

# Non-Linear Dimensionality Reduction

The objective of this assignment is to get familiarize with `AutoEncoders` which can be used for non-linear dimensionality reduction.

## Datasets

- CIFAR10

## Packages Used

- Pytorch

```
In [3]: !pip install torchvision
```

Collecting torchvision

Downloading torchvision-0.15.1-cp310-cp310-manylinux1\_x86\_64.whl (6.0 MB)

6.0/6.0 MB 4.4 MB/s eta 0:

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Collecting requests

Using cached requests-2.28.2-py3-none-any.whl (62 kB)

Requirement already satisfied: torch==2.0.0 in /home/kushal/M.Tech/Course\_Work/Sem\_2/SMAI/venv/lib/python3.10/site-packages (from torchvision) (2.0.0)

Requirement already satisfied: numpy in /home/kushal/M.Tech/Course\_Work/Sem\_2/SMAI/venv/lib/python3.10/site-packages (from torchvision) (1.24.2)

Requirement already satisfied: pillow!=8.3.\*,>=5.3.0 in /home/kushal/M.Tech/Course\_Work/Sem\_2/SMAI/venv/lib/python3.10/site-packages (from torchvision) (9.4.0)

Requirement already satisfied: sympy in /home/kushal/M.Tech/Course\_Work/Sem\_2/SMAI/venv/lib/python3.10/site-packages (from torch==2.0.0->torchvision) (1.11.1)

Requirement already satisfied: nvidia-curand-cu11==10.2.10.91 in /home/kushal/M.Tech/Course\_Work/Sem\_2/SMAI/venv/lib/python3.10/site-packages (from torch==2.0.0->torchvision) (10.2.10.91)

Requirement already satisfied: filelock in /home/kushal/M.Tech/Course\_Work/Sem\_2/SMAI/venv/lib/python3.10/site-packages (from torch==2.0.0->torchvision) (3.10.4)

Requirement already satisfied: nvidia-cusparse-cu11==11.7.4.91 in /home/kushal/M.Tech/Course\_Work/Sem\_2/SMAI/venv/lib/python3.10/site-packages (from torch==2.0.0->torchvision) (11.7.4.91)

Requirement already satisfied: nvidia-cublas-cu11==11.10.3.66 in /home/kushal/M.Tech/Course\_Work/Sem\_2/SMAI/venv/lib/python3.10/site-packages (from torch==2.0.0->torchvision) (11.10.3.66)

Requirement already satisfied: nvidia-nvtx-cu11==11.7.91 in /home/kushal/M.Tech/Course\_Work/Sem\_2/SMAI/venv/lib/python3.10/site-packages (from torch==2.0.0->torchvision) (11.7.91)

Requirement already satisfied: jinja2 in /home/kushal/M.Tech/Course\_Work/Sem\_2/SMAI/venv/lib/python3.10/site-packages (from torch==2.0.0->torchvision) (3.1.2)

Requirement already satisfied: nvidia-cudnn-cu11==8.5.0.96 in /home/kushal/M.Tech/Course\_Work/Sem\_2/SMAI/venv/lib/python3.10/site-packages (from torch==2.0.0->torchvision) (8.5.0.96)

Requirement already satisfied: nvidia-cufft-cu11==10.9.0.58 in /home/kushal/M.Tech/Course\_Work/Sem\_2/SMAI/venv/lib/python3.10/site-packages (from torch==2.0.0->torchvision) (10.9.0.58)

Requirement already satisfied: nvidia-cusolver-cu11==11.4.0.1 in /home/kushal/M.Tech/Course\_Work/Sem\_2/SMAI/venv/lib/python3.10/site-packages (from torch==2.0.0->torchvision) (11.4.0.1)

Requirement already satisfied: networkx in /home/kushal/M.Tech/Course\_Work/Sem\_2/SMAI/venv/lib/python3.10/site-packages (from torch==2.0.0->torchvision) (3.0)

Requirement already satisfied: nvidia-cuda-runtime-cu11==11.7.99 in /home/kushal/M.Tech/Course\_Work/Sem\_2/SMAI/venv/lib/python3.10/site-packages (from torch==2.0.0->torchvision) (11.7.99)

Requirement already satisfied: triton==2.0.0 in /home/kushal/M.Tech/Course\_Work/Sem\_2/SMAI/venv/lib/python3.10/site-packages (from torch==2.0.0->torchvision) (2.0.0)

Requirement already satisfied: nvidia-nccl-cu11==2.14.3 in /home/kushal/M.Tech/Course\_Work/Sem\_2/SMAI/venv/lib/python3.10/site-packages (from torch==2.0.0->torchvision) (2.14.3)

Requirement already satisfied: nvidia-cuda-nvrtc-cu11==11.7.99 in /home/kushal/M.Tech/Course\_Work/Sem\_2/SMAI/venv/lib/python3.10/site-packages (from torch==2.0.0->torchvision) (11.7.99)

Requirement already satisfied: nvidia-cuda-cupti-cu11==11.7.101 in /home/kushal/M.Tech/Course\_Work/Sem\_2/SMAI/venv/lib/python3.10/site-packages (from torch==2.0.0->torchvision) (11.7.101)

Requirement already satisfied: typing-extensions in /home/kushal/M.Tech/Course\_Work/Sem\_2/SMAI/venv/lib/python3.10/site-packages (from torch==2.0.0->torchvision) (4.5.0)

Requirement already satisfied: wheel in /home/kushal/M.Tech/Course\_Work/Sem\_2/SMAI/venv/lib/python3.10/site-packages (from nvidia-cublas-cu11==11.10.3.66->torch==2.0.0->torchvision) (0.40.0)

Requirement already satisfied: setuptools in /home/kushal/M.Tech/Course\_Work/Sem\_2/SMAI/venv/lib/python3.10/site-packages (from nvidia-cublas-cu11==11.10.3.66->torch==2.0.0->torchvision) (59.6.0)

Requirement already satisfied: lit in /home/kushal/M.Tech/Course\_Work/Sem\_2/SMAI/venv/lib/python3.10/site-packages (from triton==2.0.0->torch==2.0.0->torchvision) (16.0.0)

Requirement already satisfied: cmake in /home/kushal/M.Tech/Course\_Work/Sem\_2/SMAI/venv/lib/python3.10/site-packages (from triton==2.0.0->torch==2.0.0->torchvision) (3.26.1)

Collecting certifi<=2017.4.17

Using cached certifi-2022.12.7-py3-none-any.whl (155 kB)

Collecting idna<4,>=2.5

Using cached idna-3.4-py3-none-any.whl (61 kB)

Collecting urllib3<1.27,>=1.21.1

Downloading urllib3-1.26.15-py2.py3-none-any.whl (140 kB)

140.9/140.9 KB 5.0 MB/s eta 0:00:00

Collecting charset-normalizer<4,>=2

Downloading charset\_normalizer-3.1.0-cp310-cp310-manylinux\_2\_17\_x86\_64.manylinux2014\_x86\_64.whl (199 kB)

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Requirement already satisfied: MarkupSafe>=2.0 in /home/kushal/M.Tech/Course\_Work/Sem\_2/SMAI/venv/lib/python3.10/site-packages (from jinja2->torch==2.0.0->torchvision) (2.1.2)

Requirement already satisfied: mpmath>=0.19 in /home/kushal/M.Tech/Course\_Work/Sem\_2/SMAI/venv/lib/python3.10/site-packages (from sympy->torch==2.0.0->torchvision) (1.3.0)

Installing collected packages: urllib3, idna, charset-normalizer, certifi, requests, torchvision

Successfully installed certifi-2022.12.7 charset-normalizer-3.1.0 idna-3.4 requests-2.28.2 torchvision-0.15.1 urllib3-1.26.15

```
In [4]: import torch
import torchvision
import torchvision.transforms as transforms
import torch.nn as nn
import torch.nn.functional as F
from torch.autograd import Variable
import torch.optim as optim
import matplotlib.pyplot as plt
import numpy as np
```

## Load CIFAR 10 Dataset

```
In [2]: transform = transforms.Compose([transforms.ToTensor()])

trainset = torchvision.datasets.CIFAR10(root='./data', train=True,
                                         download=True, transform=transform)
```

```

trainloader = torch.utils.data.DataLoader(trainset, batch_size=16,
                                           shuffle=True, num_workers=2)
testset = torchvision.datasets.CIFAR10(root='./data', train=False,
                                       download=True, transform=transform)
testloader = torch.utils.data.DataLoader(testset, batch_size=16,
                                          shuffle=False, num_workers=2)
classes = ('plane', 'car', 'bird', 'cat',
           'deer', 'dog', 'frog', 'horse', 'ship', 'truck')

print("Train Data shape:", trainset.data.shape)
print("Test Data shape:", testset.data.shape)

```

Files already downloaded and verified

Files already downloaded and verified

Train Data shape: (50000, 32, 32, 3)

Test Data shape: (10000, 32, 32, 3)

## Visualize the Data

```

In [3]: def imshow(img):
        img = img / 2 + 0.5     # unnormalize
        npimg = img.numpy()
        plt.figure(figsize=(10,10))
        plt.imshow(np.transpose(npimg, (1, 2, 0)))
        plt.show()

        # get some random training images
        dataiter = iter(trainloader)
        images, labels = dataiter.next()

        print(images.shape)
        print(labels.shape)

        # show images
        imshow(torchvision.utils.make_grid(images))

```

torch.Size([16, 3, 32, 32])

torch.Size([16])



## Define Model

Refer `torch.nn` [link](#)

Experiment with different architectures of encoder and decoder i.e

- encoder and decoder is fully connected layers
- encoder and decoder is combination of convolution layers + fully connected layers

- encoder and decoder is fully convolutional layers.

There are 3 models created

- encoder and decoder is fully connected layers - Autoencoder1
- encoder and decoder is combination of convolution layers + fully connected layers - Autoencoder2
- encoder and decoder is fully convolutional layers - Autoencoder3

```
In [4]: class Autoencoder1(nn.Module):
    def __init__(self):
        super(Autoencoder1, self).__init__()
        # Input size: [batch, 3, 32, 32]
        # Output size: [batch, 3, 32, 32]
        self.encoder = nn.Sequential(
            # Write your code here
            # Fully Connected Layers only
            nn.Flatten(),
            nn.Linear(32*32*3, 512),
            nn.ReLU(),
            nn.Linear(512, 256),
            nn.ReLU(),
            nn.Linear(256, 128),
            nn.ReLU(),
        )
        self.decoder = nn.Sequential(
            # Write your code here
            # Fully Connected Layers only
            nn.Linear(128, 256),
            nn.ReLU(),
            nn.Linear(256, 512),
            nn.ReLU(),
            nn.Linear(512, 32*32*3),
            nn.ReLU(),
            nn.Unflatten(1, (3, 32, 32)),
            nn.Sigmoid(),
        )
    def forward(self, x):
        encoded = self.encoder(x)
        decoded = self.decoder(encoded)
        return encoded, decoded

class Autoencoder2(nn.Module):
    def __init__(self):
        super(Autoencoder2, self).__init__()
        # Input size: [batch, 3, 32, 32]
        # Output size: [batch, 3, 32, 32]
        self.encoder = nn.Sequential(
            # Write your code here
            # Combination of Convolution and Fully Connected Layers
            nn.Conv2d(3, 12, 4, stride=2, padding=1),
            nn.ReLU(),
            nn.Conv2d(12, 15, 6, stride=2, padding=0),
            nn.ReLU(),
            nn.Flatten(),
            nn.Linear(15*6*6, 128),
            nn.ReLU(),
```

```

    )
    self.decoder = nn.Sequential(
        # Write your code here
        # Combination of Convolution and Fully Connected Layers
        nn.Linear(128, 15*6*6),
        nn.ReLU(),
        nn.Unflatten(1, (15, 6, 6)),
        nn.ConvTranspose2d(15, 12, 6, stride=2, padding=0),
        nn.ReLU(),
        nn.ConvTranspose2d(12, 3, 4, stride=2, padding=1),
        nn.Sigmoid(),
    )
    def forward(self, x):
        encoded = self.encoder(x)
        decoded = self.decoder(encoded)
        return encoded, decoded

class Autoencoder3(nn.Module):
    def __init__(self):
        super(Autoencoder3, self).__init__()
        # Input size: [batch, 3, 32, 32]
        # Output size: [batch, 3, 32, 32]
        self.encoder = nn.Sequential(
            # Write your code here
            # Fully Convolutional Layers only
            nn.Conv2d(3, 12, 4, stride=2, padding=1),
            nn.ReLU(),
            nn.Conv2d(12, 15, 6, stride=2, padding=0),
            nn.ReLU(),
        )
        self.decoder = nn.Sequential(
            # Write your code here
            # Fully Convolution Layer
            nn.ConvTranspose2d(15, 12, 6, stride=2, padding=0),
            nn.ReLU(),
            nn.ConvTranspose2d(12, 3, 4, stride=2, padding=1),
            nn.Sigmoid(),
        )
    def forward(self, x):
        encoded = self.encoder(x)
        decoded = self.decoder(encoded)
        return encoded, decoded

```

```

In [5]: def print_model(encoder, decoder):
        print("##### Encoder #####")
        print(encoder)
        print("##### Decoder #####")
        print(decoder)
        print("")

        def create_model(m_type):
            if m_type == 1:
                autoencoder = Autoencoder1().cuda()
            elif m_type == 2:
                autoencoder = Autoencoder2().cuda()
            else:
                autoencoder = Autoencoder3().cuda()

```

```
print_model(autoencoder.encoder, autoencoder.decoder)
return autoencoder
```

## Loss and Optimizer

```
In [6]: def model_creation(m_type):
        autoencoder = create_model(m_type)
        criterion = nn.MSELoss()
        optimizer = optim.Adam(autoencoder.parameters())
        return autoencoder, criterion, optimizer
```

## Training

```
In [7]: def train_model(m_type, autoencoder, criterion, optimizer):
        for epoch in range(10):
            running_loss = 0.0
            for i, (inputs, _) in enumerate(trainloader, 0):
                inputs = Variable(inputs).cuda()

                # ===== Forward =====
                encoded, outputs = autoencoder(inputs)
                loss = criterion(outputs, inputs)
                # ===== Backward =====
                optimizer.zero_grad()
                loss.backward()
                optimizer.step()

                # ===== Logging =====
                running_loss += loss.data
                if i % 2000 == 1999:
                    print('[%d, %5d] loss: %.3f' %
                          (epoch + 1, i + 1, running_loss / 2000))
                    running_loss = 0.0

            print('Finished Training')
            print('Saving Model...')
            torch.save(autoencoder.state_dict(), "./models/autoencoder" + str(m_t
```

Encoder and Decoder is fully connected layers - Autoencoder1 -- Training

Model saved as autoencoder1.pt

```
In [8]: autoencoder1, criterion1, optimizer1 = model_creation(1)
        train_model(1, autoencoder1, criterion1, optimizer1)
```

```
##### Encoder #####
Sequential(
  (0): Flatten(start_dim=1, end_dim=-1)
  (1): Linear(in_features=3072, out_features=512, bias=True)
  (2): ReLU()
  (3): Linear(in_features=512, out_features=256, bias=True)
  (4): ReLU()
  (5): Linear(in_features=256, out_features=128, bias=True)
  (6): ReLU()
)
##### Decoder #####
Sequential(
  (0): Linear(in_features=128, out_features=256, bias=True)
  (1): ReLU()
  (2): Linear(in_features=256, out_features=512, bias=True)
  (3): ReLU()
  (4): Linear(in_features=512, out_features=3072, bias=True)
  (5): ReLU()
  (6): Unflatten(dim=1, unflattened_size=(3, 32, 32))
  (7): Sigmoid()
)

[1, 2000] loss: 0.057
[2, 2000] loss: 0.052
[3, 2000] loss: 0.050
[4, 2000] loss: 0.049
[5, 2000] loss: 0.049
[6, 2000] loss: 0.048
[7, 2000] loss: 0.048
[8, 2000] loss: 0.048
[9, 2000] loss: 0.047
[10, 2000] loss: 0.047
Finished Training
Saving Model...
```

Encoder and Decoder is combination of convolution layers + fully connected layers - Autoencoder2 -- Training

Model saved as autoencoder2.pt

```
In [9]: autoencoder2, criterion2, optimizer2 = model_creation(2)
        train_model(2, autoencoder2, criterion2, optimizer2)
```



```
##### Encoder #####
Sequential(
  (0): Conv2d(3, 12, kernel_size=(4, 4), stride=(2, 2), padding=(1, 1))
  (1): ReLU()
  (2): Conv2d(12, 15, kernel_size=(6, 6), stride=(2, 2))
  (3): ReLU()
  (4): Flatten(start_dim=1, end_dim=-1)
  (5): Linear(in_features=540, out_features=128, bias=True)
  (6): ReLU()
)
##### Decoder #####
Sequential(
  (0): Linear(in_features=128, out_features=540, bias=True)
  (1): ReLU()
  (2): Unflatten(dim=1, unflattened_size=(15, 6, 6))
  (3): ConvTranspose2d(15, 12, kernel_size=(6, 6), stride=(2, 2))
  (4): ReLU()
  (5): ConvTranspose2d(12, 3, kernel_size=(4, 4), stride=(2, 2), padding
=(1, 1))
  (6): Sigmoid()
)

[1, 2000] loss: 0.021
[2, 2000] loss: 0.011
[3, 2000] loss: 0.010
[4, 2000] loss: 0.009
[5, 2000] loss: 0.008
[6, 2000] loss: 0.008
[7, 2000] loss: 0.008
[8, 2000] loss: 0.008
[9, 2000] loss: 0.008
[10, 2000] loss: 0.008
Finished Training
Saving Model...
```

Encoder and Decoder is fully convolutional layers - Autoencoder3 --  
Training

Model saved as autoencoder3.pt

```
In [10]: autoencoder3, criterion3, optimizer3 = model_creation(3)
         train_model(3, autoencoder3, criterion3, optimizer3)
```

```
##### Encoder #####
Sequential(
  (0): Conv2d(3, 12, kernel_size=(4, 4), stride=(2, 2), padding=(1, 1))
  (1): ReLU()
  (2): Conv2d(12, 15, kernel_size=(6, 6), stride=(2, 2))
  (3): ReLU()
)
##### Decoder #####
Sequential(
  (0): ConvTranspose2d(15, 12, kernel_size=(6, 6), stride=(2, 2))
  (1): ReLU()
  (2): ConvTranspose2d(12, 3, kernel_size=(4, 4), stride=(2, 2), padding=(1, 1))
  (3): Sigmoid()
)

[1, 2000] loss: 0.013
[2, 2000] loss: 0.006
[3, 2000] loss: 0.005
[4, 2000] loss: 0.005
[5, 2000] loss: 0.005
[6, 2000] loss: 0.005
[7, 2000] loss: 0.004
[8, 2000] loss: 0.004
[9, 2000] loss: 0.004
[10, 2000] loss: 0.004
Finished Training
Saving Model...
```

## Load the saved model and Reconstruct the image

```
In [11]: def load_model(m_type,autoencoder):
          autoencoder.load_state_dict(torch.load("/content/autoencoder" + str(m_type)))
          return autoencoder
```

Provide Qualitative Results and Analysis with different encoder and decoder architectures as mentioned above.

```
In [12]: def test_model(autoencoder):
          dataiter = iter(testloader)
          images, labels = dataiter.next()
          print('GroundTruth: ', ' '.join('%5s' % classes[labels[j]] for j in range(10)))
          imshow(torchvision.utils.make_grid(images))

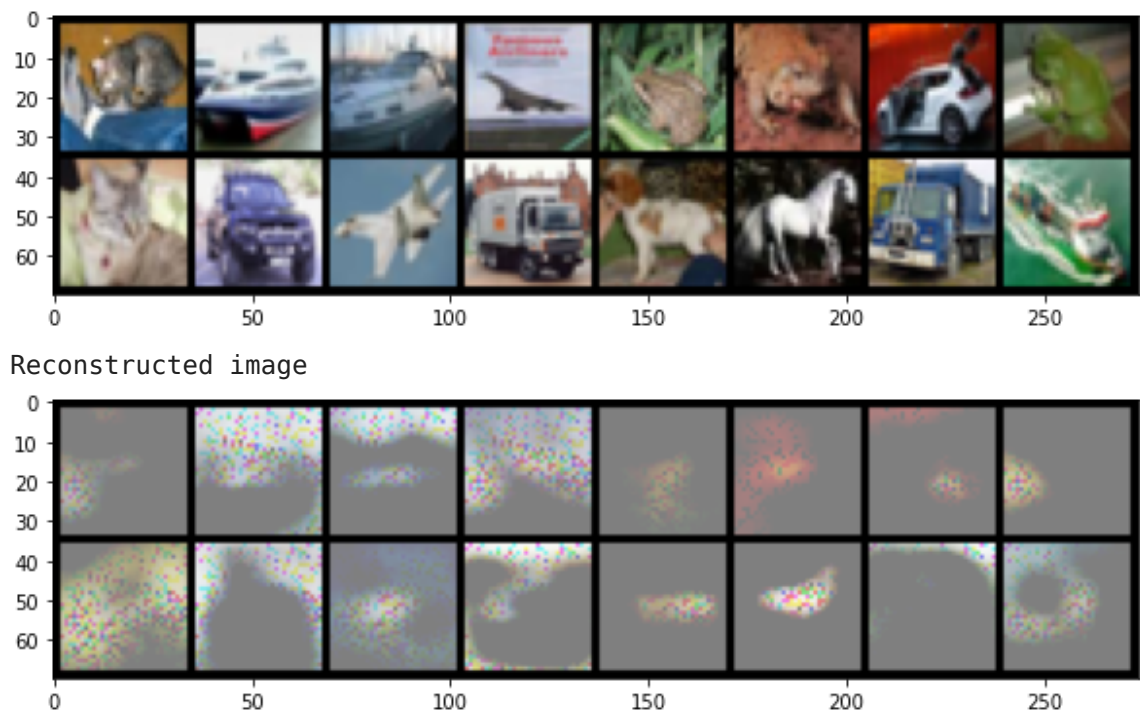
          images = Variable(images).cuda()

          print("Reconstructed image")
          decoded_imgs = autoencoder(images)[1]
          imshow(torchvision.utils.make_grid(decoded_imgs.data.cpu()))
```

## Reconstruct the image for Autoencoder1

```
In [13]: autoencoder1 = load_model(1,autoencoder1)
          test_model(autoencoder1)
```

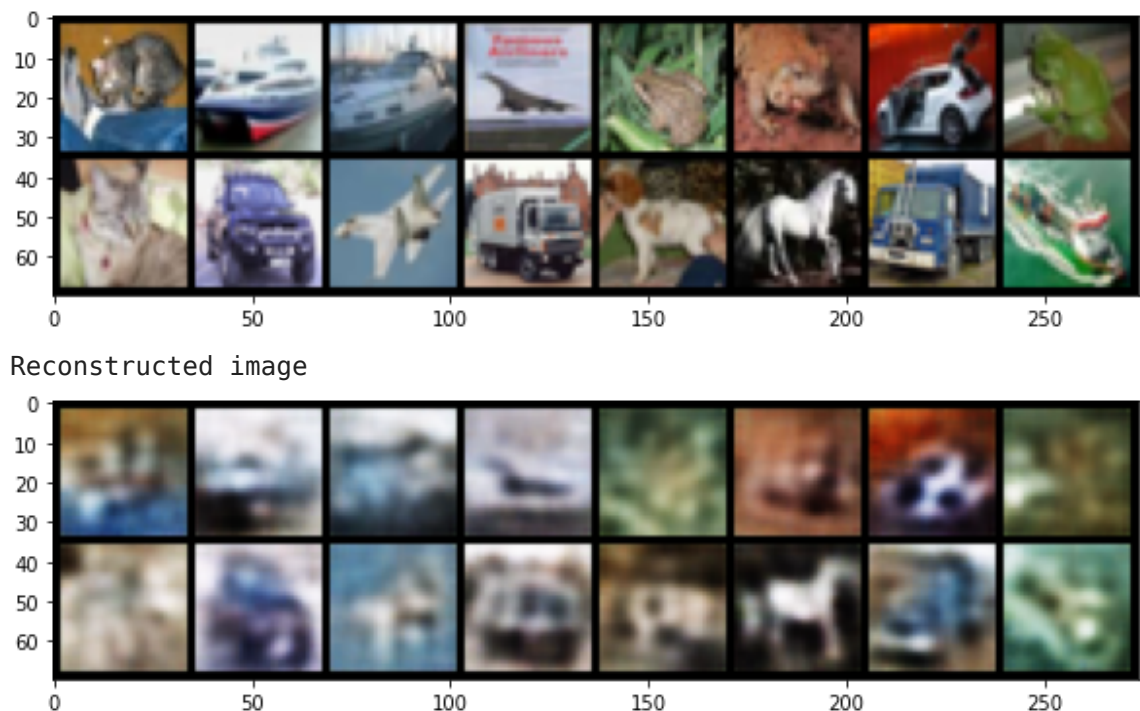
GroundTruth:    cat   ship   ship plane   frog



Reconstruct the image for Autoencoder2

```
In [14]: autoencoder2 = load_model(2,autoencoder2)
         test_model(autoencoder2)
```

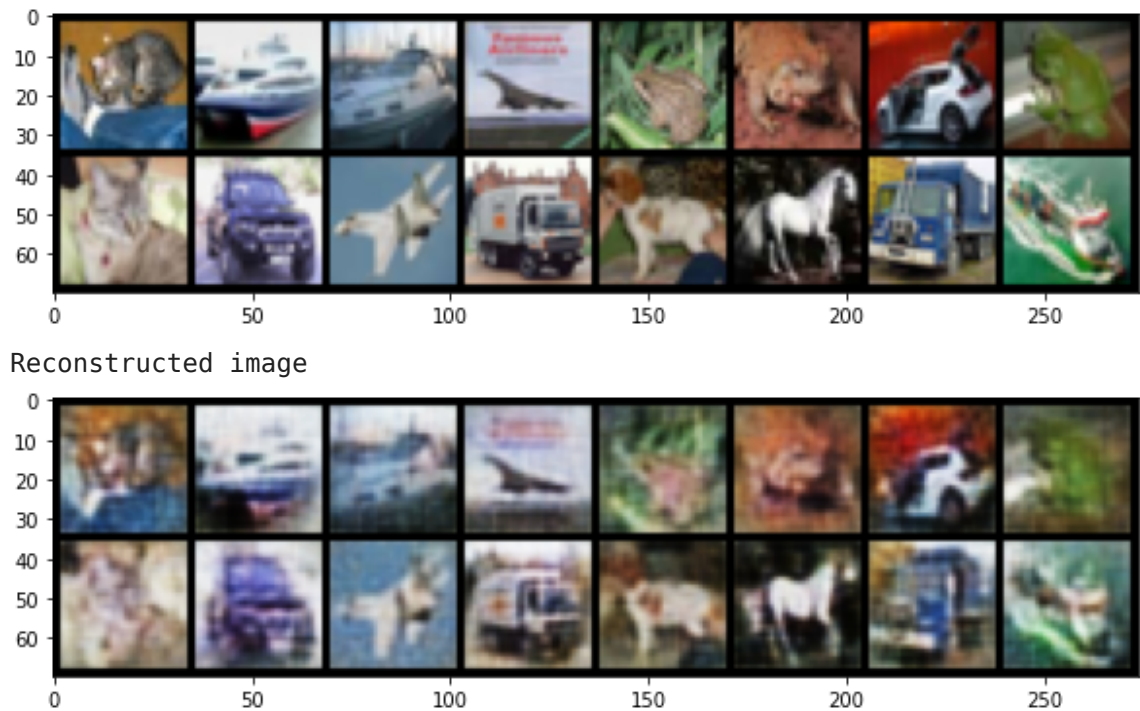
GroundTruth: cat ship ship plane frog



Reconstruct the image for Autoencoder3

```
In [15]: autoencoder3 = load_model(3,autoencoder3)
         test_model(autoencoder3)
```

GroundTruth: cat ship ship plane frog



## 2.1 What are the Applications of Autoencoders and different types of Autoencoders

### Different Applications of Autoencoders

- Data Compression
- Image Denoising
- Dimensionality Reduction
- Feature Extraction
- Image Generation
- Image colourisation

### Different Types of Autoencoders

1. Denoising autoencoder - Denoising autoencoders add some noise to the input image and learn to remove it
2. Sparse Autoencoder - Sparse autoencoders have hidden nodes greater than input nodes.
3. Deep Autoencoder - A deep autoencoder is composed of two symmetrical deep networks having four to five shallow layers
4. Convolutional Autoencoder - Convolutional Autoencoders learn to encode the input in a set of simple signals and then reconstruct the input from them
5. Variational Autoencoder - This type of autoencoder can generate new images just like GANs.

## 2.2 PCA versus Autoencoders. Give detailed differences between them. (can use equations in latex and figures for the justification).

**PCA** PCA essentially learns a linear transformation that projects the data into another space, where vectors of projections are defined by variance of the data.

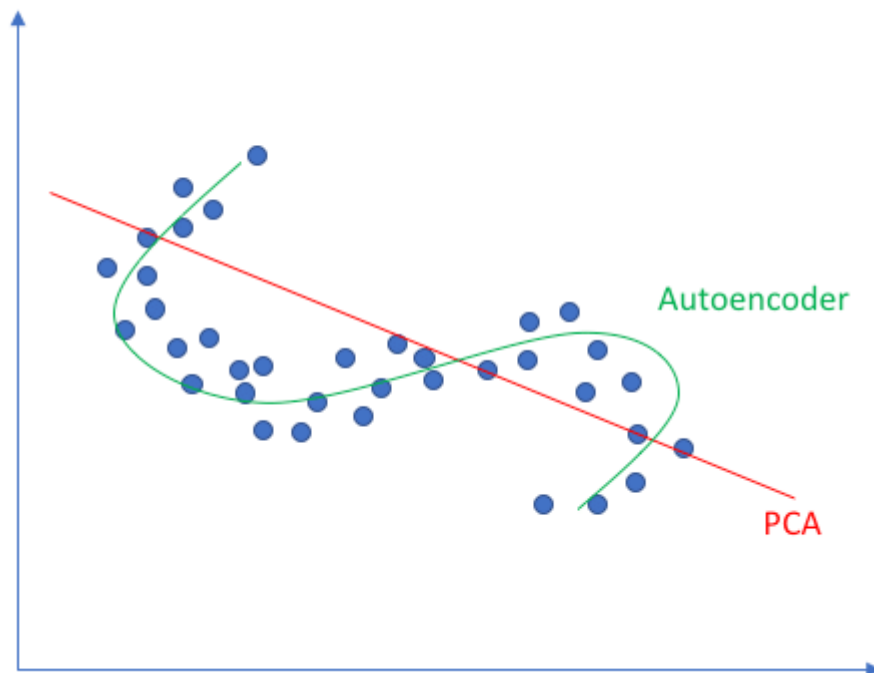
**Autoencoders** Autoencoder is dimensionality reduction technique. Autoencoder is fully capable of not only handling the linear transformation but also the non-linear transformation.

### Differences between PCA and Autoencoders

- PCA is essentially a linear transformation but Auto-encoders are capable of modelling complex non linear functions. Since Autoencoders use non linear activation functions, they can learn non-linear transformations. If the linear activation with a single deep layer is used in Autoencoder then it acts similar to PCA
- Autoencoders are more prone to overfitting due to large number of parameters which may be avoided using regularization and proper design. On other hand PCA is faster and computationally cheaper than autoencoders due to the fact that it finds linear transformations which can be done in linear time.
- While role of PCA is only restricted to dimensionality reduction, Autoencoders have a wide variety of applications like Image reconstruction, Image denoising, compression of data, etc. Autoencoder architecture can be modified to tailor suit according to the specific requirements.

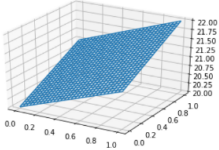
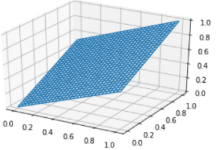
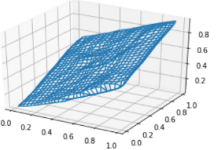
The below illustration shows that Autoencoders can identify Non-Linear Transformations while PCA can only identify Linear Transformations

### Linear vs nonlinear dimensionality reduction



Here in the below image we can see that both Autoencoders and PCA perform almost same on linear surfaces but while in Non-Linear surfaces, PCA does not perform well

due to the fact that it can learn only linear decision boundaries (attributes which are co-linear in nature) while Autoencoders with the help of non-linear activation functions can easily reconstruct non-linear surfaces.

Function	Feature Space	PCA Reconstruction	Auto Encoder Reconstruction
Plane			
Curved Surface	