

# Database System

## 01 | Database Management System

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**“If you are not willing to learn,  
no one can help you.  
If you are determined to learn,  
no one can stop you.”**

@InspiringThinkn



# Goals of the Meeting

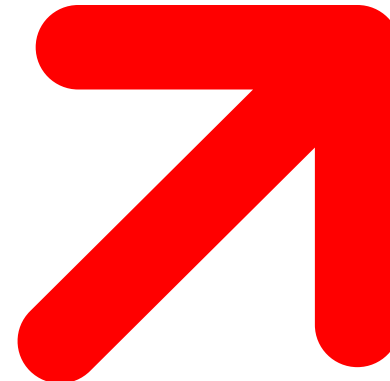
**01**

Students understand  
the advantages of using  
database systems and  
how the database  
system evolve from time  
to time



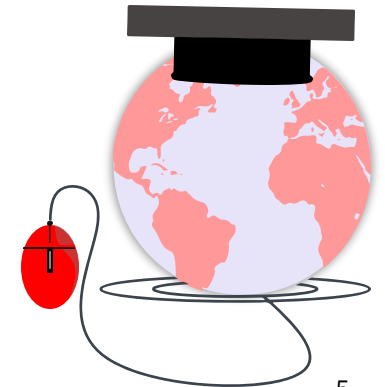
**02**

Students understand  
the components of  
database engine, how  
they worked, and who  
are the users



# OUTLINES

- Database Management System
- Database Engine



# **DATABASE MANAGEMENT SYSTEM**



# Basic Concepts

DBMS contains information about a particular enterprise

- Collection of interrelated data
- Set of programs to access the data
- An environment that is both *convenient* and *efficient* to use

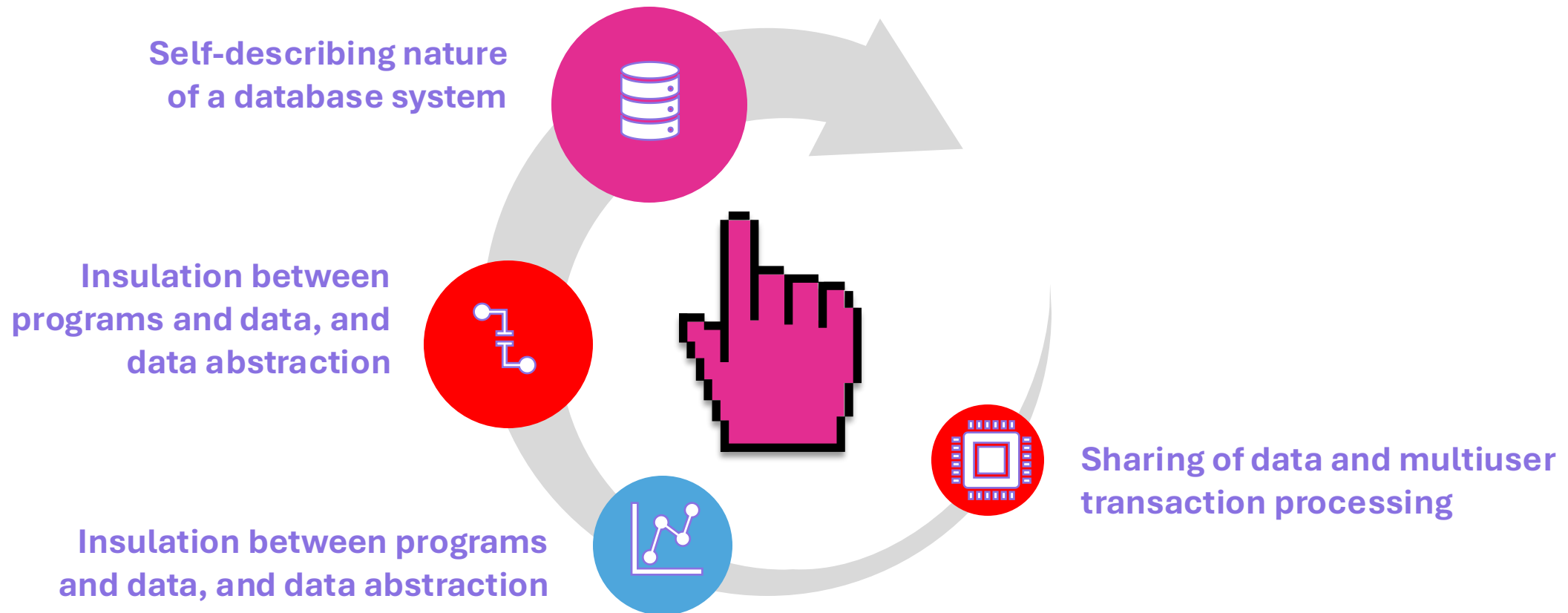
Database Applications:

- Banking: transactions
- Airlines: reservations, schedules
- Universities: registration, grades
- Sales: customers, products, purchases
- Online retailers: order tracking, customized recommendations
- Manufacturing: production, inventory, orders, supply chain
- Human resources: employee records, salaries, tax deductions

Databases can be very large.



# Characteristics of the Database Approach

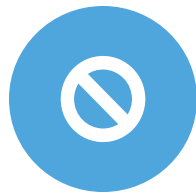




# ADVANTAGES OF USING DBMS APPROACH



Controlling Redundancy



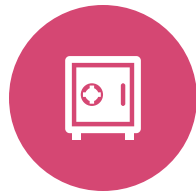
Restricting Unauthorized Access



Providing Persistent Storage for Program Objects



Providing Storage Structures and Search Techniques for Efficient Query Processing



Providing Backup and Recovery



Providing Multiple User Interfaces



## ADVANTAGES OF USING DBMS APPROACH (CONT.)



Representing Complex Relationships among Data



Enforcing Integrity Constraints



Permitting Inferencing and Actions Using Rules and Triggers



Additional Implications of Using the Database Approach

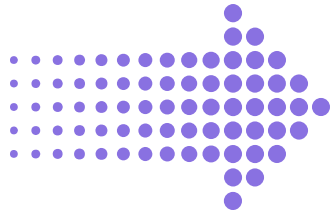
Potential for Enforcing Standards.  
Reduced Application Development Time.  
Flexibility.  
Availability of Up-to-Date Information.  
Economies of Scale



# HISTORY OF DATABASE SYSTEMS

Hard disks allowed direct access to data  
Network and hierarchical data models in  
widespread use

## Late 1960s and 1970s

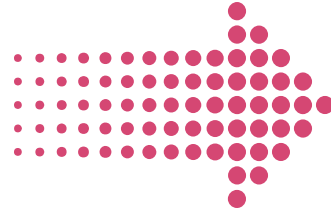


### 1950s and early 1960s:

Data processing using magnetic tapes  
for storage

- Tapes provided only sequential access
- Punched cards for input

Punched cards for input



### Late 1960s and 1970s

Ted Codd defines the relational data model

- Would win the ACM Turing Award for this work
- IBM Research begins System R prototype
- UC Berkeley (Michael Stonebraker) begins Ingres prototype
- Oracle releases first commercial relational database

High-performance (for the era) transaction  
processing

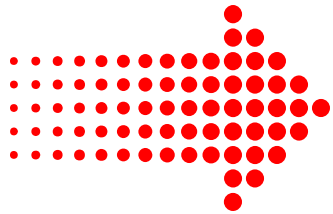


# History of Database Systems

Parallel and distributed database systems

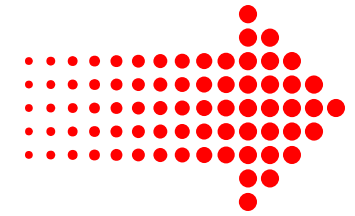
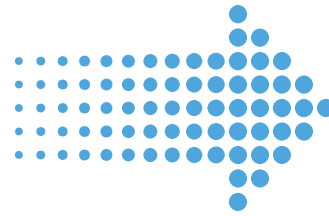
Wisconsin, IBM, Teradata

**1980s**



**1980s**

Research relational prototypes evolve into commercial systems  
SQL becomes industrial standard



**1980s**

Object-oriented database systems



# HISTORY OF DATABASE SYSTEMS

Big data storage systems

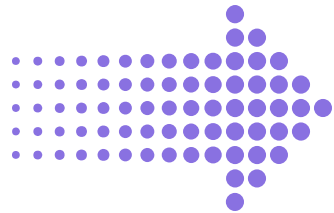
- Google BigTable, Yahoo PNuts, Amazon, “NoSQL” systems.

SQL reloaded

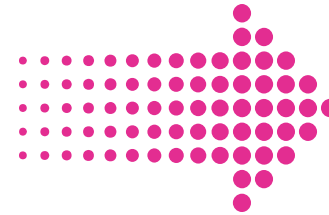
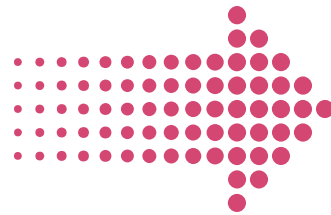
- SQL front end to Map Reduce systems
- Massively parallel database systems
- Multi-core main-memory databases

**2000s**

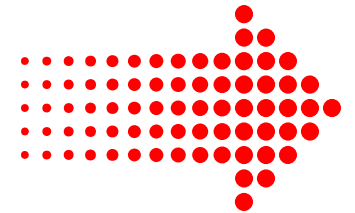
**2010s**



**1990s**



**2000s**



Large decision support and data-mining applications

Large multi-terabyte data warehouses

Emergence of Web commerce

Big data analysis: beyond SQL

- Map reduce and friends

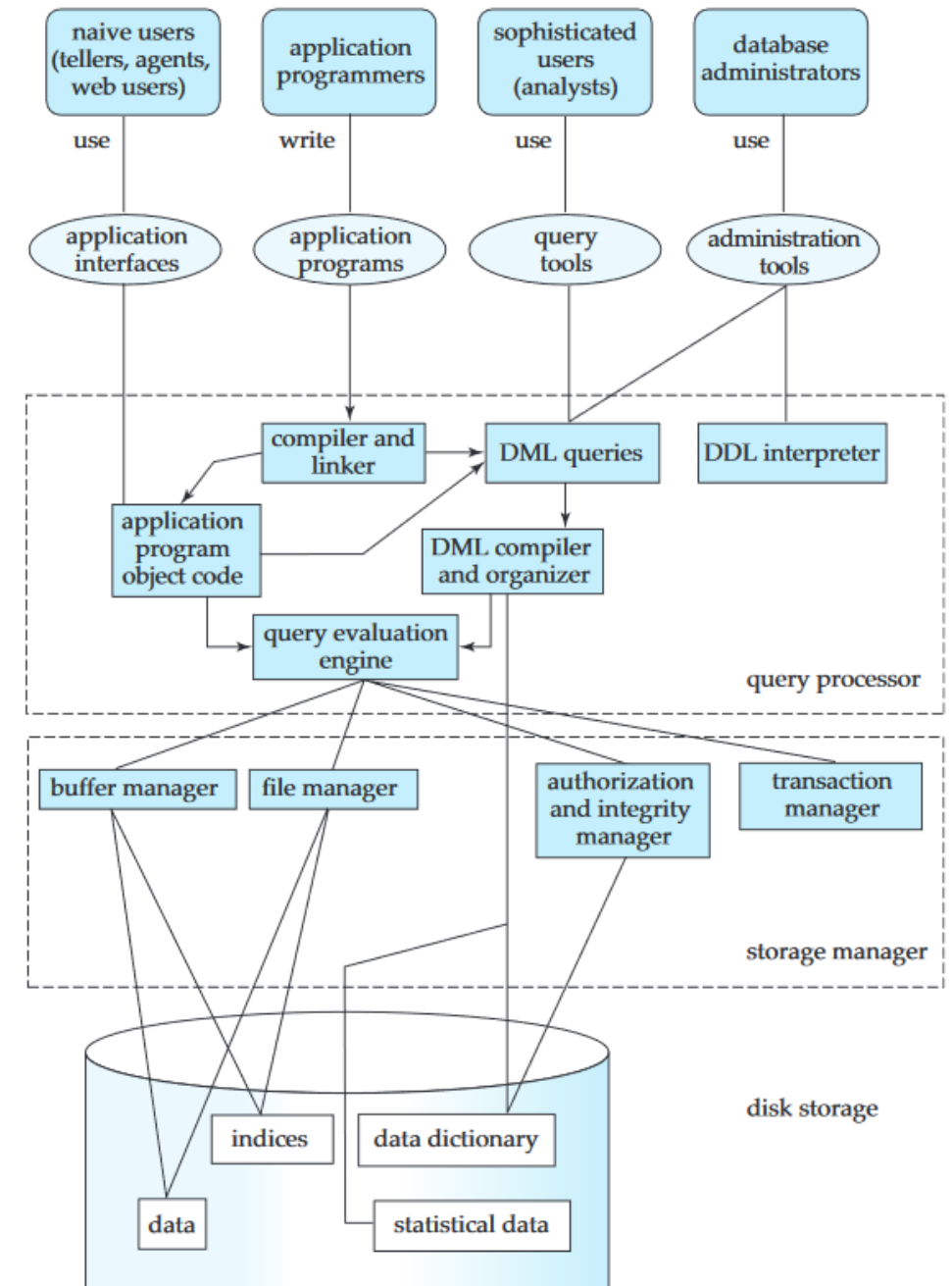


# **DATABASE ENGINE**



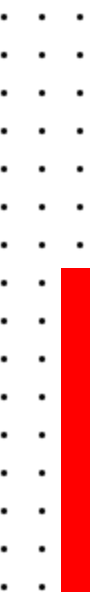
# DATABASE ENGINE

- A database system is partitioned into modules that deal with each of the responsibilities of the overall system.
- The functional components of a database system can be divided into
  - The storage manager,
  - The query processor component,
  - The transaction management component.



## STORAGE MANAGER

- A program module that provides the interface between the low-level data stored in the database and the application programs and queries submitted to the system.
- The storage manager is responsible to the following tasks:
  - Interaction with the OS file manager
  - Efficient storing, retrieving and updating of data





# COMPONENT OF STORAGE MANAGER

- **Authorization and integrity manager**, which tests for the satisfaction of integrity constraints and checks the authority of users to access data.
- **Transaction manager**, which ensures that the database remains in a consistent (correct) state despite system failures, and that concurrent transaction executions proceed without conflicting.
- **File manager**, which manages the allocation of space on disk storage and the data structures used to represent information stored on disk.
- **Buffer manager**, which is responsible for fetching data from disk storage into main memory, and deciding what data to cache in main memory. The buffer manager is a critical part of the database system, since it enables the database to handle data sizes that are much larger than the size of main memory.



# DISK STORAGE

- The storage manager implements several data structures as part of the physical system implementation:
- **Data files**, which store the database itself.
- **Data dictionary**, which stores metadata about the structure of the database, in particular the schema of the database.
- **Indices**, which can provide fast access to data items. A database index provides pointers to those data items that hold a particular value. For example, we could use an index to find the instructor record with a particular ID, or all instructor records with a particular name
- **Hashing** is an alternative to indexing that is faster in some but not all cases.



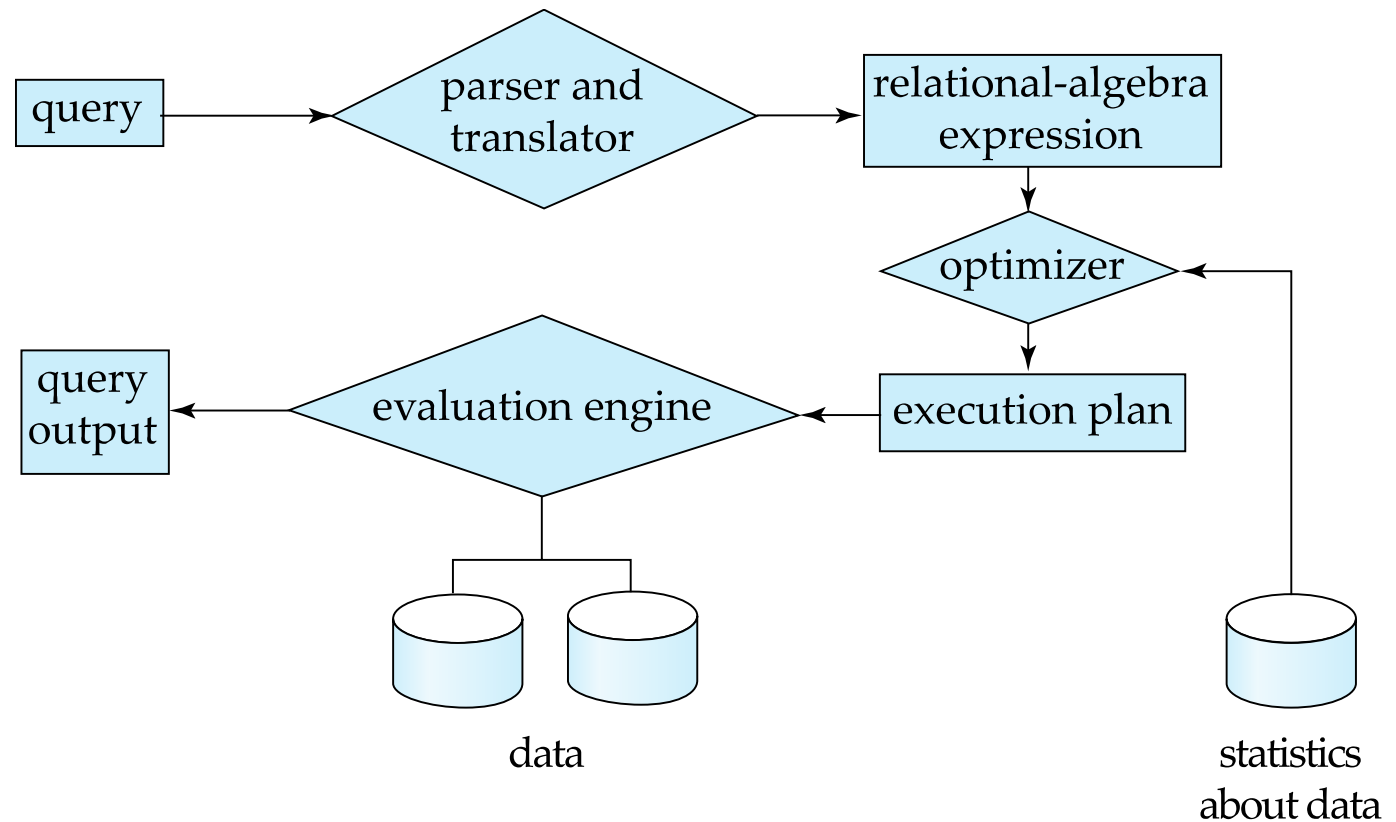
# QUERY PROCESSOR

- The query processor components include:
- **DDL interpreter**, which interprets DDL statements and records the definitions in the data dictionary.
- **DML compiler**, which translates DML statements in a query language into an evaluation plan consisting of low-level instructions that the query evaluation engine understands
  - A query can usually be translated into any of a number of alternative evaluation plans that all give the same result. The DML compiler also performs **query optimization**; that is, it picks the lowest cost evaluation plan from among the alternatives.
- **Query evaluation engine**, which executes low-level instructions generated by the DML compiler



# QUERY PROCESSING

1. Parsing and translation
2. Optimization
3. Evaluation



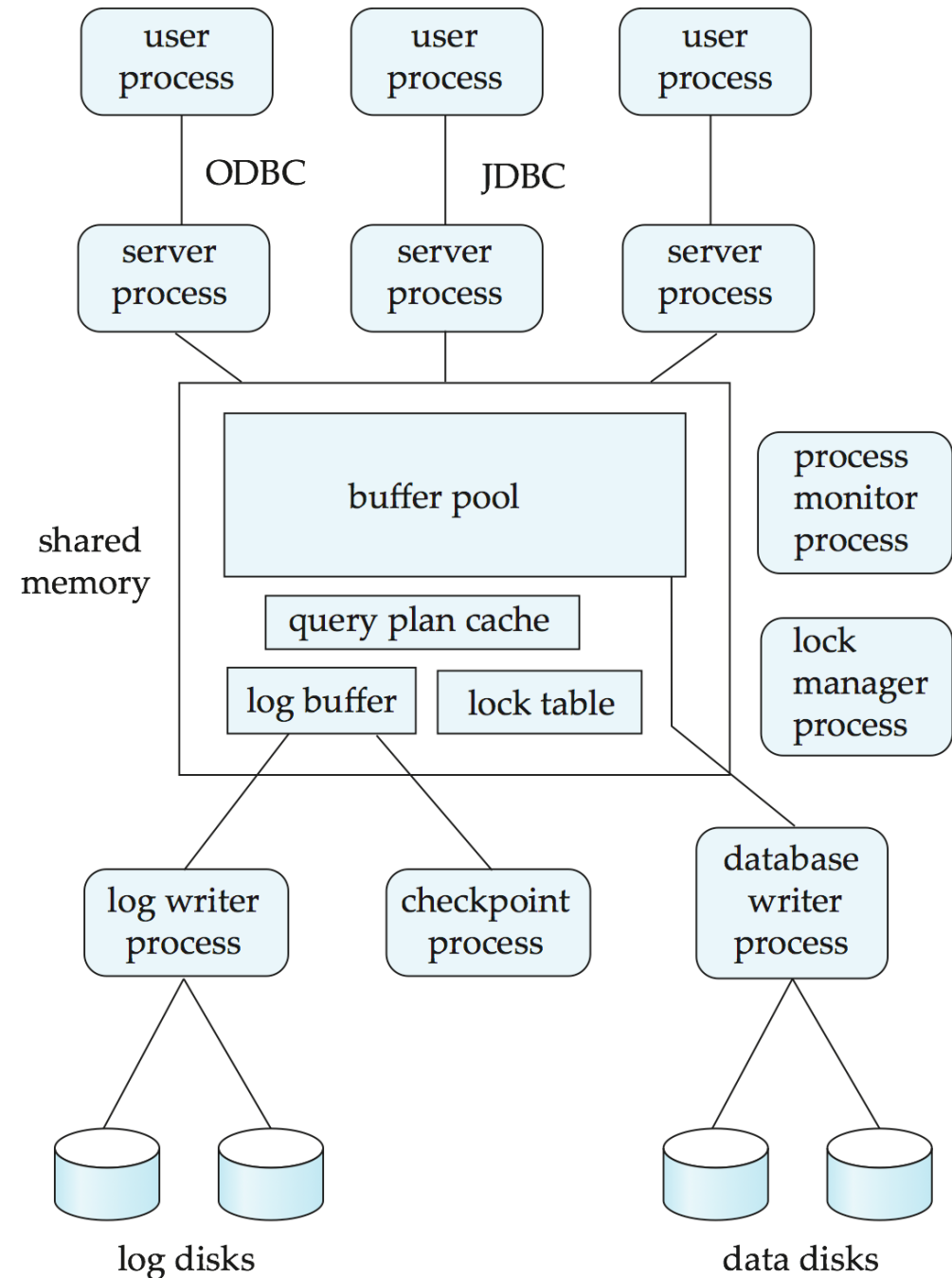


# TRANSACTION MANAGEMENT

- A **transaction** is a collection of operations that performs a single logical function in a database application
- **Transaction-management component** ensures that the database remains in a consistent (correct) state despite system failures (e.g., power failures and operating system crashes) and transaction failures.
- **Concurrency-control manager** controls the interaction among the concurrent transactions, to ensure the consistency of the database.



# TRANSACTION SYSTEM PROCESSES



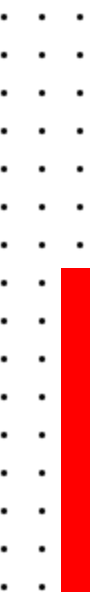
# TRANSACTION SYSTEM PROCESS STRUCTURE

- A typical transaction server consists of multiple processes accessing data in shared memory.
- Server processes
  - These receive user queries (transactions), execute them and send results back
  - Processes may be **multithreaded**, allowing a single process to execute several user queries concurrently
  - Typically multiple multithreaded server processes
- Lock manager process
- Database writer process
  - Output modified buffer blocks to disks continually



# TRANSACTION SYSTEM PROCESSES STRUCTURE (CONT.)

- Log writer process
  - Server processes simply add log records to log record buffer
  - Log writer process outputs log records to stable storage.
- Checkpoint process
  - Performs periodic checkpoints
- Process monitor process
  - Monitors other processes, and takes recovery actions if any of the other processes fail
    - E.g., aborting any transactions being executed by a server process and restarting it





# TRANSACTION SYSTEM PROCESSES STRUCTURE (CONT.)

- Shared memory contains shared data
  - Buffer pool
  - Lock table
  - Log buffer
  - Cached query plans (reused if same query submitted again)
- All database processes can access shared memory
- To ensure that no two processes are accessing the same data structure at the same time, databases systems implement **mutual exclusion** using either
  - Operating system semaphores
  - Atomic instructions such as test-and-set
- To avoid overhead of interprocess communication for lock request/grant, each database process operates directly on the lock table
  - instead of sending requests to lock manager process
- Lock manager process still used for deadlock detection



# DATABASE USERS



## Application programmers

interact with system through DML calls



## Sophisticated users

form requests in a database query language



## Specialized users

write specialized database applications that do not fit into the traditional data processing framework



## Naïve users

Invoke one of the permanent application programs that have been written previously

E.g. people accessing database over the web, bank tellers, clerical staff



## Database Administrator



# DATABASE ADMINISTRATOR

- A person who has central control over the system is called a **database administrator (DBA)**.
- Functions of a DBA include:
  - Schema definition
  - Storage structure and access-method definition
  - Schema and physical-organization modification
  - Granting of authorization for data access
  - Routine maintenance
  - Periodically backing up the database
  - Ensuring that enough free disk space is available for normal operations, and upgrading disk space as required
  - Monitoring jobs running on the database



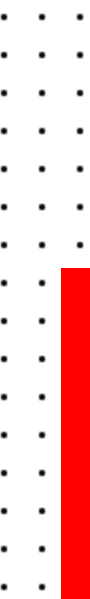
# REFERENCES

Silberschatz, Korth, and Sudarshan. *Database System Concepts* – 7<sup>th</sup> Edition. McGraw-Hill. 2019.

Slides adapted from Database System Concepts Slide.

Source: <https://www.db-book.com/db7/slides-dir/index.html>

Elmasri, Navathe, “Fundamental of Database Systems”, Seventh Edition, Pearson, 2015.





# ANY QUESTIONS?





# THANK YOU

Database System