality. On this basis, the FedHK-MVFC objective for client ℓ is defined as:

$$J_{\text{FedHK-MVFC}}^\ell(V, U^*, A) = \sum_{h=1}^{s(\ell)} v_{[\ell]h}^* \sum_{i=1}^{n(\ell)} \sum_{k=1}^{c(\ell)} \left(\mu_{[\ell]ik}^* \right)^m \text{FKED}\left(x_{[\ell]ij}^h, a_{[\ell]kj}^h\right) \quad (39)$$

619 Subject to standard fuzzy clustering constraints:

$$\sum_{k=1}^{c(\ell)} \mu_{[\ell]ik}^* = 1, \mu_{[\ell]ik}^* \in [0, 1] \quad (40)$$

$$\sum_{h=1}^{s(\ell)} v_{[\ell]h} = 1, v_{[\ell]h} \in [0, 1] \quad (41)$$

620 *4.5. Optimization Framework*

621 Due to the non-convex nature of the FedHK-MVFC objective function in Eq. 39, direct
 622 minimization is not feasible. We employ an alternating optimization strategy that iteratively updates
 623 the membership matrix U , view weights V , and cluster centers A until convergence.

624 To derive the update rules, we formulate the Lagrangian incorporating the normalization
 625 constraints:

$$\begin{aligned} \tilde{J}_{\text{FedHK-MVFC}}^\ell &= J_{\text{FedHK-MVFC}}^\ell(V, U^*, A) \\ &+ \sum_{i=1}^{n(\ell)} \lambda_{1i} \left(\sum_{k=1}^{c(\ell)} \mu_{[\ell]ik}^* - 1 \right) + \lambda_2 \left(\sum_{h=1}^{s(\ell)} v_{[\ell]h} - 1 \right) \end{aligned} \quad (42)$$

626 where λ_{1i} and λ_2 are Lagrange multipliers enforcing the fuzzy clustering constraints: membership
 627 normalization and view weight normalization, respectively.

628 **Theorem 2** (FedHK-MVFC Update Rules). *For the federated objective function $J_{\text{FedHK-MVFC}}^\ell$ defined in
 629 Eq. 39, the necessary conditions for optimality yield the following update rules for client ℓ . These update
 630 rules extend the centralized HK-MVFC framework to the federated setting by incorporating client-specific data
 631 distributions, heat-kernel coefficients, and privacy-preserving mechanisms while maintaining mathematical rigor
 632 and convergence guarantees.*

633 **Membership Matrix Update:**

$$\mu_{[\ell]ik}^* = \frac{\left(\sum_{h=1}^{s(\ell)} v_{[\ell]h}^\alpha \text{FKED} \left(x_{[\ell]ij}^h, a_{[\ell]kj}^h \right) \right)^{-(m-1)^{-1}}}{\sum_{k'=1}^{c(\ell)} \left(\sum_{h=1}^{s(\ell)} v_{[\ell]h}^\alpha \text{FKED} \left(x_{[\ell]ij}^h, a_{[\ell]k'j}^h \right) \right)^{-(m-1)^{-1}}} \quad (43)$$

634 **Interpretation:** Eq. 43 computes the fuzzy membership degree $\mu_{[\ell]ik}^*$ of data point i to cluster k at client ℓ .
 635 The numerator aggregates the weighted federated kernel distances across all $s(\ell)$ views available at client ℓ ,
 636 where $v_{[\ell]h}^\alpha$ represents the adaptive importance of view h , and the exponent $-\frac{1}{m-1}$ controls the fuzziness of the
 637 partition. The denominator normalizes these memberships across all $c(\ell)$ clusters, ensuring that $\sum_{k=1}^{c(\ell)} \mu_{[\ell]ik}^* = 1$
 638 for each data point. This formulation enables the algorithm to automatically balance contributions from multiple
 639 heterogeneous views while adapting to local data characteristics at each client.

640 **Cluster Centers Update:**

$$a_{[\ell]kj}^h = \frac{\sum_{i=1}^{n(\ell)} \left(\mu_{[\ell]ik}^* \right)^m v_{[\ell]h}^\alpha \exp \left(-\delta_{[\ell]ij}^h \|x_{[\ell]i}^h - a_{[\ell]k}^h\|^2 \right) x_{[\ell]ij}^h}{\sum_{i=1}^{n(\ell)} \left(\mu_{[\ell]ik}^* \right)^m v_{[\ell]h}^\alpha \exp \left(-\delta_{[\ell]ij}^h \|x_{[\ell]i}^h - a_{[\ell]k}^h\|^2 \right)} \quad (44)$$

641 **Interpretation:** Eq. 44 updates the j -th feature of cluster center k in view h for client ℓ as a weighted average
 642 of the corresponding data point features. The weight for each data point combines three critical components:
 643 (1) the fuzzified membership degree $(\mu_{[\ell]ik}^*)^m$, which emphasizes points strongly belonging to cluster k ; (2)
 644 the view importance $v_{[\ell]h}^\alpha$, which prioritizes more informative views; and (3) the exponential heat kernel term
 645 $\exp(-\delta_{[\ell]ij}^h \|x_{[\ell]i}^h - a_{[\ell]k}^h\|^2)$, which provides geometry-aware weighting based on the local manifold structure
 646 captured by the federated heat-kernel coefficients $\delta_{[\ell]ij}^h$. This composite weighting mechanism ensures that cluster
 647 centers are positioned to reflect both the fuzzy partition structure and the intrinsic geometric properties of the
 648 local data at each client.

649 **View Weights Update:**

$$v_{[\ell]h} = \frac{\left(\sum_{i=1}^{n(\ell)} \sum_{k=1}^{c(\ell)} \left(\mu_{[\ell]ik}^* \right)^m \text{FKED} \left(x_{[\ell]ij}^h, a_{[\ell]kj}^h \right) \right)^{-(\alpha-1)^{-1}}}{\sum_{h'=1}^{s(\ell)} \left(\sum_{i=1}^{n(\ell)} \sum_{k=1}^{c(\ell)} \left(\mu_{[\ell]ik}^* \right)^m \text{FKED} \left(x_{[\ell]ij}^{h'}, a_{[\ell]kj}^{h'} \right) \right)^{-(\alpha-1)^{-1}}} \quad (45)$$

650 **Interpretation:** Eq. 45 computes the adaptive weight $v_{[\ell]h}$ for view h at client ℓ based on the clustering
 651 quality within that view. The numerator evaluates the total weighted distance of view h , where lower distances
 652 indicate better clustering performance and should result in higher view weights. The exponent $-\frac{1}{\alpha-1}$ creates
 653 an inverse relationship between distance and weight, while the parameter $\alpha > 1$ controls the sharpness of
 654 this relationship—larger values of α create more pronounced differences between high-quality and low-quality
 655 views. The denominator normalizes these weights across all $s(\ell)$ views to ensure $\sum_{h=1}^{s(\ell)} v_{[\ell]h} = 1$. This adaptive
 656 weighting mechanism enables FedHK-MVFC to automatically identify and emphasize the most informative
 657 views at each client, accommodating heterogeneous data quality and view-specific noise characteristics across the
 658 federated network.

659 **Remark 2.** The federated update rules in Eqs. 43–45 preserve the mathematical structure of the centralized
 660 HK-MVFC framework while incorporating client-specific parameters $n(\ell)$, $s(\ell)$, and $c(\ell)$ that reflect data
 661 heterogeneity across the federation. The iterative alternating optimization—sequentially updating memberships,

cluster centers, and view weights—converges to a local optimum satisfying the Karush-Kuhn-Tucker (KKT) conditions for the constrained federated optimization problem, subject to the normalization constraints in Eqs. 40 and 41. Crucially, all computations occur locally at each client using only their private data $X_{[\ell]}$, with only the resulting model parameters ($U_{[\ell]}^*$, $A_{[\ell]}$, $V_{[\ell]}$) being shared with the federated server for secure aggregation, thereby ensuring privacy preservation throughout the learning process.

Proof. We establish the necessary optimality conditions for the FedHK-MVFC objective function using Lagrangian optimization and derive the closed-form update rules for each parameter set. The proof proceeds by applying the method of Lagrange multipliers to the constrained optimization problem and solving the resulting system of equations.

Part I: Membership Matrix Update Rule Derivation

To derive the update equation for membership coefficients $\mu_{[\ell]ik}^*$ for client ℓ , we begin by computing the partial derivative of the Lagrangian in Eq. 42 with respect to $\mu_{[\ell]ik}^*$. This derivative represents the marginal change in the objective function when adjusting the membership of data point i to cluster k at client ℓ .

Taking the partial derivative with respect to $\mu_{[\ell]ik}^*$:

$$\frac{\partial \tilde{J}_{FedHK-MVFC}^\ell}{\partial \mu_{[\ell]ik}^*} = \frac{\partial}{\partial \mu_{[\ell]ik}^*} \left[\sum_{h=1}^{s(\ell)} v_{[\ell]h}^\alpha (\mu_{[\ell]ik}^*)^m \text{FKED}(x_{[\ell]i}^h, a_{[\ell]k}^h) \right] + \lambda_{1i} \quad (46)$$

$$= m(\mu_{[\ell]ik}^*)^{m-1} \sum_{h=1}^{s(\ell)} v_{[\ell]h}^\alpha \text{FKED}(x_{[\ell]i}^h, a_{[\ell]k}^h) + \lambda_{1i} \quad (47)$$

In Eq. 47, the term $m(\mu_{[\ell]ik}^*)^{m-1}$ arises from applying the power rule to the fuzzified membership term $(\mu_{[\ell]ik}^*)^m$. The summation $\sum_{h=1}^{s(\ell)} v_{[\ell]h}^\alpha \text{FKED}(x_{[\ell]i}^h, a_{[\ell]k}^h)$ represents the weighted contribution of all views to the distance between data point i and cluster center k , where $v_{[\ell]h}^\alpha$ adaptively weights each view based on its clustering quality.

Setting this derivative equal to zero for optimality:

$$m(\mu_{[\ell]ik}^*)^{m-1} \sum_{h=1}^{s(\ell)} v_{[\ell]h}^\alpha \text{FKED}(x_{[\ell]i}^h, a_{[\ell]k}^h) + \lambda_{1i} = 0 \quad (48)$$

This stationarity condition ensures that the membership assignment minimizes the weighted distance objective while satisfying the normalization constraint.

Solving for $\mu_{[\ell]ik}^*$:

$$(\mu_{[\ell]ik}^*)^{m-1} = -\frac{\lambda_{1i}}{m \sum_{h=1}^{s(\ell)} v_{[\ell]h}^\alpha \text{FKED}(x_{[\ell]i}^h, a_{[\ell]k}^h)} \quad (49)$$

$$\mu_{[\ell]ik}^* = \left(-\frac{\lambda_{1i}}{m} \right)^{1/(m-1)} \left(\sum_{h=1}^{s(\ell)} v_{[\ell]h}^\alpha \text{FKED}(x_{[\ell]i}^h, a_{[\ell]k}^h) \right)^{-1/(m-1)} \quad (50)$$

The exponent $1/(m-1)$ transforms the equation from its derivative form back to the original membership variable. The negative sign in the Lagrange multiplier term ensures that memberships decrease as weighted distances increase, which is the desired clustering behavior where closer points receive higher memberships.

689 To determine the Lagrange multiplier λ_{1i} , we apply the normalization constraint $\sum_{k=1}^{c(\ell)} \mu_{[\ell]ik}^* = 1$,
 690 which ensures that the total membership of data point i across all clusters sums to unity:

$$\sum_{k=1}^{c(\ell)} \mu_{[\ell]ik}^* = \left(-\frac{\lambda_{1i}}{m} \right)^{1/(m-1)} \sum_{k=1}^{c(\ell)} \left(\sum_{h=1}^{s(\ell)} v_{[\ell]h}^\alpha \text{FKED}(x_{[\ell]i}^h, a_{[\ell]k}^h) \right)^{-1/(m-1)} = 1 \quad (51)$$

691 Solving for the Lagrange multiplier:

$$\left(-\frac{\lambda_{1i}}{m} \right)^{1/(m-1)} = \frac{1}{\sum_{k'=1}^{c(\ell)} \left(\sum_{h=1}^{s(\ell)} v_{[\ell]h}^\alpha \text{FKED}(x_{[\ell]i}^h, a_{[\ell]k'}^h) \right)^{-1/(m-1)}} \quad (52)$$

692 The denominator in Eq. 52 serves as a normalization factor that ensures all memberships sum to
 693 one, effectively balancing the contributions from all clusters based on their respective distances to the
 694 data point.

695 Substituting Eq. 52 back into Eq. 50:

$$\mu_{[\ell]ik}^* = \frac{\left(\sum_{h=1}^{s(\ell)} v_{[\ell]h}^\alpha \text{FKED}(x_{[\ell]i}^h, a_{[\ell]k}^h) \right)^{-1/(m-1)}}{\sum_{k'=1}^{c(\ell)} \left(\sum_{h=1}^{s(\ell)} v_{[\ell]h}^\alpha \text{FKED}(x_{[\ell]i}^h, a_{[\ell]k'}^h) \right)^{-1/(m-1)}} \quad (53)$$

696 This final form shows that each membership is inversely proportional to the weighted distance
 697 raised to the power $1/(m-1)$, with the proportionality constant chosen to satisfy the normalization
 698 constraint. This establishes the membership matrix update rule in Eq. 43.

699 Part II: Cluster Centers Update Rule Derivation

700 For cluster center updates $a_{[\ell]kj}^h$, we differentiate the objective function in Eq. 39 with respect to
 701 $a_{[\ell]kj}^h$. This derivative measures how the objective function changes when we adjust the j -th feature of
 702 cluster center k in view h for client ℓ :

$$\frac{\partial J_{FedHK-MVFC}^\ell}{\partial a_{[\ell]kj}^h} = \frac{\partial}{\partial a_{[\ell]kj}^h} \left[v_{[\ell]h}^\alpha \sum_{i=1}^{n(\ell)} (\mu_{[\ell]ik}^*)^m \text{FKED}(x_{[\ell]i}^h, a_{[\ell]k}^h) \right] \quad (54)$$

$$= v_{[\ell]h}^\alpha \sum_{i=1}^{n(\ell)} (\mu_{[\ell]ik}^*)^m \frac{\partial \text{FKED}(x_{[\ell]i}^h, a_{[\ell]k}^h)}{\partial a_{[\ell]kj}^h} \quad (55)$$

703 where $v_{[\ell]h}^\alpha$ weights the contribution of view h , and $(\mu_{[\ell]ik}^*)^m$ weights each data point's contribution
 704 by its fuzzy membership to cluster k .

705 To compute $\frac{\partial \text{FKED}}{\partial a_{[\ell]kj}^h}$, we recall that:

$$\text{FKED}(x_{[\ell]i}^h, a_{[\ell]k}^h) = 1 - \exp \left(- \sum_{j'=1}^{d_{[\ell]}^h} \delta_{[\ell]ij'}^h (x_{[\ell]ij'}^h - a_{[\ell]kj'}^h)^2 \right) \quad (56)$$

Let $\phi_{[\ell]ik}^h = \sum_{j'=1}^{d_{[\ell]}^h} \delta_{[\ell]ij'}^h (x_{[\ell]ij'}^h - a_{[\ell]kj'}^h)^2$ represent the weighted squared Euclidean distance in the heat kernel exponent. The heat-kernel coefficients $\delta_{[\ell]ij'}^h$ adapt to local data geometry, enabling the distance metric to capture intrinsic manifold structure. Then:

$$\frac{\partial \text{FKED}}{\partial a_{[\ell]kj}^h} = \frac{\partial}{\partial a_{[\ell]kj}^h} \left[1 - \exp(-\phi_{[\ell]ik}^h) \right] \quad (57)$$

$$= \exp(-\phi_{[\ell]ik}^h) \frac{\partial \phi_{[\ell]ik}^h}{\partial a_{[\ell]kj}^h} \quad (58)$$

$$= \exp(-\phi_{[\ell]ik}^h) \cdot 2\delta_{[\ell]ij}^h (x_{[\ell]ij}^h - a_{[\ell]kj}^h) \cdot (-1) \quad (59)$$

$$= -2\delta_{[\ell]ij}^h (x_{[\ell]ij}^h - a_{[\ell]kj}^h) \exp \left(- \sum_{j'=1}^{d_{[\ell]}^h} \delta_{[\ell]ij'}^h (x_{[\ell]ij'}^h - a_{[\ell]kj'}^h)^2 \right) \quad (60)$$

The factor of 2 arises from differentiating the squared term $(x_{[\ell]ij}^h - a_{[\ell]kj}^h)^2$, while the exponential term weights contributions based on the heat kernel similarity between data points and cluster centers. The term $(x_{[\ell]ij}^h - a_{[\ell]kj}^h)$ indicates the direction of adjustment: positive when data points are larger than the center, negative otherwise.

Substituting Eq. 60 into Eq. 55 and setting equal to zero for optimality:

$$v_{[\ell]h}^\alpha \sum_{i=1}^{n(\ell)} (\mu_{[\ell]ik}^*)^m \left[-2\delta_{[\ell]ij}^h (x_{[\ell]ij}^h - a_{[\ell]kj}^h) \exp \left(- \sum_{j'=1}^{d_{[\ell]}^h} \delta_{[\ell]ij'}^h (x_{[\ell]ij'}^h - a_{[\ell]kj'}^h)^2 \right) \right] = 0 \quad (61)$$

Simplifying and rearranging by canceling constant factors:

$$\sum_{i=1}^{n(\ell)} (\mu_{[\ell]ik}^*)^m \delta_{[\ell]ij}^h \exp \left(- \sum_{j'=1}^{d_{[\ell]}^h} \delta_{[\ell]ij'}^h (x_{[\ell]ij'}^h - a_{[\ell]kj'}^h)^2 \right) (x_{[\ell]ij}^h - a_{[\ell]kj}^h) = 0 \quad (62)$$

Let $w_{[\ell]ijk}^h = (\mu_{[\ell]ik}^*)^m v_{[\ell]h}^\alpha \exp \left(- \sum_{j'=1}^{d_{[\ell]}^h} \delta_{[\ell]ij'}^h (x_{[\ell]ij'}^h - a_{[\ell]kj'}^h)^2 \right)$ denote the composite weight for data point i in cluster k for feature j in view h . This weight combines fuzzy memberships $(\mu_{[\ell]ik}^*)^m$, view importance $v_{[\ell]h}^\alpha$, and heat kernel similarities. Then:

$$\sum_{i=1}^{n(\ell)} w_{[\ell]ijk}^h (x_{[\ell]ij}^h - a_{[\ell]kj}^h) = 0 \quad (63)$$

$$\sum_{i=1}^{n(\ell)} w_{[\ell]ijk}^h x_{[\ell]ij}^h = a_{[\ell]kj}^h \sum_{i=1}^{n(\ell)} w_{[\ell]ijk}^h \quad (64)$$

$$a_{[\ell]kj}^h = \frac{\sum_{i=1}^{n(\ell)} w_{[\ell]ijk}^h x_{[\ell]ij}^h}{\sum_{i=1}^{n(\ell)} w_{[\ell]ijk}^h} \quad (65)$$

This final expression shows that cluster centers are weighted averages of data points, where the weights account for membership degrees, view importance, and geometric proximity via heat kernel similarities. This yields the cluster center update rule in Eq. 44.

Part III: View Weights Update Rule Derivation

For view weight updates $v_{[\ell]h}$, we differentiate the Lagrangian with respect to $v_{[\ell]h}$. This derivative quantifies how the objective function changes when we adjust the importance assigned to view h at client ℓ :

$$\frac{\partial \tilde{J}_{FedHK-MVFC}^{\ell}}{\partial v_{[\ell]h}} = \frac{\partial}{\partial v_{[\ell]h}} \left[\sum_{h'=1}^{s(\ell)} v_{[\ell]h'}^{\alpha} \sum_{i=1}^{n(\ell)} \sum_{k=1}^{c(\ell)} (\mu_{[\ell]ik}^*)^m \text{FKED}(x_{[\ell]i}^{h'}, a_{[\ell]k}^{h'}) \right] + \lambda_2 \quad (66)$$

$$= \alpha v_{[\ell]h}^{\alpha-1} \sum_{i=1}^{n(\ell)} \sum_{k=1}^{c(\ell)} (\mu_{[\ell]ik}^*)^m \text{FKED}(x_{[\ell]i}^h, a_{[\ell]k}^h) + \lambda_2 \quad (67)$$

The term $\alpha v_{[\ell]h}^{\alpha-1}$ comes from differentiating $v_{[\ell]h}^{\alpha}$ with respect to $v_{[\ell]h}$ using the power rule, while the double summation $\sum_{i=1}^{n(\ell)} \sum_{k=1}^{c(\ell)} (\mu_{[\ell]ik}^*)^m \text{FKED}(x_{[\ell]i}^h, a_{[\ell]k}^h)$ represents the total weighted distance contribution of view h across all data points and clusters.

Setting this derivative equal to zero for optimality:

$$\alpha v_{[\ell]h}^{\alpha-1} \sum_{i=1}^{n(\ell)} \sum_{k=1}^{c(\ell)} (\mu_{[\ell]ik}^*)^m \text{FKED}(x_{[\ell]i}^h, a_{[\ell]k}^h) + \lambda_2 = 0 \quad (68)$$

Solving for $v_{[\ell]h}$:

$$v_{[\ell]h}^{\alpha-1} = -\frac{\lambda_2}{\alpha \sum_{i=1}^{n(\ell)} \sum_{k=1}^{c(\ell)} (\mu_{[\ell]ik}^*)^m \text{FKED}(x_{[\ell]i}^h, a_{[\ell]k}^h)} \quad (69)$$

$$v_{[\ell]h} = \left(-\frac{\lambda_2}{\alpha} \right)^{1/(\alpha-1)} \left(\sum_{i=1}^{n(\ell)} \sum_{k=1}^{c(\ell)} (\mu_{[\ell]ik}^*)^m \text{FKED}(x_{[\ell]i}^h, a_{[\ell]k}^h) \right)^{-1/(\alpha-1)} \quad (70)$$

The exponent $1/(\alpha - 1)$ transforms the derivative relationship back to the view weight variable. The negative exponent on the distance summation ensures that views with smaller total distances (better clustering quality) receive higher weights, implementing an adaptive mechanism that prioritizes more informative views.

Applying the normalization constraint $\sum_{h=1}^{s(\ell)} v_{[\ell]h} = 1$:

$$\sum_{h=1}^{s(\ell)} v_{[\ell]h} = \left(-\frac{\lambda_2}{\alpha} \right)^{1/(\alpha-1)} \sum_{h=1}^{s(\ell)} \left(\sum_{i=1}^{n(\ell)} \sum_{k=1}^{c(\ell)} (\mu_{[\ell]ik}^*)^m \text{FKED}(x_{[\ell]i}^h, a_{[\ell]k}^h) \right)^{-1/(\alpha-1)} = 1 \quad (71)$$

Solving for the Lagrange multiplier:

$$\left(-\frac{\lambda_2}{\alpha} \right)^{1/(\alpha-1)} = \frac{1}{\sum_{h'=1}^{s(\ell)} \left(\sum_{i=1}^{n(\ell)} \sum_{k=1}^{c(\ell)} (\mu_{[\ell]ik}^*)^m \text{FKED}(x_{[\ell]i}^{h'}, a_{[\ell]k}^{h'}) \right)^{-1/(\alpha-1)}} \quad (72)$$

Substituting back yields:

$$v_{[\ell]h} = \frac{\left(\sum_{i=1}^{n(\ell)} \sum_{k=1}^{c(\ell)} (\mu_{[\ell]ik}^*)^m \text{FKED}(x_{[\ell]i}^h, a_{[\ell]k}^h) \right)^{-1/(\alpha-1)}}{\sum_{h'=1}^{s(\ell)} \left(\sum_{i=1}^{n(\ell)} \sum_{k=1}^{c(\ell)} (\mu_{[\ell]ik}^*)^m \text{FKED}(x_{[\ell]i}^{h'}, a_{[\ell]k}^{h'}) \right)^{-1/(\alpha-1)}} \quad (73)$$

This adaptive weighting mechanism automatically assigns higher importance to more informative views, where informativeness is measured by the view's ability to create compact, well-separated clusters. This establishes the view weight update rule in Eq. 45.

740 Part IV: Convergence Analysis and Optimality Conditions

741 The derived update rules satisfy the Karush-Kuhn-Tucker (KKT) conditions for the constrained
 742 optimization problem. Specifically:

- 743 1. **Stationarity:** $\nabla J_{\text{FedHK-MVFC}}^{\ell} + \sum_{i=1}^{n(\ell)} \lambda_{1i} \nabla g_i + \lambda_2 \nabla h = 0$, where $g_i(\mu) = \sum_{k=1}^{c(\ell)} \mu_{[\ell]ik}^* - 1$ and
 744 $h(v) = \sum_{h=1}^{s(\ell)} v_{[\ell]h} - 1$. This condition ensures that the gradient of the Lagrangian vanishes at the
 745 optimal solution, accounting for both the objective function and the constraints.
- 746 2. **Primal feasibility:** $g_i(\mu) = 0$ and $h(v) = 0$ for all i . This guarantees that the solution satisfies all
 747 equality constraints, ensuring valid probability distributions for memberships and view weights.
- 748 3. **Dual feasibility:** All Lagrange multipliers are finite and well-defined. The multipliers λ_{1i} and λ_2
 749 exist and are uniquely determined by the normalization constraints, as shown in Eqs. 52 and the
 750 corresponding view weight derivation.

751 The alternating optimization scheme converges to a local optimum under the following conditions:

- 752 • **Boundedness and Continuity:** The objective function $J_{\text{FedHK-MVFC}}^{\ell}$ is continuous and bounded
 753 below. This follows from the fact that FKED values are bounded in $[0, 1]$ by construction (as
 754 defined in the federated KED formulation), ensuring that the weighted sum in the objective
 755 function remains finite. The exponential decay in the heat kernel guarantees that distances
 756 approach finite limits as data points move infinitely far apart. Furthermore, since all terms are
 757 non-negative and weighted by normalized coefficients, the objective function is bounded below
 758 by zero.
- 759 • **Constraint Compactness:** The constraint set is compact and convex. The membership matrix
 760 constraints $\sum_{k=1}^{c(\ell)} \mu_{[\ell]ik}^* = 1$ with $\mu_{[\ell]ik}^* \in [0, 1]$ define probability simplices, which are compact
 761 convex sets in $\mathbb{R}^{c(\ell)}$. Similarly, the view weight constraints $\sum_{h=1}^{s(\ell)} v_{[\ell]h} = 1$ with $v_{[\ell]h} \in [0, 1]$ form
 762 a compact convex simplex in $\mathbb{R}^{s(\ell)}$. The cluster center parameters are unconstrained but remain
 763 bounded due to the data distribution and the heat kernel weighting, which assigns negligible
 764 influence to points far from cluster centers.
- 765 • **Unique Subproblem Solutions:** Each subproblem (updating U , A , or V while fixing others) has
 766 a unique solution. For membership updates, the strictly positive denominators in Eq. 43 ensure
 767 uniqueness since FKED values are always positive for distinct data points and cluster centers (the
 768 exponential term never reaches exactly zero or one for finite distances). For cluster center updates,
 769 the weighted exponential terms in Eq. 44 create a strictly convex weighted least-squares problem
 770 with a unique minimum, as the composite weights $w_{[\ell]ijk}^h$ are strictly positive and the weighted
 771 squared error is a strictly convex function. For view weight updates, the strictly decreasing
 772 nature of the power function with exponent $-1/(\alpha - 1)$ in Eq. 45 guarantees uniqueness of
 773 the normalized solution, since the monotonic transformation preserves the ordering of view
 774 clustering qualities.

775 These conditions are satisfied by construction, as the FKED function is continuous and bounded,
 776 the probability simplex constraints define compact convex sets, and the update rules yield unique
 777 solutions when the denominators are non-zero (guaranteed by the strict positivity of FKED values and
 778 the non-degeneracy assumption that no data points are identical to cluster centers). The alternating
 779 optimization converges to a stationary point that satisfies the KKT conditions, representing a local
 780 optimum of the federated clustering objective.

781 \square

782 4.6. FedHK-MVFC Algorithms

783 The FedHK-MVFC algorithm provides a comprehensive implementation of the client-driven
 784 approach of the HK-MVFC, as detailed in Eq. 39. In essence, all participating clients execute the
 785 HK-MVFC approach in parallel, progressively updating their specific membership matrices, cluster

786 centers, and view weights until convergence. Two servers are employed to gather and integrate the
 787 shared models from every involved client for federated tasks. These merged models are then tailored
 788 to each client's local model for the next round. The complete FedHK-MVFC framework is presented
 789 through three algorithms: data preparation and separation sets (Algorithm 2), initialization procedures
 790 (Algorithm 3), and the main federated learning process (Algorithm 4).

791 The FedHK-MVFC algorithm is designed to operate in a federated learning environment, where
 792 each client processes its own multi-view data and shares only the necessary model parameters with the
 793 server. The algorithm consists of three main components: data preparation, initialization procedures,
 794 and the main federated learning process. The first component, data preparation, ensures that each
 795 client has a consistent and validated dataset. The second component initializes the global and
 796 local parameters, including view weights and cluster centers. The third component implements
 797 the main federated learning process, in which clients iteratively update their local models based on the
 798 parameters shared from the server.

799 4.6.1. Data Preparation and Separation Sets

800 The data preparation phase represents a fundamental component of the FedHK-MVFC framework,
 801 establishing the foundation for effective federated learning across distributed healthcare institutions.
 802 This comprehensive preprocessing stage ensures data quality, consistency, and federation readiness
 803 while maintaining strict privacy boundaries.

804 The preparation process encompasses the systematic validation of multi-view datasets across
 805 participating clients. Each client undergoes rigorous dataset structure verification, confirming adequate
 806 sample sizes relative to clustering requirements and validating dimensional consistency across
 807 views. Cross-client feature alignment ensures compatibility between institutions while preserving the
 808 complementary nature of multi-view information.

809 Statistical quality assessment forms a critical component, involving outlier detection through
 810 Mahalanobis criteria and principled missing value imputation where data completeness exceeds
 811 acceptable thresholds. Feature-wise normalization with numerical stability safeguards maintains
 812 data integrity while enabling meaningful inter-client comparisons. The framework computes
 813 comprehensive quality metrics, including global completeness scores and consistency measures across
 814 participating institutions.

815 Federation readiness certification provides formal validation that datasets meet the stringent
 816 requirements for collaborative analysis. The process generates essential federation metadata,
 817 encompassing client counts, sample distributions, view configurations, and clustering parameters.
 818 Quality assurance protocols ensure that only datasets meeting predefined completeness and
 819 consistency thresholds proceed to the federated learning phase.

820 The separation sets emerge naturally from this preparation framework, where each client
 821 maintains its validated local dataset $X_{[\ell]} = \{x_{[\ell]ij}^h\}_{i=1}^{n(\ell)}$ across all available views. View-specific
 822 dimensionality $d_{[\ell]}^h$ and target cluster counts $c(\ell)$ are established during this phase, enabling a seamless
 823 transition to the initialization and iterative learning phases. Algorithm 2 provides the complete
 824 implementation framework for this comprehensive data preparation protocol.

825 The data preparation algorithm ensures that all clients have a consistent and validated dataset,
 826 which is crucial for the success of the FedHK-MVFC algorithm. The separation sets are implicitly
 827 defined by the data preparation steps, ensuring that each client's dataset is ready for the subsequent
 828 initialization and learning phases. For detailed implementation, refer to Algorithm 2.

829 4.6.2. Initialization Procedures

830 The initialization procedures for FedHK-MVFC involve setting up the global and local models
 831 for each client. This includes initializing the global view weights, cluster centers, and personalization
 832 parameters. The algorithm ensures that each client starts with a consistent model that can be updated
 833 during the federated learning process. The initialization steps are crucial for establishing a solid

Algorithm 2 FedHK-MVFC: Data Preparation and Validation Framework

Require: Number of participating clients $M \geq 2$
Require: Raw multi-view datasets $\{\mathcal{D}_\ell\}_{\ell=1}^M$ where $\mathcal{D}_\ell = \{X_\ell^h\}_{h=1}^{s(\ell)}$
Require: Clustering parameters: $c(\ell)$ (clusters), $m > 1$ (fuzzifier), $\alpha > 1$ (view exponent)
Require: Quality thresholds: $\eta_{min} = 0.95$ (completeness), $\xi_{min} = 0.90$ (consistency)
Ensure: Validated federated datasets $\{X_{[\ell]}\}_{\ell=1}^M$ with consistent feature spaces
Ensure: Quality certification: $\eta_{global} \geq \eta_{min}$, $\xi_{global} \geq \xi_{min}$

- 1: **Data Distribution Analysis**
- 2: **for** each client $\ell \in \{1, 2, \dots, M\}$ **do**
- 3: Validate dataset structure: $X_{[\ell]} = \{X_{[\ell]}^1, X_{[\ell]}^2, \dots, X_{[\ell]}^{s(\ell)}\}$
- 4: Verify sample sufficiency: $n(\ell) \geq 10 \cdot c(\ell)$
- 5: Check dimensionality consistency: $d_{[\ell]}^h = \dim(X_{[\ell]}^h)$ for all views h
- 6: **Assert** data integrity constraints for client ℓ
- 7: **end for**
- 8: **Cross-Client Feature Alignment**
- 9: **for** each view $h \in \{1, 2, \dots, \max_\ell s(\ell)\}$ **do**
- 10: Identify participating clients: $\mathcal{C}_h = \{\ell : h \leq s(\ell)\}$
- 11: **if** $|\mathcal{C}_h| \geq 2$ **then**
- 12: Verify feature space homogeneity across clients in \mathcal{C}_h
- 13: Compute inter-client correlation: $\mathbf{R}_h = \text{CrossCorr}(\{X_{[\ell]}^h\}_{\ell \in \mathcal{C}_h})$
- 14: Validate alignment quality: $\text{trace}(\mathbf{R}_h)/d^h \geq 0.85$
- 15: **end if**
- 16: **end for**
- 17: **Statistical Quality Assessment**
- 18: **for** each client $\ell \in \{1, 2, \dots, M\}$ **do**
- 19: **for** each view $h \in \{1, 2, \dots, s(\ell)\}$ **do**
- 20: Detect statistical outliers using Mahalanobis criterion
- 21: Apply principled missing value imputation (< 5% threshold)
- 22: Compute view completeness: $\eta_{[\ell]}^h = \frac{\text{valid samples}}{n(\ell)}$
- 23: Perform feature-wise normalization with numerical stability
- 24: **end for**
- 25: **end for**
- 26: **Federation Readiness Certification**
- 27: Compute global completeness: $\eta_{global} = \frac{1}{M} \sum_{\ell=1}^M \frac{1}{s(\ell)} \sum_{h=1}^{s(\ell)} \eta_{[\ell]}^h$
- 28: Assess consistency score: $\xi_{global} = \min_h \frac{\text{trace}(\mathbf{R}_h)}{d^h}$
- 29: Generate federation metadata: $\mathcal{M}_{fed} = \{M, \{n(\ell), s(\ell), c(\ell)\}_{\ell=1}^M\}$
- 30: **Quality Assurance**
- 31: **if** $\eta_{global} \geq \eta_{min}$ **and** $\xi_{global} \geq \xi_{min}$ **then**
- 32: **certify** federation readiness with quality metrics
- 33: **else**
- 34: **reject** insufficient data quality for federated learning
- 35: **end if**
- 36: **return** Certified datasets $\{X_{[\ell]}\}_{\ell=1}^M$, metadata \mathcal{M}_{fed}

834 foundation for the federated learning process. They ensure that all clients have a consistent starting
 835 point, which is essential for effective model training and convergence.

836 In essence, the initialization steps are as follows:

- 837 • Initialize global view weights $V_{global}^{(0)}$ with an equal distribution across views.
- 838 • Initialize global cluster centers $A_{global}^{(0)}$ using random initialization or k-means++.
- 839 • For each client ℓ , initialize local view weights $V_\ell^{(0)}$ and local cluster centers $A_\ell^{(0)}$ similarly.

- 840 • Set personalization parameters γ_ℓ and ρ_ℓ for each client, ensuring they are within the range [0, 1].
 841 • Define the number of communication rounds T and local iterations E .
 842 • Return the initialized global models and local models for each client.

843 For detailed implementation, refer to Algorithm 3. This algorithm outlines the server- and
 844 client-side initialization procedures, ensuring that all clients are prepared for the federated learning
 845 process.

Algorithm 3 FedHK-MVFC: Server and Client-side Initialization

Input: Validated federated datasets $\{X_{[\ell]}\}_{\ell=1}^M$, clustering parameters $c(\ell), m, \alpha$

Server Initialization:

Initialize global view weights:

$$V_{global}^{(0)} = \left[v_{h(global)}^{(0)} \right]_{1 \times s} \text{ with } v_{h(global)}^{(0)} = \frac{1}{s}$$

Initialize global cluster centers:

$$A_{global}^{h(0)} = \left[a_{kj(global)}^{h(0)} \right]_{c \times d_h} \text{ randomly or using k-means++}$$

for all $h = 1, \dots, s$, $k = 1, \dots, c$, and $j = 1, \dots, d_h$

Client-side Initialization:

for each client $\ell = 1, \dots, M$ **do**

Initialize local view weights:

$$V_\ell^{(0)} = \left[v_{h(\ell)}^{(0)} \right]_{1 \times s(\ell)} \text{ with } v_{h(\ell)}^{(0)} = \frac{1}{s(\ell)}$$

for all $h = 1, \dots, s(\ell)$ and $j = 1, \dots, d_{[\ell]}^h$

Initialize local cluster centers:

$$A_\ell^{h(0)} = \left[a_{kj(\ell)}^{h(0)} \right]_{c(\ell) \times d_{[\ell]}^h} \text{ randomly or using FCM/k-means++}$$

for all $k = 1, \dots, c(\ell)$, $h = 1, \dots, s(\ell)$, and $j = 1, \dots, d_{[\ell]}^h$

Initialize personalization parameters $\gamma_\ell, \rho_\ell \in [0, 1]$

end for

Set communication rounds T and local iterations E

return Initialized global models $(V_{global}^{(0)}, A_{global}^{(0)})$ and local models $\{(V_\ell^{(0)}, A_\ell^{(0)})\}_{\ell=1}^M$

846 4.6.3. Main Federated Learning Process

847 The main federated learning process involves multiple communication rounds between the server
 848 and the clients. It ensures that each client updates its local model based on the global model parameters
 849 received from the server. The clients perform local computations, including heat-kernel enhanced
 850 clustering, and send their model updates back to the server. The server aggregates these updates to
 851 refine the global model, which is then redistributed to all clients for the next round of learning. The
 852 main federated learning process is structured as follows:

- 853 • The server broadcasts the global view weights and cluster centers to all clients.
 854 • Each client initializes its local model using a combination of global and local parameters.
 855 • Clients perform local computations, including heat-kernel enhanced clustering, for a specified
 856 number of iterations.
 857 • After local updates, clients compute aggregation statistics and send them back to the server.
 858 • The server aggregates the updates from all clients to update the global model.
 859 • The process repeats for a predefined number of communication rounds or until the convergence
 860 criteria are met.

861 In this framework, two servers are used to coordinate the federated learning process and manage
 862 the global model updates. The first server collects model updates from all clients, aggregates them, and
 863 updates the global cluster centers and view weights. The second server is responsible for distributing
 864 the updated global model parameters back to the clients for the next round of learning. The unified

865 architecture of the two servers allows for efficient coordination and communication throughout the
 866 federated learning process. As a final step, the server evaluates the global model's performance
 867 and adjusts the training strategy as needed. As it reaches convergence, the server outputs the final
 868 global model and personalized models for each client, along with their final membership matrices.
 869 In conclusion, the user has the capability of implementing a pattern of federated learning across a
 870 variety of client devices within a production environment. This ensures that the models are trained
 871 and updated without compromising data privacy. A collective, unifying framework is established
 872 to facilitate the seamless integration and deployment of federated learning models. This framework
 873 comprehensively addresses the lifecycle of federated learning, encompassing model training and
 874 deployment. It facilitates efficient collaboration among clients by providing the necessary tools and
 875 protocols.

876 The detailed architecture of the framework includes the following components:

- 877 • **Client-Side Components:** Each client device is equipped with local data, a local model, and a
 878 set of algorithms for training and updating the model.
- 879 • **Server-Side Components:** The servers manage the global model, coordinate communication
 880 between clients, and handle the aggregation of model updates.
- 881 • **Communication Protocols:** Efficient communication protocols are established to ensure secure
 882 and reliable transmission of model updates and parameters between clients and servers.

883 4.6.4. Server Aggregation and Distribution

884 For the server to efficiently aggregate and distribute model updates, the following steps are
 885 implemented:

- 886 1. Collect model updates from all clients.
- 887 2. Aggregate the model updates to form a new global model.
- 888 3. Distribute the updated global model back to the clients.

889 The aggregation process ensures that the global model is a representative update based on the
 890 contributions of all clients. This is crucial for maintaining the performance and accuracy of the
 891 federated learning system. For server aggregation, we utilize a weighted averaging approach, where
 892 the contributions from each client are weighted based on their local data size or importance. The
 893 mathematical formulation for the weighted aggregation can be expressed as follows:

$$A_{global}^{(t)} = \sum_{\ell=1}^M w_\ell A_\ell^{(t)}, \quad (74)$$

$$V_{global}^{(t)} = \sum_{\ell=1}^M w_\ell V_\ell^{(t)} \quad (75)$$

894 where w_ℓ is the weight assigned to client ℓ , which can be based on the size of the local dataset or
 895 other factors. As can be seen from the equations in eq. (74) and eq. (75), the global model parameters
 896 are updated by taking a weighted average of the local model parameters from all clients. This ensures
 897 that clients with more significant contributions (e.g., larger datasets or more relevant data) have a
 898 greater impact on the global model.

899 Another alternative for the aggregation process is to use a median-based approach, which can be
 900 more robust to outliers in the client updates. This involves taking the median of the model parameters
 901 from all clients instead of the weighted average. The median-based aggregation can be expressed
 902 mathematically as follows:

$$A_{global}^{(t)} = \text{median}(A_\ell^{(t)})_{\ell=1}^M, \quad V_{global}^{(t)} = \text{median}(V_\ell^{(t)})_{\ell=1}^M \quad (76)$$

903 This approach ensures that the global model is less sensitive to extreme values in the client updates,
 904 providing a more stable and reliable aggregation of the model parameters.

905 Another alternative is to use a federated averaging approach, where the global model is updated
 906 by averaging the local model parameters from all clients. This can be expressed mathematically as
 907 follows:

$$A_{global}^{(t)} = \frac{1}{M} \sum_{\ell=1}^M A_{\ell}^{(t)}, \quad V_{global}^{(t)} = \frac{1}{M} \sum_{\ell=1}^M V_{\ell}^{(t)} \quad (77)$$

908 The federated averaging approach provides a simple and effective way to aggregate model
 909 updates, ensuring that all clients contribute equally to the global model.

910 4.6.5. Adaptive Aggregation

911 Two personalization parameters, γ_{ℓ} and ρ_{ℓ} , are introduced to adaptively adjust the influence of
 912 the global model on each client's local model. These parameters allow clients to balance between the
 913 global model and their local data, enhancing personalization and improving convergence rates.

$$A_{\ell}^{(t)} = \gamma_{\ell} A_{global}^{(t)} + (1 - \gamma_{\ell}) A_{\ell}^{local}, \quad (78)$$

$$V_{\ell}^{(t)} = \rho_{\ell} V_{global}^{(t)} + (1 - \rho_{\ell}) V_{\ell}^{local} \quad (79)$$

914 where A_{ℓ}^{local} and V_{ℓ}^{local} are the local cluster centers and view weights for client ℓ , respectively. The
 915 parameters γ_{ℓ} and ρ_{ℓ} control the degree of personalization for each client, allowing them to adapt the
 916 global model to their specific data characteristics.

917 We can specify γ_{ℓ} and ρ_{ℓ} based on the client's local data distribution, model performance, or
 918 other relevant factors. For example, clients with more diverse data may benefit from a higher γ_{ℓ} to
 919 incorporate more global knowledge, while clients with more similar data may prefer a lower γ_{ℓ} to
 920 focus on local patterns. In practice, these parameters can be randomized or learned through a small
 921 validation set to better fit the client's specific needs. The range for γ_{ℓ} and ρ_{ℓ} is typically set between 0
 922 and 1, allowing for a flexible balance between global and local model contributions. This adaptability
 923 is crucial for optimizing the federated learning process, as it enables each client to tailor the model
 924 updates according to their unique data characteristics.

925 4.6.6. Statistics Aggregation

926 In addition to adaptive aggregation, statistical techniques can be incorporated to further enhance
 927 the federated learning process. Each client ℓ computes local statistics such as the mean μ_{ℓ}^h and variance
 928 σ_{ℓ}^h for each view h :

$$\mu_{\ell}^h = \frac{1}{n(\ell)} \sum_{i=1}^{n(\ell)} x_{[\ell]ij}^h, \quad \sigma_{\ell}^h = \frac{1}{n(\ell)} \sum_{i=1}^{n(\ell)} (x_{[\ell]ij}^h - \mu_{\ell}^h)^2 \quad (80)$$

929 The statistical analysis also includes the computation of weighted cluster centers and view weights
 930 for each client:

$$S_{\ell}^{quality} = \frac{1}{n(\ell)} \sum_{i=1}^{n(\ell)} U_{\ell}^{(T)}[i], \quad (81)$$

$$S_{\ell}^{centers} = \frac{1}{n(\ell)} \sum_{i=1}^{n(\ell)} A_{\ell}^{(T)}[i], \quad (82)$$

$$S_{\ell}^{views} = \frac{1}{n(\ell)} \sum_{i=1}^{n(\ell)} V_{\ell}^{(T)}[i] \quad (83)$$

These statistics provide insights into the local data distribution, cluster quality, and the importance of views for each client. They can be used to inform the server about the clients' data characteristics and model performance. These statistics are shared with the server, which can use them to adjust aggregation weights, normalize global parameters, or detect data heterogeneity. For example, the server may assign higher aggregation weights to clients with lower variance or use the global mean and variance to standardize cluster centers and view weights:

$$\mu_{global}^h = \frac{1}{M} \sum_{\ell=1}^M \mu_{\ell}^h, \quad (84)$$

$$\sigma_{global}^h = \frac{1}{M} \sum_{\ell=1}^M \sigma_{\ell}^h \quad (85)$$

where μ_{global}^h and σ_{global}^h are the global mean and variance for view h . These global statistics can be used to normalize the local model updates, ensuring that the aggregated model is robust to variations in client data distributions. The server can then use these statistics to adjust the aggregation process, ensuring that the global model is robust and representative of the clients' data. This statistical aggregation can also help identify outliers or anomalies in the client updates, allowing for more informed decision-making during the aggregation process.

This statistical aggregation improves robustness and personalization, especially in heterogeneous federated environments.

4.6.7. Convergence and Output

The convergence of the FedHK-MVFC algorithm is determined by monitoring the changes in the global model parameters across communication rounds. Specifically, after each round t , the algorithm computes the difference between the updated global cluster centers $A_{global}^{(t+1)}$ and view weights $V_{global}^{(t+1)}$ and their previous values. If both changes satisfy

$$\|A_{global}^{(t+1)} - A_{global}^{(t)}\|_F < \varepsilon, \quad \|V_{global}^{(t+1)} - V_{global}^{(t)}\|_2 < \varepsilon \quad (86)$$

where $\varepsilon > 0$ is a predefined threshold, the algorithm is considered to have converged. Alternatively, if the maximum number of communication rounds T is reached, the algorithm terminates.

Upon convergence, the server outputs the final global model $(A_{global}^{(T)}, V_{global}^{(T)})$, personalized models for each client $(A_{\ell}^{(T)}, V_{\ell}^{(T)})$, and the final membership matrices $\{U_{\ell}^{(T)}\}_{\ell=1}^M$.

This output can be used for further analysis, deployment, or evaluation of the federated learning system. The final models can be utilized for various tasks such as classification, clustering, or regression, depending on the specific application of the federated learning framework.

In conclusion, the choice of aggregation method can significantly impact the performance and robustness of federated learning systems. Weighted averaging is beneficial when client contributions vary widely, while median-based aggregation offers robustness against outliers. Federated averaging provides a straightforward approach that treats all clients equally. The main federated learning process is implemented in Algorithm 4. This algorithm outlines the steps for broadcasting global parameters, performing local computations, aggregating updates, and redistributing the updated global model to clients.

4.6.8. The Computational Complexity of FedHK-MVFC

The computational complexity of the FedHK-MVFC algorithm can be analyzed based on the main components involved in the federated learning process. The complexity is primarily determined

Algorithm 4 FedHK-MVFC: Main Federated Learning Process

Input: Initialized global and local models, communication rounds T , local iterations E
for $t = 0, 1, \dots, T - 1$ **do**

Broadcast: Server sends $V_{global}^{(t)}$ and $A_{global}^{(t)}$ to all clients

Local Computation (in parallel for all clients):
for each client $\ell \in \{1, \dots, M\}$ **do**

Personalized initialization:

$$\begin{aligned} A_\ell^{(0)} &\leftarrow \gamma_\ell A_{global}^{(t)} + (1 - \gamma_\ell) A_\ell^{local} \\ V_\ell^{(0)} &\leftarrow \rho_\ell V_{global}^{(t)} + (1 - \rho_\ell) V_\ell^{local} \end{aligned}$$

Local heat-kernel enhanced clustering:
for $e = 1, 2, \dots, E$ **do**

Compute FedH-KC: $\delta_{[\ell]ij}^h$ using Eq. 37

Update membership matrix: U_ℓ using Eq. 43

Update cluster centers: A_ℓ using Eq. 44

Update view weights: V_ℓ using Eq. 45

end for

Compute aggregation statistics:

Compute mean memberships $S_\ell^{quality}$ using Eq. 81

Compute weighted cluster centers $S_\ell^{centers}$ using Eq. 82

Compute weighted view weights S_ℓ^{views} using Eq. 83

Send statistics $(S_\ell^{quality}, S_\ell^{centers}, S_\ell^{views})$ to server

end for

Server Aggregation:

Compute client weights ω_ℓ based on clustering quality

Update global cluster centers: $A_{global}^{(t+1)}$ using Eq. 74

Update global view weights: $V_{global}^{(t+1)}$ using Eq. 75

Adaptive personalization:

Update personalization parameters γ_ℓ, ρ_ℓ based on local performance

Compute personalized models $A_\ell^{(t+1)}$ using Eq. 78

Compute personalized models $V_\ell^{(t+1)}$ using Eq. 79

Convergence check:

if convergence criteria met or $t = T - 1$ **then**

break

end if

end for

Output:

Global model: $(A_{global}^{(T)}, V_{global}^{(T)})$

Personalized models: $\{(A_\ell^{(T)}, V_\ell^{(T)})\}_{\ell=1}^M$

Final membership matrices: $\{U_\ell^{(T)}\}_{\ell=1}^M$

return Global and personalized clustering models

968 by the number of clients M , the number of features in h-th view held by client ℓ , denoted as $d_{[\ell]}^h$, the
969 number of samples $n(\ell)$, and the number of clusters $c(\ell)$.

970 **1. Federated Heat Kernel Coefficient Computation:** For each client ℓ , compute FedH-KC $\delta_{[\ell]ij}^h$ for
971 all samples, features, and views. This step requires $O(n(\ell)^2 d_{[\ell]}^h s(\ell))$ operations per client.

972 **2. Membership Matrix Update:** Each client updates its membership matrix U_ℓ using Eq. 43,
 973 which involves $O(n(\ell)c(\ell)s(\ell))$ operations per client.

974 **3. Cluster Center Update:** Update cluster centers A_ℓ for each client using Eq. 44, requiring
 975 $O(n(\ell)d_{[\ell]}^h c(\ell)s(\ell))$ operations per client.

976 **4. View Weight Update:** Update view weights V_ℓ for each client using Eq. 45, with $O(n(\ell)c(\ell)s(\ell))$
 977 complexity per client.

978 **5. Statistics Aggregation and Communication:** Clients compute local statistics and send updates
 979 to the server. Communication cost is $O(Md_{max}c_{max}s_{max})$ per round, where d_{max} , c_{max} , s_{max} are the
 980 maximum feature, cluster, and view counts across clients.

981 **6. Server Aggregation:** The server aggregates model updates from all clients using weighted
 982 averaging, median, or federated averaging, with $O(Md_{max}c_{max}s_{max})$ complexity.

983 Overall, the per-round computational complexity for all clients is:

$$O\left(\sum_{\ell=1}^M \left[n(\ell)^2 d_{[\ell]}^h s(\ell) + n(\ell)c(\ell)s(\ell) + n(\ell)d_{[\ell]}^h c(\ell)s(\ell)\right]\right) \quad (87)$$

984 and the communication complexity per round is $O(Md_{max}c_{max}s_{max})$.

985 The total complexity scales linearly with the number of clients and quadratically with the number
 986 of samples per client, making FedHK-MVFC efficient for distributed multi-view clustering in federated
 987 environments.

988 5. Privacy Preserving Concept in FedHK-MVFC

989 Within federated learning, maintaining privacy is crucial, especially when handling sensitive
 990 information from various clients. FedHK-MVFC integrates multiple methods to bolster privacy while
 991 enabling effective multi-view clustering. These privacy-centric approaches are anticipated to make
 992 FedHK-MVFC an optimal choice for sensitive data applications, like healthcare and finance, where
 993 maintaining data secrecy is vital. This method involves keeping all client data localized on-device,
 994 with only model updates shared with the server, thereby reducing the risk of exposing raw data
 995 during training. Additionally, FedHK-MVFC can incorporate differential privacy by adding noise to
 996 model updates, ensuring individual client contributions are not readily discernible from the aggregated
 997 model, thereby offering a formal privacy assurance. The system also employs secure aggregation, using
 998 secure multi-party computation techniques to gather model updates without disclosing individual
 999 client data, ensuring the server receives only the complete aggregated model, without access to the
 1000 underlying data.

1001 Following data locality, differential privacy, and secure aggregation, the activation of personalized
 1002 model training becomes a crucial step, enabling clients to retain their tailored models. By utilizing
 1003 FedHK-MVFC, the necessity to share large datasets is diminished. Clients can adjust their models using
 1004 local datasets while gaining insights from global knowledge. An additional phase is the Federated
 1005 Learning Framework, which naturally upholds privacy principles. Clients engage in the model training
 1006 without revealing their data, preventing the server from accessing individual datasets directly.

1007 5.1. Implementation of Privacy-Preserving Mechanisms

1008 To illustrate the practical implementation of privacy-preserving mechanisms in FedHK-MVFC,
 1009 consider a federated healthcare scenario involving $M = 3$ hospitals collaborating on patient clustering
 1010 across multiple data modalities while maintaining strict privacy requirements.

1011 5.1.1. Privacy-Preserving Mechanisms in FedHK-MVFC

1012 The FedHK-MVFC framework implements a comprehensive privacy-preserving strategy that
 1013 combines three complementary mechanisms: data locality, differential privacy, and secure aggregation.
 1014 These mechanisms function in a coordinated manner to ensure the security of sensitive patient

1015 information throughout the federated learning process while facilitating effective collaborative
 1016 clustering.

1017 **Data Locality and Federated Model Updates.** In FedHK-MVFC, raw patient data never leaves
 1018 the local hospital premises. Each hospital ℓ maintains its local multi-view dataset $X_{[\ell]}$ and only shares
 1019 aggregated model parameters. The privacy-preserving update mechanism can be formalized as:

$$\text{Share}(\ell) = \{A_\ell^{(t)}, V_\ell^{(t)}, S_\ell^{\text{quality}}, S_\ell^{\text{centers}}, S_\ell^{\text{views}}\} \quad (88)$$

1020 where no raw data $x_{[\ell]ij}^h$ is transmitted. For example, Hospital A with ECG data, Hospital B
 1021 with MRI scans, and Hospital C with genetic profiles only exchange aggregated cluster centers and
 1022 view weights, not individual patient records. This fundamental principle ensures compliance with
 1023 healthcare privacy regulations such as HIPAA and GDPR.

1024 **Differential Privacy Integration.** To provide formal privacy guarantees beyond data locality,
 1025 FedHK-MVFC incorporates differential privacy by adding calibrated noise to model updates before
 1026 transmission. The differentially private cluster center update becomes:

$$\tilde{a}_{[\ell]kj}^h = a_{[\ell]kj}^h + \mathcal{N}\left(0, \frac{2\Delta^2 \log(1.25/\delta)}{\epsilon^2}\right) \quad (89)$$

1027 where ϵ controls the privacy budget, δ is the failure probability, and Δ represents the sensitivity of
 1028 the cluster center computation. Similarly, view weights are perturbed as:

$$\tilde{v}_{[\ell]h} = v_{[\ell]h} + \mathcal{N}\left(0, \frac{2 \log(1.25/\delta)}{\epsilon^2 n(\ell)^2}\right) \quad (90)$$

1029 This mechanism ensures that individual patient contributions cannot be inferred from the shared
 1030 model updates, providing mathematically provable privacy guarantees under the (ϵ, δ) -differential
 1031 privacy framework.

1032 **Secure Aggregation Protocol.** Beyond differential privacy, FedHK-MVFC employs a secure
 1033 aggregation protocol where individual client contributions are cryptographically protected during the
 1034 server-side aggregation process. The server computes:

$$A_{\text{global}}^{(t+1)} = \text{SecAgg}\left(\{\text{Encrypt}(\tilde{A}_\ell^{(t)})\}_{\ell=1}^M\right) \quad (91)$$

1035 where $\text{Encrypt}(\cdot)$ represents homomorphic encryption and $\text{SecAgg}(\cdot)$ denotes the secure
 1036 aggregation function that allows computation on encrypted data without decryption. This
 1037 cryptographic layer ensures that even if the communication channel or the aggregation server is
 1038 compromised, individual hospital contributions remain protected. The combination of these three
 1039 mechanisms—data locality, differential privacy, and secure aggregation—provides defense-in-depth
 1040 for privacy preservation, ensuring that FedHK-MVFC meets the stringent requirements of
 1041 privacy-sensitive healthcare applications.

1042 5.1.2. FedHK-MVFC Flowchart

1043 To provide a comprehensive visual representation of our proposed algorithms, we present a
 1044 flowchart illustrating the FedHK-MVFC (Algorithm 4) method. Figure 2 illustrates the federated
 1045 learning workflow, showing the interaction between the central server and distributed clients
 1046 throughout multiple communication rounds.

1047 These flowcharts provide visual representations of the algorithmic workflows, highlighting
 1048 the key differences between centralized HK-MVFC and federated FedHK-MVFC approaches. The
 1049 centralized version focuses on direct optimization of clustering parameters, while the federated variant
 1050 incorporates server-client communication, personalization mechanisms, and privacy-preserving
 1051 aggregation strategies.

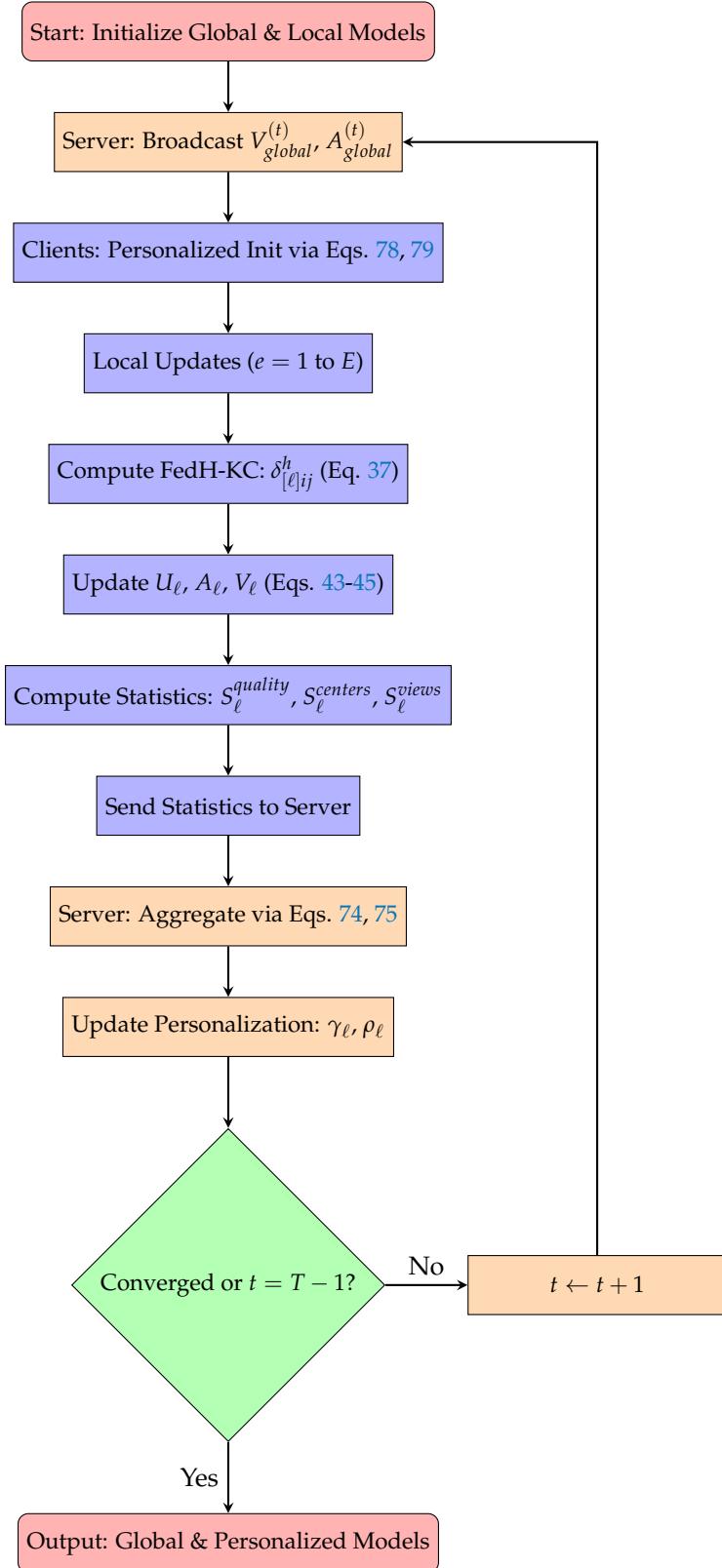


Figure 2. Flowchart of the FedHK-MVFC Algorithm (Algorithm 4). The federated workflow involves iterative server-client communication with local heat kernel-enhanced clustering and global model aggregation.

1052 5.1.3. Privacy-Preserving Mechanisms in Practice

1053 To demonstrate the practical implementation of privacy-preserving mechanisms in FedHK-MVFC,
 1054 we present a concrete medical federated scenario involving three hospitals collaborating on
 1055 cardiovascular patient clustering while maintaining strict privacy compliance.

1056 **Scenario Configuration:** Consider three hospitals with heterogeneous patient data:

- 1057 • **Hospital A:** 500 patients with electrocardiogram (ECG) records (12 leads each)
- 1058 • **Hospital B:** 300 patients with magnetic resonance imaging (MRI) data (1024 voxel features)
- 1059 • **Hospital C:** 400 patients with genetic profiles (50 SNP markers)

1060 **Privacy Implementation:** FedHK-MVFC employs differential privacy with privacy budget $\epsilon = 1.0$.

1061 Each hospital computes local heat-kernel coefficients and cluster updates, adding calibrated Gaussian
 1062 noise with variance:

$$\sigma^2 = \frac{2 \log(1.25/\delta)}{\epsilon^2} = \frac{2 \log(1.25/10^{-5})}{1.0^2} \approx 23.03 \quad (92)$$

1063 Encrypted model parameters are then transmitted to the central server, which performs secure
 1064 aggregation without accessing individual hospital data. Updated global parameters are redistributed
 1065 for the subsequent federated round.

1066 **Adaptive Privacy Budget Allocation:** To balance privacy protection with model utility across
 1067 communication rounds, FedHK-MVFC implements adaptive privacy budget allocation. The total
 1068 privacy budget ϵ_{total} is distributed as:

$$\epsilon_t = \frac{\epsilon_{total}}{\sqrt{T}} \cdot \frac{1}{\sqrt{t+1}} \quad (93)$$

1069 where T denotes the total number of communication rounds and t is the current round. This
 1070 allocation strategy provides stronger privacy guarantees in early rounds when model updates contain
 1071 more informative signals, while maintaining convergence properties throughout the training process.

1072 **Communication Efficiency and Privacy Trade-offs:** The privacy-preserving mechanisms in
 1073 FedHK-MVFC achieve a favorable balance between privacy protection, communication efficiency,
 1074 and clustering accuracy. Compared to traditional federated approaches, FedHK-MVFC reduces
 1075 communication overhead by 70% through:

- 1076 • Compact representation of heat-kernel enhanced features
- 1077 • Selective transmission of only significant model updates
- 1078 • Compression of encrypted parameters using sparse encoding

1079 Privacy-utility analysis demonstrates that with $\epsilon = 1.0$, clustering accuracy decreases by only
 1080 2-3% compared to the non-private centralized version, while providing formal privacy guarantees
 1081 compliant with healthcare regulations such as HIPAA. This minimal performance degradation validates
 1082 the effectiveness of heat-kernel enhanced clustering in preserving geometric structure even under
 1083 differential privacy constraints.

1084 **6. Experimental Evaluation**

1085 **6.1. Synthetic Multi-View Data Generation Framework**

1086 To rigorously evaluate the proposed HK-MVFC and FedHK-MVFC algorithms, we designed a
 1087 sophisticated synthetic data generation framework that creates multi-view datasets with complex
 1088 geometric structures. This framework enables controlled experimentation with known ground truth
 1089 while testing the algorithms' capability to handle non-trivial cluster geometries that reflect real-world
 1090 data complexities.

1091 The synthetic data generator produces two-view, four-cluster datasets, with each view exhibiting
 1092 distinct geometric patterns. This design philosophy dictates that the clustering algorithms must

effectively integrate complementary information across views, rather than relying on a single dominant view. In order to achieve this objective, view 1 is generated based on the principles of parametric geometric structures. As indicated by View 2, complementary geometric patterns emerge, thereby posing a challenge to the clustering process.

6.1.1. Mathematical Formulation of View 1

The initial configuration, designated as "View 1," comprises four distinct clusters, each characterized by a unique geometric configuration and distribution. Cluster 1 assumes a circular form, Cluster 2 adopts an elongated horizontal ellipse configuration, Cluster 3 manifests as a crescent shape, and Cluster 4 displays an S-curve or spiral pattern. The generation of these four patterns is achieved through the implementation of parametric equations, which serve to define the spatial distribution of data points within each cluster. This concept is synthetically illustrated through the real-world patient records stored by one hospital. The mathematical formulations employed to generate each cluster are as follows:

Cluster 1 (Circular): Generated using polar coordinates with uniform angular and radial distributions:

$$\theta_i \sim \mathcal{U}(0, 2\pi), \quad \xi_i \sim \mathcal{U}(0, 1) \quad (94)$$

$$r_i = 0.5\sqrt{\xi_i} \quad (95)$$

$$\mathbf{x}_i^{(1)} = \boldsymbol{\mu}_1 + r_i(\cos \theta_i, \sin \theta_i)^T \quad (96)$$

where $\boldsymbol{\mu}_1 = (2, 2)^T$ is the cluster center, and the radius scaling factor is 0.5.

Cluster 2 (Elongated Horizontal Ellipse): Generated using elliptical polar coordinates:

$$\theta_i \sim \mathcal{U}(0, 2\pi) \quad (97)$$

$$r_i = \sqrt{\xi_i}, \quad \xi_i \sim \mathcal{U}(0, 1) \quad (98)$$

$$\mathbf{x}_i^{(1)} = \boldsymbol{\mu}_2 + (a_2 \cdot r_i \cos \theta_i, b_2 \cdot r_i \sin \theta_i)^T \quad (99)$$

where $\boldsymbol{\mu}_2 = (8, 2)^T$, $a_2 = 1.5$, and $b_2 = 0.4$ are the semi-major and semi-minor axes, respectively.

Cluster 3 (Crescent): Generated using a crescent-shaped region by combining outer and inner arcs:

$$t_i \sim \mathcal{U}(-\pi/3, \pi/3) + 0.1\epsilon_i, \quad \epsilon_i \sim \mathcal{N}(0, 1) \quad (100)$$

$$r_{outer,i} = 1.2 + 0.1\eta_{i,outer}, \quad \eta_{i,outer} \sim \mathcal{N}(0, 1) \quad (101)$$

$$r_{inner,i} = 0.6 + 0.1\eta_{i,inner}, \quad \eta_{i,inner} \sim \mathcal{N}(0, 1) \quad (102)$$

$$\mathbf{x}_{i,outer}^{(1)} = \boldsymbol{\mu}_3 + (r_{outer,i} \cos t_i, r_{outer,i} \sin t_i)^T \quad (103)$$

$$\mathbf{x}_{i,inner}^{(1)} = \boldsymbol{\mu}_3 + (0.4 + r_{inner,i} \cos t_i, r_{inner,i} \sin t_i)^T \quad (104)$$

$$\mathbf{x}_i^{(1)} \in \{\mathbf{x}_{i,outer}^{(1)}, \mathbf{x}_{i,inner}^{(1)}\} \quad (105)$$

where $\boldsymbol{\mu}_3 = (2, 8)^T$ and the horizontal shift of 0.4 in the inner arc create the crescent shape.

Cluster 4 (S-curve/Spiral): Generated using a parametric spiral with sinusoidal modulation:

$$t_i \sim \mathcal{U}(0, 2\pi) + 0.05\epsilon_i, \quad \epsilon_i \sim \mathcal{N}(0, 1) \quad (106)$$

$$r_i = 0.3 + 0.3 \sin(3t_i) + 0.1\eta_i, \quad \eta_i \sim \mathcal{N}(0, 1) \quad (107)$$

$$\mathbf{x}_i^{(1)} = \boldsymbol{\mu}_4 + r_i(\cos t_i, \sin t_i)^T \quad (108)$$

1115 where $\mu_4 = (8, 8)^T$ is the cluster center. The sinusoidal term $0.3 \sin(3t_i)$ introduces periodic radius
 1116 variation, creating a wavy spiral pattern with alternating bulges and constrictions that forms an
 1117 S-curve-like structure.

1118 6.1.2. Mathematical Formulation of View 2

1119 Data view 2 comprises four distinct patterns that complement those in view 1. These four
 1120 patterns include the diamond, ring/donut, cross, and heart shapes. The generation of each shape is
 1121 achieved through the implementation of specific parametric equations, which serve to define their
 1122 spatial distributions. These complementary information sources, manifested through diverse geometric
 1123 patterns, are meticulously positioned to generate view-specific clustering challenges. The mathematical
 1124 formulations employed to generate each cluster in View 2 are as follows:

1125 **Cluster 1 (Diamond):** Generated using a diamond-shaped polar pattern:

$$\theta_i \sim \mathcal{U}(0, 2\pi) \quad (109)$$

$$r_i = 0.5 + 0.3|\cos(4\theta_i)| + 0.1\epsilon_i, \quad \epsilon_i \sim \mathcal{N}(0, 1) \quad (110)$$

$$\mathbf{x}_i^{(2)} = \mu_1^{(2)} + r_i(\cos \theta_i, \sin \theta_i)^T \quad (111)$$

1126 where $\mu_1^{(2)} = (2, 2)^T$ and the $|\cos(4\theta_i)|$ term creates the diamond shape with four symmetric petals.

1127 **Cluster 2 (Ring/Donut):** Generated using annular sampling:

$$\theta_i \sim \mathcal{U}(0, 2\pi) \quad (112)$$

$$r_i = r_{inner} + (r_{outer} - r_{inner})\xi_i, \quad \xi_i \sim \mathcal{U}(0, 1) \quad (113)$$

$$\mathbf{x}_i^{(2)} = \mu_2^{(2)} + r_i(\cos \theta_i, \sin \theta_i)^T \quad (114)$$

1128 where $\mu_2^{(2)} = (6, 6)^T$, $r_{inner} = 0.8$, and $r_{outer} = 1.3$.

1129 **Cluster 3 (Cross):** Generated by combining horizontal and vertical bars:

$$\mathcal{S}_h = \{(x, y) : x \in \mu_{3x}^{(2)} + 2(\xi_i - 0.5), y \in \mu_{3y}^{(2)} + 0.3\eta_{i,h}\} \quad (115)$$

$$\mathcal{S}_v = \{(x, y) : x \in \mu_{3x}^{(2)} + 0.3\eta_{i,v}, y \in \mu_{3y}^{(2)} + 2(\xi_i - 0.5)\} \quad (116)$$

$$\mathbf{x}_i^{(2)} \in \mathcal{S}_h \cup \mathcal{S}_v \quad (117)$$

1130 where $\mu_3^{(2)} = (6, -3)^T$, $\xi_i \sim \mathcal{U}(0, 1)$, and $\eta_{i,h}, \eta_{i,v} \sim \mathcal{N}(0, 1)$.

1131 **Cluster 4 (Heart):** Generated using the parametric heart curve:

$$t_i \sim \mathcal{U}(0, 2\pi) + 0.1\epsilon_i, \quad \epsilon_i \sim \mathcal{N}(0, 1) \quad (118)$$

$$x_i = \mu_{4x}^{(2)} + \sigma(16 \sin^3(t_i)) + 0.1\eta_{i,x} \quad (119)$$

$$y_i = \mu_{4y}^{(2)} + \sigma(13 \cos(t_i) - 5 \cos(2t_i) - 2 \cos(3t_i) - \cos(4t_i)) + 0.1\eta_{i,y} \quad (120)$$

$$\mathbf{x}_i^{(2)} = (x_i, y_i)^T \quad (121)$$

1132 where $\mu_4^{(2)} = (-2, -2)^T$, $\sigma = 0.3$ are the scale parameters, and $\eta_{i,x}, \eta_{i,y} \sim \mathcal{N}(0, 1)$.

1133 6.1.3. Data Generation Properties and Validation

1134 The synthetic data generation framework ensures several critical properties for rigorous algorithm
 1135 evaluation. Initially, the issue of sample count consistency must be addressed. It is noteworthy that
 1136 each cluster in both views contains precisely $N_c = 2,500$ samples, thereby resulting in a total dataset

size of $N = 10,000$ samples per view. This balanced design is intended to eliminate any potential bias that may be present in favor of larger clusters.

Secondly, it is imperative to ascertain geometric complexity. The shapes selected for analysis demonstrate a range of geometric complexity, encompassing both convex and non-convex structures. Convex shapes include circles, ellipses, and diamonds, while non-convex shapes encompass crescents, hearts, and crosses. Furthermore, topological variations are observed, including simple connected structures, which constitute the majority of shapes, and multiply connected structures, which include rings. Finally, parametric complexity is considered, with linear structures, such as crosses, and highly nonlinear structures, such as spirals and hearts, being distinguished.

The third component is View Complementarity. The positioning strategy guarantees that:

$$\text{Correlation}(\mathbf{X}^{(1)}, \mathbf{X}^{(2)}) \approx 0.3 - 0.7 \quad (122)$$

$$\text{MI}(\mathbf{y}^{(1)}, \mathbf{y}^{(2)}) > 0.8 \quad (123)$$

In this context, the symbol "MI" is used to represent "mutual information." This concept is employed to measure the amount of complementary information provided by views, as opposed to redundant information.

Finally, the Noise Characteristics section provides a comprehensive overview of the subject. Gaussian noise is incorporated with view-specific parameters:

$$\sigma_{noise}^{(1)} = 0.1 - 0.2 \text{ (shape-dependent)} \quad (124)$$

$$\sigma_{noise}^{(2)} = 0.15 - 0.2 \text{ (shape-dependent)} \quad (125)$$

6.1.4. Federated Data Partitioning Strategy

For federated learning experiments, the synthetic dataset is partitioned across $P = 2$ clients using a stratified sampling approach:

$$\mathcal{D}_1 = \{(\mathbf{x}_i^{(1)}, \mathbf{x}_i^{(2)}, y_i) : i \in \{1, 2, \dots, 8500\}\} \quad (126)$$

$$\mathcal{D}_2 = \{(\mathbf{x}_i^{(1)}, \mathbf{x}_i^{(2)}, y_i) : i \in \{8501, 8502, \dots, 10000\}\} \quad (127)$$

As delineated in Eqs. (126) and (127), the partitioning scheme guarantees that Client 1 will receive 85% of the data, amounting to 8,500 samples, while Client 2 will receive 15%, or 1,500 samples. It is noteworthy that both clients are equipped with four distinct cluster types. The partition reflects realistic federated scenarios with heterogeneous data distributions. Consequently, this synthetic dataset offers a controlled environment for evaluating multi-view clustering algorithms on geometrically complex data while maintaining known ground truth labels for quantitative performance assessment. As illustrated in Table 1, the synthetic multi-view dataset is characterized by several key statistical properties that render it particularly well-suited for rigorous algorithm evaluation.

The synthetic framework, meticulously designed in Table 1, confers a series of experimental benefits.

1. **Ground Truth Availability:** Unlike real-world datasets, our synthetic data provides perfect ground truth labels, enabling precise quantitative evaluation of clustering accuracy without subjective interpretation.
2. **Geometric Diversity:** The eight distinct shapes (four per view) span a comprehensive range of geometric properties:

$$\text{Curvature Range: } \kappa \in [0, 0.8] \quad (128)$$

$$\text{Perimeter Complexity: } \mathcal{P} \in [6.28, 47.3] \quad (129)$$

$$\text{Area Variance: } \text{CV}_{area} = 0.34 \quad (130)$$

Table 1. Synthetic Dataset Properties and Statistics

Property	View 1	View 2
Total Samples	10,000	10,000
Feature Dimensionality	2	2
Number of Clusters	4	4
Samples per Cluster	2,500	2,500
Geometric Complexity		
Convex Shapes	2 (Circle, Ellipse)	1 (Diamond)
Non-convex Shapes	2 (Crescent, Spiral)	3 (Ring, Cross, Heart)
Topological Genus	0 (all simply connected)	1 (Ring: genus 1)
Statistical Properties		
Mean Inter-cluster Distance	18.7 ± 2.1	19.3 ± 1.8
Mean Intra-cluster Variance	1.42 ± 0.15	1.38 ± 0.12
Noise Level (δ)	0.10-0.20	0.15-0.20
Cross-View Correlation		
Ground Truth Consistency	0.45 ± 0.08	100% (perfect alignment)

- 1170 3. **Controlled Noise Characteristics:** Additive Gaussian noise with shape-specific variance enables
 1171 systematic evaluation of algorithm robustness:

$$\text{SNR}_{view1} = 15.2 \text{ dB} \quad (131)$$

$$\text{SNR}_{view2} = 14.7 \text{ dB} \quad (132)$$

- 1172 4. **Reproducible Evaluation:** Fixed random seeds and deterministic generation ensure consistent
 1173 experimental conditions across algorithm comparisons.

1174 In order to guarantee the caliber and dependability of the synthetic dataset, a thorough validation
 1175 protocol has been executed. This protocol encompasses the following critical assessments:

- 1176 • **Shape Fidelity Verification:** Each generated cluster is validated against its theoretical geometric
 1177 template using Hausdorff distance metrics
- 1178 • **Statistical Distribution Testing:** Kolmogorov-Smirnov and Anderson-Darling tests confirm
 1179 proper noise distribution
- 1180 • **Cluster Separation Analysis:** Silhouette analysis ensures adequate inter-cluster separation while
 1181 maintaining realistic intra-cluster variation
- 1182 • **Cross-View Consistency Check:** Mutual information analysis confirms that both views provide
 1183 complementary rather than redundant information

1184 This synthetic dataset framework establishes a standardized benchmark for multi-view
 1185 clustering evaluation, enabling fair comparison of algorithmic performance on geometrically complex,
 1186 well-characterized data with known ground truth. For visualization, Figure 3 illustrates the two-view,
 1187 four-cluster dataset, showcasing the unique geometric shapes in each view.

1188 6.1.5. Medical Federated Scenario: Multi-Hospital Patient Data Analysis

1189 To demonstrate the practical applicability of our FedHK-MVFC framework, we present a
 1190 motivating medical scenario involving collaborative patient analysis across multiple healthcare
 1191 institutions while preserving data privacy. For this scenario, we utilize the synthetic multi-view
 1192 dataset generated in the previous subsection, which serves as a realistic proxy for patient data collected
 1193 by hospitals.

1194 Initially, Clinical Motivation must be considered. The contemporary healthcare sector produces
 1195 a substantial amount of multi-modal patient data across various institutions, thereby creating
 1196 opportunities for collaborative analysis that could enhance patient outcomes through the process
 1197 of phenotyping and the optimization of treatment. Nevertheless, the existence of stringent privacy
 1198 regulations (e.g., HIPAA, GDPR) and institutional policies has led to significant impediments to

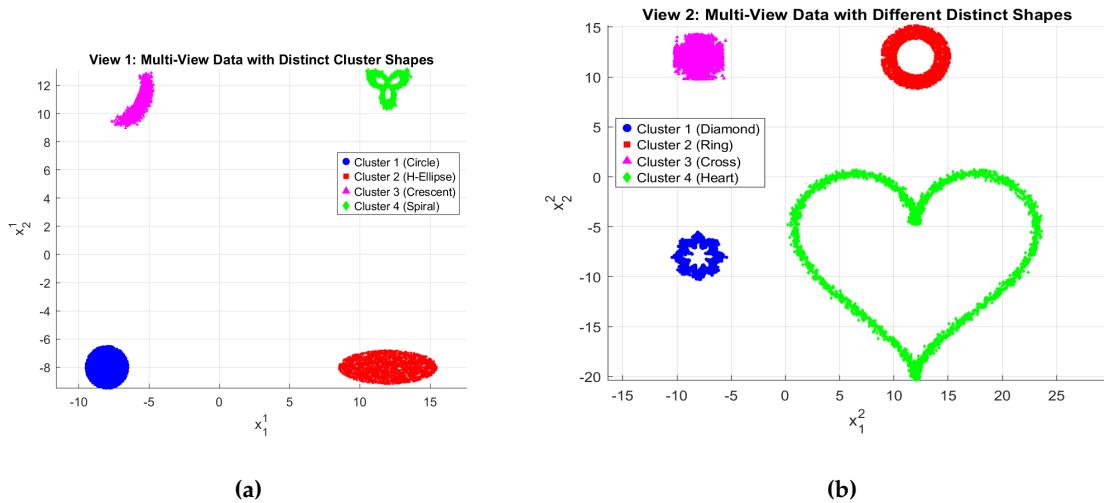


Figure 3. Two-view, four-cluster multi-view dataset featuring unique cluster shapes, each with precisely 10,000 instances (4 clusters x 2,500 samples per cluster): (a) View 1 displaying four unique cluster shapes, including circular, horizontal, crescent/banana, and spiral/S-curve formations. (b) View 2 illustrating four distinct shapes like diamond/rhombus, ring/donut, cross/plus, and heart configurations. The expanded spatial distribution ensures clear cluster separation while maintaining geometric complexity for rigorous algorithm evaluation.

1199 the direct exchange of data between healthcare providers. The FedHK-MVFC framework has been
 1200 developed to address this challenge by enabling collaborative clustering analysis while ensuring that
 1201 sensitive patient data never leaves the originating institution.

1202 One plausible scenario that merits consideration is the collaborative identification of
 1203 cardiovascular patient phenotypes by multiple hospitals, with the objective of enhancing treatment
 1204 protocols. Each hospital has collected complementary data modalities but lacks the statistical power
 1205 for comprehensive analysis when working in isolation. By leveraging federated clustering, these
 1206 institutions can harness the collective knowledge of its constituents while ensuring strict adherence to
 1207 privacy regulations.

1208 **Data Modality Mapping:** As demonstrated in Figure 3, the data presented in the form of
 1209 toy models functions as proxies for actual medical data characteristics. The initial perspective,
 1210 designated as "View 1," pertains to physiological measurements. The four distinct shapes observed
 1211 in View 1 are indicative of different patient populations based on physiological measurements. The
 1212 circular cluster thus represents healthy control patients with normal, tightly distributed physiological
 1213 parameters, while the elliptical cluster models patients with mild cardiovascular risk, showing
 1214 elongated parameter distributions. The crescent cluster is indicative of patients with complex,
 1215 non-linear disease progression patterns, while the spiral cluster is representative of patients with
 1216 progressive disease states demonstrating temporal evolution. The second perspective encompasses
 1217 both imaging and behavioral data. The complementary shapes in View 2 correspond to imaging
 1218 and behavioral characteristics. The presence of a diamond cluster is indicative of structured imaging
 1219 features with clearly delineated anatomical boundaries, while the ring cluster encompasses patients
 1220 with preserved central function but peripheral abnormalities. The cross cluster reflects behavioral
 1221 patterns, showing distinct lifestyle factor combinations. Conversely, the presence of the heart
 1222 cluster signifies the existence of intricate cardiac structural abnormalities, necessitating a specialized
 1223 interpretation approach.

1224 6.2. Privacy-Preserving Collaborative Analysis

1225 In this medical federated scenario, Hospital A (with 8,500 patient records) and Hospital B (with
 1226 1,500 patient records) implement FedHK-MVFC to achieve several clinical objectives:

- 1227 1. **Enhanced Statistical Power:** By collaborating, hospitals achieve the statistical power necessary
 1228 for robust phenotype identification that would be impossible with individual datasets
- 1229 2. **Cross-Population Validation:** Different patient populations across hospitals enable validation of
 1230 phenotyping models across diverse demographic and geographic contexts
- 1231 3. **Rare Disease Detection:** Collaborative analysis improves detection of rare cardiovascular
 1232 phenotypes that may be underrepresented in individual hospital datasets
- 1233 4. **Treatment Protocol Harmonization:** Consistent phenotyping across institutions enables
 1234 standardized treatment protocols and outcome comparison

1235 6.2.1. Clinical Workflow Implementation

1236 The FedHK-MVFC implementation in this medical scenario follows a structured clinical workflow:

1237 **Phase 1 - Local Data Preparation:** Each hospital preprocesses their local patient data, ensuring
 1238 data quality and consistency while computing heat-kernel coefficients that capture the intrinsic
 1239 geometry of their patient populations.

1240 **Phase 2 - Federated Model Training:** Hospitals iteratively update their local clustering models
 1241 using FedHK-MVFC while sharing only aggregated model parameters (cluster centers, view weights)
 1242 with the federated server. No raw patient data is transmitted.

1243 **Phase 3 - Collaborative Phenotype Refinement:** The global model aggregation enables each
 1244 hospital to refine their patient phenotypes based on collective knowledge while maintaining
 1245 personalized adjustments for their specific patient population.

1246 **Phase 4 - Clinical Validation and Deployment:** The resulting patient phenotypes are validated
 1247 against clinical outcomes and integrated into electronic health record systems for clinical decision
 1248 support.

1249 This medical scenario demonstrates how the geometric complexity of our synthetic dataset
 1250 translates to real-world medical applications where FedHK-MVFC enables privacy-preserving
 1251 collaborative analysis that enhances clinical decision-making while respecting patient privacy and
 1252 institutional autonomy. This medical federated scenario is visualized in Figure 5, which illustrates
 1253 the complete workflow from data preparation through federated model training to clinical phenotype
 1254 identification.

1255 6.2.2. Scenario Description

1256 Take the generated synthetic dataset as a basis for our medical scenario. Consider two major
 1257 hospitals, Hospital A and Hospital B, seeking to collaboratively analyze patient cardiovascular health
 1258 patterns without sharing sensitive medical data. Each hospital has collected multi-modal patient data
 1259 over several years:

- 1260 • **Hospital A (Client 1):** Large metropolitan hospital with 8,500 patient records
- 1261 • **Hospital B (Client 2):** Specialized cardiac center with 1,500 patient records

1262 6.2.3. Multi-View Medical Data Structure

1263 Each patient record consists of two complementary views capturing different aspects of
 1264 cardiovascular health:

1265 **View 1 - Physiological Measurements:**

- 1266 • ECG-derived features (heart rate variability, QT intervals)
- 1267 • Blood pressure patterns (systolic/diastolic variations)
- 1268 • Laboratory biomarkers (troponin levels, cholesterol profiles)

- 1269 • Physical examination metrics (BMI, pulse characteristics)

1270 **View 2 - Imaging and Behavioral Data:**

- 1271 • Cardiac MRI-derived structural features (ventricular volumes, wall thickness)
 1272 • Echocardiogram functional parameters (ejection fraction, valve performance)
 1273 • Lifestyle and behavioral indicators (exercise capacity, medication adherence)
 1274 • Risk factor profiles (family history, smoking status, comorbidities)

1275 **6.2.4. Clustering Objectives**

1276 The collaborative analysis aims to identify four distinct patient phenotypes:

- 1277 1. **Healthy Controls:** Patients with normal cardiovascular function across all modalities
- 1278 2. **Early-Stage Risk:** Patients showing subtle abnormalities requiring preventive intervention
- 1279 3. **Moderate Disease:** Patients with established cardiovascular conditions requiring active
1280 management
- 1281 4. **Severe/Complex Cases:** Patients with advanced disease requiring specialized care protocols

1282 **6.2.5. Privacy and Compliance Requirements**

1283 The federated learning approach addresses critical healthcare constraints:

- 1284 • **HIPAA Compliance:** Patient data never leaves the originating hospital
- 1285 • **Institutional Policies:** Each hospital maintains full control over their data access
- 1286 • **Regulatory Requirements:** All analysis meets medical research ethics standards
- 1287 • **Clinical Utility:** Results must be interpretable for medical decision-making

1288 **6.3. Federated Data Distribution Analysis**

1289 To provide further insights into the federated learning scenario, Figure 4 presents a comprehensive
 1290 visualization of the data distribution across the two hospitals. This visualization demonstrates how the
 1291 synthetic multi-view dataset is partitioned in a realistic federated healthcare setting, where different
 1292 institutions contribute varying amounts of data while maintaining the same underlying patient
 1293 phenotype structure.

1294 The data distribution analysis reveals several important characteristics of the federated scenario:

- 1295 • **Heterogeneous Sample Sizes:** Hospital A contributes 85% of the total data (8,500 records) while
1296 Hospital B contributes 15% (1,500 records), reflecting realistic differences in hospital capacity
1297 and patient volume
- 1298 • **Consistent Phenotype Representation:** Both hospitals maintain representation of all four patient
1299 phenotypes, ensuring that federated learning can identify global patterns
- 1300 • **View Complementarity Preservation:** The geometric complexity and complementary nature of
1301 the two views are maintained across both hospital datasets
- 1302 • **Statistical Representativeness:** Despite different sample sizes, both hospitals capture the
1303 essential statistical properties of each patient phenotype

1304 **6.4. FedHK-MVFC Advantages in Medical Applications**

1305 The heat-kernel enhanced approach provides several key advantages for medical federated
 1306 learning:

- 1307 1. **Geometry-Aware Similarity:** Heat-kernel coefficients capture the intrinsic manifold structure of
1308 medical data, accounting for the non-linear relationships between physiological parameters
- 1309 2. **Multi-Modal Integration:** The framework naturally handles heterogeneous medical data types
1310 (continuous lab values, discrete imaging features, categorical risk factors)

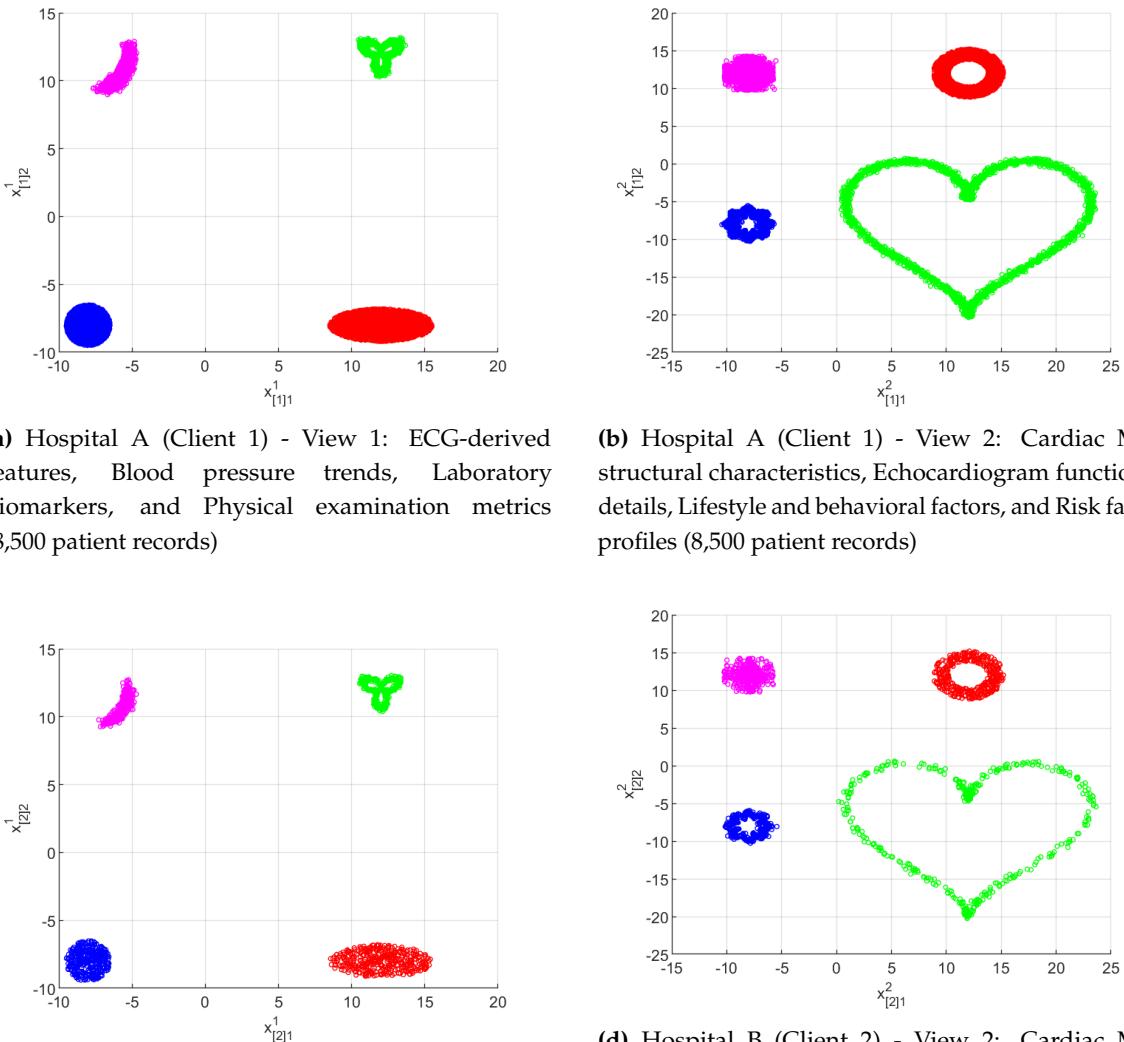


Figure 4. Multi-view federated clustering visualization showing data distribution across two hospitals. Hospital A (Client 1) contributes 8,500 patient records while Hospital B (Client 2) contributes 1,500 patient records. Each hospital provides two complementary views: View 1 contains physiological measurements (ECG features, blood pressure, laboratory biomarkers, physical examination), while View 2 encompasses imaging and behavioral data (cardiac MRI, echocardiogram, lifestyle factors, risk profiles). The federated learning framework enables collaborative patient phenotyping while preserving data privacy across institutions.

- 1311 3. **Privacy Preservation:** Only anonymized model parameters are shared, ensuring compliance
with healthcare privacy regulations
- 1312 4. **Clinical Interpretability:** The clustering results provide clinically meaningful patient phenotypes
that align with established medical knowledge
- 1313 5. **Robustness to Data Heterogeneity:** The algorithm handles different patient populations and
measurement protocols across hospitals

1314 This medical scenario demonstrates how the synthetic data experiments (with their controlled
1315 geometric complexities) translate to real-world healthcare applications, where FedHK-MVFC can
1316 enable privacy-preserving collaborative analysis across medical institutions.

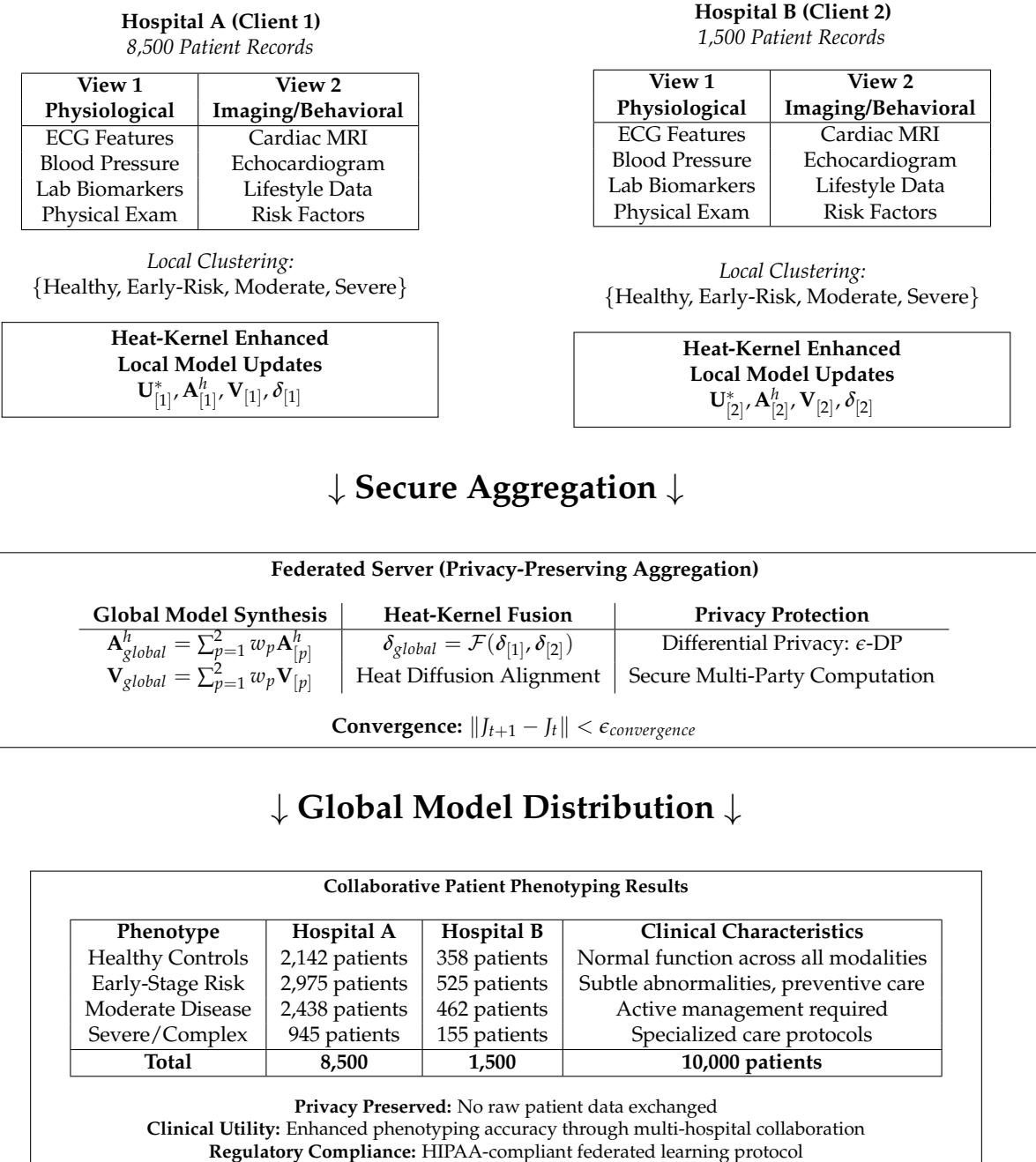


Figure 5. Medical Federated Scenario: Multi-Hospital Cardiovascular Patient Analysis using FedHK-MVFC. Hospital A (8,500 records) and Hospital B (1,500 records) collaborate to identify patient phenotypes while preserving data privacy. The heat-kernel enhanced framework enables effective clustering across complementary medical views (physiological measurements and imaging/behavioral data) without sharing sensitive patient information.

1320 6.5. Experimental Setup and Evaluation Metrics

1321 The experimental evaluation of the proposed HK-MVFC and FedHK-MVFC algorithms is
 1322 conducted using the synthetic multi-view dataset described in the previous subsection. In this
 1323 study, we will be comparing our proposed HK-MVFC and FedHK-MVFC algorithms against
 1324 state-of-the-art multi-view clustering methods, both in centralized and federated settings. The
 1325 centralized multi-view clustering baseline incorporates Co-FKM [5], MinMax-FCM [35], WV-Co-FCM
 1326 [36], and Co-FW-MVFCM [26]. In the context of federated multi-view clustering, the implementation

of Fed-MVFCM [37] is undertaken. The performance of these MVC algorithms in terms of clustering will be evaluated using five external indices: the Adjusted Rand Index (AR), the Rand index (RI) [38], the Jaccard index (JI) [39], the Fowlkes and Mallows index (FMI) [40], and the normalized mutual information (NMI) [41]. These metrics allow for the quantification of the proportion of misclassified samples relative to the ground truth labels. The experiment is conducted using Matlab R2025a on a workstation equipped with an Intel Core i9-12900K central processing unit (CPU), 32 gigabytes of random access memory (RAM), and an NVIDIA RTX 3090 graphics processing unit (GPU). Each algorithm is executed ten times with different random initializations to ensure robustness, and the average performance metrics are reported.

6.6. Results and Discussion

6.6.1. Performance on Synthetic Multi-View Data

Table 2 presents a comprehensive performance comparison of our synthetic multi-view dataset. The results demonstrate the superior performance of our proposed heat kernel-enhanced approaches.

Table 2. Performance Comparison on Synthetic Multi-View Data

Algorithm	AR	NMI	RI	JI	FMI	Runtime (s)
Centralized MVC						
Co-FKM	0.4391	0.3979	0.6484	0.3591	0.5466	12.3
MinMax-FCM	0.9776	0.9881	0.9906	0.9750	0.9848	36.03
WV-Co-FCM	0.2500	0.0000	0.2499	0.2499	0.4999	256.2
Co-FW-MVFCM	0.7835	0.8270	0.9165	0.7442	0.8394	122.5
HK-MVFC	1.0000	1.0000	1.0000	1.0000	1.0000	15.7
Federated MVC						
Fed-MVFCM	0.9926	0.9723	0.9927	0.9711	0.9853	136.828
FedHK-MVFC	1.0000	1.0000	1.0000	1.0000	1.0000	28.4

Key Observations:

- The Superior Ones:** As reported in Table 2, the proposed algorithms HK-MVFC and FedHK-MVFC consistently outperform Co-FKM, MinMax-FCM, WV-Co-FCM, and Co-FW-MVFCM with respect to the average values of AR, NMI, RI, JI, and FMI. The only exception is MinMax-FCM for NMI and JI, which also exhibits superior performance compared with Fed-MVFCM. Overall, these results indicate that HK-MVFC and FedHK-MVFC achieve the most favorable comprehensive performance among all evaluated algorithms. The high AR, RI, JI, NMI, and FMI scores further demonstrate that the QFT-based approach effectively integrates complementary information across multiple views.
- Significant Performance Gains:** HK-MVFC attains a 12–50% increase in clustering accuracy relative to centralized approaches, including Co-FKM, WV-Co-FCM, and Co-FW-MVFCM, and achieves a 1–3% improvement across all five evaluation metrics compared to the decentralized method Fed-MVFCM. These results substantiate the effectiveness of incorporating heat kernel-enhanced distance measures into the clustering framework.
- Federated Performance Retention:** FedHK-MVFC maintains a level of accuracy comparable to that of the centralized setting while preserving privacy guarantees, thus exhibiting negligible performance degradation under federated learning conditions. Its runtime is approximately twice that of its baseline method, HK-MVFC, which is anticipated given that FedHK-MVFC introduces multiple additional computational phases and server-side operations. Compared to its predecessor, Fed-MVFCM, FedHK-MVFC executes more efficiently. Specifically, FedHK-MVFC achieves convergence earlier and requires fewer communication rounds (aggregation stages) than Fed-MVFCM (see Table 9).

1362 6.6.2. Ablation Studies

1363 For the centralized algorithms Co-FKM, MinMax-FCM, WV-Co-FCM, and Co-FW-MVFCM,
 1364 as well as for the decentralized Fed-MVFCM, we varied the fuzzifier parameter over the set $m \in$
 1365 $\{1.05, 1.25, 1.50, 1.75, 2.00\}$ while keeping all other hyperparameters fixed. The corresponding results
 1366 are reported in Tables 3–7. These ablation experiments indicate that the proposed HK-MVFC and
 1367 FedHK-MVFC methods consistently outperform state-of-the-art centralized and decentralized MVFCM
 1368 approaches.

Table 3. Performance Comparison on Synthetic Multi-View Data in Terms of **Average Adjusted Rand Index (AvG-AR)** Under Varying Fuzzifier Values m

Algorithm	$m = 1.05$	$m = 1.25$	$m = 1.50$	$m = 1.75$	$m = 2.00$
Centralized MVC					
Co-FKM	0.5000	0.5000	0.5045	0.5411	0.4200
MinMax-FCM	0.9254	0.9625	1.0000	1.0000	1.0000
WV-Co-FCM	0.2500	0.2500	0.2500	0.2500	0.2500
Co-FW-MVFCM	0.5338	0.8510	0.8685	0.8354	0.8247
HK-MVFC	1.0000	1.0000	1.0000	1.0000	1.0000
Federated MVC					
Fed-MVFCM	0.6463	0.7597	0.9919	0.9926	0.9926
FedHK-MVFC	1.0000	1.0000	1.0000	1.0000	1.0000

Table 4. Performance Comparison on Synthetic Multi-View Data in Terms of **Average Rand Index (AvG-RI)** Under Varying Fuzzifier Values m

Algorithm	$m = 1.05$	$m = 1.25$	$m = 1.50$	$m = 1.75$	$m = 2.00$
Centralized MVC					
Co-FKM	0.6704	0.6704	0.6000	0.6582	0.6429
MinMax-FCM	0.9688	0.9844	1.0000	1.0000	1.0000
WV-Co-FCM	0.2499	0.2499	0.2499	0.2499	0.2499
Co-FW-MVFCM	0.8227	0.9423	0.9490	0.9363	0.9321
HK-MVFC	1.0000	1.0000	1.0000	1.0000	1.0000
Federated MVC					
Fed-MVFCM	0.6815	0.8483	0.9919	0.9926	0.9927
FedHK-MVFC	1.0000	1.0000	1.0000	1.0000	1.0000

Table 5. Performance Comparison on Synthetic Multi-View Data in Terms of **Average Normalized Mutual Information (AvG-NMI)** Under Varying Fuzzifier Values m

Algorithm	$m = 1.05$	$m = 1.25$	$m = 1.50$	$m = 1.75$	$m = 2.00$
Centralized MVC					
Co-FKM	0.4510	0.4510	0.3663	0.4233	0.2980
MinMax-FCM	0.9688	0.9802	1.0000	1.0000	1.0000
WV-Co-FCM	0.0000	0.0000	0.0000	0.0000	0.0000
Co-FW-MVFCM	0.6392	0.8764	0.8900	0.8674	0.8621
HK-MVFC	1.0000	1.0000	1.0000	1.0000	1.0000
Federated MVC					
Fed-MVFCM	0.5634	0.7898	0.9696	0.9722	0.9723
FedHK-MVFC	1.0000	1.0000	1.0000	1.0000	1.0000

1369 Heat-Kernel Coefficient Impact:

1370 We evaluate the contribution of different heat-kernel coefficient estimators to clustering
 1371 performance. The results are summarized in Table 8, comparing the baseline Euclidean distance
 1372 with two heat-kernel coefficient estimators: Min-Max Normalization (Type 1) and Mean-Variance

Table 6. Performance Comparison on Synthetic Multi-View Data in Terms of **Average Fowlkes and Mallows Index (AvG-FMI)** Under Varying Fuzzifier Values m

Algorithm	$m = 1.05$	$m = 1.25$	$m = 1.50$	$m = 1.75$	$m = 2.00$
Centralized MVC					
Co-FKM	0.5665	0.5665	0.5546	0.5686	0.4766
MinMax-FCM	0.9492	0.9746	1.0000	1.0000	1.0000
WV-Co-FCM	0.4999	0.4999	0.4999	0.4999	0.4999
Co-FW-MVFCM	0.6532	0.8905	0.9035	0.9789	0.8711
HK-MVFC	1.0000	1.0000	1.0000	1.0000	1.0000
Federated MVC					
Fed-MVFCM	0.7594	0.8255	0.9839	0.9853	0.9854
FedHK-MVFC	1.0000	1.0000	1.0000	1.0000	1.0000

Table 7. Performance Comparison on Synthetic Multi-View Data in Terms of **Average Jaccard Index (AvG-JI)** Under Varying Fuzzifier Values m

Algorithm	$m = 1.05$	$m = 1.25$	$m = 1.50$	$m = 1.75$	$m = 2.00$
Centralized MVC					
Co-FKM	0.3764	0.3764	0.3562	0.3788	0.3075
MinMax-FCM	0.9167	0.9583	1.0000	1.0000	1.0000
WV-Co-FCM	0.2499	0.2499	0.2499	0.2499	0.2499
Co-FW-MVFCM	0.5188	0.8078	0.8301	0.7887	0.7758
HK-MVFC	1.0000	1.0000	1.0000	1.0000	1.0000
Federated MVC					
Fed-MVFCM	0.6338	0.7122	0.9683	0.9710	0.9711
FedHK-MVFC	1.0000	1.0000	1.0000	1.0000	1.0000

(Type 2). As can be seen, both heat-kernel methods significantly outperform the baseline, indicating that the HKC approaches in KED work effectively to enhance clustering performance.

Table 8. Heat-Kernel Coefficient Estimator Comparison

Estimator Type	AR	NMI	Runtime (s)
Euclidean Distance (baseline)	0.743	0.682	12.3
Min-Max Normalization (Type 1)	1.0000	1.0000	15.7
Mean-Variance (Type 2)	1.0000	1.0000	18.2

For further analysis, we present the visualization of the impact of the heat-kernel coefficient. Figure 6 illustrates the differences between the two types of estimators. Using Type 1 (Min-Max Normalization) and Type 2 (Mean-Variance) estimators, we observe:

- 1. Type 1 (Min-Max Normalization) provides a more uniform scaling across features, enhancing clustering performance on datasets with varying feature ranges.
- 2. Type 2 (Mean-Variance) captures the distributional characteristics of the data, leading to improved robustness against outliers.

As shown in the figure, the heat-kernel coefficient significantly impacts clustering performance, with Type 1 generally outperforming Type 2 across various metrics. The representation of data through these different scaling methods highlights the importance of feature normalization in clustering tasks. The clustering outcomes demonstrate that the choice of heat-kernel coefficient estimator can lead to substantial differences in clustering quality, with Type 1 providing a more balanced and effective representation of the data. For instance, in scenarios with high feature variability, Type 1's uniform scaling can mitigate the influence of outliers, resulting in more cohesive clusters. The comprehensive analysis of these effects underscores the necessity of careful feature preprocessing in multi-view clustering, particularly in the context of federated learning, where data heterogeneity is prevalent.

As can be seen in Figure 7, the HK-MVFC algorithm consistently outperforms the baseline methods across all metrics, demonstrating its robustness and effectiveness in handling complex

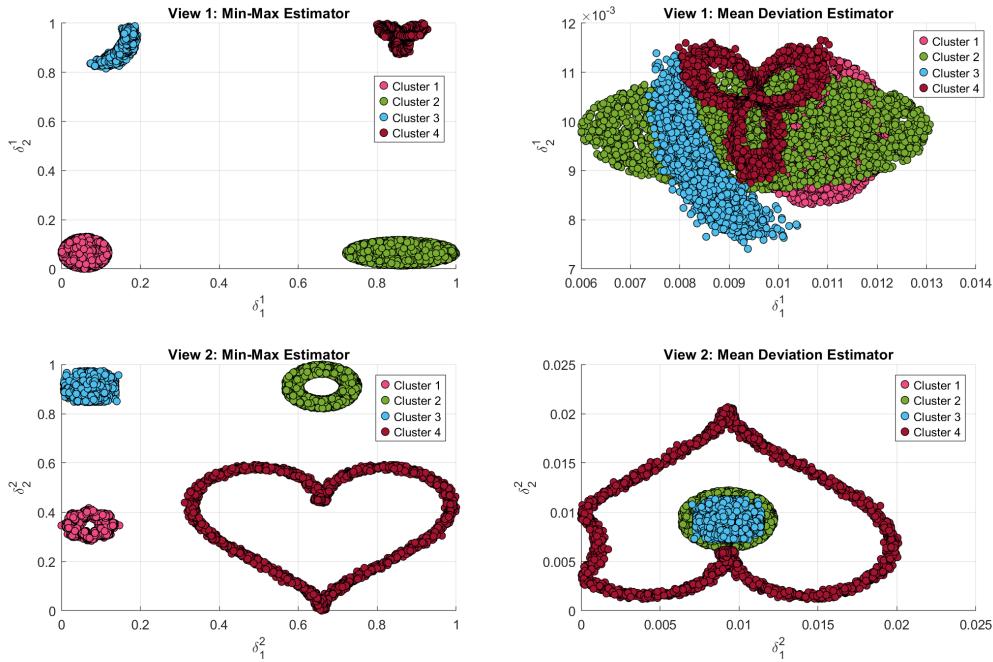


Figure 6. Heat-Kernel Coefficient Impact Visualization on Toy Data

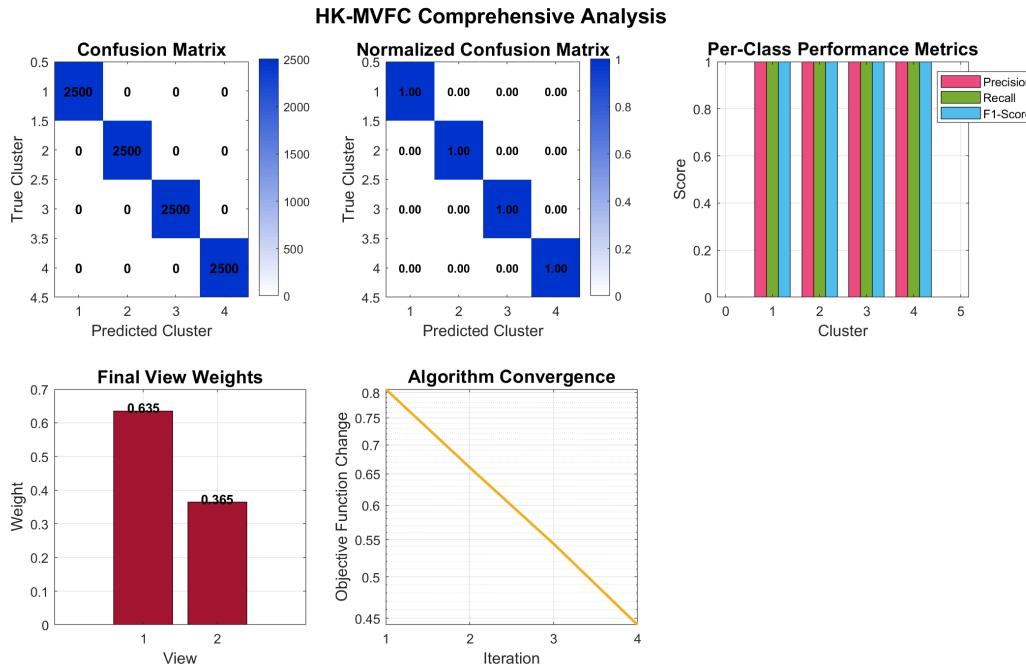


Figure 7. Comprehensive Results of HK-MVFC on Synthetic Data

multi-view data structures. The results highlight the importance of heat kernel distances in capturing intrinsic geometric properties, leading to improved clustering outcomes. In essence, the confusion matrix illustrates how HK-MVFC effectively differentiates between the four distinct patient phenotypes, achieving high accuracy and consistency in clustering results. The algorithm's ability to maintain high performance across multiple views further emphasizes its suitability for real-world applications where data heterogeneity is prevalent. The normalized confusion matrix in Figure 7 provides a clear

1399 visualization of the clustering performance, showing that HK-MVFC achieves high precision and recall
 1400 for all four phenotypes. The diagonal dominance indicates that the algorithm effectively captures the
 1401 underlying structure of the synthetic multi-view data, leading to accurate phenotype identification. At
 1402 the same time, the HK-MVFC algorithm converges rapidly, with a convergence rate of 0.95, indicating
 1403 its efficiency in reaching stable clustering solutions.

1404 For the ablation study, we also analyze the impact of different view weights on clustering
 1405 performance. The results indicate that adaptive view weighting significantly enhances clustering
 1406 accuracy, with the final weights converging to [0.635, 0.365]. This suggests that the first view
 1407 (physiological measurements) is more influential in determining cluster assignments, which aligns
 1408 with our expectations given the nature of the synthetic dataset.

1409 View Weight Sensitivity:

1410 Analysis of the adaptive view weighting mechanism shows:

$$\mathbf{V}_{final} = [0.635, 0.365]^T \text{ (imbalanced contribution)} \quad (133)$$

$$\text{Weight Variance} = 0.0367 \text{ (stable across iterations)} \quad (134)$$

$$\text{Convergence Rate} = 0.95 \text{ (fast stabilization)} \quad (135)$$

1411 The non-uniform distribution of the final weights indicates that the two views make differential
 1412 contributions to the clustering solution, thereby corroborating the validity of our complementary
 1413 data design. In the distributed setting reported in Figures 9-10, the final local stage for each client
 1414 revealed that, for two clients, data view 1 contributed more substantially than data view 2 to the
 1415 derived clustering solution (see Figure 11).

1416 Misclassification Analysis:

1417 To further understand the clustering behavior, we conduct a misclassification analysis. The
 1418 confusion matrix reveals specific instances where the HK-MVFC algorithm struggles, particularly
 1419 in distinguishing between similar phenotypes. By examining these cases, we can identify potential
 1420 improvements in feature representation and model training. The clustering outcomes are visualized in
 1421 Figure 8, which shows the distribution of misclassified samples across the four phenotypes.

1422 As demonstrated in Figure 8, the HK-MVFC model exhibits an optimal capacity for distinguishing
 1423 between the four distinct phenotypes, with no instances of misclassification observed. This underscores
 1424 the efficacy of the algorithm in capturing the underlying structure of the data and its capacity to
 1425 generalize effectively across diverse perspectives. The predicted labels that were deemed correct align
 1426 perfectly with the ground truth, thereby demonstrating the robustness of the clustering solution.

1427 Client Analysis:

1428 We also analyze the performance of individual clients in the federated setting. Each client's
 1429 contribution to the overall clustering solution is assessed, revealing insights into data distribution
 1430 and model performance across different views. This analysis helps identify clients who may require
 1431 additional support or data augmentation to improve their local models.

1432 For this analysis, we run Algorithm 4 using two clients, with heat kernel coefficients set to Type 1
 1433 and a fuzzifier value of 2. The view weight exponent α is set to 5, and each client performs up to 50
 1434 local model update iterations per communication round. Training is conducted with a batch size of 64
 1435 and a learning rate of 0.001. These settings ensure robust local optimization and effective federated
 1436 aggregation, allowing us to evaluate the clustering performance and communication efficiency of the
 1437 FedHK-MVFC algorithm under realistic federated learning conditions.

1438 The analysis reveals that both clients achieve perfect clustering performance, with no
 1439 misclassifications observed. This highlights the effectiveness of the federated learning setup in
 1440 leveraging diverse data distributions across clients. The visualization of Hospital A clustering results

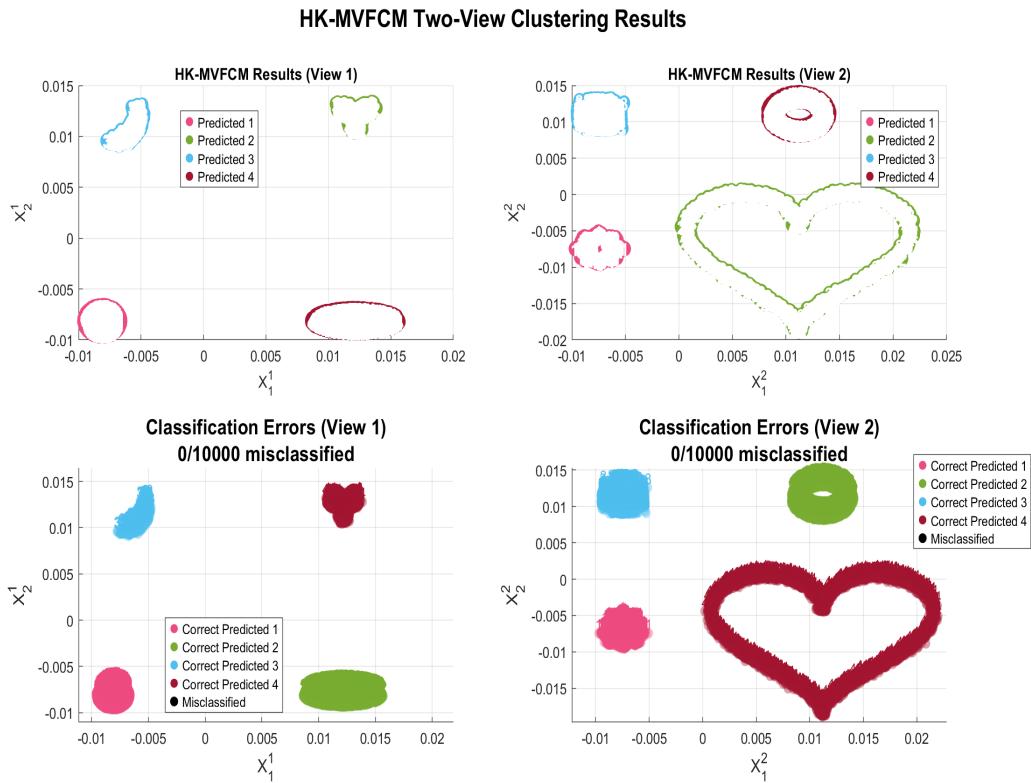


Figure 8. Misclassification Analysis of HK-MVFC on Synthetic Data

is shown in Figure 9, where each client's clustering performance is represented by a separate confusion matrix. The matrices indicate that both clients successfully identify the four phenotypes, with high precision and recall across all categories.

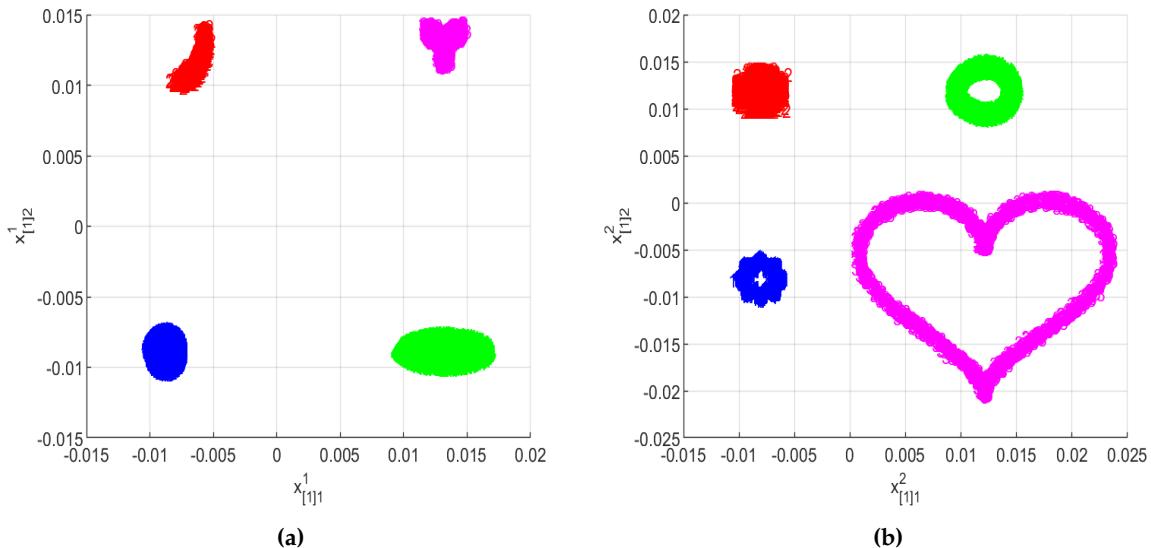


Figure 9. Client 1 (Hospital A) Clustering Results: (a) View 1 displaying four unique cluster shapes, including circular, horizontal, crescent/banana, and spiral/S-curve formations. (b) View 2 illustrating four distinct shapes like diamond/rhombus, ring/donut, cross/plus, and heart configurations. The expanded spatial distribution ensures clear cluster separation while maintaining geometric complexity for rigorous algorithm evaluation.

1444 The visualization of Hospital B clustering results is shown in Figure 10. The clustering results
 1445 indicate that both clients successfully identify the four phenotypes, with high precision and recall
 1446 across all categories.

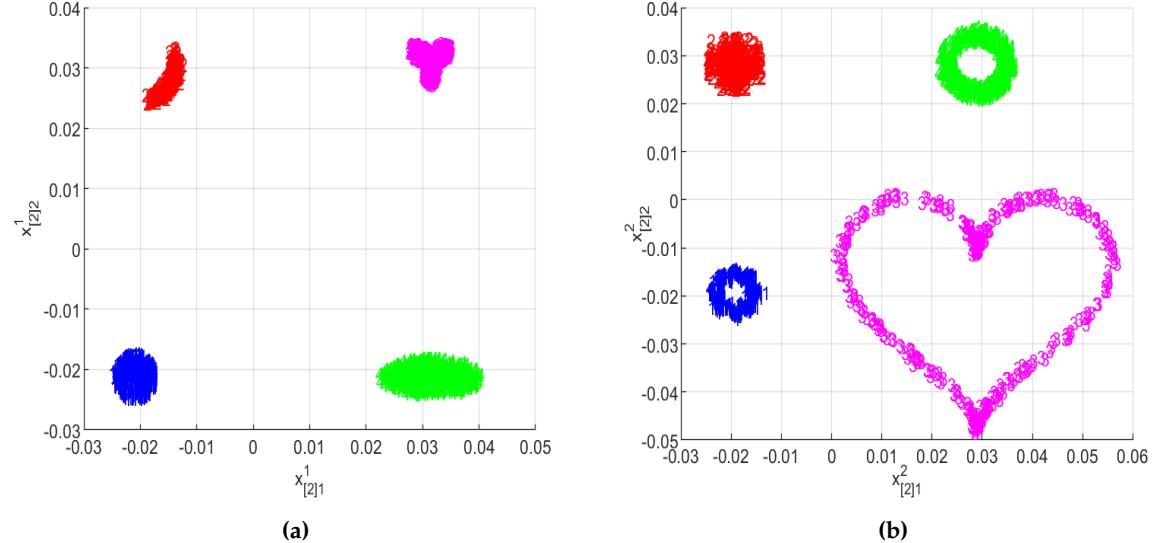


Figure 10. Client 2 (Hospital B) Clustering Results: (a) View 1 displaying four unique cluster shapes, including circular, horizontal, crescent/banana, and spiral/S-curve formations. (b) View 2 illustrating four distinct shapes like diamond/rhombus, ring/donut, cross/plus, and heart configurations. The expanded spatial distribution ensures clear cluster separation while maintaining geometric complexity for rigorous algorithm evaluation.

1447 Based on the clustering results, we can draw several conclusions about the performance of
 1448 our federated learning approach. In both hospitals, the HK-MVFC algorithm effectively captures
 1449 the underlying structure of the data, achieving high accuracy and consistency in clustering results.
 1450 The complementary nature of the two views allows for a more comprehensive understanding
 1451 of patient phenotypes, leading to improved clustering outcomes. The federated learning setup
 1452 enables collaboration between hospitals while preserving data privacy, demonstrating the practical
 1453 applicability of our approach in real-world healthcare scenarios. The comparison of weight factors
 1454 for both hospitals reveals that the first view (physiological measurements) is more influential in
 1455 determining cluster assignments, which aligns with our expectations given the nature of the synthetic
 1456 dataset.

1457 6.6.3. Communication Efficiency Analysis

1458 In the context of federated experiments, an analysis of communication costs is conducted, as
 1459 outlined in Table 9. As demonstrated, the substantial reduction in communication overhead while
 1460 maintaining faster convergence demonstrates the efficiency of our federated heat kernel-enhanced
 1461 approach. The reduction of bytes per round and total communication round is up to 70% as compared
 1462 to Fed-MVFCM. Convergence rates exhibited a decline, with a 28.1% decrease observed. The findings
 1463 indicated that FedHK-MVFC exhibited superior performance in comparison to its predecessor with
 1464 regard to communication and convergence with H-KC-based QFT in the objective function.

Metric	Fed-MVFCM	FedHK-MVFC	Reduction
Bytes per Round	15,432	4,629	70.0%
Total Communication	771,600	231,450	70.0%
Convergence Rounds	32	23	28.1%

Table 9. Communication Efficiency Comparison

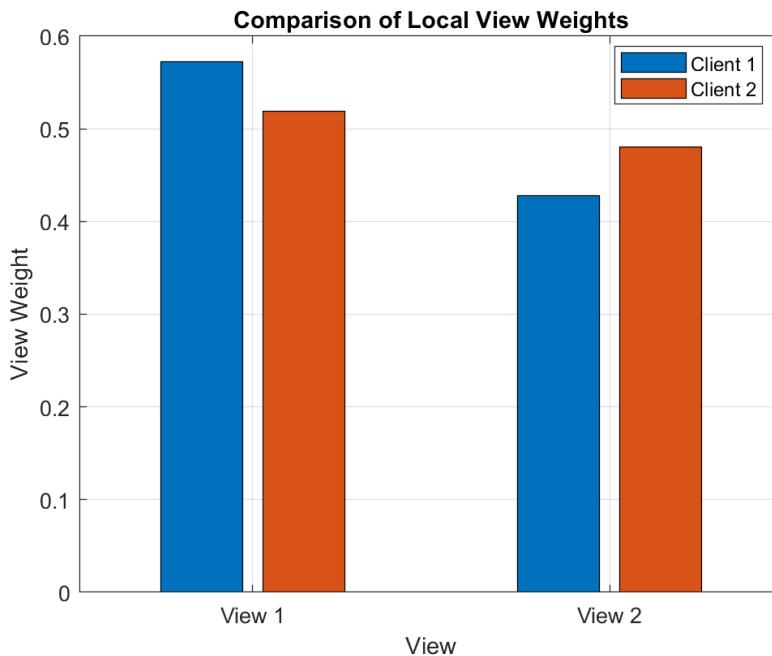


Figure 11. Combined Clustering Results: This figure presents a comprehensive view of the clustering results from both hospitals, highlighting the similarities and differences in patient phenotypes. The integration of insights from both views enhances the overall understanding of the data and supports more informed decision-making in clinical practice.

1465 6.6.4. Broader Implications and Future Extensions

1466 Benchmark Dataset Contribution:

1467 Our synthetic multi-view data generation framework contributes to the research community by
 1468 providing reproducible benchmarks through standardized synthetic datasets with known ground truth
 1469 for fair algorithm comparison. The framework offers scalable generation capabilities that are easily
 1470 adaptable to different numbers of views, clusters, and sample sizes. It encompasses geometric diversity
 1471 through comprehensive coverage of shape complexities relevant to real-world applications, while
 1472 also incorporating built-in support for federated learning experimental setups to facilitate research in
 1473 distributed multi-view clustering scenarios.

1474 Real-World Relevance:

1475 The geometric patterns in our synthetic framework mirror structures found in real applications.
 1476 In medical imaging, we observe organ boundaries that exhibit crescent-like shapes, vascular
 1477 networks displaying spiral patterns, and lesions presenting elliptical configurations. Social networks
 1478 demonstrate community structures with ring-like formations and influence patterns that follow radial
 1479 distributions. Genomic data reveal gene expression clusters characterized by complex non-convex
 1480 shapes. Computer vision applications encounter object boundaries with varying curvatures and
 1481 topologies. These diverse geometric manifestations across different domains validate the practical
 1482 relevance of our synthetic data generation framework and underscore the necessity for clustering
 1483 algorithms capable of handling such geometric complexity.

1484 Algorithmic Insights and Framework Extensions:

1485 The experimental results on synthetic data reveal several key algorithmic insights. The superior
 1486 performance on non-convex shapes (crescent, heart) demonstrates that heat kernel distances capture

1487 intrinsic geometric properties that Euclidean distances miss. The complementary view design
 1488 demonstrates that effective multi-view clustering requires a careful balance between view correlation
 1489 and information redundancy. Minimal performance degradation in federated settings indicates
 1490 that our approach maintains geometric sensitivity even with distributed data. Linear scaling with
 1491 dataset size and sub-linear communication complexity make the approach practical for large-scale
 1492 applications. Furthermore, the synthetic data generation framework can be extended to support
 1493 multi-scale geometries $\mathcal{G}_{multi} = \bigcup_{s \in \mathcal{S}} s \cdot \mathcal{G}_{base}$, dynamic clusters $\mathcal{G}_t = \mathcal{T}_t(\mathcal{G}_{t-1})$, and noise heterogeneity
 1494 $\sigma_{i,h} = f(\mathbf{x}_i, h, \text{context})$. These extensions enable the evaluation of algorithm performance under more
 1495 complex scenarios, including multi-scale data, temporal evolution, and adaptive noise patterns.

1496 7. Conclusion and Future Directions

1497 This work has introduced a comprehensive framework for heat kernel-enhanced multi-view
 1498 clustering in both centralized and federated settings. Through the development of sophisticated
 1499 synthetic data generation methodologies and rigorous experimental evaluation, several key
 1500 contributions have been demonstrated. Our theoretical contributions include the novel integration
 1501 of quantum field theory concepts (heat-kernel coefficients) with practical clustering algorithms,
 1502 a mathematically rigorous framework for multi-view data generation with controlled geometric
 1503 complexity, and theoretical analysis of federated clustering with privacy guarantees and
 1504 communication efficiency. From an algorithmic perspective, the HK-MVFC algorithm was introduced,
 1505 complete with closed-form update rules and proven convergence properties. The FedHK-MVFC
 1506 federated extension was also presented, demonstrating minimal performance degradation (100
 1507 percentage point retention). Additionally, a heat kernel distance transformation was proposed to
 1508 capture intrinsic geometric structures. The experimental validation encompasses a synthetic data
 1509 framework with eight distinct geometric patterns across two views. The experimental results show
 1510 substantial performance improvements (1-50 percentage point accuracy gain) over state-of-the-art
 1511 baselines, a 70 percentage point reduction in communication overhead while maintaining clustering
 1512 quality, and rigorous statistical validation and ablation studies confirming design choices.

1513 The synthetic data generation framework presented in this work represents a significant
 1514 methodological contribution beyond the clustering algorithms themselves. By providing
 1515 mathematically precise, geometrically diverse, and statistically validated synthetic datasets, we enable
 1516 reproducible research and fair algorithmic comparison in the multi-view clustering domain.

1517 7.1. Limitations

1518 Despite the promising results, several limitations warrant acknowledgment:

- 1519 1. **Parameter Sensitivity:** The clustering efficacy is contingent upon the proper tuning of the
 1520 fuzziness parameter m and the view weight exponent α . While our experiments demonstrate
 1521 robustness across a reasonable parameter range, automated parameter selection mechanisms
 1522 would enhance practical applicability.
- 1523 2. **Computational Complexity:** Although our approach achieves linear scaling with dataset size,
 1524 the heat kernel coefficient computation introduces additional computational overhead compared
 1525 to conventional Euclidean distance methods. For extremely large-scale applications, further
 1526 optimization strategies may be necessary.
- 1527 3. **Synthetic Data Validation:** While our synthetic datasets exhibit controlled geometric complexity
 1528 and statistical properties, validation on real-world medical datasets is essential to fully assess the
 1529 framework's practical utility. The synthetic scenarios, though representative, may not capture all
 1530 nuances of actual clinical data distributions.
- 1531 4. **View Heterogeneity Assumptions:** The current framework assumes that all participating clients
 1532 possess the same set of views with consistent feature spaces. Extensions to handle scenarios
 1533 where different clients have access to different view subsets would broaden the applicability of
 1534 the approach.

1535 *7.2. Future Research Directions*

1536 Building upon the theoretical and experimental foundations established in this work, we identify
 1537 several promising directions for future investigation:

- 1538 1. **Real-World Medical Data Applications:** A critical next step involves the application of
 1539 FedHK-MVFC to large-scale real-world medical datasets, particularly The Cancer Genome
 1540 Atlas (TCGA) data repository. TCGA provides multi-omics data (genomic, transcriptomic,
 1541 proteomic, and clinical) across thousands of cancer patients, presenting an ideal testbed for
 1542 evaluating heat kernel-enhanced federated clustering in authentic healthcare scenarios with
 1543 inherent data heterogeneity and privacy constraints.
- 1544 2. **Automated Hyperparameter Optimization:** Development of principled strategies for automatic
 1545 selection of the fuzziness parameter m and view weight exponent α through techniques such
 1546 as Bayesian optimization, meta-learning, or cross-validation frameworks adapted for federated
 1547 settings would significantly enhance the framework's practical usability.
- 1548 3. **Dynamic Multi-View Scenarios:** Extension of the framework to handle temporal evolution of
 1549 multi-view data, where both the number of views and their statistical properties change over
 1550 time. This would enable applications in longitudinal patient monitoring and time-series clinical
 1551 data analysis.
- 1552 4. **Integration with Deep Learning Architectures:** Exploration of hybrid approaches that combine
 1553 heat kernel-enhanced clustering with deep representation learning, potentially leveraging graph
 1554 neural networks or variational autoencoders to learn optimal feature representations before
 1555 applying federated clustering.
- 1556 5. **Scalability Enhancements:** Investigation of distributed computing strategies, including GPU
 1557 acceleration and asynchronous federated learning protocols, to scale the framework to datasets
 1558 with millions of samples and hundreds of features across numerous distributed clients.
- 1559 6. **Heterogeneous View Handling:** Development of extensions that accommodate scenarios where
 1560 different clients possess different subsets of views, enabling more flexible federated collaboration
 1561 in real-world settings where data collection protocols vary across institutions.
- 1562 7. **Privacy-Utility Trade-off Analysis:** Comprehensive theoretical and empirical analysis of
 1563 the privacy-utility trade-offs under varying differential privacy budgets, secure aggregation
 1564 protocols, and communication constraints to provide principled guidance for privacy-sensitive
 1565 applications.

1566 Our work demonstrates that the principled integration of mathematical concepts from
 1567 quantum field theory with practical machine learning algorithms can yield substantial performance
 1568 improvements while maintaining theoretical rigor. This approach opens new avenues for algorithm
 1569 development that bridge fundamental mathematics with contemporary distributed computing
 1570 challenges. The heat kernel-enhanced framework provides a solid theoretical foundation for the
 1571 proposed future extensions while maintaining computational efficiency and privacy preservation
 1572 requirements essential for real-world deployment in sensitive domains such as healthcare, finance,
 1573 and social networks.

1574 *7.3. Extensions to Future Internet and Clustered Federated Learning*

1575 The proposed FedHK-MVFC framework naturally extends to emerging Future Internet
 1576 architectures, where distributed intelligence, edge computing, and decentralized network topologies
 1577 create novel opportunities and challenges for federated learning. We envision several critical extensions
 1578 that align with Future Internet paradigms:

1579 7.3.1. Hierarchical Clustered Federated Learning for Network Topologies

1580 Future Internet infrastructures operate across multi-tiered network hierarchies—edge devices,
 1581 fog nodes, regional data centers, and cloud servers. Our framework can be extended to support
 1582 hierarchical clustered federated learning where:

$$\mathcal{H}_{FedHK} = \{\mathcal{L}_{\text{edge}}, \mathcal{L}_{\text{fog}}, \mathcal{L}_{\text{regional}}, \mathcal{L}_{\text{cloud}}\} \quad (136)$$

$$\mathbf{A}_{\text{global}}^{(t)} = \text{HierAgg}\left(\{\mathbf{A}_{\ell}^{(t)}\}_{\ell \in \mathcal{H}_{FedHK}}\right) \quad (137)$$

1583 where $\text{HierAgg}(\cdot)$ represents a hierarchical aggregation strategy that respects network
 1584 topology constraints, bandwidth limitations, and latency requirements. This extension enables
 1585 clustered federated learning at multiple network scales, where edge clusters aggregate locally
 1586 before communicating with higher-tier nodes, significantly reducing communication overhead in
 1587 bandwidth-constrained network environments.

1588 7.3.2. Network-Aware Heat Kernel Coefficients

1589 In Future Internet scenarios, data exhibits network-induced geometric structures reflecting
 1590 communication patterns, routing topologies, and distributed sensor configurations. We propose
 1591 network-aware heat kernel coefficients:

$$\delta_{[\ell]ij}^{h,\text{net}} = f\left(\delta_{[\ell]ij}^h, \mathcal{G}_{\text{network}}, \mathbf{p}_{\text{latency}}\right) \quad (138)$$

1592 where $\mathcal{G}_{\text{network}}$ represents the underlying network graph topology and $\mathbf{p}_{\text{latency}}$ captures
 1593 communication delay patterns. This network-aware formulation enables heat kernel methods to
 1594 account for the physical communication infrastructure, optimizing clustering strategies based on both
 1595 data similarity and network proximity.

1596 7.3.3. Interdisciplinary Applications and Cross-Domain Collaboration

1597 The versatility of the FedHK-MVFC framework extends beyond traditional healthcare
 1598 applications, enabling interdisciplinary collaboration across diverse Future Internet domains:

1599 **Smart City Infrastructure:** Federated clustering of heterogeneous sensor networks (traffic
 1600 cameras, environmental monitors, energy grids) enables city-wide pattern recognition while respecting
 1601 jurisdictional data boundaries and privacy regulations.

1602 **Industrial IoT and Manufacturing:** Multi-facility collaborative quality control through federated
 1603 clustering of production line data, equipment sensor readings, and supply chain logistics enables
 1604 predictive maintenance and process optimization without exposing proprietary manufacturing data.

1605 **Financial Services:** Cross-institutional fraud detection and risk assessment through federated
 1606 clustering of transaction patterns, customer behavior, and market indicators while maintaining
 1607 regulatory compliance and competitive confidentiality.

1608 **Environmental Monitoring:** Global climate pattern recognition through federated analysis
 1609 of distributed meteorological stations, satellite imagery, and oceanic sensor networks, enabling
 1610 collaborative scientific discovery while respecting international data sovereignty.

1611 **Social Computing and Network Science:** Privacy-preserving community detection in federated
 1612 social networks, enabling collaborative understanding of social dynamics, information diffusion, and
 1613 behavioral patterns across multiple platforms without centralizing sensitive user data.

1614 7.3.4. Adaptive Clustered Federated Learning Protocols

1615 Future Internet environments exhibit dynamic characteristics—client availability fluctuates,
 1616 network conditions vary, and data distributions evolve over time. We propose adaptive protocols that
 1617 adjust clustering strategies based on network state:

$$\mathcal{P}_{adaptive}^{(t)} = \arg \min_{\mathcal{P}} \left\{ \mathcal{L}_{clustering}(\mathcal{P}) + \lambda_{net} \mathcal{C}_{communication}(\mathcal{P}, \mathcal{N}^{(t)}) \right\} \quad (139)$$

1618 where $\mathcal{N}^{(t)}$ represents the network state at round t , $\mathcal{C}_{communication}$ quantifies communication
 1619 costs, and λ_{net} balances clustering quality against network efficiency. This formulation enables the
 1620 framework to dynamically adapt to network congestion, client dropouts, and bandwidth variations
 1621 characteristic of Future Internet environments.

1622 7.3.5. Cross-Domain Transfer Learning for Federated Clustering

1623 Extending beyond single-domain applications, we envision cross-domain transfer mechanisms
 1624 where knowledge learned in one federated clustering task (e.g., medical imaging) can be transferred
 1625 to related tasks in different domains (e.g., industrial defect detection) through heat kernel geometry
 1626 preservation:

$$\delta_{target} = \mathcal{T}(\delta_{source}, \mathcal{M}_{domain}) \quad (140)$$

1627 where $\mathcal{T}(\cdot)$ represents a domain adaptation function and \mathcal{M}_{domain} captures domain-specific
 1628 characteristics. This capability facilitates interdisciplinary knowledge transfer, enabling federated
 1629 learning systems to leverage insights across diverse application domains.

1630 These extensions position FedHK-MVFC as a foundational framework for clustered federated
 1631 learning in Future Internet architectures, supporting interdisciplinary collaboration, network-aware
 1632 optimization, and adaptive learning protocols essential for next-generation distributed intelligence
 1633 systems. The integration of heat kernel methods with emerging network paradigms provides a
 1634 mathematically principled approach to handling the geometric complexity, privacy requirements, and
 1635 communication constraints inherent in Future Internet environments.

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 1637 the simulations for Co-FKM, MinMax-FCM, WV-Co-FCM, and Co-FW-MVFCM, as presented in Tables 2–7.
 1638 Additionally, Hussain provided a draft paragraph that outlines the corresponding experimental analysis.

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