

# Problems

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## Spivak Stuff and normal invariants

**Question.** When is a finite  $CW$  complex homotopic to a closed manifold?

### Poincare Duality

Let  $X$  be a finite  $CW$  complex. The orientation character  $\omega : \pi_1(X) \rightarrow \mathbb{Z}_2$ . Using this we can define the chain complex twisted by the orientation character

$$\mathbb{Z}^\omega \otimes_{\mathbb{Z}\pi} C_*(\tilde{X})$$

$$H_n(\mathbb{Z}^\omega \otimes_{\mathbb{Z}\pi} C_*(\tilde{X})) \doteq H_n(X; \mathbb{Z}^\omega)$$

**Definition.** A connected  $m$ -dimensional geometric Poincare complex is a finite connected  $CW$  complex with orientation character  $\omega : \pi_1(X) \rightarrow \mathbb{Z}_2$  and fundamental class  $[X] \in H_n(X; \mathbb{Z}^\omega)$  that gives a  $\mathbb{Z}\pi$  chain map

$$- \cap [x] : C^{m-*}(\tilde{X}) \rightarrow C_*(\tilde{X})$$

that is a  $\mathbb{Z}\pi$  chain homotopy equivalence.

**Remarks.** This induces  $H^{m-*}(X; \mathbb{Z}^\omega) = H_*(X; \mathbb{Z})$  and  $H^{m-*}(X) = H_*(X; \mathbb{Z}^\omega)$ .

This also works for pairs,  $(X, \partial X)$ , where the fundamental class is now  $[X] \in H_m(X, \partial X; \mathbb{Z}^\omega)$ . For example,

$$H^{m-*}(X, \partial X; \mathbb{Z}^\omega) \rightarrow H_*(X; \mathbb{Z})$$

**Theorem.** If  $M^m$  is a connected, closed manifold, then there exists a finite  $CW$  complex  $X$  homotopic to  $M$  such that  $X$  is a Poincare complex.

**Remark.** There exist Poincare spaces that are not homotopic to manifolds. For example, say  $\pi_1(M^4) = \mathbb{Z}_p$ , this then implies that  $\sigma(\tilde{M}) = p\sigma(M)$ . Then there do in fact exist Poincare spaces such that for all  $p$  prime  $M_p^4$  is such that  $\sigma(\tilde{M}_p) \neq p\sigma(M_p)$ .

## Thom Spaces and spherical fibrations

A vector bundle over a manifold  $\xi : M \rightarrow BO(k)$  can be given a metric. With respect to this metric

$$D(\xi) = \{v \in E(\xi) \mid v \leq 1\}$$

$$S(\xi) = \{v \in E(\xi) \mid v = 1\}$$

Not that these are fiber bundles so we have

$$D^k \rightarrow D(\xi) = \{v \in E(\xi) \mid v \leq 1\} \rightarrow M$$

$$S^{k-1} \rightarrow S(\xi) = \{v \in E(\xi) \mid v = 1\} \rightarrow M$$

Then the Thom space is

$$Th(\xi) = D(\xi)/S(\xi)$$

**Remarks.**

$D(\xi)$  is the mapping cylinder of  $(S(\xi) \rightarrow M)$ .

$Th(\xi)$  is the mapping cone of  $(S(\xi) \rightarrow M)$ .

**Theorem** There exists  $U_\xi \in \tilde{H}^k(Th(\xi); \mathbb{Z}^\omega) = H^k(D(\xi), S(\xi); \mathbb{Z}^\omega)$  such that we have isomorphisms

$$U_\xi \cap - : \tilde{H}_*(Th(\xi)) = H_*(D(\xi), S(\xi)) \rightarrow H_{*-k}(D(\xi); \mathbb{Z}^\omega)$$

Then  $H_{*-k}(D(\xi); \mathbb{Z}^\omega) \rightarrow H_{*-k}(M; \mathbb{Z}^\omega)$ .

**Definition.** A spherical  $k - 1$ -fibration

$$S^{k-1} \longrightarrow E \xrightarrow{p} X$$

has a disk bundle  $DE = cyl(p)$

$$D^k \longrightarrow DE \longrightarrow X$$

and has a Thom space, which is just the cone of the projection.

**Remarks.**

We can define an orientation character for a spherical fibration and we get the same Thom isomorphism theorems.

$$U_p \in \tilde{H}^k(Th(p); \mathbb{Z}^\omega) = H^k(DE, E; \mathbb{Z}^\omega)$$

Whitney sum still works

$$S(\xi \oplus \xi') = S(\xi) * S(\xi')$$

**Definition.** Let  $G(k)$  be the monoid of homotopy equivalences  $S^{k-1} \rightarrow S^{k-1}$ . Any monoid has an associated classifying space.

$$[X : BG(k)] = \text{spherical } k - 1 \text{ fibrations}$$

$$BG \doteq \lim BG(k)$$

We have a map

$$BO(k) \xrightarrow{j_k} BG(k)$$

**Pontryagin-Thom construction**

Any closed  $M^m$  has a stable normal bundle  $\nu_M : M \rightarrow BO$ . Take a representative,  $i : M \hookrightarrow \mathbb{R}^{m+k}$  so that

$$i^* T\mathbb{R}^{m+k} \cong TM \oplus \nu M$$

The tubular neighborhood theorem says that there is an diffeomorphism

$$f : (N(m), \partial N(m)) \rightarrow (D(\nu M), S(\nu M))$$

The collapse map

$$c : \mathbb{R}^{m+k} \cup \{\infty\} = S^{m+k} \rightarrow Th(\nu M)$$

sends the interior  $N(m)$  to the interior of  $D(\nu M)$  and boundary to boundary, and all other points go to infinity.

**Claim.**  $c_*[S^{m+k}]$  is a generator.

*Proof.*  $c$  is a smooth degree 1 map as every point  $x \in \text{int} D(\nu M)$  has 1 point in preimage.

$$H_{m+k}(S^{m+k}) \rightarrow \tilde{H}_{m+k}(Th(\nu M)) = H_{m+k}(D(\nu M), S(\nu M))$$

## Comparing

	Spaces	Bundles	Characteristic classes	Classifying spaces
Topology/geometry	Manifolds	vector bundles	Pontryagin	$BO$
homotopy theory	CW/Poincare Complexes	spherical fibrations	Stiefel-Whitney classes	$BG$

Manifolds have a stable normal bundle  $\nu_M : M \rightarrow BO$ . For Poincare complexes the analog is a Spivak normal fibration  $\nu_X : X \rightarrow BG$ .

**Definition.** For an  $m$ -dimensional Poincare complex  $X$  with orientation character  $\omega : \pi_1(X) \rightarrow \mathbb{Z}_2$ , a  $k-1$  Spivak normal structure on  $X$  is a  $k-1$  spherical fibration  $\nu_X : X \rightarrow BG(k)$  with the same orientation character.

$$S^{k-1} \longrightarrow E(\nu_X) \longrightarrow X$$

such that there exists a pointed map  $c : S^{m+k} \rightarrow Th(\nu_X)$  which agrees with Thom iso:

$$[X] = U_{\nu_X} \cap c_*[S^{m+k}] \in H_m(X; \mathbb{Z}^\omega)$$

**Example.** Manifolds admit a Spivak normal fibration.

$$i : M \hookrightarrow \mathbb{R}^{m+k}$$

$$\nu_X : M \rightarrow BO(k) \rightarrow BG(k)$$

$$c : S^{m+k} \rightarrow Th(\nu_X)$$

and  $c_*[S^{m+k}]$  generates  $\tilde{H}_{m+k}(Th(\nu_X))$ .

So for a choice of Thom class of  $\nu_X$ ,

$$U_{\nu_X} \in \tilde{H}^k(Th(\nu_X); \mathbb{Z}^\omega)$$

we have

$$\pm[X] = U_{\nu_X} \cap c_*[S^{m+k}]$$

**Definition.** Given a  $(k-1)$  Spivak normal structure  $\nu_X$ , the stable version  $\nu_X : X \rightarrow BG(k) \rightarrow BG$  is the Spivak normal fibration.

**Main claim.** Let  $X$  be a finite CW complex.  $X$  is a Poincare complex iff  $X$  admits a Spivak normal fibration.

*Idea of proof.*  $X$  is homotopic to a finite simplicial complex  $K$  by the simplicial approximation theorem.  $K$  can be embedded in  $\mathbb{R}^{m+k}$ . Take a regular neighborhood of  $X$ ,  $(N(X), \partial N(X))$ . Note that  $i : X \hookrightarrow N(X)$  induces isomorphisms  $i^*$  and  $i_*$  because  $X$  is a strong deformation retract of  $N(X)$ . Let  $[N(x)] \in H_{m+k}(N(x), \partial N(X))$ . Let  $u \in H^k(N(x), \partial N(X))$  then the following commutes.

$$\begin{array}{ccc} H^{m-*}(X) & \xrightarrow{\quad\quad\quad} & H^{m+k-*}(N(x), \partial N(x)) \\ & \searrow \phi & \swarrow -\cap[N(X)] \\ & H_*(X) \cong H_*(N(X)) & \end{array}$$

Set  $u = U$  the Thom class, which implies that  $\phi$  is an isomorphism which implies  $-\cap[X] : H^{m-*} \rightarrow H_*(X)$  is an iso, where  $[X] \doteq (i_*)^{-1}(U \cap [N(X)])$ . So Spivak normal fibration implies Poincare Duality.

Converse:  $[X]$  exists and if we set  $\phi$  to be Poincare duality map, this uniquely defines  $U$  up to sign. This is a candidate Thom class.

Consider  $\partial \hookrightarrow N(X) \simeq X$ .

## Consequences.

**Theorem.** An  $m$ -dimensional simply connected Poincare space is homotopy equivalent to a manifold  $M$  iff

- (1) There exists a vector bundle  $\xi : X \rightarrow BO(k)$  and  $c : S^{m+k} \rightarrow Th(\xi)$  such that  $U_\xi \cup c_*[S^{m+k}] = [X]$ .
- (2) If  $m = 4k$ ,  $\sigma(X) = \langle L_k(-\xi), [X] \rangle$ , if  $m = 4k + 2$ , the  $\mathbb{Z}_2$  valued Arf invariant of the self intersection form  $\mu : \ker(f_* : H_{2k+1}(M; \mathbb{Z}_2) \rightarrow H_{2k+1}(M; \mathbb{Z}_2)) \rightarrow \mathbb{Z}_2$  vanishes.

**Definition.** Given  $J : BO \rightarrow BG$ . We want a lift  $\tilde{\nu}_X$

$$\begin{array}{ccc} & & BO \\ & \nearrow \tilde{\nu}_X & \downarrow \\ X & \longrightarrow & BG \end{array}$$

Define the mapping fiber  $G/O$  such that we have a fibration

$$G/O \longrightarrow BO \longrightarrow BG$$

We can extend this fibration to the right.

$$G/O \longrightarrow BO \longrightarrow BG \longrightarrow B(G/O)$$

The obstruction to  $\tilde{\nu}_X$  is in  $[X : B(G/O)]$  since the fibration above passes on to exact sequence

$$[X : BO] \longrightarrow [X : BG] \longrightarrow [X : B(G/O)]$$

So we want

$$X \xrightarrow{\nu_X} BG \longrightarrow B(G/O)$$

to be nullhomotopic.

**Examples of Poincare complexes which are not manifolds.** Consider the fibration

$$S^2 \longrightarrow E \longrightarrow S^3$$

, where  $E$  is the Poincare complex which is not homotopic to a PL-manifold.

**Definition.** The  $i$ th Stiefel-Whitney class of a spherical fibration  $p : E \rightarrow X$  is  $\phi$ -Thom iso,  $\text{Sq}^i$ -ith Steenrod square.

$$\begin{array}{ccc} \tilde{H}^{m+k}(Th(p); \mathbb{Z}_2) & \xrightarrow{\text{Sq}^i} & \tilde{H}^{m+k+i}(Th(p); \mathbb{Z}_2) \\ \uparrow \phi & & \uparrow \phi \\ H^m(X; \mathbb{Z}_2) & \longrightarrow & H^{m+i}(X; \mathbb{Z}_2) \end{array}$$

$$\omega_i(p) \doteq \phi^{-1} \text{Sq}^i \phi(1)$$

where  $1 \in H^M(X; \mathbb{Z}_2)$ .

## Browder Novikov theory

**Definition.** An  $n$ -dim normal map to  $(X, \nu)$ , where  $X$  is a Poincare complex and  $\eta : X \rightarrow BO$  is a pair  $(f, b)$  such that  $f : M^n \rightarrow X$  and  $b : \nu_M \rightarrow \eta$ .

$$\begin{array}{ccc} \nu_M \cong f^* \eta & \longrightarrow & \eta \\ \downarrow & & \downarrow \\ M & \longrightarrow & X \end{array}$$

A normal bordism of two normal maps  $(f, b) : M \rightarrow X, (f', b') : M' \rightarrow X$  is a normal map

$$((F, B), (f, b), (f', b')) : (W^{m+1}, M, M') \rightarrow (X \times I, X \times \{0\}, X \times \{1\})$$

Denote by  $\mathcal{N}_m(X)$  the set of bordism equivalence classes of normal maps to  $(X, \eta)$ .

**Proposition.**  $\mathcal{N}_m(X) = \pi_{m+k}^s(Th(\eta))$ .

*Proof.* Thom's cobordism theorem. Theorem 6.10 Ranicki

**Definition.** For a  $n$ -dimensional Poincare complex  $X$

1. A normal invariant is a pair  $(\eta, \rho)$  such that  $\eta : X \rightarrow BO(k)$  with  $\omega_1(\eta) = \omega_1(X)$  and  $\rho : S^{m+k} \rightarrow Th(\eta)$  such that  $\tau_\eta \cap h_*(\eta) = [X] \in H_n(X; \mathbb{Z}^\omega)$ , where

$$h : \pi_*(Th(\eta)) \rightarrow H_*(Th(\eta))$$

2.  $(\eta, \rho) \simeq (\eta', \rho')$  iff  $c : \eta \oplus \epsilon^j \simeq \eta' \oplus \epsilon^l$  and

$$T(c)_* : \pi_{m+k+j}^s(\Sigma^j Th(\eta)) \rightarrow \pi_{m+k+j}^s(\Sigma^l Th(\eta'))$$

bla bla, we just want the induced map on the thom spaces to send  $\rho$  to  $\rho'$ .

3.  $\mathcal{I}(X)$  is the normal structure set is the set of equivalence classes of normal invariants using the relation from above.

**Example.**

$$\begin{array}{ccc} M^m & \xrightarrow{\nu_M} & S^{m+k} \\ & \searrow i & \downarrow \rho \\ & & Y/\partial Y = Th(\nu_M) \end{array}$$

**Claim.**  $(\nu_M, \rho)$  is a normal invariant of  $M$ .

*Proof.* The Thom class is  $\tau_e t a = Di_*[M]$  where  $D = (- \cup [Y, \partial Y])^{-1}$ . We have to check that

$$Di_*[M] \cap h_*(\rho) = i_*[M]$$

$h_*(\rho) = p_*[S^{m+k}] = [Y, \partial Y]$  and then this follows by construction.

**Theorem.** Let  $X$  be an  $m$ -dimensional Poincare complex. Then the following are equivalent

1.  $\mathcal{I}(X) \neq \emptyset$
2.  $\exists$  degree 1 normal map to  $(X, \eta)$ .
3. The Spivak normal fibration admits a vector bundle reduction
4. Then the composition  $X \rightarrow BG \rightarrow B(G/O)$  is nullhomotopic.

*Proof.* 3 iff 4 has already been proved.

$1 \implies 2$ , Given a normal invariant  $(\eta, \rho)$ , make  $\rho : S^{m+k} \rightarrow Th(\eta)$  transverse to the 0-section, where we have a mapping  $X \rightarrow Th(\eta)$ . Then if  $f = \rho| : \rho^{-1}(X) = M \rightarrow X$ .

$2 \implies 1$ . Given  $(f, b)$ , we can look at embedding  $M \hookrightarrow S^{m+k}$ , which gives us  $(\nu_M, p)$ , and then  $\rho : S^{m+k} \rightarrow Th(\nu_M) \rightarrow Th(\eta)$ , which gives  $(\eta, \rho)$ .

$1 \implies 3$ . Given  $(\eta, \rho)$ , then  $J\eta$  is the Spivak normal fibration of  $X$ .

$3 \implies 1$ . If there is an  $\eta$  such that  $J\eta \simeq \nu_X$ . The Spivak normal fibration comes with a map satisfying the right conditions  $S^{m+k} \rightarrow Th(\nu_X) \simeq Th(\eta)$ .

**Proposition.** A geometric Poincare complex  $X^m$  is homotopy equivalent to a manifold  $M$  iff  $t(\nu_X) : X \rightarrow B(G/O)$  and  $[f, b]$  contains the homotopy equivalence.

**Proposition.**

1.  $\mathcal{X} = \mathcal{N}_m(X)$ .
2.  $\mathcal{X} = [X : G/O]$ .

*Proof 2:* Let  $(\eta, \rho)$  be a normal invariant and  $[\alpha, \beta] \in [X, G/O]$ , where  $\alpha : X \rightarrow BO$  and  $\beta = J\alpha \simeq * : X \rightarrow BG$  is nullhomotopic. We can to  $(\alpha, \beta) \mapsto (\eta \oplus \alpha, \tilde{\rho})$ .

$$\tilde{\rho} : S^{m+k+j} \rightarrow \Sigma^j Th(\eta) = Th(\eta \oplus \epsilon^j) \simeq Th(J\eta \oplus \epsilon^j)$$

## Seifert Van Kampen Theorem.

Let  $X$  be topological space covered by two path connected open sets  $U_1, U_2$  such that  $U_1 \cap U_2$  is also path connected and non-empty. Then

$$\pi_1(X) = \pi_1(U_1) *_{\pi_1(U_1 \cap U_2)} \pi_1(U_2)$$

Let  $X$  be the space described in the picture.

## Ambient Isotopy

Let  $N, M$  be two manifolds and  $g, h : N \hookrightarrow M$  be two embeddings. A continuous map

$$F : M \times [0, 1] \rightarrow M$$

is an ambient isotopy taking  $g$  to  $h$  if  $F_0$  is the identity, each  $F_t$  is a homeomorphism from  $M$  to itself and  $F_1 \circ g = h$ .

## What is $\pi_n(f)$

The mapping cylinder  $M_f$  for a function  $f : X \rightarrow Y$  is defined as  $X \times [0, 1] \cup_f Y$ . The homotopy group of a map  $f : X \rightarrow Y$  is defined as  $\pi_n(f) = \pi_n(M_f, X)$ . Thus, elements of  $\pi_n(f)$  can be represented by maps  $(D^n, S^{n-1}) \rightarrow (M_f, X)$ . Since  $M_f$  is homotopy equivalent to  $Y$ , it follows that elements in  $\pi_n(f)$  can be represented as commutative diagrams:

$$\begin{array}{ccc} S^{n-1} & \longrightarrow & X \\ \downarrow & & \downarrow \\ D^n & \longrightarrow & Y \end{array}$$

## Attaching cells

**Lemma.** A based map  $f : (D^n, S^{n-1}) \rightarrow (X, A)$  represents zero in  $\pi_n(X, A)$  iff it is homotopic rel  $S^{n-1}$  to a map  $g : (D^n, S^{n-1}) \rightarrow (X, A)$  with image contained in  $A$ .

*Proof.* Given a maps  $f, g$  as above we know that there is a rel  $S^{n-1}$  homotopy  $F : D^n \times [0, 1] \rightarrow X$  between  $f$  and the constant map  $c$ . In other words,  $F$  is such that  $F|_{D^n \times \{0\}} = f$  and  $F|_{D^n \times \{1\}} = c$  and  $F|_{S^{n-1} \times [0, 1]} \subset A$ . Set  $f_1 = F| : D^n = D^n \times \{1\} \cup S^{n-1} \times [0, 1] \rightarrow A$ . Since the image of  $f_1$  lies in  $A$ , it is enough to show that  $f$  is homotopic to  $g$ . The homotopy  $f_t$  is given by the restriction of  $F$  to  $D^n = D^n \times \{t\} \cup S^{n-1} \times [0, t] \rightarrow X$ : by construction we have, we have  $f_1 = g$  and  $f_0$  is equal to  $f$ .

Conversely, assume that  $f$  is homotopic rel  $S^{n-1}$  to a map  $g$  with image in  $A$ . Show that  $g$  represents zero in  $\pi_n(X, A)$ , i.e. that it is nullhomotopic rel  $S^{n-1}$ . As  $g(D^n) \subset A$ , we have a map  $g : (D^n, S^{n-1}) \rightarrow (A, A)$ . This map is nullhomotopic since  $D^n$  is contractible.

**Claim.** Let  $X$  be a CW complex with  $f \in \pi_n(X)$ . Let  $Y = X \cup_f e^{n+1}$ . Then  $i : X \rightarrow Y$  is  $n$ -connected, or equivalently the pair  $(Y, X)$  is  $n$ -connected.

*Proof.* We must show that  $\pi_i(Y, X) = 0$  for  $i < n$ . Let  $f : (D^i, S^{i-1}) \rightarrow (Y, X)$  be a representative of  $[f] \in \pi_i(Y, X)$ . By the cellular approximation theorem  $f(D^i) \subset X^i$ .

If we have a space CW complex  $X$  with  $[f] \in \pi_n(X)$ , then there exists a space  $X'$  containing  $X$  such that  $\pi_i(X) = \pi_i(X')$  for  $i < n$  and  $\pi_n(X') = \pi_n(X) / \langle [f] \rangle$ . The space  $X' = X \cup_f e^{n+1}$ .

We can also kill relative homotopy groups. Let  $f : X \rightarrow Y$  with  $\omega \in \pi_{n+1}(f)$ . Then there exists  $X'$  containing  $X$  such that  $\pi_i(X') = \pi_i(X)$  for all  $i < n + 1$  and  $\pi_{n+1}(f') = \pi_n(f) / \langle \omega \rangle$ .

*Proof.*  $\omega$  is represented by a pair of maps  $(q, Q) : (S^n, D^{n+1}) \rightarrow (X, Y)$ . Let  $X' = X \cup_q e^{n+1}$  and set the induced map  $f' : X' \rightarrow Y$  to be  $f' = f \cup Q : X \cup_q e^{n+1} \rightarrow Y$ . Now consider the following commutative diagram:

$$\begin{array}{ccccccccc} \longrightarrow & \pi_i(X) & \longrightarrow & \pi_i(Y) & \longrightarrow & \pi_i(f) & \longrightarrow & \pi_{i-1}(X) & \longrightarrow & \pi_i(Y) & \longrightarrow \\ & \downarrow & & \downarrow & & \downarrow & & \downarrow & & & \\ \longrightarrow & \pi_i(X') & \longrightarrow & \pi_i(Y) & \longrightarrow & \pi_i(f') & \longrightarrow & \pi_{i-1}(X') & \longrightarrow & \pi_i(Y) & \longrightarrow \end{array}$$

For  $i < n - 1$ , the maps  $i : \pi(X) \hookrightarrow \pi(X')$  are isomorphisms.

## attaching cells again.

For  $n \geq 2$ ,  $\pi_1(X') = \pi_1(X)$  so the universal covers  $\tilde{X}$ ,  $\tilde{X}'$

Let  $W^{m+1}$  be the trace of a  $k$ -surgery performed on a manifold  $M^m$  along the  $\varphi_0$  with resultant  $M'$ . Notice that  $W$  has the homotopy type of  $M \cup_{\varphi} e^{k+1}$ , where  $e^{k+1}$  is just a  $k+1$  dimensional cell attached along  $\varphi$ . This is true, because there is a deformation retract of  $W = M \times [0, 1] \cup_{\varphi} D^{k+1} \times D^{n-k}$  onto  $M \times [0, 1] \cup_{\varphi_0} D^{k+1} \times \{0\}$ , so attaching a  $(k+1)$  handle has the same homotopy theoretic effect as attaching a  $k+1$  cell. Note that this implies that the inclusion  $M \hookrightarrow W$  is  $k$ -connected and  $\pi_k(W) = \pi_k(M)/[\varphi_0]$ .

## 0 surgery is connected sum.

If we take two disjoint manifolds  $M$ ,  $N$  of the same dimension and perform a 0 surgery on them, where the embedding  $S^0 \times D^n \hookrightarrow M \amalg N$  the resulting manifold is a connected sum of them.

If we take a single manifold  $M^n$  and perform 0 surgery, the output is  $M \# (S^1 \times S^{n-1})$ .

## Framing and uniqueness

A framing of an embedding  $\varphi_0 : S^k \hookrightarrow M^n$  is an identification of the normal bundle  $\nu(\varphi_0)$  with  $S^k \times \mathbb{R}^{n-k}$ .

**Claim.** Let  $M^n$  be an  $n$ -dimensional manifold. A framed embedding  $\varphi_0 : S^k \hookrightarrow M^n$  gives rise to an embedding  $\varphi : S^k \times D^{n-k} \hookrightarrow M^n$  such that  $\varphi \times 0 = \varphi_0$ .

*Proof.* By the Tubular neighborhood theorem  $\varphi_0$  extends to a codimension 0 embedding  $\nu(\varphi_0) \hookrightarrow M^n$ . Along with the framing of  $\nu(\varphi_0)$  this becomes an embedding  $S^k \times \mathbb{R}^{n-k} \hookrightarrow M^n$  and taking the unit disc bundle we get the desired embedding.

$$\varphi : S^k \times D^{n-k} \hookrightarrow M^n$$

I am slightly confused, Ranicki says that the framings of an embedding  $\varphi_0 : S^k \hookrightarrow M^n$  are in one to one correspondence with extensions of  $\varphi$  to an embedding  $\varphi : S^k \times D^{n-k} \hookrightarrow M^n$  by the tubular neighborhood theorem. But all the tubular neighborhood theorem says is that the  $\varphi_0$  embedding extends to  $\varphi$ , but says nothing about the uniqueness of  $\varphi$ .

Let  $\varphi, \varphi' : S^k \times D^{n-k} \hookrightarrow M^n$  determined by the same  $\varphi_0$  and the same framing of  $\nu(\varphi_0)$ .

## Attaching cells

If we have a space CW complex  $X$  with  $[f] \in \pi_n(X)$ , then there exists a space  $X'$  containing  $X$  such that  $\pi_i(X) = \pi_i(X')$  for  $i < n$  and  $\pi_n(X') = \pi_n(X) / \langle [f] \rangle$ . The space  $X' = X \cup_f e^{n+1}$ .

We can also kill relative homotopy groups. Let  $f : X \rightarrow Y$  with  $\omega \in \pi_{n+1}(f)$ . Then there exists  $X'$  containing  $X$  such that  $\pi_i(X') = \pi_i(X)$  for all  $i < n+1$  and  $\pi_{n+1}(f') = \pi_n(f) / \langle \omega \rangle$ .

*Proof.*  $\omega$  is represented by a pair of maps  $(q, Q) : (S^n, D^{n+1}) \rightarrow (X, Y)$ . Let  $X' = X \cup_q e^{n+1}$  and set the induced map  $f' : X' \rightarrow Y$  to be  $f' = f \cup Q : X \cup_q e^{n+1} \rightarrow Y$ . Now consider the following commutative diagram:

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For  $i < n-1$ , the maps  $i : \pi(X) \hookrightarrow \pi(X')$  are isomorphisms.

## attaching cells again.

For  $n \geq 2$ ,  $\pi_1(X') = \pi_1(X)$  so the universal covers  $\tilde{X}$ ,  $\tilde{X}'$

Let  $W^{m+1}$  be the trace of a  $k$ -surgery performed on a manifold  $M^m$  along the  $\varphi_0$  with resultant  $M'$ . Notice that  $W$  has the homotopy type of  $M \cup_{\varphi} e^{k+1}$ , where  $e^{k+1}$  is just a  $k+1$  dimensional cell attached along  $\varphi$ . This is true, because there is a deformation retract of  $W = M \times [0, 1] \cup_{\varphi} D^{k+1} \times D^{n-k}$  onto  $M \times [0, 1] \cup_{\varphi_0} D^{k+1} \times \{0\}$ , so attaching a  $(k+1)$  handle has the same homotopy theoretic effect as attaching a  $k+1$  cell. Note that this implies that the inclusion  $M \hookrightarrow W$  is  $k$ -connected and  $\pi_k(W) = \pi_k(M)/[\varphi_0]$ .

## Extension of an embedding

We can kill elements  $x \in \pi_k(M)$  provided that they are represented by a framed embedding  $\varphi : S^k \hookrightarrow M$ . But what we really want is to kill homotopy groups of a map. Let  $f : X \rightarrow Y$  be a smooth map and do surgery on the embedding  $\varphi : S^n \times D^{n-k} \hookrightarrow M$ . Note that  $f$  induces a map  $f' : M' \rightarrow X$  if  $\varphi$  extends to an embedding  $\Phi : D^{k+1} \times D^{n-k} \hookrightarrow X$ . **Isn't there a dimension mismatch, how can this be an embedding?**

Define  $f' : M' \rightarrow X$  as  $f \cup \Phi$

**Claim.** Let  $f : M^n \rightarrow X$  be a map and assume that  $k < 2n$  or  $k < 2n + 1$ . Let  $\varphi : S^k \times D^{n-k} \hookrightarrow M$  be an embedding with an extension to a map  $\Phi : D^{k+1} \times D^{n-k} \rightarrow X$ . If  $x \in \pi_{k+1}(f)$  denotes the homotopy class defined by  $(\phi, \Phi)$  and  $f'$  is the induced map resulting from surgery on  $\varphi$ , then

$$\pi_{k+1}(f') = \pi_{k+1}(f) / \langle x \rangle \text{ and } \pi_j(f') = \pi_j(f) \text{ for } j \leq k$$

*Proof.* Note that up to homotopy, the trace of the surgery  $W$  along  $\varphi$  is obtained by adding both a  $(k+1)$  cell to  $M \times [0, 1]$  and adding a  $(n-k)$  cell to  $M' \times [0, 1]$ .  $f$  extends to a map  $F = f \cup \Phi : W \rightarrow X$ . This implies that  $\pi_{k+1}(F) = \pi_{k+1}(f) / \langle x \rangle$  as well as  $\pi_j(F) = \pi_j(f)$  for  $j \leq k$  and  $\pi_j(f') = \pi_j(F)$  for  $j \leq n - k - 1$ . Thus  $\pi_{j+1}(f) = \pi_j(f')$  for  $2j \leq n - 1$ .

## Hatcher stuff

The algebraic effect of a geometric surgery on a manifold  $M$  is determined by Poincare duality isomorphisms

$$H^{m-*}(M) \simeq H_*(M)$$

This is a global expression of the local property of being a manifold, namely

$$H^{m-*}(\{x\}) \simeq H_*(M, M/\{x\})$$

To piece these local isomorphisms together we require orientability.

## Orientations and Poincare duality.

**Definition.** A local orientation of  $M$  at  $x$  is a choice of generator  $\mu_x \in H_m(M, M/\{x\}; \mathbb{Z}) \simeq H_m(\mathbb{R}^m, \mathbb{R}^m - \{0\}; \mathbb{Z}) = \mathbb{Z}$ .

A global orientation of  $M$  is a consistent choice of generator  $\mu_x$  for all  $x \in M$ , satisfying local consistency condition:

For all  $x \in M$ , there exists an open ball  $B$  containing  $X$  of finite radius such that, there exists  $\mu_B \in H_m(M, M - B) = \mathbb{Z}$  mapping to  $\mu_y$  for all  $y \in B$  under the map  $H_n(M, M - B) \rightarrow H_n(M, M - \{y\})$ .

$M$  is orientable if an orientation exists.  $M_{\mathbb{Z}_2} \rightarrow M$ .

Every manifold  $M$  has an orientable 2-sheeted cover

$$M_{\mathbb{Z}_2} = \{\mu_x \mid x \in M\}$$

This is a double cover because over each point  $x$  we have  $\pm 1$ . We can topologize  $M_{\mathbb{Z}_2}$ : Given  $B$  containing  $x$  and  $\mu_B$  generating  $H_m(M, M - B; \mathbb{Z})$ , we have a corresponding open set in  $M_{\mathbb{Z}_2}$ ,  $U(M_{\mathbb{Z}_2})$ .

$$U(\mu_B) = \{\mu_x \in M_{\mathbb{Z}_2} \mid x \in B, \mu_B \rightarrow \mu_x\}$$

**Definition.** If  $M$  is connected, the orientation character or the 1st Stiefel-Whitney class is

$$\omega : \pi(M) \rightarrow \{\pm 1\} = \text{Aut}(\mathbb{Z})$$

Define this by taking  $\gamma \in \pi_1(M)$ . If  $\gamma$  lifts to a loop in  $M_{\mathbb{Z}_2}$ , then  $\omega(\gamma) = 1$  and if it lifts to a path in  $M_{\mathbb{Z}_2}$ , then  $\omega(\gamma) = -1$ .

The first Stiefel-Whitney class is the obstruction to being able to orient  $M$ .

$M_{\mathbb{Z}_2} \rightarrow M$  can be embedded into a larger covering space  $M_{\mathbb{Z}} \rightarrow M$ , called the orientation sheaf of  $M$ , where



$$M_{\mathbb{Z}} = \{\alpha_x \in H_n(M, M - \{x\}; \mathbb{Z}) \mid x \in M\}$$

We can topologize this. At  $\alpha_x \cong 0$ , we get a copy of  $M$ , and a copy of  $M_{\mathbb{Z}_2}$  for each  $n \in \mathbb{Z}_{>0}$  given by  $\pm n\mu_x$  for each  $\mu_x$  a generator of  $H_n(M, M - \{x\})$ .

**Example**

$$\begin{aligned} M &= S^1 \\ M_{\mathbb{Z}_2} &= S^1 \times \mathbb{Z}_2 \\ M_{\mathbb{Z}} &= S^1 \times \mathbb{Z} \end{aligned}$$

**Example**  $M$  is the Mobius band.

**Definition.** A continuous map  $M \rightarrow M_{\mathbb{Z}}$ ,

$$x \mapsto \alpha_x \in H_n(M, M - \{x\}; \mathbb{Z})$$

is called a section of the covering space.

An orientation of  $M$  is a section  $x \mapsto \mu_x$  such that  $\mu_x$  is a generator for all  $x$ .

Replace  $\mathbb{Z}$  with any commutative ring  $R$  with identity.

**Definition.** An  $R$ -orientation is a section from  $M \rightarrow M_R$  such that  $x \mapsto \mu_x$  a generator, i.e.  $\mu_x$  is a unit in  $R$ , where we again require local consistency.

$$H_n(M, M - \{x\}; R) \cong H_n(M, M - \{x\}; \mathbb{Z}) \otimes R$$

$$M_R = \bigcup_{r \in R} M_r$$

where  $M_r = \{\pm \mu_x \otimes r\}$ .

$$M_r = M \text{ if } r \text{ is of order 2}$$

$$M_r = M_{\mathbb{Z}_2} \text{ otherwise}$$

**Corollary.** If  $M$  is  $\mathbb{Z}$ -orientable  $\implies M$  is  $R$ -orientable  $\forall R$ , because  $1 \in \mathbb{R}$ , and take  $\mathbb{Z}$  orientation tensor 1.

If  $M$  is not  $\mathbb{Z}$ -orientable, but has an element of order 2, then  $M$  is  $R$ -orientable.

$M$  is always  $\mathbb{Z}_2$ -orientable.

**Theorem.** If  $M$  is closed and  $R$ -orientable, then  $H_n(M; R) \rightarrow H_n(M, M - \{x\}; R)$  is an isomorphism for all  $x \in M$ .

**Definition.** An element  $[M] \in H_n(M; R)$  mapping to  $\mu_x$  for all  $x \in M$  is called a fundamental class of  $M$  with  $R$ -coefficients.

**Remark.**  $M$  closed,  $R$ -orientable  $\implies \exists [M]$ .

**Poincare duality Theorem.** For any closed  $R$ -orientable  $m$ -dimensional manifold, if  $[M] \in H_m(M; R)$  is a fundamental class then

$$[M] \cap - : H^*(M; R) \rightarrow H_{m-*}(M; R)$$

is an isomorphism.

## Local Coefficients

Local coefficients are a tool to organize information about the action of the fundamental group on various other abelian groups.

Local coefficients give a fundamental class for non-orientable manifolds and thus this gives a way to extend  $\mathbb{Z}$  Poincare duality.

2 points of view:

(1) Main chain complex of interest for  $M$  is that of the universal cover  $\tilde{M}$ , viewed as  $\mathbb{Z}\pi(M)$ -module chain complex. Local coefficients are modules over  $\mathbb{Z}\pi(M)$ .

(2) Think of fiber bundles over  $M$  with abelian group  $G$  fibers, of transition functions in the automorphisms of  $G$ . This gives a chain complex of formal sums of singular simplices  $\sigma$  with coefficients over  $\sigma$ .

**Remark.**  $\pi_1$  need not be commutative so left modules and right modules don't have to be the same.

First note that  $C_*(\tilde{M})$  is a right  $\mathbb{Z}\pi$ -module chain complex.

**Example.**  $M = S^1$ ,  $\tilde{M} = \mathbb{R}$ .