

# Chapter 4: Secrets

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Real World Algorithms  
A Beginners Guide  
The MIT Press

# Outline

- 1 General
- 2 Some History
- 3 A Decryption Challenge
- 4 One-time Pad
- 5 Advanced Encryption Standard (AES)
- 6 The Key Exchange Problem
- 7 Fast and Modular Exponentiation

- We call *plaintext* the message that we want to send.
- We call *ciphertext* the message that we want to send, so that only the recipient can be able to read it.
- We call *encryption* the conversion of plaintext to ciphertext.
- Then *decryption* is the recovery of the plaintext from the ciphertext.
- Encryption and decryption are based on the use of a *key*.

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# Cryptography in Antiquity

- One of the first encryption methods was the *scytale*. That was a cylinder onto which the message was wrapped.
- Other ancient cryptographic methods were based on substitutions of letters by other letters.
- If we substitute every letter in our message with another letter from our own alphabet, we have a *substitution cipher*.

# Caesar Substitution Cipher

- In the *Caesar cipher*, each letter is substituted by the letter on which we land when we move along the alphabet by a specific number of letters, wrapping around if necessary.
- The number of letters that we use to find the substitute is the key of the cipher.
- If the key is 5, A becomes F, B becomes G, ..., and Z becomes E.
- Such ciphers are also called *shift ciphers*.

# Example of Caesar Cipher

- Suppose we have the phrase “I am seated in an office”.
- With key 5, it is encrypted as: N FR XJFYJI NS FS TKKNHJ.
- To decrypt it we carry out the same process, but we use the letter that is 5 positions before in the alphabet.

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# The Message

[illegible]

# English Letters Frequencies

- In 1965 Mark Mayzner published English letter frequency tables.
- Using the technology of that time, we was able to process a corpus of 20,000 words.
- On December 17, 2012, he contacted Peter Norvig, Director of Research at Google, asking if Google could use its resources to update those tables.
- Norvig did so, and published the results at <http://norvig.com/mayzner.html>.

# English Letters Frequency Table

E	445.2	12.49%	M	89.5	2.51%
T	330.5	9.28%	F	85.6	2.40%
A	286.5	8.04%	P	76.1	2.14%
O	272.3	7.64%	G	66.6	1.87%
I	269.7	7.57%	W	59.7	1.68%
N	257.8	7.23%	Y	59.3	1.66%
S	232.1	6.51%	B	52.9	1.48%
R	223.8	6.28%	V	37.5	1.05%
H	180.1	5.05%	K	19.3	0.54%
L	145.0	4.07%	X	8.4	0.23%
D	136.0	3.82%	J	5.7	0.16%
C	119.2	3.34%	Q	4.3	0.12%
U	97.3	2.73%	Z	3.2	0.09%

3,563,505,777,820 letters were counted. The numbers in the table are billions with the corresponding percentages.

# Message Decryption (1)

- We count the symbol frequencies in the encrypted message.
- The most common symbol is  $\square$  with 35 occurrences.
- The second most common symbol is  $\succ$  with 33 occurrences.
- The third most common symbol is  $\perp$  with 32 occurrences.

# Substituting $\square \rightarrow E$

Γ C < E < Γ E J L L < V J O > > E Π E J Γ J U E < > Γ > > Π E C Γ Γ V  
> > Π Γ O Γ < E < L L Γ Γ E U J U L < V J O > > E U O E V Γ V V Π E Γ  
E Γ V J V U E Γ O J O J V Π J > J < L E < V < L Π Γ L J Π E E J V J V  
L Γ U E J O J Π E V J < Γ J Γ E O > V V E Γ E C L L < Γ Γ E J J O J J L  
L U E C E Γ E > Π E < Π J J J E J O J J L L > Π J > J J A Γ J L E Γ Γ E  
Γ C Γ E L J U Γ O J E C L Γ J Γ U < > Γ J E O > C E E L L Γ U E Γ E Γ O  
Γ Γ O > E Γ > Γ O > Π E C Γ Γ V > Γ L J L E > Π J > V > < C C U E Γ E  
V J E J O J Γ O > Π E V E L E O J Γ L J L E J < Γ J Γ E O > V V E < L J  
Π J A E J U E < > > V E Π E J E Γ Γ Π J Γ E V J Γ Γ E L E Γ C Γ > E L J  
J O < > Π Γ O Γ Γ Γ E > > < Γ E Γ V E O J L J U E < > > Π E J

Substituting

$\square \rightarrow E$

# Substituting > → T

Γ C < E < F E J L L < V J O T T E N E J F J U E < T Γ T T N E C Γ F V T T N  
Γ O Γ < E < L L Γ F E U J U L < V J O T T E U O E V Γ V V N E F E Γ V J  
V U E F O J O J V N J T J < L E < V < L N Γ L J N E E J V J V L Γ U E  
J O J N E V J < Γ J F E O T V V E F E C L L < Γ Γ E J J O J J L L U E C E  
F E T N E < N J J J E J O J J L L T N J T J J A Γ J L E Γ Γ E F C Γ E L J  
U Γ O J E C L F J Γ U < T Γ J E O T C E E L L Γ U E Γ E Γ O Γ Γ O T E Γ T  
Γ O T N E C Γ F V T Γ L J L E T N J T V T < C C U E F E V J E J O J Γ O T N  
E V E L C O J Γ L J L E J < Γ J F E O T V V E < L J N J A E J U E < T T V  
E N E J E F F N J Γ E V J Γ Γ E L E Γ C Γ T E L J J O < T N Γ O Γ Γ F E T T  
< Γ E F V E O J L J U E < T T N E J

Substituting

> → T




# Substituting $\lceil \rightarrow A$

Γ C < E < Γ E A L L < V A Θ T T E Π E A Γ A U E < T Γ T T Π E C Γ Γ V T T Π Γ  
Θ Γ < E < L L Γ Γ E U A U L < V A Θ T T E U Θ E V Γ V V Π E Γ E Γ V A V U  
E Γ Θ A Θ J V Π A T J < L E < V < L Π Γ L J Π E E J V A V L Γ U E A Θ J  
Π E V J < Γ A Γ E Θ T V V E Γ E E L L < Γ Γ E J A Θ J A L L U E C E Γ E T Π E  
< Π A J J E A Θ J A L L T Π A T J A Λ Γ J L E Γ Γ E Γ E L J U Γ Θ J E C  
L Γ A Γ U < T Γ J E Θ T C E E L L Γ U E Γ E Γ Θ Γ Γ Θ T E Γ T Γ Θ T Π E C Γ  
Γ V T Γ L A L E T Π A T V T < C C U E Γ E V J E A Θ J Γ Θ T Π E V E L E Θ J Γ  
L A L E J < Γ A Γ E Θ T V V E < L J Π A Λ E A U E < T T V E Π E J E Γ Γ Π A  
Γ E V A Γ Γ E L E Γ C Γ T E L J A Θ < T Π Γ Θ Γ Γ E T T < Γ E Γ V E Θ A L A  
U E < T T Π E J

Substituting

$\lceil \rightarrow A$

# Message Decryption (2)

- Symbol  has 28 occurrences.
- Symbol  has 24 occurrences.
- Symbol  has 22 occurrences.



Substituting  $\sqsubset \rightarrow O, \sqsupset \rightarrow I, \square \rightarrow N$

IS<O<FEAL<VANTTOPEAFALO<TITTECIFI VTTIN<O  
<L<FOUALL<VANTTOUNOVIVVPEFEIVAVUOFNANOV  
PAT<LO<V<LPI<POOVAVLEIWEANPOV<FAFEN  
TVVEFEOL<FIEANALLEUECOFETPE<PA<EANAL  
TAT<ALIOLOFEFIELEWINOCLFAU<TI<ONTCEEL  
LEIWEIOININTOITINTPECIFI VTLEALETATVT<CCUOFEV  
EANINTPEVELON<LEALE<FAFENTVVO<LPALEAU  
O<TTVOPEOFPAGEVAIELEICITOLEAN<TIN<FEET  
<FEFVONALEALO<TTPE<

Substituting

$\sqsubset \rightarrow O, \sqsupset \rightarrow I, \square \rightarrow N$

# English Bigram Frequencies

TH	100.3	3.56%
HE	86.7	3.07%
IN	68.6	2.43%
ER	57.8	2.05%
AN	56.0	1.99%
RE	52.3	1.85%
ON	49.6	1.76%
AT	41.9	1.49%
EN	41.0	1.45%
ND	38.1	1.35%

# Working with Bigrams (1)

- The most common bigram in English is TH.
- In the partially decrypted message, the most common bigram, with 9 occurrences, is T□, so we can try substituting □ with H.

# Substituting $\sqcap \rightarrow H$

IC < O < F E A L L < V A N T T O H E A F A U O < T I T T H E C I F V T T H I N I < O <  
L L I F O U A U L < V A N T T O U N O V I V V H E F E I V A V U O F N A N U V H A  
T U < L O < V < L H I L U H O O U V A V L I U E A N U H O V U < I A F E N T V V  
E F E O L L < I E U A N U A L L U E C O F E T H E < H A U E A N U A L L T H A T  
U A L I U L O I I E F C I E L U U I N U O C L F A I U < T I U O N T C E E L L I U  
E I O I N I I N T O I T I N T H E C I F V T I L A L E T H A T V T < C C U O F E V U E A N  
U I N T H E V E L O N U I L A L E U < I A F E N T V V O < L U H A A E A U O < T T  
V O H E U O F F H A I E V A I E L E I C I T O L U A N < T H I N I I F E T T < I E F  
V O N A L A U O < T T H E U

Substituting

$\sqcap \rightarrow H$

## Working with Bigrams (2)

- The second most common bigram in the partially decrypted message is  $\sqcap$  E with 8 occurrences.
- The RE bigram is also common, so we can try substituting  $\sqcap$  with R.

# Substituting $\ulcorner \rightarrow R$

I  $\ulcorner$  < O < R E A  $\ulcorner$   $\ulcorner$  < V A N T T O H E A R A  $\ulcorner$  O < T I T T H E  $\ulcorner$  I R V T T H I N  $\ulcorner$  < O <  
 $\ulcorner$   $\ulcorner$   $\ulcorner$  R O  $\ulcorner$  A  $\ulcorner$   $\ulcorner$  < V A N T T O  $\ulcorner$  N O V I V V H E R E I V A V  $\ulcorner$  O R N A N  $\ulcorner$  V H A T  
 $\ulcorner$  <  $\ulcorner$  O < V < L H I  $\ulcorner$   $\ulcorner$  H O O  $\ulcorner$  V A V  $\ulcorner$  I  $\ulcorner$  E A N  $\ulcorner$  H O V  $\ulcorner$  <  $\ulcorner$  A R E N T V V E  
R E O  $\ulcorner$   $\ulcorner$  <  $\ulcorner$  I E  $\ulcorner$  A N  $\ulcorner$  A  $\ulcorner$   $\ulcorner$   $\ulcorner$  E  $\ulcorner$  O R E T H E < H A  $\ulcorner$   $\ulcorner$  E A N  $\ulcorner$  A  $\ulcorner$   $\ulcorner$  T H A T  $\ulcorner$  A  
A  $\ulcorner$   $\ulcorner$  O  $\ulcorner$   $\ulcorner$  E R  $\ulcorner$  I E  $\ulcorner$   $\ulcorner$  I N  $\ulcorner$  O  $\ulcorner$  L R A  $\ulcorner$   $\ulcorner$  < T I  $\ulcorner$  O N T  $\ulcorner$  E E  $\ulcorner$   $\ulcorner$  I  $\ulcorner$  E  $\ulcorner$  O I  
N  $\ulcorner$  I N T O I T I N T H E  $\ulcorner$  I R V T  $\ulcorner$   $\ulcorner$  A  $\ulcorner$  E T H A T V T <  $\ulcorner$   $\ulcorner$   $\ulcorner$  O R E V  $\ulcorner$  E A N  $\ulcorner$  I N T  
H E V E L O N  $\ulcorner$   $\ulcorner$   $\ulcorner$  A  $\ulcorner$  E  $\ulcorner$  <  $\ulcorner$  A R E N T V V O <  $\ulcorner$   $\ulcorner$  H A  $\ulcorner$  E A  $\ulcorner$  O < T T V O H E  
 $\ulcorner$  O R R H A  $\ulcorner$  E V A  $\ulcorner$  I E  $\ulcorner$  I T O  $\ulcorner$   $\ulcorner$  A N < T H I N  $\ulcorner$   $\ulcorner$  R E T T <  $\ulcorner$  E R V O N A  $\ulcorner$  A  
 $\ulcorner$  O < T T H E  $\ulcorner$

Substituting

$\ulcorner \rightarrow R$

# Guessing Words (1)

- In the first line we can see the sequence REA┐┐<.
- Could that be REALLY?

# Substituting $\sqsubset \rightarrow L, \lessdot \rightarrow Y$

I  $\sqsubset$  YO  $\lessdot$  REALLY  $\vee$  AN T TO HEAR A  $\sqcup$  O  $\lessdot$  T I T THE  $\sqsubset$  IR  $\vee$  T TH IN  $\sqsupset$  YO  $\lessdot$  LL  $\sqsupset$   
RO  $\sqcup$  A  $\sqcup$  LY  $\vee$  AN T TO  $\sqcup$  NO  $\vee$  I  $\vee$   $\vee$  HERE I  $\vee$  A  $\vee$   $\sqcup$  OR N AN  $\sqsupset$   $\vee$  HAT  $\sqsupset$  Y LO  $\lessdot$   
 $\vee$  Y  $\sqsubset$  H I L  $\sqsupset$  H O O  $\sqsupset$   $\vee$  A  $\vee$  L I  $\sqcup$  E AN  $\sqsupset$  H O  $\vee$   $\sqsupset$  Y  $\sqsupset$  A R E N T  $\vee$   $\vee$  E R E O  $\sqsubset$  L  $\lessdot$   $\sqsupset$  I  
E  $\sqsupset$  AN  $\sqsupset$  ALL  $\sqcup$  E  $\sqsubset$  O R E T H E Y H A  $\sqsupset$   $\sqsupset$  E AN  $\sqsupset$  ALL T HAT  $\sqsupset$  A  $\wedge$  I  $\sqsupset$  L O  $\sqsupset$   $\sqsupset$  E R  
 $\sqsubset$  I E L  $\sqsupset$   $\sqcup$  I N  $\sqsupset$  O  $\sqsubset$  L R A  $\sqsupset$   $\sqcup$   $\lessdot$  T I  $\sqsupset$  O N T  $\sqsubset$  E E L L I  $\sqcup$  E  $\sqsupset$  O I N  $\sqsupset$  I N T O I T I N T H E  
 $\sqsubset$  I R  $\vee$  T  $\sqsupset$  L A  $\sqsubset$  E T H A T  $\vee$  T  $\lessdot$   $\sqsubset$   $\sqcup$  O R E  $\vee$   $\sqsupset$  E AN  $\sqsupset$  I N T H E  $\vee$  E L O N  $\sqsupset$   $\sqsupset$  L A  
L E  $\sqsupset$  Y  $\sqsupset$  A R E N T  $\vee$   $\vee$  O  $\lessdot$  L  $\sqsupset$  H A  $\wedge$  E A  $\sqcup$  O  $\lessdot$  T T  $\vee$  O H E  $\sqsupset$  O R R H A  $\sqsupset$  E  $\vee$  A  $\sqsupset$  I E  
L E I  $\sqsubset$  I T O L  $\sqsupset$  A N Y T H I N  $\sqsupset$   $\sqsupset$  R E T T Y  $\sqsupset$  E R  $\vee$  O N A L A  $\sqcup$  O  $\lessdot$  T T H E  $\sqsupset$

Substituting

$\sqsubset \rightarrow L, \lessdot \rightarrow Y$



## Guessing Words (2)

- In the first two lines we can see two  $YO<$ , which could be YOU.
- In the last line we can see  $ANYTHIN\top$ , which could be ANYTHING.
- Also in the last line,  $\top RETTY$  is probably PRETTY.
- Going on in this way we can decrypt the message in its entirety.

# The Decrypted Message

IFYOUREALLYWANTTOHEARABOUTITTHEFIRSTTHINGYOU'LLPROBABLYWANTTOKNOWISWHEREIWASBORNANDWHATMYLOUSYCHILDHOODWASLIKEANDHOWMYPARENTSWERE OCCUPIEDANDALLBEFORETHEYHADMEANDALLTHATDAVIDCOPPERFIELDKINDOFCRAPBUTIDON'TFEELLIKEGOINGINTOITINTHEFIRSTPLACETHATSTUFFBORESMEANDINTHESECONDPLACEMYPARENTSWOULDHAVEABOUTTWOHEMORRHAGESAPIECEIFITOLDANYTHINGPRETTYPERSONALABOUTTHEM

*If you really want to hear about it, the first thing you'll probably want to know is where I was born, and what my lousy childhood was like, and how my parents were occupied and all before they had me, and all that David Copperfield kind of crap, but I don't feel like going into it. In the first place, that stuff bores me, and in the second place, my parents would have about two hemorrhages apiece if I told anything pretty personal about them.*

J. D. Salinger, "The Catcher in the Rye".

# The Pigpen Cipher

A	B	C
D	E	F
G	H	I

J.	K.	L.
M.	N.	O.
P.	Q.	R.

S  
T X U  
V

W.  
X. Y.  
Z.

# Outline

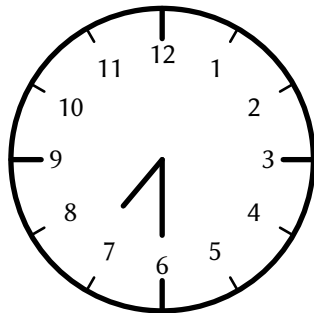
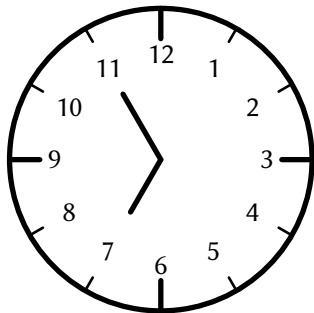
- 1 General
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- In the one-time pad, we use a *random* string, equal in length with the message we want to encrypt. The random string is really the one-time pad.
- We take in order one character from the one-time pad and one character from our message.
- We add the two characters and take the remainder of the addition when divided by the number of characters in the alphabet.

# Modular Arithmetic

- Modular arithmetic is like adding minutes in an hour.
- The symbol for modular division is  $\text{mod}$  (modulo), so we have  $23 \bmod 5 = 3$  as the remainder of the division of 23 by 5 is 3.

# Adding Minutes





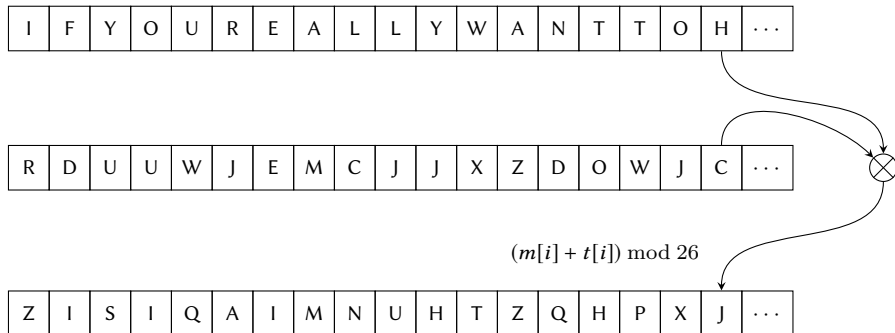
# Encryption and Decryption

- We add the  $i$ th letter of the message,  $m[i]$ , modulo 26 (there are 26 letters in the English alphabet) with the  $i$ th letter of the one-time pad.
- Letters correspond to numbers, starting from zero, that is  $A = 0$ ,  $B = 1$ , etc.
- So we have  $c[i] = (m[i] + t[i]) \bmod 26$ .
- Decryption is  $m[i] = (c[i] - t[i]) \bmod 26$ .

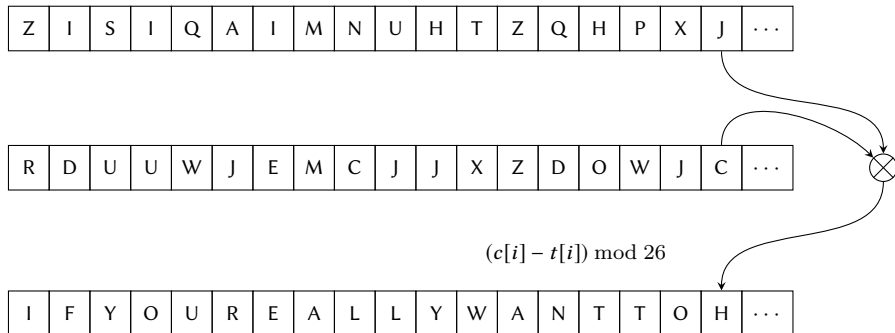
# Security of the One-time Pad

- The one-time pad is absolutely secure and cannot be broken.
- The only way to decrypt a message correctly is with possession of the one-time pad.
- Without the one-time pad, each decryption is equally probable!

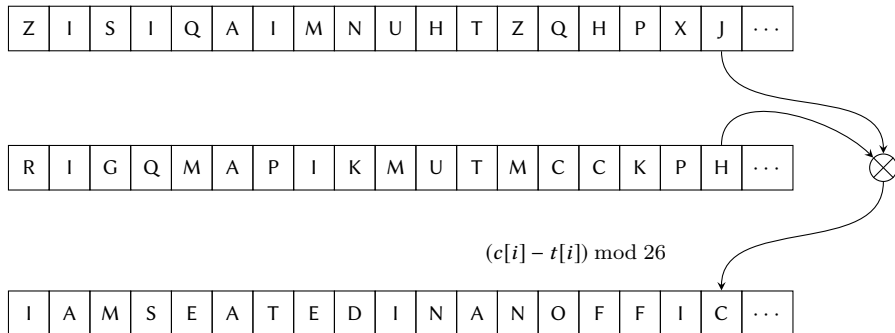
# Example



# Correct Decryption



# Wrong Decryption



# Exclusive Or, XOR

		$x$	
		0	1
$y$	0	0	1
	1	1	0

- Exclusive or, XOR, is a binary operation whose result is 1 if the two operands are different, 0 if they are the same.
- Its symbol is  $\oplus$ .
- We have  $1 \oplus 1 = 0 \oplus 0 = 0$ ,  $1 \oplus 0 = 0 \oplus 1 = 1$ .
- XOR is reversible: if  $c = a \oplus b$ , then  $c \oplus b = a$ .

# One-time pad Using XOR

- Each character is represented by a binary number, e.g., A is 1100001 using the ASCII code.
- The one-time pad is a random sequence, such as 1101011....
- The ciphertext results by taking the XOR of the plaintext with the one-time pad, bit by bit.
- In our case,  $1100001 \oplus 1101011 = 0001010$ .
- To decrypt we XOR the ciphertext with the one-time pad:  
 $0001010 \oplus 1101011 = 1100001$ .

# Problems of the One-time Pad

- One-time pads are difficult to use in practice.
- The one-time pad must be *completely random*.
- It must be *unique*.
- It must be at least as long as the plaintext.

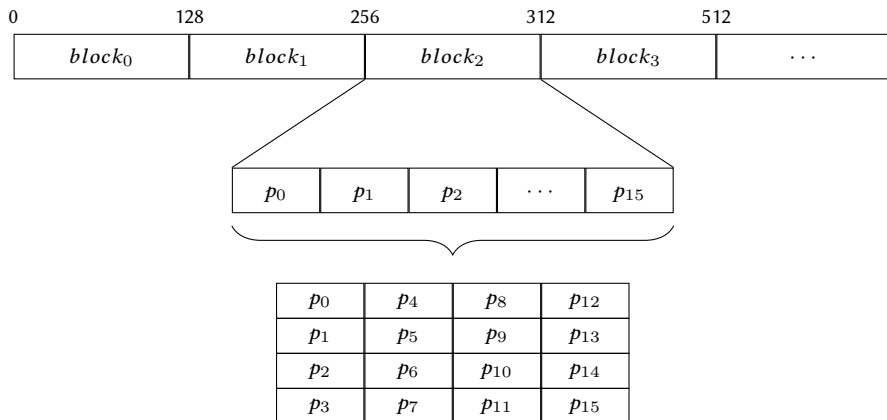


# Outline

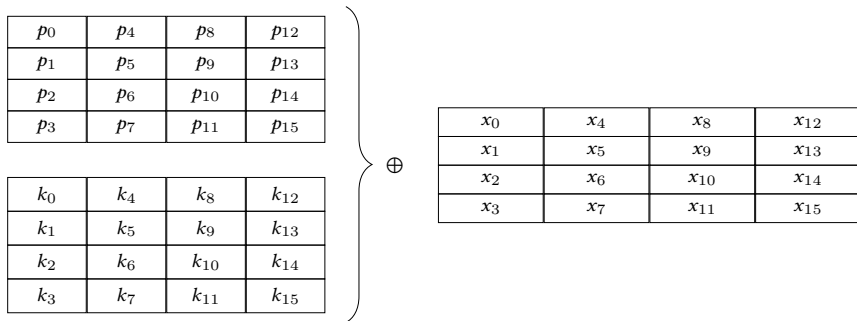
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- AES is a cryptographic standard developed by the U.S. National Institute of Standards and Technology (NIST) in 2001.
- It was selected after an open process to change an older standard, the Data Encryption Standard (DES).
- The selection process took place from 1997 until 2000.
- NIST had invited the cryptographic community to submit proposals.
- On October 2, 2000 NIST announced that the winner was the proposal submitted by two Belgian cryptographers, Joan Daemen and Vincent Rijmen. The system was called Rijndael.

# AES: Creation of the State



# AES: AddRoundKey



# AES: S-Box

	0	1	2	3	4	5	6	7	8	9	A	B	C	D	E	F
0	63	7C	77	7B	F2	6B	6F	C5	30	01	67	2B	FE	D7	AB	76
1	CA	82	C9	7D	FA	59	47	F0	AD	D4	A2	AF	9C	A4	72	C0
2	B7	FD	93	26	36	3F	F7	CC	34	A5	E5	F1	71	D8	31	15
3	04	C7	23	C3	18	96	05	9A	07	12	80	E2	EB	27	B2	75
4	09	83	2C	1A	1B	6E	5A	A0	52	3B	D6	B3	29	E3	2F	84
5	53	D1	00	ED	20	FC	B1	5B	6A	CB	BE	39	4A	4C	58	CF
6	D0	EF	AA	FB	43	4D	33	85	45	F9	02	7F	50	3C	9F	A8
7	51	A3	40	8F	92	9D	38	F5	BC	B6	DA	21	10	FF	F3	D2
8	CD	0C	13	EC	5F	97	44	17	C4	A7	7E	3D	64	5D	19	73
9	60	81	4F	DC	22	2A	90	88	46	EE	B8	14	DE	5E	0B	DB
A	E0	32	3A	0A	49	06	24	5C	C2	D3	AC	62	91	95	E4	79
B	E7	C8	37	6D	8D	D5	4E	A9	6C	56	F4	EA	65	7A	AE	08
C	BA	78	25	2E	1C	A6	B4	C6	E8	DD	74	1F	4B	BD	8B	8A
D	70	3E	B5	66	48	03	F6	0E	61	35	57	B9	86	C1	1D	9E
E	E1	F8	98	11	69	D9	8E	94	9B	1E	87	E9	CE	55	28	DF
F	8C	A1	89	0D	BF	E6	42	68	41	99	2D	0F	B0	54	BB	16

# AES: SubBytes

$x_0$	$x_4$	$x_8$	$x_{12}$
$x_1$	$x_5$	$x_9$	$x_{13}$
$x_2$	$x_6$	$x_{10}$	$x_{14}$
$x_3$	$x_7$	$x_{11}$	$x_{15}$

$s_{0,0}$	$s_{0,1}$	$\dots$	$s_{0,F}$
$s_{1,0}$	$s_{1,1}$	$\dots$	$s_{1,F}$
$\dots$	$\dots$	$\dots$	$\dots$
$s_{F,0}$	$s_{F,1}$	$\dots$	$s_{F,F}$

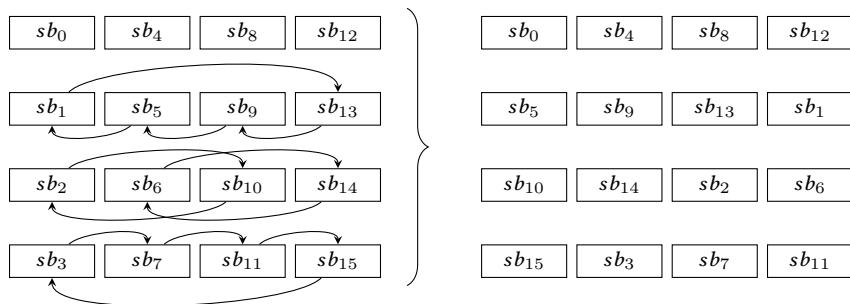
$$x_i = h_1 h_2 \rightarrow sb_i = s_{h_1, h_2}$$

$sb_0$	$sb_4$	$sb_8$	$sb_{12}$
$sb_1$	$sb_5$	$sb_9$	$sb_{13}$
$sb_2$	$sb_6$	$sb_{10}$	$sb_{14}$
$sb_3$	$sb_7$	$sb_{11}$	$sb_{15}$

## Example: SubBytes

- Suppose we have  $x_4 = 168$ .
- 168 in decimal is A8 in hexadecimal.
- We go to line A, column 8 of the S-box, where we find the number C2 in hexadecimal, or 194 in decimal.
- So  $sb_4 = 194$ .

# AES: ShiftRows





# AES: MixColumns

$sb_0$		$sb_8$	$sb_{12}$
$sb_5$	$sb_4$	$sb_{13}$	$sb_1$
$sb_{10}$	$sb_9$	$sb_2$	$sb_6$
$sb_{15}$	$sb_{14}$	$sb_7$	$sb_{11}$
	$sb_3$		

$$\begin{bmatrix} sb'_4 \\ sb'_9 \\ sb'_{14} \\ sb'_3 \end{bmatrix} = \begin{bmatrix} 2 & 3 & 1 & 1 \\ 1 & 2 & 3 & 1 \\ 1 & 1 & 2 & 3 \\ 3 & 1 & 1 & 2 \end{bmatrix} \begin{bmatrix} sb_4 \\ sb_9 \\ sb_{14} \\ sb_3 \end{bmatrix}$$

$$sb'_4 = 2 \bullet sb_4 \oplus 3 \bullet sb_9 \oplus 1 \bullet sb_{14} \oplus 1 \bullet sb_3$$

$$sb'_9 = 1 \bullet sb_4 \oplus 2 \bullet sb_9 \oplus 3 \bullet sb_{14} \oplus 1 \bullet sb_3$$

$$sb'_{10} = 1 \bullet sb_4 \oplus 1 \bullet sb_9 \oplus 2 \bullet sb_{14} \oplus 3 \bullet sb_3$$

$$sb'_{13} = 3 \bullet sb_4 \oplus 1 \bullet sb_9 \oplus 1 \bullet sb_{14} \oplus 2 \bullet sb_3$$

	$sb'_4$		
$sb'_0$	$sb'_9$	$sb'_8$	$sb'_{12}$
$sb'_5$	$sb'_{14}$	$sb'_{13}$	$sb'_1$
$sb'_{10}$	$sb'_3$	$sb'_2$	$sb'_6$
$sb'_{15}$		$sb'_7$	$sb'_{11}$

- The matrix is the same for all columns.
- Addition and multiplication are not the usual arithmetic operations.
- They are addition and multiplication of polynomials modulo an irreducible polynomial of degree 8 in the finite field  $GF(2^8)$ .

- Addition  $\oplus$  is just an XOR of the operand bits.
- For multiplication, we need to see what it means to multiply by 1, 2, and 3 (as they are the only multiplications that take place).
- We have:

$$1 \bullet a = a$$

$$3 \bullet a = 2 \bullet a \oplus a$$

- So we only need to see how to multiply by 2,  $2 \bullet a$ .

- If  $a$  in binary is written as:  $a = (a_7, a_6, \dots, a_0)$
- Then we have:

$$2 \bullet a = \begin{cases} (a_6, \dots, a_0, 0) & \text{if } a_7 = 0 \\ (a_6, \dots, a_0, 0) \oplus (0, 0, 0, 1, 1, 0, 1, 1) & \text{if } a_7 = 1 \end{cases}$$

# The AES Algorithm

---

**Algorithm:** AES cipher algorithm.

---

AESCipher( $b, k, n$ )  $\rightarrow s$

**Input:**  $b$ , a block of 16 bytes  
 $k$ , the encryption key  
 $n$ , the number of rounds

**Data:**  $s$ , the state  
 $rk$ , an array of size  $n + 1$  that will contain the round keys

**Output:**  $s$ , the ciphertext corresponding to  $b$

```
1   $s \leftarrow \text{CreateState}(b)$ 
2   $rk \leftarrow \text{ExpandKey}(k)$ 
3   $s \leftarrow \text{AddRoundKey}(s, rk[0])$ 
4  for  $i \leftarrow 1$  to  $n$  do
5       $s \leftarrow \text{SubBytes}(s)$ 
6       $s \leftarrow \text{ShiftRows}(s)$ 
7       $s \leftarrow \text{MixColumns}(s)$ 
8       $s \leftarrow \text{AddRoundKey}(s, rk[i])$ 
9   $s \leftarrow \text{SubBytes}(s)$ 
10  $s \leftarrow \text{ShiftRows}(s)$ 
11  $s \leftarrow \text{AddRoundKey}(s, rk[n])$ 
12 return  $s$ 
```

# The AES Decipher Algorithm

---

**Algorithm:** AES decipher algorithm.

---

$\text{AESDecipher}(b, k, n) \rightarrow s$

**Input:**  $b$ , a block of 16 bytes

$k$ , the encryption key

$n$ , the number of rounds

**Output:**  $s$ , the plaintext corresponding to  $b$

```
1   $s \leftarrow \text{CreateState}(b)$ 
2   $rk \leftarrow \text{ExpandKey}(k)$ 
3   $s \leftarrow \text{AddRoundKey}(s, rk[n])$ 
4  for  $i \leftarrow 1$  to  $n$  do
5       $s \leftarrow \text{InvShiftRows}(s)$ 
6       $s \leftarrow \text{InvSubBytes}(s)$ 
7       $s \leftarrow \text{AddRoundKey}(s, rk[n - i])$ 
8       $s \leftarrow \text{InvMixColumns}(s)$ 
9   $s \leftarrow \text{InvShiftRows}(s)$ 
10  $s \leftarrow \text{InvSubBytes}(s)$ 
11  $s \leftarrow \text{AddRoundKey}(s, rk[0])$ 
12 return  $s$ 
```

---

# AES: Inverse S-Box

	0	1	2	3	4	5	6	7	8	9	A	B	C	D	E	F
0	52	09	6A	D5	30	36	A5	38	BF	40	A3	9E	81	F3	D7	FB
1	7C	E3	39	82	9B	2F	FF	87	34	8E	43	44	C4	DE	E9	CB
2	54	7B	94	32	A6	C2	23	3D	EE	4C	95	0B	42	FA	C3	4E
3	08	2E	A1	66	28	D9	24	B2	76	5B	A2	49	6D	8B	D1	25
4	72	F8	F6	64	86	68	98	16	D4	A4	5C	CC	5D	65	B6	92
5	6C	70	48	50	FD	ED	B9	DA	5E	15	46	57	A7	8D	9D	84
6	90	D8	AB	00	8C	BC	D3	0A	F7	E4	58	05	B8	B3	45	06
7	D0	2C	1E	8F	CA	3F	0F	02	C1	AF	BD	03	01	13	8A	6B
8	3A	91	11	41	4F	67	DC	EA	97	F2	CF	CE	F0	B4	E6	73
9	96	AC	74	22	E7	AD	35	85	E2	F9	37	E8	1C	75	DF	6E
A	47	F1	1A	71	1D	29	C5	89	6F	B7	62	0E	AA	18	BE	1B
B	FC	56	3E	4B	C6	D2	79	20	9A	DB	C0	FE	78	CD	5A	F4
C	1F	DD	A8	33	88	07	C7	31	B1	12	10	59	27	80	EC	5F
D	60	51	7F	A9	19	B5	4A	0D	2D	E5	7A	9F	93	C9	9C	EF
E	A0	E0	3B	4D	AE	2A	F5	B0	C8	EB	BB	3C	83	53	99	61
F	17	2B	04	7E	BA	77	D6	26	E1	69	14	63	55	21	0C	7D

# Outline

- 1 General
- 2 Some History
- 3 A Decryption Challenge
- 4 One-time Pad
- 5 Advanced Encryption Standard (AES)
- 6 The Key Exchange Problem**
- 7 Fast and Modular Exponentiation



# The Problem

- AES, like other modern cryptographic methods, is secure as long as the key is not leaked.
- In particular, all security hinges on the secrecy of the key (Kerckhoffs's principle, 1883).
- How can we exchange keys securely?

## Example (1)

- 1 Alice and Bob agree on two numbers, a prime number  $p$ , and another number, not necessarily prime,  $g$  such that  $2 \leq g \leq p - 2$ . Suppose that  $p = 23$  and  $g = 14$ . These two numbers are not required to be kept secret.
- 2 Alice chooses a secret number  $a$ ,  $1 \leq a \leq p - 1$ . Suppose she chooses  $a = 3$ . She calculates the number

$$A = g^a \bmod p$$

or  $14^3 \bmod 23 = 2744 \bmod 23 = 7$ .

- 3 Alice sends  $A$ , that is, 7, to Bob.

## Example (2)

- 4 Bob chooses a secret number  $b$ ,  $1 \leq b \leq p - 1$ . Suppose he chooses  $b = 4$ . He carries out the same calculations with Alice, that is,

$$B = g^b \bmod p$$

or  $14^4 \bmod 23 = 38\,416 \bmod 23 = 6$ .

- 5 Bob sends  $B$ , that is, 6, to Alice.

## Example (3)

- 6 Alice calculates the number

$$B^a \bmod p$$

that is,  $6^3 \bmod 23 = 216 \bmod 23 = 9$ .

- 7 Bob calculates the number

$$A^b \bmod p$$

or  $7^4 \bmod 23 = 2401 \bmod 23 = 9$ .

- 8 The number 9 is the secret key shared by Alice and Bob.

# Diffie-Hellman Communication

Alice  $\xleftrightarrow{g, p}$  Bob

Alice  $\xrightarrow{g^a \bmod p}$  Bob  
Bob  $\xleftarrow{g^b \bmod p}$  Alice

# Diffie-Hellman Key Exchange

Alice	Bob
Alice and Bob agree on $p$ and $g$	
Choose $a$ Calculate $A = g^a \bmod p$ Send $A$ to Bob	Choose $b$ Calculate $B = g^b \bmod p$ Send $B$ to Alice
Calculate $s = B^a \bmod p$ $= (g^b)^a \bmod p$ $= g^{ba} \bmod p$	Calculate $s = A^b \bmod p$ $= (g^a)^b \bmod p$ $= g^{ab} \bmod p$

# Diffie-Hellman Security

- There is no known efficient way to find the secret from  $p$ ,  $g$ ,  $A$ , and  $B$ .
- That is because to do that we would need to solve the *discrete logarithm problem*, for which we have no efficient solution.
- If  $p$  is prime, and we have  $g$  and  $y = g^x \bmod p$ , the discrete logarithm problem is finding  $x$ ,  $1 \leq x \leq p - 1$ .
- The integer  $x$  is called *discrete logarithm of  $y$  with base  $g$*  and we write  $x = \log_g y \bmod p$ .

# One-way Functions

- The calculation  $y = g^x \bmod p$  is a *one-way function*.
- It is easy to calculate  $y$  from  $g$ ,  $x$ , and  $p$ .
- However, we do not know an efficient method to calculate  $x$  from  $y$ ,  $g$ , and  $p$ .
- We can try different values for  $x$  until we find the right one—but that is not efficient.



# Behavior of $g^x$ and $g^x \bmod p$ (1)

For  $g = 2$  and  $p = 13$  we have:

$x$	1	2	3	4	5	6	7	8	9	10	11	12
$g^x$	2	4	8	16	32	64	128	256	512	1024	2048	4096
$g^x \bmod p$	2	4	8	3	6	12	11	9	5	10	7	1

- While the behavior of  $2^x$  is predictable, the behavior of  $2^x \bmod 13$  does not appear to be.
- $2^x \bmod 13$  will take all values from 1 up to 12 before starting repeating itself.

## Behavior of $g^x$ and $g^x \bmod p$ (2)

- Indeed:

$$\begin{aligned} 2^{13} \bmod 13 &= (2^{12} \times 2) \bmod 13 \\ &= ((2^{12} \bmod 13) \times (2 \bmod 13)) \bmod 13 \\ &= (1 \times 2) \bmod 13 = 2 \end{aligned}$$

- In general, we can see that the function  $2^x \bmod 13$  is periodic with period 12:

$$\begin{aligned} 2^{12+k} \bmod 13 &= ((2^{12} \bmod 13) \times (2^k \bmod 13)) \bmod 13 \\ &= (1 \times 2^k) \bmod 13 \\ &= 2^k \bmod 13 \end{aligned}$$

- 12 is its *fundamental period*, as there is no period smaller than that.

## Behavior of $g^x$ and $g^x \bmod p$ (3)

For  $g = 3$  and  $p = 13$  we have:

$x$	1	2	3	4	5	6	7	8	9	10	11
$g^x$	3	9	27	81	243	729	2187	6561	19683	59049	177147
$g^x \bmod p$	3	9	1	3	9	1	3	9	1	3	9

- We see that  $3^x \bmod 13$  does not take all values from 1 up to 12 before starting repeating itself. Its fundamental period is 3.
- Therefore if we were to try and find  $x$  with  $g = 3$  and  $p = 13$  we would only need to try 3 different values.
- In general, we want to have  $g$  and  $p$  such that the fundamental period is very large.

# Diffie-Hellman Parameters

- For  $p$  we select a large prime number.
- If it takes 4096 bits, it has at least 1233 decimal digits.
- Then we select  $g$  according to number theory, so that the fundamental period of  $g^x \bmod p$  will be very large.

# Groups and Generators

- If the successive values of  $g^x \bmod p$  cover all the numbers from 1 up to and including  $p - 1$ , then we say that  $g$  is a *generator* or a *primitive element*.
- To be more precise, it is called a *group generator*, or a *group primitive element* because the numbers  $1, 2, \dots, p - 1$  when  $p$  is a prime form a *multiplicative group* when we multiply them modulo  $p$ , an important concept in algebra and number theory.
- We therefore need to pick as  $g$  a generator.
- In fact, we can make do without a generator, if the successive values of  $g^x \bmod p$  are a large enough subset of the numbers  $1, 2, \dots, p - 1$  so that trying to find the solution to the discrete logarithm problem is impractical.

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- Raising to a power is a common operation in cryptography.
- The common way to calculate  $g^x$  requires  $x$  multiplications.
- Is there a better way?

# Fast Exponentiation (1)

- Suppose we want to calculate  $g^x$ .
- We write  $x$  in binary:

$$x = b_{n-1}2^{n-1} + b_{n-2}2^{n-2} + \dots + b_02^0$$

- That means that:

$$g^x = g^{b_{n-1}2^{n-1} + b_{n-2}2^{n-2} + \dots + b_02^0}$$

- Which is equivalent to:

$$g^x = (g^{2^{n-1}})^{b_{n-1}} \times (g^{2^{n-2}})^{b_{n-2}} \times \dots \times (g^{2^0})^{b_0}$$



# Fast Exponentiation (2)

- If we take the last expression from right to left, we start by calculating  $(g^{2^0})^{b_0}$ .
- Then we calculate:

$$(g^{2^1})^{b_1}$$

$$(g^{2^2})^{b_2}$$

$$(g^{2^3})^{b_3}$$

etc.

# Fast Exponentiation (3)

- But:

$$g^{2^0} = g^1 = g$$

$g^{2^1}$  is the square of  $g$

$g^{2^2}$  is the square of  $g^{2^1}$

$g^{2^3}$  is the square of  $g^{2^2}$

and in general  $g^{2^k} = (g^{2^{k-1}})^2$  as  $(g^{2^{k-1}})^2 = g^{2 \cdot 2^{k-1}}$ .

- So we can calculate  $g^{2^i}$  for  $i = 1, \dots, n - 1$ , from the right to the left, squaring the previous factor.
- This is the idea behind the *exponentiation with repeated squaring* algorithm.
- The number of iterations is equal to the bits of the exponent  $x$ , so  $O(\lg x)$ .

# Exponentiation by Repeated Squaring

---

**Algorithm:** Exponentiation by repeated squaring.

---

ExpRepeatedSquaring( $g, x$ )  $\rightarrow r$

**Input:**  $g$ , the integer base  
 $x$ , the integer exponent

**Output:**  $r$ , equal to  $g^x$

```
1   $c \leftarrow g$ 
2   $d \leftarrow x$ 
3   $r \leftarrow 1$ 
4  while  $d > 0$  do
5      if  $d \bmod 2 = 1$  then
6           $r \leftarrow r \times c$ 
7       $d \leftarrow \lfloor d/2 \rfloor$ 
8       $c \leftarrow c \times c$ 
9  return  $r$ 
```

---

# Exponentiation by Repeated Squaring for $13^{13}$

$c = g^{2^i} = 13^{2^i}$	$r$	$d$
13	1	1101
169	13	110
28561	13	11
815730721	371293	1
302875106592253		

- Every line, except for the last, corresponds to the values of  $c$ ,  $r$ , and  $d$ , in line 5 of the algorithm.
- From that algorithm we can arrive immediately to an algorithm for *modular exponentiation by repeated squaring*, simply by taking the modulo, when required.
- The number of iterations is again equal to the bits of the exponent, that is,  $O(\lg x)$ .

# Implementation Details (1)

- To find whether an integer has remainder 1 when divided by 2, as in line 5 of the algorithm, we do not need to carry out the division.
- We only need to check whether the last bit is equal to 1.
- To do that, we can use the binary operation AND (its symbol is  $\&$ ), using a number with all bits set to 0, apart from the last bit, set to 1.
- For example, to check 13, we do:

$$1111\&0001 = 0001$$

## Implementation Details (2)

- Similarly, to perform an integer division by 2, as in line 7 of the algorithm, we do not need to carry out the division.
- We just need to *shift* the bits of the number one position to the right.
- This operation, *shift right*, has as its symbol  $\gg$ .
- For example, for 13, we do:

$$1101 \gg 1 = 110$$

# Modular Exponentiation with Repeated Squaring

---

**Algorithm:** Modular exponentiation by repeated squaring.

---

$\text{ModExpRepeatedSquaring}(g, x, p) \rightarrow r$

**Input:**  $g$ , the integer base

$x$ , the integer exponent

$p$ , the divisor

**Output:**  $r$ , equal to  $g^x \bmod p$

```
1   $c \leftarrow g \bmod p$ 
2   $d \leftarrow x$ 
3   $r \leftarrow 1$ 
4  while  $d > 0$  do
5      if  $d \bmod 2 = 1$  then
6           $r \leftarrow (r \times c) \bmod p$ 
7       $d \leftarrow \lfloor d/2 \rfloor$ 
8       $c \leftarrow (c \times c) \bmod p$ 
9  return  $r$ 
```

---

# Example for $155^{235} \bmod 391$

$c = g^{2^i} = 155^{2^i} \bmod 391$	$r$	$d$
155	1	11101011
174	155	1110101
169	382	111010
18	382	11101
324	292	1110
188	292	111
154	42	11
256	232	1
	314	



# A Deeper Look in Complexity

- We saw that the algorithms require  $O(\lg x)$  iterations.
- But how much time does each iteration require?
- Multiplication and squaring make take some time to complete.
- Can we investigate that?

# Single- and Multiple-precision Arithmetic

- Computers use a fixed number of bits to represent an integer, say 32 or 64 bits.
- Operations involving such numbers are carried out very fast and are called *single-precision* operations; arithmetic with these operations is called *single-precision arithmetic*.
- If our numbers cannot fit in the number of bits offered by the computer, then the computer has to use *multiple-precision arithmetic*, also called *arbitrary-precision arithmetic*, or *bignum arithmetic*.

# Multiplication in Multiple-precision Arithmetic

- Long multiplication involves  $n^2$  multiplications, plus additions.
- Multiple-precision multiplication of two numbers  $a$  and  $b$  of  $n$  and  $m$  bits with an improved algorithm requires  $nm$  single-precision multiplications, so it is  $O(nm) = O(\lg a \lg b)$ .
- Squaring can be performed at  $O((n^2 + n)/2)$ , for a number of  $n$  bits.

# Multiple- and Single-precision Complexity

- If we investigate the algorithm for exponentiation by repeated squaring, we find that its overall complexity is  $O((x \lg g)^2)$ , if we take into account the time required for multiple-precision arithmetic.
- Therefore, our complexity estimate is  $O(\lg x)$  if we don't care about multiple-precision arithmetic, or  $O((x \lg g)^2)$  if we do care.