

Implementation Guidelines for Biotechnology Policy 2022-27

Gujarat State Biotechnology Mission Department of Science & Technology Government of Gujarat









Implementation Guidelines for Biotechnology Policy

2022-27

Government of Gujarat
Department of Science & Technology

Government Resolution No: BTP/072022/446/BT

Sachivalaya, Gandhinagar Dated: 10th August, 2022

Read: 1. GR of DST dated 15th February, 2022, No.: BTP/022022/446/BT

1 Preamble

Government of Gujarat had announced 'Gujarat State Biotechnology Policy (2022-27)' (referred hereinafter as the 'Biotechnology Policy' or 'BT Policy' or 'this Policy'), to encourage investments in the Biotechnology sector in the State. The procedures as well as necessary approval mechanisms to operationalize the 'Biotechnology Policy 2022-27' are elaborated in this 'Implementation Guidelines'.

2 Resolution

In view of the Vision, Mission, Objectives, Targets, and incentives outlined under Biotechnology Policy, the Government of Gujarat is pleased to introduce 'Implementation Guidelines for Gujarat State Biotechnology (BT) Policy 2022-27' which will come into force from the date of issuance of this Government Resolution (GR) and will remain in operation till the operative period of the Biotechnology Policy.

3 Definitions

Definitions under Gujarat State Biotechnology (BT) Policy 2022-27, Government Resolution No.: BTP/022022/446/BT dated 15 February 2022 shall be applicable.

3.1 New Biotechnology (BT) Unit

Entity which has been incorporated for manufacturing of BT products or delivery of BT services on or after the date of announcement of this Policy, anywhere in Gujarat.

Notwithstanding the definition of Existing BT Unit, a BT Unit which has been incorporated for manufacturing of BT products or delivery of BT services in not more than 12 months prior to the date of announcement of BT Policy 2022-27 anywhere in Gujarat.

An existing BT unit, making a separate investment in an independent identifiable location within the State, not amounting to any relocation of its plants or other assets from anywhere within the State, shall be considered as New Biotechnology (BT) Unit.

An existing unit from outside of the State, making a separate investment in the State, shall

also be considered as New Biotechnology (BT) Unit.

3.2 Financial Institution

The financial institutions recognized by the Reserve Bank of India, subject to applicability of revisions/ corrigendum/ amendments as notified from time to time by Reserve Bank of India.

3.3 Term Loan

Term loan means loan sanctioned by the financial institution/ Bank (Except NBFC) for the acquisition of GFCI of the unit. However, only the amount disbursed, not exceeding the GFCI as defined in this Policy, against the sanctioned term loan, will be considered for the incentives under the scheme.

3.4 Commercial Operations Date

The first bill of sale by an entity or first date of contract of services and as appended in point 10.3 hereinafter.

3.5 Operational Assistance Period

Operational Assistance Period shall mean a duration of five (5) years period starting from Commercial Operations Date.

3.6 Beneficiary

Beneficiary shall mean an entity that has submitted acceptance on 'Approval for Assistance' including the Conditions in Clause 13 of Implementation Guidelines, to the Competent Authority.

3.7 Diversification

Notwithstanding the definition of Expansion/ Diversification, Diversification shall mean an investment made in GFCI for a Biotechnology product/ service other than earlier product(s)/ services of the entity.

3.8 Contract Research Organizations (CROs)

CROs are firms/ vendors that undertake contractual tasks of more precise and/ or focused R&D/ Testing functions for the biotechnology industry.

4 Eligibility Criteria/ Conditions

4.1 An applicant shall be considered eligible for availing assistance under this Policy for a period of 5 years from the date of commencement of its commercial operations,

- provided it complies to the criteria defined vide Para 5.12, Conditions vide Para 9 (point no.3 to7) of BT Policy 2022-27, subject to submission of valid application in prescribed format, during the operative period of policy (i.e. on or before 31.03.2027).
- **4.2** Existing Biotechnology Units undertaking Expansion, and/ or Existing Units undertaking diversification shall be considered eligible for incentives under this Policy, subject to fulfilment of the following criteria:
 - 4.2.1 Actual expansion activities shall amount to at least 10% or more in terms of installed or service capacity. Installed or service capacity as of the date of its board approval for undertaking expansion shall be considered as baseline installed or service capacity for establishing percentage of expansion under the project.
 - 4.2.2 Maintain separate Book of Accounts for availing incentives under this policy.
 - 4.2.3 Expenditure incurred must be distinct and in an independent identifiable location within the State, not amounting to any relocation of its plants or other assets from anywhere within the State.
- **4.3** BT Units with date of incorporation or date of commencement of commercial production, (a) falling within the operative period of the policy; (b) falling within 12 months preceding the date of announcement of BT Policy 2022-27, shall be considered eligible for incentives under this policy.
- 4.4 Existing BT Units undertaking Expansion and/or Existing Units undertaking Diversification with date of approval of the Board for undertaking Expansion/ Diversification, (a) falling within the operative period of the policy; (b) falling within 12 months preceding the date of announcement of this Policy, shall be considered eligible for incentives under this policy.
- 4.5 All beneficiaries under this Policy, will also get benefit for one-time expansion during the operative period of this Policy, if they so undertake.



5 Industry Connect Platform and Query Resolution

- **5.1** Industry Connect (IC) Platform shall be developed as an online platform to facilitate applicants of the Biotechnology Policy, apart from connecting all stakeholders of Biotechnology sector including Individuals, Companies, and Institutes.
- **5.2** Applicants can seek clarifications regarding their eligibility, etc. apart from filling up information in prescribed format (<u>Annexure 1A</u>) on the online IC Platform.
- 5.3 The Nodal Agency shall clarify, and if the need be, seek additional information/documents from the applicant; and/ or seek recommendations of the Technical Advisory Committee [TAC].
- 5.4 If the same applicant, having commenced commercial production, wishes to become a beneficiary under BT Policy 2022-27, the applicant may apply in prescribed formats viz. Annexure 2A, 2B, 2C & 2D through online platform, where the already uploaded information on IC Platform shall get auto populated.

6 Procedure for request for In-principle approval

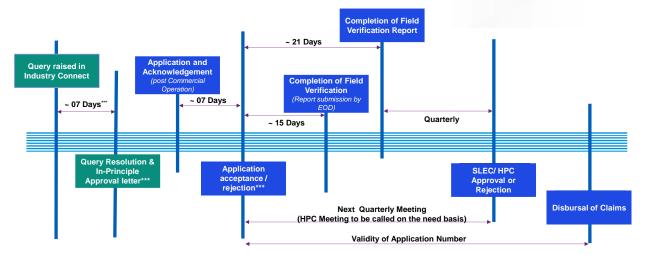
- 6.1 Post successful submission of industry connect module, applicant can submit the request for In-principle approval in the form of Annexure 1B through online portal.
- **6.2** Applicant shall have to submit the requisite supporting documents as mentioned in Annexure 1B or being called upon from the nodal agency, if any, during the course of the scrutiny of their request.
- 6.3 Nodal agency shall target to issue the In-principle approval within seven (7) days from receipt of request from applicant, subject to necessary compliance in all respect.
- 6.4 Nodal agency, if found desirous, may refer any case/s to Technical Advisory

 Committee [TAC], during the course of scrutiny. In such case, the In-principle

approval from Nodal agency shall be subject to recommendation of TAC. In such scenario, timeline for issuance of In-principle approvals shall vary.

7 Procedure for Application, issue of Application number, Verification and Approval

- **7.1** Upon successful commercialization, Applicant may submit Application in the format prescribed vide <u>Annexure 2A, 2B, 2C and 2D</u>, including uploading documents.
- 7.2 The Nodal Agency shall scrutinize the application and documents submitted by the applicant, raise queries (that are expected to be resolved by the applicant) and provide an Application Number upon successful resolution of all queries within 7 days; or may reject the Application. The Application Number shall remain unchanged through-out the application process.
- 7.3 The details of eligible and in-eligible components are annexed herewith in Annexure 5.
- **7.4** Application shall include Capital Assistance Claim vide Annexure 2A, 2B and 2D and may raise Operational Claims vide Annexure 2C on periodic basis, upon incurring actual operating expenses for eligible items.
- 7.5 The application shall include details regarding Claim towards Capital Expenses incurred (in 24 months prior to date of Commercialization), as well as the same projected (within 12 months from the date of Commercialization) in the form of Projected Supplementary (Capital) Claim and projected Operational expenditure for entire Operational Assistance Period vide Annexure 2B.
- 7.6 The Nodal Agency shall undertake Physical Verification of Assets through its designated team (within 15 days) and put up the case before the Committee in its ensuing meeting, for its approval. Entity may be called for a presentation before the Committee.
- 7.7 The Committee shall record its decision towards approval of assistance that shall be communicated to the Applicant vide <u>Annexure 3</u> or reject the application citing reasons.
- **7.8** It will be endeavoured to schedule meeting of the Committee leading to decision within 90 days of Reporting of Verification of Physical Assets.



Note: The timeliness are subject to compliances by applicant from time to time

8 Submission of Claims, Verification and Disbursal of Claims

- 8.1 Since the entities would have necessarily undertaken commercialization before application and the same would be approved by the Committee; Capital Assistance shall be disbursed in Twenty (20) Quarterly instalments, upon the applicant complying with periodic submissions (of Progress Reports, Certificates, etc. as prescribed) mandated.
- **8.2** The Entity may apply only once, towards the Supplementary Capital Claim (Capital Expenses borne within 12 months from Commercial Operations Date) in a format prescribed (Annexure 4).
- **8.3** The total amount claimed under Supplementary Capital Claim shall not exceed 10% of the amount approved towards Capital Claim.
- **8.4** The nodal agency shall undertake scrutiny of claims including physical verification of the assets, claimed towards the Supplementary Capital Claim; and may approve the disbursement of the claims in the remaining quarterly instalments; if the findings are in concurrence to the approvals accorded.
- 8.5 The Operational Assistance and Other Assistances shall be considered for a period of 5 Years from Commercial Operations Date and the Beneficiary may claim Operational and/ or Other Assistances on quarterly, half-yearly or annual basis.
- **8.6** Bills/ payment receipts spread across fiscal quarter/ fiscal half year/ fiscal year shall be submitted in the respective application.
- 8.7 Only amount paid by the beneficiary directly to EPFO and in compliance with EPFO

^{***} for TAC referred cases (~30 days)

Notification No. C-I/011(16)2020-21/ABRY/1179 and applicable amendments thereon, shall be considered eligible for EPF Assistance.

9 Schedule of Disbursement

	Assistance Type	Disbursement Schedule
1	Capital Assistance- Application	On approval of Application by the Committee Twenty(20) Quarterly instalments starting with subsequent Quarter of the financial year spread over operational assistance period, subject to fulfilment of applicable terms and conditions
2	Capital Assistance – Supplementary Capital Claim	Total eligible assistance under Supplementary Capital Claim shall be spread across all remaining quarterly instalments of the Capital Assistance approved under the Application
3	Operational Assistance	On approval of Application by the Committee
4	Employment Generation Incentive	Beneficiary shall choose any one option amongst quarterly or half-yearly or annual Operational
5	Employee Provident Fund (EPF) Assistance	Assistance Claim, which shall be applicable for the duration of 5 years from Commercial Operations
6	Interest Subsidy on Term Loan	Date.
7	Electricity Duty	

10 General Instructions for Claim of Incentives under this Policy

- **10.1** The incentives under Biotechnology Policy 2022-27 shall be admitted on reimbursement basis and hence, shall need documentary evidence towards payment/ transactions in the name of the Entity and have a valid GSTIN.
- 10.2 Designated/ Authorized representative who has signed the application shall represent the entity in all matters related to application, communications, clarifications, claims, etc. with the Nodal Agency. Designated/ Authorized representative may also appoint an individual for liaison with the Nodal Agency through written communication by submitting Power of Attorney (POA). In case of any change in the authorized representative, the entity needs to notify the department by submitting the revised POA.
- 10.3 Date of first invoice or date of commencement of services as per the agreement executed between Entity & Client/ Customer/ Business, shall be considered as the Commercial Operations Date.
- **10.4** Cost considered under eligible capital/ operational/ other expenditure shall include all applicable taxes paid/ incurred.
- 10.5 Department may withhold disbursements due to non-availability of funds/ budget or

any other unforeseen circumstances for any given period. In such event, department may disburse cumulative amount from such withheld instalment(s) with the subsequent instalment(s).

11 Committee for Approvals

Special Projects, Mega Projects, and Ecosystem Strengthening Projects shall be approved by a High-Powered Committee [HPC] chaired by the Chief Secretary. Rest other projects shall be approved by the State-Level Empowered Committee [SLEC], chaired by ACS/ PS/ Secretary, Dept. of Science & Technology. State-Level Empowered Committee [SLEC] shall be convened tentatively, once every quarter and High-Powered Committee [HPC] shall be convened on need basis or as decided by the Chairperson.

12 Competent Authority

12.1 For undertaking procedures & processes for grant of approval and disbursement of assistance, the Mission Director, GSBTM will be the Competent Authority and will act emulating single window clearance mechanism for the benefits under this Scheme.

13 Conditions

- **13.1** Any applicant will have the option of availing of incentives either under this Biotechnology Policy 2022-2027 or the Industrial Policy 2020 of Government of Gujarat. However, applicant will be entitled to any or all eligible incentives under any one Policy of the State Government.
- **13.2** The applicants who wish to avail benefits under BT Policy 2022-27 shall submit their application as per <u>Annexure 2A, 2B, 2C & 2D</u> within the operative period of Policy (on or before 31st March 2027) in order to be eligible for availing assistance.

14 Interpretation

Any interpretation or clarification under the scheme will be decided by the Department of Science and Technology and decision thereof would be final and binding to all.

15 Power to amend the scheme document

Notwithstanding anything contained in the foregoing paras, the Government holds right to review and amend various aspects of the scheme document from time to time.

16 Budgetary Provision

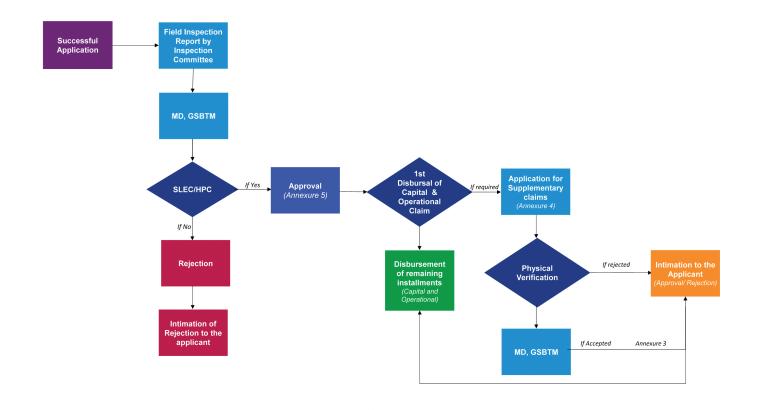
The expenditure on this account shall be made from the grants under the following budget head: "Major Head: 3425 – Sub Major Head: 60 – Minor Head: 004 – Sub Head: 01 – Item No (8) Biotechnology Policy Incentive".

This order is issued with the concurrence of Finance Department vide its note on this department's file of even number.

By order and in the name of the Governor of Gujarat.

(Vijay Nehra)
Secretary to the Government of Gujarat,
Department of Science and Technology

Application Process flow under Biotechnology Policy 2022-27



List of Annexures

Sr. No.	Title
Annexure 1A	Industry Connect Module
Annexure 1B	Request for In principle approval
Annexure 2A	Format for submitting Application for availing assistance under BT Policy 2022-27
Annexure 2B	Format for Capital Assistance, Projected Operational and Projected Supplementary Claim
Annexure 2C	Format for Operational Assistance Claim Application
Annexure 2D	Undertaking and Checklist for documents to be submitted as certified true copy as part of Application for availing assistance under BT Policy 2022-27
Annexure 3	Format for Approval for Assistance
Annexure 4	Format for Final Supplementary Capital Assistance Claim Application
Annexure 5	Details of Eligible and Ineligible components

For viewing and submission of the Annexures, please log on to https://btm.gujarat.gov.in

* * * * * *

Annexure 1A: Industry Connect Module

Industry Connect: Individual

Gene	eral Information							
1.	Name	Prefix	First	Middle	e Last			
2.	Education Level							
	☐ Graduate ☐ Postgraduate ☐ PhD ☐ post-Do	ctoral □ Oth	er, please s	pecify _				
3.	Sub-Sector(s)							
	☐ Bio-Industrial ☐ Bio-Agricultural ☐ Bio-Pharmaceutical ☐ Bio-Services & IT ☐ Environment Biotech ☐ Other, please specify							
4.	Mobile Number (Validate)							
5.	E-mail ID (Validate)							
6.	Organization (Optional)							
7.	Designation (Optional)							
8.	Within India							
	Current Residence				aluka, District, ropdown list)			
9.	Outside India							
	Current Residence	City	St	ate	Country			

Note: Automatic Login User ID Creation with necessary security protocols

Industry Connect: Organization

1.	Details of the Company/ Organizat	ion/ Instit	ute		
1.1	Entity Name (As per the certificate of incorporation OF other relevant proof provided)	2			
1.2	Type of Legal/ Corporate Entity	☐ Private Limited ☐ Public Limited ☐ Limited Liability Partnership ☐ Other, please specify			
1.3	Registered Address (As per the certificate of incorporation)	Locality) (City/ Tov	•	iber, Building/ in, Taluka, Dis opdown list)	
1.4	Website				
2	Details of Representative(ies) of the	ne Entity			
2.1	Designated Representative of the Entit	У			
	Name	Prefix	First	Middle	Last
	Designation				
	Tenure	From:		То:	
	Mobile / Telephone (Validate)				

	ID proof: Aadhar Card (Validate)								
	Official E-mail ID (Validate)								
2.2	Authorized Representative of the Entity								
	Name	Prefix	First	Middle	Last				
	Designation								
	Duration	From:		To:					
	Mobile / Telephone (Validate)								
	ID proof: Aadhar Card (Validate)								
	Official E-mail ID (Validate)								
3.	Turnover:								
4.	Employment Details:								

5	Proje	ct Deta	ils						
	R&D	Mfg.	For.	Ser.	Tra.	Category	B2B	B2G	B2C
_						☐ Bioplastics			
stria						□ Biofuels			
Bio-Industrial						☐ Biopolymers			
3io-I						□ Fermentation			
						☐ Other, pls specify			
						☐ Vaccine Production			
ical						☐ Stem Cell Culture			
ceut						□ Formulations			
rma						□ Biosimilar			
Bio-Pharmaceutical						□ Biologics			
						☐ Med Devices			
						☐ Other, pls specify			
						☐ Bio-pesticides			
ė						□ Bio-fertilizer			
Bio-Agriculture						☐ Plant Tissue Culture			
gric						☐ Mushroom Culture			
io-A						☐ Hybrid Seeds			
В						☐ GM crops			
						☐ Other, pls specify			
ą						☐ Bioinformatics			
Bio- Service	×					☐ Precision Medicines			
Ÿ						☐ Gene Splicing			

						☐ Molecular Breeding -Animals				
						☐ Clinical Research				
						□ Big data				
						☐ Stem Cell Storage				
						☐ Antimicrobial Resistance				
						☐ Other, pls specify				
						☐ Bio-insecticides				
int						☐ Bio-compost				
Bio-Environment						□ Waste Management				
viro						☐ Bio Sequestration				
o-En						☐ Climate Change				
Bj						□ Clean Technology				
						☐ Other, pls specify				
a l						□ BSL 3 Lab & BSL 4 Lab				
Infrastructure						□ Clinical Trial				
stru						□ ETP/ ZLD				
nfra						□ Plug & Play Facility				
Ι		\boxtimes				☐ Other, pls specify				
6.	Any	/ Query	•							
7	If you wish to avail incentives under BT Policy 2022-27 (If Yes, then access to Enrolment Application) (If No, then applicant can submit the Industry Connect module) Yes □ No □									
	For Query For In-principle approval For Application (Click here) (Click here)									

Annexure 1B: Request for In-principle Approval

Details of Entity

1.	Details of the Company/ Organizat	tion/ Institu	ıte				
1.1	Entity Name (As per the certificate of incorporation Of other relevant proof provided)	2					
1.2	Type of Legal/ Corporate Entity			ership			
1.3	Registered Address (As per the certificate of incorporation)	Locality) (City/ Tow	(Survey/ Plot/ Door Number, Building/ Block, Street, Locality) (City/ Town/ Village, Pin, Taluka, District, State - To be selected from a dropdown list)				
1.4	Website						
2	Details of Representative(ies) of the	ne Entity					
2.1	Designated Representative of the Entit	:y					
	Name	Prefix	First	Middle	Last		
	Designation						
		□ Appointment letter □ Board Resolution□ Others, pls specify					
	Tenure	From:		То:			
	Mobile / Telephone (Validate)						
	ID proof: Aadhar Card (Validate)						
	Official E-mail ID (Validate)						
2.2	Authorized Representative of the Entity	У					
	Name	Prefix	First	Middle	Last		
	Designation						
	Supporting Document	□ Power of Attorney □ Other, specify					
	Duration	From:		To:			
	Mobile / Telephone (Validate)						
	ID proof: Aadhar Card (Validate)						
	Official E-mail ID (Validate)						

3	Broad C	Classifi	cation						
	R&D	Mfg.	For.	Ser.	Tra.	Category	B2B	B2G	B2C
Bio-Industrial &						☐ Vaccines including recombinant vaccines for use in humans or animals			
						☐ Recombinant gene therapy products including nucleic acids, proteins, and combinations thereof, viruses, or genetically engineered microorganisms			
						☐ Molecular and Genetic Diagnostics (providing Business to Business services)			
						☐ Biochips, Biosensors and Biomedical Devices based on biotechnology			
						☐ Stem Cell and Cell-Culture			
						□ RNA Interference (RNAi)			
						☐ Products of Synthetic Biology			
ıdustrial						☐ Probiotics and other Biotechnology-based nutraceuticals			
						☐ Bio-fertilizer, Bio-pesticides, and similar products			
						☐ Bioplastics			
						□ Biofuels			
io-Ir						□ Nano-Biotechnology			
8						☐ Any product/ technology deemed eligible by the Technical Advisory Committee (TAC)			
						'Biotechnology (BT) Product' which uses or is derived by using □ (a) Living systems, □ (b) Enzymes, □ (c) Proteins □ (d) Biocatalysts □ (e) Biomolecules and any of the following processes: □ Genetic Engineering, □ Gene Editing □ Protein engineering, □ Tissue Engineering □ Genomics, □ Proteomics, □ Proteomics, □ Synthetic Biology, □ Pharmacogenomics, □ Metabolomics □ Nano-Biotechnology based process □ Bio-process Engineering,			

3	Broad (Classifi	cation						
	R&D	Mfg.	For.	Ser.	Tra.	Category	B2B	B2G	B2C
						☐ Fermentation ☐ Enzymatic processes, ☐ Biotransformation ☐ Tissue Culture ☐ Molecular Breeding ☐ Contract Research by CROs using Biotechnology process or products (providing Business to Business services) ☐ Any process deemed eligible by the Technical Advisory Committee (TAC)			
						Gene Splicing			
						Stem Cell Therapy			
						Antimicrobial Resistance (AMR)			
						Molecular Breeding of Animals			
						Clinal Testing including Animal Testing Facilities			
						Genome Sequencing			
						BSL-3 Labs			
						Plug and Play Facilities			
						Accreditation, Testing & Certification Laboratories			
						□ Other, pls specify			
				Deta	ails of	Entity Applying for Assistance			
1	Project Type: New Mega Project Ecosystem Strengthening Project Special Project Relocation (From outside State) Relocation (From outside Country) Diversification Expansion								
2	-		ncurre	d towa	rds GF	FCI/ Estimated Capital Claim			
	Parame					Estimated Cost (INR in La	cs)		
2.1	Registra			p Duty					
2.2	Plant an		inery						
2.3	Building								
2.5	Technol	ogy Up	gradatio	n & Ac	quisitio	n			
2.6	Utilities								
						Sub-total			

3	Mandatory documents
	 □ Incorporation certificate and PAN card of applicant entity □ Project brief on company letter head (pls explain in detail the process adopted by applicant) □ copy of Promoter's PAN and Aadhar card
×	I/ We hereby confirm that the contents mentioned above are true to the best of my/ our knowledge. I/We am/are responsible for any information found incorrect or misrepresented subsequently and liable for auto rejection of in principle approval.
	If you wish to avail incentives under BT Policy 2022-27 (If yes, then access to Application) (If no, then applicant can submit this request) Yes □ No □
	Submit For Application (Click here)

Annexure 2A – Format for submitting Application for availing assistance under BT Policy 2022-27

1.	Details of the Company/ Organiza	ation/ Institu	ute						
1.1	Entity Name (As per the certificate of incorporation of the certificate of incorporation of the relevant proof provided)	OR							
1.2	Type of Legal/ Corporate Entity			ership					
1.3	Registered Address (As per the certificate of incorporation)	Locality) (City/ Tow	ot/ Door Numi on/ Village, Picted from a dro	n, Taluka, Dis					
1.4	Website								
2	Details of Representative(ies) of	the Entity							
2.1	Designated Representative of the Ent								
	Name	Prefix	First	Middle	Last				
	Designation								
	Supporting Document		Appointment letter Board Resolution Others, pls specify						
	Tenure	From:		To:					
	Mobile / Telephone (Validate)								
	ID proof: Aadhar Card (Validate)								
	Official E-mail ID (Validate)								
2.2	Authorized Representative of the Enti	ity							
	Name	Prefix	First	Middle	Last				
	Designation								
	Supporting Document	□ Power of A	Attorney Oth	er, specify					
	Duration	From:		To:					
	Mobile / Telephone (Validate)								
	ID proof: Aadhar Card (Validate)								
	Official E-mail ID (Validate)								
3 Other details of applicant									
3.1	Registration with Central/ State Gove	ernment							
	Type Enrol	ment Number		Date of Enr	olment				
	Udyam								
	IEM Part B								
	Industrial License								
3.2	Central Board of Direct Taxes (CBDT), Department of Revenue, Ministry of Finance, GoI								

	Good (GST		Servi	ces Ta	x Ide	ntification Number			
	Perm	anent A	Account	Numbe	er (PAN)			
	Tax D	Deductio	on and	Collecti	on Acco	ount Number (TAN)			
	Тахра	ayer Ide	entificat	ion Nu	mber (TIN)			
3.3	Empl	oyees' I	Provide	nt Fund	Organ	ization			
	Estab	lishme	nt ID						
	Unive (UAN		ccount	Numb	er				
	Comp	any W	ebsite/	URL					
3.4	Bank	Accour	nt Detai	ls (For	Disburs	sement)			
	Name	e of Bar	ık:						
	Addre	ess of B	ank:						
	IFSC	Code o	f Bank:						
	Bank	Accour	nt Numb	er:					
3.5	NIC C	Classific	ation: (Drop D	own)				
3.5.1	Proje	ct Type	:						
			-		•	Project \square Ecosystem Strengthening Proja (From outside Country) \square Diversification			
3.5.2	Broad	l Classii	fication						
	R&D	Mfg.	For.	Ser.	Tra.	Category	B2B	B2G	B2C
						☐ Vaccines including recombinant vaccines for use in humans or animals			
						☐ Recombinant gene therapy products including nucleic acids, proteins, and combinations thereof, viruses, or genetically engineered microorganisms			
al						☐ Molecular and Genetic Diagnostics (providing Business to Business services)			
Bio-Industrial						☐ Biochips, Biosensors and Biomedical Devices based on biotechnology			
io-Ir						☐ Stem Cell and Cell-Culture			
В						☐ RNA Interference (RNAi)			
						☐ Products of Synthetic Biology			
						☐ Probiotics and other Biotechnology-based nutraceuticals			
						☐ Bio-fertilizer, Bio-pesticides, and similar products			
						-			
						□ Bioplastics			

						□ Nano-Biotechnology		
						☐ Any product/ technology deemed eligible by the Technical Advisory Committee (TAC)		
						'Biotechnology (BT) Product' which uses or is derived by using □ (a) Living systems, □ (b) Enzymes, □ (c) Proteins □ (d) Biocatalysts □ (e) Biomolecules and any of the following processes: □ Genetic Engineering, □ Gene Editing □ Protein engineering, □ Tissue Engineering □ Genomics, □ Proteomics, □ Bioinformatics, □ Synthetic Biology, □ Pharmacogenomics, □ Metabolomics □ Nano-Biotechnology based process □ Bio-process Engineering, □ Fermentation □ Enzymatic processes, □ Biotransformation □ Tissue Culture □ Molecular Breeding □ Contract Research by CROs using Biotechnology process or products (providing Business to Business service) □ Any process deemed eligible by the Technical Advisory Committee (TAC)		
						Gene Splicing		Ш
						Stem Cell Therapy		
						Antimicrobial Resistance (AMR)		
						Molecular Breeding of Animals		
						Clinal Testing including Animal Testing Facilities		
						Genome Sequencing		
						BSL-3 Labs		
						Plug and Play Facilities		
						Accreditation, Testing & Certification Laboratories		
						☐ Other, pls specify		
3.5.3	Inves	tment F	Range:					
	□ <	200 Crc	re 🗆	>200 C	rore		 	

3.5.4	4 Emp	loyment R	ange:										
	□ <5	500 🗆	>500										
3.6	Qual	Quality Certification											
3.6.1	Statu	utory Appr	oval Obta	ined									
		Name	Des	Description		ate of Issu	e Val	id Up	to date:	Cost			
(Add	separa	separate rows for each approval, as required)											
3.6.2	2 Non-	Statutory	Approval	Obtaine	ed								
		Name	Des	cription	D	ate of Issu	e Val	id Up	to date:	Cost			
(Add	separa	te rows for	r each app	proval, a	as requ	uired)							
4.	Projec	t Details											
4.1	Commercial Operation Date:												
4.2	+	Production Capacity of the Entity											
		<u>.</u>		•		Foliable	t - II		Proposed	Destruction			
	NIC 2008	Name	OT Of	ription the	Units	canacity	g install per anr		installed capacity	During Enrolment			
	Code	Produc	~ +	duct	Offics	(for E	xpansior sification		per	Process (Same/ Modified/ New)			
						Diver	Siricacion	'/	annum	Prodiffed, New)			
1													
2													
3													
(Add	rows if	required &	attach su	ıpportin	g docu	ıments sun	nmarizin	g key	aspects of	f the same)			
				•	_				·	,			
Not A	pplicabl	е□											
4.3	Service	e Capacity	of the En	titv									
						Existing d	eliverv	P	roposed				
	NIC 2008	Name of	Descript of the		nits c	capacity pe	r annum	C	lelivery	During Enrolment Process (Same/			
	Code	Service	Service	е		(for Expa Diversific			pacity per annum	Modified/ New)			
1													
2													
3								+					
										(
(Add	rows if i	required &	attach su	ıpportin	g docu	iments sun	nmarızın	д кеу	aspects of	f the same)			
Not A	pplicabl	е 🗆											

5	Employee Details											
	Type/ Category	Number of Employees	Total Number of Employees									
1	Skilled Workers		Semi-Skilled Workers		Unskilled Workers							
2	Payroll		Contractual		Outsourced							
3	State Domicile		Indian/ Other state		NRI							
4			Full Time Employee/ Main Workers		Part Time Employee/ Marginal Workers							
5			Male		Female							

Declaration:

I/We hereby declare that I/ We have read the Government Resolutions BTP/022022/446/BT dated 15/02/2022 & BTP/ $_2021/_$ _ _/BT dated _ _/__/2022 as amended from time to time before submission of this application for incentive. I/ We undertake to comply all the conditions stipulated therein to avail incentives mentioned in the resolution.

I/We hereby declare that the details given above are true and correct, if any of the information is found to be incorrect and not fulfilling the provisions laid down in the Government Resolution, incentives are liable to be cancelled.

riace:		
Date:		
	Company Seal	Applicant's signature
		Name:
		Designation:

Annexure 2B Format for Capital Assistance, Projected Operational and Projected Supplementary Claim

A. Format for Capital Assistance Application

1			Details o	f Entit	у А	pplying for A	ssistan	ce			
1.1	Name of the E	Entity:									
1.2	Project Type:										
						ening Project □ untry) □ Diver					on (From
2	Expenditure Supplementa			s GFC	CI/	Estimated	Capita	l Exp	endit	ure	towards
2.1	Registration a	nd Stamp	Duty						•		
Sr. No.	Description of the Asset/ Property	Possessio n Type (Outright Purchase/ Lease)	Total area		Duration of lease (in years)	Cost/ rental annur Ass Prop	(per n) of et/	_	stratio Cost	Stamp Duty Cost	
1											
2											
(In ca	ase of more tha	an one pro	perty or ass	set or p	oss	session type, a	dd separ	ate ro	ws for	each it	em)
							Su	b-total			
2.2	Plant and Mac	hinery									
Sr. No.	Тур				ous/ Hand Original Equipment ous/ orted/ Hand Hand Original Equipment Manufacturer (OEM) ring				Мас	fe of hinery espan)	Cost (includir g taxes, duty, etc., excludir g Royalty)
1											
2											
(Add	separate rows	for each i	tem, as req	uired)							
									Sul	b-total	
2.3	Building										
Sr. No.	Item		Description	Uni	it	Quantity	Uı	nit rate	<u>)</u>	taxe	(including es, duty, etc.)
1	Civil Works/ Structural										
2	Interiors/Finis	shing									
3	Conveyance li	fts									
4	HVAC										
5	Fire protection	n									
6	Electrical										

7	Plumbing											
(Add	dd separate rows for additional items, as required)											
	Sub-total											
2.5	Technology L	Jpgradation	& Acquisition	n								
Sr. No.	Name of the Technology	Description	Country of origin	the India the			ability in Gujarat :s/ No)	Cost (including taxes, duty, etc., but excluding Royalty)				
1												
2												
(Add	dd separate rows for additional items, as required)											
	Sub-total											
2.6	Utilities											
Sr. No.	Na	me of Utiliti	es	Description	Capacity	У	Unit	duty excluding	cluding taxes, , etc., but g consultation/ onal charges)			
1	Solid Waste N	Managemen	t Facility									
2	Gas Conne infrastructure		d related									
3	Substation											
4	Solar Unit/ Ar Generation u		otive Power									
5	Effluent Trea	tment Plant										
6	Zero Liquid D	Discharge										
						Sub	-total					
	·	· · · · · · · · · · · · · · · · · · ·	·	· · · · · · · · · · · · · · · · · · ·	·			· ·	·			

3	Summary of the Expenditure incurred towards GFCI	Sub-Total from Section 2
	Registration and Stamp Duty	
	Plant and Machinery	
	Building	
	Technology Upgradation & Acquisition	
	Utilities	
	Total	

B. Format for Projected Operational Assistance Claim Application

1.1	Operational Assist approval)	ance Peri	od (as	nmittee	From			То				
	Operational Assist Cycle	ance Clai	m 🗆 Qua	arterly	□Н	lalf-year	Тy	□ Aı	nnual			
	#	Quarterly				Half-ye	early		An	nual		
	Year 1	□ Q1 □ Q	22 □ Q3 □	Q4		□ H1	□ H2					
	Year 2	□ Q1 □ Q	2 □ Q3 □	Q4		□ H1	□ H2					
	Year 3	□ Q1 □ Q	22 □ Q3 □		□ H1	□ H2						
	Year 4	□ Q1 □ Q	2 □ Q3 □	Q4		□ H1 □ H2						
	Year 5	□ Q1 □ Q	2 □ Q3 □	Q4		□ H1	□ H2					
2	Power Tariff					•			•			
	Meter/ Connection	Duratior Peri		Total		Bill		Bill N	umber	Proof of		
	Identification Number	From	То	Consu	ımed	Amou	ınt			Transaction		
3	Datant Assistan											
3.1	Patent Assistance		acity iccu	ina tha								
	Name, Country o patent	i the Auti	iority issu	ing the								
3.2	Description											
3.3	Title of the Patent											
3.4	Date of issue/Rene	ewal of the	Patent									
3.5	Patent Validity Till											
3.6	Fees paid to patent centre	t attorney a	and patent	service								
3.7	Legal Charges											
	(Repeat row from	3.1 to 3.7	for next e	ach pate	nt)							
4	Market Developm	nent			1							
4.1	Name of the Event	t										
4.2	Type (National/ In		l)									
4.3	Name of the Organ	nizer										
4.4	Date of the Event											
4.5	Amount paid towards rent/ charges for stall space to the organizer											
4.6	Cost incurred for P	Product Lite	erature/ Ca	atalogue								
4.7	Cost incurred for D	Display Mat	erial									
	(Repeat row from	4.1 to 4.7	for next e	ach even	t)							
5	Lease Rental											

	Description of Asset/ Prope			the Asset/ Total a		are m.)		leas	tion of se (in ars)	annı	e rental (per im) of Asset/ Property
								Sı	ub Total		
	(In case of mo	re tha	n one pro	perty or a	sset, a	dd s	separat	e rows	for each	item)	
6	Bandwidth Le	easing	J						Ţ		
6.1	Billing Period					Fr	om:			To:	
6.2	Bill Amount										
7	Quality Certif	ficatio	ns (Non	-Statutor	у Арр	rov	als)				
7.1	Certificate issu	iing Au	ıthority								
7.2	Type of Certific	cation	(National	/ Internati	ional)						
7.3	Date of issue of	of Cert	ificate								
7.4	Validity										
7.5	Certification Fees										
	(In case of more than one quality certification					ad	d separ	rate rov	vs for ea	ch item	1)
8	Employment Generation										
	Employee Name Gender (M/F) Employee PAN number						ate of J	loining	CTC anni		Eligible Incentive under EGI
(Add s	eparate rows fo	r each	employe	e, as requ	ired)						
								Total			
9	Employee Pro	ovider	nt Fund (One-time	annu	al d	claim)				
	Employee Name	Gende	er (M/F)	UAN number	Durat in Mon			paid by oyer (E			nt Eligible EPF ncentive
(Add s	eparate rows fo	r each	employe	e, as requ	ired)						
					To	otal					
10	Interest Subsidy on Term Loan										
	Name of the Bank/ Financial Institution										
	Total Loan Amount Sanction										
	Moratorium period										
				Date of D	isburse	eme	nt		Amoun	t	
	Details of Disb	ursem	ent								
				(Add rows	s as re	quir	ed)				

			Total						
		Total Capit Repaymer		tstanding Debt Interest paid		Interest due (if any)	Penalty (if any)		
	Amount as of Commercial Operation Date								
	Eligible Assistance	under Inte							
11	Electricity Duty								
	Meter/ Connection	Duration Peri		ime Bill Numb		Proof of		Electricity	
	Identification Number	From	То			Transactio	on Consume	d Duty	
		tal							

C. Format for Projected Supplementary Assistance Claim Application

1	Expenditure incurred towards GFCI/ Estimated Capital Expenditure towards Supplementary Capital Claim												
1.1	Registration	and Stamp	Duty										
Sr. No.	Description of the Asset/ Property	Use of the Asset/ Property	Possession Type (Outright Purchase/ Lease)	Total area (sq. m.)	Duration of lease (in years)	Cost/ lease rental (per annum) of Asset/ Property	Registratio n Cost	Stamp Duty Cost					
1													
2													
(In	case of more	e than one p	roperty or as	sset or p	ossession	type, add separat	e rows for ea	ch item)					
	Sub-total												
1. 2	Plant and M	lachinery											
Sr. No.	Name of the Plant & Machinery		Typ (New Indig Second Indigenou Imported/ Hand Imp	genous/ Hand s/ New Second	Origina Equipme Manufactu (OEM)	nt Year of	Life of Machinery (Lifespan)	Estimated Cost (including taxes, duty, etc., excluding Royalty)					
1													
2													
(Ad	d separate r	ows for each	item, as red	quired)		•							
						Sub-total							

1 3	Building											
Sr. No.	Item		[Description		Unit	Qı	uantity	Unit rate		ate	Estimated Cost (including taxes, duty,
1	Civil Works Structural	s/										etc.)
2	Interiors/Finishing											
3	Conveyanc	e lifts										
4	HVAC											
5	Fire protect	tion										
6	Electrical											
7	Plumbing											
(Ad	d separate r	rows for a	dditi	onal items,	as	require	d)	Į.				
											Sub-total	
1.4	Technology	/ Upgradat	tion	& Acquisitio	n							
Sr. No.	Name of the Technolog Y	Description	on	Type (Upgradation / Acquisition		of origin		in th India (\			ility in the : (Yes/ No)	Estimated Cost (including taxes, duty, etc., but excluding Royalty)
1												
2												
(Ad	d separate r	ows for a	dditi	onal items,	as	require	d)					
											Sub-	total
1. 5	Utilities											
Sr. No	Name of Utilities					escripti	on	Capac	ity	Unit	duty, etc.	cluding taxes, , but excluding sultation/ onal charges)
1	Solid Waste	e Manager	nen	t Facility								
2	Gas Connection and related infrastructure											
3	Substation											
4	Solar Unit/ Any other Captive Power Generation unit											
5	Effluent Tre	eatment P	lant									
6	Zero Liquid	Discharg	e									
	Sub-total									ub-total		

2	Summary of the Expenditure incurred towards GFCI	Sub-Total from Section 2
	Registration and Stamp Duty	
	Plant and Machinery	
	Building	
	Technology Upgradation & Acquisition	
	Utilities	
	Total	

Declaration:

- I / We hereby confirm that to the best of our knowledge and belief, information given herein
 and other documents enclosed are true and correct in all respects. We further undertake to
 substantiate the particulars about promoter(s) and other details with documentary evidence
 as and when called for. I/We hereby agree that I/We shall forthwith repay the amount
 released to me/us under scheme, if the amount of Reimbursement of tax are found to be
 disbursed in excess of the amount actually admissible whatsoever the reason.
- 2. I/We hereby declare that we have read the Government Resolutions BTP/022022/446/BT dated 15/02/2022 & BTP/__2021/_ __/BT dated _ _/__/2022. I/We undertake to comply all the conditions stipulated therein to avail incentives mentioned in the resolutions.
- 3. I/We hereby declare that the details given above are true & correct, if any of the information is found to be incorrect and not fulfilling the provisions laid down in the above resolutions, the Incentives are liable to be recovered.
- 4. I/We also declare that I am authorized by the enterprise to sign and submit the application and related details with relevant documents.

Place:	
Date:	
Company Seal	Applicant's signature
Name:	
Designation:	

Annexure 2C - Format for Operational Assistance Claim Application

1.1	Operational Assista approval)	From			То					
	Operational Assist Cycle	ance Clai	m □ Qua	arterly	□Н	lalf-year	Тy	□ Aı	nnual	
	#	Quarterly		Half-ye	early		An	Annual		
	Year 1	□ Q1 □ Q	2 □ Q3 □	Q4		□ H1	□ H2			
	Year 2	□ Q1 □ Q	2 □ Q3 □	Q4		□ H1	□ H1 □ H2			
	Year 3	□ Q1 □ Q	2 🗆 Q3 🗆	Q4		□ H1	□ H2			
	Year 4	□ Q1 □ Q	2 🗆 Q3 🗆	Q4		□ H1 □ H2				
	Year 5	□ Q1 □ Q	2 🗆 Q3 🗆	Q4		□ H1	□ H2			
1.2	Details of Entity	Applying f	or Assist	ance						
1.2.1	Name of the Entity	:								
1.2.2	Project Type:									
	□ New □ Mega Pro outside State)□ Re									
1.2.3	Enrolm	nent No.				Da	te of	Issue		
1.2.4	Turnover:									
2	Power Tariff			T						T
	Meter/ Connection	Duratior Peri		Total (Bill		Bill Number		Proof of
	Identification Number	From	То	Consumed		Amount				Transaction
3	Patent Assistance	е								
3.1	Name, Country of patent	the Auth	ority issu	ing the						
3.2	Description									
3.3	Title of the Patent									
3.4	Date of issue/Rene	wal of the	Patent							
3.5	Patent Validity Till									
3.6	Fees paid to patent attorney and patent service centre									
3.7	Legal Charges									
	(Repeat row from 3	3.1 to 3.7 f	or next ea	ich patent)					
4	Market Developm	nent								
4.1	Name of the Event	1								
4.2	Type (National/ Int)							
4.3	Name of the Organizer									

4.4	Date of the Event													
4.5	Amount paid space to the o		charges fo											
4.6	Cost incurred	for Pro	duct Liter	rature/ Cata	alogue									
4.7	Cost incurred	for Disp	olay Mate	erial										
	(Repeat row fr	om 4.1	to 4.7 f	or next eac	h event	:)								
5	Lease Rental													
	Description of Asset/ Prop					Duration of lease (In years			annum) of Asset/					
							Sı	ub Total						
	(In case of mo	re than	n one pro	perty or as	set, ad	d separa	ite rows i	for each	item)					
6	Bandwidth L	easing				1								
6.1	Billing Period					From:			To:					
6.2	Bill Amount													
7	Quality Certifications (Non-Statutory Approvals)													
7.1	Certificate issu	thority												
7.2	Type of Certifi	(National	/ Internation											
7.3	Date of issue of	of Certi	ficate											
7.4	Validity													
7.5	Certification Fo	ees												
	(In case of mo	re thar	n one qua	ality certific	ations a	add sepa	arate row	s for ea	ch item)				
8	Employment	Gener	ation											
	Employee Name	Gend	der (M/F)	Employe numb		Date o	f Joining	_	TC nnum)	Eligible Incentive under EGI				
(Add s	separate rows f	or each	employe	ee, as requ	ired)			T		<u></u>				
							Total							
9	Employee Pr	oviden	t Fund		,									
	Employee Name	Gende	er (M/F)	UAN number	Durati in Mont	EPF paid by				Amount Eligible EPF incentive				
(Add	separate rows f	or each	employe	ee, as requ	ired)									
					То	tal								
10	Interest Sub	sidy o	n Term l	oan	Interest Subsidy on Term Loan									

	Name of the Bank/	' Financial I	nsti	itution	l					-71	
	Total Loan Amount	Sanction									
	Moratorium period										
			Di	Date of Disbursement			Am	ount			
	D (D: 1										
	Details of Disburse	ement	(A	(Add rows as required)							
			To	otal							
		Total Capi Repayme		Ou	tstanding Debt		Int	terest paid	Ir	nterest due (if any)	Penalty (If any)
	Amount as of Commercial Operation Date										
	Eligible Assistance	under Inte	rest	subsi	dy						
11	Electricity Duty										
	Meter/ Connection Identification	Duration Peri		ime	Bill Nur	nbe	er	Proof o		Total Unit Consumed	Electricit
	Number	From		То				Transaction		Consumed	Duty
								To	otal		
I/We h 15/02/ submis	nereby declare that (2022 & BTP/202) assion of this applicanto avail incentives	21//B tion for inc	T d enti	ated __ ve. I/	//20 We unde	022	2 as	amended	d fro	om time to t	ime before
found	nereby declare that to be incorrect and ves are liable to be	d not fulfil									
Place:											
Date:											
			Cr	mpan	y Seal				ı	Applicant's sig	anature
					,			N1		F F 22 0 015	,
								Name	₽;		
								Desid	nat	ion:	

Annexure 2D – Undertaking and Checklist for documents to be submitted as certified true copy as part of Application for availing assistance under BT Policy 2022-27

A. Format for submitting Letter of Undertaking for availing assistance under BT Policy 2022-27

10	
Mission	n Director,
Gujara	t State Biotechnology Mission
Depart	ment of Science & Technology
Govern	nment of Gujarat
Su	Application for availing assistance under Biotechnology Policy 2022-27
Re	Government Resolution No: BTP/022022/446/BT dated 15/02/2022 & BTP/2021//BT dated//2022
Sir/ Ma	a'am,
1.	I, Mr./ Ms, am the authorized representative of the M/s
	with registered address
	providing this undertaking cum declaration in its behalf.
2.	We have read and undertake to comply with all the conditions stipulated therein to avail
	incentives, the Government Resolutions BTP/022022/446/BT dated 15/02/2022 &

3. We intend 'to apply for/ have applied for/ have availed' incentives/ funding as indicated in the table below, if applicable.

BTP/__2021/_ _ _/BT dated _ _/__/2022 & relevant amendments till date.

Sn. No	Name of the Institution/ Agency	Details of Assistance/ Funding	Status (Intend to/ Applied/ Availed)
1.			
2.			

(Add rows if required & attach supporting documents summarizing key aspects of the same)

- 4. We undertake not to avail any incentive/ funding since the submission of Registration till the completion of operational assistance period which violates the Biotechnology Policy 2022 27.
- 5. To the best of our knowledge, we do not have any outstanding dues/ taxes/ cess/ charges/ fees/ interest/ penalty with Government, Board and Corporation.
- 6. The information provided during Registration are true and valid, we will disclose through intimate writing within 15 days, if there is any correction or changes in Section 1 and section 2 of Annexure 1B.

Name:

Designation:

We undertake to repay with interest, the incentives disbursed, if found that it was obtained by misrepresentation of facts or in case of misuse.
 We hereby declare that the Commercial Operations Date for the project is _______(dd/mm/yyyy)
 We hereby confirm that the contents mentioned above are true to the best of my/ our knowledge. We are jointly and severally responsible for any information found incorrect or misrepresented subsequently and liable for prosecution under the provisions of applicable Acts/ Codes and Rules.

Place:
Date:
Company Seal
Applicant's signature

Note: Undertaking to be submitted on Rs. 300/- Stamp paper

B. Checklist for Application Module

No	Particulars			
1.	Copy of applicable industrial registration certificate (EM-II Registration / IEM Part – B, / Industrial License, etc.)			
2.	Acknowledgement Certificate under Gujarat Micro, small and Medium Enterprises (Facilitation of Establishment and Operation) Act, 2019 in case of MSME (if obtained)			
3.	GST Registration certificate			
4.	PAN			
5.	TAN			
6.	TIN certificate			
7.	EPFO Universal Account Number (UAN)			
8.	Copy of Bank Account Passbook/ cancel cheque			
9.	Detailed project report			
10.	Company profile, brochure, marketing/ promotional material			
11.	Copy of First Sale Bill/ Invoice or agreement between Entity & client/ customer/ business			
12.	Document for Installed Capacity, including proof for additional capacity in case of expansion			
13.	Attendance Register of Employee, letter of employment, salary slip, domicile certificate of employee			
14.	Project Completion Certificate from Financial Institute or Project Completion Certificate from authorized person, in case of self-finance. (In prescribed format)			
15.	Copy of agreement for technical knowhow, Design, Drawings and Patent (if applicable)			
16.	Address proof document for Manufacturing/ Factory/ Production/ Office/ Research/ Marketing/ Retail location			
17.	Supporting document (Board Resolution, POA, etc.) for Designated/ Authorized Representative			
18.	ID proofs: Driving License, Aadhar Card, PAN card, Passport as indicated			
19.	Letter of Undertaking for availing assistance under BT Policy 2022-27			

Capital Claim Module

No	Particulars
1.	Document proof for ownership/ lease of land/ asset Registered agreement executed for the purchase/ lease of the property(ies) or any relevant document which for proof of ownership of property list
2.	Bill/ payment receipt of Plant and Machinery
3.	Certificate of Chartered Engineer for all Engineering Works up to date of Commercial Operation Date
4.	Receipt/ Bill for Patented Technology acquired

Operational Claim Module

No	Particulars
1.	Application form and undertaking for Operational assistance duly filled, stamped, and signed by Designated/ authorized signatory.

2.	Electricity Bill, Payment Receipt/ Transaction Copy for the amount claim
3.	Proof of payments/ payment receipts toward purchase of patent,
4.	Proof of payments of charges paid for the amount claimed from legal services/ patent attorney/ patent service centre
5.	Invoice and payment receipt for the rent/ charges paid for stall space to the organizer
6.	Invoice and payment receipt for product literature/ Catalogue and display material
7.	Registered lease agreement/ deed, proof of transitions/ payment receipts with copy of the PAN card of the lessor
8.	Bandwidth invoice and payment receipt with valid GSTIN number
9.	Invoice and payment receipt of the Certificates from the certification agency
10.	Attendance Register of Employee, letter of employment, salary slip, domicile certificate of employee
11.	Sanction Letters/ Letter of Intent of Term Loan from Bank / Financial Institution if any
12.	Appraisal Report from Bank/ Financial Institutes (If applicable) (mandatory if term loan is availed)

Mandatory Periodic Submissions for quarterly instalments of Capital Claim

No	Particulars		
1.	Annual Reports/ Progress Reports		
2.	Audited/ Un-audited/ Provisional Balance Sheets		
3.	Any other documents pertaining to the compliances as demanded by competent authority from time to time		

Supplementary Claim Module

No	Particulars			
1.	Document proof for ownership/ lease of land/ asset Registered agreement executed for the purchase/ lease of the property(ies) or any relevant document which for proof of ownership of property list			
2.	Bill and payment proof for the claimed components			
3.	Certificate of Chartered Engineer for all Engineering Works up to date of Commercial Operation Date			

All Submitted documents along with the application form should be duly certified by authorized signatory of applicant / director/ Power of Attorney holder.

Annexi	ure 3 - Format for App	roval of Assistance		
			Outwa	rd Ref:
			Date:	
То,				
M/s		(Name of the Entity)		
	(En	tity's registered address	5)	
Sub։ Aր	oproval for Assistance			
Ref:	(1)(E	ntity's Enrolment Numb	er)	
	(2)(0	Committee Agenda)		
	(3)	(Committee meeting n	ninutes) (only to be	added here if these
docume	ents are available in the p	oublic domain)		
This is	ttention: Mr/ Ms (Name	ant of approval for ass	istance under Gujarat'	s Biotechnology Policy
	7 by			
and you	ur Actual Commercial Ope	eration Date	has been accept	ed and acknowledged.
Capital	Assistance sanctioned for	r disbursement is INR _	(Rupees	s only) and
details a	& disbursement schedule	e for the same are provi	ded hereunder.	
Table 1	: Final eGFCI & maximur	m eligible Capital Assista	ance under Application	
Sr. No.	Expenditure Item	Amount as per Application	Remarks/ Reasons	Amount accepted by the Nodal Agency
1	Registration and Stamp Duty			
2	Plant and Machinery			

Sr. No.	Expenditure Item	Amount as per Application	Remarks/ Reasons	Amount accepted by the Nodal Agency
1	Registration and Stamp Duty			
2	Plant and Machinery			
3	Building Cost			
4	Site Development			
5	Technology Upgradation & Acquisition			
6	Utilities			
7	Total eGFCI (Application – Capital Expenditure)			
8	Maximum eligible Capital Assistance			

Table 2: Indicative eGFCI & maximum eligible Capital Assistance towards Supplementary Capital Claim Application

Sr. No.	Expenditure Item	Amount as per Application	Remarks/ Reasons	Amount accepted by the Nodal Agency
1	Registration and Stamp Duty			
2	Plant and Machinery			
3	Building Cost			
4	Site Development			
5	Technology Upgradation & Acquisition			
6	Utilities			
7	Total eGFCI (Supplem Expenditure)	entary Capital Claim	Application – Capital	
8	Maximum eligible under Supplementary Capital Assistance			

Table 3: Estimated/ Projected Operational Expenditure & eligible Operational Assistance for 5 years

Sr. No.	Expenditure Item	Estimated/ Projected Cost	Eligible Operational Assistance (Indicative)	Disbursement Schedule	Remarks
1	Power Tariff				
2	Patent Assistance				
3	Market Development				
4	Lease Rental				
5	Bandwidth leasing				
6	Quality Certification				
7	Employee Provident Fund				
8	Interest Subsidy on Term Loan				
9	Electricity Duty				

Disbursement Schedule

Sr. No.	Assistance Type	Disbursement Schedule	Remarks
1		Capital Assistance shall be disbursed ininstalments with each instalment amounting to INR /- (Rupees Only). First instalment shall be disbursed before end of Financial	
		Quarter (month-month) of (Year).	
		Second instalment shall be disbursed before end of Financial Quarter (month-month) of (Year).	
		Third instalment shall be disbursed before end of Financial Quarter (month-month) of (Year).	
		Fourth instalment shall be disbursed before end of Financial Quarter (month-month) of (Year).	
		Quarterly instalments shall be disbursed subject to fulfilment of applicable terms and conditions including approval of the annual reports in accordance with Clause 8.4.3	
2	Capital Assistance under Supplementary Capital Claim	Expenditure incurred from (ACOD) to shall be considered eligible expenditure under Supplementary Capital Claim	
3	Operational Assistance	Operational Assistance Claim Period shall be from to Operational Claim Applications shall be processed on (Quarterly/ Half-yearly/ Annual) basis	

Anniicania	Terms and	/ Anditions:
AIIIIII AIIIE		CORRECTIONS.

Τ	

2.

3.

(Name) Mission Director Gujarat State Biotechnology Mission

Accepted by

<Name of Applicant>

Authorized Signatory

Annexure 4- Format for Final Supplementary Capital Assistance Claim Application

1	Details of Entity Applying for Assistance				
1.1	Name of the Entity:				
1.2	Project Type:				
	□ New □ Mega Project □ Ecosystem Strengthening Project □ Special Project □ Relocation (From outside State) □ Relocation (From outside Country) □ Diversification □ Expansion				

2	Expenditure Supplement			s GFCI	/ Estimat	ed Capi	tal	Expen	ditur	e towards
2.1	Registration a	and Stamp	Duty							
Sr. No.	Description of the Asset/ Property	Use of the Asset/ Property	Possessio n Type (Outright Purchase/ Lease)	Total area (sq. m.)	Duration of lease (in years)	Cost/ le rental (annum Asset Proper	per) of :/	Regis n C		Stamp Duty Cost
1										
2										
(In c	ase of more th	nan one pr	operty or a	sset or po	ossession ty	/pe, add s	epar	ate row	s for	each item)
						Sub-	total			
2.2	Plant and Ma	chinery								
Sr. No.	Name of the Plant & Machinery	Descript n	io Indige Second Indigeno Indigeno Impo Second Impo	ew nous/ I Hand us/ New rted/ I Hand	Original Equipmer Manufactu (OEM)		ctur	Life Machii (Lifesp	nery	Estimated Cost (including taxes, duty, etc., excluding Royalty)
1										
2										
(Add	separate rows	s for each	item, as red	quired)						
								Sub-	total	
2.3	Building									
Sr. No.	Item		Description	Unit	Quantity	/ Ur	it rat	:e	(incl	mated Cost uding taxes, uty, etc.)
1	Civil Works/ Structural									
2	Interiors/Finis	shing								
3	Conveyance I	ifts								
4	HVAC									
5	Fire protectio	n							_	

6	Electrical								
7	Plumbing								
(Add	separate row	s for additi	onal items,	as required)	•			
								Sub-total	
2.5	Technology l	Jpgradation	n & Acquisit	ion					
Sr. No.	Name of the Technology	Descriptio	Type (Upgrada n on/ Acquisitio n)	of origin		ndia	the	ability in Gujarat es/ No)	Estimated Cost (including taxes, duty, etc., but excluding Royalty)
1									
2									
(Add	separate row	s for additi	onal items,	as required)				
								Sub-	total
2.6	Utilities								
Sr. No.	I Mama of Hellitias			Descriptio	n Capaci	ity	Unit	duty, etc consultat	ncluding taxes, c., but excluding cion/ professional charges)
1	Solid Waste	Manageme	nt Facility						
2	Gas Connection and related infrastructure								
3	Substation								
4	Solar Unit/ Any other Captive Power Generation unit								
5	Effluent Treatment Plant								
6	Zero Liquid [Discharge							
	Sub-total Sub-total								

3	Summary of the Expenditure incurred towards GFCI	Sub-Total from Section 2
	Registration and Stamp Duty	
	Plant and Machinery	
	Building	
	Technology Upgradation & Acquisition	
	Utilities	
	Total	

Declaration:

- I / We hereby confirm that to the best of our knowledge and belief, information given herein
 and other documents enclosed are true and correct in all respects. We further undertake to
 substantiate the particulars about promoter(s) and other details with documentary evidence
 as and when called for. I/We hereby agree that I/We shall forthwith repay the amount
 released to me/us under scheme, if the amount of Reimbursement of tax are found to be
 disbursed in excess of the amount actually admissible whatsoever the reason.
- 2. I/We hereby declare that we have read the Government Resolutions BTP/022022/446/BT dated 15/02/2022 & BTP/__2021/_ _ _/BT dated _ _/__/2022. I/We undertake to comply all the conditions stipulated therein to avail incentives mentioned in the resolutions.
- 3. I/We hereby declare that the details given above are true & correct, if any of the information is found to be incorrect and not fulfilling the provisions laid down in the above resolutions, the Incentives are liable to be recovered.
- 4. I/We also declare that I am authorized by the enterprise to sign and submit the application and related details with relevant documents.

Place:		
Date:		
	Company Seal	Applicant's signature
		Name:
		Designation:

Annexure 5: Details of Eligible and Ineligible components

Eligible Component under Capital Expenditure Assistance

Sr. No.	Capital Expenditure Item	Eligible Components	Ineligible Components
1	Plant and Machinery	As per Clause 3.9 Servers where the requirement for the same is based on core business/ service offerings Transportation and installation charges paid to the Vendor/ Original Equipment Manufacturer (OEM) as part of procurement	Not part of manufacturing process/ service
2	Buildings	Certified by Architects/ Engineers towards Construction cost	Moveable assets such as furniture, non-essential interior works, etc. Technical Consultancy Charges
3	Registration & Stamp Duty	100% cost incurred for Registration & Stamp Duty towards purchase/ lease of property as part of the proposed project Payment towards land use conversion from agriculture to non-agriculture	Premium charges towards land use conversion Note: Premium charges paid for conversion of land use from agriculture use to nonagriculture use shall mean the additional charges paid as per Jantri for specific land parcel indicated as 'Premium charges'
4	Technology Upgradation & Acquisition	Patented Technology acquired from Indian, Foreign and Multinational Companies/ Institutes/ Organizations, subject to max. ceiling of 10% of eGFCI.	Royalty Payment(s) Development of in-house Biotechnology Solutions for product and process improvement. Digital interventions including ERP, HRMS, PMS, etc.
5	Utilities	Sewage/ Effluent Treatment Plant Zero Liquid Discharge plant Capital or one-time investment towards development of green utilities such as Captive Solar Power Plant Infrastructure for Network and Internet Services Other on-site utilities (only integral to core functioning of components)	Trunk Infrastructure/ utilities (last mile connectivity)

Eligible Component under Operational and Other Expenditure Assistance

Sr. No.	Operational Expenditure Item	Eligible Components	Components not eligible
1A	Operational Assistance - Power Tariff	Power purchased from State Electricity distribution companies or power distribution licensees Note: Expansion/ diversification applicants must procure/ install separate connection and meter.	Power consumed from captive power plant
		The bills must be produced/ submitted only pertaining to the expansion and diversification approved through the application.	
1B	Operational Assistance - Patent Assistance	Expenditure incurred for obtaining National/ International Patents	Non- essential Patent(s) for operations of the proposed project
		Incentive shall be provided at the rate of 50%, subject to a ceiling of INR 2 lakhs per patent for domestic patents which shall be limited to INR 10 lakhs and INR 5 lakhs per patent for International Patents which shall be limited to INR 25 lakhs.	
1C	Operational Assistance - Market	Towards participation in National or International Exhibitions/ Trade fairs:	Events participated before or after defined operational assistance period
	Development	 Rent of stall or rent of space and amount paid to organizer towards creation of stall and on rented space Display material Incentive shall be up to INR 50,000/- per event for 	Cost of Travel, Lodging, Boarding, etc.
		maximum 2 times (events) during the operational assistance period	
1D	Operational Assistance - Lease Rental	Cost as per registered lease agreement/ deed, applicable & valid for the period for which operational assistance is sought	Cost towards lease on non- essential spaces Lease paid before or after defined operational assistance
		Proof of transactions/ payment receipts must be submitted along with copy of the PAN card of the lessor	period

Sr. No.	Operational Expenditure Item	Eligible Components	Components not eligible
1E	Operational Assistance - Bandwidth Leasing	Expenditure incurred on buying or leasing bandwidth from a recognized Internet Service Provider, registered in India, with a valid GST number	Cost for installation, One-time or recurring
1F	Operational Assistance - Assistance to Quality Certifications	Cost for certificate shall include, Fees charged by certification agency Renewal of certificate AMC for certifications, calibrations	Travel, hotel & site visit charges Purchase of testing equipment/ machinery More than one year from the date of issue of the certificate
2	Employment Generation Incentive	only for permanent/ contractual employee who have successfully completed term of 1 year	Specific employee for whom his earlier company has already claimed this incentive
3	EPF Assistance	Amount paid by the beneficiary/ Entity directly to the Employees' Provident Fund Organization (EPFO) including EPF paid for contractual & outsourced employees Only applicable for employees working full-time & operating within the State (not beyond prescribed statutory limit)	
4	Interest Subsidy on Term Loan	Term Loan procured towards expenditure accounted under GFCI Term Loan shall not exceed approved GFCI Term loan account must be an exclusive account maintained by the financing institution/beneficiary	Penalties of any kind imposed by the financing institution If enterprise is availing interest subsidy under Scheme of Central Government, then total quantum of interest subsidy from State and Central, in any case shall not exceed 90% of the total interest paid to Bank/ Financial institution
5	Electricity Duty	Refer Operational Assistance - Power Tariff above	Refer Operational Assistance - Power Tariff above





Gujarat State Biotechnology Mission

Departement of Science & Technology, Government of Gujarat Block No. 11, 9th Floor, Udyog Bhavan, Gandhinagar-382010 Email: info-btm@gujarat.gov.in | URL: http://btm.gujarat.gov.in