### Some facts

If  $f: X \to Y$  is a homotopy equivalence then we have a bijection  $Vect_n(X) \cong Vect_n(Y)$ .

Let  $G_n(\mathbb{C}^{\infty})$  be the set of n dimensional planes in  $\mathbb{C}$ . This is a manifold. Let  $V_n(\mathbb{C}^{\infty})$  be its tautological bundle given by taking the union of all n-planes.

The homotopy classes of maps  $f: X \to G_n(\mathbb{C}^{\infty})$  are in bijection with  $Vect_n(X)$  given by  $[f] \mapsto f^*(V_n(\mathbb{C}^{\infty}))$ . This is equivalently expressed as  $Vect_n(X) \cong [X:G_n(\mathbb{C}^{\infty})]$ .

We know that  $Vect(X) \cong \sqcup_n Vect_n(X)$  forms a semi ring with the Whitney and Tensor product, because elements  $[E], [F] \in Vect(X)$  are subject to operations

$$[E] + [F] = [E \oplus F]$$
$$[E] \cdot [F] = [E \otimes F]$$

The additive identity is the rank 0 bundle, and the multiplicative identity is trivial bundle  $X \times \mathbb{C}$ . This is a semi-ring because we have no additive inverses.

## K-theory

To make this into a ring K(X) we consider elements of K(X) to be [E] - [F], where  $[E], [F] \in Vect(X)$  subject to the relation

$$[E] - [F] \simeq [J] - [K]$$
 if there exists  $[Q] \in Vect(X)$  such that  $E \oplus K \oplus Q = J \oplus F \oplus Q$ .

**Theorem.** Every element [E] - [F] in K(X) can be written as  $[J] - [\varepsilon^n]$  where  $\epsilon^n$  is the trivial bundle.

*Proof.* Let K be such that  $F \oplus K \cong \varepsilon^n$  and set  $J \cong E \oplus K$ . Then

$$[J] - [\varepsilon^n] = [E \oplus K] - [F \oplus K]$$
$$= [E] - [F].$$

Addition in K(X) is defined as follows:

$$([E] - [F]) + ([J] - [K])) = (E \oplus J) - (F \oplus K)$$

while multiplication is

$$([E] - [F]) \cdot ([J] - [K])) = ((E \otimes J) \oplus (F \otimes K)) - ((E \otimes K) \oplus (F \otimes J)).$$

#### Reduced K-theory

Let X be a topological space. We begin by defining the rank function

$$\rho: \operatorname{Vect}(X) \to \mathbb{N}_0$$

which sends a vector bundle E over X to its rank:

$$\rho(E) := \operatorname{rank}(E).$$

This is a semiring homomorphism:

$$\rho(E \oplus F) = \rho(E) + \rho(F),$$
  

$$\rho(E \otimes F) = \rho(E) \cdot \rho(F),$$
  

$$\rho(\varepsilon^{1}) = 1,$$

We can extend  $\rho$  to a ring homomorphism

$$\tilde{\rho}:K(X)\to\mathbb{Z}$$

defined on the Grothendieck group K(X) by:

$$\tilde{\rho}([E] - [F]) := \rho(E) - \rho(F).$$

**Lemma.**  $\tilde{\rho}$  is well-defined.

*Proof.* Suppose [E] - [F] = [J] - [K] in K(X). Then, by definition of the Grothendieck group, there exists a vector bundle Q such that

$$E \oplus K \oplus Q \cong J \oplus F \oplus Q.$$

Taking ranks, we obtain:

$$\rho(E) + \rho(K) + \rho(Q) = \rho(J) + \rho(F) + \rho(Q),$$
  
$$\rho(E) - \rho(F) = \rho(J) - \rho(F).$$

Thus,  $\tilde{\rho}$  is well-defined.

We define the reduced K-theory of X as the kernel of the rank map:

$$\widetilde{K}(X) := \ker(\widetilde{\rho}) \subseteq K(X).$$

That is,

$$[E] - [F] \in \widetilde{K}(X) \iff \operatorname{rank}(E) = \operatorname{rank}(F).$$

**Definition.** Two vector bundles E and F over X are said to be *stably isomorphic* if there exist integers  $m, n \ge 0$  such that:

$$E \oplus \varepsilon^m \cong F \oplus \varepsilon^n$$
.

The set of stable isomorphism classes of vector bundles over X is denoted  $Vect^s(X)$ .

**Theorem.** There is an isomorphism  $\varphi: Vect^s(X) \to \widetilde{K}(X)$ .

*Proof.* Let  $\{E\}$  be an equivalence class of stable vector bundles over X, then the isomorphism sends

$${E} \mapsto [E] - [\epsilon^{\operatorname{rank}(E)}].$$

We want to show that  $\varphi$  is surjective, i.e. for every  $[E]-[F] \in \widetilde{K}(X)$ , there is an element  $\{J\} \in Vect^s(X)$  such that  $\varphi(\{J\}) = [J] - [\epsilon^{\operatorname{rank}(J)}]$ . We know there exist E' and F' such that  $E \oplus E' \cong F \oplus F' \cong \epsilon^n$ . Thus,

$$[E \oplus F'] - [\epsilon^n]$$

$$= [E \oplus F'] - [F \oplus F']$$

$$= [E] - [F]$$

and 
$$\varphi(\lbrace E \oplus F' \rbrace) = [E \oplus F'] - [\epsilon^n] = [E] - [F].$$

We also want to show injectivity of  $\varphi$ . Mainly, if  $[E] - [\epsilon^m] = [F] - [\epsilon^n] \in \widetilde{K}(X)$ , then there exists an Q such that

$$E \oplus \epsilon^n \oplus Q \cong F \oplus \epsilon^m \oplus Q$$

$$E \oplus \epsilon^n \oplus Q \oplus Q' \cong F \oplus \epsilon^m \oplus Q \oplus Q'$$

$$E \oplus \epsilon^n \oplus \epsilon^k \cong F \oplus \epsilon^m \oplus \epsilon^k$$

$$E \oplus \epsilon^{n+k} \cong F \oplus \epsilon^{m+k},$$

i.e. E and F are stably isomorphic. Thus  $\varphi: Vect^s(X) \to \widetilde{K}(X)$  is an isomorphism.

Remark. It is also useful to note that when we pair the relationship  $Vect_n(X) \cong [X : G_n(\mathbb{C}^{\infty})] \cong [X : BU(n)]$  with the isomorphism above, we get

$$Vect^s(X) \cong \widetilde{K}(X) \cong [X, BU].$$

## Examples

- 1. A vector bundle over a point is just an n-dimensional plane attached to a point, thus  $Vect(pt) \cong \mathbb{N}$ . When we apply the Grothendick Construction to the natural numbers, we get the integers, thus  $K(pt) \cong \mathbb{Z}$ . Additionally  $\widetilde{K}(pt) \cong 0$ .
- 2. Using the equivalence  $\widetilde{K}(S^n) \cong [S^n:BU] \cong \pi_n(BU) \cong \pi_{n-1}(U)$

$$\cong \left\{ \begin{array}{l} \mathbb{Z}, \text{ when } n \text{ even} \\ 0, \text{ when } n \text{ odd} \end{array} \right.$$

by Bott periodicity.

3. Specifically, we can take  $X = S^2 \cong \mathbb{CP}^1$ , and we know that  $\widetilde{K}(S^2) \cong \mathbb{Z}$  by the previous part. The tautological bundle  $\gamma \to \mathbb{CP}^1$  has first chern class  $c_1(\gamma) = -1$ , and its dual has first chern class  $c_1(\gamma^*) = 1$ . The map  $Vect_1(\mathbb{CP}^1) \to H^2(\mathbb{CP}^1; \mathbb{Z})$  given by  $L \mapsto c_1(L)$  is actually a bijection.

Suppose  $c_1(L_1) = c_1(L_2) \in H^2(\mathbb{CP}^1; \mathbb{Z})$ . Since  $H^k(X; \mathbb{Z}) \cong [X; K(\mathbb{Z}, k)]$ , we have an equivalence  $H^2(\mathbb{CP}^1; \mathbb{Z}) \cong [\mathbb{CP}^1 : K(\mathbb{Z}, 2)] \cong \pi_2(\mathbb{CP}^\infty)$  and since  $\mathbb{CP}^\infty \cong BU(1)$ , then  $c_1(L) = c_1(L_2) \in \pi_2(BU(1))$  implies  $L_1 = L_2$  and thus this map is injective.

For any integer k in  $H^2(\mathbb{CP}^1; \mathbb{Z}) \cong \mathbb{Z}$ , there exists a line bundle L such that  $c_1(L) = k$  since  $c_1(\gamma)^{\otimes n} = -n$  and  $c_1(\gamma^*)^{\otimes n} = n$ . Thus the map is bijective.

Using this we can figure out the ring structure of  $\widetilde{K}(S^2)$ . If we take the element  $\{\gamma^*\} \in Vect^s(S^2)$  and map it to  $[\gamma^*] - [\epsilon] := H \in \widetilde{K}(S^2)$ , we can show that  $H^2 = 0 \in \widetilde{K}(S^2)$ . (Note that  $H \neq 0 \in \widetilde{K}(S^2)$ , because if it did, then there would exist an n, m such that  $\gamma^* \oplus \epsilon^m \cong \epsilon^n$ , but this would imply  $c_1(\gamma^* \oplus \epsilon^m) = c_1(\epsilon^n)$ , which is wrong because  $1 \neq 0$ .)

Since  $\gamma^*$  is a complex line bundle, we have:

$$[\gamma^*]^2 = [\gamma^* \otimes \gamma^*] = [(\gamma^*)^{\otimes 2}].$$

The first Chern class  $c_1(\gamma^*)^{\otimes 2} = 2$  so its class in  $K^0(S^2)$  is:

$$[(\gamma^*)^{\otimes 2}] = 1 + 2H.$$

Substituting into the earlier expression:

$$H^{2} = [\gamma^{*}]^{2} - 2[\gamma^{*}] + 1$$

$$= (1 + 2H) - 2(1 + H) + 1$$

$$= 1 + 2H - 2 - 2H + 1$$

$$= 0.$$

Thus,  $H^2 = 0$  in  $\widetilde{K}(S^2)$ , and ring structure is

$$\widetilde{K}(S^2) \cong \mathbb{Z}[H]/(H^2),$$

where  $H = [\gamma^*] - 1$  is a generator.

# K-theory as a generalized Cohomology theory

## Spectral Sequences.

We can use the Atiyah-Hirzebruch Spectral Sequence (AHSS) to relate the K-theory of X with ordinary cohomology of X.

### Questions

- 1. Can bundles of different ranks be isomorphic in  $Vect_n(X)$ ? Give example
- 2. Show explicit isomorphism  $E \cong E \oplus X \times \{0\}$
- 3. Show explicit isomorphism  $E \cong E \otimes (E \times \mathbb{C})$
- 4. Is the above isomorphism only valid for a trivial line bundle or also for  $X \times \mathbb{C}^n$ ?
- 5. Check that this is a valid equivalence relation.

### Combinatorics Note

$$\frac{P(m,k)}{P(n,k)} = \frac{m!}{(m-k)!} \times \frac{(n-k)!}{n!} = \frac{m!(n-k)!}{n!(m-k)!}.$$

When we multiply this by  $\frac{n!}{m!(n-m)!} = \binom{n}{m}$ , we get

$$\frac{m!(n-k)!}{n!(m-k)!} \times \frac{n!}{m!(n-m)!} = \binom{n-k}{n-m}.$$

Thus,

$$\sum_{k=0}^{m} \frac{P(m,k)}{P(n,k)} = \frac{1}{\binom{n}{m}} \sum_{k=0}^{m} \binom{n-k}{n-m}.$$

We need find an expression for

$$\sum_{k=0}^{m} \binom{n-k}{n-m}.$$

We can index this using i = n - k instead to obtain

$$\sum_{i=n-m}^{n} \binom{i}{n-m}.$$

Now,

$$\binom{i}{n-m} = \frac{i!}{(n-m)!(i+m-n)!}$$
$$= \frac{i!(n-m+1)}{(n-m+1)!(i+m-n)!}$$
$$= \frac{i!((i+1)-(i+m-n))}{(n-m+1)!(i+m-n)!}$$

$$= \frac{(i+1)! - i!(i+m-n)}{(n-m+1)!(i+m-n)!}$$

$$= \frac{(i+1)!}{(n-m+1)!(i+m-n)!} - \frac{i!(i+m-n)}{(n-m+1)!(i+m-n)!}$$

$$= \binom{i+1}{n-m+1} - \binom{i}{n-m+1},$$

summing over i,

$$\sum_{i=n-m}^{n} \binom{i+1}{n-m+1} - \sum_{i=n-m}^{n} \binom{i}{n-m+1}$$

$$= \binom{n+1}{n-m+1}$$

$$= \binom{n+1}{(n+1)-(n-m+1)}$$

$$= \binom{n+1}{m}.$$

Finally, when we divide by  $\binom{n}{m}$  we obtain

$$\frac{\binom{n+1}{m}}{\binom{n}{m}} = \frac{(n+1)!}{m!(n-m+1)!} \times \frac{m!(n-m)!}{n!} = \frac{n+1}{n-m+1}$$