

A Brief Introduction to Hoshen-Kopelman Algorithm For Solving Percolation Problem

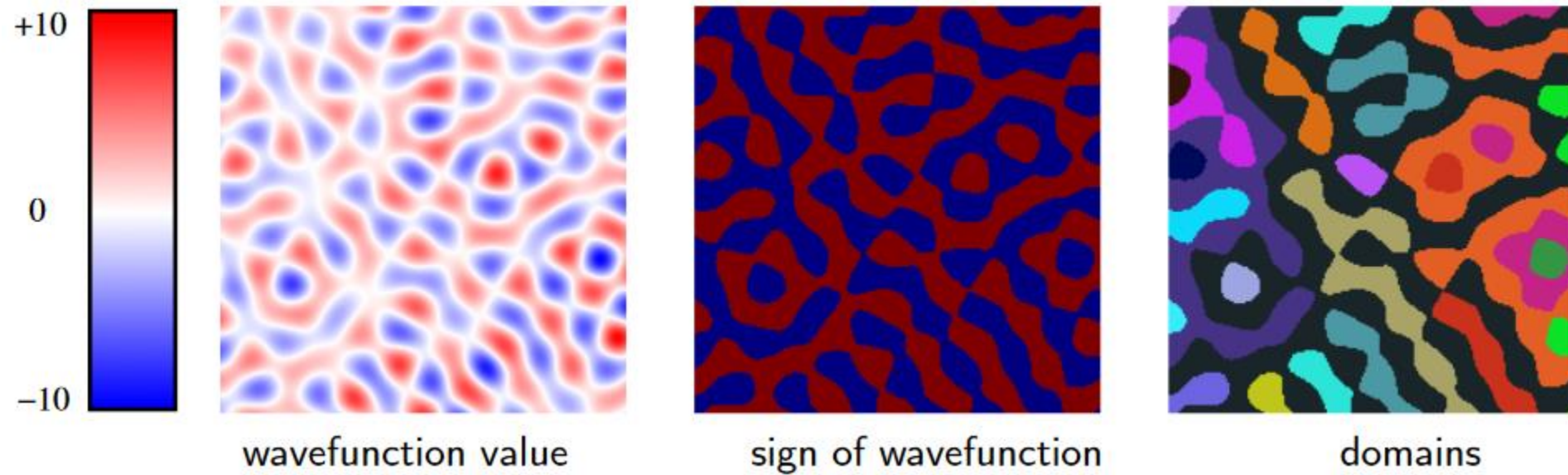
Final Project of Computational Physics Course

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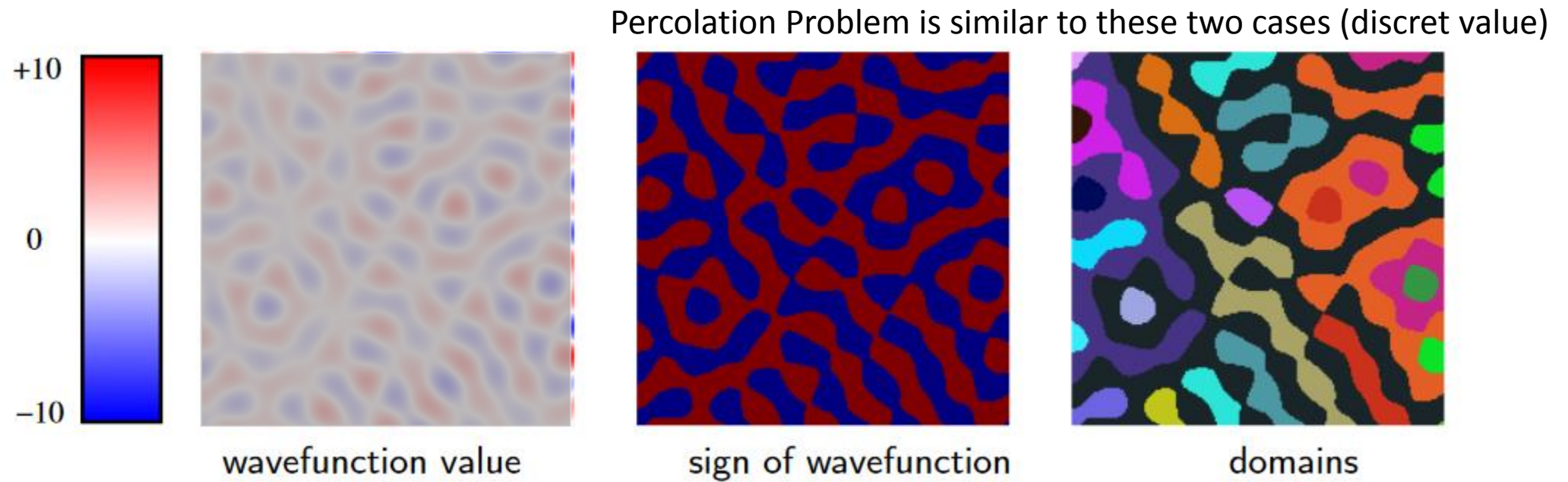
July 4th,2018



Application: Nodal Domains

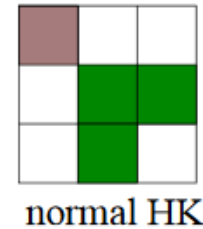


Application: Nodal Domains



Definitions in H-K Algorithm

- Grid of $m \times n$ sites : m is the length of grid, n is the width of grid. For simplicity, set m equal to n .
- Value at these sites may be:
 1. Percolation **clusters**: only 1 (occupied site) and 0 (free site) in the grid in the problem which I focused on.
 2. Spin value: +1 (spin up) or -1 (spin down), it also can be applied to Swendsen-Wang algorithm, an efficient variation of Metropolis- Hasting algorithm for solving ground state in Ising model.
- Cluster Criterion: only consider **above, bottom, left-side and right-side** neighbors, exclude diagonal ones.
- **Percolation rate** (denoted by “ p ”): the probability each site be occupied. The percolation rates of every sites are mutually independent.



HK Algorithm in C-like Pseudo Code

Raster Scan and Labeling on the Grid

```
largest_label = 0;
for x in 0 to n_columns {
    for y in 0 to n_rows {
        if occupied[x,y] then
            left = occupied[x-1,y];
            above = occupied[x,y-1];
            if (left == 0) and (above == 0) then /* Neither a label above nor to the left. */
                largest_label = largest_label + 1; /* Make a new, as-yet-unused cluster label. */
                label[x,y] = largest_label;
            else if (left != 0) and (above == 0) then /* One neighbor, to the left. */
                label[x,y] = find(left);
            else if (left == 0) and (above != 0) then /* One neighbor, above. */
                label[x,y] = find(above);
            else /* Neighbors BOTH to the left and above. */
                union(left,above); /* Link the left and above clusters. */
                label[x,y] = find(left);
        }
    }
}
```

HK Algorithm in C-like Pseudo Code

Union

```
void union(int x, int y) {  
    labels[find(x)] = find(y);  
}
```

Find

```
int find(int x) {  
    int y = x;  
    while (labels[y] != y)  
        y = labels[y];  
    while (labels[x] != x) {  
        int z = labels[x];  
        labels[x] = y;  
        x = z;  
    }  
    return y;  
}
```

Demo

Original Graph:

0 1 0 1 0 1 1 1 0 1

1 1 0 0 0 1 0 0 1 0

1 0 0 0 1 1 1 0 1 1

1 1 1 1 0 0 1 0 0 0

1 1 1 1 0 0 0 1 0 1

1 0 0 1 0 1 1 0 0 0

0 0 1 1 0 1 1 0 0 1

1 1 0 1 0 1 0 0 1 0

0 0 0 0 1 1 1 1 1 1

0 0 0 1 1 1 1 1 0 0

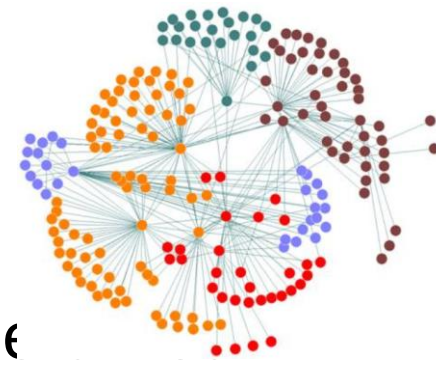
Demo

Labeled Graph:

```
  2   W   W W W   )
2 2       W       2
2       W W W   2 2
2 2 2 2       W
2 2 2 2       0   2
2       2   W W
      2 2   W W   e
f f   2   W       W
      W W W W W W
      W W W W W
```

Given $p=0.5, n=10$
Max. Cluster: W
Size: 26

Our goal



- **Main algorithm** : label the clusters on a grid (a grid can be generated to a network, or we can say, a graph)
- Given p and n , find the **maximum size of cluster** (denote by “ mc ”) on a grid (In detail, the average sizes of maximum clusters in the grids with same p and n generated in random)
- Then derive the **relationship between p and mc** given some n .

1	1	0	0	0	0	1	1	0	0
0	1	1	0	0	0	1	1	0	0
0	0	1	0	0	0	1	1	1	0
1	0	1	1	1	1	0	0	1	1
1	0	0	0	0	1	0	1	1	0
0	0	1	1	1	1	0	0	1	0
0	0	1	1	1	0	0	0	0	0



1	1					3	3		
	1	1				3	3		
		1				3	3	3	
2		1	1	1	1			3	3
2					1		3	3	
		1	1	1	1			3	
		1	1	1					

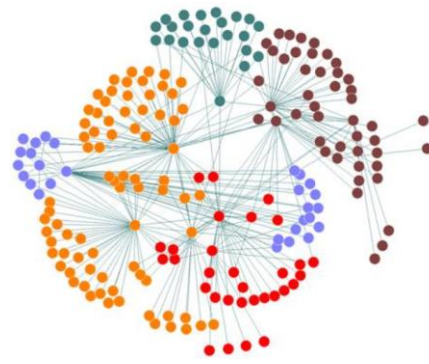
Image Resource :
Christian Joas's
lecture note,
Institut für theoretische
Physik, FU Berlin

Our goal

- Then derive the **relationship between p and mc** given some n .
- Find the point of phase transition , p^* :

$p < p^*$: size of maximum cluster is small

$p > p^*$: size of maximum cluster become big



1	1	0	0	0	0	1	1	0	0
0	1	1	0	0	0	1	1	0	0
0	0	1	0	0	0	1	1	1	0
1	0	1	1	1	1	0	0	1	1
1	0	0	0	0	1	0	1	1	0
0	0	1	1	1	1	0	0	1	0
0	0	1	1	1	0	0	0	0	0



1	1					3	3		
	1	1				3	3		
		1				3	3	3	
2		1	1	1	1			3	3
2					1		3	3	
		1	1	1	1			3	
		1	1	1					

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The implementation of Hoshen-Kopelman algorithm in Python

- Let's display the components with hierarchical order, which comprised my implementation of HK algorithm, named "HK.py":

HK.py

```
|__1. class QuickUnion ( UnionFindBase ): for operating the algorithm
|__ 1.a function "__init__": initialize unite labels() and grid
|__ 1.b function "root" : find the root of a occupied site (all sites with
    same root have a same label )
|__ 1.c function "find" : check whether two occupied site have same root
|__ 1.d function "unite" : unite two site in a same cluster
```

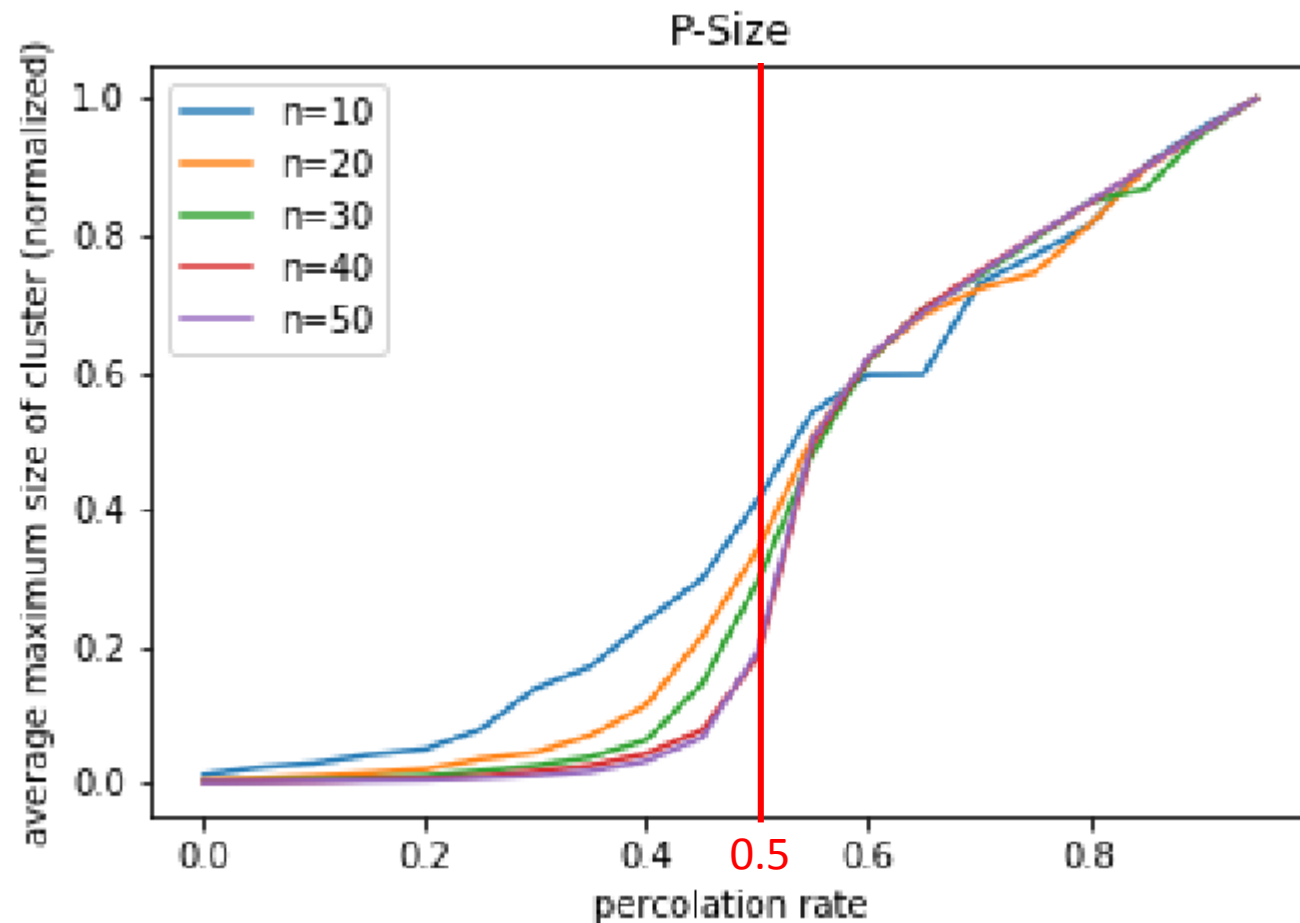
The implementation of Hoshen-Kopelman algorithm in Python

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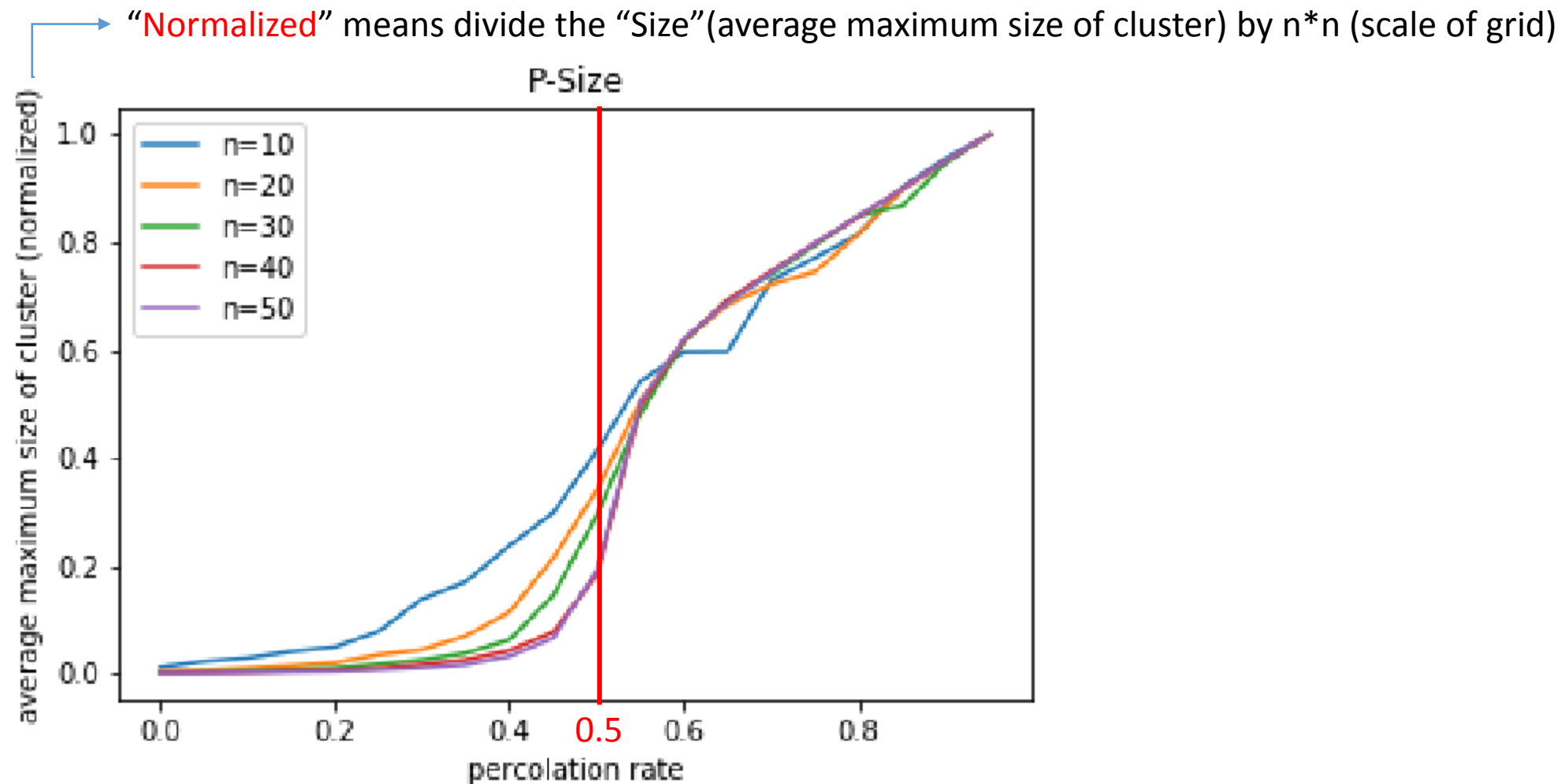
- |__2. function “original graph”: plot the initial grid
- |__3. function “labeled graph”: show the grid with labels
- |__4. function “max_cluster”: show the maximum cluster
- |__5. function “hoshenKopelman”: core algorithm
- |__6. main routine : show the relationship between p and mc given some n .

If want to know some details, see the appendix.

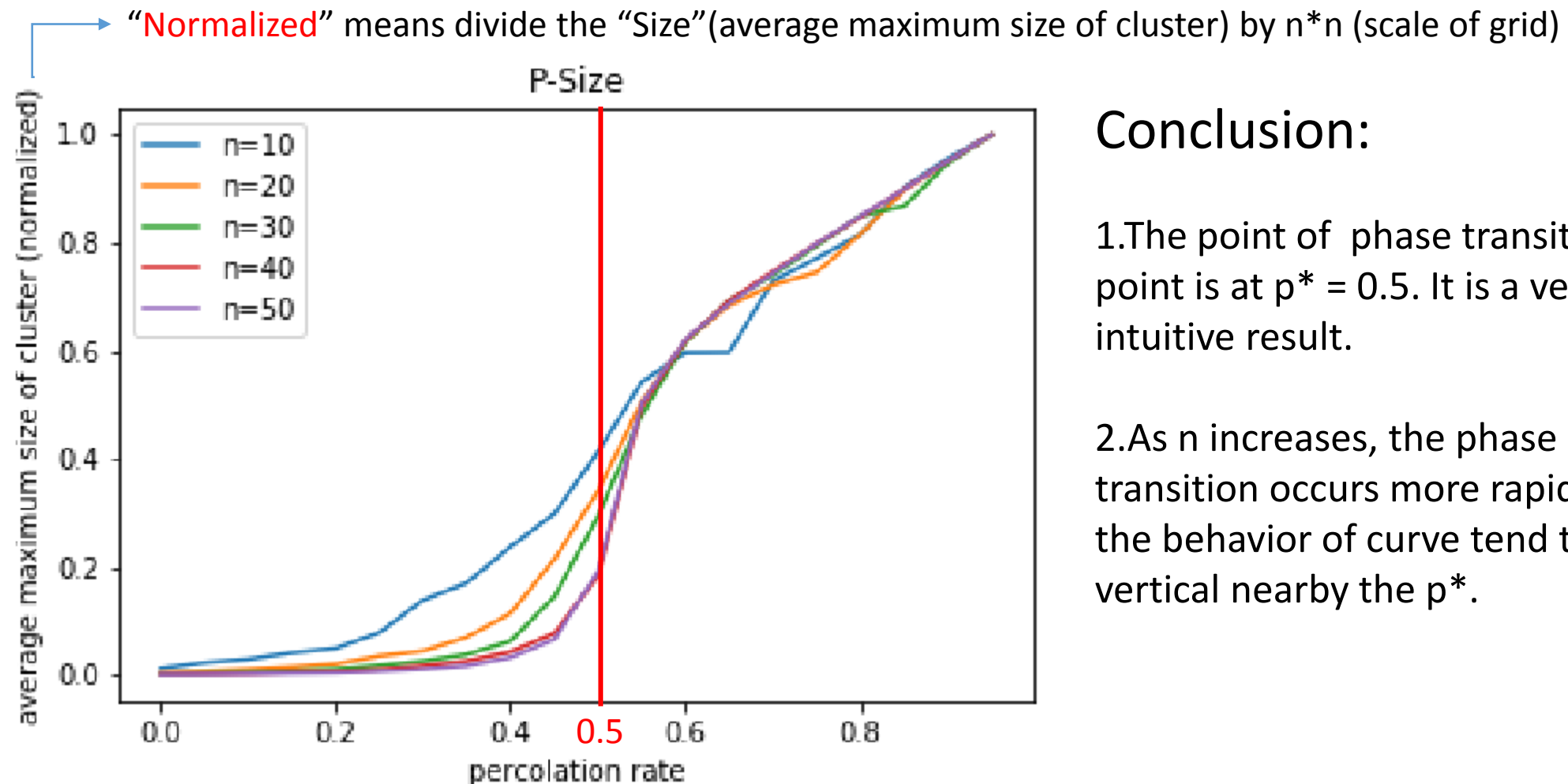
Graphic exploration and conclusion



Graphic exploration and conclusion



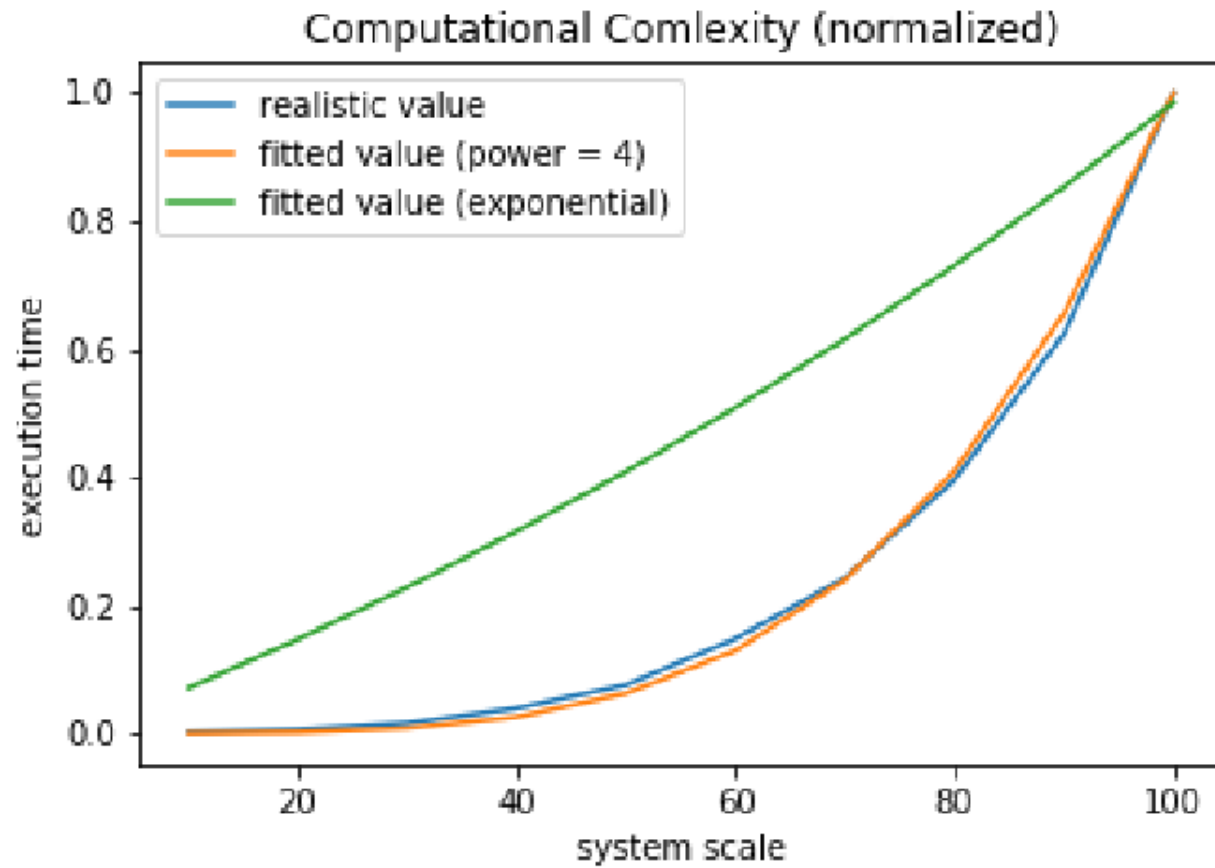
Graphic exploration and conclusion



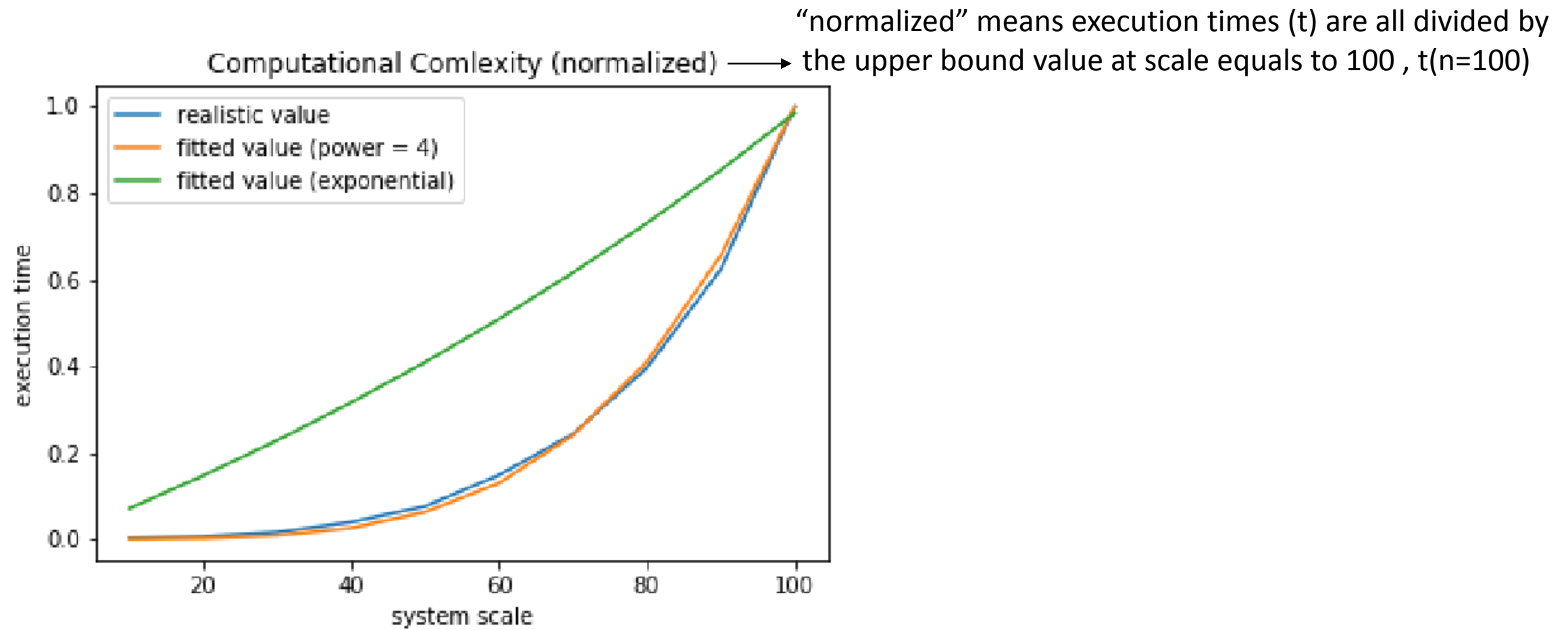
Conclusion:

1. The point of phase transition point is at $p^* = 0.5$. It is a very intuitive result.
2. As n increases, the phase transition occurs more rapidly, and the behavior of the curve tends to be vertical nearby the p^* .

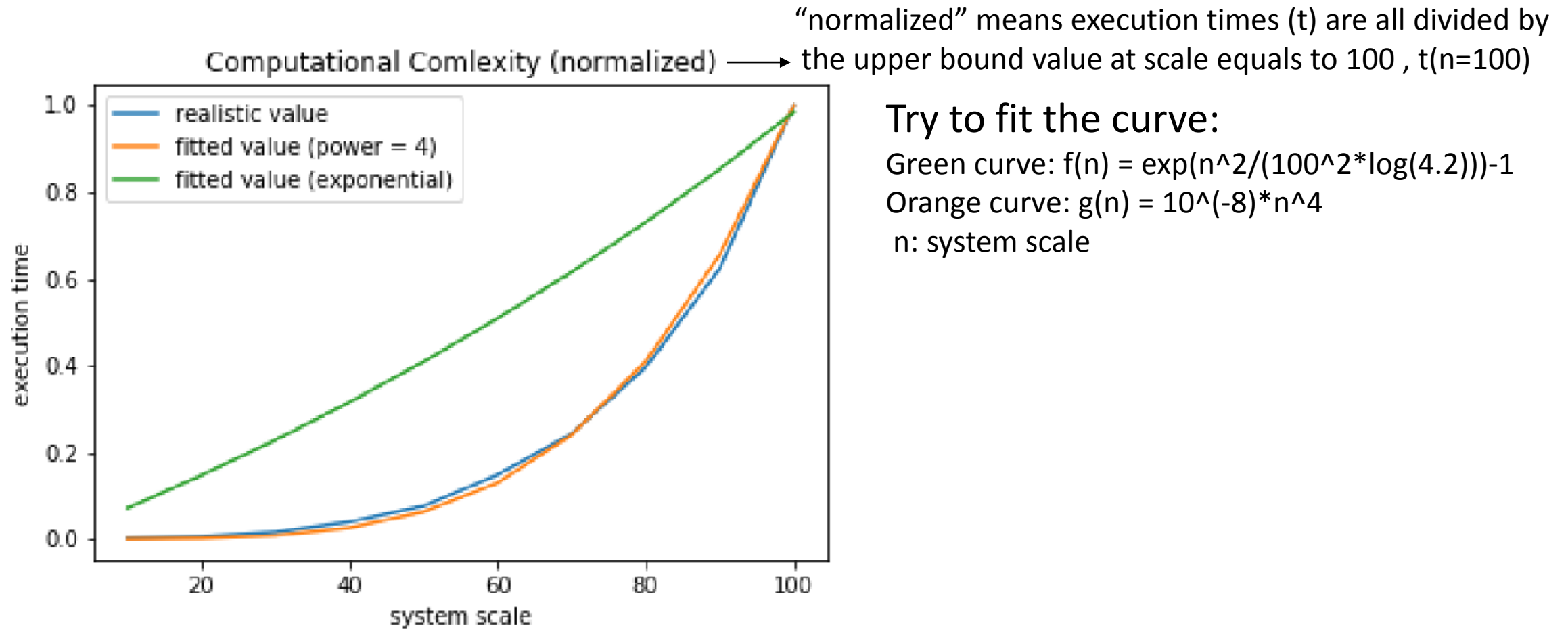
Computational Complexity Analysis



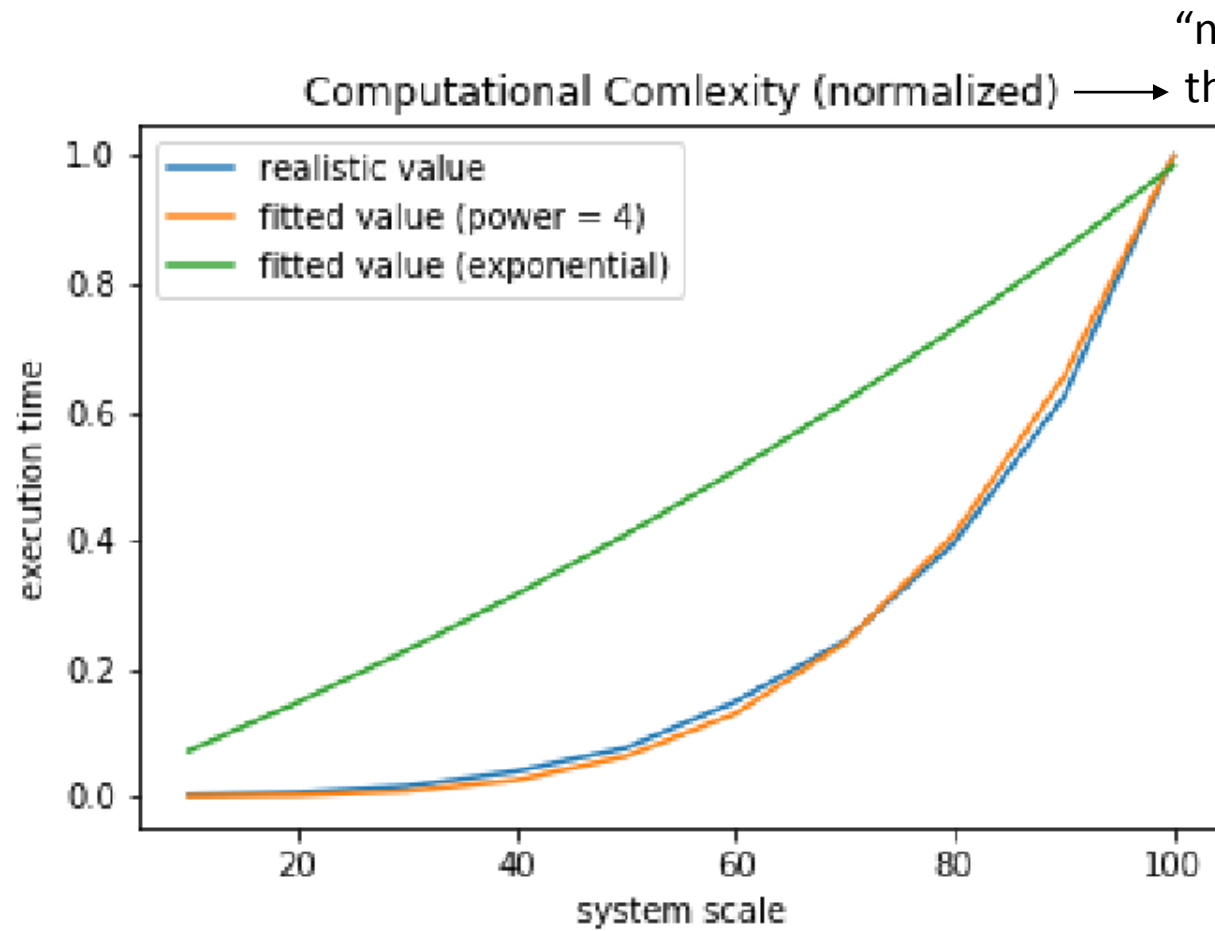
Computational Complexity Analysis



Computational Complexity Analysis



Computational Complexity Analysis



“normalized” means execution times (t) are all divided by the upper bound value at scale equals to 100, $t(n=100)$

Try to fit the curve:

Green curve: $f(n) = \exp(n^2/(100^2 \cdot \log(4.2))) - 1$

Orange curve: $g(n) = 10^{(-8)} \cdot n^4$

n : system scale

Observation:

1. When scale is within $[0, 100]$:

The growth rate of execution time approximates to a quartic function $g(n) \sim n^4$, and less than $f(n) \sim \exp(n^2)$

2. In the whole picture (including $n > 100$): the growth rate is faster than $f(n) \sim \exp(n^2)$

Appendix: Source code

```
1 import time
2 from IPython.display import clear_output
3 import random
4 import numpy as np
5 from matplotlib import pyplot as plt
6
7 class UnionFindBase(object):
8     def __init__(self, N,p):
9         self.id = [None] * N
10        self.occupied = [None]*N
11        for i in range(N):
12            self.id[i] = i
13            if random.uniform(0,1)>=p:
14                self.occupied[i] = 1
15            else:
16                self.occupied[i] = 0
17
```

```
18 class QuickUnion(UnionFindBase):
19     def __init__(self, N,p):
20         super(QuickUnion, self).__init__(N,p)
21
22     def root(self, i):
23         while i != self.id[i]:
24             i = self.id[i]
25         return i
26
27     def find(self, p, q):
28         return self.root(p) == self.root(q)
29
30     def unite(self, p, q): #q:變成集合的root
31         i = self.root(p)
32         j = self.root(q)
33         self.id[i] = j
34
35     def original_graph(ni,nj,graph):
36         print('Original Graph:')
37         for i in range(ni):
38             for j in range(nj):
39                 node = nj*i+j
40                 print(graph[node],end=' ')
41             print('\n')
42         print('\n\n')
43
```

Appendix: Source code

```

44 def labeled_graph(ni,nj,graph_class,plot=False):
45     labels = []
46     if plot:
47         print('Labeled Graph:')
48     for i in range(ni):
49         for j in range(nj):
50             if graph_class.occupied[nj*i+j]==1:
51                 if plot:
52                     print(chr(32+graph_class.root(nj*i+j)),end=' ')
53                     label = chr(32+graph_class.root(nj*i+j))
54                 else:
55                     if plot:
56                         print(' ',end=' ')
57                     label = ' '
58                 labels.append(label)
59             if plot:
60                 print('\n')
61     return labels
62
63
64 def max_cluster(labels,isprint=False):
65     max_cluster = None
66     for l in list(set(labels)):
67         if labels.count(l)>labels.count(max_cluster) and l != ' ':
68             max_cluster = l
69     if isprint:
70         print('Max Cluster:',max_cluster)
71     size = labels.count(max_cluster)
72     if isprint:
73         print('Size:',size)
74     return l,size
75

```

[illegible]

Appendix: Source code

```
103 if __name__ == '__main__':
104
105     #main routine
106     ns= [10,20,30,40,50,60,70,80,90,100]
107     P= np.arange(0.0,1.0,0.05)
108     iteration = 30
109     Sizes = []
110     execution_time = []
111     for n in ns:
112         Size = []
113         start = time.time()
114         for p in P:
115             mean_size = 0.0
116             for t in range(iteration):
117                 ni,nj= n,n
118                 N = ni*nj
119                 qn = QuickUnion(N,p)
120                 #original_graph(ni,nj,qn.occupied)
121                 qn = hoshenKopelman(ni,nj,qn)
122                 labels = labeled_graph(ni,nj,qn)
123                 mcluster,size = max_cluster(labels)
124                 #print(mcluster,size)
125                 mean_size += size
126             mean_size/= iteration
127             mean_size/= N
128             Size.append(mean_size)
129         Size.reverse()
130         Sizes.append(Size)
131         end = time.time()
132         t = end -start
133         execution_time.append(t)
134     print('Execution times of main routine given different n:\n',
135           execution_time)
```

```
138 #p-size relation
139 dn = 0
140 for Size in Sizes:
141     nlabel='n='+str(10+dn)
142     plt.plot(P,Size,label=nlabel)
143     dn+=10
144
145     plt.title('P-Size')
146     plt.xlabel('percolation rate')
147     plt.ylabel('average maximum size of cluster (normalized)')
148     plt.legend()
149     plt.savefig('p_size_relation_2.png')
150     plt.show()
151
152 #t-n relation
153 ns = np.array(ns)
154 plt.plot(ns,execution_time/np.max(execution_time),
155          label = 'realistic value')
156 plt.plot(ns,np.power(ns,4)*0.00000001,
157          label = 'fitted value (power = 4)')
158 plt.plot(ns,np.exp(ns**2/(100**2*np.log(4.2)))-1.0,
159          label = 'fitted value (exponential)')
160 plt.title('Computational Complexity (normalized)')
161 plt.xlabel('system scale')
162 plt.ylabel('execution time')
163 plt.legend()
164 plt.savefig('computational_complexity.png')
165 plt.show()
```

Reference

Algorithm research:

- <https://www.cs.princeton.edu/~rs/AlgsDS07/01UnionFind.pdf>
- <https://github.com/AdamPI314/UnionFind>
- https://en.wikipedia.org/wiki/Hoshen%E2%80%93Kopelman_algorithm

Image resource:

- <http://rsdavis.mycpanel.princeton.edu/wp/?p=519>
- Christian Joas's nodal domain statistics lecture note,
Institut für theoretische Physik, FU Berlin