

MTA- New York City Transit
Department of Capital Program Management
**STATEMENT OF QUALIFICATION
OF SUBCONTRACTOR**

The statements hereon are confidential and made solely for the information of MTA-New York City Transit in connection with the proposed subcontract with:

MLJTC2

Name of General Contractor

1010 Northern Boulevard, Suite 200, Great Neck, NY 11021

Address of General Contractor

A-37135

under its General Contract No. _____ with MTA-New York City Transit.

Contract is Federally funded?

☒ Yes

☐ No

If yes, attach the below certifications from proposed subcontractors/suppliers involving \$25,000 or more, as required.

Appendix Form

Title

Required

F-1

Certification regarding Debarment,
Suspension, Ineligibility and Voluntary
Exclusion-Lower Tier Covered Transactions

Yes

F-2

Certification Regarding Lobbying

Yes

F-3

Disclosure of Lobbying Activities

If Applicable

1. Proposed SubContractor: **MKJ Communications**

2. Business Address:

850 3rd Avenue, Suite 407

Brooklyn

NY

11232

Street Address (No P.O. Box)

City

State

Zip Code

Telephone number: **212 206 0072**

2a. Local Home Office: (Provide address of office handling administration of the MTA Contract if different from business address)

Same as above

Street Address (No P.O. Box)

City

State

Zip Code

3. If a corporation:

If a co-partnership:

Incorporation Date: **5/17/2006**

Date of Organization: _____

President's Name: **Jennifer Herman**

Enter Names and Addresses of Partners below (use additional sheets if necessary):

Vice President's
Name:

Jennifer Herman

Secretary's Name: **Jennifer Herman**

Papers are filed in
County Clerk's Office

King's County

Treasurer's Name: **Jennifer Herman**

Employer Identification Number (EIN): **20-5160331**

4. Description of work to be performed under proposed subcontract (Indicate whether work involves labor only or labor and material). List, attach page if needed, principal items of materials or equipment, if any, to be furnished and the name and address of each supplier.

Communication network engineering and integration

5. Total amount of proposed subcontract: **\$6,691,157**

6. Is the proposed subcontractor being used to satisfy M/W/DBE requirements of this Contract? ☐ Yes ☐ No

EXPERIENCE

7. How many years experience as a Contractor? _____ As a Subcontractor? 15+

8. Give briefly, previous experience of directing officers including chief engineer and general superintendent on similar work:

Name	Present Position	Magnitude and Type of Work	Years of Construction Experience	What Capacity
Jennifer Herman	President	Business management; executive project oversight	20+	President
John Massaro	Vice President, Engineering & Operations	Operations; project mgmt.	20+	Sr. Project Mgmt.
Lou Ciccone	Vice President, Sales	Sales; estimating	20+	Sales & Estimating

9. List principal contracts completed by present organization.

Location	Contract Price	Class of Work	Name and Address of Awarding Party	Date Completed
A-36622C	5,800,000	Communication Systems	Ecco III Enterprise, 201 Saw Mill Road, Yonkers NY 10701	1/2021
C-26009	6,553,937	Communication Systems	L.K. Comstock/Skanska JV 83 Central Ave., Farmingdale, NY 11735	6/2021
P-36447	1,006,582	Communication Systems	TC Electric, 14-45 117th Street, College Pt., NY 11356	10/2018

10. List contracts, if any, that present organization has on hand.

Location	Contract Price	Class of Work	Name and Address of Awarding Party	Date Completed
C-52143 IESS	5,700,000	Communication Systems	L.K. Comstock, 83 Central Ave., Farmingdale, NY 11735	
A-35302/A-37116	3,799,000	Communication Systems	Five Star Electric, 101-32 101st St., Ozone Park, NY 11416	
E-34028	1,555,000	Communication Systems	Forte Construction, 526 Lincoln Ave., Holbrook, NY 11741	

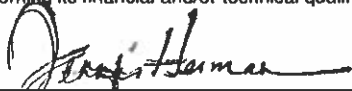
11. Give references of at least two engineers/architects for whom present organization has done similar work

Firm Name	Telephone	Address	Contact Person
TC Electric	516 779 8465	14-45 117th St., College Pt., NY 1356	Brian Games
L.K. Comstock	516 881 6060	83 Central Ave., Farmingdale, NY 11735	John Sommer
E-J Electric	718 786 9400	225 West 35th Street, NY, NY 10001	Jay Lender

12. Labor Employed through: (specify "Non-Union" or Provide Union, Local No., Address & Telephone #):

Local Union #3/IBEW/AFO/CIO H Division

The undersigned agrees to furnish to MTA-New York City Transit additional or supplemental information concerning its financial and/or technical qualifications, when and as required:



Signature of proposed Subcontractor Officer,
Partner or Owner

President

Title

3/17/2022

Date

id/Proposal No.: A37135

Project Description: Design-Build Services for Accessibility Upgrades-Package 2

**CERTIFICATION REGARDING DEBARMENT, SUSPENSION, INELIGIBILITY AND
VOLUNTARY EXCLUSION - LOWER TIER COVERED TRANSACTIONS**

1. The prospective lower tier participant, MKJ Communications, certifies, by submission of this bid or proposal, that neither it nor its principals are presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from participation in this transaction by any Federal department or agency.
2. Where the prospective lower tier participant is unable to certify to any of the statements in this certification, such prospective participant shall attach an explanation to this proposal.
3. The prospective lower tier participant shall provide immediate written notice to the Authority (and the Contractor, if applicable) if at any time the prospective lower tier participant learns that its certification was erroneous when submitted or has become erroneous by reason of changed circumstances.

Executed this 17th day of March, 2022.

By Jennifer Herman Signature of Authorized Official

Jennifer Herman, President Name and Title of Authorized Official

**INSTRUCTIONS FOR COMPLETION OF CERTIFICATION REGARDING DEBARMENT,
SUSPENSION, INELIGIBILITY AND VOLUNTARY EXCLUSION - LOWER TIER
COVERED TRANSACTIONS**

1. By signing and submitting this Proposal, the prospective lower tier participant is providing the signed certification set out on page No.1.
2. This certification is a material representation of fact upon which reliance was placed when this transaction was entered into. If it is later determined that the prospective lower tier participant knowingly rendered an erroneous certification, in addition to other remedies available to the Federal Government, the Authority may pursue available remedies, including suspension and/or debarment.
3. The prospective lower tier participant shall provide immediate written notice to the Authority if at any time the prospective lower tier participant learns that its certification was erroneous when submitted or has become erroneous by reason of changed circumstances.
4. The terms "covered transaction," "debarred," "suspended," "ineligible," "lower tier covered transaction," "participant," "persons," "lower tier covered transaction," "principal," "proposal," and "voluntarily excluded," as used in this clause, have the meanings set out in the Definitions and Coverage sections of rules implementing Executive Order 12549 [49 CFR Part 29]. The Proposer may contact the Procurement Representative for assistance in obtaining a copy of those regulations.
5. The prospective lower tier participant agrees by submitting this proposal that, should the proposed covered transaction be entered into, it shall not knowingly enter into any lower tier covered transaction with a person who is debarred, suspended, declared ineligible, or voluntarily excluded from participation in this covered transaction, unless authorized in writing by the Authority.
6. The prospective lower tier participant further agrees by submitting this proposal that it will include the "Certification Regarding Debarment, Suspension, Ineligibility and Voluntary Exclusion - Lower Tier Covered Transaction," without modification, in all lower tier covered transactions and in all solicitations for lower tier covered transactions.
7. A participant in a covered transaction may rely upon a certification of a prospective participant in a lower tier covered transaction that it is not debarred, suspended, ineligible, or voluntarily excluded from the covered transaction, unless it knows that the certification is erroneous. A participant may decide the method and frequency by which it determines the eligibility of its principals. Each participant may, but is not required to, check the Nonprocurement List issued by U.S. General Service Administration.
8. Nothing contained in the foregoing shall be construed to require establishment of system of records in order to render in good faith the certification required by this clause. The knowledge and information of a participant is not required to exceed that which is normally possessed by a prudent person in the ordinary course of business dealings.
9. Except for transactions authorized under sub-paragraph (d) of these instructions, if a participant in a covered transaction knowingly enters into a lower tier covered transaction with a person who is suspended, debarred, ineligible, or voluntarily excluded from participation in this transaction, in addition to all remedies available to the Federal Government, The Authority may pursue available remedies including suspension and/or debarment.

Bid/Proposal No.: A37135

Project Description: Design-Build Services for Accessibility Upgrades-Package 2

CERTIFICATION REGARDING LOBBYING

The undersigned Jennifer Herman, certifies, to the best
(name of authorized officer)
of my knowledge and belief, that:

1. No Federal appropriated funds have been paid or will be paid, by or on behalf of the undersigned, to any person for influencing or attempting to influence an officer or employee of an agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement.

2. If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, the undersigned shall complete and submit Standard Form LLL, "Disclosure of Lobbying, Activities" in accordance with its instructions.

3. The undersigned shall require that the language of this certification be included in the award documents for all subawards at all tiers (including subcontracts, subgrants, and contracts under grants, loans, and cooperative agreements) and that all subrecipients shall certify and disclose accordingly.

This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by, 31, U.S. C. § 1352 (as amended by the Lobbying Disclosure Act of 1995). Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.

Note: Pursuant to 31 U.S.C § 1352(c)(1)-(2)(A), any person who makes a prohibited expenditure or fails to file or amend a required certification or disclosure form shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such expenditure or failure.

The Contractor, MKJ Communications, certifies or affirms the truthfulness and accuracy of each statement of its certification and disclosure, if any. In addition, the Contractor understands and agrees that the provisions of 31 U.S.C. § 3801, et seq., apply to this certification and disclosure, if any.

Executed this 17 day of March, 2022.

By Jennifer Herman Signature of Authorized Official

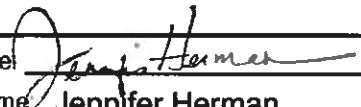
Jennifer Herman, President Name and Title of Authorized Official

DISCLOSURE OF LOBBYING ACTIVITIES

Complete this form to disclose lobbying activities pursuant to 31 U.S.C. 1352

(See reverse for public burden disclosure.)

Approved by OMB
0348-0046

1. Type of Federal Action: <input type="checkbox"/> a. contract <input type="checkbox"/> b. grant <input type="checkbox"/> c. cooperative agreement <input type="checkbox"/> d. loan <input type="checkbox"/> e. loan guarantee <input type="checkbox"/> f. loan insurance	2. Status of Federal Action: <input type="checkbox"/> b. a. bid/offer/application <input type="checkbox"/> b. initial award <input type="checkbox"/> c. post-award	3. Report Type: <input type="checkbox"/> a. initial filing <input type="checkbox"/> b. material change For Material Change Only: year _____ quarter _____ date of last report _____
4. Name and Address of Reporting Entity: <input type="checkbox"/> Prime <input checked="" type="checkbox"/> Subawardee Tier <u>2nd</u> , if known: MKJ Communications 850 Third Ave., Suite 407 Brooklyn, NY 11232 Congressional District, if known:	5. If Reporting Entity in No. 4 is a Subawardee, Enter Name and Address of Prime: MLJTC2 1010 Northern Blvd. Suite 200 Great Neck, NY 11021 Congressional District, if known:	
6. Federal Department/Agency: N/A	7. Federal Program Name/Description: CFDA Number, if applicable: _____	
8. Federal Action Number, if known:	9. Award Amount, if known: \$	
10. a. Name and Address of Lobbying Registrant (if individual, last name, first name, MI) N/A MKJ Communications does not retain lobbyists	b. Individuals Performing Services (including address if different from No. 10a) (last name, first name, MI):	
11. Information requested through this form is authorized by title 31 U.S.C. section 1352. This disclosure of lobbying activities is a material representation of fact upon which reliance was placed by the tier above when this transaction was made or entered into. This disclosure is required pursuant to 31 U.S.C. 1352. This information will be reported to the Congress semi-annually and will be available for public inspection. Any person who fails to file the required disclosure shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.	Signature:  Print Name: <u>Jennifer Herman</u> Title: <u>President</u> Telephone No.: <u>212 206 0072</u> Date: <u>3/17/2022</u>	
Federal Use Only:		Authorized for Local Reproduction Standard Form LLL (Rev. 7-97)

INSTRUCTIONS FOR COMPLETION OF SF-LLL, DISCLOSURE OF LOBBYING ACTIVITIES

This disclosure form shall be completed by the reporting entity, whether subawardee or prime Federal recipient, at the initiation or receipt of a covered Federal action, or a material change to a previous filing, pursuant to title 31 U.S.C. section 1352. The filing of a form is required for each payment or agreement to make payment to any lobbying entity for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with a covered Federal action. Complete all items that apply for both the initial filing and material change report. Refer to the implementing guidance published by the Office of Management and Budget for additional information.

1. Identify the type of covered Federal action for which lobbying activity is and/or has been secured to influence the outcome of a covered Federal action.

2. Identify the status of the covered Federal action.

3. Identify the appropriate classification of this report. If this is a follow-up report caused by a material change to the information previously reported, enter the year and quarter in which the change occurred. Enter the date of the last previously submitted report by this reporting entity for this covered Federal action.

4. Enter the full name, address, city, State and zip code of the reporting entity. Include Congressional District, if known. Check the appropriate classification of the reporting entity that designates if it is, or expects to be, a prime or subaward recipient. Identify the tier of the subawardee, e.g., the first subawardee of the prime is the 1st tier. Subawards include but are not limited to subcontracts, subgrants and contract awards under grants.

5. If the organization filing the report in item 4 checks "Subawardee," then enter the full name, address, city, State and zip code of the prime Federal recipient. Include Congressional District, if known.

6. Enter the name of the Federal agency making the award or loan commitment. Include at least one organizational level below agency name, if known. For example, Department of Transportation, United States Coast Guard.

7. Enter the Federal program name or description for the covered Federal action (item 1). If known, enter the full Catalog of Federal Domestic Assistance (CFDA) number for grants, cooperative agreements, loans, and loan commitments.

8. Enter the most appropriate Federal identifying number available for the Federal action identified in item 1 (e.g., Request for Proposal (RFP) number; Invitation for Bid (IFB) number; grant announcement number; the contract, grant, or loan award number; the application/proposal control number assigned by the Federal agency). Include prefixes, e.g., "RFP-DE-90-001."

9. For a covered Federal action where there has been an award or loan commitment by the Federal agency, enter the Federal amount of the award/loan commitment for the prime entity identified in item 4 or 5.

10. (a) Enter the full name, address, city, State and zip code of the lobbying registrant under the Lobbying Disclosure Act of 1995 engaged by the reporting entity identified in item 4 to influence the covered Federal action.

(b) Enter the full names of the individual(s) performing services, and include full address if different from 10 (a). Enter Last Name, First Name, and Middle Initial (MI).

11. The certifying official shall sign and date the form, print his/her name, title, and telephone number.

According to the Paperwork Reduction Act, as amended no persons are required to respond to a collection of information unless it displays a valid OMB Control Number. The valid OMB control number for this information collection is OMB No. 0348-0046. Public reporting burden for this collection of information is estimated to average 10 minutes per response, including time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Office of Management and Budget, Paperwork Reduction Project (0348-0046), Washington, DC 20503.



Bidders/Offerors Questionnaire

The USDOT DBE Program promulgated at 49 CFR Part 26, requires MTA to develop and maintain a "bidders" list. The bidders list is intended to be a count of all firms that are participating or attempting to participate on USDOT-assisted contracts. The list must include all firms that bid on prime contracts or bid or quote subcontracts on USDOT-assisted projects, including both DBEs and non-DBES. Please complete the information listed below and return with your bid/proposal.

Information on Bidder/Offeror:

DBE ☐ Non-DBE ☐

Name: _____

Phone #: _____

Address: _____

Fax #: _____

E-Mail Address: _____

Gross Receipts:

Fed. Id#: _____

☐ Less than \$100K ☐ \$500K - \$1M ☐ \$5M - 10M

Year Business Started: _____

☐ \$100K - \$500K ☐ \$1M - \$5M ☐ \$10M - Over

SIC Codes

Services Performed

Information on Potential Subcontractors

Please identify potential areas of this project likely to be subcontracted out and to whom. Please identify for each potential subcontractor the name, address DBE/Non-DBE status, gross receipts and year the business started.

Sic Codes-
MKJ DBE
CODES

Potential Subcontractor

DBE ☒ Non-DBE ☐

334210
541614
541618
541512

Name: MKJ Communications, Inc.

Phone #: 212-206-0072

Address: 850 Third Avenue, Suite 407

Fax #: 212-206-8666

Brooklyn, NY 11232

E-Mail Address: Jherman@mkjcomm.com

Fed. Id#: 20-5160331

Gross Receipts:

☐ Less than \$100K ☐ \$500K - \$1M ☐ \$5M - 10M

Year Business Started: 2006

☐ \$100K - \$500K ☐ \$1M - \$5M ☒ \$10M - Over

Attach additional pages, if necessary

IFB/RFP No. _____

for A-37135 Design Build Services for Accessibility Upgrades
Package 2



Metropolitan Transportation Authority

Sic Codes

Potential Subcontractor

DBE ☐Non-DBE ☐

Name: _____

Phone #: _____

Address: _____

Fax #: _____

E-Mail Address: _____

Fed. Id#: _____

Gross Receipts:

☐ Less than \$100K
 ☐ \$500K - \$1M
 ☐ \$5M - 10M
☐ \$100K - \$500K
 ☐ \$1M - \$5M
 ☐ \$10M - Over

Year Business Started: _____

Name: _____

Phone #: _____

Address: _____

Fax #: _____

E-Mail Address: _____

Fed. Id#: _____

Gross Receipts:

☐ Less than \$100K
 ☐ \$500K - \$1M
 ☐ \$5M - 10M
☐ \$100K - \$500K
 ☐ \$1M - \$5M
 ☐ \$10M - Over

Year Business Started: _____

Name: _____

Phone #: _____

Address: _____

Fax #: _____

E-Mail Address: _____

Fed. Id#: _____

Gross Receipts:

☐ Less than \$100K
 ☐ \$500K - \$1M
 ☐ \$5M - 10M
☐ \$100K - \$500K
 ☐ \$1M - \$5M
 ☐ \$10M - Over

Year Business Started: _____

We understand that your submission of the above information does not imply that you have a current contractual relationship with any firm listed herein.

Print Company Name: MKJ Communications, Inc.Print Your Name: Jennifer HermanTitle: President

Signature: _____

Date: _____

IFB/RFP No. _____

for _____

Please List Title of IFB or RFP

SCHEDULE F1

Contract-Specific Subcontractor Questionnaire

CONTRACT-SPECIFIC SUBCONTRACTOR QUESTIONNAIRE

All proposed subcontractors must complete Schedule F1 for subcontracts between \$15,000 and \$999,999.99. For subcontracts valued at \$1M or more, the subcontractor should complete Schedule B (Responsibility Form). Schedule F (Potential Subcontractor Questionnaire) should have been submitted previously to MTA's Document Repository at www.mymta.info.

For the purposes of this Schedule F1, a subcontractor is any company that will perform work for a prime contractor, or will provide supplies, materials or equipment.

MTA Prime Contract Number: A-37135

MLJTC2

Name of Prime Contractor

1010 Northern Boulevard, Suite 200, Great Neck, NY 11021

Prime Contractor's Address City State Zip Code

Prime Contractor's Federal EIN Number

I am President of MKJ Communications.
(Print title) (Print name of subcontractor company)

1. Name of subcontractor: MKJ Communications

2. Address of subcontractor (principal office):

850 3rd Ave., Suite 407 Brooklyn, NY 11232

3. Subcontractor's Federal EIN Number: 20-5160331

4. Description of work to be done under proposed subcontract. Indicate clearly whether work involves labor only or labor and material. List principal items of materials, if any, to be furnished. Attach additional sheet if necessary.

Communication, network engineering and integration

Total amount of proposed subcontract \$6,691,157

5. List active contracts that are running concurrently with this contract:

Location	Contract Price	Class of Work	Percent Completed	Name & Address of Awarding Party
NYC Times Sq. Shuttle	3,799,000	Comm. systems integration	50%	Five Star Electric, 101-32 101 st St. Ozone Park, NY 11416
NYC Clifton Yards	1,650,000	Comm. systems integration	95%	Five Star Electric, 101-32 101 st St. Ozone Park, NY 11416
NY (8 Stations)	4,150,000	Comm. systems integration	3%	E-J Electric, 225 West 35 th St., NY, NY 10001
Brooklyn (Avenue H)	500,000	Comm. systems integration	50%	Schlesinger Electric, 598-89 57th St, Maspeth, NY 11378

I am duly authorized to sign this Schedule F1 on behalf of the subcontractor and affirm that the information contained in this document is true, accurate and complete. I authorize the MTA to verify the information contained in this schedule and to conduct any background checks or other investigations it deems appropriate.

I understand and agree that typing my name or attaching a digital signature in the space below will have the same force and legally binding effect as my original handwritten signature.

Name: Jennifer Herman

Title: President

By: 
Signature

Date: 3/17/2022