Separating the Inseparable

Oliver H.E. Philcox^{1,2,3,*} and et al.

¹Department of Physics, Columbia University, New York, NY 10027, USA
²Department of Physics, Stanford University, Stanford, CA 94305, USA
³Simons Society of Fellows, Simons Foundation, New York, NY 10010, USA

Overview of ML x Inflation project.

I. PRIMORDIAL BISPECTRA

New physics generates higher-order statistics at the end of inflation. This is best described by thinking about the statistics of the primordial curvature perturbation, ζ . This has power spectrum P_{ζ} :

$$\langle \zeta(\mathbf{k})\zeta(\mathbf{k}')\rangle = (2\pi)^3 \delta_{\mathrm{D}}(\mathbf{k} + \mathbf{k}') P_{\zeta}(k), \qquad P_{\zeta}(k) = \frac{\Delta_{\zeta}^2}{k^3} \left(\frac{k}{k_{\mathrm{pivot}}}\right)^{n_s - 1}, \tag{1}$$

where $\Delta_{\zeta}^2 \equiv 2\pi^2 A_s$ is the power spectrum amplitude, $k_{\rm pivot} = 0.05 {\rm Mpc}^{-1}$ (usually) and $n_s \approx 0.96$ is the slope. New physics is well described by the bispectrum of the curvature perturbation ζ :

$$\langle \zeta(\mathbf{k}_1)\zeta(\mathbf{k}_2)\zeta(\mathbf{k}_3)\rangle_c = (2\pi)^3 \delta_D \left(\mathbf{k}_1 + \mathbf{k}_2 + \mathbf{k}_3\right) B_\zeta(\mathbf{k}_1, \mathbf{k}_2, \mathbf{k}_3). \tag{2}$$

This usually satisfies certain properties, in particular:

- It is real. $B_{\zeta}^* = B_{\zeta}$.
- It is isotropic. $B_{\zeta}(\mathbf{k}_1, \mathbf{k}_2, \mathbf{k}_3) = B_{\zeta}(k_1, k_2, k_3)$, where $k_i \equiv |\mathbf{k}_i|$.
- It is fully symmetric: $B_{\zeta}(k_1, k_2, k_3) = B_{\zeta}(k_2, k_1, k_3)$ et cetera.
- It is (usually) approximately scale-invariant.

$$B_{\zeta}(\alpha k_1, \alpha k_2, \alpha k_3) = \alpha^{2(n_s - 4)} B_{\zeta}(k_1, k_2, k_3), \tag{3}$$

where α is any constant. If $n_s \approx 1$ then $B_\zeta \sim k^{-6}$. This property can be broken in some models of inflation.

• It is normalized. We typically split the bispectrum into a template and an amplitude, as

$$B_{\zeta}(k_1, k_2, k_3) = f_{\text{NL}}^X B_X(k_1, k_2, k_3), \tag{4}$$

where B_X encodes the model of interest (and one could sum over X if there are multiple interesting templates). The amplitude f_{NL}^X is defined via

$$B_{\zeta}(k_{\text{pivot}}, k_{\text{pivot}}, k_{\text{pivot}}) = \frac{18}{5} f_{\text{NL}}^{X} \frac{\Delta_{\zeta}^{4}}{k_{\text{pivot}}^{6}}, \tag{5}$$

i.e. it measures the amplitude of the equilateral bispectrum at some given wavenumber relative to the power spectrum squared. (If $n_s = 1$, it is the amplitude of $k^6 B_{\zeta}(k, k, k)$ on all scales).

The last property is typically used to define a dimensionless shape function. Here, we'll define this as

$$B_X(k_1, k_2, k_3) = \frac{18}{5} \left[P_{\zeta}(k_1) P_{\zeta}(k_2) P_{\zeta}(k_3) \right]^{2/3} S_X(k_1, k_2, k_3), \tag{6}$$

with $S_X(k_{\text{pivot}}, k_{\text{pivot}}, k_{\text{pivot}}) = 1$. It's common to define this using the simpler $n_s = 1$ limit (whence $B_X(k_1, k_2, k_3) = \frac{18}{5} S_X(k_1, k_2, k_3) / (k_1 k_2 k_3)^2$), but we'll keep the general form here. Note that many inflation calculations assume $n_s = 1$ (the de Sitter limit).

^{*} ohep2@cantab.ac.uk

II. CMB BISPECTRA

The CMB bispectrum generated by a primordial signal is given by

$$\langle a_{\ell_1 m_1} a_{\ell_2 m_2} a_{\ell_3 m_3} \rangle_c = f_{\rm NL}^X \int_{\mathbf{k}_1 \mathbf{k}_2 \mathbf{k}_3} (2\pi)^3 \delta_{\rm D} \left(\mathbf{k}_1 + \mathbf{k}_2 + \mathbf{k}_3 \right) B_X(\mathbf{k}_1, \mathbf{k}_2, \mathbf{k}_3) h_{\ell_1 m_1}(\mathbf{k}_1) h_{\ell_2 m_2}(\mathbf{k}_2) h_{\ell_3 m_3}(\mathbf{k}_3), \tag{7}$$

where $\int_{\mathbf{k}} \equiv (2\pi)^{-3} \int d^3\mathbf{k}$, and $h_{\ell m}(\mathbf{k})$ is some transfer function given explicitly by

$$h_{\ell m}(\mathbf{k}) = 4\pi i^{\ell} \int_{\mathbf{k}} \mathcal{T}_{\ell}(k) Y_{\ell m}^{*}(\hat{\mathbf{k}})$$
(8)

where $Y_{\ell m}$ is a spherical harmonic and \mathcal{T}_{ℓ} is the radiation transfer function from CLASS. The exact form of this isn't important here.

A common task in primordial CMB cosmology is to estimate f_{NL}^X given a template B_X . To do this, one typically uses a matched filter, essentially computing:

$$\hat{f}_{\rm NL}^X \sim \sum_{\ell:m_{\ell}} \frac{\partial \left\langle a_{\ell_1 m_1} a_{\ell_2 m_2} a_{\ell_3 m_3} \right\rangle_c}{\partial f_{\rm NL}^X} \tilde{a}_{\ell_1 m_1}^* \tilde{a}_{\ell_2 m_2}^* \tilde{a}_{\ell_3 m_3}^*, \tag{9}$$

projecting the model onto the (suitably filtered) data $\tilde{a}_{\ell m}$ and being lazy with summation indices.

Let's see how this can be computed in practice. From our model:

$$\widehat{f}_{\mathrm{NL}}^{X} \sim \int d\mathbf{r} \prod_{i=1}^{3} \left[\sum_{\ell_{i}m_{i}} \int_{\mathbf{k}_{i}} h_{\ell_{i}m_{i}}(\mathbf{k}) \widetilde{a}_{\ell_{i}m_{i}}^{*} e^{i\mathbf{k}_{i} \cdot \mathbf{r}} \right] B_{X}(k_{1}, k_{2}, k_{3}), \tag{10}$$

where we have used a computational trick to write $(2\pi)^3 \delta_D(\mathbf{k}_1 + \mathbf{k}_2 + \mathbf{k}_3) = \int d\mathbf{r} \, e^{i(\mathbf{k}_1 + \mathbf{k}_2 + \mathbf{k}_3) \cdot \mathbf{r}}$ which will help us later. This is a *mess* to compute. We have three sums over ℓ , m (scaling as ℓ_{\max}^6), three integrals over all the **k**-modes of interest, and an integral over \mathbf{r} .

Here, our lives are made much easier by assuming that the bispectrum is separable. In this case,

$$B_X(k_1, k_2, k_3) = f_1(k_1) f_2(k_2) f_3(k_3). \tag{11}$$

and we can rewrite our estimator as

$$\widehat{f}_{\rm NL}^X \sim \int d\mathbf{r} \prod_{i=1}^3 \left[\sum_{\ell_i m_i} \int_{\mathbf{k}_i} h_{\ell_i m_i}(\mathbf{k}) \widetilde{a}_{\ell_i m_i}^* e^{i\mathbf{k}_i \cdot \mathbf{r}} f_i(k_i) \right]. \tag{12}$$

This is a *huge* amount easier to compute. Now we take our data, do a few spherical harmonic transforms and a numerical integral (all of which are possible in $\mathcal{O}(\ell_{\text{max}}^2)$ time) and spit out a function of **r**-only (the square bracket). Denoting this by $F(\mathbf{r})$, the final estimator is just

$$\widehat{f}_{\rm NL}^X \sim \int d\mathbf{r} F_1(\mathbf{r}) F_2(\mathbf{r}) F_3(\mathbf{r})$$
 (13)

which is just a 3D sum. Because of the separability in (11), we are able to estimate $f_{\rm NL}$ efficiently. This is known as the KSW estimator. Without the separability, we cannot perform the computation directly, and thus cannot measure $f_{\rm NL}^X$. (There are some work-arounds, such as measuring a binned bispectrum like one does in LSS, or projecting onto some polynomial coefficients, known as a modal decomposition. These are interesting but have their limitations).

III. PROBLEM STATEMENT

The above discussion motivates the following. What if we could split an arbitrary bispectrum up into a sum of separable components? Let's imagine we have some precomputed bispectrum template B_X . This might be analytic, or it might be tabulated numerically (say for $k \in [2,2000]/\chi_{\star}$, where χ_{\star} is the distance to the CMB, $\approx 14\,000\,\mathrm{Mpc}$). Can we find a separable approximation? This would look like:

$$B_X(k_1, k_2, k_3) \approx B_X^{\text{fact}}(k_1, k_2, k_3) \equiv \sum_{n=1}^{N} f_1^{(n)}(k_1) f_2^{(n)}(k_2) f_3^{(n)}(k_3) + 2 \text{ perms.},$$
 (14)

where we have included the permutations explicitly to ensure the symmetries, and we sum over N terms. If the template of interest is separable (e.g., the local and equilateral templates below), the decomposition is exact using only a small number of terms. In general, one searches for an approximate decomposition, trying to define a set of functions $\{f_i^{(n)}\}$, where the above form is a good approximation to the full $B_X(k_1,k_2,k_3)$. In some simple examples, f_n could be polynomials; however, many physical templates of interest have more complex dependencies, featuring, for example $1/(k_1 + k_2 + k_3)$, $1/(k_1 + k_2 - k_3)$ or $\sin[\ln k_1/(k_2 + k_3)]$. For such shapes, we probably require non-analytic f_n functions e.g., some form of neural networks.

The full problem can be described as follows.

- Start: We have a precomputed bispectrum $B_X(k_1, k_2, k_3)$ for a range of values of k. In many simple cases, we can set $n_s = 1$ and work with the shape function, S_X , instead of B_X which has simpler symmetries (it is symmetric, satisfies S(k, k, k) = 1 and scale invariant).
- **Problem**: Find a set of functions $\{f_i^{(n)}(k)\}$ for which the factorized bispectrum $f_i^{(n)}$ closely approximates the true bispectrum B_X . This can be done at fixed N. In practice, we would want to search for the best approximation at N=1 (for example), then try increasing N gradually until we get an approximation of appropriate accuracy.
- **Distance Metric**: We need a measure of distance between the factorized and true bispectra. This is given in terms of the following inner product:

$$\langle S|S'\rangle = \int_0^1 dx \int_{1-x}^1 dy \, S(1,x,y)S'(1,x,y),$$
 (15)

assuming $n_s = 1$, for two shapes S, S'. Outside the simple $n_s = 1$ scale-invariant limit, one can use the more general form (which is proportional to the former in the aforementioned limit)

$$\langle B|B'\rangle = \int_{\text{triangle}} dk_1 dk_2 dk_3 \frac{B(k_1, k_2, k_3)B'(k_1, k_2, k_3)}{P_{\zeta}(k_1)P_{\zeta}(k_2)P_{\zeta}(k_3)},\tag{16}$$

where the integral is taken over the triangle domain specified by $|k_1 - k_2| \le k_3 \le k_1 + k_2$. We define a (log) loss function as

$$\mathcal{L}(B^{\text{fact}}|B) = \langle B - B^{\text{fact}}|B - B^{\text{fact}}\rangle, \tag{17}$$

which is convex. Note that $\langle B_X | B_X \rangle^{-1/2}$ is proportional to the theoretical error bar on $f_{\rm NL}^X$ from an ideal 3D experiment – our loss function quantifies how well the approximated template captures the signal-to-noise of the former.

- Tools: Any functional representation of $f_i^{(n)}(k)$, whether it is a spline, symbolic regression, neural networks, FFTs of neural networks or beyond.
- Outcome: Find some set of functions which has $\mathcal{L}(B^{\text{fact}}|B) \ll \langle B|B \rangle$, such that our approximation is accurate. Then we can compute the constraints on these using known methods.

IV. EXAMPLES

Here, I give a couple of notable bispectrum examples (always assuming $n_s = 1$). First the local bispectrum tells us about multi-field inflation and is defined by its shape function:

$$S_{\text{loc}}(k_1, k_2, k_3) = \frac{1}{3} \frac{k_1^2}{k_2 k_3} + 2 \text{ perms}$$
 (18)

The equilateral shape probes particle dynamics and is given by

$$S_{\text{eq}}(k_1, k_2, k_3) = \left(\frac{k_1}{k_2} + 5 \text{ perms.}\right) - \left(\frac{k_1^2}{k_2 k_3} + 2 \text{ perms.}\right) - 2.$$
 (19)

These are clearly explicitly sum-separable with a small number of terms. There's also interesting folded shapes, which involve, e.g. $1/(k_1 + k_2 - k_3)$ and peak at $k_3 \approx k_1 + k_2$. These can tell us about non-standard vacuum states in inflation.

There's some interesting examples from the *cosmological collider* approach. These use symmetries of inflationary space-time to derive three-point (and beyond) correlation function. This is a good template for the "low-speed" collider (2307.01751):

$$S_{\alpha}(k_1, k_2, k_3) = S_{\text{eq}}(k_1, k_2, k_3) + \frac{1}{3} \frac{k_1^2}{k_2 k_3} \left[1 + \left(\alpha \frac{k_1^2}{k_2 k_3} \right) \right]^{-1} + 2 \text{ perms.}$$
 (20)

for $\alpha \lesssim 1$. A separable form *does* exist, but it requires some contour integration tricks to obtain and has a lot of terms (infinite, in fact). For massive particles, there's some funky oscillations, e.g. (1610.06559)

$$S_{\text{clock}}(k_1, k_2, k_3) \propto \Theta_H(k_1 + k_2 - \alpha_0 k_3) \left(\frac{k_3}{k_1 + k_2}\right)^{1/2} \sin\left(\mu \ln \frac{k_1 + k_2}{2k_3} + \delta\right) + 2 \text{ perms.}$$
 (21)

where $\alpha_0 \sim 5$ restricts to squeezed shapes $(k_3 \ll k_1 + k_2)$ via the Heaviside function Θ_H , $\mu > 0$ encodes the mass of the field and δ is some phase. For slightly less massive fields, there's shapes like (1610.06559)

$$S_{\rm int}(k_1, k_2, k_3) \propto \Theta_H(k_1 + k_2 - \alpha_0 k_3) \frac{k_1^2 + k_2^2 + k_3^2}{(k_1 + k_2 + k_3)^{7/2 - 3\nu}} (k_1 k_2 k_3)^{1/2 - \nu}$$
(22)

for $0 < \nu < 3/2$, which are not immediately separable. This scales as $(k_3/k_1)^{1/2-\nu}$ in the squeezed limit.

Some interesting shapes are given by the recent numerical approaches to inflationary computation, e.g. the CosmoFlow code. These cannot be computed analytically. It would be *great* to be able to analyze these, since they're a more principled approach than the templates above (which are computed only in certain limits and are approximate at best).