

Part 2

String and Text Algorithms

- **Suffix tries and suffix trees**
- **Applications of suffix trees**
 - variants of string searching
 - longest common substrings
- **Matching regular expressions**
- **Global similarities in strings**
 - longest common subsequence
 - the technique of memoisation

String / Text Algorithms

Some notation and terminology

- an *alphabet* $\Sigma = \{A, C, G, T\}$ (DNA)
 $\Sigma = \text{ASCII, Unicode, etc.}$
- a *string*: ACAGTCCGGGACTGACG

Throughout this section, all strings are indexed from position **1** (for consistency with the literature)

- Let **S, T** be two strings
 - **|S|** is the *length* of **S**
 - **S(i)** denotes the i^{th} symbol of **S**
 - **S(i . . j)** denotes **S(i)S(i+1) . . S(j)** (strings indexed from 1)
 - **ST** is the *concatenation* of **S** and **T**
- ϵ – the *empty string*, length 0

Further notation and terminology

- a **substring**: ACAGTCCGG**GACTG**ACG
 - a substring of **S** is ϵ or **S**($i \dots j$) ($i \leq j$)
 - **U** is a substring of **S** if and only if **S** = **TUV** for some strings **T**, **V**
- a **common substring**:
TCCACT**GACTG**CTGC
ACAGTCCGG**GACTG**ACG
 - **U** is a common substring of **S** and **T** if **U** is a substring of both **S** and **T**
- a **subsequence**: AC**A**G**T**CC**G**GG**A**C**T****G**ACG
 - obtained by deleting zero or more characters from the string
- a **common subsequence**:
A**C**A**G****T**CC**G**GG**A**C**T****G**ACG
T**C**C**A**C**T****G**A**C**T**G**C**T****G**C
 - **U** is a common subsequence of **S** and **T** if **U** is a subsequence of both **S** and **T**

Notation and terminology (continued)

- a **prefix**: **ACAGT**CCGGGACTGACG
 - **$S(1 \dots k)$** for some **k** (**$1 \leq k \leq n$**), where **$n = |S|$**
- a **suffix**: ACAGTCCGGG**ACTGACG**
 - **$S(k \dots n)$** for some **k** (**$1 \leq k \leq n$**), where **$n = |S|$**
- **Σ^*** = **$\{\epsilon, A, C, G, T, AA, AC, AG, AT, CA, \dots\}$**
 - set of all strings composed of symbols from the alphabet **Σ**
- some tree terminology:
 - a **leaf** node: no children
 - a **branch** node: one or more children (includes root)
 - a **unary** node: exactly one child
 - a **binary** node: exactly two children

Suffix trees and applications

Some sample motivating problems

Multiple searches

- How would you search one long text for occurrences of 1000 short strings?
 - Use **KMP** or **BM** algorithm
 - but each search involves scanning the long text
 - what if we **preprocess** the text to build an exploitable data structure – effectively an **index** for the text?

Repeated substrings

- How would you find the longest **repeated** substring in a gene (DNA string)?
- or the longest piece of text **common** to two written works?

Problem: finding a longest repeated substring

Example: **S=cab**da**ba**bd**c**

Longest repeat is: **abd**

Finding a longest repeat: naïve solution - $O(n^3)$

Faster solution:

- for a string **S** of length **n**, build an $n \times n$ array **A** with $A(i, j) = 1$ if $S(i) = S(j)$, and $A(i, j) = 0$ otherwise

E.g. find a longest repeat in **S=cab**da**ba**bd**c**

		1	2	3	4	5	6	7	8	9	0
		c	a	b	d	a	b	a	b	d	c
1	c	-	0	0	0	0	0	0	0	0	1
2	a	-	-	0	0	1	0	1	0	0	0
3	b	-	-	-	0	0	1	0	1	0	0
4	d	-	-	-	-	0	0	0	0	1	0
5	a	-	-	-	-	-	0	1	0	0	0
6	b	-	-	-	-	-	-	0	1	0	0
7	a	-	-	-	-	-	-	-	0	0	0
8	b	-	-	-	-	-	-	-	-	0	0
9	d	-	-	-	-	-	-	-	-	-	0
0	c	-	-	-	-	-	-	-	-	-	-

Finding a longest repeat: naïve solution (cont.)

- repeated substrings are represented by sequences of **1**'s on a diagonal
- scan all diagonals to find longest repeat
- requires $O(n^2)$ time and space
- NB. repeated substrings may overlap -

e.g. $S = c \underline{a b a} b a d$

Common substrings

Find longest common substring of $S=ababdc$, and $T=ccbab$

- longest common substring is **bab**
- for two strings S , T of lengths m and n , build a similar $m \times n$ array of **0**'s and **1**'s - gives a longest common substring of S and T in $O(mn)$ time and space

Suffixes

Let **S** be a string of length **n**

- the **kth** suffix of **S** is the suffix **S(k..n)**
- **S** has **n** suffixes, including **S** itself

Aim: define data structures to store the **n** suffixes of **S**

- **Suffix trie** - **$O(n^2)$** space
- **Suffix tree** - **$O(n)$** space

Use the suffix tree to solve the following problems:

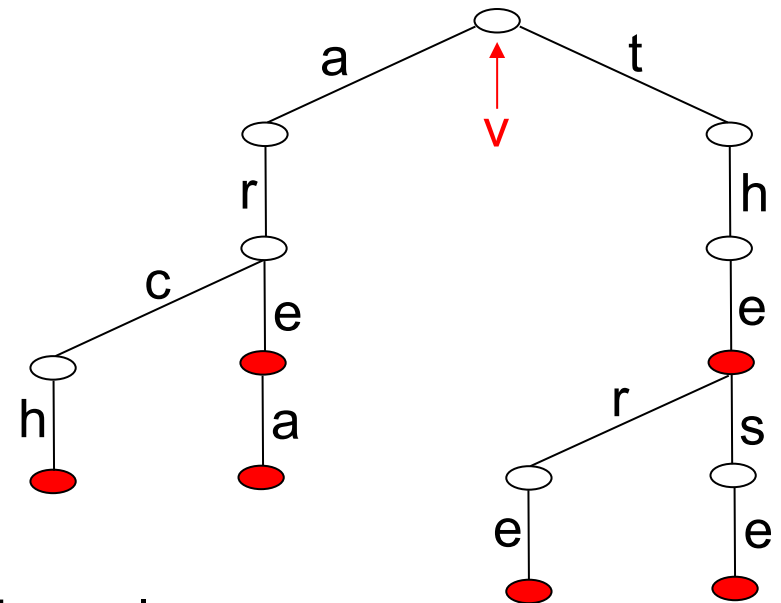
- **Longest repeat** of **S** in **$O(n)$** time and space
- **Longest common substring** in **$O(m+n)$** time and space for two strings **S, T**, where **$m=|S|$** and **$n=|T|$**
- **Multiple string searching** in **$O(n+r)$** time and **$O(n)$** space, for long piece of text **T** (**$n=|T|$**) and strings of total length **r**

Suffix tries

Tries (as in Algorithmics I)

A **trie** is a multiway branching tree **T** which may be used to store a set of strings **C** over an alphabet Σ . It has the following properties:

- **T** is rooted at some vertex **v**
- Each edge of **T** is labelled with some $\sigma \in \Sigma$
- No two children of a node of **T** have the same edge label
- Each node **w** corresponds to a string $S \in \Sigma^*$ (concatenation of edge labels on the path from **v** to **w**) - **S** is the *path label* of **w**
- Each node is marked according to whether it corresponds to a string $S \in C$



Example:

trie for $C = \{\text{are, arch, area, the, there, these}\}$

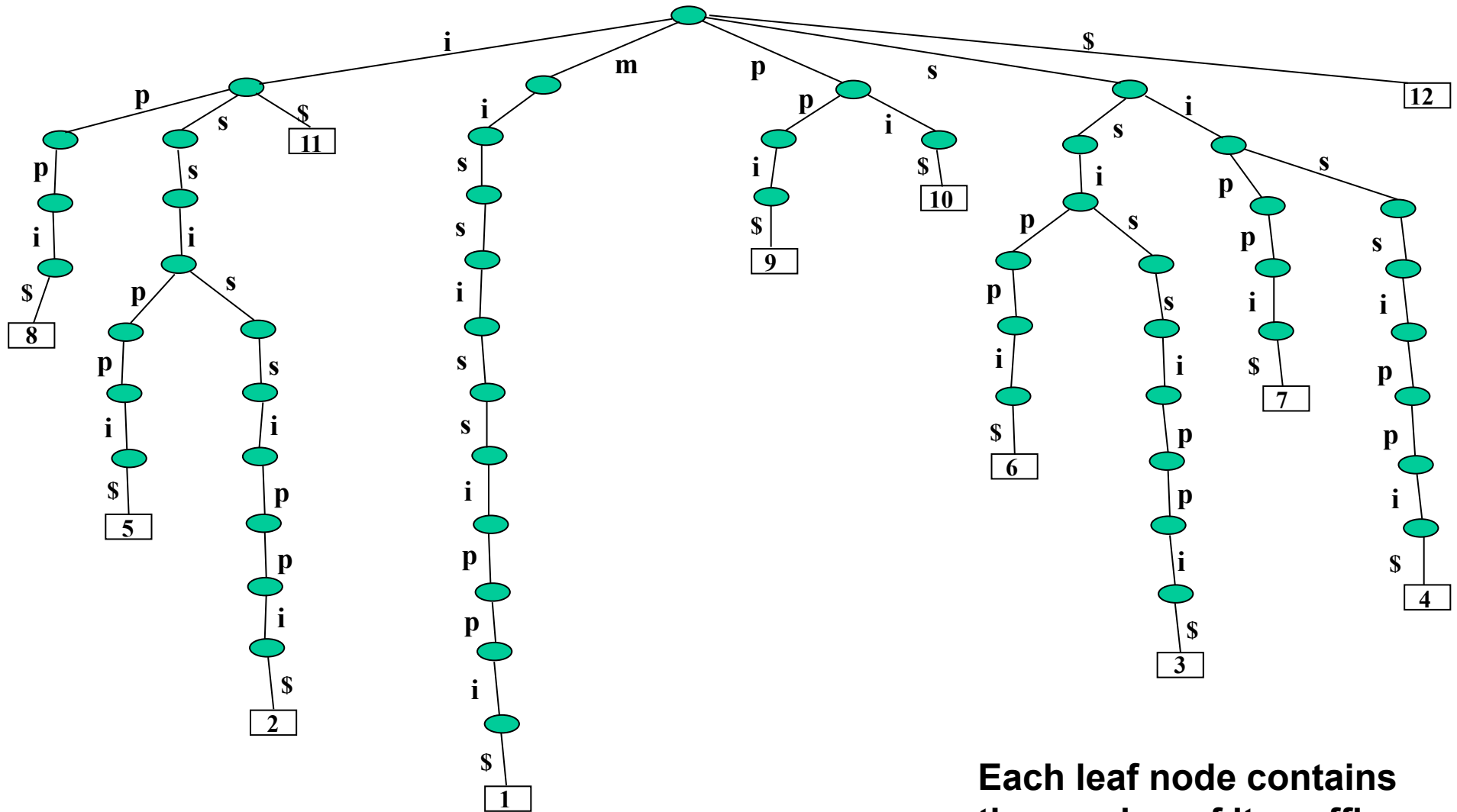
● implies path label $\in C$

- The **suffix trie** **T** for string **S** is a trie that is used to store all suffixes of **S**
- Each suffix of **S** must be represented by a unique leaf node of **T**
- If some suffix of **S** is a prefix of another suffix, then a suffix trie for **S** may not exist, e.g. consider **S** = queue
- We can ensure that the suffix trie exists by appending to **S** a character **\$** not appearing in **S**, before constructing **T**

Using a suffix trie

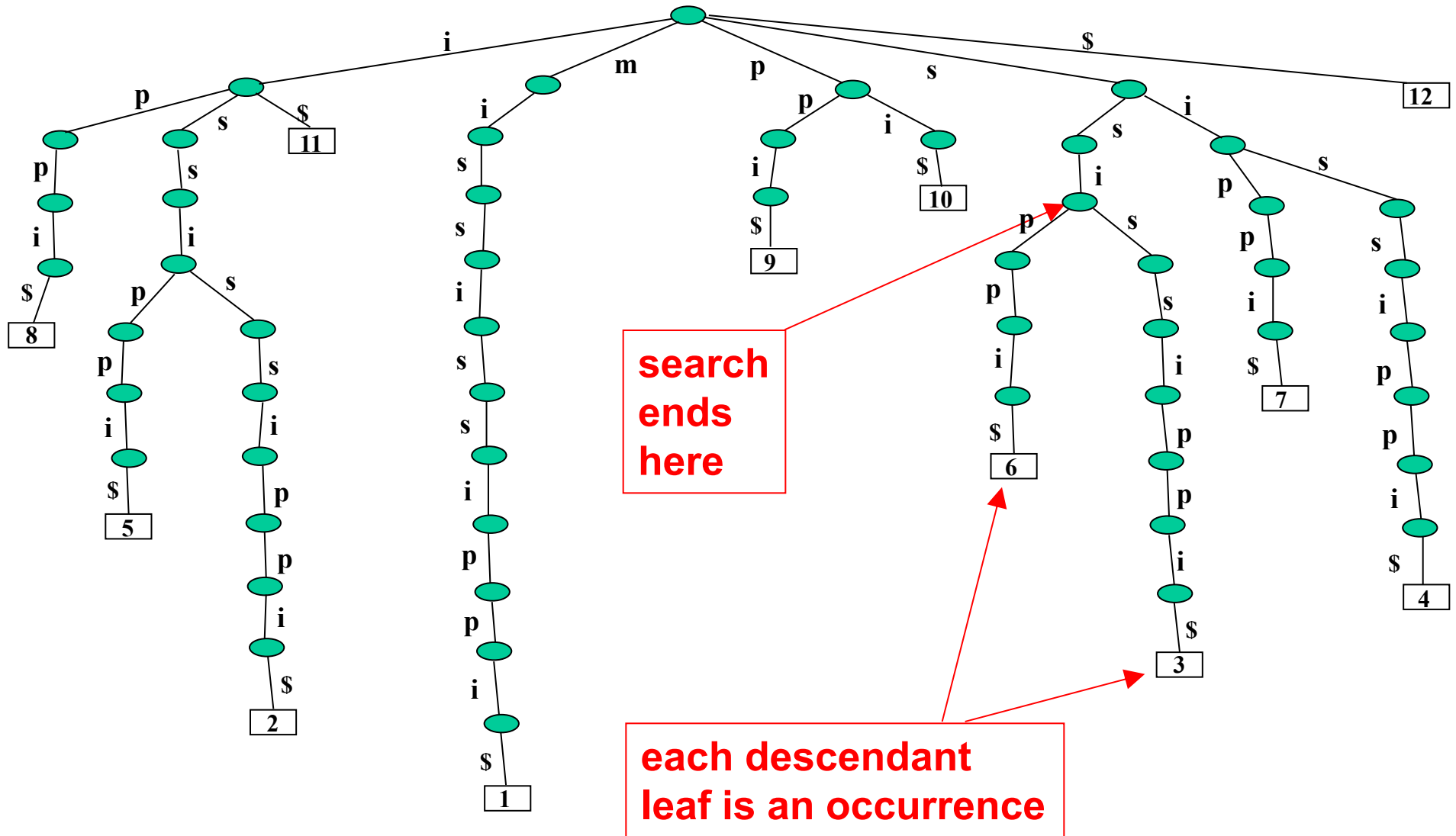
Once a suffix trie has been built for a text **T** of length **n**

- we can **search** for a string **S** of length **m** in (essentially) **O(m)** time
- we can find a **longest repeat** in **T** by traversing the trie
 - find a node with ≥ 2 children that is furthest from the root
 - distance from root = length of repeat

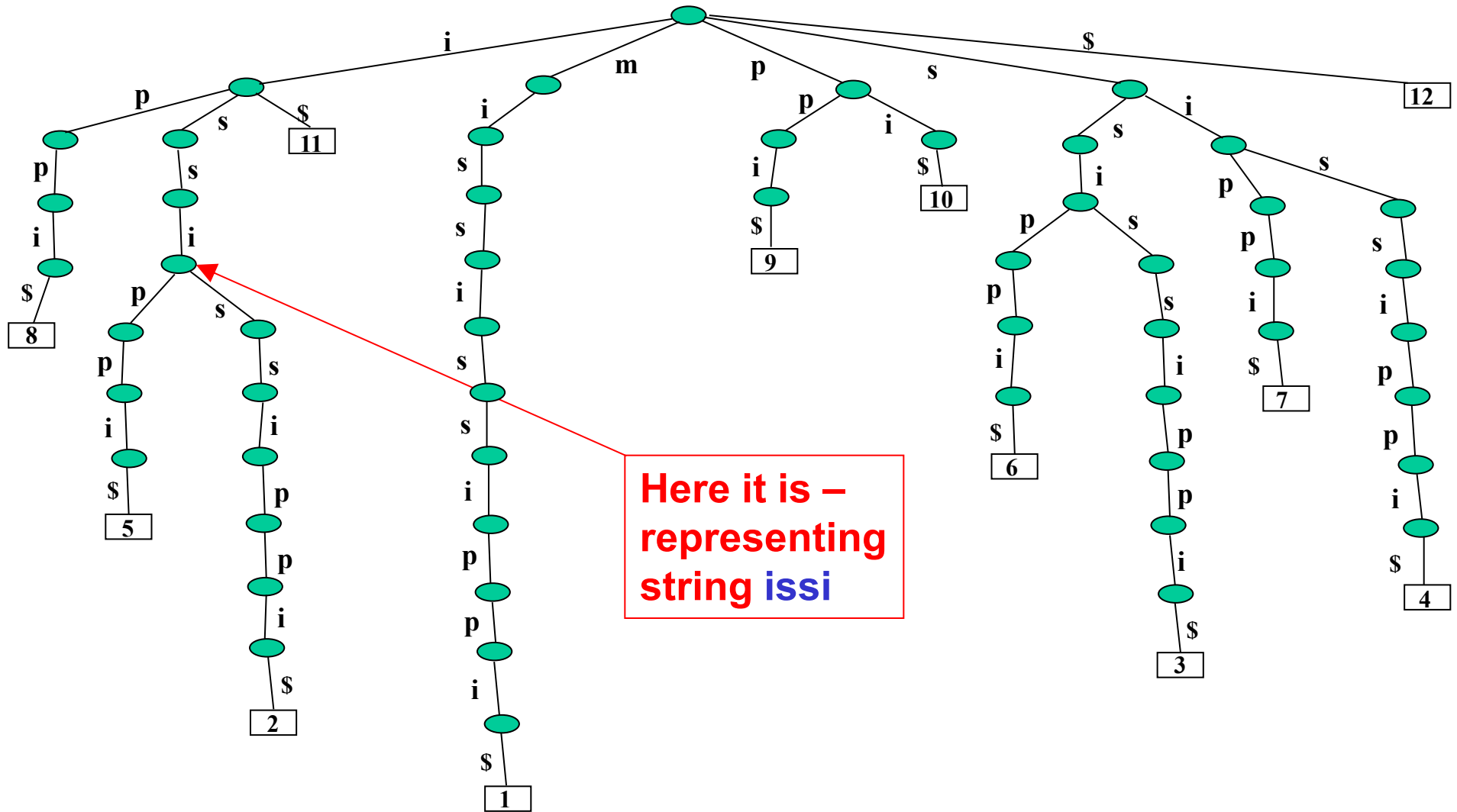


Each leaf node contains
the number of its suffix

Suffix trie for **S=mississippi**
(append **\$** - **mississippi\$**)
123456789012



Search for occurrences of **ssi**



Search for longest repeat - traverse the tree to find a node with at least two children and maximum distance from root

Building a suffix trie

- Inserting the i^{th} suffix takes $O(n-i)$ steps
 - so requires $O(n^2)$ time overall

Is a suffix trie useful in practice?

- How many nodes?
 - for a text of length n , this is typically proportional to n^2
 - so the space needed is quadratic in n
- Searching for a string of length m is $O(m)$ after $O(n^2)$ preprocessing time
- Finding longest repeat is $O(n^2)$
- In both cases $O(n^2)$ space is needed
- Can we improve substantially on this?
 - Yes: with a *suffix tree*