

# SE274 Data Structure

## Lecture 2: Algorithm Analysis

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# Course Information

- Lectures: Monday 14:30 – 16:00 / Wed 15:00 – 16:30 (1.5h each)
- Office hour: Thursday 13:00 – 14:00 / contact: [sunjun\\_kim@dgist.ac.kr](mailto:sunjun_kim@dgist.ac.kr)
- TA:
  - 장용주 ([dracol@dgist.ac.kr](mailto:dracol@dgist.ac.kr))
  - 배지훈 ([greg1121@dgist.ac.kr](mailto:greg1121@dgist.ac.kr))
- Prerequisite
  - Python (SE213 Programming)
- Textbook
  - **Data Structures and Algorithms in Python**,  
by Michael T. Godrich et al, Wiley (2013)
- Additional Reading
  - Introduction to Algorithms  
Thomas H. Cormen et al., MIT Press

# Course Information (2)

- Grading
  - Attendance: 10%
  - Homework: 30% (4 assignments + homework)
  - Midterm: 30%
  - Final exam: 30%
- Note
  - The programming language used in this course is now Python (previously C++).
  - This course is a prerequisite for SE380 Computer Algorithm

# Course Information (3)

- Lecture + Exercise structure
  - Monday: lecture on a topic.
  - Wednesday: remaining lecture + in-class exercise (feat. Jupyter Notebook)
    - I highly recommend you to bring (or use) your own laptop during the Wed classes.
- Your reactions and feedback are essential!

# Schedule

- Note: the schedules are subject to change at any time

Week 1: Environment Setting

Week 2: Introduction, Primitive types,  
Array, List, Linked List

Week 3: Algorithm Analysis

Week 4: Stack, Queues, Deques (HW 1)

Week 5: Trees

Week 6: Heaps and Priority Queues (HW 2)

Week 7: Hash Tables, Maps, and Skip Lists

Week 8: Midterm exam

Week 9: Search Trees (Binary Search Trees, AVL  
Trees)

Week 10: Search Trees (2,4 Trees, Red-Black Trees)

Week 11: Sorting (HW3)

Week 12: Graph

Week 13: Shortest Paths (HW4)

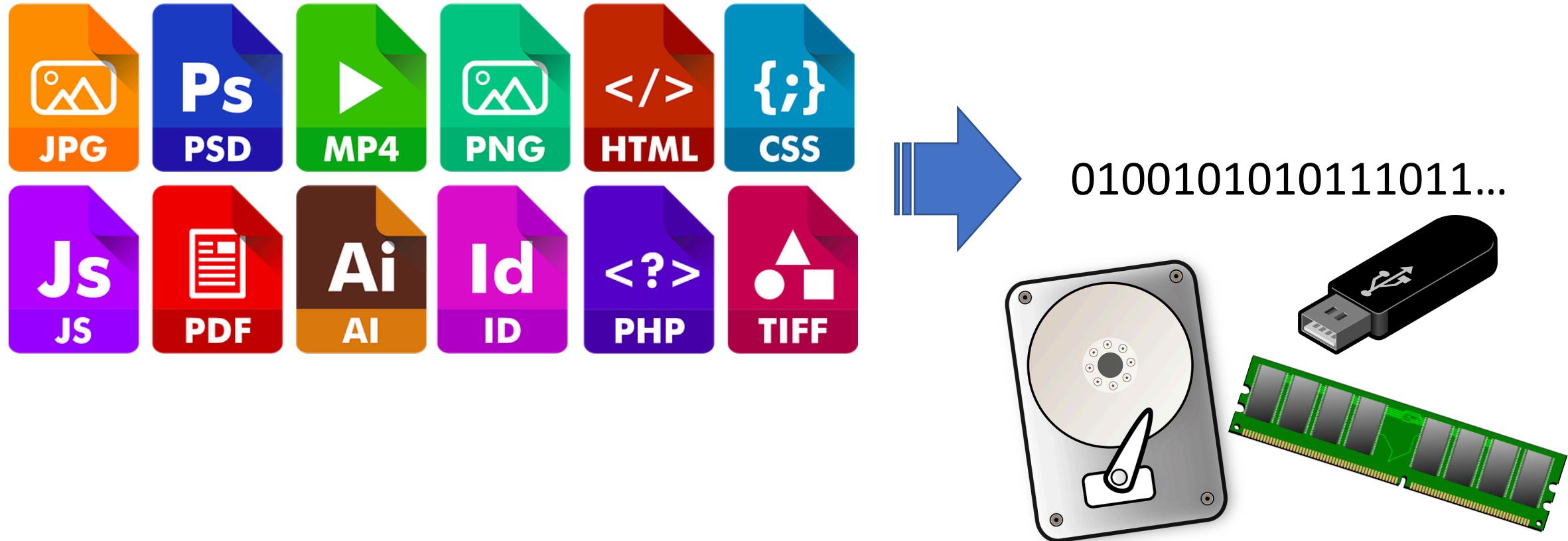
Week 14: Text Processing

Week 15: Memory Management and B-Trees

Week 16: Final Exam

The need for  
Data Structure

# Data, in a structure



# In-class exercise

- Q1) Represent a decimal number **13** in binaries.

# In-class exercise

- Q1) Represent a decimal number **13** in binaries.

- Binary converting

=8	=4	=2	=1	(is equal to)
$2^3$	$2^2$	$2^1$	$2^0$	(order)
<hr/>				
<b>1</b>	<b>1</b>	<b>0</b>	<b>1</b>	<b>(binary = bits)</b>

$$= 8 + 4 + 1 = 13$$

## In-class exercise

- Q2) Represent a decimal number **0.25** in binaries.

# In-class exercise

- Q2) Represent a decimal number **2.25** in binaries.

- Binary converting

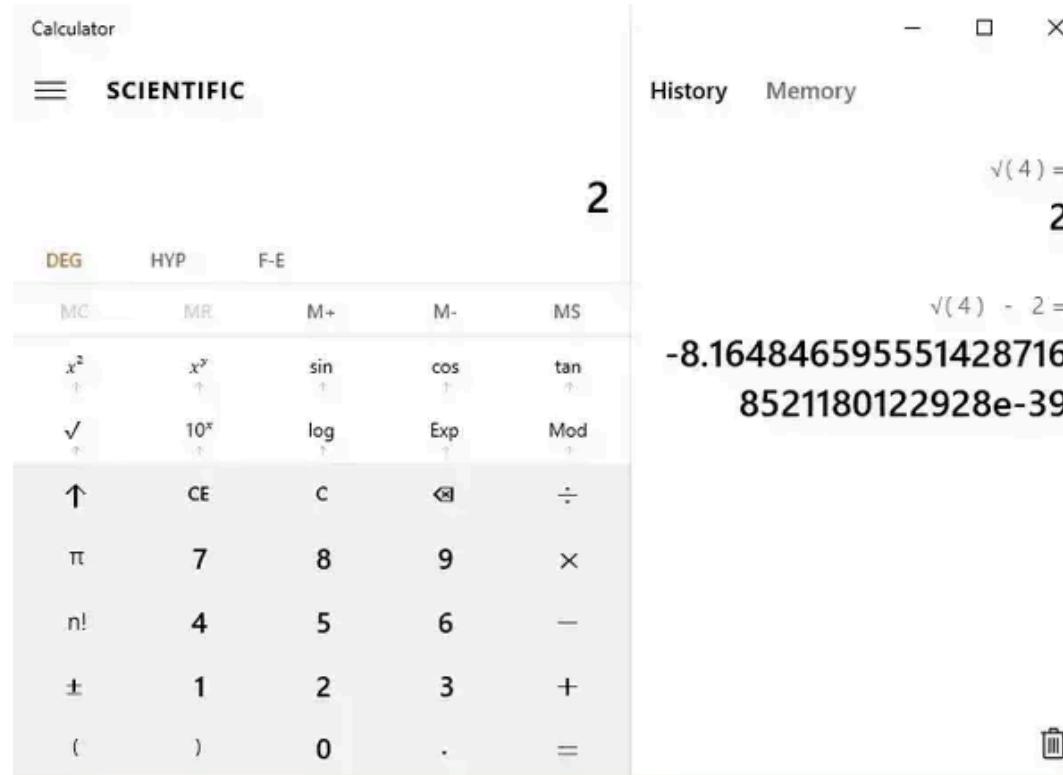
=2      =1      =1/2    =1/4 (is equal to)

$2^1$        $2^0$        $2^{-1}$        $2^{-2}$  (order)

**1      0.      0      1**      (*binary = bits*)

$$= 2 + \frac{1}{4} = 2.25$$

# An error inherent in a data representation



<https://www.quora.com/Why-hasn%20t-Microsoft-ever-fixed-the-square-root-bug-in-Windows-Calculator>

- E.g.,)  $1.0 = 1/2 + 1/4 + 1/8 + 1/16 + 1/32 + \dots = 0.1111111111111111$  (bin)

# In-class exercise

- Q3) Represent [Hi!] in binaries.

# In-class exercise

- Q3) Represent [Hi!] in binaries.

Dec	Hx	Oct	Char	Dec	Hx	Oct	Html	Chr	Dec	Hx	Oct	Html	Chr	Dec	Hx	Oct	Html	Chr
0	0 000	000	<b>NUL</b> (null)	33	21	041	&#33;	!	64	40	100	&#64;	<b>0</b>	96	60	140	&#96;	<b>.</b>
1	1 001	001	<b>SOH</b> (start of heading)	35	23	043	&#35;	#	65	41	101	&#65;	<b>A</b>	97	61	141	&#97;	<b>a</b>
2	2 002	002	<b>STX</b> (start of text)	36	24	044	&#36;	\$	66	42	102	&#66;	<b>B</b>	98	62	142	&#98;	<b>b</b>
3	3 003	003	<b>ETX</b> (end of text)	37	25	045	&#37;	%	67	43	103	&#67;	<b>C</b>	99	63	143	&#99;	<b>c</b>
4	4 004	004	<b>EOT</b> (end of transmission)	38	26	046	&#38;	&	68	44	104	&#68;	<b>D</b>	100	64	144	&#100;	<b>d</b>
5	5 005	005	<b>ENQ</b> (enquiry)	39	27	047	&#39;	'	69	45	105	&#69;	<b>E</b>	101	65	145	&#101;	<b>e</b>
6	6 006	006	<b>ACK</b> (acknowledge)	40	28	050	&#40;	(	70	46	106	&#70;	<b>F</b>	102	66	146	&#102;	<b>f</b>
7	7 007	007	<b>BEL</b> (bell)	41	29	051	&#41;	)	71	47	107	&#71;	<b>G</b>	103	67	147	&#103;	<b>g</b>
8	8 010	010	<b>BS</b> (backspace)	72	48	110	&#72;	<b>H</b>	72	49	111	&#72;	<b>I</b>	104	68	148	&#104;	<b>h</b>
9	9 011	011	<b>TAB</b> (horizontal tab)	42	2A	052	&#42;	*	74	4A	112	&#74;	<b>J</b>	105	69	151	&#105;	<b>i</b>
10	A 012	012	<b>LF</b> (NL line feed, new line)	43	2B	053	&#43;	+	75	4B	113	&#75;	<b>K</b>	107	6B	153	&#107;	<b>k</b>
11	B 013	013	<b>VT</b> (vertical tab)	44	2C	054	&#44;	,	76	4C	114	&#76;	<b>L</b>	108	6C	154	&#108;	<b>l</b>
12	C 014	014	<b>FF</b> (NP form feed, new page)	45	2D	055	&#45;	-	77	4D	115	&#77;	<b>M</b>	109	6D	155	&#109;	<b>m</b>
13	D 015	015	<b>CR</b> (carriage return)	46	2E	056	&#46;	.	78	4E	116	&#78;	<b>N</b>	110	6E	156	&#110;	<b>n</b>
14	E 016	016	<b>SO</b> (shift out)	47	2F	057	&#47;	/	79	4F	117	&#79;	<b>O</b>	111	6F	157	&#111;	<b>o</b>
15	F 017	017	<b>SI</b> (shift in)	48	30	060	&#48;	0	80	50	120	&#80;	<b>P</b>	112	70	160	&#112;	<b>p</b>
16	10 020	020	<b>DLE</b> (data link escape)	49	31	061	&#49;	1	81	51	121	&#81;	<b>Q</b>	113	71	161	&#113;	<b>q</b>
17	11 021	021	<b>DC1</b> (device control 1)	50	32	062	&#50;	2	82	52	122	&#82;	<b>R</b>	114	72	162	&#114;	<b>r</b>
18	12 022	022	<b>DC2</b> (device control 2)	51	33	063	&#51;	3	83	53	123	&#83;	<b>S</b>	115	73	163	&#115;	<b>s</b>
19	13 023	023	<b>DC3</b> (device control 3)	52	34	064	&#52;	4	84	54	124	&#84;	<b>T</b>	116	74	164	&#116;	<b>t</b>
20	14 024	024	<b>DC4</b> (device control 4)	53	35	065	&#53;	5	85	55	125	&#85;	<b>U</b>	117	75	165	&#117;	<b>u</b>
21	15 025	025	<b>NAK</b> (negative acknowledge)	54	36	066	&#54;	6	86	56	126	&#86;	<b>V</b>	118	76	166	&#118;	<b>v</b>
22	16 026	026	<b>SYN</b> (synchronous idle)	55	37	067	&#55;	7	87	57	127	&#87;	<b>W</b>	119	77	167	&#119;	<b>w</b>
23	17 027	027	<b>ETB</b> (end of trans. block)	56	38	070	&#56;	8	88	58	130	&#88;	<b>X</b>	120	78	170	&#120;	<b>x</b>
24	18 030	030	<b>CAN</b> (cancel)	57	39	071	&#57;	9	89	59	131	&#89;	<b>Y</b>	121	79	171	&#121;	<b>y</b>
25	19 031	031	<b>EM</b> (end of medium)	58	3A	072	&#58;	:	90	5A	132	&#90;	<b>Z</b>	122	7A	172	&#122;	<b>z</b>
26	1A 032	032	<b>SUB</b> (substitute)	59	3B	073	&#59;	:	91	5B	133	&#91;	<b>[</b>	123	7B	173	&#123;	<b>{</b>
27	1B 033	033	<b>ESC</b> (escape)	60	3C	074	&#60;	<	92	5C	134	&#92;	<b>\</b>	124	7C	174	&#124;	<b> </b>
28	1C 034	034	<b>FS</b> (file separator)	61	3D	075	&#61;	=	93	5D	135	&#93;	<b>]</b>	125	7D	175	&#125;	<b>}</b>
29	1D 035	035	<b>GS</b> (group separator)	62	3E	076	&#62;	>	94	5E	136	&#94;	<b>^</b>	126	7E	176	&#126;	<b>~</b>
30	1E 036	036	<b>RS</b> (record separator)	63	3F	077	&#63;	?	95	5F	137	&#95;	<b>_</b>	127	7F	177	&#127;	<b>DEL</b>

Source: [www.LookupTables.com](http://www.LookupTables.com)

H i !

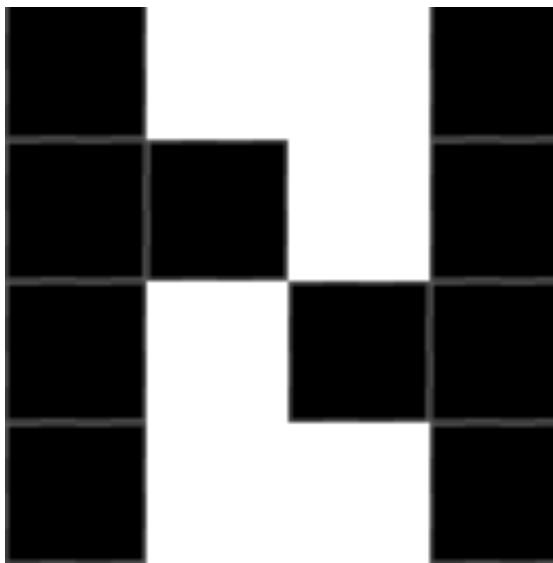
Char	Hex	Bin
H	0x48	0100 1000
i	0x69	0110 1001
!	0x21	0010 0001
(null)	0x00	0000 0000

= 8 bits

= 1 byte

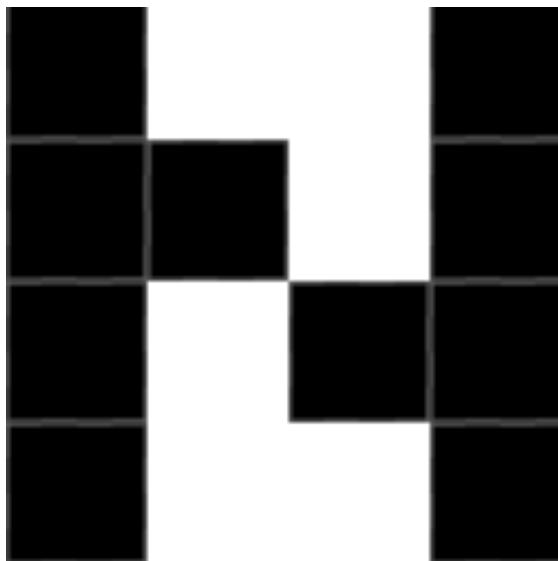
# In-class exercise

- Q4) Represent the following image in binaries.



# In-class exercise

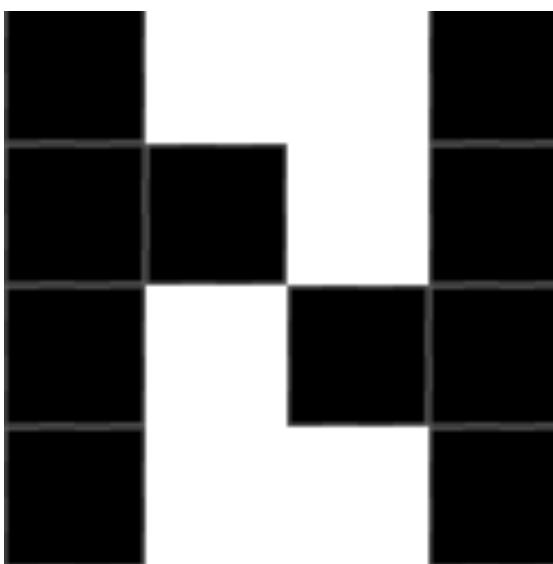
- Q4) Represent the following image in binaries.



1	0	0	1
1	1	0	1
1	0	1	1
1	0	0	1

# In-class exercise

- Q4) Represent the following image in binaries.

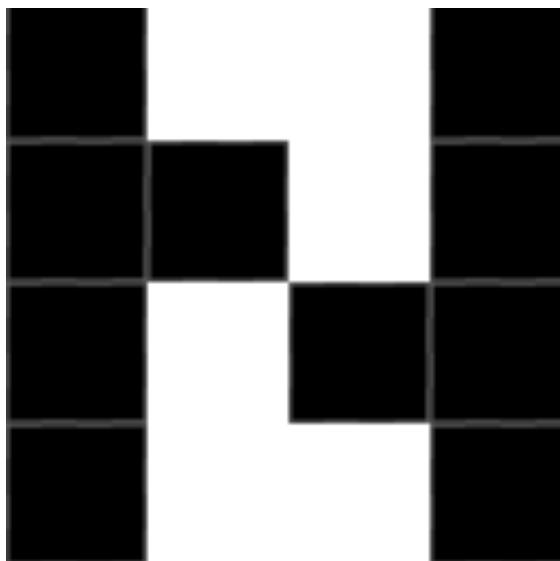


0	1	0	0	= 4 (width)
0	1	0	0	= 4 (height)
1	0	0	1	
1	1	0	1	
1	0	1	1	
1	0	0	1	

= 0100 0100 1001 1101 1011 1001

# In-class exercise

- Q4) Represent the following image in binaries.



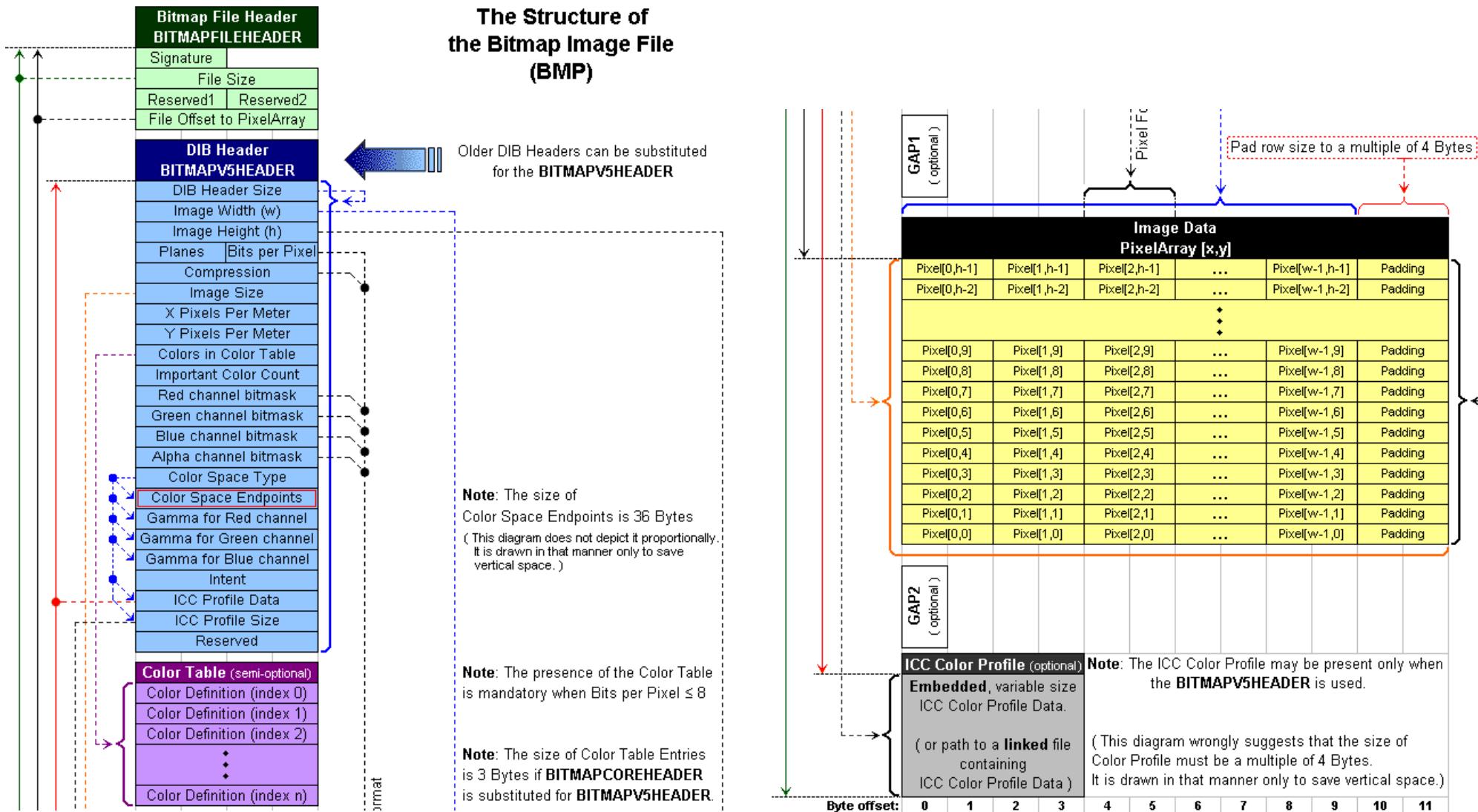
0	1	0	0
0	1	0	0

= 4 (width)

1	0	0	1
1	1	0	1
1	0	1	1
1	0	0	1

= 4 (height)

# File format specification: BMP

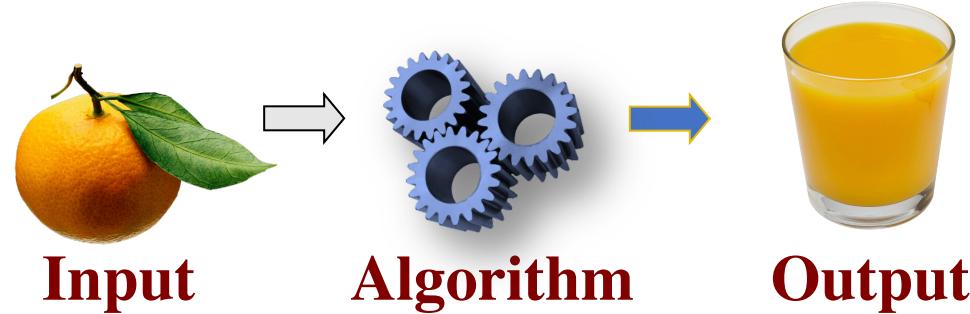


# What is data structure?

- Computer programs: all about data manipulation
- The core of many areas in CS such as web, OS, computer graphics, vision, and AI lies on ***HOW TO REPRESENT AND PROCESS DATA.***
- Therefore, it's essential to organize data for processing a massive amount of data ***efficiently.***
- In this course, we will study some of fundamental data structures such as lists, queues, hashes, tables, trees, graphs, etc.
- We will also study (a bit of) computational algorithms based on such data structures (e.g., search, sorting, etc.) and ***how to analyze their efficiency.***

# What is *GOOD* data structure?

- Data structure: a systematic way of organizing and accessing data.
  - Algorithm: a step-by-step procedure for performing some task (mainly, data manipulation) in a finite amount of time.
  - ***Good data structure*** (and algorithm) is a structure that accelerate the processing time of a given task.
- We need a ***precise*** way to analyze the “***goodness***” of the data structure and algorithm.



# Week 3: Analysis of Algorithms

\* Some materials' copyrights by © 2013 Goodrich, Tamassia, Goldwasser

# In-class exercise

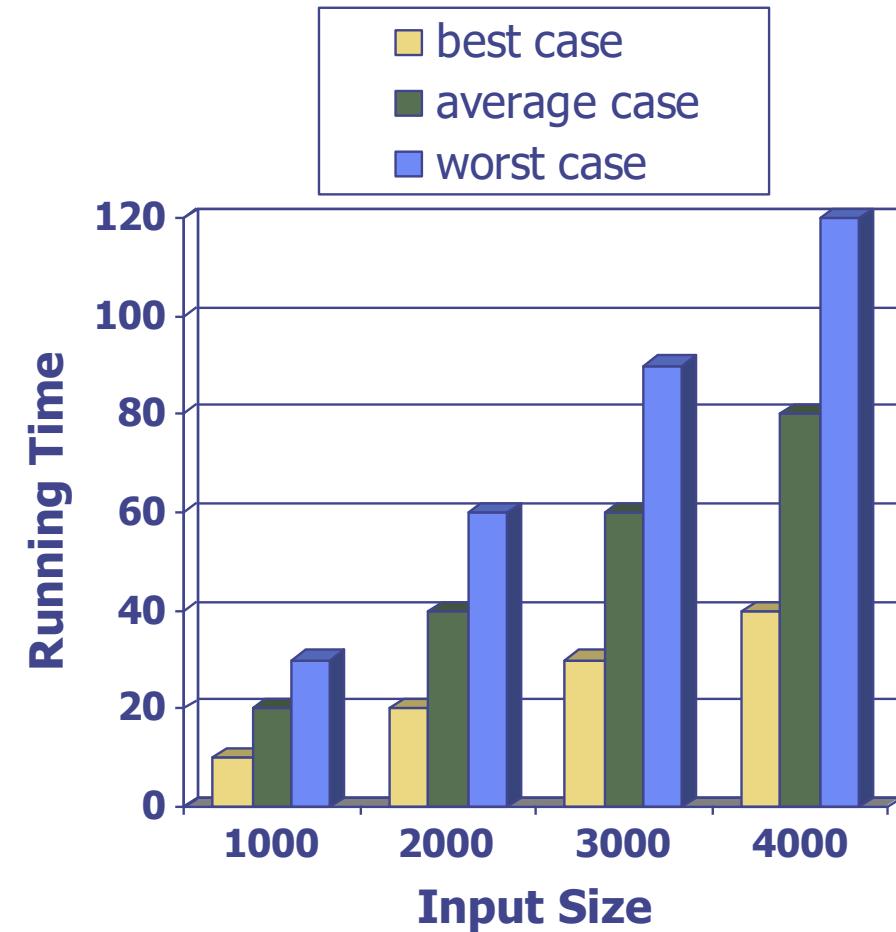
- Q5) Sorting
  - Get a pen and some paper.
  - Write 10 random numbers between 1 – 1000.

# In-class exercise

- Q5) Sorting
  - Get a pen and some paper.
  - Write 10 random numbers between 1 – 1000.
- Now, make your numbers sorted.
  - Explain how you sort those numbers (raise your hand).

# Running Time

- Most algorithms transform input objects into output objects.
- The running time of an algorithm typically grows with the input size.
- Average case time is often difficult to determine.
- We focus on the worst case running time.
  - Easier to analyze
  - Crucial to applications such as games, finance and robotics

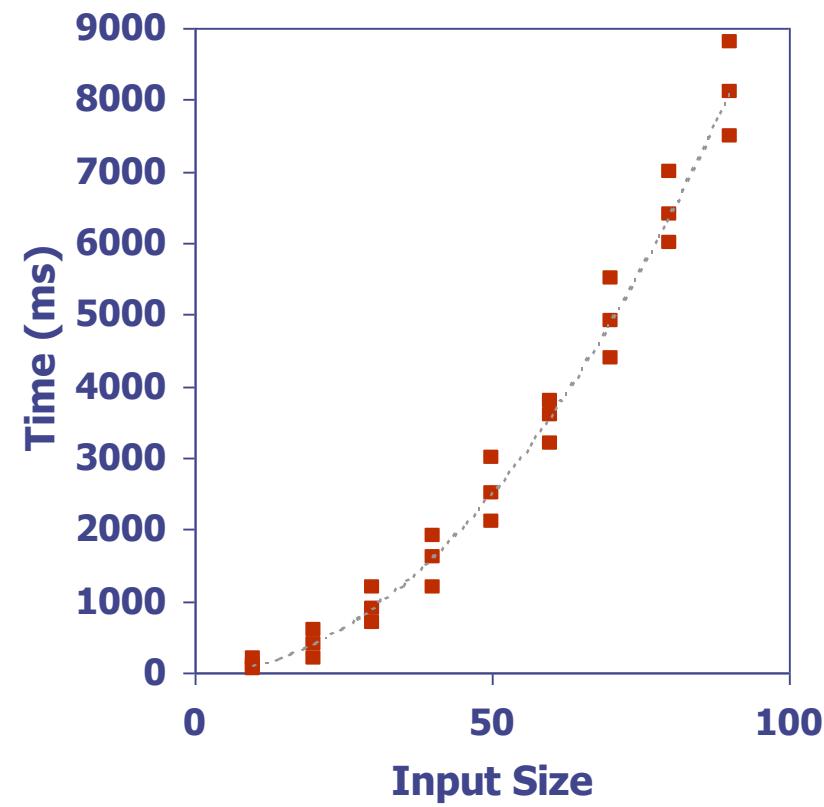


# Experimental Studies

- Write a program implementing the algorithm
- Run the program with inputs of varying size and composition, noting the time needed:

```
from time import time  
start_time = time()  
run algorithm  
end_time = time()  
elapsed = end_time - start_time
```

- Plot the results



# Limitations of Experiments

- It is necessary to implement the algorithm, which may be difficult
- Results may not be indicative of the running time on other inputs not included in the experiment.
- In order to compare two algorithms, the same hardware and software environments must be used



# Theoretical Analysis



- Uses a high-level description of the algorithm instead of an implementation
- Characterizes running time as a function of the input size,  $n$ .
- Takes into account all possible inputs
- Allows us to evaluate the speed of an algorithm independent of the hardware/software environment

# Pseudocode

- High-level description of an algorithm
- More structured than English prose
- Less detailed than a program
- Preferred notation for describing algorithms
- Hides program design issues

# In-class exercise

- Q5) Sorting (cont.)
  - Get a pen and some paper.
  - Write 10 random numbers between 1 – 1000.
  - Now, make your numbers sorted.
    - Explain how you sort those numbers.
  - Try to write a pseudocode for your algorithm.
    - raise your hand when you're done.

# Pseudocode Details

- Control flow
  - **if ... then ... [else ...]**
  - **while ... do ...**
  - **repeat ... until ...**
  - **for ... do ...**
  - Indentation replaces braces
- Method declaration

**Algorithm** *method (arg [, arg...])*

**Input** ...

**Output** ...
- Method call  
*method (arg [, arg...])*
- Return value  
**return** *expression*
- Expressions:
  - ←Assignment
  - = Equality testing
- *n<sup>2</sup>*Superscripts and other mathematical formatting allowed

# In-class exercise

- Q5) Sorting (cont.)

- Get a pen and some paper.
- Write 10 random numbers between 1 – 1000.
- Now, make your numbers sorted.
  - Explain how you sort those numbers.
- Try to write a pseudocode for your algorithm.
  - raise your hand when you're done.

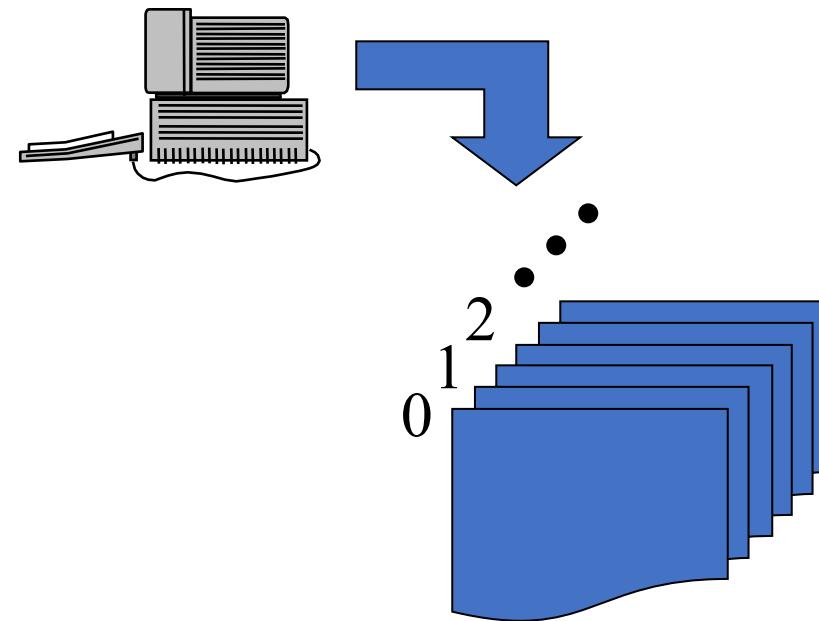
```
1: function SELECTION-SORT(A, n)
2:   for i = 1 to n-1 do
3:     min ← i
4:     for j = i + 1 to n do
5:       if A[j] < A[min] then
6:         min ← j
7:       end if
8:     end for
9:     swap A[i], A[min]
10:   end for
11: end function
```

$A = 30, 412, 21, 404, 42 \quad (n = 5)$

```
1: function SELECTION-SORT(A, n)
2:   for i = 1 to n-1 do
3:     min ← i
4:     for j = i + 1 to n do
5:       if A[j] < A[min] then
6:         min ← j
7:       end if
8:     end for
9:     swap A[i], A[min]
10:   end for
11: end function
```

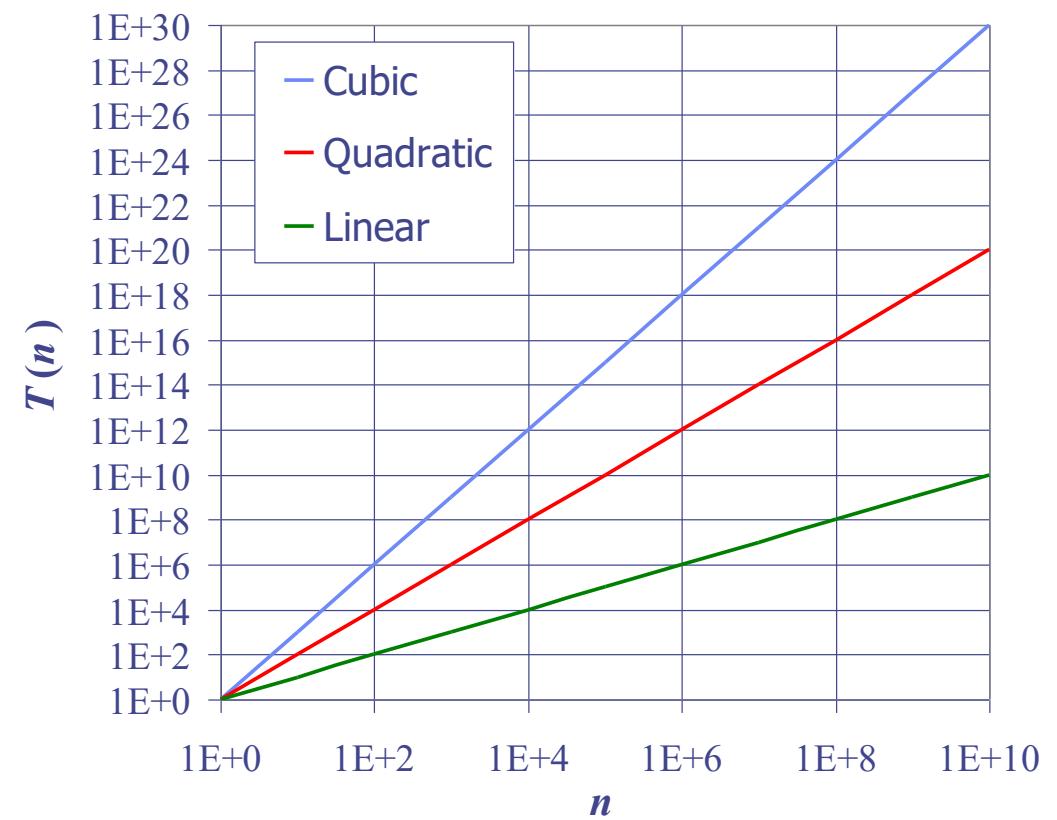
# The Random Access Machine (RAM) Model

- A **CPU**
  - An potentially unbounded bank of **memory** cells, each of which can hold an arbitrary number or character
- ◆ Memory cells are numbered and accessing any cell in memory takes **unit time**.

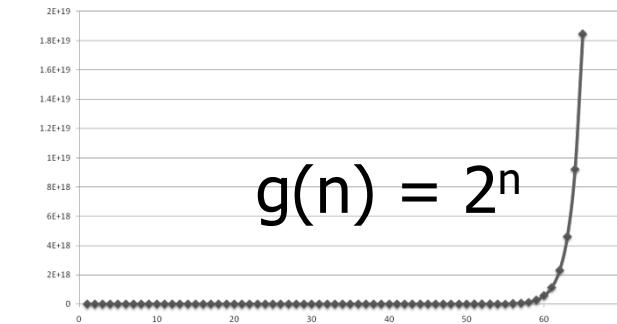
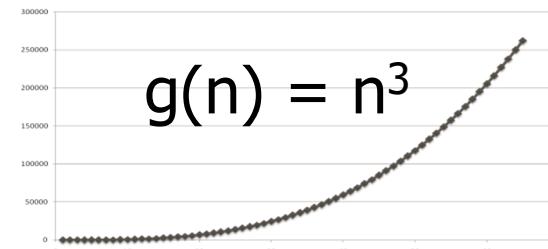
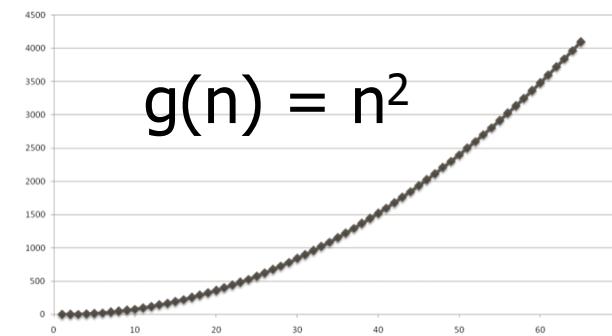
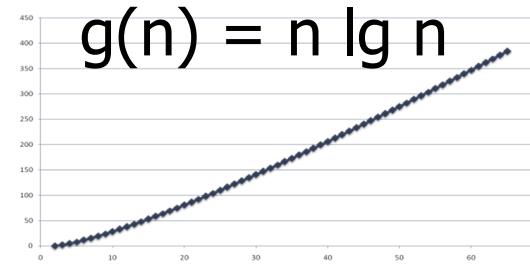
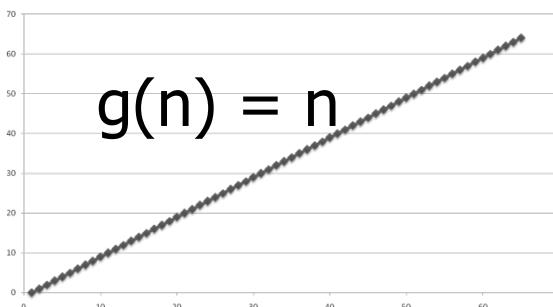
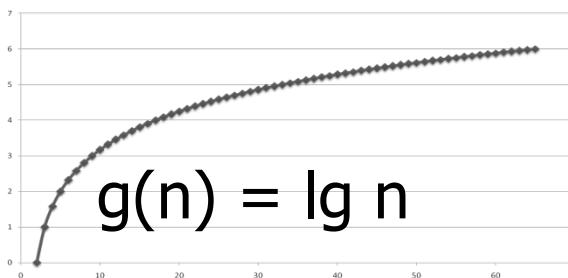
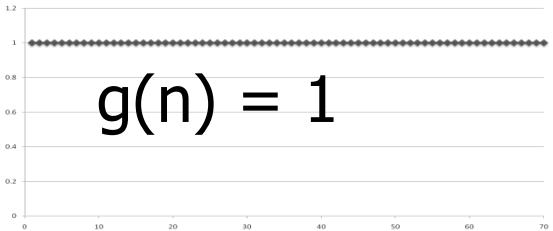


# Seven Important Functions

- Seven functions that often appear in algorithm analysis:
  - Constant  $\approx 1$
  - Logarithmic  $\approx \log n$
  - Linear  $\approx n$
  - N-Log-N  $\approx n \log n$
  - Quadratic  $\approx n^2$
  - Cubic  $\approx n^3$
  - Exponential  $\approx 2^n$
- In a log-log chart, the slope of the line corresponds to the growth rate



# Functions Graphed Using “Normal” Scale



\* Slide by Matt Stallmann included with permission.

# Primitive Operations

- Basic computations performed by an algorithm
  - Identifiable in pseudocode
  - Largely independent from the programming language
  - Exact definition not important (we will see why later)
  - Assumed to take a constant amount of time in the RAM model
- Examples:
    - Evaluating an expression
    - Assigning a value to a variable
    - Indexing into an array
    - Calling a method
    - Returning from a method

# Counting Primitive Operations

- By inspecting the pseudocode, we can determine the maximum number of primitive operations executed by an algorithm, as a function of the input size

# Ops

```
2 1 def find_max(data):
    2     """Return the maximum element from a nonempty Python list."""
    2 3     biggest = data[0]                      # The initial value to beat
    2n 4     for val in data:                   # For each value:
    2n 5         if val > biggest:           # if it is greater than the best so far,
    0 - n 6             biggest = val      # we have found a new best (so far)
    1 7     return biggest                 # When loop ends, biggest is the max
```

\*  $n = \text{len}(\text{data})$

# Estimating Running Time

- Algorithm **find\_max** executes  $5n + 5$  primitive operations in the worst case,  $4n + 5$  in the best case. Define:

$a$  = Time taken by the fastest primitive operation

$b$  = Time taken by the slowest primitive operation

- Let  $T(n)$  be worst-case time of **find\_max**. Then

$$a(4n + 5) \leq T(n) \leq b(5n + 5)$$

- Hence, the running time  $T(n)$  is bounded by two linear functions.

# Ops		
2	1	<code>def find_max(data):</code>
2	2	""" Return the maximum
2n	3	biggest = data[0]
2n	4	for val in data:
0 - n	5	if val > biggest
0 - n	6	biggest = val
+ 1	7	return biggest

$5n + 5$  (when step 6 =  $n$ , worst case)

$4n + 5$  (when step 6 = 0, best case)

# Growth Rate of Running Time

- Changing the hardware/ software environment
  - Affects  $T(n)$  by a constant factor, but
  - Does not alter the growth rate of  $T(n)$
- The linear growth rate of the running time  $T(n)$  is an intrinsic property of algorithm **find\_max**

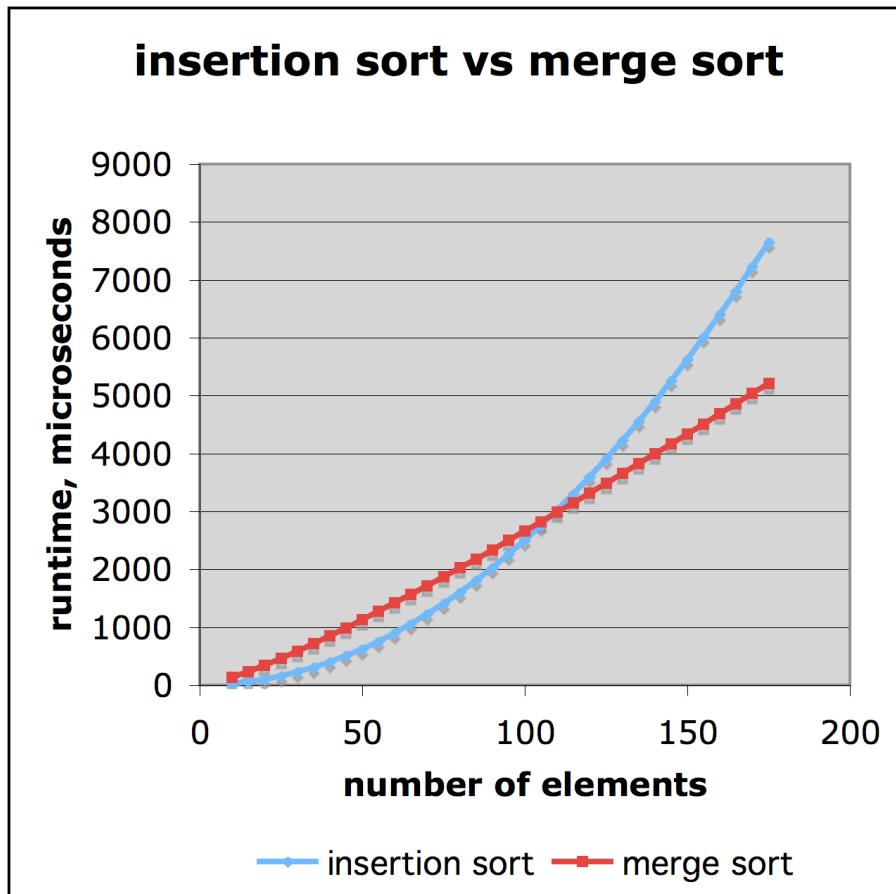
$$a(4n + 5) \leq T(n) \leq b(5n + 5)$$

# Why Growth Rate Matters

if runtime is...	time for $n + 1$	time for $2n$	time for $4n$
$c \lg n$	$c \lg(n + 1)$	$c(\lg n + 1)$	$c(\lg n + 2)$
$c n$	$c(n + 1)$	$2c n$	$4c n$
$c n \lg n$	$\sim c n \lg n + c n$	$2c n \lg n + 2cn$	$4c n \lg n + 4cn$
$c n^2$	$\sim c n^2 + 2c n$	<b><math>4c n^2</math></b>	$16c n^2$
$c n^3$	$\sim c n^3 + 3c n^2$	$8c n^3$	$64c n^3$
$c 2^n$	$c 2^{n+1}$	$c 2^{2n}$	$c 2^{4n}$

runtime  
quadruples  
when  
problem  
size doubles

# Comparison of Two Sorting Algorithms



insertion sort is  
 $n^2 / 4$

merge sort is  
 $2 n \lg n$

sort a million items?

insertion sort takes  
roughly **70 hours**

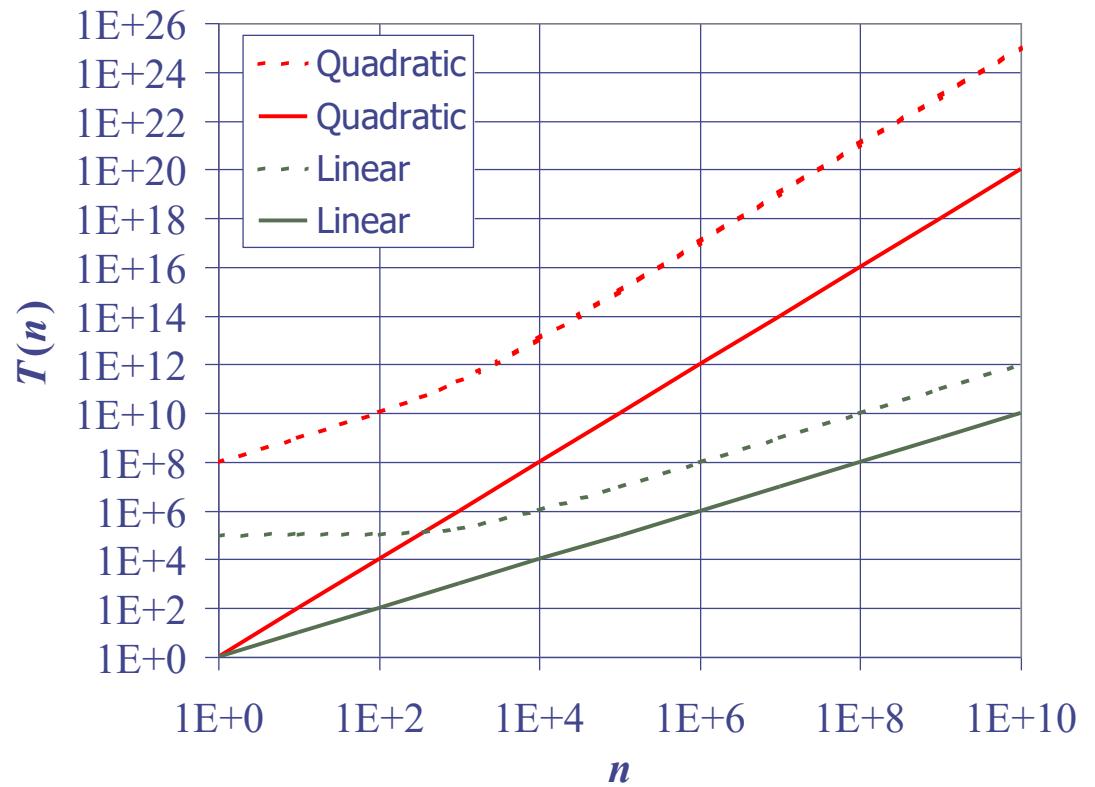
while

merge sort takes  
roughly **40 seconds**

This is a slow machine, but if  
100 x as fast then it's **40 minutes**  
versus less than **0.5 seconds**

# Constant Factors

- The growth rate is not affected by
  - constant factors or
  - lower-order terms
- Examples
  - $10^2n + 10^5$  is a linear function
  - $10^5n^2 + 10^8n$  is a quadratic function

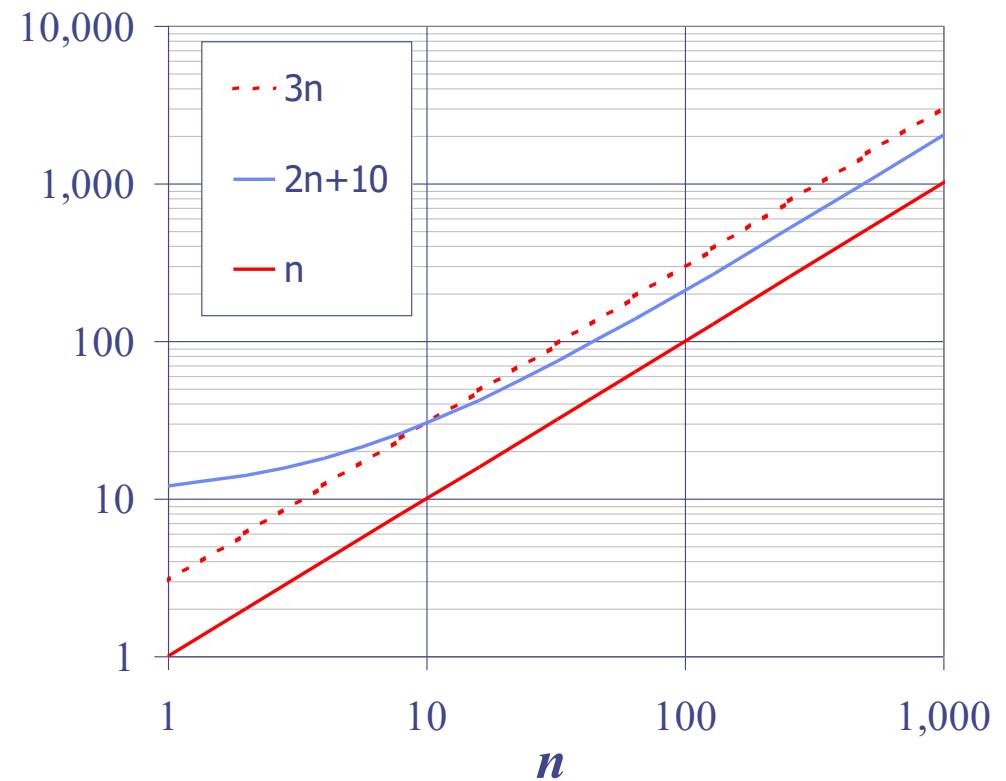


# Big-Oh Notation

- Given functions  $f(n)$  and  $g(n)$ , we say that  $f(n)$  is  $O(g(n))$  if there are positive constants  $c$  and  $n_0$  such that

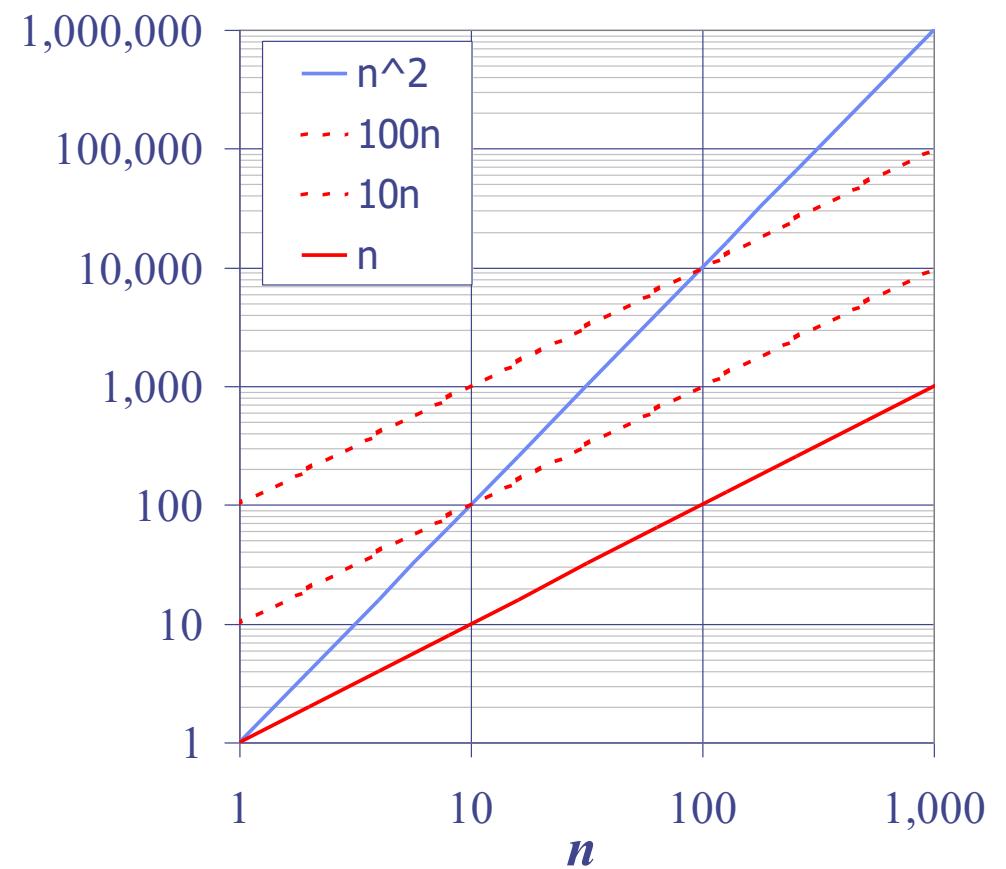
$$f(n) \leq cg(n) \text{ for } n \geq n_0$$

- Example:  $2n + 10$  is  $O(n)$ 
  - $2n + 10 \leq cn$
  - $(c - 2)n \geq 10$
  - $n \geq 10/(c - 2)$
  - Pick  $c = 3$  and  $n_0 = 10$



# Big-Oh Example

- Example: the function  $n^2$  is not  $O(n)$ 
  - $n^2 \leq cn$
  - $n \leq c$
  - The above inequality cannot be satisfied since  $c$  must be a constant



# More Big-Oh Examples

## ◆ $7n^2$

$7n^2$  is  $O(n)$

need  $c > 0$  and  $n_0 \geq 1$  such that  $7n^2 \leq c \cdot n$  for  $n \geq n_0$

this is true for  $c = 7$  and  $n_0 = 1$

## ■ $3n^3 + 20n^2 + 5$

$3n^3 + 20n^2 + 5$  is  $O(n^3)$

need  $c > 0$  and  $n_0 \geq 1$  such that  $3n^3 + 20n^2 + 5 \leq c \cdot n^3$  for  $n \geq n_0$

this is true for  $c = 4$  and  $n_0 = 21$

## ■ $3 \log n + 5$

$3 \log n + 5$  is  $O(\log n)$

need  $c > 0$  and  $n_0 \geq 1$  such that  $3 \log n + 5 \leq c \cdot \log n$  for  $n \geq n_0$

this is true for  $c = 8$  and  $n_0 = 2$

# Big-Oh and Growth Rate

- The big-Oh notation gives an upper bound on the growth rate of a function
- The statement “ $f(n)$  is  $O(g(n))$ ” means that the growth rate of  $f(n)$  is no more than the growth rate of  $g(n)$
- We can use the big-Oh notation to rank functions according to their growth rate

	$f(n)$ is $O(g(n))$	$g(n)$ is $O(f(n))$
$g(n)$ grows more	Yes	No
$f(n)$ grows more	No	Yes
Same growth	Yes	Yes

# Big-Oh Rules

- If  $f(n)$  is a polynomial of degree  $d$ , then  $f(n)$  is  $O(n^d)$ , i.e.,
  1. Drop lower-order terms
  2. Drop constant factors
- Use the smallest possible class of functions
  - Say “ $2n$  is  $O(n)$ ” instead of “ $2n$  is  $O(n^2)$ ”
- Use the simplest expression of the class
  - Say “ $3n + 5$  is  $O(n)$ ” instead of “ $3n + 5$  is  $O(3n)$ ”

# In-class exercise

- Q5) Sorting (cont.)
  - Get a pen and some paper.
  - Write 10 random numbers between 1 – 1000.
  - Now, make your numbers sorted.
    - Explain how you sort those numbers.
  - Try to write a pseudocode for your algorithm.
    - raise your hand when you're done.
  - Represent your algorithm's running time with Big-Oh notation.

```
1: function SELECTION-SORT(A, n)
2:   for i = 1 to n-1 do
3:     min ← i
4:     for j = i + 1 to n do
5:       if A[j] < A[min] then
6:         min ← j
7:       end if
8:     end for
9:     swap A[i], A[min]
10:   end for
11: end function
```

# In-class exercise

- Q5) Sorting (cont.)
  - The selection sort algorithm runs in  $O(n^2)$  time.
  - $f(n) \leq cg(n)$  for  $n \geq n_0$
  - $6n^2 + 3n + 4 \leq 7n^2$  when  $n \geq 5$
- $c=7$ ,  $n_0 = 5$

# Ops	
2	1: <b>function</b> SELECTION-SORT(A, n)
2n	2: <b>for</b> i = 1 to n-1 <b>do</b>
2	3:         min ← i
2n <sup>2</sup>	4: <b>for</b> j = i + 1 to n <b>do</b>
2n <sup>2</sup>	5: <b>if</b> A[j] < A[min] <b>then</b>
0 – 2n <sup>2</sup>	6:                 min ← j
-	7: <b>end if</b>
-	8: <b>end for</b>
n	9:         swap A[i], A[min]
-	10: <b>end for</b>
-	11: <b>end function</b>

---

$6n^2 + 3n + 4$  (when step 6 =  $n^2$ , worst case)

$4n^2 + 3n + 4$  (when step 6 = 0, best case)

# Asymptotic Algorithm Analysis

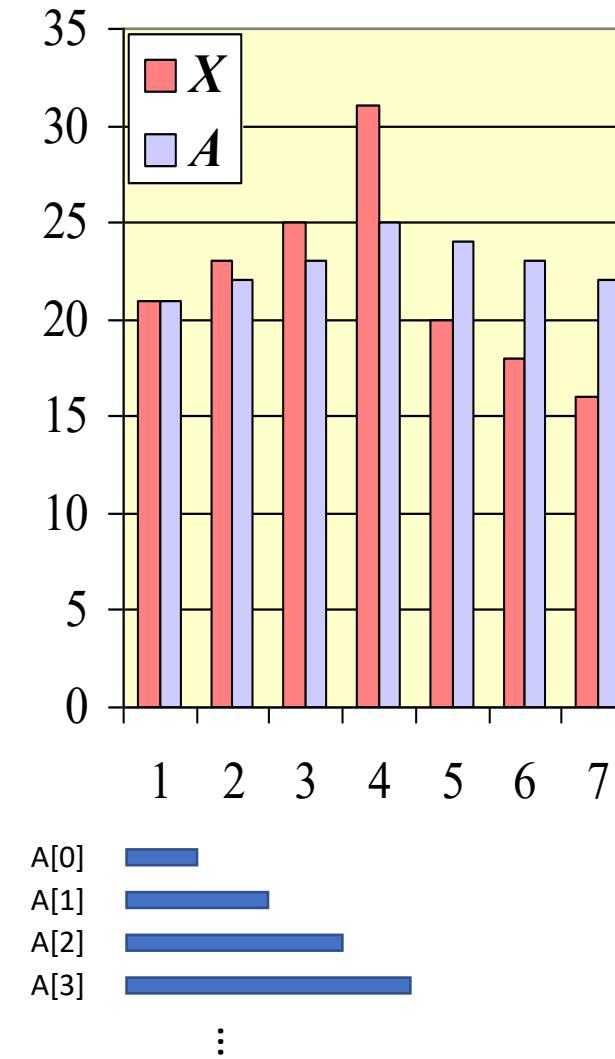
- The asymptotic analysis of an algorithm determines the running time in big-Oh notation
- To perform the asymptotic analysis
  - We find the worst-case number of primitive operations executed as a function of the input size
  - We express this function with big-Oh notation
- Example:
  - We say that algorithm **find\_max** “runs in  $O(n)$  time”
- Since constant factors and lower-order terms are eventually dropped anyhow, we can disregard them when counting primitive operations

# Computing Prefix Averages

- We further illustrate asymptotic analysis with two algorithms for prefix averages
- The  $i$ -th prefix average of an array  $X$  is average of the first  $(i + 1)$  elements of  $X$ :

$$A[i] = (X[0] + X[1] + \dots + X[i])/(i+1)$$

- Computing the array  $A$  of prefix averages of another array  $X$  has applications to financial analysis



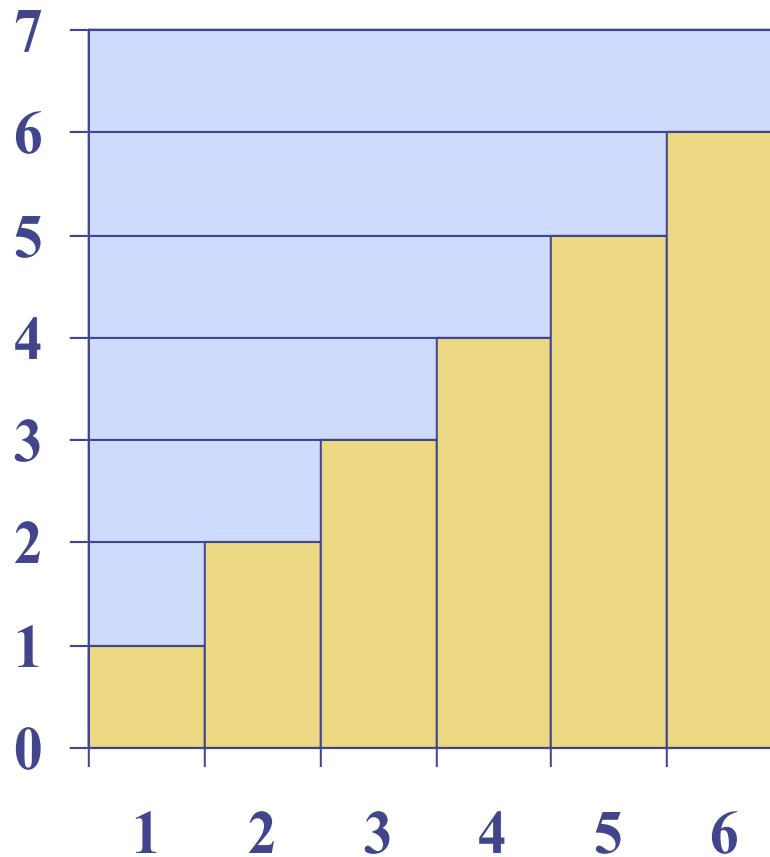
# Prefix Averages (Quadratic)

- ◆ The following algorithm computes prefix averages in quadratic time by applying the definition

```
1 def prefix_average1(S):
2     """Return list such that, for all j, A[j] equals average of S[0], ..., S[j]."""
3     n = len(S)
4     A = [0] * n                      # create new list of n zeros
5     for j in range(n):
6         total = 0                    # begin computing S[0] + ... + S[j]
7         for i in range(j + 1):
8             total += S[i]
9         A[j] = total / (j+1)        # record the average
10    return A
```

# Arithmetic Progression

- The running time of *prefixAverage1* is  $O(1 + 2 + \dots + n)$
- The sum of the first  $n$  integers is  $n(n + 1) / 2$ 
  - There is a simple visual proof of this fact
- Thus, algorithm *prefixAverage1* runs in  $O(n^2)$  time



# Prefix Averages 2 (Looks Better)

- ◆ The following algorithm uses an internal Python function to simplify the code

```
1 def prefix_average2(S):
2     """ Return list such that, for all j, A[j] equals average of S[0], ..., S[j]."""
3     n = len(S)
4     A = [0] * n                      # create new list of n zeros
5     for j in range(n):
6         A[j] = sum(S[0:j+1]) / (j+1)  # record the average
7     return A
```

- ◆ Algorithm *prefixAverage2* still runs in  $O(n^2)$  time!

# Prefix Averages 3 (Linear Time)

- ◆ The following algorithm computes prefix averages in linear time by keeping a running sum

```
1 def prefix_average3(S):
2     """Return list such that, for all j, A[j] equals average of S[0], ..., S[j]."""
3     n = len(S)
4     A = [0] * n                      # create new list of n zeros
5     total = 0                         # compute prefix sum as S[0] + S[1] + ...
6     for j in range(n):
7         total += S[j]                 # update prefix sum to include S[j]
8         A[j] = total / (j+1)          # compute average based on current sum
9     return A
```

- ◆ Algorithm *prefixAverage3* runs in  $O(n)$  time

# Math you need to Review

- ◆ Summations

- ◆ Logarithms and Exponents

- ◆ Proof techniques
- ◆ Basic probability

- **properties of logarithms:**

$$\log_b(xy) = \log_b x + \log_b y$$

$$\log_b(x/y) = \log_b x - \log_b y$$

$$\log_b x^a = a \log_b x$$

$$\log_b a = \log_x a / \log_x b$$

- **properties of exponentials:**

$$a^{(b+c)} = a^b a^c$$

$$a^{bc} = (a^b)^c$$

$$a^b / a^c = a^{(b-c)}$$

$$b = a^{\log_a b}$$

$$b^c = a^{c * \log_a b}$$

# Relatives of Big-Oh

## ◆ **big-Omega**

- $f(n)$  is  $\Omega(g(n))$  if there is a constant  $c > 0$  and an integer constant  $n_0 \geq 1$  such that  $f(n) \geq c \cdot g(n)$  for  $n \geq n_0$

## ◆ **big-Theta**

- $f(n)$  is  $\Theta(g(n))$  if there are constants  $c' > 0$  and  $c'' > 0$  and an integer constant  $n_0 \geq 1$  such that  $c' \cdot g(n) \leq f(n) \leq c'' \cdot g(n)$  for  $n \geq n_0$

# Intuition for Asymptotic Notation

## Big-Oh

- $f(n)$  is  $O(g(n))$  if  $f(n)$  is asymptotically **less than or equal** to  $g(n)$

## big-Omega

- $f(n)$  is  $\Omega(g(n))$  if  $f(n)$  is asymptotically **greater than or equal** to  $g(n)$

## big-Theta

- $f(n)$  is  $\Theta(g(n))$  if  $f(n)$  is asymptotically **equal** to  $g(n)$

# Example Uses of the Relatives of Big-Oh

- **$5n^2$  is  $\Omega(n^2)$**

$f(n)$  is  $\Omega(g(n))$  if there is a constant  $c > 0$  and an integer constant  $n_0 \geq 1$  such that  $f(n) \geq c \cdot g(n)$  for  $n \geq n_0$

let  $c = 5$  and  $n_0 = 1$

- **$5n^2$  is  $\Omega(n)$**

$f(n)$  is  $\Omega(g(n))$  if there is a constant  $c > 0$  and an integer constant  $n_0 \geq 1$  such that  $f(n) \geq c \cdot g(n)$  for  $n \geq n_0$

let  $c = 1$  and  $n_0 = 1$

- **$5n^2$  is  $\Theta(n^2)$**

$f(n)$  is  $\Theta(g(n))$  if it is  $\Omega(n^2)$  and  $O(n^2)$ . We have already seen the former, for the latter recall that  $f(n)$  is  $O(g(n))$  if there is a constant  $c > 0$  and an integer constant  $n_0 \geq 1$  such that  $f(n) \leq c \cdot g(n)$  for  $n \geq n_0$

Let  $c = 5$  and  $n_0 = 1$