

Unit - 4

Sorting

- Sorting refers to arranging some elements in ascending or descending order.

Methods

Exchange
Sort

Bubble &
quick sort

Insertion
sort

• straight
insertion &
Shell sort

Selection
sort

• straight
selection
& heap
sort

External
Sort

• merge
sort

Unit - IV

3/10/17

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STUDY BUDDIES

→ Bubble Sort (DATA, N):

1) Repeat steps 2 and 3 for $K=1$ to $(N-1)$

2) Set PTR := 1

3) Repeat while $PTR \leq N-K$:

(a) If $DATA[PTR] > DATA[PTR+1]$

Interchange both

(b) Set PTR := PTR + 1

[End of inner loop]

[End of outer loop]

4) Exit

e.g. 32, 51, 27, 85, 66

Pass I: Step-1) ③②, ⑤①, 27, 85, 66

2) 32, ②⑦, ⑤①, 85, 66

3) 32, 27, ⑤①, ⑧⑤, 66

4) 32, 27, 51, ⑥⑥, ⑧⑤

Pass II: Step-1) ②⑦, ③②, 51, 66, 85

Ques: 4, 6, 1, 8, 2, 3

Pass I: Step-1) 4, ①⑥, 8, 2, 3

2) 4, 1, ⑥, ⑧, 2, 3

3) 4, 1, 6, ②, ⑧, 3

4) 4, 1, 6, 2, ③, ⑧

Complexity

For N elements, there are $N-1$ passes & in each pass there are $N-1$ comparisons.

$$\begin{aligned}\therefore \text{Complexity} &= (N-1)(N-1) \\ &= N^2 - 2N + 1 \\ &= O(n^2)\end{aligned}$$

Pass II: Step-1) 1, 4, 6, 2, 3, 8

2) 1, 4, 2, 6, 3, 8

3) 1, 4, 2, 3, 6, 8

Pass III: Step-1) 1, 4, 2, 3, 6, 8

2) 1, 2, 4, 3, 6, 8

3) 1, 2, 3, 4, 6, 8

Max. no. of possible passes = (no. of elements - 1)

- After each pass, the largest value is shifted to the end of the array.

→ Insertion Sort

e.g. 32, 51, 27, 5, 6

Pass-I: A[1] by itself is sorted

II: A[2] is inserted either before or after A[1] & such that these two are sorted.

III: A[3] is inserted into its proper place among the three i.e. before A[1] OR b/w A[1] & A[2]; or after A[3] such that they are sorted.

	$A[0]$	$A[1]$	$A[2]$	$A[3]$	$A[4]$	$A[5]$
$k=1$	$-\infty$	32	51	27	5	6
$k=2$	$-\infty$	32	51	27	5	6
$k=3$	$-\infty$	27	32	51	5	6
$k=4$	$-\infty$	5	27	32	51	6
$k=5$	$-\infty$	5	6	27	32	51

Ques) $77, 33, 44, 11, 88, 22, 66, 55$

	$A[0]$	$A[1]$	$A[2]$	$A[3]$	$A[4]$	$A[5]$	$A[6]$	$A[7]$	$A[8]$
$k=1$	$-\infty$	77	44	11	88	22	66	55	
$k=2$	$-\infty$	33	44	77	11	88	22	66	55
$k=3$	$-\infty$	11	33	44	77	88	22	66	55
$k=4$	$-\infty$	11	33	44	77	88	22	66	55
$k=5$	$-\infty$	11	22	33	44	77	88	66	55
$k=6$	$-\infty$	11	22	33	44	55	77	88	66
$k=7$	$-\infty$	11	22	33	44	55	66	77	88

→ first step should have been:

$-\infty \quad 77 \quad 33 \quad 44 \quad 11 \quad 88 \quad 22 \quad 66 \quad 55$

& since $77 > -\infty$, \therefore no interchange occurs in this pass
 \Rightarrow total no. of passes = 8

Max. no. of passes = no. of elements

Complexity

- outer loop runs $N-1$ times.
- Max. comparisons = $N-1$
~~in 1st comparison~~
- ∴ in 1st iteration, no. of comparisons will be 1
in 2nd = 2 upto $N-1$.

$$\begin{aligned}\therefore \text{Total comparisons} &= 1+2+3+4+\dots+(N-1) \\ &= \frac{N(N-1)}{2} \\ &= O(N^2).\end{aligned}$$

Algo :-

- 1) Set $A[0] := -\infty$
- 2) Repeat steps 3 to 5 for $k = 2, 3, \dots, N$
- 3) Set Temp = $A[k]$ and $PTR := k-1$
- 4) Repeat while $Temp < A[PTR]$
 - a) Set $A[PTR+1] := A[PTR]$
 - b) Set $PTR := PTR-1$

[End of loop]
- 5) Set $A[PTR+1] := Temp$

[End of step 2 loop]
- 6) EXIT

→ Merge Sort

Select → sort → Merge

10, 11, 9, 6, 3, 1, 2, 4, 14, 16, 18, 17

10, 11, 6, 9, 1, 3, 2, 4, 14, 16, 17, 18

1, 2, 3, 4, 6, 9, 10, 11, 14, 16, 17, 18

Select pairs of 2 elements

sort each individual pair

Merge two pairs to form a quad.

Complexity of merge sort

-) The value of subarrays increases by a power of 2, so there cannot be more than $\log_2 N$ passes in the merge sort.
 -) Max. Comparisons = N
- ∴ Complexity = $O(N \log_2 N)$
-) However, it requires additional space in the form of auxiliary memory.

Ques) 66, 33, 40, 22, 55, 88, 60, 11, 18, 20, 50, 44
77, 30

33, 66, 22, 40, 55, 88, 11, 60, 18, 20, 44, 50, 30, 77

22, 33, 40, 66 11, 55, 60, 88 18, 20, 44, 50 30, 77

11, 22, 33, 40, 55, 60, 66, 88 18, 20, 30, 44, 50, 77

11, 18, 20, 22, 30, 33, 40, 44, 50, 55, 60, 66, 77, 88

→ Selection Sort

Pass I: Find the location LOC of the smallest element in the list of N elements - A[1], A[2], ..., A[N] and then interchange A[LOC] and A[1].
 Thus, A[1] is sorted.

Pass-II: Find the location LOC of the smallest element in the sublist of (N-1) elements - A[2], A[3], ..., A[N] and then interchange A[LOC] and A[2].
 Thus, A[1] & A[2] are sorted.
 And so on.

Max. no. of passes = (no. of elements - 1)

eg: $R.LOC := A[1] : A[2] \quad A[3] : A[4] : A[5]$

	6	2	8	1	5
1	4	6	2	8	1
2	2	1	2	8	6
3	5	1	2	8	6
4	4	1	2	5	6
5	5	1	2	5	6

Ques) 77, 33, 44, 11, 88, 22, 66, 55

K	LOC	A[1]	A[2]	A[3]	A[4]	A[5]	A[6]	A[7]	A[8]
		77	33	44	11	88	22	66	55
1	4	(77)	33	44	(11)	88	22	66	55
2	6	11	(33)	44	77	88	(22)	66	55
3	6	11	22	(44)	77	88	(33)	66	55
4	6	11	22	33	(77)	88	(44)	66	55
5	8	11	22	33	44	(88)	77	66	(55)
6	7	11	22	33	44	55	(77)	(66)	88
7	8	11	22	(33)	44	55	66	77	(88)

Complexity

No. of comparisons in 1st iteration = $n-1$

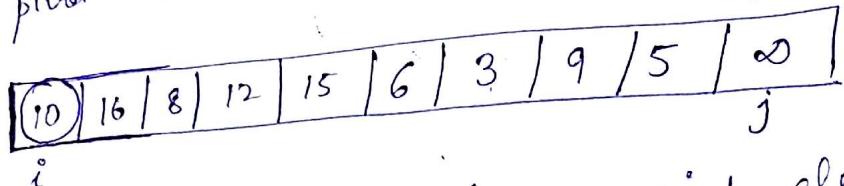
$$\begin{aligned} \text{Total} &= (n-1) + (n-2) + \dots + 1 \\ &= O(N^2) \end{aligned}$$

Quick Sort (Divide & Conquer)

10, 16, 8, 12, 15, 6, 3, 9, 5

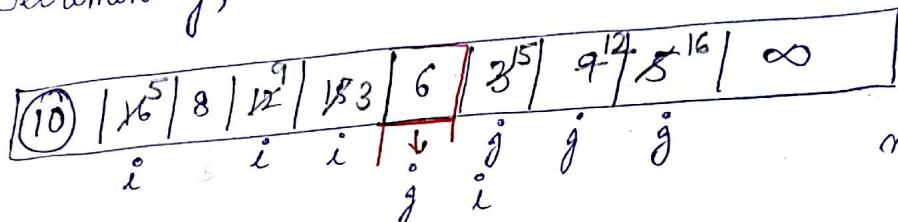
Step 1: choose a pivot element. Here we are taking it to be the ~~first~~ first element. i.e., 10.

$$\therefore \text{pivot} = 10.$$



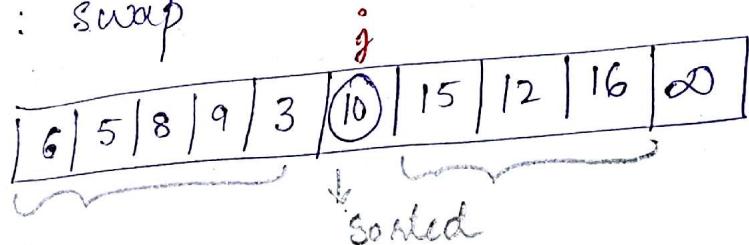
Step 2: Increment i , until you find element $>$ pivot.
 " " " " "
 " " " " "
 " " " " "

Step 3: Decrement j ,



now i has crossed j , which means that is the posⁿ of pivot.

Step 4: swap



Step 5: Perform quicksort recursively on either side, to get the desired results.

Shell Sort

- In this sorting algorithm, we compare elements that are a distance apart rather than adjacent.
- For N elements, we start with a value $\text{gap} < N$.

$$\text{Gap} = \left\lfloor \left(\frac{N}{2} \right) \right\rfloor, \text{ where } N = \text{no. of elements}$$

- we reduce gap in each pass, till it reaches the value 1.
- In the last pass, shell sort is like insertion sort.

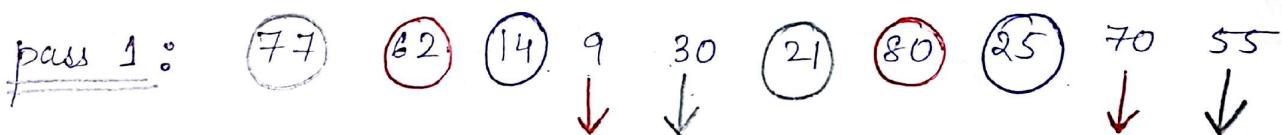
like: in pass 1 = $\text{gap}_0 = \text{floor} \left(\frac{N}{2} \right)$

pass 2 = $\text{gap}_1 = \text{floor} \left(\frac{\text{gap}_0}{2} \right)$

pass 3 = $\text{gap}_2 = \text{floor} \left(\frac{\text{gap}_1}{2} \right)$

eg. 77, 62, 14, 9, 30, 21, 80, 25, 70, 55
So, $N = 10$ elements

$$\text{gap} = \text{floor} \left(\frac{10}{2} \right) = \text{floor}(5) = 5$$

pass 1: 77 62 14 9 30 21 80 25 70 55


Swap \rightarrow 21 62 14 9 30 77 80 25 70 55

pass 2: $\text{gap} = \text{floor} \left(\frac{5}{2} \right) = \text{floor}(2.5) = 2$

21 62 14 9 30 77 80 25 70 55

place: 14 9 21 25 30 55 70 62 80 77

pass 3: $\text{gap} = \text{floor} \left(\frac{2}{2} \right) = 1$

14 9 21 25 30 55 70 62 80 77

= 9 14 21 25 30 55 62 70 77 80

ans.

→

Radix Sort

e.g. 348, 143, 361, 423, 538, 128, 321, 543, 366

No. of passes = no. of digits in values to be sorted

1st pass sorts / considers ones place

	0	1	2	3	4	5	6	7	8	9
348										348
143				143						
361	361									
423			423							
538								538		
128									128	
321	321									
543				543						
366							366			

Arrange the values in sorted order of ones place digits ~~for~~
to be used for second pass.

0	1	2	3	4	5	6	7	8	9
---	---	---	---	---	---	---	---	---	---

361

361

321

321

143

143

423

423

543

543

366

366

348

348

538

538

128

128

0	1	2	3	4	5	6	7	8	9
---	---	---	---	---	---	---	---	---	---

321

321

423

423

128

128

538

538

143

143

543

543

348

348

361

361

361

366

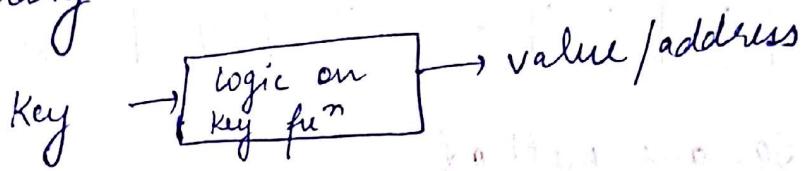
366

→ 128, 143, 321, 348, 361, 366, 423, 538, 543

Hashing

- It is a searching technique that rely on the concept of Hash tables.

e.g. Dictionary



Hashing methods:

- ① Direct hashing
- ② Subtraction method
- ③ Modulo division
- ④ Mid square
- ⑤ Pseudorandom hashing
- ⑥ Folding method

1. Direct hashing

The key is addressed without any algorithmic manipulation.

$$k \rightarrow \text{address}$$

Roll no.	Name
1	AB
2	ABC
3	
4	
5	
6	
7	
8	
9	
10	

- * No collision
- * Not suitable for large DB.

2. Subtraction method

Function would be some subtraction value.



Roll no.	Name
201	
202	
203	
204	
205	
206	
207	
208	
209	
210	
211	
212	
213	
214	
215	
216	
217	
218	
219	
220	
221	
222	
223	
224	
225	
226	
227	
228	
229	
230	
231	
232	
233	
234	
235	
236	
237	
238	
239	
240	
241	
242	
243	
244	
245	
246	
247	
248	
249	
250	

- * No collision

- * Suitable for small lists.

3. Modulo - Division method (division remainder)
 Hash address = key modulus size.

key	234	431	947	981	792	685	table size = 13
$h(k)$	0	2	11	6	12	9	(remainders)

4. Mid - Square method

The key is squared & address selected from middle of the squared no.

$h(k) = \text{middle digits of } k^2$

$$\text{eg. } h(1150130) = 13454\cancel{2}3617100$$

$$h(1001) = 10\cancel{1}0001$$

- The drawback is that it does not distribute key uniformly.

5. Pseudorandom method

A random no. is generated using key using the modulo division method to generate hash key.

$$h(k) = (a * k + c) \% \text{ size}$$

where $a = \text{coeff}$, $c = \text{constant}$.

$$\text{eg. } k = 121267$$

$$h(k) = (a * 121267 + c) \% \text{ size}$$

$$= (17 * 121267 + 7) \% 307$$

(assuming values
of a, c & size)

$$= 4.$$

Collision Resolution

A collision is the event that occurs when a hashing algorithm produces an address for indexing and that address already exists.

Collision Resolution Techniques.

This technique is an attempt to place the record elsewhere in the table when collision occurs.

① open addressing

Linear probing
Quadratic probing

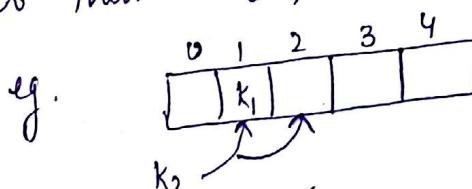
② chaining

③ Bucket hashing

④ Pseudorandom probing

① open addressing

In open addressing, when slot produced by hash funⁿ $h(k)$ has no more space for insertion, an empty slot other than $h(k)$ is located in hash table.



* Linear probing

$$H(k) = k \bmod \text{size}$$

$$\begin{array}{l} 21 \\ 22 \\ 11 \\ 32 \end{array} \xrightarrow{\quad} k \bmod 5$$

4	32
3	11
2	22
1	21
0	

$$11 \bmod 5 = 1 \quad (\text{already full})$$

then go to next available slot.

$$82 \bmod 5 = 2 \quad (\text{full})$$

Quadratic probe

e.g. $21 \quad 22 \quad 11 \quad 32 \quad \Rightarrow k \bmod 5$

0	11
1	21
2	22
3	32
4	

$$21 \% 5 = 1 \quad \text{no collision}$$

$$22 \% 5 = 2 \quad \text{" "}$$

$$11 \% 5 = 1 \quad \text{collision}$$

$$H_i(x) = [h(k) + f(i)] \bmod \text{size}$$

$$H_1(x) = (h(k) + i^2) \bmod \text{size}$$

$$\rightarrow H_1(x) = \begin{cases} (11+1^2) \bmod 5 \\ 12 \bmod 5 \end{cases} = 2 \quad (\text{again collision})$$

$$\begin{aligned} H_2(x) &= (11+2^2) \bmod 5 \\ &= (11+4) \bmod 5 \\ &= 15 \bmod 5 = 0. \end{aligned}$$

\therefore new 11 will be placed at 0.

$$32 \% 5 = 2 \quad (\text{collision})$$

$$\text{So, } H_1 = (32+1^2) \bmod 5 \\ = 32 \bmod 5 = 2$$

$$\Rightarrow (32+2^2) = 36 \bmod 5 = 1 \quad X$$

$$32+3^2 = (32+9) \bmod 5 = 1 \quad X$$

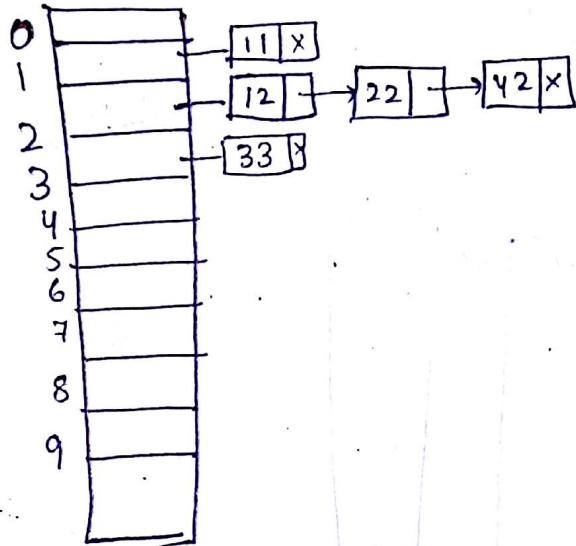
$$32+4^2 = 48 \bmod 5 = 3 \quad \checkmark$$

\therefore 32 will be placed at 3.

Separate chaining:

All keys that map to the same hash value are kept in a list.

e.g. 12, 11, 22, 33, 42
 $H(K) = K \bmod 10$.



$$\begin{aligned}12 \% 10 &= 2 \\11 \% 10 &= 1 \\22 \% 10 &= 2 \\33 \% 10 &= 3 \\42 \% 10 &= 2\end{aligned}$$

① ordered chain will be beneficial in case of searching.

③ Pseudorandom probe:
if a collision occurs then find new address
using the formula -

$$\begin{aligned}\text{Add} &= (a \times \text{coll. add} + c) \% \text{ size} + 1 \\&= (23 \times 1 + 200) \% 25 + 1 \\&= 223 \% 25 + 1 \\&= 24\end{aligned}$$

④ Linked list probe
• Same as chaining method.

③ Bucket hashing

- Convert hash table slot into bucket.
- m slots of hash tables are divided into buckets "B" with each bucket having m/B slots.

e.g. we have 20 keys

$$B = 5 \quad (\text{creates } 5 \text{ buckets})$$

$$\text{No. of slots} = \frac{20}{5} = 4$$

20
22
10
30

$$K \bmod 5 =$$

0	20	10	30	
1				
2	22			
3				
4				

Bucket hash

$$20 \bmod 5 = 0$$

$$22 \bmod 5 = 2$$

$$10 \bmod 5 = 0$$

$$30 \bmod 5 = 0$$

Note: In case of overflow, we may use linear hashing or extensible hashing.