

# **Part 2**

## **String and Text Algorithms**

- **Suffix tries and suffix trees**
- **Applications of suffix trees**
  - variants of string searching
  - longest common substrings
- **Matching regular expressions**
- **Global similarities in strings**
  - longest common subsequence
  - the technique of memoisation

# String / Text Algorithms

## Some notation and terminology

- an *alphabet*       $\Sigma = \{A, C, G, T\}$  (DNA)  
 $\Sigma = \text{ASCII, Unicode, etc.}$
- a *string*:            ACAGTCCGGGACTGACG

Throughout this section, all strings are indexed from position **1** (for consistency with the literature)

- Let **S,T** be two strings
  - $|S|$  is the *length* of **S**
  - $S(i)$  denotes the  $i^{\text{th}}$  symbol of **S**
  - $S(i \dots j)$  denotes  $S(i)S(i+1) \dots S(j)$  (strings indexed from 1)
  - **ST** is the *concatenation* of **S** and **T**
- $\epsilon$  – the *empty string*, length 0

## Further notation and terminology

- a **substring**: ACAGTCCGGG**GACTG**ACG
  - a substring of **S** is  $\epsilon$  or **S(i . . j)** ( $i \leq j$ )
  - **U** is a substring of **S** if and only if **S = TUV** for some strings **T, V**
- a **common substring**: TCCACT**GACTG**CTGC  
ACAGTCCGGG**GACTG**ACG
  - **U** is a common substring of **S** and **T** if **U** is a substring of both **S** and **T**
- a **subsequence**: AC**A**G**T**CC**GGG****A**CT**G**ACG
  - obtained by deleting zero or more characters from the string
- a **common subsequence**:  
**A**C**A**G**T**CC**GGG****A**CT**G**ACG  
**T****C****C****A****C****T****G**ACT**G****C****T****G****C**
  - **U** is a common subsequence of **S** and **T** if **U** is a subsequence of both **S** and **T**

## Notation and terminology (continued)

- a *prefix*: **ACAGTCCGGGACTGACG**
  - **S(1 . . k)** for some **k** ( $1 \leq k \leq n$ ), where **n = |S|**
- a *suffix*: **ACAGTCCGGGACTGACG**
  - **S(k . . n)** for some **k** ( $1 \leq k \leq n$ ), where **n = |S|**
- $\Sigma^* = \{\varepsilon, A, C, G, T, AA, AC, AG, AT, CA, \dots\}$ 
  - set of all strings composed of symbols from the alphabet  $\Sigma$
- some tree terminology:
  - a *leaf* node: no children
  - a *branch* node: one or more children (includes root)
  - a *unary* node: exactly one child
  - a *binary* node: exactly two children

# Suffix trees and applications

## Some sample motivating problems

### Multiple searches

- How would you search one long text for occurrences of 1000 short strings?
  - Use **KMP** or **BM** algorithm
  - but each search involves scanning the long text
  - what if we **preprocess** the text to build an exploitable data structure – effectively an **index** for the text?

### Repeated substrings

- How would you find the longest **repeated substring** in a gene (DNA string)?
- or the longest piece of text **common** to two written works?

# Problem: finding a longest repeated substring

Example:  $S=$ cabdababdc

Longest repeat is: abd

Finding a longest repeat: naïve solution -  $O(n^3)$

Faster solution:

- for a string  $S$  of length  $n$ , build an  $n \times n$  array  $A$  with  $A(i, j) = 1$  if  $S(i) = S(j)$ , and  $A(i, j) = 0$  otherwise

E.g. find a longest repeat in  $S=$ cabdababdc

	1	2	3	4	5	6	7	8	9	0
	c	a	b	d	a	b	a	b	d	c
1	c	-	0	0	0	0	0	0	0	1
2	a	-	-	0	0	1	0	1	0	0
3	b	-	-	-	0	0	1	0	0	0
4	d	-	-	-	-	0	0	0	1	0
5	a	-	-	-	-	-	0	1	0	0
6	b	-	-	-	-	-	0	1	0	0
7	a	-	-	-	-	-	-	0	0	0
8	b	-	-	-	-	-	-	-	0	0
9	d	-	-	-	-	-	-	-	-	0
0	c	-	-	-	-	-	-	-	-	-

## Finding a longest repeat: naïve solution (cont.)

- repeated substrings are represented by sequences of 1's on a diagonal
- scan all diagonals to find longest repeat
- requires  $O(n^2)$  time and space
- NB. repeated substrings may overlap -

e.g.  $S = c \underline{a} \underline{b} \underline{a} \underline{b} a d$

## Common substrings

Find longest common substring of  $S=ababdc$ , and  $T=ccbabb$

- longest common substring is  $bab$
- for two strings  $S, T$  of lengths  $m$  and  $n$ , build a similar  $m \times n$  array of 0's and 1's - gives a longest common substring of  $S$  and  $T$  in  $O(mn)$  time and space

## Suffixes

Let **S** be a string of length **n**

- the  $k^{\text{th}}$  suffix of **S** is the suffix **S(k..n)**
- **S** has **n** suffixes, including **S** itself

**Aim:** define data structures to store the **n** suffixes of **S**

- Suffix trie -  $O(n^2)$  space
- Suffix tree -  $O(n)$  space

Use the suffix tree to solve the following problems:

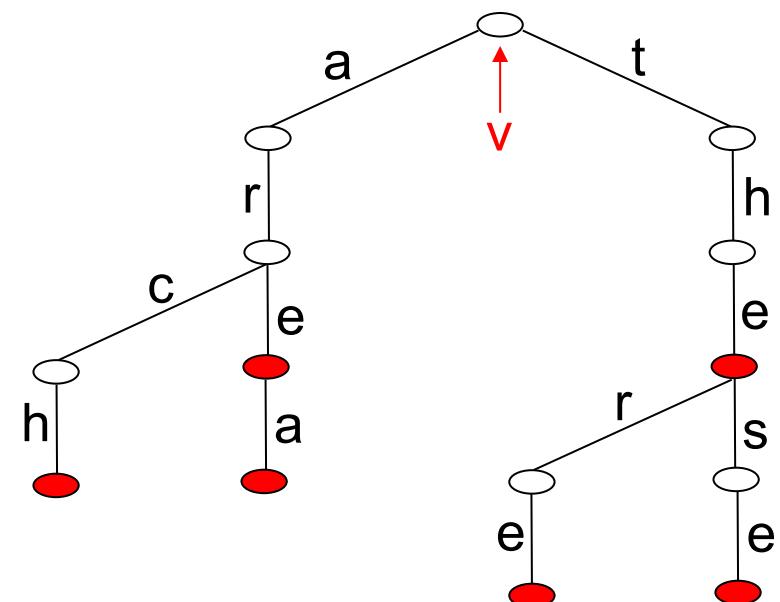
- Longest repeat of **S** in  $O(n)$  time and space
- Longest common substring in  $O(m+n)$  time and space for two strings **S,T**, where  $m=|S|$  and  $n=|T|$
- Multiple string searching in  $O(n+r)$  time and  $O(n)$  space, for long piece of text **T** ( $n=|T|$ ) and strings of total length **r**

## Suffix tries

### Tries (as in Algorithmics I)

A **trie** is a multiway branching tree **T** which may be used to store a set of strings **C** over an alphabet  $\Sigma$ . It has the following properties:

- **T** is rooted at some vertex **v**
- Each edge of **T** is labelled with some  $\sigma \in \Sigma$
- No two children of a node of **T** have the same edge label
- Each node **w** corresponds to a string  $S \in \Sigma^*$  (concatenation of edge labels on the path from **v** to **w**) - **S** is the *path label* of **w**
- Each node is marked according to whether it corresponds to a string  $S \in C$



Example:

trie for  $C = \{\text{are}, \text{arch}, \text{area}, \text{the}, \text{there}, \text{these}\}$

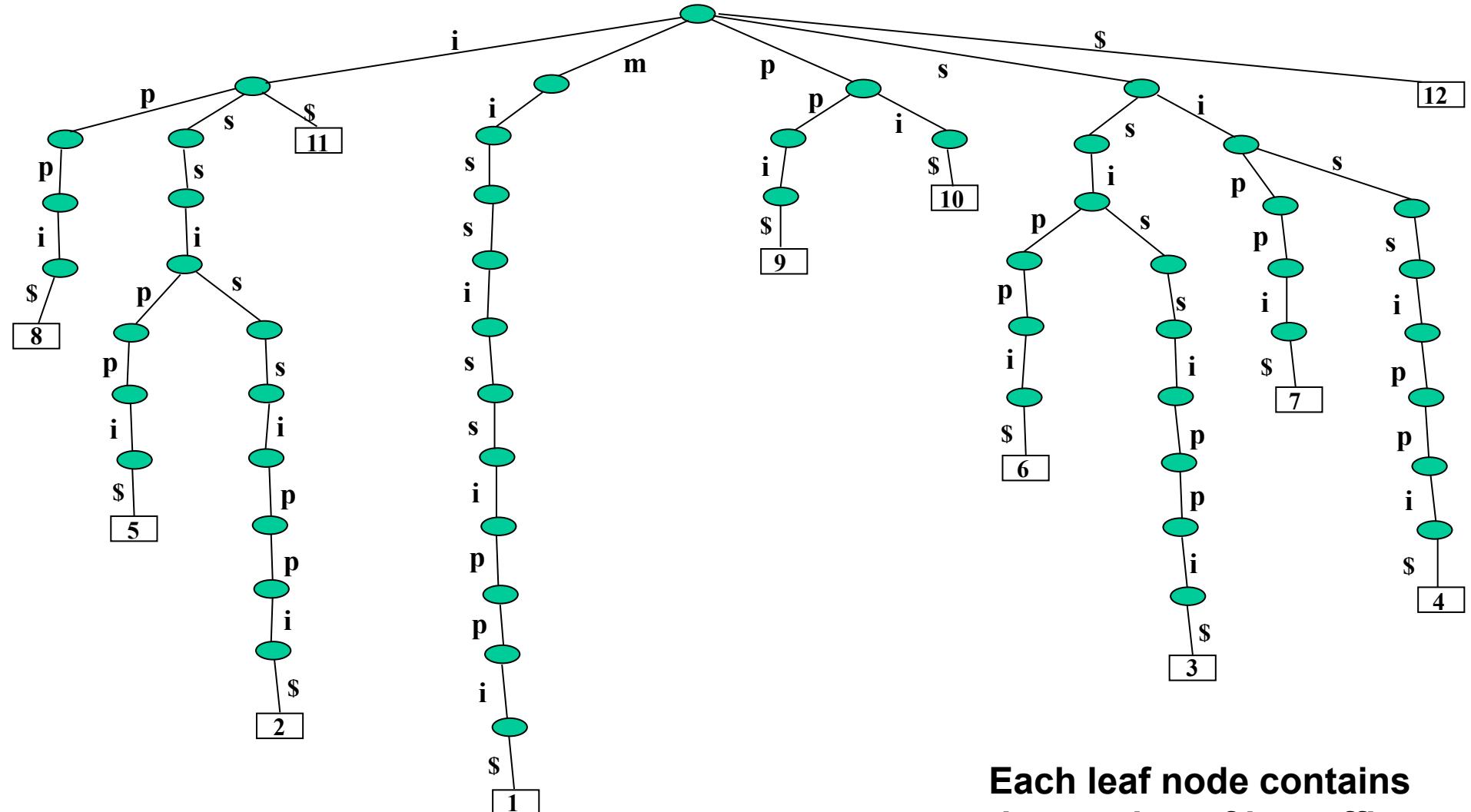
● implies path label  $\in C$

- The **suffix trie T** for string **S** is a trie that is used to store all suffixes of **S**
- Each suffix of **S** must be represented by a unique leaf node of **T**
- If some suffix of **S** is a prefix of another suffix, then a suffix trie for **S** may not exist, e.g. consider **S = queue**
- We can ensure that the suffix trie exists by appending to **S** a character **\$** not appearing in **S**, before constructing **T**

## Using a suffix trie

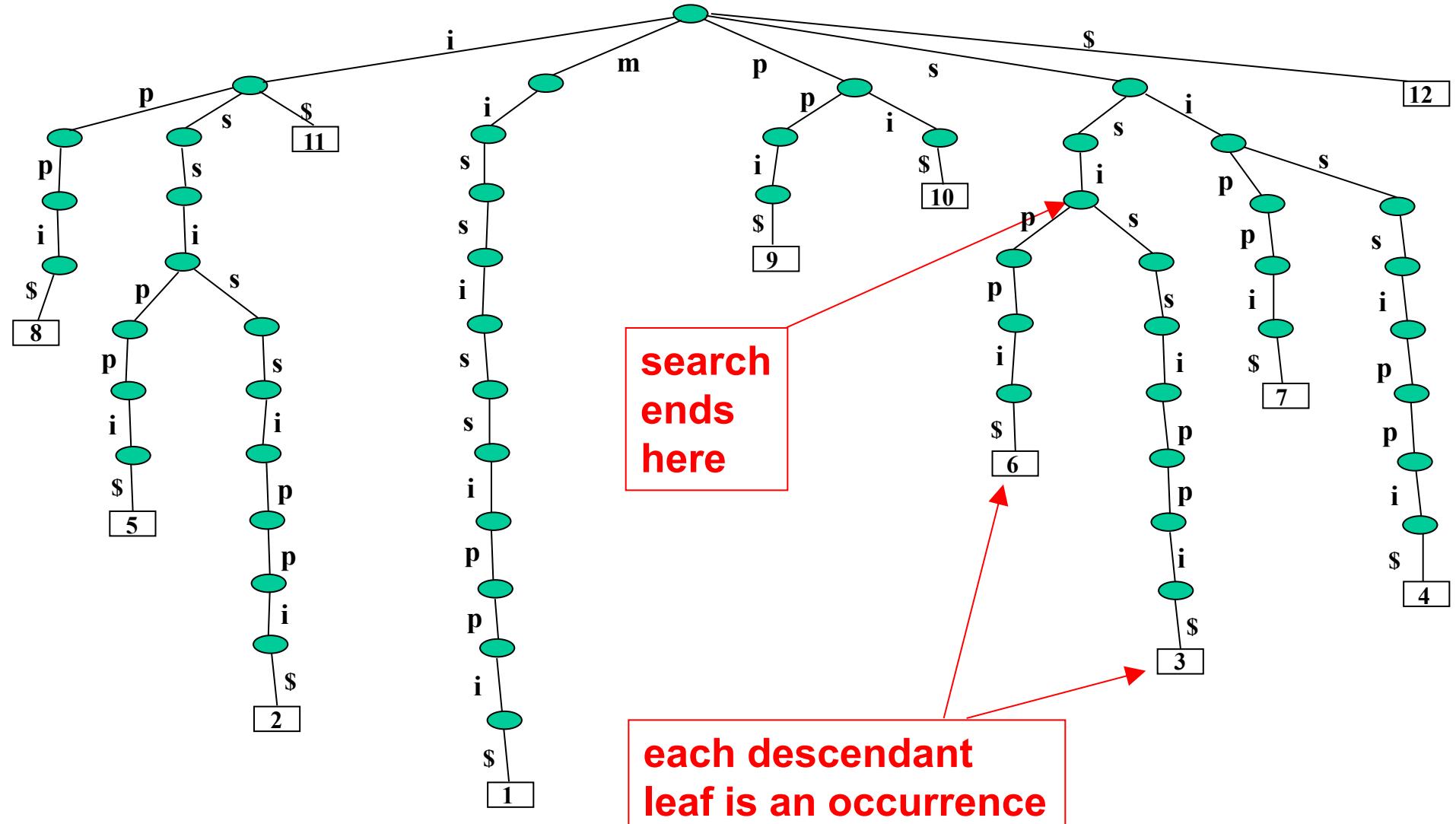
Once a suffix trie has been built for a text **T** of length **n**

- we can **search** for a string **S** of length **m** in (essentially) **O(m)** time
- we can find a **longest repeat** in **T** by traversing the trie
  - find a node with  $\geq 2$  children that is furthest from the root
  - distance from root = length of repeat

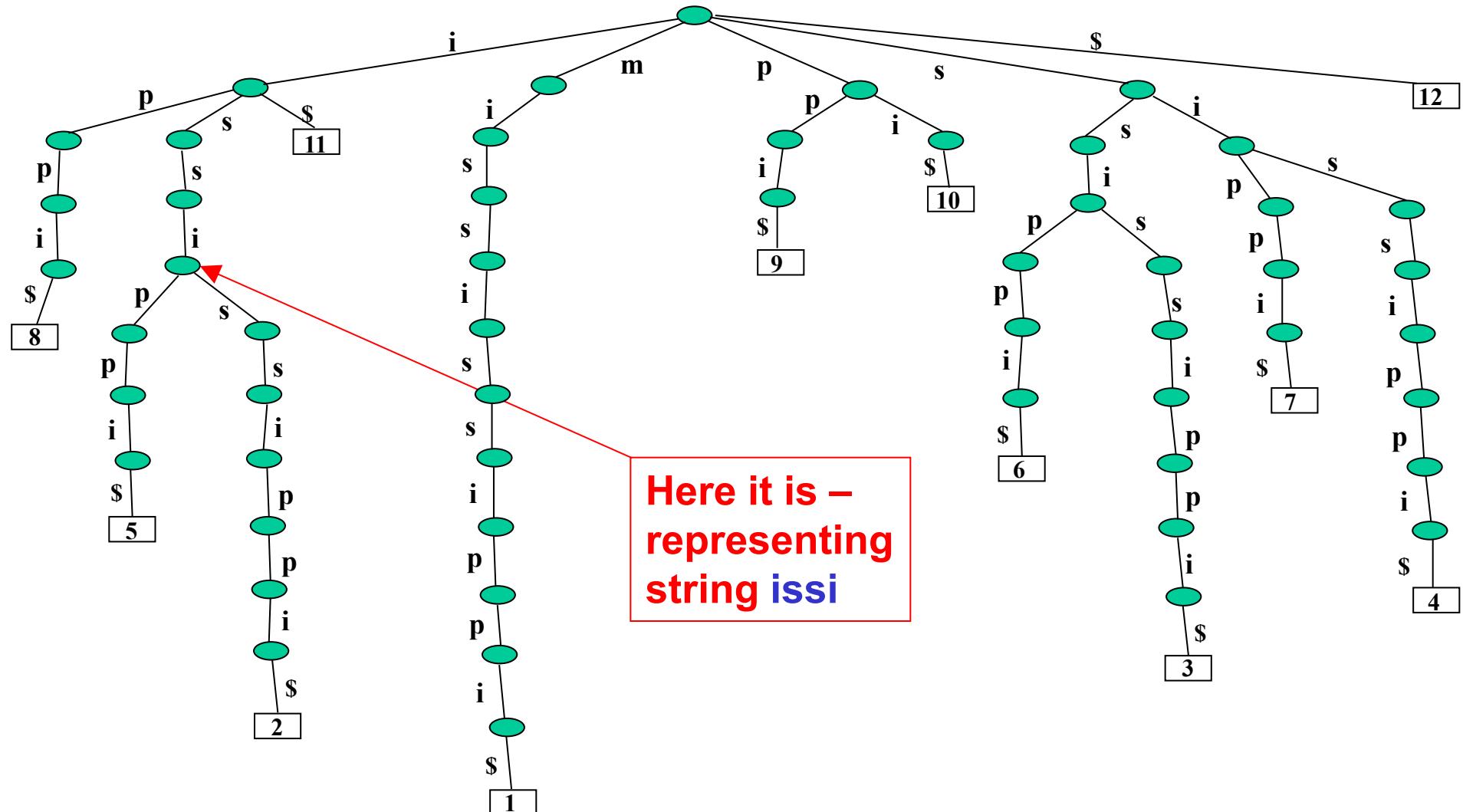


**Each leaf node contains  
the number of its suffix**

**Suffix trie for S=mississippi  
(append \$ - mississippi\$)**  
123456789012



Search for occurrences of **ssi**



**Search for longest repeat - traverse the tree to find a node with at least two children and maximum distance from root**

## Building a suffix trie

- Inserting the  $i^{\text{th}}$  suffix takes  $O(n \cdot i)$  steps
  - so requires  $O(n^2)$  time overall

Is a suffix trie useful in practice?

- How many nodes?
  - for a text of length  $n$ , this is typically proportional to  $n^2$
  - so the space needed is quadratic in  $n$
- Searching for a string of length  $m$  is  $O(m)$  after  $O(n^2)$  preprocessing time
- Finding longest repeat is  $O(n^2)$
- In both cases  $O(n^2)$  space is needed
- Can we improve substantially on this?
  - Yes: with a *suffix tree*