## Recent Advances and Frontiers in Deep RL

**Volodymyr Mnih** 

**Deep RL Bootcamp, Berkeley** 



#### Deep RL Frontiers

- What are the exciting areas in Deep RL research?
  - o HRL?
  - Deep RL for robotics?
  - Model-based RL?
- Hard to keep up in the age of arXiv.



"Imagination-Augmented Agents for Deep RL", Weber, Racanière, Reichert et al. (2017)



Feudal Networks, Vezhnevets et al. (2017)





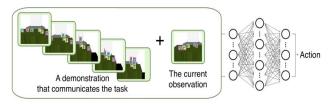




"Sim to Real Robot Learning from Pixels with Progressive Nets" Rusu et al. (2016)



"Domain Randomization for Transferring Deep Neural Networks from Simulation to the Real World", Tobin et al. (2017)



"One-Shot Imitation Learning", Duan et al. (2017)

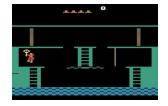


#### Deep RL Frontiers

- What are the exciting areas in Deep RL research?
  - o HRL?
  - Deep RL for robotics?
  - Model-based RL?
- Everything in deep RL is still exciting.



"Imagination-Augmented Agents for Deep RL", Weber, Racanière, Reichert et al. (2017)



Feudal Networks, Vezhnevets et al. (2017)





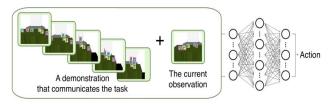




"Sim to Real Robot Learning from Pixels with Progressive Nets" Rusu et al. (2016)



"Domain Randomization for Transferring Deep Neural Networks from Simulation to the Real World", Tobin et al. (2017)



"One-Shot Imitation Learning", Duan et al. (2017)

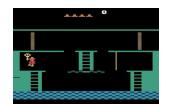


#### Deep RL Frontiers

- What are the exciting areas in Deep RL research?
  - o HRL?
  - Deep RL for robotics?
  - Model-based RL?
- Everything in deep RL is still exciting.
- Nothing is solved!
  - How to learn a value function. How to learn a policy.
     How to train a deep network. Which nonlinearity to use ...



"Imagination-Augmented Agents for Deep RL", Weber, Racanière, Reichert et al. (2017)



Feudal Networks, Vezhnevets et al. (2017)









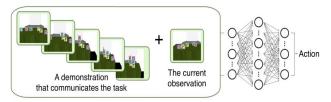
"Sim to Real Robot Learning from Pixels with Progressive Nets" Rusu et al. (2016)







"Domain Randomization for Transferring Deep Neural Networks from Simulation to the Real World", Tobin et al. (2017)

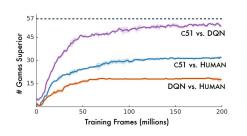


"One-Shot Imitation Learning", Duan et al. (2017)



#### Two Problems

#### Data/Sample Efficiency







#### Exploration





#### Distributional RL

- The value function gives the **expected** future discounted return.
- This ignores variance and multi-modality.
- Bellman equation:

$$Q(s, a) = \mathbb{E}R(s, a, s') + \gamma \mathbb{E}Q(s', a')$$

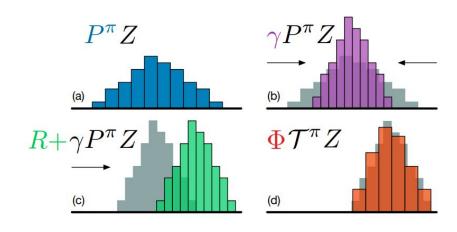
- Bellemare and Dabney argue for modelling the full distribution of the return.
- Distributional Bellman equation:

$$Z(s,a) \stackrel{D}{=} R(s,a,S') + \gamma Z(S',A')$$

#### Distributional RL - The C51 Algorithm

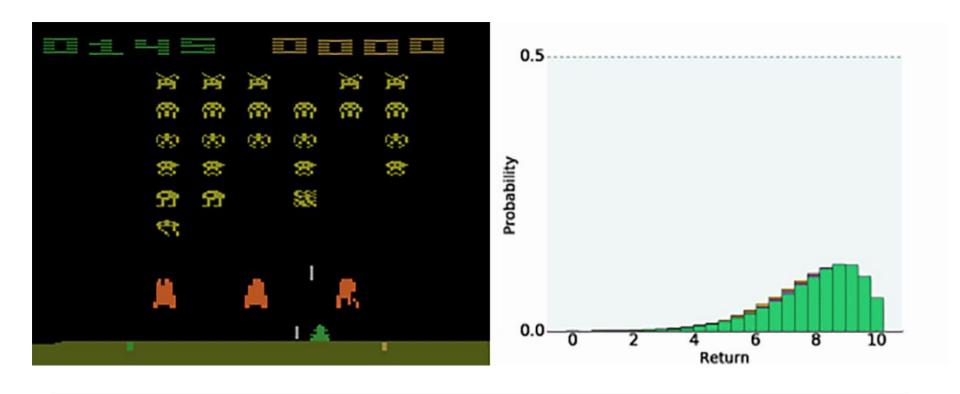
- C51 outputs a 51-way softmax for each Q-value.
- Bin the return into 51 equally-sized bins between -10 and 10.
- The Q-value update becomes categorical (instead of the usual regression).

# Algorithm 1 Categorical Algorithm input A transition $x_t, a_t, r_t, x_{t+1}, \gamma_t \in [0, 1]$ $Q(x_{t+1}, a) := \sum_i z_i p_i(x_{t+1}, a)$ $a^* \leftarrow \arg\max_a Q(x_{t+1}, a)$ $m_i = 0, \quad i \in 0, \dots, N-1$ for $j \in 0, \dots, N-1$ do $\# \text{Compute the projection of } \hat{\mathcal{T}}z_j \text{ onto the support } \{z_i\}$ $\hat{\mathcal{T}}z_j \leftarrow [r_t + \gamma_t z_j]_{V_{\text{MIN}}}^{V_{\text{MAN}}}$ $b_j \leftarrow (\hat{\mathcal{T}}z_j - V_{\text{MIN}})/\Delta z \quad \# b_j \in [0, N-1]$ $l \leftarrow \lfloor b_j \rfloor, u \leftarrow \lceil b_j \rceil$ $\# \text{Distribute probability of } \hat{\mathcal{T}}z_j$ $m_l \leftarrow m_l + p_j(x_{t+1}, a^*)(u - b_j)$ $m_u \leftarrow m_u + p_j(x_{t+1}, a^*)(b_j - l)$ end for $\text{output } -\sum_i m_i \log p_i(x_t, a_t) \quad \# \text{Cross-entropy loss}$

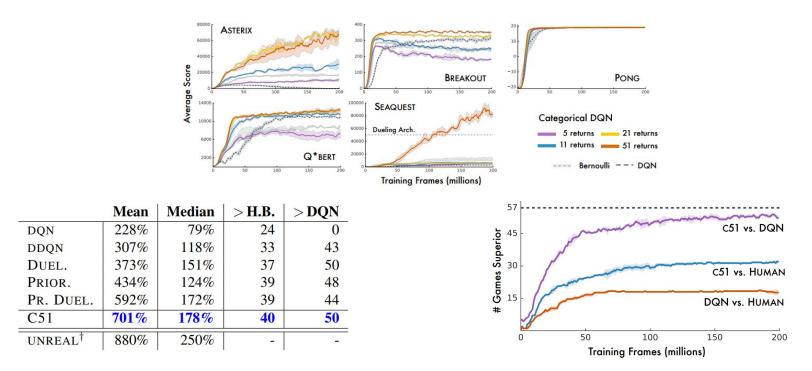




#### C51 Visualization



#### C51 Atari Results

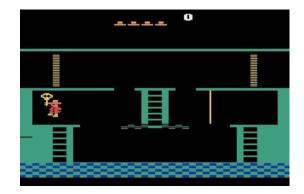


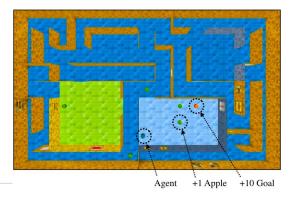
Why does C51 work so well?



#### Unsupervised Reinforcement Learning

- The best deep RL methods are still very data hungry. Especially with **sparse rewards**.
- Obvious solution Learn about the environment.
- We can augment an RL agent with auxiliary prediction and control tasks to improve data efficiency.
- The UNREAL agent UNsupervised REinforcement and Auxiliary Learning.
  - "Reinforcement Learning with Unsupervised Auxiliary Tasks", Jaderberg et al. (2017)



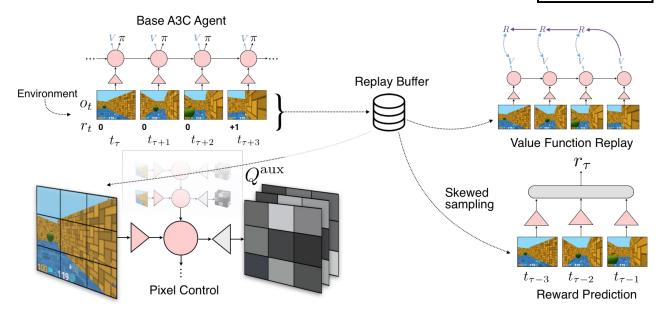




#### The UNREAL Architecture

Agent LSTM
Agent ConvNet
Aux DeConvNet
Aux FC net

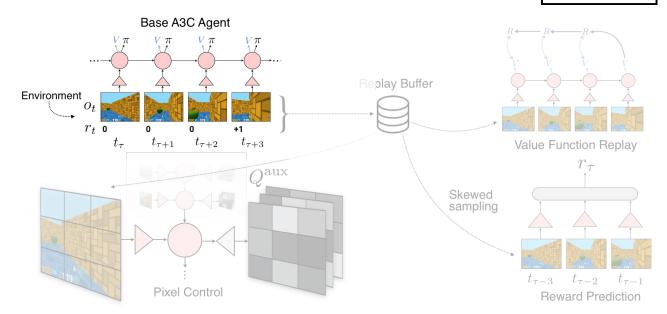
- UNREAL augments an LSTM A3C agent with 3 auxiliary tasks.
- Can be used on top of DQN, DDPG, TRPO or other agents.



#### The UNREAL Architecture

Agent LSTM
Agent ConvNet
Aux DeConvNet
Aux FC net

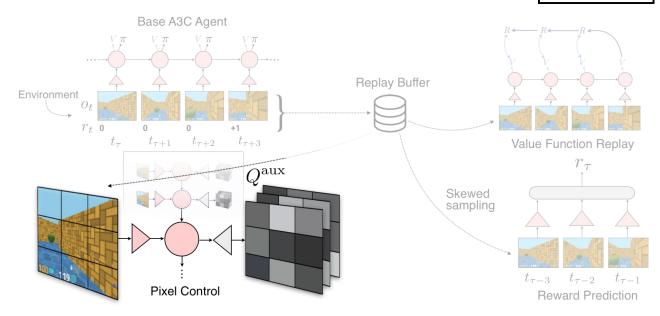
- Base A3C LSTM agent learns from the environment's scalar reward signal.
- UNREAL acts using the base A3C agent's policy.



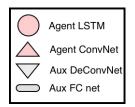
#### Unsupervised RL

Agent LSTM
Agent ConvNet
Aux DeConvNet
Aux FC net

- Augment A3C with many auxiliary control tasks.
- Learning to control many aspects of the environment.
- Pixel control learn to maximally change parts of the screen.
- Feature control (not used by UNREAL) - learn to control the internal representations.

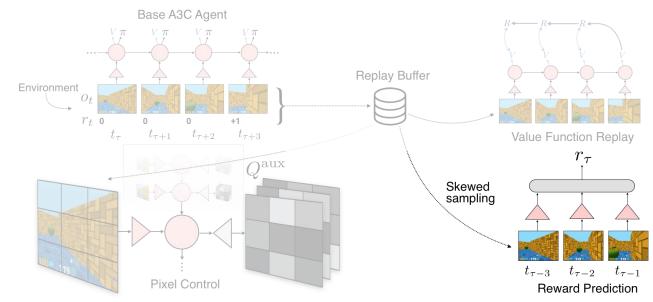


#### The UNREAL Architecture

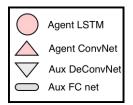


#### Focusing on rewards:

- Rebalanced reward prediction.
- Shape the agent's CNN by classifying whether a sequence of frames will lead to reward.
- No need to worry about off-policy learning.

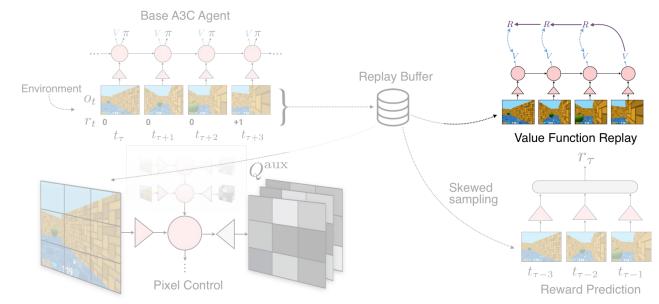


#### The UNREAL Architecture



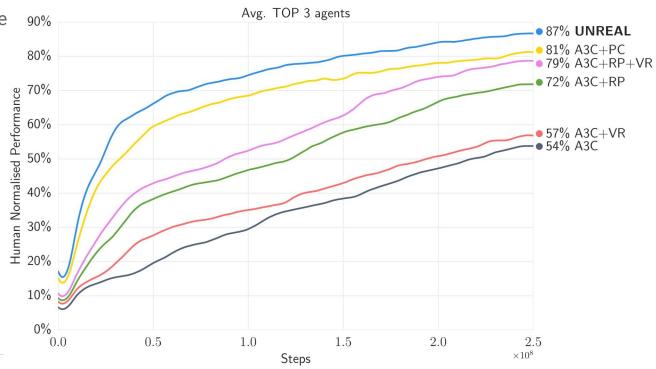
#### Focusing on rewards:

- Value function replay.
- Faster learning of the value function.





- Average humannormalized performance on 13 3D environments from DeepMind Lab.
- Tasks include random maze navigation and laser tag.
- Roughly a 10x improvement in data efficiency over A3C.
- 60% improvement in final performance.



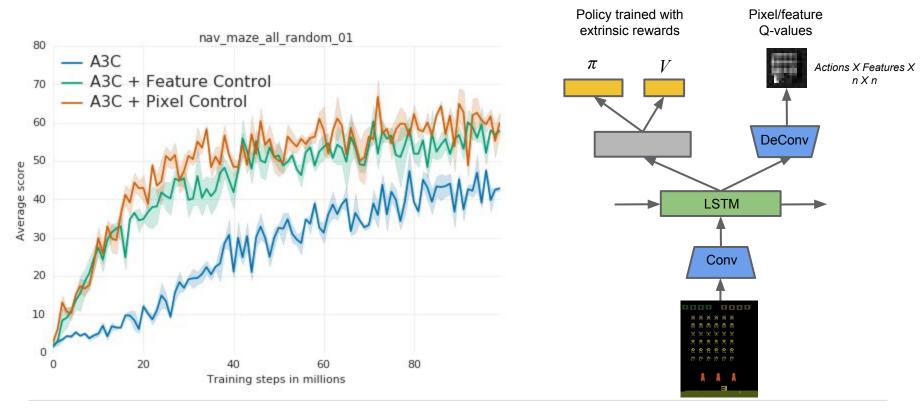


### UNREAL playing DeepMind Lab





#### **Feature Control**

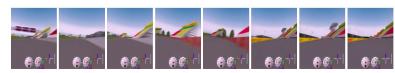




#### Model-Based RL

- There is huge promise in model-based RL.
- A perfect model allows evaluating any policy or learning an optimal policy.
- But learning a model is hard model-based RL is just beginning to work in rich environments.

#### **Explicit Environment Models**



"Action-Conditional Video Prediction using Deep Networks in Atari Games", Oh et al., (2016)

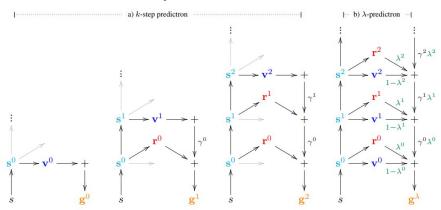
"Recurrent Environment Simulators", Chiappa et al. (2017)



#### **Interpreting Imperfect Explicit Models**

"Imagination-Augmented Agents for Deep RL", Weber, Racanière, Reichert et al. (2017)

#### **Implicit Environment Models**



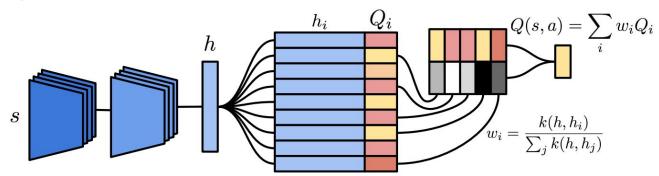
"Value Iteration Networks", Tamar et al. (2016)

"The Predictron: End-to-End Learning and Planning", Silver et al. (2017)



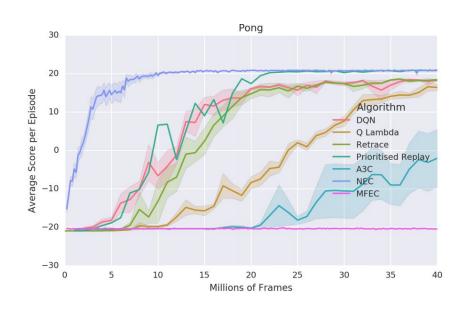
#### **Neural Episodic Control**

- Purely parametric approaches to deep RL are very data inefficient.
- A hybrid parametric/non-parametric method can be much more efficient.
- NEC Represent the action value function as a table:
  - Slowly changing learned keys.
  - Rapidly changing Q-value estimates.



#### **Neural Episodic Control**



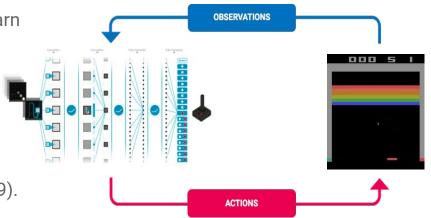


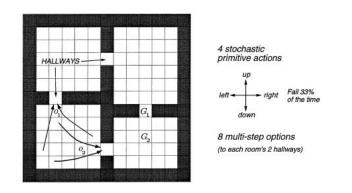


#### Hierarchical Reinforcement Learning

 Deep RL architectures like DQN use ConvNets to learn hierarchical structure in the visual inputs.

- Structure is also present in the space of actions/policies.
  - Motor primitives or options (Sutton et al., 1999).
- Capturing and exploiting this structure is one of the goals of hierarchical reinforcement learning.
  - Better exploration.
  - Faster learning through skill reuse.



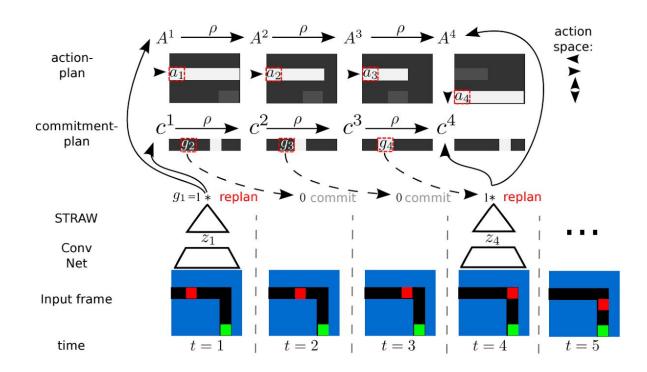


#### STRAW - Strategic Attentive Writer

- Strategic Attentive Writer for Learning Macro-Actions (Vezhnevets et al., 2016).
- Learning macro-actions (sequences of primitive actions). Simpler form of options.
- Learning from reward only. No hardcoded sub-goals or pseudo-rewards.
- What's the benefit?
  - Structured exploration.
  - Economic computation the model sleeps while executing a macro-action.
- How does it work?
  - Two key components of the STRAW architecture:
    - An action plan for the future.
    - A commitment plan how long the action plan can be followed without replanning.
  - Macro-actions arise implicitly from the architecture.



#### The STRAW Architecture





#### STRAW Results

• STRAW outperforms A3C agents on games requiring longer term planning:

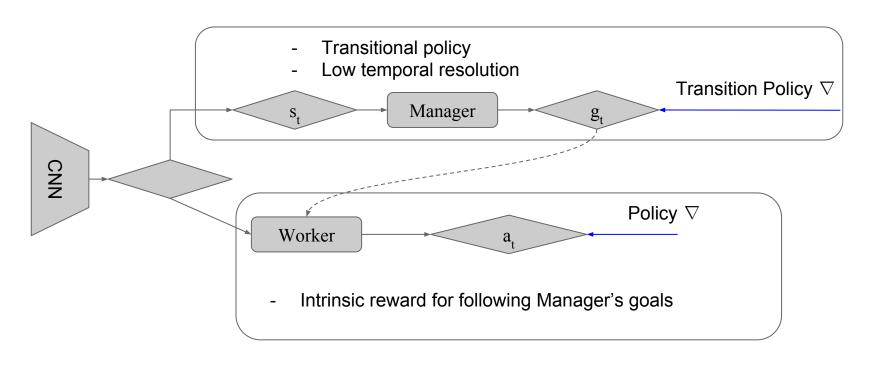
	Frostbite	Ms. Pacman	Q-bert	Hero	Crazy cl.	Alien	Amidar	Breakout
STRAWe STRAW	<b>8074</b> 4138	<b>6673</b> 6557	<b>23430</b> 21350	36948 35692	142686 <b>144004</b>	<b>3191</b> 2632	1833 <b>2120</b>	363 423
LSTM FF	1409 1543	4135 2302	21591 22281	35642 <b>39610</b>	128351 128146	2917 2302	1382 1235	<b>632</b> 95



#### Feudal Reinforcement Learning

- Agent with a two level hierarchy: manager and worker.
- Manager:
  - Does not act in the environment directly.
  - Sets goals for the worker.
  - Gets rewarded for setting good goals with the true reward.
- Worker:
  - Acts in the environment.
  - Gets **rewarded for achieving goals** set by the manager.
  - This is potentially a much richer learning signal.
- Key problems: how to represent goals and determine when they've been achieved.

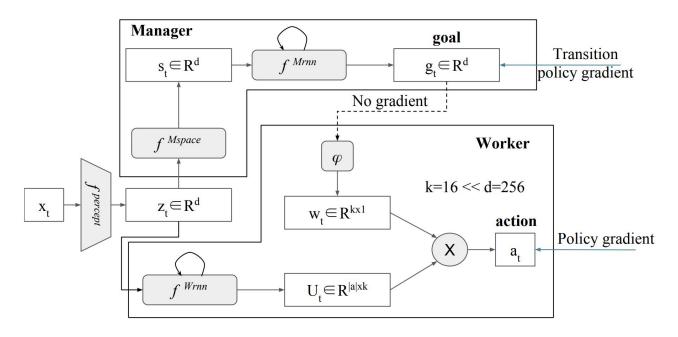
#### Feudal Networks Architecture



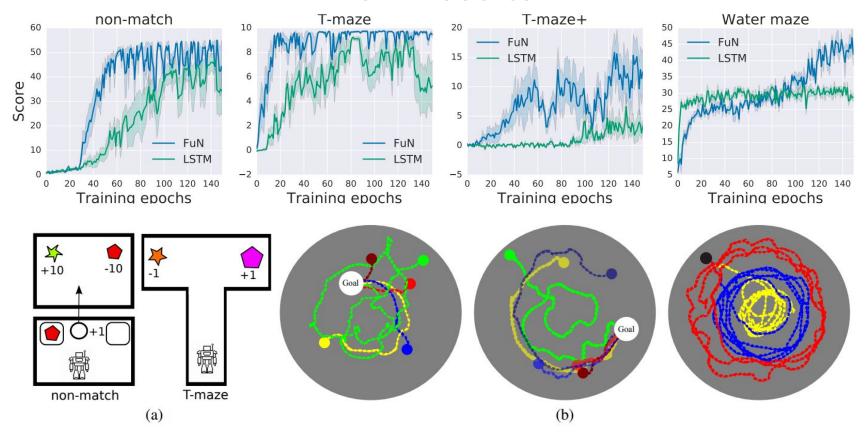


#### Feudal Networks Architecture

- Practical instantiation by Vezhnevets et al.
- Key idea represent goals in a shared feature space.



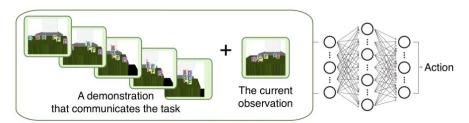
#### **FuN Results**



#### Deep RL for Real Robots

- Already a lot of exciting work from Levine, Abbeel, Finn, and many others.
- Feels like a breakthrough is coming.
- But how can slow, data inefficient deep RL help with robots?

#### **Imitation Learning**



"One-Shot Imitation Learning", Duan et al. (2017)

#### Sim-to-Real Learning



"Sim to Real Robot Learning from Pixels with Progressive Nets" Rusu et al. (2016)



"Domain Randomization for Transferring Deep Neural Networks from Simulation to the Real World", Tobin et al. (2017)



Questions?