



HubbardTweezer Documentation

This is an introductory manual for the code on [github](#) and used on the calculations in the [paper](#). For scientific principles, please refer to the paper main text.

Dependencies

In order to run the code, you need to install the following packages:

- `scipy` along with `numpy`
- `pymanopt` which depends on `torch`
- `opt_einsum`
- `nlopt`
- `ortools`
- `configobj`

Get started on HubbardTweezer

In general, the code is run by feeding an `ini` file in which required parameters are set.

```
python Hubbard_exe.py parameters.ini
```

The code calculates, and generates results in the same `ini` file.

`ini` file structure

`ini` is a text file format. It has a section-property structure. In one file it has one or more sections. In every section, key-value pairs provide properties:

```
[Section A]
key1 = value1
key2 = value2
...
[section B]
...
```

What the program does is to read parameters set in `[Parameters]` section and write calculation results to the other sections, such as `[Singleband_Parameters]` for single-band Hubbard parameters, `[Equalization_Result]` for equalization solutions and `[Trap_Adjustments]` for how the traps need to be adjusted in experiment to realize the desired Hubbard parameters.

Data type: number vs array

Specifically for the program to read a length=1 array, what needs to do is to add a comma after the number:

```
number = 2 # 2 read as number
tuple = 2, # (2,) read as a tuple
```

Example

Calculate single-band Hubbard parameters for a 2x2 square lattice

We write the input `2x2.ini` file as below:

```
[DVR_Parameters]
N = 20
L0 = 3, 3, 7.2
DVR_dimension = 3
[Trap_Parameters]
V0 = 52.26
waist = 1000,
scattering_length = 1770
laser_wavelength = 780
[Lattice_Parameters]
shape = square
lattice_size = 2, 2
lattice_const = 1550, 1600
lattice_symmetry = True
[Equalization_Parameters]
equalize = False
[Verbosity]
write_log = False
verbosity = 3
```

Then we run the command (make sure give the program correct paths):

```
python Hubbard_exe.py 2x2.ini
```

After calculation result is given by appending contents to the end of `2x2.ini` file:

```

[Singleband_Parameters]
t_ij = "[[0.0, 0.1864950982522663, 0.26852018226162067, 0.003420732961071802], [0.1864950982522671,
V_i = "[-9.315215265814913e-12, 6.394884621840902e-14, 9.301004411099711e-12, -5.684341886080802e-
U_i = "[1.2134538518651798, 1.2134538518666564, 1.2134538518681128, 1.2134538518666398]"
wf_centers = "[[-0.725424845124012, -0.7620829309237793], [-0.7254248451240122, 0.7620829309237923]
[Trap_Adjustments]
V_offset = "[1.0, 1.0, 1.0, 1.0]"
trap_centers = "[[-0.775, -0.7999999999999999], [-0.775, 0.7999999999999999], [0.775, -0.799999999
waist_factors = "[[1.0, 1.0], [1.0, 1.0], [1.0, 1.0], [1.0, 1.0]]"
[Equalization_Result]
x = "[1.0, -0.775, -0.7999999999999999]"
cost_func_by_terms = "[5.560385638393359e-12, 1.9205510638480715e-12, 3.52929827971391e-11]"
cost_func_value = 3.534519983120769e-11
total_cost_func = 3.5779897142888145e-11
func_eval_number = 0
U_target = 1.2134538518666473
t_target = 0.18649509825197869, 0.26852018226140717
V_target = -1.7763568394002505e-15
scale_factor = 0.1864950982516911
success = False
equalize_status = -1
termination_reason = Not equalized
U_over_t = 6.506625982346819

```

The other sections in the file are not what we are interested in.

Example 2: Equalize Hubbard parameters for a 4-site chain

Here we want to equalize Hubbard parameters for a 4-site chain by `trf` optimization algorithm in `scipy`, without using ghost trap or waist tuning. The input file `4x1_eq.ini` is as below:

```
[DVR_Parameters]
N = 20
L0 = 3, 3, 7.2
DVR_dimension = 3
[Trap_Parameters]
V0 = 52.26
waist = 1000,
scattering_length = 1770
laser_wavelength = 780
[Lattice_Parameters]
shape = square
lattice_size = 4,
lattice_const = 1550,
lattice_symmetry = True
[Equalization_Parameters]
equalize = True
equalize_target = UvT
waist_direction = None
U_over_t = None
method = trf
no_bounds = False
[Verbosity]
write_log = False
verbosity = 3
```

The main difference is in `[Equalization_Parameters]` section. By running the same command as above

```
python Hubbard_exe.py 4x1_eq.ini
```

we get the result:

```

[Equalization_Result]
x = "[1.027480937300892, 1.0083650796908388, -2.354612841530081, -0.7875721977039343]"
cost_func_by_terms = "[0.25916330530145887, 0.07763234841109246, 0.010500917429445717]"
cost_func_value = 0.27074465756771354
total_cost_func = 0.27074465756771354
func_eval_number = 40
U_target = 1.3045697992761218
t_target = 0.20750237974990482, None
V_target = 0
scale_factor = 0.20750237974990482
success = True
equalize_status = 2
termination_reason = `ftol` termination condition is satisfied.
U_over_t = 6.653609207877738
[Trap_Adjustments]
V_offset = "[1.027480937300892, 1.0083650796908388, 1.0083650796908388, 1.027480937300892]"
trap_centers = "[[-2.354612841530081, -0.0], [-0.7875721828027731, -0.0], [0.7875721828027731, -0.0], [0.0, 0.7875721828027731]]"
waist_factors = "[[1.0, 1.0], [1.0, 1.0], [1.0, 1.0], [1.0, 1.0]]"
[Singleband_Parameters]
t_ij = "[[0.0, 0.2069326134671067, 0.007771384980274503, 0.0005508604690104194], [0.2069326134671067, 0.0, 0.002178965356165463, -0.0021789653561725686], [0.002178965356165463, -0.0021789653561725686, 0.0, 0.002178965356165463], [0.002178965356165463, 0.0021789653561725686, 0.002178965356165463, 0.0]]"
V_i = "[0.002178965356165463, -0.002178965356165463, -0.0021789653561725686, 0.002178965356165463]"
U_i = "[1.3548364097993686, 1.2472824046115605, 1.2472824046115616, 1.3548364097993688]"
wf_centers = "[[-2.3110489105373313, 0.0], [-0.7903690147813551, 0.0], [0.7903690147813551, 0.0], [2.3110489105373313, 0.0]]"

```

Parameter definitions

Items to input the file

In this section, `Nsite` is the number of trap sites.

[DVR_Parameters]

- `N` : (integer) number of DVR grid points from the outermost trap center to the box edges (default: `20`)
- `L0` : (3-entry array) x , y and z direction distances from the outermost trap center to the box edges in unit of x direction waist w_x (default: `3, 3, 7.2`)
- `DVR_dimension` : (integer) DVR grid spatial dimension (default: `1`)

[Lattice_Parameters]

- `shape` : (string) lattice shape.

Supported strings: `square` , `Lieb` , `triangular` , `honeycomb` ,
`defecthoneycomb` , `kagome` and `custom` (default: `square`)

- `lattice_constant` : (tuple or float) the x and y directions lattice spatial scaling, in unit of nm
if `shape` is `custom` , it is the unit for `site_locations`
if `shape` is not `custom` , it is lattice spacing
if only one number is given e.g. `1500` , this means $a_x = a_y$ (default: `1520, 1690`)

If `shape` is not `custom` , the following parameter is read:

- `lattice_size` : (tuple or integer) the number of traps in each lattice dimension
if only one number is given, this means the lattice is a 1D chain (default: `4,`)

If `shape` is `custom` , the following two parameters are read:

- `site_locations` : (`Nsite` x 2 array) trap centers in unit of `lattice_constant` (default: `None`)
the i -th row is the (x,y) coordinate for the i -th trap site
($i=0,1,\dots, Nsite - 1$)
- `bond_links` : (number of bonds x 2 array) used in Hubbard parameter equalization to decide which pairs of sites' tunneling will be equalized (default: `None`)
each row is a bond, i.e. link between a pair of sites (i,j) , with integers i and j trap site indices

The next parameter specifies whether to use lattice reflection symmetries in the DVR calculation. If this is enabled, only the $(x \leq 0, y \leq 0)$ quadrant tweezer array parameters, including the trap center locations and the trap depths are used in the calculation. The other quadrants are overwritten by the copy of the $(x \leq 0, y \leq 0)$ quadrant. Therefore, if the system is not reflection symmetric, please don't set to `True` .

- `lattice_symmetry` : (bool) use lattice x - and y -reflection symmetry (default: `True`)

[Trap_Parameters]

- `scattering_length` : (float) scattering length in unit of Bohr radius a_0 (default:

1770)

- **waist** : (tuple or float) x and y direction waist (w_x, w_y) in unit of nm (default: 1000, 1000)
if only one is set it means $w_x = w_y$
- **atom_mass** : (float) atom mass in unit of amu (default: 6.015122)
- **laser_wavelength** : (float) laser wavelength in unit of nm (default: 780)
- **zR** : (tuple or float, optional) x and y direction Rayleigh range ($z_{R,x}, z_{R,y}$) in unit of nm (default: None)
None means calculated from **waist** and **laser_wavelength**

Set trap depths for each trap

The trap depths of each trap is $\text{trap depth} = V_{\text{offset}} \times V_0$
where V_0 is a number specifying the frequency scale and V_{offset} is an array of scale factors of each trap. They are the two next parameters listed.

- **V0** : (float) trap depth frequency scale in unit of kHz (default: 104.52)

input in [Trap_Adjustment]

- **V_offset** : (Nsite -entry array) trap depth factors for each trap (default: None)
if **lattice_symmetry** is **True** , only the ($x \leq 0, y \leq 0$) quadrant of the lattice will be used, and the rest of the trap depths input will be overwritten
if **equalize** is **True** , **V_offset** information is overridden by **x** , see details in "input in [Equalization_Result] " section
None means $V_{\text{offset}} = 1$ over the entire lattice

[Hubbard_Settings]

- **Nintgrl_grid** : (integer) number of grid points in trapezoidal numerical integration of U (default: 200)
- **band** : (integer) number of bands to be calculated in Hubbard model (default: 1)
- **offdiagonal_U** : (bool) calculate multi-site interaction U_{ijkl} (default: False)
if it is **True** , it calculates and stores a tensor of N_{site}^4 elements
only **band=1** is supported

[Equalization_Parameters]

- `equalize` : (bool) whether equalize Hubbard parameters or not (default: `False`)
- `equalize_target` : (string) target Hubbard parameters to be equalized (default: `vT`)

Explain equalization target

1. lowercase `u` , `v` , `t` : to equalize Hubbard parameters without target values, meaning the program minimizes the variance of the Hubbard parameter
2. uppercase `U` , `V` , `T` : to equalize Hubbard parameters to target values, meaning the program minimizes the difference between Hubbard parameters and target values
3. Multiple letters can be used together, e.g. `uT` means to equalize `u` to a uniform but not specific target value and `T` to target values

- `method` : (string) optimization algorithm to equalize Hubbard parameters (default: `trf`)

available algorithms: `trf` , `Nelder-Mead` , `SLSQP` , `L-BFGS-B` , `cobyla` , `praxis` and `bobyqa`

Equalization proposal: adjust waist

- `waist_direction` : (optional, string) direction of waist adjustment. `x` , `y` , `xy` are supported
`None` means no waist adjustment (default: `None`)

Equalization proposal: ghost trap

`shape=custom` is not supported by ghost trap adjustment.

- `ghost_sites` : (optional, bool) add ghost sites to the lattice (default: `False`)
- `ghost_penalty` : (optional, tuple) 2-entry tuple (factor, threshold) of the ghost penalty added to the cost function (default: `1, 1`)
threshold is in unit of kHz

Explain ghost penalty

`ghost_penalty` determines how the penalty is added to the equalization cost function. The formula is as below:

$$\text{penalty} = \text{factor} \times \exp[-6(q - \text{threshold})]$$

[Verbosity]

- `write_log` : (optional, bool) print parameters of every step to log file (default: `False`)
see `[Equalization_Log]` in output sections
- `verbosity` : (optional, integer `0~3`) levels of how much information printed (default: `0`)

input in `[Equalization_Result]`

- `x` : (optional, 1-D array) initial trap parameters for equalization as a 1-D array used as initial guess for equalization
- `U_over_t` : (float) Hubbard U/t ratio (default: `None`)
`None` means this value is calculated by the ratio of $\text{avg}U/\text{avg}t_x$ in initial guess

Items output by the program

Here the integers `N` is the number of sites, and `k` is the number of bands.

[Singleband_Parameters]

The Hubbard parameters for the single-band Hubbard model, unit kHz.

- `t_ij` : (`N` x `N` array) tunneling matrix between sites `i` and `j`
- `V_i` : (`N` x 1 array) on-site potential at site `i`
- `U_i` : (`N` x 1 array) on-site Hubbard interaction at site `i`
- `wf_centers` : (`N` x 2 array) calculated Wannier orbital center positions

output in `[Trap_Adjustment]`

The factors to adjust traps to equalize Hubbard parameters.

- `V_offset` : (`N` x 1 array) factor to scale individual trap depth, the same item as in the input section
resulting trap depth $V_{\text{trap}} = V_{\text{offset}} \times V_0$
- `trap_centers` : (`N` x 2 array) trap center position in unit of `waist_x` and `waist_y`

- `waist_factors` : ($N \times 2$ array) factor to scale trap waist, resulting x and y waist
 $w_{x,y} = \text{waist_factors}_{x,y} \times w_{x,y}$

output in `[Equalization_Result]`

This section lists the equalization status and result.

- `x` : (1-D array) the optimal trap parameters to equalize Hubbard parameters, the same item as in the input part
- `cost_func_by_terms` : (3-entry array) cost function values C_U, C_t, C_V by terms of U, t , and V
- `cost_func_value` : (float) weighted cost function value `feval` to be minimized
 $\text{feval} = w_1 \times C_U + w_2 \times C_t + w_3 \times C_V$
- `total_cost_func` : (float) equal-weighted total cost function value $C = C_U + C_t + C_V$
- `func_eval_number` : (integer) number of cost function evaluations
- `success` : (bool) minimization success
- `equalize_status` : (integer) termination status of the optimization algorithm
- `termination_reason` : (string) termination message given by the optimization algorithm
- `U_over_t` : (float) U/t ratio, the same item as in the input section

`[Equalization_Log]` (optional)

Log of equalization process, turn on/off by `write_log` . Each item is an array of values introduced in `[Equalization_Result]` bearing the same key name, of which each row refers to one iteration step.

`[Multiband_Parameters]` (optional)

Multiband Hubbard parameters in unit of kHz, turn on if `band > 1` . Parameters have the same format as in `[Singleband_Parameters]` , labeled by band index.

For example, `t_1_ij` is the tunneling matrix between sites `i` and `j` for the 1st band, and `U_12_i` is the on-site Hubbard interaction at site `i` between 1st and 2nd bands.

Code structure

The code consists of two modules `DVR` and `Hubbard`. Their main modules are explained below.

1. `DVR` : DVR spectra calculations
 - `DVR.core` : `DVR` base class to calculate DVR spectra
 - `DVR.const` : constants used in DVR calculations
 - `DVR.wavefunc` : wavefunction calculations
2. `Hubbard` : Hubbard parameter calculations
 - `Hubbard.core` : `MLWF` class to construct maximally localized Wannier functions (MLWFs)
 - `Hubbard.equalizer` : `HubbardParamEqualizer` class to equalize Hubbard parameters over all lattice sites
 - `Hubbard.riemann` : functions for Riemannian manifold optimization in constructing MLWFs
 - `Hubbard.eqinit` : functions to initialize trap parameters for equalization
 - `Hubbard.io` : logger and functions to read and write Hubbard parameters in equalization
 - `Hubbard.lattice` : `Lattice` class to define lattice geometry
 - `Hubbard.ghost` : `GhostTrap` class to add ghost traps to the lattice
3. `tools` : tools for data analysis
 - `tools.integrate` : functions to calculate 3D numerical integrals
 - `tools.point_match` : function to match and label MLWFs to the traps
 - `tools.reportIO` : functions to read and write `ini` files
4. `Hubbard_exe.py` : execute script to read inputs and write out Hubbard parameters for given lattice