

# T065001: Introduction to Formal Languages

## Lecture 11: Decidability

*Chapter 4 in Sipser's textbook*

2025-06-30

(Lecture slides by Yih-Kuen Tsay)

# Decidability/Solvability

- ➊ We shall demonstrate certain problems that can be solved algorithmically and others that cannot.
- ➋ Our objective is to explore the limits of **algorithmic solvability**.
- ➌ Why should you study **unsolvability**?
  - ☀ Knowing when a problem is algorithmically unsolvable is useful because then you realize that the problem must be simplified or altered before you can find an algorithmic solution.
  - ☀ A glimpse of the unsolvable can stimulate your imagination and help you gain an important perspective on computation.

First, some examples of **solvable** problems.

In terms of Turing machines: **decidable** languages.

## (From Chapter 3.1)

### Definition (3.5)

A language is **Turing-recognizable** (also called *recursively enumerable*) if some Turing machine recognizes it.

- ➊ A Turing machine can fail to accept an input by entering the  $q_{\text{reject}}$  state and rejecting, or by looping (not halting).
- ➋ A machine is called a *decider* if it halts on all inputs. A decider that recognizes some language is said to *decide* the language.

### Definition (3.6)

A language is **Turing-decidable**, or simply **decidable** (also called *recursive*), if some Turing machine decides it.

An example:

- For example,  $B = \{w\#w \mid w \in \{0, 1\}^*\}$ ; that is whether the string comprises two identical strings separated by a  $\#$  symbol.

Another example:

- Let  $A$  be the language consisting of all strings representing undirected graphs that are connected.

$$A = \{\langle G \rangle \mid G \text{ is a connected undirected graph}\}.$$

Both of these languages are decidable; see Lectures 9 and 10, respectively.

## Decidable Languages/Problems

- ➊  $A_{\text{DFA}} = \{\langle B, w \rangle \mid B \text{ is a DFA that accepts } w\}$ .
- ➋ This is the *acceptance problem* (membership problem) for DFAs formulated as a language.

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## Theorem (4.1)

$A_{\text{DFA}}$  is a decidable language.

- ➌  $M = \text{"On input } \langle B, w \rangle, \text{ where } B \text{ is a DFA and } w \text{ is a string:}$ 
  1. Simulate  $B$  on input  $w$ .
  2. If the simulation ends in an accept state, *accept*; otherwise, *reject*.

The input to the TM  $M$  is a representation of a DFA  $B$  and a string  $w$ .  $M$  uses **three tapes**. Tape 1 stores  $(Q, \Sigma, \delta, q_0, F)$  for  $B$ ; tape 2 stores  $w$ ; tape 3 keeps track of  $B$ 's state during the simulation (initially,  $q_0$ ).

According to Theorem 3.13,  $M$  has an equivalent single-tape TM.

## Decidable Languages/Problems (cont.)

  $A_{\text{NFA}} = \{\langle B, w \rangle \mid B \text{ is an NFA that accepts } w\}.$

# Decidable Languages/Problems (cont.)

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## Theorem (4.2)

$A_{\text{NFA}}$  is a decidable language.

  $N$  = “On input  $\langle B, w \rangle$ , where  $B$  is an NFA and  $w$  is a string:

1. Convert NFA  $B$  to an equivalent DFA  $C$ .
2. Run TM  $M$  for deciding  $A_{\text{DFA}}$  (as a “procedure”) on input  $\langle C, w \rangle$ .
3. If  $M$  accepts, *accept*; otherwise, *reject*.

In step 1, use the construction from the proof of Theorem 1.39.

In step 2, use  $M$  from the proof of Theorem 4.1.

## Decidable Languages/Problems (cont.)

- ➊  $A_{\text{REX}} = \{\langle R, w \rangle \mid R \text{ is a regular expression that represents } w\}.$

## Decidable Languages/Problems (cont.)

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### Theorem (4.3)

$A_{\text{REX}}$  is a decidable language.

- $P = \text{"On input } \langle R, w \rangle, \text{ where } R \text{ is a regular expression and } w \text{ is a string:}$

1. Convert regular expression  $R$  to an equivalent DFA  $A$ .
2. Run TM  $M$  for deciding  $A_{\text{DFA}}$  on input  $\langle A, w \rangle$ .
3. If  $M$  accepts, *accept*; otherwise, *reject*.

In step 1, use the constructions from the proofs of Lemma 1.55 and Theorem 1.39.

In step 2, use  $M$  from the proof of Theorem 4.1.

## Decidable Languages/Problems (cont.)

  $E_{\text{DFA}} = \{\langle A \rangle \mid A \text{ is a DFA and } L(A) = \emptyset\}.$

# Decidable Languages/Problems (cont.)

  $E_{\text{DFA}} = \{\langle A \rangle \mid A \text{ is a DFA and } L(A) = \emptyset\}.$

## Theorem (4.4)

$E_{\text{DFA}}$  is a decidable language.

-   $T =$  “On input  $\langle A \rangle$ , where  $A$  is a DFA:
1. Mark the start state of  $A$ .
  2. Repeat Step 3 until no new states get marked.
  3. Mark any state that has a transition coming into it from any state that is already marked.
  4. If no accept state is marked, *accept*; otherwise, *reject*.”

## Decidable Languages/Problems (cont.)

- EQ<sub>DFA</sub> = {  $\langle A, B \rangle$  |  $A$  and  $B$  are DFAs and  $L(A) = L(B)$  }.

## Decidable Languages/Problems (cont.)

•  $\text{EQ}_{\text{DFA}} = \{ \langle A, B \rangle \mid A \text{ and } B \text{ are DFAs and } L(A) = L(B) \}.$

### Theorem (4.5)

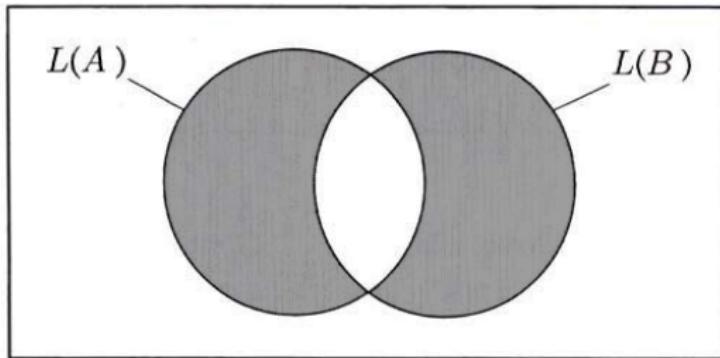
$\text{EQ}_{\text{DFA}}$  is a decidable language.

•  $F = \text{"On input } \langle A, B \rangle, \text{ where } A \text{ and } B \text{ are DFAs:}$

1. Construct DFA  $C$  such that

?

## Decidable Languages/Problems (cont.)



**FIGURE 4.6**

The symmetric difference of  $L(A)$  and  $L(B)$

## Decidable Languages/Problems (cont.)

📍  $EQ_{DFA} = \{ \langle A, B \rangle \mid A \text{ and } B \text{ are DFAs and } L(A) = L(B) \}$ .

### Theorem (4.5)

$EQ_{DFA}$  is a decidable language.

📍  $F =$  “On input  $\langle A, B \rangle$ , where  $A$  and  $B$  are DFAs:

1. Construct DFA  $C$  such that  
 $L(C) = (L(A) \cap \overline{L(B)}) \cup (\overline{L(A)} \cap L(B))$ , i.e.,  
 $C$  accepts strings that are accepted by one of  $A$  and  $B$  only.
2. Run TM  $T$  for deciding  $E_{DFA}$  on input  $\langle C \rangle$ .
3. If  $T$  accepts, **accept**; otherwise, **reject**.”

In step 2, use  $T$  from the proof of Theorem 4.4.

## Decidable CFL Properties

- ⌚  $E_{\text{CFG}} = \{\langle G \rangle \mid G \text{ is a CFG and } L(G) = \emptyset\}.$

# Decidable CFL Properties

  $E_{\text{CFG}} = \{\langle G \rangle \mid G \text{ is a CFG and } L(G) = \emptyset\}.$

## Theorem (4.8)

$E_{\text{CFG}}$  is a decidable language.

  $R =$  “On input  $\langle G \rangle$ , where  $G$  is a CFG:

1. Mark all terminals in  $G$ .
2. Repeat Step 3 until no new variables get marked.
3. Mark any variable  $A$  where  $A \rightarrow U_1 U_2 \cdots U_k$  is a rule in  $G$  and each symbol  $U_1, U_2, \dots, U_k$  has already been marked.
4. If the start symbol is not marked, *accept*; otherwise, *reject*.”

## Decidable CFL Properties (cont.)

- $A_{\text{CFG}} = \{\langle G, w \rangle \mid G \text{ is a CFG that generates } w\}$ .

## (From Chapter 2.1)

- When working with context-free grammars, it is often convenient to have them in simplified form.

### Definition (2.8)

A context-free grammar is in **Chomsky normal form** if every rule is of the form

$$\begin{aligned} A &\rightarrow BC \text{ or} \\ A &\rightarrow a \end{aligned}$$

where  $a$  is any terminal and  $A$ ,  $B$ , and  $C$  are any variables, except that  $B$  and  $C$  cannot be the start variable.

In addition,

$$S \rightarrow \varepsilon$$

is permitted if  $S$  is the start variable.

## Decidable CFL Properties (cont.)

  $A_{\text{CFG}} = \{\langle G, w \rangle \mid G \text{ is a CFG that generates } w\}.$

### Theorem (4.7)

$A_{\text{CFG}}$  is a decidable language.

-   $S = \text{"On input } \langle G, w \rangle, \text{ where } G \text{ is a CFG and } w \text{ is a string:}$
1. Convert  $G$  to an equivalent grammar in Chomsky normal form.
  2. List all derivations with  $2|w| - 1$  steps.
  3. If any of these derivations generate  $w$ , *accept*; otherwise, *reject*.

In step 1, use the construction from the proof of Theorem 2.9.

In step 2, we know from Exercise 2.26 that a derivation of  $w$  (if one exists) will always use exactly  $2|w| - 1$  steps.

## Decidability of CFLs

Theorem 4.7 (“ $A_{\text{CFG}}$  is a decidable language.”) has the following important consequence:

### Theorem (4.9)

*Every context-free language is decidable.*

 Let  $L$  be any context-free language. By definition, there exists a context-free grammar  $G$  with  $L(G) = L$ .

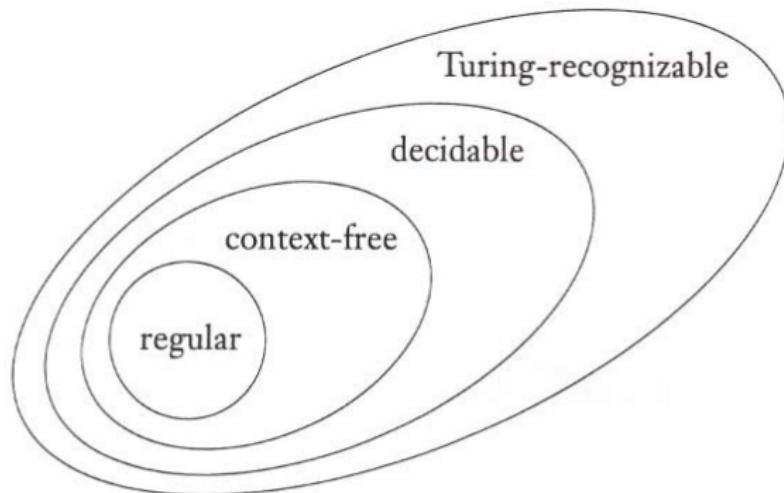
Then the following Turing machine  $M_G$  decides  $L$ :

  $M_G$  = “On input  $w$ :

1. Run TM  $S$  for deciding  $A_{\text{CFG}}$  on input  $\langle G, w \rangle$ .
2. If  $S$  accepts, *accept*; otherwise, *reject*.”

In step 1, use  $S$  from the proof of Theorem 4.7.

## Classes of Languages



**FIGURE 4.10**

The relationship among classes of languages

## Classes of Languages (cont.)

Chomsky Hierarchy	Grammar	Language	Computation Model
Type-0	Unrestricted	R.E.	Turing Machine
N/A	(no common name)	Recursive	Decider
Type-1	Context-Sensitive	Context-Sensitive	Linear Bounded
Type-2	Context-Free	Context-Free	Pushdown
Type-3	Regular	Regular	Finite

 Recall that Recursively Enumerable (R.E.)  $\equiv$  Turing-recognizable and Recursive  $\equiv$  Decidable (Turing-decidable).

**Remark 1:** A context-sensitive grammar is defined like a context-free grammar, but may also have substitution rules of the form  $\alpha A \beta \rightarrow \alpha \gamma \beta$ .

**Remark 2:** A linear bounded automaton is a restricted type of Turing machine with a limited amount of memory; more precisely, the tape head is not allowed to move off the portion of the tape containing the input.

# Undecidability

We will first prove that undecidable problems do indeed exist by using a technique called **diagonalization**, invented by mathematician Georg Cantor in 1873.

Cantor was interested in the problem of measuring the sizes of **infinite sets**.

- If we have two infinite sets, how can we tell whether one is larger than the other or whether they are of the same size?
- For finite sets, of course, answering these questions is easy. We simply count the elements in a finite set, and the resulting number is its size.
- But if we try to count the elements of an infinite set, we will never finish!
- For example, take the set of even integers and the set of all strings over  $\{0, 1\}$ . Both sets are infinite and thus larger than any finite set, but is one of the two larger than the other?

## Countable vs. Uncountable Sets

### Definition (4.12)

Let  $f$  be a function from  $A$  to  $B$ .

-  We say that  $f$  is *one-to-one* if  $f(a) \neq f(b)$  whenever  $a \neq b$ .
-  Say that  $f$  is *onto* if, for every  $b \in B$ , there is an  $a \in A$  such that  $f(a) = b$ .
-  A function that is both one-to-one and onto is called a *correspondence*.
-  Two sets are considered to have the same size if there is a correspondence between them.

### Definition (4.14)

A set  $A$  is **countable** if either it is finite or it has the same size as  $\mathcal{N} = \{1, 2, 3, \dots\}$ ; it is **uncountable**, otherwise.

## Countable vs. Uncountable Sets (cont.)

### EXAMPLE 4.13

Let  $\mathcal{N}$  be the set of natural numbers  $\{1, 2, 3, \dots\}$  and let  $\mathcal{E}$  be the set of even natural numbers  $\{2, 4, 6, \dots\}$ . Using Cantor's definition of size, we can see that  $\mathcal{N}$  and  $\mathcal{E}$  have the same size. The correspondence  $f$  mapping  $\mathcal{N}$  to  $\mathcal{E}$  is simply  $f(n) = 2n$ . We can visualize  $f$  more easily with the help of a table.

$n$	$f(n)$
1	2
2	4
3	6
$\vdots$	$\vdots$

Of course, this example seems bizarre. Intuitively,  $\mathcal{E}$  seems smaller than  $\mathcal{N}$  because  $\mathcal{E}$  is a proper subset of  $\mathcal{N}$ . But pairing each member of  $\mathcal{N}$  with its own member of  $\mathcal{E}$  is possible, so we declare these two sets to be the same size. ■

## Countable vs. Uncountable Sets (cont.)

### Example 4.15:

Let  $\mathcal{Q} = \left\{ \frac{m}{n} \mid m, n \in \mathbb{N} \right\}$  = the set of positive rational numbers.

$\mathcal{Q}$  is countable because there exists a correspondence between  $\mathbb{N}$  and  $\mathcal{Q}$ :

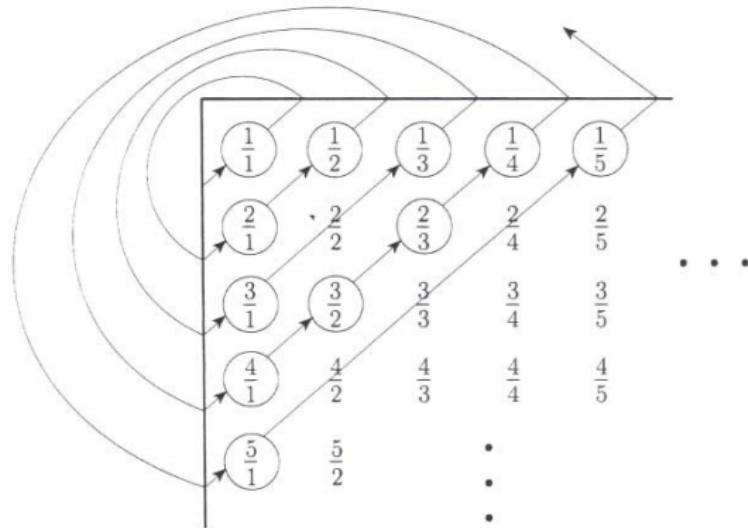


FIGURE 4.16

A correspondence of  $\mathbb{N}$  and  $\mathcal{Q}$

# Uncountable Sets

- ➊ A real number is one that has a (possibly infinite) decimal representation.
- ➋ Let  $\mathcal{R}$  be the set of real numbers.

## Theorem (4.17)

$\mathcal{R}$  is uncountable.

## Uncountable Sets (cont.)

📍 Assume that a correspondence  $f$  existed between  $\mathcal{N}$  and  $\mathcal{R}$ .

$n$	$f(n)$
1	3. <u>1</u> 4159...
2	55. <u>5</u> 5555...
3	0.1 <u>2</u> 345...
4	0.500 <u>0</u> 0...
:	:

📍 We can find an  $x$ ,  $0 < x < 1$ , so that the  $i$ -th digit following the decimal point of  $x$  is different from that of  $f(i)$ ; for example,  $x = 0.4641\cdots$  is a possible choice.  
But then there is no  $n$  such that  $x = f(n)$  holds, i.e.,  $x$  is not anywhere in the list. Contradiction. Therefore,  $\mathcal{R}$  is uncountable.

## Uncountable Sets (cont.)

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But then there is no  $n$  such that  $x = f(n)$  holds, i.e.,  $x$  is not anywhere in the list. Contradiction. Therefore,  $\mathcal{R}$  is uncountable.
- This proof technique is called *diagonalization*, discovered by Georg Cantor in 1873.

**Exercise:**

Let  $B$  be the set of all infinite binary sequences. Prove that  $B$  is **uncountable**.

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**(Proof by contradiction)**

Suppose that  $B$  is countable. Then there exists a correspondence  $f : \mathcal{N} \rightarrow B$ .

Use  $f$  to define an infinite binary sequence  $X = x_1, x_2, x_3, \dots$  as follows.

### Exercise:

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#### (Proof by contradiction)

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Use  $f$  to define an infinite binary sequence  $X = x_1, x_2, x_3, \dots$  as follows.

For every positive integer  $i$ :

- If the  $i$ th bit in the sequence  $f(i)$  is 0 then let  $x_i = 1$ .
- If the  $i$ th bit in the sequence  $f(i)$  is 1 then let  $x_i = 0$ .

### Exercise:

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We get a sequence  $X$  that cannot be equal to  $f(n)$  for any positive integer  $n$  (because the  $n$ th bit of  $X$  is the opposite of the  $n$ th bit of  $f(n)$ ).

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This means there is no integer  $n$  such that  $f(n) = X$ , so  $f$  is not a correspondence.

Contradiction. Therefore,  $B$  is uncountable.

## Unrecognizability

Using the result from the previous exercise, we will now prove that there are many more languages than possible Turing machines:

### Corollary (4.18)

*Some languages are not Turing-recognizable.*

- To show that the set of all Turing machines is countable, we first observe that the set of all strings  $\Sigma^*$  is countable for any alphabet  $\Sigma$ .
- With only finitely many strings of each length, we may form a list of  $\Sigma^*$  by writing down all strings of length 0, length 1, length 2, and so on.  
("Shortlex order")
- The set of all Turing machines is countable because each Turing machine  $M$  has an encoding into a string  $\langle M \rangle$ . If we simply omit those strings that are not legal encodings of Turing machines, we can obtain a list of all Turing machines.

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In contrast, the set of all languages is uncountable, as shown on the next slide.

## Unrecognizability (cont.)

(By the exercise, the set  $B$  of all infinite binary sequences is uncountable.)

- Let  $L$  be the set of all languages over alphabet  $\Sigma$ . We show that  $L$  is uncountable by giving a correspondence with  $B$ , thus showing that the two sets are the same size.
- Let  $\Sigma^* = \{s_1, s_2, s_3, \dots\}$ . Each language  $A \in L$  has a unique sequence in  $B$ . The  $i$ th bit of that sequence is a 1 if  $s_i \in A$  and is a 0 if  $s_i \notin A$ , which is called the characteristic sequence of  $A$ .

**Example:**  $\Sigma^* = \{\varepsilon, 0, 1, 00, 01, 10, 11, 000, 001, \dots\}$  ;  
 $A = \{0, 00, 01, 000, 001, \dots\}$  ;  
 $\chi_A = 0 \ 1 \ 0 \ 1 \ 1 \ 0 \ 0 \ 1 \ 1 \ \dots$  .

## Unrecognizability (cont.)

(By the exercise, the set  $B$  of all infinite binary sequences is uncountable.)

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**Example:**  $\Sigma^* = \{\varepsilon, 0, 1, 00, 01, 10, 11, 000, 001, \dots\} ;$   
 $A = \{0, 00, 01, 000, 001, \dots\} ;$   
 $\chi_A = 0 \ 1 \ 0 \ 1 \ 1 \ 0 \ 0 \ 1 \ 1 \ \dots .$

- The function  $f : L \rightarrow B$ , where  $f(A)$  equals the characteristic sequence of  $A$ , is one-to-one and onto, and hence is a correspondence.
- Therefore, as  $B$  is uncountable,  $L$  is uncountable as well.
- Thus we have shown that the set of all languages cannot be put into a correspondence with the set of all Turing machines. We conclude that some languages are not recognized by any Turing machine.

## Unrecognizability (cont.)

We have just seen that there exist languages which aren't Turing-recognizable. Our next objective:

- ➊ We shall prove that *there is a specific problem that is algorithmically unsolvable.*
- ➋ This result demonstrates that computers are limited in a very fundamental way.
- ➌ Unsolvable problems are not necessarily esoteric. Some ordinary problems that people want to solve may turn out to be unsolvable.
- ➍ For example, the general problem of software verification is not solvable by computer.
- ➎ The specific problem that we will prove algorithmically unsolvable is the one of *testing whether a Turing machine accepts a given input string.*

## The Acceptance Problem

- $A_{\text{TM}} = \{\langle M, w \rangle \mid M \text{ is a TM and } M \text{ accepts } w\}.$

# The Acceptance Problem

  $A_{\text{TM}} = \{\langle M, w \rangle \mid M \text{ is a TM and } M \text{ accepts } w\}.$

## Theorem (4.11)

$A_{\text{TM}}$  is undecidable.

Proof by contradiction as follows.

## Undecidability of the Acceptance Problem

 Suppose  $H$  is a decider for  $A_{\text{TM}}$ :

$$H(\langle M, w \rangle) = \begin{cases} \text{accept} & \text{if } M \text{ accepts } w \\ \text{reject} & \text{if } M \text{ does not accept } w. \end{cases}$$

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- Let  $D$  = “On input  $\langle M \rangle$ , where  $M$  is a TM:

1. Run  $H$  on input  $\langle M, \langle M \rangle \rangle$ .
2. If  $H$  accepts, **reject** and if  $H$  rejects, **accept**.”

In other words:

$$D(\langle M \rangle) = \begin{cases} \text{accept} & \text{if } M \text{ does not accept } \langle M \rangle \\ \text{reject} & \text{if } M \text{ accepts } \langle M \rangle. \end{cases}$$

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- If  $H$  accepts, **reject** and if  $H$  rejects, **accept**.”

In other words:

$$D(\langle M \rangle) = \begin{cases} \text{accept} & \text{if } M \text{ does not accept } \langle M \rangle \\ \text{reject} & \text{if } M \text{ accepts } \langle M \rangle. \end{cases}$$

- When  $D$  takes itself, namely  $\langle D \rangle$ , as input:

$$D(\langle D \rangle) = \begin{cases} \text{accept} & \text{if } D \text{ does not accept } \langle D \rangle \\ \text{reject} & \text{if } D \text{ accepts } \langle D \rangle. \end{cases}$$

## Undecidability of the Acceptance Problem

- Suppose  $H$  is a decider for  $A_{\text{TM}}$ :

$$H(\langle M, w \rangle) = \begin{cases} \text{accept} & \text{if } M \text{ accepts } w \\ \text{reject} & \text{if } M \text{ does not accept } w. \end{cases}$$

- Let  $D$  = “On input  $\langle M \rangle$ , where  $M$  is a TM:

- Run  $H$  on input  $\langle M, \langle M \rangle \rangle$ .
- If  $H$  accepts, **reject** and if  $H$  rejects, **accept**.”

In other words:

$$D(\langle M \rangle) = \begin{cases} \text{accept} & \text{if } M \text{ does not accept } \langle M \rangle \\ \text{reject} & \text{if } M \text{ accepts } \langle M \rangle. \end{cases}$$

- When  $D$  takes itself, namely  $\langle D \rangle$ , as input:

$$D(\langle D \rangle) = \begin{cases} \text{accept} & \text{if } D \text{ does not accept } \langle D \rangle \\ \text{reject} & \text{if } D \text{ accepts } \langle D \rangle. \end{cases}$$

$D$  is forced to do the opposite of what  $D$  does. Contradiction.  
Thus, neither  $D$  nor  $H$  can exist.  $\Rightarrow A_{\text{TM}}$  is undecidable.

## Undecidability of the Acceptance Problem

	$\langle M_1 \rangle$	$\langle M_2 \rangle$	$\langle M_3 \rangle$	$\langle M_4 \rangle$	...	$\langle D \rangle$	...
$M_1$	<u>accept</u>	reject	accept	reject		accept	
$M_2$	<u>accept</u>	<u>accept</u>	accept	accept	...	accept	...
$M_3$	reject	<u>reject</u>	<u>reject</u>	reject	...	reject	
$M_4$	accept	accept	<u>reject</u>	<u>reject</u>		accept	
:					..		
$D$	reject	reject	accept	accept		?	
:					..		

**FIGURE 4.21**

If  $D$  is in the figure, a contradiction occurs at “?”

## The Acceptance Problem (cont.)

$$A_{\text{TM}} = \{\langle M, w \rangle \mid M \text{ is a TM and } M \text{ accepts } w\}$$

**Remark:** Although  $A_{\text{TM}}$  is **undecidable** by Theorem 4.11,  $A_{\text{TM}}$  is **Turing-recognizable** because there exists a TM  $U$  with  $L(U) = A_{\text{TM}}$ :

- 
- $U$  = “On input  $\langle M, w \rangle$ , where  $M$  is a TM and  $w$  is a string:
1. Simulate  $M$  on input  $w$ .
  2. If  $M$  ever enters its accept state, *accept*; if  $M$  ever enters its reject state, *reject*.“

## The Acceptance Problem (cont.)

$$A_{\text{TM}} = \{\langle M, w \rangle \mid M \text{ is a TM and } M \text{ accepts } w\}$$

**Remark:** Although  $A_{\text{TM}}$  is **undecidable** by Theorem 4.11,  $A_{\text{TM}}$  is **Turing-recognizable** because there exists a TM  $U$  with  $L(U) = A_{\text{TM}}$ :

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- $U$  = “On input  $\langle M, w \rangle$ , where  $M$  is a TM and  $w$  is a string:
1. Simulate  $M$  on input  $w$ .
  2. If  $M$  ever enters its accept state, *accept*; if  $M$  ever enters its reject state, *reject*.“

Note that  $U$  recognizes  $A_{\text{TM}}$ , but  $U$  does not decide  $A_{\text{TM}}$ .

The reason is that if  $M$  loops on  $w$  then  $U$  loops on input  $\langle M, w \rangle$ .

## The Acceptance Problem (cont.)

$$A_{\text{TM}} = \{\langle M, w \rangle \mid M \text{ is a TM and } M \text{ accepts } w\}$$

**Remark:** Although  $A_{\text{TM}}$  is **undecidable** by Theorem 4.11,  $A_{\text{TM}}$  is **Turing-recognizable** because there exists a TM  $U$  with  $L(U) = A_{\text{TM}}$ :



$U$  = “On input  $\langle M, w \rangle$ , where  $M$  is a TM and  $w$  is a string:

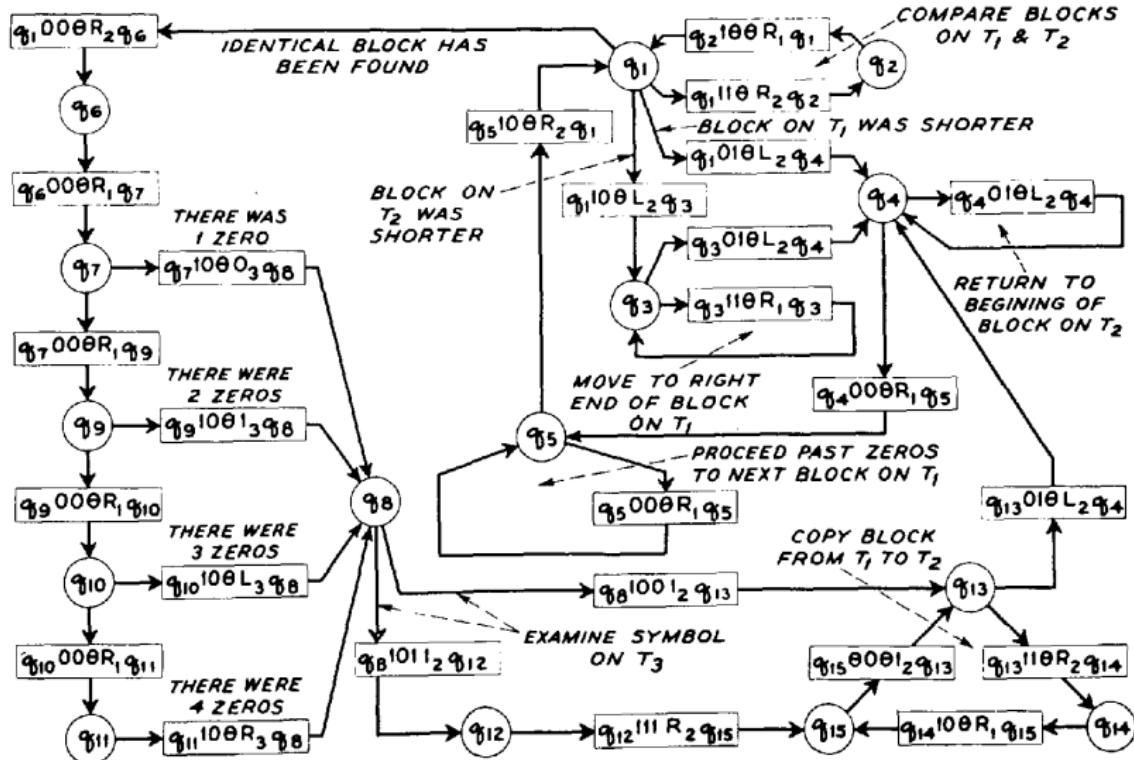
1. Simulate  $M$  on input  $w$ .
2. If  $M$  ever enters its accept state, *accept*; if  $M$  ever enters its reject state, *reject*.“

Note that  $U$  recognizes  $A_{\text{TM}}$ , but  $U$  does not decide  $A_{\text{TM}}$ .

The reason is that if  $M$  loops on  $w$  then  $U$  loops on input  $\langle M, w \rangle$ .

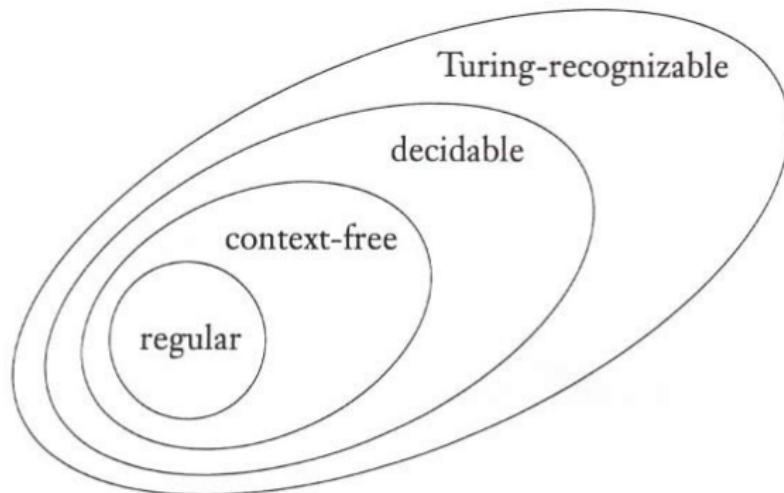
$U$  is a **universal Turing machine**, first proposed by Alan Turing in 1936. It is called “universal” because it can simulate any other Turing machine from the description of that machine. For an example of what  $U$  can look like, see the next slide (don’t worry about the details!). Universal TMs played a key role in the development of stored-program computers.

# 15-STATE UNIVERSAL TURING MACHINE



[Figure from E. F. Moore: *Proceedings of the 1952 ACM National Meeting*, pp. 50–55, 1952.]

## Classes of Languages



**FIGURE 4.10**

The relationship among classes of languages

## A Turing-Unrecognizable Language

We have seen that  $A_{\text{TM}}$  is undecidable but Turing-recognizable.

Our last objective for today:

Find an example of a language that is not even Turing-recognizable.

We'll need the following definition:

- 💡 A language is *co-Turing-recognizable* if it is the complement of a Turing-recognizable language.

## A Turing-Unrecognizable Language (cont.)

### Theorem (4.22)

*A language is decidable if and only if it is both Turing-recognizable and co-Turing-recognizable.*

$\Rightarrow$ ) Suppose  $A$  is decidable. Then by definition,  $A$  is Turing-recognizable. Let  $M$  be a TM with  $L(M) = A$  that always halts, and let  $M'$  be  $M$  with the accept and reject states switched. Since  $L(M') = \overline{A}$ , this means that  $\overline{A}$  is Turing-recognizable and thus  $A$  is co-Turing-recognizable, too.

## A Turing-Unrecognizable Language (cont.)

### Theorem (4.22)

*A language is decidable if and only if it is both Turing-recognizable and co-Turing-recognizable.*

$\Rightarrow$ ) Suppose  $A$  is decidable. Then by definition,  $A$  is Turing-recognizable. Let  $M$  be a TM with  $L(M) = A$  that always halts, and let  $M'$  be  $M$  with the accept and reject states switched. Since  $L(M') = \overline{A}$ , this means that  $\overline{A}$  is Turing-recognizable and thus  $A$  is co-Turing-recognizable, too.

$\Leftarrow$ ) Let  $M_1$  be a recognizer for  $A$  and  $M_2$  be a recognizer for  $\overline{A}$ .

$M$  = “On input  $w$ :

1. Run both  $M_1$  and  $M_2$  on input  $w$  in parallel. ( $M$  takes turns simulating one step of each machine until one of them accepts.)
2. If  $M_1$  accepts, *accept* and if  $M_2$  accepts, *reject*.”

For any string  $w$ , either  $M_1$  or  $M_2$  will accept  $w$ , so  $M$  is a decider.

- *Decidable language:*

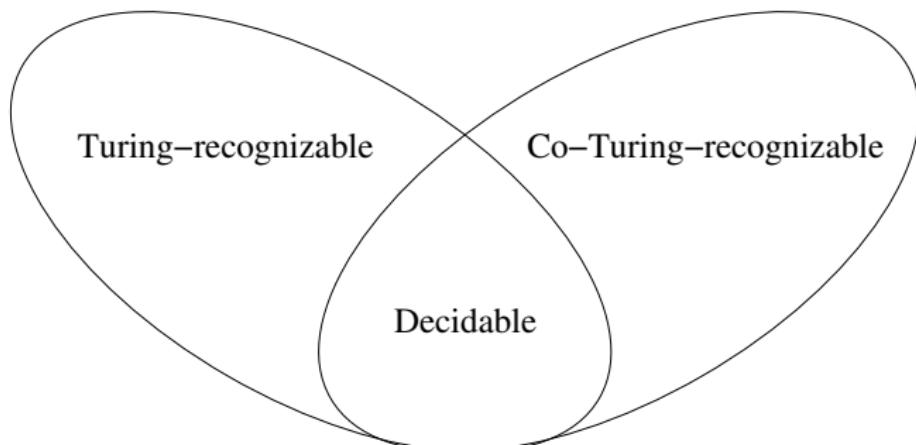
There exists a TM that, for any input string  $w$ , tells us whether  $w$  **is or is not** in the language.

- *Turing-recognizable language:*

There exists a TM that, for any input string  $w$ , verifies if  $w$  **is** in the language.

- *Co-Turing-recognizable language:*

There exists a TM that, for any input string  $w$ , verifies if  $w$  **is not** in the language.



## A Turing-Unrecognizable Language (cont.)



$\overline{A_{\text{TM}}} = \{\langle M, w \rangle \mid M \text{ is a TM and } M \text{ does not accept } w$   
 $\text{or } \langle M, w \rangle \text{ is not an encoding of a TM and}$   
 $\text{an input string}\}$

## A Turing-Unrecognizable Language (cont.)

- ➊  $\overline{A_{\text{TM}}} = \{\langle M, w \rangle \mid M \text{ is a TM and } M \text{ does not accept } w \text{ or } \langle M, w \rangle \text{ is not an encoding of a TM and an input string}\}$

### Corollary (4.23)

$\overline{A_{\text{TM}}}$  is not Turing-recognizable.

- ➊  $A_{\text{TM}}$  is Turing-recognizable, but not decidable.
- ➋ From Theorem 4.22,  $A_{\text{TM}}$  must not be co-Turing-recognizable.
- ➌ Therefore,  $\overline{A_{\text{TM}}}$  is not Turing-recognizable.