

Spatial modelling of rice yield losses in Tanzania due to bacterial blight and leaf blast in a changing climate

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Abstract

Rice is the most rapidly growing staple food in Africa and although rice production is steadily increasing, the consumption is still out-pacing the production. In Tanzania, two important diseases in rice production are leaf blast caused by *Magnaporthe oryzae* and bacterial blight caused by *Xanthomonas oryzae* pv. *oryzae*. The objective of this study was to quantify rice yield losses due to these two important diseases under a changing climate. We found that that bacterial blight is predicted to increase causing greater losses than leaf blast in the future, with losses due to leaf blast declining. The results of this study indicate that the effects of climate change on plant disease can not only be expected to be uneven across diseases but also across geographies, as in some geographic areas losses increase but decrease in others for the same disease.

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1. Software and data availability

All code used for analysis and data used in this dataset are available from Figshare, <http://figshare.com/articles/MICORDEA/1408501>, and can also be cloned from GitHub using Git, <https://github.com/adamhsparks/MICORDEA>. A Stella language version of the original, complete version of the RICEPEST model by the original authors, L. Willocquet and S. Savary, is available for download from The American Phytopathological Society Education Center, <https://www.apsnet.org/edcenter/advanced/topics/BotanicalEpidemiology/Pages/default.aspx>. The EPIRICE model, as used for this study, is a part of the cropsim package [1], available from <https://r-forge.r-project.org/projects/cropsim/>.

2. Introduction

Rice is the most rapidly growing staple food in Africa and although rice production is steadily increasing, the consumption is still out-pacing the production. For example, by 2009 37 per cent of the rice consumed in Africa was imported. To reduce its reliance on imports and dependency on global markets Africa's rice production needs to increase further [2]. Current average yield levels in Africa range from about 1 t ha⁻¹ in upland ecologies to 1.5 to 2 t ha⁻¹ in rainfed lowland ecologies with the irrigated lowland ecologies having the highest yields of 3.0 to 4.0 t ha⁻¹ [3]. Rice yields in African farmers fields are low due to a combination of abiotic and biotic stresses that constrain

21 them. Farmers can significantly reduce the yield gaps with improved field,
22 water and crop management, and weed control [4].

23 Apart from weeds, pests and diseases also are major biotic stresses that
24 can cause significant reductions in rice yields. Two important diseases in
25 rice are leaf blast (LB) caused by *Magnaporthe oryzae* and bacterial blight
26 (BB) caused by *Xanthomonas oryzae* pv. *oryzae* [5]. Because infectious plant
27 disease occurs as an interaction of a favorable environment, a susceptible host
28 and a competent pathogen [6], weather conditions impact both the occurrence
29 and gravity of plant disease. Climate change is likely to affect plant disease
30 [7, 8, 9] and several others have expressed interest in changes to plant disease
31 as a result of climate change [10, 11, 12, 13, 14, 15]. Moreover, intensification
32 of rice production, which was witnessed in Sub-Saharan Africa since the food
33 crisis of 2008 [4], may lead to an increased yield loss due to the blast, thus
34 reducing the benefits that were created [16]. Increases in disease incidence
35 and severity may deter farmers from investing in intensification measures
36 because of risks related to yield losses or even total crop failure. To the
37 authors' knowledge, the impact of climate change on leaf blast and bacterial
38 blight diseases of rice in Africa has not yet been investigated.

39 Efforts to link plant disease models with a geographic information system
40 (GIS) to assess the impact of plant diseases spatially include Hijmans et al.
41 [17], which mapped the global number of pesticide applications necessary to
42 control potato late blight using contemporary weather data. While Sparks
43 et al. [18] used a meta-model to generate map estimates of changes in global
44 potato late blight due to climate change. Savary et al. [19] developed a GIS-
45 linked model, EPIRICE, capable of simulating several important diseases of

46 rice, including leaf blast and bacterial blight amongst others. Most recently,
47 Kim et al. [20] used a modified version of the EPIRICE model to evaluate
48 the potential impacts of climate change on leaf blast and sheath blight in
49 South Korean rice.

50 The objective of the study was to quantify the impact of climate change
51 as forecast by the Intergovernmental Panel on Climate Change (IPCC) on
52 rice yield loss as result of BB and LB. To carry out this study, we linked two
53 previously unlinked, existing models, EPIRICE and RICEPEST [21, 22], and
54 we applied them using spatially and temporally downscaled climate change
55 data to generate predictions on changes in plant disease impact due to climate
56 change in Tanzania.

57 The linkage of EPIRICE with RICEPEST gives two advantages. First,
58 RICEPEST has been used to estimate yield losses due to diseases and other
59 pest injuries in rice in varying production situations with current weather
60 and climate conditions. However, as plant diseases are affected by weather,
61 the linkage with EPIRICE allows us to model the effects of climate change
62 on both the rice crop and on the diseases while using the same weather data
63 inputs. Second, the spatial nature of EPIRICE outputs enabled us to use
64 RICEPEST in a GIS. Until now RICEPEST yield loss estimates have not
65 been linked to a GIS. Linking RICEPEST with a GIS allowed us to map
66 yield losses for the whole country of Tanzania, rather than just point based
67 predictions of yield losses.

68 This paper will continue with a justification for the study area, followed by
69 descriptions of the EPIRICE and RICEPEST models. We will then elaborate
70 the framework for assessing rice yield losses under climate change that links

71 both models. The procedure for generating daily weather inputs from the
72 downscaled climate scenarios is outlined followed by the choice of production
73 situations. Next the results are presented, followed by a discussion and finally
74 conclusions.

75 **3. Materials and Methods**

76 *3.1. The study region*

77 Rice accounts for five percent of the total value of agricultural production
78 in Tanzania and is the seventh most important agricultural crop with steadily
79 increasing production over the last decade. However, rice yields in Tanzania
80 remain significantly lower than in neighboring countries [23].

81 Tanzania was chosen as the study region because of its location in sub-
82 Saharan Africa, the probable effects of climate change in the region and rice
83 is planted widely across the country (Table 1) [24]. The bulk of the rice crop
84 is grown from December until June of the following year during the rainy
85 season.

86 According to the International Panel on Climate Change (IPCC), East
87 Africa and especially the Great Lakes Region are among the more vulnerable
88 regions in Africa to climate change, where the trend is towards increasing
89 temperatures and declining rainfall according to General Circulation Model
90 outputs [25]. Temperatures are predicted to increase 2°C by 2050, which in
91 turn are predicted to negatively affect rice yields [24].

92 3.2. Model descriptions

93 3.2.1. The *EPIRICE* model

94 EPIRICE [19] is a SEIR (susceptible-exposed-infectious-removed) model
95 [26, 27] implemented in R [28] that simulates potential spatial epidemics of
96 rice diseases including BB and LB. The model considers a 1m^2 area of rice,
97 which is the same area that RICEPEST considers, with maximum host sus-
98 ceptibility to the given disease. Model inputs are date of crop establishment
99 and daily time-step weather data; precipitation, maximum and minimum
100 temperature, and relative humidity. The model as originally implemented
101 produces a single aggregated output, a map, at the end of a growing sea-
102 son of a measure called Area Under Disease Progress Curve (AUDPC) [6].
103 However, for this study, daily disease severity expressed as a percentage was
104 generated for use in the RICEPEST model, which we discuss further in sec-
105 tion 3.2.2. As the only modification made to the model was the expression
106 of daily disease severity, we would refer the reader to Savary et al. [19] for
107 further information on the model structure and function.

108 3.2.2. The *RICEPEST* model

109 The RICEPEST model [21, 22] simulates rice yield losses due to several
110 yield-reducing factors under a range of specific production situations with
111 simulated diseases including BB and LB. The model runs on a daily time-
112 step with simulation starting 14 days after crop establishment for both trans-
113 planted and direct seeded rice. The model incorporates two sub-models, the
114 first sub-model simulates the dynamics of the rice crop biomass and the sec-
115 ond sub-model simulates the dynamics of the tiller population. The biomass
116 sub-model accounts for the daily accumulation and partitioning of assimi-

lates towards roots, leaves, stems, and panicles. For this study, because the damage mechanisms of the diseases of interest (BB and LB) are simulated only in the biomass sub-model, the tiller sub-model was not considered.

Bacterial blight and LB cause lesions on the leaf blades. These lesions decrease the green leaf area index (LAI), reducing the photosynthetic capacity of the plant. RICEPEST reduces the rate of growth (RG) (Formula 1) by reducing the LAI (Formula 2) by applying a damage function (Formula 3) or (4) where SLA is the specific leaf area; LEAFW is the leaf dry weight; RUE is radiation use efficiency; RAD is daily solar radiation; k is coefficient of light extinction and is defined as the proportion of light intercepted by the crop [21], set to 0.6 [22].

$$RG_t = RUE_t \times RAD_t \times (1 - \exp(-k \times LAI_t)) \quad (1)$$

$$LAI_t = SLA_t \times LEAFW_t \quad (2)$$

The damage functions that calculate the reduction factors, BBDM (Formula 3) and LBDM (Formula 4) are the percent of leaf area covered by BB and LB respectively [22], which were generated as raster files for use in this version of RICEPEST using the EPIRICE model as described in the previous section, 3.2.1.

$$(1 - (BBDM_t/100)) \quad (3)$$

$$(1 - (LBDM_t/100)) \quad (4)$$

Thus, the complete LAI formula with the damage function for BB reducing

134 the photosynthetic area of the leaf becomes

$$LAI_t = SLA_t \times LEAFWt \times (1 - (BBDM_t/100)). \quad (5)$$

135 3.2.3. Model coupling

136 To facilitate coupling of EPIRICE to RICEPEST, a temporal disaggre-
137 gation function was incorporated into the EPIRICE model. With this func-
138 tion, the modified EPIRICE model produced daily, spatially representative
139 and non-cumulative percentage disease severity data as outputs instead of
140 the single cumulated output, AUDPC. The time-series of daily percentage
141 disease severity data for BB and LB produced by the modified EPIRICE
142 model were then used as inputs for RICEPEST. Both models were linked
143 to a geographic information system (GIS). EPIRICE is implemented in the
144 R language using the following packages: cropsim [1], oldweather [1], raster
145 [29], rgdal [30], and RODBC [31], while this version of RICEPEST is imple-
146 mented in Python language [32], using the ArcPy package, as a script in the
147 ArcGIS platform [33]. A loose coupling approach was adopted due to the
148 different implementation environments. This approach provided flexibility
149 in data handling and data interoperability (Figure 1).

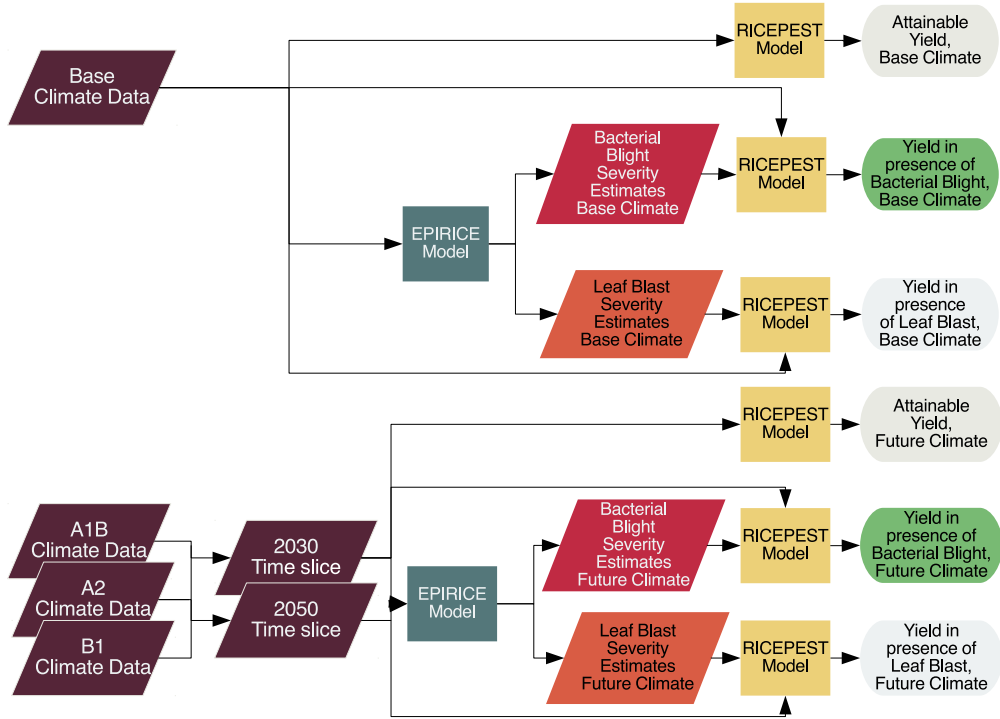


Figure 1: Flowchart illustrating the loose coupling between EPIRICE, used to simulate unmanaged disease epidemics as affected by weather conditions, and RICEPEST, used to estimate yield losses due to leaf blast and bacterial blight severity. Three time slices, 2000, 2030 and 2050 were examined for four climate scenarios, current or base, IPCC A1B, IPCC A2 and IPCC B1.

150 3.3. Growing seasons and areas

151 The major planting window in Tanzania for most rain-fed ecologies in
 152 Tanzania, according to the FAO Crop Calendar database [34], was October
 153 to December, with the largest area of Tanzania being planted in late Novem-
 154 ber. Because the original climate data were monthly, not daily and needed
 155 to be temporally downscaled, a planting window of late November to early

December was selected and ArcGIS was used to create a spatial dataset of areas with this planting window. Annual harvested rain-fed rice growing areas for Tanzania with values representing the proportion of harvested areas (in hectares) within each pixel (10,000 ha) was also obtained from MIRCA2000 [35]. To select the major rice growing areas in Tanzania during this planting window, a simple raster overlay analysis was performed in ArcGIS.

3.4. Weather Data Generation

For this study, the General Circulation Model (GCM), Commonwealth Scientific and Industrial Research Organization mark 3 (CSIRO-MK3) was selected. Downscaled outputs from this GCM based on three future climate scenarios A1B, A2 and B1 as reported in the Special Report on Emission Scenarios (SRES) of the IPCC Fourth Assessment Report, for two time slices 2030s (2021-2040) and 2050s (2041-2060) were obtained. A2 is a high greenhouse gas emission scenario; A1B, a medium-emission scenario; and B1, a low-emissions scenario. The selection of the GCM and the emission scenarios were based on the availability of complete downscaled climate data to run both models. Monthly projected precipitation, minimum and maximum temperature, solar radiation and wet day frequency outputs of this GCM, spatially downscaled to approximately 10km² grid resolution using a pattern scaling approach were obtained from International Center for Tropical Agriculture's (CIAT) CGIAR Research Program on Climate Change, Agriculture and Food Security (CCAFS) Geoportal (<http://www.ccafs-climate.org>) [36]. However, relative humidity outputs of this GCM were obtained directly from the CMIP3 dataset and spatially downscaled to 10km² using statistical downscaling delta technique. Observed climate data for the period 2000s (1991-

2010) was obtained from the same source and used as the baseline for this study. A parametric stochastic weather generator, MODAWEC [37], which requires only monthly data (as outlined in Geng et al. [38] and MODAWEC) was used to generate daily precipitation, maximum and minimum temperature datasets corresponding to future scenarios. This was essential in producing daily minimum and maximum temperature data that correlate with precipitation. A linear interpolation technique was, however, used in generating daily relative humidity and solar radiation from monthly data. The daily weather data generated were used as inputs in both EPIRICE and RICEPEST models.

3.5. *Production situation*

Rice production situations have been found to directly affect the intensity of rice yield reduction for a given injury profile. That is, the farmers' practices play a large part in determining what the diseases and injuries will occur [39]. For this study, production situation is defined as the combination of socioeconomic, environmental and biophysical factors excluding pests that define the attainable yield. Temperature and solar radiation were excluded from this definition because they remain unchanged across all the possible production situations in the study area. Due to lack of reliable information about the spatial arrangement of production situations in the study area, the production situation was assumed to be homogeneous based on the most common production situation, lowland rainfed rice [3] (Table 1). We created a production situation based on PS3 from Willocquet et al. [40]. A short duration cultivar, transplanted with poor water management and medium water stress and 90kg/ha nitrogen fertilization with a modified STEMP from PS4.

206 This modification was due to differences in temperature between Tanzania
207 and Faizabad, Uttar Pradesh, India, the source of the original weather data
208 for simulations in RICEPEST.

209 *3.6. Spatial modelling of yield loss*

210 Simulation runs of EPIRICE and RICEPEST were made separately at a
211 spatial resolution of 10km² for the growing season December to March for
212 current climate conditions, the 2000 time slice, and for each of the three emis-
213 sion scenarios, A1B, A2 and B1, for both the 2030 and the 2050 time slices.
214 These data only included precipitation and temperature changes. The effects
215 of changes CO₂ levels on the plant host or pathogens were not considered as
216 a part of this study.

217 To map and quantify the spatial distribution of rice yield loss as a result of
218 the two diseases under current and future climate conditions, the RICEPEST
219 model was run using daily temperature and solar radiation data and daily
220 disease severity outputs from the EPIRICE model within the ArcGIS envi-
221 ronment using the aforementioned Python tool in ArcGIS. Simulation runs
222 were first made without the injury profiles to obtain the attainable yield.
223 Maintaining the same weather data and production situation parameter val-
224 ues, simulation runs were then made with the treatment of injury profiles to
225 obtain the actual yield in the presence of the two diseases separately (Figure
226 1). Yield loss was then modelled as the difference between attainable yield
227 and actual yield for both BB and LB damaged crops respectively.

228 4. Results and discussion

229 4.1. Changes in temperature

230 The average base temperature for Tanzania used in this data was 22.69
 231 °C, with all succeeding time slices increasing in average temperature (Table
 232 1). The average increase in future time slices due to climate change was 1.17
 233 °C (Table 1).

| Scenario and Time Slice | Average Temperature (°C) | Change in Temperature (°C) |
|-------------------------|--------------------------|----------------------------|
| Base 2000 | 22.69 | - |
| A2 2030 | 23.88 | 1.19 |
| A2 2050 | 24.38 | 1.68 |
| A1B 2030 | 23.73 | 1.04 |
| A1B 2050 | 23.95 | 1.25 |
| B1 2030 | 23.48 | 0.79 |
| B1 2050 | 23.73 | 1.04 |

Table 1: Average temperature and change in temperatures for Tanzania from the base 2000 time slice for IPCC A1B, A2 and B1 scenarios for 2030 and 2050 time slices for the months of November through February. The 2000 time slice represents 1991-2010, 2030 time slice represents 2021-2040, the 2050 time slices represents 2041-2060.

234 4.2. Potential disease epidemics (*EPIRICE* model output)

235 Predicted leaf blast epidemics were not severe for the entire country. Sever-
 236 ity of the disease was predicted to be less than 2.5 percent across Tanzania
 237 for all time slices and emission scenarios (Figure 2). Disease peaks halfway
 238 through the season, which is characteristic of this disease that tends to occur

239 during vegetative growth stages before the crop reaches reproductive stages
 240 and final maturity.

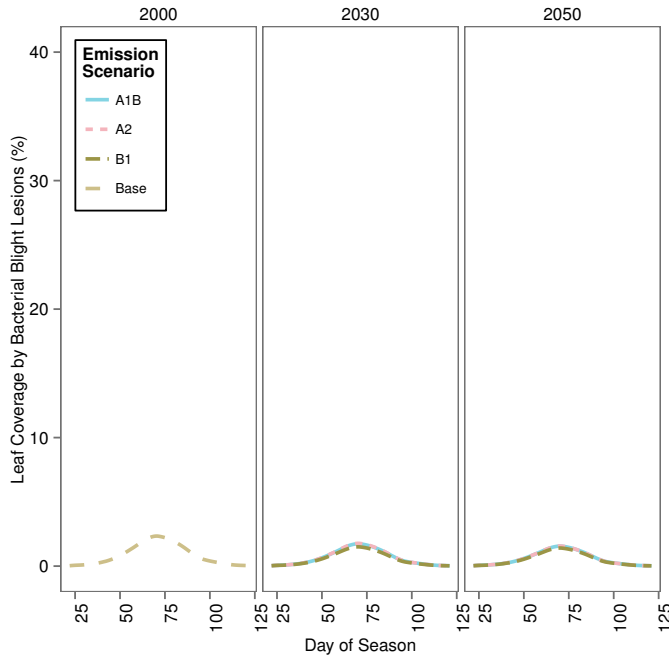


Figure 2: Leaf blast disease severity curves as predicted by the EPIRICE model averaged for Tanzania.

241 Bacterial blight epidemics exhibited normal progress curves for the disease
 242 with a much greater severity in all time slices than that of LB. Under all time
 243 slices and scenarios the predicted epidemic started rapidly increasing around
 244 day 50 and increased up until day 100, when it began decreasing at crop
 245 maturity (Figure 3), which is normal for this disease. The three different
 246 emission scenarios resulted in differing AUDPC responses for bacterial blight
 247 across the time slices. The A2 scenario resulting in the highest predicted
 248 disease levels, the B1 scenario was the lowest.

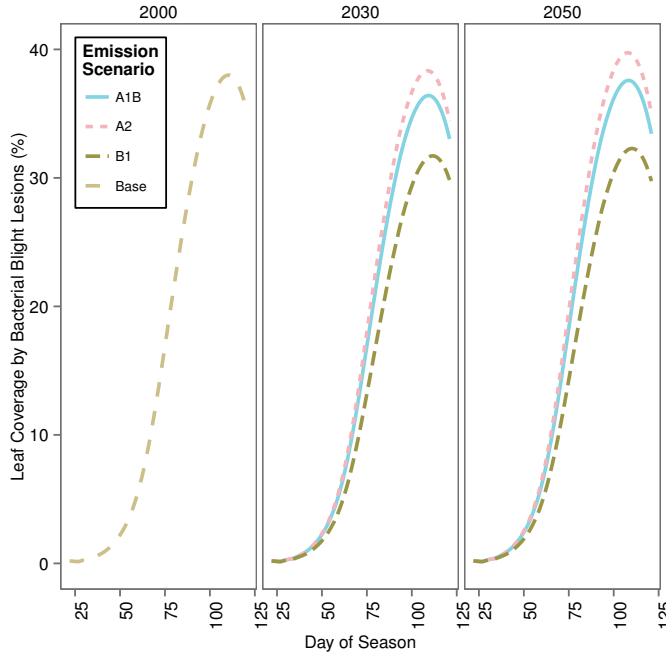


Figure 3: Bacterial leaf blight disease severity curves as predicted by the EPIRICE model using observed and future, modelled weather data for three climate change scenarios, A1B; A2 and B1 and three time slices, 2010; 2030 and 2050.

4.3. Predicted yields and yield losses (*RICEPEST* model output)

4.3.1. Attainable yields

RICEPEST predicted the mean attainable yields under the current conditions, in the absence of yield reducing factors, to be 3.88 t ha⁻¹ for the current rice growing areas of Tanzania for the base climate (Figure 4). Future conditions were all predicted to have higher mean attainable yields. All simulated time-slices exhibited a minimal amount of values that were reported as zero t ha⁻¹.

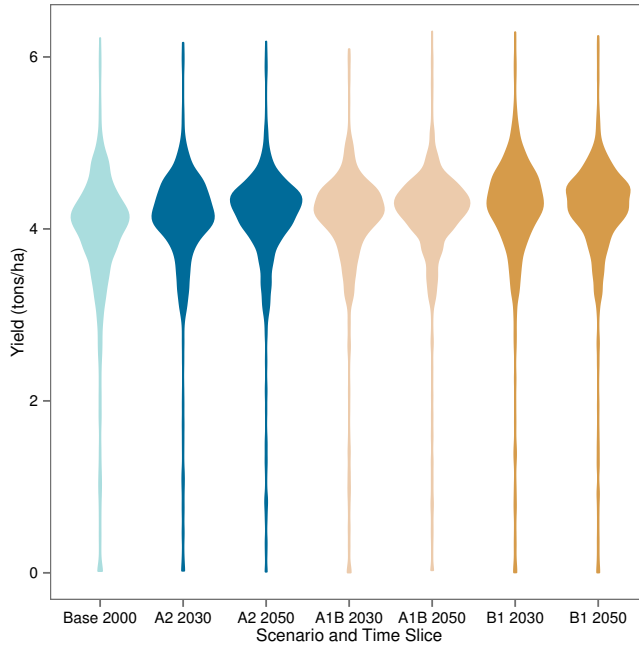


Figure 4: RICEPEST predicted attainable yield (i.e., the yield in the absence of yield reducing factors) in t ha^{-1} for all time slices and emission scenarios.

257 4.3.2. Yield losses due to leaf blast

258 Differences due to leaf blast between all time slices and scenarios were
 259 negligible (Figure 5). The base had the highest average yield loss values
 260 due to leaf blast, 0.12 t ha^{-1} . However, the B1 2050 scenario exhibited the
 261 lowest average yield losses of any scenario and time slice combination, but
 262 the highest values at 0.13 t ha^{-1} lost.

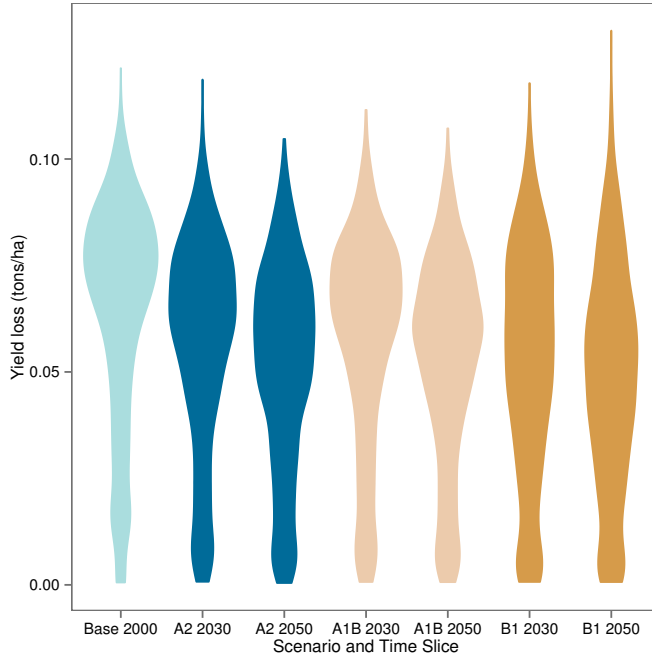


Figure 5: Yield losses in t ha^{-1} due to leaf blast as predicted by the EPIRICE and RI-CEPEST model three time slices and three IPCC climate emission scenarios.

4.3.3. Yield losses due to bacterial blight

Bacterial blight was predicted to cause much greater losses than leaf blast (Figure 6). The overall trend in losses due to bacterial blight was up for all three climate scenarios, from the Base 2000. The A2 2050 and AB 2050 scenario and time slices had the highest average predicted values with 0.73 t ha^{-1} lost due to bacterial blight. The A2 2030 scenario and time slice registered the single highest losses, 1.44 t ha^{-1} .

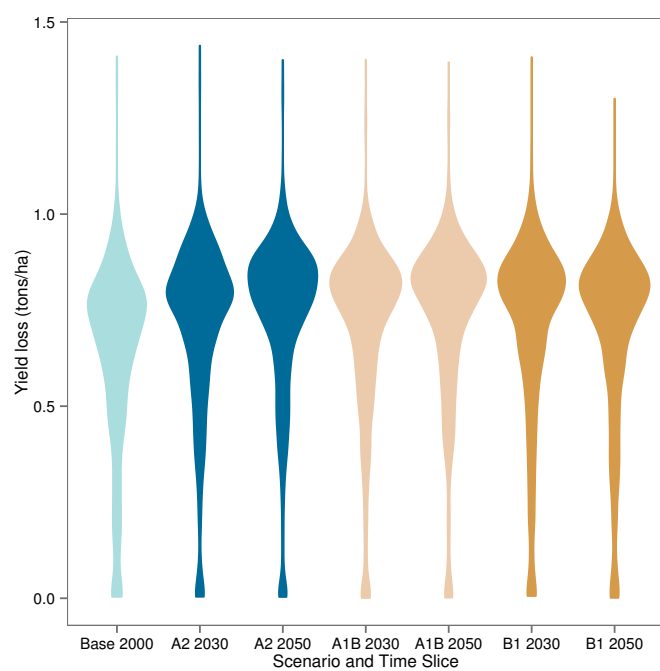


Figure 6: Yield losses in t ha^{-1} due to bacterial blight as predicted by the EPIRICE and RICEPEST model three time slices and three IPCC climate emission scenarios.

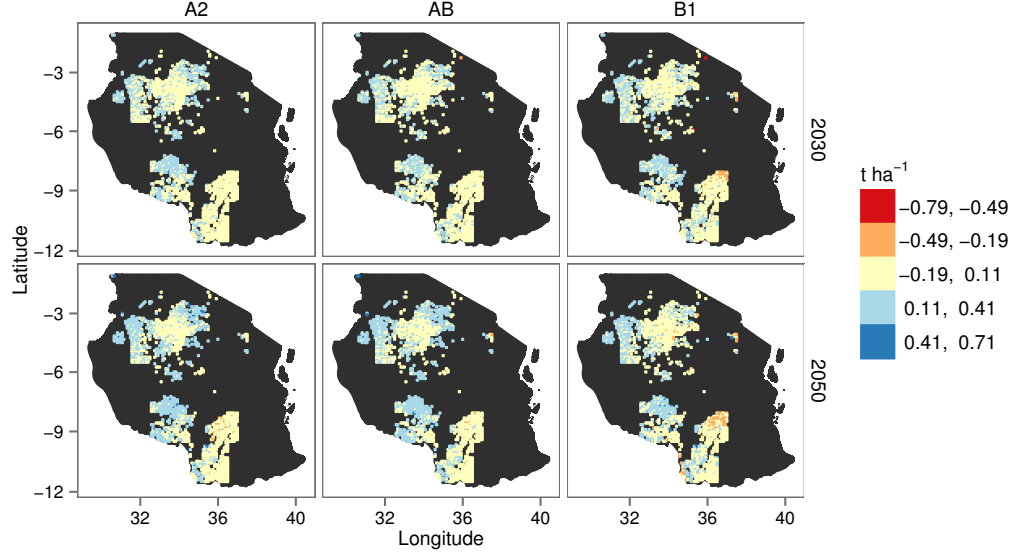


Figure 7: Changes in yield losses due to bacterial blight disease expressed as t ha^{-1} for all time slices and emission scenarios as predicted using a combination of EPIRICE and RICEPEST models.

270 5. Conclusions and discussions

271 The loose coupling approach that we adopted for this research has proven
 272 to be useful. It allows for us to examine patterns and trends that previously
 273 we were unable to easily examine. This approach should prove useful for
 274 further work where spatial modelling of rice yield loss is desired for both
 275 current conditions and future predictions.

276 Some initial difficulties in selecting the proper production situation for an
 277 area outside the original application domain of the model were experienced.
 278 Because the original PS3 was based in Faizabad, Uttar Pradesh, India, the
 279 temperatures and duration of the rice were too long to be consistent with

280 Tanzania. Because of this, we elected to modify the model using currently
281 available information in the model itself based on our determinations of what
282 best matched the situation on the ground. The results of the modified RI-
283 CEPEST model seem to better represent possible scenarios than the original
284 unmodified model was able to.

285 The RICEPEST model is a simple empirical crop growth model that does
286 not account for CO₂ concentration changes and increases yield as tempera-
287 ture increases, though the temperature to maturity is reached sooner, which
288 reduces net biomass accumulation. A next logical step for climate change
289 studies could be to link EPIRICE output with a more advanced model such
290 as ORYZA [41], although such a linkage will be more difficult due to the
291 added complexity of the ORYZA model.

292 Despite the predictions of climate change to reduce rice crop yields, this
293 study predicted that the attainable yield would increase with an increase in
294 temperatures. Since the average temperatures were below 24 °C, it is likely
295 that this would be the case as temperatures below 20 °C can cause yield
296 losses, so the increased temperatures are more optimal for tropical rice [42].

297 Thornton et al. [43] wrote that climate change effects are likely to be
298 unequal across East Africa when they examined maize and bean crops. Lo-
299 calised adaptations to climate change will be necessary. This seems to be the
300 case when examining the maps of change in yield losses due to BB (Figure
301 7). Some areas appear to lose more than another half ton per hectare to BB
302 than they previously have, while others appear to actually lose less to BB,
303 gaining 0.75 t ha⁻¹ potential yield due to the reduction in the severity of BB.

304 The results of this study indicate that the effects of climate change on

305 plant disease can be expected to be uneven as the environment becomes less
 306 favourable for some diseases such as LB, it becomes more favorable for others
 307 like BB. One thing that the results fail to capture is the variation in weather.
 308 Because this exercise is based on time-slice averages, what is captured here
 309 is the most likely to occur in a given year based on the climate. Weather
 310 patterns have great influence on plant diseases. However, these results should
 311 be instructive for breeders and policy makers. We should not ignore leaf blast,
 312 however these results indicate that in the future bacterial blight will be more
 313 of an issue for rice growers in Tanzania than leaf blast. This information can
 314 be useful for breeders and policy makers so breeding efforts for resistance and
 315 other mitigation methods can be put into place with this outcome in mind.

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